

2. 学会誌・雑誌等における論文掲載

掲載した論文（発表題目）	発表者氏名	発表した場所 (学会誌・雑誌等名)	発表した時期	国内・外の別
Intestinal Peyer' s patches prevent tumorigenesis in <i>Apc</i> <sup>Min/+</sup> mice.	Fujimoto K, Fujii G, Sakurai H, Yoshitome H, <u>Mutoh M</u> , Wada M.	J Clin Biochem Nutr.	2015	国外
Involvement of NADPH oxidases in suppression of cyclooxygenase-2 promoter-dependent transcriptional activities by sesamol.	Shimizu S, Ishigamori R, Fujii G, Takahashi M, Onuma W, Terasaki M, Yano T, <u>Mutoh M</u> .	J Clin Biochem Nutr.	in press	国外
Candesartan suppresses intestinal carcinogenesis partly through inhibition of plasminogen activator inhibitor-1 expression.	Takasu S, Fujii G, Takahashi M, Onuma K, Yamamoto M, <u>Mutoh M</u> .	J Cancer Sci and Clin Res.	in press	国外
Colorectal cancer prevention by the way of drug repositioning	<u>Mutoh M</u> , Fujii G, Miyamoto S, Nakanishi R, Miura A, Sasazuki S.	Ulcer Res.	in press	国外
Sesamol suppresses cyclooxygenase-2 transcriptional activity in colon cancer cells and modifies intestinal polyp development in <i>Apc</i> <sup>Min/+</sup> mice.	Shimizu S, Fujii G, Takahashi M, Nakanaishi R, Komiya M, Shimura M, Noma N, Onuma W, Terasaki M, Yano T, <u>Mutoh M</u> .	J Clin Biochem Nutr	2014	国外
Bi-directional regulation between adiponectin and plasminogen activator-inhibitor-1 in 3T3-L1 cells.	Komiya M, Fujii G, Takahashi M, Shimura M, Noma N, Shimizu S, Onuma W, <u>Mutoh M</u> .	IN VIVO	2014	国外

Association of Pancreatic Fatty Infiltration With Pancreatic Ductal Adenocarcinoma	Hori M, Takahashi M, Hiraoka N, Yamaji T, <u>Mutoh M</u> , Ishigamori R, Furuta K, Okusaka T, Shimada K, Kosuge T, Kanai Y and Nakagama H.	Clin Transl Gastroenterol.	2014	国外
Potential of drug repositioning for colorectal cancer prevention: Inhibition of colorectal polyp recurrence by aspirin.	<u>Mutoh M</u> , Fujii G.	BioIndustry	2014	国内
Potential ability of xanthophylls to prevent obesity-associated cancer.	Terasaki M, <u>Mutoh M</u> , Fujii G, Takahashi M, Ishigamori R, Masuda S.	World J Pharmacol	2014	国外

1. 学会等における口頭・ポスター発表

発表した成果（発表題目、口頭・ポスター発表の別）	発表者氏名	発表した場所（学会等名）	発表した時期	国内・外の別
大腸SM癌に対する完全摘除生検としてのESDの意義【シンポジウム】	朝山直樹, 田中信治, 岡志郎, 中土井鋼一, 茶山一彰.	福島市（第10回日本消化管学会総会学術集会）	2014. 2. 14-2. 15	国内
Progress of diagnostic and therapeutic colonoscopy 大腸内視鏡診断と治療の進歩【シンポジウム】	田中信治	横浜市（第73回日本癌学会学術総会）	2014. 9. 26	国内
Long-term outcomes after treatment for T1 colorectal carcinoma【ポスター】	Asayama N, Tanaka S, Oka S, Shigita K, Nishiyama S, Hayashi N, Nakadoi K, Chayama K	Chicago (American Society for Gastrointestinal Endoscopy (ASGE) 2014 (DDW) )	2014. 5. 3-5. 6	国外
治療成績と予後からみた高齢者に対する大腸ESDの適応【一般演題】	嶋田賢次郎, 田中信治, 岡志郎, 林奈那, 茶山一彰.	名古屋市（第81回大腸癌研究会）	2014. 7. 4	国内
早期大腸癌の診断と治療【教育講演】	田中信治	東京都（第111回日本内科学会）	2014. 4. 11	国内

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掲載した論文（発表題目）	発表者氏名	発表した場所（学会誌・雑誌等名）	発表した時期	国内・外の別
Endoscopic features and management of diminutive colorectal submucosal invasive carcinoma.	Oka S, Tanaka S, Nakadoi K, Asayama N, Chayama K.	Dig Endosc 26 (Suppl 2): 78-83.	2014年	国外
Condition of muscularis mucosae is a risk factor for lymph node metastasis in T1 colorectal carcinoma.	Nakadoi K, Oka S, Tanaka S, Hayashi N, Terasaki M, Arihiro K, Shimamoto F, Chayama K.	Surg Endosc 28: 1269-76	2014年	国外

Predictors of incomplete resection and perforation associated with endoscopic submucosal dissection for colorectal tumors.	Hayashi N, Tanaka S, Nishiyama S, Terasaki M, Nakadoi K, Oka S, Yoshihara M, Chayama K.	Gastrointest Endosc 79: 427-35.	2014年	国外
Detection of Nonpolypoid Colorectal Neoplasia Using Magnifying Endoscopy in Colonic Inflammatory Bowel Disease.	Oka S, Tanaka S, Chayama K.	Gastrointest Endosc Clin N Am 2014	2014年	国外

