

previously [6,7], we also found mutations in the *CREBBP* (2 cases), *EP300* (2 cases), Ephrin family (19 cases in 10 genes), and FLT family (7 cases in 3 genes) genes with low frequencies (<10%). Therefore, it is absolutely necessary to analyze **a larger** number of SCLCs to define the prevalence and clinical significance of their mutations. However, it is difficult to collect SCLC samples for molecular analysis, the present results will be highly informative to further define genes targetable for therapy of SCLC patients.

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Figure legends

Figure 1. Numbers of genes frequently mutated in SCLC: Comparison between this study and two previous studies (a, ref 6; b, ref 7). Genes with mutation frequencies $\geq 10\%$ of cases were **selected** in each study. Then, similarities and differences of genes **selected** were compared among three studies.

Figure 2. Expression of mutated alleles in significantly or frequently mutated genes in SCLC. Twenty tumors from 19 patients were analyzed by both exome sequencing and RNA sequencing. Types of mutations detected in tumors by exome sequencing are indicated by colored boxes, and expression of mutated alleles validated by RNA sequencing (RNA-seq validated) is indicated by black open squares.

Figure 3. Number of genes mutated in early and late stages of SCLCs. (A) Comparison of mutated genes between stage I tumors and stage II-IV tumors. (B) Comparison of mutated genes between primary tumors and metastases. Mutation status of three significantly mutated genes and 8 frequently mutated and expressed genes are indicated in the boxes.

Figure 4. Heterogeneity in the accumulated mutations among multiple tumors in a single SCLC patient. The primary tumor (1591T), a hilar lymph node metastasis (1592M), a **liver** metastasis (1594M), and a para-aortic lymph node metastasis (1595M) from a single patient were analyzed for accumulated mutations by exome sequencing. Numbers in each region indicate the numbers of genes with mutations detected in tumors. Mutation status of one of significantly mutated gene, *TP53*, and three of frequently mutated and expressed genes, *TMEM132D*, *SPTA1* and *FBN3*, are indicated in the boxes.

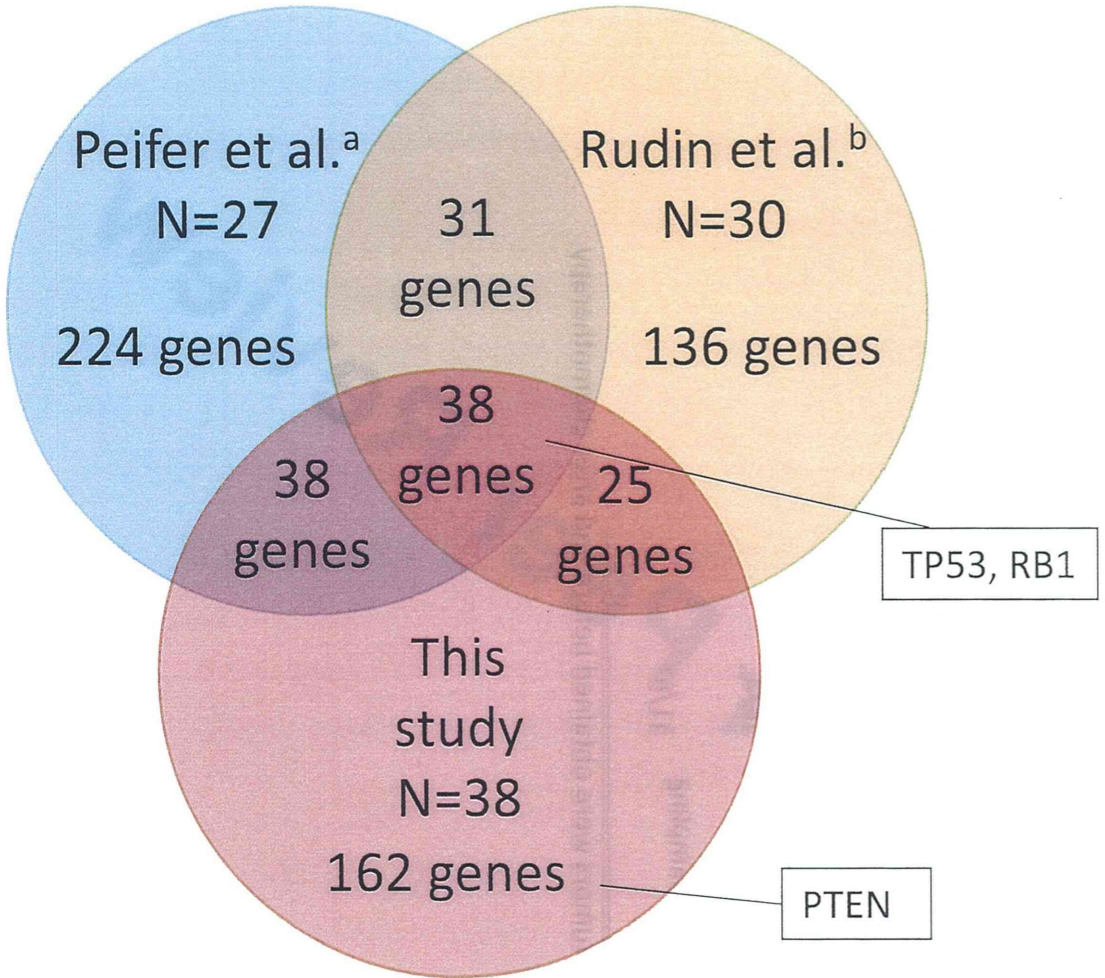
Table 1. Clinicopathological characteristics of 38 SCLC cases

Characteristic	No
Gender	10/28
Male/Female	
Age	67 (56-89)
Median (range)	
Smoking status	33/0
Ever/Never	(5 unknown)
Brinkman index	1000 (0-2040)
Median (range)	
Pathological stage	10/8/10/10
I/II/III/IV	
Sampling at	36/2
Surgery/Autopsy	
Treatment before sampling	31/8*
-/+	

*In one case, tumors were obtained before and after chemotherapy.

