

## QOL/PRO研究会 第16回研究セミナー

選好に基づく尺度の世界: 健康の価値を測定するとは  
15D<sup>®</sup>

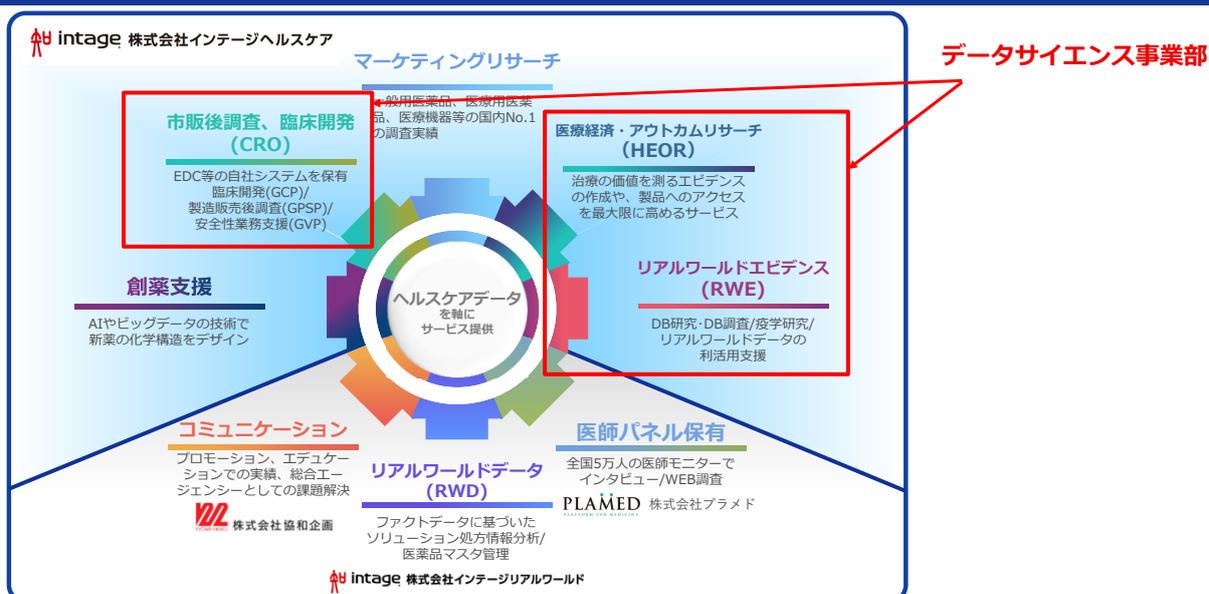
Michael LoPresti  
(マイケル ロプレスティ)

2022年3月12日 (v1.3)

 intage 株式会社インテージヘルスケア

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## Disclaimer

- 示される意見はわたし個人のものであり、所属する組織を代表するものではない
- 15Dの尺度の開発と販売と直接関わっていない。今の所。しかし、感動が良い尺度として興味深い。

## 15Dとは

- 健康関連QOLを測定するために開発された包括的な評価尺度
- 自己記入式。「**現在の健康状態**」について回答する。紙とオンライン形式が可能。
- 1992年にヘルシンキ大学の研究者（Sintonen et al.）に開発された
  - 1981年：12項目の尺度の作成
  - 1986年：12項目→15項目の尺度の作成
  - 1992年：15項目の尺度の完成
- プロファイル型とインデックス型の尺度として使用できる

開発した  
きっかけ  
について



*From “Accounts from developers of generic health state utility instruments explain why they produce different QALYs: A qualitative study” (Pickles et al 2019)*

“...a mission of developing the best instrument on the market, claiming that **existing [instruments] were of poor quality.**”

“...could not be sure that existing generic measures would capture the issues of importance to their target population.”

## 15Dの特徴

- **15項目**があつて、**各項目が5段階**である（簡便で、**5分程度**かかる。）
- 32の言語バージョンが存在する。新しい翻訳（言語的妥当性）も可能
- **日本語版**は、2013年に研究者（Okamoto et al.）によって開発されている
- 日本語版の**信頼性は確認済み**（internal consistency & test-retest reliability）ですが、**日本の換算式(表)がない**。今のところ、フィンランドとデンマーク、またノルウエーの換算式(表)が存在する。
- 回答結果をもとに「完全な健康=1」「死亡=0」と基準化された健康状態のスコアが算出可能。臨床的に重要な変化の最小量(MCID)は+/-0.015だと言われている。

## 15Dの特徴

質問紙の日本語版	あり（Okamoto et al. 2013）
対象集団	成人 ※しかし、海外では「16D」（12-18歳）と「17D」（8-11歳）という小児版もあるし、プロキシシーの利用も可能
質問項目	質問紙は15問、classification systemは①移動の程度、②視力、③聴力、④呼吸、⑤睡眠、⑥食事、⑦話し、⑧排泄、⑨日常活動、⑩精神機能、⑪不快と症状、⑫うつ、⑬悩み、⑭活力、⑮性活動・・・の15領域。
各質問の水準数	5
価値づけ(valuation)方法	<ul style="list-style-type: none"> <li>• Rating scale method (VAS) with magnitude estimation (マグニチュード推定法)。 計3つのタスク（ノルウエーでよりシンプルなアプローチを利用した）。 【フィンランド】 <a href="https://doi.org/10.1016/0160-7995(81)90019-8">https://doi.org/10.1016/0160-7995(81)90019-8</a> 【デンマーク】 <a href="https://www.sdu.dk/-/media/files/om_sdu/centre/cohere/working+papers/20087.pdf">https://www.sdu.dk/-/media/files/om_sdu/centre/cohere/working+papers/20087.pdf</a> 【ノルウエー】 ① <a href="https://doi.org/10.1016/j.jval.2017.09.018">https://doi.org/10.1016/j.jval.2017.09.018</a> (n=2256) ② 【simple版】 <a href="https://doi.org/10.1007/s11136-018-2043-9">https://doi.org/10.1007/s11136-018-2043-9</a> (=120)</li> <li>• Additive model  <math display="block">V_H = \sum_j I_j(x_j) w_j(x_j)</math>           where <math>I_j(x_j)</math> = the average relative importance people attach to various levels of dimension <math>j</math> (<math>j = 1, 2, \dots, 15</math>), and <math>w_j(x_j)</math> = the average value people place on various levels of dimension <math>j</math>.         </li> </ul>
使用許諾	Prof. Harri Sintonen, University of Helsinki, Finland <a href="http://www.15d-instrument.net/contact/">http://www.15d-instrument.net/contact/</a>
使用料	有料（学術研究から無料）

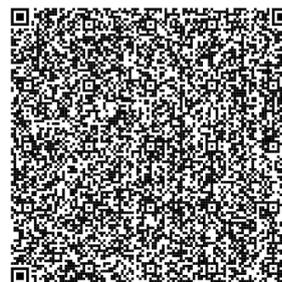
## 15Dの質問表

### 英語版



[http://www.15d-instrument.net/site/assets/files/1002/15d\\_english.pdf](http://www.15d-instrument.net/site/assets/files/1002/15d_english.pdf)

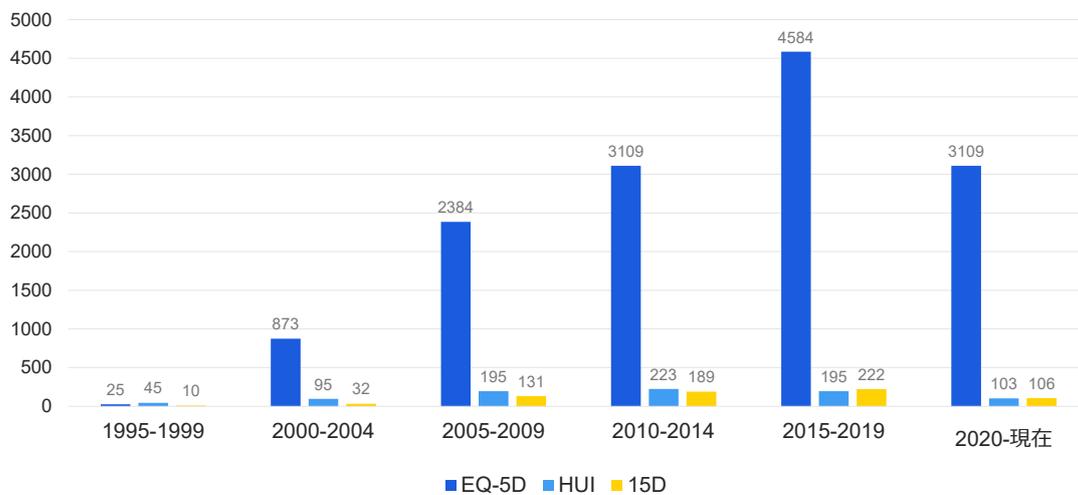
### 日本語版



<https://doi.org/10.1371/journal.pone.0061721.s001>

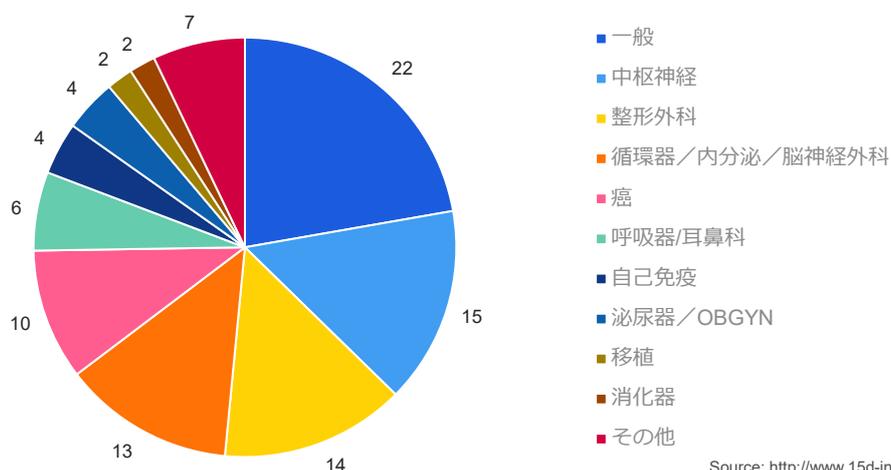
## 文献のヒット数

PubMed (Medline)でのヒット数 - 1995 ~ 現在



## 15Dの論文の治療分野

論文の治療分野 (%) (n=603)



Source: <http://www.15d-instrument.net/publications/>  
(rough coding based on titles as of March 12, 2022)

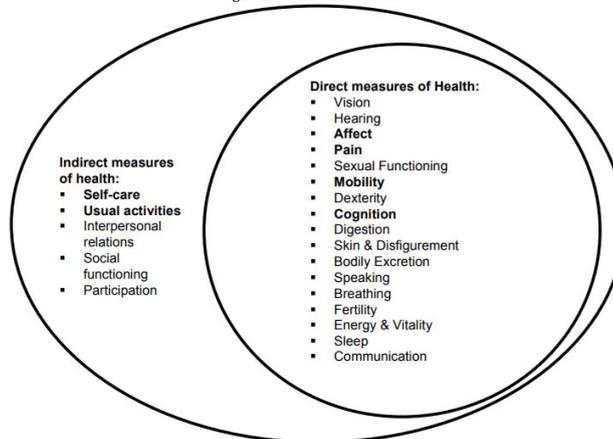
## 15Dの項目

項目	日本語	EQ-5D	HUI3
(1) Mobility (move)	移動の程度	✓ (移動)	✓ (歩行、器用さ)
(2) Vision (see)	視力		✓ (視力)
(3) Hearing (hear)	聴力		✓ (聴力)
(4) Breathing (breath)	呼吸	✓ (ふだんの活動)	
(5) Sleeping (sleep)	睡眠		
(6) Eating (eat)	食事	✓ (身の回りの管理)	
(7) Speech (speech)	話し		✓ (会話)
(8) Excretion (excret)	排泄	✓ (身の回りの管理)	
(9) Usual activities (uact)	日常活動	✓ (ふだんの活動)	
(10) Mental function (mental)	精神機能		✓ (認知)
(11) Discomfort and symptoms (disco)	不快と症状	✓ (痛み / 不快感)	✓ (痛み)
(12) Depression (depr)	うつ	✓ (不安 / ふさぎ込み)	
(13) Distress (distr)	悩み	✓ (不安 / ふさぎ込み)	
(14) Vitality (vital)	活力		
(15) Sexual activity (sex)	性活動		

# WHO-ICF

## WHO International Classification of Functioning, Disability and Health (ICF)

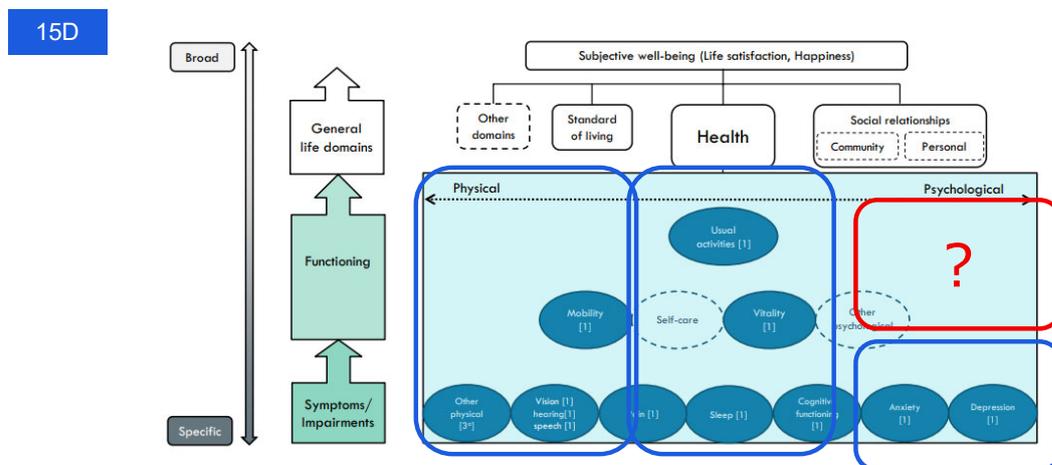
Figure 4. Domains of Health



Chatterji S et al. The conceptual basis for measuring and reporting on health. Global Programme on Evidence for Health Policy Discussion Paper No 45, WHO 2002.

