

Table 3. Adverse Events and Selected Hematologic and Laboratory Abnormalities

Event, n	Treatment-naïve Patients			Nonresponder Patients	
	Placebo n = 8	DCV 10 mg n = 9	DCV 60 mg n = 8	DCV 10 mg n = 8	DCV 60 mg n = 9
Grade 3/4 adverse events	7	6	6	6	6
Discontinuations due to adverse events	0	1	0	0	2
Serious adverse events	0	2	0	0	0
<b>Adverse Events (Grade 1-4) Occurring in &gt; 25% of Patients in Any Treatment Group, n</b>					
Pyrexia	5	6	5	6	8
Anemia	5	6	5	4	5
Decreased appetite	5	2	5	4	4
Alopecia	6	3	5	2	3
Lymphopenia	5	2	4	5	3
Malaise	5	1	4	2	5
Neutropenia	4	4	3	3	2
Fatigue	4	4	2	3	2
Headache	4	3	0	4	4
Insomnia	2	4	3	2	3
Arthralgia	2	2	2	2	3
Rash	3	5	2	0	1
Leukopenia	3	1	2	2	2
Cough	1	2	2	1	5
Pruritis	3	3	3	3	1
Diarrhea	3	1	1	1	3
Back pain	2	2	1	0	4
Nasopharyngitis	3	1	3	1	1
Cheilitis	3	2	0	1	0
Injection site reaction	1	4	0	1	0
Chills	4	0	0	0	0
Vomiting	3	0	0	1	0
Thrombocytopenia	0	0	0	3	0
<b>Grade 3/4 Events, n</b>					
Anemia	0	2	1	0	2
Neutropenia	4	4	3	3	2
Thrombocytopenia	0	0	0	2	0
Elevated ALT	0	0	0	0	0
Elevated AST	0	0	0	1	0
Elevated bilirubin	0	0	0	0	0

DCV: daclatasvir; ALT: alanine aminotransferase; AST: aspartate aminotransferase

Figure 1. Study Design

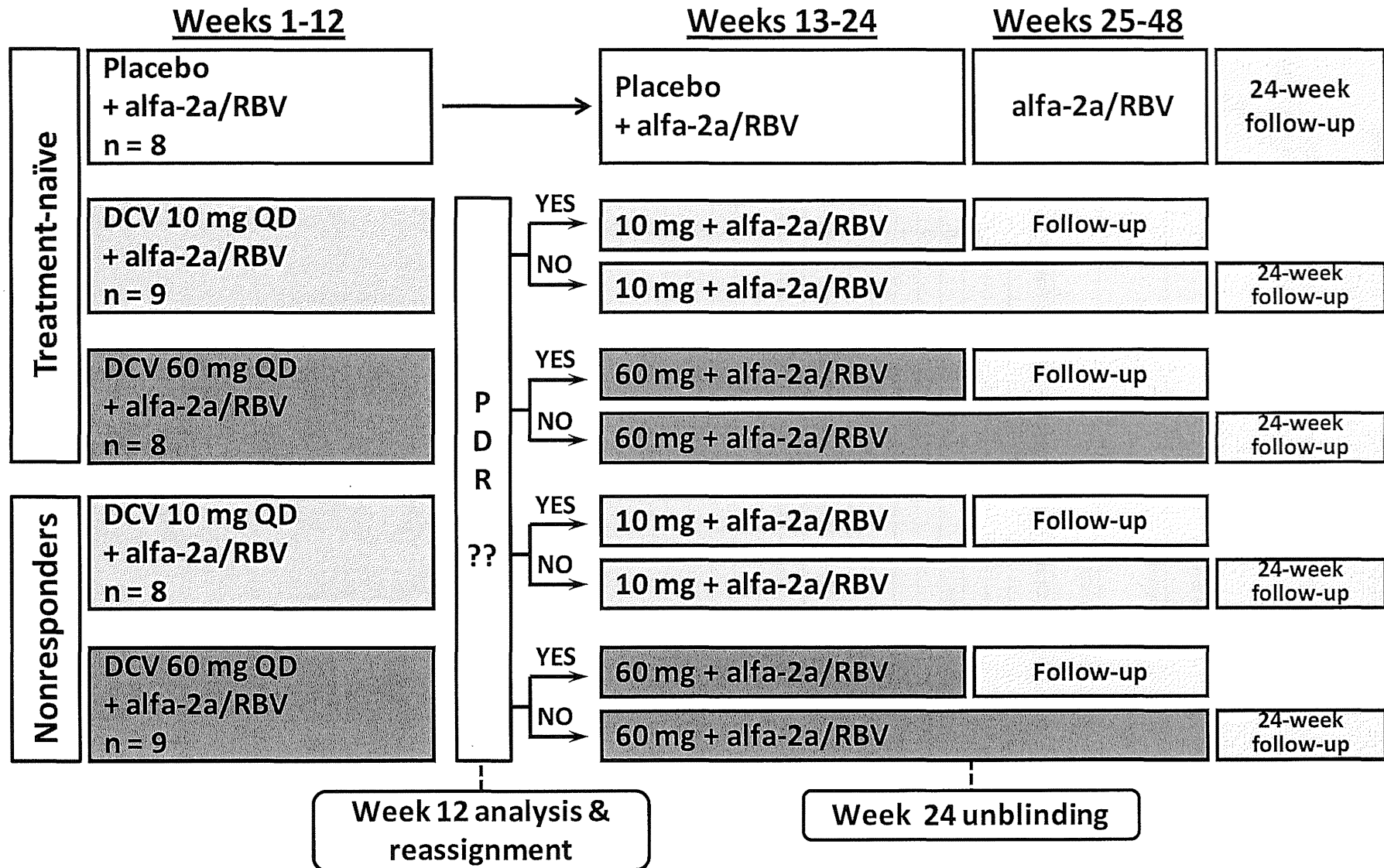
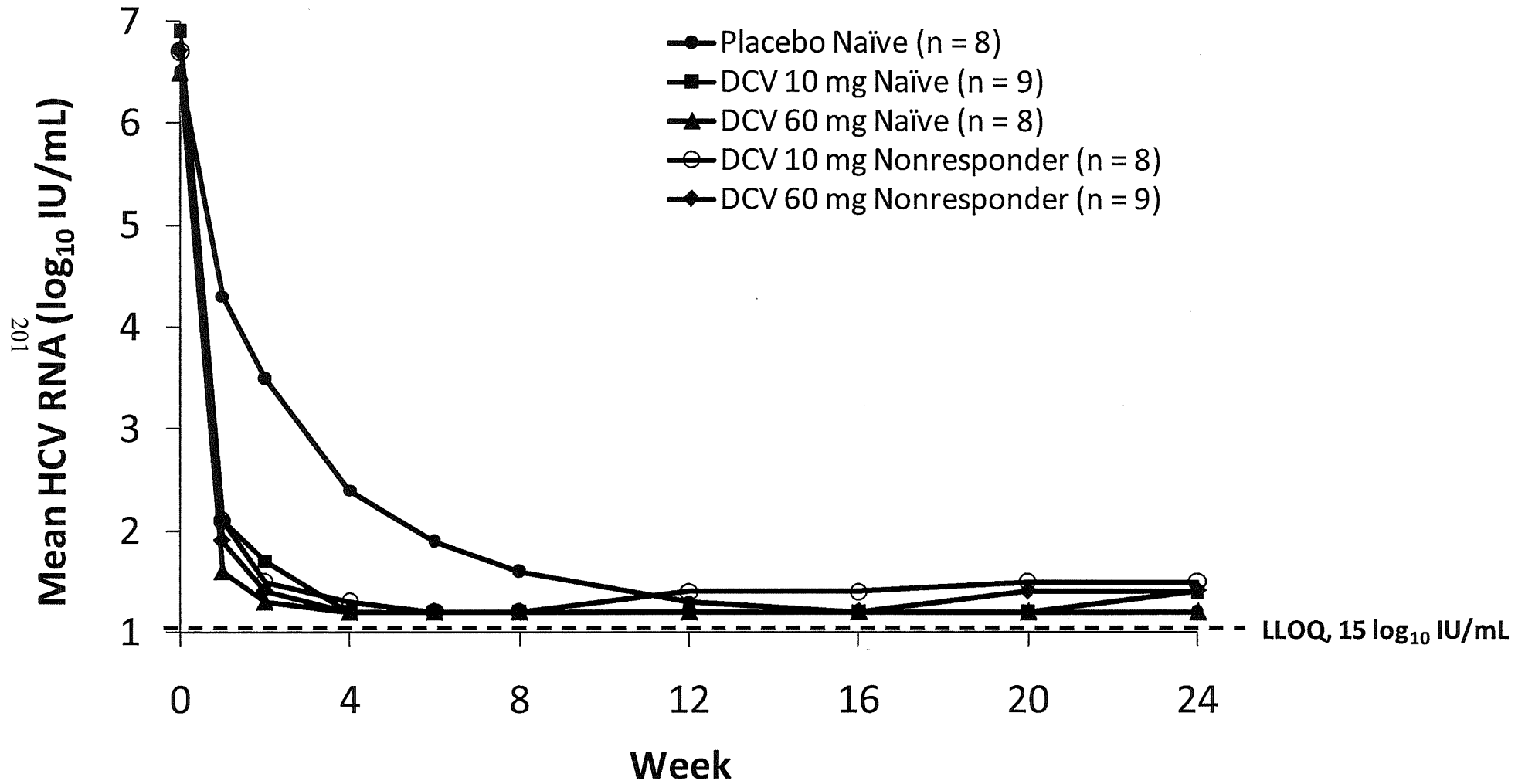


Figure 2. HCV RNA Reductions through Week 24



# Changes in Plasma Vascular Endothelial Growth Factor at 8 Weeks After Sorafenib Administration as Predictors of Survival for Advanced Hepatocellular Carcinoma

Kaoru Tsuchiya, MD, PhD<sup>1</sup>; Yasuhiro Asahina, MD, PhD<sup>2,3</sup>; Shuya Matsuda, MD<sup>1</sup>; Masaru Muraoka, MD<sup>1</sup>; Toru Nakata, MD<sup>1</sup>; Yuichiro Suzuki, MD<sup>1</sup>; Nobuharu Tamaki, MD<sup>1</sup>; Yutaka Yasui, MD<sup>1</sup>; Shoko Suzuki, MD<sup>1</sup>; Takanori Hosokawa, MD<sup>1</sup>; Takashi Nishimura, MD, PhD<sup>1</sup>; Ken Ueda, MD<sup>1</sup>; Teiji Kuzuya, MD, PhD<sup>1</sup>; Hiroyuki Nakanishi, MD, PhD<sup>1</sup>; Jun Itakura, MD, PhD<sup>1</sup>; Yuka Takahashi, MD, PhD<sup>1</sup>; Masayuki Kurosaki, MD, PhD<sup>1</sup>; Nobuyuki Enomoto, MD, PhD<sup>4</sup>; and Namiki Izumi, MD, PhD<sup>1</sup>

**BACKGROUND:** A new predictive biomarker for determining prognosis in patients with hepatocellular carcinoma (HCC) who receive sorafenib is required, because achieving a reduction in tumor size with sorafenib is rare, even in patients who have a favorable prognosis. Vascular endothelial growth factor (VEGF) receptor is a sorafenib target. In the current study, the authors examined changes in plasma VEGF concentrations during sorafenib treatment and determined the clinical significance of VEGF as a prognostic indicator in patients with HCC. **METHODS:** Plasma VEGF concentrations were serially measured in 63 patients with advanced HCC before and during sorafenib treatment. A plasma VEGF concentration that decreased >5% from the pretreatment level at 8 weeks was defined as a "VEGF decrease." An objective tumor response was determined using modified Response Evaluation Criteria in Solid Tumors 1 month after the initiation of therapy and every 3 months thereafter. **RESULTS:** Patients who had a VEGF decrease at week 8 ( $n = 14$ ) had a longer median survival than those who did not have a VEGF decrease ( $n = 49$ ; 30.9 months vs 14.4 months;  $P = .038$ ). All patients who had a VEGF decrease survived for >6 months, and the patients who had both a VEGF decrease and an  $\alpha$ -fetoprotein response ( $n = 6$ ) survived during the observation period (median, 19.7 months; range, 6.5-31.0 months). In univariate analyses, a VEGF decrease, radiologic findings classified as progressive disease, and major vascular invasion were associated significantly with 1-year survival; and, in multivariate analysis, a VEGF decrease was identified as an independent factor associated significantly with survival. **CONCLUSIONS:** A plasma VEGF concentration decrease at 8 weeks after starting sorafenib treatment may predict favorable overall survival in patients with advanced HCC. *Cancer* 2014;120:229-37. © 2013 The Authors. *Cancer* published by Wiley Periodicals, Inc. on behalf of American Cancer Society. This is an open access article under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs License, which permits use and distribution in any medium, provided the original work is properly cited, the use is non-commercial and no modifications or adaptations are made.

