

Figure 1. The phenotypic differences and clinical relevance based on the expression of CD133 in osteosarcoma cells. **(A):** The frequency of CD133^{high} cell populations in SaOS2 osteosarcoma cell lines based on fluorescence-activated cell sorting analysis. See also Supporting Information Figure S1A. **(B, C):** Sphere-formation assays using freshly isolated CD133^{high} and CD133^{low} SaOS2 cells. The images were captured on day 10 (B), and the ratios of the wells containing spheres (middle) formed from single cells (top) were counted (C). The wells containing the cells that did not form spheres (bottom) were excluded. Scale bar = 50 μ m. Data are presented as mean \pm SD ($n = 4$ per group). *, $p < .05$; Student's t test. **(D):** Drug sensitivity of CD133^{high} and CD133^{low} SaOS2 cells. Cell viability after DOX (0.18 μ M), CDDP (2.5 μ M), or MTX (0.08 μ M) treatment was analyzed. Data are presented as mean \pm SD ($n = 3$ per group). *, $p < .05$; Student's t test. **(E, F):** Invasion assays in CD133^{high} and CD133^{low} SaOS2 cell populations ($n = 3$ per group). The number of invaded cell were photographed (E) and counted (F). Data are presented as mean \pm SD ($n = 3$ per group). **, $p < .01$; Student's t test. Scale bar = 200 μ m. **(G):** Quantitative polymerase chain reaction analysis of stem cell-associated, multiple drug-resistant transporters, and metastasis-associated genes of CD133^{high} and CD133^{low} SaOS2 cell populations. β -Actin was used as an internal control. Data are presented as mean \pm SD ($n = 3$ per group). **(H):** Limiting dilution analysis of CD133^{high} (red circles) and CD133^{low} (green circles) SaOS2-luc cell populations *in vivo*. Both cell populations were injected orthotopically into mice ($n = 4$ per group). The upper figure represents the tumor formation in mice from 1×10^5 cells of CD133^{high} cells. The lower table shows the number of mice that developed tumors from various numbers of CD133^{high} or CD133^{low} cells. The tumor growth from CD133^{high} cells was observed in 0/4 mice at 10^2 cells, 3/4 mice at 10^3 cells, 4/4 mice at 10^4 cells, and 4/4 mice at 10^5 cells, while those from CD133^{low} cells was observed in 0/4 mice at 10^2 cells, 1/4 mice at 10^3 cells, 4/4 mice at 10^4 cells, and 4/4 mice at 10^5 cells. **(I, J):** The Kaplan-Meier curves for overall survival (I; $p = .026$; log-rank test) and disease-free survival (J; $p = .065$; log-rank test) based on the level of CD133 expression in the biopsy specimens from 35 osteosarcoma patients. See also Supporting Information Figure S2A and Table S1. Abbreviations: CDDP, cisplatin; DOX, doxorubicin; MTX, methotrexate.

chemotherapeutic agents that are used against osteosarcoma. The CD133^{high} cells were more resistant to these chemotherapeutics than CD133^{low} cells (Fig. 1D). In addition, CD133^{high} cells showed a higher invasive ability than CD133^{low} cells (Fig. 1E, 1F). Performing qRT-PCR reactions on mRNA from freshly isolated CD133^{high} and CD133^{low} cells revealed that CD133^{high} SaOS2 cells expressed higher levels of *Oct3/4* and *Nanog*, which are essential transcription factors that play critical roles in the self-renewal and pluripotency of embryonic stem cells (Fig. 1G) [15–17]. Meanwhile, the expression levels of the genes that are essential for differentiation, such as *Runx2*, *Osterix*, and *Sox9* [33–36], were lower in CD133^{high} than in CD133^{low} cells (Supporting Information Fig. S1C). In addition, the multidrug resistance transporter genes *ABCB1*, *ABCC2*, and *ABCG2* and the metastasis-associated genes *β4-integrin*, *ezrin*, *MMP-13*, and *CXCR4* [30, 37] were upregulated in CD133^{high} cells relative to CD133^{low} cells (Fig. 1G). Importantly, the CD133^{high} SaOS2 cells showed stronger tumorigenicity *in vivo* than the CD133^{low} SaOS2 cells (Fig. 1H). We identified tumor initiation on the right legs of three in four mice transplanted with 1×10^3 CD133^{high} cells but only one in four mice formed tumor with 1×10^3 CD133^{low} cells on the left legs. To evaluate the clinical importance of CD133 expression, cell lines established from fresh human osteosarcoma biopsies were analyzed by flow cytometry, and these cell lines contained a low proportion (< 10%) of CD133^{high} cells (Supporting Information Fig. S1B). Furthermore, a clinical study of 35 osteosarcoma patients revealed that high expression levels of CD133 mRNA were associated with significantly worse survival rates among osteosarcoma patients (Fig. 1I, 1J; Supporting Information Figure S2A). In this study, all biopsy samples from patients who developed lung metastasis at first diagnosis represented high expression level of CD133 ($p = .045$; Supporting Information Table S1), suggesting that the expression of CD133 closely correlate with osteosarcoma metastasis. Collectively, the osteosarcoma CD133^{high} cell population possessed highly malignant phenotypes, and the expression of CD133 revealed a prognostic value of osteosarcoma patients.

MiR-133a Functions as a Key Regulator of Malignant Phenotypes in Osteosarcoma

Following the confirmation of the malignant phenotypes in the osteosarcoma CD133^{high} population, we further characterized the molecular mechanisms underlying these phenotypes. We focused on miRNAs because of their ability to simultaneously regulate multiple pathways responsible for the malignant phenotypes by targeting multiple genes. miRNAs are small, regulatory RNA molecules that modulate the post-transcriptional expression of their target genes and play important roles in a variety of physiological and pathological processes, including tumor biology [23, 25, 38]. miRNA expression profiling has become a useful diagnostic and prognostic tool, and many studies have indicated that miRNAs act as either oncogenes or tumor suppressors [38]. In our miRNA microarray analysis of isolated CD133^{high} and CD133^{low} cells using 866 sequence-validated human miRNAs, we identified 20 miRNAs that were upregulated in CD133^{high} cells and additional qRT-PCR analysis demonstrated that the expression levels of miR-1 and miR-10b, together with miR-133a, which represents the “miR-1 cluster” transcribed from

adjacent miR-1 genes, were consistent with the microarray data (Fig. 2A; Supporting Information Fig. S3A, Table S8). Indeed, miR-1 and miR-133a are physically linked, and both the miR-1-1/miR-133a-2 (chromosome 20q13.33) as well as miR-1-2/miR-133a-1 clusters (chromosome 18q11.2) are present. miR-10b is embedded in the *HOX* gene cluster and maps between the *HOXD3* and *HOXD4* genes on chromosome 2q31. Since miR-10a and miR-133b would presumably be functionally redundant to miR-10b and miR-133b, respectively, we also confirmed that miR-133b, but not miR-10a, was upregulated in CD133^{high} cell population (Supporting Information Fig. S3B).

