

mild, moderate, and severe symptom groups, respectively. Toxicity was scored according to the Radiation Therapy Oncology Group morbidity grading scale.<sup>8)</sup> The Kruskal-Wallis test was used in the comparison of patient and treatment characteristics among the three AUA groups. The Friedman test was used to detect the changes of IPSS from baseline to 24 months after IMRT. The Wilcoxon signed-rank test was used in the comparison of IPSS at baseline and at 24 months. The effects of patient characteristics and treatment parameters on the IPSS difference between baseline and 24 months were analyzed by the Mann-Whitney U test and the multiple linear regression model. The Fisher's exact test was used in the analysis of potential factors associated with acute and late GU toxicity. A logistic regression model was used to identify significant predictors of acute and late GU toxicity and the IPSS difference between baseline and 24 months. All factors were adjusted in the analyses of the multiple linear regression model and a logistic regression model. Median values were basically adopted as cut-off values for these factors. The following factors were analyzed: age ( $\leq 69$  vs.  $\geq 70$ ), T-stage ( $\leq T2$  vs.  $\geq T3$ ), positive rate in needle biopsy ( $\leq 50\%$  vs.  $> 50\%$ ), pretreatment GU medications, diabetes, neoadjuvant ADT time ( $\leq 10$  months vs.  $> 10$  months), total ADT time ( $\leq 27$  months vs.  $> 27$  months), prostate volume ( $\leq 20$  cc vs.  $> 20$  cc), PTV maximum dose ( $\leq 81.9$  Gy vs.  $> 81.9$  Gy), PTV volume ( $\leq 60$  cc vs.  $> 60$  cc), bladder V70 ( $\leq 10\%$  vs.  $> 10\%$ ), bladder V40 ( $\leq 39\%$  vs.  $> 39\%$ ), bladder V20 ( $\leq 75\%$  vs.  $> 75\%$ ), bladder maximum dose ( $\leq 81$  Gy vs.  $> 81$  Gy). A P-value of  $< 0.05$  was considered significant. All statistical analyses were performed with EZR,<sup>9)</sup> which is a graphical user interface for R (The R Foundation for Statistical Computing).

## RESULTS

### *Patient and treatment characteristics*

The median age of the subjects was 69 years. More than half of the patients (115, 53.2%) had T3a or higher T-stage. The median baseline IPSS was 6. Forty patients (18.5%) took GU medications before the initiation of any therapy. All pretreatment GU medications were alpha-blockers. The numbers of patients were 124 in the mild (baseline IPSS  $\leq 7$ ), 70 in the moderate (baseline IPSS  $\geq 8$  and  $\leq 19$ ), and 22 in the severe group (baseline IPSS  $\geq 20$ ). During IMRT, 43 patients (20.0%) started GU medications and five patients (2.4%) changed their medications or needed the addition of the new drug. Of these 48 patients in total, 21, 21, and 6 patients were the mild, the moderate, and the severe group, respectively. The used drugs were mainly alpha-blockers and two patients (0.9%) took anticholinergic drug. After IMRT, 12 patients (5.6%) started GU medications and six patients (2.8%) changed their medications or needed the addition of the new drug. Of these 18 patients in total, 7, 7, and 4 patients were the mild, the moderate, and the severe group, respectively. The used drugs were mainly alpha-blockers and seven patients (3.2%) took anticholinergic drug. The median follow-up time from the start date of IMRT was 34 months (range, 18–66 months). Therefore, IPSSs at 18 or 21 months after IMRT were used as alternatives to those at 24 months for 14 patients (6.5%). Table 1 describes patient and treatment characteristics. The rates of GU medications were significantly higher in the following order: mild, moderate, and then the severe group ( $P < 0.001$ ). The prostate volumes measured on radiotherapy planning CT tended to be larger in the following order: mild, moderate, and then the severe group ( $P = 0.053$ ). The PTV volumes were significantly larger in the following order: mild, moderate, and then the severe group ( $P = 0.010$ ).

### *IPSS courses after IMRT*

IPSS significantly changed over time in all patients ( $P < 0.001$ ). The average IPSS was high-

**Table 1** Patient and treatment characteristics

Characteristic	All patients <i>n</i> = 216	AUA classification			<i>P</i> value	
		mild <i>n</i> = 124	moderate <i>n</i> = 70	severe <i>n</i> = 22		
Age (years)	69 (49–81)	68.2	69.5	68.7	0.51	
PSA level (ng/ml)	14.13 (1.40–319.00)	30.0	28.0	25.8	0.32	
Gleason score	7 (5–10)	7.4	7.3	7.6	0.71	
Tumor stage	T1-T2	101 (46.8%)	57	33	11	0.93
	T3-T4	115 (53.2%)	67	37	11	
Positive biopsy rate (%)	50.0 (3.0–100.0)	46.4	50.3	48.0	0.70	
Positive biopsy > 50% (%)	35.1	31.5	41.4	36.4	0.38	
Baseline IPSS	6 (0–32)	3.5	12.6	23.8	< 0.001	
GU medications (%)	40 (18.5%)	9.7	27.1	40.9	< 0.001	
Diabetes (%)	21 (9.7%)	7.3	12.9	13.6	0.36	
Neoadjuvant ADT (month)	10 (2–68)	10.9	10.4	10.9	0.65	
Total ADT (month)	27 (4–94)	27.7	26.5	27.4	0.53	
Prostate volume (cc)	20.8 (8.0–100.8)	21.7	23.9	24.4	0.053	
PTV volume (cc)	59.4 (22.1–190.9)	59.2	67.1	68.1	0.010	
Prescribed dose (Gy)	78 (70.0–78.0)	77.7	77.4	77.3	0.16	
Bladder V70 (%)	10.0 (2.0–34.0)	10.7	10.0	12.8	0.056	
Bladder V40 (%)	38.9 (12.9–88.7)	40.6	37.6	45.0	0.13	
Bladder V20 (%)	73.5 (28.0–100.0)	74.1	69.2	73.9	0.063	
Bladder max. dose (Gy)	80.9 (73.6–84.1)	80.7	80.5	80.5	0.85	
Follow-up (month)	34 (18–66)	36.3	34.4	34.8	0.70	

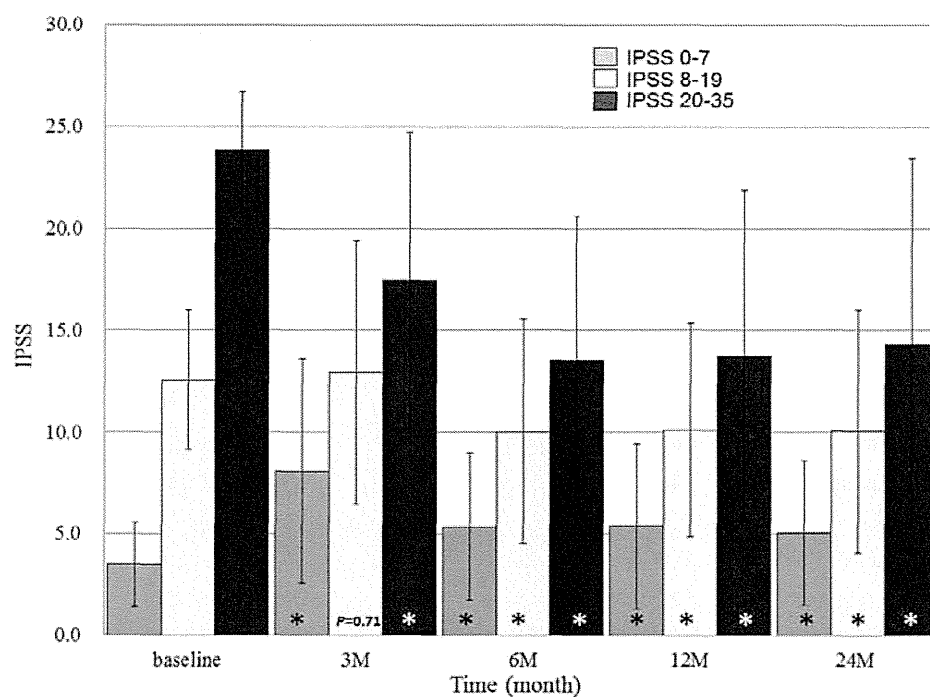
Data of all patients and each group are presented as median and mean, respectively.

