#### Mechanisms of thalidomide teratogenicity

Hiroshi Handa, MD,PhD Professor, Tokyo Medical University Professor Emeritus, Tokyo Institute of Technology

#### History of Thal

- Developed and commercialized as a sedative by Grünenthal in the late 1950s
  - Thal was withdrawn from the global market in 1961, after its negative effects on newborns became clear
    - In a half century, various useful actions of Thal were identified

Development and sales by Celgene in the US

- 1) Hansen's disease (1998, approved in the US)
- 2) Multiple myeloma (2006, approved in the US)
- 3) Multiple myeloma (2008, approved in Japan)

NH

'n

Thalidomide (Thal) MW: 258

Main effects: Sedative-hypnotic properties, anti-cancer effects, immunomodulatory effects, etc.

Side effects: Embryopathy (teratogenicity of limbs and otic vesicles)

Thal is now prescribed under strict control.

## Teratogenicity by Thal exposure in humans



#### Miller and Strömland TERATOLOGY (1999)

## Thal teratogenicity

Anomalies or site of anomaly	No. (%) affected	
Thumbs	70 (81%)	
Upper limb (excluding thumb)	59 (69%)	
Lower limb	21(24%)	
Ears/hearing	33 (38%)	
Facial nerve palsy	17(20%)	
Kidney	12 (14%)	
Cardiovascular	7(8%)	
Chest/lung	4(5%)	
Genitalia	3(3%)	by history or
Anal atresia	4(5%)	medical record
Choanal atresia	2(2%)	
Dental anomalies	4(5%)	
Mental retardation		
(moderate to severe)	5(6%)	
Autism	4(5%)	
		Teratology (1999)



Thal causes developmental anomalies in limbs and ears with high frequency.

The pathogenic mechanism is not yet known, although various hypotheses have been proposed.



#### Multiple hypotheses on mechanisms of Thal action

Hypothesis		Authors	
Acylation of macromolecules		Jonsson (1972)	
Ascorbic acid synthesis		Vaisman et al. (1983)	
DNA intercalation		Jonsson (1972)	
	Inhibition of	Stephens and Fillmore (2000)	
Disruption of angiogenesis		Jurand (1966)	
	angiogenesis	D'Amato et al. (1994)	
		Sauer et al. (2000)	
Down-regulation of adhesion	receptors	Neubert et al. (1996)	
Alteration of cytokine synthe (tumor necrosis factorα)	sis	Sampaio et al. (1991)	
Folic acid antagonism		Kemper (1962)	
Inhibition of DNA synthesis		Bakay and Nyhan (1968)	
DNA oxidation		Parman et al. (1999)	
Interference of glutamate metabolism		Faigle et al. (1962)	
Mesonephros-stimulated chondrogenesis		Lash and Saxen (1971)	
	0	Lash and Saxen (1972)	
		Stephens and McNulty (1981)	
		Stephens and Pugmire (1986)	
Oxidative stress Oxystress		Hansen et al. (1999)	
		Hansen et al. (2002)	
		Hansen et al. (2002)	
		Parman et al. (1999)	
Hansen and Harris (2004)		Sauer et al. (2000)	

TABLE 1. ACTIVE HYPOTHESES TO EXPLAIN THE MECHANISM OF THALIDOMIDE (1966-2003)

1) Target proteins are unidentified.

2) Why does teratogenicity occur at particular sites in the body?

## Contents

- 1. Background of thalidomide (Thal)
- 2. Identification of cereblon (CRBN) as a target of Thal teratogenicity using our affinity bead technology
- Involvement of CRBN in the anti-cancer effects of Thal and its analogs
- 4. Mechanisms of the therapeutic effects of Thal and its analogs
- 5. Current research on the mechanisms of Thal teratogenicity

#### Strategy of isolation of drug targets based on drug-target interactions



#### Development of two types of nanosized beads as novel matrices for affinity chromatography



Nishio et al., Colloids Surf B Biointerface (2008)

#### Characteristics of these beads

Resistant to organic solvent

Shimizu et al., Nat Biotechnol (2001)

- Immobilize large numbers (> 10<sup>7</sup>) of chemicals
- Highly dispersive in binding reactions
- Reduce nonspecific protein binding

# One-step affinity isolation using FG beads by the batch method



Further advantages

- Binding specificity
- Binding mode and binding affinity
- High concentration efficiency (> 1,000 times)
- Targets for other ligands besides chemicals

A target, involved in drug actions, can be identified from several drug-binding proteins.

