

response and inflammation, but some of them were also shown to be involved in the interaction of vascular endothelial and tumor cells and formation of metastases. Recently, ICAM-1 and VCAM-1 were shown to play a crucial role in polychlorinated biphenyl-mediated enhancement of brain metastasis formation of lung carcinoma cells (30).

### 3) Cadherins

Cadherin dysfunction is involved in tumor progression and metastasis formation. Loss of expression of E-cadherin induces epithelial-mesenchymal transition (EMT) in carcinoma cells, which initiates an increase in cell motility and metastasis formation. In metastatic lesions, a re-expression of E-cadherin has been observed, which plays an important role in the proliferation of tumor cells at the metastatic site. Correspondingly, metastatic brain tumors were shown to express high levels of E-cadherin (31), while low expression of E-cadherin in primary NSCLC was shown to correlate with increased risk for the development of brain metastasis (32). In addition, the expression level of N-cadherin was observed to be highly predictive of brain metastasis formation in NSCLC (20).

## 3. Soluble factors

### 1) Vascular endothelial growth factor (VEGF)

Angiogenesis is an important aspect of tumor metastasis. Recent studies have examined the roles of VEGF, which influences both angiogenesis and blood vessel permeability. VEGF signaling and function in brain metastasis has also been extensively characterized in preclinical models. Measurement of VEGF levels in the culturing media of cells growing *in vitro* has shown that VEGF is secreted by tumor cells with high brain metastatic activity (33). Increased VEGF secretion has also been detected in brain metastasis xenografts in nude mouse models (33). Antisense VEGF transfectants of PC14-PE6 lung adenocarcinoma cells exhibited a decreased incidence of experimental brain metastases, suggesting that VEGF is necessary for brain tumor initiation and growth (33). Jubb *et al.* compared VEGF expression, proliferation, microvessel density, vascular pattern and vascular maturity in matched primary NSCLC and brain metastases (34). They found that brain metastases are characterized by a significantly higher proliferation rate and vascular maturity than their matched primaries. These findings are important because if the vasculature of brain metastasis is mature, then patients with cerebral secondaries may be less likely to respond to anti-VEGF treatment,

even though patients with primary NSCLC might benefit from such therapy (34).

### 2) Placental growth factor (PlGF)

Li *et al.* (35) recently found PlGF, a member of VEGF subfamily, may be associated with SCLC brain metastasis. PlGF in the serum of SCLC patients with brain metastases was significantly higher than that without brain metastases and normal specimens. In addition, SCLC cell-derived PlGF activates the VEGFR1/Rho/ERK signaling pathway in cerebral endothelial cells, resulting in the disassembly of TJs and promoting transendothelial migration (35). Moreover, the down-regulation of PlGF suppressed SCLC cell metastasis to the brain in an experimental brain metastasis model.

### 3) Chemokines

Chemokines play an important role in cell migration, invasion and tumor angiogenesis (36). Emerging data support the putative involvement of the CXCR4/CXCL12 signaling axis in NSCLC brain metastasis (37). High CXCL12 expression within sites of lung cancer metastasis has been demonstrated in mouse xenograft studies (38). Hartmann *et al.* showed that the CXCR4 chemokine receptor closely co-operates with integrins to promote adhesion and chemoresistance of SCLC cells (39). Overexpression of CXCR4 and CXCL12 has been described in histopathological specimens of brain metastases and correlated with brain-specific metastasis in a cohort of NSCLC patients (40). In a recent study, Paratore *et al.* (41) have investigated the expression of CXCR4/CXCL12 in primary NSCLC specimens of patients with and without brain metastases. CXCL12 and CXCR4 immunoreactivities in metastatic NSCLC samples were significantly higher than that in paired non-metastatic NSCLC.

## 4. Proteases

### 1) Matrix metalloproteinases (MMPs)

Different proteolytic enzymes have been implicated in brain metastasis formation and migration of tumor cells through BBB endothelial cells. MMPs might have special importance in the process of transendothelial migration of tumor cells through the BBB, because TJ proteins can be targets of MMP degradation. MMP-induced disruption of TJs was shown to promote invasion of tumor cells into the CNS (42). MMP-9 was reported to be overexpressed by brain metastatic lung adenocarcinoma cells (43).

### 2) A disintegrin and metalloprotease 9 (ADAM9)

ADAM-9 is a membrane-tethered protease and

belongs to a member of the “a disintegrin and metalloprotease” family. ADAM9 is overexpressed in brain metastatic lung cancer cells. Shintani *et al.* found that the expression of ADAM9 up-regulated integrin  $\alpha 3 \beta 1$  and facilitated brain metastasis formation (44).

## 5. Driver mutations

### 1) Epidermal growth factor receptor (EGFR) mutations

It is found that driver mutations in NSCLC, at least in part, would be associated with brain metastases. In East Asian patients, Matsumoto *et al.* (45) and Gow *et al.* (46) have found EGFR mutations in 63 and 44% of brain metastases, respectively. This prevalence is similar to that reported in primary tumors of the same population, varying from 30 to 50% (47, 48). Among the 110 patients enrolled, Li *et al.* found the frequencies of EGFR mutations were 64% and 31% in the patients with and without brain metastases, respectively (49). Eichler *et al.* showed that the numbers of brain metastases were significantly higher in patients with EGFR-mutated NSCLC compared to those with wild-type (50). Sekine *et al.* demonstrated that NSCLC patients with the exon 19 deletions had more multiple and smaller brain metastases with smaller peritumoral brain edema than those without any mutations (51). Moreover, Heron *et al.* (52) reported that tumors with exon 19 deletions showed a higher incidence of CNS involvement as compared with tumors bearing a L858R mutation (21% vs. 3%). While published data are limited to draw any definite conclusions, brain metastases would be more frequent in NSCLC patients with EGFR mutations.

### 2) Anaplastic lymphoma kinase (ALK) translocations

More recently, ALK translocations have been found to be another “druggable” alteration besides activating EGFR mutations in NSCLC (53). ALK translocations appear to be constant between primary tumors and brain metastases (54), and ALK-positive tumors may predispose to brain metastasis formation (55). In contrast, Doebele *et al.* found patients with ALK translocations were predisposed to liver, but not adrenal, bone, or brain metastases, compared to ALK-negative cohort (56). Further studies should be required to clarify the significance of ALK translocations in NSCLC patients with brain metastases.

## 6. Growth factors and signaling pathways

### 1) Wnt signaling

The activation of canonical Wnt/TCF pathway has

also been identified as playing a role in lung cancer spread to the brain. Treatment of a brain-seeking lung cancer cell line H2030-BrM3 with Wnt3a significantly increased the expressions of the Wnt/TCF target genes, LEF1 and HOXB9. Confirming that LEF1 and HOXB9 are involved in metastasis, overexpression of the two genes led to an increase in brain metastases whereas knockdown of each gene decreased metastatic incidence (57). Supporting the hypothesis that TCF4 may play an important role in lung cancer development, Xu *et al.* found increased TCF4 overexpression in lung cancer patients with advanced stages (stage III-IV) compared with early stages (stage I-II) (58). Furthermore, expression of Wnt3a in a four-gene signature predicted increased mortality rates in lung cancer patients (59).

### 2) Rho/Rho kinase (ROCK) signaling

During invasion of tissues and migration through vessel walls and ECM components, metastasizing tumor cells require increased motility, which is dependent on the remodeling of the cytoskeleton. Rho/ROCK pathways had been proposed to be involved in the regulation of paracellular permeability and junctional dynamics in endothelial cells (60). While little is known about the behavior of tumor cells during transmigration through the BBB, it has been shown that inhibition of ROCK decreases the migration of SCLC cells through the brain endothelium (61).

### 3) Hepatocyte growth factor (HGF)/Met signaling

The receptor tyrosine kinase Met and its ligand HGF promoted metastatic spread to the lung, liver and brain in an experimental model using the NCI-H460 lung cancer cell line (62). A study of matched lung cancer brain metastases and primary tumors from the same patients identified increased expression of total and phosphorylated c-Met in the brain metastases. The expression and activation of c-Met in the primary lung tumors also correlated with the development of brain metastases (63).

### 4) Phosphoinositide 3-kinase (PI3K)-Akt signaling

The PI3K-Akt pathway is a crucial regulator of cell survival and proliferation, and increased PI3K activity has been reported in several cancer types. Recently, a PI3K inhibitor was found to effectively control metastatic growth of HER2-positive breast cancer cells in multiple organs, including brain metastases (64). However, inhibition of PI3K had no effect on the transmigration of SCLC cells through brain endothelial cells (61).

### 5) *Endothelin (ET)/ET receptor signaling*

The binding of ET to the ET receptors exerts pleiotropic biological effects that influence cell survival, proliferation, invasion, metastasis, as well as angiogenesis (65). In a preclinical model of spontaneous melanoma brain metastasis, Cruz-Muñoz *et al.* have identified the alterations in the expression of ET receptor as a potential factor that influences brain metastatic potential. Induced overexpression of this gene mediated enhanced overall metastatic disease, and resulted in an increased incidence of spontaneous brain metastases (66). We recently demonstrated that the blockade of ET receptor significantly inhibited experimental brain metastases of human NSCLC cells (67).