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Randomized Comparison of Surveillance Intervals after Colonoscopic Removal of Adenomatous Polyps: The Japan Polyp Study（口演）	Takahisa Matsuda, Takahiro Fujii, Yasushi Sano, Shin- ei Kudo, Yasushi Oda, Kazuhiro Kaneko, Kinichi Hotta, Tadakazu Shimoda, Yutaka Saito, Nozomu Kobayashi, Kazuo Konishi, Hiroaki Ikematsu, Hiroyasu Iishi, Kiyonori Kobayashi, Yuichiro Yamaguchi, Kiwamu Hasuda, Tomoaki Shinohara, Hideki Ishikawa, Yoshitaka Murakami, Hirokazu Taniguchi, Shigeaki Yoshida	UEGW	2014	国外

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Current status and future perspectives of endoscopic diagnosis and treatment of diminutive colorectal polyps	Matsuda T, Kawano H, Hisabe T, Ikematsu H, Kobayashi N, Mizuno K, Oka S, Takeuchi Y, Tamai N, Uraoka T, Hewett D, Chiu HM	Digestive Endoscopy	2014	国外
Cold polypectomy techniques for diminutive polyps in the colorectum	Uraoka T, Ram	Digestive Endoscopy	2014	国外
Evidence-based clinical practice guidelines for management of colorectal polyps	Tanaka S, Saitoh Y, Matsuda T, Igarashi M, Matsumoto T, Iwao Y, Suzuki Y, Nishida H, Watanabe T, Sugai T, Sugihara K, Tsuruta O, Hirata I, Hiwatashi N, Saito H, Watanabe M, Sugano K, Shimosegawa T.	J Gastroenterol	2015	国外
An updated Asia Pacific Consensus Recommendations on colorectal cancer screening	Sung JJ, Ng SC, Chan FK, Chiu HM, Kim HS, Matsuda T, Ng SS, Lau JY, Zheng S, Adler S, Reddy N, Yeoh KG, Tsoi KK, Ching JY, Kuipers EJ, Rabeneck L, Young GP, Steele RJ, Lieberman D, Goh KL	Gut	2015	国外

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地域コホートにおける Nutrigenetics研究－eNOS遺伝子多型と不飽和脂肪酸－（口頭）	牟礼佳苗、有田幹雄、竹下達也	第14回日本分子予防環境医学研究会	2015年2月14日	国内
eNOS遺伝子多型が摂取塩分量と血圧・動脈硬化指標との関連に与える影響（口頭）	牟礼佳苗、橋本磨和、渡部益隆、岡檀、服部園美、宮井信行、内海みよ子、有田幹雄、竹下達也	第85回日本衛生学会学術総会	2015年3月27日	国内
PPARG遺伝子多型が加齢に伴う疾患に関する指標に与える影響（口頭）	渡部益隆、牟礼佳苗、橋本磨和、服部園美、宮井信行、内海みよ子、上松右二、有田幹雄、竹下達也	第85回日本衛生学会学術総会	2015年3月27日	国内
日本人高齢者におけるAD1B、ALDH2遺伝子型と飲酒行動との関連。（ポスター）	橋本磨和、渡部益隆、服部園美、上松右二、有田幹雄、竹下達也	第85回日本衛生学会学術総会	2015年3月28日	国内

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Roles of the <i>ALDH2</i> and <i>ADH1B</i> genotypes on the association between alcohol intake and serum adiponectin levels among Japanese male workers.	Maeda S, Mure K, Mugitani K, Watanabe Y, Iwane M, Mohara O, Takeshita T.	Alcohol Clin Exp Res 38: 1559-1566	2014, Jun	国外
Safety and adherence of Umezu polyphenols in the Japanese plum ( <i>Prunus mume</i> ) in a 12-week double-blind randomized placebo-controlled pilot trial to evaluate antihypertensive effects.	Takemura S, Yoshimasu K, Fukumoto J, Mure K, Nishio N, Kishida K, Yano F, Mitani T, Takeshita T, Miyashita K.	Environ Health Prev Med 19: 444-451	2014, Nov	国内
Genetic alcohol sensitivity regulated by ALDH2 and ADH1B polymorphisms as indicator of mental disorders in Japanese employees	Yoshimasu K, Mure K, Hashimoto M, Takemura S, Tsuno K, Hayashida M, Kinoshita K, Takeshita T, Miyashita K	Alcohol and Alcoholism 50:39-45	2015, Jan	国外

<p>Genetic alcohol sensitivity regulated by ALDH2 and ADH1B polymorphisms is strongly associated with depression and anxiety in Japanese employees</p>	<p>Yoshimasu K, Mure K, Hashimoto M, Takemura S, Tsuno K, Hayashida M, Kinoshita K, Takeshita T, Miyashita K</p>	<p>Drug and Alcohol Dependence 147:130-136</p>	<p>2015, Feb</p>	<p>国外</p>
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ポリフェノール結合タンパク質同定法の開発と細胞周期制御機構の解析（口頭発表）	飯泉陽介、酒井敏行	第73回日本癌学会学術総会	2014年9月26日	国内
イブプロフェンによるDR5の発現誘導とTRAIL誘導性アポトーシスの増強（ポスター発表）	藤堂桃子、堀中真野、友杉充宏、石川秀樹、曾和義広、藤原斉、大辻英吾、酒井敏行	第73回日本癌学会学術総会	2014年9月27日	国内

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Myeloid zinc finger 1 mediates sulindac sulfide-induced upregulation of death receptor 5 of human colon cancer cells	Mano Horinaka, Tatsushi Yoshida, Mitsuhiro Tomosugi, Shusuke Yasuda, Yoshihiro Sowa, Toshiyuki Sakai	Scientific Reports	2014年8月8日	国外

