

**Table 3. Results of The Multivariable Model\* of Risk Factors for Time to Death (N=104)**

Variable	Hazard Ratio (95% CI)	P
Lower FS z score	1.17 (1.03, 1.33)	0.016
Pure RCM (yes vs no)		0.504
PWT z score		0.012
PWT z score by RCM group interaction		0.041
Pure RCM:PWT z score	0.93 (0.70, 1.24)	0.626
RCM/HCM:PWT z score	1.39 (1.08, 1.79)	0.012

FS indicates fractional shortening; CI, confidence interval; HCM, hypertrophic cardiomyopathy; PWT, end-diastolic posterior wall thickness; and RCM, restrictive cardiomyopathy.

\*The follow-up time of cases undergoing transplant was censored at the time of transplant. An alternative model using transplant as a time-dependent covariate found it to be not significant ( $P=0.97$ ), and the model had nearly identical hazard ratios for the other terms in the model.

ular end-diastolic pressure was 25 mm Hg and mean systolic and diastolic pulmonary artery pressures were 47 and 25 mm Hg, respectively. Mean pulmonary vascular resistance index was 4.1 IU. In unadjusted Cox regression models in the subset of patients who underwent catheterization, no hemodynamic measure at presentation predicted death, transplant, or death/transplant (4 deaths, 14 transplants) with the exception of pulmonary vascular resistance index at diagnosis, which was weakly predictive of transplant-free survival (hazard ratio, 1.18; 95% CI, 1.01–1.38;  $P=0.039$ ).

## Discussion

This study represents the largest cohort of children with RCM reported to date. Previous case series have described very small numbers of patients,<sup>2–8</sup> reflecting the extreme rarity of this condition. Indeed, almost all single-institution studies report on <25 cases,<sup>13</sup> effectively precluding the ability to define risk factors for adverse outcomes. The PCMR contains data on some 3375 children with cardiomyopathy, and it has proven to be an essential tool for enhancing our knowledge about rare forms of cardiomyopathy. Earlier data from the PCMR, and findings from the National Australian Childhood Cardiomyopathy Study, suggest that RCM has an incidence of  $\approx 0.03$  to 0.04 cases/100 000 children and accounts for <5% of pediatric cardiomyopathy.<sup>10,14</sup> In the present analy-

**Table 4. Results of the Multivariable Model of Risk Factors for Time to Death or Transplantation (N=104)**

Variable	Hazard Ratio (95% CI)	P
Pure RCM (yes vs no)		0.088
CHF present at diagnosis	2.20 (1.27, 3.80)	0.005
Lower FS z score	1.12 (1.02, 1.22)	0.014
PWT z score by RCM group interaction		0.040
Pure RCM:PWT z score	1.06 (0.90, 1.24)	0.485
RCM/HCM:PWT z score	1.32 (1.13, 1.54)	<0.001

CHF indicates congestive heart failure; CI, confidence interval; FS, fractional shortening; HCM, hypertrophic cardiomyopathy; PWT, end-diastolic posterior wall thickness; and RCM, restrictive cardiomyopathy.

sis, we identified 152 cases, representing 4.5% of all cardiomyopathies in the PCMR. The baseline clinical and echocardiographic characteristics have been described in detail. There is equal sex distribution, with relatively young age of onset, but only about one sixth presenting in infancy. Interestingly, almost one fourth had a family history of cardiomyopathy, a higher incidence than has hitherto been described in the literature. This emphasizes the need for further genetic studies in this rare form of cardiomyopathy.

One important characteristic of the PCMR is that site investigators were given the opportunity to classify patients as having more than 1 type of cardiomyopathy. This has enabled us to explore the phenomena of mixed or overlapping phenotypes, an increasingly recognized aspect of pediatric (and adult) cardiomyopathies. No hierarchy was required, ie, designation of a primary versus secondary diagnoses was not required. This avoids some of the semantic arguments as to whether one is dealing with a RCM with ventricular hypertrophy versus a HCM with restrictive physiology.<sup>15</sup> The relevance of such debates declines as we increase our understanding of the etiology of these entities. We now know that sarcomeric gene mutations cause some cases of RCM,<sup>9,15</sup> and that the same mutations may cause highly variable phenotypes, even within single families.<sup>9</sup> To date, RCM has been associated with mutations within the genes encoding troponin I, troponin T, beta myosin heavy chain, and alpha cardiac actin.<sup>16–18</sup> Several mutations within the desmin gene have also been associated with RCM.<sup>19–21</sup> The phenotype generally, although not invariably,<sup>20</sup> involves skeletal myopathy and conduction abnormalities. In adults, several mutations of the transthyretin gene have been associated with amyloid heart disease and RCM phenotype.<sup>22,23</sup> Although the PCMR has carefully defined the phenotype of RCM, the capability of genotype testing (and thus genotype–phenotype correlations) has only recently become available. More recently, in collaboration with the Children’s Cardiomyopathy Foundation and the National Heart, Lung and Blood Institute of the National Institutes of Health, a blood and tissue repository has been established, the Pediatric Cardiomyopathy Specimen Repository (NHLBI R01 HL087000; J.A.T.) and a search for mutations in various candidate genes will be a priority for future studies. Newer technologies will also allow for broader genome-wide studies to help identify novel mutations that may cause RCM in childhood.

One important goal of the current study was to estimate outcome rates and identify risk factors. The primary outcome measures of interest were freedom from death, freedom from transplantation, and freedom from the composite end point of death or transplantation. Previous small studies have suggested that this disease carries a very poor prognosis with median survival after diagnosis often quoted at  $\approx 2$  years.<sup>8,13</sup> Early reports frequently spanned decades and included patients cared for before the modern era in which new therapies such as transplantation and automatic implantable cardioverter-defibrillator implantation have emerged. The PCMR has given us the opportunity to evaluate outcomes for a contemporary large cohort of patients with RCM. We have shown that survival is inferior to that in both dilated and HCM. Comparison with older reports, however, is compli-

cated by the change in availability of transplantation for this condition.<sup>24,25</sup> Survival curves are censored at the time of transplantation, and the natural history of this condition is no longer discernable. Even more pronounced distinction from DCM and HCM is noted when one analyzes freedom from transplantation and freedom from the composite end point of death or transplantation. It is apparent that a much higher proportion of patients with this condition undergo transplantation in comparison with other forms of cardiomyopathy. There is insufficient information in this data set to know to what extent this trend reflects physician behavior (recommending early listing for transplantation) versus the development of severe symptoms. Transplantation outcomes have progressively improved, with median graft half-life of  $\approx$ 12 years, and even higher (17 years) for infants.<sup>26</sup> It is clear that this exceeds the natural history of typical survival for RCM patients (for whom there is no proven medical therapy that will enhance survival) and suggests that listing early in the course of the disease is generally warranted.<sup>8</sup> A relatively low wait-list mortality in children with RCM was observed recently by Zangwill and colleagues (in an analysis of data from the Pediatric Heart Transplant Study),<sup>25</sup> and both their findings, and our own, suggest that improved survival in comparison with the historical literature reflects an aggressive approach to listing and early transplantation in the current era. The Pediatric Heart Transplant Study also documented that infant age, the need for inotropic agents, mechanical ventilation, and mechanical circulatory support are important risk factors for death while waiting.

Some patients are asymptomatic at the time of presentation, and this group of patients, especially if beyond infancy, poses special challenges for the treating physician and parents. These patients do not appear ill, yet the risk of sudden death is real. Based on current transplant outcomes, survival is likely to be prolonged by early transplantation, but the latter poses unique problems of its own and is palliative and not curative. Furthermore, the donor supply remains limited, and there is a clear need to direct donor organs to those patients who are sickest, or have high risk of early mortality. The sophistication of decision making about timing of transplantation would be improved if there were clearly identified risk factors for adverse outcomes, especially sudden death. The relatively large size of the current cohort has allowed us to investigate potential risk factors for death and death/transplantation. Unfortunately, we have defined only a few risk factors at presentation for subsequent death, namely, low fractional shortening  $z$  score (all patients) and increased end-diastolic posterior wall thickness  $z$  score (in the RCM/HCM subgroup only). For the composite end point of freedom from death or transplantation, there was significantly worse transplant-free survival in those with pure RCM, driven by a reduced freedom from transplantation. It is not possible to be entirely certain whether differences in outcome between phenotypic subgroups reflect natural history of heart disease or physician behavior; we did notice a trend to earlier listing for transplantation in patients with pure RCM versus those with RCM/HCM. For those with overlapping RCM/HCM phenotype, we found that the extent of posterior wall hypertrophy is a risk factor for both death and death/

transplantation. Again, it cannot be determined how the degree of hypertrophy might influence physician behavior as to when to list for transplantation. As might be expected, the presence of congestive heart failure and poor systolic function at presentation were predictors of worse transplant-free survival. There was inadequate information on hemodynamics, including pulmonary vascular resistance, to enter these variables into the multivariate analyses.

Although this is the largest study of RCM in childhood, some limitations exist. The diagnosis was determined by the treating physician, and without central review of echocardiographic studies by the investigators. Furthermore, no echocardiographic assessment of diastolic function was captured, although hemodynamics were recorded when cardiac catheterization was performed. We chose to focus on risk factors at presentation, but it remains possible that analysis of serial data (eg, echocardiographic or hemodynamic) might have identified other clinically relevant risk factors that could aid in patient management. However, the PCMR collected only 1 measurement per year, and most deaths from RCM occurred in the first year. The study design was also not suitable for evaluating effectiveness of treatments. Finally, as discussed above, routine genetic investigations have not been part of the PCMR to date, but are planned for the future.

In conclusion, we have used the PCMR to identify a large cohort of children with RCM. Over 150 cases were identified over the 18 years of enrollment with approximately one-third having mixed/overlapping phenotype of RCM/HCM and approximately one fourth having a family history of cardiomyopathy. Overall, patients with pure RCM had the worse event-free survival and outcomes that are inferior to all other forms of cardiomyopathy in childhood. We identified congestive heart failure and lower fractional shortening  $z$  score at presentation for all patients with RCM and higher posterior wall thickness  $z$  score in RCM/HCM patients as factors that independently predict adverse outcome. Genetic causes of RCM should be explored, along with genotype-phenotype correlations. Understanding the genetic basis for pediatric RCM should help delineate the molecular and cellular events of myocardial restriction and may identify potential therapeutic targets.