To determine whether these miRNAs could regulate the malignant phenotypes of osteosarcoma, we manipulated the expression levels of miR-1, 10b, and 133a in CD133^{low} cells (Supporting Information Fig. S4A). These miRNAs, especially miR-133a, enhanced the invasiveness of CD133^{low} cells compared with control oligos (Fig. 2B, 2C). Interestingly, the combined transfection of all of these miRNAs enhanced the invasiveness of CD133^{low} cells to the greatest extent (Fig. 2C). However, the transfection of miR-133a did not increase the mRNA level of CD133 (Supporting Information Fig. S4B), suggesting that miR-133a does not affect the expression of the molecules upstream of CD133. These results indicated that miR-133a simultaneously regulate several molecular pathways that are associated with cell invasion of the malignant cell population within osteosarcoma. In our experiment using fresh clinical samples, miR-133a expression was also high in the CD133^{high} fraction of osteosarcoma biopsies (Fig. 2D). Surprisingly, a clinical study based on qRT-PCR using clinical FFPE samples revealed that the high expression of miR-133a closely correlated with a poor prognosis of osteosarcoma patients (log-rank test, $p = .032$ for overall survival, $p = .081$ for disease-free survival; Fig. 2E, 2F; Supporting Information Fig. S2B, Table S2).

Silencing of MiR-133a Inhibits the Cell Invasion of CD133^{high} Osteosarcoma Cell Population

To evaluate whether silencing of miR-133a show the therapeutic effect on osteosarcoma cells, we manipulated the expression of miR-133a by introducing LNAs. LNAs are a class of nucleic acid analogs that possess a very high affinity and excellent specificity toward complementary DNA and RNA, and LNA oligonucleotides have been applied as antisense molecules both *in vitro* and *in vivo* [39–41]. The SaOS2 CD133^{high} cell population was isolated by cell sorting and was then transfected with LNA-antimiR-133a (LNA-133a) and LNA-NC. As a control, the isolated SaOS2 CD133^{low} cell population was also transfected with LNA-NC. Prior to functional assay, we confirmed the efficacy of LNA-133a using both qRT-PCR analysis and a sensor vector which allowed us to measure the suppressive effect of LNA by luciferase assay (Supporting Information Fig. S5A–S5D). We observed that the LNA-133a-treated SaOS2 CD133^{high} cells demonstrated decreased invasiveness relative to LNA-NC-treated CD133^{high} cells, whereas there was no significant difference of cell proliferation between the two populations (Fig. 2G, 2H; Supporting Information Fig. S5E). These observations suggest that silencing of miR-133a in CD133^{high} cells could reduce the cell invasion of the malignant cell population within osteosarcoma tissue.

