

## Disclaimer

The findings and conclusions in this report are those of the authors and do not necessarily represent the views of the Ministry of Health, Labour and Welfare, Japan.

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## Figure legends

### Figure 1

Before administration, a portion of the MWCNT-N suspension was fractionated by passing it through a sieve with a pore size of 35  $\mu\text{m}$ . SEM images of MWCNT-N in the (A) Unfiltered preparation, (B) Flow-Through fraction, and (C) Retained fraction are shown. The mean length of the unfiltered MWCNT-N in the administration dispersant was  $4.2\pm 2.9 \mu\text{m}$  and that of the MWCNT-N in the flow-through fraction was  $2.6\pm 1.6 \mu\text{m}$ . The size of the MWCNT-N retained by the filtering sieve could not be measured because of the dense agglomerates the retained fibers formed due to the loss of the PF68 dispersant during fractionation.

### Figure 2

Accumulation of MWCNT-N in the lung (A and B) and lymph node (C and D). MWCNT-N was found in the lung alveoli either in the granulation tissue or macrophages. (A) MWCNT-N shows granular and needle like shapes. Aggregations of MWCNT-phagocytosed macrophages can be seen (arrow). The inset shows a polarizing lens image of the aggregation of MWCNT-phagocytosed macrophages; arrows point to the same macrophage aggregation in the main panel and the inset. MWCNT-N aggregates that cause polarization can clearly be seen in the inset. Granular agglomerations of MWCNT-N do not cause polarization. (B) MWCNT-N also caused thick fibrotic granulation tissue formation (arrow) and calcification (\*). The inset shows a polarizing lens image of granulation tissue with deposition of MWCNT-N. Arrows point to the same lesion in the main panel and the inset. (C) Accumulation of MWCNT-N in the mediastinal lymph node. The inset shows a polarizing lens image. Arrows point to the same MWCNT-N accumulations in the main panel and the inset. (D) Accumulation of MWCNT-N in periaortic connective tissue showing fibrotic thickening. The inset shows a polarizing lens image. (E) MWCNT-N is present in the periphery of the malignant mesothelioma tissue.

### Figure 3

Kaplan Meier survival plot of the rats in the Untreated, Vehicle Control, Unfiltered MWCNT, Flow-Through MWCNT fraction, and Retained MWCNT fraction groups.

### Figure 4

Malignant mesotheliomas in the pleural/mediastinal cavity. Malignant mesothelioma showing invasion of the pericardium (A), myocardium (B), periesophageal tissue (C) and visceral pleura(D)

**Figure 5**

Malignant mesothelioma, sarcomatoid type, in the pleural cavity. (A) Tumor cells arranged in a solid manner and form spherical. Immunostaining of Calretinin (B), Wilms tumor protein (WT-1) (C), Podoplanin (Pod) (D), and Thyroid transcription factor-1 (TTF-1) (E). In the high power magnification images (inset), the cytoplasm of the tumor cells were faintly stained by Cal and WT-1. The nuclei is also faintly stained by Pod. Cytoplasm and nuclei are entirely unstained by TTF-1.

**Figure 6**

Bronchiolar epithelium of 109 week old untreated rat. Haematoxylin and Eosin staining (H&E) (A) and Cal (B), WT-1 (C), Pod (D), and TTF-1 (E) staining. The cytoplasm is slightly stained by Cal and WT-1. Nuclei are clearly positive for TTF-1.

**Figure 7**

Gross appearance of lung adenocarcinoma in the pericardial pleural cavity. Histologically, the tumor is composed of packed small epithelial-like cells forming incomplete glandular structures (see Figure 11A) with invasion of pericardial and diaphragm tissues (arrows). The tumor was positive for TTF-1. Small tumor nodules of the same histological appearance were found in the lung (circle).

**Figure 8**

Lung bronchiolo-alveolar adenoma (adenoma). H&E (A), Cal (B), WT-1 (C), Pod (D), and TTF-1 (E) staining. The cytoplasm is slightly stained by Cal and WT-1. Nuclei are clearly positive for TTF-1.

**Figure 9**

Bronchiolo-alveolar carcinoma (adenocarcinoma) of the lung. H&E (A), Cal (B), WT-1 (C), Pod (D), and TTF-1 (E) staining. The cytoplasm is slightly stained by Cal and WT-1. Nuclei are clearly positive for TTF-1.