### Sources of Funding

This work was supported by the National Heart Lung and Blood Institute (NHLBI R01 HL53392) and the Children's Cardiomyopathy Foundation. The contents of this work are solely the responsibility of the authors and do not necessarily represent the official views of the National Heart, Lung, and Blood Institute.

### Disclosures

None.

### References

1. Maron BJ, Towbin JA, Thiene G, Antzelevitch C, Corrado D, Arnett D, Moss AJ, Seidman CE, Young JB; American Heart Association; Council on Clinical Cardiology, Heart Failure and Transplantation Committee; Quality of Care and Outcomes Research and Functional Genomics and Translational Biology Interdisciplinary Working Groups; Council on Epidemiology and Prevention. Contemporary definitions and classification of the cardiomyopathies: an American Heart Association Scientific Statement. *Circulation*. 2006;113:1807–1816.

2. Lewis AB. Clinical profile and outcome of restrictive cardiomyopathy in children. *Am Heart J*. 1992;123:1589–1593.
3. Cetta F, O'Leary PW, Seward JB, Driscoll DJ. Idiopathic restrictive cardiomyopathy in childhood: diagnostic features and clinical course. *Mayo Clin Proc*. 1995;70:634–40.
4. Denfield SW, Rosenthal G, Gajarski RJ, Bricker JT, Schowengerdt KO, Price JK, Towbin JA. Restrictive cardiomyopathies in childhood—etiologies and natural history. *Tex Heart Inst J*. 1997;24:38–44.
5. Chen S, Balfour IC, Jureidini S. Clinical spectrum of restrictive cardiomyopathy in children. *J Heart Lung Transplant*. 2001;20:90–92.
6. Weller RJ, Weintraub R, Addonizio LJ, Chrisant MRK, Gersony WM, Hsu DT. Outcome of idiopathic restrictive cardiomyopathy in children. *Am J Cardiol*. 2002;90:501–506.
7. Russo LM, Webber SA. Idiopathic restrictive cardiomyopathy in children. *Heart*. 2005;91:1199–1202.
8. Rivenes SM, Kearney DL, O'Brian Smith E, Towbin JA, Denfield SW. Sudden death and cardiovascular collapse in children with restrictive cardiomyopathy. *Circulation*. 2000;102:876–882.
9. Mogensen J, Kubo T, Duque M, Uribe W, Shaw A, Murphy R, Gimeno JR, Elliott P, McKenna WJ. Idiopathic restrictive cardiomyopathy is part of the clinical expression of cardiac troponin I mutations. *J Clin Invest*. 2003;111:209–216.
10. Lipshultz SE, Sleeper LA, Towbin JA, Lowe AM, Orav EJ, Cox GF, Lurie PR, McCoy KL, McDonald MA, Messere JE, Colan SD. The incidence of cardiomyopathy in two regions of the United States. *N Engl J Med*. 2003;348:1647–1655.
11. Sluysmans T, Colan SD. Theoretical and empirical derivation of cardiovascular allometric relationships in children. *J Appl Physiol*. 2005;99:445–457.
12. McGriffin DC, Naftel DC, Kirklin JK. Depicting time-related events after cardiac surgery: Kaplan-Meier or competing risk? *Asia Pacific Heart J*. 1998;7:98–102.
13. Webber SA. Primary restrictive cardiomyopathy in childhood. *Prog Pediatr Cardiol*. 2008;25:85–90.
14. Nugent AW, Daubeney P, Chondros P, Carlin JB, Colan SD, Cheung M, Davis AM, Chow CW, Weintraub RG; National Australian Childhood Cardiomyopathy Study. The epidemiology of childhood cardiomyopathy in Australia. *N Engl J Med*. 2003;348:1639–1646.
15. Kubo T, Gimeno JR, Bahl A, Steffensen U, Steffensen M, Osman E, Thaman R, Mogensen J, Elliott PM, Doi Y, McKenna WJ. Prevalence, clinical significance, and genetic basis of hypertrophic cardiomyopathy with restrictive phenotype. *J Am Coll Cardiol*. 2007;49:2419–2426.
16. Peddy SB, Vricella LA, Crosson JE, Oswald GL, Cohn RD, Cameron DE, Valle D, Loeys BL. Infantile restrictive cardiomyopathy resulting from a mutation in the cardiac troponin T gene. *Pediatrics*. 2006;117:1830–1833.
17. Ware SM, Quinn ME, Ballard ET, Miller E, Uzark K, Spicer RL. Pediatric restrictive cardiomyopathy associated with a mutation in beta-myosin heavy chain. *Clin Genet*. 2008;73:165–170.
18. Kaski JP, Syrris P, Burch M, Tomé-Esteban MT, Fenton M, Christiansen M, Andersen PS, Sebire N, Ashworth M, Deanfield JE, McKenna WJ, Elliott PM. Idiopathic restrictive cardiomyopathy in children is caused by mutations in cardiac sarcomeric proteins. *Heart*. 2008;94:1478–1484.
19. Dalakas MC, Park KY, Semino-Mora C, Lee HS, Sivakumar K, Goldfarb LG. Desmin myopathy, a skeletal myopathy with cardiomyopathy caused by mutations in the desmin gene. *N Eng J Med*. 2000;342:770–780.
20. Arbustini E, Pasotti M, Pilotto A, Pellegrini C, Grasso M, Previtali S, Repetto A, Bellini O, Azan G, Scaffino M, Campana C, Piccolo G, Viganò M, Tavazzi L. Desmin accumulation restrictive cardiomyopathy and atrioventricular block associated with desmin gene defects. *Eur J Heart Fail*. 2006;8:477–483.
21. Zhang J, Kumar A, Stalker HJ, Viridi G, Ferrans VJ, Horiba K, Fricker FJ, Wallace MR. Clinical and molecular studies of a large family with desmin-associated restrictive cardiomyopathy. *Clin Genet*. 2001;59:248–256.
22. Jacobson DR, Pastore RD, Yaghoubian R, Kane I, Gallo G, Buck FS, Buxbaum JN. Variant-sequence transthyretin (isoleucine 122) in late-onset cardiac amyloidosis in black Americans. *N Engl J Med*. 1997;336:466–473.
23. Falk RH, Dubrey SW. Amyloid heart disease. *Prog Cardiovasc Dis*. 2010;52:347–361.
24. Bograd AJ, Mital S, Schwarzenberger JC, Mosca RS, Quaegebeur JM, Addonizio LJ, Hsu DT, Lamour JM, Chen JM. Twenty year experience with heart transplantation for infants and children with restrictive cardiomyopathy: 1986–2006. *Am J Transplant*. 2008;8:201–207.
25. Zangwill SD, Naftel D, L'Ecuyer T, Rosenthal D, Robinson B, Kirklin JK, Stendahl G, Dipchand AJ; Pediatric Heart Transplant Study Investigators. Outcomes of children with restrictive cardiomyopathy listed for heart transplant: a multi-institutional study. *J Heart Lung Transplant*. 2009;28:1335–1340.
26. Kirk R, Edwards LB, Aurora P, Taylor DO, Christie JD, Dobbels F, Kucheryavaya AY, Rahmel AO, Stehlik J, Hertz MI. Registry of the International Society for Heart and Lung Transplantation: Twelfth Official Pediatric Heart Transplantation Report-2009. *J Heart Lung Transplant*. 2009;28:993–1006.

### CLINICAL PERSPECTIVE

Restrictive cardiomyopathy is a rare form of cardiomyopathy in childhood with a few risk factors identified for death or transplantation. This analysis from the Pediatric Cardiomyopathy Registry identified 152 cases of restrictive cardiomyopathy among 3375 children with cardiomyopathy (4.5%), approximately one-third of whom had a mixed restrictive/hypertrophic phenotype. Survival did not differ between those with pure and mixed phenotypes, but transplant-free survival was inferior in the pure restrictive cardiomyopathy group. Overall outcomes were worse than for all other forms of cardiomyopathy in the Pediatric Cardiomyopathy Registry. Clinical and echocardiographic risk factors at presentation for worse outcome were identified and should aid the clinician in risk stratification.

## Outcomes of Restrictive Cardiomyopathy in Childhood and the Influence of Phenotype: A Report From the Pediatric Cardiomyopathy Registry

Steven A. Webber, Steven E. Lipshultz, Lynn A. Sleeper, Minmin Lu, James D. Wilkinson, Linda J. Addonizio, Charles E. Canter, Steven D. Colan, Melanie D. Everitt, John Lynn Jefferies, Paul F. Kantor, Jacqueline M. Lamour, Renee Margossian, Elfriede Pahl, Paolo G. Rusconi and Jeffrey A. Towbin

on behalf of the Pediatric Cardiomyopathy Registry Investigators

*Circulation*. 2012;126:1237-1244; originally published online July 27, 2012;

doi: 10.1161/CIRCULATIONAHA.112.104638

*Circulation* is published by the American Heart Association, 7272 Greenville Avenue, Dallas, TX 75231

Copyright © 2012 American Heart Association, Inc. All rights reserved.

Print ISSN: 0009-7322. Online ISSN: 1524-4539

The online version of this article, along with updated information and services, is located on the World Wide Web at:

<http://circ.ahajournals.org/content/126/10/1237>

**Permissions:** Requests for permissions to reproduce figures, tables, or portions of articles originally published in *Circulation* can be obtained via RightsLink, a service of the Copyright Clearance Center, not the Editorial Office. Once the online version of the published article for which permission is being requested is located, click Request Permissions in the middle column of the Web page under Services. Further information about this process is available in the Permissions and Rights Question and Answer document.

**Reprints:** Information about reprints can be found online at:  
<http://www.lww.com/reprints>

**Subscriptions:** Information about subscribing to *Circulation* is online at:  
<http://circ.ahajournals.org/subscriptions/>

# Incidence, Causes, and Outcomes of Dilated Cardiomyopathy in Children

Jeffrey A. Towbin, MD

April M. Lowe, MS

Steven D. Colan, MD

Lynn A. Sleeper, ScD

E. John Orav, PhD

Sarah Clunie, RN

Jane Messere, RN

Gerald F. Cox, MD, PhD

Paul R. Lurie, MD

Daphne Hsu, MD

Charles Canter, MD

James D. Wilkinson, MD

Steven E. Lipshultz, MD

**C**ARDIOMYOPATHIES ARE HEART muscle disorders that affect ventricular systolic function, diastolic function, or both. They are classified by the World Health Organization as (1) dilated cardiomyopathy (DCM), (2) hypertrophic cardiomyopathy, (3) restrictive cardiomyopathy, and (4) arrhythmogenic right ventricular dysplasia-cardiomyopathy.<sup>1</sup> Most patients have "pure" forms of these disorders that fulfill strict diagnostic criteria, although some have overlapping disorders with mixed forms of disease. Despite long-standing interest in these high-impact disorders, the demographics and underlying causes have been difficult to ascertain, particularly in children.