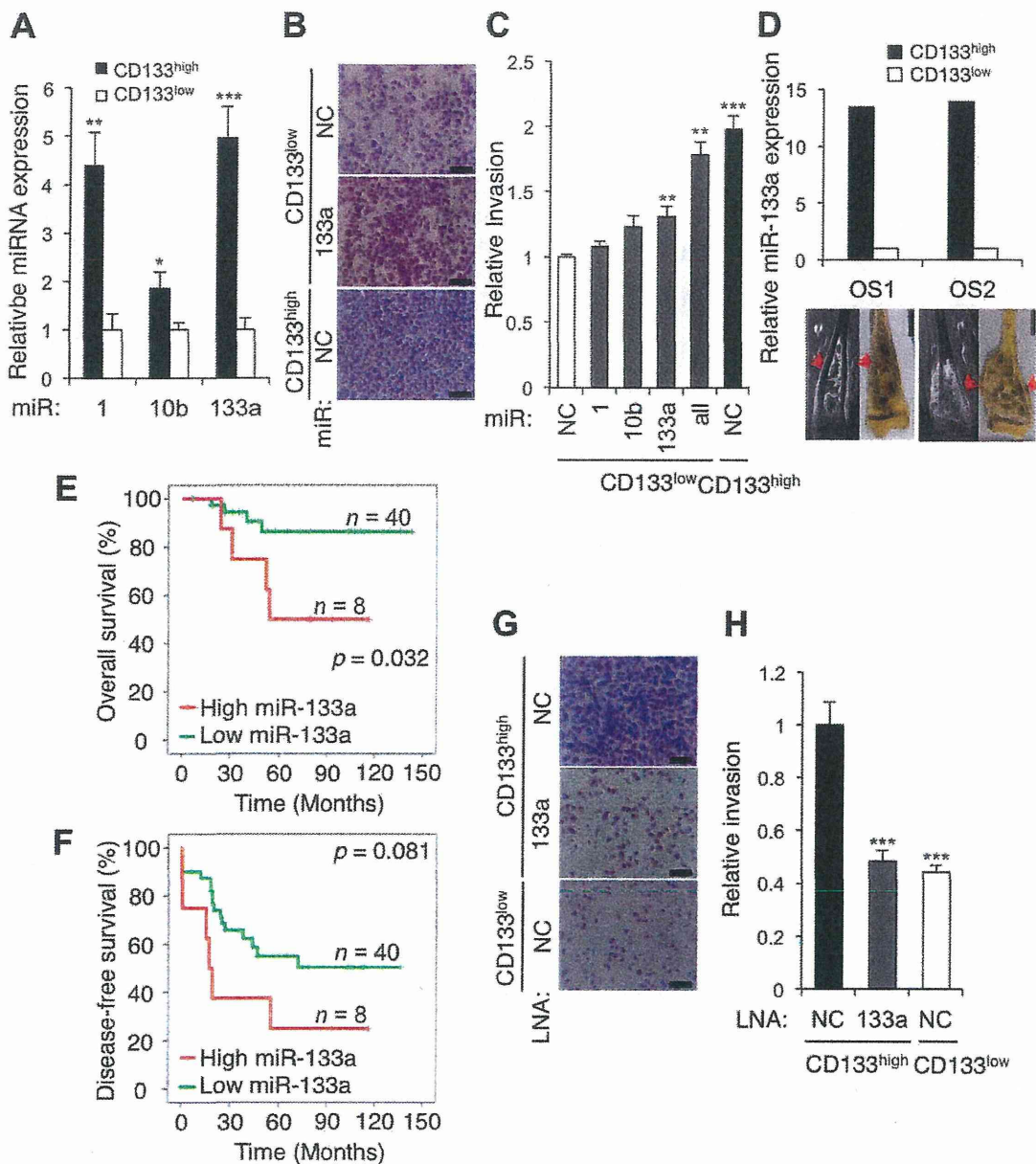


Figure 2. MiR-133a regulates cell invasion of tumor-initiating cell population within osteosarcoma and represents prognostic value. (A): The upregulated expression levels of miR-1, 10b, and 133a in CD133^{high} cell population. Data are presented as mean \pm SD ($n = 3$ per group). *, $p < .05$; **, $p < .01$; ***, $p < .001$; Student's t test. (B, C): Invasion assays in purified CD133^{low} SaOS2 cells transfected with miR-1, 10b, and 133a oligos. CD133^{low} SaOS2 cell populations were transfected with miR-1, 10b, 133a, or NC mimics at a final concentration of 30 nM. At the time periods of 24 hours post-transfection, cells were seeded and cultured on the invasion chamber for 36 hours. The number of invaded cell were photographed (B) and counted (C). Scale bar = 200 μ m. Data are presented as mean \pm SD ($n = 3$ per group). **, $p < .01$; ***, $p < .001$, calculated with one-way ANOVA with Bonferroni's multiple comparison when compared with the CD133^{low} cell population treated with miR-NC. (D): The expression level of miR-133a in CD133^{high} and CD133^{low} populations of freshly resected patient biopsies. (E, F): The Kaplan-Meier curves for overall survival (E) and disease-free survival (F) based on the levels of miR-133a expression in 48 formalin-fixed paraffin-embedded tissues from osteosarcoma biopsy specimens, as determined using quantitative reverse transcriptase polymerase chain reaction. The overall survival rate ($p = .032$; log-rank test) and disease-free survival rate ($p = .081$; log-rank test) for osteosarcoma patients with high miR-133a expression (red line) were compared with those for patients with low miR-133a expression (green line). See also Supporting Information Figure S2B and Table S2. (G, H): Invasion assays in LNA-133a-treated SaOS2 CD133^{high} and CD133^{low} cell populations were isolated and transfected with LNA-133a or LNA-NC to reduce the expression of miR-133a in the CD133^{high} cell population. As a control, CD133^{low} cell populations were transfected with LNA-NC. At the time periods of 24 hours post-transfection, cells were seeded and cultured on the invasion chamber for 36 hours. The number of invaded cell were photographed (G) and counted (H). Scale bar = 200 μ m. Data are presented as mean \pm SD ($n = 3$ per group). ***, $p < .001$, calculated with one-way ANOVA with Bonferroni's multiple comparison when compared with the CD133^{high} cell populations treated with LNA-NC. Abbreviations: LNA, locked nucleic acid; NC, negative control.

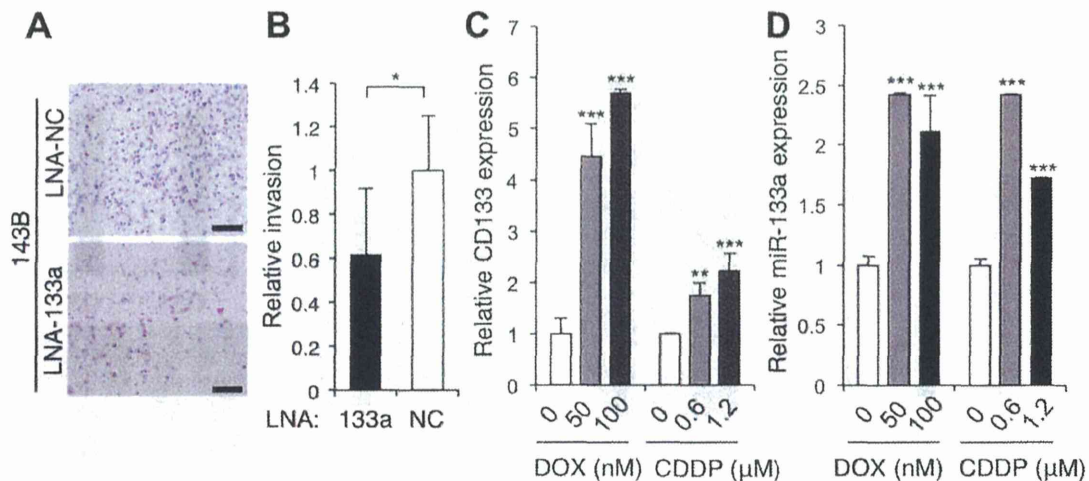


Figure 3. Chemotherapy induces the expression of miR-133a in highly malignant osteosarcoma 143B cells. **(A, B):** Invasion assay in highly metastatic osteosarcoma 143B cells treated with LNA-133a and NC. At the time periods of 24 hours post-transfection, cells were seeded and cultured on the invasion chamber for 24 hours. The number of invaded cells were photographed (A) and counted (B). Scale bar = 200 μ m. Data are presented as mean \pm SD ($n = 3$ per group). *, $p < .05$; Student's t test. **(C):** The induced expression of CD133 in 143B cells in the presence of chemotherapeutics (DOX and CDDP, 48 hours). Data are presented as mean \pm SD ($n = 3$ per group). **, $p < .01$; ***, $p < .001$, calculated with one-way ANOVA with Bonferroni's multiple comparison when compared with untreated cells. **(D):** The induced expression of miR-133a in 143B cells in the presence of chemotherapeutics (DOX and CDDP, 48 hours). Data are presented as mean \pm SD ($n = 3$ per group). ***, $p < .001$, calculated with one-way ANOVA with Bonferroni's multiple comparison when compared with untreated cells. Abbreviations: CDDP, cisplatin; DOX, doxorubicin; LNA, locked nucleic acid; NC, negative control.

