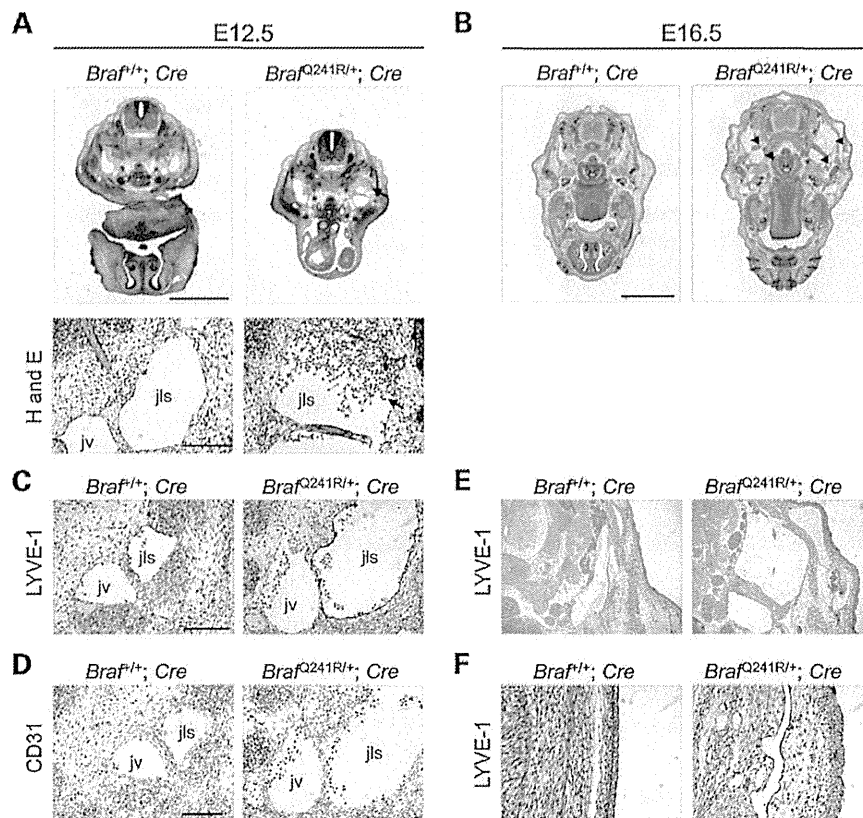


**Figure 4.** Increased cell proliferation and altered multiple signaling pathways in *Braf<sup>Q241R/+</sup>; Cre* embryo hearts. (A–D) Immunostaining for pHH3 in the myocardium (A), interventricular septum (B and C) and pulmonary valves (D) of *Braf<sup>+/+</sup>; Cre* and *Braf<sup>Q241R/+</sup>; Cre* embryos at E13.5 (A and B) and E16.5 (C and D). The arrows indicate representative positive cells. *Braf<sup>Q241R/+</sup>; Cre* embryos with or without VSD are shown in closed circles or open circles, respectively. Scale bars 50  $\mu$ m (A–C). Data are means  $\pm$  SD (A and B) *Braf<sup>+/+</sup>; Cre* ( $n = 5$ ) and *Braf<sup>Q241R/+</sup>; Cre* ( $n = 5$ ). (C and D) *Braf<sup>+/+</sup>; Cre* ( $n = 3$ ) and *Braf<sup>Q241R/+</sup>; Cre* ( $n = 6$ ). \* $P < 0.05$  versus *Braf<sup>+/+</sup>; Cre*. (E) Protein extracts (400  $\mu$ g) of the hearts from *Braf<sup>+/+</sup>; Cre* and *Braf<sup>Q241R/+</sup>; Cre* embryos at E16.5 were subjected to Phospho-Kinase Antibody Array. Results are representative of gene spots that showed significant changes in 45 phosphorylated proteins. (F) Western blotting of the hearts from *Braf<sup>+/+</sup>; Cre* and *Braf<sup>Q241R/+</sup>; Cre* embryos at E16.5 (pooled samples; *Braf<sup>+/+</sup>; Cre* ( $n = 5$ ), *Braf<sup>Q241R/+</sup>; Cre* ( $n = 5$ )).  $\beta$ -Actin is shown as a loading control. The arrowheads indicate the bands corresponding to each protein. (G) Cardiac mRNA levels were determined by quantitative reverse transcription–PCR. mRNA levels were normalized by those of *Gapdh*, and those in *Braf<sup>+/+</sup>; Cre* at E18.5 are set at 1. Data are the means  $\pm$  SD (*Braf<sup>+/+</sup>; Cre* ( $n = 10$ ) and *Braf<sup>Q241R/+</sup>; Cre* ( $n = 6$ )). \*\*\* $P < 0.001$ , \*\*\*\* $P < 0.0001$  versus *Braf<sup>+/+</sup>; Cre*. *Etv1*, *Etv4*, *Etv5*, *Myh6* and *Myh7* encode ER81, Pea3, ERM,  $\alpha$ -MHC and  $\beta$ -MHC, respectively.

prenatal treatment of PD0325901 (1.0 mg/kg) (7 of 37,  $P = 0.32$ ,  $\chi^2$  test for deviation from the Mendelian ratios). PD0325901-treated *Braf<sup>Q241R/+</sup>; Cre* embryos appeared normal without edema and mandibular hypoplasia (0 of 31 at E16.5 to P0), whereas other genotype mice, excluding

*Braf<sup>Q241R/+</sup>; Cre* treated with PD0325901, showed teratogenic effects, including open eyes (Supplementary Material, Fig. S9), edema, enlarged semilunar valves and atrioventricular valves (data not shown). Other compounds had no effect on the recovery of embryonic lethality in *Braf<sup>Q241R/+</sup>; Cre* embryos.



**Figure 5.** Abnormal lymphatic development in *Braf*<sup>Q241R/+</sup>; *Cre* embryos. (A and B) Transverse sections of *Braf*<sup>+/+</sup>; *Cre* and *Braf*<sup>Q241R/+</sup>; *Cre* embryos at E12.5 (A) and E16.5 (B) stained with H&E. Lower panels show high-magnification views of jugular lymph sac (A). The arrows (A) and arrowheads (B) indicate blood cells in jugular lymph sacs and the regions which are similar to the jugular lymph sacs or jugular veins of embryos at E12.5, respectively. Scale bars 1 mm (in upper panels, A), 100  $\mu$ m (in lower panels, A) and 2 mm (B). (C–F) Sections of *Braf*<sup>+/+</sup>; *Cre* and *Braf*<sup>Q241R/+</sup>; *Cre* embryos at E12.5 (C and D) and E16.5 (E and F) stained with antibodies against lymphatic endothelial markers, LYVE-1 (C, E and F) or CD31 (D). (F) Subcutaneous lymphatic vessels. jls, jugular lymph sac; jv, jugular vein.

Thus, PD0325901 treatment prevented embryonic lethality in *Braf*<sup>Q241R/+</sup>; *Cre* embryos and could ameliorate edema and mandibular hypoplasia.

Epigenetic regulation of gene expression, such as histone acetylation and histone methylation, plays a crucial role in the transcriptional regulation of cell differentiation, development, the inflammatory response and cancer (25). Recently, a histone deacetylase inhibitor, SAHA [vorinostat (Zolinza)], has been used in the treatment of lymphomas and solid tumors. Recent studies have suggested the association of UTX and JMJD3, a histone H3 lysine 27 (H3K27) demethylase, with heart development (26–28). We therefore tested whether treatment using these compounds leads to the rescue of embryonic lethality (Table 2). SAHA treatment had no effect (data not shown); however, one embryo survived for 3 weeks with prenatal treatment of GSK-J4 (inhibitors of histone H3K27 demethylase UTX and JMJD3; 5.0 mg/kg) (25) or NCDM-32b (inhibitor of histone H3K9 demethylase JMJD2C; 5.0 mg/kg) (29). Moreover, co-treatment with GSK-J4 (5.0 mg/kg) and PD0325901 (0.5 mg/kg) further increased the number of *Braf*<sup>Q241R/+</sup>; *Cre* mice alive at weaning (5 of 31,  $P = 0.14$ ). The teratogenic effects, which were frequently observed in PD0325901 treatment, were not observed in the co-treatment with GSK-J4 and PD0325901.

We further investigated whether co-treatment with PD0325901 and GSK-J4 prevented heart defects in *Braf*<sup>Q241R/+</sup>; *Cre* embryos. Co-treatment with PD0325901 and GSK-J4, but not PD0325901 treatment (1.0 mg/kg) alone, ameliorated enlarged pulmonary, tricuspid and mitral valves in *Braf*<sup>Q241R/+</sup>; *Cre* embryos (Fig. 6A and B). However, no difference in the frequency of heart defects, including VSD, hypertrabeculation, epicardial blisters and non-compaction, was observed. It is noteworthy that treatment with PD0325901 or GSK-J4 alone or the co-treatment reversed the decrease of phosphorylated p38 protein levels (Fig. 6C; Supplementary Material, Fig. S10). These results suggest that combination treatment with PD0325901 and GSK-J4 prevents embryonic lethality, enlarged cardiac valves and decreased phosphorylated p38 in *Braf*<sup>Q241R/+</sup>; *Cre* embryos.

## DISCUSSION

In this study, we generated heterozygous *Braf* Q241R-expressing mice, which exhibited embryonic and postnatal lethality due to liver necrosis, skeletal abnormalities, lymphatic defects and various cardiac defects, including cardiomegaly, non-compaction, enlarged cardiac valves and hypertrabeculation.