### 7. *MicroRNA (miRNA)*

Mounting evidence indicates that miRNA may be key players in the regulation of tumor cell invasion and metastasis. Chen *et al.* (68) found miR-378 was significantly differentially expressed in the matched NSCLC surgical specimens from 8 patients with brain metastases and 21 without brain metastases. Arora *et al.* (69) reported that miR-328 had a role in conferring migratory potential to NSCLC cells, which might be incorporated into clinical treatment decision making to stratify NSCLC patients at higher risk for brain metastases.

### 8. *Single nucleotide polymorphisms (SNPs)*

Studying multiple SNPs in signaling pathways may be useful for pinpointing the genes and polymorphisms involved in conferring risk of brain metastases (70). Multivariate analyses of 33 SNPs from 13 genes in the transforming growth factor- $\beta$  (TGF- $\beta$ ) signaling pathway have revealed that the GG genotype of SMAD6 : rs12913975 and TT genotype of INHBC : rs4760259 were associated with a significantly higher incidence of brain metastasis in patients with NSCLC at 24 months follow-up, compared with the GA or CT/CC genotypes, respectively (71). In melanoma, TGF- $\beta$ 2 was reported to be crucial, since its expression is indispensable for the metastasis formation in the brain parenchyma (72).

### 9. *Circulating markers*

#### 1) *Tumor markers*

Tumor markers may be helpful in the prediction of brain metastases. Among them, carcinoembryonic antigen (CEA) is the most widely studied. Lee *et al.* found that the pretreatment serum CEA level was

significantly correlated with brain metastases in 227 advanced NSCLC patients (73). Arrieta *et al.* also reported that high serum CEA level at diagnosis is an independent prognostic factor of CNS metastasis development and survival in patients with advanced NSCLC (74). They considered that surface expression of CEA in tumor cells could be a mechanism of invasion to CNS through immunoglobulin-related transport in BBB.

Pro-gastrin-releasing peptide (ProGRP) is a widely used tumor marker for the screening of SCLC. Yonemori *et al.* retrospectively analyzed the characteristics of the first failure event due to brain metastasis in SCLC patients treated with prophylactic cranial irradiation (PCI). Elevation of ProGRP level before PCI was found to be a significant predictive factor for brain metastasis on multivariate analysis (75).

#### 2) *Indicators of CNS injury in the blood*

Elevated levels of certain proteins or neurotransmitters in the blood may be indicators of CNS damage caused by invasion of brain metastases (70). S100 $\beta$  is a nervous system specific cytoplasmic protein found in astrocytes and is released into serum when the BBB is breached (76). However, a confounding factor was the presence of BBB changes due to cerebrovascular disease. Therefore, patients who are found to have evidence of chronic cerebrovascular disease will likely receive no further benefit from routine screening of their serum S100 $\beta$  level. More recently, proapolipoprotein A1, the precursor of the cholesterol-binding protein apolipoprotein A1, was reported to be significantly increased in patients with CNS disease compared with those affected only by vascular diseases (77).

### 10. *Role of astrocytes in brain metastasis formation*

Astrocytes have an indispensable role in the maintenance of BBB properties of cerebral endothelial cells. Therefore, they support endothelial cells in impeding tumor cells from penetrating into the brain. On the other hand, astrocytes have a protective role for brain metastases. Reactive astrocytes induce the protection of tumor cells from chemotherapy through sequestration of calcium from the cytoplasm of tumor cells and by up-regulating survival genes in tumor cells (78, 79). Moreover, astrocytes secrete soluble factors that stimulate the proliferation of tumor cells in the brain microenvironment. In addition, astrocytes were shown to induce proliferation of lung and breast cancer cells by producing interleukin (IL)-1 $\beta$ , tumor necrosis factor- $\alpha$

(TNF- $\alpha$ ) and/or IL-6 (80, 81). These proinflammatory cytokines secreted by astrocytes might not only induce proliferation of tumor cells, but also support the transendothelial migration and formation of new metastatic colonies in the brain.

#### IV. TARGETED THERAPIES FOR LUNG CANCER BRAIN METASTASIS

In the study by Kienast *et al.*, the VEGF-A inhibitor bevacizumab blocked angiogenesis and resulted in dormancy of brain metastasis derived from lung cancer cells (82), indicating that anti-angiogenic agents might be promising to inhibit brain metastasis. In early clinical studies, hemorrhagic episodes were reported after treatment with bevacizumab in patients with metastatic spread, including brain metastasis (83). In consequence, guidelines prohibited the use of bevacizumab in this cohort and patients with brain metastasis have been excluded from participating in clinical trials that investigated anti-angiogenic drugs (84, 85). However, recent large meta-analyses performed in over 10,000 patients that received anti-angiogenic agents revealed that these drugs do not increase the risk of intracranial bleeding compared to the untreated population with brain metastasis (0.8-3.3% bleeding risk) (85). These reports have prompted to change the guidelines and allow administration of bevacizumab in patients with brain metastasis from non-squamous NSCLC (84). Based on the new guidelines, the decision to use anti-angiogenics in this patient cohort should be made upon careful assessment of the potential benefits and risks for individual patients (85).

The EGFR tyrosine kinase inhibitors (EGFR-TKIs), gefitinib and erlotinib, have been tested in patients with NSCLC and brain metastasis (50, 86, 87). Similar to primary tumors, the response of brain metastasis to EGFR inhibitors is better in patients with activating EGFR mutations while the activity of these drugs in individuals with wild-type EGFR metastatic disease is very modest (50, 86, 87). Interestingly, the response of chemotherapy-naïve, never-smoker patients with brain metastases after treatment with erlotinib and gefitinib was 74%, and maybe inhibition of EGFR in this patient subgroup is more effective compared to other cohorts (88). The BBB penetrability of erlotinib might be better than gefitinib as small but measurable penetration of erlotinib into cerebrospinal fluid (CSF) has been documented (89). Notably, the incidence of CNS

progression after treatment with gefitinib or erlotinib was lower in NSCLC patients with EGFR mutations compared to patients with wild-type EGFR and therefore these targeted agents might also have a value as prophylactic agents (52). Furthermore, the resistance EGFR mutation T790M occurs also in CNS metastasis. Whether afatinib, a second generation EGFR inhibitor that inhibits T790M also has activity in CNS metastasis with this mutation remains to be determined. In one report, encouragingly, dose escalation of afatinib resulted in remission of a brain metastasis (90). The question whether erlotinib or gefitinib should be combined with other WBRT or stereotactic radiosurgery is subject of current studies.

Activation of ALK oncogene leads to fusion of ALK and the echinoderm microtubule-associated protein-like 4 (EML4) that is encountered in approximately 4% of patients with NSCLC. The targeted agent crizotinib inhibits this oncogenic fusion and can lead to effective local tumor control (91). In a recent case report of a patient with EML4-ALK fusion, though, brain metastasis developed despite control of extracerebral metastases (92). Very low levels of crizotinib were detected in plasma and CSF of the patient, suggesting insufficient BBB penetrability for this agent (92). However, another recent case report demonstrated a twelve-month progression-free survival after treatment with crizotinib in a patient with lung adenocarcinoma with EML4-ALK fusion that developed metachronous miliary lung metastases and brain metastasis (93).

#### V. CONCLUSION

Brain metastasis has become an increasingly challenging clinical problem, largely due to the recently improved clinical control of systemic metastatic diseases. While the biology of brain metastasis is still poorly understood, it is encouraging to see more efforts are beginning to be directed toward the study of brain metastasis.

The biomarkers mentioned in this review would be promising tools for the prediction of brain metastases. However, currently none of them can predict occurrence of brain metastases alone and much challenge remain for their translation into practice. In this article, the mechanistic basis of lung cancer metastasis to the brain is described (Table 1). The better understanding of molecular biology of lung cancer brain metastasis, including heterogeneous

Table 1. Risk factors for the development of lung cancer brain metastasis.