Sandhu and Handa IOP eBOOKs Online ISBN 978-0-7503-1584-5 (2018)

#### Superiority of our affinity bead technology



# List of various ligands for which we have identified targets using our bead technology

#### **Pharmaceuticals**

	Methotrexate: Uga et al., Mol Pharmacol (2006)
	Thalidomide: Ito et al., Science (2010), Chamberlain et al., Nat Struct Mol Biol (2014)
	Matyskiela et al., Nature (2016), Nguyen et al., Mol Cell (2016)
	Alendronate: Masaike et al., Mol Pharmacol (2010)
	E3330: Shimizu et al., Nat Biotechnol (2000)
	FK506: Shimizu et al., Nat Biotechnol (2000)
	Vesnarinone: Hotta et al., Mol Pharmacol (2013)
	Salicilic acid: Gupta et al., Mol Pharmacol (2013)
	Trifluorothiazoline compound: Perez-Perarnau et al., Angew Chem Int Ed (2014)
<b>Biomolecules</b>	
(Metabolites)	Vitamin K2: Karasawa et al., Mol Pharmacol (2013)
	Amino acids: Kume et al., Genes Cells (2010)
	Heme: Azuma et al., PLoS One (2008), Kabe et al., Nat Commun (2016)
	Protoporphyrin IX: Kabe et al., J Biol Chem (2006)
	Capsaicin: Kuramori et al., Biochem Biophys Res Commun (2009)
(dsDNA)	ATF/CREB site: Wada et al., Methods Enzymol (1995), Wada et al J Virol (1991)
	Ad4BP/SF-1 site: Morohashi et al., J Biol Chem (1992)
	E4TF1/GABP site: Watanabe et al., EMBO J (1990)
	Oct1/4 site: Kang et al., Genes Dev (2009)
(Protein)	TFIIA: Usuda et al., EMBO J (1991), Ma et al., Genes Dev (1993)
	EspB (toxin of enteropathogenic E. coli): lizumi et al., Cell Host & Microbe (2007)
(Peptide)	FKBP12: Ohtsu et al., Anal Biochem (2005)
	Nocistatin: Okuda-Ashitaka et al., J Biol Chem (2012)
Toxic chemica	<u>als</u>
	Mono-(2-ethylhexyl) phthalate: Kuramori et al., Toxicological Sciences (2009)
	Atrazine: Hase et al., Biochem Biophys Res Commun (2008)
	Bisphenol A: Ito et al., PLoS One (2012)

## Fabrication of Thal-fixed beads



Thal-fixed FG beads

#### Identification of CRBN and DDB1 as Thal-binding proteins



#### Identification of a protein binding directly to Thal



## Interaction of DDB1 with CRBN



DDB1 forms a complex with CRBN.

# Ubiquitous expression of CRBN in various cells (analysis using Thal-fixed beads)



#### CRBN forms an E3 ubiquitin ligase complex with DDB1, Cul4A, and Roc1, and works as a substrate receptor



Identification of proteins co-immunoprecipitating with CRBN

- DDB2, known as a substrate receptor (SR) competes with CRBN for binding to DDB1
- → CRBN forms an E3 ubiquitin ligase complex with DDB1, Cul4A, and Roc1.
- $\rightarrow$  CRBN is suggested to works as a SR.

## Thal inhibitis the auto-ubiquitination of CRBN

Co-IP and IB



As known SRs, such as DDB2, CSA, CDT2, etc. are reported to be auto-ubiquitinated, we tested whether CRBN is also auto-ubiqutinated.

