derived from the mild acidolysis of poplar in the region of δ_c/δ_u 98–104/4.5–5.0 ppm, and these correlations were assigned to C₁-H₁ bonds of the phenyl glycoside type LC linkages. Miyagawa et al. (2013) reported correlations at δ_c/δ_u 98.6/4.97 ppm in the 2D HSQC spectrum of a fraction from the MWL residue of eucalyptus that were assigned to the C_{18} - H_{18} bonds of the phenyl glycoside type LC linkages. The assignment of correlations of this particular type, however, remains speculative, because there has not been enough 2D HSQC NMR data (i.e., both ¹H and ¹³C NMR data) collected for synthetic phenyl glycoside type LCC model compounds to allow for accurate assignments. Indeed, there is only a limited set of data available concerning synthetic phenyl glycoside type LCC model compounds, including several monolignol glucosides (i.e., coniferin, syringin and p-glucocoumaryl alcohol) (Terashima et al. 1996; Daubresse et al. 1998; Ralph et al. 2004), and dihydroconiferin (Mazur et al. 2007). Although several coniferyl alcohol β -glycosides have been synthesised, including glucoside, galactoside and fucoside (Delay et al. 1994), and the monolignol and dilignol glucosides have been isolated from the leaves of Pinus contorta subsp. contorta (Higuchi et al. 1977), only their ¹H NMR data have been reported. In contrast, large amounts of data have been published for native lignan glycosides (Sugiyama et al. 1993; Jin et al. 1999; Kamel 2003; Machida et al. 2009; Yuan et al. 2011b), although differences in the conditions under which the NMR measurements were collected have diminished the overall usefulness of these data, and they are consequently not suitable for the analysis of phenyl glyoside-type LCCs. The systematic collection of fundamental data for the correlations between the ¹H and ¹³C signals from the 2D HSOC spectra of phenyl glycoside type LCC model compounds is therefore strongly desired.

Herein, a series of monolignol β-glycosides with different sugar moieties were selected as phenyl glycoside type LCC model compounds (Figure 1), because NMR data of monolignol β-glucosides have been used for the assignment of the signals for phenyl glycoside type LC linkages in previous papers (Balakshin et al. 2011; Yuan et al. 2011a; Miyagawa et al. 2013), and dihydromonolignol and p-hydroxybenzaldehyde derivative β -glycosides which are also useful LCC model compounds with C_a-C_B saturated substructures and C_{\alpha}-oxidised substructures, respectively, are easily obtained. This paper describes the syntheses of monolignol β-glycosides (compounds 1G, 1S, 1H, 2G, 2S, 2H, 3G, 3S, 3H, 4G, 4S and 4H), dihydromonolignol β-glycosides (compounds 5G, 5S, 5H, 6G, 6S, 6H, 7G, 7S, 7H, 8G, 8S and 8H) and p-hydroxybenzaldehyde derivative β-glycosides (compounds 9G, 9S, 9H, 10G, 10S, 10H, 11G, 11S, 11H, 12G, 12S and 12H) shown in Figure 1, and the subsequent acquisition of their NMR data, in what we believe will be the first step towards the accumulation of fundamental HSQC NMR data for the analysis of phenyl glycoside type LCCs.

Materials and methods

Coniferin (1G), syringin (1S) and p-glucocoumaryl alcohol (1H) were synthesised according to the method reported by Terashima et al. (1996) (Figure 2). All of the starting materials were obtained from commercial suppliers and used without further purification. Compound purifications were performed by silica gel column chromatography i.e., 60 N silica gel (spherical, neutral, 100-210 µm, Kanto Chemical Company, Tokyo, Japan). Silica gel plates (Silica gel 60 F254, 0.25 mm

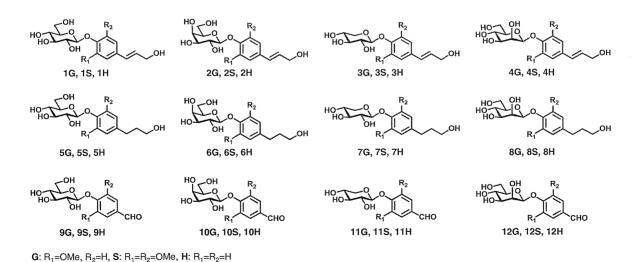


Figure 1 Phenyl glycoside type LCC model compounds in the current study.

Figure 2 Synthetic route for 1,2-trans glycosides (β -glucosides, β -galactosides and β -xylosides) with different lignin moieties (monolignol, dihydromonolignol and p-hydroxybenzaldehyde derivative).

thickness, Merck, Darmstadt, Germany) served for preparative TLC purification (PTLC). The ¹H and ¹³C NMR spectra were recorded on a Varian 500 FT-NMR (500 MHz) spectrometer (Agilent Technologies, Santa Clara, CA, USA). The NMR samples were prepared in CDCl, or DMSO-d_c, with the residual solvent peak as the internal reference. The chemical shifts (δ) and coupling constants (J) are given in ppm and Hz, respectively. All of the peaks in the spectra were assigned by gCOSY, NOESY, gHSQC and gHMBC spectroscopy. Melting points (m.p.) were measured in a micro melting point apparatus (Yanagimoto seisakusho, Kyoto, Japan). Optical rotations were measured with a JASCO Dip-1000 digital polarimeter (Tokyo, Japan).

Koenig-Knorr glycosylation

iii) DIBAL-H/dry toluene/0°C/1 h

 $4-(2',3',4',6'-Tetra-O-acetyl-\beta-D-galactopyranosyloxy)-3-methoxy$ benzaldehyde (18G). Vanillin (13G) (547.7 mg, 3.6 mmol) and 2,3,4,6-tetra-O-acetyl-α-D-galactopyranosyl bromide (17) (Pieber et al. 2010) (1.49 g, 3.6 mmol) were dissolved in quinoline (10 ml) at 0°C. Ag₂O (834.2 mg, 3.6 mmol) was then added with vigorous stirring, and the resulting mixture was stirred at ambient temperature for 1.5 h. The reaction mixture was then passed through a pad of celite (Celite® 535RVS, Nacalai, Kyoto, Japan), and the filtrate was collected and extracted with EtOAc. The EtOAc extract was then washed sequentially with a 1 M HCl solution, a saturated aqueous NaHCO, solution, and brine, before being dried over anhydrous Na, SO,, and evaporated to give the crude product. The crude product was then purified by silica gel column chromatography using an EtOAc/n-hexane eluent (1:2 to 1:1 – v/v) to give compound 18G as viscous oil (1.70 g, 97.7% yield).

Compounds 18S, 18H, 21G, 21S, and 21H were prepared according to the procedure described above for the preparation of 18G in yields of 99.1, 34.2, 96.3, 52.8 and 58.2%, respectively.

Knoevenagel condensation

(E)-1-Ethoxy-3-[3-methoxy-4-(2',3',4',6'-tetra-O-acetyl- β -Dgalactopyranosyloxy) phenyl]-2-propene-1-one (19G). Compound 18G (1.08 g, 2.2 mmol) and monoethyl malonic acid (Hediger 2004) (384.2 mg, 2.9 mmol) were dissolved in a mixture of pyridine (6 ml) and piperidine (0.1 ml), and the resulting solution was stirred at 100°C for 1.5 h. The mixture was then evaporated to dryness to give crude the product, which was purified by a silica gel column chromatography with EtOAc/n-hexane (2:1 – v/v) as eluent to give compound 19G as an off-white crystalline solid (1.12 g, 96.2% yield).

Compounds 19S, 19H, 22G, 22S and 22H were prepared according to the procedure described above for the preparation of 19G, except the purifications by column chromatography were performed with a mixture of EtOAc/n-hexane (1:1 - v/v) as eluent for 22G, 22S and 22H. The products were isolated in yields of 97.0, 52.2, 76.5, 70.9 and 81.6%, respectively.

Reduction with DIBAL-H

(E)-3-[3-Methoxy-4-(β -D-galactopyranosyloxy) phenyl]-2-propene-1-ol (2G). Diisobutylaluminum hydride (DIBAL-H) (1 M in toluene, 10.4 ml, 10.4 mmol) was added in a drop-wise manner to a solution compound 19G (552.7 mg, 1.1 mmol) in dry toluene (15 ml) at 0°C over a period of 10 min, and the resulting solution was stirred at 0°C for 1 h. The excess DIBAL-H was then quenched via the slow addition of EtOH (20 ml) to the reaction flask, and the resulting mixture was stirred at 0°C for 30 min. The solvents were then removed in vacuo to give a white powder, which was suspended in boiling water and filtered. This suspension and filtration process was repeated three times in total. The combined filtrates were evaporated to the give crude product, which was recrystallised from EtOH of give compound 2G as white crystals (191.3 mg, 52.6% yield).

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Table 1 1 H, 13 C NMR, m.p. and optical rotations data of monolignol β -glycosides.