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大腸がんの化学予防、生活習慣が消化器がん発生に与える影響:最新の知見 消化器癌の化学予防（口頭）	若林敬二	第52回日本癌治療学会学術集会	2014年8月29-30日	国内
がんの発生と抑制に影響を及ぼす食事性因子（口頭）	若林敬二	日本農芸化学会中部支部第170回例会	2014年7月5日	国内
A Novel Maillard Reaction Product, Aminobenzoazepinoquinoline-Derivative, Induces Genotoxicity and Preneoplastic Lesions in Mice. (ポスター)	Wakabayashi K, Totsuka Y, Watanabe T, Kochi T, Shimizu M, Tanaka T.	The Environmental Mutagenesis and Genomics Society 45th Annual Meeting	2014年9月13-17日	国外
ポンカン果皮粉末による azoxymethane誘発F344ラット大腸aberrant crypt foci生成の抑制（ポスター）	浅井大智、小林亜里子、中西るり、藤井元、清水聡美、尾沼若奈、石ヶ守里加子、武藤倫弘、高橋智、若林敬二	がん予防学術大会2014 東京	2014年6月13-14日	国内

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掲載した論文（発表題目）	発表者氏名	発表した場所（学会誌・雑誌等名）	発表した時期	国内・外の別
A novel aromatic mutagen, 5-amino-6-hydroxy-8H-benzo[6,7]azepino[5,4,3-de]quinolin-7-one (ABAQ), induces colonic preneoplastic lesions in mice.	Kochi T, Shimizu M, Totsuka Y, Shirakami Y, Nakanishi T, Watanabe T, Tanaka T, Nakagama H, Wakabayashi K, Moriwaki H.	Toxicology Reports	2014	国外
In vivo genotoxicity of a novel heterocyclic amine, aminobenzoazepinoquinolinone-derivative (ABAQ), produced by the Maillard reaction between glucose and L-tryptophan.	Totsuka Y, Watanabe T, Coulibaly S, Kobayashi S, Nishizaki M, Okazaki M, Hasei T, Wakabayashi K, Nakagama H.	Mutation Research	2014	国外

<p>"Cancer and Inflammation Mechanisms. Chemical, Biological, and Clinical Aspects", First edition. Chapter 20  "Chemoprevention of colorectal cancer by anti-inflammatory agents".</p>	<p>Mutoh M,  Takahashi M,  <u>Wakabayashi</u>  <u>K</u></p>	<p>Edited by Shosuke Kawanishi and Hiroshi Ohshima. Published 2014 by John Wiley &amp; Sons, Inc.</p>	<p>2014</p>	<p>国外</p>
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## 研究成果の刊行物・別冊

## Factors associated with technical difficulties and adverse events of colorectal endoscopic submucosal dissection: retrospective exploratory factor analysis of a multicenter prospective cohort

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### Abstract

**Background** Colorectal endoscopic submucosal dissection (C-ESD) is a promising but challenging procedure. We aimed to evaluate the factors associated with technical difficulties (failure of *en bloc* resection and procedure time,  $\geq 2$  h) and adverse events (perforation and bleeding) of C-ESD.

**Methods** We conducted a retrospective exploratory factor analysis of a prospectively collected cohort in 15 institutions. Eight-hundred sixteen colorectal neoplasms larger than 20 mm from patients who underwent C-ESD were included. We assessed the outcomes of C-ESD and risk factors for technical difficulties and adverse events.

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**Results** Of the 816 lesions, 767 (94 %) were resected *en bloc*, with a median procedure time of 78 min. Perforation occurred in 2.1 % and bleeding in 2.2 %. Independent factors associated with failure of *en bloc* resection were low-volume center (<30 neoplasms), snare use, and poor lifting after submucosal injection. Factors significantly associated with long procedure time ( $\geq 2$  h) were large tumor size ( $\geq 4$  cm), low-volume center, less-experienced endoscopist, CO<sub>2</sub> insufflation, and use of two or more endoknives. Poor lifting was the only factor significantly associated with perforation, whereas rectal lesion and lack of a thin-type endoscope were factors significantly associated with bleeding. Poor lifting after submucosal injection occurred more frequently for nongranular-type laterally spreading tumors (LST) and for protruding and recurrent lesions than for granular-type LST (LST-G).

**Conclusions** Poor lifting after submucosal injection was the risk factor most frequently associated with technical difficulties and adverse events on C-ESD. Less experienced endoscopists should start by performing C-ESDs on LST-G lesions.

**Keywords** Colonoscopy · Colorectal neoplasm · Endoscopic gastrointestinal surgery · Endoscopic submucosal dissection

## Introduction

Endoscopic resection is a noninvasive, standard treatment for patients with superficial colorectal neoplasms (adenoma/early cancer) without risk of lymph node metastasis [1–3]. Small colorectal neoplasms can be removed easily with conventional polypectomy or endoscopic mucosal resection (EMR). However, conventional EMR may result in piecemeal resection (i.e., tumor resection in multiple fragments) of large-sized tumors [4–6]. Limitations of piecemeal resection include

incomplete histological assessment of the specimen and a greater risk of tumor recurrence [7]. Indeed *en bloc* resection (i.e., resecting the entire tumor in one piece) is preferred for precise histological assessment of the resected specimen and to ensure elimination of any residual tumor [4].

