



# Association of the 25-question Geriatric Locomotive Function Scale with all-cause mortality in older adults: The Nagahama study

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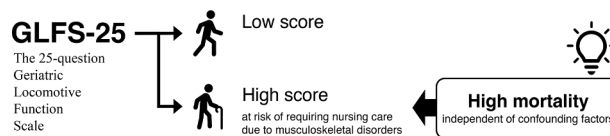
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## HIGHLIGHTS

- The GLFS-25 is a questionnaire to determine the severity of locomotive syndrome.
- The GLFS-25 was associated with all-cause mortality independently of sarcopenia.
- The GLFS-25 could be useful for the identification of individuals at risk.

## GRAPHICAL ABSTRACT



## ARTICLE INFO

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## ABSTRACT

**Backgrounds:** Locomotive syndrome is a condition in which a person is at risk of requiring nursing care due to musculoskeletal disorders. The 25-question Geriatric Locomotive Function Scale (GLFS-25) was developed to determine the severity of locomotive syndrome. In this study, we aimed to determine the prognostic significance of the GLFS-25 for all-cause mortality.

**Methods:** The study participants consisted of 3,447 community residents aged  $\geq 65$  years. All-cause mortality was determined using residential registry records. Skeletal muscle mass assessed via bioimpedance methods was considered in the analysis as a confounding factor.

**Results:** During a mean follow-up period of 3,236 days (30,566 person-years), 288 cases of all-cause mortality occurred. When participants were categorized by the GLFS-25 score [grade 1:  $< 7$  points ( $n = 1,948$ ); grade 2:  $\geq 7$  to  $< 16$  points ( $n = 894$ ); grade 3:  $\geq 16$  points ( $n = 605$ )], their survival probability decreased linearly with increasing grade (log-rank test  $P = 0.014$ ). In a Cox proportional hazards model adjusted for confounding factors, including low skeletal muscle mass, GLFS-25 grade 3 was identified as an independent risk factor for all-cause mortality (hazard ratio: 1.60;  $P = 0.007$ ) in the subpopulation aged  $\geq 70$  years but not in the overall population ( $P = 0.062$ ). The hazard ratio for all-cause mortality with GLFS-25 grade 3 and low skeletal muscle mass combined was 2.66 ( $P < 0.001$ ).

**Conclusion:** The GLFS-25 is independently associated with all-cause mortality in older adults. Using this questionnaire to assess locomotive syndrome could be useful for identifying individuals at risk.

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1. Introduction

Locomotive syndrome is a concept proposed by the Japanese Orthopaedic Association to indicate a condition in which a person is at risk of requiring nursing care because of disorders of the bones, joints, muscles, and nerves (Nakamura, 2008). The 25-question Geriatric Locomotive Function Scale (GLFS-25) has generally been used as a screening tool to assess the degree of locomotive syndrome in older ( $\geq 65$  years) adults (Seichi et al., 2012). Several longitudinal studies reported that the GLFS-25 score was associated with the incidence of locomotive syndrome (Yoshimura et al., 2022) and the need for long-term care (Ide et al., 2021; Niwa et al., 2021). Although one study (Niwa et al., 2021) reported a significant association with the composite outcome of care requirement and death, no study has investigated the prognostic significance of the GLFS-25 score for all-cause mortality.

Sarcopenia is a phenotype characterized by reduced skeletal muscle mass, weak muscle strength, and reduced physical activity (Chen et al., 2020), which partially overlaps with the features of locomotive syndrome. Indeed, significant correlations between locomotive syndrome and each component of sarcopenia have been noted in several observational studies (Kobayashi et al., 2023). We previously reported that a low skeletal muscle mass index (SMI) calculated from bioimpedance measures of skeletal muscles was independently associated with all-cause mortality (Tabara, Setoh, Kawaguchi & Matsuda, 2022). We also reported that a low body mass index (BMI), a representative measure of malnutrition, was associated with all-cause mortality (Tabara, Nakatani & Miyachi, 2021) through partial mediation by a low SMI (Tabara et al., 2022). Given that background, the practical use of the GLFS-25 in care prevention settings requires clarification about whether locomotive syndrome as assessed by the GLFS-25 predicts all-cause mortality independently of a low SMI and a low BMI.

In this longitudinal study in a general population, we aimed to clarify the prognostic significance of the GLFS-25 for all-cause mortality in older adults, with consideration of the possible involvement of sarcopenia in the relationship.

2. Methods

2.1. Study participants

Our analysis used data from the Nagahama study, a longitudinal cohort study of community residents living in Nagahama City, a suburban city in Shiga prefecture in central Japan (Tabara et al., 2024; Takeshita et al., 2023). The baseline survey in the Nagahama study was conducted between 2008 and 2010. Nagahama City residents aged 30–74 years who were living independently were eligible to participate. The Nagahama study design required a clinical survey to be conducted every 5 years after the baseline survey. For the present study, we analyzed data obtained at the second survey (conducted between 2012 and 2016), when locomotive syndrome was assessed using the GLFS-25. Of 9840 Nagahama study participants, 3447 were included in the analysis after the exclusion of individuals aged  $< 65$  years ( $n = 6264$ ), having an implanted pacemaker ( $n = 10$ ), receiving hemodialysis therapy ( $n = 4$ ), lacking a GLFS-25 score obtained between 2013 and 2016 ( $n = 99$ ), and lacking clinical values required for the analysis ( $n = 16$ ).

All procedures in the Nagahama study were approved by the ethics committee of the Kyoto University Graduate School of Medicine and the Nagahama Municipal Review Board. Written informed consent was obtained from all participants before enrolment.

2.2. All-cause mortality

All-cause mortality was identified by reviewing residential registry records managed by the Nagahama City Office. Participants who had relocated out of Nagahama City were censored. The follow-up period was calculated from participation in the follow-up (second) survey to the

Table 1

The 25-question Geriatric Locomotive Function Scale.

1	Did you have any pain (including numbness) in your neck or upper limbs (shoulder, arm, or hand)?
2	Did you have any pain in your back, lower back, or buttocks?
3	Did you have any pain (including numbness) in your lower limbs (hip, thigh, knee, calf, shin, ankle, or foot)?
4	To what extent has it been painful to move your body in daily life?
5	To what extent has it been difficult to get up from a bed or lie down?
6	To what extent has it been difficult to stand up from a chair?
7	To what extent has it been difficult to walk inside the house?
8	To what extent has it been difficult to wear and take off shirts?
9	To what extent has it been difficult to wear and take off trousers and pants?
10	To what extent has it been difficult to use the toilet?
11	To what extent has it been difficult to wash your body in the bath?
12	To what extent has it been difficult to go up and down stairs?
13	To what extent has it been difficult to walk briskly?
14	To what extent has it been difficult to keep yourself neat?
15	How far can you keep walking without rest?
16	To what extent has it been difficult to go out to visit neighbors?
17	To what extent has it been difficult to carry objects weighing approximately 2 kg?
18	To what extent has it been difficult to go out using public transportation?
19	To what extent have simple tasks and housework (preparing meals, cleaning up, etc.) been difficult?
20	To what extent have load-bearing tasks and housework (cleaning the yard, carrying heavy bedding, etc.) been difficult?
21	To what extent has it been difficult to perform sports activity (jogging, swimming, gate ball, dancing, etc.)?
22	Have you been restricted from meeting your friends?
23	Have you been restricted from joining social activities (meeting friends, playing sports, engaging in activities and hobbies, etc.)?
24	Have you ever felt anxious about falls in your house?
25	Have you ever felt anxious about being unable to walk in the future?

date of relocation or death or to the study end date (March 31, 2024).

2.3. GLFS-25

The GLFS-25 is a self-administered questionnaire consisting of 25 questions relating to pain in various body parts, activities of daily living, social activities, and concerns about physical impairment (Table 1) (Seichi et al., 2012). Participants were asked to rate the 25 questions on a five-point scale from no impairment (0 points) to severe impairment (4 points). The points total was used as an index of locomotive syndrome, with higher scores indicating worsening locomotive function.

2.4. Skeletal muscle mass

Appendicular lean mass was estimated using a bioelectrical impedance analysis device (InBody 430; InBody Co. Ltd., Seoul, ROK). The device can estimate lean mass from the resistance and reactance of arms, trunk, and legs at three different frequencies (5, 50, and 250 kHz) of an alternating 250-A current. The SMI was calculated by dividing the appendicular lean mass by body height in meters squared (Chen et al., 2020). Low SMI was defined as less than  $7.0 \text{ kg/m}^2$  in men and less than  $5.7 \text{ kg/m}^2$  in women based on criteria published by the Asian Working Group for Sarcopenia (Chen et al., 2020).

2.5. Clinical parameters

Clinical parameters analyzed in this study were obtained at the follow-up (second) survey in the Nagahama study. Given the findings in our previous study (Tabara et al., 2021), which clarified a U-shaped association between BMI and all-cause mortality in Japanese individuals, we considered low BMI ( $< 20 \text{ kg/m}^2$ ) to be a risk factor for all-cause mortality. Data on smoking and drinking habits, history of cardiovascular diseases including stroke and myocardial infarction, and medications were obtained using a self-reported structured questionnaire. Depressive symptom was assessed using the Center for

**Table 2**

Clinical characteristics of study participants.

	Overall	≥70 Years
Age, years	3447	1979
Sex, men%	71.2 ± 4.1	74.2 ± 2.8
Body mass index, kg/m <sup>2</sup>	40.2	43.5
Low body mass index, %	22.5 ± 3.0	22.5 ± 3.0
Skeletal muscle mass index, kg/m <sup>2</sup>	20.4	20.2
Low skeletal muscle index, %	6.6 ± 0.9	6.6 ± 0.9
Low skeletal muscle index, %	25.9	29.7
Smoking, never/past/current%	68.0/25.0/7.0	67.1/27.0/5.9
Alcohol consumption, Go/week	3.6 ± 7.2	3.7 ± 6.9
History of cardiovascular diseases, %	8.6	9.9
Systolic blood pressure, mmHg	133 ± 17	134 ± 17
Diastolic blood pressure, mmHg	72 ± 10	72 ± 10
Hemoglobin A1c, %	5.7 ± 0.5	5.7 ± 0.5
High-density lipoprotein cholesterol, mg/dL	65 ± 17	64 ± 17
Low-density lipoprotein cholesterol, mg/dL	117 ± 28	114 ± 27
Albumin, g/dL	4.2 ± 0.2	4.2 ± 0.2
Estimated glomerular filtration rate, ml/min/1.73m <sup>2</sup>	70.3 ± 13.4	68.7 ± 13.5
CES-D score, points	13 ± 7	13 ± 7
GLFS-25 score, points	9 ± 11	11 ± 13

Values are frequencies or means with standard deviation. Low body mass index was defined as <20.0 kg/m<sup>2</sup>. Low skeletal muscle mass index was defined <7.0 kg/m<sup>2</sup> in men and 5.7 kg/m<sup>2</sup> in women. Go is the traditional Japanese liquor unit, where 1 Go corresponds to 22 g ethanol. Cardiovascular diseases include stroke and myocardial infarction. eGFR, estimated glomerular filtration rate; CES-D, Center for Epidemiologic Studies Depression Scale; GLFS-25, 25-question Geriatric Locomotive Function Scale.

Epidemiologic Studies Depression Scale. The 25 questions of the GLFS and 20 questions of the depression scale were included in that questionnaire.

## 2.6. Statistical analysis

Data are presented as frequencies or means with standard deviation. The log-rank test was used to assess group differences from Kaplan–Meier curves. The proportional hazards assumption was assessed using the Schoenfeld residual test. A Cox proportional hazards model was used to identify factors associated with all-cause mortality. Statistical analyses were performed using the JMP Pro software

application (version 17.2.0: SAS Institute, Cary, NC, USA) and the STATA software application (version 18.0: Stata Corp LLC, College Station, TX, USA). P Values less than 0.05 were considered indicative of statistical significance.

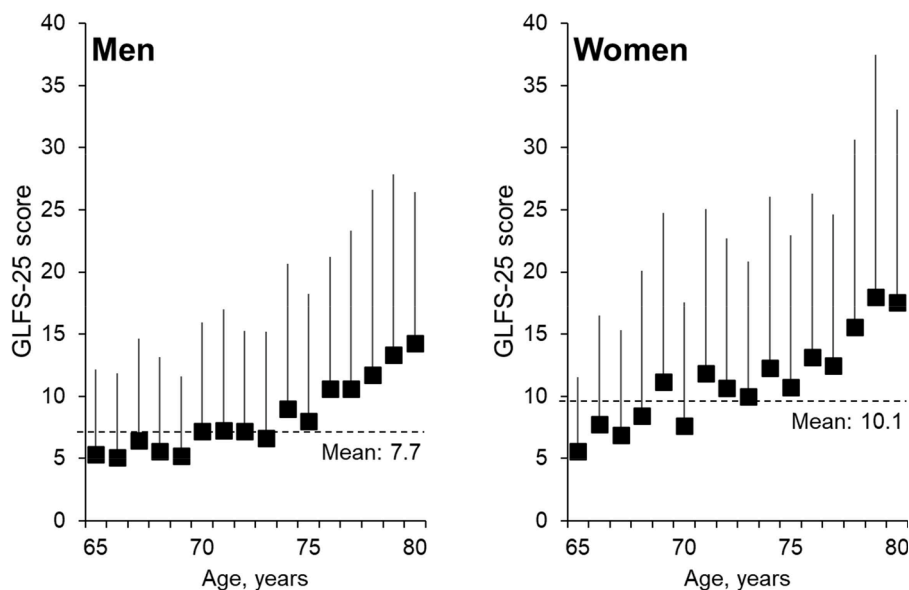
## 3. Results

Table 2 presents the clinical characteristics of the study participants. Because the mean GLFS-25 score increased after 70 years of age in both sexes (Fig. 1), an association analysis was also performed for the subpopulation aged ≥70 years (Table 2).

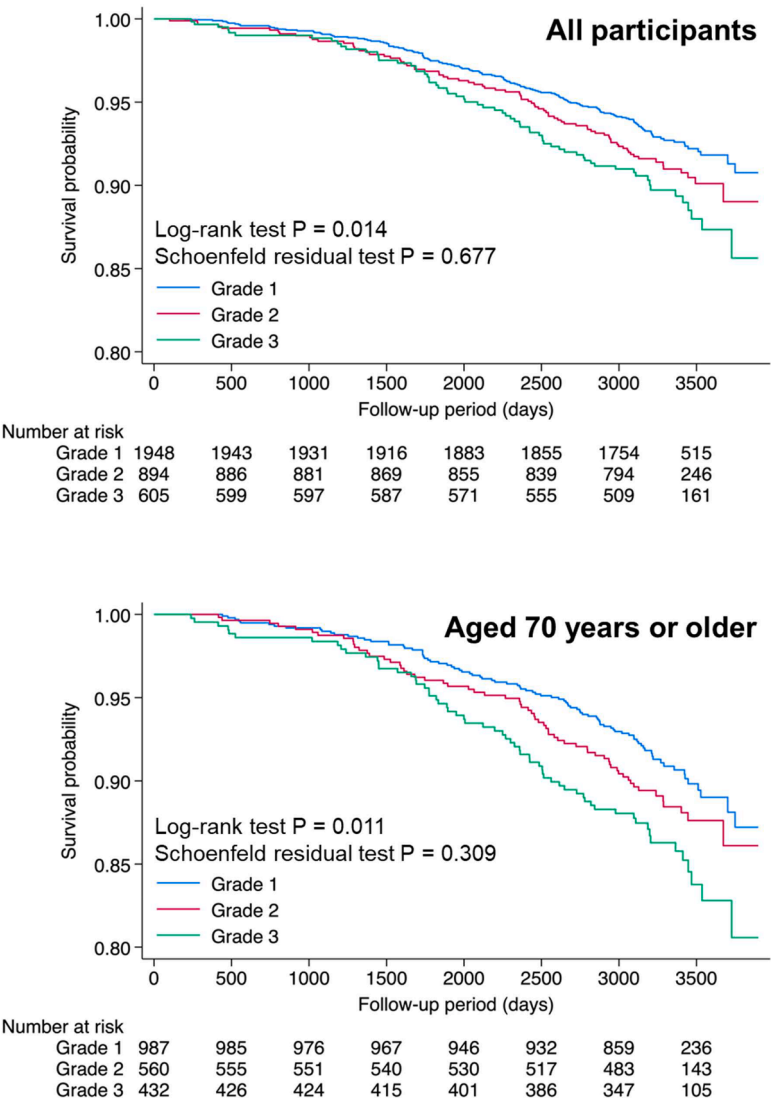
During the mean 3236 days of follow-up (30,566 person-years), 288 all-cause deaths occurred in the overall population, whereas 217 deaths occurred in the subpopulation 70 years of age and older (17,351 person-years). Fig. 2 presents the related Kaplan–Meier curves for all-cause mortality. When participants were categorized into groups according to GLFS-25 scores (grade 1: <7 points; grade 2: ≥7 to <16 points; grade 3: ≥16 points) (Seichi et al., 2012), a significant linear association between the grade of the GLFS-25 score and survival probability was evident. The Cox proportional hazards model analysis (Table 3) demonstrated a significant association between the highest GLFS-25 grade and all-cause mortality in the older subpopulation, but not in the overall population even after adjustment for possible confounding factors including a low SMI and a low BMI. The association in the older population remained significant even after excluding early death cases (within 1 year of follow-up) and after further adjustment of the CES-D score in the model (GLFS-25 score grade 3, hazard ratio = 1.50,  $P = 0.028$ ).

In the Cox proportional hazards models analyzing participants aged ≥70 years, a low BMI was identified as a significant determinant when a low SMI was not included in the model (hazard ratio: 1.52;  $P = 0.014$ ), indicating that a low SMI was an intermediate factor in the relationship between a low BMI and mortality. Even in the analysis excluding a low SMI from the model, the highest GLFS-25 grade was also significantly associated with all-cause mortality (hazard ratio: 1.64;  $P = 0.004$ ).

Fig. 3 presents the hazard ratio for all-cause mortality based on the combination of GLFS-25 grade 3 and a low SMI, which was 2.66 ( $P < 0.001$ ), whereas the hazard ratios for a low SMI or GLFS-25 grade 3 alone did not show a significant association with all-cause mortality.



**Fig. 1.** Age differences for scores on the 25-question Geriatric Locomotive Function Scale. Values are means with standard deviation. The horizontal lines indicate the mean for the study population.



**Fig. 2.** Kaplan–Meier curves for all-cause mortality by score on the 25-question Geriatric Locomotive Function Scale (GLFS-25). Participants were divided by GLFS-25 score as < 7, grade 1; ≥7–<16, grade 2; ≥16, grade 3. The proportional hazards assumption was assessed using the Schoenfeld residual test. Group differences in the Kaplan–Meier curves were assessed using the log-rank test.

**Table 3**  
Cox proportional hazards model for all-cause mortality.

	Total participants		Participants aged ≥70 years			
	HR (95 % CI)	P	HR (95 % CI)	P	Excluding early death cases	
					HR (95 % CI)	P
Age, years	1.10 (1.07–1.14)	<0.001	1.12 (1.06–1.17)	<0.001	1.11 (1.06–1.17)	<0.001
Sex, women	0.51 (0.36–0.72)	<0.001	0.54 (0.36–0.81)	0.003	0.53 (0.36–0.80)	0.003
Smoking	1.50 (1.08–2.07)	0.015	1.69 (1.17–2.48)	0.005	1.67 (1.16–2.46)	0.006
Low body mass index	0.99 (0.71–1.39)	0.969	1.18 (0.81–1.71)	0.380	1.21 (0.83–1.75)	0.325
Low skeletal muscle mass index	1.61 (1.22–2.12)	0.001	1.60 (1.16–2.18)	0.004	1.58 (1.15–2.16)	0.006
<b>GLFS-25 score</b>						
Grade 1	reference		reference		reference	
Grade 2	1.21 (0.92–1.60)	0.179	1.29 (0.93–1.78)	0.124	1.30 (0.94–1.79)	0.118
Grade 3	1.34 (0.99–1.82)	0.062	1.60 (1.14–2.22)	0.007	1.56 (1.11–2.18)	0.011

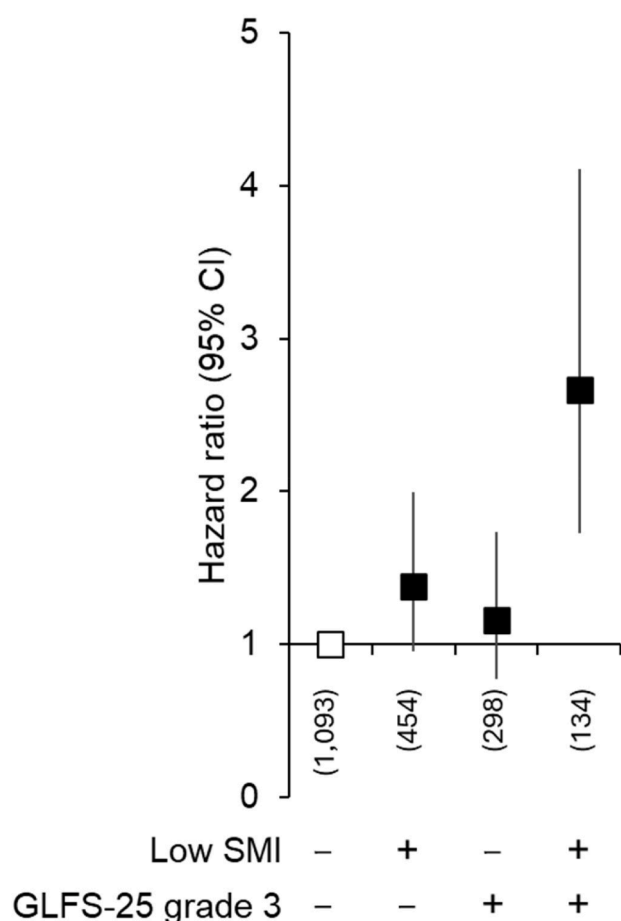
Adjusted factors were history of cardiovascular diseases, systolic blood pressure, serum albumin levels, high-density lipoprotein cholesterol levels, and estimated glomerular filtration rate. Early deaths were deaths occurring within 1 year from the start of follow-up. HR, hazard ratio; CI, confidence interval; GLFS-25, 25-question Geriatric Locomotive Function Scale.

**4. Discussion**

In this longitudinal study of a general population, we observed that

the GLFS-25 score was significantly associated with all-cause mortality in the population 70 years of age and older. That association was independent of possible confounding factors including physical factors (a





**Fig. 3.** Hazard ratios for all-cause mortality by the combination of a grade 3 score on the 25-question Geriatric Locomotive Function Scale (GLFS-25) and a low skeletal muscle mass index (SMI) in the population aged  $\geq 70$  years. Hazard ratios with 95 % confidence intervals are shown. The number of participants in each group is shown in parentheses. A low SMI was defined as  $< 7.0$  kg/m<sup>2</sup> in men and  $< 5.7$  kg/m<sup>2</sup> in women. The highest GLFS-25 grade (grade 3) was defined as a score of  $\geq 16$  points. Adjustments were applied for age, sex, history of cardiovascular diseases, smoking, systolic blood pressure, serum albumin levels, high-density lipoprotein cholesterol levels, and estimated glomerular filtration rate.

low SMI and a low BMI), psychological factors (CES-D score), and history of cardiovascular diseases, which were known to be associated with mortality in older adults. Furthermore, the association remained significant even with the exclusion of early deaths from the analysis, indicating that reverse causation could be excluded from the association between the GLFS-25 score and mortality.

The GLFS-25 had been suggested for use in adults aged  $\geq 65$  years (Seichi et al., 2012). Although we did not investigate associations of the GLFS-25 score with the incidence of long-term care requirements, our findings indicated that this score might be even more appropriate for older individuals. In the present study, the GLFS-25 score demonstrated a linear increase with age in the population aged  $\geq 70$  years. Similar age-related changes were reported in another observational study (Yamada et al., 2020), supporting our suggestion regarding the age group to which the score is applicable.

The association between the GLFS-25 and all-cause mortality was independent of a low SMI. A previous cross-sectional study involving older adults (Inanaga et al., 2023) reported that the GLFS-25 score and the score of each component of the GLFS-25 (body pain, movement difficulty, usual care, activities of daily life, social activities, and cognition) were not significantly associated with the SMI. The lack of such an association was also reported in a meta-analysis of

cross-sectional studies that investigated associations of various physical factors with the GLFS-25 score (Kobayashi et al., 2023). Given those results, the GLFS-25 might be associated with all-cause mortality as a reflection of conditions other than a low SMI. Unfortunately, we did not analyze physical factors such as grip strength and gait speed, which have been reported to be associated with the GLFS-25 score (Kobayashi et al., 2023) in the overall study population. Further investigation into whether the GLFS-25 is prognostic for mortality independent of those physical performance factors is warranted.