	1 G	15	1H	2G	25	2H	3G	3\$	3H	4G	45	4H
¹H-NMR (p	pm)											
H1	4.88 d	4.91 d	4.84 d	4.84 d	4.82 d	4.80 d	4.87 d	4.93 d	4.84 d	5.01 s	4.86 d	5.12 s
	(7.5)	(7.5)	(7.5)	(7.5)	(7.5)	(7.5)	(7.0)	(6.5)	(7.5)		(0.5)	
H2	3.22-3.31	3.17-3.21	3.20-3.27	3.50-3.58	3.46-3.52	3.52-3.57	3.18-3.25	3.27 m	3.19-3.37	3.84 d	3.84 s	3.83 dd
										(4.0)		(1.5, 5.0)
H3	3.22-3.31	3.03 m	3.20-3.27	3.40-3.47	3.46-3.52	3.40 m	3.18-3.25	3.22 dd	3.19-3.37	3.38-3.50	3.26 dd	3.38-3.42
								(5.0, 8.0)			(3.0, 9.0)	
H4	3.16 m	3.13 m	3.15 m	3.69 t	3.65 t	3.69 t	3.30-3.35	3.30-3.38	3.19-3.37	3.38-3.50	3.33-3.38	3.38-3.42
				(4.0)	(4.0)	(4.0)						
H5a	3.22-3.31	3.17-3.21	3.20-3.27	3.51-3.56	3.25 t	3.52-3.57	3.18-3.25	2.98 dd	3.19-3.37	3.18 m	2.98 m	3.23 m
					(6.5)			(4.0, 11.5)				
H5b	_	_	_	_	-	_	3.71 dd	3.75-3.77	3.73 dd	_	_	-
							(5.5, 11.5)		(5.0, 11.0)			
Нба	3.44 dt	3.40 dt	3.46 dt	3.51-3.56	3.33 m	3.48 m	_	_	_	3.38-3.50	3.45 dd	3.45 m
	(5.5, 12.0)	(5.5, 11.5)	(6.0, 11.5)								(6.0, 11.5)	
H6b	3.66 m	3.59 m	3.69 m	3.44-3.48	3.46-3.52	3.52-3.57	_	_	_	3.70 m	3.65 d	3.71 m
											(11.5)	
Ηα	6.47 d	6.46 d	6.48 d	6.46 d	6.46 d	6.48 d	6.46 d	6.47 d	6.48 d	6.47 d	6.46 d	6.48 d
	(16.0)	(16.0)	(16.0)	(16.0)	(16.0)	(16.0)	(16.0)	(15.5)	(16.5)	(16.0)	(16.0)	(16.0)
Нβ	6.28 d	6.34 dt	6.24 dt	6.27 dt	6.33 dt	6.24 dt	6.28 dt	6.34 dt	6.23 dt	6.28 dt	6.36 dt	6.23 dt
	(5.0, 15.5)	(5.5, 16.0)	(5.5, 16.0)	(5.5, 16.0)	(5.0, 15.5)	(5.0, 16.0)	(5.0, 16.0)	(5.0, 15.5)	(5.0, 16.0)	(5.0, 15.5)	(5.0, 16.0)	(5.0, 16.0)
Ηγ	4.09 dt	4.10 m	4.08 dd	4.09 dt	4.10 dt	4.09 dt	4.09 dt	4.11 dt	4.08 dt	4.09 dt	4.11 d	4.08 m
	(2.0, 5.5)		(3.5, 5.0)	(1.5, 5.5)	(1.0, 5.0)	(1.5, 5.5)	(2.0, 5.5)	(1.5, 5.0)	(1.5, 5.5)	(1.0, 5.0)	(4.5)	
H2'	7.06 d	6.73 s	7.34 dt	7.05 d	6.72 s	7.34 dt	7.06 d	6.71 s	7.34 dt	7.06 d	6.75 s	7.34 dt
	(1.5)		(3.0, 8.5)	(2.0)		(2.5, 9.0)	(2.0)		(3.0, 8.5)	(2.0)		(2.0, 8.5)
H3'	_	_	6.97 dt	_	_	6.97 dt	_	_	6.95 dt			6.95 dt
			(2.5, 9.0)			(2.5, 9.0)			(2.5, 9.0)			(2.5, 9.0)
H5'	7.01 d	_	6.97 dt	7.01 d	_	6.97 dt	6.97 d	-	6.95 dt	7.03 d	_	6.95 dt
	(8.0)		(2.5, 9.0)	(8.5)		(2.5, 9.0)	(8.5)		(2.5, 9.0)	(8.0)		(2.5, 9.0)
H6′	6.89 dd	6.73 s	7.34 dt	6.89 dd	6.72 s	7.34 dt	6.89 dd	6.71 s	7.34 dt	6.88 dd	6.75 s	7.34 dt
	(1.5, 8.5)		(3.0, 8.5)	(2.0, 8.5)		(2.5, 9.0)	(2.0, 8.5)		(3.0, 8.5)	(2.0, 8.5)		(2.0, 8.5)
-OMe	3.78 s	3.77 s	_	3.78 s	3.75 s	-	3.77 s	3.76 s	-	3.78 s	3.79 s	_
13C-NMR (p	opm)											
C1	100.0	102.5	100.3	100.7	103.4	101.0	100.8	102.7	100.8	98.7	101.6	97.7
C2	73.2	74.2	73.2	70.3	71.4	70.3	73.0	73.2	73.1	70.5	70.2	70.5
С3	77.0	77.2	77.0	73.6	73.2	73.3	76.5	75.4	76.4	73.5	73.4	73.4
C4	69.6	70.4	69.7	68.2	68.3	73.7	69.3	69.5	69.4	66.9	66.8	66.8
C5	76.8	76.5	76.6	75.5	75.9	75.5	65.7	65.4	65.7	77.7	77.9	77.7
C6	60.6	60.9	60.7	60.4	60.5	60.7	_	_	_	61.1	61.3	61.0
Cα	128.4	128.4	128.1	128.9	128.5	128.1	128.3	128.5	128.5	128.4	128.3	128.1

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Cβ 129.0 130.2 Cγ 61.6 61.4 C1′ 130.1 132.6 C2′ 109.8 105.0 C3′ 149.0 152.7 C4′ 146.0 133.8 C5′ 119.0 152.7 C6′ 115.2 105.0 OMe 55.6 56.3 m.p. (°C)	128.8 61.6 130.7 127.2 116.3 156.7	129.4 61.7 131.0 110.0 149.1 146.1	130.2 61.5							
61.6 130.1 109.8 149.0 116.0 115.2 55.6		61.7 131.0 110.0 149.1 146.1	61.5	128.8	129.2	130.3	129.4	129.2	130.5	128.7
130.1 109.8 149.0 146.0 119.0 115.2 55.6		131.0 110.0 149.1 146.1		61.6	61.6	61.5	61.6	61.6	61.4	61.6
109.8 149.0 146.0 119.0 115.2 55.6		110.0 149.1 146.1	132.7	130.6	131.4	132.9	130.8	131.4	133.2	130.5
149.0 146.0 119.0 115.2 55.6		149.1 146.1	104.5	127.2	109.9	103.8	127.2	109.8	104.1	127.2
146.0 119.0 115.2 55.6 185–188		146.1	152.8	116.3	149.3	152.9	116.4	149.3	152.8	116.1
119.0 115.2 55.6 185–188		115.2	134.1	156.8	145.5	132.9	156.4	145.9	133.9	156.4
115.2 55.6 185–188		7.7.7	152.8	116.3	115.9	152.9	116.4	116.6	152.8	116.1
55.6	127.2	119.0	104.5	127.2	118.9	103.8	127.2	119.0	104.1	127.2
185-188	I	55.7	56.4	1	55.6	56.1	1	55.6	56.3	I
185-188										
•	153-155	201-204	186-189	187-189	136-137	124-125	192–196	168-171	n.m.	n.m.
Optical rotation										
[\alpha] _n -58.6 -20.6		-48.2	-19.7	-47.0	-34.5	-38.7	-22.1	-60.5	-39.2	-67.8
Ta 19.5 20.3	22.6	21.7	22.3	22.9	20.9	21.3	22.7	27.7	24.1	19.9
c ^b 1.1 1.0	0.2	1.0	1.1	9.0	0.7	0.8	0.2	0.4	0.3	9.0

Refer to Figure 1 for the structure abbreviations. The values in parentheses are coupling constants (/). d, doublet; t, triplet; dd, doublet; dt, double triplet; m, multiplet; m, melting ml of MeOH) (g/100 r point; n.m., not measured because the compound was oily. ªTemperature (°C). bConcentration (

Compounds 2S, 2H, 3G, 3S and 3H were prepared according to the procedure described above for the preparation of 2G, except compounds 3G, 3S and 3H were recrystallised from a mixture of MeOH/ CH_2Cl_2 (1:4 – v/v). These products were isolated in yields of 59.0, 10.4, 55.7, 14.6 and 72.3%, respectively. ¹H and ¹³C NMR data, m.p. and optical rotations are summarised in Table 1.

Mitsunobu glycosylation

4-(2',3':4',6'-Di-O-isopropylidene-β-D-mannopyranosyloxy)-3methoxy-benzaldehyde (24G). 2',3':4',6'-Di-O-isopropylidene-D-mannopyranose (23) (Cocinero et al. 2008) (260.1 mg, 1 mmol), vanillin (3G) (760.8 mg, 5 mmol), triphenylphosphine (1.31 g, 5 mmol) and powdered 4 Å molecular sieves (2 g) were placed in a flask and dried under vacuum overnight at ambient temperature. Dry toluene (20 ml) was added to the flask, and the resulting mixture was stirred for 10 min. Diisopropyl azodicarboxylate (DIAD; 95%, 1 ml, 5 mmol) was then added to the solution, and the resulting mixture was stirred at ambient temperature for 1.5 h. The mixture was filtered and the filtrate was washed sequentially with a 1 M aqueous NaOH solution, a saturated aqueous NaHCO3 solution, and brine. The organic layer was then dried over anhydrous Na,SO, and concentrated in vacuo to give the crude product, which was dissolved in a mixture of EtOAc and n-hexane (1:4 - v/v) before being held at -20°C overnight. The mixture was then filtered to remove the resulting white precipitate (triphenylphosphine oxide and diisopropyl hydrazine carboxylate), and concentrated. This purification process was repeated twice, and the resulting residue was purified by a silica gel column chromatography with EtOAc/n-hexane (1:4 - v/v) as eluent to give compound 24G (209.6 mg, 53.7% yield) and the corresponding α -anomer (32.6 mg, 8.3% yield) as viscous colourless oils.