Data of positive rate in needle biopsy were missing in 3 patients (2 in the mild group and 1 in the moderate group).

est at three months after IMRT and lowest at 24 months after IMRT. Figure 1 the shows IPSS courses in the three groups according to the AUA classification. The average IPSS significantly changed over time in all three groups (all  $P < 0.001$ ). The average IPSS was lowest at baseline ( $3.5 \pm 2.1$ ), highest at three months after IMRT ( $8.1 \pm 5.5$ ), and second lowest at 24 months after IMRT ( $5.1 \pm 3.6$ ) in the mild group. The average IPSS at 24 months after IMRT was significantly higher than that at baseline in the mild group ( $5.1 \pm 3.6$  vs.  $3.5 \pm 2.1$ ,  $P < 0.001$ ). In the moderate group, the highest average IPSS was at three months after IMRT ( $12.9 \pm 6.5$ ) and the lowest was at 24 months after IMRT ( $10.0 \pm 6.0$ ). The average IPSS at 24 months after IMRT was significantly lower than that at baseline in the moderate group ( $10.0 \pm 6.0$  vs.  $12.6 \pm 3.4$ ,  $P = 0.0015$ ). In the severe group, the average IPSS was highest at baseline ( $23.8 \pm 2.9$ ) and lowest at 6 months after IMRT ( $13.5 \pm 7.1$ ). The average IPSS at 24 months after IMRT was significantly lower than that at baseline in the severe group ( $14.4 \pm 9.1$  vs.  $23.8 \pm 2.9$ ,  $P < 0.001$ ).

The average IPSS difference between baseline and 24 months was  $-0.9 \pm 6.2$  in all the

## IPSS change in IMRT for prostate cancer



**Fig. 1** IPSS trends of three groups after IMRT combined with ADT according to the AUA classification. Bars and lines represent mean IPSSs and standard deviations at each time. \* means statistically significant ( $P < 0.01$ ) compared with those at baseline in each group.

patients. Table 2 shows the effects of patient and treatment characteristics on the IPSS difference between baseline and 24 months. IPSS classification, pretreatment GU medications, and positive biopsy rates were associated with the IPSS difference between baseline and 24 months by the Mann-Whitney U test ( $P < 0.001$ ,  $P < 0.001$ , and  $P = 0.0078$ , respectively). In the multiple linear regression model, age, IPSS classification, pretreatment GU medications, and positive biopsy rates were associated with the IPSS difference between baseline and 24 months ( $P = 0.023$ ,  $< 0.001$ ,  $0.044$ , and  $0.028$ , respectively).

#### Urinary toxicity

Table 3 shows the incidence of acute and late GU toxicities. Of 51 patients (23.6%) who developed acute Grade 2 urinary toxicity, most of the symptoms (46, 21.3%) were dysuria, such as urinary frequency. Table 4 shows the effects of patient characteristics and treatment parameters on acute and late GU toxicities. In Fisher's exact test, only baseline IPSS classification was associated with acute GU toxicity ( $P = 0.0089$ ). Multiple logistic regression analysis also showed that baseline IPSS classification was an independent factor associated with acute GU toxicity ( $P = 0.0089$ ). A one-unit increase of the AUA classification doubled the acute GU toxicity risk.

Of 16 patients (7.4%) who developed late Grade 2 urinary toxicity, 13 patients (6.0%) experienced dysuria requiring medication or medication change at a median of 19 months (range, 8–47 months). Two patients (0.9%) experienced Grade 3 urinary retention requiring self-catheterization or dilation at 14 and 17 months after IMRT. One patient (0.5%) developed bladder ulcer requiring laser coagulation (Grade 3) at 14 months after IMRT. In the Fisher's

**Table 2** Effects of patient characteristics and treatment parameters on the IPSS difference between baseline and 24 months in the Mann-Whitney U test and the multiple linear regression model

Characteristic	Mann-Whitney U test	Multiple linear regression model		
	<i>P</i> value	RC	95% CI	<i>P</i> value
Age	0.51	- 1.7	- 3.1- - 0.24	0.023
Tumor stage	0.21	- 0.039	- 1.7 -1.6	0.96
Baseline IPSS	< 0.001	4.8	3.7-5.9	< 0.001
GU medications	< 0.001	1.9	0.060-3.8	0.044
Diabetes	0.071	0.56	- 1.8-2.9	
ADT	0.55	0.81	- 0.73-2.3	0.30
Prostate volume	0.93	- 0.34	- 2.1-1.4	0.70
PTV volume	0.66	- 0.39	- 2.1-1.3	0.66
PTV max. dose	0.65	0.35	- 1.3-2.0	0.68
Bladder V70	0.27	- 0.58	- 1.9-1.8	0.53
Bladder V40	0.55	1.4	- 0.69-3.5	0.19
Bladder V20	0.085	- 1.7	- 3.7-0.20	0.080
Bladder max. dose	0.45	0.13	- 1.5-1.8	0.87
Positive biopsy rate	0.0078	1.9	0.22-3.5	0.028

RC = regression coefficient

CI = confidence interval

**Table 3** Incidence of acute and late Grade 2 or higher genitourinary (GU) toxicity among patients treated with intensity-modulated radiation therapy (IMRT)

	Acute GU toxicity <i>n</i> = 216	AUA classification			Late GU toxicity <i>n</i> = 216	AUA classification		
		mild <i>n</i> = 124	moderate <i>n</i> = 70	severe <i>n</i> = 22		mild <i>n</i> = 124	moderate <i>n</i> = 70	severe <i>n</i> = 22
Total	51 (23.6%)	21 (16.9%)	22 (31.4%)	8 (36.4%)	19 (8.8%)	10 (8.1%)	7 (10.0%)	2 (9.1%)
Grade 2								
dysuria	46 (21.3%)	21 (16.9%)	18 (25.7%)	7 (31.8%)	13 (6.0%)	4 (3.2%)	6 (8.6%)	2 (9.1%)
hematuria	3 (1.4%)	0	2 (2.9%)	1 (4.5%)	1 (0.5%)	1 (0.8%)	0	0
pain on urination	2 (0.9%)	0	2 (2.9%)	0	0	0	0	0
cystitis	0	0	0	0	2 (0.9%)	2 (1.6%)	0	0
Grade 3								
urinary retention	0	0	0	0	2 (0.9%)	1 (0.8%)	1 (1.4%)	0
bladder ulcer	0	0	0	0	1 (0.5%)	1 (0.8%)	0	0
Grade 4	0	0	0	0	0	0	0	0

Rates are represented within each group.

exact test, no factor was associated with  $\geq$  Grade 2 late GU toxicity, as shown in Table 4. In the multiple logistic regression model, only bladder V70 was significantly associated with  $\geq$  Grade 2 late GU toxicity ( $P = 0.033$ ). Patients with bladder V70 > 10% had about four-fold higher

## IPSS change in IMRT for prostate cancer

**Table 4** Effects of patient characteristics and treatment parameters on acute and late genitourinary (GU) toxicity in Fisher's exact test and logistic regression analysis

Characteristic	Effects on acute GU toxicity				Effects on late GU toxicity			
	Fisher's exact test	Logistic regression model			Fisher's exact test	Logistic regression model		
	<i>P</i> value	OR	95% CI	<i>P</i> value	<i>P</i> value	OR	95% CI	<i>P</i> value
Age	0.11	1.6	0.77–3.1	0.22	1	1.1	0.38–3.2	0.88
Tumor stage	0.055	0.53	0.26–1.1	0.071	0.81	1.2	0.38–4.0	0.73
Baseline IPSS	0.0089	2.0	1.2–3.3	0.0089	0.88	1.6	0.72–3.4	0.27
GU medications	0.68	0.51	0.20–1.3	0.17	0.21	0.18	0.021–1.6	0.12
Diabetes	1	0.81	0.26–2.5	0.72	0.23	NE	NE	NE
ADT	0.33	0.57	0.28–1.2	0.12	0.35	1.5	0.46–4.8	0.50
Prostate volume	0.43	1.2	0.51–2.8	0.68	0.34	1.8	0.50–6.2	0.38
PTV volume	0.52	0.89	0.40–2.0	0.79	0.64	0.55	0.16–1.9	0.33
PTV max. dose	0.63	2.1	0.91–5.0	0.083	0.81	0.66	0.20–2.2	0.50
Bladder V70	0.63	1.5	0.63–3.5	0.37	0.090	4.1	1.12–15	0.033
Bladder V40	1	0.96	0.37–2.5	0.94	0.81	1.0	0.23–4.6	0.97
Bladder V20	0.63	0.80	0.33–2.0	0.64	1	0.44	0.10–1.9	0.28
Bladder max. dose	0.27	0.43	0.18–1.0	0.053	0.81	1.4	0.43–4.6	0.57

In the analysis of the effects on acute GU toxicity, ADT means neoadjuvant ADT  
CI = confidence interval

risk of late GU toxicity than those with bladder V70  $\leq$  10%.

## DISCUSSION

The average IPSSs at baseline vs. those at 24 months after IMRT were  $23.8 \pm 2.9$  vs.  $14.4 \pm 9.1$  and  $12.6 \pm 3.4$  vs.  $10.0 \pm 6.0$  in the severe and moderate groups, respectively. These data show that patients with moderate to severe urinary symptoms can exhibit improvement in urinary function after IMRT combined with ADT. The IPSS in the group with positive biopsy rates  $> 50\%$  improved significantly through 24 months compared with that in the group with positive biopsy rates  $\leq 50\%$ , as shown in Table 2. These data suggest that the urinary outcome might be improved more in patients with a larger tumor burden as a result of the treatment. Reduction in tumor burden by EBRT combined with ADT may be the first factor in the improvement of urinary function in the severe and moderate groups.

