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Surfactant protein D attenuates sub-epithelial fibrosis in allergic airways disease through TGF- β

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Abstract

Background: Surfactant protein D (SP-D) can regulate both innate and adaptive immunity. Recently, SP-D has been shown to contribute to the pathogenesis of airway allergic inflammation and bleomycin-induced pulmonary fibrosis. However, in allergic airways disease, the role of SP-D in airway remodeling remains unknown. The objective of this study was to determine the contribution of functional SP-D in regulating sub-epithelial fibrosis in a mouse chronic house dust mite model of allergic airways disease.

Methods: C57BL/6 wild-type (WT) and SP-D^{-/-} mice (C57BL/6 background) were chronically challenged with house dust mite antigen (*Dermatophagoides pteronyssinus*, Dp). Studies with SP-D rescue and neutralization of TGF- β were conducted. Lung histopathology and the concentrations of collagen, growth factors, and cytokines present in the airspace and lung tissue were determined. Cultured eosinophils were stimulated by Dp in presence or absence of SP-D.

Results: Dp-challenged SP-D^{-/-} mice demonstrate increased sub-epithelial fibrosis, collagen production, eosinophil infiltration, TGF- β 1, and IL-13 production, when compared to Dp-challenged WT mice. By immunohistology, we detected an increase in TGF- β 1 and IL-13 positive eosinophils in SP-D^{-/-} mice. Purified eosinophils stimulated with Dp produced TGF- β 1 and IL-13, which was prevented by co-incubation with SP-D. Additionally, treatment of Dp challenged SP-D^{-/-} mice with exogenous SP-D was able to rescue the phenotypes observed in SP-D^{-/-} mice and neutralization of TGF- β 1 reduced sub-epithelial fibrosis in Dp-challenged SP-D^{-/-} mice.

Conclusion: These data support a protective role for SP-D in the pathogenesis of sub-epithelial fibrosis in a mouse model of allergic inflammation through regulation of eosinophil-derived TGF- β .

Keywords: Surfactant protein D, Asthma, Fibrosis, Airway remodeling, Eosinophil, Transforming growth factor beta

Background

Surfactant is a lipoprotein complex that resides at the air-liquid interface of the lungs and is most commonly known for its role in reducing surface tension. Surfactant is produced by alveolar type II cells and airway Clara cells [1] and is composed of approximately 10% proteins, which includes surfactant protein (SP)-A, SP-B, SP-C and SP-D. SP-A and SP-D are members of collectin family of proteins and can modulate innate immunity. Previous reports have shown that SP-D can enhanced pulmonary clearance of pathogens including; *Pseudomonas*

aeruginosa [2], *Klebsiella pneumonia* [3], respiratory syncytial virus (RSV) [4] and Influenza virus [5]. Furthermore, SP-D has also been shown to modify allergic responses in the lungs and can bind to several common allergens, including house dust mite (*Dermatophagoides pteronyssinus*, Dp) [6], *Aspergillus fumigatus*, (Af) [7] and pollen granules [8]. Additionally SP-D reduce airway hyperresponsiveness (AHR) and eosinophilia in either ovalbumin (OVA) [9] or in Af [10] murine models of allergic airways disease and SP-D administration after antigen challenge can attenuate eosinophilia and Th2 cytokine production in Dp-sensitized mice [11-13]. While SP-D can attenuate AHR and eosinophilia in these allergic models, the role of SP-D in remodeling of the airways remains unexplored.

Airway remodeling is central to the pathogenesis of asthma and can include sub-epithelial fibrosis, mucus

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cell hyperplasia and smooth muscle hypertrophy/hyperplasia. A better understanding of the factors that regulate the pathogenesis of sub-epithelial fibrosis may provide an opportunity for novel interventions in chronic bronchial asthma. Previous work demonstrated that both SP-A and SP-D can mitigate pulmonary fibrosis in mouse models of lung injury. For example, SP-A-deficient and SP-D-deficient mice are susceptible to bleomycin-induced lung injury and display increased cellular inflammation, more severe lung fibrosis, and reduced survival [14,15]. Studies using the bleomycin lung fibrosis model support that SP-D attenuate pulmonary fibrosis through both regulation of TGF- β 1 and PDGF-AA production, as well as, limiting fibrocyte migration into the lung [16]. Clinical relevance of these findings is supported by the association between serum levels of either SP-A or SP-D and mortality in patients with pulmonary fibrosis [17,18].

Based on these previous observations, we used a model of chronic exposure to Dp to test the hypothesis that SP-D would attenuate the development of sub-epithelial fibrosis in an allergic airways disease. Present findings here suggest that SP-D plays a protective role in allergic airways by reducing the development of sub-epithelial fibrosis.

Materials and methods

Detailed methods are described in the supporting information.

Preparation of antigen

House-dust mite antigen (*Dermatophagoides pteronyssinus*, Dp) was purchased from Cosmobio Ltd (Tokyo, Japan). Endotoxin levels were reduced using endotoxin removal solution (Sigma-Aldrich, Japan) to <0.02 EU/mg.

Animal protocol

All mouse studies were carried out in strict accordance with the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. The protocol was approved by the Institute of Animal Care and Use Committee (IACUC) at Duke University. All surgery was performed under Ketamine (50 mg/kg)/Xylazine (5 mg/kg) anesthesia and all efforts were made to minimize suffering.

SP-D knockout (SP-D $^{-/-}$) mice (C57BL/6 background) and IL-5 transgenic mice (C57BL/6 background) were generated as previously described [19,20]. Wild-type (WT) C57BL/6 mice were purchased from The Jackson Laboratory and bred in-house to control for environmental conditions. 6–10 week old mice were sensitized and challenged by Dp as described previously [21] (Figure 1). 3–5 mice per group were used in each experiment and these experiments were repeated for 2–3 times. Data from experiments were pooled for analysis. Bronchoalveolar lavage (BAL) was performed and lungs were harvested for histopathology and lung homogenization [21].

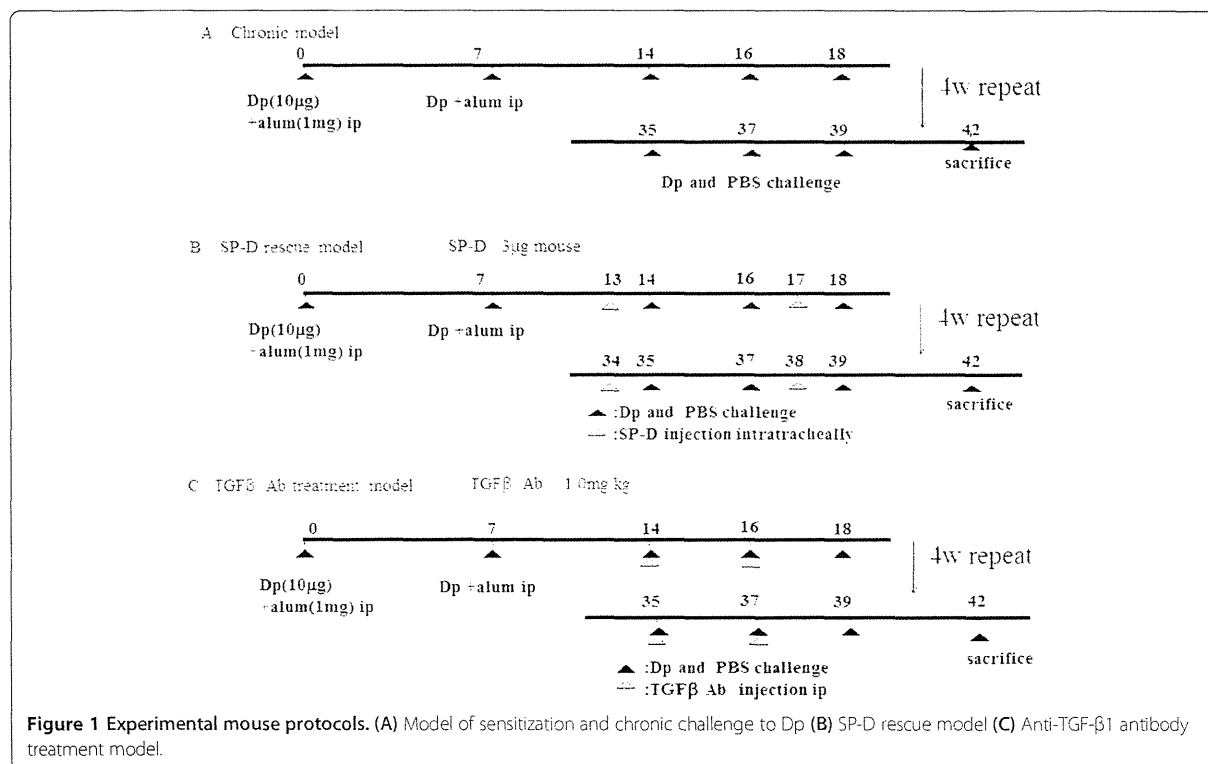


Figure 1 Experimental mouse protocols. (A) Model of sensitization and chronic challenge to Dp (B) SP-D rescue model (C) Anti-TGF- β 1 antibody treatment model.

Exogenous SP-D administration *in vivo*

Recombinant SP-D was isolated from Chinese hamster ovary cells expressing rat SP-D protein as described previously [22]. Recombinant SP-D (3 µg in 50 µl PBS) or 50 µl PBS as control was administered into Dp-challenged SP-D^{-/-} mouse by oropharyngeal aspiration as described previously [15,16] twice weekly from days 13 to 38 (Figure 1).

Anti-TGF-β1 antibody administration *in vivo*

1.0 mg/kg of Anti-TGF-β1 antibody (R&D Systems, Minneapolis, MN) or 1.0 mg/kg of IgG isotype antibody (R&D Systems) as control was administered intraperitoneally into Dp challenged WT and SP-D^{-/-} mouse twice weekly from days 14 to 37 (Figure 1).

Eosinophil purification and *in vitro* experiment

Eosinophils were purified from blood of IL-5 transgenic mouse as described previously and purity was determined to be greater than 95% [23]. Eosinophils (4x10⁵) were incubated in 48 well plates in the presence or absence of SP-D for 1 hr. After pre-incubation, eosinophils were stimulated by various concentration of Dp solution for 24 hrs. SP-D was boiled by 100°C for 10 min and was used as heat-inactivated SP-D [24].