Dilated cardiomyopathy, a myocardial disorder characterized by a dilated left ventricular (LV) chamber and systolic dysfunction that commonly results in congestive heart failure (CHF),<sup>1,2</sup> is the most common form of cardiomyopathy and reason for car-

**Context** Dilated cardiomyopathy (DCM) is the most common form of cardiomyopathy and cause of cardiac transplantation in children. However, the epidemiology and clinical course of DCM in children are not well established.

**Objective** To provide a detailed description of the incidence, causes, outcomes, and related risk factors for DCM in children.

**Design and Setting** Longitudinal study based on a population-based, prospective cohort of children diagnosed as having DCM since January 1, 1996, at 89 pediatric cardiac centers and a retrospectively collected cohort of patients seen primarily at large tertiary care centers in North America and who had diagnoses between January 1, 1990, and December 31, 1995, and were enrolled through February 2003.

**Participants** A total of 1426 children from the United States and Canada diagnosed as having DCM at younger than 18 years. Primary DCM was determined by strict echocardiographic and/or pathologic criteria. Patients with disease due to endocrine, immunologic, drug toxicity, and other causes were excluded.

**Main Outcome Measures** Annual incidence per 100 000 children; mortality; cardiac transplantation.

**Results** The annual incidence of DCM in children younger than 18 years was 0.57 cases per 100 000 per year overall. The annual incidence was higher in boys than in girls (0.66 vs 0.47 cases per 100 000;  $P < .001$ ), in blacks than in whites (0.98 vs 0.46 cases per 100 000;  $P < .001$ ), and in infants (<1 year) than in children (4.40 vs 0.34 cases per 100 000;  $P < .001$ ). The majority of children (66%) had idiopathic disease. The most common known causes were myocarditis (46%) and neuromuscular disease (26%). The 1- and 5-year rates of death or transplantation were 31% and 46%, respectively. Independent risk factors at DCM diagnosis for subsequent death or transplantation were older age, congestive heart failure, lower left ventricular fractional shortening Z score, and cause of DCM ( $P < .001$  for all).

**Conclusions** In children, DCM is a diverse disorder with outcomes that depend largely on cause, age, and heart failure status at presentation. Race, sex, and age affect the incidence of disease. Most children do not have a known cause of DCM, which limits the potential for disease-specific therapies.

JAMA. 2006;296:1867-1876

www.jama.com

diac transplantation in adults and children.<sup>3,4</sup> In some cases, right ventricular dysfunction is also noted and may add to the clinical severity of disease. The estimated cost of caring for patients with this disorder is \$4 billion to \$10 billion annually in the United States alone.<sup>5,6</sup> In adults, the incidence of DCM has been reported to be 5.5 cases per 100 000 population per year, with a prevalence of 36 cases per 100 000 population.<sup>7,8</sup> The underlying

**Author Affiliations:** Texas Children's Hospital, Baylor College of Medicine, Houston (Dr Towbin and Ms Clunie); New England Research Institutes, Watertown, Mass (Ms Lowe and Dr Sleeper); Children's Hospital (Drs Colan and Cox and Ms Messere) and Brigham and Women's Hospital (Dr Orav), Harvard Medical School, Boston, Mass; Genzyme Corp, Cambridge, Mass (Dr Cox); Albany Medical College, Albany, NY (Dr Lurie); Columbia University, New York, NY (Dr Hsu); Washington University School of Medicine, Saint Louis, Mo (Dr Canter); and Department of Pediatrics, University of Miami Miller School of Medicine, Miami, Fla (Drs Wilkinson and Lipshultz).

**Corresponding Author:** Jeffrey A. Towbin, MD, Pediatric Cardiology, Texas Children's Hospital, 6621 Fannin St, MC 19345-C, Houston, TX 77030 (jtowbin@bcm.tmc.edu).

cause in adults<sup>2</sup> is usually coronary artery disease, but other causes are also seen, including inflammatory heart disease, myocardial toxins, and genetic defects.<sup>9,10</sup> Approximately 30% to 35% of patients are reported to have a genetic form of DCM.<sup>11-14</sup> Infants and older children, however, appear to have a wider spectrum of causes,<sup>9,15-17</sup> although identifying these causes has been difficult.

Relatively little information on the incidence of cardiomyopathies in childhood has been published.<sup>18-20</sup> Arola et al<sup>20</sup> reported an incidence of DCM of 0.34 cases per 100 000 children per year and a prevalence of 2.6 cases per 100 000 children in Finland, a racially homogeneous population. A large percentage of cases occurred in infants (<1 year of age; 3.8 per 100 000 cases per year). Recently, our group, the Pediatric Cardiomyopathy Registry (PCMR), reported the incidence of pediatric cardiomyopathy in 2 regions of the United States, New England and the central Southwest.<sup>21</sup> A total of 467 cases of childhood cardiomyopathy were reported, yielding an annual incidence of 1.13 per 100 000 infants and children overall, with differences by race, sex, and region. These data are supported by similar findings in Australia.<sup>22</sup> The PCMR report defines the overall incidence of all forms of childhood cardiomyopathy but has limited details regarding the causes, risks, and outcomes of specific forms of cardiomyopathy. However, more detailed information focusing on particular forms of cardiomyopathy is required for clinicians to understand the clinical disorders of individual patients.

The current report provides the most up-to-date estimates of the incidence of DCM in patients younger than 18 years living in 2 regions of the United States, as well as a detailed description of the causes, outcomes, and related risk factors for DCM in children.

## METHODS

### Study Design

Two PCMR cohorts were established. The first is a population-based, pro-

spective cohort of patients younger than 18 years who have been diagnosed as having DCM between January 1, 1996, and February 25, 2003, at 98 pediatric cardiac centers and is based on identification at the time of diagnosis by a pediatric cardiologist. For this cohort, comprehensive patient enrollment was conducted in 2 geographically distinct regions of the United States (New England and the central Southwest).<sup>21</sup> In addition, a retrospective cohort of patients seen primarily at 39 tertiary care centers in North America and who had diagnoses between January 1, 1990, and December 31, 1995, was identified by chart review. Both groups are followed up using annual chart review, and enrollment of newly diagnosed cases is ongoing. All participating PCMR centers obtained institutional review board or ethics committee approval with a waiver of consent authorization. The participating centers and associated investigators representing the PCMR Study Group are detailed by Grenier et al.<sup>23</sup>

### Eligibility Criteria

All patients with cardiomyopathy were identified by clinical presentation to a pediatric cardiologist with signs and symptoms of heart failure, sudden death or aborted sudden death, or evaluation for possible cardiomyopathy because of familial inheritance. In addition, autopsy reports were evaluated in a retrospective case review. Sudden death was captured by review of the cardiology and pathology medical records. A variety of diagnostic exclusion criteria<sup>23</sup> were used, including endocrine disorders or immunologic diseases known to cause heart muscle disease, treatment with doxorubicin, and inflammation caused by human immunodeficiency virus (HIV) infection (or birth to an HIV-positive mother) or by Kawasaki disease.

A patient is eligible for the PCMR if he/she is younger than 18 years, strict quantitative echocardiographic criteria of LV dilation and systolic dysfunction are met, the pattern of cardiomyopathy conforms to a

defined semiquantitative pattern, the diagnosis is confirmed by autopsy or tissue analysis, or the investigator has other compelling evidence of cardiomyopathy.

This analysis focuses on pure DCM, defined as the presence of DCM at diagnosis, excluding any additional overlapping cardiac phenotype (n=1426). Cases of mixed functional DCM, including a combination of DCM with hypertrophic, restrictive, arrhythmogenic, noncompaction without gene mapping, or other functional types of cardiac disorder, were excluded. A sufficient number of significant differences in characteristics at diagnosis were found between patients in these 2 categories, and genetic and clinical studies indicate that mixed functional types of DCM have different causes than pure DCM and therefore are not representative of DCM as a classification. In addition, classification schemes for cardiomyopathy were developed on the basis of pure DCM.<sup>1,2</sup>

### Data Collection

Following patient identification, confirmation of eligibility and enrollment are established by chart review performed by study personnel using a unique study identifier to ensure confidentiality. Supplemental information on clinical history, procedures, and outcomes is obtained annually for all patients, and information on family history, results of laboratory studies, and therapies administered is additionally collected for retrospective cohort patients. All patients are seen by their primary pediatric cardiologist in follow-up, and data reported are based on comprehensive chart review of each patient visit.

### Statistical Methods

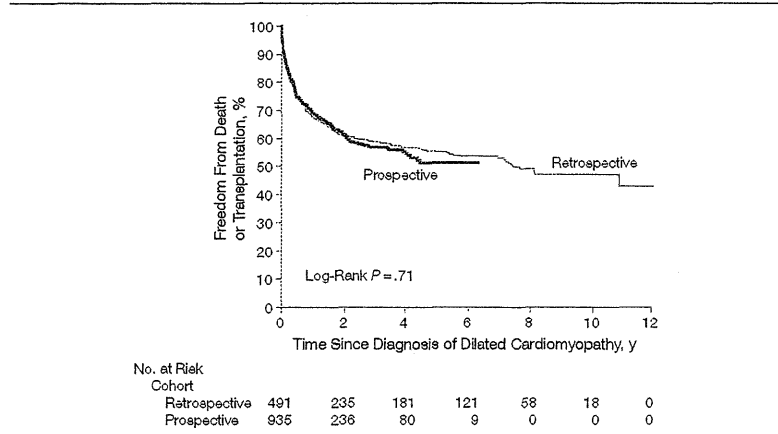
The clinical components of this report include all patients who were enrolled in the PCMR as of February 25, 2003, and the incidence rates are based on all cardiomyopathy diagnoses in the New England and central Southwest regions between January 1, 1996, and December 31, 2002. The retrospective co-

hort cannot be confirmed to include all cases and, therefore, was not used for incidence estimates. Population denominators used for incidence rate calculations were obtained from the state-specific US census counts for 1996 through 2002.