Prostate cytorreduction due to ADT may be the second factor in the improvement of urinary function in the severe and moderate groups, although ADT time had no effect on the IPSS difference between baseline and 24 months. At any rate, as the prostate volume tended to be larger in the order of mild, moderate, and then the severe group, baseline IPSS was considered to be associated with the enlargement of the prostate. In our study, more than half of the patients had T3a or higher T-stage and more than 70% of them belonged to the high-risk group. As ADT was used for as long as 27 months as the current standard treatment approach for patients with locally advanced prostate cancer,<sup>10</sup> ADT time might have had no effect on the IPSS changes in our study. On the other hand, Feigenberg *et al.* reported increased rates of urinary morbidity with long-term ADT use.<sup>11</sup> The use of 3 months of neoadjuvant ADT can decrease the volume of the prostate by 30–50% before EBRT.<sup>12</sup> Feigenberg *et al.* argued that the problem with this effect is that the prostate probably continues to shrink during radiation. This could lead to an increased volume of the rectum and/or bladder exposed to a significant radiation dose. As neoadjuvant

ADT was also used for as long as 10 months in our study, such long-term neoadjuvant ADT could rather improve urinary function along with the shrinkage of the prostate. Thus, ADT time might have had no effect on the risk of acute and late GU toxicity in our study.

The average IPSS at baseline vs. that at 24 months after IMRT was  $3.5 \pm 2.1$  vs.  $5.1 \pm 3.6$  in the mild group. This indicates that patients with mild symptoms may have slightly worse symptoms after IMRT combined with ADT. As the prostate volume of patients with mild symptoms tended to be smaller than that of moderate and severe groups, these patients might get smaller benefits of prostate cytorreduction from ADT. The factor causing the worse IPSS in the mild group may be the late toxicity of EBRT itself. In fact, analysis of the effects of patient characteristics and IMRT parameters on late GU toxicities indicated that only bladder V70 was associated with late  $\geq$  Grade 2 GU toxicity. Therefore, the late RT effect might offset the advantage of prostate cytorreduction and reduction in tumor burden by treatment for patients in the mild group. An appropriate dose-volume evaluation of GU toxicity depends on the precise bladder filling between CT simulation and irradiation. In this study, all patients defecated when possible every morning and discharged urine about one hour both before CT simulation and before IMRT to minimize daily variations in the shape and anatomical location of the prostate, and we checked these situations carefully in the daily treatment. The use of image-guided radiation therapy (IGRT) may make it possible to perform an accurate survey for dose-volume models of GU toxicity.

To our knowledge, only one study has investigated the urinary outcome of men treated for prostate cancer with EBRT using IPSS.<sup>13</sup> Malik *et al.* reported that patients with pretreatment high IPSS are not at a significantly increased risk of severe GU toxicity or obstructive uropathy compared with patients with lower pretreatment IPSS. Patients with IPSS  $\geq 15$  can have modest improvement in their baseline urinary function over time. In addition, they have reported that potential mechanisms for urinary symptom improvement after EBRT combined with ADT may be related to (1) prostate cytorreduction from neoadjuvant ADT and/or RT, (2) reduction in disease burden, (3) GU medication use, and (4) patient bias. These results are in good agreement with our current study. The results of our current study showed that a one-unit increase of the AUA classification doubled the acute GU toxicity risk. This result is also congruent with that reported by Malik *et al.*<sup>13</sup> In the current study, the IPSS of the group  $\leq 69$  years improved significantly between baseline and 24 months compared with that of the group  $\geq 70$  years, as shown in Table 2. This indicates that younger patients may exhibit better improvement in their urinary function.

Localized prostate cancer patients usually have some radical treatment choices such as radical prostatectomy, brachytherapy, and IMRT. The results of our study provide useful data for the understanding of urinary function after IMRT combined with long-term ADT and the basis of comparison with other treatments. Three essential points need to be considered when interpreting the results of this study. At first, the lack of IPSS data just before EBRT. Therefore, the result of the current study has to be interpreted as reflecting the results of IMRT combined with long-term ADT. Secondly, when considering the natural course of urinary symptoms in 69 years old men, IPSS scores will increase during the period of two years as natural course. Thirdly, IPSSs of this study were not divided into voiding and storage symptom groups. Voiding symptom may reflect the obstruction caused by pathological changes of the prostate, and storage symptoms may reflect the bladder function such as overactivity caused by IMRT. In order to investigate the factors affecting the symptom change, it is necessary to analyze the IPSS by dividing them into voiding and storage symptom groups.

In conclusion, we present the IPSS courses and the relationships of GU toxicity and the clinical characteristics with pretreatment IPSS in IMRT combined with ADT for localized prostate cancer. Patients with moderate to severe urinary symptoms can exhibit improvement in urinary

function after IMRT combined with ADT, whereas patients with mild symptoms may have slightly worse urinary function. Age, baseline IPSS, GU medications, and tumor burden in the prostate are considered to have an effect on the IPSS changes. The risk of acute GU toxicity will increase in patients with higher baseline IPSS. Late toxicity may be associated with the bladder volume exposed to high doses of IMRT.

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### CONFLICTS OF INTEREST

The authors declare no conflict of interest.

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Review

## Hypofractionated Radiotherapy for Localized Prostate Cancer: A Challenging Accelerated Hypofractionated Radiotherapy

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**Abstract.** Conventionally fractionated (CF) external-beam radiation therapy (1.8-2.0 Gy/fraction) is an established treatment modality for localized prostate cancer. Emerging evidence suggests that the  $\alpha/\beta$  ratio for prostate cancer is as low as 1.5, which has prompted investigators to explore hypofractionated (HF) radiation therapy. We reviewed the current status of hypofractionation and found that the accumulated outcomes reveal that dose escalation by moderate (2.5-4 Gy/fraction) hypofractionation (mHF) results in a better early biochemical outcome with acceptable complication rates, although there exist no marked advantages, other than the convenience of short treatment periods. Recently, hypofractionated external-beam radiotherapy has been challenged by accelerated hypofractionation (AHF), i.e., stereotactic body irradiation, particle therapy, and a high-dose-rate brachytherapy, using 5-10 Gy/fraction with a precise dose distribution and shorter treatment periods. Five-year biochemical control rates improved to >90%, even for high-risk groups, with a higher dose delivery using a safer technology. The overall survival rate reached nearly 100% at 5 years and was unaffected by prostate cancer, particularly in patients aged >80 years. Therefore, if maintaining the quality of life is the main purpose, short-term treatment is an attractive option from the socioeconomic perspective. Furthermore, CF

and mHF regimens use equivalent doses at 2 Gy per fraction (EQD2) of 62-84 Gy, whereas AHF uses a higher EQD2 of 85 to 135 Gy if an  $\alpha/\beta$  ratio of 1.5 is applied. In the preliminary phase, AHF has theoretical advantages that not only reduce the treatment period but also potentially improve BC, particularly in high-risk groups using a higher EQD2.

Several publications have suggested that the  $\alpha/\beta$  ratio (recognized as the ratio of 'intrinsic radiosensitivity' to the 'repair capability') of prostate adenocarcinoma is low (around 1.5 Gy) compared with that of late-responding normal tissues (e.g. rectal damage: 3 Gy) (1-3). Therefore, hypofractionation can offer an improved therapeutic ratio because of a presumed higher sensitivity of prostate cancer tissues to higher fraction doses compared with the sensitivity of normal tissue damage. Randomized and prospective trials of hypofractionation treatment schedules for prostate cancer have presented good biochemical control (BC) rates with acceptable toxicities (4-12). These clinical studies used external beam hypofractionated regimens with a dose/fraction ranging from 2.54 Gy delivered daily for 4 to 6 weeks, termed as moderate hypofractionation (mHF), which reduces the treatment period by 2-3 weeks compared to conventional fractionation (CF). Today, using new technologies, such as stereotactic body irradiation (SBRT), image-guided radiotherapy (IGRT), intensity-modulated radiotherapy (IMRT), high-dose-rate brachytherapy (HDR-BT), and particle therapy, it is possible to irradiate the target more accurately, reducing the volume of normal tissue irradiated compared with conformal CF (3D-CRT) techniques, allowing the delivery of higher doses (5-10 Gy/fraction) to the clinical target. Therefore, mHF can be challenged by accelerated (13-15), or so-called extreme (16), profoundly (17) hypofractionated (AHF) radiotherapy, i.e. SBRT, particle therapy, and HDR-BT.

