

Original Article

Optimization of Therapeutic Strategy for p16-Positive Oropharyngeal Squamous Cell Carcinoma: Multi-Institutional Observational Study Based on the National Head and Neck Cancer Registry of Japan

Yuki Saito, MD, PhD¹; Ryuichi Hayashi, MD²; Yoshiyuki Iida, MD³; Takatsugu Mizumachi, MD, PhD⁴; Takashi Fujii, MD⁵; Fumihiko Matsumoto, MD, PhD⁶; Takeshi Beppu, MD, PhD⁷; Masafumi Yoshida, MD, PhD¹; Hirotaka Shinomiya, MD, PhD⁸; Ryoosuke Kamiyama, MD, PhD⁹; Mutsukazu Kitano, MD¹⁰; Kazuhiko Yokoshima, MD, PhD¹¹; Yasushi Fujimoto, MD, PhD¹²; Takanori Hama, MD, PhD¹³; Taku Yamashita, MD, PhD¹⁴; Kenji Okami, MD, PhD¹⁵; Kouki Miura, MD, PhD¹⁶; Takuo Fujisawa, MD, PhD¹⁷; Daisuke Sano, MD, PhD¹⁸; Hisayuki Kato, MD, PhD¹⁹; Shujiro Minami, MD, PhD²⁰; Masashi Sugawara, MD, PhD²¹; Muneyuki Masuda, MD, PhD²²; Ichiro Ota, MD, PhD²³; Shigemichi Iwae, MD, PhD²⁴; Ryo Kawata, MD, PhD²⁵; Nobuya Monden, MD, PhD²⁶; Takayuki Imai, MD, PhD²⁷; Takahiro Asakage, MD, PhD²⁸; Masafumi Okada, MD, PhD²⁹; Takanori Yoshikawa, PhD³⁰; Kensuke Tanioka, PhD³¹; Megumi Kitayama, MSN³⁰; Mariko Doi, PhD³²; Satoshi Fujii, MD, PhD^{33,34}; Masato Fujii, MD, PhD²⁰; Nobuhiko Oridate, MD, PhD¹⁸; Munenaga Nakamizo, MD, PhD¹¹; Seiichi Yoshimoto, MD, PhD⁶; Akihiro Homma, MD, PhD⁴; Ken-ichi Nibu, MD, PhD⁸; and Katsunari Yane, MD, PhD³⁵

BACKGROUND: Although the American Joint Committee on Cancer TNM classification has been amended to include human papillomavirus (HPV)-related oropharyngeal squamous cell carcinoma (OPSCC) as an independent entity, to the authors' knowledge the optimized de-escalating treatment modality has not been established to date. **METHODS:** The authors conducted a retrospective, nationwide, observational study in patients with HPV-related OPSCC who were treated from 2011 to 2014 in Japan to determine the best treatment modality. **RESULTS:** A total of 688 patients who were newly diagnosed with HPV-related OPSCC who were treated with curative intent at 35 institutions and had coherent clinical information and follow-up data available were included in the current study. In patients with T1-T2N0 disease (79 patients), both the 3-year recurrence-free survival and overall survival (OS) rates were 100% in the group treated with radiotherapy (RT)

Corresponding Author: Ken-ichi Nibu, MD, PhD, Department of Otolaryngology-Head and Neck Surgery, Kobe University School of Medicine, 7-5-1, Kusunoki-cho, Chuo-ku, Kobe, Hyogo, Japan 650-0017 (nibu@med.kobe-u.ac.jp).

¹Department of Otolaryngology, Head and Neck Surgery, University of Tokyo, Tokyo, Japan; ²Department of Head and Neck Surgery, National Cancer Center Hospital East, Chiba, Japan; ³Department of Head and Neck Surgery, Shizuoka Cancer Center Hospital, Shizuoka, Japan; ⁴Department of Otorhinolaryngology, Hokkaido University Hospital, Hokkaido, Japan; ⁵Department of Head and Neck Surgery, Osaka International Cancer Institute, Osaka, Japan; ⁶Department of Head and Neck Surgery, National Cancer Center Hospital, Tokyo, Japan; ⁷Department of Head and Neck Surgery, Saitama Cancer Center, Saitama, Japan; ⁸Department of Otolaryngology-Head and Neck Surgery, Kobe University School of Medicine, Hyogo, Japan; ⁹Department of Head and Neck Surgery, Cancer Institute Hospital, Tokyo, Japan; ¹⁰Department of Otolaryngology, Kindai University Faculty of Medicine, Osaka, Japan; ¹¹Department of Otorhinolaryngology, Nippon Medical School Hospital, Tokyo, Japan; ¹²Department of Otorhinolaryngology, Nagoya University Graduate School of Medicine, Nagoya, Japan; ¹³Department of Otolaryngology, Jikei University Hospital, Tokyo, Japan; ¹⁴Department of Otolaryngology-Head and Neck Surgery, Kitasato University School of Medicine, Kanagawa, Japan; ¹⁵Department of Otolaryngology, Tokai University Hospital, Kanagawa, Japan; ¹⁶Department of Head and Neck Surgery, International University of Health and Welfare, Mita Hospital, Tokyo, Japan; ¹⁷Department of Otolaryngology, Kansai Medical University, Osaka, Japan; ¹⁸Department of Otolaryngology-Head and Neck Surgery, Yokohama City University, School of Medicine, Kanagawa, Japan; ¹⁹Department of Otolaryngology & Head and Neck Surgery, Fujita Health University School of Medicine, Aichi, Japan; ²⁰Department of Otolaryngology, National Hospital Organization Tokyo Medical Center, Tokyo, Japan; ²¹Department of Head and Neck Surgery, Saitama Medical University International Medical Center, Saitama, Japan; ²²Department of Head and Neck Surgery, National Hospital Organization Kyushu Cancer Center, Fukuoka, Japan; ²³Department of Otolaryngology, Nara Medical University Hospital, Nara, Japan; ²⁴Department of Head and Neck Surgery, Hyogo Cancer Center, Hyogo, Japan; ²⁵Department of Otolaryngology, Osaka Medical College Hospital, Osaka, Japan; ²⁶Department of Head and Neck Surgery, National Hospital Organization Shikoku Cancer Center, Ehime, Japan; ²⁷Department of Head and Neck Surgery, Miyagi Cancer Center, Miyagi, Japan; ²⁸Department of Head and Neck Surgery, Tokyo Medical and Dental University Hospital, Tokyo, Japan; ²⁹University Hospital Medical Information Network, Tokyo, Japan; ³⁰Data Center Department, Clinical Study Support Center, Wakayama Medical University Hospital, Wakayama, Japan; ³¹Faculty of life and biomedical sciences, Doshisha University, Kyoto, Japan; ³²Department of Health Policy and Technology Assessment, National Institute of Public Health, Saitama, Japan; ³³Division of Pathology, Exploratory Oncology Research and Clinical Trial Center, National Cancer Center Hospital East, Chiba, Japan; ³⁴Department of Molecular Pathology, Yokohama City University School of Medicine, Kanagawa, Japan; ³⁵Department of Otorhinolaryngology, Kindai University Nara Hospital, Nara, Japan

We sincerely thank the following investigators who participated in this study: Tetsuo Onizuka (Shizuoka Cancer Center, Shizuoka, Japan), Takashi Maruo (Nagoya University Hospital, Aichi, Japan), Satoshi Toh (Kyushu Cancer Center, Fukuoka, Japan), Taro Sugimoto (Tokyo Metropolitan Cancer and Infectious Diseases Center, Komagome Hospital, Tokyo, Japan), Hidenori Suzuki (Aichi Cancer Center, Aichi, Japan), Mitsuhiro Nakahira (Saitama Medical University International Medical Center, Saitama, Japan), Koji Ebisumoto (Tokai University Hospital, Kanagawa, Japan), Taisuke Akutsu (Jikei University Hospital, Tokyo, Japan), Koichi Kano (Kitasato University School of Medicine, Kanagawa, Japan), Hiroki Mitani (Cancer Institute Hospital, Tokyo, Japan), Kazuchika Ono (Tokyo Medical and Dental University Hospital, Tokyo, Japan), Masaaki Higashino (Osaka Medical College Hospital, Osaka, Japan), Hiroshi Iwai (Kansai Medical University, Osaka, Japan), Tadashi Yoshii (Osaka International Cancer Center, Osaka, Japan), Miki Takahara (Asahikawa Medical University Hospital, Hokkaido, Japan), Yujiro Fukuda (Kawasaki Medical School Hospital, Okayama, Japan), Torahiko Nakajima (Kyushu Medical Center, Fukuoka, Japan), and Satoshi Suto (Okinawa Prefectural Chubu Hospital, Okinawa, Japan).