Compounds 24S and 24H were prepared according to the procedure described above for the preparation of 24G in yields of 41.2 and 61.4%, respectively.

Knoevenagel condensation

(E)-1-Ethoxy-3-[3-methoxy-4- $(2',3':4',6'-di-O-isopropylidene-\beta-D-isopropylidene-b-isoprop$ mannopyranosy-loxy)phenyl]-2-propene-1-one (25G). Compound 24G (84.8 mg, 0.2 mmol) and monoethyl malonic acid (Hediger 2004) (37.3 mg, 0.3 mmol) were added to a mixture of pyridine (3 ml) and piperidine (0.05 ml), and the resulting solution was stirred at 100°C for 1.5 h. The mixture was then distilled to dryness to give the crude product, which was purified by silica gel column chromatography with EtOAc/n-hexane (1:2 - v/v) as eluent to give compound 25G as a viscous colorless oil (51.3 mg, 50.2% yield).

Compounds 25S and 25H were prepared according to the procedure described above for the preparation of 25G in yields of 72.6 and 59.8%, respectively.

Reduction with DIBAL-H

(E)-3-[3-Methoxy-4-(2',3':4',6'-di-O-isopropylidene- β -Dmannopyranosyloxy)phenyl]-2-propene-1-ol (26G). DIBAL-H (1 M in toluene, 0.18 ml, 0.18 mmol) was added to a solution of compound 25G (33.3 mg, 0.07 mmol) in dry toluene (1.5 ml) at 0°C, and the

G: R₁=OMe, R₂=H, S: R₁=R₂=OMe, H: R₁=R₂=H

- i) PPh₃/diisopropyl azodicarboxylate/dry toluene/MS4Å/r.t./1 h
- ii) Monoethyl malonic acid/pyridine/piperidine/100°C/1.5 h
- iii) DIBAL-H/dry toluene/0°C/1.5 h

- iv) 80%-AcOH/60°C/45 min
- v.) H₂/Pd-C/MeOH/r.t./1.5 h vi) NaOMe/MeOH/r.t./1 h

Figure 3 Synthetic route for 1,2-cis glycosides (β-mannosides) with different lignin moieties (monolignol, dihydromonolignol and p-hydroxybenzaldehyde derivative).

resulting solution was stirred at 0°C for 1 h. The excess DIBAL-H was then quenched via the cautious addition of EtOH (2 ml) to the reaction flask, and the resulting mixture was stirred at 0°C for 30 min. The mixture was then evaporated to dryness to give the crude product, which was purified by PTLC using EtOAc/n-hexane (1:1 - v/v) as the eluent to give compound 26G as a viscous colourless oil (16.2 mg, 53.3% vield).

Compounds 26S and 26H were prepared according to the procedure described above for the preparation of 26G in yields of 33.9 and 65.8% yields, respectively.

Deisopropylidenation

(E)-3-[3-Methoxy-4-(β-D-mannopyranosyloxy)phenyl]-2-propene-1-ol (4G). Compound 26G (13.6 mg, 0.03 mmol) was dissolved in an 80% AcOH aqueous solution (v/v) (1 ml), and the resulting solution was stirred at 60°C for 45 min. The mixture was then concentrated to give the crude product, which was purified by PTLC using MeOH/CH,Cl, (1:4 - v/v) as the eluent to afford compound 4G as a colourless viscous oil (7.6 mg, 69.1% yield).

Compounds 4S and 4H were prepared according to the procedure described above for the preparation of 4G in yields of 52.9 and 53.9%, respectively. ¹H and ¹³C NMR data, m.p. and optical rotations are summarised in Table 1.

Catalytic hydrogenation

3-[3-Methoxy-4-(β-D-galactopyranosyloxy) phenyl]-propan-1-ol (5G). Palladium- activated on carbon (Pd 10%; Pd-C) was added to the solution of compound 1G (20.0 mg, 0.06 mmol) in MeOH (1.5 ml). The reaction mixture was stirred at r.t. for 1 h under H₂. The reaction mixture was then passed through a pad of celite, and the filtrate was evaporated to dryness to give crude product which was purified by PTLC with MeOH/CH₂Cl₂ (1:4 - v/v) as eluent to give compound 5G as a viscous colourless oil (7.5 mg, 81.6% yield).

Compounds 5S, 5H, 6G, 6S, 6H, 7G, 7S, 7H, 8G, 8S and 8H were prepared according to the procedure described above for the preparation of 5G in yields of 77.5, 76.0, 83.1, 86.6, 51.8, 57.3, 68.6, 53.5, 68.0, 76.4 and 66.3% yields, respectively. ¹H and ¹³C NMR data and m.p. are summarised in Table 2.

Deacetylation

4-(β-D-Glucopyranosyloxy)-3-methoxy-benzaldehyde (9G). 28%NaOMe in MeOH was added into the solution of compound 15G (12.1 mg, 0.03 mmol) in MeOH (1 ml) at 0°C. The resulting solution was stirred at r.t. for 1 h, neutralised with Amberlite 120B and filtered. The filtrate was evaporated to dryness to give the crude product, which was purified by PTLC with MeOH/CH₂Cl₂ (1:4 - v/v) as eluent to give compound **9G** as a white crystal (7.5 mg, 94.9% yield).

Compounds 9S, 9H, 10G, 10S, 10H, 11G, 11S and 11H were prepared according to the procedure described above for the preparation of 9G in yields of 87.2, 98.6, 89.2, 86.0, 91.5, 97.2, 74.6 and 96.9% yields, respectively. ¹H and ¹³C NMR data and m.p. are summarised in Table 3.

Deisopropylidenation

 $4-(\beta-D-Mannopyranosyloxy)-3-methoxy-benzaldehyde$ (12G). Compound 24G (17.4 mg, 0.04 mmol) was dissolved in an 80% AcOH aqueous solution (v/v) (1 ml), and the resulting solution was stirred at 60°C for 1.75 h. The mixture was then concentrated to give the crude product, which was purified by PTLC with MeOH/CH,Cl, (1:4 -v/v) as eluent to afford compound 12G as a white crystal (13.7 mg, 98.8% yield).

Compounds 12S and 12H were prepared according to the procedure described above for the preparation of 12G, except the

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30.8

30.8

30.8

31.3

32.0

30.8

31.3

32.0

31.9

31.3

Cα

31.2

31.9

(Table 2 Continued)

	56	55	H5	99	59	Н9	9/	75	HZ.	98	88	8H
СВ	34.5	34.2	34.5	34.5	37.3	34.5	34.5	34.3	34.5	34.4	34.3	34.5
ζ	60.1	60.2	0.09	60.2	60.2	0.09	60.1	60.2	0.09	60.1	60.2	0.09
C1,	135.9	137.9	135.4	135.9	137.9	135.3	136.5	138.2	135.5	136.5	138.5	135.2
C2,	112.8	106.5	129.1	112.9	106.5	129.0	112.9	105.9	129.1	112.8	106.2	129.1
(3,	148.8	152.4	116.1	148.9	152.5	116.1	149.2	152.6	116.3	149.2	152.5	115.8
C4′	144.6	132.6	155.5	144.7	132.7	155.6	144.1	131.5	155.2	144.4	132.5	155.2
C5,	115.4	152.4	116.1	115.5	152.5	116.1	116.3	152.6	116.3	117.1	152.5	115.8
.9J	120.1	106.5	129.1	120.1	106.5	129.0	120.1	105.9	129.1	120.1	106.2	129.1
-OMe	55.6	56.3	ĺ	55.7	56.3	ı	55.6	56.0	ı	55.6	56.3	I
m.p. (°C)												
	113-116	148-150	n.m.	142-145	117-121	n.m.	125-131	147-149	171-174	128-130	118-122	179-182
Rafar to Fig	uire 1 for the ct	Refar to Figure 1 for the structure abbraviations. The values in n	tions The yal	arent	haces are counting constants () d doublate triplated double doublated double triplatem multiplatem n	Constants (A	d doublet: † tri	nlat. dd doubla	doublet dt do	uhla trinlat. m	multiplet: m p	malting

at, Join blet: t, triplet; dd, to Figure 1 for the structure abbreviations. The values in point; n.m., not measured because the compound was oily. Refer

reaction time for compound 12S was 45 min, in yields of 45.7 and 53.8%, respectively. ¹H and ¹³C NMR data and m.p. are summarised in Table 3.