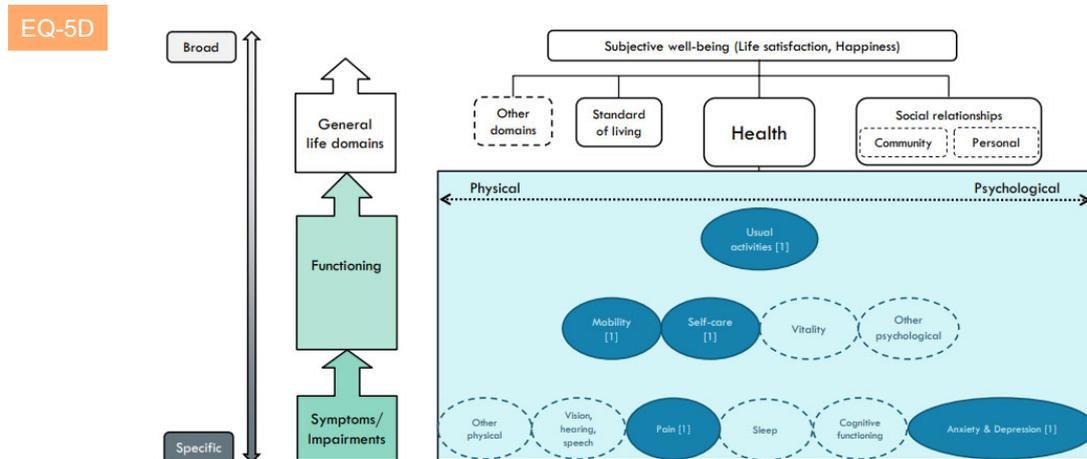
## 15Dのconceptual map (retrospective)

From “A conceptual map of health-related quality of life dimensions: key lessons for a new instrument” (Olsen et al. 2019)



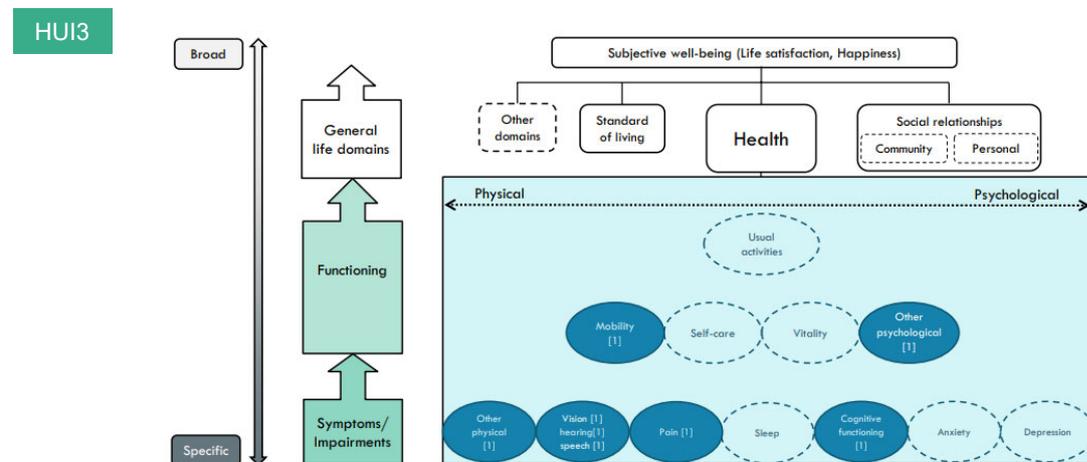
## EQ-5Dのconceptual map (retrospective)

From "A conceptual map of health-related quality of life dimensions: key lessons for a new instrument" (Olsen et al. 2019)



## HUI3のconceptual map (retrospective)

From "A conceptual map of health-related quality of life dimensions: key lessons for a new instrument" (Olsen et al. 2019)

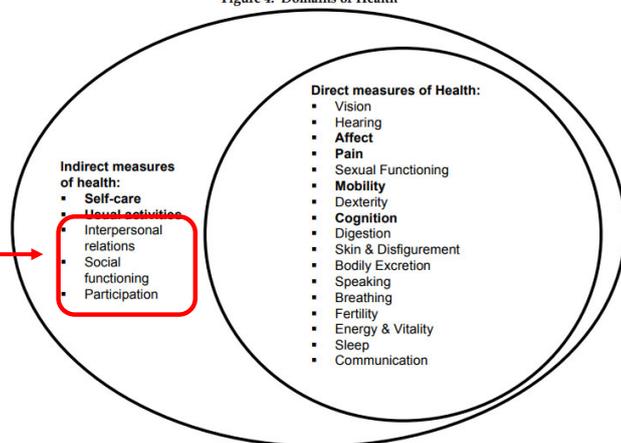


## WHO-ICF

### WHO International Classification of Functioning, Disability and Health (ICF)

Figure 4. Domains of Health

人間関係とか  
社会の参加を考  
慮するべき？



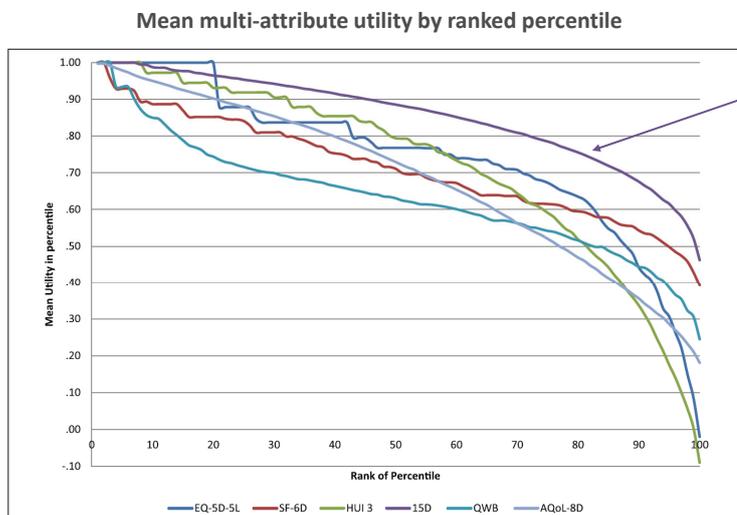
Chatterji S et al. The conceptual basis for measuring and reporting on health. Global Programme on Evidence for Health Policy Discussion Paper No 45, WHO 2002.

## 15D Health States

	Published	Attribute/ Domain	Health states	
HUI2	1992	7	24,000	
HUI3	1995	8	972,000	
EQ-5D-3L	1990	5	243	
EQ-5D-5L	2011	5	3,125	(5 levels <sup>5</sup> dimensions)
SF-6D	1998	6	18,000	
15D	1990	15	3,052,000,000	(5 levels <sup>15</sup> dimensions)

引用：能登 真一基先生。PBM (Preference-Based Measure) 活用の可能性。第7回QOL/PRO研究会  
学術集会 (2019.12.14)

## 15Dの傾向①



- 効用値が高めに出る傾向がある。
- 効用値が「compress」(圧縮)されている (=標準偏差が低い) し、effect sizeが大きいと言われている

Source: Richard et al. 2016. Measuring the Sensitivity and Construct Validity of 6 Utility Instruments in 7 Disease Areas

## 15Dの傾向②

### 15Dはより感動がある？ 特に健康状態が悪化する場合（改善でなく）??

Comparison of two utility instruments, the EQ-5D and the 15D, in the [critical care](#) setting; Vainiola et al 2010

“The distribution of the 15D scores (all positive values) was one-peaked and continuous and, importantly, only 6% of the patients evaluated their health state as perfect at 6 months after critical illness, indicating **better discriminatory power of the 15D in minor health problems**.... In conclusion, the **15D may be more sensitive than the EQ-5D in terms of discriminatory power and responsiveness to clinically important change**.”

Comparison of EQ-5D and 15D instruments for assessing the health-related quality of life in [cardiac surgery](#) patients; Heiskanen et al 2016

“**15D seems to be more sensitive to change** and indicates improvement in health utility more often than the EQ-5D does.”

Validation of EQ-5D and 15D in the assessment of health-related quality of life in [chronic pain](#); Vartiainen 2017

“**EQ-5D appeared less sensitive than 15D** especially in those patients with chronic pain who had a better health status.”

## 15Dの傾向②

でも、欠点もあるようです。また、EQ5Dより長い。

Comparison of preference-based utilities of the 15D, EQ-5D and SF-6D in patients with [HIV/AIDS](#); Stavem et al 2005

“When comparing the 15D and the SF-6D, the 15D had a larger proportion of patients scoring in the upper tenth of the scale, which **may reduce the potential to detect improvements** in quality of life, but **increase the potential to detect deterioration**.”

Validation and comparison of 15-D and EQ-5D-5L instruments in a Spanish [Parkinson’s disease](#) population sample; Garcia-Gordillo et al 2014

“**15D has better efficiency and greater sensitivity to detect clinical changes in Parkinson’s disease severity** of the symptoms. Meanwhile the **EQ-5D-5L is better to detect clinical HRQoL changes**. Additionally, the **EQ-5D-5L questionnaire requires less time than 15-D** to be administered....”

## 15Dの傾向②

Responsiveness and minimal important score differences in quality-of-life questionnaires: a comparison of the EORTC QLQ-C30 cancer-specific questionnaire to the generic utility questionnaires EQ-5D and 15D in patients with [multiple myeloma](#); Kvam et al 2011.

“**15D was less responsive than the EQ-5D in patients who deteriorated**. Our results are supported by the findings in a study by Linde et al. comparing EQ-5D and 15D in patients with rheumatoid arthritis. They found that the **EQ-5D was more responsive than the 15D in both improved and deteriorated patients**. However, the 15D has showed higher responsiveness statistics than the EQ-5D in patients with chronic obstructive pulmonary disease (COPD), in rehabilitation of musculoskeletal, cardiovascular and psychosomatic disorders and in the critical care setting.”

Can EQ-5D and 15D be used interchangeably in economic evaluations? Assessing quality of life in [post-stroke](#) patients; Lunde 2021

“The results show that **EQ-5D and 15D should not be used interchangeably** in economic evaluations. EQ-5D is likely to give a more favourable cost utility ratio than 15D. The utility scores generated from the two instruments differ significantly different from each other, even though they correlate well. The instruments also rank individuals in terms of health status differently.”

## 15DのValue Setの作成（3つのタスク）

### Task #1 (“top task”)

- 15項目のうち、各項目のために最も良い（高い）水準を相対的にVASの定規上に置く（評価する）→ つまり、回答者は、VASを使用して、異なる15項目のために最大な選択肢を「最も重要」から「最も重要でない」という観点から評価する

### Task #2 (“bottom task”)

- Task #1と同様に15項目のうち、各項目のために最も良くない（低い）水準を相対的にVASの定規上に置く（評価する）→ つまり、回答者は、VASを使用して、異なる15項目のために最悪な選択肢を「最も重要でない」から「最も重要でない」という観点から項目を評価する

### Task #3 (“within-dimension task”)

- それぞれの項目のために全ての水準をVASの定規の上に置く（評価する）。また、死んだ状態を評価する。

【フィンランド】 [https://doi.org/10.1016/0160-7995\(81\)90019-8](https://doi.org/10.1016/0160-7995(81)90019-8)

【デンマーク】 [https://www.sdu.dk/-/media/files/om\\_sdu/centre/cohere/working+papers/20087.pdf](https://www.sdu.dk/-/media/files/om_sdu/centre/cohere/working+papers/20087.pdf)

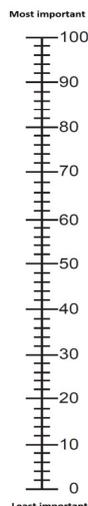
【ノルウエー】 ① <https://doi.org/10.1016/j.jval.2017.09.018> ② 【simple版】 <https://doi.org/10.1007/s11136-018-2043-9>

## 15DのValue Setの作成（3つのタスク）

### Task #1 (“top task”)

First choose the most important feature and assign a value of 100 to it. Thereafter, consider how important the other features are. Observe: Put a number in each box (0-100) and draw a line to the corresponding number on the scale.

Being able to walk normally (without difficulty) indoors, outdoors and on stairs.	<input type="text"/>	<input type="text"/>	<input type="text"/>
Being able to see normally, ie I can read newspapers and TV text without difficulty (with or without glasses).	<input type="text"/>	<input type="text"/>	<input type="text"/>
Being able to hear normally, ie normal speech (with or without a hearing aid).	<input type="text"/>	<input type="text"/>	<input type="text"/>
Being able to breath normally, ie with no shortness of breath or other breathing difficulty.	<input type="text"/>	<input type="text"/>	<input type="text"/>
Being able to sleep normally, ie I have no problems with sleeping.	<input type="text"/>	<input type="text"/>	<input type="text"/>
Being able to eat normally, ie without help from others.	<input type="text"/>	<input type="text"/>	<input type="text"/>
Being able to speak normally, ie clearly, audibly, and fluently.	<input type="text"/>	<input type="text"/>	<input type="text"/>
Bladder and bowel work normally and without problems.	<input type="text"/>	<input type="text"/>	<input type="text"/>
Being able to perform my usual activities (eg employment, studying, housework, free-time activities) without difficulty.	<input type="text"/>	<input type="text"/>	<input type="text"/>
Being able to think clearly and logically, and memory functions well.	<input type="text"/>	<input type="text"/>	<input type="text"/>
Not having physical discomfort or symptoms, ie pain, ache, nausea, itching etc.	<input type="text"/>	<input type="text"/>	<input type="text"/>
Not feeling at all sad, melancholic or depressed.	<input type="text"/>	<input type="text"/>	<input type="text"/>
Not feeling at all anxious, stressed or nervous.	<input type="text"/>	<input type="text"/>	<input type="text"/>
Feeling healthy and energetic.	<input type="text"/>	<input type="text"/>	<input type="text"/>
The health state has no adverse effect on my sexual activity.	<input type="text"/>	<input type="text"/>	<input type="text"/>



### Task #3 (“within-dimension task”)

#### Example

Observe: Put a number in each box (0-100) and draw a line to the corresponding number on the scale.