The highest hazard ratio for all-cause mortality was observed for the combination of GLFS-25 grade 3 and a low SMI, whereas the hazard ratio for either factor alone did not reach statistical significance. That observation indicates that in the Cox proportional hazards model, the participants with both GLFS-25 grade 3 and a low SMI helped increase the hazard ratio for each group when the combination of GLFS-25 and a low SMI was not included in the model as an independent variable. Measurement of the SMI might therefore be useful for discriminating individuals at higher risk from among the population with GLFS-25 grade 3.

A combination of the two-step and stand-up tests with the GLFS-25 score has been suggested as another method for assessing locomotive syndrome (Yoshimura et al., 2022). Unfortunately, data for those physical tests were not available for the entire study population; thus, we could not assess the prognostic significance of that composite score. Although no clear results have been reported concerning the association of the two-step and stand-up tests with mortality, the composite score was reported to be associated with the new onset of locomotive syndrome (Yoshimura et al., 2022). Further investigation into whether the addition of these physical tests to the GLFS-25 score improves the prognostic value of the GLFS-25 score alone is warranted.

To the best of our knowledge, this study is the first to show the prognostic significance of the GLFS-25 for all-cause mortality. Certain limitations should be noted while interpreting our findings. First, the study population consisted of physically independent community residents. Compared to the overall community residents, the study population might be health-biased. Second, because body size of East Asians including Japanese is smaller than that of European populations (Di Angelantonio & Bhupathiraju, 2016), further studies in various population is needed to extrapolate these findings in other population with different ethnic backgrounds. Third, because of a lack of data, individuals who might have had a history of fractures of the lower extremity were not excluded from the analysis. A history of fracture might have had a confounding effect on the association between the GLFS-25 score and mortality.

In conclusion, the GLFS-25 was independently associated with all-cause mortality. In addition to skeletal muscle decline, using the GLFS-25 in an assessment of locomotive syndrome could be useful for the identification of individuals at risk.

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#### CRediT authorship contribution statement

**Yasuharu Tabara:** Writing – review & editing, Writing – original draft, Funding acquisition, Formal analysis, Conceptualization. **Tome Ikezoe:** Investigation, Data curation. **Kazuya Setoh:** Investigation, Data curation. **Takahisa Kawaguchi:** Investigation, Data curation. **Fumi-hiko Matsuda:** Supervision, Project administration, Funding acquisition.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## ORIGINAL ARTICLE

## EPIDEMIOLOGY CLINICAL PRACTICE AND HEALTH

# Prognostic significance of the Questionnaire for Medical Checkup of Old-Old for the incidence of functional disability: The Shizuoka Kokuho Database study

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**Aim:** In the Japanese health checkup system for older adults aged  $\geq 75$  years, the Questionnaire for Medical Checkup of Old-Old (QMCOO) was adopted after 2020. However, the prognostic significance of this questionnaire for the incidence of functional disability is uncertain. The current study aimed to validate the prognostic significance of the QMCOO, and to develop a simple risk score for functional disability by analyzing health insurance claims data including annual health checkup data.

**Methods:** This study included 111 282 older adults aged 75–90 years who did not receive long-term care services at baseline. The study period was between the earliest day of participation in the health checkup after April 2020 and September 2022. The participants who were certified as care level 2 and higher during this period were considered as incident cases of functional disability.

**Results:** Within a mean follow-up duration of 1.7 years (191 085 person-years), 4578 functional disability cases were identified. In addition to the basic covariates, among the 15 items in the QMCOO, nine were identified as independent determinants. The probability of developing functional disability that was calculated using the model was associated with older age, male sex, underweight and poor responses to the QMCOO items. According to the weighted score based on the model, the participants was classified as functional disability probability of  $<1\%$  to  $>45\%$ .

**Conclusions:** The nine items in the QMCOO were associated with the incidence of functional disability. The weighted scoring system could be helpful for the use of the QMCOO. *Geriatr Gerontol Int* 2025; 25: 260–266.

**Keywords:** functional disability, health checkup, older adults, QMCOO, screening.

**Introduction**

The extension of life expectancy<sup>1</sup> has resulted in a rapid increase in the older adult population in Japan.<sup>2</sup> Avoiding the need for long-term care is the most important issue among older people to maintain their independence and quality of life. It has been estimated that the total additional medical and long-term care costs generated by functional limitations were US\$72.7 billion, and the long-term care costs accounted for a large part of the total costs particularly in older people aged  $\geq 85$  years,<sup>3</sup> showing the importance of maintaining physical independence to reduce the health burden on the individual, but also the economic burden on society.

In Japan, older adults aged  $\geq 75$  years are required to enroll in the Latter-Stage Elderly Medical Care System, a health insurance

system that partially covers the medical expenditure of insured people. In addition, municipalities, the insurer of this health insurance system, are obligated to provide annual health checkups (*Kouki Koureisha Kenshin*) to insured individuals. In addition to evaluating clinical factors associated with cardiovascular diseases, this health checkup includes a questionnaire survey to assess the potential risk of health issues that cannot be assessed through clinical examination alone. Until recently, a similar structured questionnaire for individuals aged  $<75$  years was used in the health checkup for older adults. However, the Questionnaire for Medical Checkup of Old-Old (QMCOO) was adopted after 2020 to assess health issues specific to older adults, because the main cause of disability in those aged  $\geq 75$  years is geriatric syndromes, including frailty, fall and fractures, and dementia.<sup>4</sup> The QMCOO consists of 15 items from the following 10 domains: health

condition, mental health, eating behavior, oral function, bodyweight loss, physical function and falls, cognitive function, smoking, social participation and social support.<sup>4</sup> Several cross-sectional studies have reported a close correlation between the QMCOO score and score of the Kihon Checklist,<sup>5,6</sup> a frailty score developed in Japan to assess for care requirement in older adults, and a frailty score of the Cardiovascular Health Study.<sup>7</sup> Recently, Tanaka *et al.*<sup>8</sup> reported that a simply summed score of 15 items on the QMCOO was independently associated with incidence of functional disability in a general population. Validating the prognostic significance of this questionnaire with further consideration of weighting of each item could provide a basis for its use in identifying individuals who are at risk. Furthermore, given the possibility of internal correlation between items, it was uncertain whether all 15 items were needed for the calculation of the total score. Considering basic clinical factors assessed in the annual health checkup together with the responses to the selected items of QMCOO might further improve the accuracy of identifying individuals at-risk.

Given these backgrounds, the present study aimed to investigate the prognostic significance of the QMCOO for functional disability by analyzing data from the Shizuoka Prefecture-wide Kokuho Database, which includes health and care insurance claims data, and the annual health checkup data for older adults, and to develop a risk score consisting of weighted scores of selected QMCOO items and basic clinical factors assessed in the annual health checkup to facilitate identification of older adults at-risk for functional disability.

## Methods

### Data source

This study analyzed data from the Shizuoka Kokuho Database (SKDB ver. 2024.1 with the analysis data generation system ver. 4.0),<sup>9,10</sup> which comprises the Shizuoka Prefecture-wide individual-level data on the medical insurance claims and health checkup of enrollees in the National Health Insurance or the Latter-Stage Elderly Medical Care System. The current version of the SKDB covers the period from April 2012 to September 2022.

The National Health Insurance partially covers the medical expenditure of insured people. This insurance system is designed for individuals aged <75 years who are not eligible to be members of any employee-based health insurance. The Latter-Stage Elderly Medical Care System is a health insurance system for individuals aged >75 years and people aged 65–74 years who are physically handicapped. Older individuals aged ≥75 years, except those who have an occupation, are required to enroll in this insurance system. Enrollees for these insurance systems have the opportunity to receive a medical checkup (*Tokuei Kenshin, Kouki Koureisha Kenshin*) once a year.

Furthermore, the SKDB includes data on the Long-Term Care Insurance System, a care insurance system that covers daily care expenses for older people. Insured individuals who require long-term care are eligible for in-home or facility-based services according to their certified care level. The long-term care approval board in each municipality determines the certified care level by assigning a support level (levels 1–2) or a care level (levels 1–5) based on the applicant's mental and physical condition, and the opinion of their primary doctor. The care requirement certification is designed to be applied uniformly on a nationwide basis.

### Study setting

This was a longitudinal observational study comprising residents aged ≥75 years in the Shizuoka Prefecture who participated in the

Latter-Stage Elderly Medical Care System. The longitudinal analysis was carried out using the earliest day of participation after 2020 in the annual health checkup as the index day, with 12 months before the index day as the baseline period (Fig. S1). The follow-up duration was calculated as the number of days from the index day to the end of the follow-up period. Withdrawal cases from the insurance were treated as a censored case.

Using the earlier version of the SKDB, re-admission cases were provided with the same insurance ID as continuous enrollees by disregarding the intermediate period during which they were unsubscribed. However, the method of calculating the follow-up period was changed to exclude the intermediate unsubscribed period by setting the baseline to fall within the consecutive insurance enrollment period.

### Study population

Of the 2 654 305 residents in the Shizuoka Prefecture who were included in the current version of the SKDB, those who participated in the health checkup after April 2020 ( $n = 401\,854$ ) were extracted. Individuals aged ≥90 years ( $n = 10\,691$ ) and <75 years ( $n = 265\,388$ ), those who had been certified as care levels 2–5 ( $n = 3817$ ) at the index day, those whose data on the clinical parameters required for this study were not available or widely deviated from its distribution ( $n = 8141$ ), and those whose responses to the QMCOO had missing value ( $n = 2535$ ) were excluded from the study. Finally, 111 282 older adults were ultimately included in this analysis.

The ethics committee of the Shizuoka Graduate University of Public Health (SGUPH\_2021\_001) approved the study procedure involving the SKDB analysis. Approval from the review board of each municipality for using their insurance data in medical studies was also obtained before receiving the data. Before the receipt of the SKDB data, all personal details were anonymized by the Shizuoka Federation of National Health Insurance Organizations. To ensure that the participants can refuse the use of their data, information related to this study was disclosed on the websites of the Shizuoka Prefectural Government Office and Shizuoka Graduate University of Public Health.

### Outcome definition

To meet the conditions with the criteria of healthy life expectancy, which were determined by the Ministry of Health, Labor and Welfare, the participants who were certified as care level 2 and higher were considered to have functional disability. Furthermore, the associations between the QMCOO and all-cause mortality were investigated to validate items differently associated with functional disability and all-cause mortality. All-cause mortality was identified based on the withdrawal reason described in the health insurance data.

### Clinical parameters

Clinical information and responses to the QMCOO were obtained from the annual health checkup records. During the baseline period, information on the participants' latest certified care level based on the data on the Long-Term Care Insurance System was collected. The Charlson Comorbidity Index<sup>11</sup> was calculated using the health insurance claims requested during the baseline period and was used as an index of severe comorbidity.



## Statistical analysis

Values are expressed as the mean  $\pm$  standard deviation or frequency. The Cox proportional hazards model was used to investigate factors associated with functional disability and all-cause mortality. The proportional hazards assumption was verified with a Schoenfeld residual test. The Cox proportional hazards model was also used to calculate the probability of developing functional disability according to the participants' baseline characteristics. A detailed description for the calculation of the probability can be found in a previous study.<sup>12</sup> The score sheet for functional disability (the SKDB functional disability score) was made based on the regression coefficient obtained from the final Cox proportional hazards model.<sup>13</sup>

Statistical analyses were carried out using JMP version 17.2.0 (SAS Institute, Cary, NC, USA). The Schoenfeld residual test and Harrell's C-index calculation were carried out using Stata version 18.0 (StataCorp, College Station, TX, USA). *P*-values of  $<0.05$  were considered statistically significant.

## Results

Table 1 shows the clinical characteristics of the study participants. Differences in clinical characteristics between participants who developed functional disability and who did not, and between participants who died or survived, are summarized in Tables S1 and

**Table 1** Clinical characteristics of the study participants

Age (years)	81.1 $\pm$ 4.0
Male sex (%)	25.7
Body mass index (kg/m <sup>2</sup> )	22.5 $\pm$ 3.4
Rate of hospitalization (%)	9.7
Certified care level at baseline (%)	
Support level 1	1.4
Support level 2	1.9
Care level 1	3.3
Charlson comorbidity index	2.7 $\pm$ 2.3
Systolic blood pressure (mmHg)	135 $\pm$ 17
Diastolic blood pressure (mmHg)	73 $\pm$ 11
Hypertension (%)	35.3
HDL cholesterol (mg/dL)	64 $\pm$ 16
Low HDL cholesterol (%)	4.7
LDL cholesterol (mg/dL)	117 $\pm$ 29
High LDL cholesterol (%)	20.4
Hemoglobin A1c (%)	5.8 $\pm$ 0.6
High hemoglobin A1c (%)	61.6
Creatinine (mg/dL)	0.8 $\pm$ 0.3
High creatinine (%)	14.8
Alanine aminotransferase (U/L)	18 $\pm$ 11
High alanine aminotransferase (%)	10.3
Urinary glucose, $-/\pm/+/\geq 2/\geq 3$ (%)	95.2/1.1/1.1/0.8/1.8
Urinary protein, $-/\pm/+/\geq 2/\geq 3$ (%)	81.2/10.2/6.0/2.0/0.7

Total participants  $n = 111\ 282$ . Values are presented as the mean  $\pm$  standard deviation or frequency. History of hospitalization was obtained retrospectively from the care insurance data up to 12 months before the index date. The certification of support requirement was determined using the long-term care approval board. Hypertension: systolic blood pressure  $\geq 140$  mmHg or diastolic blood pressure  $\geq 90$  mmHg irrespective of antihypertensive drug use; low high-density lipoprotein (HDL) cholesterol:  $<40$  mg/dL; high low-density lipoprotein (LDL) cholesterol:  $\geq 140$  mg/dL; high hemoglobin A1c:  $\geq 5.6\%$ ; high creatinine:  $\geq 1.0$  mg/dL; high alanine aminotransferase:  $\geq 42$  U/L (men) or  $\geq 23$  U/L (women).

S2, respectively. During a mean follow-up duration of 1.7 years (191 085 person-years), 4578 functional disability cases were recorded. Meanwhile, there were 3195 mortality cases during this period (194 593 person-years).

Table S3 summarizes the frequency of responses for each item in the QMCOO. Table S4 presents the differences in terms of responses between individuals who developed functional disability and those who did not. If the hazard ratio of each item for functional disability was calculated separately with adjustment for age, sex and body mass index, all items showed a significant association (Fig. 1). Similar results were observed in the analysis of all-cause mortality (Fig. 1).

Table S5 presents the results of the Cox proportional hazards model analysis including all items of the QMCOO for the incidence of functional disability. This analysis showed that 10 items of the QMCOO were significant determinants. Furthermore, similar results were observed in the following sensitivity analyses: further adjustment of the Charlson Comorbidity Index (Table S6), excluding incident cases of functional disability within 180 days of follow up (Table S7), age-separated analysis at 80 years-of-age (Tables S8 and S9) and sex-separated analysis (Tables S10 and S11). The factors associated with the incidence of functional disability did not change, even when all-cause mortality was considered as a competing risk factor (Table S12).

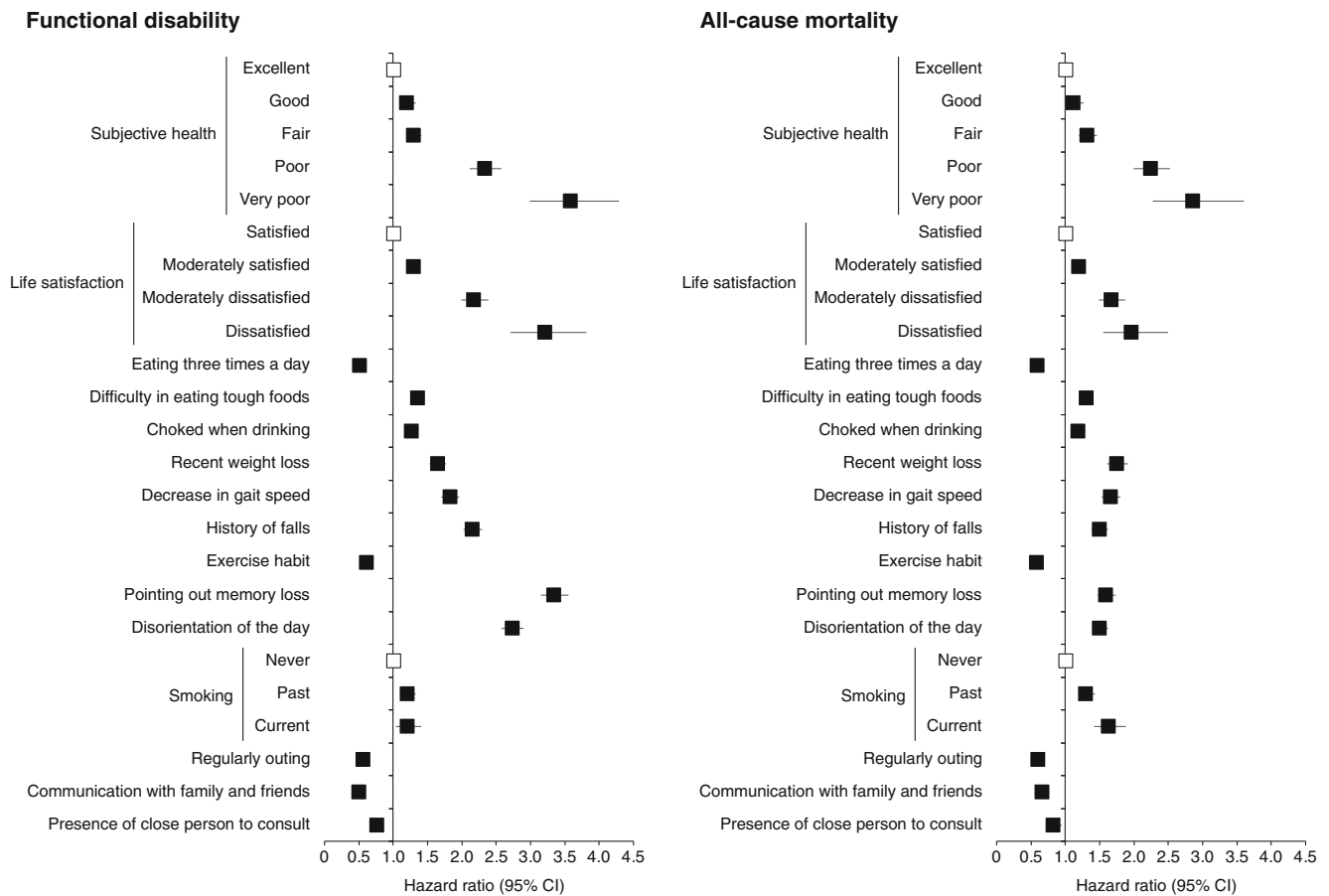
Among the 10 QMCOO items independently associated with the incidence of functional disability, Q05 (Have you choked on your tea or soup recently?) showed an opposite association in the basic factor-adjusted analysis (Fig. 1) and in the fully adjusted analysis (Table S5), even after further adjustment of the Charlson Comorbidity Index (Table S6). Figure 2 shows changes in the hazard ratio of Q05 after adding clinical markers and other items from the questionnaire into the Cox proportional hazards model. Considering the instability of the association, Q05 was ultimately excluded from the model. Similar results were obtained in the analysis for all-cause mortality (Fig. S2).

Table 2 shows the summary results of the final Cox proportional hazards model for functional disability. In this final model, given the similar hazard ratios of urinary protein  $\pm$  and  $+$  or higher groups (Table S5), we included urinary protein as two groups to simplify the model. Although several factors did not meet proportional hazard assumption tested by Schoenfeld's residuals (Table S13), we did not exclude these factors from the model given the rho value. The Harrell's C-index of the final model was 0.818, whereas that of the model without the QMCOO was 0.784.

The 2-year event-free probability for the means of risk factors was approximately 0.9705. Based on these results, a score sheet for the incidence of functional disability, the SKDB functional disability score, was developed (Table 3). Furthermore, the predicted probability within 2 years was calculated (Table 3). Figure S3 shows the number of participants with a 2-year probability of  $\geq 10\%$  or  $\geq 5\%$  and  $<10\%$ . The frequency of individuals with a probability of  $\geq 5\%$  or increased linearly with increasing age. Table S14 shows the clinical characteristics and response rates for the selected items among the subgroups divided by the probability. Participants with a higher probability were more likely to be men, underweight and certified as support level or care level at baseline. Participants with a higher probability had a greater frequency of poor responses for each item.

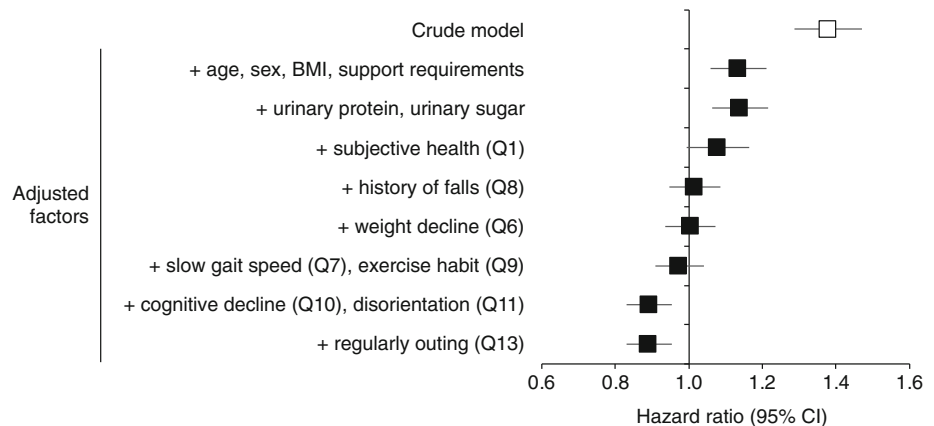
## Discussion

The present longitudinal study that used prefecture-wide data of older adults showed that nine items in the QMCOO were



**Figure 1** Hazard ratios of functional disability and all-cause mortality for each item in the Questionnaire for Medical Checkup of Old-Old. The adjusted hazard ratio and 95% confidence interval of age, sex and body mass index were calculated. The hazard ratios of each item were calculated using the Cox proportional hazards model. Open squares indicate references in the calculation of the hazard ratio.

**Figure 2** Changes in the hazard ratio of Q05 (choked when drinking) for functional disability through a stepwise adjustment of factors associated with functional disability. Values are presented as hazard ratio and 95% confidence interval. Body mass index (BMI;  $<20 \text{ kg/m}^2$ ), urinary protein level ( $\geq \pm$ ), urinary glucose level ( $\geq \pm$ ) and subjective health (Q1, poor or extremely poor) were included in the model as a dichotomized value.



independent determinants of functional disability. A simple risk score consisting of the selected QMCOO items and basic clinical factors available for identifying individuals at risk for functional disability was developed.

Japanese individuals aged  $\geq 75$  years are required to enroll in the Latter-Stage Elderly Medical Care System. Hence, the proportion

of participants in this insurance system was 98.6% at 2022.<sup>14</sup> However, only 28.1% of all insured individuals participated in the health checkup.<sup>15</sup> Hence, the study results might have a healthy bias, which can be particularly strong in the older adult population with a relatively lower participation rate in health checkups.<sup>10</sup> Therefore, the 2-year probability of functional disability calculated in this study

**Table 2** Cox proportional hazards model for functional disability including the selected factors

	Coefficient	HR (95% CI)	P-value
Age (years)	0.102	1.11 (1.10–1.12)	<0.001
Sex (men)	0.299	1.35 (1.27–1.44)	<0.001
Body mass index (<20 kg/m <sup>2</sup> )	0.229	1.26 (1.18–1.34)	<0.001
Certified care level at baseline	Support level 1	0.814	2.26 (1.93–2.64)
	Support level 2	1.137	3.12 (2.76–3.52)
	Care level 1	1.619	5.05 (4.67–5.46)
Urinary glucose ( $\geq\pm$ )	0.250	1.28 (1.15–1.43)	<0.001
Urinary protein ( $\geq\pm$ )	0.362	1.44 (1.35–1.53)	<0.001
Q01: How is your health condition? (Poor or very poor)	0.240	1.27 (1.18–1.37)	<0.001
Q03: Do you eat three times a day? (No)	0.234	1.26 (1.14–1.41)	<0.001
Q06: Have you lost 2 kg or more in the past 6 months? (Yes)	0.158	1.17 (1.08–1.26)	<0.001
Q07: Do you think you walk slower than before? (Yes)	0.248	1.28 (1.19–1.38)	<0.001
Q08: Have you experienced a fall in the past year? (Yes)	0.345	1.41 (1.32–1.51)	<0.001
Q09: Do you go for a walk for your health at least once a week? (No)	0.217	1.24 (1.17–1.32)	<0.001
Q10: Do your family or friends point out your memory loss? (Yes)	0.559	1.75 (1.63–1.87)	<0.001
Q11: Do you find yourself not knowing today's date? (Yes)	0.452	1.57 (1.47–1.68)	<0.001
Q13: Do you go out at least once a week? (No)	0.341	1.41 (1.31–1.52)	<0.001

Responses to Q03, Q09 and Q13 were flipped and then included in the regression model. CI, confidence interval; HR, hazard ratio.