Results and discussion

Preparation of monolignol β-glycosides

A facile method for the synthesis of the monolignol β -glucopyranosides, coniferin (1G), syringin (1S), and p-glucocoumaryl alcohol (1H), has been reported by Terashima et al. (1996). This synthetic strategy consists of three major reactions, including a glycosylation, Knoevenagel condensation, and DIBAL-H mediated reduction, as shown in Figure 2. The glycosylation reaction is the key transformation in this particular sequence, because it proceeds in a stereoselective manner to afford the β-glycoside as a single product. The Koenigs-Knorr reaction is well-known as a useful method for the preparation of 1,2-trans glycosides, such as β -glucoside, β -galactoside, and β-xyloside, because of the neighboring group participation of the O-2 acyl groups, and this transformation was used for the glycosylation step in the synthesis of the monolignol β -glucosides (Terashima et al. 1996). The method for the construction of the monolignol glucosides (1G, 1S, and 1H) was then applied to the synthesis of the monolignol galactopyranosides (2G, 2S, and 2H) and the monolignol xylopyranosides (3G, 3S, and 3H). The synthesis of compound 2G is described as a representative example, as follows. The glycosylation of vanillin (13G) with 2,3,4,6-tetra-O-acetyl-α-D-galactopyranosyl bromide (Pieber et al. 2010) (17) in the presence of Ag₂O in quinoline afforded the corresponding galactopyranoside (18G) in 97.7% yield. The ¹H NMR spectrum of the compound shows a peak at 5.11 ppm (doublet) with a coupling constant (J) of 7.5 Hz, whereas the ¹³C NMR spectrum shows a peak at 99.7 ppm. These data indicated that compound 18G was β-galactopyranoside. The subsequent condensation of compound 18G with monoethyl malonic acid, which was prepared according to Hediger (2004), gave compound **19G**, which was reduced with DIBAL-H to give the target material 2G. The ¹H NMR spectrum of compound 2G was found to be in agreement with that of the previously reported material (Delay et al. 1994). Based on this result, it was therefore possible to obtain the target monolignol galactopyranosides (2S and 2H) and monolignol xylopyranosides (3G, 3S and 3H) based on this method. It is important to note, however, that the reaction conditions in each case do not necessarily represent an optimised process.

Table 3 1 H, 13 C NMR and m.p. data of *p*-hydroxybenzaldehyde derivative β -glycosides.

	9G	95	9H	10G	10S	10H	11G	115	11H	12G	125	12H
¹H-NMR (ppm)											
H1	5.10 d	5.20 d	5.05 d	5.05 d	5.14 d	5.00 d	5.08 d	5.14 d	5.05 d	5.25 s	5.07 d	5.31 d
	(7.5)	(7.5)	(7.5)	(7.5)	(7.5)	(7.5)	(7.5)	(6.5)	(7.0)		(0.5)	(1.0)
H2	3.27-3.31	3.19-3.23	3.26-3.31	3.60-3.65	3.51-3.55	5.41 dt	3.22-3.28	3.30 m	3.24-3.28	3.88 d	3.90 d	3.88 s
						(2.0, 7.5)				(4.0)	(2.5)	
Н3	3.27-3.31	3.19-3.23	3.26-3.31	3.42-3.46	3.33-3.37	3.43 m	3.22-3.28	3.23 m	3.24-3.28	3.39-3.43	3.30 dd	3.40-3.43
											(3.0, 9.5)	
H4	3.17 m	3.13 m	3.18 m	3.71 t	3.65 t	3.71 t	3.33-3.39	3.36-3.40	3.39-3.41	3.39-3.43	3.35-3.39	3.40-3.43
				(3.0)	(3.5)	(3.5)						
H5a	3.39 m	3.07 m	3.40 m	3.60-3.65	3.28-3.32	3.64 t	3.28-3.32	3.02 dd	3.35-3.38	3.29 m	3.00 m	3.30 m
						(6.0)		(9.0, 11.5)				
H5b	_	******		-	******		3.74 dd	3.76 dd	3.76 dd			_
							(4.5, 10.5)	(5.0, 11.5)	(4.0, 9.5)			
H6a	3.45 dt	3.39 dd	3.47 dt	3.44-3.48	3.29-3.33	3.49 dd	_	_	-	3.46 dd	3.44 dd	3.46 dd
	(6.0, 12.0)	(.0, 11.5)	(6.0, 12.0)			(5.5, 11.5)				(6.0, 12.0)	(6.0, 11.5)	(6.0, 11.5)
H6b	3.67 m	3.57 m	3.69 m	3.53 dt	3.47-3.51	3.55 dt	_			3.71 m	3.64 dd	3.72 dd
				(5.5, 16.5)		(2.0, 7.5)					(2.0, 11.5)	(2.0, 12.0)
H2'	7.44 d	7.25 s	7.87 d	7.44 d	7.25 s	7.87 d	7.36 d	7.25 s	7.87 d	7.44 d	7.27 s	7.86 d
	(1.5)		(8.5)	(1.5)		(9.0)	(2.0)		(8.5)	(2.0)		(8.5)
H3′	_	-	7.20 d	_		7.20 d		-	7.19 d	_	_	7.17 d
			(9.0)			(9.0)			(9.0)			(8.5)
H5'	7.28 d	_	7.20 d	7.28 d		7.20 d	7.27 d	_	7.19 d	7.26 d	_	7.17 d
	(7.5)		(9.0)	(8.5)		(9.0)	(8.5)		(9.0)	(8.0)		(8.5)
H6′	7.52 dd	7.25 s	7.87 d	7.52 dd	7.25 s	7.87 d	7.52 dd	7.25 s	7.87 d	7.52 dd	7.27 s	7.86 d
	(1.5, 7.5)		(8.5)	(2.0, 8.5)		(9.0)	(1.5, 8.5)		(8.5)	(2.0, 8.5)		(8.5)
-OMe	3.84 s	3.84 s		3.84 s	3.84 s		3.84 s	3.84 s		3.84 s	3.86 s	-
-CHO	9.86 s	9.86 s	9.89 s	9.86 s	9.86 s	9.89 s	9.86 s	9.88 s	9.89 s	9.86 s	9.89 s	9.89 s
¹³ C-NMR (
C1	99.3	101.8	99.7	100.0	102.5	100.3	100.1	102.4	100.2	97.8	101.3	97.2
C2	73.0	74.2	76.5	75.6	71.3	70.1	72.9	73.3	72.9	70.2	70.2	70.2
С3	76.8	76.6	73.1	73.5	73.3	73.2	76.6	75.4	76.3	73.4	73.4	73.3
C4	69.5	69.8	69.5	68.1	67.9	68.1	69.2	69.4	69.3	66.7	66.8	66.7
C5	77.1	77.5	77.1	75.6	75.7	75.7	65.8	65.6	65.8	77.9	78.0	77.8
C6	60.5	60.7	60.6	60.3	60.1	60.3	_	_	_	61.0	61.2	61.0
C1′	130.5	131.4	130.5	130.4	131.4	130.4	130.7	131.9	130.6	97.8	131.9	130.4
C2′	110.4	107.3	131.6	110.5	107.4	131.6	110.7	106.9	131.6	110.4	107.2	131.6
C3'	149.3	152.9	116.4	149.3	153.0	116.4	149.4	153.3	116.4	149.4	153.2	116.3
C4′	151.7	139.6	162.1	151.8	139.7	162.2	151.5	138.9	161.9	151.7	140.0	161.9
C5′	114.5	152.9	116.4	114.5	153.0	116.4	114.8	153.3	116.4	115.2	153.2	116.3
C6′	125.4	107.3	131.6	125.3	107.4	131.6	125.1	106.9	131.6	125.6	107.2	131.6

(Table 3 Continued)

	96	56	Н6	106	105	10H	116	115	11H	126	125	12H
-OMe	55.6	56.4	1	55.6	56.4	I	55.6	56.3	1	55.6	56.5	ı
-CHO	191.5	191.8	191.5	191.5	191.8	191.5	191.5	191.9	191.5	191.5	191.9	191.5
m.p. (°C)												
	178-181	200-203	n.m.	179-184	194-195	165-168	n.m.	143-146	n.m.	166-169	n.m.	n.m.

Refer to Figure 1 for the structure abbreviations. The values in parentheses are coupling constants (). d, doublet; t, triplet; dd, double doublet; dt, double triplet; m, multiplet; m.p., melting point; n.m., not measured because the compound was oily.

Then the synthesis of the monolignol β-mannopyranosides (4G, 4S, and 4H) was investigated. It is widely accepted that it is particularly difficult to achieve the selective synthesis of β-mannosides (i.e., 1,2-cis glycosides) because of the neighboring group participation of the O-2 acyl groups and the anomeric effect (Gridley and Osborn 2000). It is noteworthy that the Koenigs-Knorr method described above is not applicable for the synthesis of the β -mannosides. Cocinero et al. (2008) reported the synthesis of phenyl β-mannopyranoside in high yield based on a β-selective Mitsunobu glycosylation strategy. With this in mind, the application of a similar strategy was tested for the synthesis of the monolignol β-mannopyranosides (Figure 3). This, 2,3:4,6-di-*O*-isopropylidene- D-mannopyranose (23), which was prepared by the reaction of D-mannose with two equivalents of 2-methoxypropene in the presence of p-toluenesulfonic acid (Cocinero et al. 2008), was reacted with vanillin (13G) in presence of triphenylphosphine and DIAD to afford the corresponding mannoside as a mixture of the α - and β-anomers. Pleasingly, however, the α- and β-anomers (24G) were readily separated by a silica gel column chromatography, and obtained in 8.3 and 53.7% yields, respectively. The ratio of α - and β -anomers resulting from this reaction was determined to be 13:87. The configurations of the anomers were confirmed by 1H and 13C NMR spectroscopy. The α -configuration of the α -anomer was confirmed by the presence of a singlet peak in the 'H NMR spectrum at 5.88 ppm, which was assigned to the H₁₀ proton, as well as a signal at 96.9 ppm in the ¹³C NMR spectrum, which was assigned to the C₁ carbon. The H₁₀ signal was also reported as a singlet peak in the ¹H NMR spectra of phenyl 2,3:4,6-di-O-isopropylidene α-mannopyranoside (Cocinero et al. 2008), benzyl 2,3:4,6-di-O-isopropylidene α-mannopyranoside (Chung and Moon 1994), and methyl 2,3:4,6-di-O-isopropylidene α-mannopyranoside (Neogi et al. 2008). On the other hand, the β -configuration of the β -anomer (24G) was confirmed by the presence of a doublet with a J value of 3.5 Hz in its ¹H NMR spectrum at 5.62 ppm corresponding to the H_{18} proton, and a signal in the ¹³C NMR at 96.6 ppm corresponding to the C₁ carbon. The subsequent Knoevenagel reaction of compound 24G with monoethyl malonic acid, followed by the reduction of the resulting compound 25G with DIBAL-H proceeded smoothly to give compound 26G. Pleasingly, the isopropylidene rings of the mannoside were found to be stable under the both reaction conditions. Finally, compound 26G was treated with an 80% AcOH aqueous solution to afford the target material 4G in 69.1% yield. The signals corresponding to the isopropylidene moiety at 1.44, 1.56, and 1.63 ppm and 19.1, 25.9, 26.8, 29.0, 99.7, and 111.7 ppm,

