

**RNA interference assay.** siRNA was synthesized chemically at Hokkaido System Science. The sequences of siRNA oligonucleotides were as follows: Tax, 5'-GGCCUUUUUGGACAUUUATT-3' and 5'-UAAAUGUCCAAUAAGGCCTT-3' (31); Luc, 5'-CGUACGCG-GAAUACUUCGATT-3' and 5'-UCGAAGUAUCCGCGUACGTT3'. Next, 100 pmol annealed RNA duplex was transfected using Human T cell Amaxa Nucleofector Kit according to the manufacturer's recommendations (Lonza). 100 pmol Luc siRNA was used as a negative control. Cells were incubated for 48 hours and then harvested and subjected to real-time RT-PCR analysis.

**Measurement of IFN- $\gamma$ .** IFN- $\gamma$  concentration in the culture supernatant was measured with a cytometric bead array kit (BD Biosciences) using a FACSCalibur flow cytometer (BD Biosciences) according to the manufacturer's instructions.

**IP.** Approximately 1 mg of MT-2 nuclear extracts were incubated with 5  $\mu$ g anti-Tax, anti-Sp1, or normal IgG coupled with protein G-agarose (Roche Applied Science) in IP buffer (10 mM HEPES [pH 7.9], 100 mM KCl, 1 mM EDTA, 1 mM dithiothreitol, 0.1% NP-40, 1 mM Na<sub>3</sub>VO<sub>4</sub>, 5 mM NaF, 2  $\mu$ g/ml aprotinin, 2  $\mu$ g/ml leupeptin, and 2  $\mu$ g/ml pepstatin) for 2 hours. The precipitated proteins were washed with the IP buffer, separated by 10% SDS-PAGE, and immunoblotted with anti-Tax or anti-Sp1 antibodies.

**ChIP assay.** ChIP assay was performed using a ChIP assay kit (Upstate Biotechnology) with some modifications. Briefly, 5  $\times$  10<sup>6</sup> MT-2 cells were fixed with 1% formaldehyde at 37°C for 25 minutes and washed twice with PBS. Cells were subsequently harvested and sonicated in lysis buffer. Precleared chromatin samples were immunoprecipitated with 5  $\mu$ g anti-Tax antibody, anti-Sp1 antibody, or normal IgG for 16 hours at 4°C. Immune complexes were collected with salmon sperm DNA/protein G-sepharose for 90 minutes with rotation, washed, and then incubated at 65°C for 6 hours for reverse cross-linking. Chromatin DNA was extracted and analyzed using PCR with primers for the *TBX21* promoter region (-179 to -59; forward, 5'-GCCAAGAGCGTAGAATTTGC-3'; reverse, 5'-CGCTTT-GCTGTGGCTTTATG-3') (25, 61). Amplification was performed using ExTaq (Takara Bio) with 1 cycle at 95°C for 5 minutes followed by 30 cycles of 95°C for 30 seconds, 54°C for 30 seconds, and 72°C for 30 seconds. Amplified products were analyzed using 8% polyacrylamide gel electrophoresis.

**Luciferase assay.** For transient transfection, HEK293 cells were seeded at 5  $\times$  10<sup>4</sup> cells/well into 24-well plates. After 12 hours, medium was changed to MEM supplemented with 10% FBS and 1% P/S, and each plasmid was transfected with CellPfect Transfection Kit according to the manufacturer's recommendations (GE Healthcare). 50 ng pRSV- $\beta$ gal plasmid was included in each transfection experiment to control for the efficiency of transfection. The total amount of transfected DNA was kept constant with pcDNA3 in all samples. After 48 hours, cells were lysed with Passive Lysis Buffer (Promega), and luciferase activity was measured using the Promega luciferase assay system and MicroLumat Plus LB96V (Berthold Technologies). Values were normalized to  $\beta$ -galactosidase activity as an internal control.

**Tissue staining.** Formalin-fixed thoracic spinal cord tissue sections were deparaffinized in xylene and rehydrated in a series of graded alcohols and distilled water. The antigenicity of the tissue sections was recovered using a standard microwave heating technique. For immunofluorescence, the slides were incubated in PBS with 10% goat

serum for 1 hour at room temperature, then in anti-CCR4 antibody, anti-T-bet antibody, anti-IFN- $\gamma$  antibody, and anti-CXCR3 antibody overnight at 4°C, labeled with Alexa Fluor 488- or Alexa Fluor 594-conjugated secondary antibody, and examined under a fluorescence microscope (Nikon eclipse E600 with fluorescence filter Nikon F-FL; Nikon Instech) with rabbit or goat IgG as the negative control. Tissue sections were also stained with H&E.

**Immunofluorescence staining and immunofluorescence-FISH.** Jurkat cells, MT-2 cells, and cells from the CSF of 3 HAM/TSP patients were attached to slides using a cytospin centrifuge (Thermo Fisher Scientific) and fixed in 4% paraformaldehyde (Wako Pure Chemical Industries) for 30 minutes. The slides were washed with PBS and then pretreated as follows: slides were immersed in room temperature 0.2M HCl for 20 minutes, followed by 0.2% Triton-X/PBS for 10 minutes, and finally 0.005% pepsin/0.1M HCl heated to 37°C for 5 minutes. After pretreatment, the slides were stained using the immunofluorescence Can Get Signal kit (TOYOBO) according to the manufacturer's instructions with anti-CCR4 as the primary antibody and Alexa Fluor 488-conjugated anti-goat IgG as the secondary antibody. After again being fixed with 4% paraformaldehyde, cells were incubated with a nick-translated (Spectrum Red) pUC/HTLV-1 DNA probe, first for 5 minutes at 70°C and then overnight at 37°C. Images were obtained under an automated research microscope (Leica DMRA2) and analyzed with CW4000 FISH software (Leica Microsystems).

**Proliferation assay.** PBMCs from HAM/TSP patients were plated into 96-well round-bottomed plates (1  $\times$  10<sup>5</sup> cells/well) and cultured without any mitogenic stimuli. Cell proliferation was measured using a <sup>3</sup>H-thymidine incorporation assay as described previously (19).

**Statistics.** Paired 2-tailed Student's *t* test and Wilcoxon test were used for within-group comparisons. Unpaired 2-tailed Student's *t* test or Mann-Whitney *U* test was used for between-group comparisons. 1-way ANOVA was used for multiple comparisons, followed by Dunnett or Tukey test. Friedman test was used for paired multiple comparisons, followed by Dunn test. Statistical analyses were performed using Graphpad Prism 5 (GraphPad Software Inc.). A *P* value less than 0.05 was considered significant.

**Study approval.** Written informed consent was obtained from all patients before the study, which was reviewed and approved by the Institutional Ethics Committee at St. Marianna University and conducted in compliance with the tenets of the Declaration of Helsinki.

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Original contribution

# Prognostic impact of microRNA-145 down-regulation in adult T-cell leukemia/lymphoma <sup>☆,☆☆☆☆</sup>



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**Summary** Adult T-cell leukemia/lymphoma (ATL) is a highly aggressive tumor caused by human T-cell leukemia virus type 1. MicroRNAs (miRNAs) are closely involved in the development and progression of various tumors. Here we investigated the dysregulation of miRNAs in ATL and its clinical significance. Studies using miRNA arrays and subsequent real-time reverse transcription polymerase chain reaction showed that, in the 9 ATL cell lines examined, 1 miRNA was consistently up-regulated, whereas another 3 were consistently down-regulated, compared with normal CD4-positive lymphocytes. Next, we analyzed the prognostic impact of these 4 miRNAs in patients with aggressive-type ATL (n = 40). Of the 4 dysregulated miRNAs selected, 3 (miR-130b higher expression, miR-145 lower expression, and miR-223 lower expression) were significantly associated with a worsened overall patient survival. We found that expressions of these 3 miRNAs were correlated with each other. To clarify which of the 3 had the most significant impact on overall survival, we performed a multivariate prognostic analysis that included these 3 miRNAs, and only miR-145 lower expression was selected as an independent risk factor ( $P = .0005$ ). When overexpressed in an ATL cell line in vitro, miR-145 specifically inhibited tumor cell growth. In conclusion, our study suggests that miR-145 down-regulation provides a growth advantage in ATL and is highly associated with a worsened prognosis for patients with ATL. Hence, miR-145 may be a useful prognostic marker and a potential therapeutic target for ATL.

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## 1. Introduction

MicroRNAs (miRNAs) are 18- to 25-nucleotide, single-stranded noncoding RNA molecules that play an important regulatory role by targeting mRNAs for repressing translation or mRNA cleavage [1]. They are transcribed with primary miRNAs, transported into the cytoplasm, cleaved into mature miRNAs, and then loaded onto the miRNA-induced silencing complex for RNA interference. The miRNA-induced silencing complex is guided to its mRNA target by the miRNA strand, which typically base pairs imperfectly to its target in the 3' untranslated region, signaling the target for translational repression or degradation. Global changes of miRNAs are closely involved in neoplastic processes and tumor progression, and differential expression of miRNAs has been described in various tumors [2,3].

Human T-cell leukemia virus type 1 (HTLV-1) is a retrovirus known to be an etiologic agent of adult T-cell leukemia/lymphoma (ATL) [4]. More than 20 million people are infected with HTLV-1 worldwide, with the highest prevalence in southwest Japan and the Caribbean basin. HTLV-1 infection has a long clinical latency before transforming into ATL. It has a very poor prognosis because tumor cells are usually resistant to conventional chemotherapeutic agents [5,6]. Patients with ATL are typically highly immunocompromised and have frequent severe infections. Tumor cells from most patients with ATL are positive for CD4, CD25, and FOXP3. These immunologic and phenotypic characteristics of ATL are similar to those of regulatory T cells, and it has been suggested that ATL cells may originate from regulatory T cells, which actively suppress activation of the immune system [7].

Recently, some miRNAs have been shown to be involved in ATL [8-13]. However, oncogenetic and clinical significance of miRNAs have not been well clarified. In this study, we examined ATL cell lines and clinical ATL cases for miRNA expression using miRNA arrays, quantitative reverse transcription (RT) polymerase chain reaction (PCR), and the miRNA transfection assay.

## 2. Materials and methods

### 2.1. ATL cell lines and normal CD4-positive T cells

The 9 cell lines used in this study were as follows; ATL-102, ATN-1, HUT-102, MJ, MT-1, MT-2, MT-4, TL-Om1, and TL-SU. These cell lines were cultured in RPMI 1640 media supplemented with 10% fetal bovine serum, 50 U/mL penicillin, and 50  $\mu$ g/mL streptomycin (Invitrogen, GIBCO, Carlsbad, CA), at 37°C with 5% CO<sub>2</sub>. Mononuclear cells obtained from healthy volunteers (n = 4) were sorted into CD4-positive T cells.