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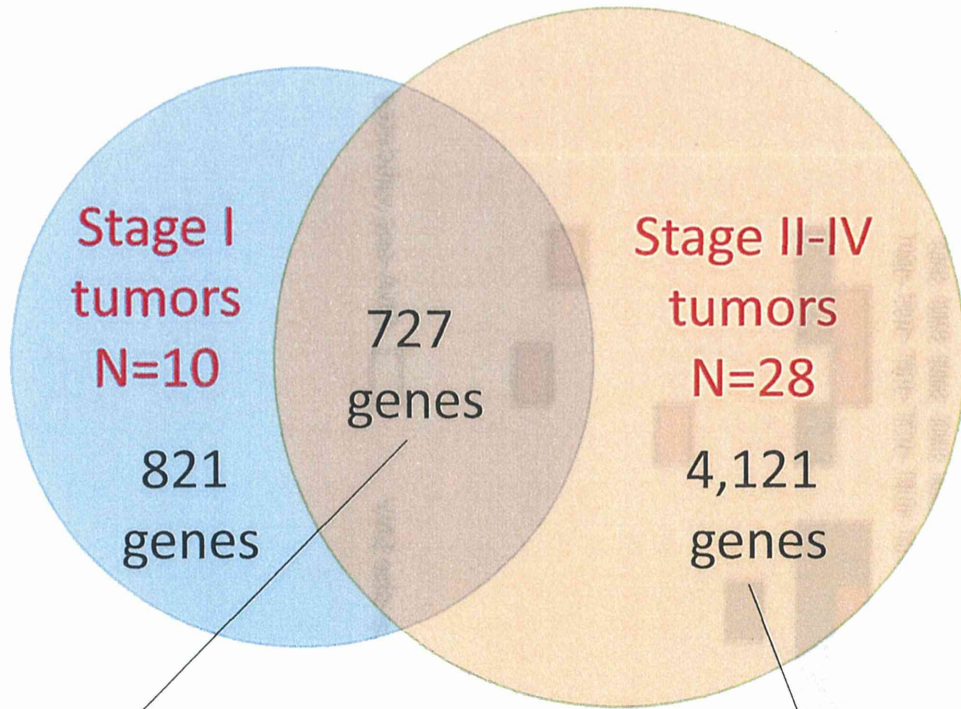
Figure 1





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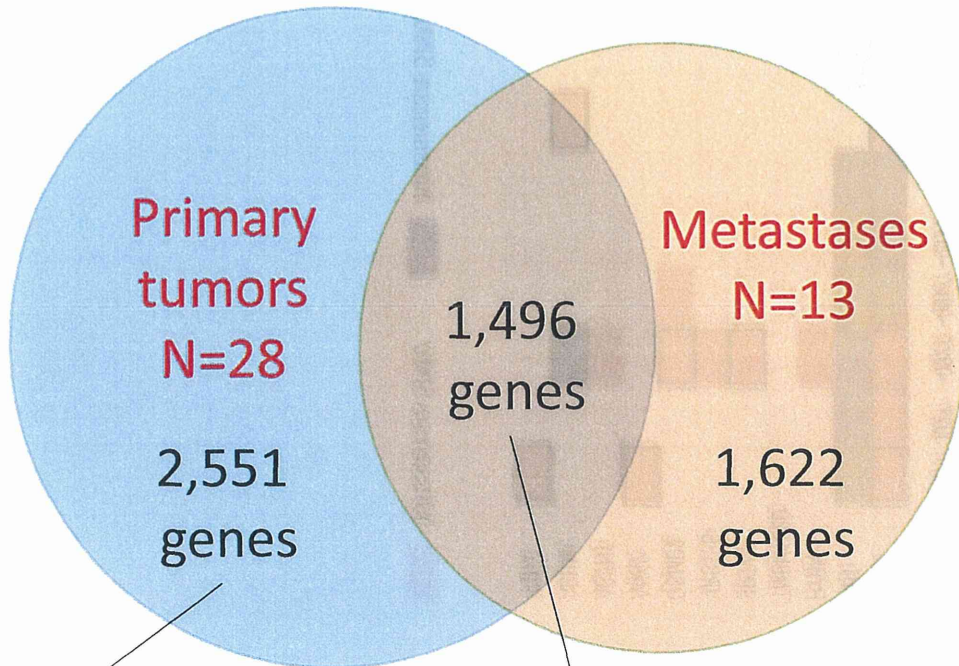
A



TP53, RB1, TMEM132D,
SPTA1, VPS13B, ASPM

PTEN, CSMD2, ANK2,
ASTN1, FBN3

B



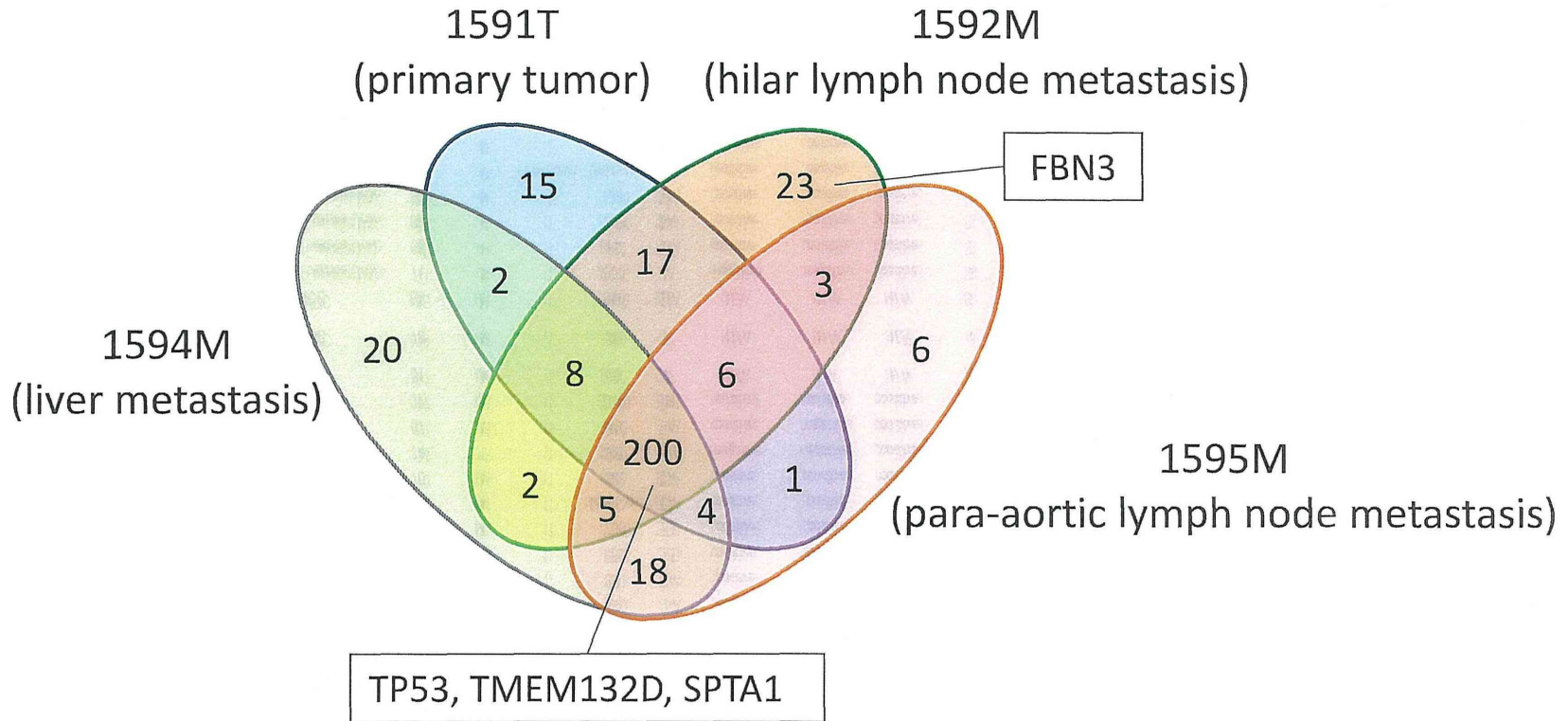
ASPM

TP53, RB1, PTEN, TMEM132D, CSMD2,
ANK2, SPTA1, ASTN1, VPS13B, FBN3



Figure 4

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Supplementary Table S1. Sample information