**KEYWORDS:** antiangiogenic therapy, biomarker, hepatocellular carcinoma, prognosis,  $\alpha$ -fetoprotein.

## INTRODUCTION

Hepatocellular carcinoma (HCC) is the most common primary malignancy of the liver (70%-85%) and a major cause of mortality. It is the fifth and seventh most frequent cancer and the second and sixth most frequent cause of cancer death in men and women, respectively.<sup>1</sup> At early stages or at Barcelona Clinic Liver Cancer stage A, a 5-year survival rate of 60% to 70% can be achieved in well selected patients with HCC who undergo surgical therapies (liver resection or transplantation) or locoregional procedures (ie, radiofrequency ablation).<sup>2</sup> However, treatment of advanced HCC that is not amenable to surgical or locoregional therapies remains a challenge in clinical practice.

Sorafenib is an oral, small-molecule tyrosine kinase inhibitor that blocks the synthesis of several intracellular proteins considered to be important for tumor progression, including the platelet-derived growth factor receptor beta, raf kinase, and the vascular endothelial growth factor (VEGF) receptor. VEGF is a homodimeric glycoprotein with a molecular weight of 45 kDa. The VEGF family includes VEGF-A, VEGF-B, VEGF-C, VEGF-D, and a structurally related molecule: placental growth factor. Three high-affinity VEGF tyrosine kinase receptors (VEGFRs) have been identified:

**Corresponding author:** Namiki Izumi, MD, PhD, Department of Gastroenterology and Hepatology, Musashino Red Cross Hospital, 1-26-1 Kyonan-cho, Musashino-shi, Tokyo 180-8610, Japan; Fax: (011) 81-422-32-9551; nizumi@musashino.jrc.or.jp

<sup>1</sup>Department of Gastroenterology and Hepatology, Musashino Red Cross Hospital, Tokyo, Japan; <sup>2</sup>Department of Gastroenterology and Hepatology, Tokyo Medical and Dental University, Tokyo, Japan; <sup>3</sup>Department of Liver Disease Control, Tokyo Medical and Dental University, Tokyo, Japan; <sup>4</sup>First Department of Internal Medicine, University of Yamanashi, Yamanashi, Japan

The first 2 authors contributed equally to this article.

**DOI:** 10.1002/cncr.28384, **Received:** April 2, 2013; **Revised:** August 10, 2013; **Accepted:** August 15, 2013, **Published online** October 7, 2013 in Wiley Online Library (wileyonlinelibrary.com)

VEGFR-1, VEGFR-2, and VEGFR-3. VEGFR-2 is the principal receptor that promotes the proangiogenic action of VEGF-A and has been the principal target of antiangiogenic therapies, although additional studies have underlined the importance of signaling through VEGFR-1. In 2 phase 3, placebo-controlled, randomized trials, sorafenib treatment significantly improved the time to tumor progression (TTP) and overall survival (OS) of patients with advanced HCC.<sup>3,4</sup> In those trials, however, no statistically significant pretreatment factors that predicted responses after patients started receiving sorafenib were identified.<sup>5</sup> Therefore, in clinical practice, it is extremely important to identify a predictive post-treatment biomarker that is associated with the treatment efficacy of sorafenib and the prognosis of patients after they start receiving sorafenib.

In general, the efficacy of treating solid tumors with systemic chemotherapy agents is assessed by radiologic findings. In 2010, Lencioni and Llovet published a modification of the Response Evaluation Criteria in Solid Tumors (RECIST).<sup>6</sup> However, the modified RECIST can be used only for typical HCC. Advanced HCCs often have atypical vascular patterns; therefore, evaluating tumor response to sorafenib is difficult with radiologic findings alone. Alternatively,  $\alpha$ -fetoprotein (AFP) is the most popular tumor marker for HCC, and it has been reported that early AFP responses are a useful surrogate marker for predicting treatment response and prognosis in patients with advanced HCC who receive cytotoxic and antiangiogenic agents.<sup>7-9</sup> However, approximately 30% of patients with advanced HCC in the Sorafenib HCC Assessment Randomized Protocol (SHARP) trial had normal AFP concentrations.<sup>10</sup> Therefore, the identification of a new biomarker that can complementarily predict the efficacy of sorafenib and the prognosis of patients is necessary.

In a mouse model, an increase in hepatic VEGF levels was observed at 24 hours, 72 hours, and 120 hours after the administration of sorafenib,<sup>11</sup> suggesting that a change in VEGF levels may also occur during sorafenib therapy in humans. Therefore, we evaluated plasma VEGF changes during sorafenib treatment in patients with advanced HCC to determine whether VEGF has potential as a new biomarker for the prediction of treatment efficacy and prognosis after sorafenib administration.

## MATERIALS AND METHODS

### *Patient Selection*

Between December 2009 and August 2012, 95 consecutive patients with advanced, inoperable HCC received treatment with sorafenib at Musashino Red Cross Hospital. The diagnosis of HCC was based on guidelines

established by the Liver Cancer Study Group of Japan<sup>12</sup> and the American Association for the Study of Liver Diseases<sup>13</sup> or by pathologic examination. According to these guidelines, a diagnosis of HCC is confirmed by histology or by characteristic radiologic findings, such as typical arterial enhancement of the tumor followed by a washout pattern in the images in the portal venous phase or the equilibrium phase on dynamic spiral computed tomography (CT) imaging or contrast-enhanced magnetic resonance imaging. Inclusion criteria were predefined as follows: 1) patients were alive 8 weeks after beginning treatment; and 2) patients had plasma VEGF and serum AFP concentrations evaluated at baseline, at 4 weeks, and at 8 weeks. Of 95 patients, 23 were unavailable for a week-8 VEGF measurement for the following reasons: 7 patients stopped sorafenib therapy because of erythema multiforme (grade 2-3) and started other therapies (radiation therapy or cytotoxic chemotherapy) within 1 month after starting sorafenib, 4 patients moved to another location before week 8, 5 patients refused to undergo a plasma VEGF measurement at week 8, and 7 patients were not available for obtaining VEGF concentration results. These 23 patients and 9 other patients who died within 8 weeks were excluded from the study. Hence, in total, 63 patients fulfilled the inclusion criteria. At enrollment, all patients had metastatic or locally advanced HCC that was not amenable to surgery or locoregional therapies, including transcatheter arterial chemoembolization (TACE) and local ablation. Written informed consent was obtained from all patients, and the ethics committee at Musashino Red Cross Hospital approved the study in accordance with the Declaration of Helsinki.

### *Sorafenib Treatment*

The initial daily dose of sorafenib was 800 mg in 28 patients, 400 mg in 28 patients, and 200 mg in 7 patients. A reduced initial dose was allowed for patients who had the following factors: advanced age ( $\geq 80$  years), gastrointestinal varices with a risk of bleeding, low body weight ( $< 50$  kg), and a poor performance status ( $\geq 2$ ). In total, 60 patients underwent multiphase-multidetector CT imaging before starting sorafenib, 1 month after starting sorafenib, and every 3 months thereafter. Radiologic responses to therapy were evaluated according to modified RECIST. In all patients, serial measurements of plasma VEGF and serum AFP concentrations were performed before and after the receipt sorafenib and every month thereafter, with an allowance of  $\pm 1$  week. The endpoint of the current study was OS. In the follow-up visit after sorafenib administration, the medication was discontinued if progressive disease

(PD) was identified despite treatment, if intolerable adverse events occurred, or if inappropriate liver function was observed. Other palliative treatments or best supportive care were provided subsequently. An AFP response was defined as a decrease  $\geq 20\%$  in the serum AFP concentration during 8 weeks of treatment.

### Plasma VEGF Measurements

Serial serum samples were collected prospectively from each patient. Venous blood samples were drawn into a serum separator tube and centrifuged at  $\times 1800g$  for 10 minutes, and plasma samples were stored at  $-80^{\circ}\text{C}$  until measurement. Plasma VEGF concentrations were measured quantitatively using an enzyme-linked immunosorbent assay kit (Quantikine Human VEGF Immunoassay; R&D Systems, Minneapolis, Minn) according to the manufacturer's instructions. We defined a decrease in the plasma VEGF level  $>5\%$  from the pretreatment level at 8 weeks as a "VEGF decrease."

### Statistical Analysis

Categorical variables were compared using the chi-square test, and continuous variables were compared using the Mann-Whitney test. All tests of significance were 2-tailed, and  $P$  values  $< .05$  were considered statistically significant. OS curves were calculated using the Kaplan-Meier method, and differences between groups were assessed using the log-rank test. OS was determined as the interval between the date of treatment initiation and either death or the last visit. A Cox proportional-hazards model was used to determine the factors associated with OS. In univariate analyses, clinical and biologic parameters (sex, age, etiology, albumin, bilirubin concentrations, Child-Pugh class, plasma VEGF concentrations, and serum AFP concentrations) and tumor factors (vascular invasion and distant metastasis) were included. A logistic regression model was used to identify the factors associated with 1-year survival after the receipt of sorafenib. All statistical analyses were performed using StatView (version 5.0) software (Abacus Concepts, Berkeley, Calif).

## RESULTS

### Patient Characteristics

In total, 63 patients were enrolled in this study, and their characteristics are listed in Table 1. The diagnosis of HCC was confirmed by histology in 11 patients and by typical radiologic findings based on established guidelines in the remaining 52 patients. In all, 51 patients had previously received other therapeutic modalities, including 22 patients who previously received radiofrequency ablation,

**TABLE 1.** Characteristics of Study Patients With Advanced Hepatocellular Carcinoma (n = 63)

Characteristic	Median [Range]
Age, y	70 [40-85]
Sex: No. of men (%)	53 (84.1)
Baseline AFP, ng/mL	114 [2.0-98440]
Baseline plasma VEGF, pg/mL	288 [60-1580]
Treatment duration, mo	4.1 [0.1-28.3]
Overall survival, mo	9.3 [2.0-30.9]

Abbreviations: AFP,  $\alpha$ -fetoprotein; VEGF: vascular endothelial growth factor.