Risk factors	Authors	Reference No.
Genes		
high CDH2 expression	Grinberg-Rashi H <i>et al.</i>	20
high KIFC1 expression	Grinberg-Rashi H <i>et al.</i>	20
low FALZ gene expression	Grinberg-Rashi H <i>et al.</i>	20
high DCUN1D1 expression	Yoo <i>et al.</i>	23
Cell surface molecules		
high $\alpha 3\beta 1$ integrin expression	Yoshimasu T <i>et al.</i>	28
high ICAM-1 expression	Sipos E <i>et al.</i>	30
high VCAM-1 expression	Sipos E <i>et al.</i>	30
low E-cadherin expression	Yoo JY <i>et al.</i>	32
	Saad AG <i>et al.</i>	9
high N-cadherin expression	Grinberg-Rashi H <i>et al.</i>	20
Soluble factors		
high VEGF expression	Saad AG <i>et al.</i>	9
	Yano S <i>et al.</i>	33
	Hu L <i>et al.</i>	43
	Kienast Y <i>et al.</i>	82
high PlGF expression	Li B <i>et al.</i>	35
high CXCR4 expression	Salmaggi A <i>et al.</i>	40
	Paratore S <i>et al.</i>	41
high CXCL12 expression	Salmaggi A <i>et al.</i>	40
	Paratore S <i>et al.</i>	41
Proteases		
high MMP-9 expression	Hu L <i>et al.</i>	43
high ADAM9 expression	Shintani Y <i>et al.</i>	44
Driver mutations		
EGFR mutation	Li Z <i>et al.</i>	49
	Eichler AF <i>et al.</i>	50
ALK translocation	Yang P <i>et al.</i>	55
Signaling pathways		
activation of WNT/TCF signaling	Nguyen DX <i>et al.</i>	57
activation of Rho/ROCK signaling	Li B <i>et al.</i>	61
activation of HGF/Met signaling	Navab R <i>et al.</i>	62
	Benedettini E <i>et al.</i>	63
Circulating markers		
CEA elevation	Lee DS <i>et al.</i>	73
	Arrieta O <i>et al.</i>	74
ProGRP elevation	Yonemori K <i>et al.</i>	75
Others		
high Amphiregulin expression	Sun M <i>et al.</i>	
low Caspase-3 expression	Saad AG <i>et al.</i>	
high Caveolin-1 expression	Cassoni P <i>et al.</i>	
low CD44 expression	Kergi HA <i>et al.</i>	
high EGF expression	Sun M <i>et al.</i>	
high ERCC1 expression	Gomez-Roca C <i>et al.</i>	
high IGF-1 expression	Hwang CC <i>et al.</i>	
high Ki-67 levels	Saad AG <i>et al.</i>	
low Neuregulin1 expression	Sun M <i>et al.</i>	
inactivating mutation of PTEN	Hahn M <i>et al.</i>	
high S100A7 expression	Zhang H <i>et al.</i>	
high phosphorylated Her3 expression	Sun M <i>et al.</i>	
high phospho-S6 expression	McDonald JM <i>et al.</i>	
low TGF- $\alpha$ expression	Sun M <i>et al.</i>	

genetic profiles, is essential to find appropriate targets of prevention of brain metastasis formation. In addition, prospective randomized clinical studies are

needed to further assess the utility of these biological markers.

It is indisputable that the microenvironment cells

in the tumor stroma contribute significantly to the outgrowth of cancer cells both at the primary site and in distant metastatic organs. The occurrence of brain metastasis reflects the culmination of such tumor-microenvironment interactions. Particularly, the specialized physiology of the brain not only contributes to the colonization of metastatic tumor lesions but also significantly affects the efficacy and outcome of therapeutic interventions. Future clinical interventions to treat patients with brain metastasis must take into consideration the impact of these important microenvironmental determinants.

#### DISCLOSURE OF CONFLICT OF INTERESTS

All authors have no conflict of interests.

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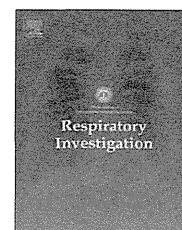
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## Editorial

## Physician scientists in respiratory medicine



In Japan, many physicians have taken the opportunity to perform basic research as a part of a Ph.D. program during or after clinical training. This system provides the physician a chance to analyze the science underlying medicine during an important period in their life, and it has undoubtedly contributed to Japanese clinical medicine by producing physician scientists with both an M.D. and Ph.D.

WHO released its annual statistics on May 15, 2014 and reported that the Japanese had the longest life expectancy worldwide [1]. One reason for this outcome could be the high quality of health-care in Japan. The physician scientist may have effectively supported the regional medical service by applying the problem-based thinking honed in basic research to the clinical setting. For internal medicine in particular, it is critical for physicians to have the ability to think through a clinical problem. Doctors have learned many skills useful for answering clinical questions from basic research.

However, young doctors have recently started avoiding research. In 2004, a new training system for residents was initiated in Japan that required clinical training for the first two years after completing medical school. In this system, new doctors could select the training location among various hospitals registered in the Japan Residency Matching program, and over half of the doctors chose municipal rather than university hospitals. This system may provide good clinical training for residents during the two years, but it may also lead young doctors away from an opportunity to perform research. In addition, this system may dampen interest in research because many new doctors aim towards becoming board-certified specialists, which is the hallmark of a so-called "good clinician." Notably, the option of performing research and earning a Ph.D. was not discussed in detail in

the current system. However, considering the goal of creating a better clinician, learning how to think and solve clinical problems scientifically and theoretically is important for young doctors.

In contemplating the future of Japanese physicians, we may need to re-consider our original system that automatically produced physician scientists with both an M.D. and Ph.D. Respiratory medicine is a complicated discipline and encompasses many subspecialties, including immunology, allergy, physiology, microbiology, and oncology. Therefore, respirologists must possess an ability to systematically analyze problems. A next-generation system in which both clinical and research programs are coordinated and combined must be implemented in the field of respirology. How do we improve while ensuring that the Japanese system remains able to produce physician scientists with both an M.D. and Ph.D. in respiratory medicine who are uniquely capable of performing basic research?

## R E F E R E N C E

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# Early Growth Response 4 Is Involved in Cell Proliferation of Small Cell Lung Cancer through Transcriptional Activation of Its Downstream Genes

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## Abstract

Small cell lung cancer (SCLC) is aggressive, with rapid growth and frequent bone metastasis; however, its detailed molecular mechanism remains poorly understood. Here, we report the critical role of early growth factor 4 (EGR4), a DNA-binding, zinc-finger transcription factor, in cell proliferation of SCLC. EGR4 overexpression in HEK293T cells conferred significant upregulation of specific splice variants of the parathyroid hormone-related protein (*PTHrP*) gene, resulting in enhancement of the secretion of PTHrP protein, a known mediator of osteolytic bone metastasis. More importantly, depletion of *EGR4* expression by siRNA significantly suppressed growth of the SCLC cell lines, SBC-5, SBC-3 and NCI-H1048. On the other hand, introduction of *EGR4* into NIH3T3 cells significantly enhanced cell growth. We identified four *EGR4* target genes, *SAMD5*, *RAB15*, *SYNPO* and *DLX5*, which were the most significantly downregulated genes upon depletion of *EGR4* expression in all of the SCLC cells examined, and demonstrated the direct recruitment of EGR4 to their promoters by ChIP and luciferase reporter analysis. Notably, knockdown of the expression of these genes by siRNA remarkably suppressed the growth of all the SCLC cells. Taken together, our findings suggest that EGR4 likely regulates the bone metastasis and proliferation of SCLC cells via transcriptional regulation of several target genes, and may therefore be a promising target for the development of anticancer drugs for SCLC patients.

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## Introduction

Lung cancer is one of the most common cancers, and its incidence is rising worldwide [1]. The high mortality and poor prognosis of lung cancer result from difficulties in early diagnosis and its high metastatic potential. Lung cancer is classified into two major types, small-cell lung cancer (SCLC) and non-small cell lung cancer (NSCLC), which account for approximately 25% and 75% of cases, respectively. SCLC presents with aggressive clinical behavior characterized by rapid growth and frequent metastases to the brain, lung, liver and bone [2]. In particular, bone metastasis causes severe complications in SCLC and can lead to bone pain, pathological fractures, hypercalcemia, spinal cord compression and other nerve compression syndromes [3,4], and it is often associated with high morbidity and poor prognosis. Current treatments are generally palliative. Therefore, it is highly important to prevent and treat osteolytic bone metastases.

Bone metastasis has been generally classified as osteolytic, leading to bone destruction; osteoblastic, leading to new bone formation; or mixed based on the primary mechanism of interference with normal bone remodeling. The balanced activity of osteolytic and osteoblastic factors is thought to regulate bone metastasis [4,5]. Recently, several molecules have been reported to play important roles as osteoblastic factors involved in osteoformation [4–6]. However, the precise mechanisms responsible for tumor growth in bones remain unexplored.

Comprehensive transcriptomics confer a precise characterization of individual cancers that should help to improve clinical strategies for neoplastic diseases through the development of novel drugs. Hence, “omics” technology approaches are effective for identifying target molecules involved in carcinogenic and metastatic pathways, including bone metastasis. To this end, the genome-wide transcriptomics of human SCLC engaged in organ-preferential metastasis in mice was analyzed, and several genes potentially involved in bone metastasis were found [7]. In this

study, we focused on early growth response 4 (*EGR4*), which is significantly upregulated in bone metastatic tumors compared with other organ metastases (lung, kidney and liver) derived from human SCLC cells [7].

The *EGR4* gene belongs to the early growth response family of immediate early genes encoding four DNA-binding, zinc-finger transcription factors (*EGR1* to *EGR4*) [8]. This gene (*pATI33*, *NGFI-C*) was first identified as a zinc-finger protein immediately induced by mitogenic stimulation in T lymphocytes and fibroblasts [9,10]. It has been reported that *EGR4*-null mice have male infertility because of arrested spermatogenesis but no female infertility is observed [11,12], suggesting that *EGR4* plays a critical role in some types of human idiopathic male infertility. Moreover, *EGR4* is known to have a neural-specific expression pattern in rats [13] and regulate brain-derived neurotrophic factor (BDNF)-mediated neuron-specific potassium chloride cotransporter 2 (*KCC2*) transcription via the ERK1/2 signaling pathway in immature neurons [14]. However, the pathophysiological role of *EGR4* in carcinogenesis in SCLC, has not been elucidated. In this study, we report that *EGR4* acts as a transcriptional activator via regulation of specific downstream genes in SCLC cell proliferation.

