

Figure 1 | Attenuation of the Rap signal inhibits Notch activation and the proliferation of T-ALL cell lines. (a) FLO/Rap1A17 and control FLO/vect cells were immunoblotted with the indicated antibodies. These cells were cultured at 3×10^4 cells/mL in triplicate, and the viable cell numbers were assessed on day 3. *, $p < 0.01$. (b) Survival rates of scid mice transplanted with FLO/vect (grey symbols) or FLO/Rap1A17 (solid symbols) cells (10 mice per group). Expression levels of the retrovirus-derived hNGFR in the BM of FLO/vect- and FLO/Rap1A17-recipients were analyzed at 20 and 25 days after the transplantation, respectively, in comparison with the original inoculants. The means of mean fluorescence intensities (MFIs) in 3 recipients are indicated. (c) FLO/rtTA-Sipa1 cells were cultured for 3 days in the absence or presence of varying doses of Dox and immunoblotted with the indicated antibodies. FLO/rtTA-Sipa1 (open circles) and control FLO/rtTA (solid circles) cells were cultured in triplicate for 3 days in the absence or presence of Dox, and the viable cell numbers were assessed. *, $p < 0.01$. (d) FLO cells were cultured in the absence or presence of GGTI for 2 days and immunoblotted with the indicated antibodies. FLO (open circles), EL4 (closed circles), and P3U1 (closed squares) cells were cultured in triplicate with or without GGTI for 3 days, and the viable cell numbers were assessed. *, $p < 0.01$. Relevant parts of immunoblot images in (a), (c), and (d) were cropped from full-length blots shown in Figure S7.

Results

The Rap signal is required for Notch activation in T-ALL cell lines.

FLO cell line derived from T-ALL by BMT of Rap^{high} HPCs expressed intact Notch receptors with no detectable *Notch1* mutation and showed Notch-dependent proliferation (Figure S1). Retroviral transduction of dominant-negative Rap1 (*Rap1A17*) in FLO cells causing a decrease of the Rap1-GTP resulted in a reduced expression of NICD and its target Hes1 (Figure 1a). Accordingly, the expression of p27Kip1, a target of Hes1-mediated repression¹⁴ was increased, and the proliferation was significantly reduced (Figure 1a). The FLO/Rap1A17 cells showed significantly compromised leukemogenic activity in scid mice, and 20% of the recipients remained free of leukemia, whereas all recipients of control FLO/vect cells died within 25 days (Figure 1b, left). Moreover, the leukemia cells developed in the recipients of FLO/Rap1A17 cells revealed significantly reduced expression of the retrovirus-driven NGFR (Figure 1b, right). Such an effect was not observed in the recipients of FLO/vect cells, suggesting a counter selection against FLO/Rap1A17^{high} cells in vivo. We then transduced Rap1-specific GAP, *Sipa1*, in FLO cells with a doxycycline (Dox)-inducible system. Induction of *Sipa1* expression also resulted in the decreased NICD expression and cell proliferation in concordance with reduced Rap1-GTP levels in a Dox-dose dependent manner (Figure 1c). Furthermore, treatment of the FLO cells with a geranylgeranyl transferase inhibitor (GGTI)¹⁵, which inhibited the

Rap prenylation required for membrane anchoring, also suppressed the NICD generation and cell proliferation at a dose-range that did not affect the proliferation of irrelevant leukemia cells (Figure 1d). Other T-ALL cell lines of mice and humans similarly showed significantly higher susceptibility to GGTI than leukemia cells of non-T-ALL types (Figure S2). The results suggest that the Rap signal plays an important role in sustaining Notch activation and proliferation of established T-ALL cell lines.

The Rap signal controls Notch S2 processing by regulating intracellular Adam10 maturation. The conditional expression of *Sipa1* in FLO cells did not affect the cell surface expression of Notch1 (Figure 2a). However, analysis with Notch1-immunoprecipitation followed by immunoblotting with S2 (V1711)-specific antibody revealed that the Notch S2 product precedent to the NICD generation was also decreased by *Sipa1* expression (Figure 2a). In T-ALL cells, Notch cleavage at S2 site is mediated by Adam10¹⁶, which matures intracellularly via prodomain-cleavage of the immature form¹⁷. *Sipa1* expression in FLO cells caused a decrease of the mature form of Adam10 (m-Adam10) with barely affecting the immature form (i-Adam10) or the transcripts (Figure 2b). Because it is reported that membrane Dll1 is constitutively cleaved extracellularly by Adam10¹⁸, we also examined the effect of *Sipa1* expression on Dll1. Induction of *Sipa1* expression in FLO cells resulted in the accumulation of unprocessed, full-length (Fl) Dll1