22 who previously underwent TACE, 1 who previously received transcatheter arterial chemoinfusion, and 6 who previously underwent hepatic resection. Twelve patients had received sorafenib as initial therapy for HCC. Among the 63 enrolled patients, 33 were seropositive for hepatitis C virus antibody, 8 were seropositive for hepatitis B surface antigen, and 22 were seronegative for both hepatitis C virus antibody and hepatitis B surface antigen. Eighteen patients had evidence of extrahepatic metastasis, and 18 had major vascular invasion. No patient was lost to follow-up in this study.

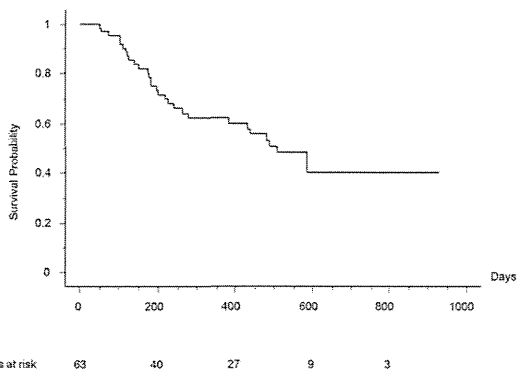
### Pretreatment Plasma VEGF Concentration and Prognosis and Extent of Hepatocellular Carcinoma

Pretreatment plasma VEGF concentrations in the 9 patients who died within 8 weeks were significantly higher than in the patients who survived beyond 8 weeks ( $813 \pm 630$  pg/mL vs  $384 \pm 18$  pg/mL;  $P = .0024$ ). Consistent with a previous study (the SHARP trial; Llovet et al<sup>3</sup>), our data suggested that the pretreatment plasma VEGF concentration is a useful prognostic factor for sorafenib therapy. However, there was no significant difference in OS between patients who had pretreatment plasma VEGF concentrations  $\leq 450$  pg/mL (n = 46) and those who had concentrations  $>450$  pg/mL (n = 17;  $P = .731$ ). The pretreatment plasma VEGF concentration could not predict prognosis for the patients who survived beyond 8 weeks.

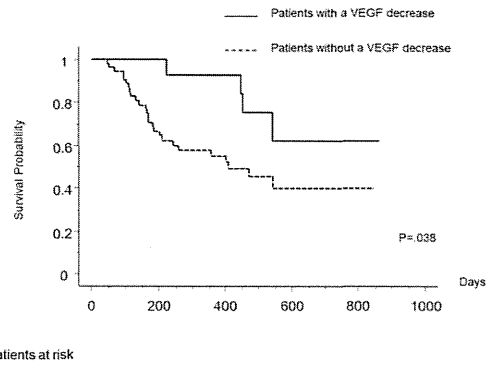
We compared the size and extent of HCC between patients who had low plasma VEGF concentrations ( $\leq 450$  pg/mL) and high plasma VEGF concentrations ( $>450$  pg/mL). No difference was observed in the size or extent of HCC at baseline between patients with lower versus higher pretreatment plasma VEGF concentrations.

### Association Between Changes in Plasma VEGF Concentrations and Overall Survival

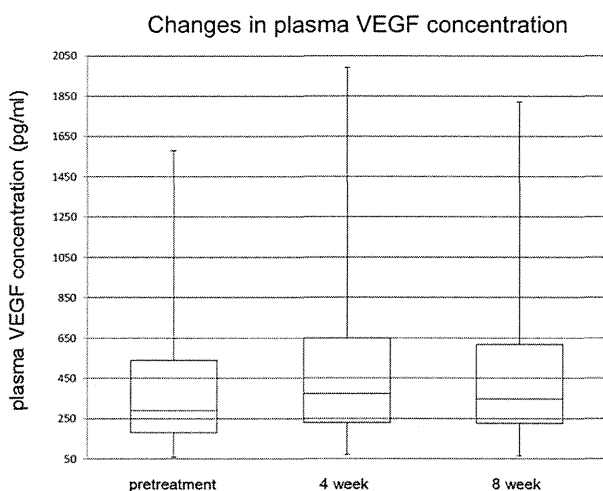
The median OS assessed by the Kaplan-Meier method was 16.3 months for all 63 patients enrolled in the study



**Figure 1.** This Kaplan-Meier plot illustrates overall survival for all patients in the study.



**Figure 3.** This Kaplan-Meier plot illustrates overall survival according to changes in vascular endothelial growth factor (VEGF) concentration.



**Figure 2.** Changes in plasma vascular endothelial growth factor (VEGF) concentrations are illustrated.

(Fig. 1). Plasma VEGF concentrations at baseline, at 4 weeks, and at 8 weeks after the initiation of sorafenib treatment were 288 pg/mL (range, 60-1580 pg/mL), 372 pg/mL (range, 69-1990 pg/mL), and 347 pg/mL (range, 64-1840 pg/mL), respectively (Fig. 2). Plasma VEGF concentrations increased within 4 weeks after the administration of sorafenib in 47 of 63 patients (74.6%). The median survival of patients who had a decrease in their plasma VEGF concentration at week 4 ( $n = 16$ ) and an increase in their plasma VEGF concentration at week 4 ( $n = 47$ ) were 19.5 months and 16.8 months, respectively; and there was no significant difference in OS between changes in plasma VEGF at 4 weeks ( $P = .645$ ). However, patients who had a VEGF decrease at week 8 ( $n = 14$ ) had a longer median survival than those who did not have a VEGF decrease ( $n = 49$ ; 30.9 months vs 14.4

months;  $P = .038$ ) (Fig. 3), suggesting that a decrease in VEGF concentration 8 weeks after starting sorafenib treatment is closely associated with a favorable prognosis. The median percentage of decrease in the plasma VEGF concentration was 18.3% (range, 7%-41.7%). There were no differences in any pretreatment patient characteristics, including HCC stage and Child-Pugh score, between patients who did and did not have a VEGF decrease (Table 2).

### Relation Between Radiologic Findings or Serum $\alpha$ -Fetoprotein Concentration and Overall Survival

The best radiologic responses to therapy assessed by modified RECIST were classified as a complete response (CR) ( $n = 4$ ), a partial response (PR) ( $n = 16$ ), stable disease (SD) ( $n = 34$ ), and PD ( $n = 9$ ). Fourteen patients had a VEGF decrease, and their best radiologic responses were a CR ( $n = 2$ ), a PR ( $n = 2$ ), SD ( $n = 9$ ), and PD ( $n = 1$ ). There was no significant difference in OS between the patients who had an objective response (CR + PR) and those with SD. The survival of patients who had PD was significantly worse than that of the patients without PD (median OS, 5.8 months and 19.4 months, respectively;  $P = .0006$ ). There was no significant difference in OS between patients who had an AFP response and those who did not have an AFP response within the group that did not have PD (ie, those who attained a CR, a PR, or SD [the non-PD group]) (Fig. 4). There also was no significant difference ( $P = .111$ ) between patients who did and did not have an AFP response among those in the non-PD group who had had an elevated AFP at baseline.

**TABLE 2.** Characteristics of Patients Categorized According to Variation in Vascular Endothelial Growth Factor Levels at 8 Weeks of Sorafenib Treatment

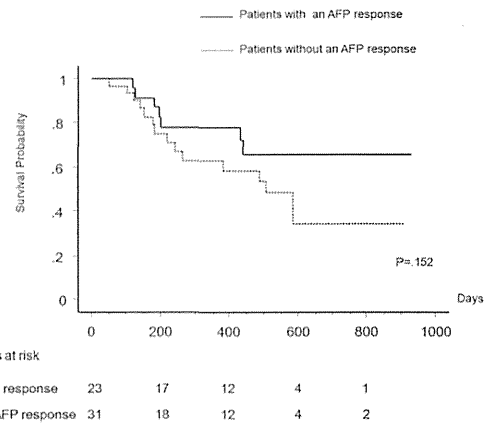
Characteristic	No. of Patients (%)		P
	With VEGF Decrease, n = 14	Without VEGF Decrease, n = 49	
Age, y	72	69	.325
Sex: Men	11 (78.6)	42 (85.7)	.679
Body weight, kg	58.3	62.3	.175
Cause of disease			.210
Hepatitis B	0 (0)	8 (16.3)	
Hepatitis C	9 (64.3)	24 (49)	
Other	5 (35.7)	17 (34.7)	
Prior treatment			.797
Yes	11 (78.6)	40 (81.6)	
No	3 (21.4)	9 (18.4)	
Baseline bilirubin, mg/dL	0.8	1.0	.375
Baseline albumin, g/dL	3.4	3.6	.190
Child-Pugh score			.178
5	7 (50)	30 (61.2)	
6	7 (50)	16 (32.7)	
7	0 (0)	3 (6.1)	
Maximum tumor size, cm			.892
≤5	8 (57.1)	22 (44.9)	
>5	6 (42.9)	27 (55.1)	
No. of tumors			.883
≤3	10 (71.4)	34 (69.4)	
>3	4 (28.6)	15 (30.6)	
Extrahepatic disease			.502
Yes	3 (21.4)	15 (30.6)	
No	11 (78.6)	34 (69.4)	
Site of metastatic disease			
Lung	1	7	
Bone	1	4	
Lymph node	1	3	
Lung and bone	0	1	
Major vascular invasion			.739
Yes	3 (21.4)	15 (30.6)	
No	11 (78.5)	34 (69.4)	

Abbreviations: VEGF: vascular endothelial growth factor.

It is noteworthy that all patients who had a VEGF decrease and an AFP response survived during the observation period (median, 19.7 months; range, 6.5-31.0 months). In patients without a VEGF response (n = 49), there was no significant difference in OS between those who did and did not have an AFP response (P = .147). Of 49 patients who did not have a VEGF decrease at 8 weeks, 19 patients were able to survive beyond 1 year after starting sorafenib. Nine patients without a VEGF decrease at 8 weeks survived for >18 months.

**Prognostic Factors After Sorafenib Administration**

In univariate analysis, among all patients, a VEGF decrease and an AFP response were associated significantly with



**Figure 4.** This Kaplan-Meier plot illustrates overall survival according to  $\alpha$ -fetoprotein (AFP) response in patients without progressive disease (PD), classified as non-PD (ie, those who had a complete response, a partial response, or stable disease) according to modified Response Evaluation Criteria in Solid Tumors.

OS after starting sorafenib. Major vascular invasion and PD, as evidenced by radiologic findings after sorafenib administration, also were significant prognostic factors. To predict which patients would have a highly favorable prognosis, the prognostic factors associated with 1-year survival after starting sorafenib were assessed in univariate and multivariate analyses. In the univariate analysis, a VEGF decrease, PD, and major vascular invasion were associated significantly with survival (Table 3). In the multivariate analysis, which was performed using those factors as covariates, a VEGF decrease was identified as an independent factor associated significantly with survival (Table 3). There was a significant difference in OS among the 3 groups (patients with a VEGF decrease and non-PD, patients without a VEGF decrease but non-PD, and patients without a VEGF decrease and PD; P = .0013) (Fig. 5). Only 1 patient who had a VEGF decrease was classified with PD. All 4 patients who had a VEGF decrease and an objective response (CR or PR) were able to survive during the observation period.