Sample ID	Origin of Tumor	Source	Age at Diagnosis	Gender Male = M Female = F	Smoking Never = 0 Ever = 1	Brinkman Index	Stage	Chromogranin A	Synaptophysin	NCAM	Obtained at Surgery = S Autopsy = A	Treatment Before Sampling	RNA-Seq	Chemotherapy regimen	No. of courses	Response for chemotherapy	OS (month)	Dead = 1	
1	SM09-001T	Lung (P)	NCC	75	F	Unknown	Unknown	II A	positive	positive	positive	S	No	Yes	Non	-	-	20.0	1
2	SM09-002T	Lung (P)	NCC	89	M	1	1020	I A	negative	negative	negative	S	No	Yes	Non	-	-	26.6	1
3	SM09-003T	Lung (P)	NCC	79	F	1	680	IIIB	positive	positive	positive	S	No	Yes	CBDCA+VP16	3	-	64.5	0
4	SM09-004T	Lung (P)	NCC	70	F	1	1000	IIIB	positive	positive	positive	S	No	Yes	Non	-	-	19.7	1
5	SM09-005T	Lung (P)	NCC	59	M	1	660	I A	positive	positive	positive	S	No	Yes	Non	-	-	29.6	1
6	SM09-006T	Lung (P)	NCC	75	M	1	800	II B	negative	negative	positive	S	No	Yes	Non	-	-	1.1	0
7	SM09-007T	Lung (P)	NCC	65	M	1	1350	I A	positive	positive	positive	S	No	Yes	Non	-	-	1.5	1
8	SM09-008T	Lung (P)	NCC	64	M	1	1000	II A	positive	positive	positive	S	No	Yes	CDDP+CPT-11	4	-	9.1	1
9	SM09-010T	LN (M)	NCC	67	F	Unknown	Unknown	II A	positive	positive	positive	S	No	Yes	CDDP+CPT-11	4	-	67.0	0
10	SM09-011T1/011T2	Lung (P)/Rib (M)	NCC	66	M	1	900	IIIA	positive	positive	positive	S	No	Yes	CDDP+CPT-11	4	-	19.7	1
11	SM09-012T	Lung (P)	NCC	63	M	1	400	I A	positive	positive	positive	S	No	Yes	Non	-	-	97.7	0
12	SM09-013T	Lung (P)	NCC	76	M	1	301	I A	positive	positive	positive	S	No	Yes	Non	-	-	26.2	0
13	SM09-014T	Lung (P)	NCC	57	M	1	1560	I B	positive	positive	positive	S	No	Yes	Non	-	-	92.0	0
14	SM09-015T	Lung (P)	NCC	67	M	1	1290	II B	positive	positive	positive	S	No	Yes	Non	-	-	9.7	0
15	SM09-016T	Lung (P)	NCC	47	M	1	Unknown	IIIA	positive	positive	positive	S	No	Yes	CDDP+CPT-11	4	-	47.8	1
16	SM09-017T	Lung (P)	NCC	70	M	1	800	IIIA	positive	positive	positive	S	No	Yes	Non	-	-	78.8	1
17	SM09-018T	Lung (P)	NCC	74	F	1	980	I A	negative	negative	positive	S	No	Yes	CDDP+CPT-11	4	-	67.7	0
18	SM09-019T	Lung (P)	NCC	67	M	1	940	I A	positive	negative	positive	S	No	Yes	CDDP+CPT-11	4	-	54.8	0
19	SM09-020T	Lung (P)	NCC	72	M	1	2040	II B	positive	positive	positive	S	No	Yes	CBDCA+VP16	4	-	22.9	0
20	1581M/1582M	Liver (M)/LN (M)	NCC	61	M	1	800	IV	N/A	N/A	N/A	A	CT + RT	No	CDDP+VP16	4	PR	9.0	1
21	1591T/1592M/1594M/1595M	Lung (P)/Hilar LN (M) /Liver (M)/Para-aortic LN (M)	NCC	78	M	1	360	IV	N/A	N/A	N/A	A	CT	No	CBDCA	3	PR	10.8	1
22	1601T/1602M	Lung (P)/LN (M)	NCC	65	M	1	1840	IIIA	N/A	N/A	N/A	S	No	No	Non	-	-	1.1	1
23	THB-Lu-1T	Lung (P)	Tsukuba Univ.	71	M	1	2000	IA	negative	negative	positive	S	No	No	Non	-	-	28.4	1
24	THB-Lu-2T	Lung (P)	Tsukuba Univ.	68	M	1	960	IIIA	positive	positive	positive	S	No	No	CBDCA + VP16	4	-	28.4	1
25	THB-Lu-3T	Lung (P)	Tsukuba Univ.	68	M	1	1200	IIIA	positive	positive	positive	S	No	No	Non	-	-	5.1	1
26	THB-Lu-4T	Lung (P)	Tsukuba Univ.	63	M	1	1380	IIIA	positive	positive	positive	S	No	No	CDDP+VP16	4	-	25.4	1
27	THB-Lu-5T	Lung (P)	Tsukuba Univ.	66	M	Unknown	Unknown	IIIA	positive	positive	positive	S	CT	No	CBDCA+PEM	2	Unknown	30.4	0
28	SBM-T04	Brain (M)	Saitama Univ.	73	M	1	1060	IV	negative	positive	N/A	S	No	No	Non	-	-	4.6	1
29	SBM-T08	Brain (M)	Saitama Univ.	58	M	1	1000	IV	negative	positive	N/A	S	No	No	Non	-	-	0.6	1
30	SBM-T17	Brain (M)	Saitama Univ.	66	M	1	1380	IV	N/A	negative	N/A	S	No	No	Non	-	-	22.3	0
31	SBM-T37	Brain (M)	Saitama Univ.	66	F	1	520	IV	positive	positive	N/A	S	No	No	Non	-	-	24.1	1
32	SBM-T40	Brain (M)	Saitama Univ.	76	F	Unknown	Unknown	IV	positive	positive	N/A	S	No	No	Non	-	-	0.5	0
33	M153T	Brain (M)	NCC	72	F	Unknown	Unknown	IV	N/A	N/A	N/A	S	CT + S +RT	No	CDDP+CPT-11/AMR	1/4	CR	31.9	1
34	M189T	Brain (M)	NCC	56	F	1	Unknown	IV	positive	positive	positive	S	CT + RT	No	CDDP+CPT-11/AMR/PEI	3/1/5	CR/PD/PD	29.6	1
35	M288T	Brain (M)	NCC	58	M	1	1170	IV	positive	positive	positive	S	RT + S + CT	No	CDDP+CPT-11	4	Unknown	22.7	1
36	11169T	Lung (P)	US	76	M	1	1220	IB	N/A	N/A	N/A	S	No	No	Non	-	-	101.7	1
37	12878T	Lung (P)	US	70	M	1	1160	II B	N/A	positive	N/A	S	No	No	Non	-	-	11.3	1
38	19100T	Lung (P)	US	58	F	1	1140	IIA	N/A	N/A	N/A	S	CT	No	Unknown	Unknown	Unknown	14.5	1