Health state 1  1  0  0

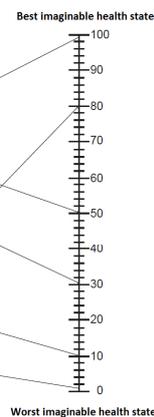
Health state 2  0  5  0

Health state 3  3  0

Health state 4  8  0

Health state 5  1  0

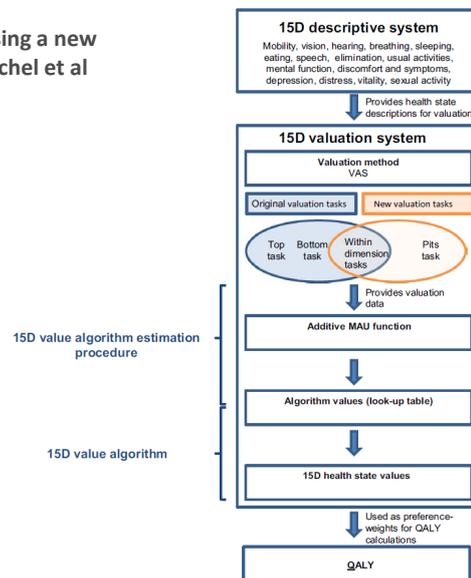
Health state 6   0



ノルウエーで開発したシンプル版は上記の”within-dimension task”にpits taskを加えて実施する

## 15DのValue Setの作成（3つのタスク）

From "A Norwegian 15D value algorithm: proposing a new procedure to estimate 15D value algorithms" Michel et al 2019



## ご参考まで

### 15D Instrument Website

お勧めのサイト。  
英語ですが、15Dについての情報、かつQOL尺度の作成、検証(validation)に関する情報が豊富である。



<http://www.15d-instrument.net/15d/>

ご清聴ありがとうございました！

Healthier Decisions

我々は情報に命を与え、医療を享受する人、医療を提供する人、  
健康を願うすべての人々が納得の選択をするための力となります

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株式会社インテージヘルスケア  
部署名 医療経済・アウトカムリサーチ室  
氏名 マイケル ロプレスティ

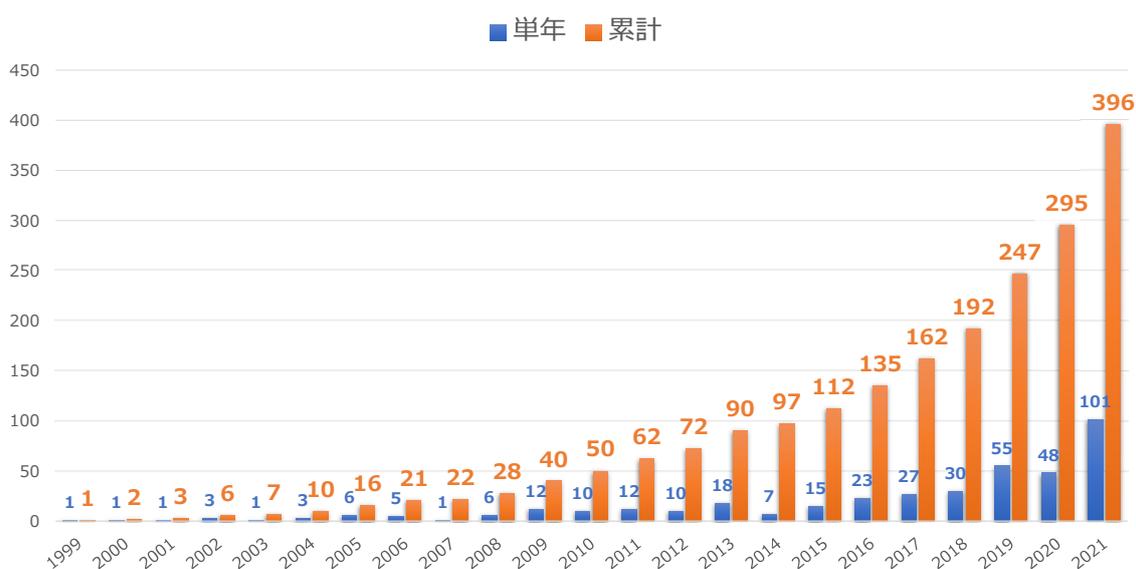
[本社] 〒101-0062 東京都千代田区神田駿河台4-6 御茶ノ水ソラシティ13階  
TEL: 050-3640-1444  
Email: m.lopresti@intage.com

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## PBMを用いた日本の研究の現状

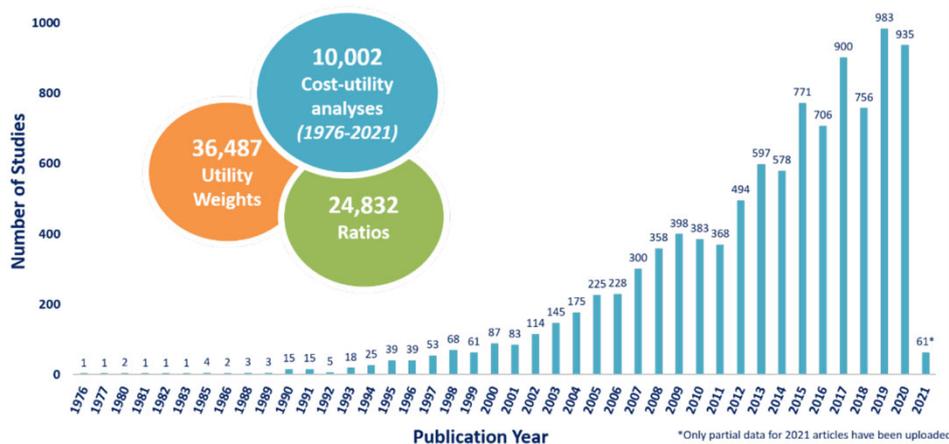
新潟医療福祉大学  
医療経済・QOL研究センター  
能登 真一

## 国内におけるQOL値報告の推移



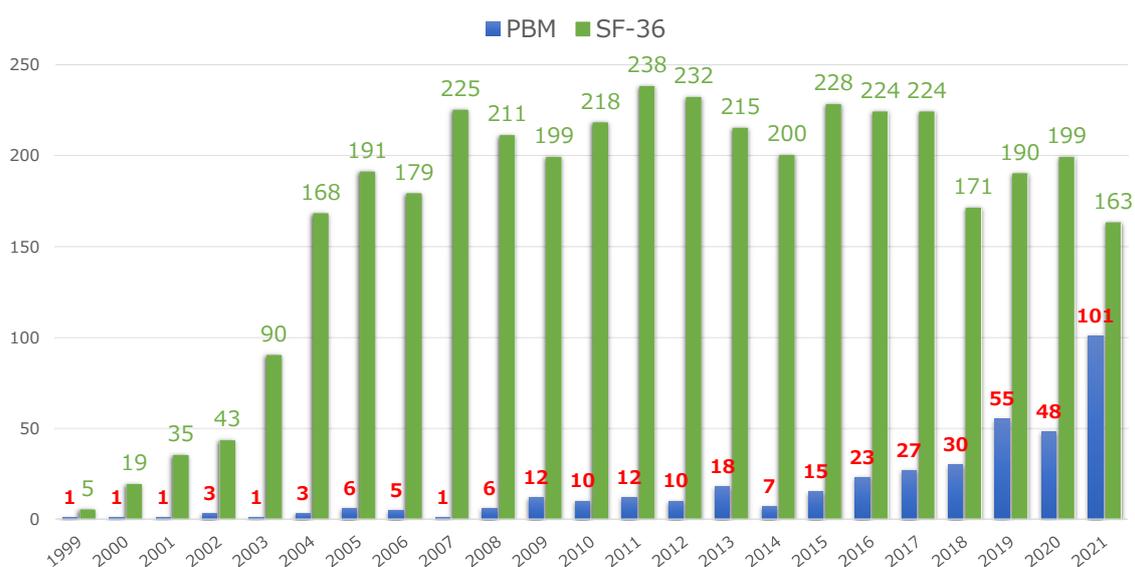
# CEA Registry

## Number of CEA Registry Articles Over Time

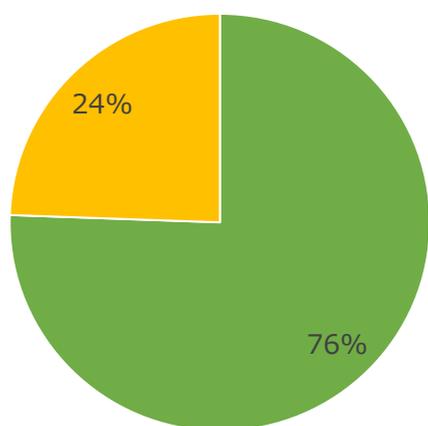


The CEA Registry has been updated to include analyses published through **2020** adding 996 studies, 2,370 ratios, and **3,125 utility weights** to the database.