**Figure 10**

Adenocarcinoma of the lung forming a large mass in the pleural cavity with invasion of the heart and diaphragm and remote metastasis to the kidney. H&E (A), Cal (B), WT-1 (C), Pod (D) and

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3 TTF-1 (E) staining. The cytoplasm is slightly stained by Cal and WT-1. Nuclei are clearly  
4 positive in TTF-1.  
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8 **Figure 11**

9 Adenocarcinoma of the lung forming a large mass in the pleural cavity with invasion of the  
10 paribronchial lymphnodes, serosal pericardium, and diaphragm (see Figure 3). Densely proliferating  
11 small tumor cells with round nuclei are arranged in an incomplete glandular or solid manner. H&E  
12 (A), Cal (B), WT-1 (C), Pod (D) and TTF-1 (E) staining. The cytoplasm is slightly stained by WT-1.  
13 All the nuclei are clearly positive in TTF-1 indicating lung alveolar cell origin. After histological  
14 examination, this case was initially diagnosed as an epithelial mesothelioma; however, after  
15 staining with TTF-1, the tumor was re-diagnosed as a lung adenocarcinoma.  
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Table 1. Size of MWCNT-N in the preparation medium and in the lung tissue

MWCNT-N Fraction	MWCNT-N in the preparation medium before administration	MWCNT-N in the lung tissue	
		Alveolar Wall	Tumor area
Unfiltered	4.2 ± 2.9 <sup>a</sup>	3.1 ± 1.0	2.0 ± 0.4
Flow-through	2.6 ± 1.6	2.8 ± 0.9	2.6 ± 0.6
Retained	> 2.6	3.2 ± 0.8	3.2 ± 0.7

<sup>a</sup>Mean ± SD μm

Table 2. Amount of MWCNT-N in the lung at weeks 2 and 109

Week 2			Week 109			Ratio of week 109 to week 2
Rats <sup>a</sup>	MWCNT-N Fraction	Amount <sup>b</sup>	Rats <sup>a</sup>	MWCNT-N Fraction	Amount <sup>b</sup>	
5	Unfiltered	605 ± 119	5	Unfiltered	486 ± 44	80%
4	Flow-through	603 ± 238	4	Flow-through	426 ± 116	71%
5	Retained	701 ± 176	5	Retained	268 ± 43	38%

<sup>a</sup> Number of rats examined

<sup>b</sup>  $\mu\text{g}$  MWCNT-N per gram wet-weight paraformaldehyde fixed lung tissue



Table 3. Incidence of pleural malignant mesothelioma and lung tumors

MWCNT Fraction	Rats	Malignant Mesothelioma			Lung Tumors		Total Tumor burden (%)
		Pericardial and/or Pleura (%)	Adenoma	Adeno-carcinoma	Combined (%)		
NT	15	0 (0.0)	0	0	0 (0.0)	0 (0.0)	
V	13	0 (0.0)	0	0	0 (0.0)	0 (0.0)	
NT + V	28	0 (0.0)	0	0	0 (0.0)	0 (0.0)	
U	12	3 <sup>a</sup> (25.0)	1	3 <sup>a</sup>	4 (33.3)	7 (58.3)	
FT	12	3 (25.0)	1	2	3 (25.0)	6 (50.0)	
R	14	0 (0.0)	2	5	7 (50.0)	7 (50.0)	
U + FT + R	38	6 (15.8)*	4	10	14 (36.8)**	20 (52.6)**	

NT, No treatment; V, Vehicle; U, Unfiltered; FT, Flow-through; R, Retained

Average length: U =  $4.2 \pm 2.9 \mu\text{m}$ ; FT =  $2.6 \pm 1.6 \mu\text{m}$ ; R was not measurable

<sup>a</sup> One rat had both a malignant mesothelioma and a lung adenocarcinoma

\*  $p < 0.05$ ; \*\*  $p < 0.001$  vs. the control groups (NT+V)