**Adverse Events During Sorafenib Treatment**

The overall incidence of treatment-related adverse events was 100%. The rate of discontinuation of sorafenib as a result of adverse events was 22.2%. Adverse events that led to the discontinuation of sorafenib treatment were liver dysfunction (63.6%), hand-foot skin reaction (18.2%), interstitial pneumonia (9.1%), and rash (9.1%). Dose reductions because of adverse events occurred in 62 patients. The most frequent adverse event leading to dose reductions was liver dysfunction (33.9%). In addition,



**TABLE 3.** Prognostic Factors Associated With 1-Year Survival After Sorafenib Administration

Risk Factor	OR (95% CI) <sup>a</sup>	P
Univariate analysis		
Age, by every 10 y	1.47 (0.75-2.87)	.266
Sex		
Women	1.00	
Men	0.26 (0.50-1.39)	.116
HBV infection		
Negative	1.00	
Positive	0.33 (0.06-2.02)	.231
HCV infection		
Negative	1.00	
Positive	1.23 (0.41-3.74)	.714
Albumin, by every 1 g/dL	1.34 (0.45-3.99)	.604
Total bilirubin, by every 1 mg/dL	0.79 (0.28-2.25)	.656
Pre-AFP, by every 10 ng/mL	1.00 (1.00-1.00)	.161
Tumor size, cm		
<5	1.00	
≥5	0.42 (0.14-1.32)	.147
No. of tumors		
≤3	1.00	
≥4	0.26 (0.06-1.08)	.064
Major vascular invasion		
Yes	1.00	
No	4.00 (1.12-14.4)	.034
Extrahepatic metastasis		
Yes	1	
No	1.82 (0.56-5.90)	.320
5% VEGF decrease at wk 8		
No	1.00	
Yes	11.1 (1.29-94.6)	.028
PD		
No	1.00	
Yes	0.16 (0.29-0.86)	.033
Objective response: CR + PR		
No	1.00	
Yes	1.63 (0.49-5.42)	.426
AFP response		
No	1.00	
Yes	2.76 (0.80-9.52)	.107
Multivariate analysis <sup>b</sup>		
5% VEGF decrease at wk 8		
No	1.00	
Yes	10.0 (1.02-91.3)	.041
PD		
No	1.00	
Yes	0.20 (0.29-1.39)	.104
Major vascular invasion		
Yes	1.00	
No	3.03 (0.71-12.9)	.134

Abbreviations: AFP,  $\alpha$ -fetoprotein; CI, confidence interval; CR, complete response; HBV, hepatitis B virus; HCV, hepatitis C virus; PD, progressive disease; PR, partial response; VEGF, vascular endothelial growth factor.

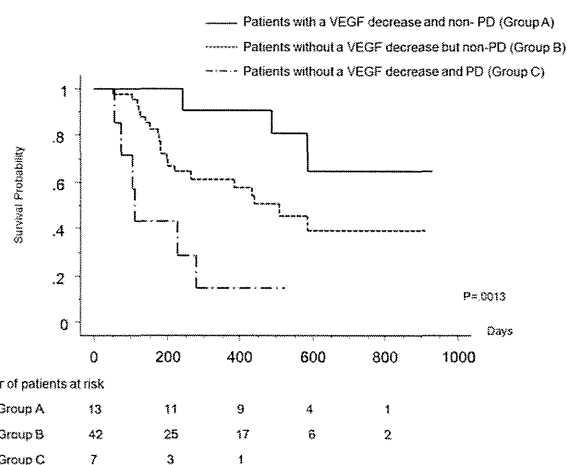
<sup>a</sup>The ORs for 1-year survival were calculated using logistic regression analysis.

<sup>b</sup>In the multivariate logistic analysis, a 5% VEGF decrease, PD, and portal invasion were included as covariates.

the incidence of adverse events was not related to plasma VEGF concentrations.

## DISCUSSION

In the current study, we demonstrated that plasma VEGF concentrations change dynamically during sorafenib



**Figure 5.** This Kaplan-Meier plot illustrates overall survival according to the combination of vascular endothelial growth factor (VEGF) changes and radiologic findings classified by modified Response Evaluation Criteria in Solid Tumors. Non-PD indicates patients who did not have progressive disease (PD) (ie, those who had a complete response, a partial response, or stable disease).

therapy, and changes in VEGF concentration are closely associated with OS in patients who receive treatment with sorafenib. VEGF is the major mediator of angiogenesis in HCC, and several studies have correlated VEGF concentrations with the prognosis of patients who have advanced HCC.<sup>5,14-21</sup>

Recently, a new staging system was proposed that includes the plasma VEGF concentration along with the Cancer of the Liver Italian Program (CLIP) score; this new system—known as the V-CLIP score—classifies patients with advanced HCC more appropriately into a homogeneous prognostic group.<sup>22</sup> Therefore, the concentration of circulating VEGF is included as a candidate prognostic marker for HCC, especially in patients with advanced disease. The objective of our study was to elucidate the important question of whether an on-treatment change in VEGF is a potentially useful new biomarker for predicting prognosis in patients who survive beyond 8 weeks, because such an on-treatment predictor among patients who have relatively longer survival has not yet been elucidated. In this study, plasma VEGF concentrations increased from pretreatment levels within 4 weeks of starting sorafenib in 47 of 63 patients (74.6%). This was followed by a decrease in plasma VEGF levels at 8 weeks in 68.1% of patients. A possible mechanism of this transient increase in VEGF after starting sorafenib may be related to a reactive increase against the inhibition of VEGF activity or hypoxia induced by sorafenib. This

hypothesis is supported by the demonstration that plasma VEGF concentrations increased shortly after treatment with TACE.<sup>24-26</sup> It is believed that these increases in plasma VEGF concentration are related to the induction of tissue hypoxia.<sup>27</sup> However, the peak time point of VEGF elevation during sorafenib administration was different from that previously reported in TACE, in which a transient elevation of VEGF was observed within 7 days after TACE.<sup>24-26</sup> This observed difference may be related to the continuous induction of hypoxia by sorafenib administration.

It is noteworthy that, in our study, decreases in plasma VEGF observed within 8 weeks of sorafenib administration were associated with better OS. One possible reason for this association may be that the decrease in VEGF concentrations reflects a decrease in the number of tumor cells secreting VEGF. An association between changes in VEGF concentrations and disease progression was observed in a previous study of an anti-VEGF antibody, bevacizumab, in patients with advanced HCC.<sup>23</sup> In that study, plasma VEGF-A concentrations decreased from baseline in all patients after 8 weeks of bevacizumab therapy and increased to near baseline levels in 5 of 6 patients at the time of disease progression. Unfortunately, plasma VEGF-A levels after 8 weeks of bevacizumab in that study were available for only 8 of 46 patients who were enrolled the study, and plasma VEGF-A levels after 4 weeks were not evaluated. In our study, all patients were evaluated before and every 4 weeks after starting sorafenib. Moreover, we demonstrated the usefulness of plasma VEGF concentrations at 8 weeks and not at 4 weeks. Zhu et al<sup>28</sup> reported that plasma levels of VEGF and placental growth factor increased after cediranib, a pan-VEGFR tyrosine kinase inhibitor monotherapy for advanced HCC. In that study, progression-free survival was correlated inversely with baseline levels of VEGF, soluble VEGFR2 (sVEGFR2), and basic fibroblast growth factor and with on-treatment levels of basic fibroblast growth factor and insulin-like growth factor-1; and progression-free survival was directly associated with on-treatment levels of interferon- $\gamma$ . Because changes of VEGF concentrations during therapy were not identified as a prognostic factor in the study by Zhu et al, biomarkers that predict prognosis may be different among different types of tyrosine kinase inhibitors. Jayson et al<sup>29</sup> reported that plasma VEGF-A in patients who received bevacizumab was potentially predictive and prognostic in metastatic breast, gastric, and pancreatic cancers; however, it was only prognostic (and not predictive) in metastatic colorectal cancer, nonsmall cell lung cancer, and renal cell carcinoma. In

our study, we measured plasma VEGF concentrations and not plasma VEGF-A concentrations. Sorafenib is a multikinase inhibitor, whereas bevacizumab is a humanized monoclonal antibody that recognizes and blocks VEGF-A expression. Further studies to evaluate the clinical usefulness of determining VEGF and VEGF-A concentrations during sorafenib therapy are necessary in various cancers. Although the precise mechanism underlying the association between serial changes in VEGF and disease progression is unclear, the findings of the current study are extremely valuable for clinical practice in predicting the prognosis of patients who receive treatment with sorafenib.

Llovet et al<sup>5</sup> studied plasma biomarkers as predictors of outcome in patients with advanced HCC. They measured plasma biomarkers in 491 patients at baseline and in 305 patients after 12 weeks in a phase 3, randomized, controlled trial (the SHARP trial). Those authors concluded that angiopoietin-2 and VEGF were independent predictors of survival in patients with advanced HCC and that none of the tested biomarkers significantly predicted response to sorafenib. In our study, by measuring plasma VEGF monthly, we demonstrated that the changes 8 weeks after starting sorafenib were important for predicting OS.

It has been reported that modified RECIST guidelines are useful for predicting efficacy and prognosis after patients with advanced HCC receive treatment with sorafenib.<sup>30</sup> However, modified RECIST can only be used for typical hypervascular HCC, and not for atypical HCC, including poorly differentiated HCC and diffuse-type HCC. Moreover, the percentage of patients in our study who had PD was only 11.1% (9 of 63 patients), and the objective response rate (CR + PR vs SD) could not predict OS, suggesting that using only modified RECIST guidelines was insufficient for predicting OS in most patients who received sorafenib (non-PD patients). Therefore, it is important to identify a predictive biomarker for those patients who can expect long survival during sorafenib therapy, although their radiologic findings may not be categorized as objective responses.

From this point of view, decreases in VEGF observed in non-PD patients at week 8 may identify patients who have a favorable prognosis. According to our results, the median survival of patients who had a VEGF decrease was extremely good at 31.0 months, and we demonstrated that a VEGF decrease, but not modified RECIST or AFP, was the only significant post-therapeutic factor associated with favorable survival after sorafenib administration (Table 3). In our study, all

patients who had both a VEGF decrease and an AFP response survived during the observation period (median, 19.7 months). Taken together, the combination of a plasma VEGF decrease, an AFP response, and modified RECIST is useful for predicting an extremely favorable prognosis.

This study had a few limitations. The first was our subanalysis of consecutive patients. However, the median survival for the 23 excluded patients who were available for estimation was equivalent to that of the included patients (16.8 months); therefore, it is unlikely that selection bias affected our results. The second limitation is that we measured only plasma VEGF concentrations. In previous studies, many factors, including VEGF-A, short VEGF-A isoform, sVEGFR1, sVEGFR2, sVEGFR3, angiopoietin-2, and insulin-like growth factor-2, were evaluated as biomarkers. However, to our knowledge, this is the first clinical study to demonstrate the early dynamic changes in plasma VEGF concentrations in patients who received sorafenib. Finally, the number of patients in this study was relatively small to make recommendations to physicians. Our results indicated that patients who have decreased VEGF concentrations at 8 weeks have a favorable prognosis, regardless of their radiologic findings. However, further studies with a larger number of patients will be necessary to propose new recommendations.