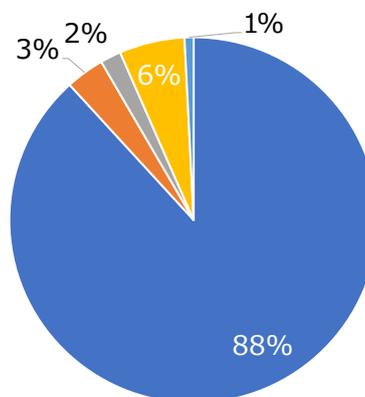
# 国内におけるQOL値報告の推移



## 日本人を対象にQOL値を報告した論文の内訳

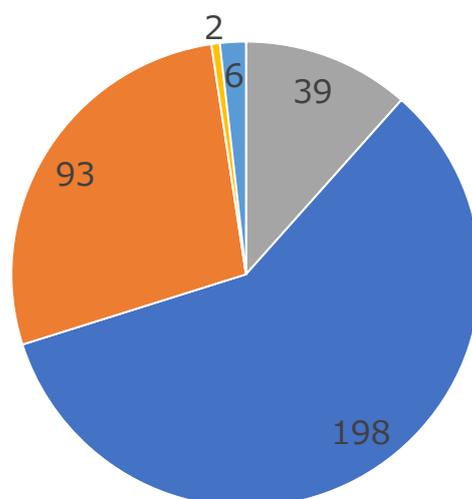


■ 英文 ■ 和文



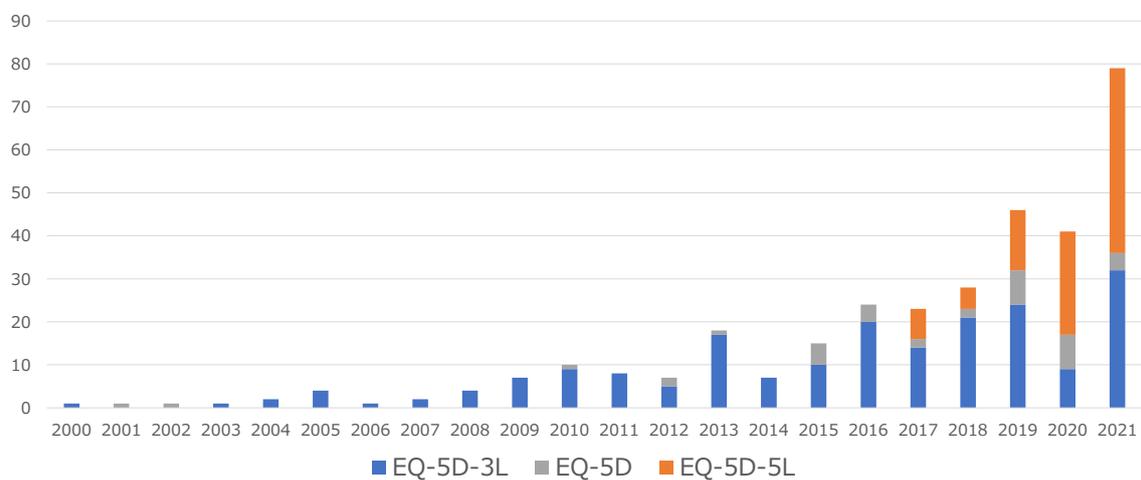
■ EQ-5D ■ HUI3 ■ SF-6D  
■ TTO/SG ■ DCE

## EQ-5Dの内訳

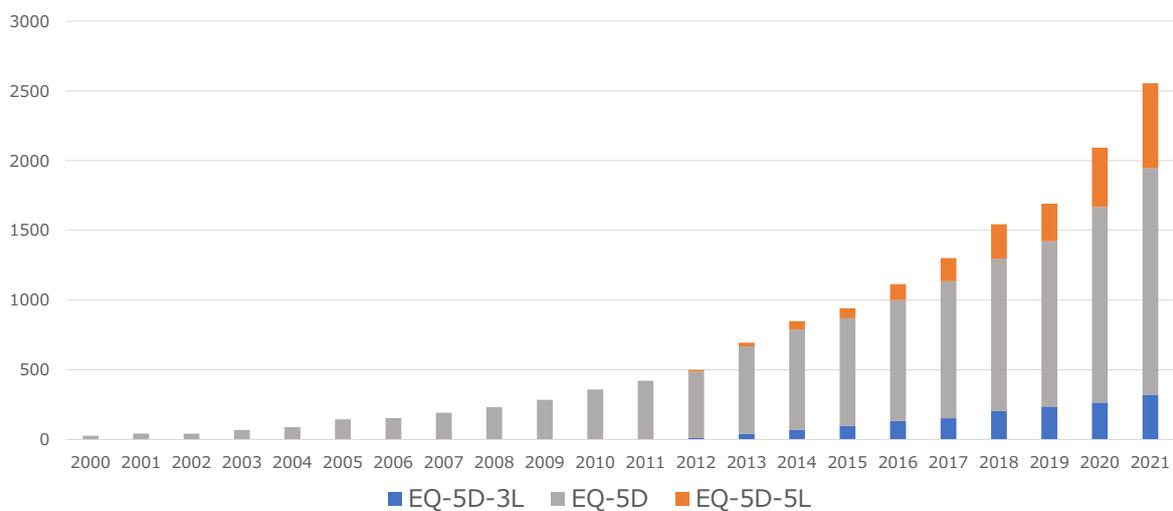


■ EQ-5D  
■ EQ-5D-3L  
■ EQ-5D-5L  
■ EQ-5D-Y  
■ EQ-VAS

## EQ-5D-3Lから5Lへ



## EQ-5D-3Lから5Lへ 世界では



## 英国NICEにとってのEQ-5D

- EQ-5D (EQ-5D-3L) の使用を推奨
- 一方で、EQ-5Dがすべての症状に適しているとは限らないことを認めている ⇒ e.g., 聴覚障害はHUI3を使用
- 希少疾患の場合：EQ-5Dが不適切であるという強い証拠がない限り、ほとんどの状況でEQ-5Dを使用することを維持する
- 臨床試験や文献でEQ-5Dが使用できない場合のガイドラインはない

NICE National Institute for Health and Care Excellence

Search NICE... Sign in

Guidance NICE Pathways Standards and indicators Life sciences BNF BNF C CKS About More

Read about our approach to COVID-19

Home About What we do Our programmes NICE guidance Technology appraisal guidance

### Position statement on use of the EQ-5D-5L value set for England (updated October 2019)

**Content under review**

We're updating this page following the publication of our [new methods, data collection and manuals](#). Our previous manuals still apply to evaluations that began at date.

1. Since 2008, our preferred measure of health-related quality of life in adults is EQ-5D. For most of that time, there has been only 1 version of the descriptive EQ-5D-3L. In this version, respondents rate the degree of impairment in 6 health domains using 3 response levels (no problems, some problems and ext. problems). The EuroQol Group has created a new descriptive system, EQ-5D-5L, with 5 response levels (no problems, slight problems, moderate problems, severe problems, and extreme problems). EQ-5D-5L was designed to be more sensitive than EQ-5D-3L.
2. Our current [guide to the methods of technology appraisal 2013](#) states that if collected using the EQ-5D-5L descriptive system may be used for reference-case analyses. When the guide was written, there was no value set for EQ-5D-5L to derive utilities. Our methods guide (section 5.3.17) states that "Until a value set for the EQ-5D-5L is available, the validated mapping function to derive utility values for the EQ-5D-5L from the existing EQ-5D-3L may be used (see <http://www.euroqol.org/>).
3. An EQ-5D-5L value set for England has been published (Devlin et al. 2018). Independent quality assurance (Hernandez Alava et al. 2018) and reports from independent experts raised concerns about the quality and reliability of the data collected in the valuation study, and the methods used to model these data. If we've chosen not to use the EQ-5D-5L value set for England published by Devlin et al. (2018), the English valuation study was one of the first to be carried out anywhere in the world. Since then the international standard valuation study protocol has been improved and additional quality control methods introduced.
4. The following statement applies to all guidance-producing programmes at NICE that use cost-utility analyses.

#### Position statement

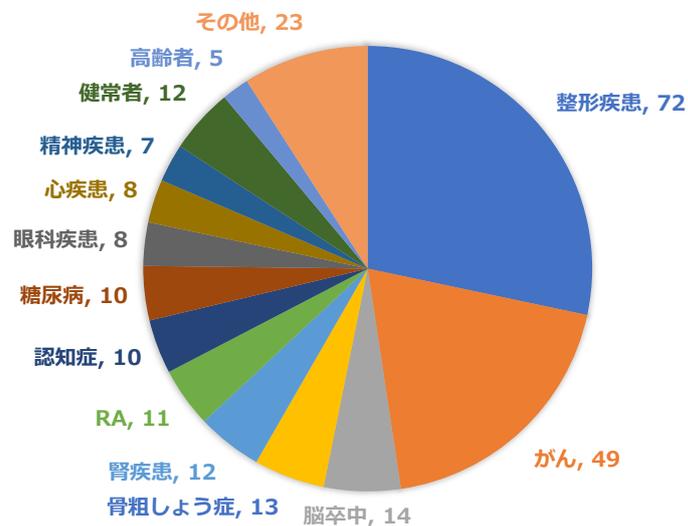
5. We do not recommend using the EQ-5D-5L value set for England published by Devlin et al. (2018). Companies, academic groups and others preparing evidence submissions for NICE should use the 3L value set for reference-case analyses.
6. If data were gathered using the EQ-5D-5L descriptive system, utility values in reference-case analyses should be calculated by mapping the 5L descriptive system data onto the 3L value set. If analyses use data gathered using both EQ-5D-3L and EQ-5D-5L descriptive systems, the 3L value set should be used to derive all utility values, with 5L mapped onto 3L where needed.
7. The mapping function developed by van Hout et al. (2012) should be used for reference-case analyses, for consistency with the current guide to the methods of technology appraisal (even though several mapping functions are available; Hernandez Alava et al. 2017).
8. We support sponsors of prospective clinical studies continuing to use the 5L version of the EQ-5D descriptive system to collect data on quality of life.
9. We are committed to working with the Department of Health and Social Care, and other key stakeholders, to ensure that a 5L value set of an acceptable quality to allow adoption in our methods becomes available. EuroQol is commissioning a new 5L valuation study for England using an updated international standard protocol. We plan to review our policy on EQ-5D-5L when this new study is complete.

#### Expert advice

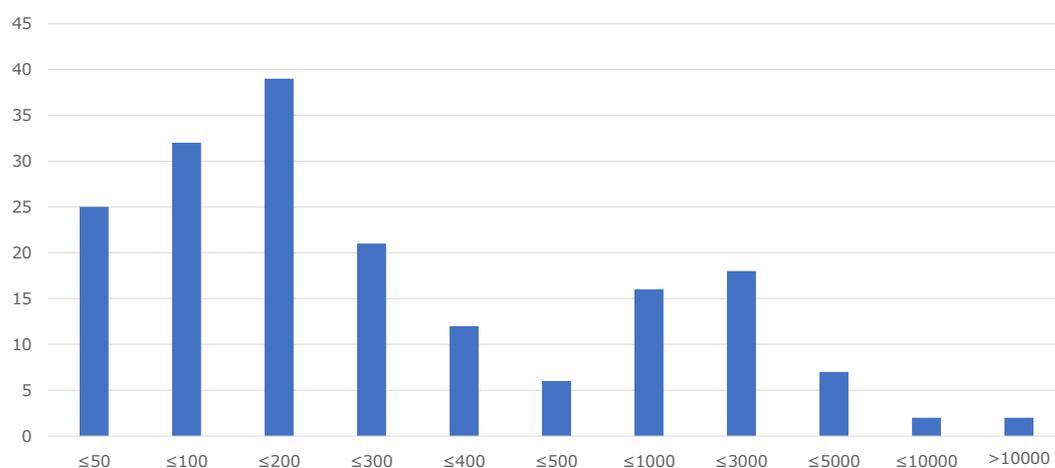
10. In 2019 we commissioned 4 independent experts to provide advice on the EQ-5D-5L value set for England. This was funded by an unrestricted grant from EuroQol. To inform the experts [EuroQol analysed interviewee effects in the valuation study for England](#). If you'd like a copy of the clarification questions and responses from the expert advice process please [email us](mailto:email.us).

5. Devlinら (2018) が発表したイングランド向けのEQ-5D-5Lバリューセットの使用は推奨しない。NICEへのエビデンス提出を準備する企業、学術団体などは、リファレンスケース分析に3Lバリューセットを使用する必要がある。
6. EQ-5D-5L記述システムを用いてデータを収集した場合、リファレンスケース分析における効用値は、5L記述システムのデータを3Lバリューセットにマッピングして計算する必要がある。EQ-5D-3LとEQ-5D-5L記述システムの両方を使用してデータを収集した場合、すべての効用値を導出するために3Lバリューセットを使用し、必要に応じて5Lを3Lにマッピングする必要がある。

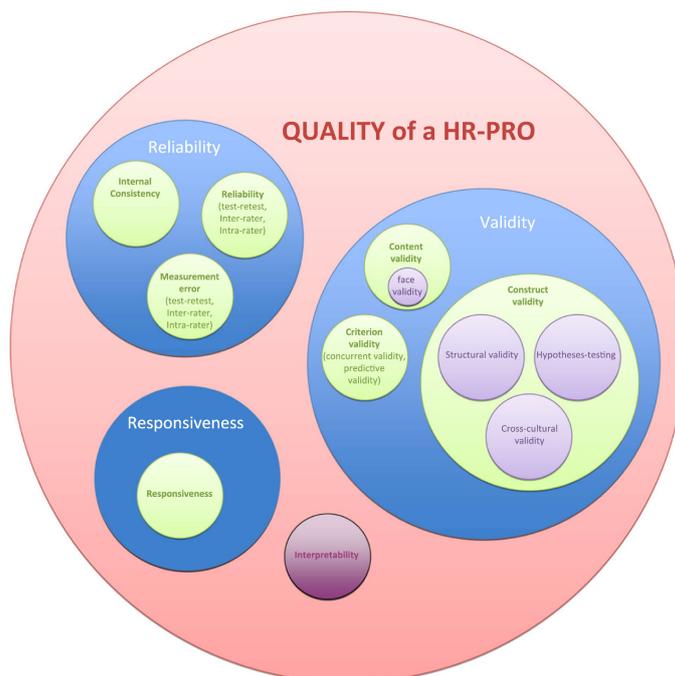
## 日本人を対象にQOL値を報告した論文の内訳



## 症例数による論文の比較



L.B. Mokkink et al. / Journal of Clinical Epidemiology 63 (2010) 737–745



## 妥当性と反応性を検討するために (COSMIN)

**Table 8. Generic hypotheses to evaluate construct validity and responsiveness**

Generic hypotheses*:	
1.	Correlations with (changes in) instruments measuring similar constructs should be $\geq 0.50$ .
2.	Correlations with (changes in) instruments measuring related, but dissimilar constructs should be lower, i.e. 0.30-0.50.
3.	Correlations with (changes in) instruments measuring unrelated constructs should be $< 0.30$ .
4.	Correlations defined under 1, 2, and 3 should differ by a minimum of 0.10.
5.	Meaningful changes between relevant (sub)groups (e.g. patients with expected high vs low levels of the construct of interest)
6.	For responsiveness, AUC should be $\geq 0.70$

	サンプルサイズ
Excellent	$\geq 100$
Good	50-99
Fair	30-49
Poor	$< 30$

# 解釈可能性を検討するために (COSMIN)

## Appendix 5. Information to extract on interpretability of PROMs

The content of this table is based on the Box Interpretability from the original COSMIN Checklist [1]

PROM (ref)	Distribution of scores in the study population	Percentage of missing items and percentage of missing total scores	Floor and ceiling effects	Scores and change scores available for relevant (sub)groups	Minimal important change (MIC) or minimal important difference (MID)	Information on response shift
PROM A (ref 1)						
PROM A (ref 2)						
PROM A (ref 3)						
PROM B (ref 1)						
...						