Table 4. Tumor incidence in other organs<sup>a</sup>

MWCNT Fraction	Rats	Abdominal cavity mesothelioma (%)	Leydig cell tumor (%)	Leukemia/lymphoma (%)	Subcutaneous fibroma (%)	Pureputial gland tumor (%)	Pituitary gland tumor (%)
NT	15	0	10 (66.7)	0	4 (26.7)	0	0
V	13	2 (15.4)	10 (76.9)	2 (15.4)	2 (15.4)	1 (7.7)	1 (7.7)
NT + V	28	2 (7.1)	20 (71.4)	2 (7.1)	2 (7.1)	1 (3.6)	1 (3.6)
U	13	1 (7.7)	9 (69.2)	4 (30.8)	0	0	1 (7.7)
FT	13	1 (7.7)	8 (61.5)	3 (23.1)	1 (7.7)	1 (7.7)	2 (15.4)
R	15	0	8 (53.3)	3 (20.0)	1 (6.7)	0	1 (6.7)
U + FT + R	41	2 (4.9)	25 (61.0)	10 (24.4)	2 (4.9)	1 (2.4)	4 (9.8)

NT, No treatment; V, Vehicle; U, Unfiltered; FT, Flow-through; R, Retained

Average length: U = 4.2 ± 2.9 μm; FT = 2.6 ± 1.6 μm; R was not measurable

<sup>a</sup> Including 1 adrenal cortical adenoma in NT, 1 liver adenoma in FT and 1 sarcoma of unknown origin in R.

Table 5. Physical characteristics of MWCNT-7 and MWCNT-N

	MWCNT-7	MWCNT-N
Length	1-4 $\mu\text{m}$ (38%)	1-4 $\mu\text{m}$ (51%)
Length	5-20 $\mu\text{m}$ (58%)	5-20 $\mu\text{m}$ (47%)
Diameter	20-100 nM (98%)	30-100 nM (95%)
Layers	35 - 40	10
Iron Content	0.3 - 0.4%	0.04 - 0.05%

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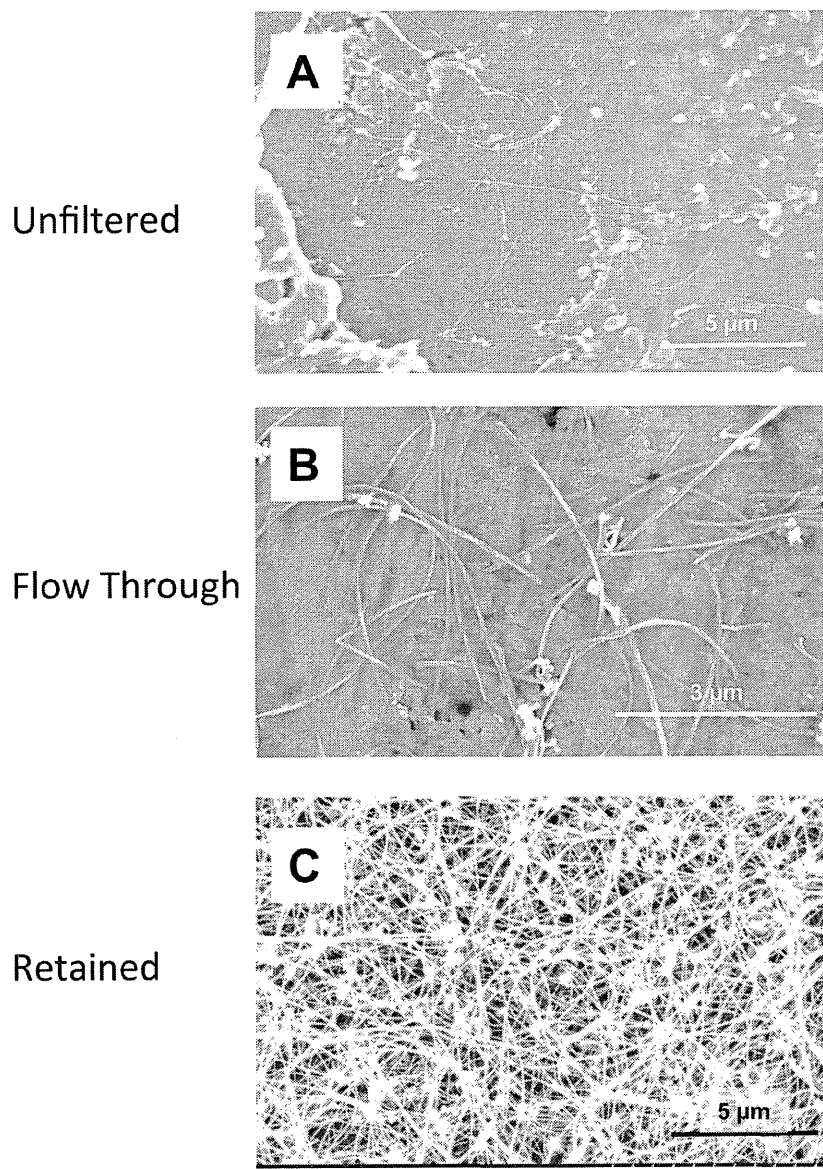