Histology

Lung tissue was fixed in 10% formalin and embedded in paraffin. Three-micrometer thick sequential sections were performed. Sections for fibrosis were stained with Gomori's trichrome stain. Sequential sections were stained with Luna-modified stain and TGF-β1 and IL-13 immunohistochemistry (IHC). Both primary antibodies were purchased from Abcam (Cambridge, UK). IHC were performed as described previously [25]. Morphological analysis was performed quantitatively by Image J (National Institutes of Health).

Measurements of total protein and cytokine concentrations

Harvested lungs were homogenized in lysis buffer (Cell Signaling Technology, Inc. Danvers, MA) containing 1 mM phenylmethanesulfonyl fluoride (PMSF, Sigma-Aldrich) using Savant FastPrep FP120 Homogenizer (Thermo Scientific, Waltham, MA). Protein concentrations were determined by the BCA method (Pierce, Rockford, IL). Cytokines/growth factor were measured with commercial ELISA kits (details were described in Additional file 1). The values graphed for cytokine were adjusted to the total protein concentration of the respective lung samples.

Collagen assay

Lungs were homogenized in 0.5 M acetic acid (50 volumes to wet lung weight) containing about 1 mg/ml pepsin (Sigma) using Savant FastPrep FP120 Homogenizer (Thermo Scientific, Waltham, MA). Total lung collagen was determined using the Sircol Collagen Assay kit (Biocolor Ltd., Belfast, Northern Ireland) according to the manufacturer's instructions. The values graphed for collagen were adjusted to the total protein concentration of the respective lung samples.

Flow cytometry

The lungs were minced and enzymatically digested (DNase and Collagenase) for 1 hr at 37°C. Cells were stained by various fluorescence conjugated -antibodies (details were described in Additional file 1). The stained cells were analyzed by FACS using a BD LSRII and BD FACS Canto II (San Diego, CA) for acquisition.

Statistical analysis

Comparisons between groups were analyzed using one-way ANOVA with post-hoc Tukeys analysis. Some comparisons between groups made with Student T-test without ANOVA (GraphPad Prism, version 5.0; GraphPad Software, Inc., San Diego, CA). Data are presented as mean ± SEM. Differences were considered statistically significant if p values were less than 0.05.

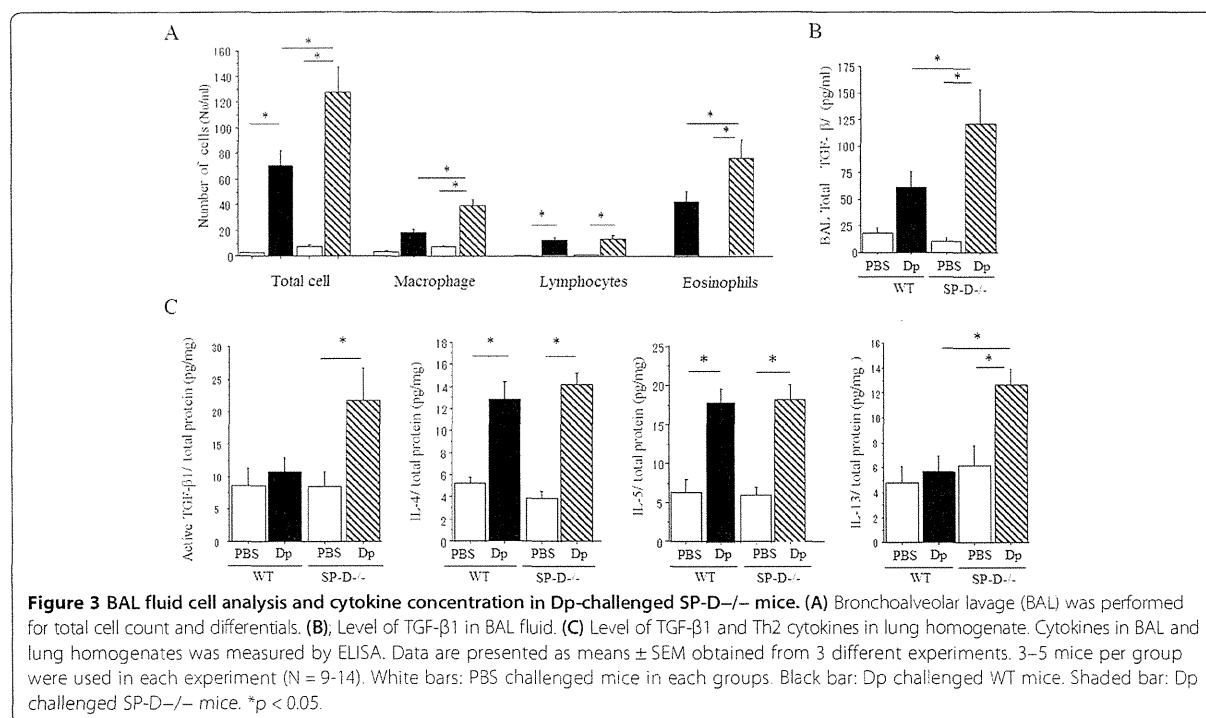
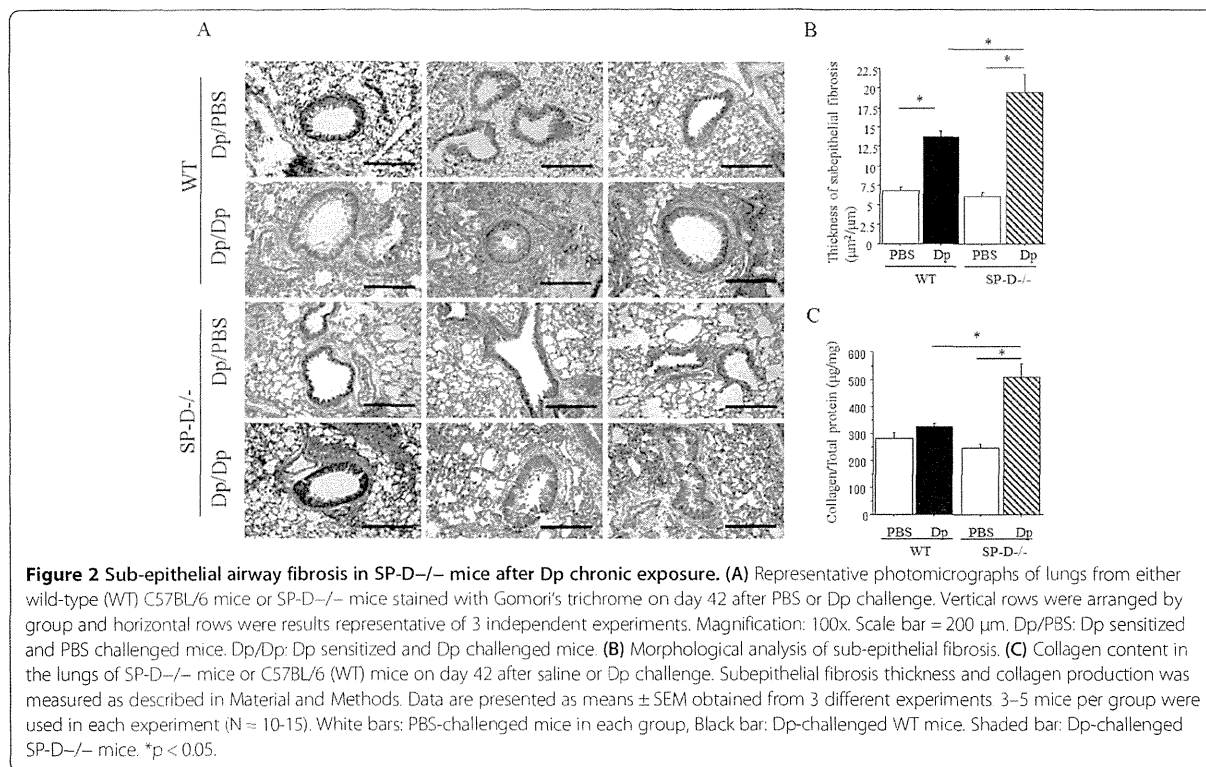
Results

Sub-epithelial airway fibrosis in Dp challenged mice

Chronic Dp exposure increased sub-epithelial fibrosis in Dp-challenged WT and SP-D^{-/-} mice compared to PBS challenged mice (Figure 2A). When compared with Dp-challenged WT mice, Dp-challenged SP-D^{-/-} mice demonstrate markedly increased sub-epithelial fibrosis after chronic exposure (Figure 2A). The thickness of sub-epithelial fibrosis was increased in all Dp-challenged groups when compared to PBS challenged groups. However, the thickness of sub-epithelial fibrosis of Dp challenged SP-D^{-/-} mice was significantly greater than that of Dp-challenged WT mice (Figure 2B). Likewise, the amount of collagen in the lungs of Dp-challenged SP-D^{-/-} mice was significantly increased compared to the lungs of Dp-challenged WT mice and PBS-challenged SP-D^{-/-} mice (Figure 2C).