Race/ethnicity was assessed to create a subgroup factor for incidence rates and clinical outcomes. As a result of differences between race/ethnicity definitions in the US Census and the PCMR, both an upper and a lower estimate for incidence rates are given for white, black, and Hispanic children. The PCMR data on race/ethnicity consist of a single question with choices of white, black, Hispanic, Native American, Asian/Pacific Islander, or other. However, the census data on race/ethnicity cross-tabulates Hispanic status by racial category. Therefore, we calculated both lower-bound and upper-bound estimates of race-specific incidence rates. The lower-bound estimate includes all white Hispanics and black Hispanics in the white and black population counts, respectively, and the upper bound includes none of the white Hispanics and black Hispanics in the white and black population counts, respectively. The lower-bound estimate for the Hispanic rate includes all Hispanics of any race (white, black, or other race) in the population count, and the upper-bound estimate for the Hispanic rate includes only Hispanics with a racial background not classified as white or black in the population count.<sup>23</sup>

Descriptive statistics are presented as percentages or means and standard deviations, with skewed continuous data summarized as medians and interquartile ranges. The distributions of categorical variables were compared using the Fisher exact test, except for comparisons by cause, for which the  $\chi^2$  statistic was used. Two groups of normally distributed variables were compared using the *t* test, and analysis of variance was used to compare more than 2 groups. Skewed data were analyzed using the Wilcoxon rank-sum test and the Kruskal-Wallis test. The Man-

**Figure 1.** Estimated Freedom From Death or Transplantation for Patients With Pure Dilated Cardiomyopathy by Cohort



Retrospective: diagnosed 1990 to 1995, 491 patients; prospective: diagnosed 1996 to 2002, 935 patients.

tel-Haenszel test for linear trend was used to examine age at diagnosis of cardiomyopathy grouped categorically by cause.

Left ventricular end-diastolic dimension, posterior wall thickness, septal thickness, and mass were measured and expressed conditional on body surface area.<sup>22,24-26</sup> Fractional shortening is a measure of LV contractility and is defined by the ratio of the difference between the end-diastolic dimension (LVEDD) and end-systolic dimension (LVESD) to the LVEDD, expressed as fractional shortening = (LVEDD - LVESD)/LVEDD  $\times$  100. Quantitative right ventricular structure and function data were not collected.

Outcome measures were death, cardiac transplantation, and the composite end point of death or transplantation. Because of varying amounts of follow-up, survival figures and estimates were calculated using the Kaplan-Meier method and were compared with the log-rank test, with time from DCM diagnosis as the origin. Cox regression modeling was used to find predictors of death or transplantation in patients with pure DCM, excluding those with neuromuscular disease and inborn errors of metabolism.

To control for the large number of subgroup analyses as well as multiple

comparisons, only  $P < .01$  was considered to be statistically significant. All analyses were conducted using SAS version 9.1 (SAS Institute Inc, Cary, NC) and S-Plus version 6.1 (Insightful Corp, Seattle, Wash).

## RESULTS

### Registry Characteristics

A total of 1426 patients with pure DCM were enrolled as of February 25, 2003, including 491 (34%) enrolled retrospectively and 935 (66%) enrolled prospectively. These patients resided in New England ( $n = 195$  [14%]), the central Southwest ( $n = 397$  [28%]), and the remainder of North America ( $n = 834$  [58%]) at the time of diagnosis.

### Cohort Differences

The retrospective and prospective cohorts are similar with respect to sex, age, region, cause, presence of CHF at diagnosis, and outcome (FIGURE 1). Therefore, although there were statistical differences according to race (slightly more white children were enrolled in the retrospective cohort: 61% [298/485] vs 54% [497/918]) and rate of idiopathic disease (67% [331/491] vs 76% [710/934] for retrospective vs prospective), these 2 cohorts were combined for all other analyses.

**Table 1.** Pediatric Cardiomyopathy Registry Annual Incidence of Pure Dilated Cardiomyopathy in 422 Patients Diagnosed Between 1996 and 2002 in the New England and Central Southwest Regions of the United States\*

Characteristics	No. of Patients	Annual Incidence per 100 000 Children (95% Confidence Interval)	P Value
Total	422	0.57 (0.52-0.63)†	
Region‡			
New England	146	0.64 (0.54-0.75)	.09
Central Southwest	276	0.54 (0.48-0.60)	
Sex			
Male	252	0.66 (0.58-0.75)	<.001
Female	170	0.47 (0.40-0.55)	
Race/ethnicity§			
White, lower/upper	207	0.33/0.46 (0.29-0.38/0.40-0.53)	<.001
Black, lower/upper	87	0.98/1.05 (0.78-1.21/0.84-1.30)	
Hispanic, lower/upper	109	0.58/32.99 (0.48-0.70/27.08-39.79)	
Age group, y			
<1	181	4.40 (3.78-5.09)	<.001
1 to <18	241	0.34 (0.30-0.39)	

\*The registry aim was complete capture of cases in these 2 regions, representing a subset of the overall registry sample. †The population denominator was obtained from state-specific US census estimates for 1999-2006 and totals 74 212 292 children younger than 18 years across the 7-year period.

‡New England: Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont; central Southwest: Arkansas, Oklahoma, Texas.

§See "Methods" section of text for description of race/ethnicity data collection. Lower and upper bounds were estimated for incidence rates by racial/ethnic subgroup. The P value compares the upper white to lower black estimated incidence rates (ie, the most conservative comparison).

### Annual Incidence of DCM

Incidence rates were based on 422 cases of DCM diagnosed from 1996 to 2002 in 2 regions of the United States. The overall rate of pure DCM in childhood was 0.57 cases per 100 000 per year. The incidence was higher in boys than in girls (0.66 vs 0.47 per 100 000 per year;  $P = .006$ ), in blacks than in whites (0.98-1.05 vs 0.33-0.46 per 100 000 per year;  $P < .001$ ), and in infants (<1 year) than in older children (4.40 vs 0.34 per 100 000 per year;  $P < .001$ ) (TABLE 1).

### Clinical Presentation

Clinical findings, therapy, and outcomes are based on the entire cohort of 1426 patients with pure DCM unless otherwise specified. The median age at diagnosis was 1.5 years (interquartile range, 0.3-11.3 years) (TABLE 2). Age younger than 1 year was the most common age at diagnosis of DCM ( $n = 591$  [41%]). The 6- to younger than 12-year-old age group was the least common ( $n = 194$  [14%]) age at initial diagnosis. The majority of patients had clinical evidence of CHF at diagnosis (71% [999/1415]), with 27% (261/967) overall classified as having class IV heart failure.<sup>21,23,27,28</sup>

Echocardiogram results were available for 97% of patients (1378/1419). The mean LVEDD Z score was 4.17 (SD, 2.70), whereas the mean LVESD Z score was 5.96 (SD, 2.86) (Table 2). Left ventricular fractional shortening was severely depressed, with a median Z score of -9.16 (interquartile range, -11.08 to -6.10). Left ventricular end-diastolic posterior wall thickness and septal wall thickness were, on average, normal, but LV mass was mildly abnormal, with a mean Z score of 2.34 (SD, 2.89).

### Causes of DCM

The cause of DCM was identified in 34% of patients (Table 2). Of the 485 patients with a known cause, the most common causes were myocarditis (46% [222/485]) and neuromuscular disease (26% [125/485]). Half of myocarditis cases (52% [116/222]) met strict Dallas histopathologic criteria. Specific viral or other causes were known for very few cases because cultures and polymerase chain reaction information were not available in most cases. The majority of children with neuromuscular disorders had Duchenne (80% [100/125]) or Becker

(10% [12/125]) muscular dystrophy, both caused by mutations in dystrophin. Three children (2%) had Emery-Dreifuss muscular dystrophy.

The majority of patients with familial DCM (14% [66/485]) had autosomal dominant inheritance (68% [45/66]), and 24% (16/66) had autosomal recessive inheritance. The remainder had X-linked inheritance (2% [1/66]) or the complex phenotype of LV noncompaction with gene mapping (6% [4/66]). An additional 48 cases had LV noncompaction that was identified as the cause of DCM; of these, 45 remained idiopathic and 2 had unspecified chromosomal defects and 1 had Barth syndrome as the primary cause of DCM. Causative gene abnormalities were identified in 4 families with autosomal dominant disease, including mutations in  $\delta$ -sarcoglycan in 2 families and ZASP (Z-band alternatively spliced PDZ domain protein) in 2 families. In addition, mutations in 2 families with LV noncompaction (ZASP) and in 2 with X-linked disease (dystrophin mutation in 1 and tafazzin mutation in 1) were also identified.<sup>29-32</sup>

Among the 54 patients with inborn errors of metabolism, the largest subgroups were mitochondrial disorders (46% [20/54]), Barth syndrome (24% [13/54]), and primary or systemic carnitine deficiency (11% [7/54]). Malformation syndromes were the least common cause of DCM, and these disorders affected 15 patients, with Alström syndrome occurring in 5 cases (33%) and a chromosomal defect occurring in 7 cases (47%).

### Therapy

At the time of diagnosis of DCM, 82% of patients (1120/1370) were prescribed an anticongestive agent and 64% (307/478) an angiotensin-converting enzyme inhibitor, with 38% (182/478) receiving an antiarrhythmic agent, 15% (67/458) using L-carnitine supplementation, and 13% (65/487) having other dietary modification. Antithrombotic therapy was prescribed in 19% of cases and inotropes in 16%. There was low use of calcium channel blockers (3% [12/473]) and  $\beta$ -blockers (4% [17/474]).

Pacemaker and balloon pump use at diagnosis was rare (1% each [7/480 and 7/486, respectively]), as was use of an (left or right ventricular) assist device (2% [9/486]) and extracorporeal membrane oxygenation (3% [13/486]).

**Clinical Outcomes**

The median age of the patients at the time of diagnosis was 1.5 years (TABLE 3), the median age at listing for transplanta-

tion was 4.0 years, and the median age at transplantation was 4.8 years. Death occurred (for all patients who died) at a median age of 3.0 years. Median follow-up time from diagnosis of DCM among survivors who did not undergo transplantation was 1.6 years, with 25% having more than 4 years of follow-up.

Kaplan-Meier analysis of survival after DCM diagnosis revealed 1-year survival of 87%, 2-year survival of 83%,

5-year survival of 77%, and 10-year survival of 70% (Table 3). Similarly, the rate of freedom from transplantation at 1, 2, 5, and 10 years was 79%, 74%, 70%, and 66%, respectively. Freedom from death or transplantation was 69% at 1 year, 61% at 2 years, 54% at 5 years, and 46% at 10 years.