Figure 1  
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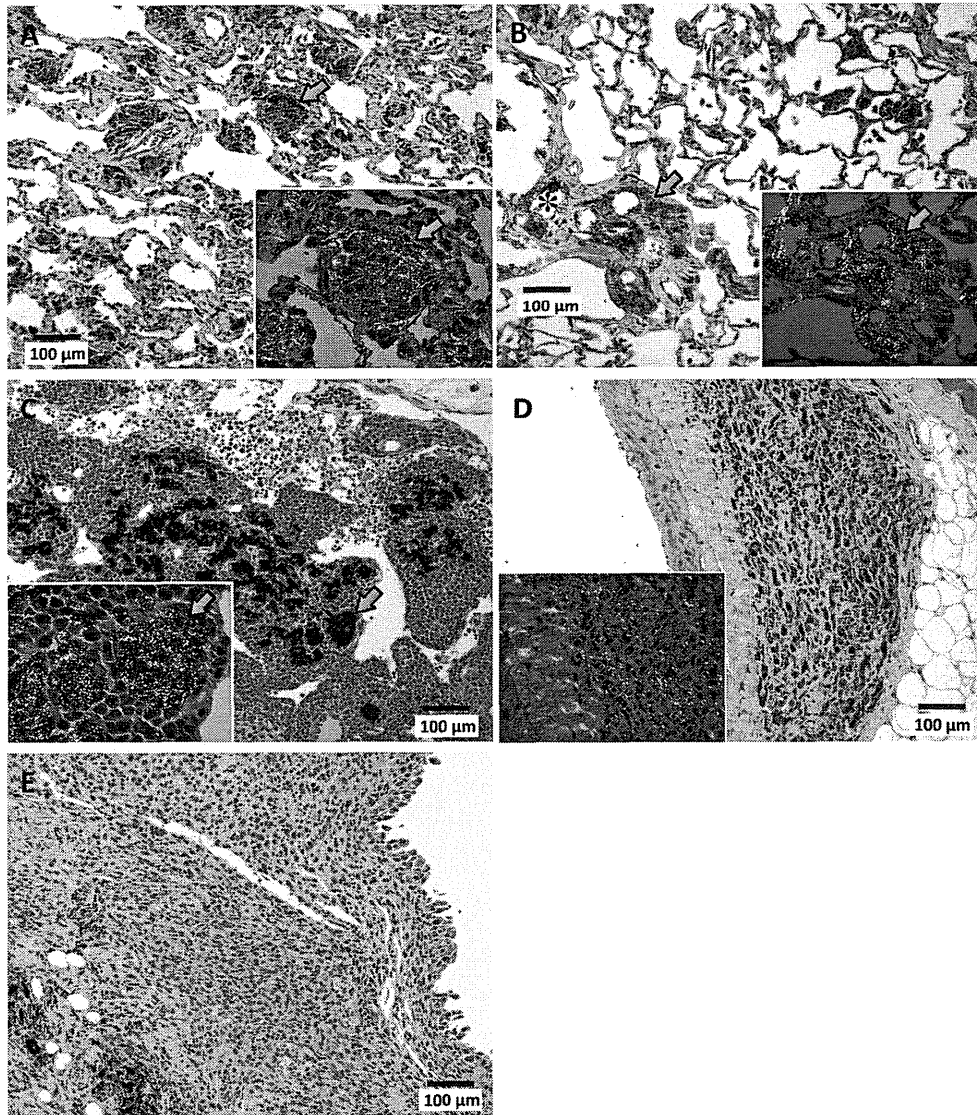