### 2.2. Patients with ATL

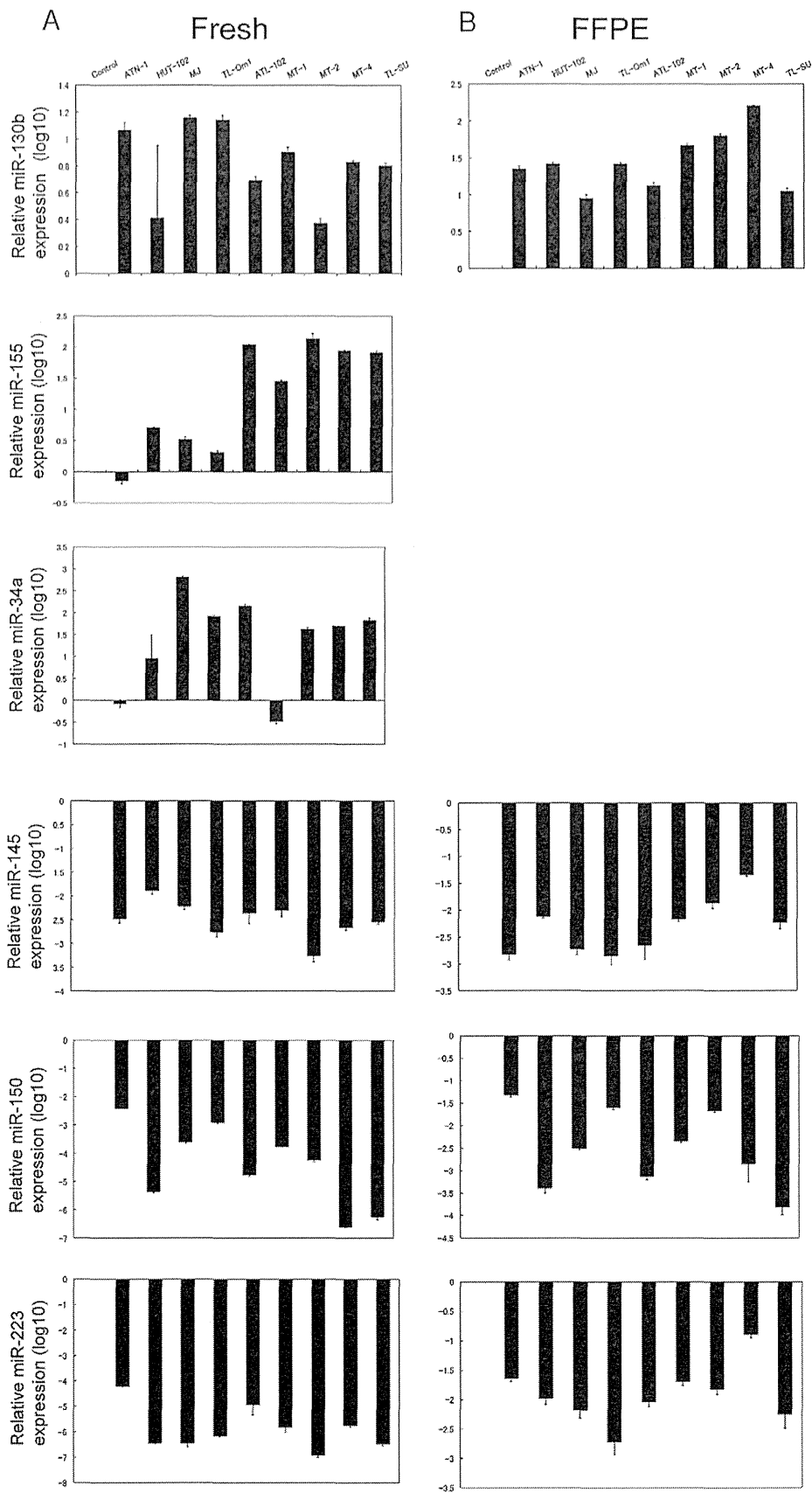
Forty specimens from patients with aggressive ATL of the acute- or lymphoma-type [5] were retrieved from the pathology files of Nagoya City University, Graduate School of Medical Sciences, and Imamura Bun-in Hospital. All specimens were obtained at the initial presentation of the patients and were fixed in formalin and embedded in paraffin. All cases were positive for monoclonal integration of HTLV-1 provirus DNA. The pathological specimens were reviewed according to criteria of the World Health Organization classification of malignant lymphomas [6]. The median age at diagnosis was 60 years (range, 42-88 years), with a male-to-female ratio of approximately 1:1. All cases were within the morphologic boundaries of ATL and exhibited the following immunophenotypes: CD20<sup>-</sup>, CD3<sup>+</sup>, CD5<sup>+</sup>, CD4<sup>+</sup>, and CD25<sup>+</sup>. Thirty-three cases were histologically classified as the pleomorphic medium-large cell type, 3 as the pleomorphic small cell type, 3 as the anaplastic type, and 1 as the Hodgkin-like type. All patients were treated with doxorubicin-containing combination chemotherapy regimens. The study was approved by the institutional review board of Nagoya City University.

### 2.3. miRNA expression array

Using a flash PAGE system (Ambion, Carlsbad, CA), miRNA was extracted from 4 ATL cell lines (ATN-1, HUT-102, MJ, and TL-Om1). For the miRNA microarray, CD4-positive T-cell samples obtained from peripheral blood of 4 healthy volunteers were evenly mixed, and miRNA was extracted and used as a control miRNA. ATL has been suggested to originate from regulatory T cells, which are less than 2% of total CD4-positive cells in peripheral blood [14] and include 2 subsets, naturally occurring and inducible types [15]. Because it was difficult to obtain sufficient numbers of regulatory T cells for the experiment and to induce regulatory T cells specifically, we used CD4-positive T cells as a control in this study. miRNA samples were labeled with Cy-5 using a Label IT miRNA Labeling kit (Mirus Bio, Madison, WI) and hybridized to the array slides (mirVana miRNA Bioarray V2; Ambion). Signals were scanned with an Axon GenePix 4000B scanner (Molecular Devices, Sunnyvale, CA), and the miRNA array data thus obtained were analyzed using a Microarray Data Analysis Tool (Filgen, Nagoya, Japan) to select miRNAs significantly up- or down-regulated in all 4 ATL lines compared with control normal CD4-positive T cells.

### 2.4. miRNA isolation and quantitative RT-PCR in ATL cell lines

Total RNA extracted from fresh ATL cell lines (n = 9) and normal CD4-positive T cells from the 4 healthy volunteers was further miRNA-enriched using a PureLink miRNA



**Fig. 1** Relative expression (log 10) of 6 miRNAs (miR-130b, miR-155, miR-34a, miR-145, miR-150, and miR-223) in 9 ATL cell lines (ATN-1, HUT102, MJ, TL-Om1, ATL-102, MT-1, MT-2, MT-4, and TL-SU). The 6 graphs (A) show levels using fresh ATL cell lines, and the 4 graphs (B) show levels using formalin-fixed, paraffin-embedded (FFPE) ATL cell lines.

Isolation kit (Invitrogen, Tokyo, Japan). Quantitative RT-PCR for the targeted mature miRNAs was performed using TaqMan MicroRNA Reverse Transcription kit (Applied Biosystems, Foster City, CA) and a TaqMan MicroRNA Assay kit (Applied Biosystems). All PCR reactions were run in triplicate, and miRNA expression relative to control RNU6B was calculated using the  $2^{-\Delta\Delta C_t}$  method. The expression of miRNAs in T cells from the 4 healthy individuals was used as a quantitative control. ATL cell lines were fixed in formalin and embedded in paraffin. miRNAs extracted from the paraffin sections were subjected to quantitative RT-PCR to confirm that the target miRNAs were correctly quantified using paraffin sections as well.

## 2.5. miRNA expression in patients with ATL

Enriched total miRNA was extracted from paraffin-embedded materials of CD4-positive T cells from healthy patients and patients with ATL. Expression of target miRNAs was similarly semiquantified using quantitative RT-PCR. Cutoff values for higher or lower expression of respective miRNAs were set to give superior segregation into prognostic groups in overall survival. For patients with ATL, selected miRNAs were correlated with various clinicopathological factors (age, sex, the presence of B symptoms, extranodal sites, bone marrow involvement, serum lactate dehydrogenase (LDH) values more than twice the normal upper limit [5], histologic lymphoma subtype, and overall survival of the patients).

## 2.6. miRNA transfection and cell viability and death

MT-4 ATL cells ( $2 \times 10^6$ ) were resuspended in 100  $\mu$ L of Nucleofector solution (Cell Line Nucleofector Kit V; Amaxa Biosystems, Cologne, Germany). Cells were electroporated with 100 and 300 nmol/L pre-miR has-miR-145 miRNA precursor, and a pre-miR negative control no. 1 using a Nucleofector (Pre-miR miRNA precursor Starter Kit; Ambion). Transfected cells were plated onto 6-well plates, and 1 mL/well antibiotic-free medium was added for incubation at 37°C with 5% CO<sub>2</sub>. After 6 hours, 1.5 mL/well RPMI 1640 media supplemented with 10% fetal bovine serum, 50 U/mL penicillin, and 50  $\mu$ g/mL streptomycin were

added. Cells were plated in 96-well plates at  $6 \times 10^3$  cells/well and cultured for 0, 24, 48, and 72 hours to test their viability using a methyl thiazolyl tetrazolium (MTT). The remaining cells were extracted for miRNA using an miRNA Isolation kit (Invitrogen) to verify electroporation quality.

## 2.7. Statistical analysis

All statistical analyses were performed using JMP (SAS, Cary, NC). The relationship between miRNA expression and various clinicopathological factors was evaluated using the Mann-Whitney *U* test or Fisher exact test. Survival curves were plotted using the Kaplan-Meier method, and the Cox proportional hazards model was applied for univariate and multivariate prognostic analysis. The in vitro data were analyzed using a paired *t* test. A probability value of  $P < .05$  was regarded as statistically significant. All tests were 2 tailed.