Kaplan-Meier estimates showed significant differences in survival, freedom from transplantation, and the

**Table 2.** Characteristics at Diagnosis of 1426 Patients With Pure DCM From the Pediatric Cardiomyopathy Registry, by Cause\*

Characteristics at DCM Diagnosis	All Patients (N=1426)	Idiopathic DCM (n=941 [66%])	Myocarditis (n=222 [16%])	Neuromuscular Disorders (n=125 [9%])	Familial DCM (n=66 [5%])	Inborn Errors of Metabolism (n=54 [4%])	Malformation Syndrome (n=15 [1%])	P Value†
Region, No. (%)								
New England	195 (14)	87 (9)	51 (23)	25 (20)	11 (17)	17 (31)	4 (27)	<.001
Central Southwest	397 (28)	248 (26)	74 (33)	39 (31)	17 (26)	12 (22)	6 (40)	
Other	834 (58)	606 (64)	97 (44)	61 (49)	38 (56)	25 (46)	5 (33)	
Male, No. (%)	769 (54)	465 (49)	102 (46)	121 (97)	36 (55)	39 (72)	6 (40)	<.001
Age at diagnosis, No. (%), y								
<1	591 (41)	460 (49)	65 (29)	2 (2)	26 (39)	28 (52)	10 (67)	<.001
1 to <6	314 (22)	197 (21)	91 (41)	1 (1)	9 (14)	13 (24)	3 (20)	
6 to <12	194 (14)	116 (12)	32 (14)	23 (18)	14 (21)	8 (15)	1 (7)	
12 to <18	327 (23)	188 (18)	34 (15)	99 (79)	17 (26)	5 (9)	1 (7)	
Age at diagnosis, median (IQR), y	1.54 (0.35 to 11.28)	1.07 (0.29 to 9.13)	1.59 (0.93 to 8.61)	14.14 (12.82 to 15.77)	4.04 (0.22 to 13.45)	0.89 (0.07 to 5.61)	0.61 (0.17 to 1.81)	<.001
Race/ethnicity, No. (%)								
White	795 (57)	490 (53)	120 (55)	91 (73)	43 (65)	38 (72)	13 (93)	<.001
Black	282 (20)	202 (22)	55 (25)	15 (12)	8 (12)	1 (2)	0	
Hispanic	235 (17)	166 (18)	29 (13)	15 (12)	12 (18)	10 (19)	1 (7)	
Other	91 (6)	67 (7)	14 (6)	3 (2)	3 (5)	4 (8)	0	
Congestive heart failure present at diagnosis, No. (%)	999 (71)	693 (74)	184 (84)	43 (35)	35 (53)	32 (60)	10 (67)	<.001
Family history at diagnosis, No. (%), ‡								
Cardiomyopathy	180 (19)	92 (14)	7 (6)	12 (10)	60 (92)	6 (19)	3 (30)	<.001
Sudden death	85 (9)	36 (5)	11 (8)	2 (3)	24 (44)	9 (24)	3 (27)	<.001
Congenital structural heart disease	32 (4)	22 (4)	3 (2)	0	4 (9)	0	3 (33)	<.001
Arrhythmia	27 (3)	17 (3)	1 (1)	3 (5)	6 (13)	0	0	.001
Genetic syndromes	68 (7)	24 (4)	2 (1)	25 (32)	6 (13)	7 (20)	4 (44)	<.001
LV echocardiographic Z scores at diagnosis§								
ED dimension, mean (SD)	4.17 (2.70)	4.65 (2.65)	3.87 (2.59)	1.89 (1.97)	3.28 (2.68)	3.42 (2.28)	2.32 (2.55)	<.001
ES dimension, mean (SD)	5.96 (2.86)	6.45 (2.80)	5.89 (2.66)	3.46 (2.28)	4.84 (2.91)	5.19 (2.39)	3.90 (2.68)	<.001
Fractional shortening, median (IQR)	-9.16 (-11.08 to -6.10)	-9.62 (-11.42 to -7.16)	-9.11 (-11.05 to -6.67)	-5.88 (-8.02 to -3.32)	-7.07 (-9.63 to -3.65)	-8.94 (-10.30 to -5.33)	-5.95 (-9.49 to -5.10)	<.001
ED posterior wall thickness, median (IQR)	-0.56 (-1.84 to 0.96)	-0.63 (-1.80 to 0.95)	0.21 (-1.22 to 1.84)	-1.62 (-2.88 to -0.09)	-0.75 (-2.07 to 0.87)	-0.05 (-1.33 to 1.51)	-0.88 (-1.33 to 1.30)	<.001
ED septal wall thickness, median (IQR)	-0.74 (-1.77 to 0.29)	-0.80 (-1.86 to 0.20)	-0.26 (-1.12 to 0.62)	-1.34 (-2.27 to -0.26)	-0.74 (-1.87 to 0.29)	-0.20 (-0.91 to 1.24)	-1.18 (-2.28 to -0.15)	<.001
Mass, mean (SD)	2.34 (2.89)	2.58 (2.92)	2.70 (2.26)	0.17 (2.90)	2.07 (3.33)	2.30 (2.27)	1.22 (2.19)	<.001
ED posterior wall thickness to ED dimension, ratio at diagnosis, median (IQR)	0.13 (0.10 to 0.16)	0.12 (0.10 to 0.15)	0.14 (0.11 to 0.17)	0.13 (0.11 to 0.16)	0.14 (0.11 to 0.17)	0.15 (0.13 to 0.17)	0.16 (0.14 to 0.19)	<.001

Abbreviations: DCM, dilated cardiomyopathy; ED, end-diastolic; ES, end-systolic; IQR, interquartile range; LV, left ventricular.  
 \*Causes of DCM were determined from all available follow-up information. Three patients had causes (1 lupus and 2 postpartum cardiomyopathy) that could not be categorized into any of the 5 subgroups but that are included in the overall analysis.  
 †P values represent the overall comparison of idiopathic DCM vs myocarditis vs neuromuscular disorder vs familial DCM vs inborn error of metabolism vs malformation syndrome.  
 ‡P values are based on analysis of variance or the Kruskal-Wallis test with the exception of age, for which the Mantel-Haenszel test for linear trend was used.  
 §Family history information was unavailable for more than one third of cases.  
 §A Z score of zero represents the mean for healthy children of similar age or body surface area.

composite end point of freedom from death or transplantation by cause (Table 3 and FIGURE 2 and FIGURE 3). Patients with neuromuscular disorders had the worst long-term outcome, with 57% survival at 5 years after diagnosis of DCM. Familial DCM had the best survival, with 94% at 5 years after diagnosis. Patients with myocarditis (92% survival at 1 year and 90% at 2 and 5 years) and inborn errors of metabolism (86% with 1- and 2-year survival and 83% with 5-year survival) had plateaued curves.

Estimation of freedom from transplantation by cause revealed that patients with idiopathic or familial DCM had the worst outcomes (62% freedom from transplantation at 5 years after diagnosis). Individuals with myocarditis had a 5-year rate of freedom from transplantation of 81%. Freedom from death or transplantation by 5 years was disappointing for all diagnostic categories, particularly for those with idiopathic disease (47%), neuromuscular disorders (52%), and famil-

ial DCM (59%). Patients with myocarditis, malformation syndrome, or inborn errors of metabolism had the best 5-year composite outcomes (73%, 76%, and 78% freedom from death or transplantation, respectively).

**Predictors of Clinical Outcome**

Risk factors for the composite end point of death or transplantation (TABLE 4) were identified after excluding cases caused by neuromuscular disease and inborn errors of metabolism because transplantation is not typically considered a treatment option for these groups. The final multivariate model included age at diagnosis, cause, CHF at diagnosis, and fractional shortening Z score ( $P < .001$  for all). Children with diagnoses after age 6 years were at a 2-fold greater risk of an event, and those with idiopathic disease had a 2-fold worse outcome than those with myocarditis. Patients with CHF at diagnosis had a 4-fold hazard of death or transplantation in the first year after diagnosis compared with those without CHF ( $P < .001$ ;

however, there was no additional risk for patients with CHF after 1 year (hazard ratio, 1.12) relative to those without CHF present at diagnosis. A higher fractional shortening Z score was associated with better outcome; risk decreased 0.9 times for each unit increase in Z score. All echocardiographic measures examined were univariately associated with DCM outcome except for septal and posterior wall thickness, but fractional shortening was the only independent echocardiographic risk factor.

Based on our multivariable model, a patient presenting with DCM and a moderate degree of LV dysfunction with a LV fractional shortening of  $-8$  SD (fractional shortening, 20%) has a risk of death or cardiac transplantation increased by 2.2 times (hazard ratio, 2.19; 95% confidence interval, 1.55-3.08) compared with a patient with normal LV function (LV fractional shortening Z score, 0 SD [fractional shortening, 32%]) associated with LV dilation (as seen in familial DCM, treated myocarditis, or other primary heart muscle disease).

**Table 3.** Age at Diagnosis and Outcomes in 1426 Patients With Pure DCM From the Pediatric Cardiomyopathy Registry, by Cause\*

	All Patients (N=1426)	Idiopathic DCM (n=941)	Myocarditis (n=222)	Neuromuscular Disorders (n=125)	Familial DCM (n=66)	Inborn Errors of Metabolism (n=54)	Malformation Syndrome (n=15)
Age at DCM diagnosis, median (IQR), y	1.54 (0.35-11.28)	1.07 (0.29-9.13)	1.59 (0.93-8.61)	14.14 (12.82-15.77)	4.04 (0.22-13.45)	0.89 (0.07-5.61)	0.61 (0.17-1.81)
No. of deaths	206	139	21	35	1	8	2
Survival rate, % (95% CI), y†							
1	87 (85-89)	84 (81-87)	92 (88-96)	89 (83-95)	100	86 (77-96)	91 (74-100)
2	83 (81-86)	80 (77-84)	90 (86-95)	79 (70-88)	100	86 (77-96)	91 (74-100)
5	77 (74-80)	76 (71-80)	90 (86-95)	57 (44-70)	94 (84-100)	83 (71-94)	76 (45-100)
10	70 (64-75)	74 (68-79)	78 (64-91)	29 (9-49)	94 (84-100)	83 (71-94)	76 (45-100)
No. of cardiac transplantations	292	231	32	8	19	2	0
Transplantation-free rate, % (95% CI), y†							
1	79 (76-81)	73 (70-76)	86 (81-91)	93 (88-98)	81 (72-91)	97 (92-100)	100
2	74 (71-76)	66 (62-70)	82 (77-88)	91 (86-97)	76 (64-87)	94 (87-100)	100
5	70 (66-73)	62 (58-66)	81 (74-87)	91 (86-97)	62 (48-76)	94 (87-100)	100
10	66 (61-71)	58 (51-64)	77 (67-86)	91 (86-97)	62 (48-76)	94 (87-100)	100
No. of end-point events (deaths and cardiac transplantations)	498	370	53	43	20	10	2
End-point event-free rate, % (95% CI), y†							
1	69 (66-71)	61 (58-65)	79 (74-85)	83 (76-90)	81 (72-91)	84 (74-94)	91 (74-100)
2	61 (58-64)	53 (50-57)	74 (68-81)	72 (63-81)	76 (64-87)	81 (70-92)	91 (74-100)
5	54 (50-57)	47 (43-51)	73 (66-79)	52 (40-65)	59 (44-74)	78 (65-90)	76 (45-100)
10	46 (41-51)	42 (37-48)	60 (47-72)	26 (3-44)	59 (44-74)	78 (65-90)	76 (45-100)