Endoscopic submucosal dissection (ESD), of superficial gastrointestinal neoplasms results in high *en bloc* resection rates, regardless of tumor size, location, or fibrosis in the submucosa (SM) [1]. However, colorectal ESD is associated with technical difficulties resulting in poor outcomes, such as failure of *en bloc* resection and long procedure time [8]. Additionally, the adverse events of colorectal ESD (e.g., perforation and bleeding) may be quite severe [9]. These technical difficulties and adverse events may be associated with lesion characteristics, type of endoscopic device, and operator experience. Limitations in attempting to perform colorectal ESD may be due to a lack of information on these technical difficulties and adverse events. Assessing factors associated with such technical difficulties and adverse events may help in formulating training programs for colorectal ESD and treatment strategies for large colorectal tumors. Although several large case-series have assessed the feasibility and efficacy of colorectal ESD, these were retrospective analyses in well-experienced single centers [10, 11]. Outcomes of colorectal ESD were also assessed in a prospective multicenter study, but those centers were all advanced institutions [12]. Therefore, the outcomes of colorectal ESD performed at institutions with various levels of experience have not yet been evaluated.

Considering that the rates of adverse events and tumor recurrence following EMR and ESD had never been directly compared, we performed a prospective cohort study comparing EMR and ESD for large ( $\geq 20$  mm) colorectal neoplasms [13, 14]. In the prospectively collected cohort, there was a large number of ESD procedures (816 ESDs vs. 1,029 EMRs). Therefore, we retrospectively explored the factors associated with technical difficulty and adverse events on colorectal ESD in the cohort.

## Patients and methods

This retrospective analysis involved the patients undergoing colorectal ESD in the prospectively selected patients undergoing colorectal endoscopic resection at 18 tertiary institutions with various levels of experience. The study was performed by the Japanese Society for Cancer of the Colon and Rectum to compare recurrence rates after EMR and ESD for colorectal neoplasms  $\geq 20$  mm [13, 14]. The study protocol was approved by the institutional review board of each center and registered in the University Hospital Medical Information Network Clinical Trials Registry as number UMIN 000001642. This manuscript followed the STROBE

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guidelines [15]. All the authors had reviewed and approved the final manuscript.

### Study participants

Consecutive patients >20 years old with superficial colorectal neoplasms  $\geq 20$  mm in diameter undergoing endoscopic resection between October 2007 and December 2010 were eligible for inclusion in the original cohort trial. Lesions predicted to be noninvasive neoplasms and carcinomas with minute ( $<1,000$   $\mu\text{m}$ ) SM invasion, thought to have no risk of lymph node metastasis, were removed by endoscopic resection. The subjects in that trial who underwent ESD were included in this retrospective exploratory factor analysis (Fig. 1). The choice between EMR and ESD was made by each participating colonoscopist, based on the proposed guidelines of the Colorectal ESD Standardization Implementation Working Group [16, 17]. Lesions with contraindications to endoscopic resection, as determined by the colonoscopist, including lesions involving the orifice of the appendix, those encompassing the entire circumference of the colonic wall, those showing massive invasion of the ileum, and lesions inaccessible by colonoscopy, were excluded and treated by surgical colectomy. Written informed consent was obtained from each patient.

### Procedures

All procedures were performed by colonoscopists who had been physicians for at least 5 years and were either board-certified by the Japanese Gastroenterological Endoscopy Society (JGES) or had knowledge and endoscopic techniques equal to that of board-certificated colonoscopists. Therefore, no trainees were involved in any of these cases. Endoscopic devices (endoknives), endoscopes, endoscopic systems, and medications were not regulated by the study protocol, and all procedures were performed according to each institution's standard procedure. Patients were considered admitted to hospital when they underwent ESD. Although the fasting and hospitalization periods and examination after colonic ESD were determined according to each institution's protocol, in Japan the usual fasting period is 2 days, including the day

on which ESD is performed, and the hospitalization period is 7 days with blood tests performed the day after ESD. The histopathology of each resected specimen was assessed at each institution, following the Japanese classification of colorectal carcinoma [2]. Lesions histopathologically diagnosed as low/high-grade adenoma, intramucosal carcinoma, or carcinoma with minute SM invasion ( $<1,000$   $\mu\text{m}$ ), without high pathologic risk features (lymph-vascular involvement and/or poorly differentiated adenocarcinoma), were regarded as curable because they had no risk of lymph node metastasis. By contrast, lesions histopathologically diagnosed as carcinoma with deep SM invasion ( $\geq 1,000$   $\mu\text{m}$ ) or with high-risk pathologic features were regarded as incurable, and these patients were referred for additional surgery, including lymph node dissection.

### Data collection and measured outcomes

Detailed data sheets on each participating patient were completed by the investigators and faxed to the independent data center. Information about endoscopic resection (e.g., endoscopic devices, endoscopes, and medications) was collected after the procedure. Data included patient characteristics (age and sex), diagnostic modality prior to endoscopic resection (with or without magnifying endoscopy), tumor characteristics (location, estimated size, type, and history of biopsy), institution, the experience of each colonoscopist ( $<11$  or  $\geq 11$  years), fluid injected to form a SM cushion (sodium hyaluronate or others), type of power source used for electrical cutting and its setting, type of electrosurgical endoknife, type of insufflation gas ( $\text{CO}_2$  or air), lifting condition after SM injection (good or poor), completeness of the endoscopic resection (*en bloc*, piecemeal, or unresected), diagnostic modality for assessment of residual tumor after endoscopic resection (with or without magnifying endoscopy), procedure time (from the beginning of SM injection until lesion removal), adverse events (perforation, bleeding, and others), treatments administered for adverse events and their outcomes, histopathological diagnosis of the resected specimen (histological type, lymph-vascular involvement, and tumor involvement on the lateral and proximal margins) according to the Japanese classification of colorectal carcinoma [2], and additional therapy for incurable lesions.