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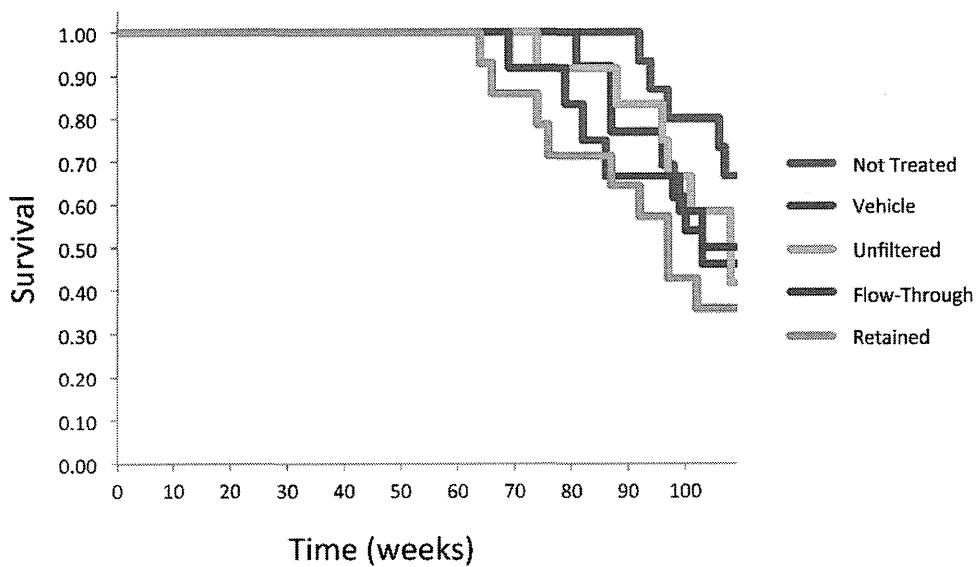


Figure 3  
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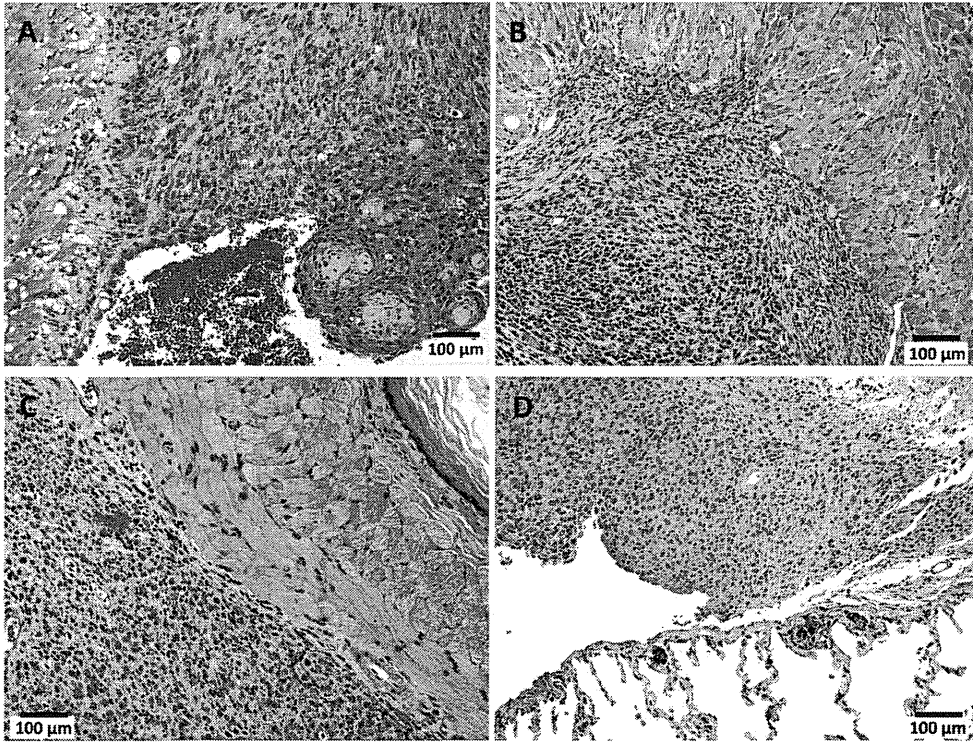