## 3. Results

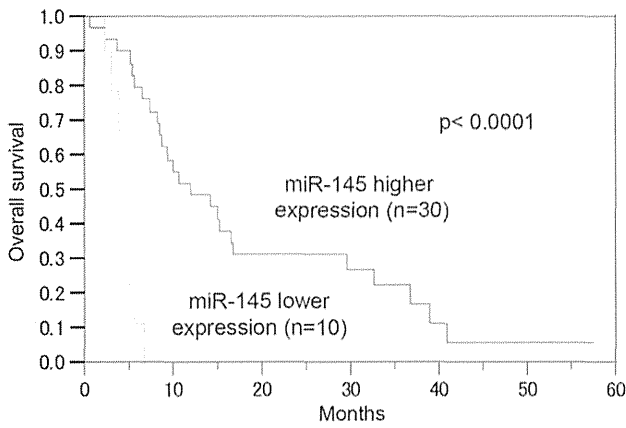
### 3.1. miRNA array analysis using ATL cell lines

Using miRNA array analysis, we profiled 4 ATL cell lines (ATN-1, HUT-102, MJ, and TL-Om1) using normal CD4-positive T cells as a control. In these 4 ATL cell lines, 3 miRNAs (miR-34a, miR-130b, and miR-155) were consistently highly up-regulated, and 3 miRNAs (miR-145, miR-150, and miR-223) were consistently highly down-regulated (Supplementary Fig. S1). To confirm the results of miRNA array data, we quantified these 6 miRNAs using quantitative real-time RT-PCR with the fresh 9 ATL cell lines (ATN-1, HUT-102, MJ, TL-Om1, ATL-102, MT-1, MT-2, MT-4, and TL-SU), including the 4 ATL cell lines used for miRNA array analysis (Fig. 1A). Of the 6 miRNAs tested, 4 (miR-130b, miR-145, miR-223, and miR-150) were consistently up- or down-regulated in the 9 ATL cell lines (Fig. 1A). Although up-regulated in the miRNA array, miR-34a and miR-155 were down-regulated in 1 and 2 of the 9 ATL cell lines, respectively (Fig. 1A), and we excluded these 2 miRNAs. The remaining 4 miRNAs were precisely quantified by quantitative RT-PCR using formalin-fixed, paraffin-embedded ATL cell lines as well (Fig. 1B).

**Table** Univariate and multivariate prognostic analyses of miRNAs for overall survival of the patients with ATL

miRNA	Expression	Overall survival					
		Univariate			Multivariate		
		<i>P</i>	Hazard ratio	95% CI	<i>P</i>	Hazard ratio	95% CI
miR130b	Higher	.0017	1.83	1.24-2.83	NS		
miR145	Lower	<.0001	3.01	1.79-5.16	.0005	2.59	1.52-4.49
miR150	Lower	NS					
miR223	Lower	.0010	0.96	1.29-3.25	NS		

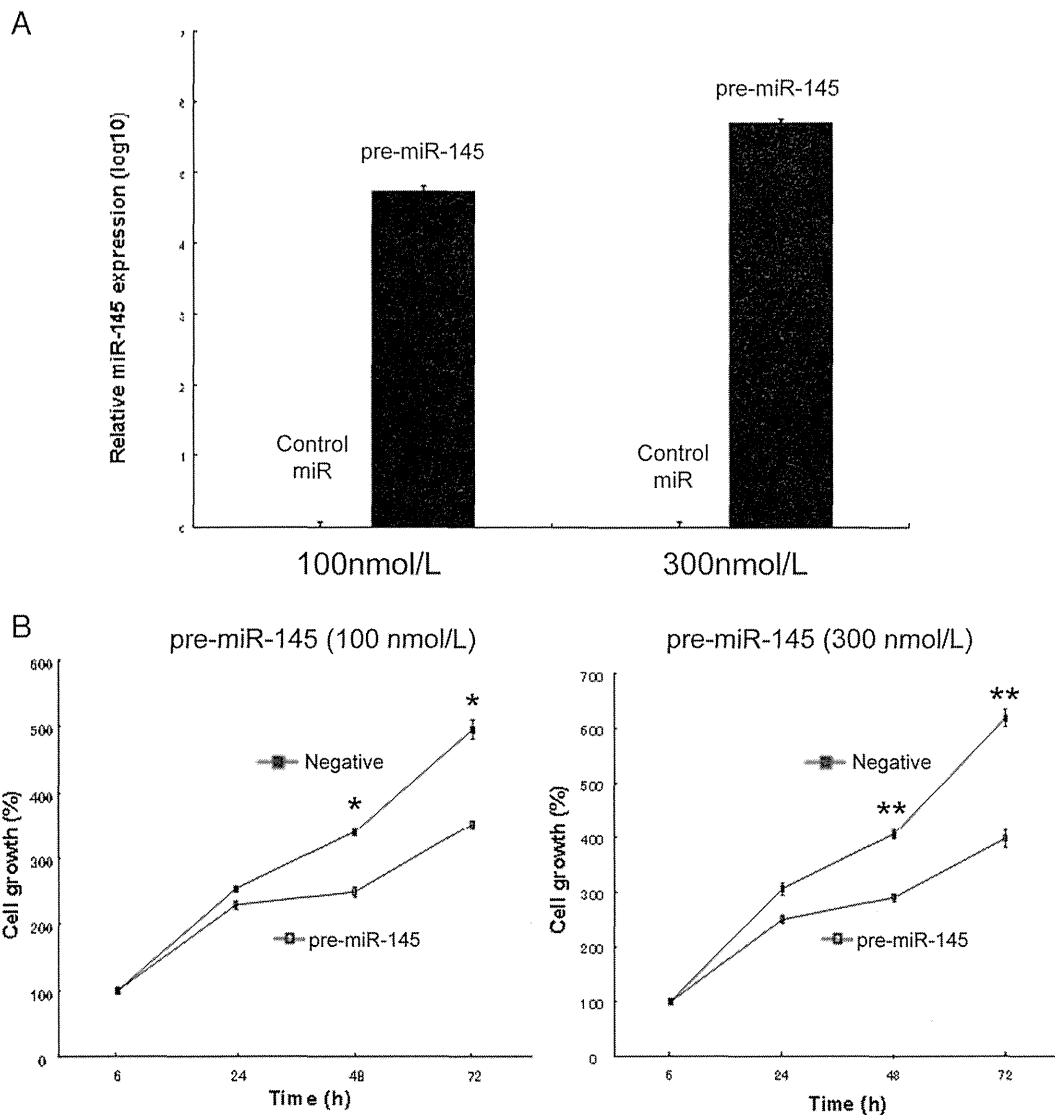
Abbreviations: CI, confidence interval; NS, not significant.



**Fig. 2** Overall survival analysis of patients with ATL for miR-145 expression.

### 3.2. Expression of miRNAs in clinical ATL samples

Using quantitative RT-PCR, 40 clinical ATL cases (paraffin-embedded tumor samples) were examined for expression levels of the selected 4 miRNAs (miR-130b, miR-145, miR-150, and miR-223). To explore miRNAs highly associated with the clinical course, the prognostic impact of the 4 miRNAs on the overall survival of patients with ATL was analyzed. As shown in the Table, using a respective cutoff value that showed superior segregation into prognostic groups, 28 cases were higher expressers for miR-130b ( $P = .0017$ ) and 10 cases each were lower expressers for miR-145 ( $P < .0001$ ) and miR-223 ( $P = .0010$ ). Expression of miR-150 failed to show any prognostic impact. The 3 miRNAs that showed a prognostic impact



**Fig. 3** miR-145 transfection assay. A, Relative expression (log 10) of miR-145 in ATL cells (MT-4) transfected with pre-miR-145 precursor or with negative control pre-miRNA precursor after a 48-hour incubation at a final concentration of 100 (left) or 300 nM (right). B, The effect of pre-miR-145 on growth of ATL cells (MT-4) as determined by an MTT assay. Cell proliferation is significantly inhibited by enforced expression of miR-145. The data represent the means  $\pm$  SEM of 4 independent experiments. \* $P < .05$  and \*\* $P < .01$ .



were found to be associated with each other (miR-130b versus miR-145,  $P = .019$ ; miR-130b versus miR-223,  $P < .0001$ ; and miR-145 versus miR-223,  $P = .043$ ). To explore which miRNA was the most associated with the patients' prognosis, we performed a multivariate analysis. Only miR-145 lower expression was selected as a prognostic factor ( $P = .0005$ ; hazard ratio, 2.59 [1.52-4.49]), whereas the other 2 miRNAs failed to achieve statistical significance (Table). Fig. 2 shows the overall survival curve of patients with ATL for miR-145 expression. miR-145 expression of patients with ATL relative to that of normal CD4-positive T cells was  $0.0495 \pm 0.0276$  and  $3.02 \pm 1.03$  (mean  $\pm$  SEM) for lower and higher expressers, respectively. When correlated with clinicopathological factors including age, sex, the presence of B symptoms, performance status, extranodal sites, bone marrow involvement, elevated LDH value, and histologic lymphoma subtype, miR-145 lower expression was not associated with any of these factors.

### 3.3. Overexpression of miR-145 and cell growth inhibition in ATL cells

Because miR-145 was consistently down-regulated in ATL cell lines and showed the highest prognostic impact on patients' survival, we focused on this miRNA in further analysis. To examine the association between miR-145 expression and the growth of ATL cells, MT-4 cells were transfected with a pre-miR-145 precursor and a pre-miR negative control, and an MTT assay was conducted. Overexpression of mature miR-145 in the transfected cells was confirmed by quantitative RT-PCR (Fig. 3A). Cell growth was significantly inhibited in cells transfected with pre-miR-145 48 hours after the transfection by approximately 40% as compared with those transfected with the pre-miR negative control (Fig. 3B). These results indicated that miR-145 specifically inhibited the cell growth of the MT-4 cell line.

## 4. Discussion

In this study, we found that 1 miRNA (miR-130b) was consistently up-regulated, and 3 (miR-145, miR-223, and miR-150) were consistently down-regulated in ATL cell lines using an miRNA array and subsequent quantitative RT-PCR. It has been shown that expression of some of these miRNAs is dysregulated in ATL cell lines: miR-130b and miR-223 have been reported to be up-regulated [8] and down-regulated [10], respectively. miR-155, which was selected in the present miRNA array but was subsequently excluded because of its inconsistent expression in the quantitative RT-PCR, has been frequently up-regulated in ATL [9,10,13]. It is difficult to explain this discrepancy. A similar observation was reported in cutaneous T-cell lymphoma, and it was speculated that cross-hybridization of pre-miR-155 to the

hybridization probe might have masked the signal of the mature miR-155 [16]. Recently, Yamagishi et al [11] and Tomita et al [12] determined the miRNA signatures and revealed miR-31 down-regulation and miR-146a up-regulation in primary ATL cells, respectively. However, these 2 miRNAs were not highly dysregulated in our miRNA array. This is partly explained by our use of the ATL tumor samples and control samples. For the ATL tumor samples and controls, we used ATL cell lines and normal CD4-positive lymphocytes while Yamagishi et al used clinical ATL cells as tumor samples [11] and Tomita et al used HTLV-1-uninfected T-cell lines as a control [12].