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These modalities have several merits in the treatment of prostate cancer, including precise and shorter treatment periods with an advanced dose distribution. However, patients and physicians encounter difficulty in selecting an appropriate treatment regimen because numerous options are available. Therefore, we conducted a literature review to examine the role of hypofractionation treatment. The PubMed database was searched for relevant articles published after 1990 to 2014. We only included studies assessing hypofractionated radiotherapy that comparing different schedule and had a median follow-up  $\geq 50$  months, with a large sample size ( $\geq 100$  patients), important findings and which were published in English. The nominal dose was converted to equivalent dose in 2 Gy per fraction (EQD2) using a linear-quadratic model, where  $\alpha/\beta=1.5$  for prostate cancer (EQD2=prescription dose $\times(\alpha/\beta + \text{dose}/\text{fraction})/(\alpha/\beta + 2)$ ).

### **Moderate Hypofractionated Radiotherapy Using External-beam Radiotherapy (EBRT): from Two-Dimensional Planning Radiotherapy to Three-Dimensional Planning Radiotherapy and IMRT**

There are five randomized controlled trials (RCT) available for comparison between hypofractionation and CF (Table I), using either prostate-specific antigen (PSA) control or biochemical control (BC). Furthermore, several reviews and meta-analyses for mHF were published recently (16, 18-20). There were two randomized trials from Australia and Canada (4-6) using a lower prescribed dosage with a classical radiotherapy technique, which does not fit any present clinical situation but provides considerable evidence.

An Italian RCT showed superiority of hypofractionation (7). The 3-year BC rates in patients at a very high risk (*i.e.* initial PSA  $>20$  ng/ml, Gleason score  $\geq 8$ , or T  $\geq 2c$ ) were 88% and 76% ( $p=0.014$ ) in the former and latter arms, respectively. They updated their data with a median follow-up of 70 months (8) and reported that biochemical failure occurred in 35 out of the 168 patients (21%) in the study. Among these 35 patients, local failure was detected only in 11 (31%), distant failure only in 16 (46%), and both types of failure in six (17%). In two patients (6%), biochemical failure had not been clinically detected. The risk reduction by hypofractionation was significant in biochemical failure (10.3%) but not in local and distant failure. Their results confirm the isoeffectiveness of the two fractionation schedules used in this study, although a benefit in favor of hypofractionation cannot be excluded in the sub-group of patients with an iPSA level of 20 ng/ml or less. A hypofractionation schedule using higher EQD2 with long-term follow-up yielded a good 8-year actuarial BC rate of 92% without grade  $>3$  toxicity (21). Comparison with similar EQD2 (771 Gy and 78 Gy) between CF and hypofractionation showed equivalent results (22).

Kupellian *et al.* compared IMRT delivering 70 Gy in 28 fractions (2.5 Gy/fraction) and 3D-CRT delivering 78 Gy in 39 fractions (2.0 Gy/fraction) (23). They recently updated the outcomes of the IMRT arm with a median follow-up of 45 months (maximum 86 months) (24). The late rectal toxicity scores were 0 in 89.6% of cases, 1 in 5.9%, 2 in 3.1%, 3 in 1.3%, and 4 in 0.1% of cases (one patient). The late urinary toxicity scores were 0 in 90.5% of cases, 1 in 4.3%, 2 in 5.1%, and 3 in 0.1% of cases (one patient).

In an RCT performed at the MD Anderson Cancer Center from 2001-2010, 204 patients were treated in a randomized dose-escalation trial using IMRT and ultrasound-guided prostate localization (9). Twenty patients treated using conventional IMRT and 23 using hypofractionated IMRT received 4 months of androgen deprivation therapy (ADT) neoadjuvant/concomitantly. Four patients on the conventional IMRT arm had grade 2 gastrointestinal (GI) toxicity and one had grade 3, for 5-year actuarial rates of 5% and 1%, respectively. On the hypofractionated IMRT arm, there were nine patients with grade 2 GI toxicity and two with grade 3 toxicity, 11% and 3%, respectively. Differences between arms were not statistically significant for grade 2 and 3 toxicities, although there was a trend toward higher toxicity for patients in the hypofractionated IMRT arm for all toxicities combined (grades 1-4,  $p=0.058$ ). There were 15 patients with grade 2 GU (genitourinary) toxicities on each arm and one with grade 3 GU toxicity with conventional IMRT, giving a 5-year grade 2/3 toxicity rate of 19% for both arms. They updated the toxicity data in 2014 (10). The actuarial 5-year grade  $\geq 2$  GU toxicity was 16.5% after conventional IMRT and 15.8% after hypofractionated IMRT ( $p=0.97$ ). There was a non-significant numeric increase in late GI toxicity in men treated with hypofractionated IMRT compared with that in men treated with conventional IMRT. The actuarial 5-year grade  $\geq 2$  GI toxicity was 5.1% after conventional IMRT and 10.0% after hypofractionated IMRT ( $p=0.11$ ).

Dearnaley *et al.* conducted a multicenter randomized controlled trial at 11 UK centers (CHHip study) (11). Patients were randomly assigned in a 1:1:1 ratio to receive CF or one of two types of high-dose hypofractionated IMRT. The primary endpoint was a toxicity of grade 2 or more after 2 years on the Radiation Therapy Oncology Group (RTOG) scale. Six [4.3%; 95% confidence interval (CI)=1.6-9.2%] out of 138 men in the 74-Gy group had GI toxicity of grade 2 or more after 2 years, as well as five (3.6%; 95% CI=1.2-8.3%) out of 137 men in the 60 Gy group and two (1.4%; 95% CI=0.2-5.0%) out of 143 men in the 57 Gy group. For GU toxicity, three (2.2%; 95% CI=0.5-6.2%) out of 138 men, three (2.2%; 95% CI=0.5-6.3%) out of 137, and none (0.0%; 97.5% CI=0.0-2.6%) out of 143 men had a toxicity of grade 2 or more after 2 years. In conclusion, high-dose hypofractionated radiotherapy appeared to be as well tolerated as CF treatment 2 years later.

Table 1. Hypofractionated external beam radiation therapy from 2D to IMRT.

Author (Institute)	Year (Total Pt No.)	Study Pt No. follow-up period (median)	ADT Risk group (L/I/H) (Risk classification system)	Radiotherapy (daily Fx) [EQD2: $\alpha/\beta$ 1.5]	5y- PSA control rate*(1) (L/I/H)	Adverse reaction Late G2 or more if otherwise cited [criteria]	
<b>Two-dimensional radiotherapy (2D)</b>							
Lukka (4) (Canada)	2005 (n=936)	RCT: HF vs. CF n=466 vs. 470 (5.7Y)	ADT (-) T1-2, PSA<40 60%GS-6, 31% GS7, 9% GS8-	52.5Gy/20fr vs. 66Gy/33fr (2.625Gy vs. 2Gy) [62Gy vs. 66Gy]	53% vs. 60% (A)* -7% 90%CI:-12.6--1.6%	GI 1.9% vs. 1.9%, GU 1.3% vs. 1.3% [RTOG]	HF <CF but Low dose study Acute toxicity 11.4% (HF) > 7% (CF)
<b>2D and three-dimensional conformal radiotherapy (3D-CRT)</b>							
Yeoh (5, 6) (Australia)	2011 (n=217) (156 2D, 61 3D-CRT)	RCT: HF vs. CF n=108 vs. 109 (90M)	ADT (-) T1-2, PSA<80	55Gy/20fr (4 in wk) vs. 64Gy/32fr (2.75Gy vs. 2Gy) [66.8Gy vs. 64Gy]	53% vs. 34% (90M) p<0.05	GI 20% vs. 14% GU HR:1.58 (1.01-2.47) at 4Y [LENT/ SOMA]	HF > CF but Low dose study HF worse than CF in GU
Arcangeli (7, 8) (Italy)	2010 (n=168)	RCT: HF vs. CF n=83 vs. 85 (32M vs. 35M)	9 M ADT (+) 74% GS -6, 24% GS7-	80 Gy/ 40 Fr vs. 62 Gy/ 20 Fr (4 in wk) (2Gy vs. 3.1Gy) [80Gy vs. 81.4Gy]	87% vs. 79% p=0.035	GI 17% vs. 16% GU 14% vs. 11% [LENT-SOMA]	HF > CF PSA control (70M update) GU GI equivocal
Patel (21) (McGill, Canada)	2013 (n=129)	US IGRT (90M)	ADT (-) L-I T1-2c, PSA $\leq$ 20, GS $\leq$ 7	66Gy /22fr (3Gy) [84.9Gy]	97%	GI 27%, GU 33% [CTCAE v3.0]	Long term follow-up T1-2c, PSA $\leq$ 20 ng/mL, GS $\leq$ 7 HF=CF
Leborgne (22) (Wisconsin)	2012 (n=274)	HF vs. CF n=114 vs. 160 (66M vs. 63M)	L/I/H=36%/48%/18% vs. 66%/50%/6% (NCCN)	57-64 (median 60) Gy/20fr vs. 72-80 (78)/39fr (3Gy -4 in wk vs. 2Gy) [77.1Gy vs. 78Gy]	89% vs. 89%	GI 4.3% vs. 5%, GU 4.3% vs. 2.5% [RTOG]	
<b>3D-CRT and IMRT</b>							
Kupelian (23) (Cleveland)	2002 (n=282) **SCIMRT vs. 3D CRT	HF vs. CF n=166 vs. 116 (21M vs. 32M)	65% ADT 49% GS -6, 51% GS 7-	70 Gy/28fr vs. 78 Gy/39fr (2.5Gy vs. 2Gy) [80Gy vs. 78Gy]	94% vs. 88% (30M)	GI 5% vs. 12%, GU 1.2% vs. 1.7% [RTOG]	HF=CF
Kupelian (24) (Cleveland)	2007 (n=770)	70Gy/28fr IMRT (45M)	ADT (+) (34%/ 28%/38%) (D'Amico)	70Gy/28fr (2.5Gy) [80Gy]	83% (94% / 83%/ 72%)	GI 4.5% GU 5.2% [RTOG]	High-dose HF feasible
Kuban (9, 10) (MDACC)	2011 (n=204)	RCT: HF vs. CF n=102 vs. 102 (4.8Y vs. 4.6Y) IMRT	21% ADT L/I/H = 28%/ 71%/ 1% (NCCN)	72Gy /30fr vs. 75.6Gy/42fr (2.4Gy vs. 1.8Gy) [80.2Gy vs. 81.4Gy]	96% vs. 92% (A)	GI 14% vs. 6% GU 19% vs. 19% [modified RTOG]	HF=CF
Dearnaley (11) (UK)	2012 (n=457) CHHiP IMRT	RCT: HF vs. CF n=153 vs. 153 (50.5M)	3-6 M ADT(+) T1-3, PSA<30 ng/ml 3D-CRT/IMRT	74Gy/37fr vs. 60Gy/20fr vs. 57Gy/19fr (2Gy vs. 3Gy) [74Gy vs. 77Gy vs. 73.4Gy]	NA	GI 4.3% vs. 3.6% vs. 1.4% GU 2.2% vs. 2.2% vs. 0% [RTOG]	HF=CF in toxicity HF feasible
Pollack (12) (Fox Chase)	2013 (n=303)	RCT: HF vs. CF n=151 vs. 152 (68.4M)	H and part of I ADT(+) 34% GS- 6, 47% GS7, 19% GS 8-	70.2Gy/26Fr (4 in wk) vs. 76 Gy/38Fr (2.7Gy vs. 2Gy) [84Gy vs. 76Gy]	76.7% vs. 78.6%	GI 22.5%, vs. 18.1% GU 21.5% vs. 13.4% [modified LENT/RTOG]	HF=CF Poor IPSS predict GU