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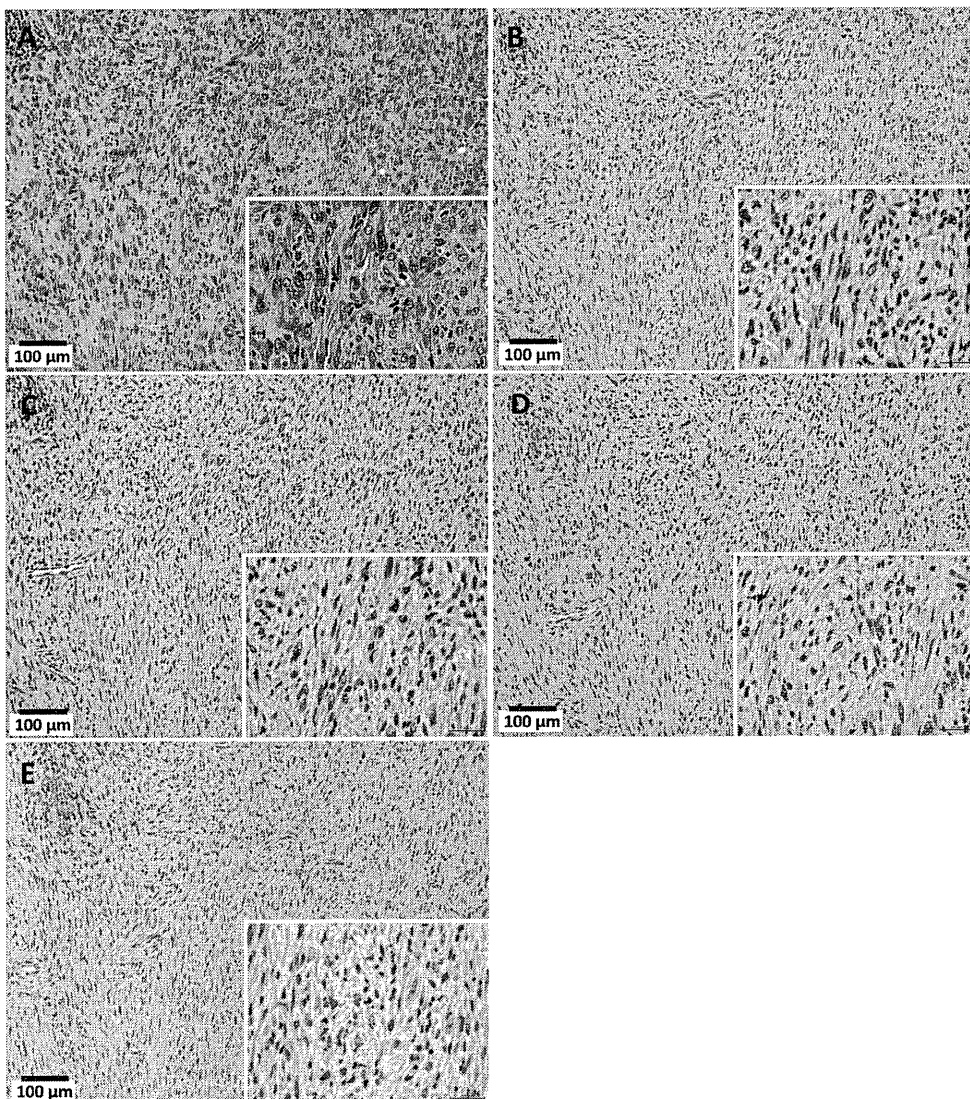