respectively, disappeared in the 1H and 13C NMR spectra of compound 4G (Figure 4), thus the removal of the isopropylidene groups was successful. The correlations derived from C_{18} - H_{18} bonds of sugar moieties of compound **4G** appeared at $\delta_{\rm c}/\delta_{\rm H}$ 100.3/5.01 ppm. On the other hand, Cocinero et al. (2008) reported that the correlation of phenyl β-mannoside appeared at different position (δ_c/δ_H 99.5/5.21 ppm), although the NMR solvent was MeOD. These results reconfirmed the importance of LCC model compounds. Compounds 4S and 4H were also prepared by the same method for compound 4G.

Preparation of dihydromonolignol **β-glycosides**

Catalytic hydrogenation of monolignol β-glycosides (compounds 1G, 1S, 1H, 2G, 2S, 2H, 3G, 3S, 3H, 4G, 4S and 4H) were performed according to the conventional method to give the corresponding dihydromonolignol β-glycosides (compounds 5G, 5S, 5H, 6G, 6S, 6H, 7G, 7S, 7H, 8G, 8S and 8H), because dihydromonolignol β -glycosides are also important phenyl glycoside type LCC model compounds with C_{α} - C_{α} saturated substructures.

Preparation of p-hydroxybenzaldehyde derivative \(\beta \)-glycosides

C_n-oxidised substructures are present in native lignins (Adler and Marton 1959). Thus, p-hydroxybenzaldehyde derivative β -glycosides are also useful as the simplest phenyl glycoside type LCC model compounds with C_{α} -oxidised substructures. β -Glucoside (15G) was deacetylated to give compound 9G in 94.9% yield. Compounds 15S, 15H, 18G, 18S, 18H, 21G, 21S and 21H were also converted to compounds 9S, 9H, 10G, 10S, 10H, 11G, 11S and 11H by the same method for 9G. On the other hand, β-mannoside **24G** was deisopropylidenated to afford compound 12G in 98.8% yield. Compounds 12S and 12H were also prepared based on the same method for 12G.

NMR of β-glycosides of monolignol, dihydromonolignol and p-hydroxybenzaldehyde

The ¹H and ¹³C NMR data in DMSO-d₆ of the compounds indicated are listed in Tables 1-3. The correlations derived from C_{18} - H_{18} bonds of the sugar moieties of the all β -glycosides are summarised in Figure 5, in which several points remarkable. First, the correlations were varied and appeared in the range of $\delta_{\rm c}/\delta_{\rm H}$ 97–100/4.9–5.4 ppm, suggesting that the assignment based on monolignol glucosides [coniferin (1G), syringin (1S) and p-glucocoumaryl alcohol (1H)] were insufficient in the literature (Balakshin et al. 2011; Yuan et al. 2011a; Miyagawa et al. 2013). Second, the C_{18} - H_{18} signals of syringyl β-glycosides (1S-12S) appeared at a lower field compared with the guaiacyl (1G-12G) and p-hydroxyphenyl β -glycosides (1H–12H) in the ¹³C NMR spectra, although no general correlation between the chemical shifts of C_{18} - H_{18} bonds of the glycosides was noted. Third, the guaiacyl (4G, 8G, 12G) and p-hydroxyphenyl β -mannosides (4H, **8H**, **12H**) appeared at $\delta_{\rm C}/\delta_{\rm H}$ 98.7/5.01, 99.0/4.93, 97.8/5.25, 97.7/5.12, 97.9/5.07 and 97.2/5.31 ppm, respectively, although it has been reported that the correlation of $C_{1\alpha}$ - $H_{1\alpha}$ bonds of

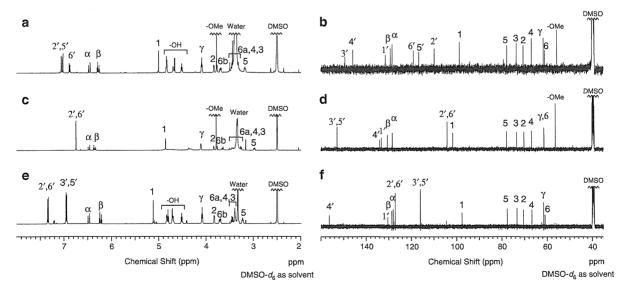


Figure 4 1H and 13C-NMR spectra of monolignol β-mannosides 4G, 4S, 4H. a and b: 1H and 13C-NMR spectra of compound 4G; c and d: 1H and ¹³C-NMR spectra of compound 45; e and f: ¹H and ¹³C-NMR spectra of compound 4H.

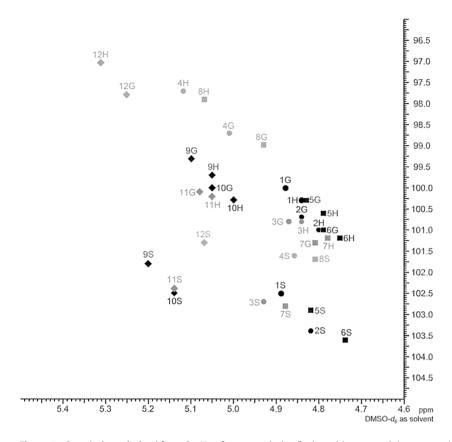


Figure 5 Correlations derived from $C_{1\beta}$ - $H_{1\beta}$ of sugar moieties β-glycosides as model compounds of phenyl glycoside type LC linkages. Closed black circles: coniferin, syringin and p-glucocoumaryl alcohol; closed circles: monolignol β-glycosides; closed squares: dihydromonolignol β-glycosides; closed diamonds: p-hydroxybenzaldehyde derivative β-glycosides; red colour: β-glucosides; blue colour: β-glactosides; green colour: β-xylosides; orange colour: β-mannosides.

the 4-O-methyl- α -D-glucuronic acid moieties of hemicelluloses appeared around $\delta_{\rm c}/\delta_{\rm H}$ 97/5.2 ppm (NMR solvent: NaOD/D₂O) in the previous paper (Teleman et al. 2002). In other words, the correlations derived from guaiacyl and p-hydroxyphenyl β -mannosides were located close to those that are thought to be derived from $C_{1\alpha}$ - $H_{1\alpha}$ bonds of the 4-O-methyl- α -D-glucuronic acid moieties of hemicelluloses. Fourth, the correlations of dihydromonolignol β -glycosides were located close to those of the corresponding monolignol β -glycosides. These results show that the influences of the conjugation extended by C_{α} - C_{β} double bonds to the correlation of sugar moieties are small. Fifth, the correlation of p-hydroxybenzaldehyde derivative β -glycosides appeared far from those of the corresponding monolignol β -glycosides.

Unfortunately, the correlations in Figure 5 are not in perfect agreement with those that have been assigned to C_1 - H_1 bonds of phenyl glycoside type LCCs in the previous papers (Balakshin et al. 2011; Yuan et al. 2011a; Miyagawa et al. 2013), but the correlation map (Figure 5) will be useful for analysis of C_1 - H_1 bonds of phenyl glycoside type LCCs. We are currently investigating the syntheses of

other phenyl glycoside LCC model compounds with more realistic lignin substructures.

Conclusions

Monolignol β -galactosides and β -xylosides were prepared according to the method for β -glucosides (Terashima et al. 1996), and the monolignol β-mannosides were also prepared based on Mitsunobu glycosylation (Cocinero et al. 2008). In addition, dihydromonolignol β-glycosides and *p*-hydroxybenzaldehyde derivative β-glycosides were also prepared from corresponding monolignol β-glycosides and the synthetic intermediates, respectively. Consequently, thirty six β -glycosides with different lignin moieties (monolignol, dihydromonolignol and p-hydroxybenzaldehyde derivative) and sugar moieties (D-glucose, D-galactose, D-xylose and D-mannose) were obtained as phenyl glycoside type LCCs model compounds, and subjected to HSQC NMR measurements. The correlations derived from C18-H18 bonds of sugar moieties of the all β -glycosides varied and were in the range of $\delta_{\rm c}/\delta_{_{\rm H}}$

96–104/4.7–5.4 ppm. Furthermore, it was newly found that the correlations derived from C_{16} - H_{16} bonds of guaiacyl and p-hydroxyphenyl β-mannosides (Compounds 4G, 4H, 8G, 8H, 12G and 12H) were close to those derived from the C_{∞} -H_{\text{--}} bonds of 4-O-methyl-\alpha-D-glucuronic aid moieties described in the literature (Teleman et al. 2002), although the NMR solvent is different.