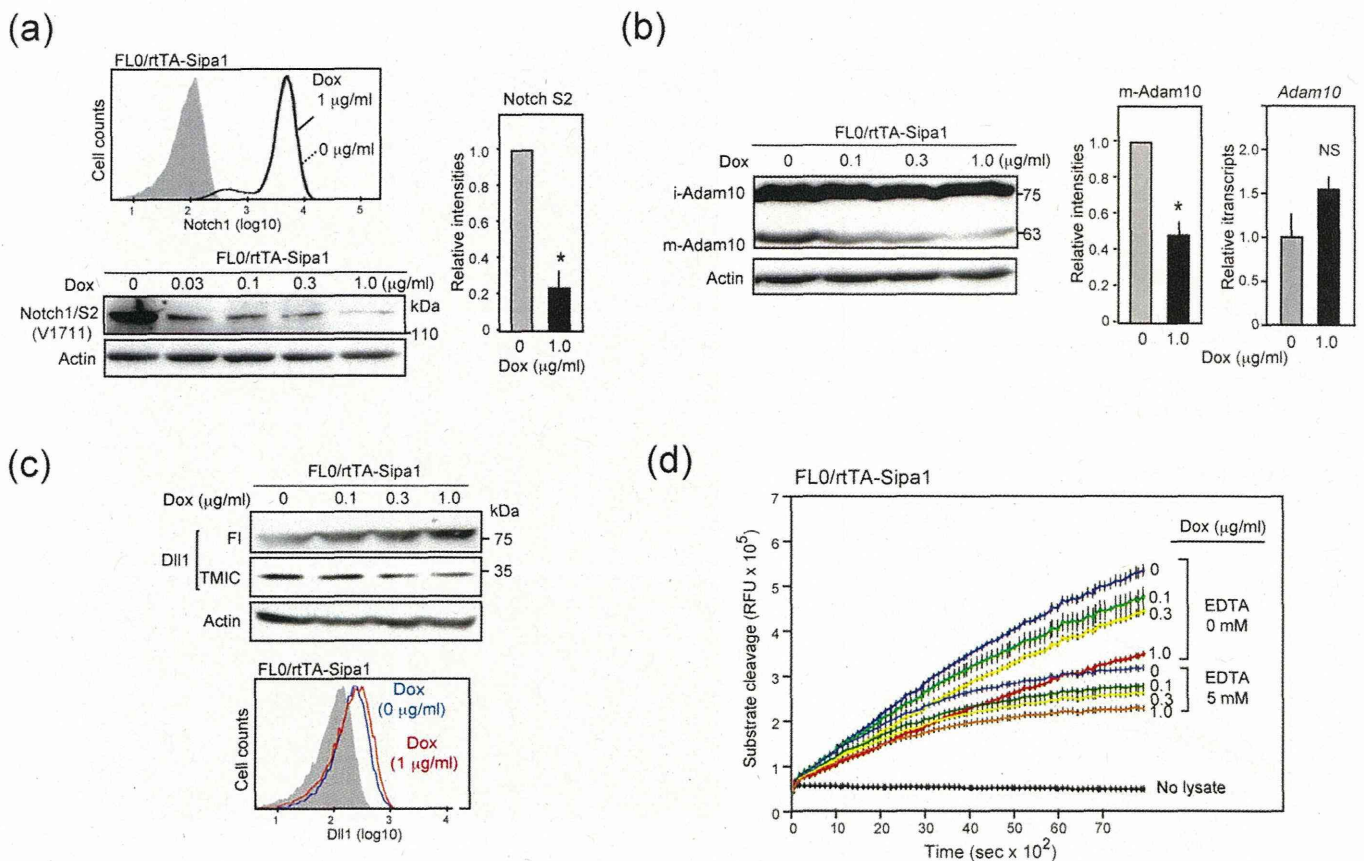


Figure 2 | The Rap signal is involved in Notch S2 processing by sustaining Adam10 maturation via proprotein convertase activity. (a) FL0/rtTA-Sipa1 cells were cultured in the absence (fine line) or presence (solid line) of Dox (1 µg/mL), and the surface expression of Notch1 was analyzed with flow cytometry. These cells were cultured with or without varying doses of Dox for 3 days, and the lysates were immunoprecipitated with anti-Notch1 antibody followed by immunoblotting with S2-specific (V1711) antibody. The mean signal intensities of Notch S2 in 3 independent experiments are shown. *, $p < 0.01$. (b) FL0/rtTA-Sipa1 cells were cultured in the absence or presence of Dox for 3 days, and the lysates were immunoblotted with anti-Adam10 antibody. *Adam10* transcripts were assessed with qRT-PCR in the aliquots of cells. The mean signal intensities of mature (m)-Adam10 and the relative transcripts in 3 independent experiments are shown. *, $p < 0.01$. NS; not significant. (c) The cells in (b) were immunoblotted and FACS analyzed with anti-Dll1 antibody. (d) FL0/rtTA-Sipa1 cells were cultured in the absence or presence of Dox for 3 days, and proprotein convertase activity of the cell lysates was assessed with the use of a fluorescence-labeled synthetic substrate in the presence or absence of EDTA. In (a), (b), and (c), relevant parts of immunoblot images were cropped from full-length blots shown in Figure S8.

(85 kDa) with a concomitant decrease of the cleaved transmembrane and intracellular (TMIC) form (29 kDa); FACS analysis confirmed a slight yet clear increase in the cell surface Dll1 expression (Figure 2c). We next examined the proprotein convertase activity required for the Adam10 maturation¹⁹, with the use of a fluorescence-labeled synthetic substrate (Pyr-Arg-Thr-Lys-Arg-AMC)²⁰. Although proprotein convertase activity requires Ca^{2+} ^{21,22}, early-phase cleavage activity in the cell lysate tended to be resistant to EDTA and was probably attributed to other trypsin-like proteases. Sipa1 expression in FL0 cells rather preferentially inhibited the EDTA-sensitive late-phase activity (Figure 2d). The results suggest that the Rap signal regulates the activation of proprotein convertase activity required for the maturation of Adam10 mediating Notch S2 processing.

Prenylation-mediated anchoring of the Rap1 at the Golgi-network is crucial for proprotein convertase activation. We then investigated the intracellular localization of the Rap1 and Furin, a main proprotein convertase. Because the analysis was rather difficult in small T-ALL cells with minimal cytoplasm, we made use of an epithelial Eph4 cell line to this end, whose Sipa1 expression could be conditionally induced with a Rheo-switch system (Eph4/Rheo-Sipa1) (Figure S3a). It was confirmed that the induction of Sipa1 expression in Eph4/Rheo-Sipa1 cells resulted in the decrease of late-phase, EDTA-sensitive proprotein convertase activity (Figure 3a). Treatment of Eph4/Rheo-

Sipa1 cells with GGTI inhibiting the prenylation of Rap1 also caused a reduction of the proprotein convertase activity dose-dependently (Figure 3b, Figure S3b). In agreement with previous reports^{21,22}, Furin was detected in the cytosol as small clusters enriched at the perinuclear region corresponding to the Golgi-network, and the Rap1 was distributed at the same regions to Furin, with additional localization in the nuclei in some cells (Figure 3c, left). After GGTI treatment, however, unprenylated Rap1 was barely detected in the cytosol any more and was localized mostly in the nuclei, whereas Furin localization was hardly affected (Figure 3c, right). The results suggest that the Rap1 anchoring at the Golgi-network membrane is crucial for the activation of proprotein convertases.

Exogenous expression of mature Adam10 overcomes the Sipa1-induced growth inhibition of T-ALL cells. To confirm the role of Rap signal in Adam10 maturation, we expressed a mature form of *Adam10* in FL0/rtTA-Sipa1 cells using pMSCV-hNGFR (MIN) retroviral vector (Figure 4a). The FL0/rtTA-Sipa1/m-Adam10 cells showed increased NICD and Hes1 expression compared with control FL0/rtTA-Sipa1/vect cells as anticipated (Figure 4a). We then cultured the cells in the absence or presence of Dox. The FL0/rtTA-Sipa1/m-Adam10 cells showed no or significantly less inhibition of the proliferation in the presence of Dox than FL0/rtTA-Sipa1/vect cells (Figure 4b). The results are consistent with