The Expression Levels of MiR-133a in Osteosarcoma Cells Are Enhanced by Chemotherapy

Next, we validated the efficacy of LNA-133a on highly malignant metastatic osteosarcoma 143B cells, since SaOS2 cells represent low metastatic ability *in vivo* [42, 43]. Meanwhile, we needed to evaluate the efficacy of LNA on "bulk" 143B cells, assuming clinical situations. As a result, LNA-133a reduced the invasiveness of 143B cells (Fig. 3A, 3B) but did not influence cell proliferation (Supporting Information Fig. 5F). Since recent study has indicated a novel mechanism of chemotherapy-induced tumor progression via expansion of TIC population [44], the expression levels of CD133 and the related miR-133a within cells treated with or without chemotherapeutics were analyzed. As a result, we observed that the expression levels of miR-133a, together with CD133, were enhanced by chemotherapy. qRT-PCR analysis revealed that DOX-treated or CDDP-treated (2 days) 143B cells expressed higher levels of CD133 and miR-133a compared with untreated 143B cells (Fig. 3C, 3D). Therefore, silencing of miR-133a before or during chemotherapy may prevent the increased expression of miR-133a, which enhanced the malignant phenotypes and was induced by chemotherapeutics.

Therapeutic Administration of LNA-133a with Chemotherapy Inhibits Spontaneous Lung Metastasis and Prolongs the Survival of Osteosarcoma-Bearing Mice

To extend our *in vitro* findings and to determine whether silencing of miR-133a could be an effective therapeutic option for osteosarcomas, we next examined the effect of LNA-133a on a spontaneous lung metastasis model of osteosarcoma. Experimentally, 1.5×10^6 143B cells transfected with the firefly luciferase gene (143B-luc) were implanted orthotopically

into the right proximal tibia of athymic nude mice. The implanted tumor growth and the presence of distant metastases were analyzed weekly for luciferase bioluminescence using an *in vivo* imaging system. We used a new treatment protocol (Fig. 4A) with the intravenous (i.v.) administration of LNA-133a (10 mg/kg) 24 hours before intraperitoneal (i.p.) injection of CDDP (3.5 mg/kg) to prevent the induction of malignant phenotypes by chemotherapy, which were indicated in the *in vitro* experiments. Prior to conducting these animal studies, we confirmed that miR-133a levels were reduced in osteosarcoma tissues from LNA-133a-treated mice compared with control mice (Supporting Information Fig. S6A, S6B). To assess the efficacy of our protocol, the results were compared with the results obtained for the following four control groups ($n = 10$ per group): the control saline followed by control saline group, the LNA-NC followed by control saline group, the LNA-133a followed by control saline group, and the LNA-NC followed by CDDP group. After implantation of the 143B-luc cells, five mice within each one cage were sacrificed at 3 weeks after evaluating lung metastasis by *in vivo* imaging and validated for lung metastasis formation by additional *in vivo* imaging and histological examination of the lung, whereas the other five mice in the other cage were evaluated for survival periods. The results demonstrated that the tumor expression levels of miR-133a were decreased in the presence of LNA-133a (Fig. 4B). Although tumor growth at the primary site was significantly reduced in CDDP-treated group, we identified no significant difference between LNA-133a-CDDP-treated mice and LNA-NC-CDDP-treated mice (Fig. 4C, 4D). We observed lung metastases on day 22 in nine (90%) saline-saline-treated mice, eight (80%) LNA-NC-saline-treated mice, seven (70%) LNA-133a-saline-treated mice, eight (80%) LNA-NC-CDDP-treated mice, and three (30%) LNA-133a-CDDP-