ADT; Androgen deprivation therapy, L; low risk, I; intermediate risk, H; high risk, EQD2; Equivalent dose in 2 Gy fractions, \*1PSA failure is defined according to Phoenix criteria if otherwise stated, G2; grade 2, RCT; randomized control trial. HF; hypofractionated, CF; conventional fraction, GI; gastrointestinal, GU; genitourinary, RTOG; Radiation Therapy Oncology Group, LENT/SOMA; Late Effect Normal Tissues/ Subjective, Objective, Management, and Analytic, CTCAE: Common Terminology Criteria for Adverse Events. NCCN; The National Comprehensive Cancer Network, IPSS; International Prostate Symptom Score, (A)ASTRO definition, (A)\* ASTRO definition + any clinical failure, GS; Gleason scores, NA; not available, MDACC; MD Anderson cancer center, US IGRT; ultrasound-guided image guided radiotherapy, \*\*SCIMRT; short-course intensity-modulated RT using image guided RT.

Pollack *et al.* obtained similar outcomes both for hypofractionation and CF in an RCT between 2002 and 2006 (12). High-risk patients received long-term ADT, and some intermediate-risk patients received short-term ADT. The 5-year BC rates were 78.6% (95% CI=85.2-71.3%) for conventional IMRT and 76.7% (95% CI=83.6-69.0%) for hypofractionated IMRT ( $p=0.745$ ). There were no statistically significant differences in late toxicity between the arms. Patients with compromised urinary function before enrollment had markedly worse urinary function after hypofractionated IMRT.

Several reviews and meta-analyses have concluded that hypofractionated radiotherapy in localized prostate cancer was not superior to CF radiotherapy with current schedules (18, 19). The incidence of acute adverse GI events was higher in the hypofractionated group (risk ratio=2.02, 95% CI=1.45-2.81;  $p=0.0001$ ) (18). Moderate hypofractionated schedules should only be used in the context of clinical trials (19).

### Accelerated Hypofractionation

Hypofractionation using higher single doses of 5 Gy or more is termed 'accelerated' (13-15), 'extreme' (16), or 'profoundly' (17) AHF. In the AHF scheme, several modalities are challenging, including SBRT, particle therapy, and HDR-BT. Each has merits and disadvantages.

*Stereotactic body radiotherapy.* SBRT is one of the attractive alternative approaches to hypofractionation. SBRT using an image-guided approach enables physicians to deliver a precise dose in a short-term AHF treatment regimen.

A pooled analysis of 1,100 patients treated with CyberKnife who enrolled in prospective phase II clinical trials during 2003-2011 from eight Institutions showed the feasibility of SBRT (25). There were 49 patients with PSA failure (4.5%), nine of whom were subsequently determined to exhibit benign PSA bounces. The 5-year BC rate was 93% for patients overall; 95%, 83%, and 78% for those with Gleason score  $\leq 6$ , 7, and  $\geq 8$ , respectively ( $p=0.001$ ). A PSA bounce of  $>0.2$  ng/ml was noted among 16% of patients. For 135 patients with a follow-up of minimum 5 years, the 5-year BC rate for low- and intermediate-risk patients was 99% and 93%, respectively.

A 6-year outcome in 304 patients who received CyberKnife SBRT with AHF revealed that late grade 2 urinary complications were observed in 4% of patients treated with 35 Gy and 9% of patients treated with 36.25 Gy (26). There were five cases (2%) of late grade 3 urinary toxicity among patients who were treated with 36.25 Gy. Late grade 2 rectal complications were observed in 2% of patients treated with 35 Gy and 5% of patients treated with 36.25 Gy. An overall decrease of 20% in the sexual quality of life score was observed. Among patients sexually

functional prior to treatment, 75% stated that they remained sexually functional.

A phase I/II Canadian study confirmed feasibility and efficacy of SBRT 35 Gy in five fractions (27), once weekly on standard linear accelerators, which revealed that 96% were biopsy-negative post-treatment.

*High dose rate brachytherapy.* Brachytherapy can achieve excellent dose distribution by easily following organ motion (Table II); therefore, a high BC rate is generally expected, but with increased toxicity mainly in the urological area. Major brachytherapy sources are classified as low-dose-rate (LDR; a dose of 0.4-2 Gy/h,) and high-dose-rate (HDR; a dose of  $>12$  Gy/h). In the present study, we selected only HDR-BT because this review aimed to explore hypofractionation.

A randomized phase III trial was performed comparing EBRT alone with EBRT combined with HDR-BT boost in patients with unfavorable prostate cancer from 1997 to 2005 (28). Treatment arm, risk category, and ADT were significant covariates for risk of relapse in the multivariate analysis. Differences in overall survival were not significant (88% and 89%, respectively). EBRT with HDR-BT resulted in a significant improvement in BC/clinical relapse-free survival compared with EBRT alone, with a 31% reduction in the risk of recurrence ( $p=0.01$ ) and similar incidences of severe late urinary and rectal morbidity. This is expected because the HDR-BT plus EBRT group received a higher BED of 159 Gy than did the EBRT group with a BED of 62.9 Gy ( $\alpha/\beta$  ratio of 1.5). However, it should be noted that these results imply that such escalated EQD2 do not improve overall survival. Using an HDR boost over CF has the advantage of reducing the overall treatment time; the treatment time usually exceeds 9 weeks at some institutions and can be a huge burden to patients. The 2012 National Comprehensive Cancer Network Clinical Practice Guidelines recommend brachytherapy in combination with EBRT as a treatment option for patients with high-risk localized tumors or locally advanced disease (29).

### High-dose-rate Monotherapy

HDR monotherapy was also assessed at several institutions with BC rates ranging from 79% to 100% and local control rates from 97% to 100% (29). The toxicity rates were low, although some authors have reported grade 3 toxicities. The frequency of late GU toxicity grade 2 or more ranges from 0 to 59.0% and the rate for late GI toxicity is 0-13.0% (31).