Cellular inflammation in Dp-challenged mice

Differential cell counts from the bronchial alveolar lavage fluid (BALF) and cytokine/growth factor concentrations in BALF and whole lungs were examined to evaluate the allergic inflammation of DP-challenged mice. Although there were no observed differences in the number of lymphocytes in BALF among both groups of Dp-challenged mice, the total cells, eosinophils, and



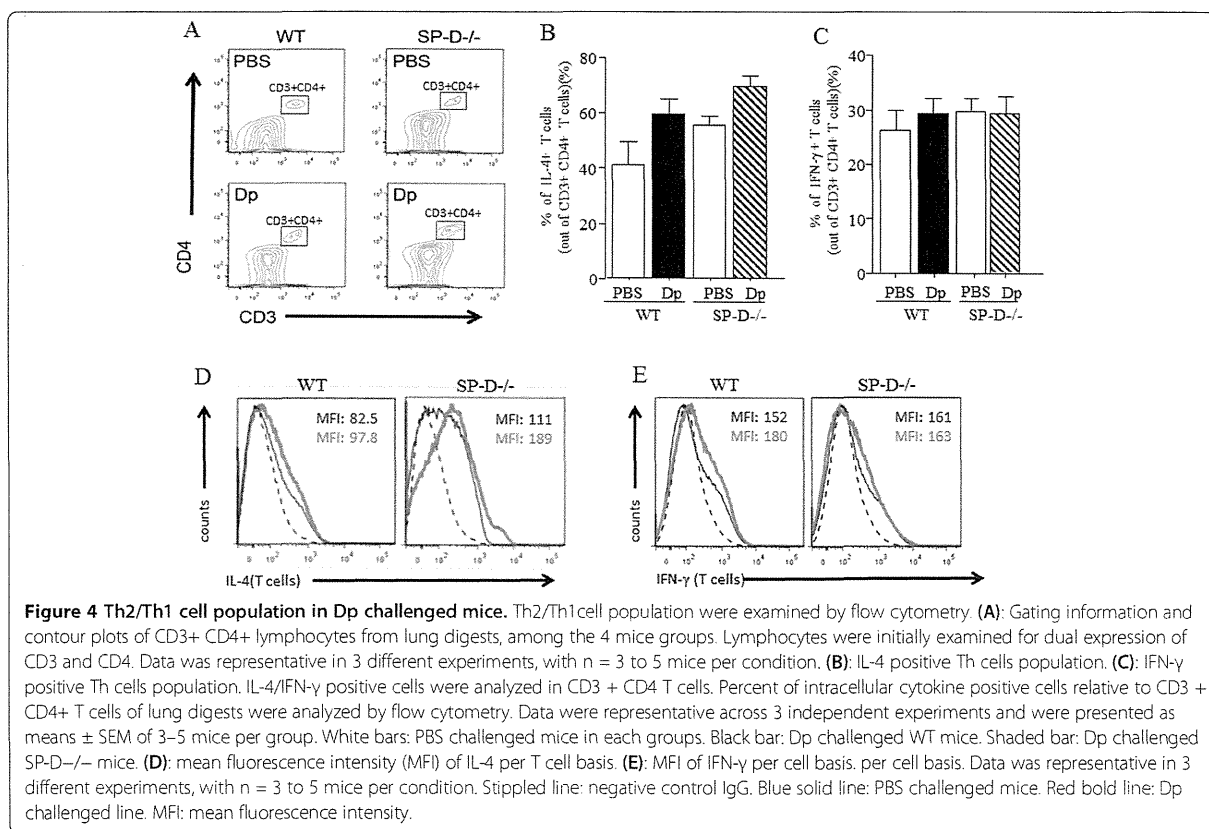
macrophages in BALF were significantly increased in Dp-challenged SP-D^{-/-} mice when compared to Dp-challenged WT mice, (Figure 3A).

TGF- β 1 is recognized to be a key cytokine driving fibrotic lung disease [16]. Total TGF- β 1 concentration in BALF of Dp challenged SP-D^{-/-} mice was significantly increased when compared to Dp-challenged WT mice and PBS challenged SP-D^{-/-} mice (Figure 3B). Active TGF- β 1 of lung homogenate in Dp challenged SP-D^{-/-} mice tended to increase when compared to Dp-challenged WT mice although there are no statistically significant differences observed (Figure 3C). These findings suggest that functional TGF- β 1 was produced around inflammatory site of lung in SP-D deficient mice.

Several Th2 cytokines, IL-4, IL-5 and IL-13, were undetectable in BALF, but were present in the lung homogenates. While there were no detectable differences in IL-4 and IL-5 between both groups of Dp-challenged mice, SP-D^{-/-} mice had significantly increased IL-13 production when compared to WT after Dp-challenge (Figure 3C).

Th2/Th1 cell population and cytokines in Dp-challenged mice

To determine whether Th lymphocytes affected IL-13 production in SP-D^{-/-} mice, we examined the intracellular cytokine profile of Th2/Th1 cells that were present in the total CD4⁺ cell population (CD3⁺/CD4⁺) from the homogenized and digested lung tissue by flow cytometry (Figure 4A). Although, the percentage of IL-4⁺ T cells trended towards an increase by Dp exposure when compared with PBS challenged mice, there were no detectable differences in percentage of IL-4⁺ T cells between either group of Dp-challenged mice (Figure 4B). Additionally, there were no detectable differences in the number of IFN- γ + T cells from lung tissue between all groups of mice (Figure 4C). To determine Th2/Th1 cytokine production per T cells basis, we analyzed mean fluorescence intensity (MFI) of IL-4 and IFN- γ per cell basis. MFI of IL-4 in Dp challenged SP-D^{-/-} mice was only slightly increased compared to PBS challenged SP-D^{-/-} mice, and appears to be within 2 fold increase compared to Dp challenged WT mice (Figure 4D). There were no detectable differences in MFI of IFN- γ