P = primary tumor
M = metastatic tumor
LN = lymph node
NCC = National Cancer Center
US = United States

CT = Chemotherapy
RT = Radiotherapy
CBDCA = Carboplatin
VP16 = Etoposide
CDDP = Cisplatin
PEM = Pemetrexed
AMR = Amrubicin

Supplementary Table S3. List of genes frequently mutated in small cell lung cancer

No	Gene	Total	N=95	This study	N=38	Peifer et al.	N=27	Rudin et al.	N=30
		#of mutated case	Freq (%)	#of mutated case	Freq (%)	(ref. 6)	Freq (%)	(ref. 7)	Freq (%)
1	TP53	79	83.2	30	78.9	24	88.9	25	83.3
2	RB1	63	66.3	28	73.7	18	66.7	17	56.7
3	PTEN	9	9.5	5	12.5	2	7.4	2	6.7
4	LRP1B	41	43.2	14	36.8	13	48.1	14	46.7
5	TTN	35	36.8	18	47.4	10	37.0	7	23.3
6	CSMD3	35	36.8	12	31.6	10	37.0	13	43.3
7	USH2A	34	35.8	15	39.5	12	44.4	7	23.3
8	MUC17	26	27.4	10	26.3	8	29.6	8	26.7
9	SYNE1	24	25.3	10	26.3	6	22.2	8	26.7
10	CSMD2	20	21.1	9	23.7	7	25.9	4	13.3
11	COL11A1	20	21.1	5	13.2	8	29.6	7	23.3
12	FLG	19	20.0	7	18.4	7	25.9	5	16.7
13	PKHD1	19	20.0	6	15.8	9	33.3	4	13.3
14	SPHKAP	19	20.0	7	18.4	8	29.6	4	13.3
15	SI	18	18.9	6	15.8	7	25.9	5	16.7
16	GRIN2A	17	17.9	7	18.4	5	18.5	5	16.7
17	TNN	16	16.8	6	15.8	7	25.9	3	10.0
18	FAM135B	16	16.8	7	18.4	5	18.5	4	13.3
19	XIRP2	16	16.8	9	23.7	4	14.8	3	10.0
20	NAV3	15	15.8	6	15.8	4	14.8	5	16.7
21	COL12A1	15	15.8	5	13.2	5	18.5	5	16.7
22	COL22A1	15	15.8	4	10.5	5	18.5	6	20.0
23	DNAH9	15	15.8	4	10.5	6	22.2	5	16.7
24	PLXNA4	15	15.8	9	23.7	3	11.1	3	10.0
25	CDH10	15	15.8	6	15.8	6	22.2	3	10.0
26	ANK2	14	14.7	5	13.2	5	18.5	4	13.3
27	TMEM132D	14	14.7	4	10.5	7	25.9	3	10.0
28	CDH18	14	14.7	7	18.4	4	14.8	3	10.0
29	DNAH5	14	14.7	6	15.8	3	11.1	5	16.7
30	SPTA1	14	14.7	7	18.4	4	14.8	3	10.0
31	HCN1	13	13.7	4	10.5	5	18.5	4	13.3
32	LAMA2	13	13.7	4	10.5	5	18.5	4	13.3
33	LRRC7	12	12.6	4	10.5	4	14.8	4	13.3
34	GPR112	11	11.6	5	13.2	3	11.1	3	10.0
35	ASTN1	11	11.6	4	10.5	3	11.1	4	13.3
36	ASPM	11	11.6	4	10.5	4	14.8	3	10.0
37	VPS13B	11	11.6	4	10.5	4	14.8	3	10.0
38	FBN3	10	10.5	4	10.5	3	11.1	3	10.0
39	MYH1	10	10.5	4	10.5	3	11.1	3	10.0



Supplementary Table S4. Types of TP53, RB1 and PTEN gene mutations detected in 38 SCLC