Pharmacoeconomics (2020) 38:159–170  
<https://doi.org/10.1007/s40273-019-00854-w>

### SYSTEMATIC REVIEW



## Measurement Properties of Commonly Used Generic Preference-Based Measures in East and South-East Asia: A Systematic Review

Xinyu Qian<sup>1</sup> · Rachel Lee-Yin Tan<sup>1</sup> · Ling-Hsiang Chuang<sup>2</sup> · Nan Luo<sup>1</sup>

**Table 1** Included papers and studies, by category

	No. of papers/studies		
Measurement property		Disease groups	
Construct validity	73/1363	Cancer	10/225
Test-retest reliability	25/61	Developmental disease	1/14
Responsiveness	16/80	Diabetes	5/56
PBM		Eye disease	3/32
EQ-5D-3L	46/498	Gastric disease	1/6
EQ-5D-5L	28/311	General population	17/302
EQ-VAS	37/405	Genitourinary disease	1/24
SF-6D	20/197	Heart disease	2/47
HUI2	2/16	Hepatitis	2/31
HUI3	6/55	HIV	3/39
QWB	2/22	Injury	1/60
Country/district		Kidney disease	2/15
China	19/376	Mental disorders	3/65
Hong Kong	10/177	Multiple conditions	3/130
Japan	5/38	Musculoskeletal disease	6/113
Malaysia	4/21	Neurological disease	3/78
Singapore	19/374	Respiratory disease	3/32
South Korea	7/159	Rheumatic disease	9/150
Taiwan	6/184	Skin disease	1/2
Thailand	6/146	Stroke	3/71
Vietnam	1/12	Thyroid disease	1/12
Indonesia	2/17		

**Table 2** Grading results for EQ-5D in different countries/districts and different disease groups

	Quality of PBM, quality of evidence, and references		
	Construct validity	Test-retest reliability	Responsiveness
China	+ H [21, 27, 28, 41–43, 45, 57, 72, 75, 76, 82, 83, 85, 87, 89, 90]	± H [27, 41, 75]	
Hong Kong	+ H [17, 18, 20, 70, 79]	± L <sup>b</sup> [20, 70, 79]	+ V <sup>b,c</sup> [19]
Japan	± M <sup>a</sup> [56, 66, 67]		+ H [56]
Malaysia	+ H [53, 65, 71]	– V <sup>b,c</sup> [53]	
Singapore	+ H [12–14, 38, 40, 47, 50, 68, 74, 77, 84, 86]	± L <sup>b</sup> [12, 37, 38, 47]	± H [12–14, 38, 51, 68]
South Korea	+ H [29–32, 34, 39]	± L <sup>b</sup> [29–32, 34]	+ H [29, 33]
Taiwan	± H [15, 16, 26, 36, 44, 88]	± M <sup>a</sup> [15, 36]	+ M <sup>a</sup> [16, 26, 44]
Thailand	+ H [35, 58, 60–63]	± L <sup>b</sup> [58, 63]	+ M <sup>a</sup> [60, 63]
Vietnam	± H [69]		
Indonesia	± H [59]	– L <sup>b</sup> [59]	
Cancer	+ H [30, 32, 34, 36, 38, 42, 67]	± L <sup>b</sup> [30, 32, 34, 36–38]	± M <sup>c</sup> [38]
Diabetes	+ H [39, 57, 58, 74]	± L <sup>b</sup> [58]	
Eye disease	+ H [13, 14]		± H [13, 24]
Gastric disease	+ H [53]	– V <sup>b,c</sup> [53]	
General population	+ H [15, 28, 31, 35, 41, 45, 59, 65, 66, 71, 75, 83, 85, 88, 89]	± M <sup>a</sup> [15, 31, 41, 59, 75]	
Genitourinary disease	± H [90]		
Heart disease	+ H [60, 82]		+ M <sup>c</sup> [60]
Hepatitis	+ L <sup>b</sup> [27]	+ H [27]	
HIV	+ H [62, 69, 72]		
Injury	+ H [26]		+ L <sup>b</sup> [26]
Kidney disease	+ H [86]		
Mental disorders	± H [12, 68]	± L <sup>b</sup> [12]	± M <sup>a</sup> [12, 68]
Multiple conditions	+ H [61, 63, 77]	+ L <sup>b</sup> [63]	+ L <sup>b</sup> [63]
Musculoskeletal disease	+ H [18, 20, 21, 87]	+ L <sup>b</sup> [20]	+ V <sup>b,c</sup> [19]
Neurological disease	+ H [50]		+ L <sup>a</sup> [51]
Respiratory disease	+ M <sup>a</sup> [17, 56]		+ H [56]
Rheumatic disease	+ H [29, 40, 47, 70, 76, 84]	± L <sup>b</sup> [29, 47, 70]	+ M <sup>c</sup> [29]
Skin disease	+ H [43]		
Stroke	± H [16, 44]		+ H [16, 33, 44]
Thyroid disease	+ H [79]	– L <sup>b</sup> [79]	

Quality of PBM: + indicates sufficient results; ± indicates inconsistent results; – indicates insufficient results

Italicised font indicates that grading is based on no more than three studies

Quality of evidence: H indicates high, M indicates moderate, L indicates low, V indicates very low

### A comparison of the responsiveness of different generic health status measures in patients with asthma

Toru Oga<sup>1</sup>, Koichi Nishimura<sup>1</sup>, Mitsuhiro Tsukino<sup>2</sup>, Susumu Sato<sup>3</sup>, Takashi Hajiro<sup>3</sup> & Michiaki Mishima<sup>2</sup>  
<sup>1</sup>Respiratory Division, Kyoto-Katsura Hospital (E-mail: ogat@df7.so-net.ne.jp); <sup>2</sup>Department of Respiratory Medicine, Graduate School of Medicine, Kyoto University, Kyoto; <sup>3</sup>Department of Pulmonary Medicine, Kobe Nishi City Hospital, Kobe, Japan

**Table 3.** Treatment steps, FEV<sub>1</sub> and health status scores during the first 6 months in the 54 patients with asthma

	Baseline	3 months	6 months	p-Value <sup>a</sup>
Treatment steps (1–5)	1.8 ± 1.3	3.2 ± 0.5*	3.1 ± 0.6*	<0.001
FEV <sub>1</sub> (%predicted)	74.4 ± 20.3	86.7 ± 18.4**	85.6 ± 19.9**	0.002
AQLQ (1–7)				
Symptoms	4.34 ± 1.40	5.98 ± 1.05*	6.04 ± 0.97*	<0.001
Activities	4.60 ± 1.30	5.84 ± 1.06*	6.01 ± 1.07*	<0.001
Emotions	4.54 ± 1.47	5.71 ± 1.24*	6.00 ± 1.04*	<0.001
Environment	5.34 ± 1.30	6.13 ± 1.02*	6.16 ± 1.06*	<0.001
Total	4.59 ± 1.21	5.91 ± 0.98*	6.04 ± 0.94*	<0.001
SF-36 (0–100)				
PF	78.2 ± 21.3	84.7 ± 17.9	88.5 ± 13.9**	0.01
SF	72.5 ± 27.2	84.8 ± 21.7**	84.8 ± 21.0**	0.008
RP	57.4 ± 43.3	81.9 ± 33.1*	81.9 ± 36.2*	<0.001
RE	63.0 ± 44.3	81.5 ± 34.1***	77.8 ± 40.4	0.04
VT	50.8 ± 20.6	60.4 ± 20.2***	63.0 ± 20.5**	0.006
MH	60.7 ± 19.4	67.4 ± 19.2	70.1 ± 20.0***	0.04
BP	63.9 ± 26.4	78.9 ± 23.8**	74.8 ± 26.8***	0.008
GH	41.4 ± 16.4	53.1 ± 17.5*	57.1 ± 18.5*	<0.001
NHP (0–100)				
Energy	50.3 ± 31.1	35.8 ± 31.6***	30.9 ± 31.6**	0.005
Pain	24.8 ± 28.8	16.9 ± 26.5	18.5 ± 29.4	0.32
Emotional reactions	27.9 ± 27.2	14.0 ± 19.9**	16.9 ± 24.0***	0.008
Sleep	30.6 ± 29.5	18.9 ± 25.0	21.9 ± 29.1	0.08
Social isolation	32.1 ± 30.2	16.3 ± 24.3**	18.1 ± 28.4***	0.007
Physical mobility	13.4 ± 17.8	8.3 ± 15.0	7.9 ± 15.0	0.14
EQ5D				
Utility (–0.111–1)	0.808 ± 0.187	0.887 ± 0.145***	0.879 ± 0.146***	0.02

**Table 5.** Responsiveness from baseline to 3 and 6 months in the 54 patients with asthma

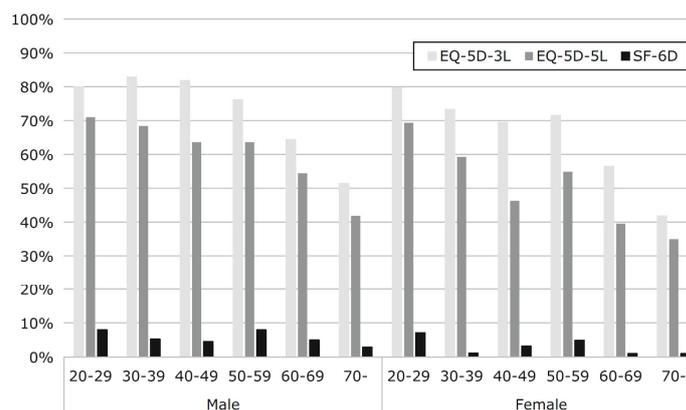
	0–3 months		0–6 months	
	Effect size	Standardized response mean	Effect size	Standardized response mean
FEV <sub>1</sub> (%predicted)	0.61	0.67	0.55	0.58
AQLQ				
Symptoms	1.16	1.04	1.21	1.08
Activities	0.95	0.99	1.08	0.99
Emotions	0.80	0.84	0.99	1.07
Environment	0.61	0.62	0.63	0.57
Total	1.10	1.07	1.21	1.11
SF-36				
PF	0.31	0.36	0.48	0.57
SF	0.45	0.45	0.45	0.42
RP	0.57	0.52	0.57	0.53
RE	0.42	0.34	0.33	0.28
VT	0.46	0.48	0.59	0.53
MH	0.35	0.35	0.48	0.47
BP	0.57	0.58	0.41	0.41
GH	0.71	0.66	0.95	0.81
NHP				
Energy	0.44	0.43	0.61	0.52
Pain	0.26	0.32	0.20	0.26
Emotional reactions	0.50	0.55	0.39	0.44
Sleep	0.42	0.51	0.31	0.38
Social isolation	0.51	0.51	0.45	0.44
Physical mobility	0.28	0.36	0.30	0.39
EQ5D				
Utility	0.41	0.36	0.37	0.32



### Japanese population norms for preference-based measures: EQ-5D-3L, EQ-5D-5L, and SF-6D

Takeru Shirowa<sup>1</sup> · Takashi Fukuda<sup>1</sup> · Shunya Ikeda<sup>2</sup> · Ataru Igarashi<sup>3</sup> ·  
Shinichi Noto<sup>4</sup> · Shinya Saito<sup>5</sup> · Kojiro Shimozuma<sup>6</sup>

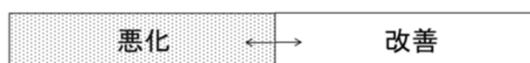
**Fig. 3** Percentage of respondents reporting full health



## Minimally important difference: MID

- 特定領域におけるQOLスコアの最小の差（値）

### Distribution-based method



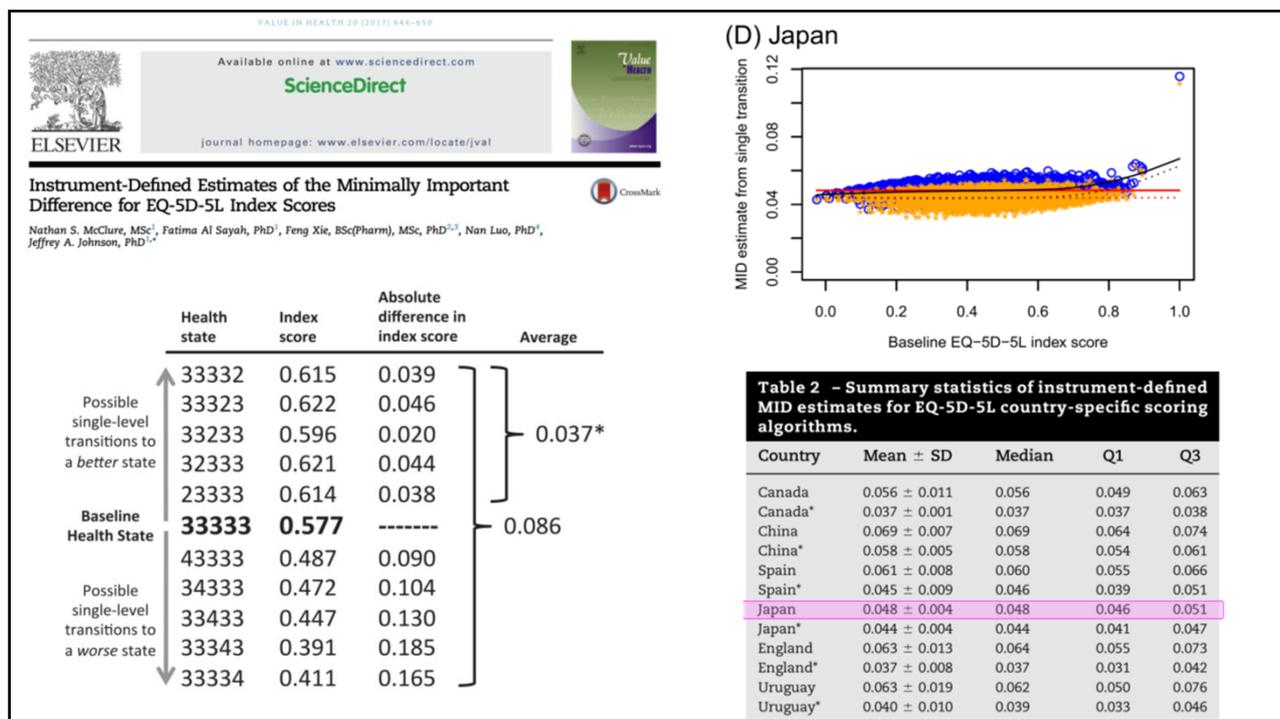
### Anchor-based method



Distribution-based methodは、対象領域のある一線でQOLの悪化と改善が分かれる。Anchor-based methodは、「不変」という不確定領域を挟んで、QOLの悪化と改善が分かれる。

**Japanese population norms for preference-based measures:  
EQ-5D-3L, EQ-5D-5L, and SF-6D**Takeru Shiroiwa<sup>1</sup> · Takashi Fukuda<sup>1</sup> · Shunya Ikeda<sup>2</sup> · Ataru Igarashi<sup>3</sup> ·  
Shinichi Noto<sup>4</sup> · Shinya Saito<sup>5</sup> · Kojiro Shimozuma<sup>6</sup>

- ◆ The differences in the QOL scores between respondents with and those without any **diseases** were 0.064 for measurements based on the EQ-5D-3L, **0.061** for measurements based on the EQ-5D-5L, and 0.073 for measurements based on the SF-6D, which is regarded as the between-group MID in the general population.
- ◆ If **symptoms** were used in the same analysis, the differences were **0.093** for both the EQ-5D-3L and EQ-5D-5L and 0.112 for the SF-6D.
- ◆ Considering our results, the between group MID can be estimated to range between **0.05 and 0.1** for all three measures.