Additional supporting information may be found in the online version of this article.

DOI: 10.1002/cncr.33062, Received: February 10, 2020; Revised: May 29, 2020; Accepted: May 29, 2020, Published online July 10, 2020 in Wiley Online Library (wileyonlinelibrary.com)

as well as the group receiving concurrent chemoradiotherapy (CCRT). The 3-year OS rates were 94.4% (for patients with T1N0 disease) and 92.9% (for patients with T2N0 disease) among the patients treated with upfront surgery. In patients with stage I to stage II HPV-related OPSCC, the 5-year recurrence-free survival and OS rates were 91.4% and 92%, respectively, in the patients treated with CCRT with relatively high-dose cisplatin (≥ 160 mg/m²; 114 patients) and 74.3% and 69.5%, respectively, in the patients treated with low-dose cisplatin (< 160 mg/m²; 17 patients). **CONCLUSIONS:** Despite it being a retrospective observational trial with a lack of information regarding toxicity and morbidity, the results of the current study demonstrated that patients with T1-T2N0 HPV-related OPSCC could be treated with RT alone because of the equivalent outcomes of RT and CCRT, and patients with stage I to stage II HPV-related OPSCC other than those with T1-T2N0 disease could be treated with CCRT with cisplatin at a dose of ≥ 160 mg/m². *Cancer* 2020;126:4177-4187. © 2020 American Cancer Society.

KEYWORDS: head and neck squamous cell carcinoma (HNSCC), human papillomavirus (HPV), Japan, oropharyngeal carcinoma, p16.

INTRODUCTION

Recently, various reports from the United States and Western Europe have indicated a relationship between oropharyngeal squamous cell carcinoma (OPSCC) and human papillomavirus (HPV).^{1,2} In addition to alcohol consumption and tobacco smoking, it now is widely recognized that HPV is a cancer-initiating and important prognostic factor for OPSCC. In January 2017, the American Joint Committee on Cancer (AJCC) TNM classification was revised to the eighth edition, in which HPV-related OPSCC (HPV-OPSCC) was specified separately as an independent entity. In this staging classification, it was reported that the 5-year crude survival rate was 90% in patients with stage I disease, 80% in patients with stage II disease, and 60% in patients with stage III disease.³

Thus, we must reconsider the treatment intensity and treatment strategy for each new prescribed staging system. However, to establish a new treatment guideline for new staging, questions remained: 1) Should all patients with AJCC eighth edition stage I disease be treated using the same treatment protocol?; and 2) Should concurrent chemoradiotherapy (CCRT) be performed with the same dose of cisplatin as that used among patients with HPV-negative head and neck squamous cell carcinoma (HNSCC)? Ideally, these questions should be investigated using well-designed, randomized, prospective clinical trials. However, these prospective studies require several years to obtain results. Thus, as an alternative, we designed a nationwide, multi-institutional, retrospective observational study to answer these questions to establish new treatment guidelines for patients with HPV-OPSCC.

The national Head and Neck Cancer Registry of Japan has been maintained by the Japan Society for Head and Neck Cancer to accumulate data regarding head and neck cancers diagnosed in Japan and implement surveys. The enrollment of newly diagnosed patients was restarted in 2011 and the numbers of patients enrolled have been increasing year by year. In 2016, a total of 11,716 patients were newly enrolled from 184 institutions. The registry currently covers greater than one-half of the estimated

annual number of head and neck cancers in Japan. Thus, we believe this registry reflects actual clinical practice in Japan.

In the current study, we extracted patients with OPSCC from the Head and Neck Cancer Registry of Japan from 2011 through 2014 and assessed their p16 status. Clinical and pathological information and oncological outcomes of the patients with p16-positive disease then were collected.

MATERIALS AND METHODS

Multi-Institutional Observational Study

The current study was a noninterventional, multi-institutional, collaborative study of the actual state of medical care for patients with HPV-OPSCC. Therefore, all treatments and tests were conducted as part of normal clinical care, and in accordance with the ethics policy for clinical studies (partially revised on February 28, 2017). The selection criteria were: 1) the presence of a primary oropharyngeal neoplasm; 2) the neoplasm was pathologically confirmed as SCC; and 3) $\geq 75\%$ of the tumor cells were positive for p16 immunostaining. The exclusion criteria were: 1) a history of past treatments for head and neck cancer; and 2) the presence of active double cancer (synchronous double cancer or metachronous double cancer with a disease-free period of < 5 years).

The current study was approved by the ethical committee of the Japan Society for Head and Neck Cancer (#2016-02) and those of the participating institutions. Written informed consent for participation in the current trial was obtained from all patients at the time of registration or at the time of enrollment in the study.

Enrollment Procedure

In the current study, all enrollments took place via a web-based case report form. The principal investigators of the participating institutions initially accessed the members-only site on the website of the Japan Society for Head and Neck Cancer by using the University Hospital Medical Information Network (UMIN) identification. They then logged onto the UMIN Internet Data and Information