Of the 4 dysregulated miRNAs we determined (miR-130b, miR-145, miR-150, and miR-223), we searched for miRNAs that had a prognostic impact on clinical ATL cases. For each of the 4 miRNAs, we divided our ATL cases into 2 groups (higher and lower expression) using a respective cutoff value that showed superior segregation into prognostic groups. Univariate prognostic analysis showed that miR-130b, miR-145, and miR-223, but not miR-150, had a significant association with the overall survival of patients with ATL. The reason why miR-150 did not achieve statistical significance is difficult to discern, but may be partly explained as follows. First, some miRNAs are expressed differently in ATL cell lines compared with clinical samples, and miR-150 was reported to be differentially expressed in ATL cell lines and uncultured ATL cells [9]. Second, miR-150 may be more associated with tumor development than tumor progression.

We found that the expressions of 3 selected miRNAs (miR-130b, miR-145, and miR-223) were significantly associated with each other. Multivariate prognostic analysis including these 3 miRNAs revealed that only miR-145 lower expression achieved statistical significance. Interestingly, this miRNA was not correlated with any of the clinicopathological or risk factors examined, suggesting that miR-145 lower expression might be a useful independent prognostic factor. Although down-regulated in all ATL cell lines examined, miR-145 was not always down-regulated in patients with clinical ATL. One possible explanation may be that ATL cell line tumor cells are highly activated and more aggressive than clinical ATL cases.

We then focused on miR-145, and to confirm whether miR-145 expression was inversely associated with ATL cell proliferation, we performed an MTT assay to measure cell proliferation rates before and after enforced miR-145 expression in an ATL cell line, MT-4, and showed that overexpression of miR-145 significantly inhibited tumor cell growth. This inhibition was not complete, suggesting that some other factors or pathways may be involved in tumor cell growth. Down-regulation of miR-145 has been reported in various types of human carcinoma [17-20] and B-cell malignancy [21-23]. These studies suggest that miR-145 plays a role in controlling cell proliferation, thereby serving as a tumor suppressor. The precise upstream mechanism of miR-145 down-regulation in ATL has not been clarified.

Recently, down-regulation of miR-145 by DNA methylation and p53 mutation pathways has been suggested in prostate cancer [24]. We searched a Web site (microRNA.org-Targets and Expression; <http://microrna.org/microrna>) for specific targets of miR-145 and retrieved more than 20 targets with high matching scores. However, no association has been suggested between these candidate targets and ATL in the literature. Potential targets of miR-145 have been reported including MYC in colon cancer [25], ERG in prostate cancer [26], and connective tissue growth factor in glioblastoma [27].

In conclusion, we investigated ATL cell lines and clinical ATL cases for miRNA expression using miRNA arrays and quantitative RT-PCR, and we found that down-regulation of miR-145 was highly associated with a worsened clinical course in patients. An in vitro functional assay showed that miR-145 expression was inversely associated with tumor cell proliferation. To the best of our knowledge, the involvement of miR-145 in ATL has not been reported. Our findings shed light on the biological and clinical roles of miR-145 in ATL and provide the basis for the development of new miRNA-targeted therapeutic strategies against this tumor.

## Supplementary data

Supplementary data to this article can be found online at <http://dx.doi.org/10.1016/j.humpath.2014.01.017>.

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## CADM1 Expression and Stepwise Downregulation of CD7 Are Closely Associated with Clonal Expansion of HTLV-I-Infected Cells in Adult T-cell Leukemia/Lymphoma

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### Abstract

**Purpose:** Cell adhesion molecule 1 (CADM1), initially identified as a tumor suppressor gene, has recently been reported to be ectopically expressed in primary adult T-cell leukemia-lymphoma (ATL) cells. We incorporated CADM1 into flow-cytometric analysis to reveal oncogenic mechanisms in human T-cell lymphotropic virus type I (HTLV-I) infection by purifying cells from the intermediate stages of ATL development.

**Experimental Design:** We isolated CADM1- and CD7-expressing peripheral blood mononuclear cells of asymptomatic carriers and ATLS using multicolor flow cytometry. Fluorescence-activated cell sorted (FACS) subpopulations were subjected to clonal expansion and gene expression analysis.

**Results:** HTLV-I-infected cells were efficiently enriched in CADM1<sup>+</sup> subpopulations (D, CADM1<sup>pos</sup> CD7<sup>dim</sup> and N, CADM1<sup>pos</sup>CD7<sup>neg</sup>). Clonally expanding cells were detected exclusively in these subpopulations in asymptomatic carriers with high proviral load, suggesting that the appearance of D and N could be a surrogate marker of progression from asymptomatic carrier to early ATL. Further disease progression was accompanied by an increase in N with a reciprocal decrease in D, indicating clonal evolution from D to N. The gene expression profiles of D and N in asymptomatic carriers showed similarities to those of indolent ATLS, suggesting that these subpopulations represent premalignant cells. This is further supported by the molecular hallmarks of ATL, that is, drastic downregulation of miR-31 and upregulation of abnormal *Helios* transcripts.

**Conclusion:** The CADM1 versus CD7 plot accurately reflects disease progression in HTLV-I infection, and CADM1<sup>+</sup> cells with downregulated CD7 in asymptomatic carriers have common properties with those in indolent ATLS. *Clin Cancer Res*; 20(11); 2851–61. ©2014 AACR.

### Introduction

Human T-cell lymphotropic virus type I (HTLV-I) is a human retrovirus that causes HTLV-I-associated diseases, such as adult T-cell leukemia-lymphoma (ATL), HTLV-I-associated myelopathy/tropical spastic paraparesis, and HTLV-I uveitis (1–3). In Japan, the estimated lifetime risk of developing ATL in HTLV-I carriers is 6% to 7% for males

and 2% to 3% for females (4–6). It takes several decades for HTLV-I-infected cells to reach the final stage of multistep oncogenesis, which is clinically recognized as aggressive ATL (acute-type and lymphoma-type; ref. 7). Molecular interaction of viral genes [e.g., Tax and the HTLV-I basic leucine zipper (HBZ) gene] with the cellular machinery causes various genetic and epigenetic alterations (7–11). However, difficulties in purifying HTLV-I-infected cells *in vivo* seem to have hindered understanding of the genetic events that are directly involved in the multistep oncogenesis of ATL.

Upregulation or aberrant expression of cell surface markers, such as CCR4 and CD25, is useful for diagnosis of ATL and has been utilized for molecular-targeted therapy (12, 13). However, the expression levels of these markers vary among patients, which often make it difficult to identify ATL cells specifically based on the immunophenotype. Previously, we focused on downregulated markers in acute-type ATL cells, such as CD3 and CD7, and successfully purified ATL cells using the CD3 versus CD7 plot of CD4<sup>+</sup> cells (14). Analysis of other clinical subtypes

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**Note:** Supplementary data for this article are available at Clinical Cancer Research Online (<http://clincancerres.aacrjournals.org>).

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### Translational Relevance

In this study, we showed that the cell adhesion molecule 1 (CADM1) versus CD7 plot reflects the progression of disease in patients infected with human T-cell lymphotropic virus type I (HTLV-I), in that the proportion of CADM1<sup>+</sup> subpopulations (D, CADM1<sup>pos</sup>CD7<sup>dim</sup> and N, CADM1<sup>pos</sup>CD7<sup>neg</sup>) increased with the progression from HTLV-I asymptomatic carrier (AC) to indolent adult T-cell leukemia-lymphoma (ATL) to aggressive ATL. We confirmed the purity of the clonal HTLV-I-infected cells in these subpopulations of various clinical subtypes, including asymptomatic carriers. The results from the flow-cytometric analysis will help physicians assess disease status. The analysis is also practical in screening for putative high-risk HTLV-I asymptomatic carriers, which show nearly identical flow-cytometric and gene expression profiles with those of smoldering-type ATL patients. Furthermore, cell sorting by flow cytometry enables purification of clonally expanding cells in various stages of oncogenesis in the course of progression to aggressive ATL. Detailed molecular analysis of these cells will provide valuable information about the molecular events involved in multistep oncogenesis of ATL.

(indolent ATLs and HTLV-I asymptomatic carriers; AC) revealed that HTLV-I-infected and clonally expanded cells were purified similarly and that the subpopulations with downregulated CD7 grew concomitantly with the progression of HTLV-I infection (15). Although this type of flow-cytometric analysis was shown to be a useful tool, a substantial subpopulation of T cells shows downregulated expression of CD7 under physiologic (16, 17) and certain pathologic conditions, including autoimmune disorders, viral infection, and hematopoietic stem cell transplantation (18–23).

Recently, Sasaki and colleagues reported ectopic overexpression of the cell adhesion molecule 1/tumor suppressor in lung cancer 1 (CADM1/TSLC1) gene in primary acute-type ATL cells based on expression profile analysis (24, 25). CADM1 (TSLC1) is a cell-adhesion molecule that was originally identified as a tumor suppressor in lung cancers (25, 26). In addition, numbers of CD4<sup>+</sup> CADM1<sup>+</sup> cells have been found to be significantly correlated with the proviral load (PVL) in both ATLs and HTLV-I asymptomatic carriers (25, 27). Thus, CADM1 is a good candidate marker of HTLV-I-infected cells. In the present study, we incorporated CADM1 into our flow-cytometric analysis. In the CADM1 versus CD7 plot of CD4<sup>+</sup> cells, HTLV-I-infected and clonally expanded cells were efficiently enriched in the CADM1<sup>+</sup> subpopulations regardless of disease status. In these cells, stepwise CD7 downregulation (from dimly positive to negative) occurred with disease progression. The proportion of the three subpopulations observed in this plot [P,

CADM1<sup>negative(neg)</sup>CD7<sup>positive(pos)</sup>; D, CADM1<sup>pos</sup>CD7<sup>dim</sup>; and N, CADM1<sup>pos</sup>CD7<sup>neg</sup>] accurately reflected the disease status in HTLV-I infection. The analysis of comprehensive gene expression in each subpopulation revealed that the expression profile of CADM1<sup>+</sup> subpopulations in indolent ATLs showed similarities with that in asymptomatic carriers with high PVL; yet, it was distinct from that in aggressive ATLs. These D and N subpopulations were indicative of HTLV-I-infected cells in the intermediate stage of ATL development.

### Materials and Methods

#### Cell lines and patient samples

TL-Om1, an HTLV-I-infected cell line (28), was provided by Dr. Sugamura (Tohoku University, Sendai, Japan). The MT-2 cell line was a gift from Dr. Miyoshi (Kochi University, Kochi, Japan) and ST-1 was from Dr. Nagai (Nagasaki University, Nagasaki, Japan). Peripheral blood samples were collected from in-patients and out-patients at our hospital, as described in our previous reports (14, 15). As shown in Supplementary Table S1, 26 cases were analyzed (10 cases of asymptomatic carrier; 5 cases of smoldering-type; 6 cases of chronic-type; and 5 cases of acute-type). All patients with ATL were categorized into clinical subtypes according to Shimoyama's criteria (12, 29). Patients with various complications, such as autoimmune disorders and systemic infections, were excluded. Lymphoma-type patients were also excluded because ATL cells are not considered to exist in the peripheral blood of this clinical subtype. Samples collected from six healthy volunteers (mean age 48.8 years; range 34–66 years) were used as normal controls. The present study was approved by the Institutional Review Board of our institute (the University of Tokyo, Tokyo, Japan). Written informed consent was obtained from all patients and healthy volunteers.