No	Sample name	TP53	RB1	PTEN
2	1	SM09-001T	NM_000546:exon5:c.G473T:p.R158L	NM_000321:exon16:c.1422-2A>T
3	2	SM09-002T	NM_000546:exon5:c.A536G:p.H179R	—
4	3	SM09-003T	NM_000546:exon8:c.G814A:p.V272M	NM_000321:exon20:c.2033_2034insT:p.H678fs
5	4	SM09-004T	NM_000546:exon8:c.G814A:p.V272M	NM_000321:exon17:c.1621delA:p.N541fs
6	5	SM09-005T	NM_000546:exon6:c.376-1G>T	NM_000321:exon24:c.2520+1G>T
7	6	SM09-006T	NM_000546:exon6:c.G610T:p.E204X	NM_000321:exon16:c.1422-2A>G
8	7	SM09-007T	NM_000546:exon4:c.262_263insA:p.A88fs	—
9	8	SM09-008T	NM_000546:exon7:c.G746T:p.R249M	—
10	9	SM09-010T	—	NM_000321:exon13:c.G1267T:p.G423X
11	10	SM09-011T1/ SM09-011T2	NM_000546:exon5:c.A536T:p.H179L, exon6:c.559+1G>C	—
12	11	SM09-012T	—	NM_000321:exon24:c.C2501G:p.S834X
13	12	SM09-013T	NM_000546:exon6:c.652delG:p.V218fs	—
14	13	SM09-014T	NM_000546:exon7:c.G733C:p.G245R	NM_000321:exon11:c.C1072T:p.R358X
15	14	SM09-015T	NM_000546:exon6:c.A583T:p.I195F	NM_000321:exon23:c.2436_2439del:p.812_813del
16	15	SM09-016T	NM_000546:exon5:c.C548G:p.S183X	NM_000321:exon22:c.2218_2219del:p.740_740del
17	16	SM09-017T	—	NM_000321:exon9:c.G937T:p.E313X
18	17	SM09-018T	—	—
19	18	SM09-019T	NM_000546:exon8:c.G818C:p.R273P	NM_000321:exon17:c.1580_1581insT:p.D527fs
20	19	SM09-020T	NM_000546:exon8:c.G818T:p.R273L	—
21	20	1581M/1582M	—	NM_000321:exon3:c.370_371del:p.124_124del
22	21	1591T/1592M/ 1594M/1595M	NM_000546:exon6:c.G592T:p.E198X	NM_000321:exon19:c.1868_1883del:p.623_628del
23	22	1601T/1602M	NM_000546:exon4:c.96+1G>T	—
24	23	THB_Lu_1T	NM_000546:exon4:c.96+1G>T	NM_000314:exon9:c.C1126T:p.H376Y, exon7:c.711delG:p.K237fs
25	24	THB_Lu_2T	NM_000546:exon6:c.T660G:p.Y220X	—
26	25	THB_Lu_3T	NM_000546:exon4:c.C112T:p.Q38X	NM_000321:exon17:c.1695+1G>T
27	26	THB_Lu_4T	NM_000546:exon4:c.162_163insC:p.T55fs	NM_000321:exon19:c.1917_1918insA:p.Q639fs
28	27	THB_Lu_5T	NM_000546:exon6:c.G661T:p.E221X	NM_000321:exon24:c.2490-1G>A
29	28	SBM_T04	NM_000546:exon7:c.G743T:p.R248L	—
30	29	SBM_T08	NM_000546:exon7:c.A707G:p.Y236C	NM_000321:exon13:c.G1318T:p.E440X
31	30	SBM_T17	NM_000546:exon7:c.A707G:p.Y236C	NM_000321:exon12:c.1128-2A>T
32	31	SBM_T37	NM_000546:exon6:c.G638T:p.R213L	NM_000321:exon13:c.A1234T:p.K412X
33	32	SBM_T40	NM_000546:exon6:c.G638T:p.R213L	NM_000321:exon10:c.1011delG:p.L337fs, exon10:c.G1011T:p.L337F
34	33	M153T	NM_000546:exon8:c.782+1G>C	NM_000321:exon2:c.G157T:p.E53X
35	34	M189T	NM_000546:exon5:c.529_546del:p.177_182del	NM_000321:exon17:c.G1675T:p.E559X
36	35	M288T	NM_000546:exon9:c.C991T:p.Q331X	—
37	36	11169T	NM_000546:exon9:c.C991T:p.Q331X	NM_000321:exon23:c.2330_2331insT:p.P777fs
38	37	12878T	NM_000546:exon7:c.G733T:p.G245C	NM_000321:exon22:c.C2273A:p.S758X
39	38	19100T	NM_000546:exon8:c.G839T:p.R280I	NM_000314:exon5:c.G316T:p.E106X
40			NM_000546:exon5:c.G469T:p.V157F	—
41			NM_000546:exon5:c.G469T:p.V157F	—
42			NM_000546:exon5:c.G469T:p.V157F	NM_000321:exon14:c.1333-1G>C
43			NM_000546:exon5:c.G469T:p.V157F	—
44			NM_000546:exon5:c.G469T:p.V157F	NM_000321:exon21:c.2211+1G>A