**Table 2.** Rescue of embryonic lethality in *Braf*<sup>Q241R/+</sup>; *Cre* embryos by MEK inhibitor, histone demethylase inhibitor or these combined treatment

Compound	Dose (mg/kg body weight)	Genotype (3 weeks)		<i>Braf</i> <sup>Q241R Neo/+</sup>	<i>Braf</i> <sup>Q241R/+</sup> ; <i>Cre</i>	<i>n</i> <sup>a</sup>	<i>n</i> <sup>b</sup>	<i>n</i> <sup>c</sup>	<i>P</i>
		<i>Braf</i> <sup>+/+</sup>	<i>Braf</i> <sup>+/+</sup> ; <i>Cre</i>						
DMSO (vehicle)	–	14	8	8	0	30	6	5.0	<0.01
PD0325901	0.5	7	14	7	2	30	13	2.3	0.02
	1.0	11	13	6	7	37	14	2.6	0.32
MAZ51	1.0	9	8	11	0	28	6	4.7	0.02
	2.0	10	14	7	0	31	6	5.2	<0.01
	5.0	10	7	11	0	28	11	2.5	0.01
Sorafenib	5.0	12	15	8	0	35	13	2.7	<0.01
Lovastatin	5.0	8	19	17	0	44	10	4.4	<0.01
Everolimus	0.1	6	6	9	0	21	9	2.3	0.04
NCDM-32b	2.0	12	4	9	0	25	9	2.8	<0.01
	5.0	10	10	14	1	35	11	3.2	0.02
	10.0	11	10	19	0	40	9	4.4	<0.01
GSK-J4	5.0	8	18	14	1	41	11	3.7	<0.01
	10.0	16	26	20	0	62	23	2.7	<0.01
PD0325901 + GSK-J4	0.5 + 5.0	8	13	5	5	31	10	3.1	0.14

Male *Braf*<sup>Q241R Neo/+</sup> mice were crossed with female *Braf*<sup>+/+</sup>; *Cre* mice, and pregnant mice were intraperitoneally injected with vehicle or various compounds shown daily from E10.5 to E18.5. Deviation from the expected Mendelian ratios was assessed by  $\chi^2$  test. *n*<sup>a</sup>, the total number of acquired pups. *n*<sup>b</sup>, the total number of treated female *Braf*<sup>+/+</sup>; *Cre* mice. *n*<sup>c</sup>, the average number of survived pups at weaning (*n*<sup>a</sup>/*n*<sup>b</sup>).

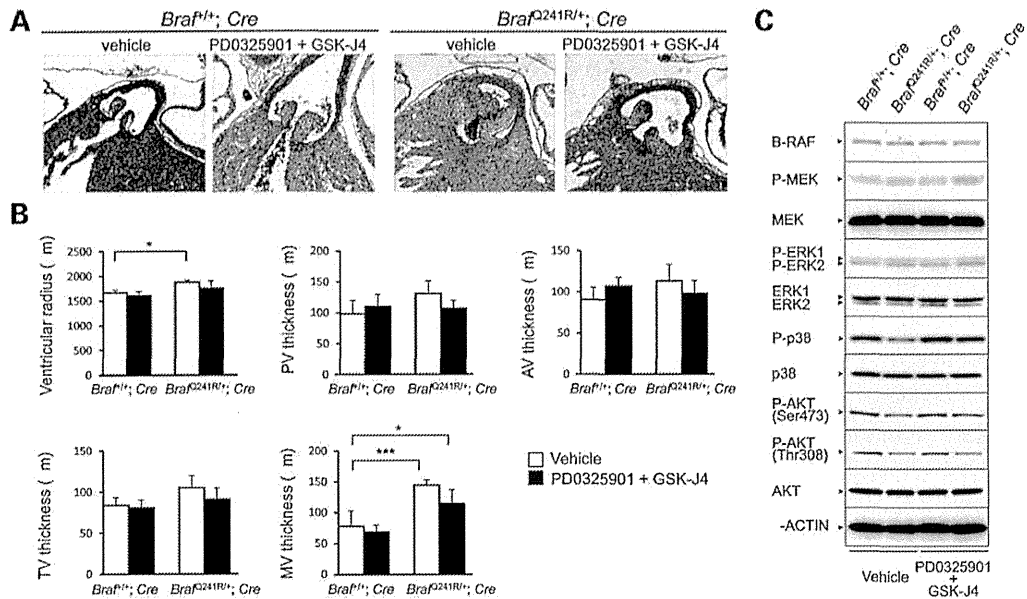
Increased expression of Ets transcription factors and decreased expression of cardiac phosphorylated p38 in embryonic heart tissues were observed. PD0325901 treatment, in part, rescued embryonic and postnatal lethality in *Braf*<sup>Q241R/+</sup>; *Cre* mice. One pup in *Braf*<sup>Q241R/+</sup>; *Cre* also survived until P21 with treatment of GSK-J4 or NCDM-32b. PD0325901 treatment, but not GSK-J4 and NCDM-32b treatment, ameliorated edema and mandibular hypoplasia. Moreover, PD0325901 co-treatment with GSK-J4 further rescued embryonic lethality with recovered hypertrophy of pulmonary, tricuspid and mitral valves and the decreased expression of phosphorylated p38. Taken together, mice expressing a development-specific *Braf* Q241R mutation will be useful to further clarify the pathogenesis of CFC syndrome and to develop therapeutic approaches.

Patients with RASopathies are characterized by generalized abnormalities of lymphatic development. Fetuses with RASopathies have been shown to be characterized by hydrops, pleural effusions, increased nuchal translucency due to distended JLS and cystic hygroma in utero (30–32). Children and adults with RASopathies show generalized lymphedema, peripheral lymphoedema or pulmonary lymphangiectasia (33). Our new model, *Braf*<sup>Q241R/+</sup>; *Cre* mice, showed embryonic and postnatal lethality and exhibited multiple developmental defects in the lymphatic system, including hydrops, distended JLS and subcutaneous lymphatic vessels. In contrast, mice of other knockin mouse models for RASopathies survived to adulthood and have not shown the defects in lymphatic system (34–36). Thus, for the first time our new model *Braf*<sup>Q241R/+</sup>; *Cre* mice demonstrated the developmental lymphatic defects, which are the common features observed in RASopathies, in knockin mouse models for RASopathies.

Dysregulation of the RAS–MAPK pathway is a common underlying mechanism of RASopathies. However, a variety of compounds, including the RAS–MAPK pathway and other signaling pathways, has been effective for ameliorating the defects in previous knockin mouse models of RASopathies. MEK inhibitors have been found to ameliorate the cardiac defects and skeletal features in mice expressing *SOS1* and *RAF1* mutations

(24,35). Angiotensin II inhibitor ameliorates the phenotypes of hypertension, vascular remodeling and fibrosis of the kidney and heart in mice expressing *HRAS* G12V mutation (36), and mTOR inhibitor ameliorates hypertrophic cardiomyopathy in a mouse model of LEOPARD syndrome, expressing a catalytically inactive mutation in *SHP2* (34). We examined a variety of compounds, including anti-cancer agents, MEK inhibitor, mTOR inhibitor, VEGFR3 inhibitor, BRAF inhibitor and farnesyl transferase inhibitor using our *Braf*<sup>Q241R/+</sup>; *Cre* mice. Treatment with MEK inhibitor, but not mTOR inhibitor, in *Braf*<sup>Q241R/+</sup>; *Cre* mice ameliorated embryonic lethality and skeletal abnormalities, suggesting that the pathogenesis of the disease is similar to those in *SOS1* and *RAF1* mutations. Thus, our new *Braf*<sup>Q241R/+</sup>; *Cre* mice will be useful to screen various compounds for therapeutic approaches to RASopathies.

The exact mechanisms by which the single treatment of histone demethylase inhibitor or co-treatment of MEK inhibitor and histone demethylase inhibitor were effective for *Braf*<sup>Q241R/+</sup>; *Cre* mice have not yet been characterized. Lysine modification of histone 3, acetylation and methylation, is associated with gene activation or silencing (37). In gene expression, inactive genes show methylation at lysine 27, and permanently silenced genes frequently are characterized by methylation at lysine 9 (37). Histone H3K27 methylase, *Ezh2*, conditional knockout mice in cardiomyocytes have been reported to show abnormal heart development, such as noncompaction and excessive trabeculation (38). Meanwhile, deletion of histone H3K27 demethylase, UTX, has been identified in individuals with Kabuki syndrome, who showed distinctive facial appearance and congenital heart disease (39). H3K9 methyltransferases, G9a and GLP, have been shown to be essential for cardiac morphogenesis (40). It is of note that the balance between methylation and demethylation of H3 is required for normal cardiac differentiation. *De novo* mutations in *SMAD2*, a transcription factor which regulates H3K27 methylation in embryonic left–right organizer, have been identified in children with congenital heart disease (28). *SMAD2*, which is regulated by ERK (41), has been found to bind to H3K27 demethylase JMJD3, and regulate H3K27 methylation



**Figure 6.** Influence of co-treatment with PD0325901 and GSK-J4 on the cardiac phenotype and signaling of *Braf<sup>Q241R/+</sup>; Cre* embryos. (A and B) Sequential sections of embryonic hearts from *Braf<sup>+/+</sup>; Cre* and *Braf<sup>Q241R/+</sup>; Cre* at E16.5 stained H&E. (A) Histological sections of pulmonary valves. (B) The ventricular radius and the thicknesses of the cardiac valve leaflets were measured at their largest diameter in serial sections. Data are means  $\pm$  SD (vehicle; *Braf<sup>+/+</sup>; Cre* ( $n = 5$ ), *Braf<sup>Q241R/+</sup>; Cre* ( $n = 5$ ), PD0325901 + GSK-J4; *Braf<sup>+/+</sup>; Cre* ( $n = 7$ ), *Braf<sup>Q241R/+</sup>; Cre* ( $n = 11$ )). \* $P < 0.05$ , \*\*\* $P < 0.001$  (Tukey–Kramer test). NS, not significant. (C) Western blotting of the hearts from *Braf<sup>+/+</sup>; Cre* and *Braf<sup>Q241R/+</sup>; Cre* embryos at E16.5 (vehicle-treated pooled samples; *Braf<sup>+/+</sup>; Cre* ( $n = 8$ ), *Braf<sup>Q241R/+</sup>; Cre* ( $n = 8$ ), PD0325901 + GSK-J4-treated pooled samples; *Braf<sup>+/+</sup>; Cre* ( $n = 8$ ), *Braf<sup>Q241R/+</sup>; Cre* ( $n = 7$ )).  $\beta$ -Actin is shown as a loading control. The arrowheads indicate the bands corresponding to each protein.

(28), suggesting that the histone demethylase JMJD3 is associated with heart development in humans by indirect regulation of ERK. In addition, constitutively activated BRAF and RAS mutants, through ERK activation, have been shown to induce JMJD3 and EZH2 expression (42,43). These observations suggest that activation of BRAF or ERK is associated with histone H3K27 modification, regulating cardiac development. In this study, the total content of the H3K27me3 in heart tissues of *Braf<sup>Q241R/+</sup>; Cre* or *Braf<sup>Q241R/+</sup>; Cre* mice after GSK-J4 co-treatment with PD0325901 was comparable with that of *Braf<sup>+/+</sup>; Cre* mice (data not shown). Furthermore, the histone H3K27 demethylase activity of lysates from *Braf<sup>Q241R/+</sup>; Cre* embryos at E14.5 was comparable with that of *Braf<sup>+/+</sup>; Cre* (data not shown). Further analysis of H3K9 and H3K27 modification status on individual genes will clarify the mechanism by which histone demethylase inhibitor is effective against embryonic and postnatal lethality and developmental defects in *Braf<sup>Q241R/+</sup>; Cre* mice.

