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## Supporting Information

Additional supporting information may be found in the online version of this article:

**Fig. S1.** Expression of embryonic stem cell (ESC) markers in abnormally reprogrammed cells (ARCs).

**Fig. S2.** Impaired differentiation of abnormally reprogrammed cells (ARCs).

**Fig. S3.** Expression of c-KIT, CD30, and CD45 in common marmoset dysgerminoma-like cells.

**Fig. S4.** Colony formation of aorta-gonado-mesonephros fibroblasts by the transduction of OCT3/4, SOX2, KLF4, and c-MYC (OSKM) and OSM.

**Fig. S5.** Validation of genes showing upregulation in abnormally reprogrammed cells (ARCs) compared to normal induced pluripotent stem (iPS) A cells in microarray analysis.

**Fig. S6.** Integration of reprogramming genes into the genome of common marmoset dysgerminoma-like cells (CM DGs).

**Fig. S7.** Fluorescence-activated cell sorter analyses to reveal effects of mitomycin C treatment on common marmoset dysgerminoma-like cell lines.

**Fig. S8.** Fluorescence-activated cell sorter analyses to reveal effects of cisplatin treatment on common marmoset dysgerminoma-like cell lines.

**Fig. S9.** Fluorescence-activated cell sorter analyses to reveal effects of irradiation on common marmoset dysgerminoma-like cell lines.

**Fig. S10.** Knockdown of OCT3/4, SOX2, KLF4, or c-MYC by shRNA in common marmoset dysgerminoma-like cell lines.

**Fig. S11.** Induction of cell death in common marmoset dysgerminoma-like cells by BGJ398.

**Table S1.** Lentiviral vector integration sites in common marmoset (CM) dysgerminoma-like cells.

**Table S2.** Human homologs of candidate tumor suppressors located on chromosome 4q in common marmoset (CM).

**Video S1.** *In vitro* differentiation assay to assess the ability of abnormally reprogrammed cells to differentiate into cardiomyocytes.

**Data S1.** Materials and Methods.



# Analysis of essential pathways for self-renewal in common marmoset embryonic stem cells



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## ARTICLE INFO

### Article history:

Received 21 November 2013

Revised 12 February 2014

Accepted 12 February 2014

### Keywords:

Embryonic stem cells

Common marmoset

bFGF

TGFβ

Self-renewal

## ABSTRACT

**Common marmoset (CM) is widely recognized as a useful non-human primate for disease modeling and preclinical studies. Thus, embryonic stem cells (ESCs) derived from CM have potential as an appropriate cell source to test human regenerative medicine using human ESCs. CM ESCs have been established by us and other groups, and can be cultured *in vitro*. However, the growth factors and downstream pathways for self-renewal of CM ESCs are largely unknown. In this study, we found that basic fibroblast growth factor (bFGF) rather than leukemia inhibitory factor (LIF) promoted CM ESC self-renewal via the activation of phosphatidylinositol-3-kinase (PI3K)-protein kinase B (AKT) pathway on mouse embryonic fibroblast (MEF) feeders. Moreover, bFGF and transforming growth factor β (TGFβ) signaling pathways cooperatively maintained the undifferentiated state of CM ESCs under feeder-free condition. Our findings may improve the culture techniques of CM ESCs and facilitate their use as a preclinical experimental resource for human regenerative medicine.**

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## 1. Introduction

Human regenerative medicine, including transplantation of various functional cells differentiated from embryonic stem cells (ESCs) or induced pluripotent stem cells (iPSCs), is considered to have great potential for treating various incurable diseases, and has thus attracted much public attention. However, preclinical studies using animal disease models are required to evaluate the efficacy and safety of ESC/iPSC-derived cells prior to their clinical

application. Common marmoset (CM, *Callithrix jacchus*) has recently been recognized as a useful non-human primate for such studies, because of its small size, high reproductive capacity, and genetic similarity to humans [1].

Understanding the molecular mechanisms governing the self-renewal of ESCs is important for the development of technologies to differentiate them into functional cells. Although both human and mouse ESCs are able to self-renew on feeder cells *in vitro*, their growth factor requirements for self-renewal are different. Basic fibroblast growth factor (bFGF), which activates phosphatidylinositol-3-kinase (PI3K)-protein kinase B (AKT) [2,3] and mitogen-activated protein/extracellular signal-regulated kinase kinase (MEK)-extracellular signal-regulated kinase (ERK) pathways [2–8], and transforming growth factor β (TGFβ) leading to the activation of mothers against decapentaplegic homolog 2/3 (SMAD2/3) [2,6–11], maintain the self-renewal of human ESCs and mouse epiblast stem cells (EpiSCs). Conversely, in mouse ESCs, leukemia inhibitory factor (LIF), which activates janus kinase (JAK)-signal transducer and activator of transcription 3 (STAT3) and PI3K-AKT pathways, is known to play important roles in maintaining self-renewal [12–14].

**Abbreviations:** AKT, protein kinase B; bFGF, basic fibroblast growth factor; CM, common marmoset; EB, embryoid body; EpiSCs, epiblast stem cells; ERK, extracellular signal-regulated kinase; ESCs, embryonic stem cells; FCM, flow cytometry; iPSCs, induced pluripotent stem cells; JAK, janus kinase; KSR, knockout serum replacement; LIF, leukemia inhibitory factor; MEFs, mouse embryonic fibroblasts; MEK, mitogen-activated protein/extracellular signal-regulated kinase kinase; PI3K, phosphatidylinositol-3-kinase; RT-PCR, reverse transcription-polymerase chain reaction; SMAD2/3, mothers against decapentaplegic homolog 2/3; STAT3, signal transducer and activator of transcription 3; TGFβ, transforming growth factor β

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<http://dx.doi.org/10.1016/j.fob.2014.02.007>

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ESCs derived from CM have been established by us and others [15–17]. However, the growth factors used in the culture medium are different among reports [15,17–21]. Thus, the most appropriate growth factor and its downstream pathway for maintaining the self-renewal of CM ESCs still remain to be determined.

In the present study, we characterized two CM ESC cell lines, Cj11 and CM40, and found that CM ESCs were more similar to human ESCs rather than mouse ESCs in terms of their growth factor requirement and molecular signaling pathways for self-renewal.

## 2. Materials and methods

### 2.1. CM ESC culture on mouse embryonic fibroblasts (MEFs)

CM ESC lines, CM40 and Cj11, were maintained in CM ESC medium as described before [15] with or without 1:1000 LIF (Wako, Osaka, Japan), 5 ng/ml bFGF (PeproTech, NJ, USA), 5  $\mu$ M PD0325901 (MEK inhibitor, Wako) or 10  $\mu$ M LY294002 (PI3K inhibitor, Santa Cruz Biotechnology, CA, USA). CM40 cell line was established in our laboratory [15], and Cj11 cell line was obtained from WiCell Research Institute [16]. MEFs were prepared from 13.5 dpc embryos from ICR mice (Charles River, Japan) using established procedures [22].

### 2.2. CM ESC culture under feeder-free conditions

CM40 and Cj11 ESC lines were cultured on Matrigel (BD Biosciences, CA, USA)-coated dishes in Essential 8 medium (Life Technologies, NY, USA) or Essential 6 medium (Life Technologies) with or without 1:1000 LIF (Wako), 100 ng/ml bFGF (PeproTech), 2 ng/ml TGF $\beta$  (PeproTech), 5  $\mu$ M PD0325901 (MEK inhibitor, Wako), 10  $\mu$ M LY294002 (Santa Cruz Biotechnology).

### 2.3. CM ESC differentiation

Undifferentiated ESCs were detached from the feeder cells by treatment with 0.25% trypsin (NacalaiTesque, Kyoto, Japan) for 1 min. The collected colonies were processed for embryoid body (EB) formation assay in CM ESC medium on low cell-binding 12-well plates (Nalge Nunc International KK, Japan) for 4 or 8 days. Detailed protocols to differentiate CM ESCs into three germ layers are described in “Supplementary Materials and Methods”.

### 2.4. Immunocytochemistry

Cells were fixed in 4% paraformaldehyde (PFA)/phosphate-buffered saline (PBS) (NacalaiTesque), permeabilized with 0.3% Triton X-100/PBS, blocked with staining buffer (2% fetal bovine serum (FBS)/PBS). The primary antibodies used are shown in Supplementary Table 1. Nuclei were counterstained with DAPI. Images were obtained under a fluorescence microscope (Axiovert 135M; Carl Zeiss, Germany, or BZ-9000; Keyence) and then analyzed by Axiovert software (Carl Zeiss) or BZ-Analyzer software (Keyence).

### 2.5. Flow cytometry (FCM)

CM ESCs were fixed in 4% PFA/PBS, permeabilized with 0.3% Triton X-100/PBS, blocked with staining buffer (2% FBS/PBS), and then incubated with an anti-OCT3/4 antibody (Santa Cruz Biotechnology, sc-5279 or sc-8628). The cells were detected on a FACSVerser flow cytometer (Becton Dickinson, USA), followed by data analysis using FlowJo software (Tomy Digital Biology, Japan).

### 2.6. Reverse transcription-polymerase chain reaction (RT-PCR)

Total RNA was isolated using an RNeasy Mini Kit (Qiagen, USA), and cDNA was synthesized using Superscript III reverse

transcriptase (Life Technologies). Then PCR was carried out using the synthesized cDNA as templates and gene-specific primers (see Supplementary Table 2). The primers were designed based on different exons to span the intervening intron and avoid amplification of contaminating genomic DNA.

### 2.7. Western blotting

Cells were incubated on ice with RIPA buffer containing protease inhibitors (Complete Mini, EDTA-free; Roche, Basel, Switzerland) and a phosphatase inhibitor cocktail (NacalaiTesque). The cell lysates were then resolved by SDS-polyacrylamide gel electrophoresis, followed by immunoblotting. The primary antibodies used are shown in Supplementary Table 3. The signals were detected using a LAS3000 (Fujifilm, Japan). Band intensities were measured by ImageJ software (NIH).

### 2.8. Statistical analysis

Unless otherwise noted, inter-group differences were analyzed using analysis of variance (ANOVA) followed by the Tukey's post-hoc test with GraphPad Prism 5 (GraphPad Software, CA, USA).

## 3. Results

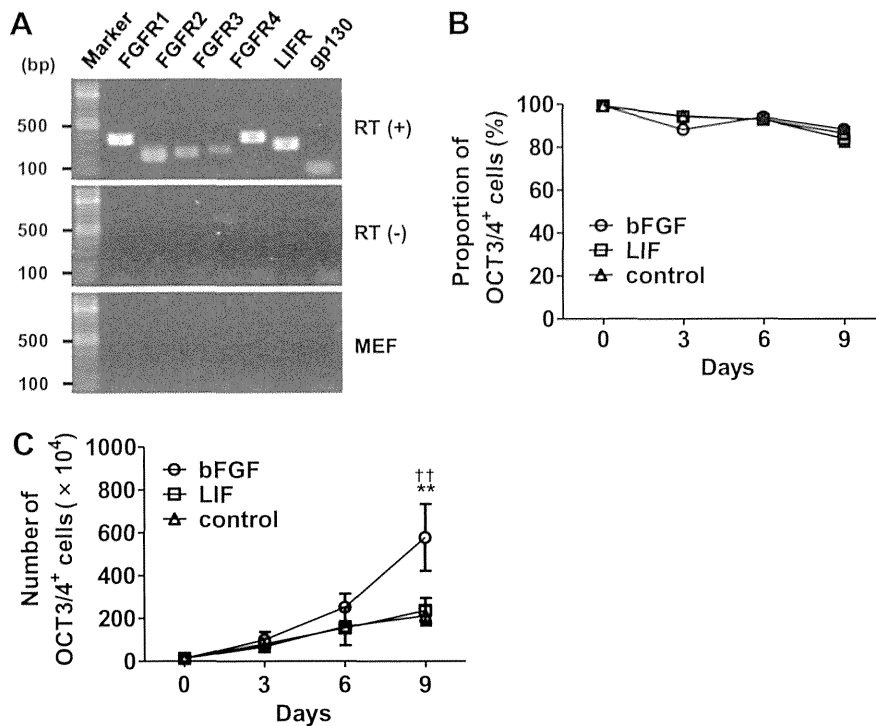
### 3.1. bFGF promotes self-renewal of CM ESCs on feeder cells

bFGF and LIF have been reported to be essential for the maintenance of human and mouse ESCs, respectively [3,12–14,23–27], and either or both of these growth factors were considered to be required for the maintenance of CM ESCs. To determine the optimal condition for culturing CM ESCs, we first examined the expression of receptors for bFGF (FGFR1, FGFR2, FGFR3, and FGFR4) and LIF (LIFR and gp130). RT-PCR analysis demonstrated that all of these receptors were expressed in the CM ESCs (Fig. 1A), suggesting that both growth factors play important roles in the biology of CM ESCs.