#### Flow cytometry and cell sorting

Peripheral blood mononuclear cells (PBMC) were isolated from whole blood by density gradient centrifugation, as described previously (14). An unlabeled CADM1 antibody (clone 3E1) and an isotype control chicken immunoglobulin Y (IgY) antibody were purchased from MBL. These were biotinylated (primary amine biotinylation) using biotin N-hydroxysuccinimide ester (Sigma-Aldrich). Pacific Orange-conjugated anti-CD14 antibody was purchased from Caltag-Invitrogen. All other antibodies were obtained from BioLegend. Cells were stained using a combination of biotin-CADM1, allophycocyanin (APC)-CD7, APC-Cy7-CD3, Pacific Blue-CD4, and Pacific Orange-CD14. After washing, phycoerythrin-conjugated streptavidin was applied. Propidium iodide (Sigma-Aldrich) was added to the samples to stain dead cells immediately before flow cytometry. A FACSAria instrument (BD Immunocytometry Systems) was used for all multicolor flow cytometry and fluorescence-activated cell sorting (FACS). Data were analyzed using FlowJo software (TreeStar). The gating

procedure for a representative case is shown in Supplementary Fig. S1.

#### Quantification of HTLV-I proviral load by real-time quantitative PCR

PVL in FACS-sorted PBMCs was quantified by real-time quantitative PCR (TaqMan method) using the ABI Prism 7000 sequence detection system (Applied Biosystems), as described previously (14, 30).

#### Evaluation of HTLV-I HBZ gene amplification by semiquantitative PCR

HTLV-I HBZ gene amplification was performed as described previously (25). Briefly, the 25- $\mu$ L PCR mixture consisted of 20 pmol of each primer, 2.0  $\mu$ L of mixed deoxynucleotide triphosphates (2.5 mmol/L each), 2.5  $\mu$ L of 10 $\times$  PCR buffer, 1.5  $\mu$ L of MgCl<sub>2</sub> (25 mmol/L), 0.1  $\mu$ L of AmpliTaq Gold DNA Polymerase (Applied Biosystems), and 20 ng of DNA extracted from cell lines and clinical samples. The PCR consisted of initial denaturation at 94°C for 9 minutes, 30 cycles of 94°C for 30 seconds, 57°C for 30 seconds, and 72°C for 45 seconds, followed by 72°C for 5 minutes. The  $\beta$ -actin gene (*ACTB*) was used as an internal reference control. The primer sequences used were as follows: HBZ forward, 5'-CGCTGCCGATCACCAGATG-3'; HBZ reverse, 5'-GGAGGAATTGGTGGACG-3'; *ACTB* forward, 5'-CGTGCTCAGGGCTTCTT-3'; and *ACTB* reverse, 5'-TGAA-GGTCTCAAACATGATCTG-3'. Amplification with these pairs of oligonucleotides yielded 177-bp HBZ and 731-bp  $\beta$ -actin fragments.

#### FISH for quantification of HTLV-I-infected cells

FISH analysis was performed to detect HTLV-I proviral DNA in mononuclear cells that had been FACS-sorted on the basis of the CADM1 versus CD7 plot. These samples were sent to a commercial laboratory (Chromosome Science Labo Inc.), where FISH analysis was performed. Briefly, pUC/HTLV-I plasmid containing the whole-HTLV-I genome was labeled with digoxigenin by the nick translation method, and was then used as a FISH probe. Pretreatment, hybridization, and washing were performed according to standard laboratory protocols. To remove fluorochrome-labeled antibodies attached to the cell surface, pretreatment consisted of treatment with 0.005% pepsin and 0.1 N HCl. The FISH probe was detected with Cy3-labeled anti-digoxigenin antibody. Cells were counterstained with 4', 6 diamidino-2-phenylindole. The results were visualized using a DMRA2 conventional fluorescence microscope (Leica) and photographed using a Leica CW4000 cytogenetics workstation. Hybridization signals were evaluated in approximately 100 nuclei.

#### Inverse long PCR to assess the clonality of HTLV-I-infected cells

For clonality analysis, inverse long PCR was performed as described previously (14). First, 1  $\mu$ g genomic DNA extracted from the FACS-sorted cells was digested with *Pst*I

or *Eco*RI at 37°C overnight. RNase A (Qiagen) was added to remove residual RNA completely. DNA fragments were purified using a QIAEX2 Gel Extraction Kit (Qiagen). The purified DNA was self-ligated with T4 DNA ligase (Takara Bio) at 16°C overnight. After ligation of the *Eco*RI-digested samples, the ligated DNA was further digested with *Mlu*I, which cuts the pX region of the HTLV-I genome and prevents amplification of the viral genome. Inverse long PCR was performed using Tks Gflex DNA Polymerase (Takara Bio). For the *Pst*I-treated group, the forward primer was 5'-CAGCCCATTCTATAGCACTCTCCAGGAGAG-3' and the reverse primer was 5'-CAGTCTCCAAACACGTAGACTGGG-TATCCG-3'. For the *Eco*RI-treated template, the forward primer was 5'-TGCCTGACCCTGCTTGCTCAACTCTACG-TCTTTG-3' and the reverse primer was 5'-AGTCTGGGCC-TGACCTTTTCAGACTTCIGTTC-3'. Processed genomic DNA (50 ng) was used as a template. The reaction mixture was subjected to 35 cycles of denaturation (94°C, 30 seconds) and annealing plus extension (68°C, 8 minutes). Following PCR, the products were subjected to electrophoresis on 0.8% agarose gels. Fourteen patient samples were analyzed. For samples from which a sufficient amount of DNA was extracted, PCR was generally performed in duplicate.

#### Gene expression microarray analysis of each subpopulation in the CADM1 versus CD7 plot

Total RNA was extracted from each subpopulation in the CADM1 versus CD7 plot using TRIzol (Invitrogen) according to the manufacturer's protocol. Details of the clinical samples used for microarray analyses are shown in Supplementary Table S1. Treatment with DNase I (Takara Bio) was conducted to eliminate genomic DNA contamination. The quality of the extracted RNA was assessed using a BioAnalyzer 2000 system (Agilent Technologies). The RNA was then Cy3-labeled using a Low Input Quick Amp Labeling Kit (Agilent Technologies). Labeled cRNA samples were hybridized to 44K Whole Human Genome Oligonucleotide Microarrays (Agilent Technologies) at 65°C for 17 hours. After hybridization, the microarrays were washed and scanned with a Scanner C (Agilent Technologies). Signal intensities were evaluated by Feature Extraction 10.7 software and then analyzed using Gene Spring 12.0 software (Agilent Technologies). Unsupervised two-dimensional hierarchical clustering analysis (Pearson correlation) was performed on 10,278 genes selected by one-way ANOVA ( $P < 0.05$ ). The dataset for these DNA microarrays has been deposited in Gene Expression Omnibus (accession number GSE55851).

#### Expression analysis of miR-31 and Helios transcript variants of each subpopulation in the CADM1 versus CD7 plot

The expression levels of the microRNA miR-31 were quantified using a TaqMan-based MicroRNA Assay (Applied Biosystems), as described previously (31), and normalized to RNU48 expression level. Helios mRNA transcript variants were examined using reverse transcription

PCR (RT-PCR) with Platinum Taq DNA Polymerase High Fidelity (Invitrogen), as described previously (32). To detect and distinguish alternative splicing variants, PCR analyses were performed with sense and antisense primer sets specific for the first and final exons of the Helios gene. The PCR products were then sequenced to determine the exact type of transcript variant. A mixture of Hel-1, Hel-2, Hel-5, and Hel-6 cDNA fragments was used as a "Helios standard" in the electrophoresis of RT-PCR samples.

**Results**

**CADM1 expression based on the CD3 versus CD7 plot in CD4<sup>+</sup> cells in primary HTLV-I-infected blood samples**

The clinical profiles of the 32 cases analyzed are shown in Supplementary Table S1. We first examined CADM1 expression in each subpopulation (H, I, and L) of the CD3 versus CD7 plot. Representative data (for a case of smoldering ATL) are shown in Fig. 1A. The results demonstrate that