In conclusion, changes in plasma VEGF concentrations during sorafenib treatment are dynamic in patients with advanced HCC, and an observed decrease in the plasma VEGF concentration 8 weeks after starting sorafenib is associated significantly with favorable OS. Today, because many clinical trials of new molecular-targeted agents for HCC are being conducted, it is necessary for hepatologists and oncologists to determine the time when alternative agents should be started as a second or third line of treatment. Our results have potentially important clinical implications for physicians and may influence their decisions regarding a treatment strategy for advanced HCC in individual patients.

#### FUNDING SUPPORT

This work was supported by grants from the Japanese Ministry of Welfare, Health, and Labor.

#### CONFLICT OF INTEREST DISCLOSURES

Yasuhiro Asahina received grants from the Japanese Ministry of Welfare, Health, and Labor and the Japanese Ministry of Education, Culture, Sports, and Science during the conduct of this study. Dr. Asahina has also received grants from Chugai Pharmaceutical Company, Ltd.; Toray Industries, Inc.; Bristol-Myers Squibb; Dai-ichippon Sumitomo Pharma Company, Ltd.; Merck Sharp &

Dohme (MSD); and Daiichi Sankyo Company, Ltd., and has received lecture fees from Chugai Pharmaceutical Company, Ltd. and MSD. Nobuyuki Enomoto has received grants and consulting fees from Bayer, Chugai-Roche, MDS, Bristol-Myers Squibb, and GlaxoSmithKline. Namiki Izumi has received lecture fees from MSD, Chugai Pharmaceutical Company, Ltd.; Daiichi-Sankyo Company, Ltd.; Bayer AG; and Bristol-Myers Squibb.

#### REFERENCES

- World Health Organization, International Agency for Research on Cancer (IARC); Boyle P, Levin B, eds. World Cancer Report 2008. Lyon, France: IARC Press; 2008.
- European Association for the Study of the Liver, European Organisation for Research and Treatment of Cancer. EASL-EORTC Clinical Practice Guidelines: management of hepatocellular carcinoma. *J Hepatol.* 2012;56:908-943.
- Llovet JM, Ricci S, Mazzaferro V, et al. SHARP Investigators Study Group. Sorafenib in advanced hepatocellular carcinoma. *N Engl J Med.* 2008;359:378-390.
- Cheng AL, Kang YK, Chen Z, et al. Efficacy and safety of sorafenib in patients in the Asia-Pacific region with advanced hepatocellular carcinoma: a phase III randomized, double-blind, placebo-controlled trial. *Lancet Oncol.* 2009;10:25-34.
- Llovet JM, Pena CE, Lathia CD, Shan M, Meinhardt G, Bruix J. Plasma biomarkers as predictors of outcome in patients with advanced hepatocellular carcinoma. *Clin Cancer Res.* 2012;18:2290-3000.
- Lencioni R, Llovet JM. Modified RECIST (mRECIST) assessment for hepatocellular carcinoma. *Semin Liver Dis.* 2010;30:52-60.
- Shao YY, Lin ZZ, Hsu C, Shen CH, Cheng AL. Early alpha-fetoprotein response predicts treatment efficacy of antiangiogenic systemic therapy in patients with advanced hepatocellular carcinoma. *Cancer.* 2010;116:4590-4596.
- Kuzuya T, Asahina Y, Tsuchiya K, et al. Early decrease in  $\alpha$ -fetoprotein, but not des- $\gamma$ -carboxy prothrombin, predicts sorafenib efficacy in patients with advanced hepatocellular carcinoma. *Oncology.* 2011;81:251-258.
- Personeni N, Bozzarelli S, Pressiani T, et al. Usefulness of alpha-fetoprotein response in patients treated with sorafenib for advanced hepatocellular carcinoma. *J Hepatol.* 2012;57:101-107.
- Raoul JL, Bruix J, Gretten TF, et al. Relationship between baseline hepatic status and outcome, and effect of sorafenib on liver function: SHARP trial subanalyses. *J Hepatol.* 2012;56:1080-1088.
- Hora C, Romanque P, Dufour JF. Effect of sorafenib on murine liver regeneration. *Hepatology.* 2011;53:577-586.
- Kudo M, Izumi N, Kokudo N, Matsui O, Sakamoto M, Makuuchi M. Management of hepatocellular carcinoma in Japan: consensus-based clinical practice guidelines proposed by the Japan Society of Hepatology (JSH) 2010 updated version. *Dig Dis.* 2011;29:339-364.
- Bruix J, Sherman M; American Association for the Study of Liver Diseases. Management of hepatocellular carcinoma: an update. *Hepatology.* 2011;53:1020-1022.
- El-Assal ON, Yamanoi A, Soda Y, et al. Clinical significance of microvessel density and vascular endothelial growth factor expression in hepatocellular carcinoma and surrounding liver: possible involvement of vascular endothelial growth factor in the angiogenesis of cirrhotic liver. *Hepatology.* 1998;27:1554-1562.
- Yamaguchi R, Yano H, Iemura A, Ogasawara S, Haramaki M, Kojiro M. Expression of vascular endothelial growth factor in human hepatocellular carcinoma. *Hepatology.* 1998;28:68-77.
- Yoshiji H, Kuriyama S, Yoshii J, et al. Synergistic effect of basic fibroblast growth factor and vascular endothelial growth factor in murine hepatocellular carcinoma. *Hepatology.* 2002;35:834-842.
- Tamesa T, Iizuka N, Mori N, et al. High serum levels of vascular endothelial growth factor after hepatectomy are associated with poor prognosis in hepatocellular carcinoma. *Hepatogastroenterology.* 2009;56:1122-1126.
- Hu J, Xu Y, Shen ZZ, et al. High expressions of vascular endothelial growth factor and platelet-derived endothelial cell growth factor

- predict poor prognosis in alpha-fetoprotein-negative hepatocellular carcinoma patients after curative resection. *J Cancer Res.* 2009;135:1359-1367.
19. Poon RT, Lau C, Pang R, Ng KK, Yuen J, Fan ST. High serum vascular endothelial growth factor levels predict poor prognosis after radiofrequency ablation of hepatocellular carcinoma: importance of tumor biomarker in ablative therapies. *Ann Surg Oncol.* 2007;14:1835-1845.
  20. Schoenleber SJ, Kurtz DM, Talwalkar JA, Rober LR, Gores GJ. Prognostic role of vascular endothelial growth factor in hepatocellular carcinoma: systemic review and meta-analysis. *Br J Cancer.* 2009;100:1385-1392.
  21. Kaseb AO, Hanbali A, Cotant M, Hassan MM, Wollner I, Philip PA. Vascular endothelial growth factor in the management of hepatocellular carcinoma: a review of literature. *Cancer.* 2009;115:4895-4906.
  22. Kaseb A, Hassan M, Lin E, Xiao L, Kumar V, Morris J. V-CLIP: integrating plasma vascular endothelial growth factor into a new scoring system to stratify patients with advanced hepatocellular carcinoma for clinical trials. *Cancer.* 2011;117:2478-2488.
  23. Siegel AB, Cohen EI, Ocean A, et al. Phase II trial evaluating the clinical and biologic effects of bevacizumab in unresectable hepatocellular carcinoma. *J Clin Oncol.* 2008;26:2992-2998.
  24. Li X, Feng GS, Zheng CS, Zhuo CK, Liu X. Expression of plasma vascular endothelial growth factor in patients with hepatocellular carcinoma and effect of transcatheter arterial chemoembolization therapy on plasma vascular endothelial growth factor level. *World J Gastroenterol.* 2004;10:2878-2882.
  25. Suzuki H, Mori M, Kawaguchi C, Adachi M, Miura S, Ishii H. Serum vascular endothelial growth factor in the course of transcatheter arterial embolization of hepatocellular carcinoma. *Int J Oncol.* 1999;14:1087-1090.
  26. Shim JH, Park JW, Kim JH, et al. Association between increment of serum VEGF and poor prognosis after transcatheter arterial chemoembolization in hepatocellular carcinoma patients. *Cancer Sci.* 2008;99:2037-2044.
  27. von Marschall Z, Cramer T, Hocker M, Finkenzeller G, Wiedenmann B, Rosewicz S. Dual mechanism of vascular endothelial growth factor upregulation by hypoxia in human hepatocellular carcinoma. *Gut.* 2001;48:87-96.
  28. Zhu AX, Ancukiewicz M, Duda DG, et al. Efficacy, safety, pharmacokinetics, and biomarkers of cediranib monotherapy in advanced hepatocellular carcinoma: a phase II study. *Clin Cancer Res.* 2013;19:1557-1566.
  29. Jayson GC, de Haas S, Delmar P, et al. Evaluation of plasma VEGF-A as a potential predictive pan-tumour biomarker for bevacizumab. Abstract 804. Paper presented at: 2011 European Multidisciplinary Cancer Congress; Stockholm, Sweden; September 23-27, 2011.
  30. Edeline J, Boucher E, Rolland Y, et al. Comparison of tumor response by Response Evaluation Criteria in Solid Tumors (RECIST) and modified RECIST in patients treated with sorafenib for hepatocellular carcinoma. *Cancer.* 2012;118:147-156.

## Original Article

## Prospective comparison of real-time tissue elastography and serum fibrosis markers for the estimation of liver fibrosis in chronic hepatitis C patients

Nobuharu Tamaki,<sup>1</sup> Masayuki Kurosaki,<sup>1</sup> Shuya Matsuda,<sup>1</sup> Toru Nakata,<sup>1</sup> Masaru Muraoka,<sup>1</sup> Yuichiro Suzuki,<sup>1</sup> Yutaka Yasui,<sup>1</sup> Shoko Suzuki,<sup>1</sup> Takanori Hosokawa,<sup>1</sup> Takashi Nishimura,<sup>1</sup> Ken Ueda,<sup>1</sup> Kaoru Tsuchiya,<sup>1</sup> Hiroyuki Nakanishi,<sup>1</sup> Jun Itakura,<sup>1</sup> Yuka Takahashi,<sup>1</sup> Kotaro Matsunaga,<sup>2,4</sup> Kazuhiro Taki,<sup>2</sup> Yasuhiro Asahina<sup>3</sup> and Namiki Izumi<sup>1</sup>

Divisions of <sup>1</sup>Gastroenterology and Hepatology and <sup>2</sup>Pathology, Musashino Red Cross Hospital, <sup>3</sup>Division of Gastroenterology and Hepatology, Tokyo Medical and Dental University, Tokyo and <sup>4</sup>Division of Gastroenterology and Hepatology, St Marianna University School of Medicine, Kanagawa, Japan

**Aim:** Real-time tissue elastography (RTE) is a non-invasive method for the measurement of tissue elasticity using ultrasonography. Liver fibrosis (LF) index is a quantitative method for evaluation of liver fibrosis calculated by RTE image features. This study aimed to investigate the significance of LF index for predicting liver fibrosis in chronic hepatitis C patients.

**Methods:** In this prospective study, 115 patients with chronic hepatitis C who underwent liver biopsy were included, and the diagnostic accuracy of LF index and serum fibrosis markers was evaluated.