→ CRBN is auto-ubiquitinated in a Thal-sensitive manner, which was also confirmed by *in vitro* experiments.

Thal targets the CRBN E3 ubiquitin ligase, via binding to CRBN.

Possible mechanisms

1 Thal inhibits the binding of CRBN to SR.
2 Thal alters the substrate specificity of CRBN.

# Analyses of Thal action and CRBN function using zebrafish as an experimental animal



#### Advantages of zebrafish

- 1) Transparency, easy observation
- 2) Fast ontogeny of 2.5 days
- 3) Easy genetic engineering (KD/OE)
- 4) Processability of multiple individuals
- 5) Widely used in pharmacology and toxicology studies
- 6) Whole genome sequence available

Affinity purification





 $\rightarrow$  zCrbn binds to Thal.



→ zCRBN binds to hDDB1.

Zebrafish CRBN (zCRBN) shares 70% homology with human CRBN.

## CRBN E3 ubiquitin ligase complex is involved in early development and is a target of Thal teratogenicity



#### Proof of CRBN as a true target of Thal teratogenicity



• So far, the association between the silencing of CRBN and Thal teratogenicity has been clarified.



- How can we confirm that CRBN is a true target of Thal teratogenicity?
- If Thal teratogenicity is suppressed by a CRBN mutant that does not bind to Thal, but is otherwise fully functional, we can conclude that CRBN is a bona fide target of Thal teratogenicity.

Limb formation

Drug binding-defective mutant

 Accordingly, we designed CRBN mutants that do not bind to Thal.

## Identification of the Thal-binding region of CRBN



Recombinant GST-CRBN and its mutants were tested for their interaction with Thal using affinity bead technology.

#### Identification of a CRBN mutant, CRBN<sup>YW/AA</sup>, that does not bind to Thal but is otherwise fully functional



#### Expression of CRBN<sup>YW/AA</sup> inhibits Thal teratogenicity



 $\rightarrow$  CRBN is a bona fide target of Thal teratogenicity.

#### Downstream factors of CRBN



Whole mount in situ hybridization of fin buds (48 hpf)

- → Fgf8 is a downstream factor of CRBN and Thal
  - CRBN<sup>YW/AA</sup> reverses Thal-induced suppression of Fgf8 expression.

#### Verification using chicken embryos



Established animal model for Thal teratogenicity
Anatomically closer to humans than zebrafish

#### Problems of zebrafish:

- 1) Body structure is quite different from that of humans
- 2) Short research history and few reports on Thal teratogenicity



 $\rightarrow$  cCrbn binds to Thal and interacts with human DDB1.



#### Investigation of the role of CRBN in Thal teratogenicity in chicks

Administration of Thal and introduction of foreign genes by electroporation into the anterior limb bud of the embryo



Upper limb Lower limb

3 days after fertilization

Magnified

10 days after fertilization





This region (anterior limb bud)

develops into the forelimb

Bone staining

Thal clearly causes

developmental defects

specifically at the site of administration.

#### CRBN<sup>YW/AA</sup> suppresses Thal teratogenicity in chicks



→ • CRBN<sup>YW/AA</sup> suppresses Thal teratogenicity and rescues Thal-induced repression of Fgf8 expression.

•Our findings in zebrafish were validated in chickens.

# Thal-induced developmental defects of the pectoral fins precede angiogenesis

Thal-induced angiogenesis inhibition is responsible for the developmental defects of pectoral fins.

Therapontos et al., PNAS (2009)

Angiogenesis inhibition is not involved in the deformity of pectoral fins.

At 52 hpf, both angiogenesis and pectoral fin development were inhibited by Thal.

At 47 hpf, marginal blood vessel (MBV) was not yet formed, but the pectoral fins clearly showed developmental defects.

Fli1a:EGFP transgenic zebrafish, established by Professor Kawakami at NIG, Japan 400 GFP is selectively expressed in endothelial cells of the MBV.