**Table 3** The Shizuoka Kokuho database score for functional disability

Score sheet		Predicted probability	
Items	Points	Total score	Probability (%)
Age	75–79 years	3	$\leq 3$
	80–84 years	8	4–9
	85–89 years	13	10–14
Sex (men)		3	15–19
Body mass index (<20 kg/m <sup>2</sup> )		2	20–24
Certified care level at baseline	Support level 1	8	25–29
	Support level 2	11	30–34
	Care level 1	16	35–39
Urinary glucose ( $\geq\pm$ )		2	$\geq 40$
Urinary protein ( $\geq\pm$ )		4	$\geq 45.6$
Q01: How is your health condition? (Poor or very poor)		4	
Q03: Do you eat three times a day? (No)		2	
Q06: Have you lost 2 kg or more in the past 6 months? (Yes)		2	
Q07: Do you think you walk slower than before? (Yes)		2	
Q08: Have you experienced a fall in the past year? (Yes)		3	
Q09: Do you go for a walk for your health at least once a week? (No)		2	
Q10: Do your family or friends point out your memory loss? (Yes)		6	
Q11: Do you find yourself not knowing today's date? (Yes)		5	
Q13: Do you go out at least once a week? (No)		3	

Responses to Q09 and Q13 were flipped. The points of age groups were calculated by subtracting 75 points (points for individuals aged 74 years) from the crude points of each group (75–79 years: 78 points, 80–84 years: 83 points, 85–89 years: 88 points).

might be lower than the actual value for the whole older adult population. The results of the current study should be interpreted with caution that it was useful in identifying individuals at-risk for functional disability among older individuals who participated in the annual health checkups.

Among the 15 items in the QMCOO, 10 items were significantly associated with the incidence of functional disability. Among them, nine items, except for Q05, were included in the final assessment model. After reducing the number of items, the QMCOO became easy to use as a risk assessment tool. Among the five items, which were not identified as significant determinants, “keeping communication with family and friends” (Q14)

and “having close persons to consult” (Q15) had large bias ( $\geq 95\%$ ) in the frequency of responses, which could have contributed to the lack of association with the incidence of functional disability. “Satisfaction in daily life” (Q02) is a concept that represents not only physical conditions, but also socioeconomic status and other social factors.<sup>16</sup> Thus, it might not be suitable for the risk assessment of functional impairment.

It was challenging to consider the reason why “difficulty in eating tough foods” (Q04) was not associated with the outcomes. The conditions assessed in this question might overlap with conditions that were assessed by other questions. A previous cross-sectional study investigating the association between QMCOO

and frailty<sup>17</sup> also did not identify this item as a significant determinant.

Smoking is an established risk factor of cardiovascular diseases. However, there was no marked difference in the frequency of smoking habit at the baseline between a population who developed functional disability and those who did not, supporting the notion that “current smoking” (Q12) was not directly associated with the development of functional disability.

The hazard ratio of Q05 (Have you choked on your tea or soup recently?) was reduced to <1.0 after adjusting for other factors associated with the development of functional disability. This question was used in the QMCOO to assess frailty of oral function.<sup>18</sup> In the simple adjusted model, this question was positively associated with functional disability, probably reflecting the deterioration of oral function. The precise reason why the association was inverted in the full adjusted model was uncertain. However, a possible explanation was that laryngeal cough reflex is a normal defense response in preventing aspiration pneumonia.<sup>19</sup> Therefore, in the model adjusted for frailty status by other factors, it might be that those who are able to show a normal protective response to aspiration should be interpreted as being less likely to develop functional disability. It was reported that individuals with dysphagia do not always have a cough reflex.<sup>20</sup> A previous longitudinal study of the Japanese older population also showed a gradual decrease in the hazard ratio of this question for all-cause mortality, though the hazard ratio remained significant in the fully adjusted model.<sup>21</sup> Given that the previous study included a population aged ≥65 years, the prognostic significance of this question might be interpreted differently for different age groups. Another possibility was reduced reliability of responses to the questions due to cognitive decline. Because dementia was the most frequent cause of functional disability in participants aged ≥75 years,<sup>22</sup> it is possible that participants likely to progress to functional disability did not answer the question accurately. However, the results did not change in the analysis of participants further adjusted for severe comorbidities, including dementia. Furthermore, it was unlikely that the effects of cognitive decline would affect only Q05. The effects of cognitive decline, if any, might not be substantial to change the present findings.

The final Cox proportional hazards model included nine QMCOO items and a limited number of clinical parameters, which were consistently associated with functional disability in any conditional analysis. The final model was extremely simple and only comprised factors that could be obtained from annual health checkup data, thereby increasing the usefulness of this model in the risk assessment of older individuals in municipal health practice activities. Individuals certified as support level or care level 1 at baseline were not excluded from the analysis to ensure the availability of this risk assessment model to different individuals who participated in the annual health checkups. If individuals who are at the support level or care level 1 were excluded from the analysis, the use of this risk assessment model is limited to individuals who are physically independent. Due to the same reason, individuals who have histories of hospitalization within 12 months before the health checkup day for any reasons were not excluded from the analysis. However, hospitalization history was not consequently identified as a significant determinant. Several participants had comorbidities assessed using the Charlson Comorbidity Index. Although Tanaka *et al.*<sup>8</sup> reported that the hazard ratio for functional disability was higher for the combination of QMCOO and Charlson Comorbidity Index than for either alone, the baseline comorbidities were also not considered in the analysis, because information on comorbidities cannot be easily assessed during health checkups. However, further adjustment of

the Charlson Comorbidity Index in the Cox proportional hazards model did not significantly change the results. Hence, disregarding comorbidities in the risk assessment did not lead to major bias.

To facilitate the use of this risk assessment model in preventing functional disability, the SKDB functional disability score was developed based on the results of the Cox proportional hazards model. Although this risk score had some errors in the calculation of the probability due to the use of an integer value than the actual regression coefficient, we believe that this score, combined with the frequency chart of high-risk populations, might help determine which individuals require intervention.

In addition to the abovementioned strengths and limitations, the present study had several limitations that should be noted. First, this study used KDB in Shizuoka Prefecture residents. The rate of care certification differs between prefectures.<sup>23</sup> Hence, studies using nationwide data could improve predictive performance. Second, the follow-up period was short, because the QMCOO was used after 2020. The risk assessment score developed in this study might be useful to identify individuals who will need long-term care within a few years. Third, the causes of functional disability could not be identified. The results of individual analyses based on primary diseases for functional disability, which include dementia, cerebrovascular diseases, frailty and musculoskeletal diseases, might further facilitate the classification of individuals at-risk. Fourth, although lower education attainment has been reported to be independently associated with the incidence of functional disability,<sup>24</sup> we did not consider socioeconomic status, because the current Japanese health checkup system for older adults is not designed to assess socioeconomic status.

In conclusion, nine items in the QMCOO were independent determinants of the incidence of functional disability. The present findings, along with the SKDB functional disability score, could help identify older individuals who require preventive interventions against functional disability.

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## Disclosure statement

The authors declare no conflict of interest.

## Data availability statement

The data that support the findings of this study are available on request from the corresponding author. The data are not publicly available due to privacy or ethical restrictions.

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## Supporting Information





Additional supporting information may be found in the online version of this article at the publisher's website:

**Data S1.** Supporting Information.

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## Article

# Is Oral Function Associated with the Development of Sarcopenic Obesity and Sarcopenia in Older Adults? A Prospective Cohort Study

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**Abstract:** Background: Sarcopenic obesity, defined as the concurrent loss of muscle mass and adipose tissue accumulation, is associated with reduced physical function and poor health status in older adults. Although oral function can impact the overall health of older adults, its role in the development of sarcopenic obesity remains unclear. Herein, we aimed to examine the association between oral function and the incidence of sarcopenic obesity. Methods: This longitudinal cohort study included 597 independent older adults (aged  $\geq 65$  years) from Tamba-Sasayama, a rural region of Japan, who participated in academic studies between June 2016 and December 2023. Participants underwent surveys at least twice, with a minimum two-year interval. The participants were divided into four groups (robust, obese, sarcopenic, and sarcopenic obese) according to their health condition. Sarcopenic obesity was diagnosed based on the guidelines of the Japanese Working Group on Sarcopenic Obesity. The oral function was evaluated by assessing the number of remaining teeth, tongue pressure, occlusal force, masticatory performance, and oral diadochokinesis. Cox proportional hazards regression analysis evaluated the association between oral function and the incidence of sarcopenic obesity after adjusting for relevant confounders. Results: The sarcopenic obesity group was older, had lower skeletal muscle mass, and inferior physical function. This cohort also had the highest prevalence of hypertension and significantly fewer remaining teeth. The proportion of individuals with sarcopenic obesity was 1.7% of the total population, with 2.8% in the obesity group at baseline, and 8.0% of those were diagnosed with sarcopenia progressing to sarcopenic obesity. The Cox regression model revealed that reduced tongue pressure was significantly associated with an increased risk of sarcopenic obesity, with a hazard ratio of 0.906 (95% confidence interval: 0.829–0.990;  $p = 0.028$ ), independent of other variables related to sarcopenia and obesity. Conclusions: Our findings suggest that oral function is associated with the incidence of sarcopenic obesity but not with that of sarcopenia or obesity alone.

**Keywords:** sarcopenic obesity; obesity; tongue pressure; oral function; hypertension



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## 1. Introduction

Sarcopenia and obesity are two major health concerns impacting the older population and have substantial implications for their overall health and quality of life. Sarcopenia is characterized by the age-related loss of muscle mass, strength, and physical performance [1,2]. In older adults, obesity is associated with an elevated risk of falls, reduced functionality, diminished quality of life, and increased mortality. It also increases the risk of cardiovascular diseases, metabolic disorders, cognitive impairment, and arthritis [3,4].

The interplay between obesity and skeletal muscles in the aging population is complex, with evidence suggesting both protective and deleterious effects. Although obesity is associated with impaired physical function and resistance to anabolic stimuli, it may also lead to greater muscle mass in weight-bearing muscles than in older, lean individuals [5,6].

The coexistence of sarcopenia and obesity leads to sarcopenic obesity, a condition that has garnered increasing attention owing to its profound effects on health outcomes [6–8]. Sarcopenic obesity is characterized by muscle weakness due to sarcopenia combined with the metabolic complications of obesity. These conditions exhibit a synergistic relationship, each exacerbating the progression [8]. Sarcopenic obesity is associated with a higher risk of cardiovascular disease, diabetes, and impaired physical function than either condition alone. Sarcopenic obesity involves a vicious cycle of cross-talk between adipose and muscle tissue, and increased white adipose tissue and local muscle fat infiltration leading to inflammatory adipokine secretion, inhibiting protein synthesis and inducing catabolism. Cytokine secretion by fat mass affects muscle tissue and other organs, such as the liver and white adipose tissue, inhibiting insulin signaling and increasing the risk of insulin resistance [9,10]. Additionally, peri-muscular fat plays a critical role in different phenotypes of sarcopenic obesity, influencing inflammatory pathways and metabolic dysfunction. Furthermore, hormone-related responses vary across phenotypes, which may contribute to the secretion of inflammatory adipokines and the modulation of cytokine effects [11]. The interaction between reduced muscle quality and enhanced adiposity increases health risks, particularly in older populations [12–16].

The impact of sarcopenia and obesity on oral health is mediated via distinct pathways. A notable association exists between sarcopenia and oral function, primarily attributed to the interaction between muscle mass and masticatory abilities [17,18]. Sarcopenia typically results in reduced masticatory muscle strength, leading to compromised food intake and nutritional deficiencies. Moreover, older individuals with sarcopenia exhibit an increased susceptibility to oral health complications, including periodontal disease and dental caries, owing to difficulties in maintaining adequate oral hygiene [19].

Obesity is strongly associated with chronic systemic inflammation, exacerbating the progression of periodontal disease [20,21]. In addition, individuals with obesity have a higher propensity for recurrent and severe periodontal diseases. This phenomenon is partially attributed to decreased salivary production [22], which compromises the natural cleansing mechanisms of the oral cavity and increases the risk of dental caries [23,24].

Although the individual effects of sarcopenia and obesity on oral health have been previously studied, the combined impact of sarcopenic obesity on oral health remains elusive. Given the established associations among sarcopenia, obesity, and oral health, examining the long-term implications of sarcopenic obesity on oral health outcomes is critical. However, longitudinal studies of sarcopenic obesity, its progression over time, and its relationship with oral health are limited. Considering that both sarcopenia and obesity contribute to physical limitations and adverse health outcomes that can affect oral well-being, it is reasonable to expect that the progression of sarcopenic obesity, which combines these two conditions, is linked to oral health deterioration.

In the cohort study, we aimed to investigate the longitudinal association between sarcopenic obesity and oral health. We hypothesized that oral function is independently associated with physical condition and function, contributing to the development of sarcopenic obesity.

## 2. Material and Methods

This study encompassed independent older adults (aged  $\geq 65$  years) from Sasayama, rural Tamba-Sasayama City, Hyogo Prefecture, Japan, who participated in academic studies between June 2016 and December 2023. This cohort was designated the Frail Elderly in the Tamba-Sasayama Area (FESTA) study. The study was conducted in accordance with the ethical standards established by the Ethics Committees of both Hyogo Medical University (approval number: Rinhi-0342) and Niigata University (Approval number: G2021-0027).

The recruitment process was executed by placing newspaper advertisements and posters at the Sasayama Medical Center and Hyogo Medical University, resulting in the voluntary participation of study subjects. To be eligible for participation, individuals were required to meet the following criteria: they had to be independent older adults aged  $\geq 65$  years, residing in the Tamba-Sasayama region of Hyogo Prefecture, capable of traveling to the Sasayama Medical Center via public transportation or private vehicle, and without cognitive impairment (MMSE score  $>22$ ). From among 1016 participants, 597 surveyed at least twice at a minimum interval of two years were included in the analysis. Individuals who could not ambulate independently (except those using a cane) were excluded. Participants were provided with comprehensive information regarding the objectives and methodology of the study, and written informed consent was obtained before their participation.

### 2.1. Evaluation Items

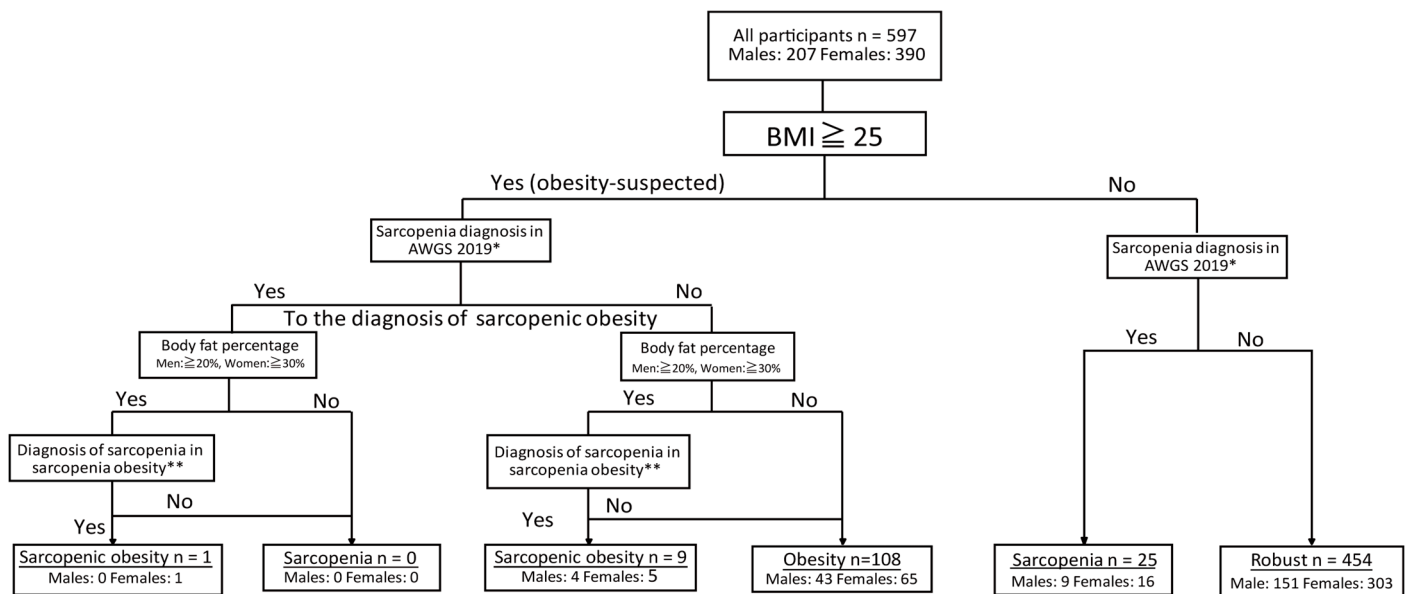
Participants were required to provide information regarding their medical and smoking histories via a questionnaire. Blood pressure was measured using a fully automatic calibrated oscillator (BP-203 RVII, Colin Co., Kyoto City, Japan).

Body composition was assessed via bioelectrical impedance analysis (BIA) using an InBody 770 device (InBody Japan, Inc., Koto Ward, Tokyo, 136-0071, Japan). Body mass index (BMI), limb skeletal muscle mass (LBM), and percent body were determined using BIA (InBody Co., Seoul, Republic of Korea).

### 2.2. Diagnosis of Sarcopenic Obesity

The participants were categorized into four groups based on their health status: robust, obese, sarcopenic, and sarcopenic obese. The classification method follows the flowchart depicted in Figure 1.





**Figure 1.** Classification of participants with sarcopenic obesity. \*: Low skeletal muscle mass (kg/m<sup>2</sup>) + low muscle strength or/and low physical function (diagnosis of sarcopenia [25]). \*\*: Low skeletal muscle mass (kg/BMI) + low muscle strength or low physical function (diagnosis of sarcopenia obesity [26]).

First, obesity screening was conducted according to the criteria established by the Japan Society for the Study of Obesity [26]. Individuals with a BMI of  $\geq 25$  kg/m<sup>2</sup> were classified as “obesity-suspected”. Sarcopenic obesity was diagnosed based on the criteria established by the Japanese Society of Gerontology [26], in which obesity was determined by body fat percentage with thresholds set at  $>20\%$  for males and  $>30\%$  for females.

Sarcopenia was defined using the same diagnostic criteria as for sarcopenia alone, incorporating skeletal muscle mass, grip strength, and five-times-sit-to-stand-test (FTSST) performance. However, in the case of sarcopenic obesity, muscle mass was adjusted for BMI, and values of  $<0.789$  for males and  $<0.512$  for females were deemed to indicate low muscle mass [26]. Sarcopenic obesity was diagnosed when an individual met the criteria for both obesity and sarcopenia, as described above.

Sarcopenia was diagnosed based on the criteria set by the Asian Working Group for Sarcopenia (AWGS) [25], incorporating assessments of muscle strength (grip strength), physical function (FTSST performance), and muscle mass (height-adjusted appendicular skeletal muscle mass in kg/m<sup>2</sup>). Sarcopenia was identified in individuals who exhibited both reduced muscle strength and physical function, as well as low muscle mass. Muscle weakness was defined as grip strength of  $<28$  kg in males and  $<18$  kg in females, while a decline in physical function was indicated by an FTSST completion time exceeding 12 s [25]. Muscle mass was assessed using the skeletal muscle mass index (SMI), with values below 7.0 kg/m<sup>2</sup> in males and 5.7 kg/m<sup>2</sup> in females classified as having low muscle mass [25].

Based on these criteria, individuals with low muscle mass, reduced muscle strength, and physical function were diagnosed with severe sarcopenia. Those with low muscle mass and either reduced muscle strength or reduced physical function were diagnosed with “sarcopenia”. Both groups were jointly categorized as the sarcopenia group. Participants who did not meet the criteria for either obesity-suspected or sarcopenia were classified as the robust group.

In addition, sarcopenic obesity was further categorized into two distinct stages based on the disease severity. Stage I sarcopenic obesity was characterized by low muscle strength, reduced physical function, low muscle mass, and obesity. Stage II sarcopenic obesity was

defined by a further decline in muscle strength and physical function, low muscle mass, obesity, and the presence of comorbidities. Comorbidities were defined as the presence of at least one chronic disease in individuals aged  $\geq 70$  years, including metabolic disorders, liver disease, kidney disease, heart disease, respiratory disease, gastric ulcer, osteoporosis, rheumatoid arthritis, thyroid disease, collagen diseases, or stroke, which are all associated with an increased likelihood of sarcopenic obesity [7,26].

### 2.3. Evaluation of Oral Function

The oral function was objectively evaluated by assessing the number of remaining teeth, tongue pressure, occlusal force, masticatory performance, and oral diadochokinesis (ODK). A comprehensive oral health assessment was performed by dental professionals who had received more than two hours of training and calibration prior to the survey. The examination was conducted under optimal lighting conditions, with the subject seated in a reclining chair. Participants who routinely wore dentures were assessed while wearing the dentures.

The number of remaining teeth was defined by third molars and roots, and implants, bridges, and dentures were excluded. Tongue pressure was measured twice using a JMS Tongue Pressure Measuring Device (JMS Co., Ltd., Hiroshima, Japan), and the highest value was recorded [27]. The occlusal force was quantified using an Occlusal Force Meter (GM10, Nagano Keiki, Tokyo, Japan) [28,29]. The maximum occlusal forces on the left and right sides were measured, and their sum was used in subsequent analysis [30,31]. Masticatory performance was evaluated using a standardized masticatory performance evaluation method (scoring method) using gummy jelly [31]. The participants chewed gummy jelly (UHA Mikakuto, Osaka, Japan) 30 times, followed by a visual evaluation of the expectorated fragments using a 10-level scale ranging from 0 to 9 [32]. Tongue motor function was evaluated using ODK. The articulatory velocity of /ta/ was measured using ODK measurement equipment (KENKOU-KUN Handy; Takei Scientific Instruments Co., Ltd., Niigata, Japan) [30].

### 2.4. Data Analysis

Data are presented as mean  $\pm$  standard error (SE) for continuous variables. Differences between the two groups were assessed using the Student's *t*-test. Comparisons among three or more groups were performed using one-way analysis of variance (ANOVA), followed by post hoc multiple comparisons. The Bonferroni correction was applied to adjust the *p*-values for multiple comparisons.

Categorical variables are presented as absolute numbers (*n*) and relative frequencies (%). Differences between categorical variables were analyzed using the chi-square or Fisher's exact test, as appropriate, based on the expected cell counts.

We conducted Cox regression analysis using the incidence of sarcopenic obesity as the event and the time from baseline to follow-up as the time variable to determine whether oral function was an independent factor contributing to the development of sarcopenic obesity. The independent variable was the onset of sarcopenic obesity, and the explanatory variables were those showing a statistically significant difference between the health status groups in the cross-sectional analysis at baseline. The adjusted variables were sex, age, and health status at baseline (divided into four groups). Those with sarcopenic obesity at baseline were excluded from the analysis. We checked for multicollinearity between the explanatory variables and selected the variable with the highest significance level in cases of overlap. The final model was determined using a stepwise method (variable reduction Wald test) and multiple imputations. The criteria for adding and deleting variables were set at  $p < 0.05$  and  $p < 0.10$ , respectively, with a maximum of 20 iterations.

For subgroup analyses, we conducted Cox regression analyses with sarcopenia onset as the event, targeting participants assigned to the robust or obese group at baseline, and examined factors contributing to the onset of sarcopenia. We also conducted a Cox regression analysis with obesity onset as the event, targeting participants in the robust or sarcopenia groups at baseline, and examined factors contributing to the onset of obesity. The subgroup analyses excluded participants with sarcopenic obesity at baseline or follow-up. Cox regression analysis of the subgroups was based on analysis of the onset of sarcopenic obesity.

Statistical significance was defined as a *p*-value of 0.05. All the statistical analyses were performed using SPSS, version 25.0.0 (IBM Corp., Armonk, NY, USA).

### 3. Results

Table 1 presents the participants' characteristics.