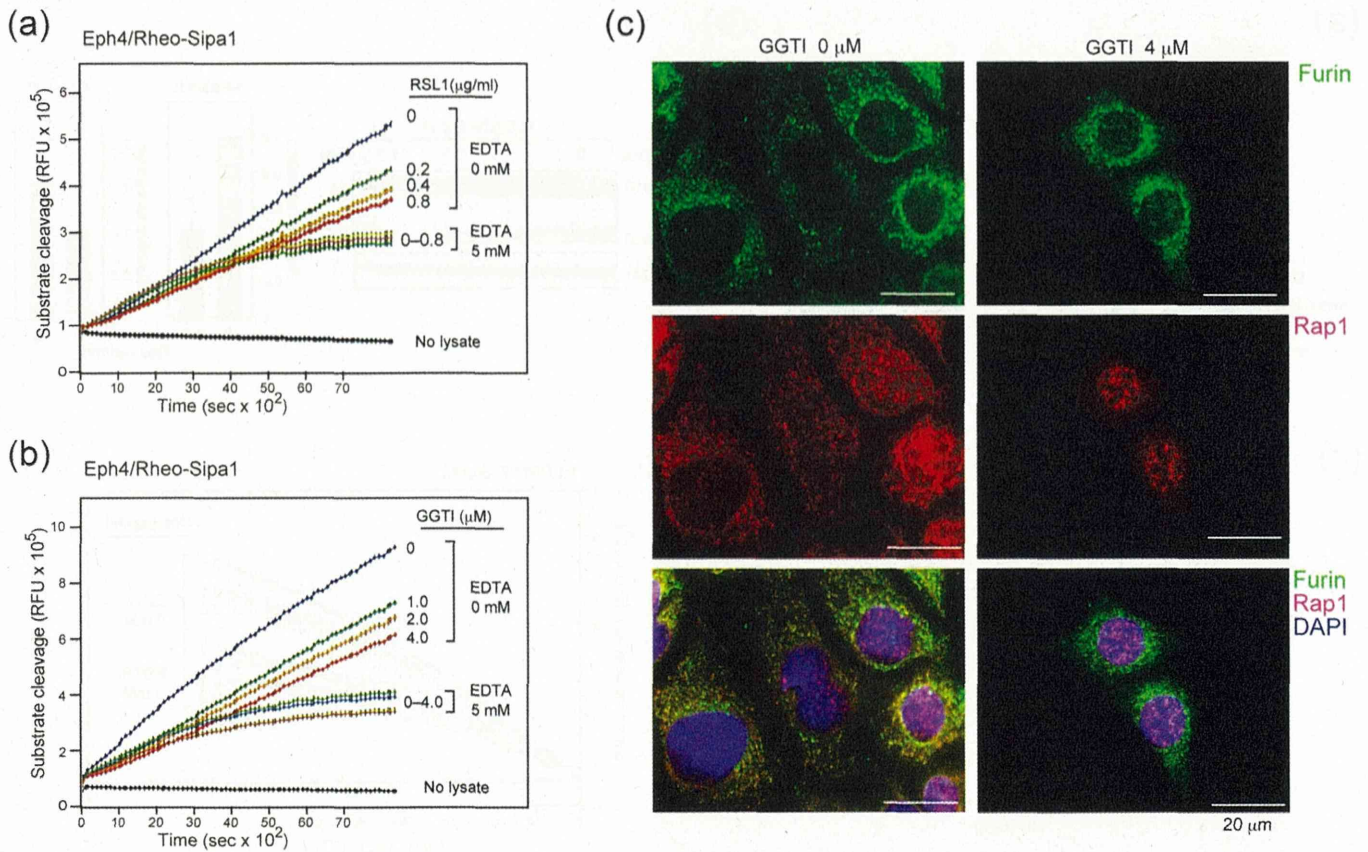


Figure 3 | Prenylation-mediated anchoring of Rap1 to Golgi-network is required for the proprotein convertase activation. (a, b) Eph4/Rheo-Sipa1 cells were cultured in the absence or presence of RSL1 (a) or GGTI (b) for 3 days, and the intracellular proprotein convertase activity was assessed with or without EDTA. (c) Eph/Rheo-Sipa1 cells were cultured in the absence (left) or presence (right) of 4 μM GGTI for 24 h and multi-color immunostained with the indicated antibodies.

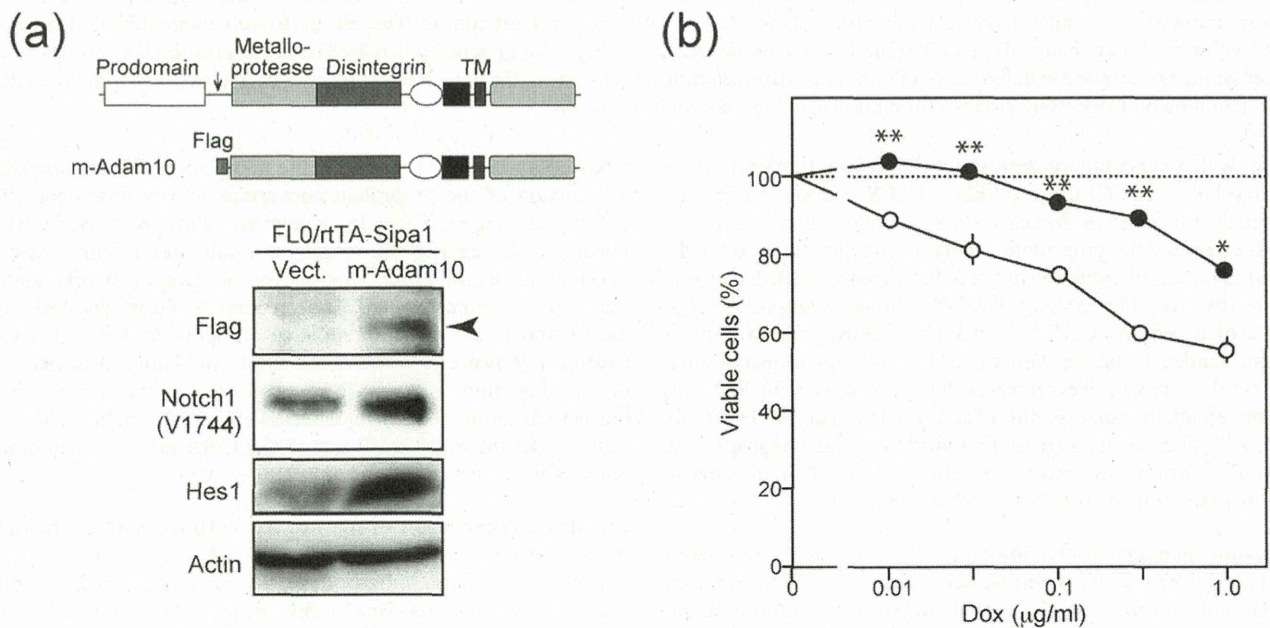


Figure 4 | Exogenous expression of mature Adam10 overcomes Sipa1-induced growth inhibition of T-ALL cells. (a) FLO/rtTA-Sipa1 cells were transfected with a MIN vector containing Flag-tagged *m-Adam10* cDNA as illustrated or empty vector. Arrow indicates a cleavage site. The cells were immunoblotted with indicated antibodies. (b) The FLO/rtTA-Sipa1/*m-Adam10* (solid circles) and control (open circles) cells were cultured in the absence or presence of varying concentrations of Dox at 10^5 cells/mL for 3 days, and the viable cell numbers were assessed with Cell Titer Glo assay. The means and SEs of triplicate culture are indicated. *, $p < 0.005$; **, $p < 0.001$. The experiments were repeated three times with essentially similar results. In (a), relevant parts of immunoblot images were cropped from full-length blots shown in Figure S9.