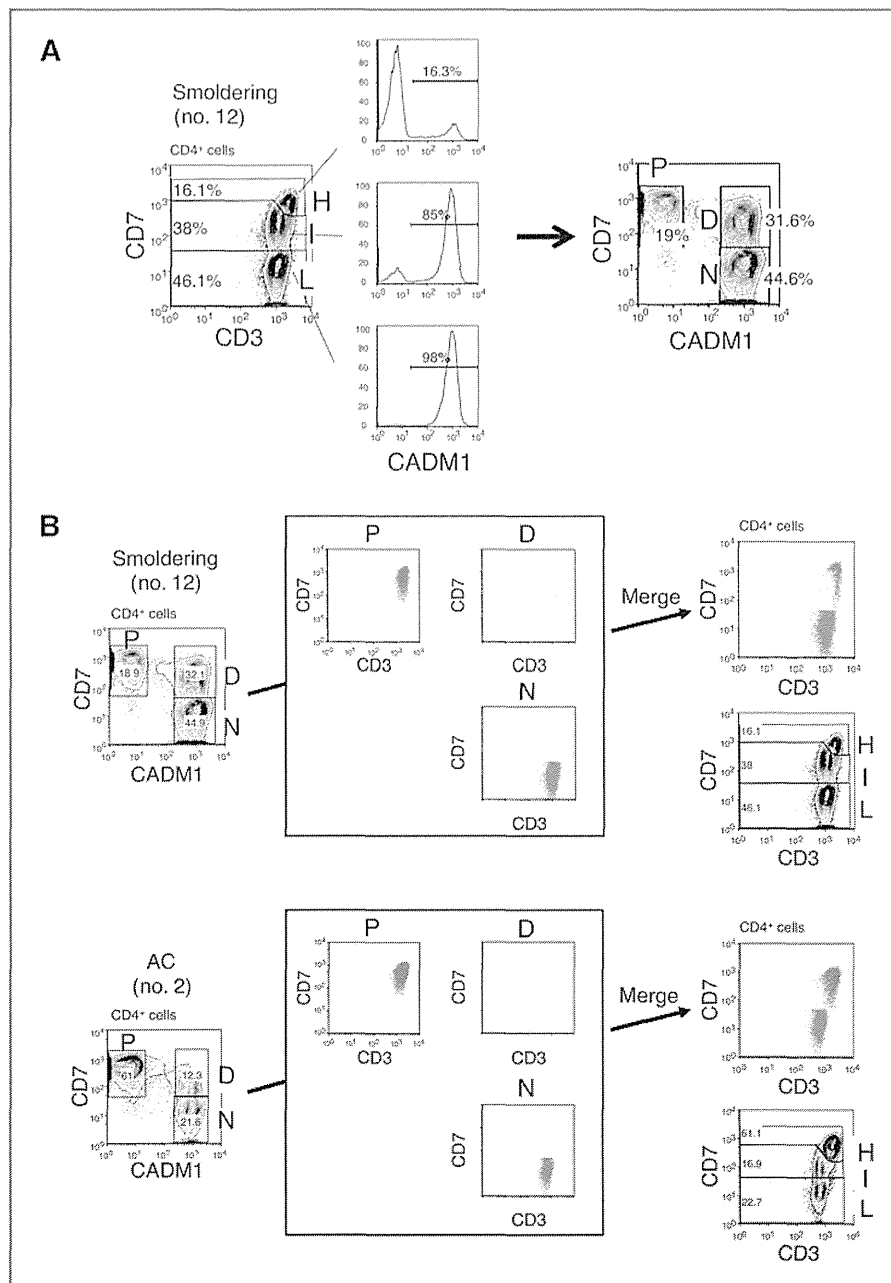


Figure 1. CADM1 versus CD7 plot for CD4<sup>+</sup> cells from HTLV-I-infected blood samples analyzed by flow cytometry. A, representative flow-cytometric analysis of a patient with smoldering-type ATL. Three subpopulations (H, I, and L) were observed in the CD3 versus CD7 plot for CD4<sup>+</sup> cells (left). Expression of CADM1 in each subpopulation is shown (middle). The right-hand panel shows how the CADM1 versus CD7 plot for CD4<sup>+</sup> cells was constructed. B, the P, D, and N subpopulations in the CADM1 versus CD7 plot correspond to the H, I, and L subpopulations in the CD3 versus CD7 plot. Blue, yellow, and red dots, respectively, indicate the P, D, and N subpopulations in the CADM1 versus CD7 plot, and are redrawn in the CD3 versus CD7 plot. In the upper case, the P and D subpopulations in the CADM1 versus CD7 plot are partly intermingled in the CD3 versus CD7 plot. Unlike the CD3 versus CD7 plot, the CADM1 versus CD7 plot clearly distinguishes three subpopulations.

CADM1 was expressed almost exclusively in the I and L subpopulations. Drawing a CADM1 versus CD7 plot for CD4<sup>+</sup> cells revealed three distinct subpopulations (P, CADM1<sup>neg</sup>CD7<sup>pos</sup>; D, CADM1<sup>pos</sup>CD7<sup>dim</sup>; and N, CADM1<sup>pos</sup>CD7<sup>neg</sup>). As shown in Fig. 1B, the P, D, and N subpopulations corresponded to the H, I, and L subpopulations in the CD3 versus CD7 plot. In the previous CD3 versus CD7 plot, the lower case (AC no. 2) showed three distinct subpopulations. However, in the upper case (smoldering no. 12), the H and I subpopulations substantially intermingled with each other and were not clearly separated. In contrast, the CADM1 versus CD7 plot clearly revealed three distinct subpopulations in both cases.

### HTLV-I-infected cells are highly enriched in CADM1<sup>+</sup> subpopulations

On the basis of previous reports (25, 27), we expected HTLV-I-infected cells to be enriched in the CADM1<sup>+</sup> subpopulations in our analysis. Figure 2A shows the PVL measurements of the three subpopulations in the CADM1 versus CD7 plot for three representative cases. HTLV-I-infected cells were highly enriched in the CADM1<sup>+</sup> subpopulations (D and N). The PVL data indicate that most of the cells in the D and N subpopulations were HTLV-I infected. Figure 2B shows the results of semiquantitative PCR of the *HBZ* gene in representative cases. In the D and N subpopulations, the *HBZ* gene was amplified to the same degree as in the HTLV-I-positive cell line. To confirm these results, FISH was performed in one asymptomatic carrier. As shown in Supplementary Fig. S2, HTLV-I-infected cells were highly enriched in the D and N subpopulations, which supports the results of the PVL analysis and semiquantitative PCR of the *HBZ* gene. In the FISH analysis, percentages of HTLV-I-infected cells in D and N did not reach 100%. This may have been due to a technical issue. Because the cells subjected to FISH analysis were sorted by FACS, several fluorochrome-conjugated

antibodies may have remained on their surfaces, even after treatment with protease.

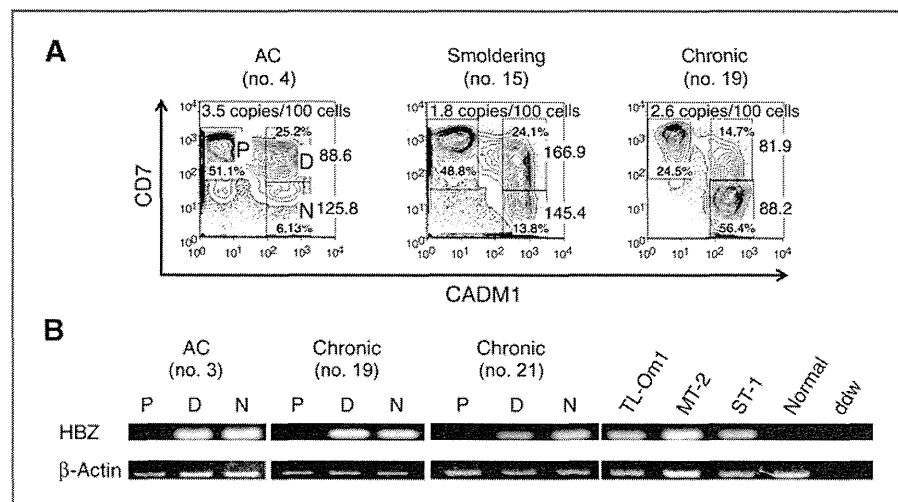
### The CADM1 versus CD7 plot accurately reflects disease progression in HTLV-I infection

Compared with the CD3 versus CD7 plot, the CADM1 versus CD7 plot was revealed to be clear in its distinction of the three subpopulations and efficient in enrichment of HTLV-I-infected cells. On the basis of these findings, we analyzed clinical samples of asymptomatic carriers and three clinical subtypes of ATL: the smoldering, chronic, and acute subtypes. Data for representative cases, presented in Fig. 3A, suggest that the continual changes in the proportions of the three subpopulations are associated with disease progression. In the CADM1 versus CD7 plot, normal control samples showed a P-dominant pattern. With progression of the disease from the asymptomatic carrier state with a low PVL to that with a high PVL, and to indolent-type ATL, the D and N subpopulations increased gradually. As the disease further progressed to acute-type ATL, the N subpopulation showed remarkable expansion. Data for all analyzed samples are presented in Fig. 3B. The results suggest that the CADM1 versus CD7 plot of peripheral blood samples represents progression of the disease in HTLV-I carriers. Data for the normal control cases analyzed are shown in Supplementary Fig. S3. In all normal controls, the percentages of the D and N subpopulations were low. Supplementary Fig. S4 shows temporal data for a patient with chronic-type ATL who progressed from stable disease to a relatively progressive state and the concomitant change in the flow cytometry profile.

### Clonality analysis of the three subpopulations in the CADM1 versus CD7 plot

To characterize the three subpopulations further, the clonal composition of each subpopulation was analyzed by inverse long PCR, which amplifies part of the provirus

Figure 2. HTLV-I-infected cells are highly enriched in the CADM1<sup>+</sup> subpopulations. A, analysis of PVL in the three subpopulations. Three representative cases are shown. PVL data (copies/100 cells) are shown in red. Percentages of each subpopulation are shown in black. B, semiquantitative PCR of the *HBZ* gene in the three subpopulations in three representative cases. Normal, DNA from PBMCs from a normal control; ddw, deionized distilled water.





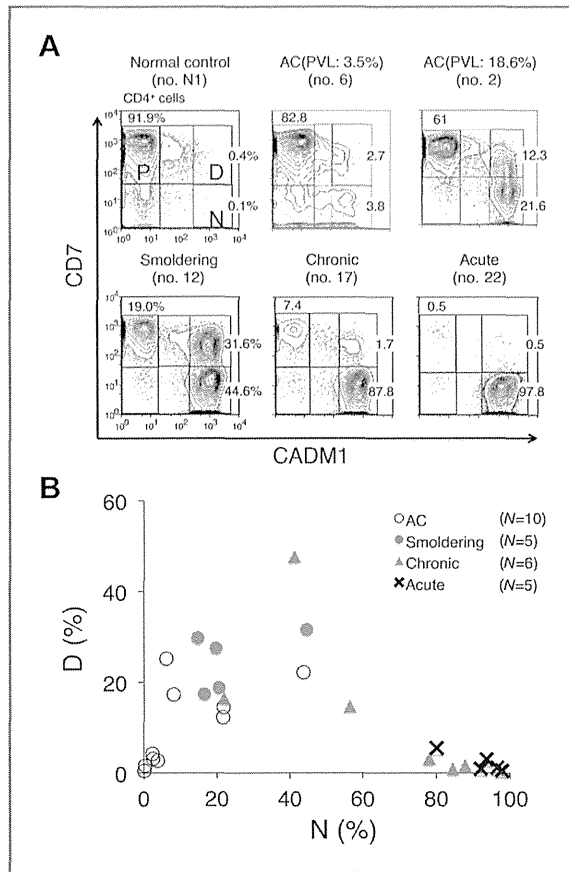


Figure 3. Proportion of each subpopulation in the CADM1 versus CD7 plots for asymptomatic HTLV-I carriers (asymptomatic carriers) and ATLs of various clinical subtypes. A, data of representative cases are shown. B, a two-dimensional plot of all analyzed samples showing the percentages of the D and N subpopulations.

long terminal repeat and the flanking genomic sequence of the integration sites. Cells in each subpopulation were sorted by FACS, and subjected to inverse long PCR analysis. Representative results for smoldering-, chronic-, and acute-type ATL samples are presented in Fig. 4A. Major clones, indicated by intense bands, were detected in the D and N subpopulations. The major clones in the D and N subpopulations in each case were considered to be the same based on the sizes of the amplified bands, suggesting that clonal evolution is accompanied by downregulation of CD7 expression. Fig. 4B shows representative results for three cases of asymptomatic carrier. In all cases, weak bands in the P subpopulation were visible, indicating that this population contains only minor clones. In these asymptomatic carriers, the proportion of abnormal lymphocytes and PVL increases from left to right. The consistent increase in the D and N subpopulations, together with growth of major clones as shown in the inverse PCR analysis, were considered to reflect these clinical data.