In culture, ESCs are generally known to spontaneously differentiate. However, the addition of appropriate growth factors inhibits such spontaneous differentiation. To evaluate the effects of bFGF and LIF on the proliferation and differentiation of CM ESCs in vitro, we passaged CM ESCs at a ratio of 1:3 every three days for three passages, and then counted the numbers of undifferentiated OCT3/4<sup>+</sup> cells. We found that the proportion of OCT3/4<sup>+</sup> cells was unchanged regardless of the addition of bFGF or LIF (Fig. 1B). However, the numbers of OCT3/4<sup>+</sup> cells were significantly increased by the addition of bFGF, but not LIF, compared with those of controls cultured without bFGF and LIF (Fig. 1C). Similar results were obtained when the cells were cultured for more than ten passages (Supplementary Fig. S1 and data not shown). The above experiments were performed using CM40 cell line, and similar results were obtained with Cj11 cell line (Supplementary Fig. S2). These results strongly suggest that bFGF promotes the proliferation of CM ESCs rather than maintaining the undifferentiated state of CM ESCs.

### 3.2. bFGF-PI3K-AKT pathway supports self-renewal of CM ESCs on feeder cells

bFGF and its downstream PI3K-AKT and MEK-ERK pathways are important for the self-renewal of human ESCs [2,3,5,6]. We therefore examined whether these pathways were activated by bFGF for CM ESC self-renewal on feeder cells. CM ESCs were cultured overnight in medium lacking knockout serum replacement (KSR) and any growth factors. Then, we added bFGF (5 ng/ml), and examined the activation of AKT and ERK1/2 in the cells by Western blotting.



**Fig. 1.** bFGF promotes self-renewal of CM ESCs in the presence of feeder support. (A) RT-PCR analysis showing the expression of FGFR1, FGFR2, FGFR3, FGFR4, LIFR, and gp130 genes in CM ESCs (CM40). (B) No effect of bFGF on the proportion of OCT3/4<sup>+</sup> cells. CM ESCs (CM40;  $1.4 \times 10^5$ ) were seeded on mitomycin C (MMC)-treated MEFs and cultured with LIF (open square), bFGF (open circle), or without growth factors (control; open triangle). The percentage of OCT3/4<sup>+</sup> cells was determined by FCM. (C) Enhancement of undifferentiated CM ESC growth by bFGF. CM ESCs (CM40;  $1.4 \times 10^5$ ) were seeded on mitomycin C (MMC)-treated MEFs and cultured with LIF (open square), bFGF (open circle), or without growth factors (control; open triangle). The number of cells was then counted by trypan blue exclusion. The number of OCT3/4<sup>+</sup> cells was determined by multiplying the number of cells by the percentage of OCT3/4<sup>+</sup> cells and the passage ratio together. Data are shown as the mean  $\pm$  SD ( $n = 4$ ). \*\* $P < 0.01$  (bFGF vs. control) and ††  $P < 0.01$  (bFGF vs. LIF). Note that all of PCR in (A) was performed with 30 cycles, and no bands were detected for FGFR expression in MEFs in (A), however, they were faintly done when PCR was performed with 40 cycles.

The results showed that the band intensity of phosphorylated AKT was significantly increased after the treatment with bFGF, while that of phosphorylated ERK1/2 was not changed (Fig. 2A and B). These data suggested that PI3K-AKT, but not MEK-ERK, pathway was activated by bFGF in CM ESCs under feeder-dependent culture condition.

Next, to examine whether bFGF-PI3K-AKT pathway plays any roles in the maintenance of self-renewal of CM ESCs, the cells were cultured in medium containing bFGF in the presence or absence of the PI3K inhibitor, LY294002. We found that the proportion of OCT3/4<sup>+</sup> cells was maintained at approximately 90% for at least three passages when the cells were cultured without LY294002, whereas it was gradually decreased when the cells were cultured with LY294002 (day0,  $96.75 \pm 2.83\%$  vs. day9,  $57.97 \pm 16.76\%$ , Fig. 2C). In addition, OCT3/4<sup>+</sup> cell proliferation was inhibited in the presence of LY294002 (day9, bFGF,  $7.61 \pm 1.59 \times 10^6$  cells vs. bFGF+LY294002,  $2.36 \pm 1.25 \times 10^6$  cells, Fig. 2D). Additionally, even when bFGF was not added, the proportion of OCT3/4<sup>+</sup> cells was significantly reduced by the treatment with LY294002 (Fig. 2C), indicating that PI3K-AKT pathway is activated by unknown factors from MEFs and play roles for self-renewal of CM ESCs. Overall, these results strongly suggest that bFGF-PI3K-AKT pathway is essential for the self-renewal of CM ESCs under feeder-dependent culture condition.

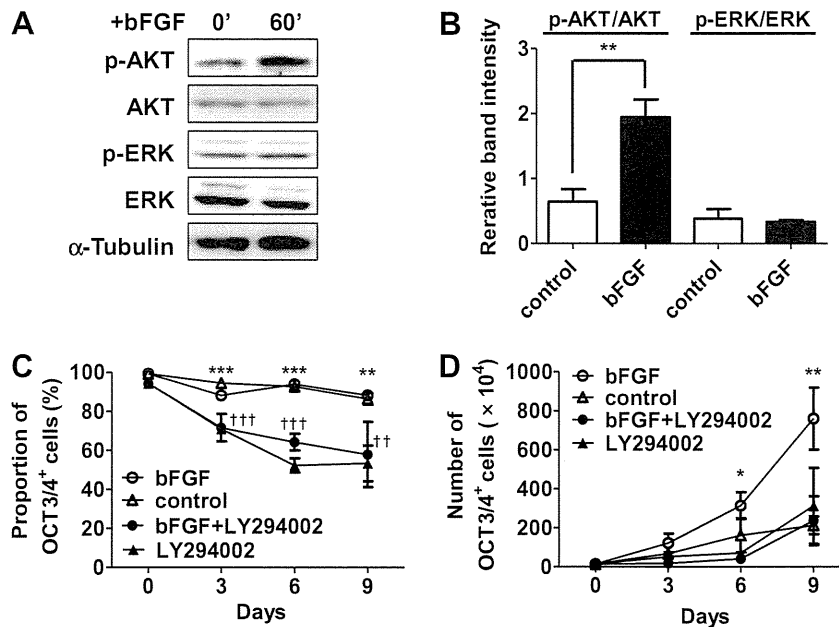
To examine the expression of OCT3/4, we used an antibody against amino acids 1–134 of human OCT3/4 (monoclonal OCT3/4 antibody, sc-5279) that was known to be useful for detecting the expression of CM OCT3/4 [28]. And recent study reported that another antibody raised against amino acids 1–19 of human OCT3/4 (polyclonal OCT3/4 antibody, sc-8628) was more useful to detect ESC-specific OCT3/4 [29]. Thus we performed

immunocytochemistry and FCM analysis using sc-8628, and obtained the similar results (Supplementary Fig. S3).

### 3.3. bFGF and TGF $\beta$ signaling cooperate to maintain the undifferentiated state of CM ESCs under feeder-free conditions

All of the experiments described above were performed with feeder support. Thus, the various secreted factors including cytokines and adhesion molecules might have affected the results. To examine the dependency of CM ESCs on feeder cells, CM ESCs were cultured on a high or low density of feeder cells, and then the undifferentiated state was examined by immunocytochemistry using an anti-NANOG antibody (Supplementary Fig. S1). We found that CM ESCs on low-density feeder cells lost their expression of NANOG after four passages, whereas those on high-density feeder cells maintained NANOG expression even after ten passages (Supplementary Fig. S1). Therefore, it is conceivable that the self-renewal of CM ESCs is maintained by unknown factors derived from feeder cells.

Chen et al. showed that Essential 8 medium (Dulbecco's modified Eagle's medium/F12 supplemented with L-ascorbic acid-2-phosphate magnesium, insulin, transferrin, sodium selenium, NaHCO<sub>3</sub>, bFGF, and TGF $\beta$ ) supports the self-renewal of human ESCs and iPSCs under feeder-free conditions [30]. To clarify the essential growth factors required for maintaining the undifferentiated state of CM ESCs, CM ESCs were cultured under feeder-free condition. We found that CM ESCs could be cultured on Matrigel in Essential 8 medium without feeder support, although they could not be maintained for more than three passages (data not shown). Next, we cultured CM ESCs on Matrigel in Essential 6 medium lacking bFGF and TGF $\beta$  overnight, and then the activation of signaling



**Fig. 2.** bFGF-PI3K-AKT pathway supports self-renewal of CM ESCs. (A) Western blot analysis showing the activation of AKT by bFGF in CM ESCs. CM40 cells were starved of bFGF and KSR overnight, and then stimulated with 5 ng/ml of bFGF for the indicated durations. AKT, ERK1/2 and  $\alpha$ -Tubulin are shown as loading controls. The relative band intensities of p-AKT/AKT and p-ERK/ERK are shown in (B). Band intensities were measured by ImageJ software. Data are shown as the mean  $\pm$  SD. The Student's *t*-test was used to test inter-group differences.  $**P < 0.01$ . (C) Inhibition of self-renewal by LY294002. CM ESCs (CM40;  $1.4 \times 10^5$ ) were seeded on MMC-treated MEFs and cultured in medium containing bFGF (open circle), control medium (open triangle), bFGF+LY294002 (closed circle) or LY294002 (closed triangle). The percentage of OCT3/4<sup>+</sup> cells was then determined by FCM at the indicated day as shown in (C). The number of live cells was counted by trypan blue exclusion. Growth curves were generated by multiplying the number of live cells by the percentage of OCT3/4<sup>+</sup> cells and passage ratio together as shown in (D). Data are shown as the mean  $\pm$  SD. bFGF,  $n = 4$ ; control,  $n = 4$ ; bFGF+LY294002,  $n = 3$ ; LY294002,  $n = 3$ ;  $*P < 0.05$ ,  $**P < 0.01$ , and  $***P < 0.005$ , bFGF vs. control;  $**P < 0.01$  and  $***P < 0.005$ , bFGF+LY294002 or LY294002 vs. control.

pathways known to maintain mouse and human ESCs (bFGF-PI3K-AKT, bFGF-MEK-ERK, TGF $\beta$ -SMAD2/3, and LIF-JAK-STAT3 pathways) were analyzed by Western blotting after the addition of bFGF, TGF $\beta$ , or LIF to the medium. We found that phosphorylation of AKT and ERK was increased by the addition of bFGF, while it was decreased by the treatment with LY294002 or PD0325901, suggesting that both of AKT and ERK were activated downstream of bFGF under feeder-free condition (Fig. 3A and B). And the addition of TGF $\beta$  resulted in an increase of phosphorylated SMAD2/3 (Fig. 3A and B), suggesting that SMAD2/3 was activated downstream of TGF $\beta$ . Moreover, the addition of LIF resulted in an increase of phosphorylated STAT3, suggesting that STAT3 was activated downstream of LIF (Supplementary Figs. S4B and D). These results suggested that bFGF-PI3K-AKT, bFGF-MEK-ERK, TGF $\beta$ -SMAD2/3 and LIF-JAK-STAT3 pathways known to regulate self-renewal of human or mouse ESCs were activated in CM ESCs under feeder-free condition. It should be noted that ERK was not activated by 5 ng/ml of bFGF that was used for the culture on feeder cells as described in Fig. 2A and B (Supplementary Fig. S4B), but it was remarkably activated by 100 ng/ml of bFGF generally used for feeder-free culture of human ESCs (Fig. 3A and B) [30].