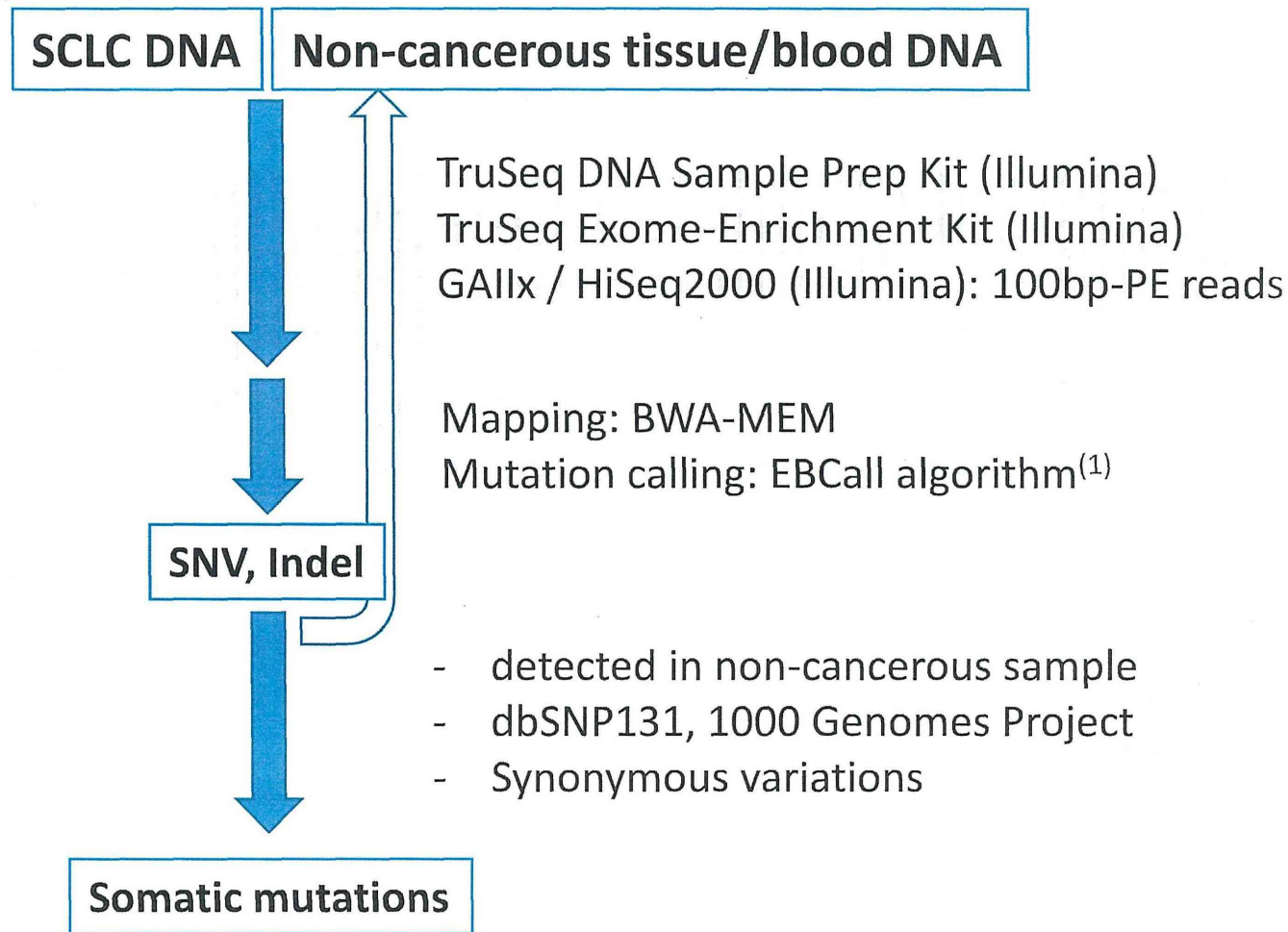


Supplementary Table S5. Significantly mutated genes in three studies

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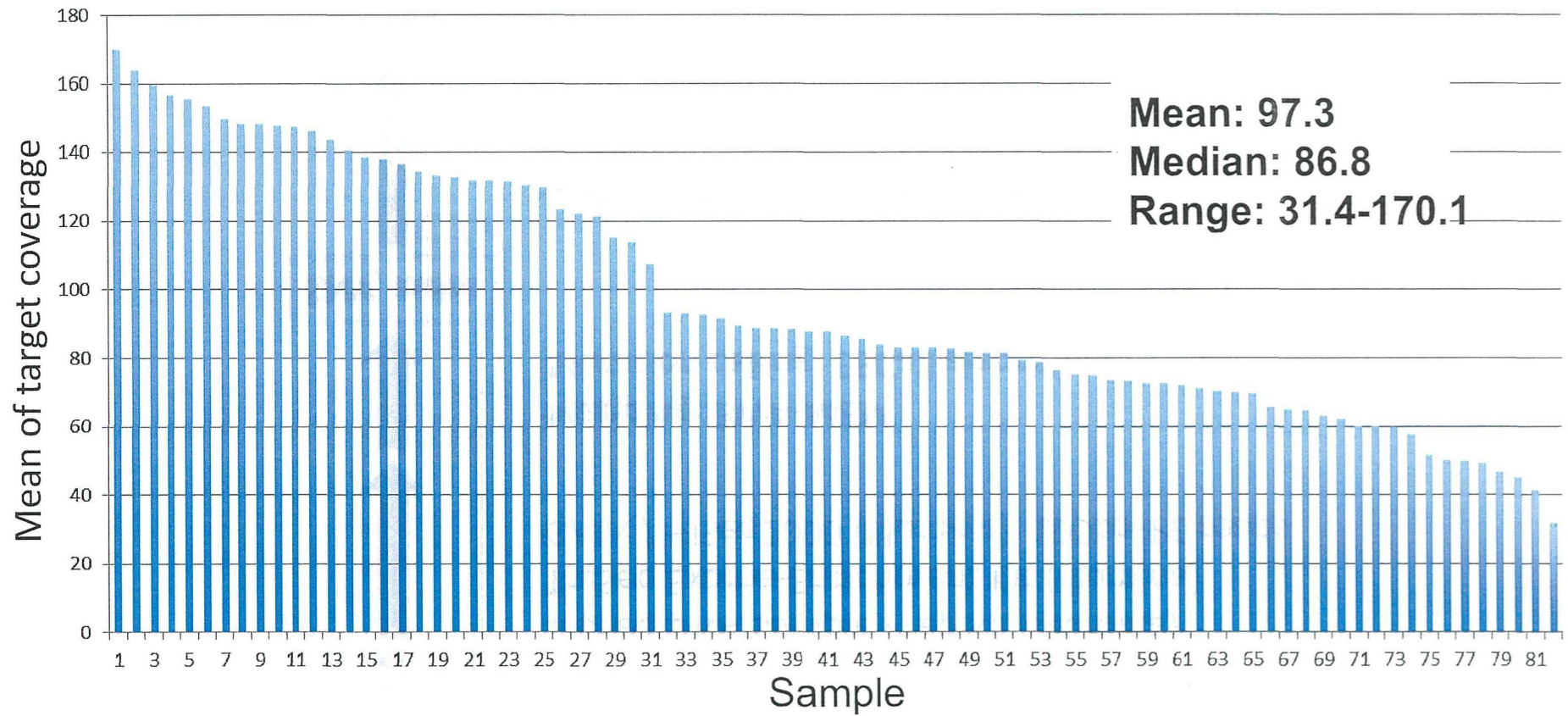
No	Gene	This study	Peifer et al. (ref. 6)	Rudin et al. (ref. 7)
1	TP53	v	v	v
2	RB1	v	v	v
3	PTEN	v		
4	PRDM9		v	
5	TFAP2D		v	
6	TKTL2		v	
7	OR10G7		v	
8	OR11L1		v	
9	ZNF536		v	
10	TMEM132D		v	v
11	ZNF521		v	
12	TUBA3C		v	
13	HCN1		v	
14	SLURP1		v	
15	TNN		v	
16	FAM133A		v	
17	CDH10		v	
18	SPHKAP		v	
19	COL11A1		v	
20	NCAM2		v	
21	XPNPEP2		v	
22	OR5B17		v	
23	FOXE1		v	
24	COL22A1			v
25	ELAVL2			v
26	RASSF8			v
27	CNTNAP2			v
28	BCLAF1			v
29	GRM8			v
30	KIF21A			v
31	GRIK3			v
32	C17orf108			v
33	RUNX1T1			v
34	PLSCR4			v
35	CDYL			v
36	RIMS2			v
37	ZDBF2			v
38	KHSRP			v
39	SATB2			v
40	COL4A2			v
41	DIP2C			v
42	ADCY1			v