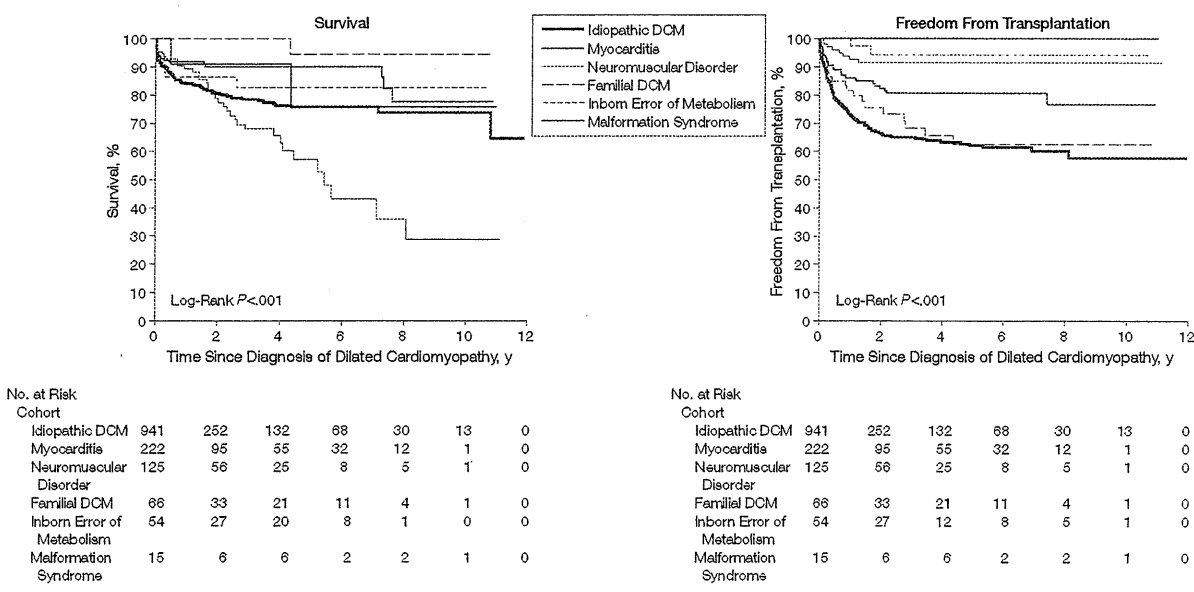
Abbreviations: CI, confidence interval; DCM, dilated cardiomyopathy.

\*Causes of DCM were determined from all available follow-up information. Event rates are based on Kaplan-Meier estimates at 1, 2, 5, and 10 years following diagnosis of DCM.

†Three patients had causes (1 lupus and 2 postpartum cardiomyopathy) that could not be categorized into any of the 5 subgroups but are included in the overall analysis.

‡The Greenwood formula was used for estimation of standard error with no transformation to the survivor function.

**Figure 2.** Estimated Survival and Freedom From Transplantation for Patients With Pure Dilated Cardiomyopathy (DCM), by Cause

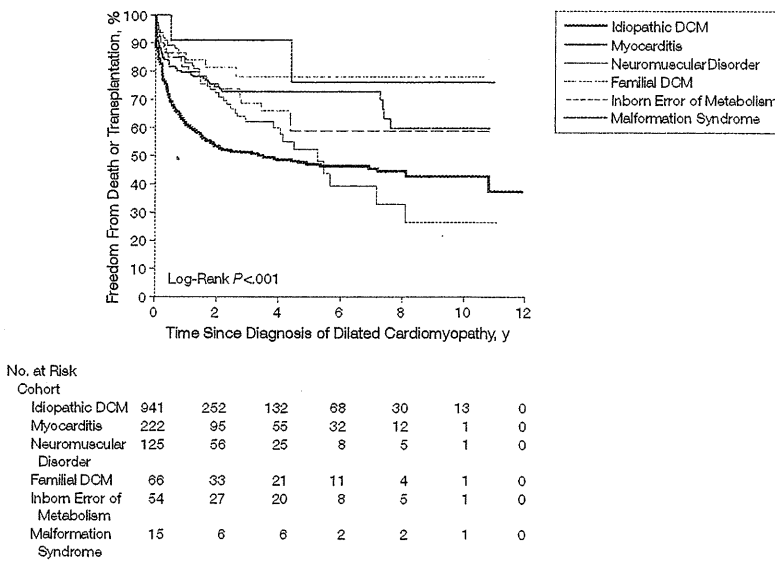


**COMMENT**

Dilated cardiomyopathy in childhood is a diverse disorder with outcomes that depend on cause and age at presentation, as well as heart failure status. We previously reported that early failure of medical management, high mortality rates, and progressive deterioration are found regardless of etiology.<sup>3</sup>

The incidence of DCM was 0.56 cases per 100 000 per year, 10-fold lower than in adults.<sup>21,22</sup> This may relate to fewer chronic health habit-associated risk factors, a longer latency period for clinical expression of the effects of genetic and environmental factors on the heart, and the wider age span of adulthood compared with childhood, giving adults more opportunity to develop DCM. When study differences are accounted for, the incidence of pediatric DCM in the United States is similar to that reported in Finland (0.65 per 100 000 aged ≤20 years) and, after accounting for age, Australia (1.09 per 100 000 aged ≤10 years).<sup>20-22</sup> Boys have a higher DCM incidence than girls related to X-linked genetic causes and

**Figure 3.** Freedom From Death or Transplantation for Patients With Pure Dilated Cardiomyopathy (DCM)



neuromuscular disorders. Black children have higher rates of DCM and different causes of DCM than do white children.

Dilated cardiomyopathy is significantly more likely to present in the first year of life than at older pediatric ages. Infants had more than 1.3 times the in-

DILATED CARDIOMYOPATHY IN CHILDREN

cidence of older children. The most common causes of infantile DCM include idiopathic, inborn errors of metabolism, and malformation syndromes. However, DCM presenting at older pediatric ages is, in general, associated with worse outcomes. In addition to older age, worse ventricular dysfunction at presentation and more advanced CHF at

presentation were associated with worse outcomes. Congestive heart failure was present in 71% of patients presenting with DCM and was more common in myocarditis and idiopathic DCM and less common in those presenting with neuromuscular, familial, or inborn errors of metabolism-associated DCM. Most mortality or transplantation oc-

curs early, within 2 years of DCM presentation, except with neuromuscular etiologies.

The cause of DCM was an independent predictor of the composite outcome of death or transplantation. Outcomes by cause varied widely from 57% to 94% survival at 5 years, suggesting the need to establish an etiology to de-

**Table 4.** Cox Regression Modeling Results for Death or Transplantation, Excluding Cases With Neuromuscular Disorders and Inborn Errors of Metabolism\*

Covariates	No.	Univariate Analysis		Final Multivariate Model	
		Hazard Ratio for Death or Transplantation (95% CI)	P Value†	Hazard Ratio for Death or Transplantation (95% CI)	P Value†
Age at diagnosis of DCM (3 df)	1244		<.001		<.001
1 to <6 vs <1 y		0.95 (0.74-1.22)	.67	1.20 (0.89-1.63)	.23
6 to <12 vs <1 y		1.43 (1.09-1.87)	.01	2.31 (1.67-3.20)	<.001
12 to <18 vs <1 y		1.48 (1.15-1.89)	.002	2.40 (1.75-3.30)	<.001
Sex (male vs female)	1244	1.17 (0.97-1.40)	.11		
Race/ethnicity (white vs Hispanic vs black vs other race) (3 df)	1223		.16		
Region (New England vs central Southwest vs other) (2 df)	1244		<.001		
Central Southwest vs New England		1.73 (1.17-2.54)	.006		
Other vs New England		2.02 (1.41-2.90)	<.001		
Cohort (prospective vs retrospective)	1244	1.08 (0.89-1.32)	.42		
Etiology (idiopathic vs known)	1244	2.01 (1.57-2.58)	<.001		
Cause of DCM (3 df)	1244		<.001		<.001
Idiopathic vs malformation syndrome		4.08 (1.01-16.32)	.05	1.81 (0.45-7.30)	.41
Idiopathic vs familial		1.76 (1.12-2.77)	.01	1.65 (0.96-2.84)	.07
Idiopathic vs myocarditis		2.03 (1.52-2.70)	<.001	2.06 (1.47-2.87)	<.001
Family history (present vs absent)					
Cardiomyopathy	846	1.04 (0.79-1.36)	.81		
Sudden death	868	1.06 (0.73-1.54)	.75		
Congenital heart disease	794	1.24 (0.74-2.09)	.41		
Arrhythmia	787	0.60 (0.28-1.26)	.18		
Genetic syndromes	822	1.02 (0.60-1.75)	.94		
CHF at diagnosis (present vs absent) (2 df)	1235				
Risk at <1 y after diagnosis		3.03 (2.19-4.20)	<.001	3.67 (2.40-5.60)	<.001
Risk at ≥1 y after diagnosis		1.30 (0.83-2.05)	.25	1.12 (0.66-1.91)	.67
LV ED dimension Z score (per SD increase)	941	1.14 (1.10-1.20)	<.001		
LV ES dimension Z score (per SD increase)	830	1.16 (1.11-1.22)	<.001		
LV fractional shortening Z score (per SD increase)	998	0.91 (0.88-0.94)	<.001	0.90 (0.87-0.94)	<.001
LV ED posterior wall thickness Z score quartiles (3 df)‡	756		.78		
LV ED septal wall thickness Z score quartiles (3 df)‡	691		.48		
LV mass Z score (per SD increase)	748	1.07 (1.03-1.11)	.001		
Ratio of LV ED posterior wall thickness to LV ED dimension quartiles (3 df)‡	826		<.001		
First vs second		1.43 (1.04-1.96)	.03		
First vs third		1.87 (1.33-2.64)	<.001		
First vs fourth		1.76 (1.25-2.48)	.001		