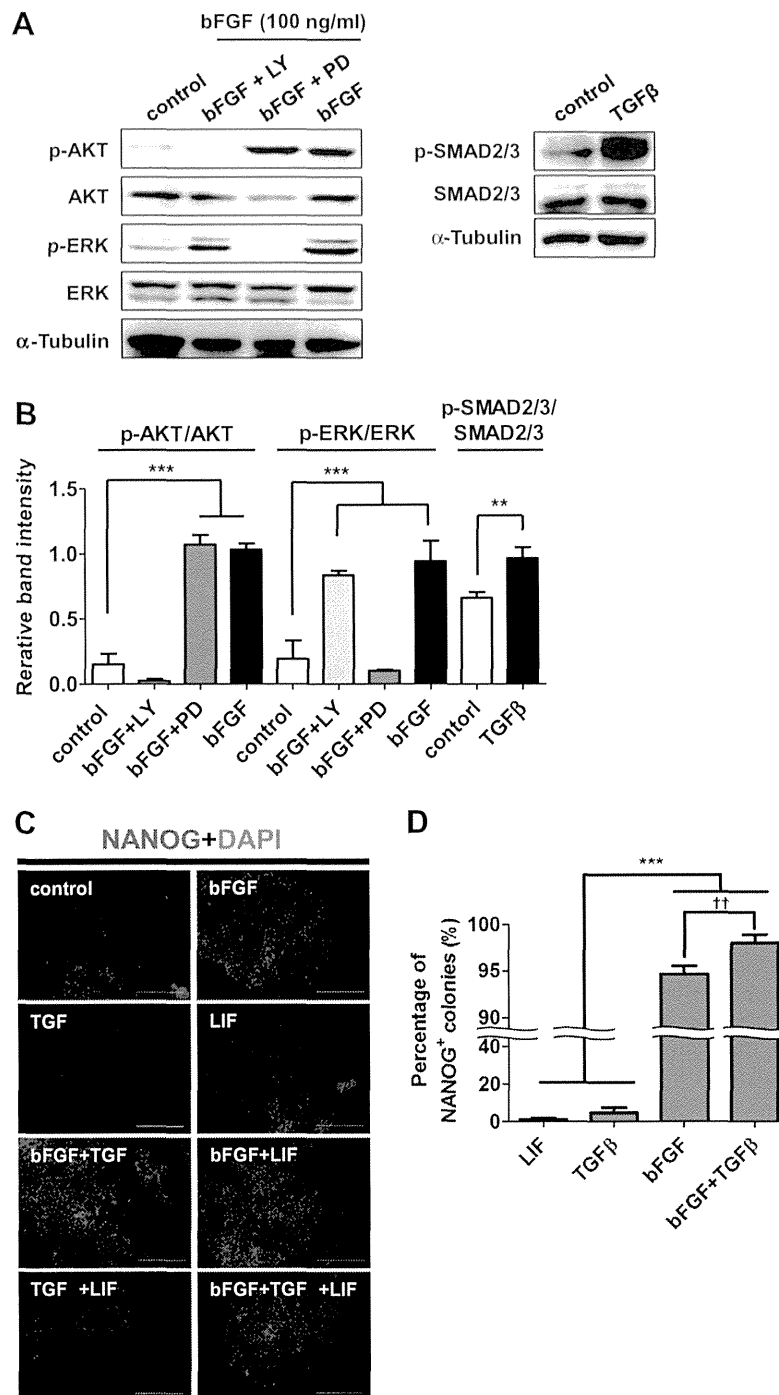
Next, to determine the growth factors maintaining the undifferentiated state of CM ESCs under feeder-free condition, CM ESCs were cultured in the feeder-free system with various combinations of growth factors, followed by analysis of their undifferentiated state morphologically and immunocytochemically. Most of the colonies cultured in Essential 6 medium with bFGF, bFGF+TGF $\beta$ , bFGF+LIF, or bFGF+TGF $\beta$ +LIF showed a well-packed appearance, and a majority of the cells expressed NANOG (Fig. 3C). In contrast, most of the colonies cultured in Essential 6 medium with TGF $\beta$ , LIF, or TGF $\beta$ +LIF showed an unpacked appearance, and a majority of the cells did not express NANOG (Fig. 3C). Moreover, NANOG<sup>+</sup> and well-packed colonies were found at the highest proportion

(98.00  $\pm$  0.88%) when the cells were cultured in the presence of TGF $\beta$ +bFGF (Fig. 3D). In addition, almost all of the colonies were positive for OCT3/4, SOX2, SSEA-4, TRA1-60 and TRA1-81 (Supplementary Fig. S3), and these colony forming cells kept the capability of differentiating three lineages (Supplementary Fig. S5). This observation indicates that the addition of both TGF $\beta$  and bFGF is the most appropriate growth factor combination for maintenance of the undifferentiated state of CM ESCs under feeder-free condition, which is similar to a characteristic of human ESCs [2,6,9–11].

#### 3.4. CM ESCs show phenotypes similar to those of human ESCs and mouse EpiSCs

Human ESCs and mouse EpiSCs share a number of similar phenotypes as shown in Table 1 [7,8]. CM ESCs formed flattened colonies and expressed NANOG as well as markers for both mouse EpiSCs and human ESCs, such as T, CER1, EOMES, FOXA2, GATA6, and SOX17 (Supplementary Figs. S1 and S6A) [7]. Moreover, bFGF and TGF $\beta$  signalings play crucial roles in maintaining the undifferentiated state of human ESCs and mouse EpiSCs [2,7,8,11,30], and the same roles of these signaling pathways were also found in CM ESCs (Fig. 3C and D).

Previous reports have shown that apoptosis of human ESCs and mouse EpiSCs is induced by culturing after complete dissociation [31,32]. Watanabe et al. showed that dissociation-induced apoptosis of human ESCs is suppressed by treatment with the Rho-associated kinase (ROCK) inhibitor Y27632 [33]. To examine whether dissociation-induced apoptosis of human ESCs and mouse EpiSCs was similarly found in CM ESCs, colonies of CM ESCs were dissociated into single cells by trypsinization, and then the cells were plated on Matrigel-coated dishes with or without Y27632. Compared with untreated controls, we found that Y27632-treated CM ESCs produced



**Fig. 3.** bFGF and TGFβ maintain the undifferentiated state of CM ESCs under feeder-free conditions. (A) Western blot showing the activation of AKT, ERK1/2 and SMAD2/3 by 100 ng/ml of bFGF or 2 ng/ml of TGFβ for 30 min in CM ESCs. CM40 were starved of growth factors overnight and then pre-treated with LY294002 or PD0325901 for 1 h before stimulation with bFGF. AKT, ERK1/2, SMAD2/3, and α-Tubulin are shown as loading controls. The relative band intensities of p-AKT/AKT, p-ERK/ERK and p-SMAD2/3/SMAD2/3 are shown in (B). Band intensities were measured by ImageJ software. Data are shown as the mean ± SD. One-way ANOVA followed by the Tukey's post-hoc test was used to test inter-group differences. \*\* $P < 0.01$  and \*\*\* $P < 0.005$ . (C) Immunocytochemical analyses of NANOG expression in CM ESCs (CM40) cultured with various growth factors for 4 days. Merged images of NANOG (red) and nuclei (DAPI; blue) are shown. Scale bars represent 200 μm. NANOG expression in cells cultured without any growth factors is shown as a control. (D) Proportion of NANOG<sup>+</sup> colonies. The percentage of NANOG<sup>+</sup> colonies cultured with various growth factors was analyzed by immunocytochemistry. For statistical analyses, 300 colonies were examined in each experiment ( $n = 3$ ). Data are shown as the mean ± SD. One-way ANOVA followed by the Tukey's post-hoc test was used to test inter-group differences. \*\*\* $P < 0.005$ . The difference between bFGF- and bFGF+TGFβ-treated cells was statistically analyzed using the Student's *t*-test. †† $P < 0.01$ .

significantly more colonies, suggesting that dissociation-induced apoptosis of CM ESCs occurred and was suppressed by Y27632 (Supplementary Figs. S6B and S6C). Thus, we concluded that CM ESCs are similar to human ESCs and mouse iPSCs.

#### 4. Discussion

Recent advances in the field of basic research for pluripotent stem cells such as the generation of ESCs, iPSCs and stimulus-trig-

**Table 1**  
The characters of mouse EpiSCs and mouse, human and CM ESCs.

Morphology of colony		Mouse ESCs Small, dome	Mouse EpiSCs Large, flat	Human ESCs Large, flat	CM ESCs Large, flat
Growth factor dependency	LIF	+	–	–	–
	bFGF	–	+	+	+
Marker expression	TGFβ/activin	–	+	+	+
	NANOG	+	+	+	+
	OCT3/4	+	+	+	+
	T (brachyury)	–	+	+	+
	CER1	–	+	+	+
	EOMES	–	+	+	+
	FOXA2	–	+	+	+
	GATA6	–	+	+	+
Tolerance to single cell dissociation	SOX17	–	+	+	+
		+	–	–	–
Contribution in chimera		+	–	N/D	N/D

N/D = not determined.

gered acquisition of pluripotency (STAP) cells have given us realistic expectations for human regenerative medicine [22,34–38]. And the need for the development of methods to test new therapeutic approach using such cells is increasing. CM is a useful experimental animal that can suit such needs, and therefore, the characterization of CM ESCs is important. In this study, we investigated essential signaling pathways for the self-renewal of CM ESCs under feeder-dependent and feeder-free culture conditions.

LIF has been widely used to establish and maintain non-human primate ESCs [15,17,18,39–42], although some researchers claim that LIF cannot maintain the self-renewal capacity of these cells [16,41–43]. We found that LIF did not affect the capacity for self-renewal of CM ESCs (Figs. 1 and 3), although it activated the JAK-STAT3 pathway (Supplementary Fig. S3). More extensive studies are needed to further explore the roles of the LIF-JAK-STAT3 pathway in CM ESCs. In our previous report, the expression of LIFR was not found in undifferentiated CM ESCs [15], but it was found in this study after repetitive experiments (Fig. 1A). This discrepancy was considered to be caused by the detection threshold of RT-PCR under different conditions, particularly PCR primers used in our previous report were human LIFR sequence-originated because there were no available marmoset genomic sequence data.

We also found that the self-renewal of CM ESCs cultured on feeder cells was remarkably promoted by bFGF, which is similar to the characteristic of human ESCs (Fig. 1). However, even in the absence of bFGF, most CM ESCs could be maintained in an undifferentiated state by culture on feeder cells, although they showed slower growth compared to those cultured in bFGF containing medium (Fig. 1B and C). This observation indicates that growth factors secreted from feeder cells such as activin, noggin and bFGF, maintain the undifferentiated state of CM ESCs [44,45]. Indeed, CM ESC colonies cultured on low-density feeder cells differentiated within four passages (Supplementary Fig. S1).

Previous studies have demonstrated the critical roles of PI3K-AKT and MEK-ERK pathways in the self-renewal of human ESCs [2–6]. Our results showed that AKT, but not ERK1/2, was activated by the addition of bFGF (5 ng/ml), while ERK1/2 was continuously activated even in the absence of bFGF on feeder support (Fig. 2A). Moreover, inhibition of either MEK-ERK or PI3K-AKT pathways resulted in reduced self-renewal of CM ESCs (Fig. 2 and Supplementary Fig. S7). Therefore, activation of the PI3K-AKT pathway downstream of bFGF as well as the MEK-ERK pathway by unknown mechanisms is required for self-renewal of CM ESCs on feeder support. On the other hand, both AKT and ERK1/2 were activated by the addition of bFGF (100 ng/ml) under feeder-free condition (Fig. 3A and B). And treatment with LY294002 resulted in the elevated expression of endoderm and mesoderm markers, and

treatment with PD0325901 caused the reduced expression of these markers, indicating that modulation of these pathways affects the differentiation process in CM ESCs (Supplementary Fig. S8). We are now extensively investigating the effect of these inhibitors on the differentiation process of CM ESCs induced by the treatment with specific cytokines and EB formation assay.

Several studies have demonstrated differences in the mechanisms of ESC self-renewal between mice and humans. Mouse ESCs require LIF for their self-renewal, whereas human ESCs require bFGF and TGFβ. Mouse EpiSCs originating from post-implantation embryos depend on bFGF and TGFβ, and show characteristics similar to those of human ESCs originating from the inner cells mass of blastocysts as shown in Table 1 [7,8,10]. Mouse EpiSCs are therefore considered to be the counterpart of human ESCs. In this study, we demonstrated that CM ESCs were very similar to human ESCs and mouse EpiSCs in terms of their morphology, gene expression, growth factor dependency for self-renewal, and vulnerability to single cell dissociation.

Our findings strongly suggest that CM ESCs are phenotypically similar to human ESCs. Therefore, CM ESCs may facilitate the development of valuable preclinical experimental systems to test new therapeutic modalities for incurable human diseases, particularly in the field of regenerative medicine.