**Table 1.** Baseline and follow-up characteristics of participants.

A Summary of Participant in Baseline										
	Overall ( <i>n</i> = 597)			Males ( <i>n</i> = 207)			Females ( <i>n</i> = 390)			<i>p</i> -Value
Age (yr) *	72.8	±	0.2	73.7	±	0.4	72.3	±	0.3	0.003
Duration (day)	947.8	±	15.2	936.3	±	26.5	954.0	±	18.5	0.579
Smoking history *	177 (29.6%)			152 (73.4%)			25 (6.4%)			<0.001
Obesity										
BMI (kg/m <sup>2</sup> ) *	22.6	±	0.1	23.2	±	0.2	22.2	±	0.1	<0.001
Body fat (%) *	27.3	±	0.3	23.1	±	0.4	29.6	±	0.3	<0.001
High body weight	118 (19.8%)			47 (22.7%)			71 (18.2%)			0.189
High body fat *	339 (56.8%)			149 (72.0%)			190 (48.7%)			<0.001
Sarcopenia										
Skeletal muscle mass index (kg/BMI) *	0.708	±	0.006	0.868	±	0.008	0.623	±	0.005	<0.001
Low skeletal muscle mass *	92 (15.4%)			51 (24.6%)			41 (10.5%)			<0.001
Skeletal muscle mass index (kg/m <sup>2</sup> ) *	6.45	±	0.04	7.40	±	0.05	5.98	±	0.03	<0.001
Low skeletal muscle mass	185 (31.0%)			60 (29.0%)			125 (32.1%)			0.441
Grip strength (kg) *	28.2	±	0.5	35.4	±	0.4	24.4	±	0.7	<0.001
Low muscle strength	46 (7.7%)			18 (8.7%)			28 (7.2%)			0.509
Five times sit-to-stand test (s) *	7.2	±	0.1	7.6	±	0.1	7.0	±	0.1	0.002
Low physical function	20 (3.4%)			9 (4.3%)			11 (2.8%)			0.324
Comorbidities										
Metabolic diseases										
Diabetes mellitus *	61 (10.2%)			34 (16.4%)			27 (6.9%)			<0.001
Hypertension *	253 (42.2%)			100 (48.3%)			152 (39.0%)			0.028
Hyperlipemia	140 (23.5%)			40 (19.3%)			100 (25.6%)			0.083
Cardiovascular *	40 (6.7%)			21 (10.1%)			19 (4.9%)			0.014
Asthma	14 (2.3%)			4 (1.9%)			10 (2.6%)			0.627
Tuberculosis	5 (0.8%)			2 (1.0%)			3 (0.8%)			
Pneumonia	10 (1.7%)			5 (2.4%)			5 (1.3%)			0.304
Blood pressure										
SBP (mmHg) *	139.0	±	0.7	136.7	±	1.2	140.2	±	0.8	0.013
DBP (mmHg) *	80.3	±	0.4	79.1	±	0.7	81.0	±	0.5	0.039
Diagnosis of sarcopenia obesity										
Robust	454 (76.0%)			151 (72.9%)			303 (77.7%)			0.835
Obesity	108 (18.1%)			43 (20.8%)			65 (16.7%)			
Sarcopenia										
Sarcopenia	23 (3.9%)			8 (3.9%)			15 (3.8%)			
Severe sarcopenia	2 (0.3%)			1 (0.5%)			1 (0.5%)			
Sarcopenic obesity										
Stage I	2 (0.3%)			1 (0.5%)			1 (0.3%)			
Stage II	8 (1.3%)			3 (1.4%)			5 (1.3%)			
Oral function										
Remaining teeth	20.9	±	0.3	20.7	±	0.6	21.0	±	0.4	0.713
Occlusal force (kg) *	59.5	±	1.4	66.4	±	2.8	55.9	±	1.6	<0.001
Tongue pressure (kg)	33.5	±	0.3	34.0	±	0.6	33.2	±	0.4	0.295
Oral diadochokinesis *	30.5	±	0.2	29.2	±	0.5	31.1	±	0.3	<0.001
Kihon checklist										
Masticatory function	105 (17.6%)			29 (14.0%)			76 (19.5%)			0.094
Swallowing function	146 (24.5%)			51 (24.6%)			95 (24.4%)			0.940
Dry mouth	178 (29.8%)			56 (27.1%)			122 (31.3%)			0.282

Table 1. Cont.

A summary of participant in follow-up										
	Overall (n = 597)			Males (n = 207)			Females (n = 390)			p-Value
Age *	75.3	±	0.2	76.2	±	0.4	74.9	±	0.3	0.006
Smoking history *	177 (29.6%)			152 (73.4%)			25 (6.4%)			<0.001
Obesity										
BMI (kg/m <sup>2</sup> ) *	22.5	±	0.1	23.1	±	0.2	22.2	±	0.1	0.001
Body fat (%) *	27.3	±	0.3	23.2	±	0.4	29.6	±	0.4	<0.001
High body weight	118 (19.8%)			43 (20.8%)			75 (19.2%)			0.652
High body fat *	330 (55.3%)			143 (69.1%)			187 (47.9%)			<0.001
Sarcopenia										
Skeletal muscle mass index (kg/BMI) *	0.697	±	0.006	0.857	±	0.008	0.612	±	0.005	<0.001
Low skeletal muscle mass *	110 (18.4%)			54 (26.1%)			56 (14.4%)			<0.001
Skeletal muscle mass index (kg/m <sup>2</sup> ) *	6.38	±	0.04	7.30	±	0.05	5.90	±	0.03	<0.001
Low skeletal muscle mass *	113 (18.9%)			68 (32.9%)			45 (11.5%)			<0.001
Grip strength (kg) *	26.8	±	0.3	34.0	±	0.4	22.9	±	0.2	<0.001
Low Muscle strength *	62 (10.4%)			29 (14.0%)			33 (8.5%)			0.034
Five times sit-to-stand test (s) *	7.3	±	0.1	7.6	±	0.2	7.2	±	0.1	0.038
Low Physical function	19 (3.2%)			10 (4.8%)			9 (2.3%)			0.095
Comorbidities										
Metabolic diseases										
Diabetes mellitus *	71 (11.9%)			35 (16.9%)			36 (9.2%)			0.006
Hypertension *	261 (43.7%)			102 (49.3%)			159 (40.8%)			0.046
Hyperlipemia	154 (25.8%)			46 (22.2%)			108 (27.7%)			0.146
Cardiovascular *	52 (8.7%)			25 (12.1%)			27 (6.9%)			0.034
Asthma	14 (2.3%)			4 (1.9%)			10 (2.3%)			0.627
Tuberculosis	7 (1.2%)			2 (1.0%)			5 (1.3%)			0.733
Pneumonia	15 (2.5%)			8 (3.9%)			7 (1.8%)			0.124
Blood pressure										
SBP (mmHg) *	139.6	±	0.7	137.5	±	1.1	140.7	±	0.9	0.034
DBP (mmHg)	79.6	±	0.5	78.4	±	0.7	80.2	±	0.6	0.064
Diagnosis of sarcopenia obesity *										
Robust	440 (73.7%)			146 (70.5%)			294 (75.4%)			0.046
Obesity	108 (18.1%)			37 (17.9%)			71 (18.2%)			
Sarcopenia										
Sarcopenia	36 (6.0%)			15 (7.2%)			21 (5.4%)			
Severe sarcopenia	3 (0.5%)			3 (1.4%)			0 (0.0%)			
Sarcopenia obesity										
Stage I	0 (0.0%)			0 (0.0%)			0 (0.0%)			
Stage II	10 (1.7%)			6 (2.9%)			4 (1.0%)			
Oral function										
Remaining teeth	20.1	±	0.3	19.9	±	0.6	20.2	±	0.4	0.619
Occlusal force (kg)	48.9	±	1.4	51.6	±	2.6	47.4	±	1.7	0.153
Tongue pressure (kg) *	33.0	±	0.4	34.1	±	0.6	32.3	±	0.4	0.015
Oral diadochokinesis *	30.4	±	0.2	29.4	±	0.4	30.9	±	0.2	0.001
Kihon checklist										
Masticatory function	115 (20.5%)			44 (22.6%)			71 (19.3%)			0.368
Swallowing function	148 (26.3%)			53 (27.2%)			95 (25.9%)			0.740
Dry mouth	176 (31.4%)			52 (26.8%)			124 (33.9%)			0.086

\* Data are presented as mean ± SE.  $p < 0.05$ , calculated using Student's *t*-test, chi-squared test, or Fisher's exact test for sex differences. Definitions: duration, number of days from baseline to follow-up. The diagnostic criteria and classification methods for sarcopenic obesity, including BMI, skeletal muscle mass index, muscle strength, and physical function, have been detailed in the 'Diagnosis of Sarcopenic Obesity' section. Participants were grouped into robust, obese sarcopenia (sarcopenia and severe sarcopenia), and sarcopenia obesity (sarcopenic obesity stages I and II) groups. Abbreviations: SBP, mean systolic blood pressure; DBP, mean diastolic blood pressure. Oral diadochokinesis: Represented tongue motor function by the "ta" sound.

This study included 597 participants (207 males and 390 females). The mean age was  $72.8 \pm 0.2$  years, with males being slightly older ( $73.7 \pm 0.4$  years) than females ( $72.3 \pm 0.3$  years) ( $p = 0.003$ ). Regarding obesity indicators, males had a higher mean BMI ( $23.2 \pm 0.2$  kg/m<sup>2</sup>) than females ( $22.2 \pm 0.1$  kg/m<sup>2</sup>) ( $p < 0.001$ ). However, females had a higher body fat percentage ( $29.6 \pm 0.3\%$ ) than males ( $23.1 \pm 0.4\%$ ) ( $p < 0.001$ ). Interestingly, a higher proportion of males (72.0%) had high body fat levels than females (48.7%) ( $p < 0.001$ ).

Regarding sarcopenia markers, males had a higher SMI ( $0.868 \pm 0.008$  kg/BMI) than females ( $0.623 \pm 0.005$  kg/BMI) ( $p < 0.001$ ). Males also had higher grip strength ( $35.4 \pm 0.4$  kg) than females ( $24.4 \pm 0.7$  kg) ( $p < 0.001$ ).

At baseline, the distribution of sarcopenia and obesity categories was similar between both sexes, with 76.0% classified as robust, 18.1% as obese, 4.2% as sarcopenic, and 1.6% as sarcopenic obesity.



At follow-up, the mean age increased to  $75.3 \pm 0.2$  years. The prevalence of sarcopenia and sarcopenic obesity showed sex-based differences ( $p = 0.046$ ). Among male participants, 8.6% were classified as sarcopenic (including severe sarcopenia) and 2.9% as sarcopenic obese, compared with 5.4% and 1.0% among female participants, respectively. However, the differences between male and female participants were non-significant.

Table 2 shows the relationships between health conditions (robustness, obesity, sarcopenia, and sarcopenic obesity) and related factors.

Significant age differences were detected between the groups ( $p < 0.001$ ). Participants in the sarcopenia and sarcopenic obesity groups were older than those in the robust and obese groups. The mean age increased across all groups at follow-up, with the largest increase noted in the sarcopenia group (from 76.3 to 79.6 years). There were no significant sex differences between the groups at baseline or follow-up. Body composition metrics showed consistent patterns at baseline and follow-up. BMI and body fat percentage were significantly higher in the obese and sarcopenic obesity groups than in the robust and sarcopenia groups ( $p < 0.001$ ). The SMI (kg/BMI) was the lowest in the sarcopenic obesity group at both the baseline and follow-up. Physical function measurements revealed notable differences. Grip strength was significantly lower in the sarcopenia group at baseline ( $p = 0.012$ ) and follow-up ( $p = 0.001$ ). The FTSST time was significantly longer in the sarcopenia and sarcopenic obesity groups at both baseline and follow-up ( $p < 0.001$ ), with the gap widening at follow-up. The prevalence of hypertension was highest in the sarcopenic obesity group at baseline (70.0%) and follow-up (80.0%).

**Table 2.** The relationship between sarcopenic obesity and associated factors.

(a): Baseline			Robust ( $n = 454$ )			Obesity ( $n = 108$ )			Sarcopenia ( $n = 25$ )			Sarcopenic obesity ( $n = 10$ )			$p$ -Value	
Age *			72.5	±	0.3	72.6	±	0.5	76.3	±	1.5	78.3	±	1.2	<0.001	B, C, D, E
Sex																
Male			151 (72.9%)			43 (20.8%)			9 (4.3%)			4 (1.9%)			0.614	
Female			303 (77.7%)			65 (16.7%)			16 (4.1%)			6 (1.5%)				
Smoking history			130 (28.6%)			37 (34.3%)			7 (28.0%)			3 (30.0%)			0.715	
Obesity																
BMI (kg/m <sup>2</sup> ) *			21.6	±	0.1	26.5	±	0.1	20.7	±	0.4	28.8	±	0.8	<0.001	A, C, D, E, F
Body fat (%) *			25.5	±	0.3	33.8	±	0.5	27.2	±	1.3	39.2	±	1.2	<0.001	A, C, D, F
Sarcopenia																
	Skeletal muscle mass index (kg/BMI) *		0.726	±	0.007	0.663	±	0.014	0.623	±	0.028	0.546	±	0.040	<0.001	A, B, C
	Skeletal muscle mass index (kg/m <sup>2</sup> ) *		6.33	±	0.04	7.09	±	0.08	5.56	±	0.15	6.97	±	0.32	<0.001	A, B, D, F
Grip strength (kg) *			28.5	±	0.7	29.5	±	0.9	20.8	±	1.1	22.4	±	2.9	0.012	B, D
	Five times sit-to-stand test (s) *		7.0	±	0.1	7.4	±	0.2	9.3	±	0.7	9.4	±	1.1	<0.001	B, C, D, E
Comorbidities																
Metabolic diseases																
Diabetes mellitus			45 (9.9%)			10 (9.3%)			3 (12.0%)			3 (30.0%)			0.212	
Hypertension *			179 (39.4%)			58 (53.7%)			8 (32.0%)			7 (70.0%)			0.009	
Hyperlipemia			112 (24.7%)			23 (21.3%)			5 (20.0%)			0 (0.0%)			0.274	
Cardiovascular diseases			29 (6.4%)			9 (8.3%)			2 (8.0%)			0 (0.0%)			0.725	
Respiratory diseases																
Asthma			11 (2.4%)			3 (2.8%)			0 (0.0%)			0 (0.0%)			0.816	
Tuberculosis			4 (0.9%)			0 (0.0%)			1 (4.0%)			0 (0.0%)			0.260	
Pneumonia			8 (1.8%)			1 (0.9%)			1 (4.0%)			0 (0.0%)			0.710	
Blood pressure																
SBP (mmHg) *			137.9	±	0.8	142.3	±	1.5	139.3	±	3.6	151.3	±	5.2	0.009	
DBP (mmHg) *			79.7	±	0.5	83.1	±	0.9	76.6	±	2.4	87.2	±	2.7	0.001	A, D, F
Oral function																
	Remaining teeth *		21.3	±	0.4	20.2	±	0.8	20.2	±	1.9	10.8	±	3.2	0.001	C, E, F
	Occlusal force (kg) *		60.3	±	1.6	60.2	±	3.8	54.4	±	6.7	30.0	±	6.0	0.042	C, E
	Tongue pressure (kg) *		33.0	±	0.4	36.8	±	0.8	29.2	±	1.3	32.5	±	3.6	<0.001	A, D
	Oral diadochokinesis *		30.9	±	0.3	30.3	±	0.5	28.2	±	1.1	24.6	±	2.0	0.001	C, E
Kihon checklist																
Masticatory function			78 (17.2%)			20 (18.5%)			6 (24.0%)			1 (10.0%)			0.748	
Swallowing function *			109 (24.0%)			21 (19.4%)			11 (44.0%)			5 (50.0%)			0.017	
Dry mouth			136 (30.0%)			30 (27.8%)			10 (40.0%)			2 (20.0%)			0.590	

Table 2. Cont.

(b): Follow-up	Robust (n = 440)			Obesity (n = 108)			Sarcopenia (n = 39)			Sarcopenic obesity (n = 10)			p-Value	
Age *	74.9	±	0.3	75.1	±	0.5	79.6	±	1.1	80.3	±	1.5	<0.001	B, C, D, E
Sex														
Male	146 (70.5%)			37 (17.9%)			18 (8.7%)			6 (2.9%)			0.136	
Female	294 (75.4%)			71 (18.2%)			21 (5.4%)			4 (1.0%)				
Smoking history	125 (28.4%)			34 (31.5%)			15 (38.5%)			3 (30.0%)			0.583	
Obesity														
BMI (kg/m <sup>2</sup> ) *	21.6	±	0.1	26.7	±	0.2	20.7	±	0.4	27.0	±	0.5	<0.001	A, B, C, D, F
Body fat (%) *	25.5	±	0.3	35.0	±	0.5	24.6	±	1.1	37.0	±	1.5	<0.001	A, C, D, F
Sarcopenia														
Skeletal muscle mass index (kg/BMI) *	0.718	±	0.007	0.633	±	0.013	0.671	±	0.024	0.585	±	0.042	<0.001	A, C
Skeletal muscle mass index (kg/m <sup>2</sup> ) *	6.28	±	0.04	6.97	±	0.09	5.82	±	0.12	6.75	±	0.34	<0.001	A, B, D, F
Grip strength (kg) *	27.1	±	0.3	27.9	±	0.8	20.3	±	0.8	24.7	±	2.7	<0.001	B, D
Five times sit-to-stand test (s) *	7.1	±	0.1	7.2	±	0.2	8.9	±	0.6	11.9	±	1.0	<0.001	B, C, D, E, F
Comorbidities														
Metabolic diseases														
Diabetes mellitus	53 (12.0%)			12 (11.1%)			4 (10.3%)			3 (30.0%)			0.355	
Hypertension *	184 (41.8%)			63 (58.3%)			16 (41.0%)			8 (80.0%)			0.002	
Hyperlipemia	117 (26.6%)			30 (27.8%)			8 (20.5%)			2 (20.0%)			0.797	
Cardiovascular diseases	44 (10.0%)			10 (9.3%)			2 (5.1%)			0 (0.0%)			0.559	
Respiratory diseases														
Asthma	10 (2.3%)			5 (4.6%)			1 (2.6%)			0 (0.0%)			0.546	
Tuberculosis	5 (1.1%)			0 (0.0%)			2 (5.1%)			0 (0.0%)			0.083	
Pneumonia	13 (3.0%)			2 (1.9%)			2 (5.1%)			0 (0.0%)			0.698	
Blood pressure														
SBP (mmHg)	138.3	±	0.8	142.2	±	1.4	145.1	±	3.3	146.0	±	4.5	0.015	
DBP (mmHg)	79.2	±	0.5	81.0	±	0.9	81.4	±	2.3	75.0	±	1.7	0.158	
Oral function														
Remaining teeth	20.5	±	0.4	19.4	±	0.8	18.8	±	1.6	17.0	±	3.1	0.290	
Occlusal force (kg)	49.3	±	1.6	51.7	±	3.6	41.2	±	4.4	25.5	±	4.0	0.079	
Tongue pressure max (kg) *	32.4	±	0.4	36.2	±	0.8	31.0	±	1.5	29.1	±	4.1	<0.001	A, D
Diadochokinesis	30.5	±	0.2	30.2	±	0.5	29.7	±	0.9	26.8	±	2.2	0.124	
Kihon checklist														
Masticatory function	85 (20.6%)			19 (18.4%)			10 (26.3%)			1 (11.1%)			0.671	
Swallowing function *	110 (26.7%)			19 (18.4%)			15 (39.5%)			4 (44.4%)			0.041	
Dry mouth *	134 (32.7%)			21 (20.4%)			19 (50.0%)			2 (22.2%)			0.006	

Table 2a shows the baseline, and 2b shows the follow-up. Data are presented as mean ± SE. \* indicates a significant difference according to one-way analysis of variance, Pearson's chi-square test, or Fisher's exact test. The *p*-value was calculated using the one-way analysis of variance or Pearson's chi-square test/Fisher's Exact Test, or calculated using Pearson's chi-square and Mann-Whitney U tests, with Bonferroni correction applied for multiple comparisons. The significance level was set at 5%. Significant differences between groups are denoted as follows: A, a significant difference exists between the robust and obesity groups; B, a significant difference exists between the robust and sarcopenia groups; C, a significant difference exists between the robust and sarcopenic obesity groups; D, a significant difference exists between the obesity and sarcopenia groups; E, a significant difference exists between the obesity and sarcopenic obesity groups; F, a significant difference exists between the sarcopenia and sarcopenic obesity groups. The variable descriptions are the same as in Table 1.

Participants in the sarcopenic obesity group had significantly fewer remaining teeth at baseline (*p* = 0.001). However, this difference was non-significant at follow-up. The obesity group exhibited the highest tongue pressure at both time points (*p* = 0.001).

Table 3 shows changes in health status between baseline and follow-up across four health states: robust, obesity, sarcopenia, and sarcopenic obesity.

Most participants maintained their baseline health status during follow-up, particularly those classified as “robust” (89.9%) and “obesity” (76.9%). Some participants showed improvements; 18.5% of those with obesity at baseline were reclassified as robust at follow-up, 44.0% of participants with sarcopenia improved to robust, and 60.0% of participants with sarcopenic obesity were reclassified as obese.

Conversely, the health of some participants deteriorated; 4.2% of participants classified as robust became obese, 5.5% developed sarcopenia, and 1.9% of obese participants progressed to sarcopenia. Among participants with sarcopenic obesity at baseline, 10.0% improved and became robust, while 30.0% of participants remained sarcopenic obese at follow-up.

**Table 3.** Changes in health status between baseline and follow-up.

		Follow-Up			
		Robust (440)	Obesity (108)	Sarcopenia (39)	Sarcopenic Obesity (10)
Baseline	Robust (454)	408 (89.9%)	19 (4.2%) A	25 (5.5%) B	2 (0.4%) †
	Obesity (108)	20 (18.5%)	83 (76.9%)	2 (1.9%) B	3 (2.8%) †
	Sarcopenia (25)	11 (44.0%)	-	12 (48.0%)	2 (8.0%) †
	Sarcopenic obesity (10)	1 (10.0%) *	6 (60.0%) *	-	3 (30.0%) *

Percentages indicate the proportion of participants within each baseline or follow-up group. A: events in the Cox regression analysis of the onset of obesity. B: events in the Cox regression analysis of the onset of sarcopenia. †: group with onset of sarcopenic obesity (events in the Cox regression analysis of sarcopenic obesity). \* excluded from all Cox regression analyses.

The McNemar–Bowker test ( $\chi^2 = 10.803$ ,  $df = 6$ ,  $p = 0.095$ ) indicated no statistically significant changes in the overall distribution of health states between baseline and follow-up. During the follow-up period, most “robust” participants (408, 89.9%) maintained their health status, while 46 participants (10.1%) experienced deterioration. Among those with altered health status at baseline, 32 (22.4%) showed improved robustness.

Table 4 shows the results of examining the factors contributing to the occurrence of sarcopenic obesity based on the results of the prospective longitudinal analysis. After Cox regression analysis, four risk factors remained for the development of sarcopenic obesity. Males had a significantly higher risk of developing sarcopenic obesity than females (hazard ratio [HR] = 20.191, 95% confidence interval [CI]: 3.151–129.366,  $p = 0.002$ ). As BMI increased, the risk of developing sarcopenic obesity also increased significantly (HR = 2.118, 95% CI: 1.554–2.886,  $p < 0.001$ ). Furthermore, an increase in skeletal muscle mass significantly decreased the risk of sarcopenic obesity (HR = 0.661, 95% CI: 0.510–0.857,  $p = 0.002$ ). Among oral functions, elevated tongue pressure slightly reduced the risk of sarcopenic obesity (HR = 0.906, 95% CI: 0.829–0.990,  $p = 0.028$ ). The goodness of fit of the model was significant ( $-2 \log\text{-likelihood} = 57.618$ ,  $p < 0.001$ ), and four significant predictors were identified from among the nine original variables.

Following the subclass analysis, three variables remained in the final model as risk factors for sarcopenia development. Males had a significantly higher risk of developing sarcopenia than females (HR = 31.231, 95% CI: 9.660–100.974,  $p < 0.001$ ). Individuals exhibiting declining physical function (decline in grip strength or extension of the time to stand from a chair) had a significantly lower risk of developing sarcopenia (hazard ratio [HR] = 0.188, 95% CI: 0.065–0.543,  $p = 0.002$ ). Furthermore, enhanced skeletal muscle mass significantly reduced the risk of developing sarcopenia (HR = 0.571, 95% CI: 0.469–0.695,  $p < 0.001$ ). The goodness of fit of the model was significant ( $-2 \log\text{-likelihood} = 286.929$ ,  $p < 0.001$ ).

The final model retained the two variables as risk factors for developing obesity. Males tended to have a higher risk of developing obesity than females, although the difference was non-significant (HR = 2.702, 95% CI: 0.830–8.801,  $p = 0.099$ ). Elevated body fat percentage significantly increased the risk of developing obesity (hazard ratio [HR] = 1.192, 95% CI: 1.095–1.297,  $p < 0.001$ ). These results were obtained using a stepwise reduction of variables (Wald test). The goodness of fit of the model was significant ( $-2 \log\text{-likelihood} = 187.068$ ,  $p < 0.001$ ). Oral function variables were non-significant explanatory variables in the development of sarcopenia or obesity.