Summary of our major findings regarding CRBN

- 1. Identification of CRBN as a Thal-binding protein using our affinity bead technology.
- 2. CRBN forms an E3 ubiquitin ligase complex, works as its substrate receptor and contributes to the normal development of the limbs and otic vesicles in zebrafish and chick models.
- 3. CRBN is a target of Thal teratogenicity, and Thal exerts its teratogenic effects by binding to CRBN and altering CRBN E3 ubiquitin ligase activity.



E3 ubiquitin ligase complex



## Contents

- 1. Background of thalidomide (Thal)
- 2. Identification of cereblon (CRBN) as a target of Thal teratogenicity using our affinity bead technology
- Involvement of CRBN in the anti-cancer effects of Thal and its analogs
- 4. Mechanisms of the therapeutic effects of Thal and its analogs
- 5. Current research on the mechanisms of Thal teratogenicity

## Immunomodulatory drugs (IMiDs)

#### Thal and its derivatives with immunomodulatory activity

#### First generation



Thalidomide (Thal)

- Hansen's disease
- Multiple myeloma (MM)



# 

Lenalidomide (Len)

Multiple myeloma (MM)

 $NH_2$ 

- Myelodysplastic syndrome (particularly, 5q- syndrome)
- Adult T-cell leukemia/lymphoma
- Chronic Lymphocytic Leukemia
- Non-Hodgkin Lymphoma

#### Second generation



- Multiple myeloma (MM)
- Myelofibrosis

\*approved in the US and Japan \*currently undergoing clinical testing

Anti-cancer effects1. Inhibition of MM cell growth<br/>(main effects)2. Immunomodulatory effects (T-cell activation)

We performed a joint study on the main effects of IMiDs with Celgene in the US.

## CRBN is involved in anti-cancer effects of IMiDs



CRBN KD cells are resistant to Pom.

Lopez-Girona et al., Leukemia (2012)

## CRBN is a target of the main effects of IMiDs



 $\rightarrow$  IMiDs regulate the expression of IRF4 and c-myc via CRBN, which are essential for the growth and survival of MM cells.

Lopez-Girona et al., Leukemia (2012)

#### X-ray crystallography of a complex of IMiDs with CRBN





A triple tryptophan pocket (tri-trp pocket) is formed by 3 tryptophan residues, present in the C-terminal region (319–428 aa) of CRBN.

 $\rightarrow$  The glutarimide ring, common to IMiDs, is inserted into the tri-trp pocket. All IMiDs (Thal, Len, Pom) have optical isomers (S-IMiDs, R-IMiDs).

Chamberlain et al., Nat Struct Mol Biol (2014)

# 3D structure of the complex of CRBN with S-Thal or R-Thal



 $\rightarrow$  S-Thal fits more stably into the tri-trp pocket of CRBN than R-Thal.

Mori et al., Sci Rep (2018)

#### Our conclusion regarding the optical isomers of IMiDs

Biochemical and 3D-structural analyses indicated that S-IMiDs have a much higher affinity for CRBN than R-IMiDs.

Mori *et al.,* **Sci Rep** (2018) Chamberlain *et al.,* **Nat Struct Mol Biol** (2014)

S-IMiDs are primarily involved in both the main effects and side effects.

Mori *et al., Sci Rep* (2018)

IMiDs are easily racemized under physiological conditions (pH 7.4, 37 °C).

Mori et al., Sci Rep (2018) Nishimura et al., Chem Pharm Bull (1994)

S-IMiDs preferentially bind to CRBN, and then the remaining R-IMiDs will be readily racemized, leading to a supply of S-IMiDs.

 $\rightarrow$  Optical isomers of IMiDs are not a crucial issue for their drug actions.