Outcomes indicating technical difficulties included failure of *en bloc* resection and procedure time and adverse events included perforation and bleeding. The factors associated with each were also evaluated.

### Definitions

ESD was defined as endoscopic dissection of a colorectal tumor using an electrosurgical endoknife, consisting of circumferential mucosal cutting and SM dissection or

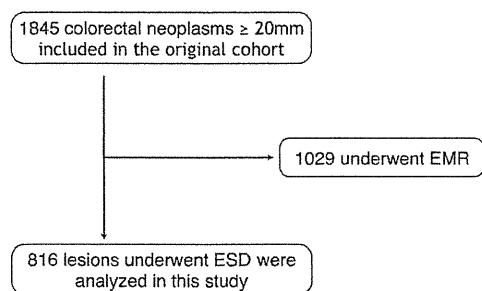


Fig. 1 Study design, showing a flow diagram of enrolled subjects

circumferential SM incision prior to EMR (CSI-EMR) [18], as it was difficult to distinguish whether CSI-EMR was initially planned prior to the procedure or was used to rescue a procedure which was difficult to complete. As ESD is intended for *en bloc* resection, a failed procedure was defined as failure of *en bloc* resection (i.e., piecemeal resection or incomplete procedure). Tumors were classified as being located on the colon (cecum, ascending, transverse colon, descending or sigmoid colon) or the rectum. Endoknives were classified into three categories (needle knife, IT knife, and scissors types), as well as with or without water-jet function. Tumors were classified into five categories, based on the Paris classification and models of tumor growth during the development of colorectal neoplasia [3, 19]. The five types were: (1) granular-type laterally spreading tumor (LST-G), (2) nongranular-type laterally spreading tumor (LST-NG), (3) protruding tumor, (4) recurrent tumor after endoscopic resection, and (5) unclassified. Lifting conditions after SM injection were assessed as good or poor [20]. Histopathological diagnoses were based on the Japanese classification and were re-classified according to the Vienna classification [21]. Low-grade adenomas according to the Japanese classification were equivalent to noninvasive low-grade neoplasias according to the Vienna classification, whereas high-grade adenomas and intramucosal carcinomas according to the Japanese classification were equivalent to noninvasive high-grade neoplasias according to the Vienna classification. Based on the median number of ESDs performed at each institution during the study period (30 cases/3 years; i.e., 10 cases/year), institutions were classified as low (<30 lesions) and high ( $\geq 30$  lesions) volume centers. Colonoscopists were classified as those who were less (<11 years) and more ( $\geq 11$  years) experienced, because it takes at least 5 years to be a board-certified member of JGES and it is thought that it takes more 5 years to experience enough ESD cases. Procedure time  $>2$  h was defined as long, because 30 % of the ESDs needs procedure time  $>2$  h, and it can be said they are relatively difficult cases than average. Lesion size was classified as  $<40$  and  $\geq 40$  mm. A bleeding episode was defined as bleeding resulting in (1) apparent hematochezia or melena after the procedure, (2) a  $\geq 2$ -g/dL decrease in hemoglobin concentration, or (3) a blood transfusion (the decision for transfusion was left each institution's criterion and 7.0 g/dL in hemoglobin concentration is generally accepted as a criterion for transfusion). Perforation was defined as a full-thickness defect of the colonic wall with visible peritoneal fat or the presence of extra-gastrointestinal air on X-ray or abdominal computed tomography. Although observation period for delayed adverse events was not defined, the patients were generally followed up for at least one year because the follow-up period of the original cohort study was one year. Therefore, we could collect the information about late adverse events for two to four weeks [22].

### Sample size estimation and statistical analysis

This study was a retrospective exploratory factor analysis of a prospective cohort study. The cohort involved 1,845 colorectal neoplasms  $\geq 20$  mm in diameter. The lesions from patients who underwent ESD were included to this exploratory analysis. Multiple lesions in the same patient were counted as independent lesions.

All data were collected and analyzed at an independent data center. Continuous, parametric variables are reported as means (standard deviation (SD)) and nonparametric data as medians (interquartile range (IQR) or range). Categorical variables were reported as incidence or rates (%) and compared using the  $\chi^2$  test or Fisher's exact test, as appropriate. Univariate and multivariate logistic regression analyses were performed to examine the factors associated with technical difficulty (failure of *en bloc* resection and procedure time,  $>2$  h), whereas the number of adverse events (perforation and bleeding) was too small for multivariate analysis and only univariate analysis was done to examine the factors associated with adverse events. Variables with  $p$  values for association  $\leq 0.2$  on univariate analysis were considered potential risk factors in multivariate logistic regression analysis. All statistical analyses were performed using JMP version 10 (SAS Institute Inc, Cary, NC). All analysis were exploratory and  $P$  values were two-tailed, with  $p < 0.05$  defined as statistically significant.

## Results

### Study design and baseline patient characteristics

The participants' flow is shown in Fig. 1. Between October 2007 and December 2010, 1,845 colorectal neoplasms  $\geq 20$  mm in diameter were enrolled in the prospective cohort study. Of these, 816 lesions underwent colorectal ESD and were included in this analysis, and the remainder underwent conventional EMR.

Baseline patient characteristics are shown in Table 1. ESD procedures were performed at 15 of the 18 participating institutions, with a median of 30 lesions (IQR, 11–94 lesions) treated per center. The median lesion size was 35 mm (IQR, 28–47 mm). Almost two thirds of the lesions (64 %) were located in the colon. LST-G was the most frequent type (56 %), with 55 % of the lesions biopsied prior to ESD. Approximately 90 % of the lesions were removed by colorectal ESD at a high-volume center, with 65 % of these procedures performed by more experienced colonoscopists. One fourth of the lesions (25 %) showed poor lifting after SM injection.



