

## 7 フラーレンナノウィスカーの成長に及ぼす溶液体積の影響

$C_{60}$ NW の成長には、温度、水、フラーレンの良溶媒と貧溶媒の組合せと組成<sup>24)</sup>、および光<sup>26)</sup>が影響することがわかっている。最近、竹屋らによりカリウム(K)を添加した数マイクロメートル長の短い $C_{60}$ NW が、超伝導体積分率 80% 程度となる良好な超伝導体となることが発見された<sup>27),28)</sup>。K 添加 $C_{60}$ NW 超伝導体は、その超伝導転移温度( $T_c$ )は約 17 K であって液体窒素温度レベルではないが、高磁場まで 30 万  $A \cdot cm^{-2}$  以上の高い超伝導臨界電流密度( $J_c$ )を維持し、かつ、密度が約  $2 g \cdot cm^{-3}$  程度であってたいへん軽いという特徴を有している。この短い $C_{60}$ NW は $C_{60}$ 飽

和トルエン溶液と IPA の組合せによる強制混合 LLIP 法によって作製したものである。強制混合 LLIP 法による $C_{60}$ NW の成長機構を探るために、溶液体積と $C_{60}$ NW のサイズがどのような関係になるのかを研究した<sup>29)</sup>。

異なった内径のガラスびんを 5 種類用意し、それぞれについて、 $C_{60}$  飽和トルエン溶液に等体積の IPA を重層して液-液界面を形成後、30 回手振り混合して核生成させたのち、 $15^\circ C$  で 8 日間低温恒温器に保管した。図 10 に示すように直線性の良い $C_{60}$ NW が合成された。図 11(a), (b), (c) に、各ガラスびんにおける $C_{60}$ NW の平均長さ、平均直径、平均アスペクト比(長さ/直径)と溶液体積との関係を示す。溶液体積をゼロに外挿して得られる各切片の値は、 $C_{60}$ NW 結晶

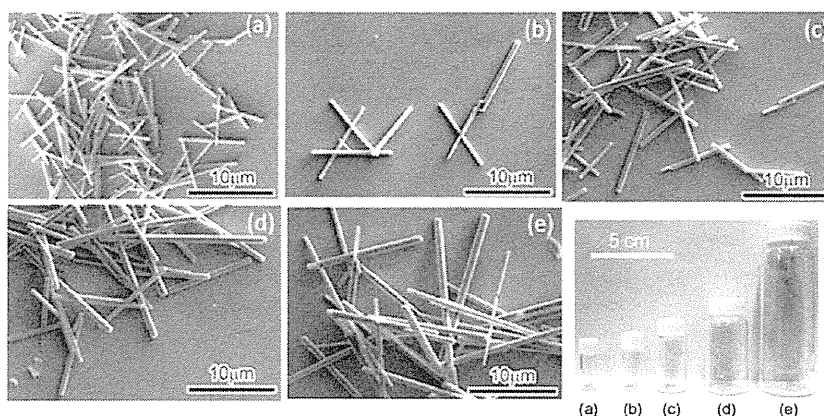


図 10 異なった内径のガラスびんで合成された $C_{60}$ NW の SEM 像<sup>29)</sup>

びん内径: (a) 10.0 mm, (b) 12.5 mm, (c) 18.0 mm, (d) 27.0 mm, (e) 36.5 mm.

各びんの溶液体積は、(a)  $1.5 cm^3$ , (b)  $3.0 cm^3$ , (c)  $8.0 cm^3$ , (d)  $20.0 cm^3$ , (e)  $80.0 cm^3$