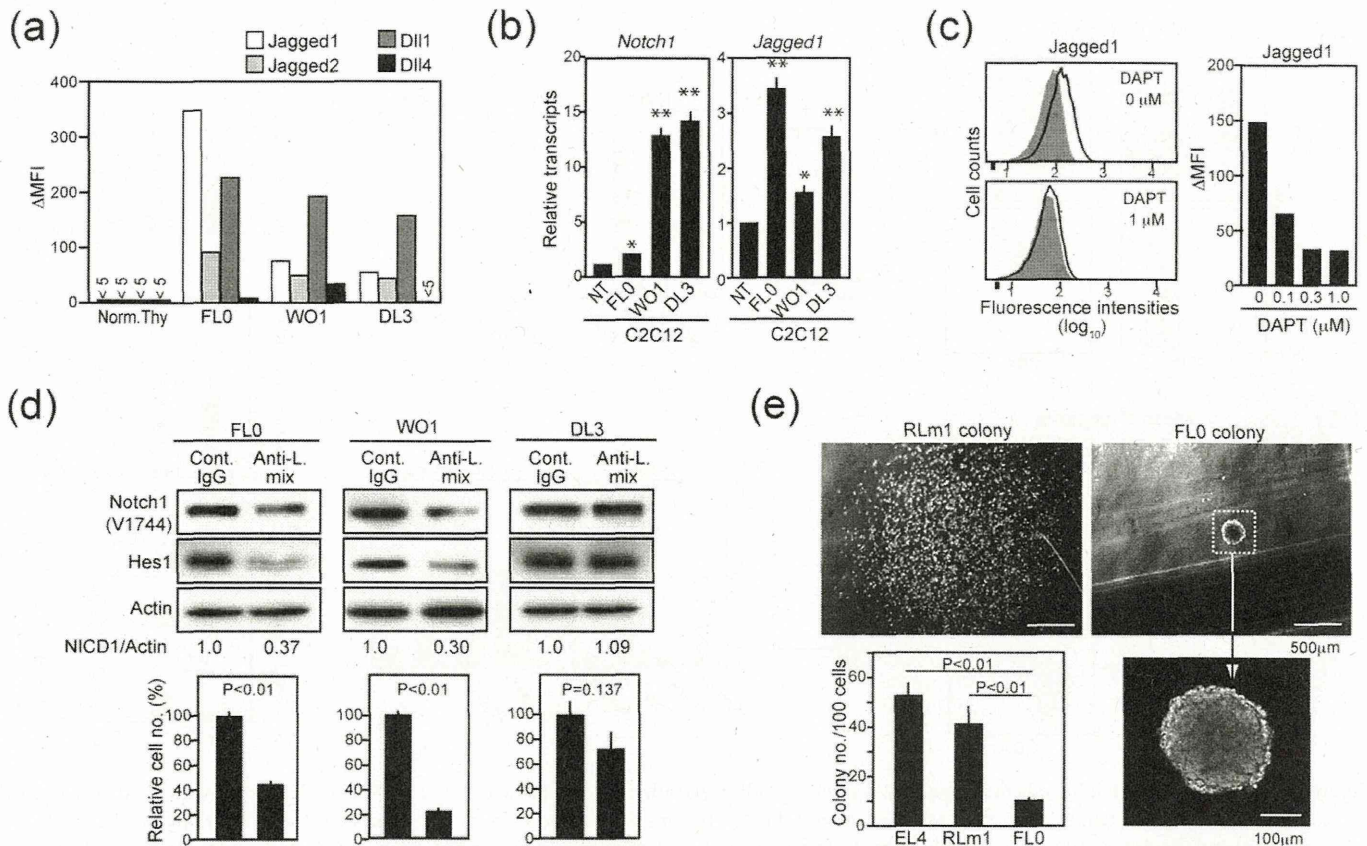


Figure 5 | Expression and function of Notch ligands in T-ALL cell lines. (a) Expression of Notch ligands was analyzed with FACS in normal thymocytes and T-ALL cell lines. The ΔMFIs are shown. (b) Normal thymocytes (NT) (1×10^7) or T-ALL cells (1×10^6) were co-cultured with C2C12 cell monolayers in triplicate for 2 days, and the transcripts of *Notch1* and *Jagged1* in C2C12 cells were determined with qRT-PCR. *, $p < 0.05$; **, $p < 0.01$. (c) FLO cells were cultured in the absence or presence of DAPT and analyzed for the expression of Jagged1 with FACS. Shaded areas indicate the staining with control antibody. ΔMFIs at varying concentrations of DAPT are indicated. (d) T-ALL cell lines were cultured in the presence of control IgG or mixtures of monoclonal antibodies against Jagged1, Jagged2 and Dll1 (60 μg/mL each) for 1 day and immunoblotted with the indicated antibodies. Relative intensities of NICD to actin are indicated. Aliquots of the cells were cultured for 5 days, and the viable cell numbers were determined in triplicate culture. (e) FLO and T-lymphoma (EL4, RLM1) cell lines were cultured in methylcellulose medium (100 cells/dish) in triplicate for 7 days, and the colony numbers were counted. Images of typical colonies of RLM1 and FLO cells are shown. In (a), relevant parts of immunoblot images were cropped from full-length blots shown in Figure S10.

the notion that the Rap signal is required for the Notch-dependent proliferation of T-ALL cells by promoting endogenous Adam10 maturation.

Expression of Notch ligands and their involvement in the cell-autonomous Notch activation of T-ALL cell lines. Because Notch1 receptor in FLO cell line showed no mutation, the initiation of Notch processing might be expected to depend on the ligand engagement. We therefore examined the expression of Notch ligands on T-ALL cell lines. FACS analysis revealed that Notch-dependent T-ALL cell lines including FLO variably expressed Jagged1, Jagged2 and Dll1, but rarely Dll4, although normal thymocytes expressed none of them (Figure 5a). Moreover, co-culture of these T-ALL cell lines with Notch ligand-responsive C2C12 myoblast cells induced significant expression of *Notch1* and *Jagged1* in the C2C12 cells (Figure 5b), indicating that the ligands were functional. The expression of Jagged1 was abrogated in the presence of a γ -secretase inhibitor (DAPT) and was suggested to be dependent on the Notch signal (Figure 5c). To examine the possible involvement of ligands in cell-autonomous Notch activation, we cultured the T-ALL cell lines in the presence of the mixture of monoclonal antibodies against Jagged1, Jagged2 and Dll1. The Notch activation and proliferation of FLO and WO1 cell lines bearing intact ligand-binding Notch1 were significantly inhibited in

the presence of the antibody mixture, anti-Dll1 antibody being the most effective among them for FLO cells (Figure 5d, Figure S1, Figure S4). In contrast, the antibody mixture showed no significant effect on the Notch activation and proliferation of DL3 cell line that lacked the ligand-binding region of Notch1 (Figure 5d, Figure S1). Moreover, despite the robust proliferation in liquid culture, the FLO cells showed a remarkably poor clonogenic growth in semisolid culture compared with other leukemia cell lines, and infrequently developed small colonies were tightly packed (Figure 5e), suggesting the requirement for intimate cell–cell contact for the optimal proliferation. The results suggest that the Notch ligands expressed on T-ALL cells contribute to the cell-autonomous Notch activation.

Notch ligand expression in primary T-ALL cells correlates with the systemic leukemia invasion in vivo. To validate the significance of Notch-dependent ligand expression during T-ALL development in vivo, we performed BMT of Rap^{high} HPCs. As reported previously¹³, the Rap^{high} HPCs caused highly aggressive T-ALL eventually involving most vital organs in the BMT recipients. The thymi of Rap^{high} HPC-recipients were remarkably enlarged in 15 weeks after BMT, mostly consisting of blastic immature ($CD3^- CD4^+ CD8^+$) T cells, whereas control HPCs repopulated the thymi with normal T cell differentiation (Figure 6a). The thymic Rap^{high} blast cells showed no detectable expression of any Notch ligand on the surface, although