Figure 5  
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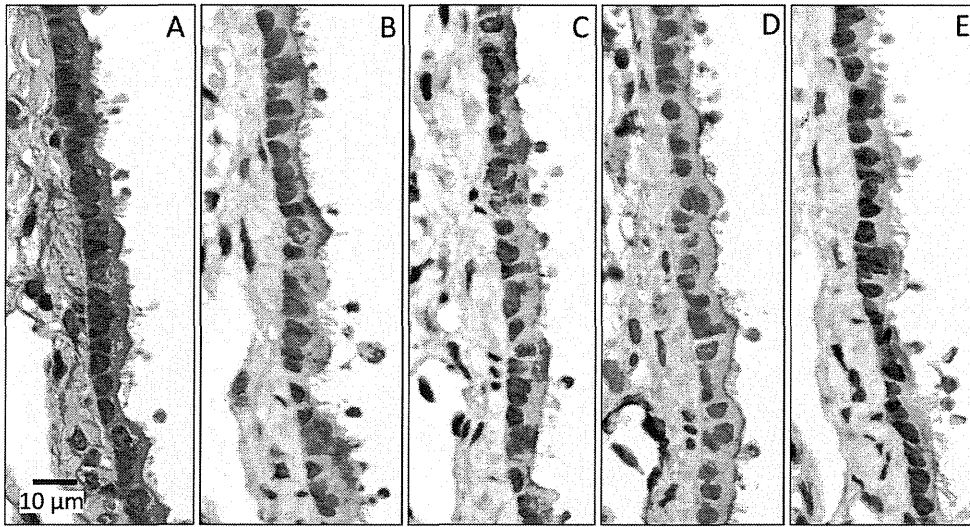


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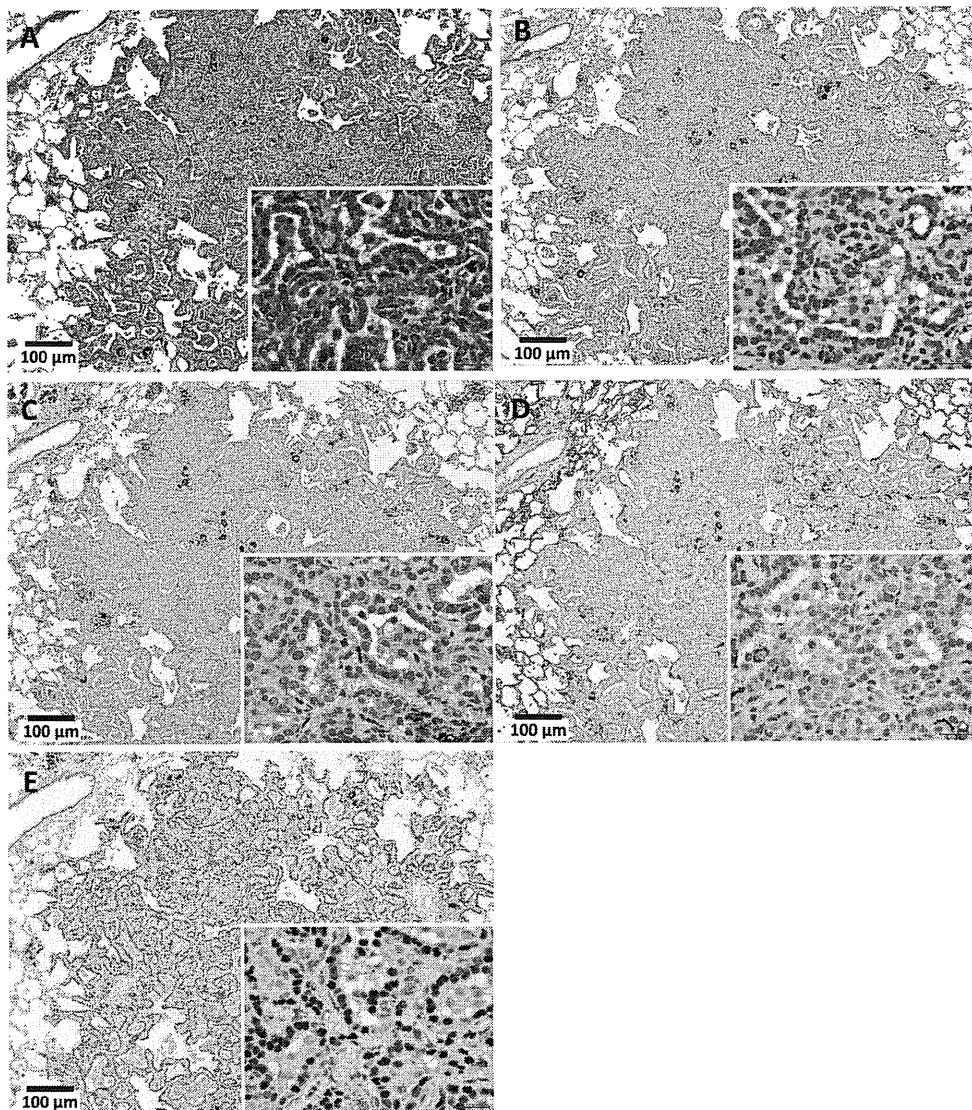