MEK inhibitor treatment or crossing with ERK1 knockout mice has improved the hypertrophy of cardiac valves in Noonan syndrome model mice with a *SOS1* or *PTPN11* mutation (24,44). In contrast, treatment of MEK inhibitor did not lead to the amelioration of enlarged cardiac valves in *Braf<sup>Q241R/+</sup>; Cre* embryos. Furthermore, other mice, excluding *Braf<sup>Q241R/+</sup>; Cre*, treated with MEK inhibitor showed enlarged cardiac valves (data not shown), suggesting that the vital nature of MEK/ERK signaling balance in cardiac valve development. Given that no MEK inhibitor activity nor the inhibition activity of other protein kinases has been reported in GSK-J1 (GSK-J4 sodium salt) (25), these results suggest that not only MEK/

ERK signaling balance but also histone H3K27 modification can play a crucial role in the normal development of cardiac valve in *Braf<sup>Q241R/+</sup>; Cre* embryos.

The natural history and the frequency of tumors in adult CFC patients have not been fully elucidated (6). Since molecular analysis became available, three individuals with *BRAF* mutation have been reported to have developed acute lymphoblastic leukemia and non-Hodgkin lymphoma (6). Knockin mice expressing *BRAF* L597V mutation survived to adulthood and showed multiple Noonan syndrome/CFC syndrome phenotypes, including short stature, facial dysmorphism and cardiac enlargement (12). The L597V is located in the CR3 kinase domain and leads to 2-fold elevated BRAF kinase activity (45). The L597V mutation has been identified in 11 somatic cancers (COSMIC; <http://cancer.sanger.ac.uk/cancergenome/projects/cosmic/>) and three patients with Noonan syndrome (13,46,47), which generally shows milder phenotype than that in CFC syndrome. In contrast, Q257R mutation is located in the CR1 domain and has been identified in 40% of CFC syndrome, not in cancers. Our ELK transactivation study has shown that level of ELK transactivation in Q257R was a half of V600E (3). The previous report showed that BRAF Q257R has increased BRAF kinase activity compared with WT and the activity was as high as that of the V600E (4). It is possible that differences in kinase activity and/or the effect on downstream pathways could cause the phenotypic differences in these knockin mice. Surviving *Braf<sup>Q241R/+</sup>; Cre* mice in the PD0325901 treatment showed distinctive facial appearance, abnormal dental occlusion, reduced postnatal length and weight, kyphosis and skin

disease, which are similar to CFC syndrome phenotype (data not shown) (1,48). *Braf*<sup>Q241R/+</sup>; *Cre* mice also survived to adulthood when these mice (C57BL/6J background) were crossed with ICR or BALB/c mice (unpublished data). Further studies will be necessary to examine if adult *Braf*<sup>Q241R/+</sup>; *Cre* mice show phenotypes similar to patients with CFC syndrome, including seizures and tumor development.

The potential mechanism of activation and downregulation of multiple signaling pathways in *Braf*<sup>Q241R/+</sup>; *Cre* embryos is unclear. In additional studies, we performed microarray analysis and quantitative real-time PCR using heart tissues from *Braf*<sup>Q241R/+</sup>; *Cre* embryos at E13.5 or E16.5. Interestingly, mRNA levels of dual specificity phosphatase (*Dusp*) 2, 4 and 6, that inactivate ERK, p38 or JNK, and *Spry* 1, which inhibits the RAS–MAPK signaling pathway, were significantly higher in *Braf*<sup>Q241R/+</sup>; *Cre* embryos than those in *Braf*<sup>+/+</sup>; *Cre* (data not shown). In the present study, constitutive activation of phosphorylated ERK was not clearly observed in whole embryos and heart tissues from *Braf*<sup>Q241R/+</sup>; *Cre*. These results suggest that increased mRNA levels of *Dusp* 2, 4, 6 and *Spry* 1 and decreased expression of phosphorylated p38 in embryonic heart could represent a negative feedback mechanism for normalizing constitutive ERK activation in *Braf*<sup>Q241R/+</sup>; *Cre* embryos.

In summary, *Braf*<sup>Q241R</sup>-expressing mice provided an effective tool for studying the pathogenesis of CFC syndrome. It was found for the first time that combination treatment with PD0325901 and GSK-J4 is efficacious for the treatment of mice with the activation of the RAS–MAPK pathway. At present, clinical trials of a new MEK inhibitor, MEK162, are now being conducted to investigate the efficacy and safety of its use in Noonan syndrome with hypertrophic cardiomyopathy as well as in individuals with solid tumors, while no clinical trial of histone H3K27 demethylase inhibitor has been performed. Given that *BRAF* mutations cause cancer, combination therapy with MEK inhibitors and histone H3K27 demethylase inhibitors can be effective not only for the treatment of patients with RASopathies but also for the treatment of *BRAF* mutation-associated cancer in the future.

## MATERIALS AND METHODS

### Generation of *Braf*<sup>Q241R</sup> knockin mice

To construct the targeting vector for *Braf*<sup>Q241R</sup> knockin mice, a short arm containing *Braf* exon 5 and 6 (*NotI*–*SacII* genomic DNA fragment), a long arm including exon 7, 8 (*XmaI*–*BamHI* genomic DNA fragment) and the downstream of exon 8 (*BamHI*–*SacI*) were amplified using a Roswell Park Cancer Institute-23 BAC clone. The DNA fragments were ligated into the pBSIISK+ vector. The *Braf*<sup>Q241R</sup> (exon 7) mutation was introduced by site-directed mutagenesis. The *PspOMI*–*XhoI* site was used to insert PGK-Neo-STOP cassette flanked by loxP sites. The targeting vector was linearized with *SalI* and electroporated into ES cells (C57BL/6J background). To confirm correctly targeted ES clones, we performed genotyping, sequencing and the test of the Cre-mediated recombination system. Furthermore, homologous recombinants were confirmed by Southern blotting using 5', 3' and Neo probes. For this experiment, genomic DNA was digested with *SacI* (5' probe), *NcoI* (3' probe) or *AflIII* (Neo probe). The probe sequences are

shown in Supplementary Material, Table S5. Screened ES clones were then microinjected into BALB/c blastocytes and the resulting chimeras were crossed with C57BL/6J mice to obtain *Braf*<sup>Q241R Neo/+</sup> heterozygotes mice. Excisions of the PGK-Neo cassette and STOP codon were achieved by crossing of *Braf*<sup>Q241R Neo/+</sup> heterozygotes with CAG-Cre transgenic mice (*Braf*<sup>+/+</sup>; *Cre*) on C57BL/6J background (RIKEN BioResource Center, Tsukuba, Japan; RBRC01828) (49). Animal experiments were approved by the Animal Care and Use Committee of Tohoku University.

### Genotyping

Genomic DNA was prepared from tail tissue with DNeasy Blood & Tissue Kit (Qiagen, Hilden, Germany) or Maxwell 16 Mouse Tail DNA Purification Kit (Promega, Madison, WI, USA). Genotyping of the *Braf*<sup>+/+</sup>, *Braf*<sup>+/+</sup>; *Cre*, *Braf*<sup>Q241R Neo/+</sup> and *Braf*<sup>Q241R/+</sup>; *Cre* was carried out by PCR using KOD FX Neo (TOYOBO, Osaka, Japan) or TaKaRa Taq (Takara Bio, Otsu, Japan) with the primers shown in Supplementary Material, Table S6.

### Sequencing

Total RNA was extracted with TRIzol reagent (Invitrogen, Carlsland, CA, USA), and cDNA was synthesized using High-Capacity cDNA Reverse Transcription Kit (Applied Biosystems, Foster City, CA, USA). The exonic region in *Braf* was amplified by PCR using TaKaRa Taq with the primers including M13 sequences: 5'-GTAAAACGACGGCCAGTGAAGTACTGGAGAATGTCCC-3' and 5'-AGGAAACAGCTATGACCC CACATGTTTGACAACGGAAACCC-3'. The PCR products were purified with QIAquick Gel Extraction Kit (Qiagen, Tokyo, Japan) and sequenced on an ABI 3500xl automated DNA sequencer (Applied Biosystems).

### Quantitative reverse transcription–PCR

Quantitative PCR was performed using FastStart Universal Probe Master (ROX) (Applied Biosystems) with StepOnePlus (Applied Biosystems). Amplification primers and hydrolysis probes were designed using Universal ProbeLibrary Assay Design Center (<https://qpcr.probefinder.com/roche3.html>).

### Alcian Blue/Alizarin Red staining

After embryos were placed in water for a day, the skin and viscera were removed. The eviscerated embryos were then fixed in 95% ethanol for at least 3 days and stained with 150 mg/l Alcian Blue 8GX (Sigma-Aldrich, St. Louis, MO, USA), 80% ethanol and 20% acetic acid for 16–24 h. The stained embryos were rinsed with 95% ethanol and kept in 2% KOH for 16–24 h. They were then stained with 50 mg/l Alizarin Red (Sigma-Aldrich) and 1% KOH for 3 h, kept in 2% KOH for 12–48 h, placed in 20% glycerol/1% KOH for at least 5 days and stored in 50% glycerol.

### Plasmid construction

The expression construct, including mouse *Braf* cDNA, was purchased from Origene (Rockville, MD, USA). PCR was performed using primers designed to introduce *Hind*III sites and the V5 epitope (C terminus). The PCR fragment was subcloned into pCR4-TOPO Vector (Invitrogen). The entire cDNA was verified by sequencing. The mutant constructs for *Braf* Q241R and V637E were generated using QuikChange Lightning Site-Directed Mutagenesis Kit (Stratagene, La Jolla, CA, USA) with the primers, 5'-CCGAAAGCTGCTTTTCCGGGGTTTCCGTTGTCAA-3' and 5'-TTTGACAACGGAAACCCCGGAAAAGCAGCTTTCGG-3', and 5'-CTTTGGTCTAGCCACAGAGAAATCTCGGTGGAGTG-3' and 5'-CACTCCACCGAGATTCTCTGTGGCTAGACCAAAG-3', respectively. All mutant constructs were verified by sequencing. The cDNAs were digested with *Hind*III, blunt-ended with T4 DNA polymerase and ligated into blunt-ended *Eco*RI site of pCAGGS vector (50).