Supplementary Figure S1. Exome Sequencing and Data Processing

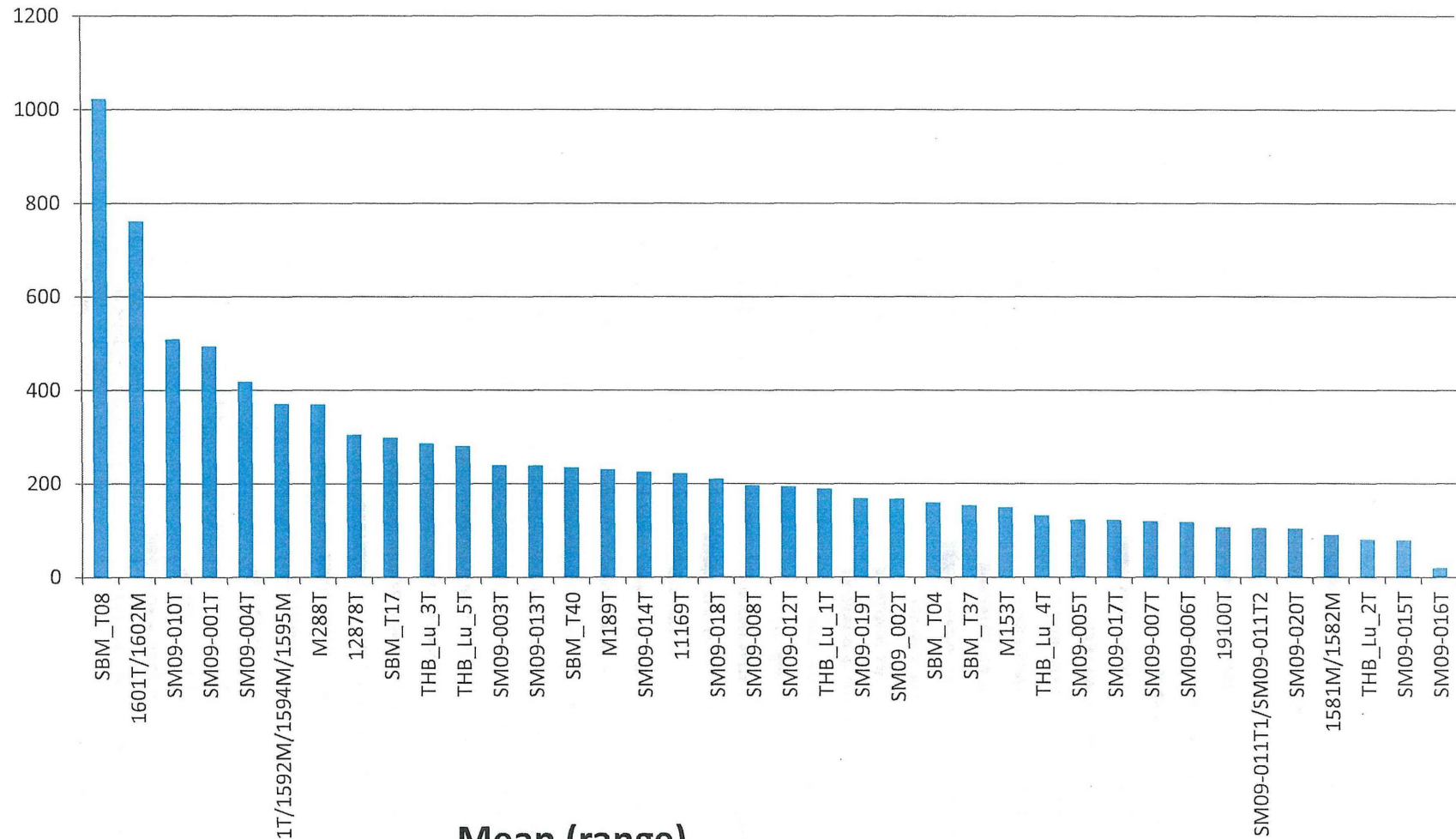


(1), Shiraishi Y, et al., An empirical Bayesian framework for somatic mutation detection from cancer genome sequencing data. *Nucleic Acids Res.* 2013;41:e89.

Supplementary Figure S2. Mean of Target Coverage in 38 SCLC Cases



Supplementary Figure S3. Numbers of Somatic Mutations Detected in 38 SCLC Cases

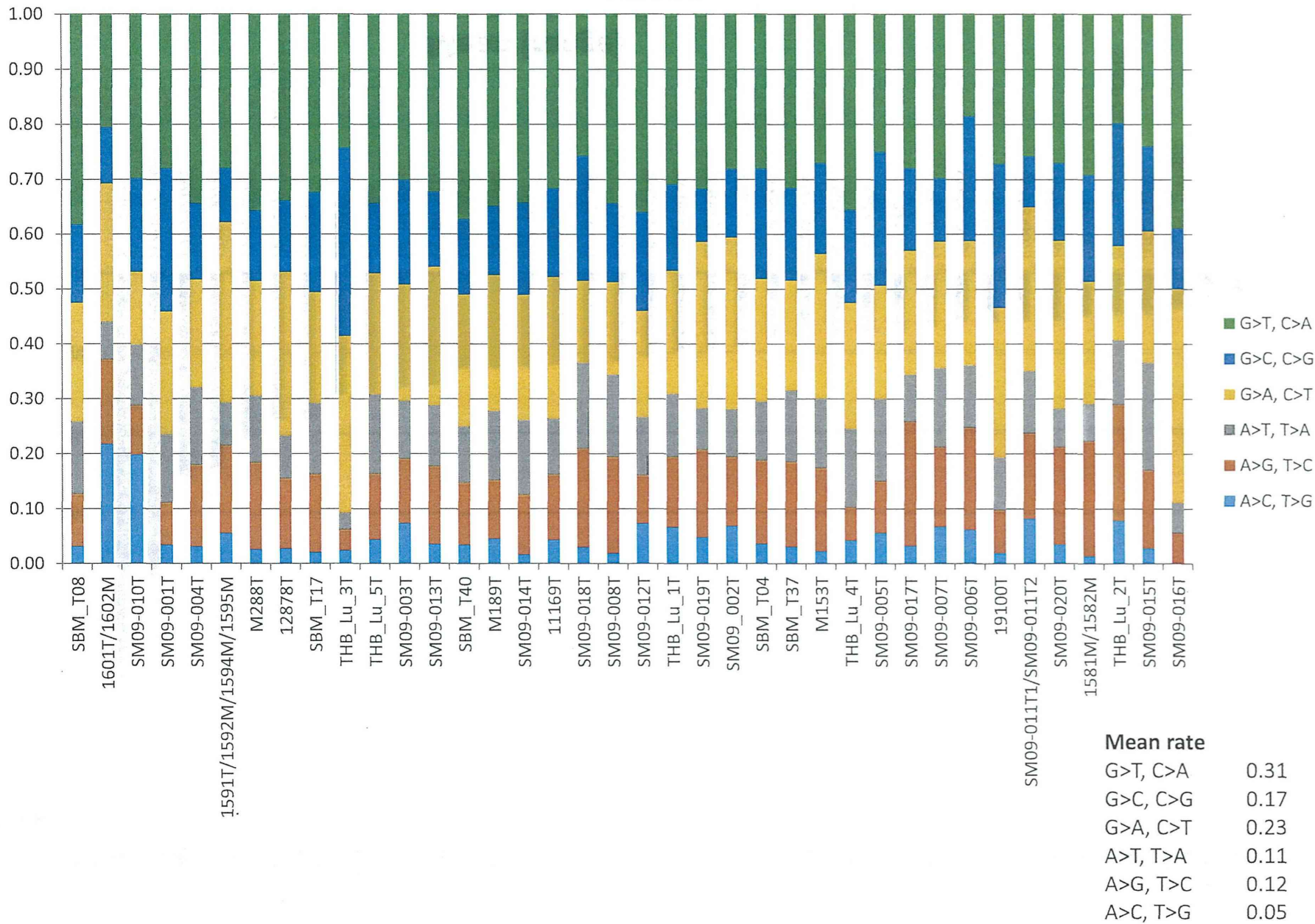


Mean (range)