## Contents

- 1. Background of thalidomide (Thal)
- 2. Identification of cereblon (CRBN) as a target of Thal teratogenicity using our affinity bead technology
- Involvement of CRBN in the anti-cancer effects of Thal and its analogs
- 4. Mechanisms of the therapeutic effects of Thal and its analogs
- 5. Current research on the mechanisms of Thal teratogenicity

#### Identification of novel substrates that bind to CRBN in the presence of IMiDs

#### Outline of UbiScan analysis





 Identification of Aiolos as a novel CRBN substrate that shows significantly increased ubiquitination when treated with IMiDs

Ikaros family (IKZF)

Ikaros (IKZF1) Helios (IKZF2) Aiolos (IKZF3) Eos (IKZF4) Pegasus (IKZF5) Aiolos and Ikaros are transcription factors essential for the differentiation and maturation of blood cells.

Gandhi et al., Br J Haematol (2014)

#### Mechanisms of actions of IMiDs



→ Binding of IMiDs to CRBN recruits Aiolos and Ikaros as novel substrates to the CRBN E3 ubiquitin ligase complex and induces their ubiquitination and degradation, leading to multifaceted therapeutic effects.

Krönke et al., Science (2013), Lu et al., Science (2013), Gandhi et al., Br J Haematol (2014)

#### **Development of CRBN modulators**



Matyskiela et al., Nature (2016)

## **CRBN** modulators

**Therapeutics** 

Thal and its subsequently developed analogs are collectively called "CRBN modulators", which bind to CRBN, recruit their unique substrate, and exert therapeutic effects on disorders via degradation of the substrate.

Novel substrates recognize and bind to a complex of CRBN and a CRBN modulator, which serve as a molecular glue.



## Contents

- 1. Background of thalidomide (Thal)
- 2. Identification of cereblon (CRBN) as a target of Thal teratogenicity using our affinity bead technology
- Involvement of CRBN in the anti-cancer effects of Thal and its analogs
- 4. Mechanisms of the therapeutic effects of Thal and its analogs
- 5. Current research on the mechanisms of Thal teratogenicity

## Novel substrates responsible for Thal teratogenicity



#### Neosubstrates associated with Thal teratogenicity



IB

Thal-induced Sall4 degradation in rabbit embryos



Vimentin C



Okihiro/Duane-Radial-ray syndrome



Borozdin et al., J Med Genet (2004)



•Thal teratogenicity has been observed among primates, rabbits, chicks and zebrafish. However, Sall4 degradation does not occur in zebrafish and chicks.

 There might be additional neosubstrates responsible for Thal teratogenicity.

> Donovan et al., eLife (2018) Matyskiela et al., Nat Chem Biol (2018)



## Novel CRBN substrate LD1









Sorry!!

The actual name of LD1 cannot be disclosed because this study is not yet published (under review).



Thal induces CRBN-dependent ubiquitination and degradation of LD1.

Collaboration with a group in Milan Univ., Italy

#### Thal causes fin teratogenicity through LD1 degradation



→ The LD1 mutant GA, which is resistant to Thal-induced degradation, more efficiently blocks Thal teratogenicity than WT LD1 in zebrafish.

Asatsuma-Okumura et al., in revision

## Neosubstrates responsible for Thal teratogenicity



## **Acknowledgements**

#### Celgene

Phillip P. Chamberlain Mary E. Matyskiela

Antonia Lopez-Girona Gang Lu

Thomas O. Daniel James Carmichael Brian E. Cathers

#### **Scripps Research Institute**

**Gabriel Lander** 

#### **Tohoku University**

Toshihiko Ogura Takayuki Suzuki

#### Nagoya Institute of Technology

Norio Shibata

Nara Institute of Science and Technology

Toshio Hakoshima

#### **Tokyo Institute of Technology**

Yuki Yamaguchi Satoshi Sakamoto

#### **Tokyo Medical University**

Takumi Ito Hideki Ando Tomomi Sato Jyunichi Yamamoto Tomoko Asatsuma Daiki Taneichi

#### Thank you for your kind attention

hhanda@tokyo-med.ac.jp

http://www.tokyo-med.ac.jp/nanoparticle/