### Reporter assay

NIH 3T3 cells (ATCC, Rockville, MD, USA) were maintained in Dulbecco's modified Eagle's medium supplemented with 10% newborn calf serum, 50 U/ml penicillin and 50 µg/ml of streptomycin. The cells were seeded in 24-well plates at  $3 \times 10^5$  cells/well 24 h before transfection. The cells were then transiently transfected using Lipofectamine and PLUS Reagent (Invitrogen) with 400 ng of pFR-luc, 25 ng of pFA2-Elk1, 5 ng of pRLnull-luc and 5 ng of WT or mutant expression constructs of *Braf*. Forty-eight hours after transfection, the cells were harvested in passive lysis buffer, and luciferase activity was assayed using Dual-Luciferase Reporter Assay System (Promega). Renilla luciferase expressed by pRLnull-luc was used to normalize the transfection efficiency.

### Western blotting and phospho-kinase-antibody array

Whole-mouse embryos and brain were lysed in lysis buffer (10 mM Tris-HCl, pH 8.0 and 1% SDS), or genotype-confirmed hearts were pooled and lysed in the same buffer. These lysates were centrifuged at 14 000g for 15 min at 4°C and the protein concentration was determined by the Bradford method with Bio-Rad Protein Assay (Bio-Rad Laboratories, Hercules, CA, USA). Lysates were subjected to SDS-polyacrylamide gel electrophoresis (5–20% gradient gel; ATTO, Tokyo, Japan) and transferred to nitrocellulose membrane. Antibodies used were as follows (with catalog numbers in parentheses): B-RAF (9434), ERK1/2 (9102), phospho-ERK1/2 (9101), phospho-MEK (9121), p38 (9212), phospho-p38 (4511), phospho-SAPK/JNK (4668), AKT (9272), phospho-AKT (on Ser473; 9018) and phospho-AKT (on Thr308; 2965) from Cell Signaling (Danvers, MA, USA). C-RAF (610152), MEK (sc-219) and β-actin (A5316) were from BD Transduction Laboratories (San Jose, CA, USA), Santa Cruz Biotechnology (Santa Cruz, CA, USA) and Sigma-Aldrich, respectively. All the membranes were visualized using Western Lightning ECL-Plus Kit (Perkin-Elmer, Waltham, MA, USA). The band intensities were quantified using ImageJ software (<http://rsbweb.nih.gov/ij/>) and normalized to β-actin. Phosphorylated protein was measured

to determine the ratios of phosphorylated protein to non-phosphorylated protein and then normalized to β-actin.

For kinase-antibody arrays, protein extracts of embryonic hearts (400 µg) were incubated with the Phospho-Kinase Antibody Array Kit (Proteome Profiler Antibody Array; R&D systems, Minneapolis, MN, USA) following the manufacturer's instructions.

### Histology and immunohistochemistry

Embryonic hearts were perfused with phosphate-buffered saline and 10% neutral buffered formalin from the placenta. The fixed hearts and whole-mouse embryos fixed in 10% neutral buffered formalin were embedded in paraffin. Embedded tissues were sectioned at 6 µm (hearts) or 3 µm (whole-mouse embryos and lungs). Sections were stained with hematoxylin and eosin. In hearts from embryos at E16.5, the largest diameters of the ventricular radius were measured in serial coronal sections where a four-chamber view was observed. The largest thicknesses of cardiac valve leaflets in serial sections were measured. Edematous and dead embryos were excluded from these analyses.

For immunohistochemistry, the antibodies used were as follows (with catalog numbers in parentheses): phospho-Histone H3 (9701) from Cell Signaling, LYVE-1 (103-PA50AG) from RELIA Tech GmbH (Braunschweig, Germany), α-SMA (M0851) from DAKO (Glostrup, Denmark), PECAM-1 (CD31; sc-1506) from Santa Cruz Biotechnology and TTF-1 (MS-669-P1ABX) from Thermo Fisher Scientific (Fremont, CA, USA). Signals were amplified by Histofine Simple Stain (Nichirei Bio Sciences, Tokyo, Japan) and color was developed by DAB Substrate Kit (Nichirei Bio Sciences). Sections were counterstained with hematoxylin.

### PAS staining

Deparaffinized lung sections were incubated in 0.5% periodic acid for 10 min at 60°C, rinsed with distilled water and stained in Schiff's reagent (Muto Pure Chemicals, Tokyo, Japan) for 10 min. Stained slides were counterstained with hematoxylin, dehydrated and mounted.

### Animal treatment

Stock solution of PD0325901 (Sigma-Aldrich) was prepared using ethanol, whereas those of MAZ-51 (Calbiochem, San Diego, CA, USA), Sorafenib (Toronto Research Chemicals, North York, ON, USA), Lovastatin (Calbiochem), Everolimus (Selleckchem, Houston, TX, USA), NCDM-32b (Wako Pure Chemicals, Osaka, Japan), GSK-J4 (Cayman Chemical) and the combination of PD0325901 and GSK-J4 were prepared using dimethylsulfoxide. PD0325901 was resuspended in saline while and all other reagents were resuspended in 0.5% hydroxypropylmethylcellulose with 0.2% Tween80, respectively. The prepared reagents or vehicles were i.p. injected into pregnant mice daily, beginning on E10.5 and continuing till E15.5 or E18.5.

### Statistical analysis

All statistical analysis was performed using Prism software (ver. 6.01; GraphPad Software, Inc., San Diego, CA, USA). Data analysis were performed with Student's *t*-test for unpaired samples, one-way analysis of variance followed by the Tukey–Kramer test for comparison of multiple experimental groups and the  $\chi^2$  test for differences between observed and expected distributions. Differences were considered significant at a *P*-value of < 0.05.

### SUPPLEMENTARY MATERIAL

Supplementary Material is available at *HMG* online.

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*Conflict of Interest statement.* None declared.

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Case report

## *GNE* myopathy associated with congenital thrombocytopenia: A report of two siblings

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### Abstract

*GNE* myopathy is an autosomal recessive muscular disorder caused by mutations in the gene encoding the key enzyme in sialic acid biosynthesis, UDP-N-acetylglucosamine 2-epimerase/N-acetylmannosamine kinase (*GNE*/MNK). Here, we report two siblings with myopathy with rimmed vacuoles and congenital thrombocytopenia who harbored two compound heterozygous *GNE* mutations, p.V603L and p.G739S. Thrombocytopenia, which is characterized by shortened platelet lifetime rather than ineffective thrombopoiesis, has been observed since infancy. We performed exome sequencing and array CGH to identify the underlying genetic etiology of thrombocytopenia. No pathogenic variants were detected among the known causative genes of recessively inherited thrombocytopenia; yet, candidate variants in two genes that followed an autosomal recessive mode of inheritance, including previously identified *GNE* mutations, were detected. Alternatively, it is possible that the decreased activity of *GNE*/MNK itself, which would lead to decreased sialic content in platelets, is associated with thrombocytopenia in these patients. Further investigations are required to clarify the association between *GNE* myopathy and the pathogenesis of thrombocytopenia.

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**Keywords:** *GNE*; Distal myopathy with rimmed vacuoles; Sialic acid; UDP-N-acetylglucosamine 2-epimerase/N-acetylmannosamine kinase; Thrombocytopenia; Exome sequencing

### 1. Introduction

*GNE* myopathy is a group of autosomal recessive muscular disorders caused by mutations in *GNE*, which encodes the key enzyme of sialic acid biosynthesis, UDP-N-acetylglucosamine 2-epimerase/N-acetylmannosamine kinase (*GNE*/MNK). This enzyme initiates and regulates the biosynthesis of

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N-acetylneuraminic acid [1], a substrate for sialylation. *GNE* mutations have been identified in both hereditary inclusion body myopathy [2,3] and Nonaka myopathy, which is also called distal myopathy with rimmed vacuoles (DMRV; MIM#605820) [4,5]. Since these conditions have been confirmed to be the same, they are now generically referred to as *GNE* myopathy.

Here, we report two siblings with compound heterozygous *GNE* mutations who manifested a myopathy with rimmed vacuoles associated with thrombocytopenia. The phenotypes of patients with *GNE* myopathy are variable; however, hematological complications are rare. To identify the underlying genetic etiology of thrombocytopenia in these siblings, we performed exome sequencing and array CGH. The possibility of a genetic defect in sialic acid biosynthesis as a cause of thrombocytopenia is also discussed.

## 2. Case report

### 2.1. Patients

The siblings described in this study are of Japanese ancestry and the only offspring of nonconsanguineous parents. Their parents and other family members have no medical history of hematological or neuromuscular disorders.

Patient 1 is a 32-year-old man and the thrombocytopenia was detected during treatment for neonatal jaundice. His thrombocytopenia persisted, with a platelet count ranging from  $1.7 \times 10^9/L$  to  $16.2 \times 10^9/L$ . He had no other congenital abnormalities or developmental delays. Megakaryocytes in the bone marrow, observed at the age of 8 years, were morphologically normal and increased in number to  $281/mm^3$ , and his nuclear cell count was normal at  $37.4 \times 10^4/mm^3$ . The size of his peripheral blood platelets was normal to large, and the mean platelet volume was 10–11 fl. Platelet-associated IgG (PAIgG) was elevated ( $207.3 \text{ ng}/10^7 \text{ cells}$ ), and von Willebrand factor activity was within the normal range. At the age of 7 years, proteinuria and hematuria were detected. Kidney biopsy revealed atypical membranoproliferative glomerulonephritis, which later progressed to chronic renal failure requiring periodic dialysis therapy. He had a waddling gait that began in middle adolescence and muscle weakness that gradually progressed, particularly in the proximal lower limbs. Neurological examination at the age of 20 years showed proximal muscle weakness, particularly in the neck flexor and iliopsoas. His serum creatine kinase levels ranged from 300 to 600 IU/L. Muscle biopsy specimens taken from the rectus femoris of Pathological findings of the rectus femoris showed increased rimmed vacuoles in approximately 1% of myofibers, located predominantly in atrophic fibers. Necrotic and regenerating fibers were rare, and no inflammatory cellular infiltration was observed. Mutation analysis of *GNE* identified the

following two missense mutations: c.1807G > C (NM\_001128227); p.V603L (NP\_001121699) and c.2215G > A; p.G739S, both of which have been reported previously as causes of DMRV [6,7]. Parental analysis confirmed that he was a compound heterozygote for these mutations. He has been wheelchair-bound since the age of 24 years. From the age of 22 years, he has had mildly decreased cardiac function accompanied by mitral insufficiency, but his respiratory system has remained fully functional so far.