Between 1996 and 2005, HDR monotherapy was explored in patients with low- and intermediate-risk prostate cancer at California Endocurietherapy and the William Beaumont Hospital (32). At California Endocurietherapy, the dose was 42 Gy in six fractions (two implantations 1 week apart) delivered using a computed tomography-defined planning

treatment volume. At the William Beaumont Hospital, the dose was 38 Gy in four fractions (one implantation) based on intraoperative transrectal ultrasound real-time treatment planning. The 8-year results were 99% local control, 97% BC (nadir +2), 99% distant metastasis-free survival, 99% cause-specific survival, and 95% overall survival. GU toxicity consisted of 10% transient grade 2 urinary frequency or urgency and of 3% grade 3 episode of urinary retention. A total of 206 LDR and 248 HDR brachytherapy-treated patients at were compared (15). HDR and LDR monotherapy had the same 5-year BC rates, but HDR brachytherapy was associated with less acute and chronic GI and GU toxicities. The LDR dose at the William Beaumont Hospital was 120 Gy (LDR-<sup>103</sup>Pd). The 5-year BC rates were 89%, 91%, and 88% for LDR and HDR at the William Beaumont Hospital, and HDR at California Endocurietherapy, respectively. The majority of complications were of grade 1. HDR was associated with less acute grade 1-3 dysuria: 60% vs. 39% ( $p=0.001$ ); urinary frequency/urgency: 90% vs. 58% ( $p=0.001$ ); and rectal pain: 17% vs. 6.5% ( $p<0.001$ ). Long-term urinary frequency/urgency (54% vs. 43%;  $p=0.03$ ) and dysuria (22% vs. 15%) were less frequent with HDR. The 5-year actuarial impotence rate was 30% for LDR and 20% for HDR ( $p=0.23$ ).

Hoskin *et al.* evaluated a total of 197 patients treated with 34 Gy in four fractions, 36 Gy in four fractions, 31.5 Gy in three fractions, or 26 Gy in two fractions (33-34). The incidence of early grade 3 or more GU morbidity was 3-7%, and grade 4 was 0-4%. During the first 12 weeks, the highest mean International Prostate Symptom Score (IPSS) value was 14 and between 6 months and 5 years, it was 8. Grade 3 or 4 early GI morbidity was not observed. The 3-year actuarial rate of grade 3 GU toxicity was 3%-16% and for strictures requiring surgery, it was 3-7% (4-year rate). An incidence of 1% of grade 3 GI events was seen at 3 years. Late grade 4 GU or GI events were not observed. At 3 years, 99% BC was obtained in patients with intermediate-risk and 91% BC in patients with high-risk disease ( $p=0.02$ ). They updated the outcome of patients treated with  $3 \times 10.5$  Gy ( $n=109$ ) and  $2 \times 13$  Gy ( $n=118$ ) HDR brachytherapy alone (34). Urinary, bowel symptoms, and IPSS were higher after 31.5 Gy than after 26 Gy; however, differences were significant only for grade 1 and 2 urinary toxicity. At 3 years, 93% and 97% of patients treated with 26 and 31.5 Gy, respectively, were free from biochemical relapse ( $p=0.5$ ) and 91% for the latter regimen at 5 years.

A German group presented outcomes of the largest series of transrectal ultrasound-guided HDR monotherapy which included 718 patients (35). Three treatment protocols were applied: 141 patients received 38.0 Gy using one implant in four fractions of 9.5 Gy with computed tomography-based treatment planning; 351 patients received 38.0 Gy in four fractions of 9.5 Gy, using two implants (2 weeks apart) and

intraoperative transrectal ultrasound real-time treatment planning; and 226 patients received 34.5 Gy, using three single-fraction implants of 11.5 Gy (3 weeks apart). The 60-month BC and metastasis-free survival rates for the entire cohort were 94% and 98%, respectively. Late grade 3 GU and GI toxicities were 3.5% and 1.6%, respectively. Two patients developed grade 4 incontinence.

The Osaka group initiated HDR brachytherapy monotherapy in the 1990s and was the first to report the use of HDR brachytherapy without EBRT (36). The 5-year PSA failure-free, local control, disease-free survival, and overall survival rates were 83%, 97%, 87%, and 96%, respectively. Late grade 2 toxicity was observed in 13 patients. Following those experiences, Yoshida *et al.* implemented MRI image-guided HDR brachytherapy for dose optimization (37, 38). They updated their data, focusing on a group of 48 high-risk patients (39). Neoadjuvant ADT was administered to all 48 patients; 12 patients also received adjuvant ADT. The planned prescribed dose was 54 Gy in nine fractions over 5 days for the first 13 patients and 49 Gy in seven fractions over 4 days for 34 patients. Only one patient who was over 80 years old received 38 Gy in four fractions over 3 days. The 5-year overall survival and BC rates were 98% and 87%, respectively. Grade 2 or more late GU and GI complications occurred in seven patients (14%) and two patients (4%), respectively.

Ultimate single-fraction HDR brachytherapy was performed with transperineal hyaluronic acid injection into the perirectal fat to displace the rectal wall away (40). Between 2008 and 2010, 40 consecutive patients were treated for clinically favorable localized prostate cancer; the median follow-up was 19 months (range=8-32 months); 35% received ADT before brachytherapy. All patients received one implant and one fraction of HDR with a fraction dose of 19 Gy. No chronic toxicity was observed after treatment up to the time of analysis. The 32-month actuarial BC was 100% and 88% ( $p=0.06$ ) for low- and intermediate-risk groups, respectively.

For HDT brachytherapy monotherapy, the longest follow-up for outcomes is reported for mHF (4-9 fractions); however, excellent preliminary results are being reported with ultrahypofractionation (1-3 fractions) (33, 34, 40, 41). The emergence of ultrahypofractionation with only 1-2 treatments makes HDR logistically comparable to seed implant and adds a high degree of dosimetry control and accuracy in brachytherapy. Single-fraction HDR monotherapy is now being investigated, and if the data are confirmed with longer follow-up, it may well become the treatment-of-choice for many men with localized prostate cancer.

HDR brachytherapy, alone or given as a boost combined with moderate-dose EBRT, gave a preliminary but impressively high BC rate. However, brachytherapy (and some types of SBRT) inevitably uses invasive procedures to

Table II. Moderate to accelerated hypofractionation using stereotactic body radiotherapy (SBRT), high dose rate brachytherapy (HDR-BT) and particle therapy.

Author (Institute or Country)	Year (Total Pt No.)	Study Pt No. follow-up period (median)	ADT Risk group* (L/I/H) (Risk classification)	Radiotherapy (daily Fx) [EQD2: $\alpha/\beta$ 1.5]	5y- PSA control rate*(1) (L/I/H)	Adverse reaction Late G2 or more if otherwise cited [criteria]	
<b>SBRT</b>							
King (25) (USA)	2013 (n=1100)	35Gy → 36.25Gy→ 38-40Gy CyberKnife n=385 → Pooled study 589 → 126 (3Y)	14% ADT (60%/ 30%/ 10%) (D'Amico)	35Gy → 36.25Gy → 38-40Gy (7Gy →7.25Gy →9.06Gy) [90.6Gy →109.3Gy]	(95%/84%/81%) 35Gy →36.25Gy →38-40Gy 92.5%→ 90.7% →95.8%	Not Available	SBRT feasible
Katz (26) (Winthrop Univ.)	2013 (n=304)	35 Gy /5fr → 36.25 Gy/5fr CyberKnife n=50 → 254 (60M)	57 ADT T1-2a (211/ 81/ 12) (NCCN)	35 Gy /5fr → 36.25 Gy /5fr (7Gy →7.25Gy) [85 →90.6Gy]	(97% / 90.7% / 74.1%) 35 Gy /5fr → 36.25 Gy /5fr 98% → 97%	GI 2% → 5%, GU 4% →11% [RTOG]	SBRT feasible Dose escalation study
Loblaw (27) (Canada)	2013 (n=84)	35 Gy /5fr IGRT: fiducial + MVCT (55M)	ADT (-) L (NA)	35 Gy /5fr (7Gy) [85 Gy]	98%	GI 8% GU 5% [RTOG]	
<b>HDR-BT (EBRT+HDR-BT)</b>							
Hoskin (28) (UK)	2012 (n=215)	RCT: EBRT vs. EBRT+BT n=106 vs. 110 (85M)	75-77% ADT (7/ 43 / 56) vs. (2/ 48/ 60) (NCCN)	55Gy/22fr vs. 35.75Gy/ 13fr+17Gy/2fr [62.9Gy vs. 159Gy]	61% vs. 75% (p=0.04)	Severe GI: 6% vs. 7% severe GU: 26% vs. 26% [Dische score]	EBRT+BT>EBRT OS equivocal
<b>HDR-BT (HDR-BT monotherapy)</b>							
Demanis (32) (CET,WBH)	2011 (n=298)	157 CET and 141 WBH (5.2Y)	24% ADT L-I (D'Amico)	42Gy/6fr (CET) and 38Gy/4fr (WBH) [102Gy, 119Gy]	97% (8Y)	GI <1.0%, GU 13% [CTCAE v3]	
Martines (15) (CET, WBH)	2010 (n=454)	HDR 38Gy/4fr (WBH) vs. 42Gy/6fr (CET) vs. LDR 120Gy n=171 vs. 77 vs. 206 (4.8Y)	ADT (47 vs. 20 vs. 64 PT) (171/ 50/ 44) (T1c-T2a, GS 7, PSA ≤12)	38Gy/4fr vs. 42Gy/6fr vs. 120Gy [119Gy, 102Gy, NA]	89% vs. 91% vs. 88%	GI: HDR vs. LDR: rectal bleeding 1.5% vs. 1% GU: HDR vs. LDR: frequency/ urgency 14% vs. 14% [CTCAE v3]	
Hoskin (33, 34) (UK)	2012 (n=197)	34Gy/4fr, 36Gy/4fr, 31.5Gy/3Fr, 26Gy/2fr n=30, 25, 109, 33 (54M, 60M 34M, 6M)	157 ADT (8/ 103/ 86) (NCCN)	34Gy/4fr, 36Gy/4fr, 31.5Gy/ 3Fr, 26Gy/2fr (8.5 Gy, 9Gy, 10.5Gy, 13Gy) [97.1Gy, 86.9Gy, 108.0Gy, 107.7Gy]	(I/ H=99%/ 91% 3Y)	GI 3-7% GU 33-40% [RTOG]	HDR-BT good results
Zamboglou (35) (Germany)	2013 (n=718)	(A)38Gy/4fr vs. (B) 38Gy/4fr vs. (C) 34.5Gy/3fr n=141 vs. 351 vs. 226 (4.4Y) (91.9M vs. 59.3 M vs. 25.4M)	30 vs. 70 vs. 55 ADT (14/351/226) (Memorial Sloan-Kettering)	(A) vs. (B) vs. (C) (9.5Gy, 9.5Gy, 11.5Gy) [119.4Gy, 119.4Gy, 128.1Gy]	(95% /93%/93%) (A) vs. (B) vs. (C): 98% vs. 95% vs. 95% (3Y)	GI : Rectal mucositis (A) vs. (B) vs. (C) 4% vs. 2% vs. 0.4% GU: frequency/urgency (A) vs. (B) vs. (C) 11.3% vs. 5.4% vs. 7.5% [CTCAE v3]	