## Materials and Methods

### Cell lines

The human SCLC cell lines SBC-3 and SBC-5 were kindly provided by Drs. M. Tanimoto and K. Kiura of Okayama University [15]. The NSCLC cell line PC14PE6 was kindly provided by Dr. I. J. Fidler of M. D. Anderson Cancer Center [16]. The human SCLC cell line NCI-H1048 and human NSCLC cell lines A549 and NCI-H1048 were purchased from the American Type Culture Collection (ATCC, Rockville, MD, USA). The human ACC-LC319/bone2 cell line was established as previously described [17]. The MC3T3-E1 murine osteoblastic subclone 4 cell line was kindly provided by Chugai Pharmaceutical Co., Ltd. (Tokyo, Japan). The human small airway epithelial cell line (SAEC) was purchased from Lonza (Walkersville, MD, USA). All cells were cultured under appropriate conditions.

### Plasmid constructs

The entire coding sequence of human *EGR4* (NM\_001965) was amplified by PCR using KOD plus DNA polymerase (Toyobo, Osaka, Japan). The PCR product was inserted into the *EcoRI* and *XhoI* sites of the pCAGGSn3FH vector which contains an N-terminal FLAG tag. For luciferase reporter plasmids, DNA fragments from the 5'-flanking regions of *PTHrP-V3* and *V4* (NM\_198964.1 and NM\_198966.1, respectively), *SAMD5* (NM\_001030060.2), *RAB15* (NM\_198686.2), *SYNPO* (NM\_007286.5) and *DLX5* (NM\_005221.5), which include potential EGR binding sites as predicted by the MatInspector program (Genomatix, <http://www.genomatix.de/matinspector.html>), were amplified by PCR and inserted into the appropriate restriction enzyme sites in the pGL3-enhancer vector (Promega, Madison, WI, USA). The PCR primer sets used in this study are shown in Table S1. The DNA sequences of all constructs were confirmed by DNA sequencing (ABI 3500xL sequencer; Life Technologies, Foster City, CA, USA).

### RNA extraction, reverse transcription, semi-quantitative PCR and real-time PCR

Total RNA extraction, reverse-transcription, semi-quantitative RT-PCR and Real-time PCR experiments were conducted as previously described [18]. The expression levels in each sample

were normalized to the  $\beta$ -actin mRNA content. The sequences of each primer set are listed in Table S2.

### Western blot analysis

Western blot analysis was performed as previously described [18]. After SDS-PAGE, membranes blotted with proteins were incubated with anti-FLAG M2 (Sigma-Aldrich, St. Louis, MO, USA, F3165) or anti- $\beta$ -actin (AC-15, Sigma-Aldrich, A-5441) mouse monoclonal antibodies diluted at 1:5000. The membranes were then incubated with a horseradish peroxidase (HRP)-conjugated secondary antibody for 1 h, and the protein bands were visualized with enhanced chemiluminescence (ECL) detection reagents (GE Healthcare, Piscataway, NJ, USA).

### Measurement of PTHrP secretion

HEK293T cells ( $1.5 \times 10^5$  cells/12-well plate) were transfected with the pCAGGSn3FH-EGR4 or mock (no insert) plasmids using FuGENE 6 (Promega). At 48 h after transfection, the culture medium was collected and centrifuged at 4°C at 15,000 rpm. The PTHrP protein concentration in the conditioned media was determined by an immunoradiometric (IRMA) assay (SRL Inc., Tokyo, Japan).

### Effect of conditioned medium derived from EGR4-overexpressing HEK293T cells on *RANKL*, *IL-6* and *IL-8* expression

HEK293T cells ( $2.6 \times 10^6$  cells/10 cm plate) were transiently transfected with the pCAGGSn3FH-EGR4 or mock vector for 48 h, and the culture media was then replaced with DMEM plus 0.1% FBS for an additional 48 h. The culture medium was subsequently collected, and the conditioned medium was transferred to murine MC3T3-E1 osteoblast cells that were pre-cultured with differentiation medium containing ascorbic acid (100  $\mu$ g/ml) for 5 days. After 48 h, the expression levels of murine *RANKL*, *IL-6*, and *IL-8* was analyzed by real-time PCR as described above.

### Chromatin immunoprecipitation (ChIP) assay

HEK293T cells ( $2.5 \times 10^6$  cells/10 cm dish) were transfected with 8  $\mu$ g of the pCAGGSn3FH-EGR4 or mock vector for 48 h and then ChIP assays were performed using the EZ-ChIP kit (Millipore, Billerica, MA, USA) as previously described [19]. The PCR primer sets to detect the EGR-binding sites used are listed in Table S3.

### Luciferase assay

HEK293T cells ( $2.5 \times 10^4$  cells/48-well dish) were co-transfected with either 100 ng of the pGL3-enhancer promoter vector as described above or the mock vector in combination with 100 ng of the pCAGGSn3FH-EGR4 or mock vector (100 ng). pRL-TK was used as an internal control. After 48 h, the cells were harvested and analyzed for *Firefly* luciferase and *Renilla* luciferase activity using the dual luciferase reporter assay (Promega) as previously described [19]. Data were expressed as the fold increase over mock-transfected cells (set at 1.0) and represented as the mean  $\pm$  SE of two independent experiments.

### NIH3T3 cell proliferation assay

NIH3T3 cells ( $0.5 \times 10^5$  cells/6-well dish) were transiently transfected with 3  $\mu$ g of pCAGGSn3FH-EGR4 or mock vector using FuGENE 6 (Promega). Cell proliferation assays were performed at 48, 72 and 96 h after transfection, respectively, using Cell Counting Kit-8 (Dojindo, Kumamoto, Japan) as

previously described [18]. These experiments were performed in triplicate. Western blot analysis was performed as described above.

### Gene silencing effects by siRNA treatment

We used siRNA oligonucleotides (Sigma-Aldrich Japan KK, Japan) to knock down *EGR4*, *DLX5*, *SYNPO*, *SAMD5* and *RAB15* expression in SBC-5, SBC-3, NCI-H1048 or PC14PE6. The sequences targeting each gene are listed in Table S4. Cells were plated in 12-well dishes (SBC-5 and PC14PE6;  $1.5 \times 10^4$  cells/well, SBC-3;  $2.5 \times 10^4$  cells/well, NCI-H1048;  $5.0 \times 10^4$  cells/well). Transfection of 100 nM siRNA to SBC-5 and PC14PE6 cells was performed using Lipofectamine 2000 reagent (Life Technologies) as previously described [20]. SBC-3 and NCI-H1048 cells were transfected with 50 nM siRNAs using Lipofectamine RNAi Max transfection reagent (Life Technologies) according to the manufacturer's instructions. At 48, 96 or 120 h after transfection, total RNA extraction, real-time PCR and cell proliferation assays were performed as described above.

### Identification of EGR4 downstream genes by DNA microarray

SBC-5 cells ( $1 \times 10^6$  cells/35 mm dish for 24 h) were transfected with 10 nM siRNA directed against EGR4 (EGR4-2) or EGFP (siEGFP; a control) using Lipofectamine RNAi Max transfection reagent (Life Technologies). Total RNA was extracted from each sample at 48 and 72 h after transfection of siRNA. The DNA microarray and data analyses were performed using the Agilent Whole Human Genome Microarray (4×44K, G4110F; Agilent Technologies, Santa Clara, CA, USA) and GeneSpring software (version 11.5; Agilent Technologies) as previously described [21]. A corrected *P* value was calculated with Benjamini Hochberg false discovery rate (FDR) analysis, and  $P < 0.05$  was considered significant. The extent and direction of the differential expression between time points (48 and 72 h) were determined by calculating fold change values. The DNA microarray analysis data have been submitted to the NCBI Gene Expression Omnibus (GEO) database as series GSE40558.

### RNAseq data analysis of lung cancers

Publicly available gene expression data (normalized values from Illumina RNAseq v2, level 3, LUAD and LUSC) from The Cancer Genome Atlas (TCGA; <http://cancergenome.nih.gov/>) were downloaded from TCGA matrix. The differential expression (by fold change value) between cancer tissues and the adjacent normal lung was calculated according to the normalized gene expression value of each sample.

### Statistical analysis

Statistical analysis was performed using Student's *t*-test.  $P < 0.05$  was considered significant.