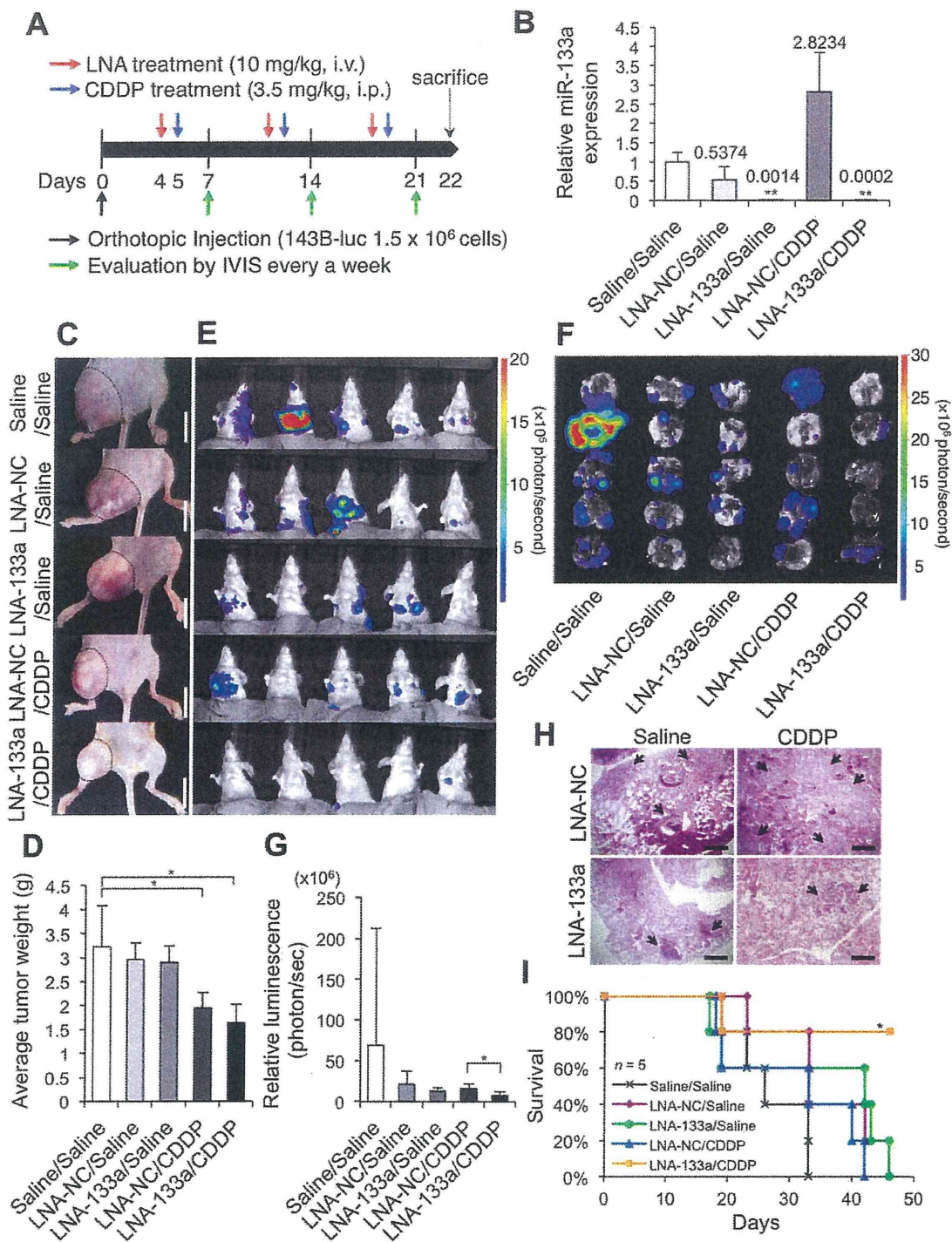


Figure 4. Therapeutic administration of LNA-133a with systemic chemotherapy inhibits osteosarcoma progression *in vivo*. **(A)**: A schematic representation of the LNA-133a (red arrow) and CDDP (blue arrow) administration schedule for 143B-luc-bearing mice. **(B)**: The expression levels of miR-133a in osteosarcoma tissues ($n = 5$ per group) analyzed by quantitative reverse transcriptase polymerase chain reaction. The tumors were obtained during autopsy after completion of treatment on day 22. Data are presented as mean \pm SD ($n = 5$ per group). **, $p < .01$, as compared to control saline-treated group; Student's t test. **(C, D)**: Tumors at the primary site of each treatment group measured on day 22. The macroscopic appearances of 143B-luc tumors in each group of mice on day 22 are shown (C). The tumor masses outlined by a dotted line. Scale bar = 10 mm. The 143B-luc tumors from each group of mice were weighed on day 22 (D). Data are presented as mean \pm SD ($n = 5$ per group). *, $p < .05$, as compared to control saline-treated group; Student's t test. **(E-G)**: The lung metastases of each treatment group measured on day 22 using an IVIS. The representative luminescence of the chest regions in each group of mice was determined (E). For each mouse that was sacrificed to validate the lung metastases, each lung was re-evaluated using IVIS (F). The representative average luminescence of the chest region in each group of mice ($n = 10$) was compared among the treatment groups (G). Data are presented as mean \pm SD ($n = 5$ per group). *, $p < .05$, as compared with LNA-NC/CDDP and LNA-133a/CDDP group; Student's t test. **(H)**: Lung metastases validated by H&E staining. Black arrow represents metastatic foci in the lung. Scale bars = 500 μ m. **(I)**: Survival curves for each group of mice by Kaplan-Meier analysis. Log-rank test was performed between LNA-NC/CDDP group (blue line) and LNA-133a/CDDP group (red line) (*, $p = .026$). Abbreviations: CDDP, cisplatin; IVIS, *in vivo* imaging system; LNA, locked nucleic acid; NC, negative control.

treated mice ($n = 10$; Fig. 4E, 4F). We observed the decreased signal intensity in the chest regions of LNA-133a-CDDP-treated mice compared to those of LNA-NC-CDDP-treated mice (Fig. 4G). Both the number and size of lung metastases were validated by histopathological examination (Fig. 4H). We found low cell concentration in lung metastatic foci of CDDP-treated groups, indicating therapeutic effect of chemotherapy, and identified smallest number of osteosarcoma metastatic foci in the lung of LNA-133a-CDDP-treated mice. Furthermore, LNA-133a-CDDP-treated mice showed longest survival periods among the five groups in Kaplan-Meier analysis (log-rank test, $p = .026$; Fig. 4I). Despite the conserved sequence of mature hsa-miR-133a and mmu-miR-133a (Supporting Information Fig. S7A), all mice exhibited minimal toxic effects on various tissues, including the heart, liver, skeletal muscle, and blood test, during the observation period (Supporting Information Fig. S7B–S7H, S8A–S8I). Thus, systemic administration of LNA-133a was effective for the suppression of lung metastases in a xenograft model of a highly metastatic osteosarcoma in the presence of CDDP.

Multiple Target Genes of MiR-133a Function as Regulators of Cell Invasion and Closely Correlate with Clinical Behavior of Osteosarcoma

We demonstrated that miR-133a regulated the malignancy of CD133^{high} osteosarcoma cell population and that silencing of miR-133a expression with chemotherapeutics inhibited the osteosarcoma metastasis *in vivo*. Next, to understand the molecular mechanism regulated by miR-133a in the tumor-initiating population, we performed mRNA expression profiling using two different microarray analyses together with *in silico* predictions (Supporting Information Fig. S9A). We detected 1,812 genes that were downregulated by at least 1.2-fold in the first microarray analysis, which was performed from total RNA collected from SaOS2 CD133^{low} cells transduced with miR-133a or NC. Furthermore, 4,976 genes were upregulated by at least 2-fold in the second microarray analysis of mRNA expression using RNA collected using anti-Argonaute 2 antibody immunoprecipitation (Ago2 IP) in CD133^{low} cells transduced with miR-133a or NC. Subsequently, 226 genes were collected using both methods, and 20 genes were identified in TargetScanHuman 6.0, a publicly available *in silico* database (Fig. 5A). Overall, 10 putative miR-133a target genes were selected from these combined data, and we reduced the expression of these molecules using an siRNA-induced gene knockdown system to investigate whether these candidates are functionally important targets of miR-133a in osteosarcoma cells. As a result, the knockdown of four genes (*SGMS2*, *UBA2*, *SNX30*, and *ANXA2*) enhanced the invasiveness of CD133^{low} SaOS2 cells (Fig. 5B). To validate whether these molecules are regulated by miR-133a, we cloned the 3' UTR fragment (Fig. 5C) containing the putative miR-133a binding sites downstream of a luciferase coding sequence and performed cotransfection of the luciferase reporter and miR-133a oligos in SaOS2 cells. Luciferase activity levels were reduced by approximately 36%–55% in the cells cotransfected with miR-133a compared with the cells cotransfected with the NC oligo (Fig. 5D). Consequently, *SGMS2*, *UBA2*, *SNX30*, and *ANXA2* functioned as direct targets of miR-133a. Indeed, these molecules have been suggested to have antitumor function in the other types of tumors [45–47]. Among them, *ANXA2* is down-

regulated in osteosarcoma metastases compared to primary site [48]. The expression levels of these targets were decreased in CD133^{high} cells (Supporting Information Fig. S9B) and reduced via miR-133a upregulation in CD133^{low} cells (Supporting Information Fig. S9C). The increased expression levels of the targets after silencing of miR-133a were confirmed by immunohistochemistry of LNA-treated tumors and qRT-PCR (Fig. 5E, 5F; Supporting Information Fig. S9D). Taken together, LNA-133a was found to inhibit cell invasion of the malignant cell population of osteosarcoma through multiple molecular pathways. Finally, we observed a strikingly close correlation between these mRNA expression levels of the miR-133a targets and osteosarcoma patient prognosis (Fig. 6A–6D). Patients with higher expression levels of these targets significantly survived longer than those with lower expression levels. These results would support the importance of regulating the expression of miR-133a during current osteosarcoma treatment, providing insight into the development of more effective therapies against osteosarcoma.