## Acknowledgments

We thank Michiko Ushijima for administrative assistance, Yoko Nagai and the members of Tani laboratory for their constructive criticisms and technical support. This work was supported by a grant from the Project for Realization of Regenerative Medicine (K. Tani, 08008010) and KAKENHI (T. Marumoto, 23590465) from the Ministry of Education, Culture, Sports, Science and Technology (MEXT), Japan.

## Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.fob.2014.02.007>.

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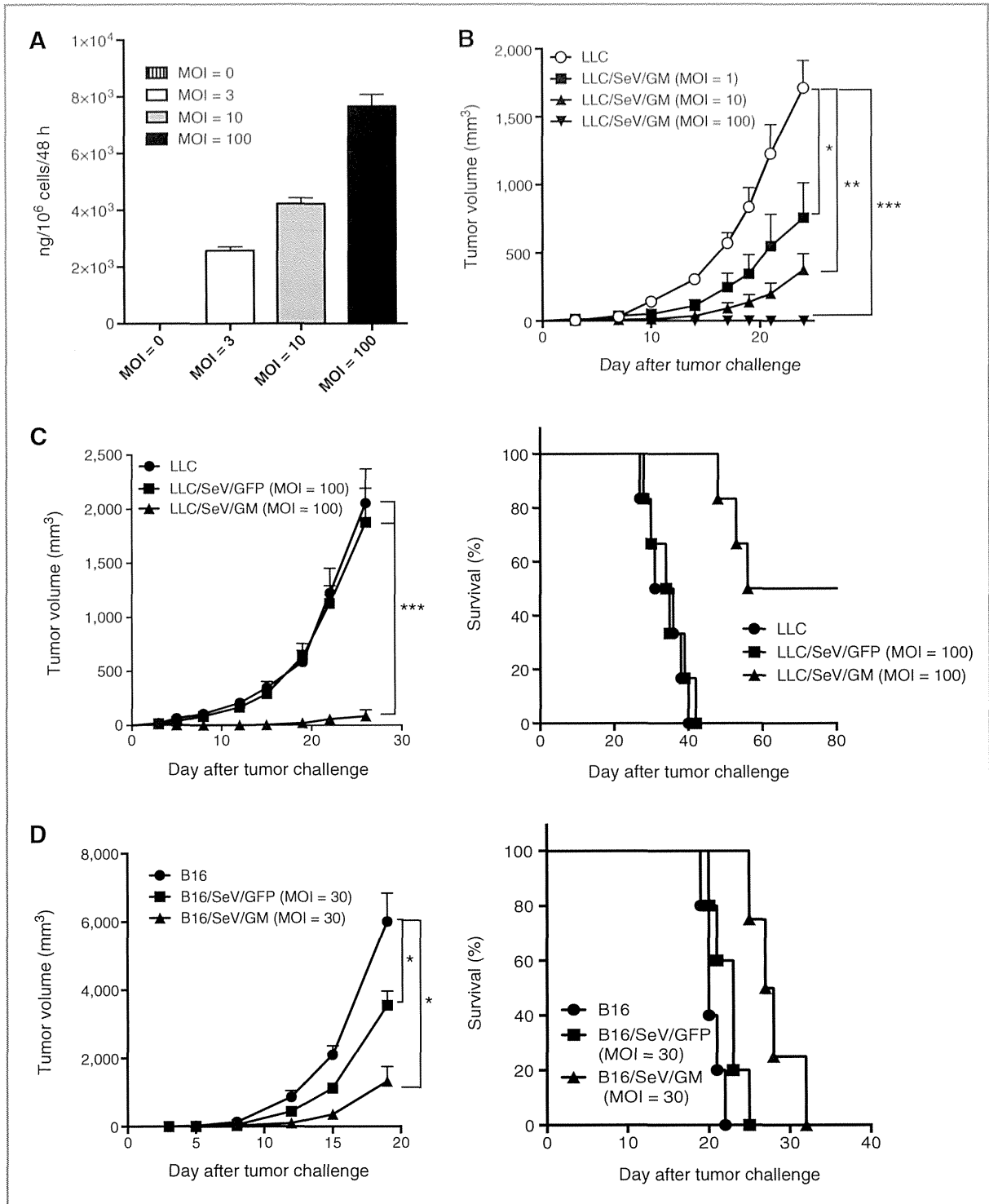
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2 Q2 **TLR7 Ligand Augments GM-CSF–Initiated Antitumor**  
3 **Immunity through Activation of Plasmacytoid Dendritic Cells**4  
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6 Tomoko Inoue<sup>1</sup>, Ayumi Watanabe<sup>1</sup>, Shohei Miyamoto<sup>1</sup>, Yoshie Miura<sup>1</sup>, Yasuki Hijikata<sup>3</sup>,  
7 Yoshihiro Tanaka<sup>3</sup>, Makoto Inoue<sup>5</sup>, Koichi Takayama<sup>2</sup>, Toshihiko Okazaki<sup>4</sup>, Mamoru Hasegawa<sup>5</sup>,  
8 Yoichi Nakanishi<sup>2</sup>, and Kenzaburo Tani<sup>1,3</sup>9 **Abstract**10 Q5 Vaccination with irradiated granulocyte macrophage colony-stimulating factor (GM-CSF)–transduced autol-  
11 ogous tumor cells (GVAX) has been shown to induce therapeutic antitumor immunity. However, its effectiveness  
12 is limited. We therefore attempted to improve the antitumor effect by identifying little-known key pathways in  
13 GM-CSF–sensitized dendritic cells (GM-DC) in tumor-draining lymph nodes (TDLN). We initially confirmed that  
14 syngeneic mice subcutaneously injected with poorly immunogenic Lewis lung carcinoma (LLC) cells transduced  
15 with Sendai virus encoding GM-CSF (LLC/SeV/GM) remarkably rejected the tumor growth. Using cDNA  
16 microarrays, we found that expression levels of type I interferon (IFN)–related genes, predominantly expressed  
17 in plasmacytoid DCs (pDC), were significantly upregulated in TDLN-derived GM-DCs and focused on pDCs.  
18 Q6 Indeed, mouse experiments demonstrated that the effective induction of GM-CSF–induced antitumor immunity  
19 observed in immunocompetent mice treated with LLC/SeV/GM cells was significantly attenuated when pDC-  
20 depleted or IFN- $\alpha$  receptor knockout (IFNRA<sup>-/-</sup>) mice were used. Importantly, in both LLC and CT26 colon  
21 cancer–bearing mice, the combinational use of imiquimod with autologous GVAX therapy overcame the  
22 refractoriness to GVAX monotherapy accompanied by tolerability. Mechanistically, mice treated with the  
23 combined vaccination displayed increased expression levels of CD86, CD9, and Siglec-H, which correlate with  
24 an antitumor phenotype, in pDCs, but decreased the ratio of CD4<sup>+</sup>CD25<sup>+</sup>FoxP3<sup>+</sup> regulatory T cells in TDLNs.  
25 Collectively, these findings indicate that the additional use of imiquimod to activate pDCs with type I IFN  
26 production, as a positive regulator of T-cell priming, could enhance the immunologic antitumor effects of GVAX  
27 therapy, shedding promising light on the understanding and treatment of GM-CSF–based cancer immunother-  
28 apy. *Cancer Immunol Res*; 2(6); 1–13. ©2014 AACR.29  
30  
31 **Introduction**32  
33 Q7 In recent clinical trials of patients with diverse solid cancers,  
34 cancer immunotherapy such as therapeutic vaccination with  
35 granulocyte macrophage colony-stimulating factor (GM-CSF)  
36 gene-transduced tumor vaccines (GVAX), as well as sipuleucel-  
37 T (Provenge), the first FDA-approved GM-CSF–based ther-  
38 apeutic dendritic cell (DC) vaccine for prostate cancer, induced  
39 antitumor immune responses with tolerability (1–3). However,40 the efficacy of this therapy alone is not satisfactory, raising an 41  
42 urgent need to improve the antitumor effect of GVAX. 43  
44 Although GM-CSF signaling is essential in conventional DC 45  
46 (cDC) maturation, which leads to effective generation of 46  
47 tumor-associated antigen (TAA)-specific T cells and differen- 47  
48 tiation, the underlying molecular mechanism of how GM-CSF 48  
49 sensitizes and matures DCs (GM-DC, i.e., GM-CSF–sensitized 49  
50 DCs) to trigger host antitumor immunity remains unclear. 5051 Therefore, in this study, we attempted to improve the 51  
52 antitumor effects of GVAX therapy through the identification 52  
53 of the key cluster genes upregulated in GM-DCs that operate T- 53  
54 cell priming in tumor-draining lymph nodes (TDLN) by con- 54  
55 ducting a cDNA microarray analysis. We used a syngeneic 55  
56 Lewis lung carcinoma (LLC)–bearing mouse, which exhibited 56  
57 remarkable tumor regression following subcutaneous admin- 57  
58 istration of fusion (F) gene-deleted nontransmissible Sendai 58  
59 virus vector–mediated GM-CSF gene-transduced LLC (LLC/ 59  
60 SeV/GM) cells (4). Using this experimental system, the expres- 60  
61 sion microarray analysis elucidated that pathways involving 61  
62 Toll-like receptor 7 (TLR7) and interferon regulatory factor 7 62  
63 (IRF7), which induce type I interferon (IFN) production in 63  
64 plasmacytoid DCs (pDC; ref. 5), were upregulated in GM-CSF– 64  
65 activated mature DCs. Further activation of this pathway using 65Q3 **Authors' Affiliations:** <sup>1</sup>Department of Molecular Genetics, Medical Insti-  
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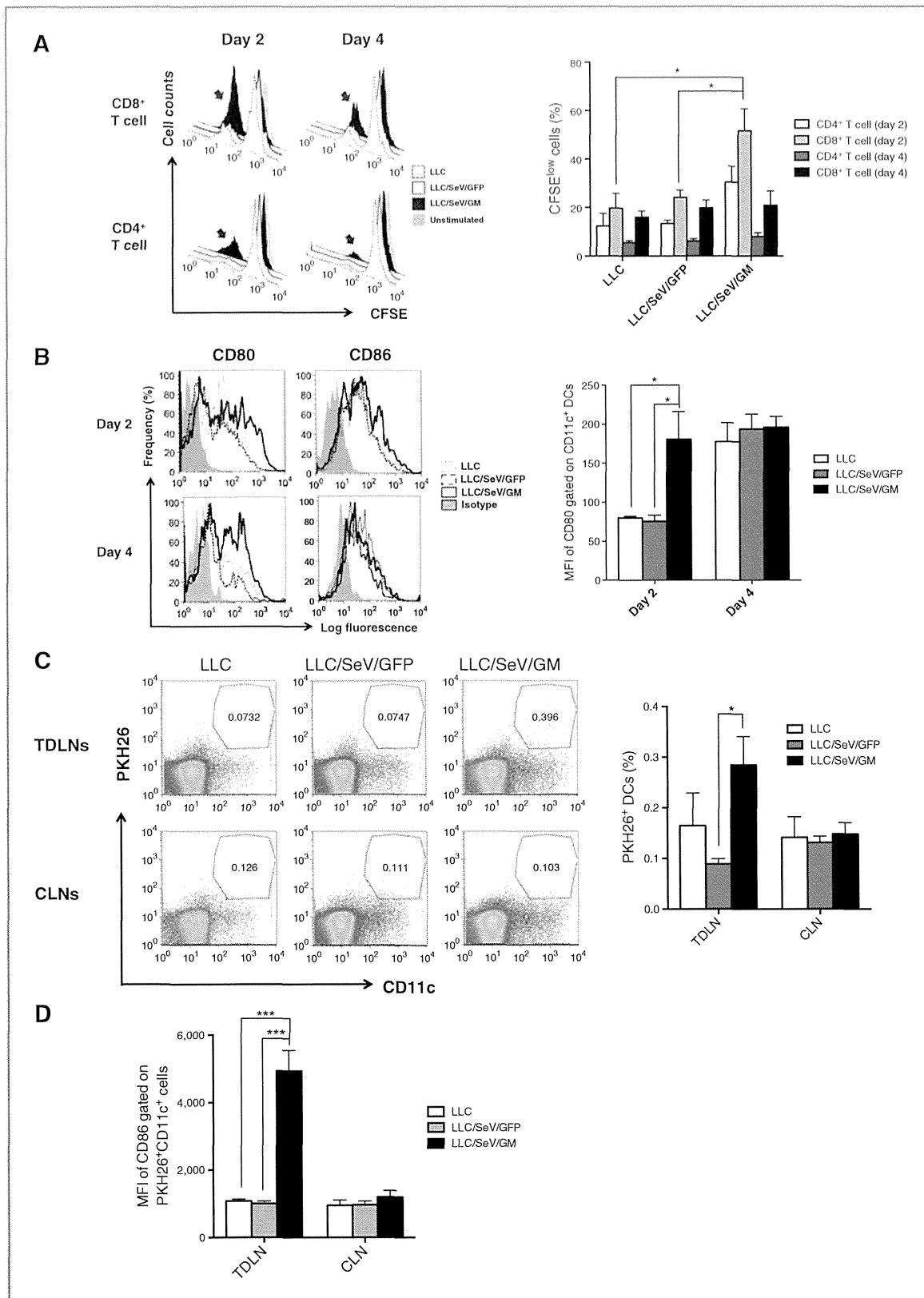
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Q13 Figure 1. Tumor development of poorly immunogenic LLC and B16F10 cells modified to produce GM-CSF was markedly inhibited. A, dose-escalation studies to assess GM-CSF production from LLC/SeV/GM cells (MOI = 0, 3, 10, and 100). GM-CSF production levels in the supernatants from the 48-hour culture were measured by ELISA. B and C, tumorigenicity assays using LLC cells. B, a total of  $3.0 \times 10^5$  LLC and LLC/SeV/GM (MOI of 1, 10, or 100) cells were subcutaneously inoculated into the right flank of C57/BL6N mice ( $n = 3$ ). C, a total of  $2.0 \times 10^5$  LLC, LLC/SeV/GFP, or LLC/SeV/GM (MOI = 100) cells were inoculated into the right flank of C57/BL6N mice ( $n = 6$ ). (Continued on the following page.)