### Gene expression profiling of the three subpopulations in the CADM1 versus CD7 plot

To determine the molecular basis for the biologic differences among the three subpopulations in the CADM1 versus CD7 plot, we next characterized the gene-expression profiles of the subpopulations of the following clinical subgroups: asymptomatic carriers ( $n = 2$ ), smoldering-type ATLs ( $n = 2$ ), chronic-type ATL ( $n = 1$ ), acute-type ATLs ( $n = 3$ ), and normal controls ( $n = 3$ ). The two asymptomatic carriers (nos. 5 and 9) had high PVLs (11.6 and 26.2%, respectively) and relatively high proportions of D and N subpopulations (Supplementary Table S1). Unsupervised hierarchical clustering analysis of the results revealed three clusters (A, B1, and B2) or two major clusters A and B, where A is composed solely of the samples of the acute-type N subpopulation and B is subdivided into two clusters (B1 and B2; Fig. 5A). The B2 cluster is composed of the P subpopulation of all clinical subtypes and of normal controls, whereas the B1 cluster is composed of the D and N subpopulations of

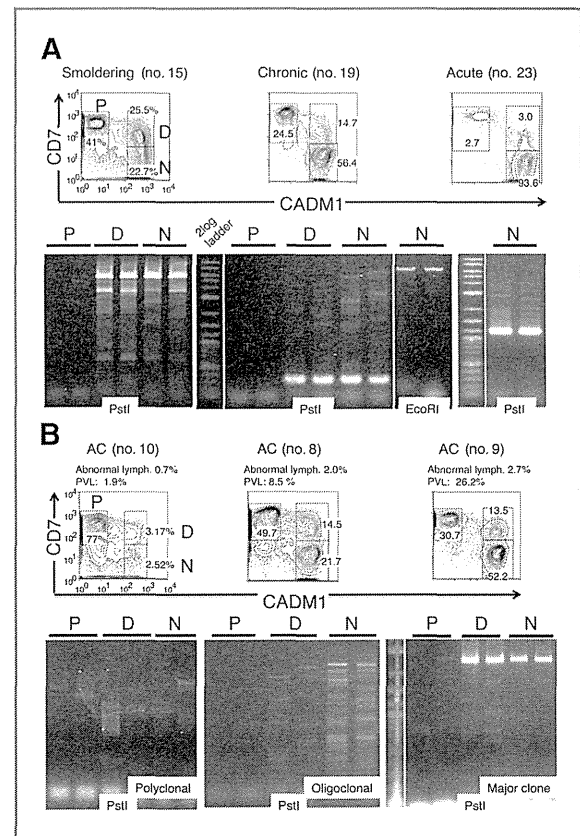


Figure 4. Clonality of subpopulations in the CADM1 versus CD7 plot analyzed by inverse long PCR. FACS-sorted cells (P, D, and N) were subjected to inverse long PCR. The black bar indicates duplicate data. Flow-cytometric profiles and clinical data are also presented. A, representative cases of smoldering-, chronic-, and acute-type ATL are shown. B, representative cases of asymptomatic carriers are shown.



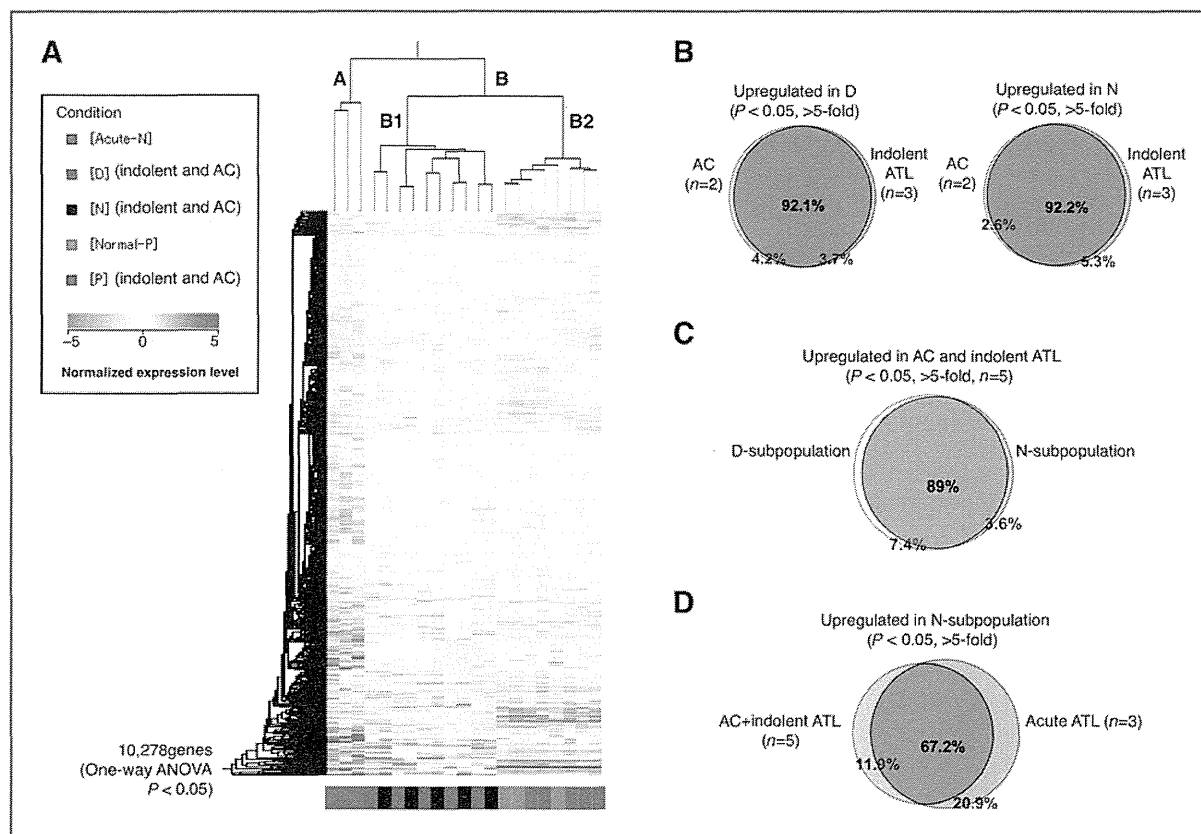


Figure 5. Comprehensive gene expression analysis of the three subpopulations in the CADM1 versus CD7 plot. A, we conducted an unsupervised hierarchical clustering analysis of 10,278 genes whose expression levels were significantly changed in the P subpopulation of normal controls ( $n = 3$ ); P, D, and N subpopulations of asymptomatic carriers and indolent ATLs ( $n = 5$ ); and N subpopulation of acute-ATLs ( $n = 3$ ; one-way ANOVA,  $P < 0.05$ ). The P and D subpopulations of acute ATLs and D and N subpopulations of normal controls could not be analyzed because of insufficient numbers of cells. Clustering resulted in three major clusters: (i) P subpopulations of normal controls (gray) and asymptomatic carriers/indolent ATLs (green); (ii) D and N subpopulations of asymptomatic carriers/indolent ATLs (blue and brown, respectively); and (iii) N subpopulations of acute ATLs (red). These results indicate that the P subpopulations of asymptomatic carriers/indolent ATLs have characteristics similar to those of normal uninfected cells, whereas the D and N subpopulations of asymptomatic carriers/indolent ATLs have genetic lesions in common. The N subpopulations of acute ATLs are grouped in an independent cluster, meaning that these malignant cell populations have a significantly different gene expression profile, even compared with the N subpopulations of indolent ATLs. B, similarity between asymptomatic carriers and indolent ATLs. The Venn diagrams show that 92.1% and 92.2% of genes upregulated in the D and N subpopulations, respectively, compared with "Normal-P" ( $P < 0.05$ ), were common to asymptomatic carriers ( $n = 2$ ) and indolent ATLs ( $n = 3$ ). C, similarity between the D and N subpopulations. The Venn diagram shows that 89% of genes upregulated in the D and N subpopulations, compared with Normal-P ( $P < 0.05$ ), overlapped. D, comparison of the N subgroups between acute-ATLs ( $n = 3$ ) and asymptomatic carriers/indolent ATLs ( $n = 5$ ). As shown in the Venn diagram, 67.2% of genes were upregulated ( $P < 0.05$ ) in the N subpopulations of both acute ATLs and asymptomatic carrier/indolent ATLs. However, a significant number of genes (20.9%) were upregulated only in the N subpopulation of acute ATLs.

asymptomatic carriers and indolent ATLs (smoldering and chronic-type).

Figure 5B shows a Venn diagram of the upregulated genes in the D subpopulation (left) or the N subpopulation (right) common to asymptomatic carriers ( $n = 2$ ) and indolent ATLs ( $n = 3$ ). These diagrams demonstrate that the changes in the gene expression profiles of the D and N subpopulations of asymptomatic carriers were similar to those of indolent ATLs. Furthermore, the gene expression profiles of the D and N subpopulations of asymptomatic carriers and indolent ATLs were similar (Fig. 5C). In contrast, the upregulated genes showed distinct differences between the N subpopulation of

acute-type ATL and that of indolent ATLs and asymptomatic carriers, although approximately 70% were common to both (Fig. 5D).

#### Expression of a tumor suppressor microRNA and splicing abnormalities of Ikaros family genes in the three subpopulations

To determine whether the novel subpopulations identified had other properties in common with ATL cells, we examined miR-31 levels and *Helios* mRNA patterns in sorted subpopulations (31, 32). Expression of miR-31 decreased drastically in the D subpopulation derived from indolent ATLs and asymptomatic carriers, and was