Table II. Continued

Table II. Continued

Author (Institute or Country)	Year (Total Pt No.)	Study Pt No. follow-up period (median)	ADT Risk group* (L/I/H) (Risk classification)	Radiotherapy (daily Fx) [EQD2: $\alpha/\beta$ 1.5]	5y- PSA control rate*(1) (L/I/H)	Adverse reaction Late G2 or more if otherwise cited [criteria]	
Yoshioka (36) (Osaka Univ.)	2013 (n=112)	54 Gy/9Fr (5.4Y)	ADT (+) (15/ 29/ 68) (NCCN)	54 Gy/9Fr [115.7Gy]	(85%/ 93%/ 79%)	14% (8 GI + 7GU)  [CTCAE v3]	
Yoshida (37-39) (Osaka National Hosp.)	2014 (n=100)	38 Gy/ 4 Fr, 49 Gy/ 7 Fr, 54 Gy/ 9 Fr, 40 Gy /5 Fr n=4, 69, 26, 1 (5Y)	ADT (+) (21/ 35/ 44) (NCCN)	38 Gy/ 4 Fr, 49 Gy/ 7 Fr, 54 Gy/ 9 Fr, 40 Gy /5 Fr [119.4Gy, 119Gy, 115.7Gy, 108.6Gy]	(100%/ 97%/ 88%)	GI 2% GU 12% [CTCAE v3]	MRI image guided BT
Prada (40) (Spain)	2012 (n=40)	19Gy/1fr (55M)	35% ADT L/ I=29/11 (Memorial Sloan-Kettering)	19Gy/1fr [111.3Gy]	(L/ I=100% / 88%) at 32M	GI 0% GU 0% [CTCAE v4]	Single fx HDR-BT
<b>Particle therapy</b>							
Kim (41) (Korea)	2013 (n=82) Proton	60CGE /20fr, 54CGE /15fr, 47CGE /10fr, 35CGE / 5fr n=19, 16, 17, 18, 12 (42M)	ADT (-) L:I:H=28, 37, 17 (NCCN)	60CGE /20fr, 54CGE / 15fr, 47CGE /10fr, 35CGE / 5fr (3Gy/fr, 3.6Gy/fr, 4.7Gy/fr, 7Gy/fr) [77.1 CGE, 78.7GyCGE, 83.3GyCGE, 85GyCGE]	(92%/ 90%/ 75%(4Y))	GI 16% GU7% [AUA, QoL, LENT-SOMA]	
Ishikawa (42) (NIRS)	2012 (n=927) Carbon	66GyE/20fr, 63GyE/20fr, 57.6GyE/16fr n=250, 246, 461 (43M)	ADT L/I/H=0%/ 100%/ 100% (159/ 278/ 490) (NCCN)	66GyE/20fr, 63GyE/ 20fr, 57.6GyE/16fr (4 in wk) (3GyE/fr, 3.15GyE/fr, 3.6GyE/fr) [77.1GyE, 83.7GyE, 83.9GyE]	(90%/ 97%/ 88%)	GI 3.2%, 2.3%, 0.5% GU 13.6%, 7%, 2% [RTOG EORTC]	51.2GyE/12fr ongoing (4.26GyE/fr→84.1GyE)

ADT; Androgen deprivation therapy, L; low risk, I; intermediate risk, H; high risk, EQD2; Equivalent dose in 2 Gy fractions, \*1PSA failure is defined according to Phoenix criteria if otherwise stated, G2; grade 2, GI; gastrointestinal, GU; genitourinary. NCCN; The National Comprehensive Cancer Network, RTOG; Radiation Therapy Oncology Group, LENT/SOMA; Late Effect Normal Tissues/ Subjective, Objective, Management, and Analytic, CTCAE; Common Terminology Criteria for Adverse Events, (A) 38Gy/ 4 fr=1 implantation, (B) 38Gy/ 4 fr=2 implantation separated by 2 weeks, AUA; American Urological Association score, QoL; urinary quality of life score, EORTC; The European Organisation for Research and Treatment of Cancer. CET; California Endocueithreapy Center, WBH; William Viewmont Hospital, CTCAE v.3.0 Common Terminology Criteria for Adverse Events version 3.0, NIRS; National institute of Radiological Science, CGE; cobalt gray equivalent.

insert applicators or a metal marker, which may be an obstacle to widening its application. In addition, because of the short follow-up period in most of HDR studies, very little actuarial toxicity data per patient are available. Comparison with IMRT, for example, would be difficult at present and requires more mature clinical data on HDR monotherapy (31).

*Particle therapy.* Particle therapy has also implemented hypofractionated regimens using a superior dose distribution, partly to overcome the disadvantage of expensive cost.

Eighty-two patients with biopsy-proven T1-3N0M0 prostate adenocarcinoma and no history of ADT were randomly assigned to five different dose schedules of proton therapy (42) (Table II): Arm 1, 60 cobalt Gray equivalent (CGE=proton dose in Gy  $\times$  1.1) per 20 fractions over 5 weeks; Arm 2, 54 CGE per 15 fractions over 5 weeks; Arm 3, 47 CGE per 10 fractions over 5 weeks; Arm 4, 35 CGE per five fractions over 2.5 weeks; or Arm 5, 35 CGE per five fractions over 5 weeks. The median follow-up duration was 42 months (range=11-52 months). The acute GI and GU grade 2 or more toxicity rates were 0 and 5%, respectively. Arm 3 had the least acute GU toxicity, while Arm 2 had the least late GI toxicity, with no grade 2 or more toxicity. The four-year BC rate was 86%. Hypofractionated proton therapy is feasible, with an acceptable toxicity profile.

Two phase I/II dose escalation studies (protocols 9402 and 9703) of AHF carbon-ion radiotherapy for patients with both early- and advanced-stage prostate cancer had been performed between 1995 and 2000 (43). Subsequent phase II study (9904) was initiated in 2000 (66 Gy in 20 fractions over 5 weeks, obtained from the phase I/II studies). Approximately 1100 patients had received carbon-ion radiotherapy as of 2011. The 5-year BC rates for low-, intermediate-, and high-risk patients were 90%, 97%, and 88%, respectively (43).

## Discussion

Technological advances in radiation therapy delivery have permitted the use of high-dose-per-fraction radiation therapy for prostate cancer. At present, prospective studies support the safety of mHF; however, long-term results of non-inferiority studies are required. BC rates improved with dose escalation and hormonal therapy and reached 90-100% in low-risk groups, 80-95% in intermediate groups and 60-70% in high-risk groups at 5 years. Overall survival rates also improved and reached nearly 100% at 5 years (44). Therefore, a major concern is changing from BC to quality of life maintenance, particularly in elderly patients (45). A recent RCT of 1532 patients with 7 years of median follow-up (RTOG-0126) failed to demonstrate an increased overall survival using higher EQD2 (79.2 Gy vs. 70.2 Gy), even showing increased prostate cancer progression, metastasis,

or initial treatment failure with escalated toxicity (46). This implies that larger patient populations and longer follow-up periods are required to confirm the superiority of higher EQD2 for overall survival using 70-80 Gy. In this respect, hypofractionation, particularly AHF has great merits in reducing the socioeconomic burden by reducing treatment periods.