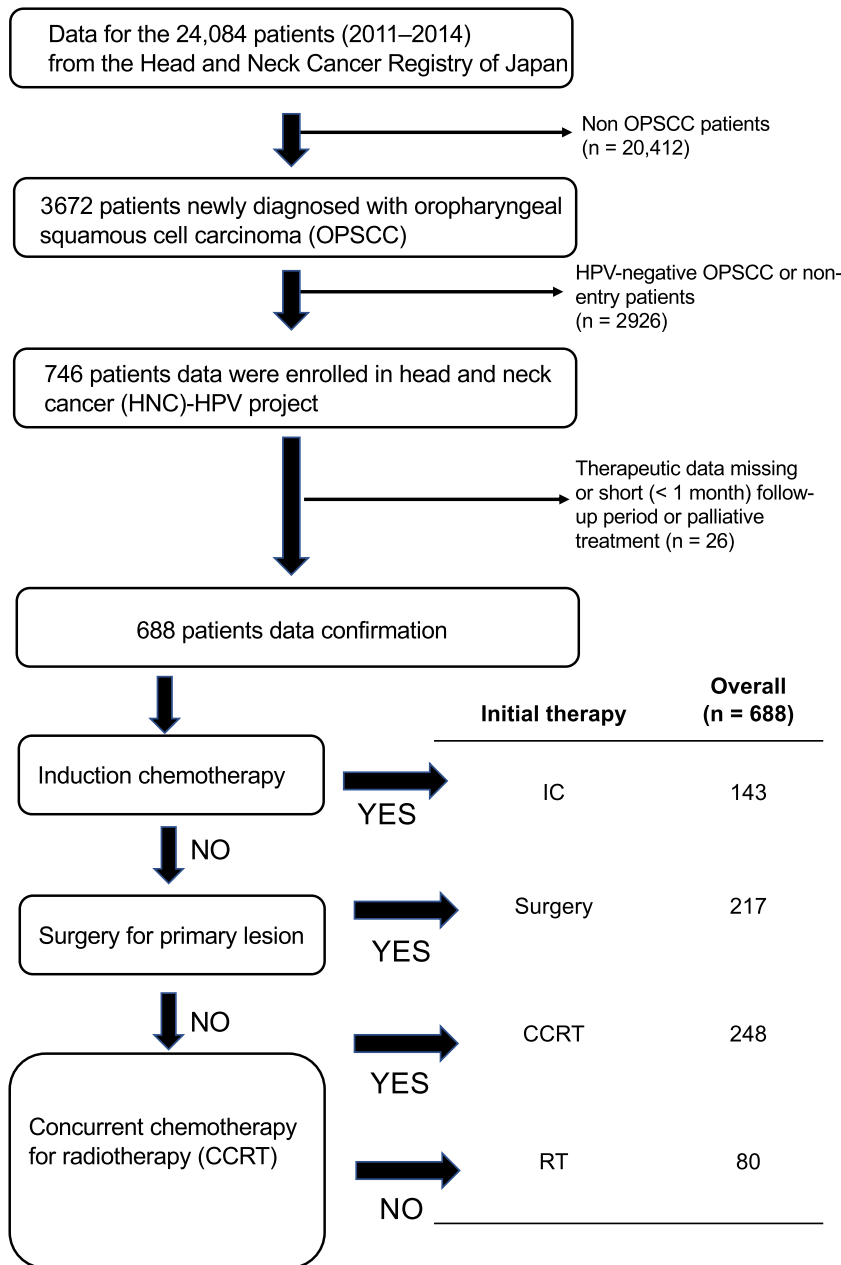


Figure 1. Patient enrollment, data cleaning, and classification of therapeutic modality. CCRT indicates concurrent chemoradiotherapy; HNC, head and neck cancer; HPV, human papillomavirus; IC, induction chemotherapy; OPSCC, oropharyngeal squamous cell carcinoma.

Center for Medical Research registration server located on the members-only site using the issued UMIN identification. The following data were defined as observation items and were noted on the patient enrollment form (resource) on the web-based case report form. Collected data were submitted to the Wakayama Clinical Data Center and cleaned.

Patient data included date of birth, age at the time of the initial examination, sex, and p16 positivity.

Pretreatment clinical information included the location of the primary tumor (sublocation: lateral wall, anterior wall, upper wall, and posterior wall), T classification (T0, T1, T2, T3, or T4), N classification (N0, N1, N2, or N3), M classification (M0 or M1), staging (calculated automatically), smoking history (nonsmoker or smoker with a pack-year history <10 years, >10 years but <20 years, or >20 years), and alcohol use (nondrinker, social drinker,

1-2 drinks per day, >3 drinks per day, and details of drinking habits not provided). Information regarding radiotherapy, chemotherapy, and chemoradiotherapy included induction chemotherapy (none, cisplatin, taxane, cetuximab, 5-fluorouracil [5-FU], cisplatin plus 5-FU, taxane plus 5-FU, cisplatin plus taxane plus 5-FU, other, and unknown), number of courses, radiotherapy (RT), treatment aims (curative irradiation, preoperative irradiation, postoperative irradiation, and palliative irradiation), irradiation method (3-dimensional, intensity-modulated RT, or other), start date of RT, date of RT completion, RT duration (automatically input), total dose, concurrent chemotherapy (none, cisplatin, taxane, cetuximab, 5-FU, and other), accumulated cisplatin total dose, prophylactic percutaneous endoscopic gastrostomy (with vs without), and treatment response (complete response, partial response, no change, or progressive disease). Surgical treatment included the time and/or aims of surgery (surgery as the initial treatment or after RT, including salvage surgery for preservation after first-line treatment, palliative surgery, and other), surgical procedure for the primary lesion (none, transoral approach, or transcervical), neck dissection (without, unilateral only, or bilateral), pathological T classification (T0, T1, T2, T3, T4, Tx, and undefined), surgical margin (negative vs positive), closed margin (<5 mm), and pathologic N classification (N0, N1, N2, Nx, and undefined). Information regarding clinical outcome included the entry date, oral intake (normal, tube supplementation, or intravenous supplementation), functional outcome swallowing scale (stage 0, 1, 2, 3, 4, or 5), tracheostomy (with vs without), total laryngectomy (with vs without), oncological outcome (alive and recurrence free, alive with cancer, death from cancer, and death from other causes), details of death from other causes, site of disease recurrence (none, primary tumor site, neck, distal, primary tumor site plus neck, primary tumor site plus distal, neck plus distal, primary tumor site plus neck plus distal), date of confirmation, confirmation method (pathological testing, computed tomography, magnetic resonance imaging, positron emission tomography, or other), and treatment of disease recurrence (surgery, RT, chemotherapy [including immunotherapy], chemoradiotherapy, or palliative therapy).

Statistical Analysis

The major endpoint of the study was overall survival (OS), and the secondary endpoint was recurrence-free survival (RFS). An OS event was defined as death and an RFS event was defined as death or first recurrence of HPV-OPSCC. Univariate OS was evaluated using the Kaplan-Meier

TABLE 1. Patient Demographics (N = 688)

| Characteristic | Overall |
|------------------------------------|--------------------|
| Follow-up, y | |
| Mean (SD) | 3.81 (2.03) |
| Median (range) | 4.18 (0.0966-7.63) |
| Sex | |
| Female | 130 (18.9%) |
| Male | 558 (81.1%) |
| Age, y | |
| Mean (SD) | 62.9 (10.7) |
| Median (range) | 63.0 (31.0-91.0) |
| T category (8th edition TNM stage) | |
| T1 | 127 (18.5%) |
| T2 | 331 (48.1%) |
| T3 | 120 (17.4%) |
| T4 | 110 (16.0%) |
| N category (8th edition TNM stage) | |
| N0 | 124 (18.0%) |
| N1 | 346 (50.3%) |
| N2 | 193 (28.0%+E9) |
| N3 | 25 (3.6%) |
| 8th edition TNM stage of disease | |
| I | 343 (49.9%) |
| II | 210 (30.5%) |
| III | 124 (18.0%) |
| IV | 11 (1.6%) |
| Smoking history | |
| None | 193 (28.1%) |
| <10 pack-y | 66 (9.6%) |
| 10<pack-y<20 | 90 (13.1%) |
| >20 pack-y | 339 (49.3%) |
| Alcohol use | |
| None | 170 (24.7%) |
| Occasional use | 122 (17.7%) |
| <2 drinks/d | 198 (28.8%) |
| >3 drinks/d | 162 (23.5%) |
| Unknown | 36 (5.2%) |

method and the log-rank test. Variables were analyzed using multivariable survival analysis using multiple Cox regression models. The hazard ratios (HRs) and 95% confidence intervals (95% CIs) were calculated to determine the effect of each variable on the outcome, with an HR <1.0 and $P < .05$ considered to be indicative of statistical significance. The cisplatin dose was defined as the accumulated total amount of cisplatin. All analyses were performed by using R statistical software (version 3.4.0; R Foundation for Statistical Computing, Vienna, Austria [<http://www.R-project.org>]) and SAS statistical software (version 9.4; SAS Institute Inc, Cary, North Carolina) by statistical experts (M.O. and T.Y) independent of the data center.