**Results:** RTE imaging was successfully performed on all patients. Median LF index in patients with F0–1, F2, F3 and F4 were 2.61, 3.07, 3.54 and 4.25, respectively, demonstrating a stepwise increase with liver fibrosis progression ( $P < 0.001$ ). LF index (odds ratio [OR] = 5.3, 95% confidence interval [CI] = 2.2–13.0) and platelet count (OR = 0.78, 95% CI = 0.68–

0.89) were independently associated with the presence of advanced fibrosis (F3–4). Further, LF index was independently associated with the presence of minimal fibrosis (F0–1) (OR = 0.25, 95% CI = 0.11–0.55). The area under the receiver–operator curve (AUROC) of LF index for predicting advanced fibrosis (0.84) was superior to platelets (0.82), FIB-4 index (0.80) and aspartate aminotransferase/platelet ratio index (APRI) (0.76). AUROC of LF index (0.81) was superior to platelets (0.73), FIB-4 index (0.79) and APRI (0.78) in predicting minimal fibrosis.

**Conclusion:** LF index calculated by RTE is useful for predicting liver fibrosis, and diagnostic accuracy of LF index is superior to serum fibrosis markers.

**Key words:** chronic hepatitis C, fibrosis, liver fibrosis index, real-time tissue elastography

## INTRODUCTION

AN ADVANCED STAGE of liver fibrosis in chronic hepatitis C (CHC) is associated with hepatocellular carcinoma development and complications such as

esophageal variceal bleeding and liver failure.<sup>1,2</sup> Therefore, accurate evaluation of the stage of liver fibrosis is most important in clinical practice. Liver biopsy is considered to be the golden standard for diagnosis of liver fibrosis.<sup>3–5</sup> However, this method may be inaccurate because of sampling errors and interobserver variations.<sup>6,7</sup>

Improvements in a variety of non-invasive methods for evaluating liver fibrosis have recently emerged as alternatives to liver biopsy. Liver fibrosis was reportedly predicted by measurement of liver stiffness using transient elastography<sup>8,9</sup> and acoustic radiation force impulse (ARFI).<sup>10,11</sup> As assessed by blood laboratory tests, the aspartate aminotransferase (AST)/alanine

Correspondence: Dr Namiki Izumi, Department of Gastroenterology and Hepatology, Musashino Red Cross Hospital, 1-26-1 Kyonan-cho, Musashino-shi, Tokyo 180-8610, Japan. Email: nizumi@musashino.jrc.or.jp

Conflict of interest: The authors who have taken part in this study declare that they do not have anything to disclose regarding funding or conflict of interest with respect to this manuscript.

Received 28 January 2013; revision 20 May 2013; accepted 29 May 2013.

aminotransferase (ALT) ratio,<sup>12</sup> AST/platelet ratio index (APRI),<sup>13,14</sup> and FIB-4 index<sup>15,16</sup> have been reported to be useful for the prediction of liver fibrosis. We previously reported that the FIB-4 index is useful for the prediction of liver fibrosis progression.<sup>17</sup>

Real-time tissue elastography (RTE) is a non-invasive method for the measurement of tissue elasticity using ultrasonography.<sup>18</sup> RTE calculates the relative hardness of tissue from the degree of tissue distortion and displays this information as a color image. RTE was recently reported to be useful for predicting liver fibrosis.<sup>19,20</sup> To increase the objectivity of the evaluation, an image analysis method to evaluate the strain image features and a new algorithm to deliver an index were proposed. Liver fibrosis (LF) index is a quantitative method for evaluation of liver fibrosis that is calculated by nine RTE image features, and the significance of LF index for predicting liver fibrosis has been reported.<sup>21,22</sup>

In the present study, we prospectively investigated the significance of LF index calculated by RTE for the prediction of liver fibrosis in CHC patients. Further, diagnostic accuracy for liver fibrosis was compared between LF index and serum fibrosis markers.

## METHODS

### Patients

A TOTAL OF 127 consecutive patients with CHC were prospectively investigated. All patients underwent liver biopsy at Musashino Red Cross Hospital between February 2011 and November 2012. Exclusion criteria comprised the following: (i) co-infection with hepatitis B virus ( $n = 1$ ); (ii) co-infection with HIV ( $n = 1$ ); (iii) history of autoimmune hepatitis or primary biliary cirrhosis ( $n = 3$ ); (iv) alcohol abuse (intake of alcohol equivalent to pure alcohol  $\geq 40$  g/day) ( $n = 0$ ); (v) portal tracts of biopsy sample of less than five ( $n = 7$ ); and (vi) presence of serious heart disease ( $n = 0$ ). After exclusion, 115 patients were enrolled in this study. Written informed consent was obtained from each patient and the study protocol conformed to the ethical guidelines of the Declaration of Helsinki and was approved by the institutional ethics review committees (application no. 24007).

### Histological evaluation

Liver biopsy specimens were laparoscopically obtained using 13-G needles ( $n = 93$ ). When laparoscopy was not conducted due to a history of upper abdominal surgery, percutaneous ultrasound-guided liver biopsy

was performed using 15-G needles ( $n = 22$ ). Specimens were fixed, paraffin-embedded, and stained with hematoxylin–eosin and Masson-trichrome. A biopsy sample with minimum portal tracts of five was required for diagnosis. All liver biopsy samples were independently evaluated by two senior pathologists who were blinded to the clinical data. Fibrosis staging was categorized according to the METAVIR score:<sup>23</sup> F0, no fibrosis; F1, portal fibrosis without septa; F2, portal fibrosis with few septa; F3, numerous septa without cirrhosis; and F4, cirrhosis. Activity of necroinflammation was graded on a scale of 0–3: A0, no activity; A1, mild activity; A2, moderate activity; and A3, severe activity. Percentage of steatosis was quantified by determining the average proportion of hepatocytes affected by steatosis and graded on a scale of 0–3: grade 0, no steatosis; grade 1, 1–33%; grade 2, 34–66%; and grade 3, 67% and over.

### Clinical and biological data

The age and sex of the patients were recorded. Serum samples were collected within 1 day prior to liver biopsy and the following variables were obtained through serum sample analysis: AST, ALT and platelet count. FIB-4 index and APRI were calculated according to the published formula appropriate to each measure.<sup>13,15</sup>

### RTE and LF index

Real-time tissue elastography was performed using HI VISION Preirus (Hitachi Aloka Medical, Tokyo, Japan) and the EUP-L52 linear probe (3–7 MHz; Hitachi Aloka Medical) within 3 days of liver biopsy. RTE was performed on the right lobe of the liver through the intercostal space. An RTE image was induced by heartbeats. Five RTE images were collected for each patient and analyzed to calculate nine image features. RTE method and the equation that calculates LF index using nine image features has been previously detailed.<sup>22</sup> Results are expressed as mean LF index of all measurements. Two hepatologists (N. T. and K. Tsuchiya, with 8 and 16 years of experience, respectively) performed RTE. In 32 patients with CHC, LF index was measured independently by two examiners. The correlation coefficient of LF index between two examiners was 0.85 ( $P \leq 0.001$ ).

### Statistical analysis

Correlations between LF index and histological fibrosis stage were analyzed using Spearman's rank correlation coefficients. Categorical variables were compared using Fisher's exact test, and continuous variables were compared using Mann–Whitney *U*-test.  $P < 0.05$  was considered statistically significant. Logistic regression was

used for multivariate analysis. Receiver–operator curves (ROC) were constructed, and the area under the ROC (AUROC) was calculated. Optimal cut-off values were selected, to maximize sensitivity, specificity and diagnostic accuracy. Sensitivity, specificity, positive predictive value (PPV) and negative predictive value (NPV) were calculated by using cut-offs obtained by ROC. SPSS software ver. 15.0 (SPSS, Chicago, IL, USA) was used for analyses.

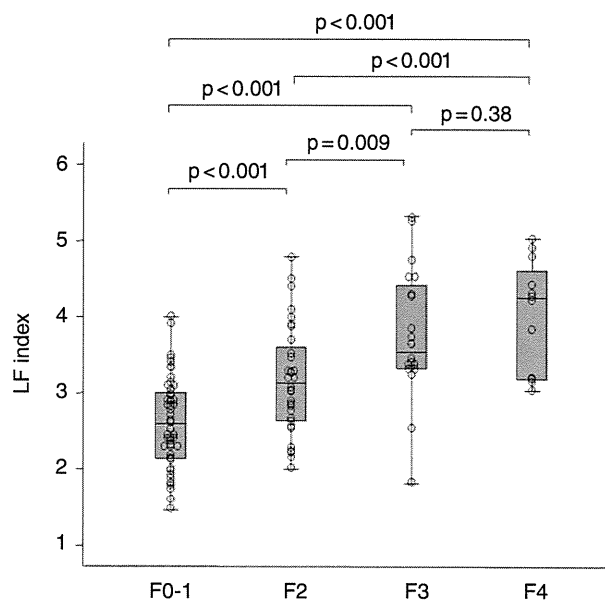
## RESULTS

### Patient characteristics

THE CHARACTERISTICS OF all 115 patients are listed in Table 1. F0–1 was diagnosed in 52 cases (45%), F2 in 31 (27%), F3 in 20 (17%) and F4 in 12 (11%). Mean values of LF index of F0 (2.62) and F1 (2.60) were not significantly different ( $P=0.9$ ), and only six patients with F0 were included in this study. Therefore, patients with F0 and F1 were integrated for the analysis. RTE imaging was successfully performed in all patients, and LF index was calculated.

### Relationship between histological findings and LF index by RTE

The median value of LF index compared with the METAVIR fibrosis stage is shown in Figure 1. Median LF



**Figure 1** Correlation between liver fibrosis (LF) index calculated by real-time tissue elastography and fibrosis stage. Box plot of the LF index is shown according to each fibrosis stage. The bottom and top of each box represent the 25th and 75th percentiles, giving the interquartile range. The line through the box indicates the median value, and error bar indicates minimum and maximum non-extreme values.