## Results

### EGR4 directly regulates the transcriptional activity of the *PTHrP* gene

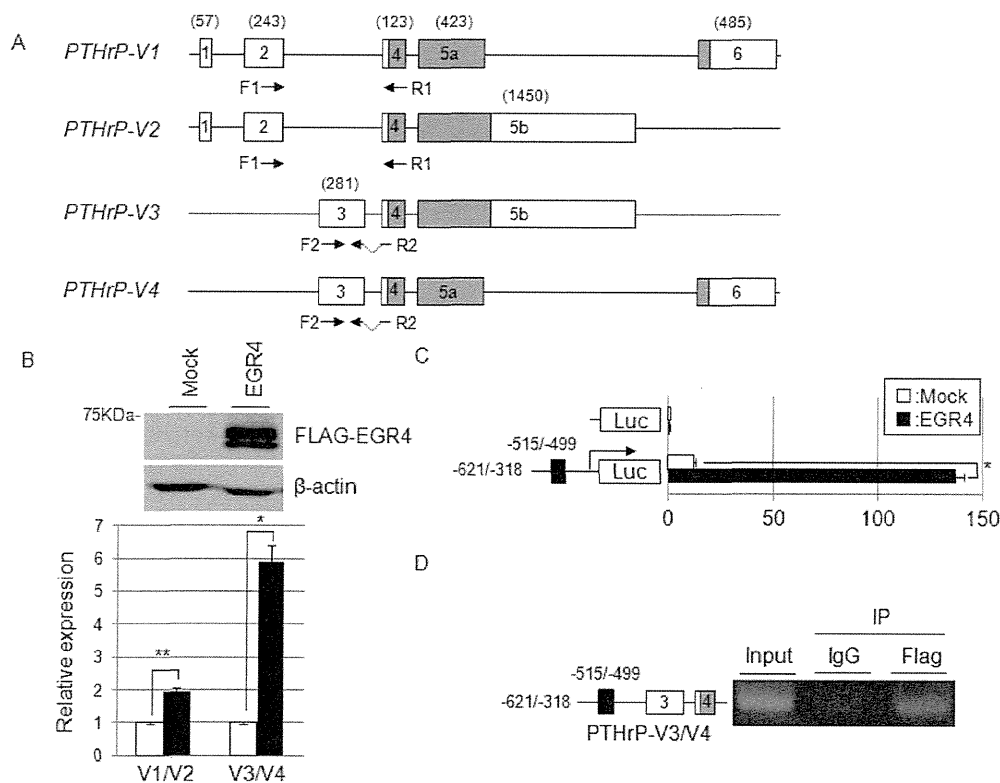
Analysis of the genome-wide gene expression profile of the organ-preferential metastasis of the human SCLC cell line SBC-5 in mice identified early growth response 4 (*EGR4*), which was significantly upregulated in bone metastatic tumors ( $p < 0.001$ , ratio; 2.22) compared with other organ metastases (lung, kidney and liver) [7]. First, to clarify the role of EGR4 as a transcription factor involved in bone metastasis, we focused on the parathyroid hormone-related protein (*PTHrP*) gene as a candidate down-

stream target of EGR4 because the *PTHrP* gene is known to be a potent activator of osteoclastic bone resorption [4] and encodes a protein secreted from SBC-5 cells [22,23]. Moreover, it has been reported that treatment with an anti-PTHrP neutralizing antibody inhibits the production of SBC5 cell bone metastasis in the SCID mouse model [22,23].

In the National Center for Biotechnology Information (NCBI) database, the *PTHrP* gene is reported to possess four transcriptional variants, designated *PTHrP* variant 1 (PTHrP-V1, GenBank accession no. NM\_198965.1), variant 2 (PTHrP-V2, NM\_002820.2), variant 3 (PTHrP-V3, NM\_198964.1) and variant 4 (PTHrP-V4, NM\_198966.1). The full-length cDNAs of *PTHrP-V1*, *V2*, *V3* and *V4* consist of 1331, 1881, 1862 and 1312 nucleotides that encode 177, 175, 175 and 177 amino acids, respectively, and consist of 5, 4, 3, and 4 exons, respectively. The V1 variant lacks exon 3, and the V2 variant lacks exon 3 and possesses exon 5b, which is 1,027 bp longer at the 3' end than exon 5a. The V3 and V4 variants commonly lack exons 1 and 2 and possess exon 3, which is located within intron 2 with a length of 281 bp. The V3 variant further lacks exon 6, and possesses exon 5b and V2 variant. The V4 variant possesses exon 5a and exon 6, indicating that the *PTHrP-V1/V2* and *V3/V4* variants have different promoter regions (Figure 1A). Subsequent real-time RT-PCR analysis confirmed that the *PTHrP-V3* and *V4* splicing variants were predominately upregulated at the transcriptional level in EGR4-overexpressing HEK293T cells compared with mock-transfected cells (Figure 1B). Accordingly, to obtain direct evidence for the upregulation of *PTHrP-V3* and *V4* by EGR4, we first searched for putative EGR DNA binding motifs with the MatInspector program (described above) because it has been reported that the EGR family, including the EGR4 protein, preferentially binds to an EGR consensus motif (5'-GCGG/TGGGCG-3') [24–27]. We found a potential EGR DNA binding motif within the *PTHrP-V3* and *V4* promoter region (−515 to −499). Subsequently, we examined the transcriptional activity of EGR4 by a luciferase reporter assay using a pGL3 luciferase plasmid containing the EGR4 binding motif in the *PTHrP-V3/V4* promoter. A significant increase in luciferase activity was observed with FLAG-EGR4 transfection compared with the mock control vector in HEK293T cells (Figure 1C). To further investigate whether EGR4 could bind to a potential *PTHrP-V3* and *V4* EGR binding motif, we performed a ChIP assay. The genomic fragment including the potential EGR binding motif (−515 to −499) of *PTHrP-V3* and *V4* was specifically bound by EGR4 protein in products immunoprecipitated with an anti-FLAG antibody, suggesting that EGR4 directly bound to the promoter region of the *PTHrP-V3* and *V4* variants (Figure 1D). Taken together, these findings suggest that the EGR4 might directly upregulate the *PTHrP-V3* and *V4* variants in SCLC cells.

### Paracrine effects of PTHrP secreted from EGR4-overexpressing cells

It has been reported that PTHrP protein secreted from cancer cells regulates the expression of the *RANKL*, *IL-6* and *IL-8* genes, which have been implicated as factors that enhance osteoclast formation and bone destruction in malignant diseases [28–30] in osteoblast cells. According to these data and our findings as shown in Figure 1, we hypothesized that PTHrP protein is secreted from EGR4-overexpressing cells. Our results showed that the PTHrP protein concentration was significantly increased in media conditioned from EGR4-overexpressing HEK293T cells ( $14.43 \pm 1.04$  pmol/L) compared with conditioned media from mock-transfected cells ( $11.83 \pm 0.15$  pmol/L,  $P < 0.05$ ; Figure 2A).



**Figure 1. EGR4 directly transactivates specific splice variants of the *PTHrP* gene.** A: Genomic structures of the four splice variants of *PTHrP*. The gray and white boxes indicate coding and non-coding regions, respectively. The arrows indicate the primer sets used to perform RT-PCR for each transcript. The numbers in parentheses indicate the length of each exon. B: Upper panel: western blot analysis of HEK293T cells expressing exogenous FLAG-tagged EGR4 (FLAG-EGR4) or cells transfected with the mock vector. Lower panel: real-time RT-PCR analysis of *PTHrP* splice variants (V1/V2 and V3/V4) in EGR4-overexpressing HEK293T cells. C: Luciferase assay of the *PTHrP*-V3 and -V4 (V3/V4) promoter regions (n = 2, \*P < 0.05). This experiment was performed using a part of the lysates from cells expressing exogenous FLAG-EGR4 or those transfected with the mock vector used in B. D: ChIP assay of the *PTHrP*-V3/V4 promoter region. ChIP assays were used to determine direct EGR4 binding to the *PTHrP*-V3/V4 promoter. The PCR product was from -620 to -318 of the region upstream of the 5' end of exon 3 of *PTHrP*-V3/V4, which was designated as the +1 position. doi:10.1371/journal.pone.0113606.g001

Next, we evaluated the paracrine effects of conditioned medium from EGR4-overexpressing HEK293T cells on osteoblast cells. As shown in Figure 2B, we transferred conditioned medium from HEK293T cells transfected with the FLAG-EGR4 construct to MC3T3-E1 murine osteoblast cells and then performed real-time PCR to examine the effects of the conditioned medium on the expression level of the *RANKL*, *IL-6* and *IL-8* genes. All three genes were significantly upregulated in osteoblast cells treated with conditioned medium from HEK293T cells ectopically expressing FLAG-EGR4 compared with mock-transfected cells (Figure 2C). Collectively, these findings suggest that the increase in PTHrP secretion from EGR4-overexpressing cells may enhance the expression of the *RANKL*, *IL-6* and *IL-8* genes in osteoblast cells.