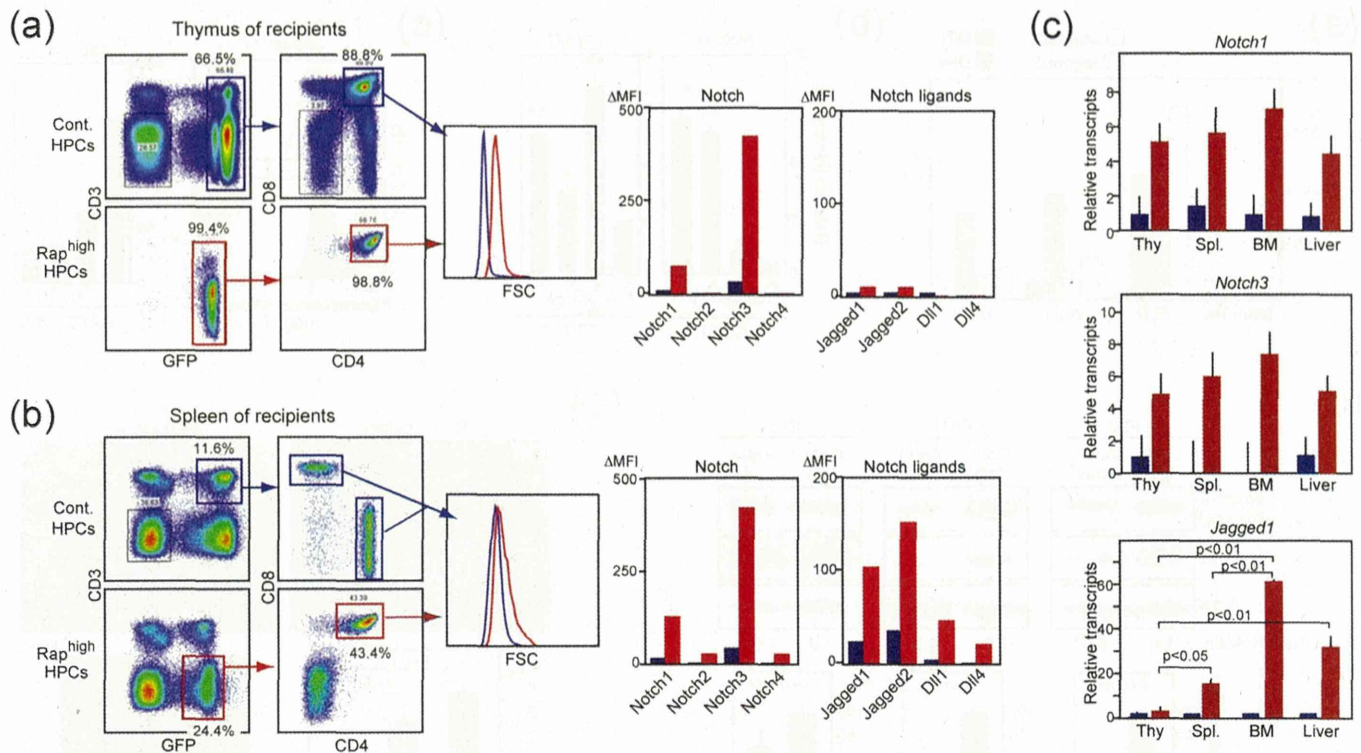


Figure 6 | Expression of Notch ligands in primary T-ALL cells invading extrathymic organs. (a, b) *Sipa1*^{-/-} BM HPCs infected with empty (blue lines and columns) or *C3G-F*-containing retrovirus (red lines and columns) were transplanted into 8.5 Gy γ -ray-irradiated mice. After 15 weeks, the cells from the thymus (a) and the spleen (b) were multicolor-analyzed with the indicated antibodies. The cell sizes (FSC) and expression of Notch and ligands (Δ MFI) in GFP⁺ T cells are shown. Essentially similar results were obtained in 3 independent recipients. (c) GFP⁺ T cells were sorted from the indicated organs of Rap^{high} HPC (red columns) and control HPC (blue columns) recipients, and the transcripts of indicated genes were determined with qRT-PCR. The means and SEs of 3 recipients are shown.

these cells exhibited markedly enhanced expression of Notch1 and Notch3 compared with control repopulating thymocytes (Figure 6a). In contrast, the CD3⁻ CD4⁺ CD8⁺ blast cells that invaded the spleen significantly expressed cell-surface Notch ligands in addition to the enhanced Notch1/3, whereas the T cells derived from control HPCs barely did so (Figure 6b). Moreover, the T-ALL cells that invaded other vital organs such as BM and liver showed even more *Jagged1* transcripts than those in the spleen, although the increase in Notch1/3 transcripts was comparable (Figure 6c). These results suggest that the expression of Notch ligands in the T-ALL cells coincides with their extrathymic spreading and invasion to peripheral vital organs *in vivo*.

Discussion

In humans, meta-analyses of gene expression (NCBI Gene Expression Omnibus, <http://lifesciencedb.jp/geo/>) show that the negative Rap regulator *SIPA1* is one of the most prominently under-expressed genes in T-ALL (Figure S5). Chromosomal translocation causing a fusion of *NUP98* and *RAP1GDS1* encoding Rap1 guanine nucleotide dissociation factor was also reported in a case of human T-ALL²³. In mice, we reported that BMT of *Sipa1*^{-/-} *C3G-F*⁺ (Rap^{high}) HPCs invariably resulted in the development of Notch-dependent T-ALL in a cell-autonomous manner¹³. Although these results suggest the involvement of the Rap signal in T-ALL, the mechanistic basis remained unknown.

Our current study indicated that specific attenuation of the Rap signal in a Notch-dependent T-ALL cell line by the expression of Rap1A17 or *Sipa1* caused significantly compromised Notch activation and proliferation. Current results revealed that the Rap signal attenuation resulted in the decrease of an intracellular mature form of Adam10 and accordingly the reduced Notch S2 processing pre-

cedent to the NICD generation¹⁶. Adam10 has multiple targets in various cell types¹⁹, and Adam10-mediated extracellular cleavage of Dll1 was also reduced by *Sipa1* expression in T-ALL cells. Maturation of Adam10 is achieved by proprotein convertases such as Furin that proteolytically remove a prodomain of Adam10 in the Golgi-network before a mature form is transported to the plasma membrane¹⁷, and artificial inhibition of the proprotein convertase activity may result in the surface expression of the immature form of Adam10²⁴.

The majority of Rap1 is localized at the perinuclear Golgi-network²⁵, and our current results indicated that the inhibition of the Rap1 prenylation with GGTI caused a dislodgement of the Rap1 from the Golgi-network to the nuclei. Nuclear Rap1 sporadically detected in untreated Eph4 cells may represent unprenylated Rap1. The Rap1 dislodgement with GGTI was associated with the significant decrease of proprotein convertase activity. The convertase activity is activated by auto-cleavage of a prodomain and is regulated by various factors such as H⁺ and Ca²⁺ concentrations in the Golgi-network^{21,22}. Thus, it is suggested that the Rap signal at the Golgi-network membrane regulates the activation of proprotein convertases inside the Golgi-network. Although Furin also mediates intracellular Notch S1 processing, cell surface Notch expression was scarcely affected by the Rap signal inhibition, probably due to the expression of unprocessed Notch²⁶. The effects of GGTI can be broad in various cell types, however Notch-dependent T-ALL cell lines of humans and mice commonly showed much higher susceptibility to GGTI than other types of leukemia cells, suggesting that the Rap signal-mediated regulation of Adam10 maturation is crucially important in T-ALL cells. To support the notion, the exogenous expression of a mature form of *Adam10* significantly overcame the *Sipa1*-induced proliferation inhibition of FLO T-ALL cells. It is also noted that T-cell conditional deletion of *Adam10* causes

arrested thymic T-cell development, similar to T-cell conditional *Sip1* overexpression^{13,27}.