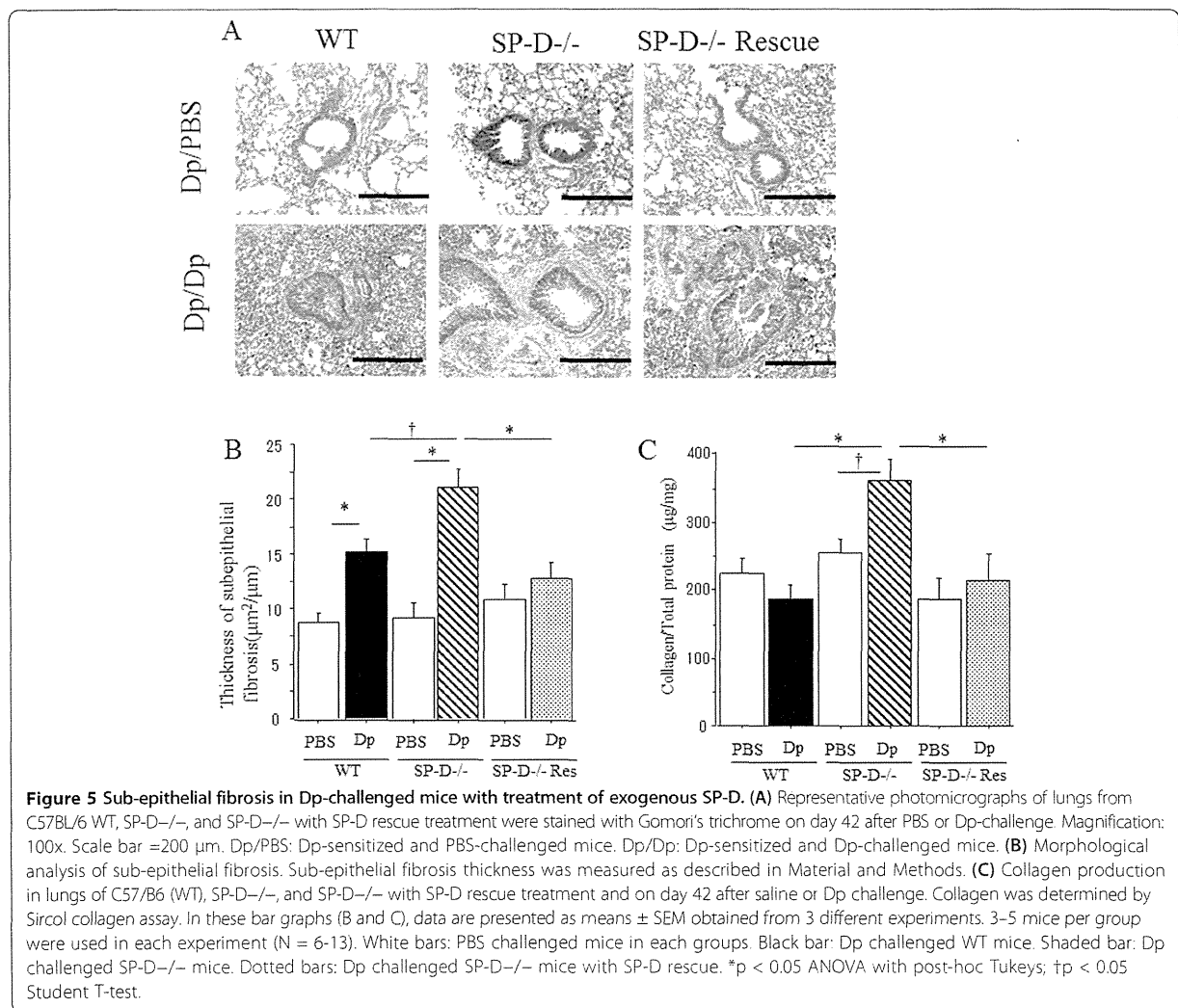


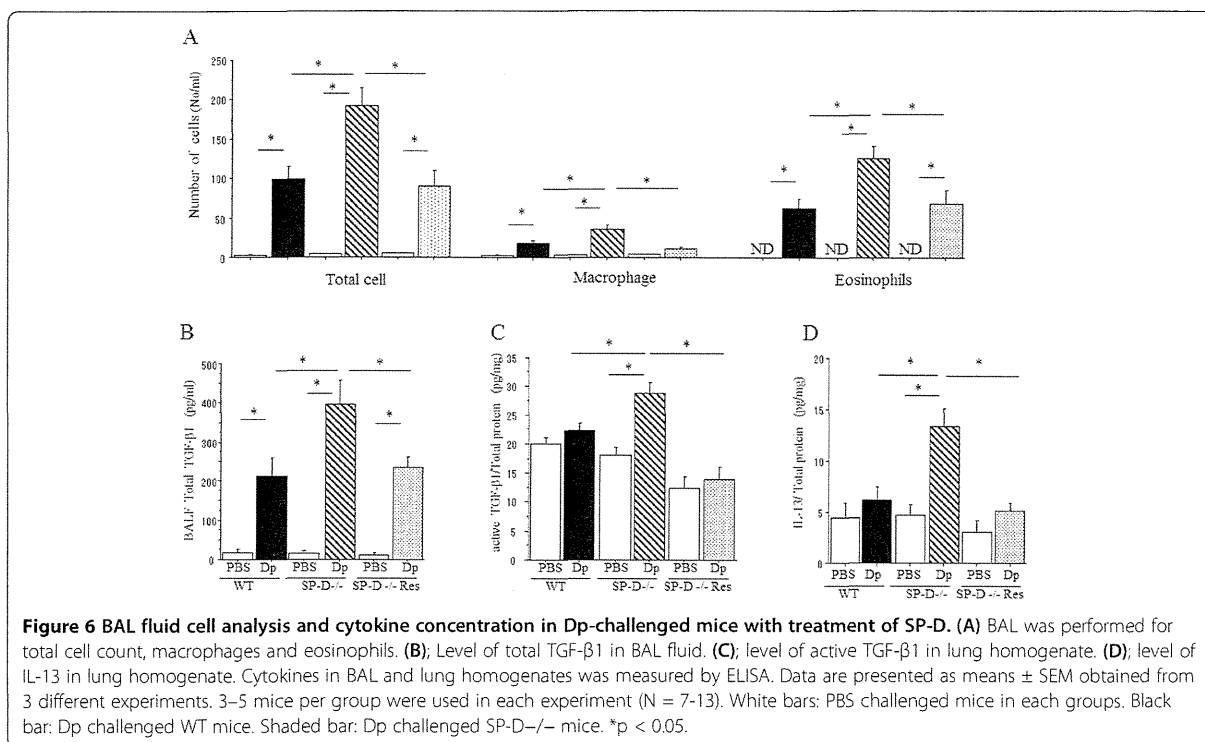
between all groups of mice (Figure 4E). Based on these results, we did not observe detectable differences in Th2/Th1 lymphocyte populations and Th2/Th1 cytokines in SP-D^{-/-} mice, which suggests that Th2/Th1 lymphocytes may not be a major contributor to the SP-D-dependent IL-13 production.

Treatment with exogenous SP-D in Dp-challenged mice

To determine whether loss of SP-D directly affected the increase of sub-epithelial fibrosis, exogenous SP-D was administrated oropharyngeally into SP-D^{-/-} mice (SP-D rescue treatment mice, SP-D^{-/-} Res). Again, we observed that sub-epithelial fibrosis in SP-D^{-/-} mice was increased by chronic Dp exposure as compared to WT Dp exposed mice. However, in Dp-challenged SP-D^{-/-} mice given the SP-D rescue treatment, sub-epithelial fibrosis was attenuated (Figure 5A). Similarly,

the thickness of sub-epithelial fibrosis surrounding the bronchus was significantly reduced in Dp-challenged SP-D^{-/-} mice given SP-D rescue treatment when compared to Dp-challenged SP-D^{-/-} mice given vehicle control (Figure 5B). The concentration of collagen in the lungs of Dp challenged SP-D^{-/-} mice was significantly increased compared to that of Dp-challenged WT mice. SP-D rescue treatment also significantly decreased collagen concentration in lungs of Dp-challenge SP-D^{-/-} mice (Figure 5C). Moreover, SP-D rescue treatment also significantly decreased the cellular inflammation (Figure 6A) and total TGF-β1 of BALF (Figure 6B) of Dp-challenged SP-D^{-/-} mice as compared to vehicle treated controls. We observed similar patterns that the increases of both active TGF-β1 and IL-13 in SP-D^{-/-} were reduced by SP-D rescue treatment (Figure 6C and D).





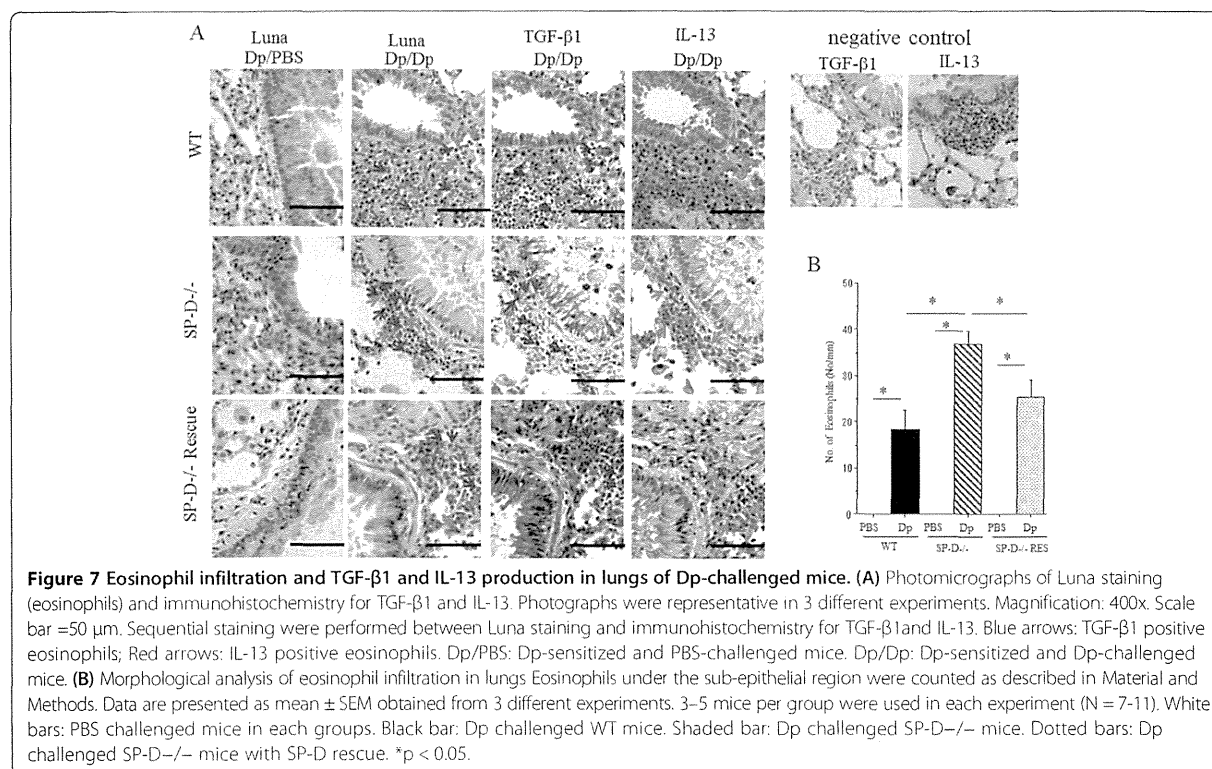
TGF-β1 and IL-13 positive eosinophil infiltration in lungs of Dp-challenged mice

Previous reports have shown that allergic inflammation, including eosinophilia, is related to the establishment of airway remodeling [26,27]. In our studies, histological examination with Luna modified stain demonstrated that peribronchiolar eosinophils (red in cytoplasm) were present in all groups of Dp-challenge mice when compared to PBS-challenged mice (Figure 7A). However, the number of tissue eosinophils was increased in Dp-challenged SP-D^{-/-} mice when compared to Dp-challenged WT mice (Figure 7B). SP-D rescue treatment also significantly decreased the eosinophil infiltration into the tissue of Dp-challenged SP-D^{-/-} mice (Figure 7B). In order to determine if eosinophils are the source of TGF-β1 and IL-13 in Dp-challenged SP-D^{-/-} mice, we performed Luna-modified stain and immunohistochemistry using sequential staining techniques. Using this techniques, we are able to show that many of the infiltrated eosinophils which are positive for Luna-modified stain, are also positive for TGF-β1 (blue arrows) and for IL-13 (red arrows) (Figure 7A). As shown in Table 1, while the percentage of TGF-β1 positive and IL-13 positive eosinophils was similar among the groups, the total number of TGF-β1 positive and IL-13 positive eosinophils was significantly increased in SP-D^{-/-} mice

compared to WT and SP-D^{-/-} rescue mice. Interestingly, bronchial epithelial cells were also positive for TGF-β1 (Figure 7A). Since the percentage of TGF-β1 positive epithelial cells was not increased in SP-D^{-/-} mice (Table 1), this suggests that epithelium derived TGF-β1 may not be affected by SP-D in this model. These findings further support the notion that eosinophils are an important source of both TGF-β1 and IL-13 and may be regulated by SP-D.

SP-D regulates eosinophil-derived TGF-β1 and IL-13

To determine if SP-D directly regulates eosinophil function, *in vitro* experiments were performed with purified eosinophils that were stimulated with Dp in the presence or absence of SP-D. Dp-stimulation significantly increased TGF-β1 production from eosinophils in a dose dependent manner (Figure 8A). Interestingly, SP-D pre-treatment of eosinophils significantly reduced TGF-β1 production at both 2 μg/ml and 5 μg/ml doses (Figure 8A). Heat-inactivated SP-D is less effective in inhibiting TGF-β production from eosinophils when compared to multimeric SP-D (Figure 8B). In addition, IL-13 production by isolated eosinophils was also significantly increased by Dp stimulation in a dose dependent manner, which was significantly reduced by SP-D co-incubation (Figure 8B). Taken together, these results demonstrate that SP-D can



directly attenuate Dp-induced eosinophil-derived TGF-β1 and IL-13 production.

TGF-β blockade inhibits sub-epithelial fibrosis in the SP-D deficient animal

In order to determine whether TGF-β1 affected sub-epithelial fibrosis in the SP-D^{-/-} mice, we blocked TGF-β1 in our Dp model. Treatment with TGF-β blocking antibody significantly reduced total and active TGF-β1 concentrations as measured in the BALF and whole lung homogenate (Figure 9A). TGF-β1 blockade reduced sub-epithelial fibrosis in both strain of Dp-challenge mice compared to Dp-challenge mice given the IgG isotype control administration (Figure 9B). Additionally, the thickness of sub-epithelial fibrosis in Dp challenged SP-D^{-/-} mice with TGF-β1 Ab treatment was significantly reduced compared to Dp-challenged SP-D^{-/-} mice given the IgG

isotype control treatment (Figure 9C). The inhibitory effect of anti-TGF-β treatment in SP-D^{-/-} mice was higher than that in WT mice (WT vs SP-D^{-/-}; 31.7% reduced vs 50.0% reduced, respectively) (Figure 9C). Collagen concentrations were also significantly decreased in SP-D^{-/-} Dp challenged lungs that had been given TGF-β1 Ab treatment when compared to those given IgG isotype control treatment (Figure 9D). Interestingly, TGF-β1 Ab treatment significantly decreased IL-13 concentration in SP-D^{-/-} mice, although it was not effective for WT mice (Figure 9E). These results support that TGF-β1 is key cytokine in establishing Dp-induced sub-epithelial fibrosis in mice that lack functional SP-D.