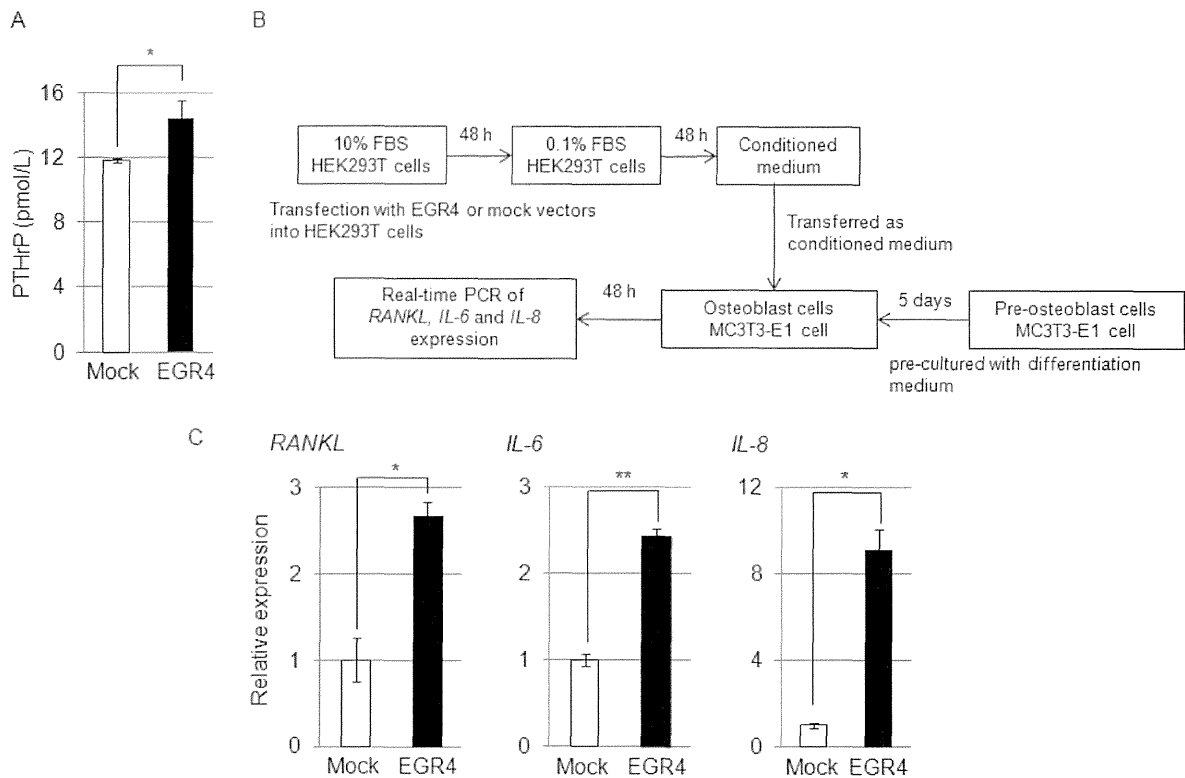
#### Effect of *EGR4* on cell growth

We first examined *EGR4* expression in SCLC cells by semi-quantitative RT-PCR and found that *EGR4* was highly expressed in SBC-3, SBC-5 and NCI-H1048 cells but not in the small airway epithelial cell line SAEC (Figure 3A). Next, to assess whether EGR4 is essential for the growth of SBC-5 cells, we used an RNA interference approach with two different siRNA oligonucleotides. Real-time PCR analysis showed that *EGR4*-specific siRNAs (siEGR4-1 and siEGR4-2) significantly suppressed the expression of EGR4 compared with siEGFP as a control (Figure 3B). MTT

assay showed that the introduction of siEGR4s (siEGR4-1 and siEGR4-2) significantly suppressed the growth of SBC-5 cells (Figure 3C), which is in accordance with the EGR4 knockdown results. We also confirmed significant growth inhibitory effects of *EGR4* knockdown in other SCLC cell lines SBC-3 and NCI-H1048 overexpressing *EGR4* (Figure S1). To further confirm the growth promoting effect of *EGR4*, FLAG-EGR4 construct or mock vector was transiently transfected into NIH3T3 cells, and MTT assay was performed as described above. As shown in Figure 3D, FLAG-EGR4-transfected cells grew significantly faster than those transfected with mock vector. These findings suggest that overexpression of *EGR4* might be involved in the growth of SCLC cells.

#### Identification of *EGR4* target genes

To obtain further insight into the biological role of *EGR4* on cell growth, we attempted to identify downstream genes specifically regulated by EGR4 in SCLC cells. siEGR4 or siEGFP (control siRNA) was transfected into SBC-5 cells in which *EGR4* was highly expressed (Figure 3A), and alterations in gene expression at two time points were monitored by DNA microarray analysis. To identify the genes putatively regulated by EGR4, we selected genes with the following two criteria: (i) expression level was decreased by more than two-fold at 48 and 72 h in cells



**Figure 2. PTHrP secretion leads to transactivation of specific PTHrP splice variants.** A: Secretion of the PTHrP protein from EGR4-overexpressing HEK293T cells ( $n=3$ ,  $*P<0.05$ ). B: Measurement scheme for the paracrine effects of conditioned media from EGR4-overexpressing cells. C: Real-time PCR analysis of the paracrine effects on the expression of the *RANKL*, *IL-6* and *IL-8* genes when medium from EGR4-overexpressing HEK293T cells was cultured with mouse MC3T3-E1 osteoblast cells ( $n=2$ ,  $*$ ,  $P<0.05$ ,  $**$ ,  $P<0.01$ ). doi:10.1371/journal.pone.0113606.g002

transfected with siEGR4 compared with cells transfected with the control siEGFP, and (ii) a putative EGR binding motif was predicted to exist within 500 bp of the transcription start site by the MatInspector program (described above). We identified 13 genes that were downregulated upon knockdown of EGR4 expression (Table S5). Real-time PCR analysis confirmed that seven transcripts were significantly downregulated at both time points in EGR4-knockdown cells (Figure 4A). Subsequently, we also evaluated the upregulation of these genes upon exogenous EGR4 expression in HEK293T cells and ultimately selected four EGR4 candidate target genes, including distal-less homeobox 5 (*DLX5*), synaptopodin (*SYNPO*), sterile alpha motif domain containing 5 (*SAMD5*), and RAB15, a member of the RAS oncogene family (*RAB15*), which were significantly upregulated by EGR4 overexpression (Figure 4B). We confirmed significant downregulation of *DLX5*, *SYNPO* and *SAMD5* genes by EGR4 knockdown in SBC-3 cells (Figure S2).

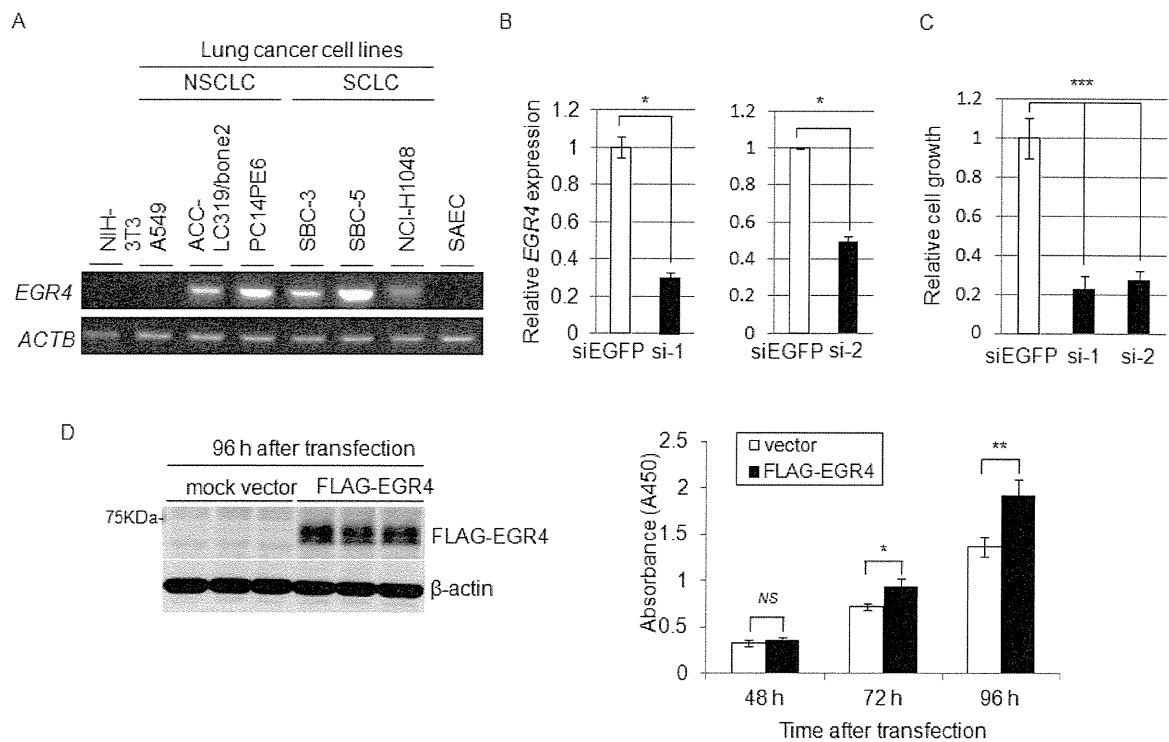
To obtain direct evidence for the transactivation of four EGR4 candidate target genes, we measured the transcriptional activity of EGR4 by a luciferase reporter assay. FLAG-EGR4-transfected cells had significantly higher luciferase activity than mock-transfected cells (Figure 4C). Next, we investigated the recruitment of EGR4 to each EGR4-binding site by ChIP assay. EGR4 was shown to bind to the predicted EGR-binding motif within the promoter regions of all target genes (Figure 4D). These results suggest that EGR4 directly transactivates *SAMD5*, *RAB15*, *SYNPO* and *DLX5*. Subsequently, we investigated the biological role of the

four EGR4 target genes in the proliferation of SCLC cells. Introduction of the siRNAs into SBC-5, SBC-3 and NCL-H1048 cells resulted in a significant reduction in the expression of the target genes accompanied by significant suppression of cell proliferation (Figure 5A–D, Figure S3), suggesting that these genes are also likely to play a crucial role in the proliferation of SCLC cells via EGR4 transcriptional activation.