66	TLR7 agonist enhanced the therapeutic antitumor effects of	
67	GVAX therapy using irradiated autologous GM-CSF gene-	
68	transduced vaccine cells in both LLC and CT26 tumor-bearing	
69	mouse models with augmented pDC activation. These results	
70	showed that the combination of GVAX and imiquimod is an	
71	effective therapeutic strategy for cancer immunotherapy, and	
72	indicates that activated pDCs have a critical role in the GM-	
73	CSF–induced induction of antitumor immunity.	
74	<b>Materials and Methods</b>	
75	<b>Mice</b>	
76	Five- to 10-week-old female immunocompetent C57/BL6N	
77	and BALB/cN mice were purchased from Charles River Labo-	Q1
78	ratories Japan and housed in the animal maintenance facility	16
79	at Kyushu University (Fukuoka, Japan). Type I IFN receptor	117
80	knockout (IFNAR <sup>-/-</sup> ) mice were purchased from The Jackson	118
81	Laboratory. All animal experiments were approved by the	119
82	Committee of the Ethics on Animal Experiments in the Faculty	120
83	of Medicine, Kyushu University. Mouse experiments were	121
84	carried out at least twice to confirm results.	122
85	<b>Tumor cell lines</b>	123
86	LLC and CT26 cells were purchased from the American Type	124
87	Culture Collection (ATCC) and passaged for 3 to 4 months after	125
88	resuscitation. The mouse melanoma cell line (B16F10) was a	126
89	kind gift from Dr. Shinji Okano (Kyushu University) and was	127
90	validated as free from <i>Mycoplasma</i> infection; no other valida-	128
91	tions were performed. Both LLC and CT26 cells were validated	129
92	as free from <i>Mycoplasma</i> infection. No other validations were	130
93	performed; besides, the former were found as free from ectro-	
94	melia virus. LLC and B16F10 cells were maintained in Dulbec-	
95	co's Modified Eagle Medium (DMEM; Nakalai Tesque) supple-	
96	mented with 10% heat-inactivated fetal bovine serum (FBS)	
97	and 1% antibiotic mixture (Nakalai Tesque). CT26 was main-	
98	tained in RPMI-1640 (Nakalai Tesque) supplemented with 10%	
99	FBS and 1% antibiotic mixture.	
100	<b>Gene transduction with nontransmissible recombinant</b>	
101	<b>Sendai virus vectors</b>	
102	LLC, B16F10, or CT26 cells were infected with nontransmis-	
103	sible Sendai virus vectors encoding green fluorescence protein	
104	(GFP) or mouse GM-CSF (SeV/GFP or SeV/GM, respectively),	
105	which were prepared by DNAVEC Corp. (6), at the indicated	
106	multiplicity of infection (MOI) for 90 minutes (termed as LLC/	
107	SeV/GFP, LLC/SeV/GM, B16/SeV/GFP, B16/SeV/GM, or	
108	CT26/SeV/GM cells, respectively). They were cultured for 48	
109	hours after viral gene transduction and used for following	
110	mouse studies.	
111	<b>In vivo experiments</b>	
112	For tumorigenicity assays, syngeneic C57/BL6N mice were	
113	subcutaneously challenged with $2.0 \times 10^5$ LLC, LLC/SeV/GFP,	
	or LLC/SeV/GM cells with or without imiquimod (R-837; 50	
	$\mu\text{g}/\text{mouse}$ ; Invivogen) or lipopolysaccharide (LPS; 5 $\mu\text{g}/\text{mouse}$ ;	
	Sigma-Aldrich) resuspended in 100- $\mu\text{L}$ Hanks' Balanced Salt	
	Solution (HBSS; Life Technologies) in the right or left flank. To	
	dissect the role of type I IFN and pDCs in the tumorigenicity	
	assays, IFNAR <sup>-/-</sup> or pDC-depleted mice were subcutaneously	
	challenged with $2.0 \times 10^5$ LLC/SeV/GM cells in the right flank.	
	For therapeutic tumor vaccination assays, LLC/SeV/GFP, LLC/	
	SeV/GM, and CT26/SeV/GM cells were irradiated at 50 Gy and	
	were designated as irLLC/SeV/GFP, irLLC/SeV/GM, and	
	irCT26/SeV/GM cells, respectively. On days 2 and 9 after tumor	
	challenge with parental LLC or CT26 cells, C57/BL6N or BALB/	
	cN mice were subcutaneously vaccinated with the indicated	
	tumor vaccine cells in the opposite flank. Tumor volume was	
	measured every 2 to 4 days and calculated with the following	
	formula: $0.4 \times (\text{largest diameter}) \times (\text{smallest diameter})^2$ .	
	<b>ELISA assay</b>	
	<i>In vitro</i> expression levels of mouse GM-CSF produced from	
	LLC, LLC/SeV/GFP, or LLC/SeV/GM cells at the MOI and time	
	points were measured using mouse GM-CSF enzyme-linked	
	immunosorbent assay (ELISA) kits (R&D Systems).	
	<b>Flow cytometric analysis</b>	
	TDLNs, spleen, and tumor vaccine site (TVS) harvested from	
	the indicated groups of mice ( $n = 3-5$ ) were homogenized and	
	filtered through a 100- $\mu\text{m}$ cell strainer (BD Biosciences). For	
	splenocytes preparation, smashed spleens were treated with	
	ammonium chloride to lyse red blood. For T-cell detection in	
	mixed lymphocyte reaction (MLR) assays, cells were stained	
	with anti-CD4 (RM4.5)–PE (eBioscience), anti-CD3e–APC	
	(145-2C11), and anti-CD8a–PerCP (53-6.7; BioLegend). For	
	phenotypic analyses of DCs in TDLNs, cells were stained	
	with an anti-mouse CD11c Ab [anti-CD11c–APC (N418);	
	BioLegend] in combination with anti-mouse Abs, including	
	anti-B220–PE (RA3-6B2), anti-CD317 (PDCA-1, BST2)–PE	
	(eBio129c; all eBioscience), anti-CD80–PE (16-10A1), anti-	
	CD8a–PerCP, anti-CD86–FITC (GL-1), or anti-CD11b–FITC	
	(M1/70; all BioLegend). For phenotypic analyses of pDCs in	
	TDLNs, cells were stained with either anti-CD317 (PDCA-1,	
	BST2)–PE, anti-PDCA-1–APC (JF05-1C2.4.1; Miltenyi Biotec),	
	or anti-CD11c–PerCPCy5.5 (N418; eBioscience) in combina-	
	tion with anti-mouse Abs, including anti-CD86–FITC, anti-	
	CD9–FITC (MZ3; BioLegend), and anti-Siglec-H–FITC	
	(551.3D3; Miltenyi Biotec). For regulatory T-cell (Treg) detec-	
	tion in TDLNs, cells were permeabilized with Cytofix/Cyto-	
	perm kit (BD Biosciences), washed with BD Perm/Wash buffer	
	(BD Biosciences), and stained with anti-CD4, anti-CD25–FITC	
	(PC61.5), and anti-FoxP3–APC (FJK-16s; all eBioscience). Cells	
	were incubated with Abs and analyzed with BD FACSCalibur	
	flow cytometer, CellQuest software (BD Biosciences), and	
	FlowJo software (TreeStar).	

(Continued.) Significant tumor regression (left) and prolonged survival (right) was shown in mice treated with LLC/SeV/GM cells. D, tumorigenicity assays using B16F10 cells. In total,  $1.0 \times 10^5$  B16F10, B16/SeV/GFP, or B16/SeV/GM (MOI = 30) cells were inoculated into the right flanks of C57/BL6N mice ( $n = 6$ ). Significant tumor regression (left) and prolonged survival (right) were observed in mice treated with B16/SeV/GM cells. The asterisks indicate statistically significant differences (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ). Kaplan–Meier survival curves are shown, and mortality was determined by the log-rank test (LLC vs. LLC/SeV/GM and LLC/SeV/GFP vs. LLC/SeV/GM;  $P < 0.001$ , LLC vs. LLC/SeV/GFP;  $P = 0.67$ , B16 vs. B16/SeV/GM and B16/SeV/GFP vs. B16/SeV/GM;  $P < 0.05$ ).



Q16 **Table 1.** Canonical pathways identified by IPA

Pathways	–log (P value)	Molecules
Role of pattern recognition receptors in recognition of bacteria and viruses	7.42E+00	OAS1, C3, OAS2, IL6, CCL5, Oas1f, OAS3, IFNA1/IFNA13, TLR2, IFIH1, IRF7, DDX58, TLR7, PIK3R6, EIF2AK2
Pathogenesis of multiple sclerosis	5.33E+00	CXCL10, CXCL9, CCL4, CCL5, CXCL11
Activation of IRF by cytosolic pattern recognition receptors	4.38E+00	DHX58, IFIH1, IRF7, DDX58, ZBP1, STAT2, IL6, IFIT2, IFNA1/IFNA13, ISG15
IFN signaling	3.96E+00	IFIT3, IFIT1, OAS1, MX1, IFI35, STAT2, IFNA1/IFNA13
DC maturation	3.01E+00	FCGR2A, HLA-DMB, IL6, MAPK13, FCGR2B, TREM2, IFNA1/IFNA13, FCGR1A, TLR2, COL1A2, IL1RN, FSCN1, PIK3R6, STAT2
Hepatic fibrosis/hepatic stellate cell activation	2.58E+00	COL1A2, CXCL3, FN1, CXCL9, IGF1, PDGFA, CCL21, CD14, MMP13, CCL5, IL6, IFNA1/IFNA13
Role of hypercytokinemia/hyperchemokine in the pathogenesis of influenza	2.49E+00	CXCL10, CCL4, IL1RN, CCL5, IL6, IFNA1/IFNA13
Communication between innate and adaptive immune cells	2.47E+00	CXCL10, TLR2, CCL4, IL1RN, TLR7, CCL5, IL6, IFNA1/IFNA13, Ccl9
Role of tissue factor in cancer	2.45E+00	F10, PDIA2, PIK3R6, HCK, MMP13, F7, LIMK2, MAPK13, FGR, F2
LXR/RXR activation	2.26E+00	APOE, SCD, C3, MSR1 (includes EG:20288), IL1RN, LPL, CLU, CD14, IL6, GC

**Allogeneic MLR assays**

167  
168 To prepare CD11c<sup>+</sup> DCs as stimulators, on day 2 of the  
169 tumorigenicity assay, CD11c<sup>+</sup> DCs were purified from TDLNs  
170 in mice treated with LLC, LLC/SeV/GFP, or LLC/SeV/GM  
171 cells using CD11c MicroBeads (Miltenyi Biotec). To prepare  
172 the pDC subset as stimulators, total bone marrow cells  
173 harvested from naïve C57/BL6N mice were cultured in  
174 RPMI-1640 supplemented with 50 ng/mL murine Fms-related  
175 tyrosine kinase 3 ligand (Flt3L; PeproTech) for 8 days and  
176 Siglec-H–positive cells (pDCs) were purified using anti-Siglec-  
177 H–FITC Ab and anti-FITC MicroBeads (Miltenyi Biotec).  
178 Sorted pDCs were then incubated overnight with or without  
179 2.5 µg/mL of imiquimod or 10 ng/mL of murine recombinant  
180 GM-CSF (PeproTech). To prepare allogeneic T cells as responders,  
181 T cells were sorted from splenocytes harvested from  
182 naïve BALB/cN mice using a Pan T-cell isolation kit II  
183 (Miltenyi Biotec). A total of 5.0 × 10<sup>4</sup> responder T cells  
184 labeled with 1.0 µmol/L CFSE [5(6)-carboxyfluorescein diacetate  
185 N-succinimidylester; Sigma-Aldrich] were cocultured  
186 with an equal number of 30 Gy-irradiated CD11c<sup>+</sup> DCs. A  
187 total of 2.0 × 10<sup>5</sup> T cells labeled with 2.5 µmol/L of CFSE were  
188 cocultured with 4.0 × 10<sup>4</sup> pDCs for 5 days. The proliferation  
189 rate of the gated CD3<sup>+</sup> T-cell fraction was visualized by CFSE  
190 dilution.