Discussion

Sub-epithelial fibrosis is a major complication of chronic allergic airways disease and can result in fixed air-flow

Table 1 Histological data of eosinophils and epithelial cells by IHC

		TGF + Eo (No./mm)	% of TGF + Eo (%)	IL13 + Eo (No./mm)	% of IL13 + Eo (%)	% of TGF + epithelial cells (%)
WT	Dp/Dp	7.67 ± 1.90	42.34 ± 3.71	8.41 ± 1.79	41.83 ± 3.87	88.35 ± 5.14
SPD ^{-/-}	Dp/Dp	14.51 ± 1.92*	41.91 ± 3.90	15.32 ± 2.59*	41.58 ± 4.31	91.65 ± 3.68
SPD ^{-/-} rescue	Dp/Dp	9.06 ± 1.45†	40.38 ± 4.29	8.65 ± 1.32†	37.34 ± 2.43	97.07 ± 1.31

TGF-β1 and IL-13 were stained by immunohistochemistry (IHC). Methods to evaluate the IHC stain were described in Material and Methods. Data are presented as means ± SEM obtained from 3 different experiments. 3–5 mice per group were used in each experiment (N = 7–11). Eo: eosinophils. Dp/Dp: Dp-sensitized and Dp-challenged mice). *; P < 0.05 compared with Wt mice. †; P < 0.05 compared with SPD^{-/-} mice.

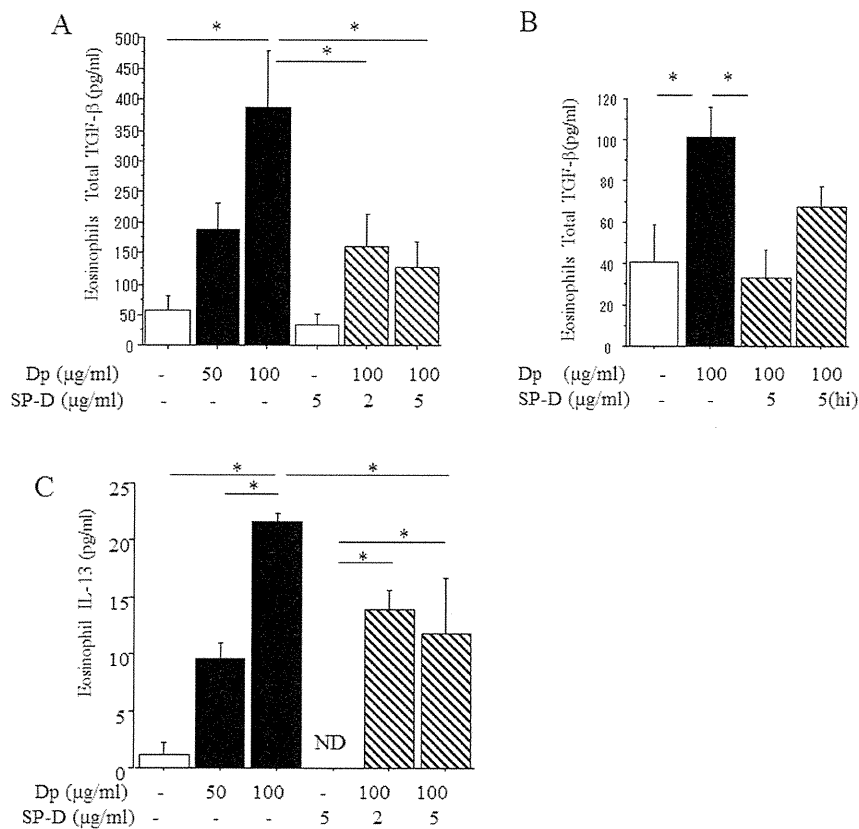


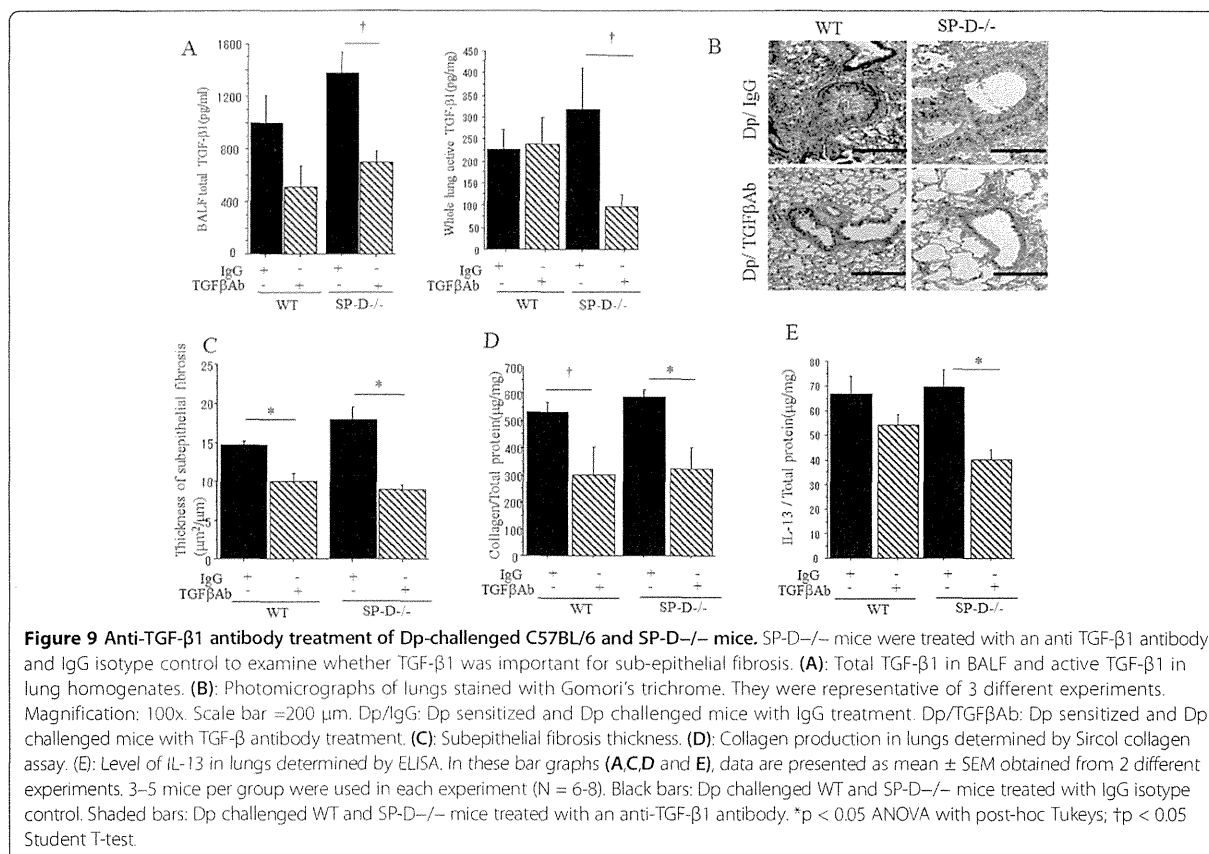
Figure 8 SP-D regulates eosinophil-derived TGF-β1 and IL-13. Effect of SP-D on eosinophil-derived TGF-β1 and IL-13 production was examined in vitro experiment. **(A):** Level of total TGF-β1 in eosinophil culture supernatant. **(B):** Level of total TGF-β1 in supernatant of eosinophil cultures in the presence of normal and heat inactivated SP-D. **(C):** Level of IL-13 in eosinophil culture supernatant. Eosinophils from IL-5 transgenic mice were incubated *in vitro* with Dp for 24 hr in the presence or absence of SP-D pre-incubation as described Material and Methods. TGF-β1 and IL-13 in culture supernatants was determined by ELISA. Data are presented as means ± SEM obtained from 2 different experiments (N = 6). White bars: control. Black bars: Dp stimulation at different concentrations. Shaded bars: Dp stimulation with SP-D pre-incubation at different concentrations. *p < 0.05.

obstruction. Current understanding of the fundamental molecular mechanisms resulting in sub-epithelial fibrosis and effective therapeutic interventions remains limited. Utilizing a mouse model of chronic challenge to clinically relevant house dust mite, we demonstrate a central role of SP-D in the development of sub-epithelial fibrosis. Our new findings support that SP-D regulates the number of tissue eosinophils and the level of eosinophil-derived TGF-β1 and IL-13. Together our findings provide novel evidence supporting that functional SP-D can protect allergic airways from the development of sub-epithelial fibrosis.

TGF-β is known as a key cytokine of collagen production in fibrotic disease including airway remodeling in asthma [16,21]. TGF-β can induce differentiation of fibroblasts to myfibroblasts, which can contribute to collagen deposition [28] and production of growth factors [29,30]. Previous reports demonstrated anti TGF-β1 or

smad3 neutralizing antibody treatment reduced airway remodeling in OVA chronic exposure model [31,32]. In our findings, TGF-β1 production was increased in SP-D^{-/-} mice, contributing to enhance sub-epithelial fibrosis. Interestingly, SP-D can bind to allergens including Dp [6]. Therefore, if functional SP-D is absent, unbound Dp antigen may be a trigger that leads to enhanced TGF-β1 production and sub-epithelial fibrosis as observed in SP-D^{-/-} mice.

Previous work has suggested that sub-epithelial fibrosis after chronic challenge to house dust mite antigen was independent of either eosinophils or TGF-β1 [33,34]. In that context, our observation that anti-TGF-β1 antibody treatment reduced sub-epithelial fibrosis and collagen production in the Dp-challenged SP-D deficient mice was quite unexpected. One explanation is that the previous studies used mice that were sufficient in SP-D and the involvement of eosinophils and/or



TGF- β 1 may not be appreciated until SP-D is absent or dysfunctional.