Patient 2, a 29-year-old woman, is the younger sister of Patient 1. Her thrombocytopenia was incidentally found at the age of 2 years while undergoing treatment for pneumonia. Her thrombocytopenia persisted, with a platelet count ranging from  $1.1 \times 10^9/L$  to  $9.0 \times 10^9/L$ . This induced intermittent nasal bleeding. Megakaryocytes in her bone marrow, observed at the age of 5 years, were normal in number and morphology. Her platelets were normal to large in size, with a mean volume of 10–13 fl (Fig. 1), and her PAIgG was elevated ( $119 \text{ ng}/10^7 \text{ cells}$ ). At the age of 18 years, she exhibited proximal muscle weakness accompanied by difficulties in standing and lifting her arms. At the age of 23 years, her muscle strength decreased in the neck and overall proximal muscles, and she developed a waddling gait. Muscle imaging showed remarkable atrophy in the paraspinal muscles and moderate patchy atrophy in the adductor magnus, soleus and tibialis posterior muscles. Her serum creatine kinase level was elevated (360 IU/L). Pathological findings of the biceps brachii were essentially similar to those of Patient 1 (Fig. 2). Genetic testing for *GNE* indicated that she also harbored the p.V603L and p.G739S mutations. Systemic examination revealed moderate splenomegaly, but normal cardiorespiratory and renal functions were maintained. She is still able to walk independently with a simple walking aid.

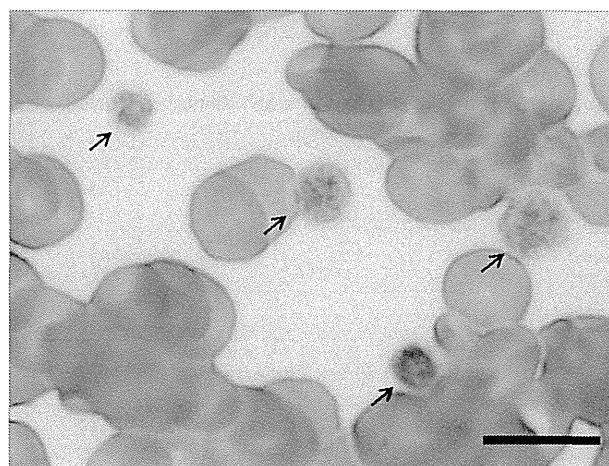


Fig. 1. Morphology of peripheral blood platelets a blood smear from Patient 2 showing morphologically normal platelets (arrow). The size of platelets was normal to large. Bars = 10  $\mu\text{m}$ .

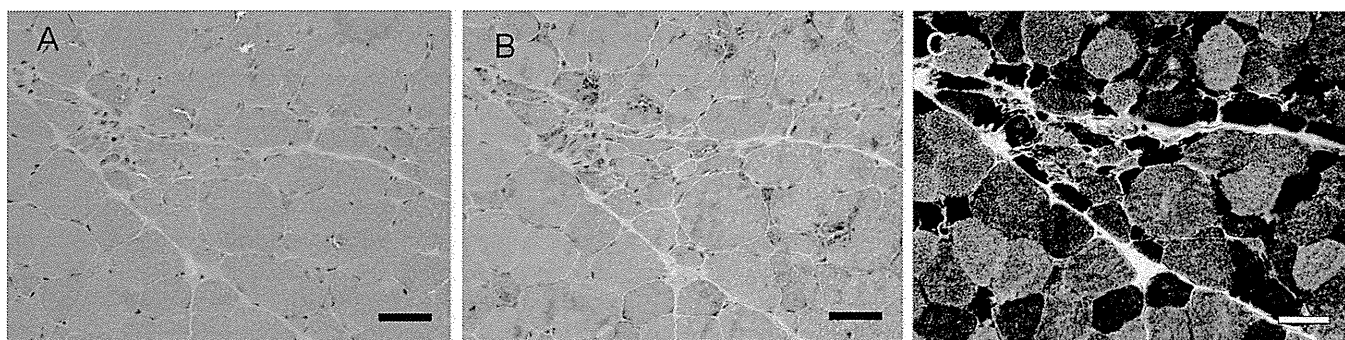


Fig. 2. Pathology of the muscle biopsy specimens hematoxylin-eosin (A), Gomori-trichrome (B) and NADH-tetrazolium reductase (C) staining of the muscle biopsy sample from the biceps brachii of patient 2 are shown. Atrophic fibers were clustering into small groups, including both type 1 and type 2 myofibers. Several rimmed vacuoles were scattered around them predominantly located on atrophic fibers. NADH-tetrazolium reductase staining showed an almost normal myofibrillar network. Bars = 50  $\mu$ m.

## 2.2. Exome sequencing and array CGH

Exome sequencing and array CGH were performed on peripheral blood samples from the siblings and their parents to determine the genetic cause of thrombocytopenia. This study was approved by the Ethics Committee of the Tohoku University School of Medicine, and all individuals gave their informed consent prior to their inclusion in the study.

### 2.2.1. Exome sequencing

The detailed methods regarding exome sequencing were described in our previous report [8]. After excluding synonymous SNVs, we used the 1000 Genomes to filter out frequent variants. Three pairs of rare variants (i.e., not present or <1% allele frequency according to the 1000 Genomes), which were segregated in an autosomal recessive mode, were identified in *CPEB2*, *FLNB* and *GNE* (Supplementary Tables 1 and 2). The mutation in *CPEB2* was present with 13.7% allele frequency and with 1.9% in homozygous state according to Human Genetic Variation Database (<http://www.genome.med.kyoto-u.ac.jp/SnpDB/>), thus seemed less pathogenic. Exome sequencing enabled comprehensive screening for the causative genes of recessively inherited thrombocytopenia with coverage of 95% of all targeted regions at  $\geq 20$ -fold and we did not detect any novel or rare homozygous or compound heterozygous mutations among these genes (Supplementary Table 3).

### 2.2.2. Array CGH

Array CGH was performed using the Agilent SurePrint G3 Human Custom CNV 2  $\times$  400 K microarray for all individuals, and it revealed three regions with recessively inherited deletions of genomic copy number (Supplementary Table 4). None of the genes in or around these deleted regions have been previously reported as being responsible for myopathy or thrombocytopenia.

## 3. Discussion

In this study, we described two siblings harboring two compound heterozygous *GNE* mutations, p.V603L and p.G739S, who were diagnosed with myopathy with rimmed vacuoles associated with congenital thrombocytopenia.

The pathogenesis of thrombocytopenia involves various congenital or acquired disorders. Familial occurrence of thrombocytopenia in the neonatal period and infancy strongly suggests the involvement of a genetic defect. Hereditary thrombocytopenia is extremely heterogeneous in terms of the gene mutations involved, although the genetic background contributing to this condition remains to be elucidated [9]. Exome sequencing identified substantially two pairs of rare compound heterozygous variants in *FLNB* and *GNE*, which cosegregated in a recessive mode (Supplementary Table 2). The one encoding filamin b is highly homologous to the gene encoding filamin a (*FLNA*), which is located on chromosome Xq28. Although a missense mutation in *FLNA* has been reported as a cause of nonsyndromic thrombocytopenia [10], the pathogenicity of *FLNB* variants in this family remains unknown.

*GNE* mutations result in the loss of its enzymatic activity and failure to catalyze the initial two steps in sialic acid biosynthesis, leading to decreased sialic acid content in the organs [11]. This hyposialylation and abnormal glycosylation in muscles have been thought to contribute to the pathogenesis of *GNE* myopathy [12]. In this context, hyposialylation caused by *GNE* mutations may be a common defect in muscles and platelets. Sialic acid is known to play significant roles in platelet function, is located in the platelet membrane, and forms a part of glycosylation. Several groups have shown that platelets deficient in sialylation are easily removed from circulation, resulting in shortened circulation lifetimes and thrombocytopenia [13–15]. Decreased sialic acid content in platelets has also been reported in

infection-induced thrombosis [16], and hepatic cirrhosis [17]. These findings suggest that sialic acid is an essential molecule for the stabilization of circulating platelets, and hyposialylation of platelets can be a general risk factor for thrombocytopenia.

Recently, another group reported a family with distal myopathy with rimmed vacuoles associated with thrombocytopenia [18]; they suggested that two novel specific *GNE* mutations identified in the affected siblings, p.Y217H and p.D515Qfs\*2, may have led to thrombocytopenia. In contrast, the p.V603L mutation identified in our cases is the most common among the Japanese population [5,6], while the p.G739S mutation occurs rather infrequently [7,19,20]. However, it still remains unknown whether thrombocytopenia is associated with *GNE* myopathy in general or rather with these specific *GNE* mutations. Careful observation of the hematologic features in other patients with *GNE* myopathy will reveal whether *GNE* myopathy is frequently associated with thrombocytopenia.

The reason for elevated PAIgG observed in our cases remains unknown. PAIgG is elevated in patients with immune and nonimmune thrombocytopenia, and its pathogenic effect has not been fully established [21]. Dysregulation of immune system has not been reported in individuals with *GNE* myopathy. However, a past report showed that the removal of sialic acid from platelet membranes and an increase in PAIgG were observed in baboons, suggesting that the loss of sialic acid may have exposed senescent cell antigens [14]. Further analysis will be necessary to clarify the mechanism of elevated PAIgG in our cases.

Patient 1 manifested atypical membranoproliferative glomerulonephritis with proteinuria and hematuria. The renal pathology including diffuse thickening of the glomerular basement membrane with the appearance of double contours is similar to those reported in *GNE* mutant mice [22,23], suggesting his nephritic phenotype is associated with *GNE* mutations. Membranoproliferative glomerulonephritis is sometimes accompanied by thrombocytopenia from platelet consumption. However the early onset of thrombocytopenia and patient 2's maintained renal function mean the mechanism of their thrombocytopenia is independent of renal pathology.

In conclusion, we described two siblings with the *GNE* mutations, p.V603L and p.G739S, who manifested both myopathy and thrombocytopenia. Genetic alterations to known causative genes for autosomal recessive thrombocytopenia were not identified by exome sequencing. Therefore, we could not conclude whether the rare candidate variants detected by exome sequencing or the deletions identified by array CGH had any pathogenic role in thrombocytopenia observed in our cases. Hence, further investigations are required to clarify the possible association between *GNE* myopathy and the pathogenesis of thrombocytopenia observed in these patients.