Preference-Based Assessments

Japanese Population Norms of EQ-5D-5L and Health Utilities Index Mark 3: Disutility Catalog by Disease and Symptom in Community Settings

Takeru Shirowa, MPH, PhD, Shinichi Noto, PhD, Takashi Fukuda, PhD

Figure 1. Comparison of population norms between the EQ-5D-5L and HUI3.

ICC = 0.53

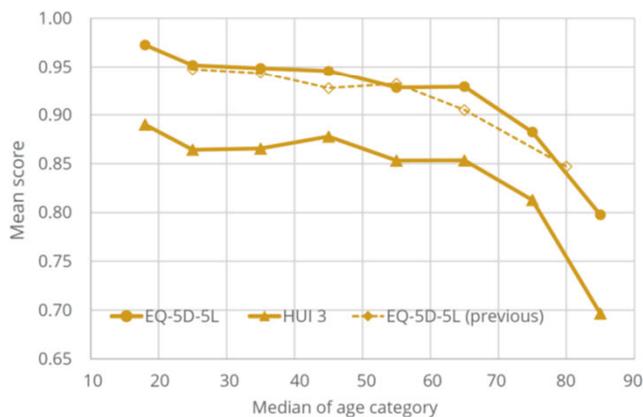


Table 4. Disutility associated with disease.

Variable	N	EQ-5D-5L		HUI3	
		Coefficient	P-value	Coefficient	P-value
Diabetes	341	-0.046	<.001	-0.055	<.001
Obesity	8	-0.034	.417	0.019	.780
Hyperlipidemia	135	-0.002	.816	-0.004	.782
Thyroid disease	45	-0.006	.722	-0.046	.097
Depression	140	-0.184	<.001	-0.282	<.001
Dementia	27	-0.222	<.001	-0.426	<.001
Parkinson disease	15	-0.352	<.001	-0.421	<.001
Other neuropathic diseases	49	-0.211	<.001	-0.232	<.001
Eye diseases	158	-0.049	<.001	-0.101	<.001
Ear diseases	33	-0.033	.114	-0.108	.001
Hypertension	838	-0.005	.275	-0.006	.388
Stroke	92	-0.265	<.001	-0.293	<.001
Angina or myocardial infarction	131	-0.073	<.001	-0.081	<.001
Other cardiovascular diseases	142	-0.054	<.001	-0.068	<.001
Cold	18	-0.083	.004	-0.040	.368
Allergic rhinitis	68	-0.027	.069	-0.049	.029
COPD	11	-0.114	.002	-0.075	.161
Asthma	85	-0.025	.0603	-0.035	.086
Other respiratory diseases	72	-0.121	<.001	-0.116	<.001
Gastrointestinal diseases	90	-0.053	<.001	-0.040	.040
Liver or gallbladder diseases	53	-0.067	<.001	-0.072	.004
Other gastrointestinal diseases	87	-0.087	<.001	-0.085	<.001
Tooth diseases	214	-0.013	.129	-0.021	.091
Atopic dermatitis	78	-0.031	.025	-0.049	.014
Other dermatologic diseases	91	-0.043	.001	-0.037	.050
Gout	37	-0.012	.556	-0.022	.460
Rheumatoid arthritis	63	-0.103	<.001	-0.096	<.001
Arthritis	194	-0.157	<.001	-0.101	<.001
Shoulder pain	108	-0.051	<.001	-0.043	.014
Back pain	323	-0.117	<.001	-0.100	<.001
Osteoporosis	58	-0.034	.034	-0.054	.025

Variable	N	EQ-5D-5L		HUI3	
		Coefficient	P-value	Coefficient	P-value
Kidney diseases	83	-0.079	<.001	-0.122	<.001
Benign prostatic hyperplasia	89	-0.029	.024	-0.054	.008
Menopausal problem	7	-0.048	.286	-0.007	.925
Bone fracture	45	-0.124	<.001	-0.125	<.001
Injury without bone fracture and burn injury	38	-0.134	<.001	-0.075	.009
Anemia or blood diseases	24	-0.079	.001	-0.121	.001
Malignant neoplasm	101	-0.084	<.001	-0.103	<.001
Pregnant or postpartum disorders	13	-0.058	.082	0.019	.695
Infertility	8	-0.077	.071	-0.118	.059
Other	273	-0.080	<.001	-0.101	<.001

Table 5. Disability associated with symptoms.

Variable	N	EQ-5D-5L		HUI3	
		Coefficient	P-value	Coefficient	P-value
Intercept		0.996	<.001	0.908	<.001
Age, y					
16-19	748	—	—	—	—
20-29	1279	-0.015	.005	-0.019	.020
30-39	1265	-0.011	.040	-0.011	.183
40-49	1292	-0.010	.064	0.005	.495
50-59	1302	-0.023	<.001	-0.015	.056
60-69	1370	-0.022	<.001	-0.016	.040
70-79	1433	-0.059	<.001	-0.049	<.001
80-89	1499	-0.130	<.001	-0.147	<.001
Sex					
Male	5057	—	—	—	—
Female	9126	-0.009	<.001	0.012	<.001
Main symptom					
No symptoms	6310	—	—	—	—
Fever	31	-0.078	.002	-0.122	<.001
Sluggishness	109	-0.155	<.001	-0.192	<.001
Sleeplessness	74	-0.165	<.001	-0.206	<.001
Irritability	58	-0.140	<.001	-0.259	<.001
Forgetfulness	42	-0.147	<.001	-0.301	<.001
Headache	147	-0.093	<.001	-0.125	<.001
Dizziness	53	-0.098	<.001	-0.075	.003
Blurred vision	55	-0.056	.004	-0.116	<.001
Visual impairment	59	-0.113	<.001	-0.238	<.001
Buzzing	73	0.052	.001	0.085	<.001
Hearing impairment	45	-0.093	<.001	-0.268	<.001
Palpitation	50	-0.094	<.001	-0.127	<.001
Breathlessness	53	0.159	<.001	0.160	<.001
Chest pain	33	-0.212	<.001	-0.223	<.001
Cough and/or sputum	162	-0.066	<.001	-0.070	<.001
Nasal congestion or mucus	139	-0.032	.001	-0.065	<.001
Wheezing	27	-0.101	<.001	-0.142	<.001
Indigestion or heartburn	84	-0.071	<.001	-0.102	<.001
Diarrhea	55	-0.066	<.001	-0.118	<.001
Constipation	89	-0.071	<.001	-0.077	<.001
Lack of appetite	10	-0.136	<.001	-0.087	.129
Abdominal pain or stomachache	52	-0.110	<.0001	-0.143	<.001
Pain due to hemorrhoids	20	0.060	.0120	0.063	.104
Dental pain	52	-0.055	<.001	-0.137	<.001
Swelling or bleeding from the gums	37	-0.038	.009	-0.067	.005
Trouble biting	21	-0.081	.001	-0.106	.005
Rash (eg, urticaria, blotch)	52	-0.052	.001	-0.065	.008
Itching (eg, eczema, tinea pedis)	91	-0.067	<.001	-0.087	<.001
Stiff shoulders	388	-0.050	<.001	-0.058	<.001
Back pain	637	-0.112	<.001	-0.101	<.001
Arthritic pain	291	-0.154	<.001	-0.130	<.001

Variable	N	EQ-5D-5L		HUI3	
		Coefficient	P-value	Coefficient	P-value
Limb motion problems	104	-0.300	<.001	-0.328	<.001
Numbness of limbs	109	-0.185	<.001	-0.174	<.001
Coldness of limbs	53	-0.093	<.001	-0.093	<.001
Swelling or heaviness of legs	36	-0.171	<.001	-0.144	<.001
Difficulty in urinating	33	-0.096	<.001	-0.177	<.001
Frequent urination	124	-0.055	<.001	-0.104	<.001
Aceturesis	30	-0.211	<.001	-0.154	<.001
Menstrual disorder	39	-0.049	.008	-0.118	<.001
Bone fracture, sprain, or arthralgia	71	-0.120	<.001	-0.161	<.001
Incisura or burn	20	-0.083	.001	-0.141	<.001
Other	165	-0.134	<.001	-0.096	<.001

## ゾルゲンスマの費用対効果評価に係る取扱いについて

中医協総 - 3  
4 . 1 . 2 8

## ＜ゾルゲンスマの費用対効果評価の経緯＞

- 2020年5月13日に、中医協総会において費用対効果評価の対象品目としてH3（著しく保険償還価格が高いもの）指定された（※）。
  - ※ 適応である脊髄性筋萎縮症は指定難病であるが、著しく保険償還価格が高いものとして、中医協総会において指定された。
- 2021年10月の費用対効果評価専門組織で、製造販売業者から提出された分析データ等及び公的分析結果については、一定の科学的妥当性はあるものの、薬事承認時等のデータでは、費用対効果評価における長期有効性に関するデータが不足していることから、必要なデータ収集を行うこととし、分析を中断とする決定案が策定された（※）。
  - ※ 費用対効果評価において日本のゾルゲンスマの臨床実態を反映したデータが必要であるが、薬事承認時等のデータは、日本での臨床実態を反映した長期有効性に関するデータが不足しており、追加データが必要。
- 2021年12月の費用対効果評価専門組織で、追加データの収集計画案が策定された（※）。
  - ※ 分析再開には、既存の海外臨床試験と同程度以上の日本人長期データ、症例数の集積が必要と考える。したがって、年間10-20例、5年間のデータを収集するとして、2026年5月までデータ収集を計画。



## ＜方針＞

- ゾルゲンスマの費用対効果評価について、費用対効果評価専門組織での結果を踏まえ、追加のデータを収集するために、分析を一旦中断とする。
- 2026年5月まで必要なデータ収集を行い、年1回、費用対効果評価専門組織で進捗状況について報告を行う（その間に必要なデータが集まった場合には、早期に分析を再開する）。
- 必要なデータが集まった時点で速やかに分析を行い、その結果を、中医協総会に報告する。

1

Onasemnogene aberparovvec for treating spinal muscular atrophy

HST REPORT

This report was commissioned by the National Institute for Health Research Systematic Reviews Programme as project number 126205



Figure 10. Model schematic (adapted from Figure 34 in the supplementary appendix to the CS)

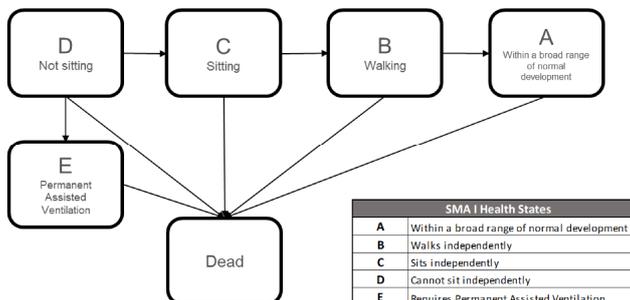


Table 35. HSUVs used in the base case cost-effectiveness analysis

Health state	Description	Utility value	Reference
E	PAV	0	Assumption based on the ERG interim report
D	Not sitting - BSC	0.19	Thompson <i>et al.</i> 2017 <sup>70</sup>
	Not sitting - onasemnogene	0.29	Thompson <i>et al.</i> 2017 <sup>70</sup> and on treatment utility of 0.1 as per US ICER report <sup>69</sup>
C	Sits unassisted - BSC	0.60	Tappenden <i>et al.</i> 2018 <sup>85</sup>
	Sits unassisted - onasemnogene	0.65	Tappenden <i>et al.</i> 2018 <sup>85</sup> and on treatment utility of 0.05 as per US ICER report <sup>69</sup>
B	Walks unassisted	General population	Ara and Brazier 2010 <sup>86</sup>
A	Broad range of normal development	General population	Ara and Brazier 2010 <sup>86</sup>

Abbreviations: HSUV, health state utility value; PAV, permanent assisted ventilation

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ABSTRACT ONLY | VOLUME 20, ISSUE 9, PA725-A726, OCTOBER 01, 2017

The Utility of Different Approaches to Developing Health Utilities Data in Childhood Rare Diseases – A Case Study in Spinal Muscular Atrophy (SMA)

Thompson R • Vaidya S • Teynor M

Open Archive • DOI: <https://doi.org/10.1016/j.jval.2017.08.1962>

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- Objectives
- Methods
- Results
- Conclusions
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Objectives

SMA is a rare, hereditary, autosomal recessive neuromuscular disorder that in its most severe forms impacts infants and young children. Capturing health utilities in infants and young children is often challenging and unadvisable in clinical trial settings since most QoL or utilities instruments are not designed for such age groups. However, the development of cost effectiveness models, required in many countries for reimbursement, necessitates generating health utilities. The objective of this work is to develop health utilities for infants and young children with SMA utilizing different methodologies.

Methods

Three methodologies were undertaken to develop health utilities for input into CE models for nusinersen, the first approved therapy for treatment of SMA. A cross-sectional study of individuals with SMA in select European countries collected parent-proxy assessed QoL using the EQ-5D-3L. A case vignette study assessed physician rated QoL using EQ-5D-5L and the PedsQoL for defined motor function health states in the nusinersen economic models. Lastly, the CHERISH trial PedsQoL data was mapped to EQ-5D using a published algorithm.