Alternatively, IL-13 is recognized as a Th2 cytokine that can contribute to sub-epithelial fibrosis and a pro-fibrotic cytokine in lung diseases [35]. IL-13 depletion can reduce sub-epithelial fibrosis and epithelial hypertrophy in chronic asthma model [36,37]. Fattouh *et al.* demonstrated that IL-13 was important for airway fibrosis independent of TGF- β signaling in Th2 associated disease [34,38]. Our findings demonstrated that IL-13 production was increased in Dp-challenged SP-D $^{-/-}$ mice and SP-D rescue decrease these responses similar to previous observations [34]. In addition, our findings demonstrate that anti-TGF- β 1 antibody treatment decreased IL-13 production in lung homogenate in SP-D $^{-/-}$ mice (Figure 9), which suggests a potential synergistic role between TGF- β 1 and IL-13 in airway remodeling when SP-D is absent. A previous study found that administration of a soluble TGF- β receptor-Fc molecule ameliorated IL-13-induced fibrosis [39], supporting this paradigm. Recent reports also showed that inhibition of TGF- β 1/smad3 signaling can lead to decrease IL-13 production in lung diseases [40,41]. Similar mechanism seems to occur in the lung of SP-D $^{-/-}$ mice, which warrant further study.

In either chronic antigen exposure asthma model or gene-modified model, both peribronchial fibrosis and TGF- β production were related to eosinophils [26,27]. In airways of asthmatic patients, 75–80% of TGF- β 1 mRNA expression positive cells were eosinophils [42,43]. On the other hand, it is known that bronchial epithelial cells were also source of TGF- β 1 in asthma [44]. In OVA challenged model, bronchial epithelium-derived TGF- β 1 was enhanced sub-epithelial fibrosis [31]. Therefore, we examined what type of cells that are a potential source of TGF- β 1 and as target cells by SP-D in this model. In the present findings, TGF- β 1 expressing eosinophils were increased in Dp-challenged SP-D $^{-/-}$ mice. Moreover, we identified that SP-D directly suppress the production of eosinophil derived TGF- β . To our knowledge, this is the first report to demonstrate a direct function of SP-D on eosinophils response to antigen. In contrast, our findings showed that TGF- β 1 expression in bronchial epithelial cells of SP-D $^{-/-}$ mice were not significant difference among the 3 groups unlike TGF expression in eosinophils (Figure 7 and Table 1). Based on these results, our findings suggest that a target of SP-D in Dp-induced sub-epithelial fibrosis may be the activated eosinophils that produce TGF- β 1. In addition to

regulation of eosinophil-derived TGF- β , SP-D also attenuated IL-13 production from Dp stimulated eosinophils. Since we did not identify an increase Th2 cells in the lung tissue from SP-D $^{-/-}$ mice, our findings suggest that eosinophils may be also an important source of IL-13 as reported in other model systems [45]. Taken together, our findings support that functional SP-D regulates both the tissue infiltration and function of eosinophils, resulting in protection of the airways against development of sub-epithelial fibrosis. Our findings extend the functional role of SP-D in allergic airways disease beyond regulation of eotaxin-triggered chemotaxis and degranulation of eosinophils [46], induction of apoptosis in eosinophils, and enhanced uptake of eosinophils by macrophages [47].

The molecular mechanism that SP-D inhibited TGF- β 1 and IL-13 production by Dp-activated eosinophils also remains unknown. Previous reports have shown that interstitial eosinophils express high levels of signal regulatory protein (SIRP)- α , an inhibitory receptor of SP-D, and that cross-linking of SIRP- α on the surface of eosinophils significantly reduced the amount of eosinophil peroxidase released during stimulation with a calcium ionophore [48]. In macrophages under normal condition, SP-D binds SIRP- α , leading to inhibit p38 activation, which induces cytokine production via Src homology region 2 domain-containing phosphatase (SHP)-1 [49,50]. It remains unknown whether similar events occur in eosinophils during chronic allergic inflammatory conditions. Since Toll-like receptor (TLR) 4 is candidate of receptor of Dp [51], it is possible that SP-D bind Dp directly in order to block Dp binding to TLR4. Alternatively, SP-D may also interfere with signaling by binding directly to TLR4. Understanding the molecular mechanisms that SP-D regulates eosinophil function will be the focus of future investigations.

In conclusion, we identify that SP-D regulates eosinophil production of both IL-13 and TGF- β after stimulation with Dp, mitigating sub-epithelial fibrosis which is an important component of airway remodeling in chronic allergic airways disease. Appreciation of the functional role of SP-D during allergic airways disease is high clinical significance since a better understanding of how to attenuate the severity of sub-epithelial fibrosis could lead to better treatment options.

Additional file

Additional file 1: Surfactant Protein D Attenuates Sub-epithelial Fibrosis through Regulation of Eosinophil-derived TGF- β in Chronic Murine Model of Asthma.

Competing interests

The authors declare that they have no competing interests

Authors' contributions

HO, JGL, SM and JWH contributed conception, study design, data interpretation, and writing of the manuscript. HO, JGL, and SM carried out acquisitions of data. HO, JGL, SM and YA carried out analysis and interpretation. HO and KI carried out histopathology and IHC. JLL and YN participated in experimental design and helped to draft the manuscript. All authors read and approved the final manuscript.

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Idiopathic Pleuroparenchymal Fibroelastosis is Characterized by an Elevated Serum Level of Surfactant Protein-D, but Not Krebs Von Den Lungen-6

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Abstract

Purpose Idiopathic pleuroparenchymal fibroelastosis (IPPFE) is a recently reported rare disease entity characterized by fibrotic thickening of the pleural and subpleural parenchyma predominantly in the upper lobes in idiopathic interstitial pneumonias (IIPs). Because the clinical features of this rare disease are not fully elucidated, we examined the clinical characteristics of IPPFE, especially for serum interstitial biomarkers, surfactant protein-D (SP-D), and Krebs von den Lungen-6 (KL-6).

Methods and Results Four consecutive cases of IPPFE who fulfilled the diagnostic criteria were studied. All cases were more than 60 years of age, and were classified as underweight by body mass index. A severe restrictive ventilatory defect was found in all cases on admission. High-resolution computed tomography showed intense pleural thickening associated with fibrosis predominant in upper lobes. Histopathological findings were also confirmed in three out of four cases. Interestingly, the serum level of SP-D was markedly elevated in all cases, while KL-6 was within normal range in three out of four cases. As compared with major IIPs such as idiopathic pulmonary fibrosis and fibrotic nonspecific interstitial pneumonia,

IPPFE significantly showed higher frequency of cases with a unique pattern of serum biomarkers, which is characterized by an elevated level of SP-D with a normal range of KL-6.

Conclusions In IPPFE, SP-D might tend to be elevated, while KL-6 was within a normal range. Further study is required to determine the pathogenesis and clinical significance of the elevated SP-D in IPPFE.

Keywords Idiopathic pleuroparenchymal fibroelastosis · Surfactant protein-D · Idiopathic interstitial pneumonias

Abbreviations

IPPFE	Idiopathic pleuroparenchymal fibroelastosis
SP-D	Surfactant protein-D
KL-6	Krebs von den Lungen-6
HRCT	High-resolution computed tomography
IIPs	Idiopathic interstitial pneumonias
IPF	Idiopathic pulmonary fibrosis
fNSIP	Fibrotic nonspecific interstitial pneumonia

Introduction

Idiopathic pleuroparenchymal fibroelastosis (IPPFE) is a new disease concept which was proposed by Frankel et al. [1] in 2004. IPPFE is characterized by fibrotic thickening of the pleural and subpleural parenchyma predominantly in the upper lobes. High-resolution computed tomography (HRCT) shows dense subpleural consolidation with traction bronchiectasis, architectural distortion, and upper lobe volume loss. Recently, IPPFE was added to the revised classification of idiopathic interstitial pneumonias (IIPs) as

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one of rare IIPs [2] although the clinical features of this rare disease are not fully elucidated.

In this report, we describe the clinical and radiologic profile of four cases of IPPFE in a retrospective series. Laboratory findings in all cases revealed the marked elevation of surfactant protein-D (SP-D), suggesting that SP-D tends to be elevated, while Krebs von den Lungen-6 (KL-6) is within a normal range in IPPFE.

Materials and Methods

We reviewed the medical records of all cases admitted to the Department of Respiratory Medicine and Rheumatology, Tokushima University Hospital from June 1999 to October 2012, and enrolled four consecutive subjects who consistent with clinical and radiological features of IPPFE [1, 3, 4]. Clinical data were obtained from case medical records. Laboratory findings, pulmonary function tests, and radiological findings (chest radiograph and HRCT) at first examination were carried out. Histopathological analyses were performed after routine Haematoxylin and Eosin and Elastica van Gieson staining of sections of formalin-fixed, paraffin-embedded tissues. Further, immunohistochemical stainings were performed by monoclonal antibodies for SP-D (ab15687; Abcam, Cambridge, UK), KL-6 (MUC1; ab15481; Abcam), and podoplanin (D2-40; Dako, Glostrup, Denmark). We further extracted the consecutive 19 cases with a diagnosis of idiopathic pulmonary fibrosis (IPF) defined by ATS/ERS statements from October 1997 to May 2009, and consecutive 21 cases with fibrotic non-specific interstitial pneumonia (fNSIP) by video-assisted thoracoscopic surgery (VATS) from December 1998 to March 2011. The data of serum KL-6 and SP-D of those cases at the first medical examination were used and compared with those of IPPFE. The significance of differences was analyzed by χ^2 test. *P* values of less than 0.05 were considered to be significant. Statistical analyses were performed using the GraphPad Prism program Ver. 5.01. This retrospective study had been performed in accordance with the Declaration of Helsinki.

Results

Clinical Features

Table 1 shows the clinical characteristics and clinical course of four cases enrolled in this study. All were more than 60 years of age, and were classified as underweight by body mass index. Three cases were ex-smoker, and were complicated by pneumothorax. The main symptom on first admission was dyspnea on exertion. IgG and IgG4 were

elevated in cases 1 and 2. Case 2 showed an increase of IgG4-positive cells in immunostaining of tissue obtained by transbronchial lung biopsy.

Pulmonary Function Test

All cases underwent a spirometry test and measurement of total lung capacity. A restrictive ventilatory defect defined by %VC <80 % was found in all cases. In addition, two cases had impairment of DL_{CO} (Table 2).