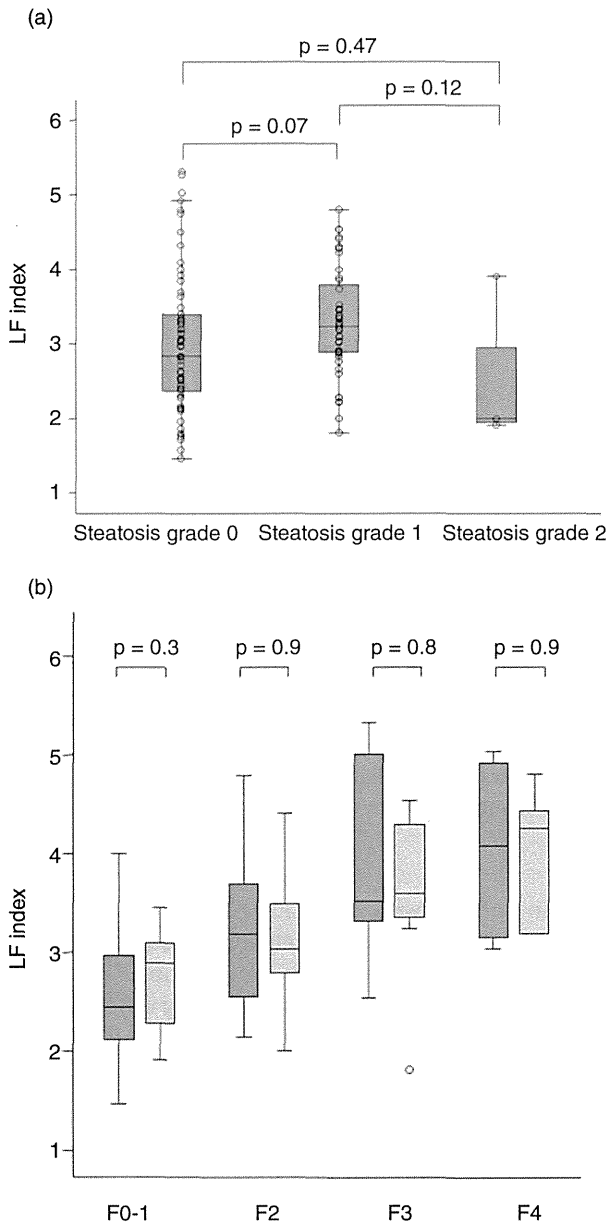
**Table 1** Patient characteristics

Characteristics	Patients ( $n = 115$ )
Female/male	68/47
Age (years)	$57.9 \pm 10.9$
AST (IU/L)	$55.7 \pm 44.9$
ALT (IU/L)	$63.2 \pm 56.3$
Platelet counts ( $\times 10^9/L$ )	$162 \pm 53$
Portal tracts of biopsy samples	$12.6 \pm 5.0$
Fibrosis stage	
F0–1 (%)	51 (44)
F2 (%)	32 (28)
F3 (%)	20 (17)
F4 (%)	12 (11)
Histological activity	
A0 (%)	0 (0)
A1 (%)	75 (65)
A2 (%)	34 (30)
A3 (%)	6 (5)
Steatosis grade	
Grade 0 (%)	65 (57)
Grade 1 (%)	47 (41)
Grade 2 (%)	3 (2)
Grade 3 (%)	0 (0)

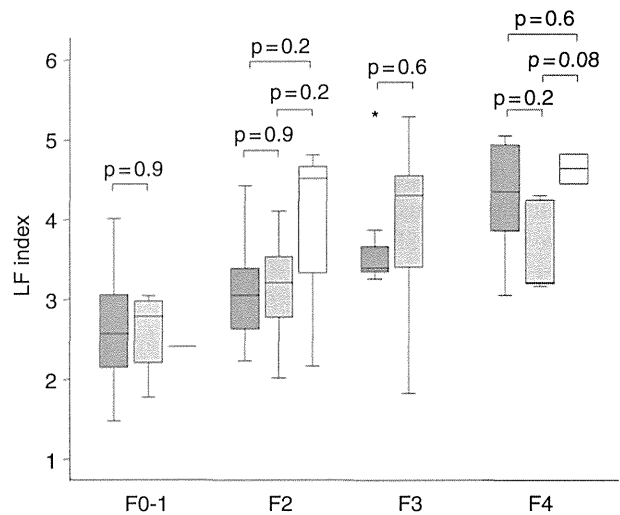
ALT, alanine aminotransferase; AST, aspartate aminotransferase.

index in patients with F0–1, F2, F3 and F4 were 2.61, 3.07, 3.54 and 4.25, respectively, demonstrating a step-wise increase with liver fibrosis progression ( $P < 0.001$ ). LF index of each fibrosis stage significantly differed from each other (F0–1 vs F2,  $P < 0.001$ ; F0–1 vs F3,  $P < 0.001$ ; F0–1 vs F4,  $P < 0.001$ ; F2 vs F3,  $P = 0.009$ ; F2 vs F4,  $P = 0.001$ ). On the other hand, mean values of LF index in patients with steatosis grade 0, 1 and 2 were 2.99, 3.29 and 2.60, respectively, demonstrating no significant correlation (Fig. 2a). LF index was compared with steatosis grade for each fibrosis stage. LF index was not significantly different between patients with steatosis and without steatosis (Fig. 2b).

Liver fibrosis index was compared with histological activity. A significant correlation existed between histological activity and fibrosis stage. Therefore, the relationship between LF index and histological activity was examined by each fibrosis stage. In patients with F0–1, the mean LF index of A1, A2 and A3 was 2.60, 2.58 and 2.40, respectively, demonstrating no significant correlation. Similarly, in patients with F2, F3 and F4, there was no significant correlation between LF index and histological activity (Fig. 3).



**Figure 2** (a) Correlation between liver fibrosis (LF) index and steatosis grade. Box plot of the LF index is shown according to each steatosis grade. The bottom and top of each box represent the 25th and 75th percentiles, giving the interquartile range. The line through the box indicates the median value, and error bar indicates minimum and maximum non-extreme values. (b) Box plot of LF index for each fibrosis stage in relation to degree of steatosis grade. The bottom and top of each box represent the 25th and 75th percentiles, giving the interquartile range. The line through the box indicates the median value, and error bar indicates minimum and maximum non-extreme values. Dark grey bar chart indicates steatosis grade 0. Light grey bar chart indicates steatosis grade 1–2.



**Figure 3** Box plot of liver fibrosis (LF) index for each fibrosis stage in relation to degree of necroinflammatory activity. The bottom and top of each box represent the 25th and 75th percentiles, giving the interquartile range. The line through the box indicates the median value, and error bar indicates minimum and maximum non-extreme values. Dark grey bar chart indicates activity grade 1. Light grey bar chart indicates activity grade 2. White bar chart indicates activity grade 3.

**Comparison of variables associated with the presence of advanced fibrosis (F3–4) by univariate and multivariate analysis**

Variables associated with the presence of advanced fibrosis (F3–4) were assessed by univariate and multivariate analysis (Table 2). The variables of age ( $P = 0.03$ ) and LF index ( $P < 0.001$ ) were significantly higher, and the variable of platelets ( $P < 0.001$ ) was significantly lower in patients with advanced fibrosis than in patients with F0–2. Multivariate analysis showed that LF index (odds ratio [OR] = 5.3, 95% confidence interval [CI] = 2.2–13.0) and platelets (OR = 0.78, 95% CI = 0.68–0.89) were independently associated with the presence of advanced fibrosis.

**Comparison of variables associated with the presence of minimal fibrosis (F0–1) by univariate and multivariate analysis**

Variables associated with the presence of minimal fibrosis (F0–1) were assessed by univariate and multivariate analysis (Table 3). The variables of age ( $P < 0.001$ ), AST ( $P = 0.02$ ) and LF index ( $P < 0.001$ ) were significantly lower, and the variable of platelets ( $P < 0.001$ ) was significantly higher in F0–1 patients than F2–4 patients.



**Table 2** Variables associated with the presence of advanced fibrosis (F3–4) by univariate and multivariate analysis

	F0–2 (n = 83)	F3–4 (n = 32)	P-value (Univariate)	Odds ratio (95% CI) (Multivariate)
Age (years)	56.6 ± 10.9	61.3 ± 10.4	0.03	
Sex (female/male)	51/32	17/15	0.41	
AST (IU/L)	52.3 ± 43.3	64.4 ± 48.3	0.19	
ALT (IU/L)	62.9 ± 60.6	63.9 ± 44.2	0.93	
Platelets (×10 <sup>9</sup> /L)	179 ± 47	117 ± 42	<0.001	0.78 (0.68–0.89)
LF index	2.81 ± 0.69	3.86 ± 0.81	<0.001	5.30 (2.16–13.0)

ALT, alanine aminotransferase; AST, aspartate aminotransferase; CI, confidence interval; LF, liver fibrosis.

Multivariate analysis showed that LF index was independently associated with the presence of minimal fibrosis (OR = 0.25, 95% CI = 0.11–0.55).

### Diagnostic accuracy of RTE and serum fibrosis markers

Receiver–operator curves of LF index, platelets, FIB-4 index and APRI for predicting advanced fibrosis (F3–4), and minimal fibrosis (F0–1) were plotted, as shown in Figure 4. AUROC of LF index for predicting advanced fibrosis (0.84) was superior to platelets (0.82), FIB-4 index (0.80) and APRI (0.76). Similarly, for predicting minimal fibrosis, AUROC of LF index (0.81) was superior to platelets (0.73), FIB-4 index (0.79) and APRI (0.78). The corresponding sensitivities, specificities, PPV and NPV are detailed in Table 4.

## DISCUSSION

IMPROVEMENTS IN VARIOUS methods for prediction of liver fibrosis have recently emerged as alternatives to liver biopsy. RTE is a non-invasive method for the measurement of tissue elasticity using ultrasonography. The utility of RTE for evaluating liver fibrosis is reported in a few studies.<sup>18–22</sup> However, for utilizing LF

index, one of the equations used to calculate tissue elasticity by RTE is still unclear. The aim of this study was to investigate the significance of LF index for the prediction of liver fibrosis in CHC patients.

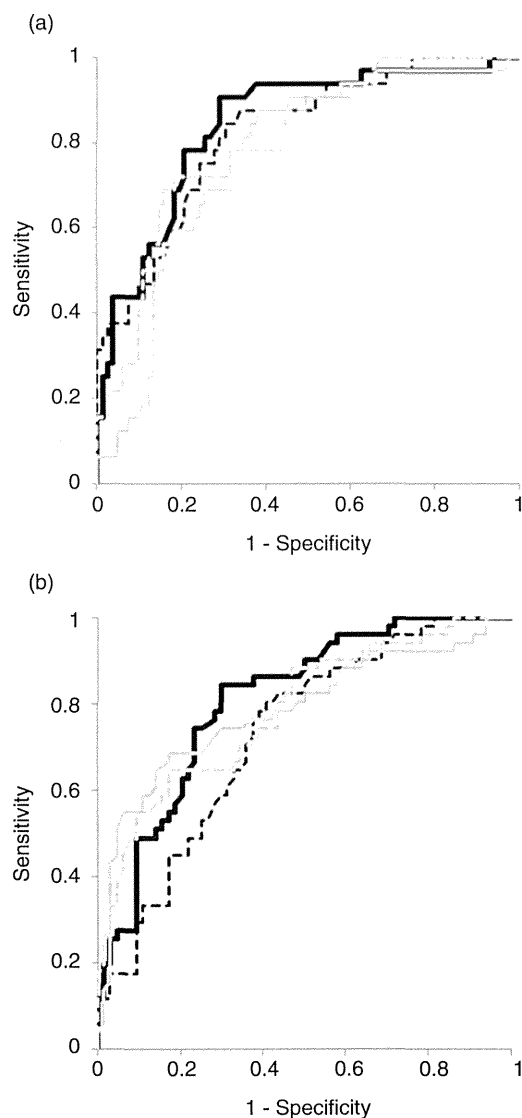
In this prospective study, we found that LF index is a useful predictive factor for diagnosis of the fibrosis stage in CHC patients. Increase in LF index significantly correlated with progression of the fibrosis stage and LF index was able to predict the presence of advanced fibrosis and minimal fibrosis. Previous studies reported the utility of LF index for prediction of the liver fibrosis stage.<sup>21,22</sup> In this study, LF index differed significantly between patients with F0–1 and F2; thus, LF index was especially useful for prediction of minimal fibrosis. This may be due to a sufficient number of patients with F0–1 and F2 included in the present study. This is an advantage of LF index because other quantitative methods by RTE could not discriminate patients with F0–1 and F2.<sup>19,20</sup> On the other hand, there is a possibility that a similar result may be obtained for differentiation of F3 and F4 if a large number of patients with advanced fibrosis was included.