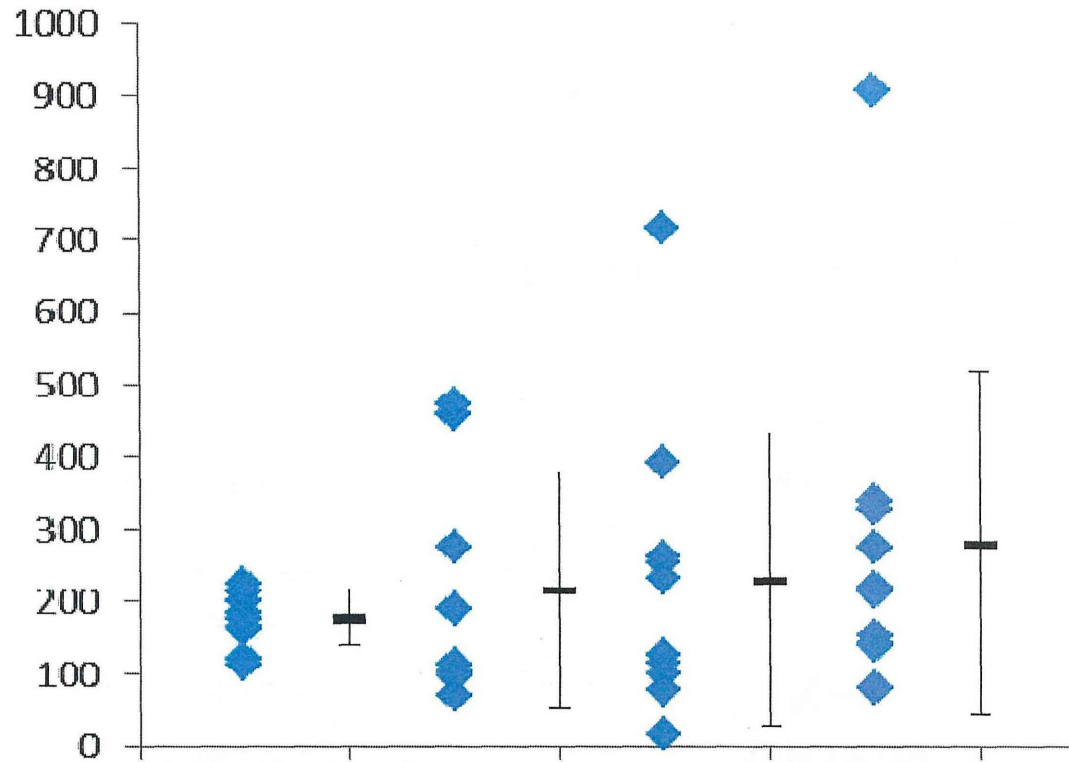
244.2 (19-1023) variants / case

7.4 (0.6-30.8) variants / Mb

Supplementary Figure S4. Base-level Transitions and Transversions in 38 SCLC Cases

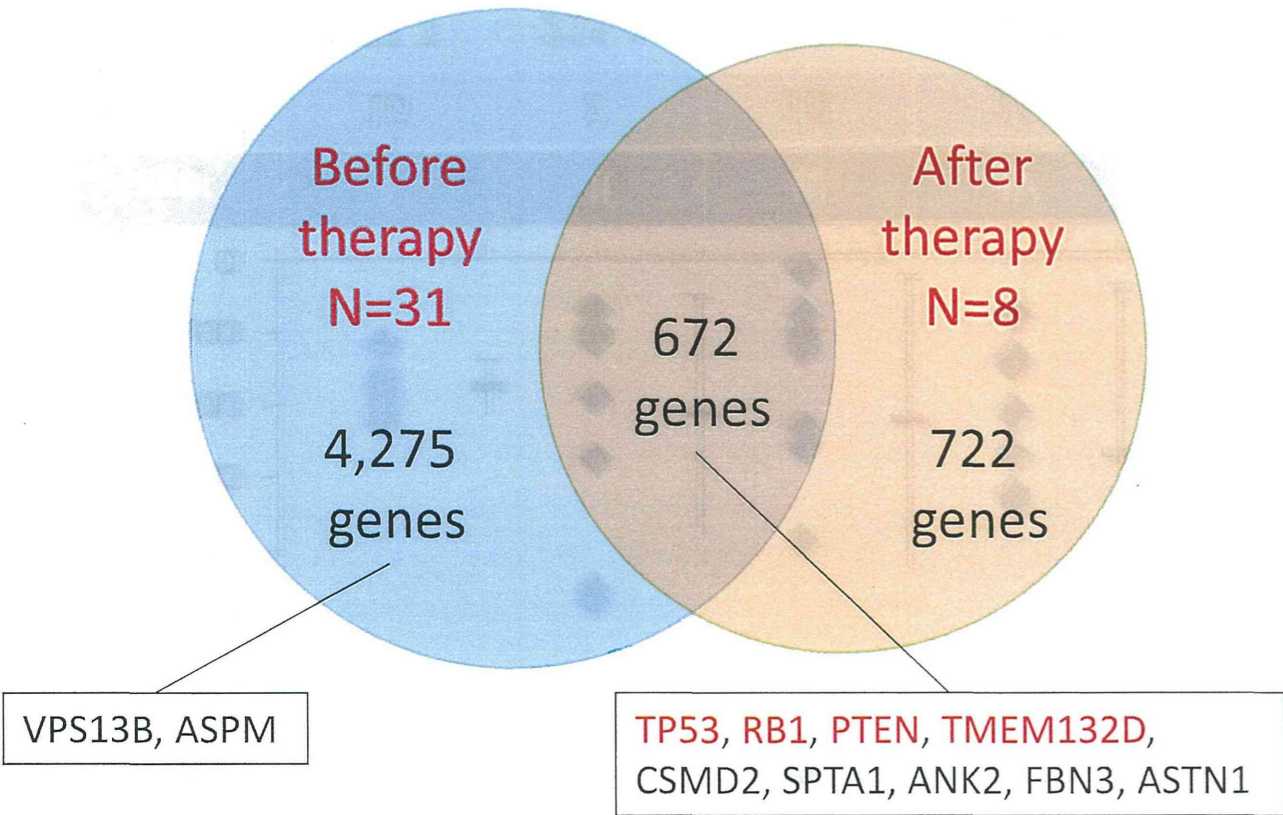


Supplementary Figure S5. Numbers of Somatic Mutated Genes in SCLC According to the TNM Staging



Stage	I	II	III	IV
N	10	8	10	10
Mean	177.7	215.1	229.9	280.6

Supplementary Figure S6. Comparison of Mutated Genes Between Tumors Obtained Before and After Chemotherapy/Radiotherapy



Mutated genes / sample (average) 230.7 195.0 ($P=0.50$ by Student's *t*-test)