Radiological Features

Chest radiographs in all cases showed marked apical pleural thickening with superior hilar retraction. The representative case (case 4) is shown in Fig. 1. HRCT showed intense pleural thickening associated with evidence of fibrosis. Upper lobe volume loss, architectural distortion, air space consolidation, and traction bronchiectasis were prominent in all cases. The upper lobes were always more severely involved, with involvement of the lower lobes being absent or less marked (Fig. 1). These findings were compatible with previous reports [1, 3, 4].

Pathological Features

Lung tissues were examined by an autopsy in cases 1 and 3, and by a VATS in case 4. The histopathological findings in three cases fulfilled the criteria previously described for IPPFE [1] (Fig. 1). Markedly thickened visceral pleura and prominent subpleural fibrosis characterized by elastic tissue were clearly observed. The border between the fibroelastosis and the underlying normal lung parenchyma was abrupt, and the parenchyma distant from the pleura was spared. Fibroblastic foci were rarely noted at the leading edge of the fibrosis. Small and patchy infiltration of lymphocytes was seen. In immunostaining, KL-6 was homogeneously stained in all lung areas (data not shown). However, the hyperplastic epithelial cells in upper lobes were tended to be more strongly stained than those in lower lobes in SP-D staining (Fig. 2). The numerous lymph vessels stained with D2-40 were found in fibroelastic subpleural lesions (Fig. 2).

Serum Interstitial Markers, SP-D and KL-6

Interestingly, the serum level of SP-D was higher than the normal range (<110.0 ng/ml) in all cases, while KL-6 was within normal range (<500 U/ml) in three out of four cases (Table 2). In three of four cases, the values of SP-D were extremely high (>390 ng/ml). In addition, we examined the KL-6 and SP-D values of 19 cases with IPF and 21 cases with fNSIP, and compared with those of IPPFE cases

Table 1 Patient characteristics and clinical course

	Case 1	Case 2	Case 3	Case 4
Sex	Male	Male	Male	Male
Age (years)	63	69	73	68
Smoking history	Ex-smoker (13 pack-years)	Ex-smoker (20 pack-years)	Never-smoker	Ex-smoker (40 pack-years)
Dust exposure history	No	No	No	No
Family history	Tuberculosis (brother)	No	Tuberculosis (father)	No
Body mass index (kg/m ²)	16.4	15.3	15.3	17.3
Symptoms on admission	Dyspnea on exertion	Dyspnea on exertion	Fatigability	Dyspnea on exertion
History of pneumothorax	Yes	Yes	No	Yes
Diagnosis	Pathological	Clinical	Pathological	Pathological
Treatment	Prednisolone	Prednisolone	Symptomatic treatment	Pirfenidone
Period from the first onset to the last follow-up (months)	27	20	26	17
Clinical course	Progression of reduction of vital capacity	Transient improvement in pulmonary shadow	Progression of pleural thickening	No change
Survival	Dead 27 months after first admission	Dead 17 months after first admission	Dead 19 months after first admission	Alive 13 months after first admission

Table 2 Laboratory findings

	Case 1	Case 2	Case 3	Case 4
Peripheral blood				
WBC (μl)	3,800	3,300	4,400	5,200
CRP (mg/dl)	0.28	0.06	<0.05	<0.05
LDH (U/l)	211	153	222	204
KL-6 (U/ml)	414	363	760	468
SP-D (ng/ml)	349.0	122.1	675.0	410.0
SP-A (ng/ml)	33.0	16.3	55.8	ND
IgG (mg/dl)	2,544	3,363	1,449	1,468
IgG4 (mg/dl)	169.0	370.0	ND	61.4
ANA	80	320	160	80
ABGA				
PaO ₂ (Torr)	86.2	88.1	82.7	93.1
PaCO ₂ (Torr)	46.2	52.4	42.5	40.4
Pulmonary function test				
%VC (%)	31.6	45.1	43.2	57.6
FEV _{1.0%} (%)	100.0	96.3	98.4	96.8
%DL _{CO} (%)	ND	33.4	59.9	92.2

ANA anti-nuclear antibody, ND not determined

(Fig. 3). By χ^2 test, the frequency of cases who have the elevated SP-D, but not KL-6, was statistically higher in IPPFE as compared to IPF or fNSIP (IPPFE vs. IPF/fNSIP (%), 75.0 vs. 0/0). Furthermore, we analyzed the elevated pattern of SP-D and KL-6 in IPPFE by using the previous data together with the present cases. As shown in Table 3, the number of cases showing the elevation for SP-D, but

not KL-6, was 9 of 17 (52.9 %) cases, which is most frequent in four patterns. The serum levels of SP-D and KL-6 were increased in 14 of 17 (82.3 %) and 6 of 17 (35.2 %) cases with IPPFE, respectively.

Treatment and Clinical Outcome

Two out of four cases were treated with prednisolone. One transiently showed improvement of pulmonary infiltrate shadow. The other did not and the lung volume was gradually decreasing. In case 4 who was treated with pirfenidone, the clinical and functional deterioration of general condition was not observed up to 10 months after treatment initiation (Table 1). Three out of four cases died within 27 months after admission, and the autopsy was performed for two of those.

Discussion

IPPFE was firstly described as a new clinicopathologic entity of rare IIPs in 2004 [1], and was recently added to the classification of IIPs [2]. However, the clinical features of this rare disease are not fully elucidated. Thus, we sought to determine the clinical characteristics of IPPFE in the present study. Interestingly, the serum level of SP-D was elevated in all cases, while KL-6 was within normal range in three out of four cases. These findings suggest that SP-D, but not KL-6, might tend to be elevated in IPPFE.

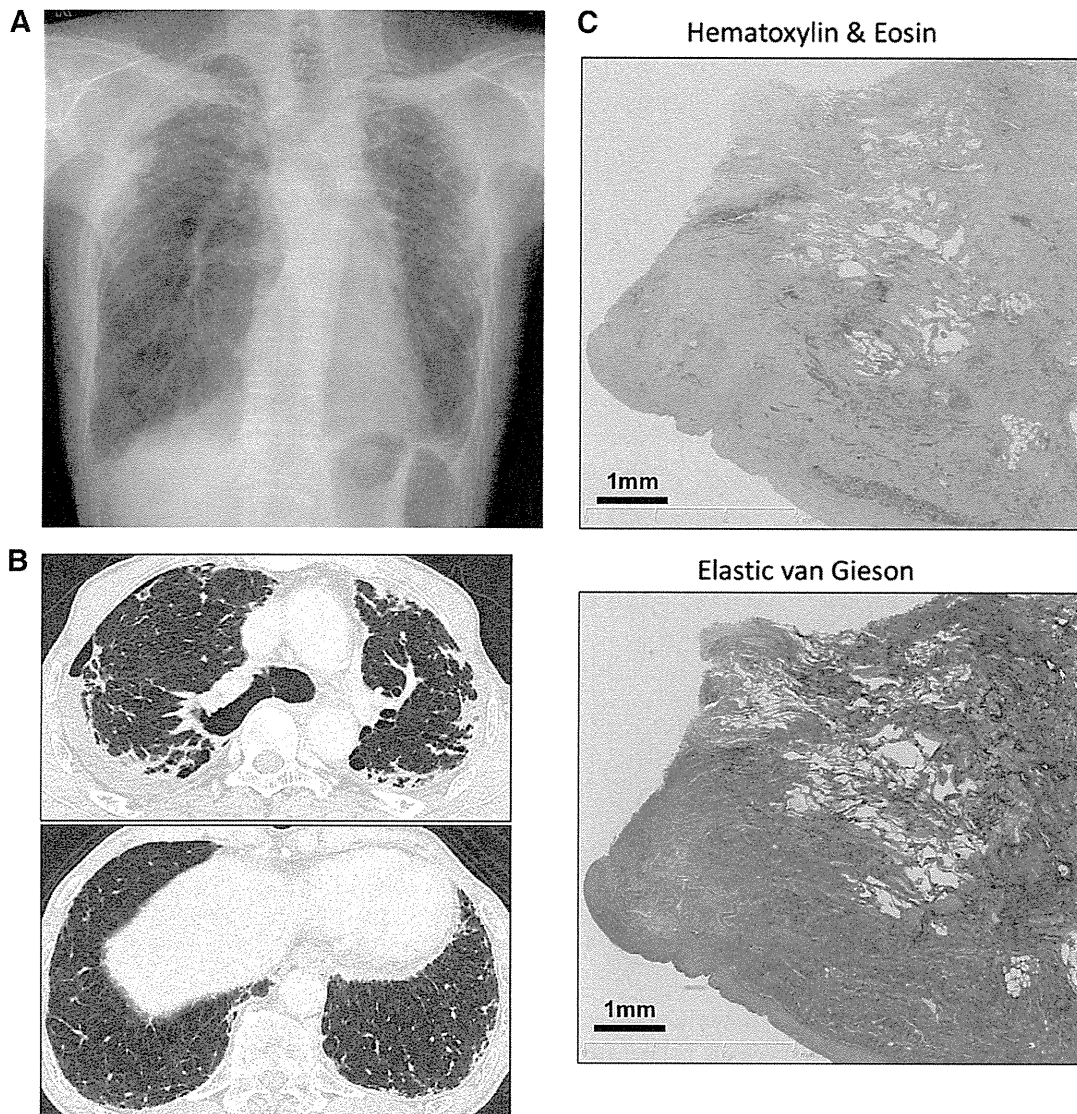


Fig. 1 Chest radiographs and histopathological findings of a representative case of IPPFE. The chest radiographs and histological findings in case 4 were shown. **a** Chest radiographs showed very marked *bilateral upper lobe* volume loss and apical pleural thickening. **b** HRCT showed *upper lobe* volume loss, architectural

distortion, traction bronchiectasis, and severe pleural and subpleural thickening with fibrotic changes in the marginal parenchyma. **c** Histopathological findings showed markedly *thickened* visceral pleura and prominent subpleural fibrosis characterized by elastic tissue

In our series of cases with IPPFE, the findings of the lung function tests were characteristic with significant restrictive ventilatory defect. Radiological features were also characteristic, including intense pleural thickening, most striking pattern in the upper lobes with volume loss, and architectural distortion. These observations were extremely similar to previously reported IPPFE cases with histological confirmation [3, 5–7]. In this study, one out of four cases could not perform histological examination because of their poor general condition, and we gave them the diagnosis of IPPFE from clinical perspectives. It might

be possible to diagnose IPPFE by its quite distinctive clinical and radiological features, even if lung biopsies could not be done.