Results

The three methodologies showed differences in health utilities for defined nusinersen health states. The cross-sectional study parent-proxy QoL assessment did not provide sufficient detail on patient health to determine with any amount of certainty an individual's state of health based on the model health states. Physicians on average differentiated between QoL in different health states in a manner consistent with disease severity, and generally ranked QoL lower than observed by parents. Parent-proxy assessments of QoL in the nusinersen CHERISH trial showed little differentiation between lower and higher motor function health states, and in general parents rated QoL high, which is consistent with studies in other

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Table 81. Summary of alternative HRQoL sources identified in the SLR (adapted from Table 42 of the Supplementary Appendix)

Health state†	CHERISH: PedsQL mapped to EQ-5D-Y (Thompson et al. 2017 <sup>70</sup> )		Lloyd: Clinician-proxy Case Vignette EQ-5D-Y (Lloyd et al. 2017 <sup>89</sup> )		European study: Parent-proxy EQ-5D-3L, UK reports only (Thompson et al. 2017 <sup>70</sup> )	
	Health state	Utility value	Health state	Utility value	Health state	Utility value
E	SMA type 2: Worsened (from baseline)	0.730	SMA type 1: Requires ventilation	-0.33	SMA type 1	0.190
D	SMA type 2: Stabilisation of baseline function	0.756	SMA type 1: Baseline	-0.12	SMA type 1	0.190
C	SMA type 2: Moderate improvement	0.764	SMA type 1: Reclassified as SMA type 2†	-0.04	SMA type 2	0.100
B	SMA type 2: Walks unaided	0.878	SMA type 1: Reclassified as SMA type 3‡	0.71	SMA type 3	0.540
A	Identified studies did not include an A state. The A state (within broad range of normal development) is assumed to have HRQoL equivalent to the UK general population					
Justification for exclusion from the base case	The mapping described by Kahn et al 2014 has several methodological limitations: for example, it was conducted in a population that differed considerably (school children aged of 11 to 15 years) to SMA type 1 babies. In addition, the values seem implausibly high; for example, it seems unlikely that for an individual who requires PAV would be considered as being three quarters of that of an individual in perfect health		The study uses clinician-proxy assessment, which is less preferred to parent-proxy assessments, as per the NICE reference case. In addition, the study reported a negative utility (a health state worse than death) for 'reclassified SMA type 2'. A negative utility value for the C state (sits unassisted) lacks face validity and was deemed implausible by UK clinical experts (UK advisory board, May 2019) <sup>88</sup>		Whilst this study uses parent-proxy assessment, which is preferred to clinician-proxy assessments, the results for the SMA type 2 group (used as proxy for the C state [sit unassisted]) lack face validity, as they are lower than the utility value reported for SMA type 1 patients who fail to achieve any milestones. Due to this lack of face validity, a scenario using values reported for SMA type 2 and 3 groups from this study is also not formally modelled	

## CADTH Drug Reimbursement Review

## Pharmacoeconomic Report

ONASEMNOGENE ABEPARVOVEC (ZOLGENSMA)

(Novartis Pharmaceuticals Canada Inc.)

Table 5: CADTH Revisions to the Submitted Economic Evaluation

Stepped analysis	Sponsor's value or assumption	CADTH value or assumption
<b>Changes to derive the CADTH estimate</b>		
1. Comparative efficacy of onasemnogene abeparovvec with nusinersen (motor function milestone achievement)	Based on the sponsor-submitted indirect treatment comparison; onasemnogene is generally more effective than nusinersen with respect to motor milestone achievement. All patients standing at age 5 on onasemnogene abeparovvec are considered to be "within a broad range of normal development." Note: In the early phase of the model, the highest state obtained was maintained for patients on either onasemnogene abeparovvec and nusinersen. The only difference between the 2 comparators was the discontinuation rate applied to nusinersen, which could subsequently lead to regression.	Assumed equal efficacy for nusinersen and onasemnogene abeparovvec, with transition probabilities for the first 8 cycles for onasemnogene abeparovvec applied to nusinersen. No patients on onasemnogene could reach "within a broad range of normal development" at age 5. Note: Extrapolation phase of the model and its assumptions were not altered.
2. Survival on nusinersen compared with onasemnogene abeparovvec	On-trial survival (mortality and requirement of permanent ventilation from the unable to sit state) was used for the early phase of the model (approximately 30 months), leading to different survival rates for patients on nusinersen in comparison to onasemnogene abeparovvec.	Assumed equal survival for patients on nusinersen and onasemnogene abeparovvec, with the survival with onasemnogene abeparovvec for the entire time horizon applied to patients on nusinersen.
3. Utility increment while in the "unable to sit assisted" and "sitting unassisted" health states for interim milestone achievement.	"Unable to sit unassisted": All patients receive 0.1 increase in health state utility value. "Sitting unassisted": All patients receive 0.05 increase in health state utility value while on treatment.	<u>No increment applied to either health state.</u>
4. <u>Utility value for patients on permanent ventilation</u>	<u>0.0 (equivalent to death).</u>	<u>0.190</u> , the same value as that for patients in the "unable to sit unassisted" health state.
5. Utility value for patients "walking unassisted"	Age-adjusted general population utility was 0.954 at age 25 to 0.685 at age ≥ 75	<u>0.760 from Love et al. (2019)</u> , for patients with SMA type 3. <sup>19</sup>

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ABSTRACT ONLY | VOLUME 29, SUPPLEMENT 1, S130, OCTOBER 01, 2019

**P.218 Utility based health related quality of life in children and adolescents with spinal muscular atrophy**

D. Love · R. Hicks · Y. Wei · E. Zapata Aldana · S. Almobarak · C. Campbell

DOI: <https://doi.org/10.1016/j.nmd.2019.06.332>

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Spinal muscular atrophy (SMA) is a degenerative motor neuron disease and while rare, is the most common genetic cause of infant mortality, and a significant cause of morbidity. The treatment landscape for SMA has shifted, with recent approval of a first disease modifying therapy, and others in late stage clinical trials. These high cost novel therapies require data on health-related quality of life (HRQOL) to properly understand their economic impact. Children with all types of SMA 0-18 years of age, and caregivers, were identified by The Canadian Neuromuscular Disease Registry and distributed questionnaires with the **Health Utilities Index® (HUI®) Mark 2 and Mark 3**, which are utility based HRQOL measures. Scores range from 0 to 1.0 for death to perfect health. All parents and youth aged 13 – 18 years old completed HUI measures. Additional measures included Quality of My Life, PedsQL Core, PedsQL Neuromuscular. Phone facilitators were available to help subjects complete the questionnaire. Forty-six parents and 14 youth completed the HUI HRQOL measures. The overall HRQOL HUI2 and HUI3 scores, respectively for the parent reported group was 0.54 (SD=0.18) and 0.31 (SD=0.27), and for the youth self-report 0.53, (SD=0.18) and 0.33 (SD=0.28). The parent reported scores for the HUI2 and HUI3, respectively, for SMA Type I is 0.42 (SD=0.12) and 0.14 (SD=0.19)(n=10), Type II 0.49 (SD=0.07) and 0.24 (SD=0.12)(n=27), **Type III 0.76 (SD=0.20) and 0.62 (SD=0.27)(n=7)**. Youth self-report scores for Type I's are 0.55 (SD=0.18) and 0.29 (SD=0.36) (n=4), Type II 0.45 (SD=0.12) and 0.23 (SD=0.16)(n=7), Type III 0.57 (SD=0.01) and 0.41 (SD=0.02)(n=2). Additional HRQOL values and categories will be presented. Patient and parent reported utility based HRQOL in SMA are reported overall and by SMA type. The availability of utility based HRQOL data for patients with SMA is essential for various stakeholders for understanding the health economics of new therapies for SMA.

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**SYSTEMATIC REVIEW**

Check for updates

**Systematic Literature Review to Identify Utility Values in Patients with Spinal Muscular Atrophy (SMA) and Their Caregivers**

C. Simone Sutherland<sup>1</sup> · Pollyanna Hudson<sup>2</sup> · Stephen Mitchell<sup>2</sup> · Noman Paracha<sup>3</sup>

**Table 4** Summary of published proxy-derived mean HSUVs for patients with SMA by type (standard deviation) [standard error] [95% confidence interval]

Health state	Proxy-derived HSUVs										
Reference	NICE TA588 ERG-preferred values <sup>165</sup>	NICE TA588 ACM1 [65]	NICE TA588 ACM2 [65]	NICE TA588 ACM3 [65]	Lloyd et al.	Lo et al. [56]	López-Bastida et al. [47]	Love et al. [58]	Malone et al. [48]	Sampson and Garau [49]	Thompson et al. [50]
Publication description	NICE report	NICE report	NICE report	NICE report	Full text publication	Abstract and associated poster	Full text publication	Abstract	Full text publication	Full text publication	Abstract and associated poster
PBM used	EQ-5D-Y vignette [46, 70]	PedsQL mapped to EQ-5D	EQ-5D-Y vignette [46, 70]	Clinical experts	Clinical experts assessed Types 1 and 2 SMA case swishes using EQ-5D-Y and PedsQL-NM (baseline states only)	DCE survey of UK general population	EQ-5D-3L (caregivers as proxies)	HUI3 <sup>8</sup>	PedsQL data from CHERISH mapped to EQ-5D-Y using a published algorithm [36]	EQ-5D-3L	Three options: 1. Parent proxy using EQ-5D-3L. 2. Case vignette study of physician-rated EQ-5D-3L and PedsQL (motor function health). 3. CHERISH mapped to EQ-5D using a published algorithm (unspecified)
Overall Types 1-3 SMA	-	-	-	-	-	-	0.158 (0.44)	0.31 (0.27)	-	0.22 <sup>c</sup>	UK <sup>a</sup> 0.167
Type 1 SMA (early onset)	-	-	-	-	-	-	-	-	-	-	-
Baseline/overall Type 1 SMA	-	-	-	-	-0.12 (0.19)	-	-	0.14 (0.19)	-	-	-
Worsening	-	-	-	-	-0.24 (0.14)	-	-	-	-	-	-
Improvement	-	-	-	-	-0.17 (0.17)	-	-	-	-	-	-
None	-0.240	0.733	-0.240	-0.020	-	-	-	-	-	-	-
Mild	-0.120	0.752	-0.120	0.100	-	-	-	-	-	-	-
Moderate	-0.170	0.752	-0.170	0.200	-	-	-	-	-	-	-
Permanent ventilation	-	-	-	-	-	-	-	-	0.730	-	-
Non-sitting	-	-	-	-	-	-	-	-	0.756	-	-

Canadian Journal of Health Technologies  
 September 2021 Volume 1 Issue 9

CADTH

CADTH Reimbursement Review  
**Semaglutide (Rybelsus)**

Sponsor: Novo Nordisk Canada Inc.  
 Therapeutic area: Diabetes mellitus, type 2

Table 84: Summary of outcome measures and their measurement properties

Outcome Measure	Type	Conclusions about Measurement Properties	MID
SF-36v2	Generic questionnaire measuring multidimensional health concepts and to capture a full range of health states.	<b>Validity and Reliability:</b> Evidence of validity and reliability in general populations, with evidence supporting adequate validity among patients with T1D and T2D. However, validity and reliability in some dimensions among diabetes patients were not optimal, suggesting revalidation of the questionnaire among this patient population.	<b>General (non-disease specific) MID:</b> • 2-points in PCS; • 3-points in MCS; • 2 to 4 points for individual dimensions. <b>Patients with T2D:</b> A benchmark based on 1-point change was suggested. <sup>55</sup> However, the validity of this benchmark is unclear.
CoEQ	Questionnaire aimed at weight loss clinical trials assessing intensity and type of food cravings, and subjective sensations of appetite and mood, and the individual's perceived level of control against a craved food item.	<b>Validity and Reliability:</b> Validity and reliability were assessed in patients in weight loss trials. Evidence suggested the questionnaire may be useful for assessing impact of eating and weight and quality of life. No literature was identified that assessed validity and reliability in diabetes patients.	No literature pertaining to MID was retrieved.
DTR-QOL	Japanese specific questionnaire assessing the influence of diabetes treatment on a patient's HRQoL.	<b>Validity and Reliability:</b> Validity and reliability were assessed and considered adequate in Japanese patients with diabetes.	No literature pertaining to MID was retrieved.
IHWQoL-Lite-CT	Questionnaire originally developed for assessment of HRQoL in obesity trials and expanded to apply to diabetes trials per FDA guidance.	<b>Validity and Reliability:</b> Validity and reliability were assessed in patients in weight loss trials and diabetes trials. Evidence suggested higher validity and reliability among weight loss trials compared to diabetes trials. However, validity and reliability were adequate for use among diabetes patients with further examination of the questionnaire in future diabetes trials.	No literature pertaining to MID was retrieved.
DTSQs	Diabetes-specific questionnaire assessing patient satisfaction to treatment.	<b>Validity and Reliability:</b> Validity and reliability were not assessed in diabetes patients.	No literature pertaining to MID was retrieved.