## Acknowledgments

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## Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.nmd.2014.07.008>.

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# Molecular basis of non-syndromic hypospadias: systematic mutation screening and genome-wide copy-number analysis of 62 patients

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**STUDY QUESTION:** What percentage of cases with non-syndromic hypospadias can be ascribed to mutations in known causative/candidate/susceptibility genes or submicroscopic copy-number variations (CNVs) in the genome?

**SUMMARY ANSWER:** Monogenic and digenic mutations in known causative genes and cryptic CNVs account for >10% of cases with non-syndromic hypospadias. While known susceptibility polymorphisms appear to play a minor role in the development of this condition, further studies are required to validate this observation.

**WHAT IS KNOWN ALREADY:** Fifteen causative, three candidate, and 14 susceptible genes, and a few submicroscopic CNVs have been implicated in non-syndromic hypospadias.

**STUDY DESIGN, SIZE, DURATION:** Systematic mutation screening and genome-wide copy-number analysis of 62 patients.

**PARTICIPANTS/MATERIALS, SETTING, METHODS:** The study group consisted of 57 Japanese and five Vietnamese patients with non-syndromic hypospadias. Systematic mutation screening was performed for 25 known causative/candidate/susceptibility genes using a next-generation sequencer. Functional consequences of nucleotide alterations were assessed by *in silico* assays. The frequencies of polymorphisms in the patient group were compared with those in the male general population. CNVs were analyzed by array-based comparative genomic hybridization and characterized by fluorescence *in situ* hybridization.

**MAIN RESULTS AND THE ROLE OF CHANCE:** Seven of 62 patients with anterior or posterior hypospadias carried putative pathogenic mutations, such as hemizygous mutations in *AR*, a heterozygous mutation in *BNC2*, and homozygous mutations in *SRD5A2* and *HSD3B2*. Two of the seven patients had mutations in multiple genes. We did not find any rare polymorphisms that were abundant specifically in the patient group. One patient carried mosaic dicentric Y chromosome.

**LIMITATIONS, REASONS FOR CAUTION:** The patient group consisted solely of Japanese and Vietnamese individuals and clinical and hormonal information of the patients remained rather fragmentary. In addition, mutation analysis focused on protein-altering substitutions.

**WIDER IMPLICATIONS OF THE FINDINGS:** Our data provide evidence that pathogenic mutations can underlie both mild and severe hypospadias and that *HSD3B2* mutations cause non-syndromic hypospadias as a sole clinical manifestation. Most importantly, this is the first report documenting possible oligogenicity of non-syndromic hypospadias.

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**TRIAL REGISTRATION NUMBER:** Not applicable.

**Key words:** copy-number / hypospadias / mutation / polymorphism / susceptibility

## Introduction

Hypospadias is a relatively common form of 46,XY disorders of sex development (DSD) observed in ~4–40 per 10 000 live births (Kurahashi et al., 2004; Nassar et al., 2007; Blaschko et al., 2012). Hypospadias occurs either as an isolated anomaly or as a component of congenital malformation syndromes (Wu et al., 2002; Kurahashi et al., 2004). Although non-syndromic hypospadias is a multifactorial disorder induced by both genetic and environmental factors, this condition can also take place as a result of single gene mutations (Kurahashi et al., 2004; Wang et al., 2004; Chen et al., 2007; Köhler et al., 2009). Previous studies revealed familial aggregation of non-syndromic hypospadias (Schnack et al., 2008; van Rooij et al., 2013). In most cases, familial hypospadias is equally transmitted from the paternal and maternal sides of the family and shows similar recurrence risks between the brothers and sons of patients, indicating a significant role of single gene mutations in the development of the disease (Schnack et al., 2008).

In 2012, van der Zanden et al. (2012) reviewed 162 prior studies and listed 15 causative genes and three candidate genes for this condition. They also introduced 49 polymorphisms in 13 genes associated with disease risk, together with one susceptibility gene *CYP11A1* whose risk allele is yet to be determined. To date, however, there is no single report of systematic mutation analysis of the causative/candidate/susceptible genes. Likewise, while a small number of submicroscopic copy-number variations (CNVs) have been identified in patients with non-syndromic hypospadias (Tannour-Louet et al., 2010), genome-wide copy-number analysis has been performed only in exceptional cases. Thus, the contribution of single gene mutations and submicroscopic CNVs to the etiology of non-syndromic hypospadias remains unknown.

The aim of this study was to clarify the frequency and type of genetic defects in patients with non-syndromic hypospadias. This study consisted of systematic mutation screening using next-generation sequencing (NGS) technology and cytogenetic analyses using comparative genomic hybridization (CGH) and fluorescence *in situ* hybridization (FISH).

## Materials and Methods

### Patients

A total of 57 Japanese and 5 Vietnamese patients with hypospadias participated in the study (Table 1). All patients were referred to our clinics because of hypospadias. Patients with additional clinical features except for

cryptorchidism and micropenis and those with cytogenetically detectable chromosomal abnormalities were excluded from this study. The 62 patients had no family history of 46,XY DSD. One of the 62 patients (case 18) was born to consanguineous parents. Hospital records of genital features at birth were obtained for 49 patients. Eleven patients manifested relatively mild hypospadias with the urethral opening at the anterior portion of the penis, while 14 and 24 patients presented with moderate (middle) and severe (posterior) hypospadias, respectively. Cryptorchidism and micropenis were observed in 5 and 11 patients, respectively.

### Ethical approval

This study was approved by the Institutional Review Board Committee at the National Center for Child Health and Development and performed after obtaining written informed consent from the parents of patients.

### Identification of nucleotide substitutions

Sequence analysis was carried out for 25 known causative/candidate/susceptible genes for non-syndromic hypospadias, i.e. *AR*, *ATF3*, *BMP4*, *BMP7*, *BNC2*, *CTGF*, *CYP11A1*, *CYR61*, *DGKK*, *EGF*, *ESR1*, *ESR2*, *FGF8*, *FGFR2*, *GSTM1*, *GSTT1*, *HOXA4*, *HOXB6*, *HSD3B2*, *HSD17B3*, *MAMLD1*, *MID1*, *NR5A1* (alias *SFI*), *SRD5A2*, and *WT1* (van der Zanden et al., 2012). The coding regions of these genes were amplified from genomic DNA using the Haloplex Target Enrichment System (Design ID 02185-1348467147) (Agilent Technologies, Palo Alto, CA, USA), and were sequenced as 150 bp paired-end reads on a MiSeq sequencer (Illumina, San Diego, CA, USA). The average read depth of each amplicon was 115.0. Subsequently, nucleotide alterations in the samples were called by the Surecall system (Agilent Technologies) and SAMtools 0.1.17 software (<http://samtools.sourceforge.net>, 12 January 2015, date last accessed) (Li et al., 2009). In the present study, we focused on non-synonymous substitutions in the coding regions and nucleotide changes at splice sites. Substitutions detected by NGS were confirmed by Sanger direct sequencing. The primers utilized in the present study are available upon request.

### Characterization of nucleotide substitutions

Functional consequences of nucleotide alterations were predicted by *in silico* analyses. Single nucleotide polymorphisms (SNPs) with allele frequencies of > 1.0% in the general population (dbSNP, <http://www.ncbi.nlm.nih.gov/>, 12 January 2015, date last accessed), except for those that have been reported as risk alleles (van der Zanden et al., 2012), were excluded from further analyses. The effects of missense substitutions on protein function were predicted using Polyphen2 (<http://genetics.bwh.harvard.edu/pph2/>, 12 January 2015, date last accessed) (Adzhubei et al., 2010), and those of intronic substitutions on splicing were assessed using Genome Project

**Table 1** Nucleotide alterations identified in the present study.

Case <sup>a</sup>	Ethnic origin	Putative pathogenic mutation	Putative risk variant	Probable benign change	Copy-number alteration	Position of urethral opening <sup>b</sup>	Cryptorchidism	Micropenis
1	J	<b>AR (p.S176R)</b>				Anterior	No	No
2	J	<b>AR (p.A403V)</b>				No data	No data	No data
3	J	<b>AR (p.R841S)</b>	<i>HSD17B3</i> (p.G289S)			Posterior	No	Yes
4	J	<b>AR (delins<sup>c</sup>)</b> <i>HOXB6</i> (p.S2N)	<b>MAMLD1 (p.N662S)</b>			No data	No data	No data
5	J	<i>BNC2</i> (p.M801R)				Posterior	No	No
6	V	<b>SRD5A2 (p.R227Q)<sup>d</sup></b>	<i>HSD17B3</i> (p.G289S)			Posterior	No	Yes
7	V	<b>HSD3B2 (p.A10T)</b>	<i>SRD5A2</i> (p.R227Q) <sup>d</sup>			Posterior	Yes (right)	Yes
8	J		<i>HSD17B3</i> (p.G289S)	<i>CYP11A1</i> (p.T173R)	Y chromosome <sup>e</sup>	Posterior	No	No
9	J		<b>MAMLD1 (p.N662S)</b>			Anterior	No	No
10	J		<i>CYP11A1</i> (p.Q75P)			Middle	No data	No data
11	J		<i>CYP11A1</i> (p.A62P)			Middle	No	No
12	J		<i>BMP7</i> (p.T170M)			Middle	No	No
13	V		<i>HSD17B3</i> (p.G289S)			No data	No	No
14	J		<i>HSD17B3</i> (p.G289S)			Posterior	No	No
15	J		<b>HSD17B3 (p.G289S)</b>			Posterior	Yes	No
16	J		<i>HSD17B3</i> (p.G289S)			Posterior	No	No
17	J		<i>HSD17B3</i> (p.G289S)			Posterior	Yes (right)	Yes
18	J		<i>HSD17B3</i> (p.G289S)			Posterior	No	No
19	J		<i>HSD17B3</i> (p.G289S)			Middle	Yes (right)	Yes
20	J		<i>HSD17B3</i> (p.G289S)			Middle	No data	No data
21	J		<b>HSD17B3 (p.G289S)</b>			Middle	No	Yes
22	J		<i>HSD17B3</i> (p.G289S)			Anterior	No	No
23	J		<b>HSD17B3 (p.G289S)</b>			No data	No data	No data
24	J		<i>HSD17B3</i> (p.G289S)			No data	No data	No data
25	J		<i>HSD17B3</i> (p.G289S)			No data	No data	No data
26	J		<i>HSD17B3</i> (p.G289S)			Middle	No	No
			<b>MAMLD1 (p.N662S)</b>					
27	J		<i>HSD17B3</i> (p.G289S)	<i>BNC2</i> (p.M539V)		No data	No data	No data
28	J		<i>HSD17B3</i> (p.G289S)	<i>BNC2</i> (p.P614S)		No data	No data	No data
29	J		<b>MAMLD1 (p.N662S)</b>	<i>EGF</i> (p.S16R)		Posterior	No	No
30	J		<i>HSD17B3</i> (p.G289S)	<i>FGFR2</i> (p.M97V)		Anterior	No data	No data
31	J		<i>HSD17B3</i> (p.G289S)	<i>EGF</i> (p.S16R)		Middle	No	No
32	J		<b>MAMLD1 (p.N662S)</b>	<i>HSD3B2</i> (p.S284I) <i>EGF</i> (p.S16R)		Posterior	No	No