**Table 4.** Factors contributing to the occurrence of sarcopenic obesity, sarcopenia, and obesity.

	B	Standard Error of B	Wald	p-Value	Exp(B)	95.0% CI for Exp(B)	
						Lower	Upper
Model 1: Development of sarcopenic obesity							
Sex (Males = 1; Females = 0)	3.005	0.948	10.056	0.002	20.191	3.151	129.366
BMI	0.750	0.158	22.553	<0.001	2.118	1.554	2.886
Tongue pressure	−0.099	0.045	4.799	0.028	0.906	0.829	0.990
Limb skeletal muscle mass	−0.414	0.132	9.813	0.002	0.661	0.510	0.857
Model 2: Development of sarcopenia							
Sex (Males = 1; Females = 0)	3.441	0.599	33.041	<0.001	31.231	9.660	100.974
Either decreased grip strength or prolonged chair time	−1.672	0.542	9.521	0.002	0.188	0.065	0.543
Limb skeletal muscle mass	−0.561	0.100	31.275	<0.001	0.571	0.469	0.695
Model 3: Development of obesity							
Sex (Males = 1; Females = 0)	0.994	0.602	2.723	0.099	2.702	0.830	8.801
Body fat	0.176	0.043	16.546	<0.001	1.192	1.095	1.297

Cox regression analysis (stepwise variable reduction method (Wald)). The criteria for adding and removing variables were set at  $p < 0.05$  and  $p < 0.10$ , respectively, with a maximum of 20 iterations. B: partial regression coefficient, Exp (B): hazard ratio. Events: incidence of sarcopenic obesity (model 1), sarcopenia (model 2), and obesity (model 3). Time variable: number of days from baseline to follow-up. The time from baseline to follow-up was used as a variable to determine whether oral function was an independent factor contributing to the development of sarcopenic obesity. Explanatory variables: model 1, age, sex, baseline health status (robust, obese, sarcopenic), body mass index (BMI), limb skeletal muscle mass, decreased grip strength or increased chair time, hypertension, oral diadochokinesis (ODK), and chewing performance; model 2, age, sex, baseline health status (robust, obese), BMI, limb skeletal muscle mass, loss of grip strength or prolonged chair time, hypertension, tongue pressure, and chewing performance; model 3, age, sex, baseline health status (robust or sarcopenic), BMI, limb skeletal muscle mass, body fat, hypertension, tongue pressure, and chewing performance.

## 4. Discussion

In the current study, we aimed to elucidate the longitudinal relationship between sarcopenic obesity and oral function in independent older adults. Sex, body composition, and muscle mass were the most consistent predictors across outcomes. Additionally, tongue pressure was associated with the risk of sarcopenic obesity but was not a significant predictor of sarcopenia or obesity.

### 4.1. Characteristics of Sarcopenic Obesity

We showed that individuals with sarcopenic obesity were significantly older, exhibited higher body fat, and had poorer muscle function (longer FTSST times) than their robust or obese counterparts. These findings reinforce the notion that sarcopenic obesity is a more severe phenotype resulting from the interplay between sarcopenia and obesity. Furthermore, the high prevalence of comorbid conditions in this group, such as diabetes and hypertension, aligns with previously reported findings [33,34], underscoring the systemic impact of sarcopenic obesity on metabolic and cardiovascular health.

Prospective analyses revealed that males have a substantially higher risk of developing sarcopenic obesity than females. This may be due to sex-based differences in body composition [35], hormonal changes [36], lifestyle factors [37], or oral health behaviors [37]. These findings highlight the need for sex-specific interventions to mitigate sarcopenic obesity and its associated health risks.

For gender differences in sarcopenic obesity, previous studies have shown that aging in men is associated with decreased testosterone secretion, which induces skeletal muscle loss [38]. Reduced testosterone levels are also linked to increased visceral fat accumulation, as decreased physical activity and energy imbalance result in unused energy being stored as visceral fat. Furthermore, gender differences in dietary habits may contribute to the higher risk of sarcopenic obesity in men. Visceral fat secretes inflammatory cytokines,



which may play a significant role in the development of sarcopenic obesity [6,21]. These sex-related differences may explain the higher hazard ratio observed for males in this study.

In addition, the prevalence of sarcopenic obesity revealed a slight decline over time, with some cases showing improvement or reclassification into obesity or robust categories, respectively. These trends suggest that targeted interventions focusing on weight management, physical activity, and oral health could reverse or stabilize the progression of sarcopenic obesity in older adults. Exercise interventions, such as resistance training and aerobic exercise, can effectively improve sarcopenic obesity [39]. Furthermore, while the relationship between sarcopenia, malnutrition, and oral frailty has been reported [40,41], reports have also suggested that declining masticatory function can hinder the consumption of meat and other foods high in protein, leading to a decrease in muscle mass [42]; hence, maintaining oral function may be effective in improving sarcopenic obesity.

#### *4.2. Associations Between the Incidence of Sarcopenic Obesity and Oral Health*

In this study, we confirmed the association between sarcopenic obesity and its development and oral function indices, such as tongue pressure and skeletal muscle mass. Accordingly, a decline in oral function may be associated with the development of sarcopenic obesity; however, the direction of causality remains unclear.

Inadequate nutrition could cause further muscle loss and metabolic abnormalities [43,44]. Specifically, subjects with sarcopenic obesity exhibited the lowest occlusal force and tongue pressure, which are important for chewing and overall oral health, and it was clear that occlusal force had some effect on the progression of sarcopenic obesity. Reduced tongue pressure observed in individuals with sarcopenic obesity may be attributed to several factors. Sarcopenia is associated with lower muscle quality, which can extend to the muscles involved in oral function, potentially reducing tongue pressure [45]. Chronic low-grade inflammation associated with obesity may affect muscle function, including mastication [46]. The loss of muscle mass, a well-known characteristic of sarcopenia, combined with increased fat mass in obesity, may negatively impact the strength of oral muscles [47].

The fact that tongue pressure contributes significantly to nutritional intake through its role in swallowing function and its relationship to systemic skeletal muscle strength makes it a more specific indicator than other oral function measures. Sarcopenic obesity in the elderly is characterized by the accumulation of visceral fat due to age-related loss of muscle mass and reduced physical activity. Previous cross-sectional studies have demonstrated a positive correlation between tongue pressure and total body skeletal muscle mass [48,49]. Furthermore, the tongue plays a critical role in transporting food masses during the feeding and swallowing process [50]. Considering that adequate tongue pressure supports normal nutrient intake and is positively associated with general skeletal muscle function and strength, it may be a specific factor in reducing the risk of developing sarcopenic obesity. Additionally, tongue pressure tends to be associated with sarcopenia more strongly than other oral function indicators, such as the number of teeth or swallowing ability [51]. This suggests that interventions focused solely on dental restoration or prosthetics may be insufficient. Instead, enhancing eating and swallowing functions, along with nutritional support, may be necessary to effectively prevent or manage sarcopenic obesity [52,53].

The relationship between sarcopenic obesity and reduced tongue pressure is likely bidirectional. Lower tongue pressure may lead to difficulties in eating, potentially resulting in a reduced intake of protein-rich foods and contributing to further muscle loss [45]. Conversely, the systemic effects of sarcopenic obesity may lead to reduced muscle strength in the oral system [46].

It is important to note that while our study found a significant association between tongue pressure and sarcopenic obesity, other oral function indicators, such as occlusal force

and number of remaining teeth, were not identified as significant predictors in our final model. This finding suggests that the relationship between oral function and sarcopenic obesity may be complex and multifaceted, warranting further investigation [46].

#### *4.3. Clinical Implications*

Our findings suggest that promoting a balanced nutritional intake could potentially be a useful strategy for preventing or managing sarcopenic obesity. However, our study did not include direct nutritional interventions, and this approach remains a potential avenue for future research. It may be valuable in preventing sarcopenic obesity in older adults without reducing swallowing-related oral muscles while supporting the maintenance of skeletal muscle and physical functions and managing obesity. Additionally, continuously evaluating tongue pressure and monitoring longitudinal changes may be beneficial in delaying or reversing the progression of sarcopenic obesity.

#### *4.4. Study Limitations*

This study has several limitations. A potential limitation is the relatively small number of participants diagnosed with sarcopenic obesity at baseline ( $n = 10$ ), which may reduce statistical power and affect generalizability. Additionally, the small sample size may increase the risk of false positives and false negatives, making it difficult to detect significant associations. Future studies with larger sample sizes and more diverse populations are warranted to confirm our results and provide a more comprehensive understanding of the relationship between oral function and sarcopenic obesity.

Furthermore, the study cohort was derived from a specific rural region in Japan, which may limit its generalizability to other populations with different lifestyles or healthcare access. The relatively short follow-up period may also hinder the detection of long-term associations between oral function and sarcopenic obesity. However, previous studies have shown that even shorter periods (3–6 months) can detect changes in body composition related to sarcopenic obesity, suggesting that our follow-up period of over two years is sufficient for this purpose [6].

Additionally, while we attempted to adjust for some potential confounding factors, such as age, sex, and health status, in our statistical models, residual confounding factors may still be present. Factors such as nutritional intake, medication use, and exercise habits could influence both oral function and the development of sarcopenic obesity. Since this study was conducted in Japan, the findings may not be fully applicable to Western populations or other Asian countries with different dietary patterns, exercise habits, and diagnostic criteria for sarcopenic obesity. To better understand these relationships and enhance generalizability, future research should incorporate detailed dietary assessments, such as food frequency questionnaires or dietary recalls, while also focusing on larger, more diverse populations with extended follow-up periods to address potential confounders and explore causative mechanisms. Furthermore, this study did not assess comprehensive oral health, particularly periodontal status. Severe periodontitis can cause systemic inflammation and exacerbate muscle deterioration associated with sarcopenic obesity. Future studies should include periodontal assessments to clarify the role of inflammation in this relationship.

## **5. Conclusions**

Our study showed that sarcopenic obesity was independently associated with oral function, particularly tongue pressure. Although we did not detect a direct link between sarcopenia/obesity alone and oral function, the combination of sarcopenia and obesity appeared to be strongly associated with oral health. These findings suggest that preserving and enhancing tongue muscles, which are crucial for swallowing and other oral functions,

could potentially play a role in delaying the development of sarcopenic obesity. However, further research is required to establish causality and explore the complex relationships between oral function, sarcopenia, and obesity.

Furthermore, our findings demonstrated that reduced tongue pressure was significantly associated with a decreased risk of developing sarcopenic obesity (HR = 0.906, 95% CI: 0.829–0.990,  $p = 0.028$ ). These results suggest that tongue pressure assessment could be a valuable tool in routine geriatric evaluations, particularly for identifying individuals at risk of sarcopenic obesity. However, further research is needed to determine its validity as a definitive screening tool.

Future studies should explore intervention approaches combining nutritional guidance, resistance exercise, and tongue pressure training to improve muscle function. Additionally, developing a staging system for early sarcopenia detection and integrating oral health assessments into geriatric care may enhance diagnostic accuracy and health management.

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## Article

# Oral Frailty and Its Relationship with Physical Frailty in Older Adults: A Longitudinal Study Using the Oral Frailty Five-Item Checklist

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**Abstract:** Background/Objectives: Oral frailty, first identified in Japan in 2014, refers to a state between healthy oral function and severe decline, marked by minor issues, such as tooth loss and chewing difficulties. The oral frailty five-item checklist (OF-5) enables non-dental professionals to evaluate oral frailty using five key indicators: remaining teeth count, chewing difficulties, swallowing difficulties, dry mouth, and articulatory oral skills. Limited studies exist. Methods: This study examined the relationship between oral and physical frailties in older adults and assessed the prognosis of physical frailty using the OF-5. Participants aged  $\geq 65$  years were recruited from the frail elderly in the Sasayama–Tamba area, Hyogo, Japan, and their physical function was assessed in terms of grip strength, walking speed, and skeletal muscle mass. Blood markers, such as cystatin C, an indicator of renal function, were also analyzed. Results: A cross-sectional analysis indicated that oral frailty was correlated with reduced muscle mass, walking speed, and physical function. Women had lower hemoglobin and albumin levels and a greater prevalence of frailty than men. Longitudinal analysis revealed that initial OF-5 scores predicted increased physical frailty after 2–3 years, especially in those with higher baseline scores. The OF-5 was a significant factor for frailty progression in both sexes. Conclusions: These results suggest that early detection of oral frailty via the OF-5 may be useful in preventing the progression of overall frailty in older adults.

**Keywords:** oral frailty; physical frailty; oral frailty five-item checklist



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## 1. Introduction

Oral health is a critical component of overall health, life satisfaction, quality of life, and self-perception. Impairment of oral function is highly prevalent among older adults, and aging has been reported to interact indirectly with various domains of frailty through multiple pathways. A clear example of this relationship is age-related functional oral deterioration, characterized by poor dental hygiene, inadequate dental prostheses, and dietary deficiencies, which collectively contribute to an increased risk of nutritional frailty [1].

Oral frailty is defined as an age-related gradual decline in oral function, often accompanied by deteriorations in physical functions. This condition is associated with significant adverse health outcomes in older adults, including increased mortality, physical frailty, functional disabilities, reduced quality of life, and a higher risk of hospitalization and falls [2]. Poor oral health in the elderly is a major health concern due to its links to the pathogenesis of systemic frailty, suggesting that it is a multidimensional geriatric syndrome. As such, oral frailty may serve as a potential risk factor for systemic frailty [3]. Oral frailty was first proposed by the Japanese Geriatrics Society in 2014, and is described as an age-related decrease in oral function. Oral frailty is further defined as “the overlap of minor declines in dental or oral functions that may increase the risk of adverse health outcomes” [4,5].

This condition poses an increased risk of further decline in oral function; however, it remains reversible if early and appropriate interventions are implemented. Signs of oral frailty, including decreased tongue pressure, increased food spillage, slight chewing difficulties, and a dry mouth, are often subtle and easily overlooked. Recent studies have shown that oral frailty in older adults not only affects oral health but also has systemic implications, contributing to overall frailty and sarcopenia (age-related muscle loss) [4,6,7].

In 2023, a new diagnostic criterion for oral frailty, known as the oral frailty five-item checklist (OF-5), was proposed [4]. It comprises five items: fewer teeth, difficulty in chewing, difficulty in swallowing, dry mouth, and low articulatory oral motor skills. The OF-5 is designed to be implemented in various settings beyond dental care facilities, including non-dental healthcare facilities and community activities, and can be assessed by older individuals. The OF-5 has demonstrated robust predictive validity for physical frailty, physical impairment, and mortality among the older population in Japan [4]. Despite these advancements, the longitudinal impact of oral frailty on the progression of physical frailty, as assessed by the OF-5, remains poorly understood, particularly in rural populations.

On 1 April 2024, the Japanese Geriatrics Society, Japanese Geriatric Dentistry Society, and Japanese Society for Sarcopenia and Frailty introduced a joint statement on oral frailty diagnosed via the OF-5 [8]. The OF-5 facilitates early detection of oral frailty and promotes interdisciplinary collaboration in its management, particularly in the medical and dental fields.

In our epidemiological study conducted among community-dwelling older adults in Sasayama–Tamba, Hyogo Prefecture (the frail elderly in the Sasayama–Tamba area [FESTA] study), we focused on the relationship between oral function and physical frailty. The rural environment of Sasayama–Tamba, which is relatively close to a metropolitan area and maintains a stable population without extreme depopulation or aging, offers a unique context. It features a modern, healthy, elderly population centered on suburban agriculture, with low population turnover. This setting provides an important backdrop for studying the interaction between oral and physical frailty given its distinctive demographic and health characteristics. In our previous study, we found a significant correlation between tongue pressure and cystatin C levels, an indicator of kidney function in the FESTA study. Our findings also revealed a correlation between tongue pressure, an indicator of oral function, and physical parameters, such as grip strength, walking speed, and muscle mass [9].

The Oral Frailty Checklist/Oral Frailty Index-8 (OFI-8) was developed by the Japan Dental Association [10,11], and consists of eight items: (1) difficulties in chewing; (2) difficulties in swallowing; (3) denture use; (4) dry mouth; (5) going out less frequently; (6) feasibility of chewing hard food; (7) brushing teeth at least twice a day; and (8) regular attendance at a dental clinic. Items (1) to (3) were scored as 2, whereas the other items were scored as 1. The maximum possible score was 11: low risk, 0–2 points; moderate risk, 3 points; and high risk, >4 points. Oral frailty, as assessed using the OFI-8, was

independently associated with all-cause mortality, even after adjusting for physical and psychological frailty in older adults [12].

On the other hand, there are many reports on the associations of cystatin-C-related indices, including the creatinine-to-cystatin-C ratio (Cre/CysC ratio) and estimated glomerular filtration rate based on CysC (eGFR<sub>Cys</sub>), with physical frailty and sarcopenia [13–20]. Our findings indicated that individuals at high risk for oral frailty, as assessed by the OFI-8, had lower levels of cystatin-C-related indices, grip strength, hemoglobin, and albumin, with a higher prevalence of oral frailty observed in women [21].

The OF-5 and OFI-8 share several common items, such as difficulties in chewing, difficulties in swallowing, and dry mouth. These three items are included in the Kihon checklist developed by the Japanese Ministry of Health, Labor, and Welfare, which consists of 25 questions in seven categories: physical strength, nutrition, eating, socialization, memory, mood, and lifestyle [22,23]. However, the OFI-5 differs from the OFI-8 in that it includes objective evaluations, such as the remaining teeth count and articulatory oral motor skills assessed by a dental specialist. The relationship between oral frailty and diagnosis using the OF-5, which includes objective measures based on dental examinations, grip strength, gait speed, and blood test indices, has not yet been examined. The comparative efficacy of the OF-5 in predicting physical frailty outcomes, especially in comparison to the OFI-8, has not been extensively explored, highlighting a vital area for investigation.

The longitudinal Kashiwa Study conducted by the University of Tokyo has also shown that oral frailty is a risk factor for physical frailty and is linked to life prognosis [3]. In the present study, we examined whether oral frailty, as diagnosed by the OF-5, predicts worsening physical frailty according to the Japanese Cardiovascular Health Study (J-CHS) criteria. Oral frailty, as assessed using the OF-5, has also been shown to be related to the development of physical disabilities and frailty [4].

This study aimed to assess, in a cross-sectional analysis, sex differences in physical and blood markers among individuals classified as having oral frailty by the OF-5. Additionally, using the OF-5, we aimed to investigate whether individuals classified as having suspected oral frailty (OF-5 score  $\geq 2$ ) exhibit differences in physical and biological markers, including height, weight, blood indices, and muscle strength, compared with those with lower OF-5 scores. We also explored whether these differences were associated with overall frailty. This study aimed to longitudinally assess the predictive value of the OF-5 checklist for physical frailty among older adults in Sasayama–Tamba by hypothesizing that higher OF-5 scores are significantly associated with an increased risk of physical frailty over time. Additionally, in a longitudinal analysis, we examined the association between OF-5 scores and the progression of physical frailty according to the J-CHS criteria. Finally, we evaluated the predictive value of OF-5 in comparison with other clinical markers over a follow-up period of 2–3 years.

## 2. Materials

### 2.1. Study Participants

This cross-sectional study within the FESTA study included individuals aged  $\geq 65$  years. Healthy community-dwelling older adults from the Sasayama–Tamba area, a rural region in Hyogo Prefecture, Japan, were recruited between 2017 and 2023. Body composition and blood sample analyses were performed as described previously [17,18]. Body composition was assessed using bioelectrical impedance analysis with an InBody 770 device (Inbody Japan Inc., Tokyo, Japan). Skeletal muscle mass index (SMI) was calculated as skeletal muscle mass divided by height squared ( $\text{kg}/\text{m}^2$ ). Handgrip strength was measured according to previously established methods [17,18,24].

This cross-sectional study included 313 men and 621 women (934 in total). For the longitudinal study, 105 men and 224 women (329 in total) from the first cross-sectional survey who had no missing data in the second survey conducted 2–3 years later were included.

All procedures performed in this study, which involved human participants, adhered to the ethical standards of the institutional and/or national research committee where the studies were conducted (IRB approval number Rinhi 0342 at Hyogo Medical University) and the 1964 Helsinki Declaration and its later amendments or comparable ethical standards.

## 2.2. Evaluation of Physical Function

To assess gait speed, the participants were instructed to walk a 12 m walkway at their usual pace, and the time taken to walk 10 m was recorded. Maximum grip strength was measured via a grip strength tester (GRIP-A; Takei Ltd., Niigata, Japan) [25]. Knee extension strength (Nm) of the dominant leg was evaluated during isometric contraction of the knee extensor in a seated position, with the knee maintained at a 60° angle using a hand-held dynamometer (Sakai Medical Co., Ltd., Tokyo, Japan) [26].

## 2.3. Diagnosis of Frailty

Frailty phenotypes were assessed based on the five clinical features defined in the Cardiovascular Health Study (CHS): slow gait speed, weakness, exhaustion, low physical activity, and weight loss [27]. The frailty score was calculated using a modified version of the CHS (J-CHS) [28]. The number of applicable frailty phenotypes of the five was used to determine the J-CHS score. A score of 0 was defined as robust, 1 or 2 as pre-frail, and  $\geq 3$  as frail.

In a longitudinal study, during the second survey conducted 2–3 years after the first survey, the participants were categorized based on changes in their J-CHS frailty scores. Seventy-four participants (27 men and 47 women) whose scores had increased were defined as “worsened”, 167 (51 men, 116 women) whose scores remained unchanged were categorized as “unchanged”, and 88 (27 men, 61 women) whose scores had decreased were classified as “improved”. Changes in the J-CHS frailty scores were used to classify the participants as improved, unchanged, or worsened, and comparisons were made across groups in terms of physical indices, blood markers, OF-5 scores, and the number of positive subjects for each item at the time of the first survey. Logistic regression analysis, including other indices, was used to determine whether the J-CHS scores worsened during the second survey.

## 2.4. Diagnosis of Sarcopenia

Sarcopenia was defined according to the criteria for the Asia Working Group for Sarcopenia (AWGS) 2019 [29]. Body composition was evaluated by bioelectrical impedance analysis (BIA) using an InBody 770® (InBody Japan Inc., Tokyo, Japan). The skeletal muscle mass index (SMI) was calculated as  $SMM/height^2$  ( $kg/m^2$ ). The handgrip power, the normal and maximal gait speed, five-time chair stand test (5CS), Timed Up and Go test (TUG), and Short Physical Performance Battery (SPPB) scores were evaluated as described previously [29]. Sarcopenia was considered if the participants had a low SMI ( $<7.0 kg/m^2$  in men;  $<5.7 kg/m^2$  in women) and weak handgrip strength ( $<28 kg$  in men;  $<18 kg$  in women) or low physical performance (normal gait speed  $< 1.0 m/s$ ,  $5CS \geq 12 s$  or  $SPPB \leq 9$ ).

## 2.5. Evaluation of Oral Function

The participants were seated in reclining nursing chairs and underwent oral examinations. The number of remaining teeth, occlusal force, and tongue pressure were assessed. Tongue pressure was measured twice using a JMS Tongue Pressure Measuring Device



(JMS Co., Ltd., Hiroshima, Japan), and the highest value was recorded [30]. To evaluate tongue motor function (oral diadochokinesis [ODK]), we used oral function measurement equipment (KENKOU-KUN Handy; Takei Scientific Instruments Co., Ltd., Niigata, Japan) to measure the articulatory velocity of /ta/ [31].

### 2.6. Calculation of eGFR

We calculated creatine-based eGFR (eGFRcre) and eGFRcys using equations provided by the Japanese Society of Nephrology [32,33].

### 2.7. Statistical Analysis

The results are expressed as the means  $\pm$  standard deviations or percentages. For intergroup comparisons, Student's *t*-test was used for data analysis. Categorical variables are presented as absolute numbers (n) and relative frequencies (%), and were analyzed using the Fisher's exact test. Univariate and multivariate logistic regression analyses were performed to calculate the odds ratios and 95% confidence intervals. Data analysis was conducted using JMP version 17.1 software, with statistical significance set at  $p < 0.05$ .

## 3. Results

The characteristics of 313 men and 621 women (934 in total) are shown in Table 1. The prevalence of oral frailty was slightly  $>40\%$  in both sexes, with no significant difference between the sexes. According to the J-CHS frailty criteria by sex, exhaustion tended to be greater in women than in men; however, there were no significant differences in the other four criteria. Muscle strength, muscle mass, and walking speed were generally greater in men than in women, although there were no sex differences in the Timed Up and Go (TUG) test or the five-time chair stand (5CS) test. Tongue pressure was greater in men, whereas ODK tended to be greater in women. However, no significant sex-related differences were observed in the number of teeth. Women also tended to be more prone to anemia, with higher total protein and albumin levels. Creatine, cystatin C, and Cre/CysC levels reflected muscle mass and tended to be higher in men than in women, whereas eGFR tended to be higher in women than in men.