Although deregulated Rap activation in normal ETPs induces remarkably enhanced Notch-mediated proliferation, the effect is dependent on the Notch ligands provided by stroma cells, and thus the enhanced Rap signal alone is incapable of bypassing the ligand requirement¹¹. How Notch activation occurs cell-autonomously in T-ALL cells without other ligand-donor cells has been an open question, and several mechanisms have been reported. Activating Notch1 mutations, particularly at an HD region, may result in an intrinsic increase of spontaneous S2 cleavage²⁸. It was also reported that unique Notch isoforms with spontaneous S2 cleavage are generated via cryptic Notch1 promoters in murine T-ALL models²⁹. Our current results indicated that T-ALL cell lines express functional Notch ligands, and that the Notch activation and proliferation of those expressing intact Notch1 are significantly inhibited by the mixture of antibodies against the ligands. As expected, those of a T-ALL cell line with Notch1 receptor lacking the ligand-binding region were unaffected by the antibodies despite the ligand expression. The expression of *Jagged1* in the T-ALL cells was dependent on the Notch signal, apparently forming an auto-amplification circuit as reported in human macrophages³⁰. The results provide another mechanism for cell-autonomous Notch activation in T-ALL cells, in which Notch receptor engagement is achieved via a paracrine manner among the T-ALL cells, being reminiscent of “lateral” Notch activation³¹. Requirement of the intimate cell-cell contacts for the optimal proliferation of T-ALL cells is consistent with the notion.

Using a T-ALL model by the BMT of Rap1^{high} HPCs¹³, we also investigated the Notch ligand expression in the primary T-ALL cells *in vivo*. The results indicated that the initial intrathymic blast cells showed remarkably enhanced Notch expression but barely expressed Notch ligands. In contrast, the leukemic cells that spread and invaded into peripheral organs such as spleen, BM and liver significantly expressed the ligands on the cell surface with a remarkable increase of the transcripts. The possible mechanisms leading to the ligand expression under sustained Notch signaling in T-ALL cells remain to be investigated. A recent report indicates that a Toll-like receptor signal induces Notch ligand expression in collaboration with the Notch signal in macrophages²⁶. We reported that, unlike *Sip1*^{-/-} C3G-F⁺ HPCs, WT C3G-F⁺ HPCs caused a remarkable increase in the oligoclonal thymic blast cells with little systemic leukemia, implying that the Rap signal strength might influence the leukemic spread of blast cells¹². In any case, it may be suggested that the subclones of thymic blast cells expressing Notch ligands have an apparent advantage for the survival and proliferation outside the thymic tissues, owing to the liberation from the requirement of other ligand-donor cells. We thus propose that the Notch ligand expression may represent one of the early steps toward systemic T-ALL progression (Figure S6). It remains to be seen when and where the characteristic *Notch* mutations take place in the T-ALL-genic process, however *Notch* mutations leading to the Notch activation bypassing the ligand requirement²⁸ as well as other secondary genetic changes bypassing the Notch signaling per se³² may further aggravate the disease (Figure S6).

Our current results disclose a mechanistic link between the Rap signal and Notch activation in T-ALL cells and may provide a novel strategic clue for therapeutic control of human T-ALL.

Methods

Mice. C57BL/6 (B6) and scid mice were purchased from Japan SLC (Shizuoka, Japan) and CLEA Japan (Tokyo, Japan), respectively; *Sip1*^{-/-} mice were described previously³³. All mice were maintained under specific pathogen-free conditions at the Institute of Laboratory Animals, Graduate School of Medicine, Kyoto University, Kyoto, Japan, according to the University's guidelines for the treatment of animals. All protocols were approved by the committee on the ethics of animal experiments of Kyoto University (Permit Number: MedKyo14049). All efforts were made to minimize suffering.

Cell lines. Murine T-ALL cell lines were reported previously^{13,34}, and human T-ALL cell lines were obtained from RIKEN BRC, Saitama, Japan. FLO/rfTA-Sip1 and FLO/RapA17 cells were established by the transduction of FLO cells with pRetroX-Tight-Sip1 plus pRetroX-Tet-On Advant vector (Clontech, Palo Alto, CA) and pMSCV-hNGFR (MIN)-RapA17³¹, respectively. Because the addition of tag to *RapA17* cDNA abrogated the dominant negative effect, untagged *RapA17* cDNA was used. Mature form (m-) of *Adam10* cDNA truncated at the 7 residue-upstream Metalloprotease/Distintegrin domain was cloned from FLO cells with Flag-tagged at the 5'-terminus, and was integrated into a MIN retrovirus vector. FLO/rfTA-Sip1 cells were infected with the MIN/m-Adam10. The mammary epithelial Eph4 cell line was transduced with pNEBRX1-Hygro-³Spa-1^{Tr} and pNEBR-R1 (New England BioLabs Inc., Beverly, MA) (Eph4/Rheo-Sip1). The myoblastic cell line (C2C12) was provided by Dr. R. Kageyama, Institute for Virus Research, Kyoto University, Kyoto, Japan. Cell viability was assessed with Cell Titer Glo assay (Promega, Madison, WI).

Reagents. The γ -secretase inhibitor (GSI) (DAPT, Calbiochem, San Diego, CA), the geranylgeranyl transferase inhibitor (GGTI) (GGTI298, Sigma-Aldrich, Poole, UK), and the Rheo-switch ligand (RSL1, New England Biolabs Inc., Beverly, MA) were obtained commercially.

Flow cytometry. Multicolor flow cytometry analysis was performed with FACSCalibur flow cytometers (Becton Dickinson, San Jose, CA). Antibodies included biotin-conjugated anti-Notch1, anti-Notch2, anti-Notch3, anti-Notch4, anti-Jagged1, anti-Jagged2, anti-Dll1, anti-Dll4³⁵, PE-conjugated anti-mouse Adam10 (R&D Systems, Minneapolis, MN), and biotin-conjugated anti-human nerve growth factor receptor (NGFR) antibodies (BD Bioscience, San Jose, CA). PE/Cy7-conjugated and APC-conjugated streptavidin (BioLegend, San Diego, CA) served as second reagents.

Immunoblotting, immunoprecipitation, and pull-down assay. Cells were lysed with lysis buffer (150 mM NaCl, 50 mM Tris [pH 7.6], 0.5% Triton X-100, protease and phosphatase inhibitors) and subjected to immunoblotting. Antibodies included anti-Notch1 (C-20), anti-actin (I-19), anti-unprenylated Rap1 (C-17), anti-Dll1 (Santa Cruz Biotechnology, Santa Cruz, CA), anti-Hes1, anti-p27Kip1 (BD Transduction Laboratories, San Diego, CA), anti-Sip1³³, anti-Adam10 (ab39180) (Abcam, Cambridge, MA), and anti-Notch1/Val1744 (D3B3) (Cell Signaling Technology, Danvers, MA) antibodies. For detection of the S2 product of Notch1, the lysate was immunoprecipitated with anti-Notch1 (C-20) and protein A-conjugated beads and then immunoblotted with S2-cleavage (V1711) specific antibody as reported previously¹⁶. Rap1GTP was assessed by a pull-down assay.