Continued



Table 1 Continued

Case <sup>a</sup>	Ethnic origin	Putative pathogenic mutation	Putative risk variant	Probable benign change	Copy-number alteration	Position of urethral opening <sup>b</sup>	Cryptorchidism	Micropenis
33	J		HSD17B3 (p.G289S)	HSD3B2 (p.R362W)		Anterior	No data	No data
34	J			NR5A1 (g.IV52-5G>A)		Posterior	No data	No data
35	J			HOXB6 (p.P40S)		Posterior	No	Yes
36	J			<b>MAMLD1 (p.N675K)</b>		Posterior	No data	No data
37	J			ESR2 (p.G67S)		Posterior	No	No
38	J			EGF (p.S16R) BNC2 (p.I974V)		Middle	No data	No data

J, Japanese; V, Vietnamese.

Homozygous or hemizygous mutations/variants are boldfaced, and heterozygous substitutions are lightfaced.

<sup>a</sup>Cases 39–62 carried no nucleotide alterations in the target genes.

<sup>b</sup>Detailed clinical information was obtained only from 49 of the 62 patients.

<sup>c</sup>c\_1995delTGAAAGGCTATGAATGTCmsCAGAA, p.666delIEGVECOmsRK.

<sup>d</sup>Homozygosity and heterozygosity of this mutation were described as a pathogenic defect and a disease-susceptible alteration, respectively.

<sup>e</sup>Copy-number gain of the region from Ypter to Yq11.223 and copy-number loss of the remaining Y chromosomal region.

Data ([http://www.fruitfly.org/seq\\_tools/splice.html](http://www.fruitfly.org/seq_tools/splice.html), 12 January 2015, date last accessed) (Reese et al., 1997). Nucleotide deletions and insertions in the coding regions were assessed as 'probably damaging'.

Nucleotide alterations were classified into the following three groups: (i) putative pathogenic mutations: mutations that have been associated with 46,XY DSD or hitherto unreported nucleotide changes in causative genes that were assessed as 'probably damaging' or 'possibly damaging' by *in silico* analyses; (ii) putative risk variants: previously reported risk SNPs or novel substitutions in susceptibility genes, or rare SNPs in causative genes that were assessed as 'probably damaging' or 'possibly damaging'; and (iii) probable benign changes: nucleotide substitutions in causative/susceptible/candidate genes that were assessed as 'benign'. To determine the possible association between the SNPs (putative risk variants and probable benign changes) and disease risk, we compared allele frequencies in the patient group with those in the male general population. In the SNP analysis, we focused on Japanese patients, for whom the allele frequencies in the general population were available in the public database (dbSNP, <http://www.ncbi.nlm.nih.gov/>, 12 January 2015, date last accessed).

### Statistical analysis

The statistical significance of the comparison of allele frequency in the patient group and the general population was evaluated using  $\chi^2$  and Fisher's exact probability tests.

### Copy-number analyses

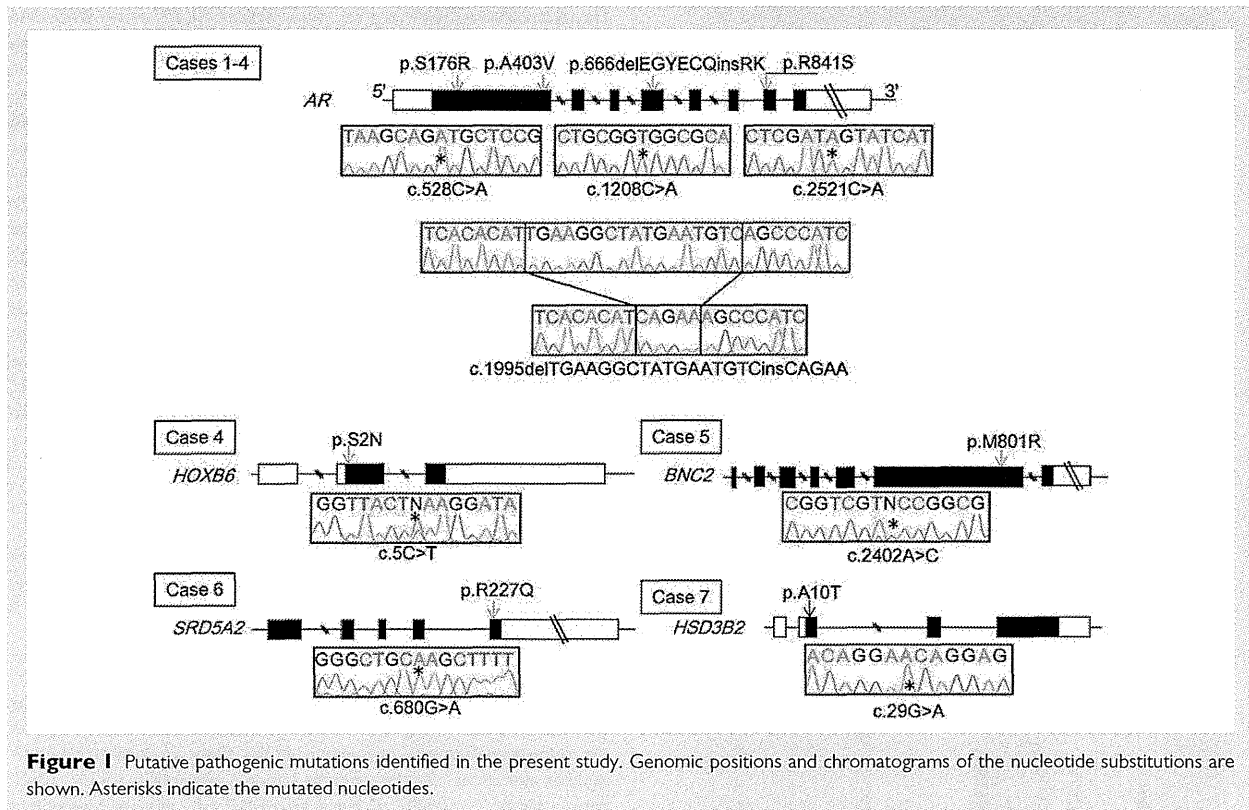
CNVs in the genome were screened by CGH using a catalog human array (8 × 60 k format, catalog number G4450A, Agilent Technologies), according to the manufacturers' instructions. In this study, we focused on copy-number alterations affecting genomic intervals larger than 1.5 Mb, which have a higher probability of being associated with disease phenotypes (Cooper et al., 2011). We referred to the Database of Genomic Variants (<http://projects.tcag.ca/variation/>, 12 January 2015, date last accessed) to exclude known benign variants. Genomic structures of CNVs were characterized by FISH analysis.

## Results

### Identification and characterization of nucleotide substitutions

Eight putative pathogenic mutations were identified in seven patients (Table 1 and Fig. 1). The eight mutations consisted of three hemizygous missense mutations and one hemizygous deletion/insertion in *AR*, one heterozygous missense mutation in *HOXB6*, one heterozygous missense mutation in *BNC2*, and apparent homozygous mutations in *SRD5A2* and *HSD3B2*. Of these, the *AR* mutation in case 3 and the *SRD5A2* mutation in case 6 were previously identified in patients with 46,XY DSD (Melo et al., 2003 in which the p.R841S mutation in *AR* was described as p.R840S; Sasaki et al., 2003; van der Zanden et al., 2012), while the other mutations were first identified in the present study.

Putative risk variants were identified in 30 patients (Table 1 and Supplementary Table S1). These variants included three known risk alleles for hypospadias and/or micropenis: rs2066476 in *HSD17B3*, rs2073043 in *MAMLD1* and rs9332964 in *SRD5A2* (Sasaki et al., 2003; Fukami et al., 2008; Sata et al., 2010; Kalfa et al., 2011; van der Zanden et al., 2012). The SNPs in *HSD17B3* and *MAMLD1* were identified in the Japanese patient group and the male general population at similar frequencies. We also identified a rare SNP in the causative gene *CYP11A1* which was shared by the Japanese patients and the male



**Figure 1** Putative pathogenic mutations identified in the present study. Genomic positions and chromatograms of the nucleotide substitutions are shown. Asterisks indicate the mutated nucleotides.

general population at a similar frequency, together with a SNP in *BMP4* whose frequency in the general population is unknown.

Probable benign changes were found in 13 patients (Table I and Supplementary Table S1). These substitutions included a rare SNP in *EGF* which was identified in the patient group and in the general population at similar frequency. We also detected SNPs in *ESR2* and *BNC2* that had unknown frequencies in the general population, together with a novel substitution in intron 2 of *NR5A1* (g.IVS2-5G>A) that was predicted to not affect splicing.

### Copy-number analyses

One of the 62 patients (case 8) carried CNVs on the Y chromosome (Fig. 2A). These alterations consisted of copy-number gain of a ~23 Mb region from Ypter to Yq11.223 and copy-number loss of the remaining Y chromosomal region. The log<sub>2</sub> signal ratios of most probes corresponding to the amplified and deleted regions were lower than +1.0 and higher than -2.0 respectively, indicating mosaicism of these CNVs. FISH analysis using a SRY-containing probe showed that case 8 had mosaic dicentric Y (Fig. 2B). CGH analysis for case 6 with an apparently homozygous *SRD5A2* mutation and case 7 with an apparently homozygous *HSD3B2* mutation excluded compound heterozygosity for a mutation and deletion (data not shown).