原著

Wearing-off現象を発現したパーキンソン病患者に対する  
 ゾニサミド50mgの安全性および有効性の検討  
 —長期使用に関する特定使用成績調査の中間報告—

右橋千秋<sup>1</sup>  
 丸山秀徳<sup>2</sup>  
 野元正弘<sup>3</sup>

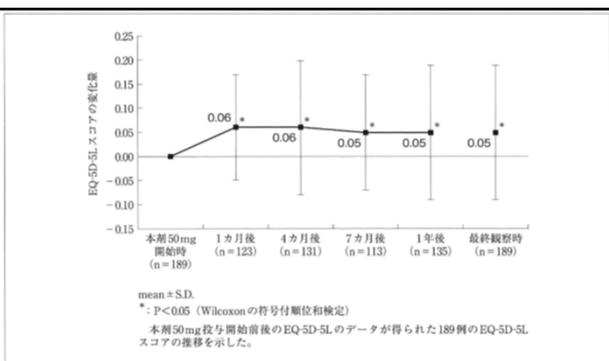


表5 患者背景要因別EQ-5D-5Lスコア変化量

	全体	25mg増量群	50mg新規群	ジスキネジア		幻覚	
				なし	あり	なし	あり
症例数	189	138	51	117	72	137	52
50mg投与開始時	0.57 ± 0.19	0.58 ± 0.20	0.54 ± 0.19	0.58 ± 0.20	0.56 ± 0.18	0.60 ± 0.19	0.50 ± 0.19
最終観察時	0.62 ± 0.20	0.62 ± 0.20	0.61 ± 0.18	0.63 ± 0.20	0.61 ± 0.19	0.64 ± 0.20	0.56 ± 0.18
変化量*	0.05 ± 0.14	0.04 ± 0.13	0.07 ± 0.14	0.05 ± 0.13	0.05 ± 0.15	0.04 ± 0.13	0.07 ± 0.16
Wilcoxonの符号付順位和検定	P < 0.001	P < 0.001	P < 0.001	P < 0.001	P = 0.002	P < 0.001	P < 0.001

原 著

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回復期リハビリテーション病棟における健康関連QOLの変化と代理人回答の一致度について—脳卒中患者へのEQ-5D-5Lによる評価—

泉 良太<sup>1)</sup>, 能登 真一<sup>2)</sup>, 佐野 哲也<sup>1)</sup>, 鈴木 達也<sup>1)</sup>

- 1) 聖隷クリストファー大学リハビリテーション学部作業療法学科
2) 新潟医療福祉大学リハビリテーション学部作業療法学科

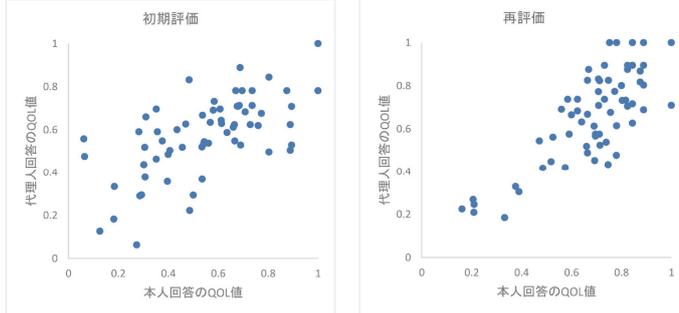


図1 本人回答と代理人回答のQOL値の散布図

表3 本人および作業療法士による代理人回答の一致度

Table with 2 main sections. The first section compares '初期評価' (Initial Evaluation) and '再評価' (Re-evaluation) for the overall QOL score, showing coefficients, 95% confidence intervals, F-values, and p-values. The second section compares the same for specific QOL domains: '移動の程度' (Degree of Mobility), '身の回りの管理' (Management of Daily Activities), 'ふだんの生活' (Daily Life), '痛み/不快感' (Pain/Discomfort), and '不安/ふさぎ込み' (Anxiety/Depression). The '痛み/不快感' and '不安/ふさぎ込み' rows have their p-values highlighted in pink boxes.

Preference-Based EQ-5D Index Scores for Chronic Conditions in the United States

Patrick W. Sullivan, PhD, Vahram Ghushchyan, PhD

Key words: health-related quality of life; cost-utility analysis; cost-effectiveness analysis; utility; chronic disease; ICD-9; econometric methods. (Med Decis Making 2006; 26:410-420)

Table 3 EQ-5D Index Scores, Age, and Comorbidity Burden by ICD-9 in the 2000-2002 MEPS

Table with columns for ICD-9 Classification, Mean, NCC, NCC\*, NCC\*\*, NCC\*\*\*, Mean, EQ-5D, EQ-5D, EQ-5D, and Regression Results\* (Distortly of Condition, Condition\* Standard Error, Statistical Significance (Condition)).

(continued)

Table 3 (continued)

Table with columns for ICD-9 Classification, n, Mean, NCC, NCC\*, NCC\*\*, NCC\*\*\*, Mean, EQ-5D, EQ-5D, EQ-5D, and Regression Results\* (Distortly of Condition, Condition\* Standard Error, Statistical Significance (Condition)).

## まとめ

- ◆国内におけるPBMを用いたエビデンスを整理した
- ◆近年は増加傾向にあり，その多くがEQ-5Dを用いた研究である
- ◆測定特性（妥当性，信頼性，反応性，解釈可能性）に関する研究が少なく，今後，取り組むべき課題である
- ◆HTAに用いるためには，疾患の特性にあわせたPBMの選択と評価方法の検討が必要である

2022年3月12日 QOL-PRO研究会 研究セミナー  
選好に基づく尺度の世界: 健康の価値を測定するとは

## 直接法を用いた取り組みについて

クレコンメディカルアセスメント株式会社  
村田 達教  
(tatsunori.murata@crecon.jp)

1

### 背景

- 医療経済評価に用いられる患者のQOLを表す(utilityやQOL値と呼ばれる)スコアは、多くの場合EQ5Dのようなgeneric preference-based measures(GPBM)によって測定されたスコアに基づいている。
- 一方で、これらの標準的なアプローチが難しかったり適切でない場合がある。
- そんなときの対策として一般の人々に健康状態等を想起させ、それをタイムトレードオフ法等によってスコア化する直接法と呼ばれる方法が存在する。
- 近年ではこの直接法をvignette-based methods(VBM)と呼んでいる。
- しかし、意外にもこれまでこの方法に関するガイダンスや方法論的レビューはなかった。

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**Vignette-Based Utilities: Usefulness, Limitations, and Methodological Recommendations**

Louis S. Matza, PhD, Katie D. Stewart, MA, Andrew J. Lloyd, DPhil, Donna Rowen, PhD, John E. Brazier, PhD

**ABSTRACT**

Health technology assessment agencies often prefer that utilities used to calculate quality-adjusted life years in cost-utility analyses (CUAs) are derived using standardized methods, such as generic preference-based measures completed by patients in clinical trials. However, there are situations when no standardized approach is feasible or appropriate for a specific medical condition or treatment that must be represented in a CUA. When this occurs, vignette-based methods are often used to estimate utilities. A vignette (sometimes called a "scenario," "health state description," "health state vignette," or "health state") is a description of a health state that is valued in a preference elicitation task to obtain a utility estimate. This method is sometimes the only feasible way to estimate utilities representing a concept that is important for a CUA. Consequently, vignette-based studies continue to be conducted and published, with the resulting utilities used in economic models to inform decision making about healthcare resource allocation. Despite the potential impact of vignette-based utilities on medical decision making, there is no published guidance or review of this methodology. This article provides recommendations for researchers, health technology assessment reviewers, and policymakers who may be deciding whether to use vignette-based methods, designing a vignette study, using vignette-based utilities in a CUA, or evaluating a CUA that includes vignette-based utilities. Recommendations are provided on: (A) when to use vignette-based utilities, (B) methods for developing vignettes, (C) valuing vignettes, (D) use of vignette-based utilities in models, and (E) limitations of vignette methods.

**Keywords:** cost-utility analysis, health state utilities, health state vignettes, time trade-off, utility assessment, vignette-based methods

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## 【参考】シン・分析ガイドラインにおける直接法

$$C_{-2}H$$

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**8 効果指標の選択**

8.1 効果指標は質調整生存年 (Quality-adjusted life year: QALY) を用いることを原則とする。

8.1.1 QALY を算出することが困難であり、かつ CMA を実施する場合、適切であれば、QALY 以外の評価尺度を使用することもできる。

8.2 QALY を算出する際の QOL 値は、選好に基づく尺度 (preference-based measure: PBM) により測定したものを原則とする。

**(中略)**

8.3.3 対象者本人から QOL 値を得ることが困難な場合などには、一般の人々を対象に健康状態を想起させることにより SG (Standard gamble: 基準的賭け) 法や TTO (Time trade-off: 時間得失) 法、DCE (Discrete choice experiment: 離散選択実験) 法などの手法を用いて測定してもよい。ただし、これらの手法で測定された QOL 値は、提示するシナリオにより結果に大きな影響を与えるため、同一の健康状態においては同一の QOL 値を用いるなどの留意が必要である。

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## VBMが活用される場面

- EQ5DのようなGPBMではなく、VBMによるアプローチが必要になる場面には下記のようなものがある：
  - 希少疾病など、研究の対象となる患者で十分なサンプルサイズが確保できない場合
  - QOLを構成する要素のうち特定の要素のみを変動させたときのスコアへの影響について定量化が必要な場合
  - 治療プロセスの違いによるスコアへの影響(process utility)について定量化が必要な場合
  - 頻度の低い急性期イベントのスコアが必要な場合
  - GPBMで測定可能な頻度より早く健康状態が変動してしまう場合

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## 【参考】EQ-5DやHUIの想起インターバルとレベル表現

	EQ5D	HUI
想起インターバル	各項目において、あなたの <b>今日の</b> 健康状態を最もよく表しているものを、それぞれ1つずつお答えください。	あなたができること、できないことの <b>普段の</b> 程度について、最もあてはまるものをひとつ選んでください。
レベル表現	歩き回るのに <b>問題はな</b> <b>い</b>	歩行器具なしに難なく近所を歩き回る事が <b>で</b> <b>きる</b>

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## Vignetteの作成方法

- Vignette(スコア化したい健康状態を説明した記述)として記述する各項目は、それぞれ文献や実際の患者に対するインタビューの結果等でサポートされていなければならない。また、Vignetteを作成する際は下記の要件を満たすように検討する：
  - 回答者が研究したい健康状態について十分に理解できるように、長くなりすぎず、理解しやすい記述にする。
  - Vignetteで患者のすべての経験を表現することはできないため、典型的な患者の経験を記述する(その結果推定されるスコアはその中間的な健康状態に関するものとなる)。
  - VBMIによる研究は、ある健康状態間のスコアの差について推定することを目的としていることが多いため、それらの健康状態間の違いについて比較しやすいようにデザインする。

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## Vignetteの作成方法(続き)

- Vignetteに対する回答者の認知を高めるため、イメージ図やビデオ等の補足資料を用意することも有用である。
- 「治療が必要かもしれない」のように、回答者によって解釈が変動してしまう不確実性のある表現を避ける。記述から連想する条件が変わってしまえば、その結果、推定されるスコアも変動してしまう。
- 研究したい健康状態の具体名(病名)を表示するかについては慎重に検討する。病名の表示は記述の明確さを高めたり、バイアスの原因になったり等、利点と欠点がある。
- 箇条書きや色分け等は回答者の理解を向上させるために有用である。

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### 【参考】曖昧表現と病名明記によるバイアスのトレードオフ

	ある命に関わる病気 です。	がんのような命に関 わる病気です。	肺がんです。
曖昧表現 によるバイ アスリスク	高い		低い
病名明記 によるバイ アスリスク	低い		高い

- 疾患領域別にそれぞれのバイアスリスクのバランスも異なるはずのため慎重な検討が必要
- 別途、先入観や誤解を取り除く丁寧な事前説明も有用

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### Vignetteの作成方法(続き)

- 上記の要件を満たす案の作成後、医師や患者の意見を参考に修正を行う。
- また、パイロット調査を行い、実際の回答者からのフィードバックに基づき修正を検討する必要がある。

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## Vignetteのスコアリング

- 作成したVignetteのスコアリングには、一般の人々を対象にタイムトレードオフ法やスタンダードガンブル法が使われることが多い。
- スコアリング方法の詳細は、各国のHTA評価機関が利用しているGPBMとの比較可能性を考慮するとよい。

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## 【参考】なぜか触れられていないDCE

- Vignetteのスコアリングには離散選択実験法と呼ばれる方法もある。
- 近年開発が進められているPBMのほとんどがDCEでスコアリングされている。

### DCE法のイメージ

属性	健康状態A	健康状態B
症状について	痛みがあります。	痛みはほとんどありません。
日常生活について	症状のせいで普段通りに日常生活ができません。	症状のせいで日常生活が妨げられることがあります。
生存期間	10年生きて、その後死亡します。	5年生きて、その後死亡します。



- どちらが好ましいですか？と尋ねる
- 各属性の内容を色々と組み替えて1回答者当たり複数セットについて回答していただき多変量解析でスコアを求める。

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## VBMによって作成したスコアの活用方法

- 医療経済評価に利用する場合、GPBMIによって推定したベースラインスコアからの差分についてVBMで推定したスコアを利用することも行われる。
- このとき、ダブルカウントを避けるため、GPBMIによって推定したスコアに影響し得るような要素がVignetteに含まれていないか確認することが重要である。

### VBMのよくある使い方

パラメータ	値	ソース
増悪前の乳がん患者のQOL値	0.8	臨床試験データ
有害事象(吐き気など)発生時の減少QOL値	-0.05	VBMデータ

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## VBMの限界点

- 推定したスコアの妥当性はVignetteがどの程度正しく説明されているかに依存する。
- Vignetteは実際の患者が経験したすべての側面を含めることは難しい。
- また、Vignetteがある特定の側面にだけ焦点を当てていて、その他の側面について説明がない場合、その特定の側面に対する影響を過大評価してしまうかもしれない。

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## 結論

- 各国のHTA評価機関は患者から直接取得したGPBMを好んで利用するかもしれないが、既存の尺度では測定することのできない新しいアウトカムをもたらす治療や、新しい治療プロセス、新しい有害事象は常に存在しうる。
- もし患者から直接取得するPBMの利用が難しかったり、適切でない場合は、VBMによるアプローチは唯一の選択肢になる。
- 医療経済評価に利用されるその他パラメータと同様に、VBMによって定量化されるスコアは最終的な医療資源配分に関する意思決定に多大な影響を及ぼす可能性があるため、その方法論や報告は慎重に検討されなければならない。

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