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ORIGINAL PAPER

Preparation of Langmuir-Blodgett monolayer films of (zinc(II) phthalocyanine)-containing cellulose derivative; the use of 2,3-di-O-myristyl cellulose as a scaffold

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Abstract The 6-O-phthalocyanine cellulose derivative, 2,3-di-O-myristyl-6-O-[p-(9(10),16(17),23(24)tri-tert-butyl-2-zinc(II)phthalocyaninyl-benzoyl)cellulose (8e) was synthesized in a high yield with the degree of substitution of 0.33 for the phthalocyaninyl group (DS_{phthalocyanine}) via the esterification of 2,3di-O-myristyl cellulose (1) with the mono-substituted phthalocyanine derivative ([9(10),16(17),23(24)-tritert-butyl-2-[4-(carboxy)phenoxy]phthalocyaninato] zinc(II), 7). A chloroform solution of compound 8e was more stable under illumination than that of low molecular weight phthalocyanine, [2(3),9(10),16(17), 23(24)-tetrakis(tert-butyl)phthalocyaninato]zinc(II). Langmuir-Blodgett (LB) monolayer films of compound 8e were prepared on a variety of different substrates using the vertical dipping method with an annealing time of 5 min. An LB monolayer film of compound 8e on an indium tin oxide (ITO) electrode exhibited a photocurrent generation performance in the range of 600-700 nm. The photocurrent density of

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Y. Saito (⊠) · H. Kamitakahara · T. Takano Division of Forest and Biomaterials Science, Graduate School of Agriculture, Kyoto University, Kitashirakawa-Oiwake-cho, Sakyo-ku, Kyoto 606-8502, Japan e-mail: ysaito@kais.kyoto-u.ac.jp the film composed of **8e** at 680 nm was better than that of 2,3-di-*O*-myristyl-6-*O*-(zinc(II) phthalocyaninyl) cellulose (**3**) which was the corresponding phthalocyanine-containing cellulose synthesized through a phthalocyanine-ring forming reaction on the cellulose backbone according to an existing procedure.

Keywords Cellulose · Langmuir–Blodgett film · Phthalocyanine · Phthalocyaninato zinc(II) · Regioselective · Photocurrent generation system

Introduction

Photosensitizer-bound cellulose derivatives are useful functional materials with potential applications in biomaterials-based solar cells. Indeed, Langmuir-Blodgett (LB) films constructed from 6-O-porphyrinyl-2,3-di-O-stearoyl cellulose and 6-O-porphyrinyl-2,3-di-O-myristoyl cellulose, have been reported to exhibit higher photocurrent generation performances (photon-to-electron conversion performances) around 430 nm than those of LB films from low molecular weight porphyrin molecules (Sakakibara et al. 2007; Sakakibara and Nakatsubo 2008, 2010). The high performances were thought to be due to high packing of the porphyrin moieties along the cellulose backbone without any aggregation, because it is well-known that the self-aggregation of porphyrin causes the remarkable decline of photocurrent generation performance



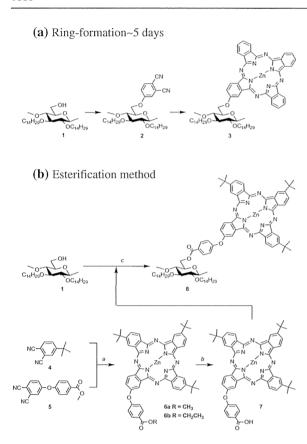


Fig. 1 Synthetic route for phthalocyanine-containing cellulose derivatives **3** (**a**) and **8** (**b**). **a** Ring-formation method [in the previous paper (Saito et al. 2012)]. **b** Esterification methods. *a*: C(CH₃)₃-C₆H₄-(CN)₂/CH₃OCOC₆H₄O-C₆H₃(CN)₂/ZnCl₂/DBN/EtOH/reflux/12 h. *b*: 1 M NaOHaq/THF/reflux/72 h. *c* ZntBPc-COOH/EDC/DMAP/THF/50 °C/5 days

(Choudhury et al. 1998; Imahori et al. 2004). In other words, the cellulose backbone of the cellulose derivatives plays an important role in inhibiting the selfaggregation of the porphyrin moieties as scaffolds in the LB films. The effective utilization of solar light by the LB films has been limited, however, because the main absorption band of porphyrin (i.e., the Soret band) can be anywhere in the range of 400-450 nm (Sakakibara et al. 2007), whereas the target wavelength range for an ideal solar cell is generally considered to span the range of 300-1,200 nm (Burke et al. 2007). In our previous study, we reported the construction of an LB monolayer film of 2,3-di-Omyristyl-6-O-(zinc(II) phthalocyaninyl) cellulose (3) (Table 1) with the aim of moving into these otherwise unused wavelength ranges, except those associated

with porphyrin adsorption (Saito et al. 2012). An LB monolayer film of compound 3 with a degree of substitution of 0.38 for the phthalocyaninyl groups (DS_{phthalocyanine}) showed photocurrent generation performance at 680 nm, but the performance was low. One of the reasons for the low photocurrent generation performance might be that the cellulose backbone of compound 3 did not inhibit the self-aggregation of the phthalocyanine moieties in the LB film sufficiently. Since compound 3 was synthesized by the phthalocyanine-ring formation reaction of 6-O-(3',4'-dicyanophenyl)-2,3-di-O-myristyl cellulose (2) with a DS_{dicyanophenyl} of 0.76 (Fig. 1a), it consequently contained unreacted dicyanophenyl groups and groups derived from the dicyanophenyl groups that had reacted with O-phthalodinitriles but did not form phthalocyanine-ring, except the phthalocyanine moieties with DS_{phthalocyanine} of 0.38 at the O-6 position. Then, the packing of phthalocyanine moieties of compound 3 in the LB film might be disordered by the unwanted groups from the dicyanophenyl groups.

An alternative method for the preparation of phthalocyanine-containing cellulose derivatives involves the direct introduction of a mono-substituted phthalocyanine derivative to 2,3-di-O-myrstyl cellulose (1) (Fig. 1b). The advantage of this method is that only the phthalocyanine groups are introduced to the cellulose backbone of compound 1, although the preparation of mono-substituted phthalocyanine derivatives can be time consuming (Erdem et al. 2008). The porphyrin-appended cellulose derivatives described above were also prepared by the direct esterification of the mono-substituted porphyrin derivatives (Sakakibara et al. 2007; Sakakibara and Nakatsubo 2008, 2010). In the current study, [9(10),16(17),23(24)-tritert-butyl-2-[(4-carboxy)phenoxy]phthalocyaninato]zinc(II) (ZntBPc-COOH, 7) was selected as the mono-substituted phthalocyanine derivative because of its good solubility profile in organic solvents, including chloroform and tetrahydrofuran (THF) (Mori et al. 2010), and then the phthalocyanine-containing cellulose derivative, that is, 2,3-di-O-myristyl-6-O-[p-(9(10),16(17),23(24)-tri-tert-butyl-2-zinc(II)phthalocyaninyl)-benzoyl]cellulose (8) was prepared via the direct esterification of compound 1 with ZntBPc-COOH (7) (Fig. 1b) to improve the photocurrent generation performance of its LB monolayer film of compound 3.



Experimental

Materials

2,3-Di-O-myristyl cellulose (1) with a DS_{myristyl} of 1.64 (determined by elemental analysis) and a number-averaged degree of polymerization (DP_n) of 33 ($M_{\rm w}/M_{\rm n}=1.56$) was prepared according to a previously reported procedure (Saito et al. 2012). [2(3),9(10),16(17),23(24)-Tetrakis(tert-butyl)phthalocyaninato]zinc(II) (ZntBPc) was prepared according to the method of Mori et al. (2010) to be used as a reference sample for the UV–vis measurements. All of the other chemicals were purchased from commercial sources and used without further purification, unless otherwise specified. THF and chloroform were distilled from potassium and P_2O_5 , respectively, prior to their use in the esterification reaction.

[9(10),16(17),23(24)-Tri-*tert*-butyl-2-[(4-carboxy)phenoxy]phthalocyaninato]zinc(II) (Zn*t*BPc-COOH, 7)

4-*Tert*-butylphthalonitrile (4, 1.48 g, 8.03 mmol), 4-(4'methoxycarbonyl)phenoxyphthalonitrile (5, 0.557 g, 2.00 mmol) which was prepared using the method described by Mori et al. (2010), ZnCl₂ (0.552 g, 4.05 mmol), and 1,5-diazabicyclo[4.3.0]non-5-ene (DBN) (1.5 mL, 12.1 mmol) were dissolved in ethanol (14.0 mL), and the resulting mixture was refluxed for 12 h. The mixture was then cooled and concentrated in vacuo to give the crude product as a residue, which was purified by sequential silica gel column chromatography (silica gel: Silica Gel 60 N (Kanto Chemical Co., Tokyo, Japan); eluent: dichloromethane) and preparative thin-layer chromatography (preparative TLC; silica gel plate made from Silica gel 60 PF254 (Merck, Darmstadt, Germany) with a thickness of 2 mm, eluent: dichloromethane) to afford a mixture of compounds 6a and 6b (0.203 g). The mixture was dissolved in THF (10 mL) before being treated with a 1 M aqueous NaOH solution (2.3 mL) and stirred under reflux for 72 h. The mixture was then cooled to ambient temperature and concentrated in vacuo to give a residue, which was suspended in distilled water (20 mL) and stirred under reflux for 1 h before being neutralized by the addition of acetic acid (0.13 mL, 2.3 mmol). The resulting precipitate was collected by filtration and dried in vacuo at ambient temperature to give compound 7 (0.193 g, 10.9 % yield) as a dark blue solid.