**Table 1** Baseline demographic and clinical characteristics of the study subjects

	Number	Percent
Number of lesions	816	
Sex (male/female)	468:348	
Mean age (year ( $\pm$ SD))	67 ( $\pm$ 10)	
Median tumor size, mm (IQR)	35 (28–47)	
Tumor location		
Colon		
Cecum	520	64 %
Ascending colon	71	9 %
Transverse colon	152	19 %
Descending colon	144	18 %
Sigmoid colon	32	4 %
Rectum	121	15 %
Rectum	296	36 %
Type		
LST-G	459	56 %
LST-NG	281	34 %
Protruding	59	7 %
Recurrent tumor after ER	5	1 %
Unclassified	12	2 %
Institution		
High-volume ( $\geq$ 30 patients), 8 institutions	715	88 %
Low-volume (<30 patients), 7 institutions	101	12 %
Experience of endoscopist		
More experienced ( $\geq$ 11 years)	531	65 %
Less experienced (<11 years)	285	35 %
Lifting after submucosal injection		
Good	608	75 %
Poor	208	25 %

*Abbreviations:* IQR interquartile range, LST-G granular-type laterally spreading tumor, LST-NG nongranular-type laterally spreading tumor, ER endoscopic resection

### Procedures for colorectal ESD

Almost all procedures used CO<sub>2</sub> gas and sodium hyaluronate (Table 2). Various types of electrosurgical endoknives were used. Of the colorectal ESDs, 68 % required one electrosurgical endoknife, with the remaining 32 % requiring two or more. Endoknives with water-jet function were used to remove 29 % of the colorectal ESDs, with only 5 % requiring an endoscopic snare. Most of the colonoscopists (86 %) preferred to use a thin endoscope (thin caliber colonoscope or gastroscope). A gastroscope was used in 25 % of the procedures, whereas endoscopes equipped with a water-jet function were utilized in 70 %.

### Therapeutic outcomes

Therapeutic outcomes are shown in Table 3. The median procedure time was 78 min (IQR, 50–120 min). Procedure times were longer than 2 h for 30 % of the lesions and longer than 3 h for about 10 %. We found that 57 % of the lesions were noninvasive high-grade neoplasms, 24 % were

noninvasive low-grade neoplasms, and 18 % were invasive adenocarcinomas, including 7 % that were unexpectedly deep ( $\geq$ 1,000  $\mu$ m) invasive SM cancers. These latter tumors were regarded as incurable by endoscopic local resection and were referred for additional surgery. Almost all the tumors (94 %) were resected *en bloc*, with 6 % requiring piecemeal resection or surgical colectomy.

Perforation occurred in 17 patients (2.1 %). Although most perforations were treated endoscopically using endoclips without surgical intervention, one required emergency surgery. Bleeding occurred in 20 patients (2.2 %), with most (19 patients) being postoperative. One patient with severe uncontrolled intraoperative bleeding required emergency surgery. There were no fatal adverse events.

### Factors associated with difficulty and adverse events of colorectal ESD

Tables 4, 5, 6, and 7 show the results of univariate and multivariate analyses of factors associated with technical

**Table 2** Devices used for colorectal endoscopic submucosal dissection

	Number	Percent
CO <sub>2</sub>	693	85 %
Sodium hyaluronate	788	97 %
Electrosurgical endoknife (multiple choice answers)		
Needle type <sup>a</sup>	806	98 %
IT type <sup>b</sup>	169	21 %
Scissors type <sup>c</sup>	32	4 %
Endoknife with water-jet function	238	29 %
Number of electrosurgical endoknives used		
1	558	68 %
≥2	258	32 %
Snare used	42	5 %
Thin-caliber endoscope (Gastroscope)	703 (205)	86 % (25 %)
Water-jet endoscope	568	70 %

<sup>a</sup> Includes Flushknife (DK2618JN, Fujifilm Medical, Tokyo, Japan), Flushknife BT (DK2618JB, Fujifilm Medical), Dual knife (KD-650Q, Olympus Co, Tokyo, Japan), Hook knife (KD-620QR, Olympus), Flex knife (KD-630 L, Olympus), needle-type bipolar needle knife (BSBK21S45, Xeon Medical Co, Tokyo, Japan), and ball-tipped bipolar needle knife (BSBK21B35, Xeon Medical)

<sup>b</sup> Includes IT knife (KD-610 L, Olympus), IT knife 2 (KD-611 L, Olympus), and IT knife nano (KD-612Q, Olympus)

<sup>c</sup> Includes SB knife (MD-47706, Sumitomo Bakelite, Tokyo, Japan) and SB knife Jr. (MD-47703, Sumitomo Bakelite)

difficulties (failure of *en bloc* resection and long procedure time) and adverse events (perforation and bleeding). Univariate analysis showed that protruding type tumor, low-volume center (<30 neoplasms), lack of sodium hyaluronate use, snare use, poor lifting after SM injection, noninvasive high-grade dysplasia and deeply invasive carcinoma (≥1,000SMμm)