RESULTS

Among the 24,084 patients with HNSCC who were newly registered between 2011 and 2014, a total of 3672 patients were diagnosed as having OPSCC, including HPV-positive OPSCC and HPV-negative OPSCC. Of these, 688 patients who were newly diagnosed as having immunohistochemically confirmed

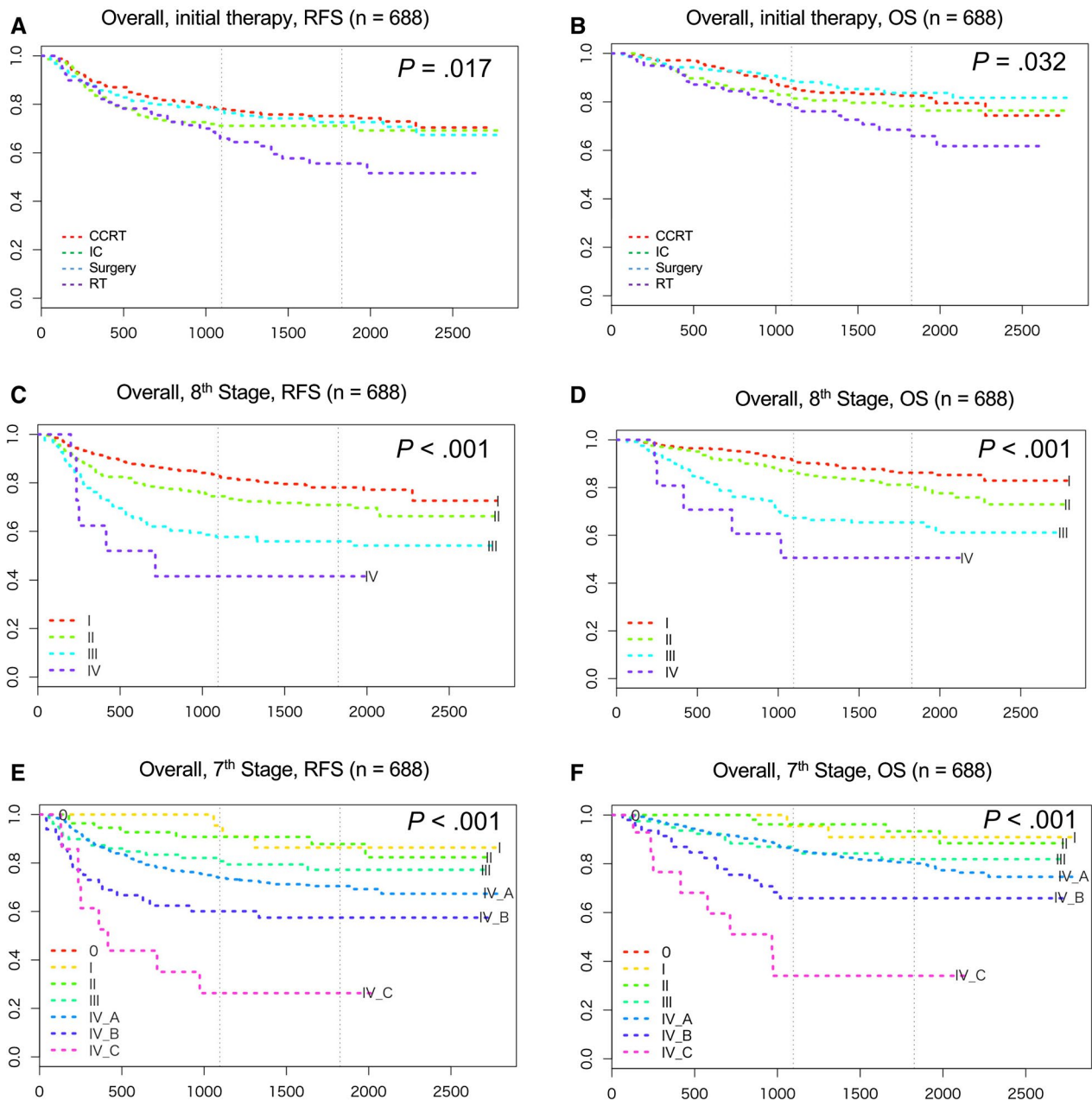


Figure 2. Kaplan-Meier survival curves for 688 patients. (A) Overall survival (OS) and (B) recurrence-free survival (RFS) of each therapeutic modality. (C) OS and (D) RFS of eighth edition TNM staging (8th). (E) OS and (F) RFS of seventh edition TNM staging (7th). Significant prognostic differences were observed between each group using the log-rank test. (A) $P = .017$, (B) $P = .032$, (C) $P < .001$, (D) $P < .001$, (E) $P < .001$, and (F) $P < .001$. CCRT indicates concurrent chemoradiotherapy; IC, induction chemotherapy; RT, radiotherapy.

p16-positive HPV-OPSCC from 2011 to 2014 had coherent clinical information and follow-up data available after undergoing curative-intent therapy at 35 institutions. Data entry regarding clinical outcome was initiated in January 2018 and ended in December 2018 (see Supporting Fig. 1). Of the 688 patients, we classified the patients according to the initial treatment

modality for the primary lesion as: 1) the induction chemotherapy (IC) group; 2) the surgery group; 3) the CCRT group; and 4) the RT group. IC was defined as induction chemotherapy irrespective of the following treatment, such as surgery, RT, or chemoradiotherapy. Surgery was defined as upfront surgery for the primary lesion irrespective of the following treatment, such as

RT or CCRT. RT and CCRT were defined as therapeutic RT with or without concomitant chemotherapy, irrespective of the following treatment, such as salvage surgery (Fig. 1).

Characteristics of the Patients and Prognostic Factors

Table 1 shows the clinical and follow-up data of the 688 patients with HPV-OPSCC. In this data set, the median follow-up was >4 years, and the median age was 63 years (range, 31-91 years). Nonsmokers comprised approximately 28.1% and nondrinkers comprised approximately 24.7% of the patients. Patients classified with stage I disease according to the eighth edition of the TNM classification (eighth edition stage I) comprised 49.9% of the patients. Supporting Table 1 shows the prognostic factors for RFS and OS. The prognostic factors were cTNM stages according to the eighth edition of the TNM classification (eighth edition cTNM), location of the primary tumor, and age >75 years for OS and RFS.

Survival According to Initial Treatment and Clinical Seventh and Eighth Edition TNM Stages

Supporting Table 2 shows the treatment modality for each seventh and eighth edition TNM stage of disease. In the seventh edition TNM classification, approximately 60% of the patients were classified as having stage IVA disease, and use of the eighth edition of the TNM classification demonstrated evenly distributed stages. Surgery (73.7%) and RT (52.5%) tended to be selected as the initial treatment modality in patients with eighth edition stage I disease, and IC (27.3%) tended to be used among patients with eighth edition stage III disease. Figure 2 shows the survival curves for RFS and OS. With regard to the initial treatment modality, the groups treated with CCRT, IC, and surgery demonstrated similar RFS (Fig. 2A) and OS (Fig. 2B). As was expected, the eighth edition of the TNM classification accurately predicted RFS (Fig. 2C) and OS (Fig. 2D) in the current study. It is interesting to note that the seventh edition of the TNM classification also accurately predicted RFS (Fig. 2E) and OS (Fig. 2F). Because the eighth edition TNM stages were classified retrospectively, there were some discordances between the 2 classifications.