SP-D and KL-6 are mostly lung-specific proteins, and alveolar type II cells secrete them primarily into alveoli and conducting airways. Elevated levels of these serum marker proteins indicate activation and/or injury of type II cells in the lungs. Previous reports have suggested that these markers are reliable tools for detection of various types of interstitial pneumonia, including IIPs [8–11]. However, the significance of SP-D and KL-6 in the

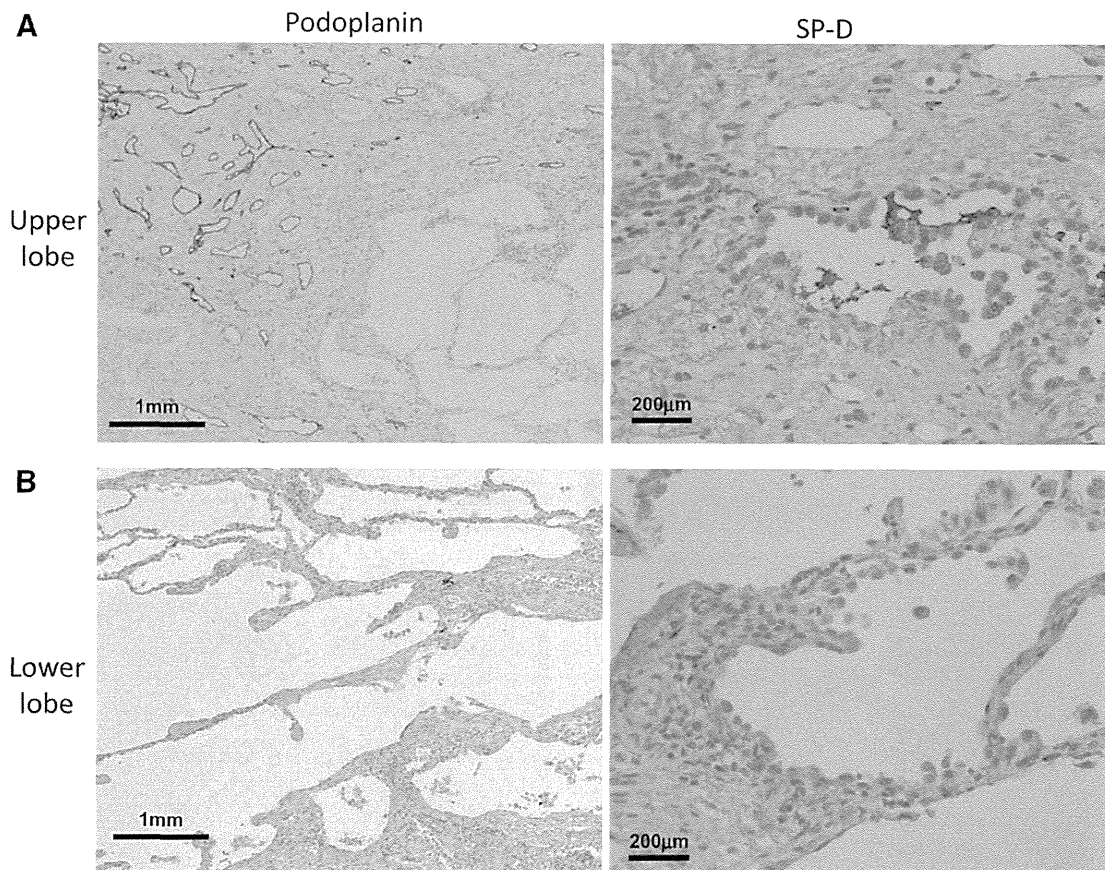


Fig. 2 Immunohistochemical stainings for SP-D and podoplanin. The lung tissues obtained from case 3 were stained with antibodies for SP-D (*right*) and podoplanin (*left*). The hyperplastic epithelial cells in

upper lobes **a** were more strongly stained than those in lower lobes **b** in SP-D staining. The numerous lymph vessels stained with D2-40 were found in the fibroelastic subpleural lesions in upper lobes

pathogenesis of IPPFE remains to be clarified yet. Since 1992, several reports of upper lobe predominant pulmonary fibrosis (idiopathic upper lobe fibrosis, IPUF) have been reported in the Japanese literature [6, 7, 12], and IPUF and IPPFE may belong to the same disease spectrum. Several reports mentioned about SP-D in IPUF [5–7]. Watanabe et al. demonstrated nine cases of histologically confirmed IPUF. The elevation of SP-D or KL-6 than a normal range was seen in four or three of six cases, respectively [5]. Nei et al. [6] reported a case of IPUF and showed high level of SP-D, although the elevation of KL-6 was not evident.

In the present study, the serum level of SP-D was elevated in all four cases, while KL-6 was within a normal range in three out of four. Recently, Kusagaya et al. [3] reported five cases with IPPFE, and they found that SP-D was higher than the normal value in all five cases, whereas KL-6 elevation was seen in only two out of five. However, they did not focus their study on the interstitial biomarkers of SP-D and KL-6, and did not discuss the unique feature of SP-D and KL-6. The present study strongly confirmed and extended their observation with a significant interest.

Furthermore, we analyzed 17 IPPFE cases reported in the previous studies which included the data of both KL-6 and SP-D, in addition to the present cases. The serum levels of SP-D and KL-6 were increased in 14 of 17 (82.3 %) and 6 of 17 (35.2 %) cases with IPPFE/IPUF, respectively. Furthermore, the cases showing the elevation for SP-D, but not KL-6, was most frequent in 9/17 (52.9 %). These data suggest that the higher SP-D and lower KL-6 could be a unique pattern in IPPFE.

It is still unclear why SP-D tends to be higher, while KL-6 is within a normal range in IPPFE. Immunohistochemical study for SP-D demonstrated that the hyperplastic epithelial cells in upper lobes were more strongly stained than those in lower lobes, although KL-6 were homogeneously stained in the lung tissues of both upper and lower lobes. These differences might contribute to the unique pattern of elevation of serum biomarkers in IPPFE.

The enhanced permeability and/or the destruction of the air–blood barrier in the lungs may also be responsible for the increase of SP-D and KL-6 in the serum. Ohnishi et al. [11] suggest that SP-D leak more readily than KL-6 due to

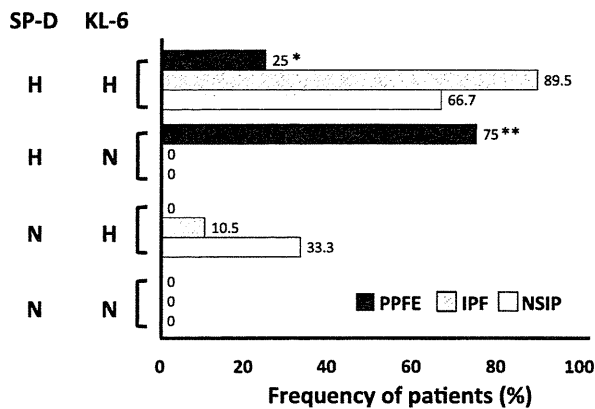


Fig. 3 Comparison for SP-D and KL-6 among IPPFE, IPF, and NSIP. The serum biomarkers, SP-D and KL-6 were compared by using the data from 19 cases with IPF, 21 cases with fNSIP, and 4 cases of IPPFE in our hospital. By χ^2 test, the frequencies of cases that have an elevated SP-D, but not KL-6, was statistically higher in IPPFE as compared to IPF or fNSIP (**). Furthermore, the frequencies of cases that have elevated both of SP-D and KL-6 were statistically lower in IPPFE as compared to IPF (*), ($P < 0.05$). The number in parenthesis indicates the percentage of cases in each disease. H higher level than a normal range, N within a normal range

Table 3 Frequency of cases showing the elevated SP-D and/or KL-6

SP-D	KL-6	Number of cases	Frequency (%)
H	H	5	29.4
H	N	9	52.9
N	H	1	5.9
N	N	2	11.8

All patients reported previously were analyzed together with present cases [3–7]

H higher level than a normal range, N within a normal range

their smaller size. The molecular weight of purified KL-6 is estimated to be more than 200 kDa [13], whereas that SP-D is 43 kDa [14]. Leakage of these markers may be dependent on this molecular weight in addition to the intensity, extent, and type of injury that precipitate the increase in lung permeability. Furthermore, Ohtsuki et al. [15] found that the lymph vessels play an important role in transfer of KL-6 into the bloodstream. We stained the lymph vessels in IPPFE lung tissues with D2-40, and found the significant number of lymph vessels existing in the fibroelastic subpleural lesions. These data suggest that the draining system especially for lymph vessels in IPPFE looks normal or hyperplastic. However, we could not rule out the possibility that the lower level of KL-6 might be related to the potential disorder of lymph vessels which might be going on in IPPFE. Further study is required to clarify this point.

There are no therapeutic options available for IPPFE at present. Pirfenidone is an antifibrotic drug, currently used

only for IPF [16], which inhibits fibrotic factors, most notably transforming growth factor- β . As a consequence, downstream synthesis of extracellular matrix proteins, such as fibronectin, elastin, and collagen, is reduced [17]. In the present study, one IPPFE patient who was treated with pirfenidone did not reveal the clinical and functional deterioration of general condition up to 10 months after treatment initiation. Hence, it may be potentially beneficial for cases of IPPFE.

Conclusions

In conclusion, our results suggested that SP-D might tend to be elevated, while KL-6 was within normal range in IPPFE. However, the sample size was too small to draw the definite conclusion, and there were selection biases because this was a retrospective study. A prospective study is required and warranted to determine the usefulness of SP-D for the diagnosis of IPPFE and the association with other clinical parameters such as HRCT findings and pathological findings.

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Conflict of interests All authors declare that we have no conflict of interests.

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