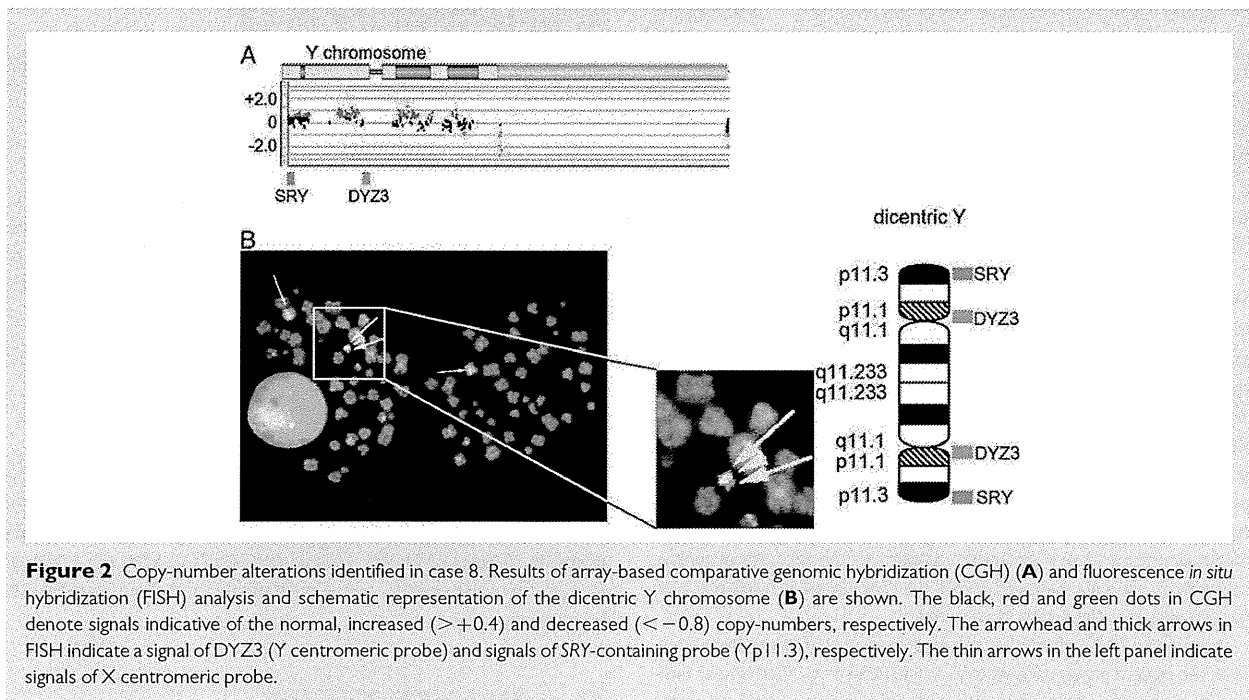
### Clinical findings of patients with putative pathogenic defects

Putative pathogenic defects were associated with both anterior and posterior hypospadias (Table II). Endocrine evaluation of cases 1–8

remained fragmentary; blood hormone levels in cases 3 and 7 were within the normal range (Table II).

## Discussion

Systematic mutation screening identified putative pathogenic mutations in 7 of 62 patients with non-syndromic hypospadias. These results, in conjunction with previous studies showing that ~30% of cases with severe hypospadias are ascribable to specific defects such as mutations in *AR* or *SRD5A2* (Albers *et al.*, 1997; Boehmer *et al.*, 2001), demonstrate the significant role of mutations in known causative genes in the etiology of non-syndromic hypospadias. Furthermore, our results support the previously proposed notion that genetic defects in *AR* account for a substantial percentage of cases with various types of 46,XY DSD (Albers *et al.*, 1997; Boehmer *et al.*, 2001; Audi *et al.*, 2010) and that mutations in *HSD3B2* can lead to non-syndromic hypospadias as a sole clinical manifestation, although *HSD3B2* plays an essential role in adrenal function (Boehmer *et al.*, 2001; Codner *et al.*, 2004; Audi *et al.*, 2010). Case 3 carried the p.R841S mutation in *AR*, which have been identified in patients with ambiguous genitalia (Melo *et al.*, 2003), suggesting the phenotypic diversity of missense mutations in *AR*. Notably, two of our patients had putative pathogenic mutations in multiple genes. Case 4 carried a hemizygous in-frame deletion/insertion in *AR* and a heterozygous missense substitution in *HOXB6*. Likewise, case 7 with a homozygous missense mutation in *HSD3B2* had an additional heterozygous missense mutation in *SRD5A2* that retains 3% of enzymatic activity (Makridakis *et al.*, 2000; Sasaki *et al.*, 2003). These data imply for the



**Table II** Molecular and clinical findings of patients with putative pathogenic abnormalities.

	Case 1	Case 2	Case 3	Case 4	Case 5	Case 6	Case 7	Case 8
Affected gene/region	AR	AR	AR	AR/HOXB6	BNC2	SRD5A2	HSD3B2	Y chromosome <sup>a</sup>
Ethnic origin	Japanese	Japanese	Japanese	Japanese	Japanese	Vietnamese	Vietnamese	Japanese
Family history of DSD	No	No data	No	No data	No	No	No	No
Clinical features								
Hypospadias <sup>b</sup>	Anterior	No data	Posterior	No data	Posterior	Posterior	Posterior	Posterior
Cryptorchidism	No	No data	No	No data	No	No	Yes (right)	No
Micropenis	No	No data	Yes	No data	No	Yes	Yes	No
Other features	No	No data	No	No data	No	No	No	Borderline MR
Endocrine findings								
Age at examination	No data	No data	15 months	No data	No data	No data	3.5 years	No data
LH (IU/l) <sup>c</sup>	No data	No data	$< 0.2$ ( $< 0.2-0.3$ )	No data	No data	No data	No data	No data
FSH (IU/l) <sup>c</sup>	No data	No data	$< 1.0$ ( $< 1.0-1.5$ )	No data	No data	No data	No data	No data
Testosterone (nmol/l) <sup>c</sup>	No data	No data	0.17 (0.10-0.45)	No data	No data	No data	0.16 (0.10-0.45)	No data

DSD, disorders of sex development; MR, mental retardation; LH, luteinizing hormone; FSH, follicle stimulating hormone.

<sup>a</sup>Copy-number alterations on Y chromosome.

<sup>b</sup>Position of urethral opening.

<sup>c</sup>Hormone values in parentheses indicate the reference ranges of age- and sex-matched control individuals.

first time that non-syndromic hypospadias results from digenic mutations. On the other hand, we did not observe the accumulation of rare SNPs in the patient group. Our data suggest that previously reported susceptibility SNPs play no or only minor roles in the development of non-syndromic hypospadias in the Japanese population. However, we cannot exclude the possibility that oligogenicity of these SNPs increases the risk

of the disease, because a small number of our patients carried these SNPs as biallelic or digenic substitutions. Considering the small number of participants of this study, further investigations are necessary to clarify the possible association between rare SNPs and the disease phenotype.

Genome-wide copy-number analysis identified cryptic CNVs only in one patient. Case 8 carried a copy-number gain of a ~23 Mb region

on Yp and Yq and copy-number loss of the remaining Y chromosomal region. FISH analysis revealed that case 8 had mosaic dicentric Y, which has been described in multiple patients with hypospadias (Drummond-Borg *et al.*, 1988; Kojima *et al.*, 2001). It has been proposed that dicentric Y results in hypospadias by mosaic loss of the rearranged Y chromosome or by aberrant expression of Y chromosomal genes (Drummond-Borg *et al.*, 1988; Kojima *et al.*, 2001). The lack of pathogenic CNVs in the remaining 61 cases suggests the rarity of cryptic CNVs as genetic causes of non-syndromic hypospadias.

In this study, putative pathogenic defects were identified predominantly in patients with severe (posterior) hypospadias, while an AR mutation was detected in case 1, who manifested mild (anterior) hypospadias without micropenis or cryptorchidism. In this regard, previous studies have shown that syndromic hypospadias often arises from known gene mutations or chromosomal rearrangements (van der Zanden *et al.*, 2012). These data imply that monogenic mutations can underlie various types of hypospadias, although they are more strongly associated with severe or syndromic hypospadias than with mild non-syndromic hypospadias. Since identification of pathogenic defects can help to predict disease outcomes and improves the accuracy of genetic counseling, genetic analyses should be considered in patients with hypospadias of various clinical severities.

It should be pointed out that the present study has some limitations. First, the patient group consisted of only Japanese and Vietnamese individuals. Since the prevalence of hypospadias varies among countries (Nassar *et al.*, 2007; Serrano *et al.*, 2013), there may be ethnicity-specific causes of hypospadias. For example, mutations in *ATF3*, which account for ~10% of cases in the USA (Kalfa *et al.*, 2008), were absent from our cohort. In contrast, the p.A10T mutation in *HSD3B2* and the p.R227Q mutation in *SRD5A2* were detected exclusively in Vietnamese patients in homozygous state. Thus, our results are not simply applicable to other ethnic groups. Second, the frequency of monogenic defects may be underestimated in this study, because we focused on protein-altering mutations in 25 genes. Mutations/variants in regulatory regions, defects in unexamined genes and epigenetic abnormalities may be hidden in our mutation-negative patients. Lastly, clinical information of our patients remained fragmentary. Although previous studies have revealed that several factors such as low birthweight, placental insufficiency and maternal hypertension are associated with the risk of hypospadias (Stoll *et al.*, 1990; Weidner *et al.*, 1999; Fredell *et al.*, 2002; Brouwers *et al.*, 2010), the contributions of such factors to the disease phenotype of our patients are yet to be studied. Moreover, since endocrine data were unavailable for most of our mutation-positive cases, further studies are needed to elucidate the hormonal characteristics of each monogenic disorder.

## Conclusion

The present study indicates that mutations in known causative genes and submicroscopic CNVs account for > 10% of cases with non-syndromic hypospadias. Pathogenic defects appear to underlie both severe and mild hypospadias. On the other hand, previously reported risk SNPs are unlikely to play a major role in the development of the disease; further studies are required to validate this observation. Most importantly, this is the first report documenting the possible oligogenicity of non-syndromic hypospadias.

## Supplementary data

Supplementary data are available at <http://humrep.oxfordjournals.org/>.

## Authors' roles

M.K., K.No., T.O., and M.F. designed the study. M.K., E.S., V.C.D., Y.H., T.M., K.Mu., K.U., N.I., K.Nag., Y.O., T.H., K.Y., M.I., Y.K.-F., K.Nak., K.Hay., K.Hat., Y.M., K.Mo., and T.O. contributed to the acquisition of data. M.K. and M.F. analyzed data and wrote the paper. All authors were involved in revising the paper and approved the final version of the manuscript for submission.

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## Conflict of interest

The authors declare that there is no conflict of interest.

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