RT Versus Surgery in Patients With T1-T2N0 Disease

We compared oncological outcomes according to treatment modality for patients with T1-T2N0 disease (79 patients). Among those patients with T1N0 (23

TABLE 2. Demographics of Patients With T1-T2N0 Disease (N = 79)

| Characteristics | Surgery N = 49 | RT N = 12 | CCRT N = 15 |
|-----------------|-------------------|-----------|----------------|
| Age, y | | | |
| ≤75 | 41 (83.7) | 6 (50.0) | 13 (86.7) |
| >75 | 8 (16.3) | 6 (50.0) | 2 (13.3) |
| Sex | | | |
| Female | 9 (18.4) | 2 (16.7) | 4 (26.7) |
| Male | 40 (81.6) | 10 (83.3) | 11 (73.3) |
| Subsite | | | |
| Lateral | 33 (67.3) | 11 (91.7) | 11 (73.3) |
| Anterior | 6 (12.2) | 1 (8.3) | 3 (20.0) |
| Superior | 7 (14.3) | | 1 (6.7) |
| Posterior | 3 (6.1) | | |
| Smoking history | | | |
| None | 13 (26.5) | 8 (66.7) | 4 (26.7) |
| ≤10 pack-y | 9 (18.4) | | 2 (13.3) |
| 10<pack-y≤20 | 3 (6.1) | | 3 (20.0) |
| >20 pack-y | 24 (49.0) | 4 (33.3) | 6 (40.0) |
| Alcohol use | | | |
| None | 12 (24.5) | 7 (58.3) | 3 (20.0) |
| Occasional use | 5 (10.2) | | 2 (13.3) |
| ≤2 drinks/d | 17 (34.7) | 1 (8.3) | 6 (40.0) |
| >2 drinks/d | 14 (28.6) | 3 (25.0) | 4 (26.7) |
| Unknown | 1 (2.0) | 1 (8.3) | |

Abbreviations: CCRT, concurrent chemoradiotherapy; RT, radiotherapy.

patients) and T2N0 (56 patients) disease, the 3-year OS and RFS rates were 100% in the groups treated with CCRT (15 patients) and RT (12 patients), but the 3-year OS rates in the surgery group were 94.4% for patients with stage I disease (19 patients) and 92.9% for patients with stage II disease (30 patients). Table 2 shows the clinical and follow-up data among these 3 groups. Figure 3 shows the survival curves for RFS and OS. No significant prognostic difference was observed among the 3 groups.

Reduced Dose of Cisplatin With RT in Patients With Stages I and II Disease

We performed statistical analysis of the prognostic factors according to the CCRT regimens such as cisplatin (161 patients), cetuximab (37 patients), other regimens (50 patients), and RT alone, as well as the eighth edition TNM classification and the age and sex of the patients treated with RT (80 patients) and CCRT (248 patients). According to Cox regression multivariate analysis, the eighth edition TNM stages and the combination of cisplatin and RT were found to be significant prognostic factors for both RFS and OS (HR, 0.36 [95% CI, 0.21-0.64] and HR, 0.37 [95% CI, 0.19-0.71], respectively) (Table 3).

We next compared the oncological outcomes between the patients with eighth edition stage I to stage II disease who underwent treatment with cisplatin and

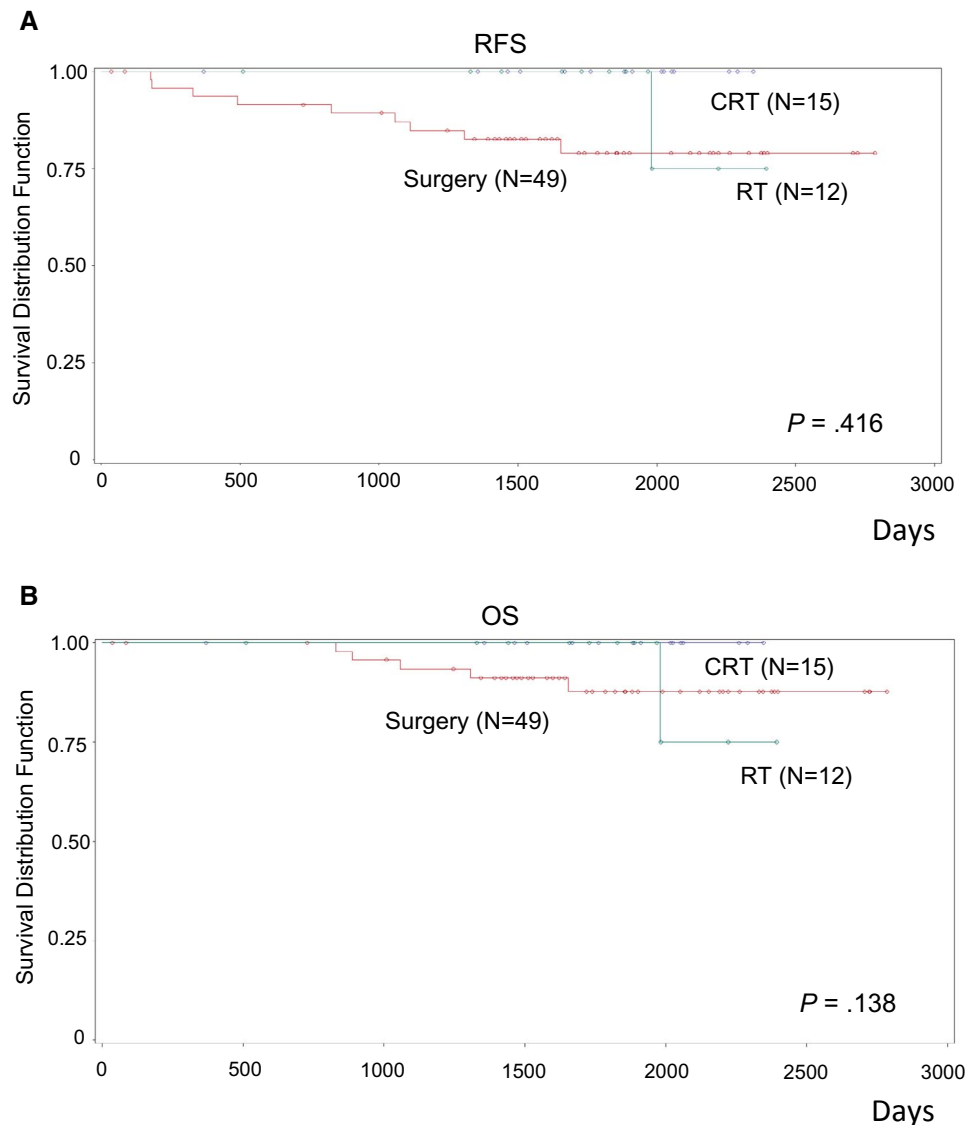


Figure 3. Kaplan-Meier survival curves of 76 patients with T1-T2N0 disease. There were no significant differences noted between the patients treated with surgery, radiotherapy (RT), and concurrent chemoradiotherapy (CCRT). No cases of disease recurrence were noted among the patients treated with RT alone. RFS indicates recurrence-free survival.

RT based on the cumulative cisplatin dose. We used the following subcategories: 1) subcategory 1: cisplatin at a dose of >0 mg/m^2 but <160 mg/m^2 ; 2) subcategory 2: cisplatin at a dose of ≥ 160 mg/m^2 but <240 mg/m^2 ; and 3) subcategory 3: cisplatin at a dose of ≥ 240 mg/m^2 . Furthermore, Cox regression analysis demonstrated that categories 2 and 3 were significant favorable prognostic factors for RFS and OS (category 2 RFS: HR, 0.14 [95% CI, 0.04-0.44] and category 2 OS: HR, 0.14 [95% CI, 0.04-0.52]; and category 3 RFS: HR, 0.16 [95% CI, 0.06-0.46] and category 3 OS: HR, 0.15 [95% CI, 0.04-0.55]) (Table 4). We also compared

the relatively high-dose cisplatin group (≥ 160 mg/m^2 ; 114 patients) and low-dose cisplatin group (<160 mg/m^2 ; 17 patients). The 5-year RFS and OS rates in the relatively high-dose cisplatin group were 91.4% and 92%, respectively, whereas those in the low-dose cisplatin group were 74.3% and 69.5%, respectively. The 5-year RFS and OS between these 2 groups were significantly different (HR, 0.21 [95% CI, 0.07-0.62] and HR, 0.18 [95% CI, 0.06-0.51], respectively) (Fig. 3). In addition, no statistical difference was observed between the accumulated cisplatin dose and stage of disease (see Supporting Table 3).