2,3-Di-*O*-myristyl-6-*O*-[*p*-(9(10),16(17),23(24)-tri-*tert*-butyl-2-zinc(II)phthalocyaninyl)-benzoyl]cellulose (**8e**)

Compound 7 (87.6 mg, 0.099 mmol), 1-ethyl-3-(3dimethylaminopropyl)carbodiimide hydrochloride (EDC) (31.8 mg, 0.166 mmol), and 4-(dimethylamino)pyridine (DMAP) (19.6 mg, 0.160 mmol) were dissolved in anhydrous THF (0.5 mL), and the resulting mixture was stirred at ambient temperature for 30 min. A solution of 2,3-di-O-myristyl cellulose (1) (10.1 mg, 0.021 mmol) in THF (1.25 mL) was then added to the reaction in a drop-wise manner with constant stirring. The resulting mixture was then stirred at 50 °C for 5 days under an atmosphere of nitrogen, before being added to methanol (50 mL) in a drop-wise manner. The resulting precipitate was collected by centrifugation $(14,000 \times g, 10 \text{ min})$ and washed with methanol, before being suspended in chloroform (5 mL). The suspension was then added to methanol (50 mL) in a drop-wise manner, and the resulting precipitate was collected by centrifugation (14,000×g, 10 min) and washed with methanol, before being suspended in chloroform (10 mL). The suspension was filtered to remove insoluble materials, and the filtrate was collected and added to methanol (50 mL) in a dropwise manner. The resulting precipitate was collected by centrifugation $(14,000 \times g, 10 \text{ min})$ and washed with methanol before being dissolved in chloroform (5 mL). The solution was then added to methanol (50 mL) in a drop-wise manner, and the resulting precipitate was collected by centrifugation $(14,000 \times g,$ 10 min) and washed with methanol before being purified by gel filtration chromatography on a Sephadex LH-20 column (GE Healthcare, Tokyo, Japan) using 5 % methanol in chloroform (v/v) as the eluent to afford the 6-O-phthalocyanine-cellulose derivative 8e (16.2 mg, ~ 100 %) as a dark blue solid.

Compound **8e**; DS_{phthalocyanine}: 0.33 (determined by the UV–vis method); DP_n: 21 ($M_{\rm w}/M_{\rm n}=1.75$); ¹H NMR (chloroform-d): δ 9.84-6.76 (zinc(II) phthalocyaninyl-H, phenyl-H), 5.18-2.80 (cellulose ring-H, myristyl-OCH₂–), 2.46-0.55 (myristyl-CH₂–, and –CH₃, *tert*-butyl-CH₃) ppm; FT-IR (KBr): v 3,435 (OH), 2,954, 2,924, 2,854, 1,724 (COO), 1,600, 1,485, 1,463 (C–H of AGU), 1,394, 1,365 (C–H of AGU), 1,323,



1,261, 1,238, 1,161, 1,089, 1,051, 939, 920, 833, 758 cm⁻¹; UV–vis (in chloroform): λ (log ϵ) 678 (4.3), 643 (4.2), 341 (4.3) nm.

Measurements

The FT-IR spectra were recorded from KBr pellets using a Shimadzu IRPrestige-21 spectrophotometer (Shimadzu Co., Kyoto, Japan). Matrix-assisted laser desorption/ionization time-of-flight mass (MALDI-TOF MS) spectra were recorded on a Bruker MALDI-TOF MS autoflex III (Bruker Daltonics, Bremen, Germany) using dithranol as a matrix in the positive ion and reflector modes with angiotensin II for external calibration. Gel permeation chromatography (GPC) was performed on a Shimadzu LC-10 system equipped with a Shimadzu UV-vis detector (SPD-10Avp) and a Shimadzu RI detector (RID-10A) under the following conditions. Columns: Shodex columns K-802, K-802.5, and K-805 connected in series (Showa Denko K. K., Tokyo, Japan); column temperature: 40 °C; eluent: chloroform; flow rate: 1.0 mL/ min; standards: polystyrene standards (Shodex, Showa Denko K. K.). The ¹H NMR spectrum was recorded on a Varian 500 MHz FT-NMR (500 MHz) spectrometer (Agilent Technologies, Santa Clara, CA, USA) in chloroform-d. The chemical shift (δ) value have been given in parts per million (ppm). The UV-vis spectra were recorded on a Jasco V-560 UV-vis spectrophotometer (Jasco, Tokyo, Japan) in chloroform. The DS_{phthalocyanine} of compound 8e was estimated by UVvis method (UV detection: 341 nm) with calibration curves from ZntBPc (UV detection: 348 nm).

Photostability test of cellulose derivative **8e** in chloroform

Two separate solutions of compound **8e** in chloroform (0.05 mg/mL) were prepared. One was kept at 23 °C in the presence of light (under continuous illumination using a FHF32EX-N–H fluorescent lamp, Panasonic, Osaka, Japan), whereas the other was held at 23 °C in the absence of light (covered with aluminum foil). Samples of both solutions were collected and analyzed by UV–vis measurements in the range of 230–800 nm at the time points described in Fig. 5. Two separate solutions of ZntBPc in chloroform (0.004 mg/mL) and those of compound **3** in chloroform (0.04 mg/mL) were prepared with almost same initial absorbance at

678 nm and subjected to the test conditions described above as controls.

Preparation of LB monolayer films of cellulose derivative **8e**

A solution of compound 8e in chloroform (0.5 mg/ mL) was spread onto a water subphase in a Tefloncoated trough (331 \times 100 \times 5 mm, USI-3-22T, USIsystem, Fukuoka, Japan). The water used in the subphase was ultrapure water obtained from a Mili-O water purification system (Merck, Millipore division, Tokyo, Japan). The solvent was evaporated over a period of 30 min and the surface pressure (π) -area (A) isotherms were measured at a constant compression rate of 6 mm/min. The surface pressure was measured using a Wilhelmy-type film balance. Prior to the deposition of the surface monolayer onto the substrates, the surface pressure was held at 10 mN/m for 5, 10, and 30 min. The vertical dipping method and horizontal lifting method were used to deposit the surface monolayers onto the substrates with an upward and downward stroke rate of 6 mm/min. The surface pressure was held at 10 mN/m throughout the deposition process, and the surface temperature was maintained at 20 °C to prepare the LB films. An LB film of compound 3 with a $DS_{phthalocyanine}$ of 0.38 and a $\mathrm{DP_n}$ of 25 $(M_\mathrm{w}/M_\mathrm{n}=2.20)$ was also prepared as a reference sample.

Characterization of the LB monolayer films of cellulose derivative **8e**

Polarized UV-vis spectra of the monolayer films were recorded on a Jasco V-560 UV-vis spectrophotometer equipped with a GPH-506 polarizer and RSH-680 revolving sample holder (Jasco, Tokyo, Japan). Observations of the monolayer films by atomic force microscopy (AFM) were performed in dynamic mode using a Shimadzu SPM-9600 AFM system (Shimadzu, Kyoto, Japan) equipped with a tetrahedral shaped silicon cantilever (AC240TS-C2, Olympus, Tokyo, Japan). Photocurrent measurements of the monolayer films were performed according to the method reported by Sakakibara and Nakatsubo (2010). Cyclic voltammograms (CVs) of the monolayer films were measured according to the method reported by Yang et al. (2003) using an electrochemical analyzer (ALS650B, BAS, Tokyo, Japan).



Table 1 List of acronyms and abbreviations

Compound 3	2,3-di-O-myristyl-6-O-(zinc(II) phthalocyaninyl) cellulose (6-O-phthalocyaninyl cellulose derivative synthesized via ring-formation reaction of phthalocyanine, See Fig. 1a)
Compound 8	2,3-di- <i>O</i> -myristyl-6- <i>O</i> -[<i>p</i> -(9(10),16(17),23(24)-tri- <i>tert</i> -butyl-2-zinc(II)phthalocyaninyl-benzoyl)cellulose (6- <i>O</i> -phthalocyaninyl cellulose derivative synthesized via esterification reaction, See Fig. 1b)
TPP-COOH	5-(4'-carboxyphenyl)-10,15,20-triphenylporphin
ZntBPc	[2(3),9(10),16(17),23(24)-tetrakis(tert-butyl)phthalocyaninato]zinc(II)
ZntBPc-COOH	mono-carboxylic acid of [2(3),9(10),16(17),23(24)-tetrakis(tert-butyl)phthalocyaninato]zinc(II)
AFM	atomic force microscopy
AGU	anhydroglucose unit
CV	cyclic voltammogram
DBN	1,5-diazabicyclo[4.3.0]non-5-ene
DCC	<i>N,N'</i> -dicyclohexylcarbodiimide
DMAP	4-(dimethylamino)pyridine
DS	degree of substitution
EDC	1-ethyl-3-(3-dimethylaminopropyl)carbodiimide
GPC	gel permeation chromatography
LB film	Langmuir-Blodgett film
THF	tetrahydrofuran
TLC	thin-layer chromatography

Results and discussion

Preparation of 2,3-di-*O*-myristyl-6-*O*-[*p*-(9(10),16(17),23(24)-tri-*tert*-butyl-2-phthalocyaninyl)-benzoyl] cellulose (**8**)