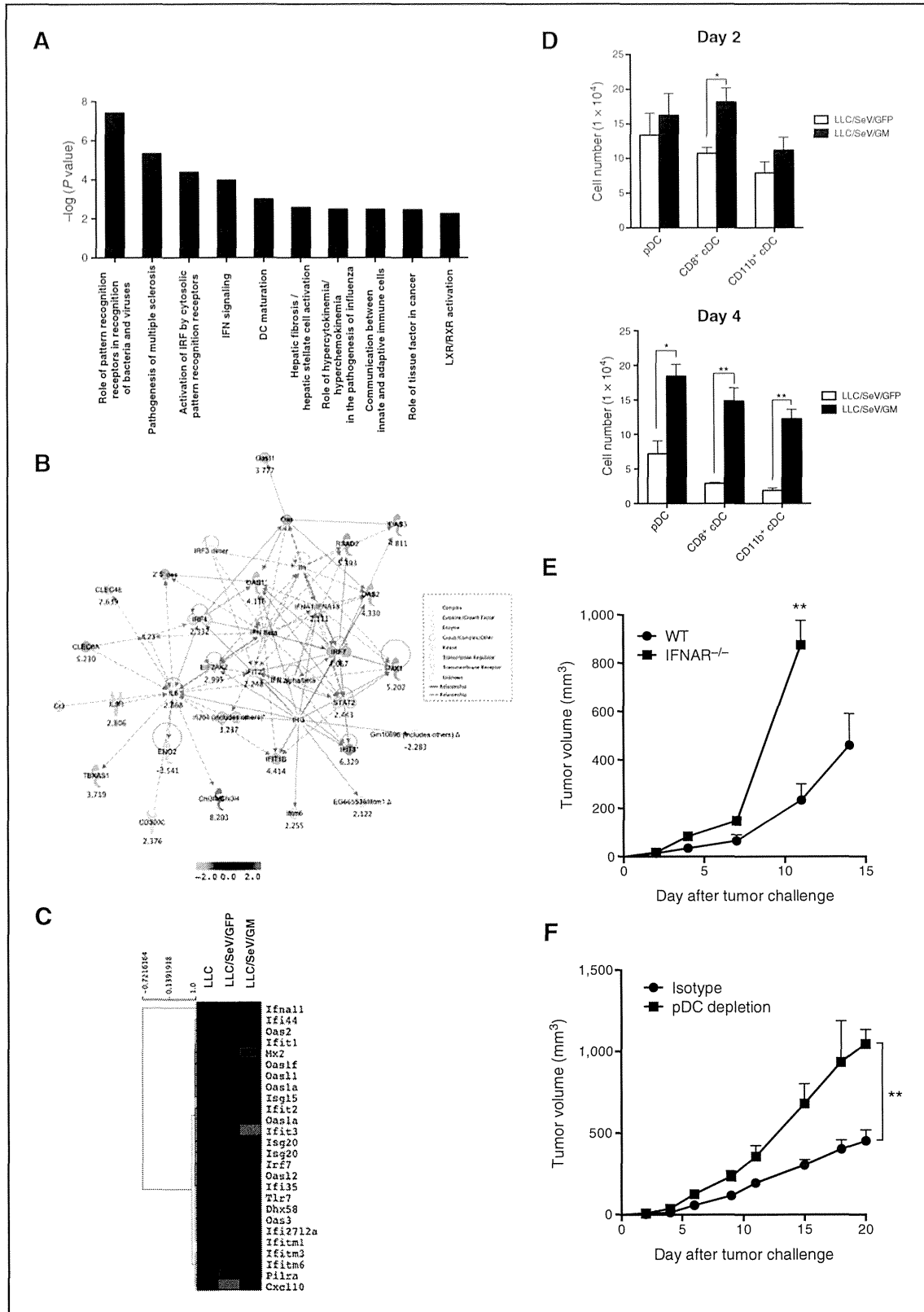
**Detection of DCs that engulfed TAAs**

192  
193 LLC, LLC/SeV/GFP, and LLC/SeV/GM cells were labeled  
194 with the PKH26 Red Fluorescent Cell Linker Mini Kit (Sigma-  
195 Aldrich), respectively, according to the manufacturer's  
196 instruction. On day 2 after they were subcutaneously  
197 injected into the right flanks of mice, axillary lymph nodes  
198 in both TDLNs and CLNs were harvested, incubated with  
199 anti-CD86–FITC and anti-CD11c–APC Abs, and subjected to  
200 flow cytometric analysis.

**cDNA microarray**

201  
202 Dead cells were excluded from CD86<sup>+</sup>CD11c<sup>+</sup> DCs using 7-  
203 AAD viability dye (Beckman Coulter), which were sorted by  
204 FACSAria (BD Biosciences) from TDLNs of mice on day 2  
205 during the tumorigenicity assay. Cells were transferred to RNA  
206 later (Life Technologies) to stabilize and protect intact cellular  
207 RNA. RNA isolation was performed according to the TRIzol  
208 Reagent technical manual (Life Technologies). Total RNA (50  
209 ng) was amplified and labeled using the Agilent Low-Input  
210 QuickAmp Labeling Kit, one color (Agilent Technologies).  
211 Labeled cRNA was hybridized to Agilent Whole Mouse  
212 Genome Oligo DNA microarray (4 × 44 K) v2 (Agilent Tech-  
213 nologies). All gene transcription products were hybridized to  
214 microarray slides and were scanned by an Agilent scanner.

Figure 2. GM-CSF–sensitized DCs elicited superior capacities to stimulate T-cell proliferation and to mobilize TAA-phagocytosed mature DCs into TDLNs. A, CFSE-labeled allogeneic MLR assay. Irradiated CD11c<sup>+</sup>DCs from mice treated with indicated tumor challenge were mixed with CFSE-labeled allogeneic T cells. After 3 days of coculture, the proliferation rates of T cells were assessed by flow cytometric analysis. Representative histograms depict CFSE expression of allogeneic CD4<sup>+</sup>CD3<sup>+</sup> or CD8<sup>+</sup>CD3<sup>+</sup> T cells (left). Bar graphs, mean + SEM percentage of CFSE-diluted cells/total indicated T cells (right). B, representative histograms depict frequency distributions of MFI of CD80 or CD86 expression in CD11c<sup>+</sup> DCs from indicated mouse groups on day 2 or 4 after the tumor challenge (left). Bar graphs, mean + SEM of MFI of CD80 on DCs in TDLNs (right). C, representative dot plots show PKH26<sup>+</sup>CD11c<sup>+</sup> cells gated by their FSC/SSC profiles in TDLNs or CLNs (left). Bar graphs, mean+SEM of percentage of CD11c<sup>+</sup>PKH26<sup>+</sup> cells in TDLNs or CLNs (right). D, bar graphs, mean + SEM of MFI of CD86 expression levels in PKH26<sup>+</sup>CD11c<sup>+</sup> cells (\*, P < 0.05; \*\*\*, P < 0.001).



217	Relative hybridization intensities and background hybridization values were calculated using the Agilent Feature Extraction Software (v9.5.1.1; Agilent Technologies). The raw signal intensities of two samples were log <sub>2</sub> -transformed and normalized by a quantile algorithm with the "preprocessCore" library package on Bioconductor software. We used Z-scores to compare significant changes in gene expression in each of the three groups (DCs from mice treated with LLC, LLC/SeV/GFP, and LLC/SeV/GM cells). Lists of genes with statistically significant expression in GM-DCs in comparison with GFP-DCs were submitted to Ingenuity Pathway Analysis (IPA; Ingenuity Systems) and analyzed for overrepresented general functions and the resulting networks. Microarray data were deposited in Gene Expression Omnibus (GEO; <a href="http://www.ncbi.nlm.nih.gov/geo/">http://www.ncbi.nlm.nih.gov/geo/</a> ; accession number GSE43169).	263
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Q15 Figure 3. Transcriptome analysis suggested the involvement of type I IFN–related pathways in GM-DCs during GM-CSF–induced antitumor immunity. A, total RNA was isolated from CD86<sup>+</sup> DCs in TDLNs from mice inoculated with LLC, LLC/SeV/GFP, or LLC/SeV/GM cells at 2 days after the tumor challenge and subjected to cDNA microarray. The top 10 canonical pathways significantly upregulated in GM-DCs, in comparison with those in GFP-DCs, by which a right-tailed Fisher exact test was calculated using the entire dataset. B, IPA was performed using the type I IFN pathway–related genes from the original commonly regulated probes differentially expressed between GFP-DCs and GM-DCs. Differentially expressed genes are indicated in red and green, representing up- and downregulation induced by GM-CSF activation, respectively. A high degree of gene regulation is indicated by bold colored genes. Direct or indirect associations with the differentially expressed genes indicated by no color were not found to be significantly different in this assessment. Positive regulatory interactions are depicted by solid arrows (direct interactions) or dashed arrows (indirect interactions). C, heatmap based on type I IFN pathway–related genes that were differentially expressed in CD86<sup>+</sup> DCs in TDLNs from indicated mouse groups. D, cell numbers of DC subsets (pDC, CD8<sup>+</sup> cDCs, and CD11b<sup>+</sup> cDC) in TDLNs at day 2 (top) and 4 (bottom) after the respective tumor challenge were comparatively quantified (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ). E and F, representative tumor growth curves observed in IFNAR<sup>-/-</sup> (E) or pDC-depleted (F) mice (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ).