DISCUSSION

Cancer researchers today are confronted with how to overcome the natural resistance and the acquired resistance of cancer cells within tissue, despite the many cancer treatment options. The CSC or TIC hypothesis has been an attractive model to account for the functional heterogeneity that is commonly observed in solid tumors [7]. To characterize and eliminate the malignant cells in cancers that follow this model, it has been necessary to focus on the small subpopulations of tumorigenic cells [49]. Tremendous efforts and evidence have accumulated to identify these subpopulations [13–18, 20, 21]. However, these markers are generally difficult to be targeted because of their distribution on the normal stem cells. For example, targeting CD133 seems unsafe because this cell-surface protein is primarily expressed in stem and progenitor cells [50] such as the embryonic epithelium [51], brain stem cells [52], and hematopoietic stem cells [32, 53]. Therefore, the molecular mechanisms underlying the malignant phenotypes must be elucidated to avoid toxicities, which have not been fully accomplished. On the basis of our results, we propose novel therapeutic strategies, beyond the use of traditional antiproliferative agents, for suppression of the highly malignant cell population within osteosarcoma using RNAi therapeutics, which is expected to be the “next-generation” anticancer strategy. Subsequently, we present four novel discoveries that were identified in a preclinical trial of novel therapeutic strategies against osteosarcoma.

First, we identified human miR-133a as a key regulator of the malignant tumor-initiating phenotypes of osteosarcoma. The other miRNAs that might regulate these phenotypes included miR-1 and miR-10b. The human miRNA hsa-miR-10b is also positively associated with high-grade malignancies, including breast cancer [54, 55], pancreatic adenocarcinomas [56], and glioblastomas [57]. However, the importance of miR-10b in sarcoma development has not been previously reported. In our experiment, miR-10b regulated, less than miR-133a, the cell invasion of osteosarcoma. The human miRNAs hsa-miR-1 and hsa-miR-133a are located on the same chromosomal region in a so-called cluster. We found that miR-