**Table 1.** Baseline characteristics of participants according to sex.

	Total (n = 934)	Men (n = 313)	Women (n = 621)	<i>p</i>
Age (years)	74.0 $\pm$ 5.8	74.7 $\pm$ 5.9	73.6 $\pm$ 5.8	<b>&lt;0.001</b>
Height (cm)	155.4 $\pm$ 8.3	163.9 $\pm$ 6.0	151.1 $\pm$ 5.5	<b>&lt;0.001</b>
Body weight (kg)	55.0 $\pm$ 9.4	62.5 $\pm$ 8.8	51.2 $\pm$ 7.1	<b>&lt;0.001</b>
Body mass index	22.7 $\pm$ 2.9	23.2 $\pm$ 2.8	22.4 $\pm$ 2.9	<b>&lt;0.001</b>
Skeletal muscle mass (SMM) (kg)	15.7 $\pm$ 3.7	19.9 $\pm$ 2.8	13.6 $\pm$ 1.9	<b>&lt;0.001</b>
Skeletal muscle mass index (SMI)	6.43 $\pm$ 0.93	7.37 $\pm$ 0.73	5.95 $\pm$ 0.59	<b>&lt;0.001</b>
Body fat mass (kg)	15.3 $\pm$ 5.3	14.8 $\pm$ 5.3	15.6 $\pm$ 5.3	<b>0.035</b>
Percentage of BFM (%)	27.6 $\pm$ 7.3	23.2 $\pm$ 6.1	29.8 $\pm$ 6.9	<b>&lt;0.001</b>
Grip power (kg)	26.7 $\pm$ 7.6	34.6 $\pm$ 6.5	22.7 $\pm$ 4.2	<b>&lt;0.001</b>
Knee extension muscle strength (Nm)	336.5 $\pm$ 115.2	428.7 $\pm$ 114.2	290.0 $\pm$ 83.4	<b>&lt;0.001</b>
Normal gait speed (m/s)	1.41 $\pm$ 0.24	1.38 $\pm$ 0.24	1.43 $\pm$ 0.24	<b>&lt;0.001</b>
Maximal gait speed (m/s)	1.87 $\pm$ 0.32	1.94 $\pm$ 0.34	1.84 $\pm$ 0.30	<b>&lt;0.001</b>
Timed Up and Go test (TUG)	6.33 $\pm$ 1.64	6.30 $\pm$ 1.93	6.35 $\pm$ 1.47	0.675
Five-time chair stand test (5CS)	7.53 $\pm$ 4.15	7.90 $\pm$ 4.77	7.34 $\pm$ 3.79	0.137

Table 1. Cont.

	Total (n = 934)	Men (n = 313)	Women (n = 621)	<i>p</i>
Cre (mg/dL)	0.75 ± 0.28	0.90 ± 0.19	0.68 ± 0.29	<0.001
CysC (mg/L)	0.95 ± 0.25	1.03 ± 0.23	0.91 ± 0.24	<0.001
Cre/CysC	0.80 ± 0.13	0.89 ± 0.12	0.75 ± 0.11	<0.001
eGFRcre (mL/min/1.73 m <sup>2</sup> )	67.0 ± 14.1	66.2 ± 14.0	67.5 ± 14.1	<0.001
eGFRcys (mL/min/1.73 m <sup>2</sup> )	73.3 ± 16.1	70.6 ± 16.5	74.7 ± 15.8	<0.001
eGFRcys/eGFRcre	1.10 ± 0.17	1.07 ± 0.17	1.12 ± 0.17	<0.001
Red blood cell (×104/μL)	439.5 ± 43.8	452.7 ± 48.5	432.9 ± 39.6	<0.001
Hemoglobin (g/dL)	13.5 ± 1.3	14.2 ± 1.5	13.2 ± 1.1	<0.001
Hematocrit (%)	40.8 ± 3.7	42.4 ± 4.0	40.1 ± 3.2	<0.001
Total protein (g/dL)	7.35 ± 0.47	7.33 ± 0.44	7.37 ± 0.39	<0.001
Albumin (g/dL)	4.32 ± 0.32	4.29 ± 0.31	4.34 ± 0.27	<0.001
Number of teeth, n	20.1 ± 8.8	19.6 ± 9.4	20.3 ± 8.5	0.357
Tongue pressure (kPa)	33.5 ± 8.6	34.3 ± 9.0	33.1 ± 8.3	0.038
Low articulatory oral motor skills (times/s)	6.05 ± 0.97	5.84 ± 1.12	6.16 ± 0.87	<0.001
Item of oral frailty				
Fewer teeth	316(33.8)	113(36.1)	203(32.7)	0.306
Difficulty in chewing	185(19.8)	51(16.3)	134(21.6)	0.056
Difficulty in swallowing	241(25.8)	65(20.8)	176(28.3)	0.014
Dry mouth	288(30.8)	73(23.2)	215(34.6)	<0.001
Low articulatory oral motor skills	336(36.0)	135(43.1)	201(32.4)	0.002
OF-5 score	1.46 ± 1.20	1.40 ± 1.11	1.50 ± 1.24	0.482
0, n(%)	227(24.3)	77(24.6)	150(24.2)	0.872
1, n(%)	296(31.7)	97(31.0)	199(32.0)	0.766
2, n(%)	234(25.0)	92(29.4)	142(22.9)	0.031
3, n(%)	117(12.6)	33(10.8)	84(13.5)	0.210
4, n(%)	49(5.2)	13(4.2)	36(5.8)	0.352
5, n(%)	11(1.2)	1(0.3)	10(1.6)	0.111
Oral frailty status				
Oral non-frailty, 0–1 OF-5 score, n(%)	523(56.0)	174(55.6)	349(56.2)	0.889
Oral frailty, ≥2 OF-5 score, n(%)	411(44.0)	139(44.4)	272(43.8)	
Item of frailty (J-CHS)				
Shrinking, n(%)	140(15.0)	47(15.0)	93(15.0)	1.000
Weakness (grip strength < 28 kg in men or 18 kg in women), n(%)	95(10.1)	25(8.0)	70(11.3)	0.136
Exhaustion, n(%)	223(23.9)	61(19.5)	162(26.0)	0.028
Slowness (gait speed < 1.0 m/s), n(%)	41(4.4)	14(4.5)	27(4.3)	1.000
Low activity, n(%)	261(27.9)	97(31.0)	164(26.4)	0.143
Frailty status (J-CHS criteria)				
Robust, n(%)	405(43.4)	138(44.1)	267(43.0)	0.780

Table 1. Cont.

	Total (n = 934)	Men (n = 313)	Women (n = 621)	p
Pre-frailty, n(%)	488(52.2)	165(52.7)	323(52.0)	0.890
Frailty, n(%)	41(4.4)	10(3.2)	31(5.0)	0.239
<b>Sarcopenia status (AWGS criteria)</b>				
Sarcopenia	67(7.2)	22(7.0)	45(7.2)	1.000
Non-sarcopenia	867(92.8)	291(93.0)	576(92.8)	

Cre, creatinine; CysC, cystatin C; eGFRcys, cystatin-based estimated glomerular filtration rate; eGFRcre, creatinine-based estimated glomerular filtration rate; OF-5, oral frailty five-item checklist; J-CHS, Japanese Cardiovascular Health Study criteria. The *p*-value represents the significant difference between women and men.

Using the J-CHS criteria for the diagnosis of physical frailty, more than half of the participants in both men and women were categorized as prefrail, while 4.4% of the overall population were diagnosed as frail (3.2% in men and 5.0% in women). A typical phenotype of physical frailty is age-related muscle loss, known as sarcopenia. Using the AWGS2019 diagnostic criteria for Asians, the prevalence of sarcopenia was estimated to be approximately 7% in both men and women, with no significant gender differences.

A score of  $\geq 2$  on the OF-5 indicates a diagnosis of oral frailty, which is associated with older age, shorter height, lower muscle mass and strength, and reduced physical functions, such as walking speed, TUG, and the 5CS test. Tongue pressure, number of teeth, and ODK were also reduced in individuals with oral frailty. The prevalence of sarcopenia was observed to be higher in both men and women with oral frailty. However, the difference was not statistically significant in men. Overall, the findings suggest that sarcopenia exhibits a similar trend to physical frailty (Table 2).

Table 2. Comparison of physical and oral function according to oral frailty status in men and women.

	Men (n = 313)			Women (n = 621)		
	Oral Non-Frailty, 0–1 OF-5 Score (n = 174)	Oral Frailty, $\geq 2$ OF-5 Score (n = 139)	<i>p</i>	Oral Non-Frailty, 0–1 OF-5 Score (n = 349)	Oral Frailty, $\geq 2$ OF-5 Score (n = 272)	<i>p</i>
Age (years)	73.7 $\pm$ 5.4	76.0 $\pm$ 6.2	<0.001	72.1 $\pm$ 5.3	75.5 $\pm$ 5.9	<0.001
Height (cm)	164.6 $\pm$ 5.9	163.1 $\pm$ 6.2	0.018	151.8 $\pm$ 5.3	150.2 $\pm$ 5.6	<0.001
Body weight (kg)	63.2 $\pm$ 8.6	61.6 $\pm$ 9.1	0.107	51.6 $\pm$ 7.4	50.6 $\pm$ 6.7	0.103
Body mass index	23.3 $\pm$ 2.6	23.2 $\pm$ 3.1	0.715	22.4 $\pm$ 2.9	22.5 $\pm$ 3.0	0.639
Skeletal muscle mass (SMM) (kg)	20.3 $\pm$ 2.8	19.3 $\pm$ 2.7	0.001	13.9 $\pm$ 1.9	13.3 $\pm$ 2.0	<0.001
Skeletal muscle mass index (SMI)	7.48 $\pm$ 0.71	7.24 $\pm$ 0.74	0.005	6.00 $\pm$ 0.58	5.88 $\pm$ 0.59	0.014
Body fat mass (kg)	14.6 $\pm$ 4.9	15.1 $\pm$ 5.7	0.453	15.7 $\pm$ 5.5	15.6 $\pm$ 5.1	0.894
Percentage of BFM (%)	22.7 $\pm$ 5.6	23.8 $\pm$ 6.6	0.095	29.6 $\pm$ 7.0	30.1 $\pm$ 6.8	0.339
Grip power (kg)	35.7 $\pm$ 6.3	33.2 $\pm$ 6.5	<0.001	23.5 $\pm$ 4.2	21.7 $\pm$ 4.1	<0.001
Knee extension muscle strength (Nm)	446.1 $\pm$ 110.9	406.9 $\pm$ 115.3	0.002	304.0 $\pm$ 74.1	271.7 $\pm$ 90.6	<0.001
Normal gait speed (m/s)	1.42 $\pm$ 0.24	1.35 $\pm$ 0.24	0.007	1.47 $\pm$ 0.23	1.38 $\pm$ 0.24	<0.001
Maximal gait speed (m/s)	2.00 $\pm$ 0.32	1.86 $\pm$ 0.34	<0.001	1.90 $\pm$ 0.28	1.76 $\pm$ 0.31	<0.001
Timed Up and Go test (TUG)	6.02 $\pm$ 1.64	6.65 $\pm$ 2.19	0.004	6.03 $\pm$ 1.21	6.75 $\pm$ 1.68	<0.001
Five-time chair stand test (5CS)	7.25 $\pm$ 2.49	8.71 $\pm$ 6.51	0.007	6.90 $\pm$ 3.36	7.91 $\pm$ 4.21	<0.001
Cre (mg/dL)	0.91 $\pm$ 0.21	0.88 $\pm$ 0.19	0.203	0.66 $\pm$ 0.13	0.68 $\pm$ 0.14	0.931
CysC (mg/L)	1.01 $\pm$ 0.23	1.04 $\pm$ 0.25	0.134	0.89 $\pm$ 0.28	0.93 $\pm$ 0.19	0.042
Cre/CysC	0.91 $\pm$ 0.12	0.86 $\pm$ 0.13	<0.001	0.76 $\pm$ 0.11	0.73 $\pm$ 0.10	0.001
eGFRcre (mL/min/1.73 m <sup>2</sup> )	65.8 $\pm$ 14.5	66.7 $\pm$ 13.3	0.562	68.3 $\pm$ 13.9	66.3 $\pm$ 14.2	0.085

Table 2. Cont.

	Men (n = 313)			Women (n = 621)		
	Oral Non-Frailty, 0–1 OF-5 Score (n = 174)	Oral Frailty, ≥2 OF-5 Score (n = 139)	<i>p</i>	Oral Non-Frailty, 0–1 OF-5 Score (n = 349)	Oral Frailty, ≥2 OF-5 Score (n = 272)	<i>p</i>
eGFRcys (mL/min/1.73 m <sup>2</sup> )	72.2 ± 16.5	68.5 ± 16.3	<b>0.049</b>	77.1 ± 15.6	71.7 ± 15.4	<0.001
eGFRcys/eGFRcre	1.11 ± 0.16	1.03 ± 0.18	<b>&lt;0.001</b>	1.14 ± 0.17	1.09 ± 0.17	<0.001
Red blood cell (×10 <sup>4</sup> /μL)	453.3 ± 47.9	452.0 ± 49.4	0.823	436.2 ± 37.2	428.7 ± 42.1	0.020
Hemoglobin (g/dL)	14.2 ± 1.4	14.2 ± 1.6	0.826	13.3 ± 1.1	13.1 ± 1.1	0.012
Hematocrit (%)	42.4 ± 3.7	42.4 ± 4.3	0.968	40.3 ± 3.1	39.8 ± 3.3	<b>0.041</b>
Total protein (g/dL)	7.28 ± 0.43	7.36 ± 0.77	<b>0.029</b>	7.39 ± 0.39	7.35 ± 0.39	0.189
Albumin (g/dL)	4.28 ± 0.31	4.29 ± 0.48	0.286	4.36 ± 0.25	4.31 ± 0.29	<b>0.017</b>
Tongue pressure (kPa)	35.3 ± 8.4	33.1 ± 9.6	<b>0.032</b>	33.7 ± 7.5	32.4 ± 9.1	0.066
Number of teeth, n	23.0 ± 7.5	15.3 ± 10.0	<b>&lt;0.001</b>	23.4 ± 6.5	16.4 ± 9.1	<b>&lt;0.001</b>
Fewer teeth	27(15.8)	87(62.1)		48(13.8)	155(57.0)	
Low articulatory oral motor skills (times/s)	6.21 ± 0.88	5.37 ± 1.20	<b>&lt;0.001</b>	6.22 ± 0.74	5.82 ± 0.92	<b>&lt;0.001</b>
Low articulatory oral motor skills	37(21.6)	99(70.7)	<b>&lt;0.001</b>	56(16.0)	145(53.3)	<b>&lt;0.001</b>
Item of frailty (J-CHS criteria)						
Shrinking	23(13.2)	24(17.3)	0.342	40(11.4)	53(19.4)	<b>0.006</b>
Weakness (Grip strength < 28 kg in men or 18 kg in women), n(%)	9(5.2)	16(11.5)	0.057	24(6.9)	46(16.9)	<b>&lt;0.001</b>
Exhaustion, n(%)	19(10.9)	42(30.2)	<b>&lt;0.001</b>	64(18.3)	98(36.0)	<b>&lt;0.001</b>
Slowness (Gait speed < 1.0 m/s), n(%)	6(3.4)	8(5.8)	0.412	11(3.2)	16(5.9)	0.114
Low activity, n(%)	47(27.0)	50(31.0)	0.110	64(18.3)	71(26.1)	<b>0.024</b>
Frailty status (J-CHS criteria)						
Robust, n(%)	92(52.9)	46(33.1)	<b>&lt;0.001</b>	91(33.5)	176(50.4)	<b>&lt;0.001</b>
Pre-frailty, n(%)	79(45.4)	86(61.9)	<b>0.004</b>	159(58.5)	164(47.0)	<b>&lt;0.001</b>
Frailty, n(%)	3(1.7)	7(5.0)	0.115	22(8.0)	9(2.6)	0.097
Sarcopenia status (AWGS criteria)						
Sarcopenia, n(%)	6(3.4)	16(11.5)	0.120	17(4.9)	28(10.3)	<b>0.012</b>
Non-sarcopenia, n(%)	94(96.6)	123(88.5)		332(95.1)	244(89.7)	

Cre, creatinine; CysC, cystatin C; eGFRcys, cystatin-based estimated glomerular filtration rate; eGFRcre, creatinine-based estimated glomerular filtration rate; OF-5, oral frailty five-item checklist; J-CHS, Japanese Cardiovascular Health Study criteria.

Cystatin-C-related indices, including the Cre/CysC ratio and eGFRcys, which we have previously reported, were lower in individuals of both sexes with oral frailty [21]. Additionally, hemoglobin and albumin levels were lower in women with oral frailty. Both men and women with oral frailty were less robust and had more pre-frailty; however, owing to the small number of frail individuals, the difference was not significant in the amount of frailty.

A longitudinal study involving 329 participants (105 men and 224 women) revealed changes in oral function between the first and second follow-up surveys. Two to three years passed between the first and second follow-ups, during which no significant changes were observed in body size, grip strength, walking speed, SMI, or other parameters. However, cystatin-C-related indices (Cre/CysC, eGFRcys, and eGFRcys/eGFRcre) were significantly lower at the second follow-up in both men and women. In the second follow-up survey, albumin levels and tongue pressure did not significantly decrease in men but did show a significant decrease in women. According to the J-CHS frailty criteria, there was a tendency

for a decrease in pre-frailty among women during the second follow-up. Approximately half of the participants, both men and women, showed no changes in the relevant J-CHS items, whereas approximately a quarter showed either improvement or worsening (Table 3).

**Table 3.** Changes in physical and oral function from baseline to follow-up according to sex.

	Men (n = 105)			Women (n = 224)		
	First Survey	Second Survey	<i>p</i>	First Survey	Second Survey	<i>p</i>
Number of days to second survey	955.1 ± 351.7			985.5 ± 348.0		
Age (years)	73.6 ± 5.8	76.2 ± 5.9	<b>0.001</b>	72.1 ± 5.4	74.8 ± 5.4	<b>&lt;0.001</b>
Height (cm)	164.1 ± 6.5	163.6 ± 6.6	0.566	151.4 ± 5.2	150.8 ± 5.3	0.252
Body weight (kg)	63.2 ± 8.5	62.3 ± 9.0	0.440	51.4 ± 6.6	51.1 ± 6.8	0.618
Body mass index	23.4 ± 2.6	23.2 ± 2.8	0.585	22.5 ± 2.8	22.5 ± 2.9	0.912
Skeletal muscle mass index (SMI)	7.44 ± 0.67	7.33 ± 0.70	0.242	5.93 ± 0.56	5.89 ± 0.58	0.397
Grip power (kg)	35.8 ± 7.1	34.2 ± 6.7	0.087	23.2 ± 4.2	22.8 ± 3.8	0.311
Normal gait speed (m/s)	1.39 ± 0.23	1.35 ± 0.25	0.249	1.44 ± 0.23	1.40 ± 0.23	0.117
Cre (mg/dL)	0.91 ± 0.19	0.92 ± 0.23	0.564	0.66 ± 0.14	0.69 ± 0.17	0.140
CysC (mg/L)	1.00 ± 0.22	1.09 ± 0.30	<b>0.017</b>	0.89 ± 0.17	0.95 ± 0.23	<b>0.001</b>
Cre/CysC	0.92 ± 0.13	0.86 ± 0.12	<b>0.001</b>	0.75 ± 0.10	0.72 ± 0.10	<b>0.005</b>
eGFRcre (mL/min/1.73 m²)	65.8 ± 13.5	64.4 ± 13.5	0.453	68.6 ± 13.3	66.2 ± 13.4	0.052
eGFRcys (mL/min/1.73 m²)	72.7 ± 15.6	66.2 ± 15.3	<b>0.003</b>	76.2 ± 14.6	70.3 ± 13.9	<b>&lt;0.001</b>
eGFRcys/eGFRcre	1.12 ± 0.18	1.03 ± 0.16	<b>&lt;0.001</b>	1.12 ± 0.17	1.07 ± 0.16	<b>0.002</b>
Hemoglobin (g/dL)	14.2 ± 1.4	14.0 ± 1.4	0.227	13.2 ± 1.0	13.1 ± 1.0	0.087
Albumin (g/dL)	4.3 ± 0.3	4.2 ± 0.3	0.128	4.4 ± 0.3	4.3 ± 0.3	<b>&lt;0.001</b>
Number of teeth, n	20.7 ± 8.8	19.6 ± 8.8	0.380	21.1 ± 8.2	20.2 ± 8.3	0.271
Tongue pressure (kPa)	34.8 ± 8.8	34.4 ± 9.2	0.734	33.4 ± 8.5	31.8 ± 8.5	<b>0.040</b>
Low articulatory oral motor skills (times/s)	5.83 ± 1.12	5.98 ± 1.08	0.329	6.18 ± 0.80	6.13 ± 0.94	0.575
Item of oral frailty						
Fewer teeth, n(%)	36(34.3)	40(38.1)	0.667	67(29.9)	74(33.0)	0.542
Difficulty in chewing, n(%)	18(17.1)	26(24.8)	0.235	42(18.8)	47(21.0)	0.542
Difficulty in swallowing, n(%)	24(22.9)	29(27.6)	0.525	63(28.1)	61(27.2)	0.636
Dry mouth, n(%)	25(23.8)	30(28.6)	0.530	70(31.3)	73(32.7)	0.916
Low articulatory oral motor skills, n(%)	47(44.8)	35(33.3)	0.120	74(33.0)	76(33.9)	0.762
OF-5 score	1.43 ± 1.03	1.52 ± 1.13	0.523	1.41 ± 1.22	1.48 ± 1.20	0.559
0, n(%)	21(20.0)	21(20.0)	1.000	62(27.7)	50(22.3)	0.230
1, n(%)	36(34.3)	33(31.4)	0.663	69(30.8)	79(35.3)	0.318
2, n(%)	33(31.4)	32(30.5)	1.000	47(21.0)	50(22.3)	0.819
3, n(%)	12(11.4)	14(13.3)	0.835	33(14.7)	32(14.3)	1.000
4, n(%)	3(2.9)	4(3.8)	1.000	11(4.9)	9(4.0)	0.820
5, n(%)	0	1(1.0)	1.000	2(0.9)	4(1.8)	0.685
Oral frailty states						
Oral non-frailty, 0–1 OF-5 score, n(%)	57(54.9)	54(51.4)	0.782	131(58.7)	129(57.8)	0.849
Oral frailty, ≥2 OF-5 score, n(%)	48(45.7)	51(48.5)		92(41.3)	94(42.2)	
Item of frailty (J-CHS criteria)						
Shrinking	17(16.2)	17(16.2)	1.000	35(15.6)	33(14.7)	0.895
Weakness (grip strength < 28 kg in men or 18 kg in women)	7(6.7)	15(14.3)	0.113	16(7.2)	20(8.9)	0.603



**Table 3.** *Cont.*

	Men (n = 105)			Women (n = 224)		
	First Survey	Second Survey	<i>p</i>	First Survey	Second Survey	<i>p</i>
Exhaustion	18(17.1)	22(21.0)	0.598	49(21.9)	56(25.0)	0.504
Slowness (gait speed < 1.0 m/s)	4(3.8)	8(7.6)	0.373	6(2.7)	11(4.9)	0.323
Low activity	36(34.3)	23(21.9)	0.065	74(33.0)	41(18.3)	<b>&lt;0.001</b>
<b>J-CHS frailty status</b>						
Robust, n(%)	43(41.0)	45(42.9)	0.889	92(41.1)	111(49.6)	0.088
Pre-frailty, n(%)	60(57.1)	58(55.2)	0.889	125(55.8)	99(44.2)	<b>0.014</b>
Frailty, n(%)	2(1.9)	2(1.9)	1.000	7(3.1)	14(6.2)	0.179
<b>J-CHS change category</b>						
Improved, n(%)		27(25.7)			61(27.2)	
Unchanged, n(%)		51(48.6)			116(51.8)	
Worsened, n(%)		27(25.7)			47(21.0)	

Cre, creatinine; CysC, cystatin C; eGFRcys, cystatin-based estimated glomerular filtration rate; eGFRcre, creatinine-based estimated glomerular filtration rate; OF-5, oral frailty five-item checklist; J-CHS, Japanese Cardiovascular Health Study criteria.

We also analyzed the baseline characteristics of the groups classified as improved/unchanged and worsened. In men, lower grip strength and fewer teeth at baseline were associated with disease worsening. In those who worsened, an OF-5 score of  $\geq 2$  at baseline was common, and many patients were assessed as having oral frailty at the first time point. Among women, swallowing and chewing problems were more frequently reported at baseline in the worsened group, although the only significant sex difference was observed in the total OF-5 scores. In summary, individuals with higher baseline OF-5 scores were more likely to experience worsening J-CHS scores at the second time point (Table 4).