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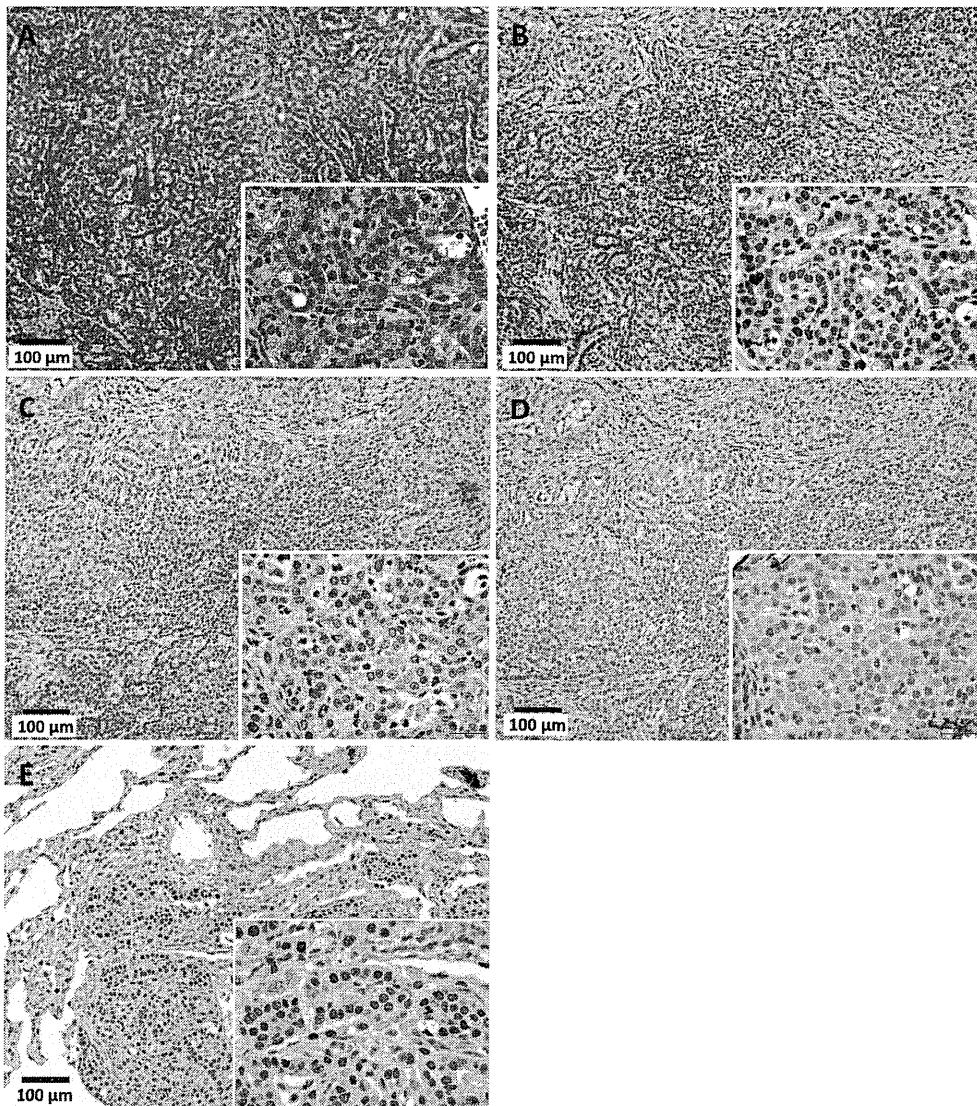


Figure 9  
215x244mm (300 x 300 DPI)