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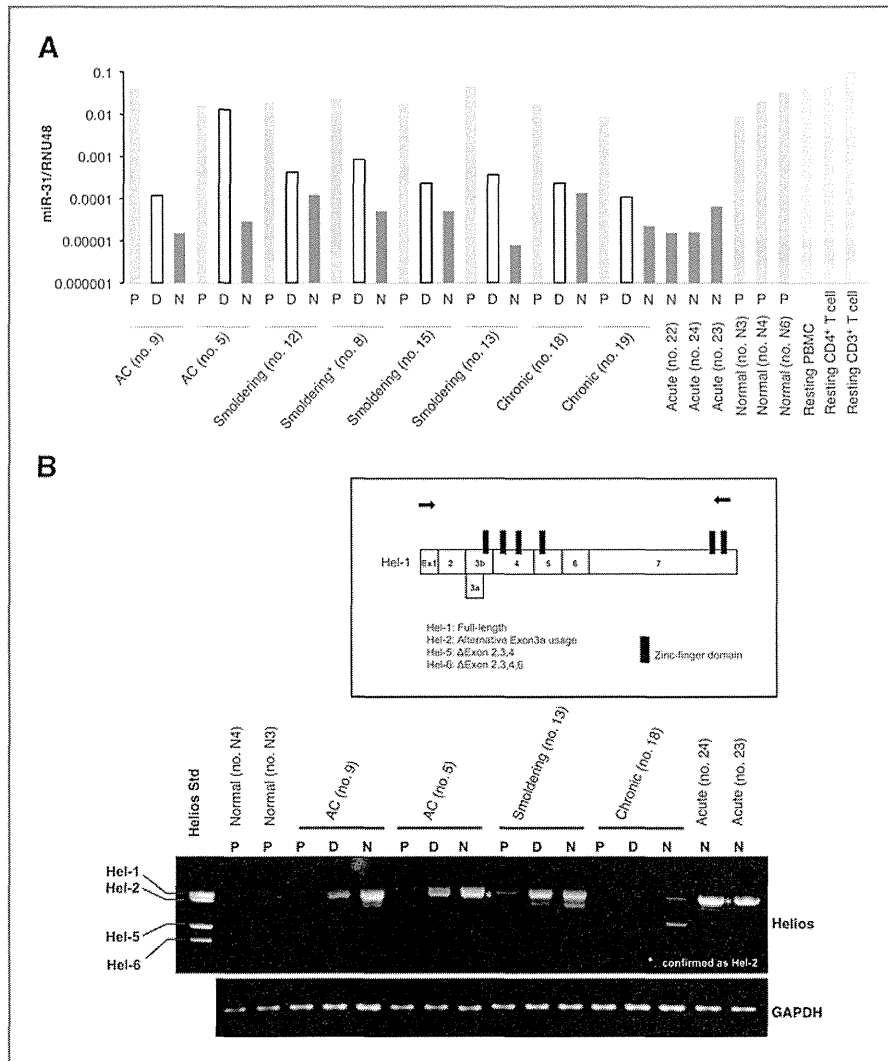
even lower in the N subpopulation derived from asymptomatic carriers and indolent/acute ATLs (Fig. 6A). In addition, examination of *Helios* mRNA transcript variants revealed that expression levels of *Hel-2*, which lacks part of exon 3, were upregulated in the D and N subpopulations of asymptomatic carriers and indolent ATLs, and it was dominantly expressed in the N subpopulation of acute ATLs (Fig. 6B).

Supplementary Fig. S5 presents a summary of this study. The representative flow-cytometric profile shows how the CADM1 versus CD7 plot reflects disease progression in HTLV-I infection. The plot together with the gene expression profiles clearly distinguished the subpopulations of distinct oncogenic stages. The groups classified according to gene expression profile are shown as blue, yellow, and red and are superimposed on the CADM1 versus CD7 plot. Collectively, our data suggest that CADM1 expression and stepwise downregulation of CD7 were closely associated

with clonal expansion of HTLV-I-infected cells in ATL progression.

### Discussion

We showed that the CADM1 versus CD7 plot is capable of discriminating clonally expanding HTLV-I-infected cells in indolent ATLs and even in asymptomatic carriers, as well as in acute-type ATLs. Our analysis demonstrated efficient enrichment of HTLV-I-infected cells in the CADM1<sup>+</sup> subpopulations (D and N in the CADM1 vs. CD7 plot), based on the results of real-time PCR (PVL analysis), semiquantitative PCR analysis of the *HBZ* gene, and FISH analysis (Fig. 2 and Supplementary Fig. S2). Furthermore, the CADM1 versus CD7 plot was shown to discriminate the three subpopulations more clearly than the CD3 versus CD7 plot (Fig. 1). Clonality analysis of ATLs and asymptomatic carriers (Fig. 4A and B) revealed that CADM1<sup>+</sup> subpopulations (D and N) contained



**Figure 6.** Gene expression pattern in the CADM1/CD7 subpopulation. **A**, miR-31 expression levels quantified by TaqMan-based real-time PCR. Total RNAs derived from each subpopulation were isolated and analyzed by RT-real-time PCR. RNU48 levels were also measured as an internal normalizer. \*Smoldering (no. 8), this patient was considered to be at the asymptomatic carrier/smoldering borderline, because the proportion of abnormal lymphocytes fluctuated around 5%. On the day of sampling, the patient's hemogram showed 6.5% abnormal lymphocytes. **B**, expression analysis of *Helios* transcript variants in the subpopulations of normal controls ( $n = 2$ ), asymptomatic carriers ( $n = 2$ ), and ATLs (smoldering-type ATL,  $n = 1$ ; chronic-type ATL,  $n = 1$ ; acute-type ATL,  $n = 2$ ). Comparisons of transcript variants among the P, D, and N subpopulations were performed by RT-PCR using primer sets specific for full-length *Helios* cDNA (top). The primer locations for *Helios* PCR are indicated by arrows in the schematic representation of *Hel-1*. The amplified cDNA (asterisk) was confirmed to be the *Hel-2* variant. The *Helios* standard (left lane), a mixture of cDNA fragments of *Hel-1*, *Hel-2*, *Hel-5*, and *Hel-6*, was used as a size indicator for each transcript variant. The glyceraldehyde-3-phosphate dehydrogenase (*gapdh*) mRNA was analyzed as an internal control (bottom).

clonally expanded HTLV-I-infected cells, whereas cells in the P subpopulation (CADM1<sup>-</sup>) did not show clonal expansion in this analysis. Current molecular analyses of ATL cells have been limited to HTLV-I-infected cell lines and primary cells from acute/lymphoma type ATL, because in these cases, the predominant expanding clones are readily available with relatively high purity. However, the separation of clonally expanding ATL cells from indolent ATLs and asymptomatic carriers has not yet been achieved. The CADM1 versus CD7 plot from FACS allows efficient purification of such clones *in vitro*.

In an unsupervised clustering analysis of the gene expression data, the D and N subpopulations of asymptomatic carriers/indolent ATLs were grouped together, suggesting that the biologic characteristics of these subpopulations are similar (Fig. 5A and B) but distinct from the N subpopulation of acute-type ATLs (Fig. 5D). These results support the notion that in indolent ATLs and even in asymptomatic carriers, the D and N subpopulations are clonally expanding cells representing the intermediate oncogenic stage. Although the D and N subpopulations have similar gene expression profiles (Fig. 5C), there are potentially important differences distinguishing these subpopulations, according to the apparent decrease in the D subpopulation and increase in the N subpopulation that were observed as the disease progressed from indolent to acute-type ATL (Fig. 3). Detailed analysis of the genomic and epigenomic differences between these two subpopulations will provide us with information about the genomic and epigenomic lesions that are involved in disease progression. Another important finding is that the expression profiles of cells in the N subpopulation of indolent and acute-type ATLs showed significant differences, even though the majority of the genes were common to both groups (Fig. 5D). Characterization of the genes that show distinct expression patterns will reveal the molecular events that contribute to the progression from indolent to aggressive ATLs.

To address whether the emerging molecular hallmark of ATL was conserved in the novel subpopulations identified, we examined the miR-31 level and *Helios* mRNA pattern in sorted subpopulations (Fig. 6). Through integrative analyses of ATL cells, we recently showed that the expression of miR-31, which negatively regulates noncanonical NF- $\kappa$ B signaling by targeting NIK, is genetically and epigenetically suppressed in ATL cells, leading to persistent NF- $\kappa$ B activation, and is thus inversely correlated with the malignancy of the cells (31). The miR-31 levels in the P subpopulations in asymptomatic carriers and indolent ATLs were as high as those in normal P subpopulations, PBMCs, and resting T cells, whereas those in the D subpopulations decreased significantly and those in the N subpopulations were as low as in acute-type N subpopulations (Fig. 6A). Previously, we also identified ATL-specific aberrant splicing of *Helios* mRNA and demonstrated its functional involvement in ATL (32). As shown in Fig. 6B, the *Hel-2* type variant, which lacks part of exon 3 and thus lacks one of the four DNA-binding zinc-finger domains, accumulated in the D and N subpopulations of asymptomatic carriers and indolent ATLs, and

was dominantly expressed in the N subpopulation of acute-type ATLs. Collectively, the molecular abnormality of ATL cells became evident in the gradual progression from P to D to N, even in asymptomatic carriers, strongly supporting the notion that the CADM1/CD7 expression pattern correlates with the multistep oncogenesis of ATL.

One of the more remarkable findings in the expression profile analysis was that the D and N subpopulations of asymptomatic carriers clustered within the same group as those of the indolent ATL cases (Fig. 5A and B). The asymptomatic carriers used in this analysis had high PVLs and relatively high proportions of the D and N subpopulations (Supplementary Table S1). In addition, mono- or oligoclonal expansion of the HTLV-I-infected cells was demonstrated in these cases. HTLV-I-infected cells in the D and N subpopulations of these asymptomatic carriers comprise clonally expanding cells that are potentially at the premalignant and intermediate stages according to their clonality, comprehensive gene expression profile, miR31 expression, and aberrant RNA splicing, all indicating that they can be categorized as asymptomatic carriers with high risk of developing into ATL, requiring careful follow-up (15, 30, 33, 34). Our flow-cytometric analysis of PBMCs from asymptomatic carriers using the CADM1 versus CD7 plot may provide a powerful tool for identifying high-risk asymptomatic carriers. Certain indolent ATL cases are difficult to distinguish from asymptomatic carriers, according to Shimoyama's criteria based on the morphologic characteristics determined by microscopic examination. Characterization of peripheral blood T cells by the CADM1 versus CD7 plot may provide useful information for clinical diagnosis.

According to Masuda and colleagues, manipulation of *CADM1* gene expression in leukemic cell lines suggested that *CADM1* expression confers upon ATL cells tissue invasiveness and a growth advantage (35). The mechanism by which HTLV-I infection regulates *CADM1* expression and the significance of *CADM1* expression in ATL oncogenesis will require clarification by future studies.

Finally, as summarized in Supplementary Fig. S5, we demonstrated that (1) HTLV-I-infected and clonally expanded cells are efficiently enriched in *CADM1*<sup>+</sup> subpopulations; (2) the proportions of the three subpopulations in the CADM1 versus CD7 plot, discriminated by *CADM1* expression and stepwise downregulation of CD7, accurately reflect the disease stage in HTLV-I infection; and (3) the *CADM1*<sup>+</sup>CD7<sup>dim/neg</sup> subpopulations are at the intermediate stage of ATL progression and can be identified even in asymptomatic carriers. These findings will help to elucidate the molecular events involved in multistep oncogenesis of ATL.

#### Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

#### Authors' Contributions

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**Analysis and interpretation of data (e.g., statistical analysis, biostatistics, computational analysis):** S. Kobayashi, K. Nakano, T. Ishigaki, N. Oyaizu, M. Yamagishi, T. Watanabe

**Writing, review, and/or revision of the manuscript:** S. Kobayashi, K. Nakano, A. Tojo, T. Watanabe, K. Uchimaru

**Administrative, technical, or material support (i.e., reporting or organizing data, constructing databases):** T. Ishigaki, N. Ohno, N. Watanabe  
**Study supervision:** A. Tojo, T. Watanabe, K. Uchimaru

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