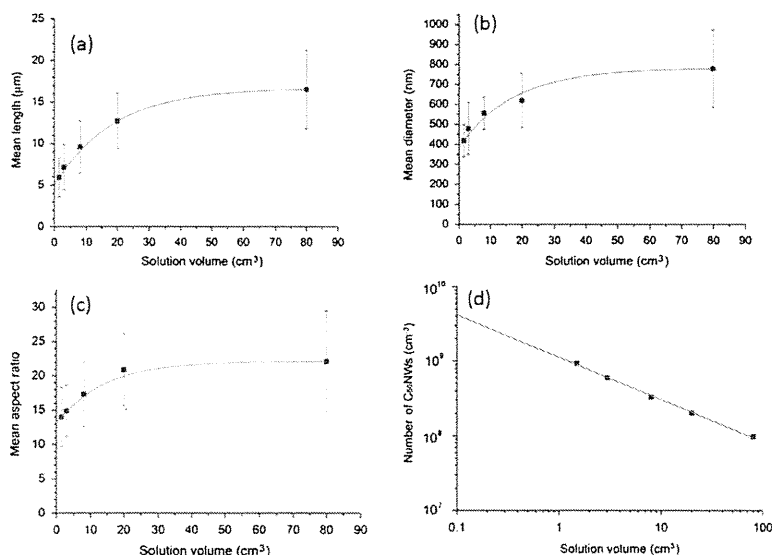


図 11 異なったサイズのびんで合成した $C_{60}$ NW の平均長さ(a)、平均直径(b)、アスペクト比(c)、溶液中の数密度(d)と溶液体積との関係<sup>29)</sup>

核の平均の大きさとアスペクト比を表していると考えられる。平均長さの切片は  $5.02 \mu\text{m}$ 、平均直径の切片は  $387 \text{ nm}$  であり、アスペクト比  $= 5.02 \mu\text{m} / 387 \text{ nm} = 13.0$  となる。一方、図 11(c) の切片から求められるアスペクト比は 13.1 であり、これは長さと同直径の切片から求められたアスペクト比 13.0 と良く一致している。このことは溶液体積をパラメータ ( $x$ ) とした  $\text{C}_{60}\text{NW}$  サイズの解析が正しいことを裏付けている。また、各ガラスびんにおける  $\text{C}_{60}\text{NW}$  の平均長さと同直径を用いて  $\text{C}_{60}\text{NW}$  の平均質量(乾燥時)を計算し、 $\text{C}_{60}$  の仕込み量から本数を算出して、溶液の単位体積当たりの  $\text{C}_{60}\text{NW}$  の本数(数密度)を計算したものを図 11(d) に示す。 $\text{C}_{60}\text{NW}$  の数密度( $y$ )の当てはめ曲線は、 $y = 1.12496 \times 10^9 / x^{0.5674}$  となった。これは、 $\text{C}_{60}\text{NW}$  の溶液中における数密度が、ほぼ溶液体積の平方根に反比例して変化することを示している。

## 8 ま と め

液-液界面析出法(LLIP法)によって、ナノワイヤ、ナノチューブ、ナノシート、マイクロチューブなどのフラレンナノマテリアルを作り出せることができる。これらは半導体であってトランジスタ、光センサーなどの多数種類の電子デバイスの作製に利用することができる。中でも、 $\text{C}_{60}\text{NW}$  にアルカリ金属を添加して超伝導体とすることに成功した。これはリニア形状の炭素が初めて超伝導体となった例である。フラレンナノマテリアルの応用にはサイズ制御技術を確立することが必要であり、成長メカニズムの研究を進めている。最近、良好な超伝導特性を示した短い  $\text{C}_{60}\text{NW}$  の大きさが、溶液体積の関数として整理できることが見出された。 $\text{C}_{60}\text{NW}$  の成長は溶媒の種類にも影響されるので、成長機構の解明には一層の研究が必要であるが、上記の方法は  $\text{C}_{60}\text{NW}$  の生成核のサイズと数を制御するために有用であろう。本稿以外にも、LLIP法で合成されたフラレン結晶は多数あり、それらの構造や物性は文献 31 に詳述されている。

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# Size- and shape-dependent pleural translocation, deposition, fibrogenesis, and mesothelial proliferation by multiwalled carbon nanotubes

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## Key words

Fibrosis, mesothelial proliferation, multiwalled carbon nanotubes, parietal pleura, pleural inflammation