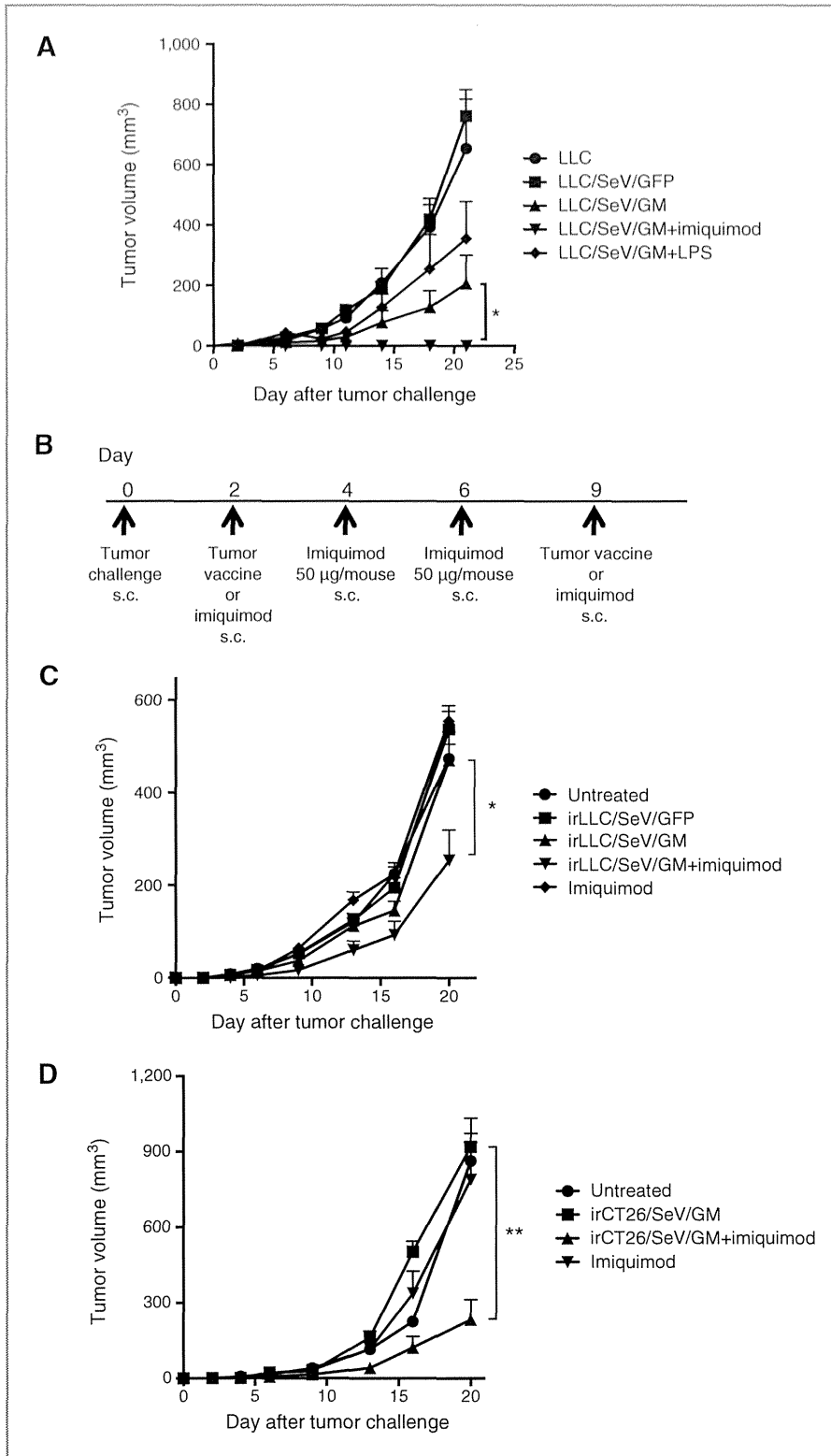
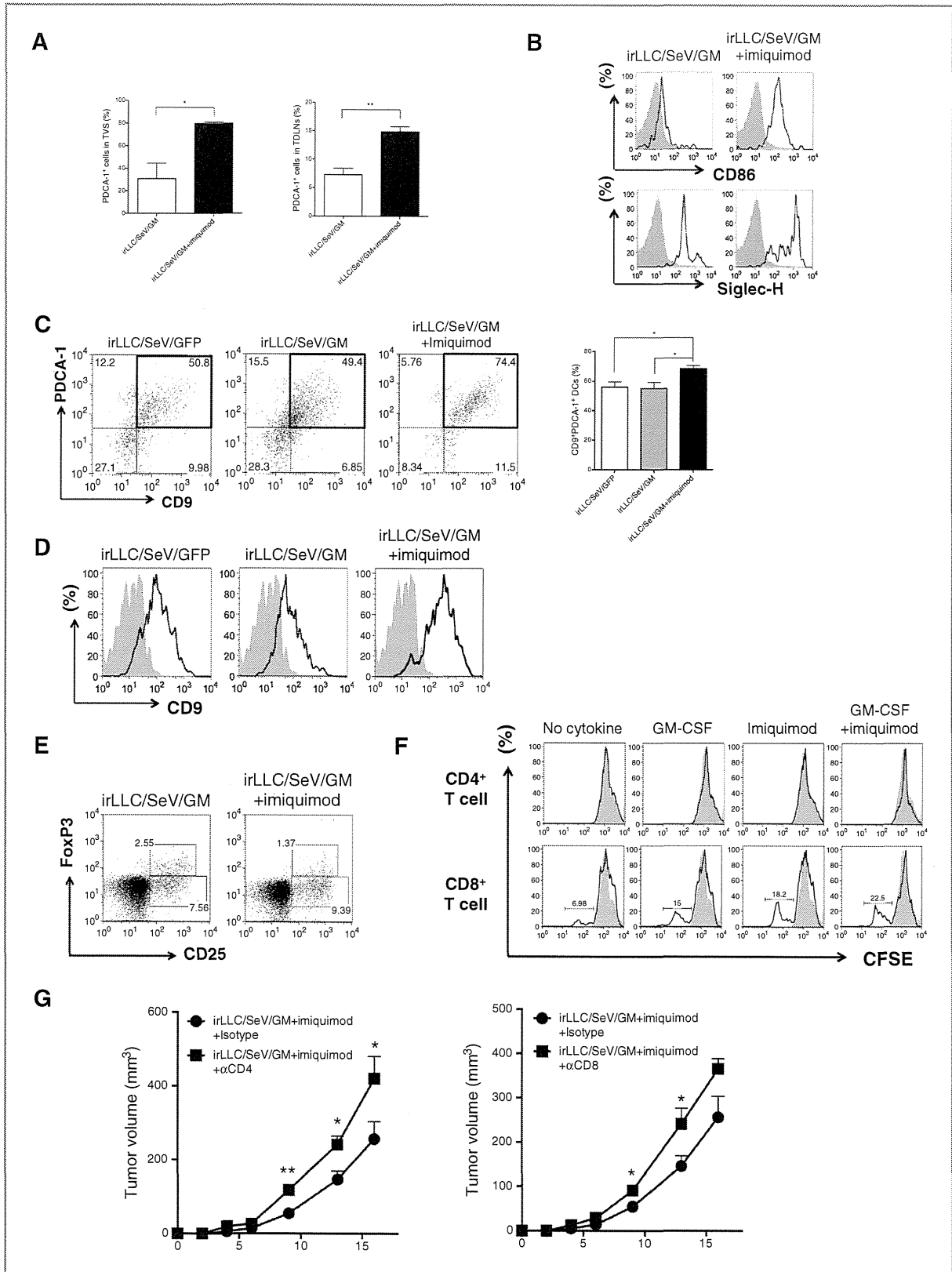


Figure 4. Combined imiquimod and irLLC/SeV/GM cells exert significant therapeutic antitumor effects compared with irLLC/SeV/GM cells alone. A, a total of  $2.0 \times 10^5$  LLC and LLC/SeV/GM cells with or without LPS or imiquimod were subcutaneously inoculated into the right flanks of C57/BL6N mice. Bar graphs, mean  $\pm$  SEM of tumor volumes. Combined data from two independent experiments with similar results are shown (\*,  $P < 0.05$ ). B, schematic diagram of the experimental protocol of therapeutic GM-CSF-based tumor vaccination. Briefly,  $2.0 \times 10^5$  LLC cells or  $3.0 \times 10^5$  CT26 cells were inoculated subcutaneously to C57/BL6N or BALB/cN mice. LLC-bearing mice were divided into the following groups: untreated, imiquimod alone, irLLC/SeV/GFP, irLLC/SeV/GM, or irLLC/SeV/GM cells plus imiquimod. CT26-bearing mice were divided into the following groups: untreated, imiquimod alone, irCT26/SeV/GM or irCT26/SeV/GM cells plus imiquimod. On days 2 and 9, mice were inoculated subcutaneously with the indicated vaccine cells. For imiquimod administration, mice were subcutaneously inoculated with imiquimod on days 2, 4, 6, and 9. Represented are tumor growth curves observed in either LLC- (C) or CT26-bearing (D) mice (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ).





found to be differentially expressed between GM-DCs and GFP-DCs with statistical significance (upregulated genes: Z-score  $\geq 2$  and ratio  $>1.5$ , downregulated genes: Z-score  $\leq -2$  and ratio  $<0.66$ ; data not shown). A list of the genes significantly upregulated in the top 10 canonical pathways in CD86<sup>+</sup> GM-DCs in comparison with CD86<sup>+</sup> GFP-DCs is shown in Table 1. As expected, these genes composed immunologic response-related pathways (Fig. 3A). Among the activated pathways triggered by GM-CSF, we focused on the following representative molecules: IRF7, Oas3 (2'-5'-oligoadenylate synthetase 3), and TLR7, which constitute the type I IFN (IFN- $\alpha$ /IFN- $\beta$ )-associated pathways (Fig. 3B and C; refs. 5, 9). Microarray results for the expression levels of IRF7 and Oas3 were validated by performing qRT-PCR (Supplementary Fig. S2). As pDCs provoke initial defensive antiviral responses by type I IFN production and are the main producers of type I IFNs (10), we speculated that pDCs could be positively involved in the induction of effective GM-CSF-sensitized DCs/T-cell priming (11). Indeed, the number of pDCs, CD11b<sup>+</sup> cDCs, and CD8<sup>+</sup> cDCs subsets from total GM-DCs from TDLNs harvested on days 2 and 4 were greater than the equivalent subsets from total GFP-DCs (Fig. 3D). Furthermore, the results of *in vivo* experiments using IFN- $\alpha$  receptor knockout (IFNAR<sup>-/-</sup>) mice demonstrated that IFNAR<sup>-/-</sup> mice inoculated with LLC/SeV/GM cells significantly abrogated the impairment of tumorigenicity seen in the corresponding wild-type (WT) mice (Fig. 3E). Importantly, similar results were also obtained when pDC-depleted mice were used (Fig. 3F). These results collectively demonstrate the positive role of type I IFN-producing pDCs in the induction of GM-CSF-mediated antitumor immunity.

#### Combination of TLR7 ligand and GM-CSF-secreting LLC cells enhanced the induction of antitumor immunity in both tumorigenicity and therapeutic vaccination models

TLR7-dependent type I IFN pathways are activated by binding with their corresponding ligand, imiquimod (12). To examine the impact of the TLR7-mediated activation of type I IFN-related pathways primarily in pDCs on GM-CSF-induced antitumor immunity, we performed a gain-of-function assay by evaluating the tumorigenicity of LLC/SeV/GM cells with or without imiquimod or TLR4 ligand, LPS, as an irrelevant control. Mice treated with LLC/SeV/GM cells combined with imiquimod significantly suppressed tumor development accompanied with complete tumor regression in all mice tested ( $P < 0.05$ ). Conversely, mice treated with LLC/SeV/GM cells combined with LPS rather attenuated the GM-CSF-

induced antitumor effects (Fig. 4A) and exhibited no significant changes in body weight (Supplementary Fig. S3). We next attempted to translate these findings into a tumor vaccination therapy by adding imiquimod to the subcutaneous administration of irradiated LLC/SeV/GM (irLLC/SeV/GM) cells to investigate the synergistic effect. Notably, mice treated with combined imiquimod and irLLC/SeV/GM cells elicited a significantly marked suppression of tumor growth of preestablished LLC cells, whereas control mice treated with irLLC/SeV/GM cells or imiquimod alone manifested negligible antitumor effects ( $P < 0.05$ ; Fig. 4B and C). Similarly, mice vaccinated with irradiated GM-CSF gene-transduced (MOI = 100) CT26 colon cancer cells in combination with imiquimod significantly suppressed tumor development ( $P < 0.01$ ; Fig. 4D).