TABLE 3. HR of Each Covariate in the RT or CCRT Treatment Groups (N = 328)

| RFS | No. | HR (95% CI) | P |
|----------------------------------|-------------|---------------------|-------|
| 8th edition TNM stage of disease | | | |
| I | 145 (44.2%) | Reference | |
| II | 116 (35.4%) | 1.75 (1.07-2.86) | 0.025 |
| III | 66 (20.1%) | 2.46 (1.44-4.18) | <.001 |
| IV | 1 (0.3%) | 24.06 (2.97-194.87) | 0.003 |
| Age, y | | | |
| <75 | 265 (80.8%) | Reference | |
| >75 | 63 (19.2%) | 1.26 (0.75-2.10) | 0.381 |
| Sex | | | |
| Female | 59 (18.0%) | Reference | |
| Male | 269 (82.0%) | 1.17 (0.65-2.11) | 0.606 |
| Concomitant chemotherapy | | | |
| None | 80 (24.4%) | Reference | |
| Cisplatin | 161 (49.0%) | 0.36 (0.21-0.64) | <.001 |
| Cetuximab | 37 (11.3%) | 1.36 (0.75-2.45) | 0.31 |
| Other regimen | 50 (15.2%) | 0.61 (0.32-1.19) | 0.148 |
| OS | | | |
| 8th edition TNM staging | | | |
| I | 145 (44.2%) | Reference | |
| II | 116 (35.4%) | 1.82 (1.01-3.28) | 0.048 |
| III | 66 (20.1%) | 3.24 (1.75-5.99) | <.001 |
| IV | 1 (0.3%) | 13.09 (1.58-108.24) | 0.017 |
| Age, y | | | |
| <75 | 265 (80.8%) | Reference | |
| >75 | 63 (19.2%) | 1.17 (0.63-2.16) | 0.615 |
| Sex | | | |
| Female | 59 (18.0%) | Reference | |
| Male | 269 (82.0%) | 1.06 (0.53-2.11) | 0.88 |
| Concomitant chemotherapy | | | |
| None | 80 (24.4%) | Reference | |
| Cisplatin | 161 (49.0%) | 0.37 (0.19-0.71) | 0.003 |
| Cetuximab | 37 (11.3%) | 1.20 (0.59-2.42) | 0.613 |
| Other regimen | 50 (15.2%) | 0.51 (0.23-1.14) | 0.102 |

Abbreviations: 95% CI, 95% confidence interval; CCRT, concurrent chemoradiotherapy; HR, hazard ratio; OS, overall survival; RFS, recurrence-free survival; RT, radiotherapy.

DISCUSSION

In the current nationwide, multicenter study, we successfully collected high-quality data reflecting real-world evidence of HPV-related HNSCC in Japan. This new insight has provided several conclusions. First, T1-T2N0 HPV-OPSCC appeared to be well managed with the use of RT and CCRT, and a few cases of disease recurrence were observed among patients in the surgery group. Due to the seemingly equivalent outcomes noted with RT and CCRT, we would advocate for the use of RT alone in patients with T1-T2N0 disease. Second, in patients with eighth edition stage I to stage II disease, the optimized cisplatin dose was ≥ 160 mg/m² for the combination of cisplatin and RT. Because HPV-OPSCC is known for its outstanding response to RT and chemotherapy, it is quite understandable that the dose of cisplatin required for the treatment of patients with eighth edition stage I to stage II HPV-OPSCC was

lower than the previously reported dose (200 mg/m²) in patients with traditional HNSCC.⁴ Although these results did not derive from the prospective clinical trials, and we were unable to obtain data regarding toxicity and morbidity, we believe that these simple and convincing results based on real-world data could be a milestone for future clinical practice and would provide preliminary evidence for future clinical trials.

The incidence of oral and pharyngeal cancers has been increasing in Japan. In 2015, the number of oral and pharyngeal cancer cases was estimated at approximately 18,000 patients.⁵ Among these individuals, OPSCC is reported to develop in approximately 4600 individuals per year. In a previous Japanese multicenter study conducted from 2008 to 2010, HPV-OPSCC accounted for approximately 50.3% of cases,⁶ suggesting that the annual number of HPV-OPSCC cases in Japan is approximately 2300. Therefore, we collected approximately 9% (784 of 9200 cases) of the nationwide real-world data.

A previous, multicenter, retrospective International Collaboration on Oropharyngeal cancer Network for Staging (ICON-S) study⁷ for HPV-OPSCC had a significant impact on the eighth edition TNM staging amendment. The ICON-S study included 1907 patients from 6 institutions who were diagnosed with HPV-OPSCC and mainly were treated with RT (98%); the results demonstrated that the seventh edition TNM staging was not appropriate, especially for patients with clinical stage I to stage IVA disease. Even in the ICON-S study cohort, the number of patients with T1N0 disease was 19, and the number of patients with T2N0 disease (71 patients) was nearly the same as that in the current study cohort (23 patients with T1N0 disease and 56 patients with T2N0 disease). Recently, Yoshida et al⁸ reported that the OS of patients with eighth edition stage I, N0 HPV-related HNSCC who underwent RT did not improve with concurrent chemotherapy based on the analysis of the propensity score matching patients from the National Cancer Data Base (4473 patients overall and 461 patients with N0 disease). Further study would be needed to validate whether patients with T1-T2N0 HPV-OPSCC could be regarded as favorable cohort similar to patients with HPV-negative HNSCC. In the National Comprehensive Cancer Network guideline, the recommended treatment modality for patients with T1-T2N0 HPV-OPSCC is surgical resection of the primary lesion with or without neck dissection or definitive RT.⁹ As of March 2019, use of the da Vinci Surgical System for transoral surgery in patients with