## Discussion

In this study, our aim was to identify and characterize molecules or pathways potentially involved in cancer metastasis, particularly bone metastasis. Through a genome-wide transcriptomic analysis of the organ-preferential metastasis of human SCLC cells in mice, we found that *EGR4*, a member of a family of four related zinc-finger Cys<sub>2</sub>-His<sub>2</sub> type proteins (*EGR1* to *EGR4*), is significantly upregulated in bone metastatic tumors compared with other organs i.e., the lung, kidney and liver [7]. EGR4 was initially identified as a zinc-finger transcription factor immediately induced by mitogenic stimulation in T lymphocytes and fibroblasts [31]. Gene targeting studies in mice have shown that EGR4 regulates several critical genes involved in the early stages of meiosis and plays an indispensable role in male murine fertility [11,12]. Furthermore, it has been reported that EGR4 binds to nuclear factor activated T cells (NFAT) or nuclear factor kappa B (NFκB) to enhance the transcription of downstream genes encoding inflammatory cytokines, such as IL-2, TNF-α and ICAM-1 [32,33]. A previous report described that the expression level of





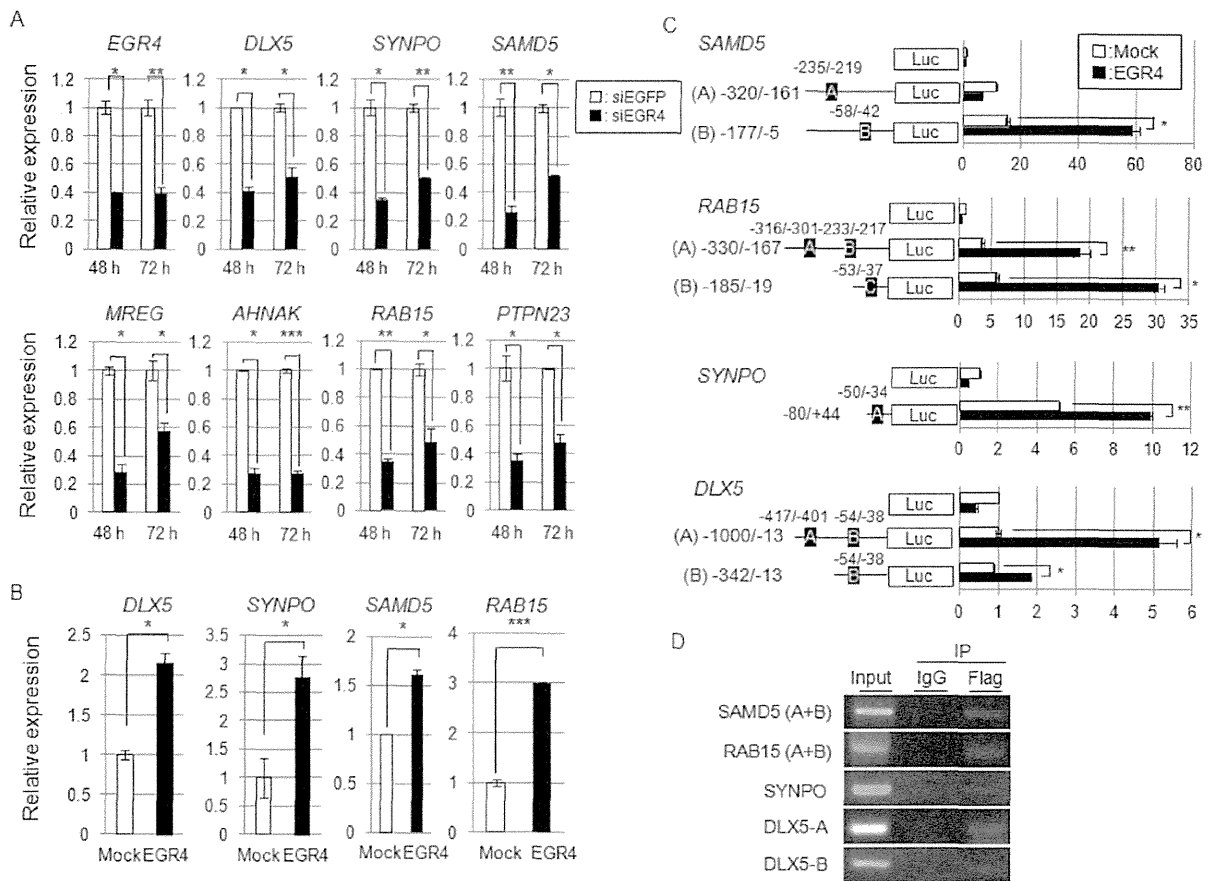
**Figure 3. Effects of *EGR4* gene on cell growth.** A: Expression of *EGR4* in SCLC and NSCLC cell lines was determined by semi-quantitative RT-PCR. B: Effects of *EGR4* knockdown on cell proliferation in SBC-5 cells. Real-time PCR of *EGR4* in siEGFP- or siEGR4 (siEGR4-1, siEGR4-2)-treated cells at 5 days after siRNA treatment ( $n=2$ ,  $*P<0.05$ ). *ACTB* was used as a quantitative control for real-time RT-PCR. C: Cell proliferation was determined by MTT assay at 5 days after siRNA treatment ( $n=3$ ,  $***P<0.005$ ). (si-1; siEGR4-1, si-2; siEGR4-2). D: Growth-promoting effect of exogenous *EGR4* on NIH3T3 cells ( $*P<0.05$ ,  $**P<0.01$ , NS, no significance). Western blot analysis was performed at 96 h after transfection (left panel). MTT assay was performed at 48, 72 and 96 h after transfection with FLAG-EGR4 (black) or mock vector (white) (right panel). These experiments were performed in triplicate. doi:10.1371/journal.pone.0113606.g003

*PTHrP*, a potent activator of osteoclastic bone resorption, in bone metastases tends to be higher than that in metastases to the kidneys, livers, and lungs using a genome-wide transcriptomics of human SCLC cells in mice [7]. Accordingly, in this study, we focused on *PTHrP*, a potent activator of osteoclastic bone resorption, as an *EGR4*-downstream gene to clarify the pathophysiological role of *EGR4* as a transcription factor in SCLC bone metastases.

*PTHrP* is known to be a key mediator of humoral hypercalcemia malignancies and osteolytic lung cancer metastases [22,23,34]. Approximately 80% of patients with solid tumors and hypercalcemia have increased *PTHrP* concentrations in their plasma [35]. It has been reported that *PTHrP* protein secreted from cancer cells regulates the expression of the *RANKL*, *IL-6* and *IL-8* genes, which have been implicated as factors that enhance osteoclast formation and bone destruction in malignant diseases [28–30] in osteoblast cells. We found that *EGR4* directly transactivates specific variants (*V3* and *V4*) of the *PTHrP* gene, thereby possibly promoting the secretion of the *PTHrP* protein in *EGR4*-overexpressing cells, resulting in subsequent transactivation of the *RANKL*, *IL-6* and *IL-8* genes via paracrine action of *PTHrP*. *RANKL* is known to bind the *RANK* receptor on osteoclast precursors and induce osteoclast formation. *IL-6* and *IL-8* have also been reported to be important for osteoclastogenesis and osteoclast activation, respectively [30]. Therefore, these findings suggest that induction of *PTHrP* by *EGR4* overexpression may be responsible for the bone metastasis of SCLC lung cancer

cells. However, we found that *PTHrP* gene expression was not reduced by *EGR4* knockdown in SBC5 cells (data not shown). A possible reason for this result is that several factors are involved in the regulation of *PTHrP* expression in addition to the *EGR4* transcription factor. For example, *EGR4* is reported to functionally cooperate with *NF-κB* and *NFAT* and induce the expression of cytokine genes [32,33]. Indian hedgehog and *TGF-β* have also been reported to stimulate perichondrial and breast cancer production, respectively [36,37]. Moreover, miR-33a has been reported to repress the *PTHrP*-mediated expression of *PTHrP* in NSCLC [38], and knockdown of zinc-finger E-box binding homeobox 1 (*ZEB1*), a transcriptional repressor, reduces *PTHrP* secretion in SCLC [39]. Therefore, it is necessary to further explore the mechanism of *PTHrP* transactivation via endogenous *EGR4* expression in SBC-5 cells in greater detail.

Notably, we showed that depletion of *EGR4* by siRNA led to a significant reduction in cell proliferation in SBC-5, SBC-3 and NCI-H1048 cells, and that *EGR4* transactivated a set of genes possibly related to lung cancer cell growth including four *EGR4*-downstream genes, *DLX5*, *RAB15*, *SAMD5* and *SYNPO*. Knockdown of the expression of these genes by siRNA led to a significant reduction in cell growth in SCLC cells, suggesting that these genes are involved in the growth of SCLC lung cancer cells. It has been reported that *DLX-5* overexpression in lung cancer cells is associated with tumor size and predictive of poor prognosis and NSCLC cell proliferation [20]. *RAB15* was originally identified as a brain-tissue specific RAB protein within the RAB