Previous studies did not compare the diagnostic accuracy of LF index and serum fibrosis markers. We revealed that LF index performed better than serum fibrosis

**Table 3** Variables associated with the presence of minimal fibrosis (F0–1) by univariate and multivariate analysis

	F0–1 (n = 51)	F2–4 (n = 64)	P-value (Univariate)	Odds ratio (95% CI) (Multivariate)
Age (years)	54.0 ± 11.9	61.0 ± 9.0	<0.001	
Sex (female/male)	31/20	37/27	0.74	
AST (IU/L)	44.5 ± 42.6	64.6 ± 44.9	0.02	
ALT (IU/L)	53.0 ± 56.3	71.3 ± 55.5	0.08	
Platelets (×10 <sup>9</sup> /L)	186 ± 47	142 ± 50	<0.001	
LF index	2.60 ± 0.59	3.51 ± 0.84	<0.001	0.25 (0.11–0.55)

ALT, alanine aminotransferase; AST, aspartate aminotransferase; CI, confidence interval; LF, liver fibrosis.



**Figure 4** Receiver–operator curves (ROC) of liver fibrosis (LF) index and serum fibrosis markers. (a) ROC for diagnosis of significant fibrosis (F3–4). (b) ROC for diagnosis of minimal fibrosis (F0–1). —, LF index; ---, platelets; ···, aspartate aminotransferase-to-platelet ratio index; - · - ·, FIB-4 index.

markers based on blood laboratory tests for predicting liver fibrosis.

Transient elastography has been most commonly used to measure liver stiffness and is established in clinical practice to evaluate liver fibrosis.<sup>8,9</sup> RTE exhibits some advantages compared with transient elastography. In this study, RTE imaging was successfully performed in all patients, and LF index was calculated. Although transient elastography has high diagnostic

capabilities when it comes to liver fibrosis, measurements are sometimes impossible in patients with severe obesity and ascites.<sup>24</sup> Reproducibility of transient elastography was reportedly lower in patients with steatosis, inflammation, increased body mass index and lower degrees of liver fibrosis.<sup>25–27</sup> On the other hand, LF index is measured by ultrasound guidance that facilitates the identification of a suitable location for elastographic measurement, thereby resulting in a higher number of patients with valid results.

Unlike transient elastography, another advantage of LF index is that the results are not influenced by the presence of inflammation and steatosis. It was reported that LF index is not useful in patients with steatosis.<sup>22</sup> However, LF index was not significantly different between patients with and without steatosis in the present study even after stratification by fibrosis stage. Thus, LF index was useful for prediction of fibrosis in CHC patients regardless of steatosis. Because LF index of each activity grade and steatosis grade did not differ from each other, estimation of liver fibrosis by LF index demonstrated higher reproducibility than transient elastography.

In previously reports, diagnostic accuracy of liver fibrosis using RTE was inferior to transient elastography;<sup>28</sup> however, other studies have reported contrasting results.<sup>19</sup> The reason for this variability is probably because RTE technology and the equations used to calculate tissue elasticity are rapidly changing. The utility of elastic ratio, another RTE method for evaluation of liver fibrosis, was reported.<sup>20</sup> The elastic ratio is the ratio between the tissue compressibility of the liver and that of the intrahepatic small vessel. The AUROC of elastic ratio for predicting advanced fibrosis was 0.94 and was superior to LF index. Further, ARFI and real-time shear wave elastography were reported to have a high diagnostic accuracy of liver fibrosis.<sup>10,11,29</sup> There are currently no studies that directly compare LF index and those methods for diagnostic value of liver fibrosis. Therefore, further studies are needed to fully explore the potential of RTE, especially with regard to LF index.

Our study had several limitations. The number of patients with advanced fibrosis was small. The potential of LF index to differentiate patients with F3 and F4 needs to be explored with a large number of patients. Further, validation study is needed to evaluate the diagnostic accuracy of fibrosis stage, especially in comparison with other modalities.

In conclusion, LF index calculated by RTE is useful for predicting liver fibrosis, and diagnostic accuracy of LF index is superior to that of serum fibrosis markers.

Table 4 Diagnostic performance of LF index and serum fibrosis markers

	F0–2 vs F3–4					F0–1 vs F2–4				
	AUROC	Sensitivity (%)	Specificity (%)	PPV (%)	NPV (%)	AUROC	Sensitivity (%)	Specificity (%)	PPV (%)	NPV (%)
LF index	0.84	90.6	71.1	54.7	95.2	0.81	84.3	70.3	69.4	84.9
Platelets	0.82	87.5	66.3	50.0	93.2	0.73	80.4	59.4	61.2	79.2
FIB-4 index	0.80	71.9	81.9	60.5	88.3	0.79	54.9	90.6	82.3	71.6
APRI	0.76	87.5	61.4	46.7	92.7	0.78	64.7	85.9	78.6	75.3

APRI, aspartate aminotransferase/platelet ratio index; AUROC, area under the receiver–operator curve; NPV, negative predictive value; PPV, positive predictive value.

## ACKNOWLEDGMENT

THIS STUDY WAS supported by a Grant-in-Aid from Ministry of Health, Labor, and Welfare, Japan.

## REFERENCES

- Serfaty L, Aumaitre H, Chazouilleres O *et al.* Determinants of outcome of compensated hepatitis C virus-related cirrhosis. *Hepatology* 1998; 27: 1435–40.
- Benvegna L, Gios M, Boccato S, Alberti A. Natural history of compensated viral cirrhosis: a prospective study on the incidence and hierarchy of major complications. *Gut* 2004; 53: 744–9.
- Dienstag JL. The role of liver biopsy in chronic hepatitis C. *Hepatology* 2002; 36: S152–60.
- Gebo KA, Herlong HF, Torbenson MS *et al.* Role of liver biopsy in management of chronic hepatitis C: a systematic review. *Hepatology* 2002; 36: S161–72.
- Namiki I, Nishiguchi S, Hino K *et al.* Management of hepatitis C; Report of the Consensus Meeting at the 45th Annual Meeting of the Japan Society of Hepatology (2009). *Hepatol Res* 2010; 40: 347–68.
- Bedossa P, Dargere D, Paradis V. Sampling variability of liver fibrosis in chronic hepatitis C. *Hepatology* 2003; 38: 1449–57.
- Intraobserver and interobserver variations in liver biopsy interpretation in patients with chronic hepatitis C. The French METAVIR Cooperative Study Group. *Hepatology* 1994; 20: 15–20.
- Sandrin L, Fourquet B, Hasquenoph JM *et al.* Transient elastography: a new noninvasive method for assessment of hepatic fibrosis. *Ultrasound Med Biol* 2003; 29: 1705–13.
- Friedrich-Rust M, Ong MF, Martens S *et al.* Performance of transient elastography for the staging of liver fibrosis: a meta-analysis. *Gastroenterology* 2008; 134: 960–74.
- Friedrich-Rust M, Wunder K, Kriener S *et al.* Liver fibrosis in viral hepatitis: noninvasive assessment with acoustic radiation force impulse imaging versus transient elastography. *Radiology* 2009; 252: 595–604.
- Palmeri ML, Wang MH, Rouze NC *et al.* Noninvasive evaluation of hepatic fibrosis using acoustic radiation force-based shear stiffness in patients with nonalcoholic fatty liver disease. *J Hepatol* 2011; 55: 666–72.
- Williams AL, Hoofnagle JH. Ratio of serum aspartate to alanine aminotransferase in chronic hepatitis. Relationship to cirrhosis. *Gastroenterology* 1988; 95: 734–9.
- Wai CT, Greenson JK, Fontana RJ *et al.* A simple noninvasive index can predict both significant fibrosis and cirrhosis in patients with chronic hepatitis C. *Hepatology* 2003; 38: 518–26.
- Lin ZH, Xin YN, Dong QJ *et al.* Performance of the aspartate aminotransferase-to-platelet ratio index for the staging of hepatitis C-related fibrosis: an updated meta-analysis. *Hepatology* 2011; 53: 726–36.
- Sterling RK, Lissen E, Clumeck N *et al.* Development of a simple noninvasive index to predict significant fibrosis in patients with HIV/HCV coinfection. *Hepatology* 2006; 43: 1317–25.
- Vallet-Pichard A, Mallet V, Nalpas B *et al.* FIB-4: an inexpensive and accurate marker of fibrosis in HCV infection. comparison with liver biopsy and fibrotest. *Hepatology* 2007; 46: 32–6.
- Tamaki N, Kurosaki M, Tanaka K *et al.* Noninvasive estimation of fibrosis progression overtime using the FIB-4 index in chronic hepatitis C. *J Viral Hepat* 2013; 20: 72–6.
- Friedrich-Rust M, Ong MF, Herrmann E *et al.* Real-time elastography for noninvasive assessment of liver fibrosis in chronic viral hepatitis. *AJR Am J Roentgenol* 2007; 188: 758–64.
- Morikawa H, Fukuda K, Kobayashi S *et al.* Real-time tissue elastography as a tool for the noninvasive assessment of liver stiffness in patients with chronic hepatitis C. *J Gastroenterol* 2011; 46: 350–8.
- Koizumi Y, Hirooka M, Kisaka Y *et al.* Liver fibrosis in patients with chronic hepatitis C: noninvasive diagnosis by means of real-time tissue elastography – establishment of the method for measurement. *Radiology* 2011; 258: 610–17.

- 21 Tatsumi C, Kudo M, Ueshima K *et al.* Non-invasive evaluation of hepatic fibrosis for type C chronic hepatitis. *Intervirology* 2010; 53: 76–81.
- 22 Tomeno W, Yoneda M, Imajo K *et al.* Evaluation of the Liver Fibrosis Index calculated by using real-time tissue elastography for the non-invasive assessment of liver fibrosis in chronic liver diseases. *Hepatol Res* 2012; 12: 12023.
- 23 Bedossa P, Poinard T. An algorithm for the grading of activity in chronic hepatitis C. The METAVIR Cooperative Study Group. *Hepatology* 1996; 24: 289–93.
- 24 Castera L, Foucher J, Bernard PH *et al.* Pitfalls of liver stiffness measurement: a 5-year prospective study of 13,369 examinations. *Hepatology* 2010; 51: 828–35.
- 25 Fraquelli M, Rigamonti C, Casazza G *et al.* Reproducibility of transient elastography in the evaluation of liver fibrosis in patients with chronic liver disease. *Gut* 2007; 56: 968–73.
- 26 Arena U, Vizzutti F, Abraldes JG *et al.* Reliability of transient elastography for the diagnosis of advanced fibrosis in chronic hepatitis C. *Gut* 2008; 57: 1288–93.
- 27 Rizzo L, Calvaruso V, Cacopardo B *et al.* Comparison of transient elastography and acoustic radiation force impulse for non-invasive staging of liver fibrosis in patients with chronic hepatitis C. *Am J Gastroenterol* 2011; 106: 2112–20.
- 28 Colombo S, Buonocore M, Del Poggio A *et al.* Head-to-head comparison of transient elastography (TE), real-time tissue elastography (RTE), and acoustic radiation force impulse (ARFI) imaging in the diagnosis of liver fibrosis. *J Gastroenterol* 2012; 47: 461–9.
- 29 Ferraioli G, Tinelli C, Dal Bello B, Zicchetti M, Filice G, Filice C. Accuracy of real-time shear wave elastography for assessing liver fibrosis in chronic hepatitis C: a pilot study. *Hepatology* 2012; 56: 2125–33.