Immunostaining. Cells grown on cover glasses were fixed with chilled 100% methanol, blocked in PBS containing 1% BSA (w/v), and then incubated with primary antibodies, followed by fluorophore-conjugated secondary antibodies. The primary antibodies used were mouse anti-mouse Furin (Enzo Life Science, Farmingdale, NY) and rabbit anti-Rap1A (Santa Cruz Biotechnology, Santa Cruz, CA). Second antibodies were Alexa-Fluor-488- or Cy3-conjugated antibodies. Nuclei were stained with 4, 6-diamidino-2-phenylindole (DAPI). Cover glasses were mounted on slides and examined by Axiovert 200M inverted fluorescent microscope (Carl Zeiss, New York, NY).

Notch ligand assay. Notch ligand activity was assessed as reported by Luo et al.³⁶. Briefly, normal thymocytes or T-ALL cells were cultured with C2C12 cell monolayers. Two days later, C2C12 cells were recovered by depleting CD45⁺ cells with rat anti-CD45 magnetic beads (Daynabeads, Life Technologies, Oslo, Norway), and *Notch1* and *Jagged1* transcripts were assessed with qRT-PCR.

Protein convertase assay. Cells were lysed with reaction buffer (500 mM HEPES, pH 7.0, 2.5% Triton X-100, 5 mM CaCl₂, 5 mM β -mercaptoethanol), and the lysates were incubated in black opaque 96-well plates (Perkin Elmer, Wellesley, MA) containing 0.1 mM Furin fluorogenic substrate (Calbiochem, La Jolla, CA) in the absence or presence of 5 mM EDTA. Fluorogenic intensity was measured with excitation at 355 nm and emission at 450 nm, 1 sec in every 1.5 min.

Quantitative real-time PCR. Total RNAs were isolated with TRIzol Reagent and treated with DNase I (Invitrogen, Carlsbad, CA), and cDNAs were synthesized with SuperScript III (Invitrogen, Carlsbad, CA). Quantitative real-time PCR (qRT-PCR) was performed with LightCycler 480 SYBR Green I Master Kit (Roche, Basel, Switzerland) on a LightCycler480 instrument (Roche, Basel, Switzerland). The relative transcripts levels were normalized to those of *Gapdh*. Primers were as follows; *Notch1*, sense; gcagatgctcagggtgtctt, antisense; agttgtgccatcatgcattc, *Notch3*, sense; catcaaccgttatgactgtctt, antisense; ctcttgatctccacgttgc, *Jagged1*, sense; agtggctgggtctgttct-, antisense; cattgttgggtgttctc, *Gapdh*, sense; tgttctaccaccaatgtgt, antisense; tttgaggagatgctcagtg-3'. *Adam10*, sense; ggggaagaatccaagctgaa, antisense; ctgtacacagggctcttgc.

Retroviral infection and BMT. Isolation of BM Lin⁻ HPCs and retroviral expression of C3G-F were performed as described before¹³. GFP⁺ cells were sorted with a FACSAria II (Becton Dickinson, San Jose, CA) and injected into 8.5 Gy γ -ray-irradiated B6 mice together with normal rescue BM cells.

Statistical analysis. Statistical analysis was performed using the Student's *t*-test.

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Acknowledgments

We are grateful to Dr. A. Sekine and Dr. E. Nakamura for help with genomic sequencing and database analysis. We also thank Dr. K. Nakayama for helpful discussion on proprotein convertases and Dr. M. Hikita for helping cDNA cloning. This work was supported by grants from the Ministry of Education, Culture, Science, Sports and Technology of Japan to N.M. and ERC-St grant 208259 to M.V.

Author contributions

K.D., T.I., C.K. and J.I. performed experiments and collected data; H.Y. and M.V. developed and provided antibodies; Y.A. and Y.H. helped gene construction and immunostaining, respectively; and N.M. designed the research and wrote the paper.

Additional information

Supplementary information accompanies this paper at <http://www.nature.com/scientificreports>

Competing financial interests: The authors declare no competing financial interests.

How to cite this article: Doi, K. *et al.* Crucial role of the Rap G protein signal in Notch activation and leukemogenicity of T-cell acute lymphoblastic leukemia. *Sci. Rep.* **5**, 7978; DOI:10.1038/srep07978 (2015).



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Improvement of prognosis in patients with metastatic renal cell carcinoma and Memorial Sloan-Kettering Cancer Center intermediate risk features by modern strategy including molecular-targeted therapy in clinical practice

Tomomi Kamba · Toshinari Yamasaki · Satoshi Teramukai · Noboru Shibasaki · Ryuichiro Arakaki · Hiromasa Sakamoto · Yoshiyuki Matsui · Kazutoshi Okubo · Koji Yoshimura · Osamu Ogawa

Received: 15 February 2013 / Accepted: 28 May 2013 / Published online: 28 June 2013
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Abstract

Objectives To identify the patient subgroups benefitting the most from the modern strategy including molecular-targeted therapy among patients with metastatic renal cell carcinoma (mRCC) in clinical practice.

Methods Retrospective analysis of 144 patients with mRCC diagnosed between 1992 and 2011 at Kyoto University Hospital was conducted. Multivariate analysis using the Cox proportional hazards model was conducted to identify prognostic factors associated with overall survival (OS). Subgroup analysis was conducted to identify patients who benefitted the most from molecular-targeted therapy.

Results Independent factors associated with worse OS are: tumors of histological type other than clear-cell, decreased hemoglobin (Hb), elevated lactate dehydrogenase (LDH), elevated C-reactive protein (CRP), and metastases at ≥ 3 sites. Median OS of patients treated with molecular-targeted therapy alone or with prior immunotherapy and those treated with immunotherapy alone was

57, 45 and 28 months, respectively. Molecular-targeted therapy had more effect on OS than immunotherapy alone among female patients, patients with Memorial Sloan-Kettering Cancer Center (MSKCC) intermediate risk features, and patients with metastatic progression less than 1 year after initial diagnosis of RCC, compared with their counterparts.

Conclusions The modern strategy including molecular-targeted therapy may improve OS in patients with mRCC and MSKCC intermediate risk features in clinical practice, relative to those with other risk features. However, the prognosis for patients with tumors of histological type other than clear-cell, decreased Hb, elevated LDH, elevated CRP, or metastases at ≥ 3 sites remains poor even in the modern molecular-targeted era. Novel treatment strategies are necessary to improve prognosis in these patients.

Keywords Immunotherapy · Molecular-targeted therapy · Prognosis · Renal cell carcinoma

Electronic supplementary material The online version of this article (doi:10.1007/s10147-013-0581-2) contains supplementary material, which is available to authorized users.