**Table 3** Clinical outcomes of colorectal endoscopic submucosal dissection

	Number	Percent
Median procedure time (min (range))	78 (50–120)	
Procedure time ≥2 h	240	30 %
Histology		
Noninvasive low-grade neoplasm	195	24 %
Noninvasive high-grade neoplasm	466	57 %
SM <1,000 μm	88	11 %
SM ≥1,000 μm	62	7 %
Unknown	5	1 %
Completeness of the procedure		
En bloc resection	771	94 %
Piecemeal resection	44	5 %
Unresected	1	1 %
R0 resection	638	78 %

Abbreviation: SM submucosa

were possible risk factors associated with failure of *en bloc* resection. Multivariate analysis showed that low-volume center, snare use, and poor lifting after SM injection were independent risk factors associated with failure of *en bloc* resection (Table 4). Factors associated with long procedure time (≥2 h) on univariate analysis included large tumor size (≥4 cm), colonic lesion, LST-NG, protruding-type tumor, low-volume center (<30 lesions), less-experienced endoscopist, CO<sub>2</sub> use, use of two or more electrosurgical endoknives, snare use, noninvasive high-grade neoplasm and deeply invasive carcinoma (≥1,000 μm). On multivariate analysis, large tumor size, low-volume center, less-experienced endoscopist, CO<sub>2</sub> use, and use of two or more electrosurgical endoknives were independent risk factors for long procedure time (Table 5). Univariate analysis showed that poor lifting after SM injection was the only risk factor associated with perforation (Table 6). Factors associated with bleeding on univariate analysis included rectal lesions and lack of thin-type endoscope (Table 7).

Poor lifting after SM injection occurred more frequently in LST-NG and in protruding and recurrent lesions than in LST-G, with the incidence of poor lifting after SM injection being extremely high (80 %) for recurrent lesions, although the incidence of poor lifting was not related to history of biopsy (Table 8).

## Discussion

We found that colorectal ESD yielded satisfactory outcomes in this prospective cohort treated at several participating institutions with various levels of experience. Acceptable outcomes of colorectal ESD have also been reported in western countries, but improvements are needed because of its technical difficulties [23]. Adverse events such as perforation and bleeding [13] have been reported, as have failure of *en bloc* resection and long procedure time. We therefore assessed factors independently associated with these technical difficulties and adverse events.

We found that poor lifting after SM injection was independently associated with failure of *en bloc* resection and with increased perforation. Poor lifting after SM injection is thought to be associated with fibrosis in the SM layer. In single center trials, fibrosis was reported related to failure of *en bloc* resection and perforation [20]; and tumor size and the presence of fibrosis were found to be independent risk factors for perforation [24, 25]. Although a multicenter trial showed that only large tumor size and performance of the procedure at a low-volume institution were risk factors for perforation and postoperative bleeding, that trial did not assess lifting condition or fibrosis [13]. Our finding, that poor lifting was a significant risk factor for failure of *en bloc* resection and

**Table 4** Univariate ( $p \leq 0.2$ ) and multivariate logistic analyses of factors associated with failure of *en bloc* resection during colorectal endoscopic submucosal dissection

Variable	Univariate analysis			Multivariate analysis		
	<i>En bloc</i> resection	Failure of <i>en bloc</i> resection	<i>p</i> value	Adjusted OR	95 % CI	<i>p</i> value
Institution ( <i>n</i> ; %)						
High volume ( $\geq 30$ patients)	682/715 (95)	33/715 (5)				
Low volume ( $< 30$ patients)	89/101 (88)	12/101 (12)	0.0008	5.52	2.25–13.37	0.0003
Snare						
–	744/774 (95)	30/774 (4)				
+	27/42 (64)	15/42 (36)	$< 0.001$	25.32	10.37–63.94	$< 0.0001$
Lifting condition after SM injection						
Good	590/608 (97)	18/608 (3)				
Poor	181/208 (87)	27/208 (13)	$< 0.001$	10.74	4.49–25.18	$< 0.0001$

Abbreviations: OR odds ratio, LST-G granular-type laterally spreading tumor, LST-NG nongranular-type laterally spreading tumor, ER endoscopic resection, SM submucosa

adverse events, was similar to the results of these earlier trials. The causes of fibrosis are not completely known, but we frequently observed the lesions with poor lifting in LST-NG and in protruding and recurrent lesions. These findings suggest that endoscopists in low-volume centers should start by performing colorectal ESDs on LST-G lesions.

We also found that performance of ESD at a low-volume institution was an important risk factor for failure of *en bloc* resection and long procedure time. Similarly, another study reported that the total number of ESDs performed per

institution was inversely associated with the incidence of adverse events [13]. In this study, institutions performing fewer than ten colorectal ESDs per year were regarded as low-volume centers and these institutions should be selective in performing colorectal ESD. Unfortunately, we could not collect the colorectal ESD volume of each colonoscopist and we had to assess the experience of ESD by each institution, not by each colonoscopist. However, since colorectal ESD is a technically challenging and relatively rare procedure, we expect that within each institution such cases are performed by

**Table 5** Univariate ( $p \leq 0.2$ ) and multiple regression analyses of factors associated with longer procedure time ( $\geq 2$  h) during colorectal endoscopic submucosal dissection