Abbreviations: CI, confidence interval; DCM, dilated cardiomyopathy; df, degrees of freedom; ED, end-diastolic; ES, end-systolic; LV, left ventricular.  
 \*Data are from 1244 patients with pure DCM from the Pediatric Cardiomyopathy Registry. Multivariate modeling is based on 990 patients in whom 282 transplantations and 163 deaths occurred. Three additional patients had causes (1 lupus and 2 postpartum cardiomyopathy) that could not be categorized into any of the 5 etiologic subgroups and, therefore, are not included in modeling analyses.  
 †Raw (unadjusted) P values are presented for pairwise comparisons of subgroups where an omnibus difference is detected.  
 ‡Left ventricular ED posterior wall thickness and LV ED septal wall thickness Z scores as well as ratio of LV ED posterior wall thickness to LV ED dimension were categorized by quartiles because of nonlinear effects.

termine the subsequent optimal management and to more accurately predict prognosis. However, understanding the cause of DCM remains difficult, with only 34% of pediatric patients having an identifiable cause. The spectrum of disease etiologies in childhood is quite different than that reported in adults. In pure DCM, myocarditis and neuromuscular disorders are the most common causes during childhood, with familial DCM, inborn errors of metabolism, and malformation syndromes less common. In adults, coronary artery disease is a common cause of DCM, which is rare in childhood, and explains some differences between incidence rates in childhood vs adulthood.

Mortality and cardiac transplantation rates did not match for specific causes of pediatric DCM. There was lower mortality but a higher rate of cardiac transplantation for familial DCM compared with idiopathic DCM. Idiopathic DCM had high rates of both death and cardiac transplantation. This raises questions about optimal cardiac transplantation management. One conclusion would be that patients with idiopathic DCM are not undergoing transplantation as often as they should, since mortality remains elevated, or that more needs to be done to establish etiologies for idiopathic DCM in pediatric patients. Similar questions are raised for myocarditis, in which deaths continue to occur years after presentation. The continuing mortality risk contradicts the previously held belief of a high recovery rate in this population. Familial DCM has high early transplantation rates and lower mortality compared with other causes, suggesting that families and their care providers may be more prepared to allow transplantation in these young patients early.

There are limitations to this study. First, subclinical cases of DCM are, by definition, not completely captured by the methods used in this study. For this reason, the incidence of DCM is probably underestimated and disease severity is possibly overestimated. In addition, the large percentage of

infants and children with no known etiology reduces the predictability of etiology-based outcomes. The regions captured may not be fully representative of the United States, and potential endemic outbreaks or genetic or acquired factors might be overlooked. Finally, the observational nature of the study plus the fact that detailed treatment data were only collected from the retrospective cohort preclude reliable conclusions regarding potential associations between therapy and outcomes in this cohort. However, therapies have not been shown to affect outcomes dramatically.<sup>21,33</sup>

Despite the billions of dollars used to care for these patients, develop new therapies, and perform genetics-based studies, survival is still poor. New methods for early diagnosis<sup>34</sup> and risk stratification, as well as new therapies, need to be developed for infants and children with DCM to avoid transplantation and premature death.<sup>3,35</sup> The identification of patient characteristics and underlying diseases with the worst and best outcomes should enable focused investigations regarding these issues.

**Author Contributions:** Drs Towbin and Sleeper had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

**Study concept and design:** Towbin, Colan, Lurie, Lipshultz.

**Acquisition of data:** Towbin, Colan, Clunie, Messere, Hsu, Canter, Lipshultz.

**Analysis and interpretation of data:** Towbin, Lowe, Colan, Sleeper, Orav, Cox, Lurie, Hsu, Canter, Wilkinson, Lipshultz.

**Drafting of the manuscript:** Towbin, Lowe, Colan, Sleeper, Lipshultz.

**Critical revision of the manuscript for important intellectual content:** Lowe, Colan, Sleeper, Orav, Clunie, Messere, Cox, Lurie, Hsu, Canter, Wilkinson, Lipshultz.

**Statistical analysis:** Lowe, Sleeper, Orav, Lipshultz.

**Obtained funding:** Towbin, Colan, Lurie, Lipshultz.

**Administrative, technical, or material support:** Colan, Clunie, Messere, Wilkinson, Lipshultz.

**Study supervision:** Towbin, Colan, Sleeper, Lipshultz.

**Financial Disclosures:** None reported.

**Funding/Support:** This study was supported by National Heart, Lung, and Blood Institute (NHLBI) grant R01 HL53392. The PCMR is supported by a grant funded by the NHLBI (grant R01 HL53392 to Dr Lipshultz, principal investigator). The work is also funded in part by grants from the National Institutes of Health (grants P01 HL67155 to Dr Towbin and R01 HL78522, R01 HL72705, R01 CA79060, P01 CA68484, and N01 HR96041 to Dr Lipshultz), Parker Family Foundation (to Dr Lipshultz), the John Patrick Albright Foundation (to Dr Towbin), Abby Glaser Children's Heart Fund (to Dr Towbin), the Amanda McPherson Foundation (to Dr Towbin), Glover-Crask Trust (to Dr Lipshultz), Perini

Quality of Life Program (to Dr Lipshultz), Lance Armstrong Foundation (to Dr Lipshultz), and the Children's Cardiomyopathy Foundation (to Dr Lipshultz). Dr Towbin is supported by the Texas Children's Hospital Foundation Chair in Pediatric Cardiac Research. The University of Miami serves as the PCMR Administrative Coordinating Center, with Texas Children's Hospital, Houston, and Children's Hospital, Boston, serving as regional clinical coordinating centers. The New England Research Institutes is the data and statistical coordinating center. An NHLBI-appointed observational study monitoring board assists in data monitoring.

**Role of the Sponsors:** The NHLBI provided oversight of the study with an independent observational study monitoring board, but neither the NHLBI nor any other funders had any role in the design and conduct of the study, in the collection, analysis, and interpretation of the data, or in the preparation, review, or approval of the manuscript.

**Acknowledgment:** We thank the participating clinical centers for patient recruitment and Tom Lang, MA, professional medical editor, Tom Lang Communications and Training, for editorial assistance. Mr Lang was compensated for his presubmittal editorial review of the manuscript.

## REFERENCES

- Richardson P, McKenna W, Bristow M, et al. Report of the 1995 World Health Organization/International Society and Federation of Cardiology Task Force on the definition and classification of cardiomyopathies. *Circulation*. 1996;93:841-842.
- Maron BJ, Towbin JA, Thiene G, et al; American Heart Association Council on Clinical Cardiology, Heart Failure and Transplantation, Council on Clinical Cardiology, Heart Failure and Transplantation Committee, Quality of Care and Outcomes Research and Functional Genomics and Translational Biology Interdisciplinary Working Groups, and Council on Epidemiology and Prevention. Contemporary definitions and classifications of the cardiomyopathies: an American Heart Association scientific statement from the Council on Clinical Cardiology, Heart Failure and Transplantation Committee; Quality of Care and Outcomes Research and Functional Genomics and Translational Biology Interdisciplinary Working Groups; and Council on Epidemiology and Prevention. *Circulation*. 2006;113:1807-1816.
- Tsirka AE, Tinkaus K, Chen SC, et al. Improved outcomes of pediatric dilated cardiomyopathy with utilization of heart transplantation. *J Am Coll Cardiol*. 2004;44:391-397.
- Harmon WE, McDonald RA, Reyes JD, et al. Pediatric transplantation, 1994-2003. *Am J Transplant*. 2005;5:887-903.
- O'Connell JB, Bristow MR. Economic impact of heart failure in the United States: time for a different approach. *J Heart Lung Transplant*. 1994;13:S107-S112.
- Digiorgi PL, Reel MS, Thornton B, Burton E, Naka Y, Oz MC. Heart transplant and left ventricular assist device costs. *J Heart Lung Transplant*. 2005;24:200-204.
- Codd MB, Sugrue DD, Gersh BJ, Melton LJ. Epidemiology of idiopathic dilated and hypertrophic cardiomyopathy: a population-based study in Olmsted County, Minnesota, 1975-1984. *Circulation*. 1989;80:564-572.
- Manolio TA, Baughman KL, Rodeheffer R, et al. Prevalence and etiology of idiopathic dilated cardiomyopathy (summary of a National Heart, Lung, and Blood Institute Workshop). *Am J Cardiol*. 1992;69:1458-1466.
- Towbin JA. Pediatric myocardial disease. *Pediatr Clin North Am*. 1999;46:289-312.
- Shaddy RE. Cardiomyopathies in adolescents: di-