2,3-Di-O-myristyl cellulose (1) was synthesized from 6-O-(4-methoxytrityl)cellulose in 76.7 % yield using a previously reported procedure (Saito et al. 2012). ZntBPc-COOH (7) was prepared according to a modified version of the method reported by Mori et al. (2010) where ethanol was used instead of npentanol as the solvent for the phthalocyanine-ring forming reaction. The phthalocyanine-ring forming reaction between 4-tert-butylphthalonitrile (4) and 4-(4'-methoxycarbonyl)phenoxyphthalonitrile (5) was conducted in ethanol under reflux over a period of 12 h to afford a crude product mixture of mono-substituted phthalocyanine derivatives (6a: methyl ester and 6b: ethyl ester), which were isolated from the other products by silica gel column chromatography followed by preparative TLC. In contrast, the purification of the corresponding mono-substituted phthalocyanine derivative (n-pentyl ester) by the same reaction in npentanol was achieved by active alumina column chromatography followed by preparative high-performance liquid chromatography in the literature (Mori et al. 2010). The use of ethanol as a solvent contributed to the easier purification of *mono*-substituted phthalocyanine derivatives **6a** and **6b**. Subsequent base-mediated hydrolysis of the mixture of compounds **6a** and **6b** with a 1 M aqueous NaOH solution under reflux for 72 h gave ZntBPc-COOH (7) in 10.9 % yield. The FT-IR and MALDI-TOF MS spectra of compound **7** were in good agreement with those reported in the literature (data not shown) (Cid et al. 2009; Mori et al. 2010).

Sakakibara et al. (2007) reported that the reaction of 2,3-di-*O*-stearoyl cellulose with a *mono*-porphyrin carboxylic acid derivative (TPP-COOH) in dichloromethane at ambient temperature over a period of 5 days in the presence of *N*,*N'*-dicyclohexylcarbodiimide (DCC) and DMAP gave a porphyrin-containing cellulose derivative with a DS_{porphyrin} of 0.64 in 90 % yield. With this in mind, compound 1 was treated with ZntBPc-COOH (7) in chloroform at ambient temperature for 5 days in the presence of DCC and DMAP to afford compound 8a (Entry a in Table 2). Chloroform was used as a solvent instead of dichloromethane because compound 1 was more soluble in chloroform.

The DS_{phthalocyanine} of compound **3** was estimated using the UV-vis method (detection wavelength: 676 nm) in the previous paper (Saito et al. 2012).



Table 2 Esterification conditions of compound 1^a

Entry	ZntBPc-COOH (eq)	Condensing agent	Solvent	Temperature (°C)	Product	b phthalocyanine	Yield (%)
a	4	DCC	CHCl ₃	r.t.	8a	0.07	89.0
b	4	DCC	THF	r.t.	8b	0.03	96.2
c	4	EDC	THF	r.t.	8c	0.16	78.3
d	5	EDC	THF	r.t.	8d	0.38	93.5
e	5	EDC	THF	50	8c	0.33	~100

^a Reaction time was 5 days

But, it was newly found that the O bands might be influenced by the aggregation of compound 3. Then, the DS_{phthalocyanine} of compound 8 was estimated by the UV-vis method using Soret bands (detection wavelength: 341 nm) together with the calibration curves from ZntBPc. The DS_{phthalocyanine} of compound 8a was found to be only 0.07. Redl et al. (2001) reported the formation of a porphyrin-containing cellulose derivative with a DS_{porphyrin} of 0.43 in 32 % yield following the reaction of 2,3-di-O-methyl cellulose with TPP-COOH in the presence of EDC and DMAP in a mixture of pentafluorophenol and THF at ambient temperature for 5 days, followed by 4 days of heating under reflux, and an additional week at ambient temperature. When we applied this methodology to the current problem, THF was used as the solvent instead of chloroform. Unfortunately, however, this led to a reduction in the DS_{phthalocyanine} of compound 8b (Entry b). The use of EDC as a condensation reagent instead of DCC was also investigated in the current study. The esterification of compound 1 with ZntBPc-COOH (7) in the presence of EDC system afforded compound 8 with a higher DS_{phthalocyanine} value than the corresponding esterification reaction with ZntBPc-COOH (7) using the DCC system (Entries b and c, respectively). When the amount of ZntBPc-COOH (7) was increased, the DS_{phthalocyanine} of compound 8 also increased (Entry d). Investigation of the reaction temperature revealed that this parameter contributed to higher yield of compound 8 although it did not exert any influence over the DS_{phthalocyanine} of compound 8 (Entry e). Consequently, the highest DSphthalocyanine value and yield observed for compound 8 were 0.38 (Entry d) and ~100 % yield (Entry e), respectively. Compound 8e was subjected to GPC, FT-IR, NMR, and UV-vis analyses. Figure 2 shows the GPC elution curves of

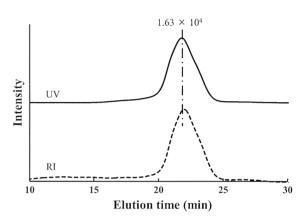


Fig. 2 GPC elution curves of compound 8e (UV detection wavelength was 600 nm)

compound 8e. The peak corresponding to ZntBPc-COOH (7) around 26 min was absent from the UV elution curve of compound 8e, suggesting that ZntBPc-COOH (7) had been completely removed from the material as a consequence of the extensive purification process for compound 8e. The FT-IR spectrum of compound 8e (Fig. 3b) revealed that the characteristic bands assigned to the cellulose backbone at 1,463, 1,365, and 1,040–1,100 cm $^{-1}$ (Fig. 3a), as well as those assigned to the Zn(II) phthalocyanine ring at 1,600, 1,485, 1,394, 1,323, 1,238, 1,161, 1,089, 1,051, and 758 cm⁻¹ (Fig. 3c) (Cid et al. 2009) were all present. A new band derived from the ester group at 1,724 cm⁻¹ (Shirai et al. 1984) was also observed, indicating the formation of the ester link. This suggestion was also supported by ¹H NMR and UVvis data. The broad signals in the range of 5.18–2.80 ppm of the ¹H NMR spectrum of **8e** were derived from protons of the cellulose ring, whereas the signals in the range of 9.84-6.76 ppm were derived from aromatic protons of the phthalocyanine (Fig. S1



^b Determined by UV-vis method (detection wavelength: 341 nm)

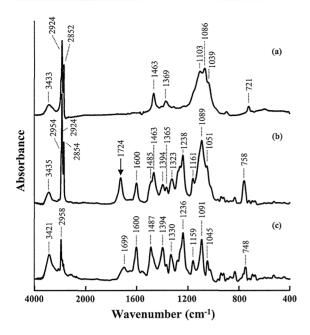


Fig. 3 FT-IR spectra of compounds 1: (a), 8e: (b) and 7: (c)

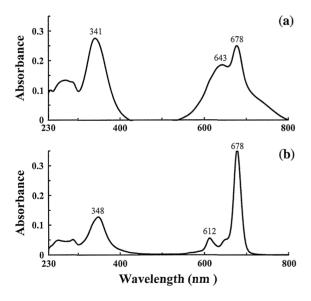


Fig. 4 UV-vis spectra of compounds 8e (0.01 mg/mL): (a), ZntBPc (2 × 10⁻⁶ M): (b) in CHCl₃

of the Supporting Information). The Soret bands were clearly observed in the UV-vis spectra of compound **8e** (Fig. 4a) and ZntBPc (Fig. 4b) in the range of 300-400 nm, the Q bands of these compounds were observed in the same spectra in the range of 600-700 nm. Furthermore, in Fig. 2, the RI and UV elution curves of compound **8e** provided identical

elution profiles. These results effectively reconfirmed that the cellulose backbone was bonded to the phthalocyanine moieties in compound $\mathbf{8e}$. The $DS_{phthalocyanine}$ of compound $\mathbf{8e}$ was found to be 0.33 by the UV–vis method described above. The intensities of the two bands at 643 and 678 nm in the Q bands of compound $\mathbf{8e}$ were almost identical (Fig. 4a) and differed from those in the UV–vis spectrum of ZntBPc (Fig. 4b), suggesting that some of the phthalocyanine units had aggregated in the chloroform solution of compound $\mathbf{8e}$ (Allcock and Neenan 1986). Finally, the DP_n of compound $\mathbf{8e}$ was calculated using the following Eq. (1).

The DP_n of compound **8e** was decreased from 33 to 21 during esterification. Despite of depolymerization of cellulose backbone, the yield of compound **8e** was ~ 100 %. The value seemed to be higher than a real one. One possibility might be that unreacted ZntBPc-COOH aggregates the phthalocyanine moieties of compound **8e** tightly even after an extensive reaction work-up procedure, although further investigations were required.

Photostability test of cellulose derivative **8e** in chloroform

Numerous different studies have appeared in the literature indicating that the photostabilities of phthalocyanines in a variety of different solvents under illumination are generally low (Sobbi et al. 1993; Stota and Dyrda 2003; Isago et al. 2008). For example, [2(3),9(10),16(17),23(24)-teirakis(tert-butyl)phthalocyaninato]antimony(III) in aerated chloroform was reported to be bleached after 252 min under irradiation with visible light (Isago et al. 2008). The photostabilities of the chloroform solutions of compound 8e and controls (compound 3 and ZntBPc) were investigated. Changes in the absorbance properties of the compound 8e solution at 678 nm, and ZntBPc solution at 678 nm, and in that of the compound 3 solution at 676 nm in the presence and absence of light are shown in Fig. 5. When the chloroform solutions of