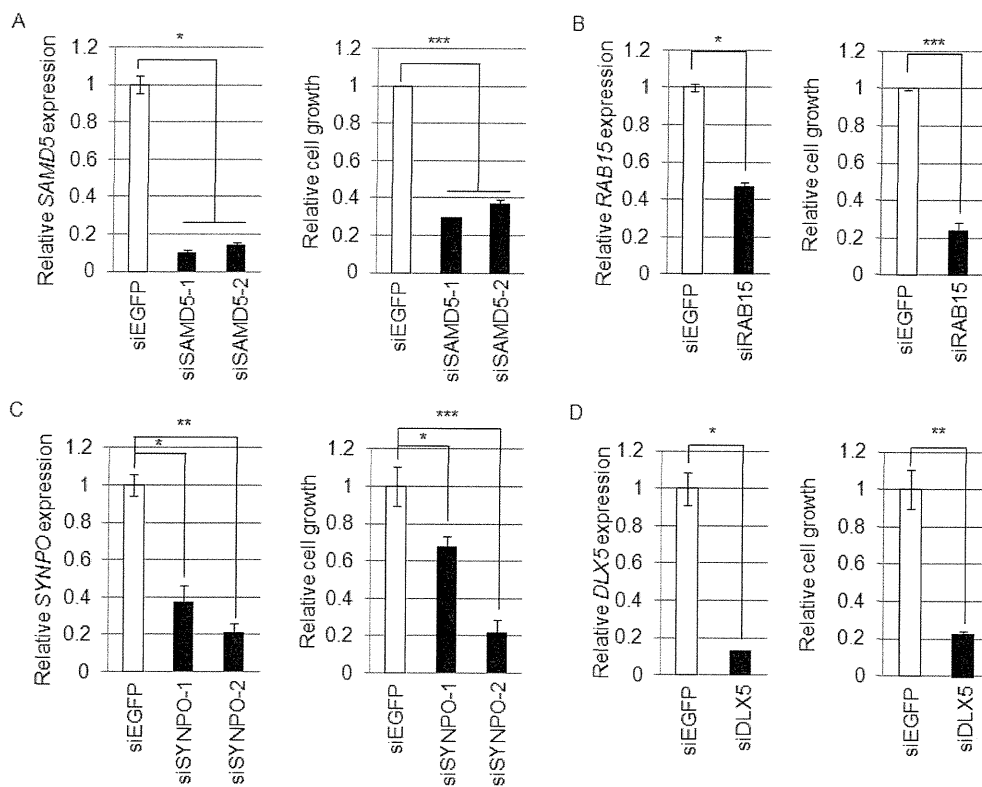
**Figure 4. Identification of EGR4-downstream genes involved in the proliferation of SCLC cells.** A: Real-time PCR of *EGR4* and seven downstream genes (*DLX5*, *SYNPO*, *SAMD5*, *MREG*, *AHNAK*, *RAB15*, and *PTPN23*) in siEGFP- or siEGR4-treated SBC-5 cells ( $n=2$ ,  $P<0.05$ ,  $**$ ,  $P<0.01$ ,  $***$ ,  $P<0.005$ ). B: Real-time PCR of the *DLX5*, *SYNPO*, *SAMD5*, and *RAB15* genes in mock- or EGR4-overexpressing HEK293T cells ( $n=2$ ,  $*$ ,  $P<0.05$ ,  $***$ ,  $P<0.005$ ). This experiment was performed using total RNA from cells expressing exogenous FLAG-tagged EGR4 (FLAG-EGR4) or those transfected with the mock vector used in Figure 1B. C: Luciferase assay of the *SAMD5*, *RAB15*, *SYNPO* and *DLX5* genes. ( $n=2$ ,  $*$ ,  $P<0.05$ ,  $**$ ,  $P<0.01$ ). D: ChIP assays were used to determine the direct binding of EGR4 to the promoters of the *SAMD5*, *RAB15*, *SYNPO* and *DLX5* genes. doi:10.1371/journal.pone.0113606.g004

family of small G proteins that regulates the endocytic recycling pathway [40] and is associated with the retinoic acid-induced differentiation of neuroblastoma cells [41]. *SAMD5* has been reported to be one of 24 discriminating genes with an expression level that significantly differs between responders and non-responders to chemoradiotherapy in rectal cancer [42]. *SYNPO* has been reported to be an actin-binding protein that functions in actin dynamics, cell migration, and tumor suppression [43] and is exclusively expressed in highly dynamic cell compartments such as kidney podocyte foot processes [44]. Although the precise function of these genes in lung carcinogenesis remains largely unknown, our findings suggest that EGR4 may be a pivotal regulator that selectively activates the transcription of several target genes in lung cancer cells.

In addition, we demonstrated that *EGR4* was highly expressed in NSCLC and SCLC cell lines (Figure 3A). In addition, analysis of publicly available RNAseq data sets from The Cancer Genome Atlas (TCGA) revealed that *EGR4* was up-regulated (more than 2-fold) in 17 of 39 lung adenocarcinoma cases (Figure S4A), and in 19 of 46 squamous cell carcinoma (SCC) cases (Figure S4B) compared with their corresponding normal lung. Furthermore, we

found that knockdown of *EGR4* by siRNA suppressed the proliferation of PC14PE6 NSCLC cells (Figure S5), but did not find the inhibitory effects of EGR4 knockdown on its downstream genes, *SAMD5*, *RAB15*, *SYNPO* and *DLX5* expression in PC14PE6 cells (data not shown). These findings suggest the possibility that EGR4 may play different roles in NSCLC cell growth. Therefore, it is necessary to further explore the mechanism of *EGR4* transactivation in NSCLC cells.

In summary, we demonstrated that EGR4 directly transactivates specific variants (*V3* and *V4*) of the *PTHrP* gene, thereby possibly enhancing secretion of the PTHrP protein in EGR4-overexpressing cells, resulting in subsequent transactivation of the *RANKL*, *IL-6* and *IL-8* genes via paracrine action of the PTHrP protein, a mediator of osteolytic bone metastasis (Figure 2). Moreover, EGR4 also transactivates *SAMD5*, *RAB15*, *SYNPO* and *DLX5*, which are involved in the proliferation of SCLC cells. Collectively, our findings suggest that EGR4 is likely to play an important role for the promotion of SCLC growth through the up-regulation of its downstream genes, and it could be a novel therapeutic target for the development of anticancer drugs.



**Figure 5. *EGR4* downstream target genes regulate the cell proliferation of SBC-5 cells.** Effects of the *EGR4* downstream genes *SAMD5* (A), *RAB15* (B), *SYNPO* (C) and *DLX5* (D) on cell proliferation were determined by siRNA knockdown in SBC-5 cells. The left panel shows the real-time PCR results for target genes in siRNA-treated cells (n = 2). The right panel shows results from cell proliferation analyses as measured by MTT assay (*SAMD5* and *RAB15*: n = 2, *DLX5* and *SYNPO*: n = 3, \*,  $P < 0.05$ , \*\*,  $P < 0.01$ , \*\*\*,  $P < 0.005$ ). doi:10.1371/journal.pone.0113606.g005

## Supporting Information

**Figure S1 Effects of *EGR4* gene on SBC-3 and NCI-H1048 cell growth.** Effects of *EGR4* knockdown on cell proliferation in SBC-3 and NCI-H1048 cells. Real-time PCR of *EGR4* in siEGFP- or si*EGR4* (si*EGR4*-1, si*EGR4*-2)-treated SBC-3 cells (A) and NCI-H1048-cells (C) at 5 days after siRNA treatment (n = 2, \* $P < 0.05$ , \*\* $P < 0.01$ ). *ACTB* was used as a quantitative control for real-time RT-PCR. Cell proliferation of SBC-3 (B) and NCI-H1048 (D) was determined by MTT assay at 5 days after siRNA treatment (n = 3, \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.005$ ). (si-1; si*EGR4*-1, si-2; si*EGR4*-2). (TIFF)

**Figure S2 Expression of *EGR4*-downstream genes in si*EGR4*-treated SBC-3 cells.** Real-time PCR of *EGR4* and 3 downstream genes (*DLX5*, *SYNPO* and *SAMD5*) in SBC-3 cells treated with siEGFP or si*EGR4* for 48 h (n = 2, \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.005$ ). (TIFF)

**Figure S3 *EGR4*-downstream target genes regulate the cell proliferation of SBC-3 and NCI-H1048 cells.** Effects of the *EGR4* downstream genes on cell proliferation were determined in SBC-3 (A–C) and NCI-H1048 cells (D, E). The left panel shows the real-time PCR results for *EGR4*-downstream genes in siRNA-treated cells (n = 2). The right panel shows results from cell

proliferation analyses as measured by MTT assay (n = 3, \*,  $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.005$ ). (TIFF)

**Figure S4 Overexpression of *EGR4* in lung cancers.** The cases overexpressing *EGR4* (>2 fold compared with the adjacent normal lung) are indicated as black bars in adenocarcinoma (A) and squamous cell carcinoma (SCC) (B). (TIFF)

**Figure S5 Effect of *EGR4* on cell proliferation in PC14PE6 cells.** Knockdown of *EGR4* at the mRNA level was analyzed by real-time PCR (n = 2, \*\* $P < 0.01$ , \*\*\* $P < 0.005$ ). Cell proliferation was determined by an MTT assay at 4 days after siRNA treatment (n = 3, \*\*\* $P < 0.005$ ). (TIFF)

**Table S1 Primer sequences for plasmid construction.** (DOCX)

**Table S2 Primer sequences for real time PCR or RT-PCR.** (DOCX)

**Table S3 Primer sequences for ChIP assay.** (DOCX)

**Table S4 siRNA sequences.** (DOCX)

**Table S5 Putative downstream EGR4 target genes identified by microarray analysis.**  
(DOCX)

## Acknowledgments

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## Author Contributions

Conceived and designed the experiments: TK. Performed the experiments: TM LTD TY MK KD. Analyzed the data: TM LTD TY MK KD. Contributed reagents/materials/analysis tools: SS YN. Wrote the paper: TK TM LTD MK.

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