## DILATED CARDIOMYOPATHY IN CHILDREN

- lated, hypertrophic, and restrictive. *Adolesc Med*. 2001;12:35-45.
11. Towbin JA, Bowles NE. The failing heart. *Nature*. 2002;415:227-233.
  12. Michels VV, Moll PP, Miller FA, et al. The frequency of familial dilated cardiomyopathy in a series of patients with idiopathic dilated cardiomyopathy. *N Engl J Med*. 1992;326:77-82.
  13. Keeling PJ, Gang Y, Smith G, et al. Familial dilated cardiomyopathy in the United Kingdom. *Br Heart J*. 1995;73:417-421.
  14. Grunig E, Tasman JA, Kucherer H, Franz W, Kubler W, Katus HA. Frequency and phenotypes of familial dilated cardiomyopathy. *J Am Coll Cardiol*. 1998;31:186-194.
  15. Towbin JA, Lipshultz SE. Genetics of neonatal cardiomyopathy. *Curr Opin Cardiol*. 1999;14:250-262.
  16. Griffin ML, Hernandez A, Martin TC, et al. Dilated cardiomyopathy in infants and children. *J Am Coll Cardiol*. 1988;11:139-144.
  17. Kelly DP, Strauss AW. Inherited cardiomyopathies. *N Engl J Med*. 1994;330:913-919.
  18. Ferencz C, Neill CA. Cardiomyopathy in infancy: observations in an epidemiologic study. *Pediatr Cardiol*. 1992;13:65-71.
  19. Bilgic A, Ozbarlas N, Ozkurtlu S, Ozer S, Ozme S. Cardiomyopathies in children: clinical, epidemiological, and prognostic evaluation. *Jpn Heart J*. 1990;31:789-797.
  20. Arola A, Jokinen E, Ruuskanen O, et al. Epidemiology of idiopathic cardiomyopathies in children and adolescents: a nationwide study in Finland. *Am J Epidemiol*. 1997;146:385-393.
  21. Lipshultz SE, Sleeper LA, Towbin JA, et al. The incidence of pediatric cardiomyopathy in two regions of the United States. *N Engl J Med*. 2003;348:1647-1655.
  22. Nugent AW, Daubeney PEF, Chondros P, et al. The epidemiology of childhood cardiomyopathy in Australia. *N Engl J Med*. 2003;348:1639-1646.
  23. Grenier M, Osganian SK, Cox GF, et al. Design and implementation of the North American Pediatric Cardiomyopathy Registry. *Am Heart J*. 2000;139(2)(pt 3):S86-S95.
  24. Colan SD, Parness IA, Spevak PJ, Sanders SP. Developmental modulation of myocardial mechanics: age equals number and growth-related alterations in afterload and contractility. *J Am Coll Cardiol*. 1992;19:619-629.
  25. Sluysmans T, Colan SD. Theoretical and empirical derivation of cardiovascular allometric relationships in children. *J Appl Physiol*. 2005;99:445-457.
  26. Lipshultz SE, Miller TL. Establishing norms for echocardiographic measurements of cardiovascular structures in children. *J Appl Physiol*. 2005;99:386-388.
  27. Connolly D, Rutkowski M, Auslender M, Artman M. The New York University Pediatric Heart Failure Index: a new method of quantifying chronic heart failure severity in children. *J Pediatr*. 2001;138:644-648.
  28. Ross RD, Bollinger RO, Pinsky WW. Grading the severity of congestive heart failure in infants. *Pediatr Cardiol*. 1992;13:72-75.
  29. Ichida F, Tsubata S, Bowles KR, et al. Novel gene mutations in patients with left ventricular noncompaction or Barth syndrome. *Circulation*. 2001;103:1256-1263.
  30. Tsubata S, Bowles KR, Vatta M, et al. Mutations in the human delta-sarcoglycan gene in familial and sporadic dilated cardiomyopathy. *J Clin Invest*. 2000;106:655-662.
  31. Vatta M, Mohapatra B, Jimenez S, et al. Mutations in Cypher/ZASP in patients with dilated cardiomyopathy and left ventricular non-compaction. *J Am Coll Cardiol*. 2003;42:2014-2027.
  32. Feng J, Yan JY, Buzin CH, Sommer SS, Towbin JA. Comprehensive mutation scanning of the dystrophin gene in patients with nonsyndromic X-linked dilated cardiomyopathy. *J Am Coll Cardiol*. 2002;40:1120-1124.
  33. Lipshultz SE. Ventricular dysfunction clinical research in infants, children and adolescents. *Prog Pediatr Cardiol*. 2000;12:1-28.
  34. McMahon CJ, Nagueh SF, Eapen RS, et al. Echocardiographic predictors of adverse clinical events in children with dilated cardiomyopathy: a prospective clinical study. *Heart*. 2004;90:908-915.
  35. Rosenthal D, Chrisant MR, Edens E, et al. International Society for Heart and Lung Transplantation: practice guidelines for management of heart failure in children. *J Heart Lung Transplant*. 2004;23:1313-1333.

## V. 小児心臓移植実施施設基準の整備

# 小児心臓移植施設基準 アンケート

アンケートのお願い

厚生労働省の科学研究費(難治性疾患等克服研究事業)のもとで、「小児心臓移植医療の社会的基盤に関する研究」をおこなっています。その一環で、我が国の小児心臓移植の実施施設の基準はいかなるものであるべきか、現行の基準を変更する必要があるか、の研究を行っています。

つきましては、ご多忙中の所、添付のアンケート調査にご協力お願いいたします。今回のアンケートは、小児心臓移植実施施設でない施設をはじめ、現行の小児心臓移植の実施施設にもお願いしてあります。現行の小児心臓移植実施施設とは、11歳未満のレシピエントに対して実施できるものです。したがって、今回のアンケートでは、「小児」とは11歳未満と定義いたしました。

なお今回のアンケートは、将来の貴施設の小児心臓移植施設審査などには使われません。今回のアンケートをもとに、小児心臓移植の実施施設基準の案を班会議として提案する予定としていますので、是非ご協力をお願い申し上げます。

現行の基準も同封いたしました。

前もってアンケートご回答の御礼をいたし、貴施設のますますのご発展を祈念いたします。

アンケート締め切り期日は9月9日とさせていただきます。

厚生労働科学研究費補助金(難治性疾患等克服研究事業)

「小児心臓移植医療の社会的基盤に関する研究」研究班

代表 中西敏雄

東京都新宿区河田町8-1

東京女子医科大学循環器小児科

TEL 03-3353-8111

FAX 03-3356-0441

E-mail: pnakanis@hij.twmu.ac.jp

このアンケートは、将来の貴施設の小児人工心臓植え込み施設審査などには使われません。				
外科と小児科で相談していただき、施設で回答をお願いいたします。				
回答者				
施設名				
		該当項目に○をお願いします。例数もお答えください。		
施設基準		はい	いいえ	
	植え込み型補助人工心臓の実施施設ですか？	はい	いいえ	
	体外式補助人工心臓の実施施設ですか？	はい	いいえ	
	貴施設では成人の心臓移植経験がありますか？	はい ( )例	いいえ	
	心臓移植を新規に実施する場合、既存実施施設の応援体制を整えることができますか？	はい	いいえ	
外科医基準				
	外国においてTransplant fellowまたは 心臓移植実施施設でSurgical fellowの経験を有するものが複数、常勤していますか？	はい	いいえ	
	貴施設が心臓移植実施施設でない場合、近隣の実施施設と有機的な応援体制を構築することは可能ですか？	はい	いいえ	
	常勤の心臓外科医が5名以上いますか？	はい ( )例	いいえ ( 名)	
	うち 少なくとも2名は日本胸部外科学会指導医または心臓血管外科専門医ですか？	はい ( )例	いいえ ( 名)	
	心臓外科医チーム内に小児の先天性心疾患を専門とする心臓外科医が2名以上いますか？	はい ( )例	いいえ ( 名)	
	小児の先天性心疾患を専門とする心臓外科医のうち、少なくとも2名は日本小児循環器学会会員であり、かつ少なくとも1名は心臓血管外科専門医ですか？	はい	いいえ	
	小児の先天性心疾患を専門とする心臓外科医のうち日本小児循環器学会評議員 の数は？		例	
	小児の先天性心疾患を専門とする心臓外科医のうち心臓血管外科専門医 の数は？		例	

手術数				
	この3年間平均して年間の開心術の例数をお願いします	年間例数 0-50, 51-100, 101-150, 151-200, 201-250, 251-300, 301以上		
	このうち10歳以下の開心術の例数をお願いします(年間症例数)	年間例数 0-50, 51-100, 101-150, 151-200, 201-250, 251-300, 301以上		
	止血のための緊急再手術を除く緊急手術は、この3年間に何例施行されていますか？(新生児の緊急手術も入れて下さい)	例		
	この3年間の補助人工心臓の着脱手術例数をお願いします	例		
循環器小児科医の基準				
	心臓移植に十分な経験を有する、日本小児循環器学会専門医ないし暫定指導医の資格を持つ循環器小児科医が複数名、勤務していますか？	はい	いいえ	
	うち最低1名は常勤していますか？	はい	いいえ	
	3名以上の日本小児循環器学会の会員である小児循環器医師が常勤していますか？	はい	いいえ	
	この3年間の小児(10歳以下)の心筋生検の施行回数？(同一症例でもよいです)	回		
	小児(15歳以下)の心筋生検の最も経験の多い術者は、この3年間で何回施行していますか？	回		
	この3年間平均して、小児(10歳以下)の心臓カテーテル検査施行延べ数	例		
	この3年間で、小児(10歳以下)慢性心不全患者を管理した入院患者延べ数(同一症例複数回入院でもよいです)	例		
	この3年間で、小児(10歳以下)移植後患者の管理患者数(延べでなく症例数で)	例		
麻酔科				
	心臓移植手術の麻酔経験、あるいはその研修経験のある麻酔医がいますか	はい	いいえ	
	日本麻酔学会指導者1名以上が常勤していますか？	はい	いいえ	
	麻酔医が2名以上常勤していますか？	はい	いいえ	
検査部				
	感染症検査(細菌培養、肝炎ウイルス検査、サイトメガロウイルス検査を含む)のできる専任の検査技師のいる検査部がありますか？	はい	いいえ	
	院内でEBウイルス検査ができますか？	はい	いいえ	

	院内でサイトメガロウイルス検査ができますか	はい	いいえ		
病理部	迅速診断を含めて病理標本を作製できる専任の技師がいる病理部がありますか(機構上検査部になっていなくても可)	はい	いいえ		
	年間の迅速診断の数	年間 0-50, 51-100, 101-150, 151-200, 201-250, 251-300, 301以上			
放射線検査部	専任の検査技師がいる放射線検査部があり、CT、心臓血管造影、超音波検査などの迅速運用可能な画像診断設備を保有していますか？	はい	いいえ		
看護部	心臓移植術前、術後の看護を担当できる看護体制がありますか？	はい	いいえ		
	心臓移植後の看護の経験のある看護師はいますか？	はい ( )例	いいえ		
	VADの看護の経験のある看護師はいますか？	はい ( )例	いいえ		
レシピエント コーディネーター	心臓移植術前、術後の管理を担当できるレシピエントコーディネーターがいますか？	はい ( )例	いいえ		
薬剤の血中濃度測定	シクロスポリン、タクロリムス、MMF等の免疫抑制剤の血中濃度を迅速測定できますか？	はい	いいえ		
	迅速測定できる体制作りは可能ですか？	はい	いいえ		
拒絶反応の診断	必要時に心臓カテーテル検査、心内膜心筋生検、超音波検査を実施できる体制はありますか？	はい	いいえ		
	病理診断に習熟した専門の医師による迅速な診断体制の構築は可能ですか？	はい	いいえ		
免疫抑制療法	免疫抑制療法についてコンサルトを受けうる体制の構築は可能ですか？	はい	いいえ		
血液科	院内に小児血液疾患、特に悪性疾患の診断と治療ができる体制、または、協力施設の体制がありますか？	はい	いいえ		
	上記がない場合、体制の構築は可能ですか？	はい	いいえ		