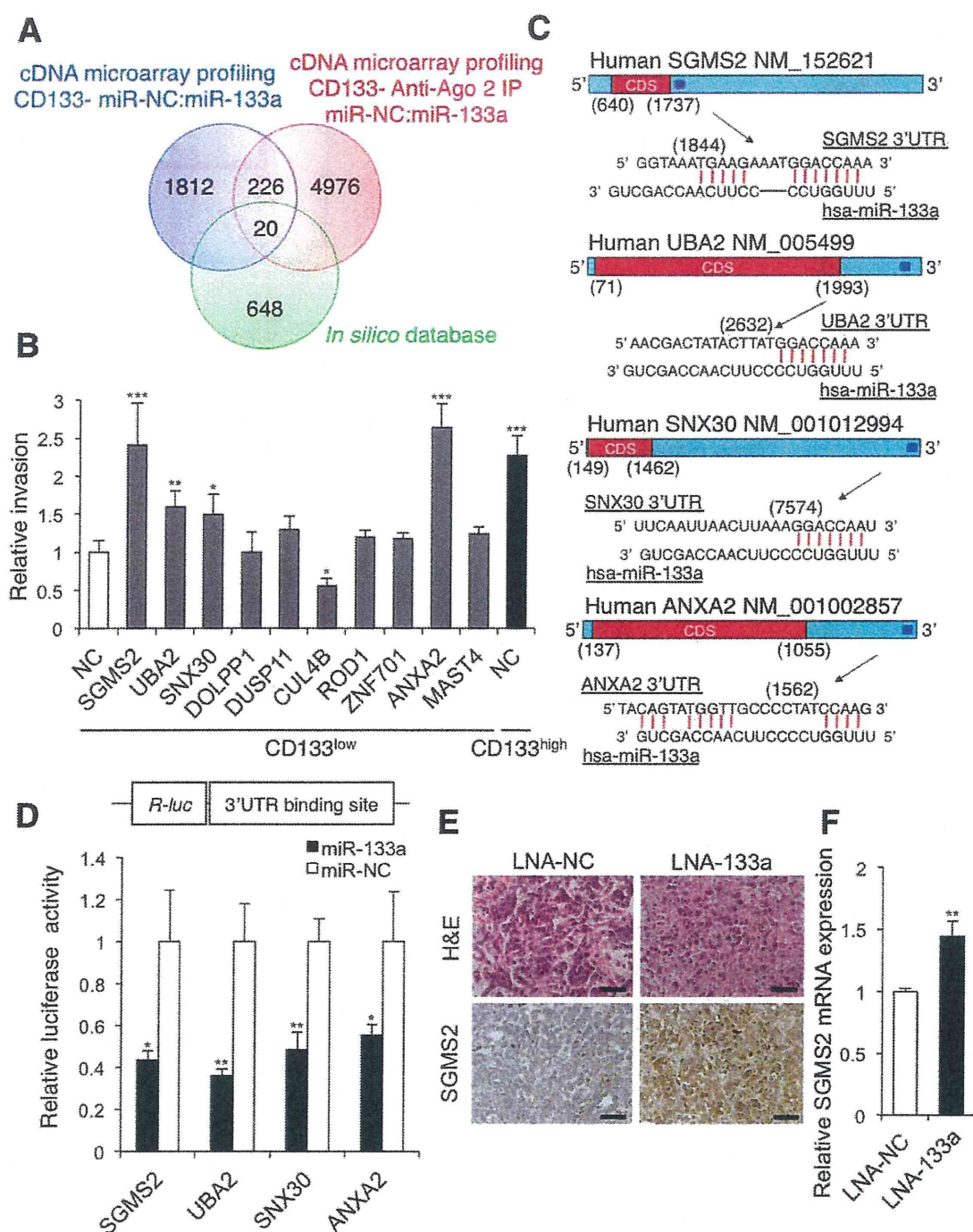


Figure 5. The direct target genes of miR-133a regulate malignant phenotypes of osteosarcoma. **(A):** A Venn diagram of the candidate target mRNAs of miR-133a based on the cDNA microarrays and *in silico* database. **(B):** Invasion assays performed using SaOS2 cells 24 hours post-transfection of the 10 siRNAs. CD133^{high} and CD133^{low} SaOS2 cell populations were isolated using flow cytometry and transfected with 10 siRNAs against the identified genes in **(A)**. Data are presented as mean \pm SD ($n = 3$ per group). *, $p < .05$; **, $p < .01$; ***, $p < .001$, calculated with one-way ANOVA with Bonferroni's multiple comparison when compared with the CD133^{low} cells transfected with nontargeting siRNA. **(C):** Schematics of the miR-133a binding site within the 3' UTR of the target mRNAs. **(D):** Luciferase activities measured by cotransfecting miR-133a oligos and the luciferase reporters. Data are presented as mean \pm SD ($n = 3$ per group). *, $p < .05$; **, $p < .01$; Student's *t* test. **(E, F):** Representative SGMS2 immunohistochemistry images of 143B-luc tumor sections **(E)** and the relative SGMS2 expression of 143B-luc tumor sections performed by quantitative reverse transcriptase polymerase chain reaction analysis **(F)**. Scale bars = 50 μ m. Data are presented as mean \pm SD ($n = 3$ per group). **, $p < .01$; Student's *t* test. Abbreviations: ANXA2, annexin A2; IP, immunoprecipitation; LNA, locked nucleic acid; NC, negative control; SGMS2, sphingomyelin synthase 2; SNX30, sorting nexin family member 30; UTR, untranslated region; UBA2, ubiquitin-like modifier activating enzyme 2.

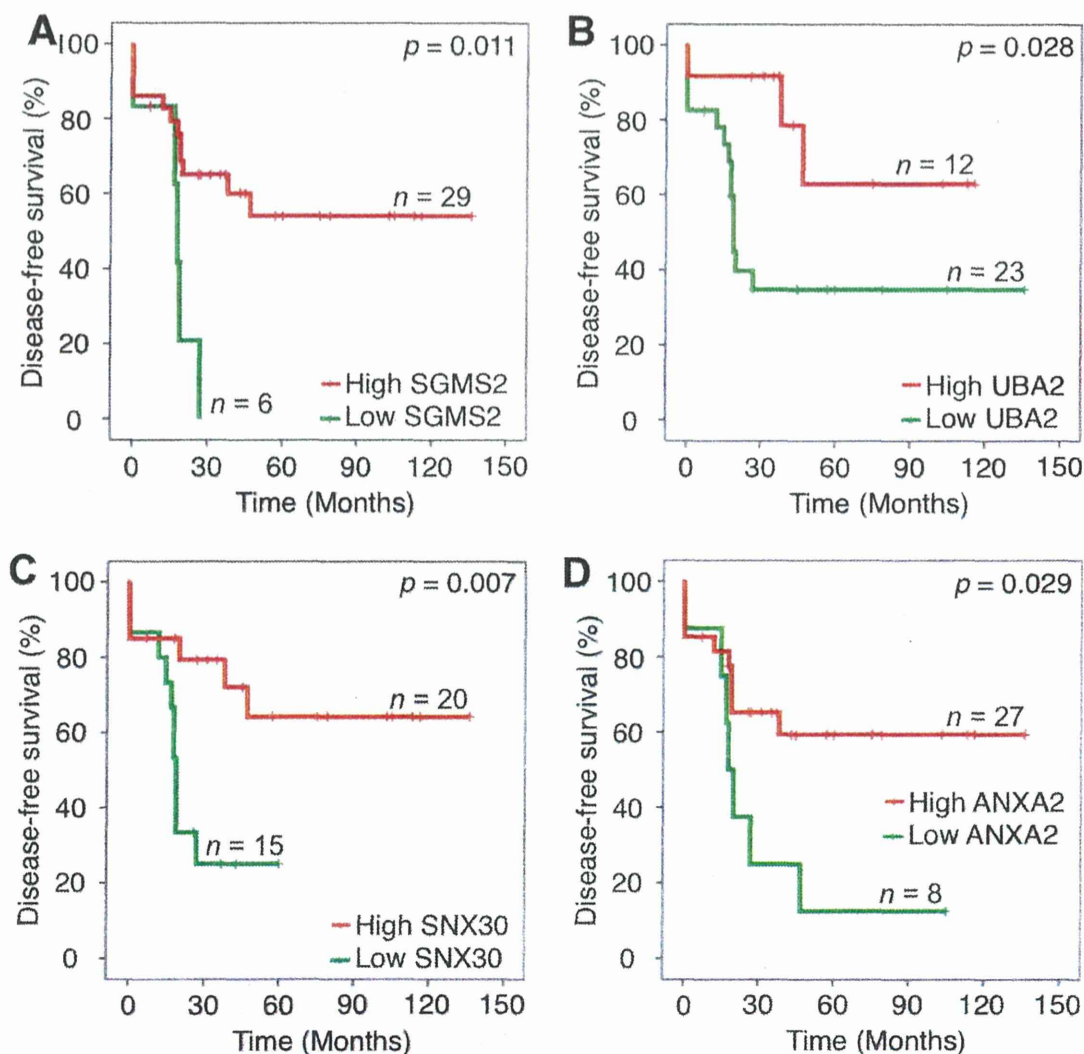


Figure 6. The low expression levels of miR-133a target genes correlate with poor survival of osteosarcoma patients. (A–D): Kaplan-Meier survival curves of disease-free survival according to the expression levels of the miR-133a target genes including *SGMS2* (A), *UBA2* (B), *SNX30* (C), *ANXA2* (D) in 35 patient biopsy samples. The optimal cutoff points were determined by the Youden index under the receiver-operating characteristic curve. The statistical significance of differences were determined by the log-rank test. Abbreviations: ANXA2, annexin A2; SGMS2, sphingomyelin synthase 2; SNX30, sorting nexin family member 30; UTR, untranslated region; UBA2, ubiquitin-like modifier activating enzyme 2.

1 showed only a little efficacy on invasiveness in osteosarcoma cells. The most important miRNA that could regulate the multiple phenotypes of osteosarcoma-initiating cells was miR-133a. Although miR-1 and miR-133a correlate with the proliferation of muscle progenitor cells and promote myogenesis [58], their importance in muscle physiology and disease remains unclear [59]. Indeed, miR-133a may be dispensable for the normal development and function of skeletal muscle because skeletal muscle development and function appears unaffected in miR-133a transgenic mice [59]. In this study, silencing of miR-133a had no toxic effect on muscle, including heart and skeletal muscle *in vivo* (Supporting Information Fig. S7E–S7G). Because the upregulation of miR-133a in osteosarcoma cells did not regulate the expression levels of CD133,

we determined that it regulated multiple pathways that are not upstream of CD133 expression. Since the inducible factors of CD133 in osteosarcoma have not been cleared, further investigation of the relationship between the tumor microenvironment and CD133 might be warranted. Indeed, the activation of the hypoxia signaling pathway, for example, has been reported to trigger many pathways important for stem cell maintenance [60–62].