#### Admixed use of TLR7 ligand in combination with GVAX therapy induced pDC activation leading to generation of T-cell-mediated antitumor immunity

To elucidate the effect of imiquimod on GM-CSF-induced initial immune responses, we performed phenotypic immunanalyses. At 6 hours after the first tumor vaccination, mice treated with irLLC/SeV/GM cells plus imiquimod showed a significantly higher frequency and number of cells expressing PDCA-1, a pDC-specific marker, than control mice in both TVSSs and TDLNs (Fig. 5A and Supplementary Fig. S4). Furthermore, pDCs (CD11c<sup>+</sup>PDCA-1<sup>+</sup> cells) derived from mice treated with irLLC/SeV/GM cells plus imiquimod expressed increased levels of CD86 and sialic acid binding Ig-like lectin (Siglec)-H, a functional pDC-specific receptor (Fig. 5B; ref. 13), accompanied with significantly higher levels of serum IFN- $\alpha$  (Supplementary Fig. S5). Because CD9<sup>+</sup> pDCs stimulated with TLR agonists induced higher amounts of IFN- $\alpha$  and provoked protective T-cell-mediated antitumor immunity (14), we compared CD9 expression levels on pDC subsets. Mice treated with irLLC/SeV/GM cells plus imiquimod had significantly increased frequency and MFI of CD9<sup>+</sup>PDCA-1<sup>+</sup>CD11c<sup>+</sup> pDCs in TDLNs (Fig. 5C and D). However, the frequency of CD4<sup>+</sup>CD25<sup>+</sup>FoxP3<sup>+</sup> Tregs was decreased in TDLNs from mice treated with irLLC/SeV/GM cells and imiquimod, whereas the frequency of CD4<sup>+</sup>CD25<sup>+</sup>FoxP3<sup>-</sup> T cells was increased in mice treated with combined therapy (Fig. 5E). To investigate the effect of imiquimod and GM-CSF on the T-cell proliferation capacity of pDCs, we performed an allogeneic MLR assay. pDCs stimulated with GM-CSF and imiquimod elicited the most pronounced proliferative activity of CD8<sup>+</sup> T cells, but not CD4<sup>+</sup> T cells, when compared with controls (Fig. 5F). Moreover, the

**Figure 5.** Mice vaccinated with combined irLLC/SeV/GM cells and imiquimod augmented the recruitment of activated pDCs in TDLNs. A, at 6 hours after the first tumor vaccination, infiltrating lymphocytes in TVSSs or TDLNs were harvested from indicated mouse groups. Bar graphs, mean  $\pm$  SEM of frequency of PDCA-1<sup>+</sup> cells gated on FSC/SSC profiles. B, histograms represent expression levels of Siglec-H or CD86 expression on CD11c<sup>+</sup>PDCA-1<sup>+</sup> cells (pDCs) in TDLNs from indicated mouse groups (tinted light gray, isotype control; bold line, anti-CD86 or anti-Siglec-H Ab. C, on day 12, TDLNs were harvested from mice treated with irLLC/SeV/GFP, irLLC/SeV/GM, or irLLC/SeV/GM cells plus imiquimod ( $n = 3$ ). Representative dot plots depict CD9 and PDCA-1 expression gated on CD11c<sup>+</sup> cells in TDLNs (left). Bar graphs, mean  $\pm$  SEM of frequency of CD9<sup>+</sup>PDCA-1<sup>+</sup> cells on DCs (\*,  $P < 0.05$ ; right). D, histograms depict MFI representing CD9 expression levels on PDCA-1<sup>+</sup>CD11c<sup>+</sup> subpopulations in TDLNs (tinted light gray, isotype control; bold line, anti-CD9 Ab). E, representative dot plots illustrate CD25 and FoxP3 expression gated on CD4<sup>+</sup> T cells in TDLNs from indicated mouse groups. F, CFSE-labeled allogeneic MLR assay. Bone marrow-derived pDCs treated with GM-CSF or imiquimod or in combination with GM-CSF plus imiquimod were mixed with CFSE-labeled T cells. Representative histograms show CFSE expression of allogeneic CD4<sup>+</sup>CD3<sup>+</sup> or CD8<sup>+</sup>CD3<sup>+</sup> T cells stimulated by the indicated pDCs. G, tumor growth curves in CD4<sup>+</sup> T-cell (left)- or CD8<sup>+</sup> T-cell (right)-depleted mice treated with irLLC/SeV/GM cells plus imiquimod (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ).

403 synergistic therapeutic efficacy of irLLC/SeV/GM cells and  
404 imiquimod was significantly inhibited when the corresponding  
405 mice were depleted of CD4<sup>+</sup> or CD8<sup>+</sup> T cells (Fig. 5G).

## 406 Discussion

407 This study demonstrates that SeV/dF-mediated exogenous  
408 expression of GM-CSF caused poor growth of cancer cells in  
409 syngeneic mice, concomitant with an early appearance of  
410 mature DCs in TDLNs. We used SeV/dF vectors for the gene  
411 transduction of vaccine cells because they have relatively  
412 higher capacities in terms of gene transduction, induction of  
413 antitumor immunity, and safety (6, 15). Expression microarray  
414 analyses of the GM-CSF–sensitized CD86<sup>+</sup> DCs revealed  
415 increased expression of the TLR7–IRF7 pathway components,  
416 which induce type I IFN production in pDCs (5). Furthermore,  
417 the addition of imiquimod was found to be an effective  
418 potential approach to improve the antitumor effects of GVAX  
419 therapy (Fig. 4).

420 As LLC cells have been considered as poorly immunogenic in  
421 lung cancer (16), it was surprising that tumor challenge with  
422 LLC/SeV/GM cells markedly impaired its tumorigenicity with  
423 complete tumor disappearance in half of the mice tested (Fig.  
424 1). In addition, mice that received prophylactic vaccination  
425 with irLLC/SeV/GM cells also significantly inhibited subse-  
426 quent tumor challenge with LLC cells (Supplementary Fig. S6).  
427 However, therapeutic vaccination using irLLC/SeV/GM cells  
428 alone failed to exert significant antitumor immunity (Fig. 4C).  
429 We, therefore, attempted to potentiate the therapeutic anti-  
430 tumor effects of irLLC/SeV/GM cells through scrutinizing the  
431 gene expression signature of GM-CSF–sensitized DCs in  
432 TDLNs from mice that strongly rejected the tumor challenge  
433 with LLC/SeV/GM cells. We confirmed that GM-CSF facilitat-  
434 ed the maturation of DCs into antigen-presenting cells (APC)  
435 with enhanced ability to prime naïve T cells to proliferate, and  
436 to increase expression of CD80, CD86, MHC class I, MHC class  
437 II, and CD40 (Fig. 2B and Supplementary Fig. S7), consistent  
438 with previous finding that GM-CSF promotes DCs maturation  
439 and differentiation (17). Herein, transcriptome analyses  
440 revealed that GM-CSF also modulated signal transduction in  
441 pDCs by upregulation of the TLR7–IRF7 pathway related to  
442 type I IFN production (Fig. 3), consistent with a previous report  
443 in which GM-CSF stimulation upregulated TLR7 expression in  
444 mouse immune cells (18). Our observation that the pDC subset  
445 was markedly increased in GM-DCs from TDLNs was unex-  
446 pected, as the GM-CSF receptor is mainly expressed on CD34<sup>+</sup>  
447 progenitor cells and myeloid cells (19, 20), and GM-CSF  
448 administration preferentially expands CD11b<sup>+</sup> cDC (21, 22)  
449 and inhibits pDC differentiation (23). However, recent studies  
450 showing that pDC precursors differentiated to CD11b<sup>+</sup>MHC  
451 II<sup>high</sup> cDCs by GM-CSF stimulation (24), the identification of  
452 GM-CSF as a novel activator of pDCs revealed by systematic  
453 analysis of cytokine receptors (25), may explain the increase of  
454 GM-CSF–sensitized pDC subsets in TDLNs (Fig. 3D). In the  
455 development of active immunotherapeutic strategies, much  
456 attention has been focused on CD11b<sup>+</sup> cDC-based vaccines  
457 that have failed to induce sufficient clinical efficacy (26), as  
458 pDCs are considered to be involved in the maintenance of

antitumor tolerance (27) and to be inversely correlated with  
prognosis in patients with cancer (28, 29). However, pDC  
subsets can be pivotal players in TAA-specific antitumor  
immune responses by functioning as APCs (30) that use  
distinct MHC class II antigen-presentation molecules (31),  
leading to the effective priming of naïve CD4<sup>+</sup> T cells (32),  
and cross-present antigens with an efficiency comparable with  
CD11b<sup>+</sup> cDCs (33), implicating its potential as promising APCs  
for cancer immunotherapy. Indeed, imiquimod or CpG, a TLR9  
agonist, reverted immunotolerant pDCs to antitumor pDCs  
(34), resulting in clinical antitumor effects (35, 36). Importantly,  
our results of *in vivo* experiments using pDC depletion and/or  
IFNAR<sup>-/-</sup> mice demonstrated the positive impact of the pDC  
subset and/or type I IFN signaling on the effective generation of  
GM-CSF–induced antitumor immunity (Fig. 3E and F). Thus,  
there may be a functional dichotomy in pDC biology between  
immune tolerance and antitumor phenotype, where their  
redirection is dependent on the tumor microenvironment.

478 Imiquimod, a TLR7 ligand, could be regarded as the most  
479 effective adjuvant among all approved immunomodulators  
480 based on the following: (i) topical imiquimod is currently  
481 FDA approved with a good safety profile; (ii) it potentially  
482 activates APCs to release type I IFNs and Th1-skewing  
483 cytokines; and (iii) imiquimod treatment leads to CCL2-  
484 dependent recruitment of pDCs and their transformation  
485 into killer DCs (37). The underlying mechanism of substan-  
486 tial antitumor efficacy by the combined vaccination may be  
487 due to generation of functionally mature pDCs in TVSs and  
488 TDLNs (Fig. 5A and Supplementary Fig. S4). IFN- $\alpha$ , mainly  
489 produced from pDCs upon exposure to viruses via TLR7 or  
490 TLR9 (38), acts directly on memory T cells, which potentiate  
491 the antigen presentation and cross-priming capacities of  
492 CD11b<sup>+</sup> cDCs (39, 40). We detected CD9<sup>+</sup> pDCs, which  
493 produce abundant IFN- $\alpha$  (14), in TDLNs from mice injected  
494 with irLLC/SeV/GM cells (Fig. 5C and D). Furthermore, GM-  
495 CSF–sensitized pDCs expressed higher CD86 and Siglec-H  
496 (Fig. 5B), a regulator of pDC differentiation and CD8<sup>+</sup> T-cell  
497 responses (13, 41). Moreover, pDCs activated with GM-CSF  
498 plus imiquimod further enhanced the proliferation of CD8<sup>+</sup>  
499 T cells (Fig. 5F), indicating that GM-CSF–activated pDCs  
500 with or without imiquimod could serve as functional APCs  
501 to prime the potent generation of TAA-specific adaptive  
502 immunity. ELISPOT assay demonstrated that the number of  
503 IFN- $\gamma$ –producing splenocytes from mice treated with irLLC/  
504 SeV/GM cells plus imiquimod was increased compared with  
505 control mice (data not shown). Indeed, depletion assays  
506 revealed that CD4<sup>+</sup> and CD8<sup>+</sup> T cells significantly contrib-  
507 uted to the augmentation of the antitumor efficacy by  
508 combination GVAX therapy (Fig. 5G), thus reflecting the  
509 imiquimod-driven accelerated TAA-specific Th1 responses.

510 Although other researchers showed that the addition of  
511 imiquimod negates the antitumor efficacy of a GM-CSF–based  
512 vaccine (42), these conflicting results may stem from the  
513 difference in doses and administration schedule. It is note-  
514 worthy that the ability of imiquimod to potentiate the anti-  
515 tumor effect of GVAX therapy in two different types of cancers  
516 and in two different host strains might confirm the generality  
517 of our findings (Fig. 4C and D).

520 In conclusion, we, for the first time, elucidated that the  
521 beneficial roles of the pDCs and relevant type I IFN pathway in  
522 GM-CSF-induced antitumor immunity and that the combi-  
523 national use of imiquimod with GVAX therapy produced  
524 synergistic antitumor effects, underscoring its potential as a  
525 promising approach for the treatment of cancer.

#### 526 Disclosure of Potential Conflicts of Interest

527<sup>Q22</sup> K. Tani is a consultant/advisory board member. No potential conflicts of  
528 interest were disclosed by the other authors.

#### 529 Authors' Contributions

530<sup>Q23</sup> **Conception and design:** M. Narusawa, H. Inoue, Y. Matsumura, K. Tani  
531 **Development of methodology:** M. Narusawa, H. Inoue, Y. Matsumura,  
532 M. Inoue, M. Hasegawa  
533 **Acquisition of data (provided animals, acquired and managed patients,  
534 provided facilities, etc.):** M. Narusawa, H. Inoue, C. Sakamoto, Y. Matsumura,  
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#### Acknowledgments

The authors thank Michiyo Okada, Michiko Ushijima, Yosuke Yokota, and  
Haruka Nabeta for excellent technical assistance, and Kaori Yasuda and Atsushi  
Doi for performing the cDNA microarray analysis. The authors also thank  
Katsuki Sato for valuable discussion.

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#### Grant Support

This work was supported by JSPS KAKENHI grant number 21790773 and a  
grant for students through the Kyushu University Foundation research grant  
program.  
The costs of publication of this article were defrayed in part by the payment of  
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Received September 5, 2013; revised February 5, 2014; accepted March 3, 2014;  
published OnlineFirst xx xx, xxxx.

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