The fundamental issue regarding hypofractionation is based on a hypothesis of low  $\alpha/\beta$  ratio for prostate adenocarcinoma compared to that of late-responding normal tissues (*i.e.* rectal damage: 3 Gy) (1-3). Dasu *et al.* reported an  $\alpha/\beta$ -value of 1-1.7 Gy based on an analysis including 14,168 patients, which is so far the most precise estimation of  $\alpha/\beta$ -ratio for EBRT with the largest patient collective (3). Miralbell *et al.* recently published data on nearly 6,000 patients of different prostate cancer risk groups, all treated with EBRT, either with standard fractionation (1.8-2.0 Gy/fraction; 40% of patients) or hypofractionation (2.5-6.7 Gy/fraction; 60% of patients) (2). An  $\alpha/\beta$ -value of 1.4 Gy (95% CI=0.9-2.2) was obtained using the linear-quadratic model (2). Sun *et al.* argued that compared to CF, hypofractionation only yields a consistent advantage in BC for high-risk patients (hazard ratio=0.61, 95% CI=0.46-0.82;  $p=0.001$ ) (47). Similar findings were reported for HDR brachytherapy (30) and SBRT (25) when they used higher EQD2. King *et al.* reported no influence of ADT on BC rates based on data pooled from >1,000 SBRT-treated patients ( $p=0.71$ ), even within the intermediate- and high-risk groups. They suspected that this was a consequence of the high EQD2 that SBRT used. The evidence for improved outcomes with the addition of ADT originates from clinical trials where the external-beam dose was 70 Gy. There is current retrospective evidence that with conventionally fractionated dose escalation to 78 Gy or higher, there may be little to gain from ADT (25). Therefore, AHF using aggressive higher EQD2 has a potential advantage, particularly for the high-risk group.

According to this notion, the risk classification system should be modified to select candidates for more aggressive treatment in the high-risk group because low- to intermediate-risk groups have recently achieved nearly 100% BC rates, which are high enough to meet the requirement particularly for elderly patients. However, for the higher risk group, some patients require more aggressive treatment schedules to improve BC rates. To meet these demands, the National Comprehensive Cancer Network has created a new category: the super-high-risk group (29). Yoshioka *et al.* have proposed a new grading system, the PRIX system (48), for perquisite separation and the determination of which high-risk patients should undergo a more aggressive treatment; the system was confirmed by Yoshida *et al.* (37, 47, 48). Although it is the preliminary phase, these experiments will be helpful for patient selection for further aggressive treatment.



Avoiding normal tissue toxicity is of paramount importance with regard to hypofractionated treatments. However, an outstanding concern is that the  $\alpha/\beta$  ratio for late rectal side-effects is largely unknown and difficult to assess from the available data. The  $\alpha/\beta$  ratio of the late-reacting normal tissues, such as the rectum, is usually assumed to be 3 Gy (50), but may be higher. The rectal toxicity data from the RTOG 94-06 trial were analyzed and the best  $\alpha/\beta$  ratio fit for late rectal damage was 4.6 Gy, although the confidence intervals were wide (51). Brenner has reviewed data from several Centers using a dose/fraction of 1.8 to 3 Gy and derived an  $\alpha/\beta$  ratio of 5.4 Gy for the rectum (52).

The  $\alpha/\beta$  ratio of a normal bladder has not been well studied and is assumed to be in the region of 5-10 Gy (53). There is some evidence that the region of the bladder receiving a higher dose is more significant, with the trigonal area appearing to be most sensitive for urethral obstruction (54); mature long-term follow-up data from extreme hypofractionated trials are not yet available. Furthermore, a critique has suggested that if the  $\alpha/\beta$  ratio is translated into molecular, biological, and physical terms, it would clarify the detailed mechanism for experts in other cancer fields and the general population.

There are several ongoing prospective RCTs, including proton therapy and SBRT, to test the potential of hypofractionated regimens. The Hypofractionated Radiotherapy of International Risk Localized Prostate Cancer trial (HYPO-RT-PC; ISRCTN45905321) randomized intermediate-risk patients to receive SBRT 42.7 Gy in seven fractions (6.1 Gy/fraction) vs. 78 Gy in 39 fractions with IMRT. The Prostate Advances in Comparative Evidence (PACE) study is an international multicenter randomized study of organ confined, low- and intermediate-risk prostate cancer and is composed of two parallel randomization schemes based on the applicability of surgery as a treatment option for the patient (NCT01584258). Patients willing to consider surgery are randomized to either laparoscopic (or da Vinci prostatectomy) or CyberKnife prostate SBRT 36.25 Gy in five fractions or 38 Gy in four fractions. The Proton Cooperative Group has randomized 192 patients into either 79.2 Gy in 44 fractions or 38 Gy in five fractions for low-risk patients. RTOG has recently completed accrual for a large prospective study of 1,115 patients randomly assigned to either 28- or 41-fraction regimens (RTOG 0415), and a randomized phase II trial comparing 5- and 12-fraction accelerated hypofractionation is nearing accrual completion (RTOG 0938). We are awaiting outcomes of these RCTs.

In conclusion, hypofractionated regimens are performed in clinical trials with a risk of higher toxicity. However, AHF is challenging considering its basis and socioeconomic advantages.

### Conflicts of Interest

None.

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## Role of definitive chemoradiotherapy using docetaxel and 5-fluorouracil in patients with unresectable locally advanced esophageal squamous cell carcinoma: a phase II study

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**SUMMARY.** Definitive chemoradiotherapy (CRT) with docetaxel (DOC) and 5-fluorouracil (5-FU) is a unique regimen for esophageal cancer. In this prospective phase II study, antitumor effect and safety of CRT using DOC and 5-FU for inoperable locally advanced esophageal cancer were evaluated. DOC 7.5 mg/m<sup>2</sup> was infused on days 1, 8, 22, and 29. 5-FU 250 mg/m<sup>2</sup>/day was infused continuously on days 1–5, 8–12, 15–19, 22–26, 29–33, 36–40, and 43–45. Radiotherapy was given to 66 Gy in 33 fractions. Eleven patients with thoracic and five with cervical esophageal cancer were eligible. All patients had esophageal squamous cell carcinoma (ESCC). The response rate was 94%, with complete response in five patients (31%) and partial response in 10 (63%). Hematologic toxicity was mild; only one patient (6%) had Grade 1 leukopenia. Nonhematologic Grade 3 or higher adverse events were esophagitis (31%), anorexia (6%), and esophago-bronchial fistula (6%). No treatment-related deaths occurred. The median time to progression was 20 months and overall 3-year and 5-year survival were 44% and 31%, respectively. Definitive CRT using DOC and 5-FU could be performed safely, and it demonstrated a favorable antitumor effect for ESCC. This regimen might be indicated in patients in whom it is desirable to avoid myelosuppression and progression of renal impairment.

**KEY WORDS:** 5-fluorouracil, concurrent chemoradiotherapy, docetaxel, esophageal cancer, renal dysfunction.

### INTRODUCTION

Recent advances in endoscopic diagnosis enable the detection of early-stage esophageal cancers,<sup>1</sup> but the overall survival (OS) of esophageal cancer is still dismal because many patients present with advanced disease.<sup>2–4</sup> Definitive chemoradiotherapy (CRT) is the standard therapy for unresectable locoregionally advanced esophageal cancer. Cisplatin (CDDP) and 5-fluorouracil (5-FU) have been the most frequently used chemotherapeutic agents in combination with radiotherapy.<sup>5–8</sup> Attempts have been made to combine next-generation cytotoxic chemotherapeutic agents such as CPT-11, paclitaxel, docetaxel (DOC), or oxaliplatin with CDDP or 5-FU/capecitabine, but

no clinical trial has shown apparently better survival than the CDDP and 5-FU regimen.

We have demonstrated the synergistic effect of DOC and 5-FU, which has been explained by biochemical modulation of the key enzymes in the functional mechanisms of 5-FU, such as thymidine synthetase, dihydropyrimidine dehydrogenase, and orotate phosphoribosyltransferase.<sup>9</sup> Furthermore, high anti-tumor activity was shown in a phase I/II trial of combined therapy with DOC and TS-1, an oral fluorouracil antitumor drug, for advanced gastric cancer.<sup>10,11</sup> Based on these findings, we carried out a phase I clinical study, combining continuous 5-FU and weekly administration of DOC with concomitant radiotherapy for unresectable advanced esophageal cancer.<sup>12</sup> This definitive CRT regimen is unique and has been cited in National Comprehensive Cancer Network guideline for esophageal and esophago-gastric junction cancers as category 2B recommended definitive chemoradiation therapy.<sup>5</sup> Only a few studies of CRT using DOC and 5-FU/capecitabine in the preoperative setting have been reported.<sup>13,14</sup>

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