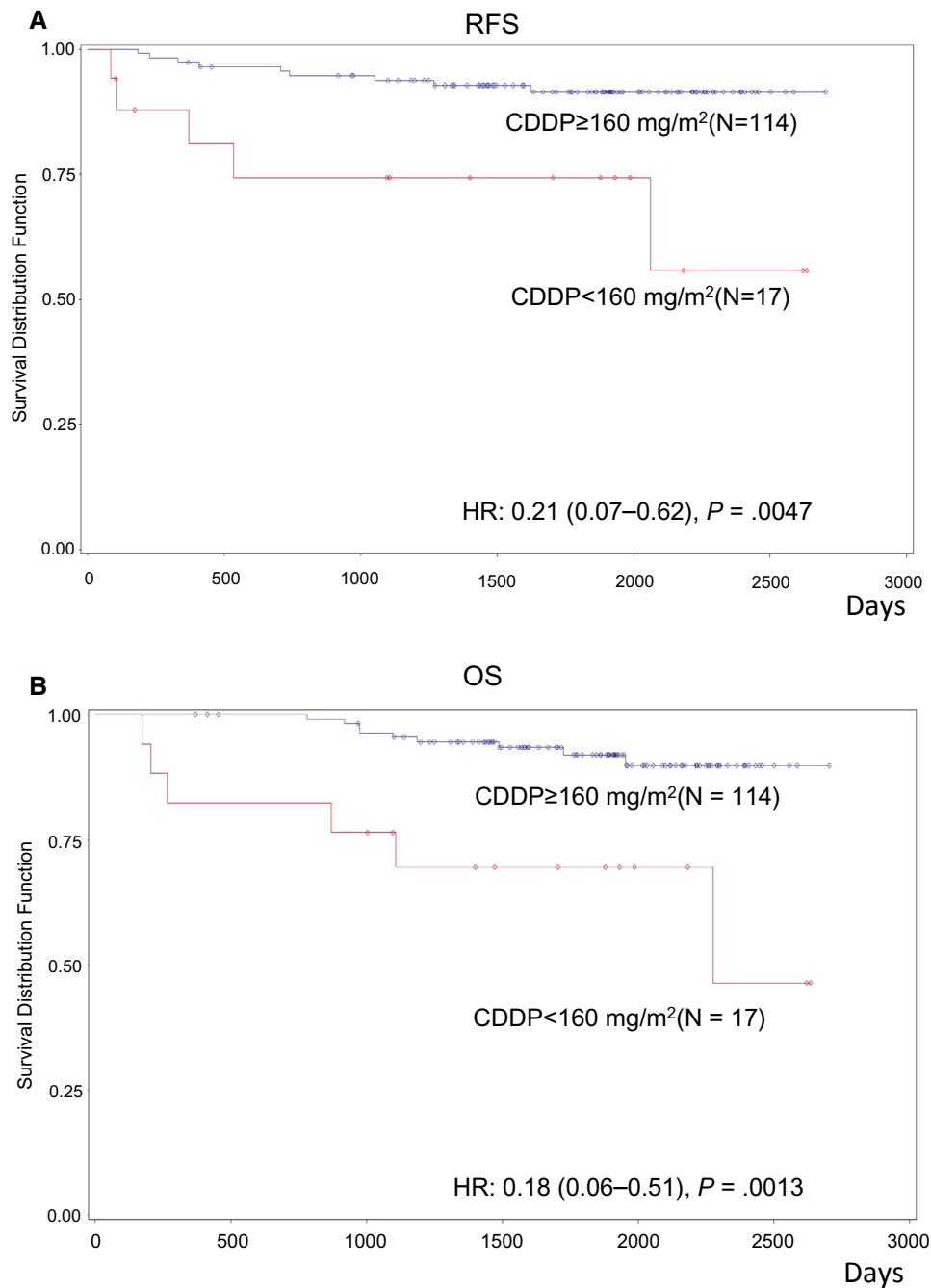


Figure 4. Kaplan-Meier survival curves of 131 patients treated with concomitant chemoradiotherapy with cisplatin (CDDP) monotherapy. Patients treated with relatively high-dose cisplatin (≥ 160 mg/m²) were found to have a significantly more favorable prognosis compared with patients treated with low-dose cisplatin (< 160 mg/m²) with regard to (A) overall survival (OS) (hazard ratio [HR], 0.18; 95% confidence interval [95% CI], 0.06–0.51 [$P = .0013$]) and (B) recurrence-free survival (RFS) (HR, 0.21; 95% CI, 0.07–0.62 [$P = .0047$]).

OPSCC has not been approved by Japanese public medical insurance, although the US Food and Drug Administration did approve its use for patients with T1-T2 OPSCC.

The combination of cisplatin and RT has been a standard of care for patients with advanced HNSCC over the past 20 years.¹⁰ Recently, even noninferiority trials of cetuximab and RT failed to demonstrate a positive result.¹¹

TABLE 4. HR of Each Covariate in the Patients Treated With Cisplatin and RT Among the Patients With Stage I to II Disease (N = 131)

| RFS | N | HR (95% CI) | P |
|---|------------|--------------------|-------|
| 8th edition TNM staging | | | |
| I | 76 (58.0) | Reference | 0.153 |
| II | 55 (42.0) | 2.03 (0.77-5.33) | |
| Age, y | | | |
| <75 | 125 (95.4) | Reference | 0.003 |
| >75 | 6 (4.6) | 7.84 (2.06-29.87) | |
| Sex | | | |
| Female | 29 (22.1) | Reference | 0.874 |
| Male | 102 (77.9) | 0.91 (0.28-2.95) | |
| Concomitant chemotherapy | | | |
| 0 mg/m ² < Cisplatin <160 mg/m ² | 17 (13.0) | Reference | <.001 |
| 160 mg/m ² ≤ Cisplatin <240 mg/m ² | 50 (38.2) | 0.14 (0.04-0.44) | |
| 240 mg/m ² ≤ Cisplatin | 64 (48.9) | 0.16 (0.06-0.46) | |
| | | | |
| OS | | | |
| 8th edition TNM staging | | | |
| I | 76 (58.0) | Reference | 0.167 |
| II | 55 (42.0) | 2.23 (0.72-6.97) | |
| Age, y | | | |
| <75 | 125 (95.4) | Reference | <.001 |
| >75 | 6 (4.6) | 13.49 (3.21-56.67) | |
| Sex | | | |
| Female | 29 (22.1) | Reference | 0.354 |
| Male | 102 (77.9) | 0.55 (0.15-1.95) | |
| Concomitant chemotherapy | | | |
| 0 mg/m ² < Cisplatin <160 mg/m ² | 17 (13.0) | Reference | 0.004 |
| 160 mg/m ² ≤ Cisplatin <240 mg/m ² | 50 (38.2) | 0.14 (0.04-0.52) | |
| 240 mg/m ² ≤ Cisplatin | 64 (48.9) | 0.15 (0.04-0.55) | |
| | | | |

Abbreviations: 95% CI, 95% confidence interval; HR, hazard ratio; OS, overall survival; RFS, recurrence-free survival; RT, radiotherapy.

In the current study, CCRT tended to demonstrate superiority to surgery using multivariate regression analysis for RFS ($P = .08$). In addition, we suggested a reduction in the total dose of cisplatin in cisplatin-based CCRT. Treatment deintensification for patients with HPV-OPSCC has been an important topic in the treatment of head and neck cancer, and numerous clinical trials currently are ongoing.¹²⁻¹⁴ Chera et al reported that a deintensified chemoradiotherapy regimen of 60 grays of intensity-modulated RT with concurrent low-dose cisplatin provides a favorable outcome in patients with AJCC eighth edition T0-T3, N0-N2 disease.¹⁵ Recently, Ferris et al demonstrated that the transoral resection of p16-positive OPSCC is safe and results in a good oncologic outcome; the authors presented a promising deintensification approach at the 2020 American Society of Clinical Oncology annual meeting.¹⁶ However, none of these trials reduced the dose of cisplatin in the low-intensity arm compared with the standard-therapy arm. We must note that our suggestion to reduce the dose of cisplatin

was supported by the accumulating total dose in the retrospective observational study but not a total dose in the intention-to-treat analysis. Thus, well-designed prospective clinical trials are needed to validate our proposal.

There are several limitations to the current study that should be considered. First, the number of patients still was relatively small for analyzing the impact of adjuvant therapies such as postoperative RT and postoperative chemoradiotherapy. Second, although all data were submitted by board-certified head and neck surgeons, this was a retrospective observational study that could determine correlations but could not determine the best treatment modality. Third, information regarding extranodal extension and functional outcomes such as long-term complications after treatment with the combination of cisplatin and RT were limited. To address these issues, we currently are conducting a nationwide, prospective, observational study based on the national Head and Neck Cancer Registry of Japan. Currently, approximately 2000 patients with HPV-OPSCC have been newly enrolled each year. This study will provide us with more reliable real-world evidence.

The results of the current study, based on a nationwide observational study, enabled us to report the optimized treatment modality for HPV-OPSCC. Furthermore, we believe that this study provides basic evidence for future clinical trials.

FUNDING SUPPORT

Supported by grants from the Japan Agency for Medical Research and Development (grants 16ck0106225h0001 to Katsunari Yane and 18ck0106223h0003 to Ken-ichi Nibu).