**Table 4.** Baseline characteristics and oral frailty according to frailty progression status in men and women.

Results of First Survey	Men (n = 105)			Women (n = 224)		
	Improved or Unchanged (n = 78)	Worsened (n = 27)	<i>p</i>	Improved or Unchanged (n = 177)	Worsened (n = 47)	<i>p</i>
Age (years)	73.1 $\pm$ 5.7	75.1 $\pm$ 5.9	0.108	71.9 $\pm$ 5.4	73.2 $\pm$ 5.1	0.125
Height (cm)	164.3 $\pm$ 6.6	163.4 $\pm$ 6.2	0.544	151.5 $\pm$ 5.1	150.8 $\pm$ 5.6	0.426
Body weight (kg)	63.1 $\pm$ 8.3	63.6 $\pm$ 9.1	0.788	51.2 $\pm$ 6.5	52.4 $\pm$ 6.8	0.250
Body mass index	23.3 $\pm$ 2.5	23.8 $\pm$ 3.0	0.452	22.3 $\pm$ 2.8	23.0 $\pm$ 2.8	0.106
Skeletal muscle mass index (SMI)	7.48 $\pm$ 0.65	7.32 $\pm$ 0.70	0.276	5.93 $\pm$ 0.54	5.95 $\pm$ 0.67	0.784
Grip power (kg)	36.7 $\pm$ 7.4	33.2 $\pm$ 5.6	<b>0.027</b>	1.45 $\pm$ 0.22	1.44 $\pm$ 0.24	0.810
Normal gait speed (m/s)	1.41 $\pm$ 0.23	1.34 $\pm$ 0.22	0.215	23.3 $\pm$ 4.3	22.5 $\pm$ 3.6	0.239
Cre (mg/dL)	0.91 $\pm$ 0.19	0.91 $\pm$ 0.17	0.930	0.66 $\pm$ 0.13	0.67 $\pm$ 0.17	0.818
CysC (mg/L)	0.99 $\pm$ 0.22	1.04 $\pm$ 0.20	0.276	0.89 $\pm$ 0.16	0.91 $\pm$ 0.21	0.418
Cre/CysC	0.93 $\pm$ 0.13	0.88 $\pm$ 0.14	0.162	0.75 $\pm$ 0.10	0.74 $\pm$ 0.11	0.426
eGFRcre (mL/min/1.73 m <sup>2</sup> )	66.2 $\pm$ 14.0	64.6 $\pm$ 12.0	0.613	68.5 $\pm$ 12.9	69.1 $\pm$ 14.9	0.765
eGFRcys (mL/min/1.73 m <sup>2</sup> )	74.1 $\pm$ 15.9	62.4 $\pm$ 14.3	0.111	76.5 $\pm$ 14.0	75.2 $\pm$ 16.8	0.615
eGFRcys/eGFRcre	1.13 $\pm$ 0.18	1.07 $\pm$ 0.19	0.158	1.13 $\pm$ 0.17	1.10 $\pm$ 0.17	0.273
Hemoglobin (g/dL)	14.3 $\pm$ 1.5	14.0 $\pm$ 1.1	0.282	13.2 $\pm$ 1.0	13.2 $\pm$ 1.1	0.715
Albumin (g/dL)	4.3 $\pm$ 0.3	4.2 $\pm$ 0.3	0.289	4.4 $\pm$ 0.2	4.3 $\pm$ 0.3	0.807
Number of teeth, n	21.2 $\pm$ 8.7	19.2 $\pm$ 9.0	0.304	21.4 $\pm$ 8.2	19.9 $\pm$ 8.3	0.247
Tongue pressure (kPa)	35.6 $\pm$ 9.2	32.6 $\pm$ 7.4	0.141	33.3 $\pm$ 8.3	34.0 $\pm$ 9.3	0.635

Table 4. Cont.

Results of First Survey	Men (n = 105)			Women (n = 224)		
	Improved or Unchanged (n = 78)	Worsened (n = 27)	<i>p</i>	Improved or Unchanged (n = 177)	Worsened (n = 47)	<i>p</i>
Low articulatory oral motor skills (times/s)	5.86 ± 1.11	5.75 ± 1.13	0.658	6.22 ± 0.82	6.02 ± 0.74	0.127
<b>Item of oral frailty</b>						
Fewer teeth, n(%)	22(28.2)	14(51.9)	<b>0.035</b>	51(28.8)	16(34.0)	0.480
Difficulty in chewing, n(%)	12(15.4)	6(22.2)	0.554	28(15.8)	14(29.8)	<b>0.036</b>
Difficulty in swallowing, n(%)	16(20.5)	8(29.6)	0.425	43(24.3)	20(43.6)	<b>0.018</b>
Dry mouth, n(%)	17(21.8)	8(29.6)	0.438	52(29.4)	18(38.3)	0.076
Low articulatory oral motor skills, n(%)	34(43.6)	13(48.1)	0.823	58(32.8)	16(34.0)	0.863
<b>OF-5 score</b>	<b>1.29 ± 1.01</b>	<b>1.81 ± 1.00</b>	<b>0.023</b>	<b>1.31 ± 1.17</b>	<b>1.79 ± 1.37</b>	<b>0.017</b>
0, n(%)	17(21.8)	4(14.8)	0.580	53(29.9)	9(19.1)	0.199
1, n(%)	32(41.0)	4(14.8)	<b>0.018</b>	54(30.5)	15(31.9)	0.860
2, n(%)	21(26.9)	12(44.4)	0.099	40(22.6)	7(14.9)	0.315
3, n(%)	5(6.4)	7(25.9)	<b>0.011</b>	24(13.6)	9(19.1)	0.357
4, n(%)	3(3.8)	0(0.0)	0.568	4(2.3)	7(14.9)	<b>0.002</b>
5, n(%)	0(0.0)	0(0.0)	1.000	2(1.1)	0(0.0)	1.000
<b>Oral frailty states</b>						
Oral non-frailty, 0–1 OF-5 score, n(%)	49(62.8)	8(29.6)	<b>0.004</b>	107(60.5)	24(51.0)	0.250
Oral frailty, ≥2 OF-5 score, n(%)	29(37.2)	19(70.4)		70(39.5)	23(49.0)	

Cre, creatinine; CysC, cystatin C; eGFRcys, cystatin-based estimated glomerular filtration rate; eGFRcre, creatinine-based estimated glomerular filtration rate; OF-5, oral frailty five-item checklist.

Univariate logistic regression analysis was conducted to calculate the odds ratios for each indicator at baseline in the worsening group in the second survey. For men, significant associations were found between reduced grip strength and tooth loss, whereas an OF-5 score of ≥2 and a diagnosis of oral frailty at the first visit were also significant worsening risk factors. Significant associations were observed between decreased chewing ability and swallowing function in the women. The OF-5 score was a significant worsening risk factor in both men and women; however, in women, there was no significant difference in those with an OF-5 score ≥2 (Table 5A).

Table 5. Regression analysis.

Variables	Men		Women	
	OR (95%CI)	<i>p</i> Value	OR (95%CI)	<i>p</i> Value
<b>A. Univariate logistic regression analysis for baseline factors predicting worsening frailty according to sex</b>				
Age (per 1SD)	1.43(0.92–2.22)	0.108	1.28(0.93–1.75)	0.129
Body mass index (per 1SD)	1.18(0.77–1.82)	0.450	1.30(0.94–1.78)	0.108
Skeletal muscle mass index (SMI) (per 1SD)	0.78(0.49–1.22)	0.268	1.05(0.76–1.44)	0.783
Grip power (per 1SD)	<b>0.57(0.34–0.95)</b>	<b>0.022</b>	0.82(0.58–1.14)	0.232
Normal gait speed (per 1SD)	0.76(0.49–1.18)	0.213	0.97(0.70–1.33)	0.837
Cre/CysC (per 1SD)	0.71(0.44–1.15)	0.149	0.87(0.63–1.22)	0.420
eGFRcys/eGFRcre (per 1SD)	0.71(0.44–1.15)	0.145	0.83(0.59–1.16)	0.265
Hemoglobin (per 1SD)	0.79(0.52–1.21)	0.285	0.94(0.68–1.30)	0.714
Albumin (per 1SD)	0.79(0.51–1.22)	0.287	0.96(0.70–1.33)	0.806
Number of teeth (per 1SD)	0.80(0.53–1.22)	0.307	0.84(0.62–1.13)	0.255

Table 5. Cont.

Variables	Men		Women	
	OR (95%CI)	p Value	OR (95%CI)	p Value
Tongue pressure (per 1SD)	0.72(0.46–1.12)	0.139	1.08(0.78–1.50)	0.632
Low articulatory oral motor skills (per 1SD)	0.91(0.59–1.40)	0.657	0.78(0.57–1.07)	0.128
<b>Item of oral frailty</b>				
Fewer teeth (absence = 0, presence = 1)	<b>2.74(1.11–6.75)</b>	<b>0.028</b>	1.28(0.64–2.53)	0.487
Difficulty in chewing (absence = 0, presence = 1)	1.57(0.53–4.70)	0.427	<b>2.26(1.07–4.75)</b>	<b>0.037</b>
Difficulty in swallowing (absence = 0, presence = 1)	1.63(0.60–4.40)	0.340	<b>2.31(1.18–4.52)</b>	<b>0.015</b>
Dry mouth (absence = 0, presence = 1)	1.51(0.56–4.05)	0.418	1.49(0.76–2.92)	0.297
Low articulatory oral motor skills (absence = 0, presence = 1)	1.20(0.50–2.89)	0.682	1.06(0.54–2.09)	0.869
<b>OF-5 score (per 1 point)</b>	<b>1.65(1.06–2.57)</b>	<b>0.023</b>	<b>1.36(1.05–1.76)</b>	<b>0.019</b>
<b>Oral frailty states</b>				
Oral frailty, $\geq 2$ OF-5 score (absence = 0, presence = 1)	<b>4.01(1.56–10.33)</b>	<b>0.004</b>	1.46(0.77–2.80)	0.248
<b>B. Multivariate logistic regression analysis for baseline factors associated with worsening of frailty according to sex</b>				
Age (per 1SD)	0.98(0.56–1.70)	0.934	1.15(0.81–1.64)	0.436
Body mass index (per 1SD)	1.53(0.82–2.84)	0.172	1.39(0.88–2.20)	0.156
Grip power (per 1SD)	0.62(0.34–1.13)	0.106	0.96(0.64–1.42)	0.820
Normal gait speed (per 1SD)	0.86(0.53–1.42)	0.564	1.13(0.80–1.62)	0.479
Skeletal muscle mass index (SMI) (per 1SD)	0.82(0.39–1.71)	0.592	0.86(0.54–1.37)	0.536
Cre/CysC (per 1SD)	0.91(0.53–1.58)	0.740	1.03(0.72–1.49)	0.863
<b>OF-5 score (per 1 point)</b>	1.49(0.91–2.45)	0.107	<b>1.32(1.00–1.75)</b>	<b>0.049</b>
<b>C. Multivariate logistic regression analysis for baseline factors associated with worsening of frailty in men</b>				
Age (per 1SD)	0.96(0.55–1.69)	0.894		
Body mass index (per 1SD)	1.50(0.80–2.82)	0.209		
Grip power (per 1SD)	0.61(0.33–1.11)	0.097		
Normal gait speed (per 1SD)	0.87(0.52–1.44)	0.582		
Skeletal muscle mass index (SMI) (per 1SD)	0.83(0.39–1.75)	0.622		
Cre/CysC (per 1SD)	0.94(0.54–1.64)	0.830		
<b>Oral frailty states</b>				
Oral frailty, $\geq 2$ OF-5 score (absence = 0, presence = 1)	<b>3.38(1.23–9.28)</b>	<b>0.018</b>		

OR, odds ratio; CI, confidence interval; SD, standard deviation; Cre, creatinine; CysC, cystatin C; eGFRcys, cystatin-based estimated glomerular filtration rate; eGFRcre, creatinine-based estimated glomerular filtration rate; OF-5, oral frailty five-item checklist.

A multivariate logistic regression analysis was performed using age, BMI, grip strength, gait speed, SMI, Cre/CysC ratio, and OF-5 score, which are associated with frailty and sarcopenia, as explanatory variables. In men, grip strength was identified as a significant risk factor in univariate analysis; consequently, OF-5 score did not remain a significant risk factor in multivariate analysis. However, in women, the OF-5 score remained a significant risk factor (Table 5B).

The same multivariate logistic regression analysis was repeated for men by adjusting the OF-5 score to  $\geq 2$ , and a significant difference remained (Table 5C).

#### 4. Discussion

This study offers a comprehensive examination of sex-specific differences in oral frailty and related factors in a cohort of older adults, highlighting the important aspects of oral and physical function. Oral dysfunction is regarded as a significant contributor to systemic decline. Oral frailty is defined as a mild decline in oral functions during the early and

reversible stages of frailty. Many community-dwelling older people have reduced oral function or oral hypofunction, which is significantly associated with frailty and aging. Appropriate evaluation of oral function and effective intervention to suppress oral function deterioration may be effective in extending the healthy life expectancy of older people [34].

Frailty, in contrast, is considered a state of increased vulnerability to disease onset and physical dysfunction due to a decline in several functions associated with aging. Sarcopenia, a state of reduced muscle mass, is a typical physical frailty phenotype. The prevalence of oral frailty is similar between men and women, affecting >40% of the population. The 40% prevalence of oral frailty was in agreement with several previous reports [4,35,36].

Women tended to report more fatigue and anemia, whereas men reported greater muscle strength, muscle mass, and tongue pressure. These findings underscore the need to consider sex-based physiological differences when evaluating frailty and sarcopenia, particularly oral-health-related parameters.

One of the key results of this study was the significant association between oral frailty and reduced physical functions, such as walking speed, muscle mass, and tongue pressure, confirming an intricate link between systemic frailty and oral health. In both sexes, a higher OF-5 score of  $\geq 2$ , which indicates a diagnosis of oral frailty, was correlated with diminished physical and oral functions, including grip strength and the number of teeth. These findings suggest that oral frailty can serve as a valuable early marker of declining physical capacity and could help identify individuals at risk of sarcopenia or broader systemic frailty.

The longitudinal component of this study provides further insights into the progression of oral frailty. Over a follow-up period of 2–3 years, significant declines in cystatin-C-related indices (Cre/CysC and eGFRcys) and oral functions, including tongue pressure and albumin levels, were observed, particularly in women. These changes were not accompanied by significant alterations in muscle strength, walking speed, or other systemic parameters, indicating that oral frailty may progress rapidly or independently of systemic physical decline. This underlines the importance of targeted interventions focusing on oral health to mitigate the progression of frailty.

Importantly, the logistic regression analysis identified distinct risk factors for the worsening of oral frailty. In men, reduced grip strength and tooth loss were significant predictors, consistent with previous studies linking oral health to systemic physical capacity. In contrast, impaired chewing and swallowing functions were more prominent risk factors in women, underscoring the role of oral function in overall health deterioration in older women. Notably, although the OF-5 score was a significant risk factor for worsening frailty in both sexes, its effect was more pronounced in women, suggesting a potential sex difference in the relationship between oral health and progression of systemic frailty.

Approximately one-fourth of the participants demonstrated improvements in J-CHS scores for both men and women during the second survey. Neither pharmacological nor exercise interventions specifically targeting frailty were implemented. Therefore, the observed improvement in J-CHS scores may be attributed to increased activity levels among older adults following the lifting of COVID-19-related restrictions in Japan, such as the state of emergency declarations.

This interpretation is supported by the findings in Table 3, which show a decrease in the number of participants categorized under 'Low Activity' during the second survey for both men and women. During the first survey, many older adults had limited outdoor activities due to self-imposed restrictions stemming from the pandemic. In contrast, by the second survey, a substantial number of these older adults had resumed their regular activities, potentially explaining the observed changes.

Despite these significant findings, it is important to acknowledge the limitations of this study. The sample size of frail individuals was relatively small, limiting the ability

to detect subtle differences between sexes or within subgroups. Additionally, although longitudinal data were collected, the follow-up period may not have been sufficiently long to fully capture the trajectory of oral frailty in this population. Future studies with larger, more diverse cohorts and extended follow-up periods are needed to clarify the dynamics between oral and systemic frailty, and to identify effective interventions that target both domains. Moreover, the inclusion of healthy volunteers may have influenced the representativeness of the study population. Previous reports indicated that the prevalence of frailty, according to the J-CHS criteria, is approximately 10% among older adults in the Japanese populations [37–39]. However, in this study, the prevalence of frailty was considerably lower at 3.2% for men and 5.0% for women.

During the 2–3-year observation period, no significant decline in grip strength, gait speed, or muscle mass was observed. However, even with a limited number of participants and short observation period, a diagnosis of oral frailty using the OF-5 was associated with an increase in J-CHS scores, suggesting that the OF-5 score is significantly linked to the worsening of long-term physical frailty. Oral frailty, as assessed using the OF-5, was also significantly associated with higher J-CHS scores after adjusting for age, BMI, grip strength, gait speed, SMI, and other frailty-related factors. These findings indicate that the OF-5 is a promising predictor of frailty onset. The novelty of this study lies in the significant relationship between OF-5 score and other frailty indices.

In the original article that introduced the OF-5 in 2023, difficulty in chewing, difficulty swallowing, and dry mouth were evaluated using subjective questionnaires, whereas objective data from dental examinations were used to assess the number of teeth and articulatory oral motor skills. Similarly, the present study evaluated articulatory oral-motor skills via ODK, and a dentist assessed the number of teeth, to objectively evaluate these aspects.

The results of the objective evaluation of ODK and subjective evaluation via questionnaires were in good agreement [39]. In April 2024, a joint consensus statement on “Oral Frailty” in Japan suggested that the objective assessment of ODK is no longer necessary and can be replaced with the following question: “Have you had difficulty with clear pronunciation recently?”. This statement also allows for a self-reported assessment of whether respondents had >20 teeth. Future studies should investigate whether oral frailty, as assessed by the OF-5 subjective questionnaire, is associated with lower muscle mass, slower gait speed, and reduced physical function in cross-sectional studies, and whether it significantly correlates with the progression of physical frailty in longitudinal studies.

## 5. Conclusions

In conclusion, this study provides valuable evidence on the relationships between oral frailty, systemic frailty, and risk factors in older adults. These findings emphasize the importance of integrating oral health assessments into frailty screening protocols, particularly for older women in whom oral dysfunction may serve as an early marker of systemic health decline. These insights have implications for the development of interventions aimed at preventing or mitigating frailty and its associated adverse outcomes in aging populations.

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## REVIEW ARTICLE

# Multidimensional insights about healthy aging from the cohort study for community-dwelling older adults: The SONIC study

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The Septuagenarian, Octogenarian, Nonagenarian Investigation with Centenarian (SONIC) study was established considering population trends and targeting the oldest-old population. This study is unique in its narrow age range, consisting of individuals aged in their 70s, 80s and 90s, and is carried out as a longitudinal cohort study with follow ups every 3 years in urban and rural areas of eastern and western Japan. The aims of the SONIC study are primarily to clarify aging-related changes in multiple domains of human functioning, explore the dynamics of interactions among these domains and identify factors influencing healthy longevity, including psychological well-being. Investigations spanning medical, dental, nutritional, psychological and sociological fields were carried out by specialists, yielding important results. Findings from the SONIC study in Japan, a super-aged society, will provide valuable information for addressing the global aging trend. This review introduces the results from the SONIC study, and explains factors contributing to healthy longevity and happy aging. *Geriatr Gerontol Int* 2025; 25: 346–355.

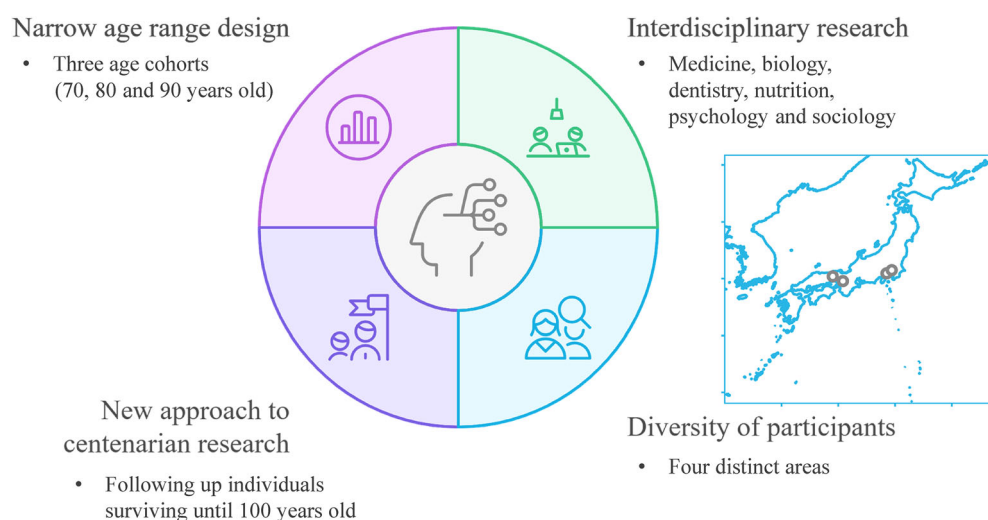
**Keywords:** healthy longevity, longitudinal cohort, multidimensional aspects, oldest-old population, SONIC study.

## Introduction

Recent epidemiological studies on aging have identified factors related to health outcomes and longevity. In Japan, life expectancy has increased to 81.1 years for men and 87.1 years for women in 2024. The number of centenarians has surpassed 95 000, and is expected to quintuple by 2060. The most rapidly growing age group over the next decade will be the oldest-old ( $\geq 85$  or  $\geq 90$  years). The Septuagenarian, Octogenarian, Nonagenarian Investigation with Centenarian (SONIC) study was established with this trend in mind, targeting the oldest-old population (Fig. 1).<sup>1</sup>

The SONIC study has two primary objectives: first, to clarify aging-related changes across various domains of human functioning and the interactions between these domains, and second, to identify factors that influence healthy longevity, including psychological well-being. The SONIC study's framework includes several unique characteristics. The first feature is its interdisciplinary nature, involving researchers from diverse fields, including medicine, biology, dentistry, nutrition, psychology and sociology.

The second characteristic is the participant diversity. The study spans four urban and non-urban areas in Kanto (Itabashi Ward and Nishitama area: Hinohara Village, Hinode Town, Okutama Town,



**Figure 1** The features of the Septuagenarian, Octogenarian, Nonagenarian Investigation with Centenarian (SONIC) study.

and part of Ome City) and Kansai (Itami City and Asago City), each with distinct demographic and regional attributes. Participants represent varied sociodemographic backgrounds, including education, work experience, family structure and residential environment.

A third unique feature is the study's narrow age range design, which sets up three age cohorts (70, 80 and 90 years), each with a 3-year age span rather than a broader range. Follow-up surveys are carried out every 3 years for each cohort. By maintaining a narrow age range, the results can reflect individual differences without needing age as an adjustment variable.

A fourth feature positions the SONIC study as a novel approach to centenarian research, as indicated by its acronym. The study includes a large cohort with the potential to reach 100 years. For example, of the oldest cohort (90th) venue survey participants recruited in 2012 for the first time ( $n = 325$ , 140 men, 185 women), >47 survived until 100 years-of-age, and two could participate in the invitation-type study over the age of 100 years.

Participants completed various examinations in a random order at survey venues: verifying pre-filled questionnaires, answering additional psychosocial questions in interviews, undergoing cognitive tests, physical function tests, medical tests (including blood samples, blood pressure, breathing capacities, carotid ultrasonography) and dental assessments. (including natural teeth count, occlusal force, taste sensitivity and masticatory performance).

Data collection varied by cohort due to participant volume. The first wave began in 2010 for the 70s cohort, 2011 for the 80s cohort and 2012 for the 90s cohort. The second wave started in 2013 in the same sequence. For the 90s cohort, due to lower participation, additional recruitment occurred in 2015 and 2018.

All participants were recruited through residential registries, providing their name, sex, birth date and address within specific birth date ranges. These ranges differed slightly across the four study regions due to local government database schedules, time allocations for data transcription and regional recruitment start dates.

The SONIC study uses an invitation-based survey method, inviting participants to nearby survey venues, primarily local community centers owned by local governments. Invitations explained the study's purposes and methods. Participants confirmed their participation by returning an agreement letter specifying their preferred date and time. Additionally, invitees received a questionnaire booklet covering socioeconomic status, psychosocial variables, medical and dental conditions, and food intake to complete in

advance. Participants who could not fill out the booklet themselves or with help from family completed it at the survey venue.

Tables 1 and 2 show participant totals and follow-up study participation by age cohort and sex.