Variable	Univariate analysis			Multivariate analysis		
	$< 2$ h	$\geq 2$ h	<i>p</i> value	OR	95 % CI	<i>p</i> value
Tumor size (%)						
$< 4$ cm	396/477 (83)	81/477 (17)				
$\geq 4$ cm	180/339 (53)	159/339 (47)	$< 0.0001$	4.97	3.35–7.47	$< 0.0001$
Institution ( <i>n</i> (%))						
High volume ( $\geq 30$ patients)	516/715 (72)	199/715 (28)				
Low volume ( $< 30$ patients)	60/101 (59)	41/101 (41)	0.01	2.75	1.59–4.78	0.0003
Endoscopist						
Experienced	400/531 (75)	131/531 (25)				
Less experienced	176/285 (62)	109/285 (38)	$< 0.0001$	2.31	1.61–3.34	$< 0.0001$
CO <sub>2</sub>						
–	98/123 (80)	25/123 (20)				
+	478/693 (69)	215/693 (31)	0.02	2.02	1.17–3.61	0.012
Number of electro-surgical endoknives used						
1	425/558 (76)	133/558 (24)				
$\geq 2$	151/258 (58)	107/258 (42)	$< 0.0001$	2.48	1.70–3.62	$< 0.0001$

Abbreviations: OR odds ratio, LST-G granular-type laterally spreading tumor, LST-NG nongranular-type laterally spreading tumor, ER endoscopic resection, SM submucosa

**Table 6** Univariate analysis of factors associated with perforation during colorectal endoscopic submucosal dissection

Variable	Univariate analysis		
	Perforation (-)	Perforation (+)	<i>p</i> value
Institution ( <i>n</i> (%))			
High volume (≥30 patients)	702/715 (98)	13/715 (2)	0.15
Low volume (<30 patients)	97/101 (96)	4/101 (4)	
Snare			
-	760/774 (98)	14/774 (2)	0.05
+	39/42 (93)	3/42 (7)	
Lifting condition after submucosal injection			
Good	601/608 (99)	7/608 (1)	0.003
Poor	198/208 (95)	10/208 (5)	

Abbreviations: *LST-G* granular-type laterally spreading tumor, *LST-NG* nongranular-type laterally spreading tumor, *ER* endoscopic resection, *SM* submucosa

or with the assistance of the most experienced ESD operator whenever possible. Therefore, we believe that the institutional experience with colorectal ESD is an accurate and adequate surrogate marker for the colonoscopists' ESD experience.

The mechanisms by which rectal location and lack of use of a thin-type endoscope enhance bleeding are unclear. Lesion location in the colon has been reported to be a significant risk factor for delayed bleeding following colonic EMR for large lesions [26]. By contrast, we found that the risk of bleeding was lower for lesions in the colon than the rectum. Differences between the two studies may be due to differences in the resection method (EMR vs. ESD), the race or ethnic background of the patients, and/or lesion characteristics. However, we found that the incidence of bleeding after endoscopic

**Table 7** Univariate analysis of factors associated with bleeding during colorectal endoscopic submucosal dissection

Variable	Univariate analysis		
	Bleeding (-)	Bleeding (+)	<i>p</i> value
Location ( <i>n</i> (%))			
Colon	513/520 (99)	7/520 (1)	0.04
Rectum	285/296 (96)	11/296 (4)	
CO <sub>2</sub>			
-	117/123 (95)	6/123 (5)	0.1
+	679/693 (98)	14/693 (2)	
Thin-type endoscope			
-	105/113 (93)	8/113 (7)	0.003
+	691/703 (98)	12/703 (2)	

Abbreviations: *LST-G* granular-type laterally spreading tumor, *LST-NG* nongranular-type laterally spreading tumor, *ER* endoscopic resection, *SM* submucosa

**Table 8** Relationship between poor lifting after submucosal injection and lesion characteristics

Type	Poor lifting after SM injection		<i>p</i> value
	Incidence (%)	<i>n</i>	
Type			
LST-G	16 %	(73/459)	<0.01
LST-NG	38 %	(108/281)	
Protruding	32 %	(19/59)	
Recurrent	80 %	(4/5)	
Unclassified	33 %	(4/12)	
History of biopsy			
Positive	27 %	(119/446)	0.42
Negative	24 %	(89/370)	

Abbreviations: *SM* submucosa, *LST-G* granular-type laterally spreading tumor, *LST-NG* nongranular-type laterally spreading tumor

resection for large superficial colorectal tumors was lower (2.2 %) than previously reported (7 %) [26]. This difference may have been due to post-ESD coagulation (PEC), which uses a coagulation forceps to prevent bleeding by visible blood vessels in the resection area [27]. As PEC is not usually performed after conventional EMR, it may explain the reduced bleeding rate after ESD and the different characteristics of bleeding after EMR and ESD. Additionally, thin-type endoscopes are flexible, making it easier for them to access any part of mucosal defects after colorectal ESD. Use of these endoscopes would better detect visible vessels on the mucosal defect after colorectal ESD, resulting in a reduction in bleeding rate due to easier coagulation. Moreover, CO<sub>2</sub> and multiple endoknives use were also independent risk factors for longer ESD procedure time in our study, although CO<sub>2</sub> was reported to reduce the procedure time of colorectal ESD and endoknives are generally used to make the procedure easier [28]. We suppose these are not causative factors but rather than the opposite, symptoms of an expected complicated clinical situation. That is, the endoscopists may tend to use CO<sub>2</sub> or multiple endoknives for especially difficult cases.

Although our prospectively collected large sample size was one of the strengths of this trial, our results may have been limited by selection bias. Our study subjects consisted of consecutive patients who underwent endoscopic resection in a prospective cohort trial, but more than half the subjects screened underwent EMR [16, 17]. Flat-type lesions, rectal lesions, and SM cancers were more frequently removed by ESD than by EMR. Additionally, the mean lesion size was larger in the ESD than in the EMR group (39.4 vs. 26.4 mm). Despite any possible selection bias, however, the *en bloc* resection rate was greater for ESD than for conventional EMR (94.5 vs. 56.9 %). Moreover, of the 816 lesions removed by ESD, 140 (17.2 %) showed unfavorable results (failure of