CONFLICT OF INTEREST DISCLOSURES

Masafumi Okada received grants from the Japan Agency for Medical Research and Development for work performed as part of the current study. The other authors made no disclosures.

AUTHOR CONTRIBUTIONS

Yuki Saito: Conception and design; development of the methodology; acquisition and analysis of the data; and writing, review, and revision of the article. **Ryuichi Hayashi:** Administrative, technical, or material support and review of the article. **Yoshiyuki Iida:** Acquisition of the data and review of the article. **Takatsugu Mizumachi:** Acquisition of the data and review of the article. **Takashi Fujii:** Acquisition of the data and review of the article. **Fumihiko Matsumoto:** Acquisition of the data and review of the article. **Takeshi Beppu:** Acquisition of the data and review of the article. **Masafumi Yoshida:** Acquisition of the data and review of the article. **Hirota Shinomiya:** Acquisition of the data and review of the article. **Ryosuke Kamiyama:** Acquisition of the data and review of the article. **Mutsukazu Kitano:** Acquisition of the data and review of the article. **Kazuhiko Yokoshima:** Acquisition of the data and review of the article. **Yasushi Fujimoto:** Acquisition of the data and review of the article. **Takanori Hama:** Acquisition of the data and review of the article. **Taku Yamashita:** Acquisition of the data and review of the article. **Kenji Okami:** Acquisition of the data and review of the article. **Kouki Miura:** Acquisition of the data and review of the article.

Takuo Fujisawa: Acquisition of the data and review of the article. **Daisuke Sano:** Acquisition of the data and review of the article. **Hisayuki Kato:** Acquisition of the data and review of the article. **Shujiro Minami:** Acquisition of the data and review of the article. **Masashi Sugawara:** Acquisition of the data and review of the article. **Muneyuki Masuda:** Acquisition of the data and review of the article. **Ichiro Ota:** Acquisition of the data and review of the article. **Shigemichi Iwae:** Acquisition of the data and review of the article. **Ryo Kawata:** Acquisition of the data and review of the article. **Nobuya Monden:** Acquisition of the data and review of the article. **Takayuki Imai:** Acquisition of the data and review of the article. **Takahiro Asakage:** Acquisition of the data and review of the article. **Masafumi Okada:** Analysis and interpretation of the data and review of the article. **Takanori Yoshikawa:** Analysis and interpretation of the data and review of the article. **Kensuke Tanioka:** Analysis and interpretation of the data and review of the article. **Megumi Kitayama:** Analysis and interpretation of the data and review of the article. **Mariko Doi:** Analysis and interpretation of the data and review of the article. **Satoshi Fujii:** Acquisition of the data and review of the article. **Masato Fujii:** Development of the methodology, analysis of the data, and review and revision of the article. **Nobuhiko Oridate:** Development of the methodology, analysis of the data, and review and revision of the article. **Munenaga Nakamizo:** Development of the methodology, analysis of the data, and review and revision of the article. **Seiichi Yoshimoto:** Development of the methodology, analysis of the data, and review and revision of the article. **Akihiro Homma:** Development of the methodology, analysis of the data, and review and revision of the article. **Ken-ichi Nibu:** Conception and design; development of the methodology; analysis of the data; writing, review, and revision of the article; funding acquisition; and study supervision. **Katsunari Yane:** Conception and design; development of the methodology; analysis of the data; writing, review, and revision of the article; and funding acquisition.

REFERENCES

1. D'Souza G, Kreimer AR, Viscidi R, et al. Case-control study of human papillomavirus and oropharyngeal cancer. *N Engl J Med*. 2007;356:1944-1956.
2. Shi W, Kato H, Perez-Ordóñez B, et al. Comparative prognostic value of HPV16 E6 mRNA compared with in situ hybridization for human oropharyngeal squamous carcinoma. *J Clin Oncol*. 2009;27:6213-6221.
3. Lydiatt WM, Patel SG, O'Sullivan B, et al. Head and neck cancers-major changes in the American Joint Committee on Cancer Eighth Edition Cancer Staging Manual. *CA Cancer J Clin*. 2017;67:122-137.
4. Strojan P, Vermorken JB, Beitler JJ, et al. Cumulative cisplatin dose in concurrent chemoradiotherapy for head and neck cancer: a systematic review. *Head Neck*. 2016;38(suppl 1):E2151-E2158.
5. University Hospital Medical Information Network. Report of Head and Neck Cancer Registry of Japan. Clinical Statistics of Registered Patients 2016. Accessed March 28, 2020. <https://center3.umin.ac.jp/umin-blog/edit/jshnc/wp-content/uploads/2019/07/22c088b6b16786e6f1ffe799d662e885.pdf>
6. Hama T, Tokumaru Y, Fujii M, et al. Prevalence of human papillomavirus in oropharyngeal cancer: a multicenter study in Japan. *Oncology*. 2014;87:173-182.
7. O'Sullivan B, Huang SH, Su J, et al. Development and validation of a staging system for HPV-related oropharyngeal cancer by the International Collaboration on Oropharyngeal cancer Network for Staging (ICON-S): a multicentre cohort study. *Lancet Oncol*. 2016;17:440-451.
8. Yoshida EJ, Luu M, Mallen-St Clair J, et al. Stage I HPV-positive oropharyngeal cancer: should all patients receive similar treatments? *Cancer*. 2020;126:58-66. doi:10.1002/cncr.32501
9. National Comprehensive Cancer Network. Head and neck cancers. Version 1.2020. Accessed March 28, 2020. https://www.nccn.org/professionals/physician_gls/pdf/head-and-neck.pdf
10. Al-Sarraf M, Pajak TF, Marcial VA, et al. Concurrent radiotherapy and chemotherapy with cisplatin in inoperable squamous cell carcinoma of the head and neck. *An RTOG study*. *Cancer*. 1987;59:259-265.
11. Gillison ML, Trotti AM, Harris J, et al. Radiotherapy plus cetuximab or cisplatin in human papillomavirus-positive oropharyngeal cancer (NRG Oncology RTOG 1016): a randomised, multicentre, non-inferiority trial. *Lancet*. 2019;393:40-50.
12. Swisher-McClure S, Lukens JN, Aggarwal C, et al. A phase II trial of Alternative Volumes of Oropharyngeal Irradiation for De-intensification (AVOID): omission of the resected primary tumor bed following transoral robotic surgery for human papilloma virus related squamous cell carcinoma of the oropharynx. *Int J Radiat Oncol Biol Phys*. 2020;106:725-732.
13. Misiukiewicz K, Gupta V, Miles BA, et al. Standard of care vs reduced-dose chemoradiation after induction chemotherapy in HPV+ oropharyngeal carcinoma patients: the Quarterback trial. *Oral Oncol*. 2019;95:170-177.
14. Patel RR, Ludmir EB, Augustyn A, et al. De-intensification of therapy in human papillomavirus associated oropharyngeal cancer: a systematic review of prospective trials. *Oral Oncol*. 2020;103:104608.
15. Chera BS, Amdur RJ, Green R, et al. Phase II trial of de-intensified chemoradiotherapy for human papillomavirus-associated oropharyngeal squamous cell carcinoma. *J Clin Oncol*. 2019;37:2661-2669.
16. Ferris R, Flamand Y, Weinstein G, et al. Transoral robotic surgical resection followed by randomization to low- or standard-dose IMRT in resectable p16+ locally advanced oropharynx cancer: a trial of the ECOG-ACRIN Cancer Research Group (E3311) [abstract]. ASCO2020 Virtual Scientific Program; May 29-31, 2020. Abstract #6500. Accessed May 28, 2020. <https://meetinglibrary.asco.org/record/187340/abstract>