T. Kamba · T. Yamasaki · N. Shibasaki · R. Arakaki · H. Sakamoto · Y. Matsui · K. Okubo · K. Yoshimura · O. Ogawa (✉)

Department of Urology, Kyoto University Graduate School of Medicine, 54 Shogoin Kawahara-cho, Sakyo-ku, Kyoto 6068507, Japan
e-mail: ogawao@kuhp.kyoto-u.ac.jp

T. Kamba
e-mail: kamba@kuhp.kyoto-u.ac.jp

S. Teramukai
Translational Research Center, Kyoto University Hospital, Kyoto, Japan

Introduction

Pivotal randomized controlled trials (RCTs) of molecular-targeted agents [1–8] have led to the approval of several molecular-targeted agents for the treatment of patients with metastatic renal cell carcinoma (mRCC) in Western countries and Japan. In Japan, 2 tyrosine kinase inhibitors (TKIs), sunitinib and sorafenib, and 2 mammalian targets of rapamycin inhibitors (mTORIs), temsirolimus and everolimus, are available at present, and a third TKI, axitinib, has recently been approved for use in daily clinical practice. Several of these agents, such as sunitinib and temsirolimus, are superior to interferon-alpha (IFN- α) in improving overall survival (OS) and progression-free

survival (PFS) in the aforementioned RCTs. Therefore, molecular-targeted therapy has replaced immunotherapy as the standard for treatment of patients with mRCC.

On the other hand, recent reports have demonstrated a rather favorable outcome for Japanese patients with mRCC in the cytokine era [9–11]. In Japan, cytokine-based treatments frequently used to be continued even after disease progression. The authors mentioned this fact as one of the possible explanations for the better outcome in Japan than in western countries in the cytokine era. In addition, molecular-targeted agents have unique adverse event (AE) profiles which often lead to dose reduction or interruption, or discontinuation of the agents [12–14]. Consequently, in clinical practice, the recommended administration protocol of a molecular-targeted agent is often modified according to each patient's AE profile [15–17]. Heng et al. [18] reported an improved prognosis in mRCC patients treated with vascular endothelial growth factor (VEGF)-targeted agents, but the reported median OS in each Memorial Sloan-Kettering Cancer Center (MSKCC) risk group was quite similar to that observed in Japanese mRCC patients in the cytokine era. Therefore, we do not know whether the benefit observed in the RCTs is reproducible in clinical practice for Japanese mRCC patients. To date, only a limited number of studies have compared the outcomes of patients with mRCC treated with molecular-targeted therapy with those treated with immunotherapy in clinical practice [19–21]. In this study, we investigated which groups of patients with mRCC benefit the most from the modern treatment strategy including molecular-targeted therapy.

Methods

We enrolled 144 patients with mRCC who were treated at Kyoto University Hospital with immunotherapy alone or molecular-targeted therapy between January 1992 and December 2011. We collected clinical and pathological data from the patients' medical records including sex, history of prior nephrectomy, metastasectomy or radiation to metastatic sites, histological type of tumor and information from the initial diagnosis of metastatic disease such as era, age, Karnofsky performance status (KPS), MSKCC risk classification, time from the initial diagnosis of RCC to the first metastases, presence of symptoms, laboratory blood tests and sites of metastases. Survival data were collected as of the end of May 2012.

The significance of differences between the treatment groups with respect to age and follow-up period was assessed using the *t* test, whereas the significance of differences in the distribution of clinico-pathological characteristics was assessed using the Pearson's chi-squared test.

OS probabilities were calculated using the Kaplan–Meier method based on the time calculated from initial diagnosis of metastatic disease until either death due to any cause or the last follow-up date. To identify the prognostic factors associated with OS, we conducted univariate and multivariate Cox regression analyses with backward elimination. Cox regression analyses were used to compare OS between the treatment groups after adjusting for significant prognostic factors. For subgroup analysis, the *P* value for treatment based on the interaction among group characteristics after adjusting for significant prognostic factors was calculated using Cox regression analysis. Two-sided *P* values < 0.05 were considered statistically significant. All statistical analyses were performed using JMP version 8 (SAS institute, Cary, NC, USA). This study was in accordance with the ethical standards of the Ethical Committee at Kyoto University Graduate School of Medicine and the Declaration of Helsinki.

Results

Patient characteristics

The characteristics of 144 patients, including 50 patients treated with molecular-targeted therapy (MT group) and 94 patients treated with immunotherapy alone (IT group), are presented in Table 1. When the background characteristics are compared between the MT group and the IT group, there are no significant differences in the background characteristics except for the median follow-up period, the period until diagnosis of the first metastatic disease, the frequency of extra-pulmonary metastases or metastases at ≥ 3 sites, or the frequency of history of prior nephrectomy. The median follow-up period was 26 months in the MT group and 18 months in the IT group ($P = 0.968$). The difference in the era at diagnosis of the first metastatic disease between the 2 groups is due to the recent introduction of molecular-targeted agents into clinical practice for mRCC treatment. Compared with the IT group, the MT group was more likely to have extra-pulmonary metastases (74.0 vs. 54.3 %; $P = 0.021$) and tended to have metastases at ≥ 3 sites more frequently (18.0 vs. 7.4 %; $P = 0.055$). Nephrectomy was more commonly performed in the IT group than in the MT group (92.6 vs. 82.0 %; $P = 0.055$).

The molecular-targeted therapies used in the MT group are shown in Table 2. Among the 50 patients, 29 patients (58 %) were treated with IFN- α or interleukin-2 (IL-2) immunotherapy prior to the introduction of molecular-targeted agents and 27 patients (54 %) were treated with ≥ 2 molecular-targeted agents. 48 patients (96 %) had treatment histories of using at least one TKI. Only 2 patients

Table 1 Patient characteristics

	MT group (<i>n</i> = 50)		IT group (<i>n</i> = 94)		<i>P</i> *
	Median	Range	Median	Range	
Follow-up period (months)	26	4–210	18	2–218	0.968
Age (years)	62	19–82	63.5	30–86	0.925
	<i>n</i>	Frequency (%)	<i>n</i>	Frequency (%)	
Era at diagnosis of 1st metastatic disease					
Era I (1992–2001)	2	4.0	42	44.7	<0.0001
Era II (2002–2011)	48	96.0	52	55.3	
Sex					
Male	40	80.0	72	76.6	0.640
Female	10	20.0	22	23.4	
Prior nephrectomy					
Yes	41	82.0	87	92.6	0.055
No	9	18.0	7	7.4	
Tumor histological type					
Clear-cell	32	72.7	65	78.3	0.481
Other	12	27.3	18	21.7	
MSKCC risk classification					
Favorable	11	22.0	25	26.6	0.758
Intermediate	35	70.0	60	63.8	
Poor	4	8.0	9	9.6	
Karnofsky performance status					
≥80	36	72.0	72	76.6	0.544
≤70	14	28.0	22	23.4	
Initial diagnosis to 1st metastatic disease					
≥1 year	15	30.0	36	38.3	0.322
<1 year	35	70.0	58	61.7	
Symptoms due to metastasis					
Absent	22	44.0	40	42.6	0.867
Present	28	56.0	54	57.4	
Laboratory blood tests					
Hemoglobin level					
≥Lower limit of normal	42	84.0	71	75.5	0.239
<Lower limit of normal	8	16.0	23	24.5	
Neutrophil (%)					
≤Upper limit of normal	22	44.0	28	31.1	0.127
>Upper limit of normal	28	56.0	62	68.9	
Platelet count					
≤Upper limit of normal	45	90.0	78	83.0	0.256
>Upper limit of normal	5	10.0	16	17.0	
Lactate dehydrogenase level					
≤Upper limit of normal × 1.5	46	92.0	89	94.7	0.527
>Upper limit of normal × 1.5	4	8.0	5	5.3	
Albumin level					
≥Lower limit of normal	40	80.0	66	70.2	0.205
<Lower limit of normal	10	20.0	28	29.8	