Second, we determined the efficacy of LNA technology, an antisense miRNA inhibitor oligonucleotide, as therapeutics against solid cancer. To date, the efficacy of LNAs against human disease has been reported in hepatitis and lymphoma. For example, LNA-anti-miR-122 (Miravirsin, Santaris Pharma, San Diego, CA) effectively treats chimpanzees infected with

hepatitis C virus without any observable resistance or physiological side effects [63]. This treatment has advanced to phase II clinical trials, which emphasizes the strengths of LNA-mediated miR-122 silencing, including high efficacy and good tolerability without adverse effects [64]. The other report represents the preclinical trial of LNA-mediated miR-155 silencing against low-grade B-cell lymphoma [65]. Therefore, our preclinical study contributes to the broad application of LNA treatment including solid tumors. While an effective drug delivery system has been the most challenging remaining consideration for the successful translation of RNAi to the clinic for broad use in patients, the systemic administration of LNA-133a did not need assistance of drug delivery system to decrease the expression of miR-133a. These results are consistent with the results of the trial of LNA against HCV infection, in which the LNA was injected via subcutaneous injection. This preclinical trial will not only provide a novel treatment strategy against osteosarcoma but will also support a wide range of LNA applications against cancers that require the silencing of specific miRNAs.

Third, the multiple targets of miR-133a were identified to have antitumor functions against osteosarcoma with clinical relevance. Using an siRNA-induced gene knockdown system and a 3' UTR luciferase reporter assay, we identified *SGMS2*, *UBA2*, *SNX30*, and *ANXA2* as novel antitumor molecules of osteosarcoma. Some of these molecules have been reported their association with other cancers but not for osteosarcoma. *SGMS2*, located on 4q25, is an enzyme that catalyzes the conversion of phosphatidylcholine and ceramide to sphingomyelin and diacylglycerol [66]. The specific activation of *SGMS2* explains the ability of this gene to trigger cell cycle arrest, cell differentiation, and autophagy or apoptosis in cancer cells [47]. *UBA2*, located on 19q12, forms a heterodimer that functions as a small ubiquitin-like modifier (SUMO)-activating enzyme for the sumoylation of proteins [67]. Conjugating SUMO-1, one of the four SUMO isoforms, to wild-type p53 increases the transactivation ability of p53 [45]. *SNX30*, located on 9q32, may mediate membrane association either through the lipid-binding PX domain (a phospholipid-binding motif) or protein-protein interactions. Although *SNX30* has not been well studied in cancer, loss of *SNX1*, one of the *SNX* families, plays a significant role in the development and aggressiveness of human colon cancer, at least partially through increased signaling from the endosomes [46]. In this study, we found correlations between the expression of *SGMS2*, *UBA2*, and *SNX30* and osteosarcoma cell invasion, as well as a close correlation with the prognosis of osteosarcoma patients. *ANXA2*, located on 15q22, belongs to a large family of diverse proteins that are characterized by conserved annexin repeat domains and the ability to bind negatively charged phospholipids in a calcium-dependent manner [68]. The expression levels of *ANXA2* are decreased in a subset of human OS metastases and metastatic lines [69], but the actual role of *ANXA2* in suppressing OS metastasis has remained unclear [37], which was identified as a regulator of osteosarcoma cell invasion. In this study, we were unable to identify the target genes of miR-133a that were involved in cellular proliferation, which is a general characteristic of TICs. This result may provide one explanation for why the difference in the proliferation rate of the CD133^{high} and CD133^{low} cell populations was rel-

atively small. Another reason for this difference may have been heterogeneity even within the CD133^{high} cell population. Further investigation of additional markers might shed further light on the mechanisms underlying these phenotypes.

The most interesting and surprising results were the close correlations between the clinical behaviors of osteosarcoma and the expression of the factors associated with malignant tumor-initiating phenotypes, including CD133, miR-133a, and the target genes of miR-133a. These results support the importance of silencing of miR-133a during osteosarcoma treatment. Indeed, the target molecules of miR-133a were found to be significant and novel prognostic factors for osteosarcoma patients. Further analyses of these factors, including *SGMS2*, *UBA2*, and *SNX30*, would allow a better understanding of the molecular mechanisms that regulate osteosarcoma progression.

Overall, our study represents a novel approach for the use of RNAi therapeutics against the lethal phenotype of osteosarcoma. To the best of our knowledge, this study is the first preclinical trial of RNAi therapy overcoming the sarcoma malignancy. We found that miR-133a, which was induced by chemotherapy treatment, is a key regulator of cell invasion of the malignant cell population within osteosarcoma. In a preclinical *in vivo* experiment, systemic administration of LNA-133a with chemotherapy suppressed the osteosarcoma metastasis via the multiple pathways without any significant toxicity. Silencing of miR-133a may therefore represent a novel therapeutic strategy against osteosarcoma, which would lead to an improvement in the prognosis of osteosarcoma patients.

CONCLUSION

Silencing of miR-133a reduced the malignancy of CD133^{high} osteosarcoma-initiating cell population through restoring the expression of multiple target genes. Systemic administration of LNA-133a with CDDP reduced lung metastasis and prolonged the survival of osteosarcoma-bearing mice. A clinical study revealed that high miR-133a expression levels within the patient biopsy specimens were significantly correlated with poor prognosis, providing the importance of regulating miR-133a levels in osteosarcoma for more efficient therapy in future.

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AUTHOR CONTRIBUTIONS

T.F.: performed the experimental work, data analysis, and writing of the draft of the manuscript; T.K., K.H., and Y.Y.:

provided the technical skills for the *in vitro* assay; N.K. and R.T.: participated in the conception, design, and coordination of the study; F.T.: provided the technical skills for the *in vivo* LNA delivery; D.K., I.K., A.Y., and E.K.: provided osteosarcoma biopsy samples and their information; H.I.: provided osteosarcoma cell lines from clinical samples resected at the National Cancer Center Hospital of Japan. A.K. and T.O.: initiated the

project and provided helpful discussion. The manuscript was finalized by T.O. with the assistance of all authors.

DISCLOSURE OF POTENTIAL CONFLICTS OF INTEREST

The authors indicate no potential conflicts of interest.

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