## Medical aspects

The main aim of the SONIC study is to investigate factors associated with healthy longevity, focusing on the decline of physical and cognitive function, diseases that influence the definition of healthy longevity, and related biomarkers, which are crucial for health promotion in Japan's super-aging society.<sup>2</sup>

### Genetic factors in longevity

In the SONIC study, we explored genetic factors, focusing on genes associated with longevity and cardiovascular diseases (CVD). The Forkhead box transcription factor 3A (*FOXO3A*) gene is a strong candidate gene for longevity.<sup>3,4</sup> It has been reported that the single-nucleotide polymorphism, rs2802292, in *FOXO3A* is associated with both longevity<sup>3</sup> and protection against CVD<sup>5</sup> in Japanese Americans. We investigated the association of rs2802292 in *FOXO3A* with heart diseases in participants aged 70 and 80 years in the SONIC study, finding a newly clarified sex difference in this association.<sup>6</sup> Additionally, rs2802292 in *FOXO3A* was associated with hypertension in older women in the SONIC study.<sup>7</sup> The tumor suppressor genes, *CDKN2A/CDKN2B*, and the long non-coding RNA, *ANRIL*, at chromosome region 9p21 are known susceptibility loci for CVD.<sup>8</sup> We examined the association of several single-nucleotide polymorphisms in this region with CVD and longevity among the SONIC study participants and centenarians/supercentenarians, finding a positive association with CVD, but not with longevity.<sup>9</sup> We also explored mechanisms involving the expression of *ANRIL* and *CDKN2A/CDKN2B* in SONIC participants with carotid atherosclerosis.<sup>10</sup>

### Factors related to cognitive decline and dementia

Dementia is a major threat to healthy longevity in Japan. In the SONIC study, we examined factors related to cognitive decline and dementia, particularly lifestyle-related diseases, such as hypertension, dyslipidemia and diabetes mellitus, which are prevalent in older adults and are potential risk factors for cognitive decline.<sup>11</sup>



Survey years	Sampled			Total		1st Wave			2nd Wave			3rd Wave			4th Wave			5th Wave						
	M	F	Total	M	F	Total	70	2010	2013	2014	2016	2017	2019	2020	2022	2023	2010	2011	2014	2015	2018	2021	2023/24	2027
70	<i>n</i>	2247	2451	4698	576	653	1229	478	521	999	426	484	910	380	442	822	272	278	550	198	221	419		
	%				25.6	26.6	26.2	83.0	79.8	81.3	74.0	74.1	74.0	66.0	67.7	66.9	47.2	42.6	44.8	34.4	33.8	34.1		
80	<i>n</i>	2406	3451	5857	582	631	1213	460	513	973	487	497	984	272	268	540	85	83	168	60	59	119		
	%				24.2	18.3	20.7	79.0	81.3	80.2	83.7	78.8	81.1	46.7	42.5	44.5	14.6	13.2	13.8	10.3	9.4	9.8		
90	<i>n</i>	8441	15 566	24 007	422	461	883	393	414	807	151	189	340	57	51	108								
	%				5.0	3.0	3.7	93.1	89.8	91.4	35.8	41.0	38.5	13.5	11.1	12.2								

F, female; M, male.

**Table 2** Number of mail survey participants during the COVID-19 pandemic

		2020				2021			
		Summer		Winter		Summer		Winter	
		M	F	M	F	M	F	M	F
70		366	456	298	353	339	410	300	358
80		269	306			178	227		
90	2012	24	42			10	23		
	2015	63	71			39	46		
	2018	106	94			70	71		

Mail surveys were performed four times for participants aged in their 70s, and twice for participants aged in their 80s and 90s.

F, female; M, male.

Blood pressure (BP) level is associated with cognitive decline, showing age-related differences.<sup>12,13</sup> Daily BP variability, measured at home, is also a factor associated with cognitive decline in individuals age >85 years.<sup>14</sup> Additionally, white coat and masked hypertension showed distinct characteristics across age groups.<sup>15</sup> Comorbid hypertension and diabetes mellitus<sup>16</sup> or dyslipidemia<sup>17</sup> were strong predictors of future cognitive decline. Silent strokes, commonly seen in older adults with hypertension, were also identified as a risk factor for cognitive decline.<sup>18</sup> Conversely, cognitive decline might lead to anemia in older adults.<sup>19</sup> Biomarkers for cognitive decline identified in the SONIC study include inflammation indicators, such as serum A/G ratio,<sup>20</sup> high-sensitivity C-reactive protein,<sup>21</sup> respiratory function<sup>22</sup> and a novel N-glycopeptide.<sup>23</sup> Daily alcohol intake might increase cognitive decline risk, whereas wine consumption might offer protective benefits.<sup>24</sup>

### Factors related to physical frailty/sarcopenia and long-term care

The SONIC study also explored factors contributing to physical frailty and sarcopenia. Advanced age and musculoskeletal diseases are strongly associated with physical frailty.<sup>25</sup> However, we identified factors associated with future physical frailty that were stratified by the presence of musculoskeletal diseases.<sup>26</sup> Heart disease was a significant risk factor for future frailty in community-dwelling older adults.<sup>27</sup> Age differences were also evident in the associations between sleep status and frailty,<sup>28</sup> and the relationship of frailty with heart disease and social factors.<sup>29</sup> Low BP control among participants with hypertension at age 80 years was found to increase frailty risk.<sup>13</sup> Furthermore, no association was observed between daily salt intake and systolic BP in participants with physical frailty. In contrast, robust participants showed a positive association between systolic BP and salt intake.<sup>30</sup> Thus, salt intake restriction might be careful in older adults with frailty. Novel biomarkers related to frailty and sarcopenia included plasma adiponectin,<sup>31</sup> serum vitamin D<sup>32</sup> and the serum creatinine/cystatin C ratio.<sup>33</sup> Bodyweight loss was a strong predictor of frailty/sarcopenia, and we examined age-specific factors associated with weight loss in the 70s, 80s and 90s cohorts.<sup>34</sup> For long-term care certifications, diseases, such as stroke, musculoskeletal diseases and cancer, were linked to social subgroups.<sup>35</sup> Slow walking speed was a predictor of future long-term care certifications.<sup>36</sup> During the COVID-19 pandemic, we observed declines in daily activities and identified related factors in the SONIC study.<sup>37</sup>

### Characteristics of diseases and geriatric syndrome in older adults

In the SONIC study, we investigated disease characteristics in older adults. Anemia is common among older adults and is a major factor in geriatric syndromes, potentially linked to low self-rated health in community-dwelling older adults.<sup>38</sup> Depressive symptoms, another common geriatric syndrome, might correlate with IADL decline, with differences across age groups.<sup>39</sup> Strict diabetes management might negatively impact mental health in older adults.<sup>40</sup> For atherosclerosis risk, we found that elevated uric acid levels were associated with carotid atherosclerosis in women at age 70 years, suggesting uric acid as a risk factor limited to certain age groups.<sup>41</sup> Additionally, adequate protein intake might not only slow chronic kidney disease progression in older adults, but also protect against frailty.<sup>42</sup>

### Polypharmacy in older populations

Polypharmacy is a significant health concern in older adults, leading to frailty and increased healthcare costs in Japan. The SONIC study found that higher neuroticism in men and lower extraversion in women were associated with polypharmacy.<sup>43</sup> Taking ≥10 medications was linked to reduced grip strength and walking speed, whereas taking one to four medications was associated with increased walking speed.<sup>44</sup> Polypharmacy was also linked to a higher risk of falls.<sup>45</sup> Effective health management for older adults should emphasize minimizing unnecessary medication to improve health outcomes.

### Validation of the health assessment questionnaire in older adults

To prevent lifestyle-related diseases and frailty, the Japanese government has implemented a screening program for older adults, especially those aged ≥75 years. This program includes a 15-item health assessment questionnaire focusing on frailty (12 items), general health (2 items) and smoking habits (1 item). Confirmatory factor analysis showed that a model with a higher-order factor of “frailty” with five subfactors (physical function, nutritional status, oral function, cognitive function and social aspects) was a good fit.<sup>46</sup> The 12 frailty-related items showed high predictive power for frailty prevalence based on the Japanese Cardiovascular Health Study criteria, with cut-off points of 3 and 4, yielding 55.9% sensitivity and 85.8% specificity, respectively.<sup>47</sup> These results suggest the questionnaire is effective for screening frailty in community-dwelling older adults.

## Dental and nutritional aspects

### *Tooth loss and oral function*

Tooth loss is one of the most prevalent oral health issues associated with aging. Our studies have shown that a reduction in posterior occlusal support is linked to an increased risk of tooth loss.<sup>48,49</sup> Hatta *et al.* reported that dental implants placed in free-end edentulous spaces might help extend the longevity of adjacent teeth.<sup>50</sup> The mechanism linking reduced occlusal support to tooth loss likely involves increased occlusal load or trauma to the remaining teeth. Tooth loss has a profound impact on masticatory function. Higashi *et al.* found that reduced occlusal support was associated with decreased masticatory performance.<sup>51</sup> Seto *et al.* showed that the number of teeth, occlusal force and depression can influence subjective evaluations of chewing difficulty.<sup>52</sup> Additionally, Hatta *et al.* showed that although tongue pressure decreased significantly over time, occlusal force did not, suggesting that tongue muscles might be more susceptible to aging than masticatory muscles.<sup>53</sup>

### *Impact of periodontal disease*

Miki *et al.* identified that the periodontal inflamed surface area, which measures the severity and extent of periodontitis, was associated with high-sensitivity C-reactive protein, a marker of systemic inflammation.<sup>54</sup> Kitamura *et al.* suggested that maintaining good periodontal health might be important for preventing atherosclerosis development and progression.<sup>55</sup> Furthermore, periodontal probing depth correlated significantly with occlusal force and self-rated food acceptability, even among individuals with complete posterior occlusal contacts and no tooth mobility.<sup>56</sup>

### *Oral health-related quality of life*

Takeshita *et al.* reported that personality traits are associated with oral health-related quality of life, independently of objective oral health measures.<sup>57</sup> Mihara *et al.* suggested that oral health-related quality of life correlates significantly with the degree of gerotranscendence, independent of objective oral health status.<sup>58</sup>

### *Association with nutritional intake*

Inomata *et al.* examined the relationship between occlusal force, number of teeth and nutritional intake using a self-administered diet quality questionnaire.<sup>59,60</sup> The results showed that lower occlusal force was significantly associated with lower intake of vegetables and antioxidant vitamins. In the 70s age group, the number of teeth was associated with intake of calcium and zinc, whereas no significant associations were observed in the 80s group, suggesting that nutrient intake might be more closely related to occlusal force than to the number of teeth. Inomata *et al.* also reported that removable partial denture wearers consumed more vegetables, n-3 fatty acids, calcium, vitamin A and dietary fiber than non-wearers.<sup>61</sup> Mameno *et al.* found that occlusal force and occlusal contact area were significantly associated with dietary hardness.<sup>62</sup> These findings underscore the importance of prosthetic rehabilitation for maintaining adequate nutritional intake. Additionally, Fukutake *et al.* found that oral stereognostic ability was significantly associated with green and yellow vegetable intake in older complete denture wearers.<sup>63</sup> Tada *et al.* suggested that reduced posterior occlusion was associated with an increased prevalence of atherosclerosis due to declines in

key dietary intakes, such as fish, shellfish, vitamin B6 and n-3 fatty acids.<sup>64</sup>

### *Association with physical function*

Fukutake *et al.* assessed the impact of occlusal force and the number of teeth on body mass index reduction in older adults over a 3- to 6-year follow-up period.<sup>65</sup> The analysis showed that although the number of teeth was not significantly associated with body mass index reduction, lower occlusal force correlated with a decline in body mass index, suggesting that reduced occlusal force might contribute to weight loss. Okada *et al.* found that slow walking speed (<0.8 m/s) was significantly linked to occlusal force, with lower protein intake mediating this association.<sup>66</sup> Hatta *et al.* concluded that a lack of posterior occlusal support at baseline predicted reduced walking speed over 3 years.<sup>67</sup> These findings suggest that dental treatments to preserve occlusal support might help prevent a decline in walking speed. Our studies also identified that occlusal force, masticatory performance and tongue pressure were significantly associated with grip strength.<sup>68,69</sup> Murotani *et al.* found that tongue-lip motor and swallowing functions were good indicators of walking speed.<sup>69</sup> These measures could serve as proxies for physical decline in older adults, and may be valuable for screening physical frailty.

### *Association with cognitive function*

In our study, the relationship between cognitive function and oral status was examined from multiple perspectives.<sup>70–76</sup> Ikebe *et al.* comprehensively explored this relationship, concluding that occlusal force correlated with cognitive function.<sup>73</sup> Path analysis showed both direct and indirect associations through dietary intake, even after controlling for potential confounding factors. Okubo *et al.* reported that a diet rich in vegetables, soy products, fruits, fish, and foods with dietary hardness might benefit cognitive function in older adults.<sup>71,74</sup> These findings suggest that decreased oral function might coincide with early cognitive decline. Longitudinal studies also support the role of maintaining occlusal force in preventing cognitive decline. Hatta *et al.* found that the number of teeth and occlusal force were associated with cognitive function at follow up, even after adjusting for other risk factors.<sup>75</sup> Mameno *et al.* observed that the intake of green and yellow vegetables, and meat, influenced by the number of teeth, was associated with cognitive function in a 9-year study.<sup>76</sup> These findings imply that preserving teeth and occlusal force might protect against cognitive decline.

Conversely, the impact of cognitive function on taste and dietary habits has also been studied. Uota *et al.*<sup>77</sup> and Ogawa *et al.*<sup>78</sup> evaluated taste sensitivity for sweetness, bitterness, saltiness and sourness. They found that individuals with lower cognitive function had reduced sensitivity to saltiness.<sup>77,78</sup> Additionally, sex was identified as a major factor affecting taste sensitivity, with sensitivity to sweetness being less affected by aging.<sup>77–79</sup> Fukutake *et al.* reported that cognitive decline was associated with reduced oral perception, which is crucial for effective mastication, appetite and food enjoyment.<sup>80</sup>

### *Association with psychological status*

Akema *et al.* assessed the relationship between occlusal force and psychological frailty, defined as a World Health Organization-5 Well-Being Index score of <13 and a Montreal Cognitive Assessment in Japanese score of <23.<sup>81</sup> After controlling for potential confounding factors, occlusal force was associated with a reduced

prevalence of psychological frailty. Mameno *et al.* found a significant association between oral function and mental health status, mediated by fruit and vegetable intake, and social interactions.<sup>82</sup>

## Summary

Tooth loss and reduced occlusal force are linked to dietary changes, weight loss and declines in walking speed, suggesting that maintaining oral function is crucial for physical health in community-dwelling older adults. The findings also underscore the relationship between oral function and cognitive and psychological health. Maintaining oral health is essential for promoting the overall well-being of older adults.

## Psychosocial aspects

The psychosocial study in the SONIC study has two main aims. The first is to develop appropriate scales and confirm the applicability of pre-existing tools for evaluating long-term aging-related changes. The second aim is to clarify the influence of psychosocial factors on physical and cognitive function, and psychological well-being, covering a wide age range in the older population. Both qualitative and quantitative approaches were applied.

Regarding psychological well-being, we reported on elements that constitute the well-being of centenarians and the process of achieving this state, based on interviews with centenarians.<sup>83,84</sup> These unique findings helped develop a core framework to uncover the structure and longitudinal change of well-being, especially in the oldest-old population. One example is the development of the Japanese version of the Valuation of Life scale.<sup>85</sup> The Valuation of Life scale includes a positive evaluation of the future, and positive emotions that compensate for the loss of physical and social resources. We found that the Valuation of Life scale is related to mental health and individual differences in the desired remaining years of life. We also developed and confirmed the applicability of the Gerotranscendence Scale. The Gerotranscendence Scale describes a shift in behavior from active engagement in life to innate disengagement, with a change in thinking from a realistic view to a more abstract and cosmic view. We found that the construct of gerotranscendence differs for Japanese older people compared with Western populations, leading us to develop a Japanese-specific scale.<sup>86,87</sup> In relation to psychological well-being, a higher Gerotranscendence Scale score is associated with better subsequent mental health<sup>6</sup> and with greater well-being in individuals who experience a decline in physical functioning.<sup>88</sup> Longitudinal data over 9 years from four collection points showed that the Gerotranscendence Scale score increases in the 70s, and remains stable in the 80s and 90s, showing positive psychological development through the oldest years.<sup>88</sup>

Using the SONIC study's wide age coverage, we reported age-related physical and psychosocial characteristics among participants aged in their 70s, 80s and 90s. In physical functioning, using the Short Physical Performance Battery tool, we found only slight differences between the 70s and 80s, whereas differences were larger between the 80s and 90s.<sup>89</sup> Regarding social activities, we reported fewer leisure activities in the older age groups.<sup>90</sup> These studies highlight the importance of personal and internal resources for the daily activities of older adults. We also confirmed that the Montreal Cognitive Assessment is a highly reliable tool for evaluating cognitive function across a wide age range in a normal population, showing both construct validity and reliability.<sup>91</sup> An analysis of factors influencing well-being in participants aged in their 90s showed that living with family, economic conditions

and a sense of being useful to others are important for men and women, respectively.<sup>92</sup>

We showed the importance of lifestyle and environmental factors on health outcomes. Variables, such as educational background, work style in middle age and leisure activities in older age, were examined. Regarding current lifestyle, we reported a simple relationship between leisure activity involvement and cognitive function.<sup>93</sup> Further complex analysis showed a direct influence of leisure activities on physical and cognitive function, and mental health, which are components of successful aging.<sup>94</sup> Additionally, a reciprocal relationship between cognitive and physical function was confirmed. In interviews about lifelong job experience, the complexity of the longest-held job was related to higher memory, reasoning test scores<sup>95</sup> and global cognition.<sup>96</sup> Combined analysis of job complexity and current leisure activities showed that both variables are important for global cognition.<sup>96</sup> Regarding social participation and subjective well-being, associations varied depending on the type of social participation.<sup>97</sup> A detailed analysis showed that participation in regional organizations had the highest association with well-being compared with participation in nonprofit organizations, volunteer groups, sports clubs or hobby groups.<sup>97</sup> However, these findings are limited by the use of retrospective and single-cohort data; we are planning to confirm these results using longitudinal data.

In addition to the aforementioned studies, we introduced new methods in the SONIC study data collection. We confirmed that body analogy assists in carrying out cognitive tasks (mental rotation), even in participants aged in their late 90s,<sup>98</sup> suggesting that pre-existing cognitive frameworks can help compensate for cognitive decline throughout life. To better assess emotional states in older people, we applied short-interval sampling methods in a small sample of SONIC study participants.<sup>99</sup> Using a daily diary method, we found that emotional stability was higher in older adults compared with younger counterparts. This method was also used to analyze the relationship between pre-night sleep and daytime fatigue.<sup>100</sup> To expand this approach, a smartphone app for microscopic data sampling is in development. We also found associations between salivary testosterone levels and cognitive function in 70-year-olds.<sup>101</sup> Testing new methodologies in the SONIC study is essential for developing a gerontology-based biopsychosocial model of successful aging.

## Study under the COVID-19 pandemic

As aforementioned, the COVID-19 pandemic affected longitudinal data collection in the SONIC study. During the restricted period, we carried out a mail survey for all participants, regardless of the pre-planned invitation schedule. The mail survey enabled us to analyze longitudinal changes in participants' behavior and adaptation processes. In the early phase, participants restricted their activities, influenced by COVID-19 anxiety.<sup>37</sup> Women with lower COVID-19 anxiety had more direct interactions, whereas those with higher anxiety tended toward indirect interactions.<sup>102</sup> Additionally, younger individuals and those living in cities restricted their activities more than others.<sup>37</sup> Pre-COVID walking speed was also associated with a decrease in activity.<sup>37</sup> In contrast, we observed that 80-year-old participants increased the frequency of exercise and



social interactions in the later phase of the pandemic, with exercise frequency especially increasing among those living alone.<sup>103</sup> Details of preventive behaviors were reported based on face-to-face interviews with participants.<sup>104</sup> These studies provide new insights into older adults, highlighting a shift from viewing them as “weak and frail” to recognizing their adaptability and resilience.

## Conclusion

The SONIC study was established considering population trends and specifically targeting the oldest-old population. This study is characterized by its unique narrow-age range of participants in their 70s, 80s and 90s, and by a longitudinal cohort design with 3-year intervals, conducted in both urban and rural areas of eastern and western Japan. The main aims of the SONIC study are to clarify aging-related changes across multiple domains of human functioning, to explore the dynamics of interaction among these domains and to identify factors influencing healthy longevity, including psychological well-being. Investigations in the SONIC study are carried out through a multidimensional approach encompassing medical, dental, nutritional, psychological and sociological perspectives contributed by professionals from each field. The greatest advantage of the SONIC study is its integration of various multidimensional studies to examine factors

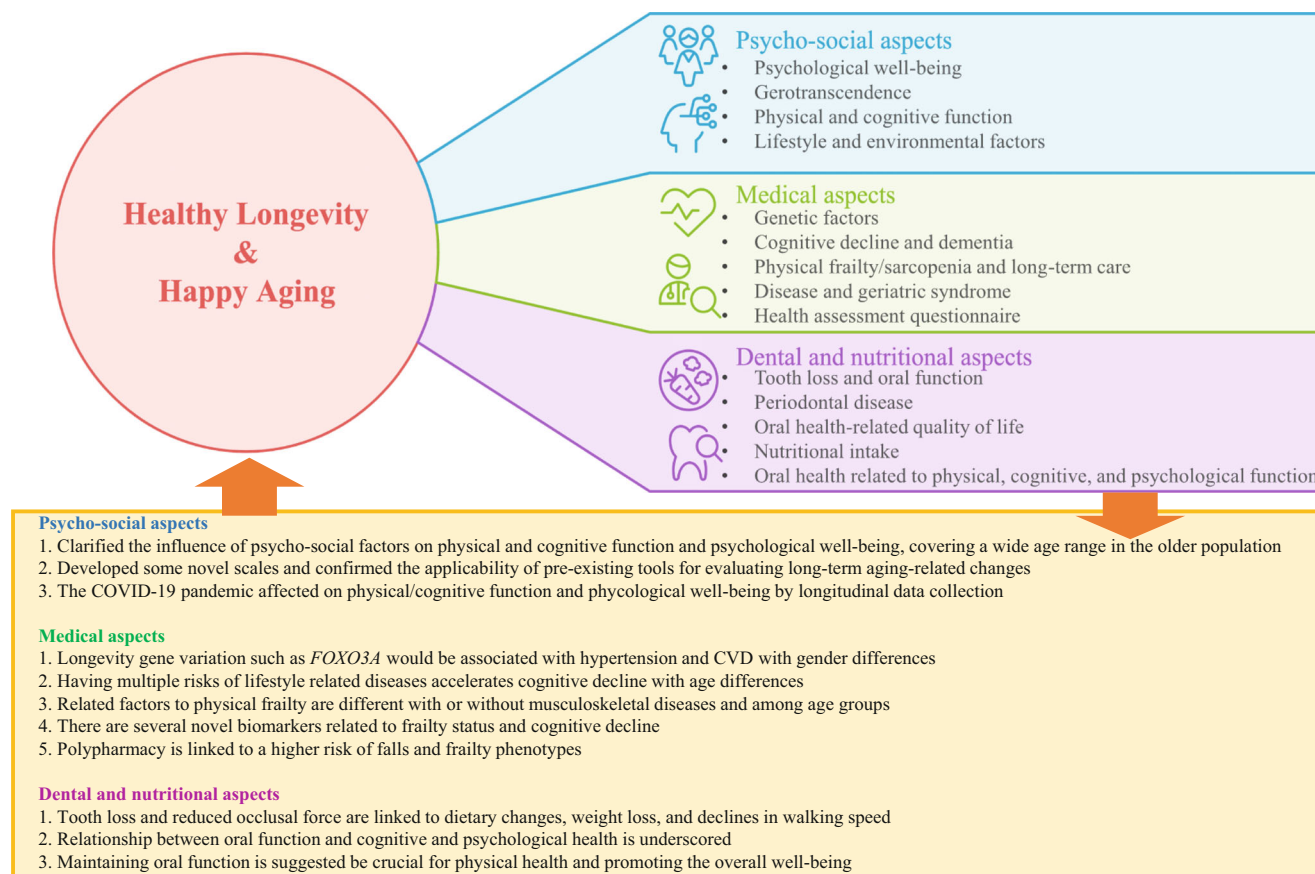
contributing to healthy longevity. Nowadays, not everyone wants to live a long life, so it is hoped that the factors that lead to happy aging and well-being will be clarified.

As detailed above, many important findings have been obtained so far, and these results are already being referenced in medical and dental care for older adults, as well as in guidelines for elderly care in Japan.

Overall, based on the study's characteristics, it can be said that old age spans a long period from age 65 years to >100 years, and physical and mental changes occur throughout this period, indicating correlations between various factors depending on age. Furthermore, it is important to consider differences between men and women, as well as regional differences. Figure 2 provides a summary of the achievements from the SONIC study. We are confident that the results obtained from the SONIC study in Japan's super-aged society will provide significant and valuable information for addressing the global aging trend.

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**Figure 2** The overview of findings from the Septuagenarian, Octogenarian, Nonagenarian Investigation with Centenarian (SONIC) study. CVD, cardiovascular disease.



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## Data Availability Statement

The data that support the findings of this study are available on request from the corresponding author. The data are not publicly available due to privacy or ethical restrictions.

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