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Multiwalled carbon nanotubes (MWCNT) have a fibrous structure similar to asbestos, raising concern that MWCNT exposure may lead to asbestos-like diseases. Previously we showed that MWCNT translocated from the lung alveoli into the pleural cavity and caused mesothelial proliferation and fibrosis in the visceral pleura. Multiwalled carbon nanotubes were not found in the parietal pleura, the initial site of development of asbestos-caused pleural diseases in humans, probably due to the short exposure period of the study. In the present study, we extended the exposure period to 24 weeks to determine whether the size and shape of MWCNT impact on deposition and lesion development in the pleura and lung. Two different MWCNTs were chosen for this study: a larger sized needle-like MWCNT (MWCNT-L;  $l = 8 \mu\text{m}$ ,  $d = 150 \text{ nm}$ ), and a smaller sized MWCNT (MWCNT-S;  $l = 3 \mu\text{m}$ ,  $d = 15 \text{ nm}$ ), which forms cotton candy-like aggregates. Both MWCNT-L and MWCNT-S suspensions were administered to the rat lung once every 2 weeks for 24 weeks by transtracheal intrapulmonary spraying. It was found that MWCNT-L, but not MWCNT-S, translocated into the pleural cavity, deposited in the parietal pleura, and induced fibrosis and patchy parietal mesothelial proliferation lesions. In addition, MWCNT-L induced stronger inflammatory reactions including increased inflammatory cell number and cytokine/chemokine levels in the pleural cavity lavage than MWCNT-S. In contrast, MWCNT-S induced stronger inflammation and higher 8-hydroxydeoxyguanosine level in the lung tissue than MWCNT-L. These results suggest that MWCNT-L has higher risk of causing asbestos-like pleural lesions relevant to mesothelioma development.

**P**leural plaque and malignant mesothelioma are characteristic lesions in asbestos-exposed humans and usually originate from the parietal pleura.<sup>(1,2)</sup> Properties of asbestos fibers, including dimension, chemical composition, surface reactivity, durability and biopersistence, asbestos deposition-induced oxidative stress and inflammation, and simian virus 40 infection have all been implicated in the pathogenesis of pleural diseases, especially malignant mesothelioma.<sup>(3,4)</sup>

High concentrations of asbestos fibers have been found in the black spots of the parietal pleura<sup>(5)</sup> and detected in pleural plaques and malignant mesothelioma<sup>(6)</sup> in asbestos-exposed patients, suggesting that deposition of asbestos fibers in the parietal pleura is an early event and plays an important role in the pathogenesis of pleural lesions. However, why parietal pleura are the initial and preferential targets of asbestos is not known. According to an explanatory paradigm suggested by Donaldson *et al.*,<sup>(7)</sup> a fraction of the fibers in the lung are routinely transported into the pleural cavity through unidentified routes. Unlike spherical particles and short fibers, long fibers cannot be cleared effectively through the stomata (small holes

in the parietal pleura), resulting in the long fibers being trapped and deposited in the parietal pleura. This deposition causes pro-inflammatory, genotoxic, and mitogenic responses in the deposition sites.<sup>(7)</sup>

Carbon nanotubes have a fibrous structure with a high aspect ratio. This structural feature, shared with asbestos, raises concern that widespread use of carbon nanotubes may lead to asbestos-like diseases in exposed humans.<sup>(8,9)</sup> Multiwalled carbon nanotubes (MWCNT) directly injected into the peritoneal cavity or the scrotum in rodents induce mesothelial lesions, including malignant mesothelioma,<sup>(10–13)</sup> suggesting that inhaled MWCNT may lead to pleural plaque and mesothelioma if fibers enter the pleural cavity. Furthermore, MWCNT administered to the lung has been found to translocate into the pleural cavity and induce inflammation in the pleural cavity and mesothelial cell proliferation in the visceral pleura in mice and rats.<sup>(14–16)</sup> However, deposition of MWCNT and induction of associated lesions in the parietal pleura have not been reported.

The pleural responses to fibrous particles that deposit in the pleural cavity depend on the size of the particle. Murphy

*et al.*<sup>(17)</sup> reported that intrapleural injection of long (>15  $\mu\text{m}$ ) but not short (<4  $\mu\text{m}$ ) MWCNT caused persistent inflammation and fibrosis of the parietal pleura up to 24 weeks post-treatment. Similarly, Schinwald *et al.*<sup>(18)</sup> reported that injection of silver nanofibers with different lengths into the pleural cavity showed a clear length threshold effect, indicating that fibers longer than 4  $\mu\text{m}$  were pathogenic to the pleura.

The main purpose of the present study was to determine if the size and shape of inhaled MWCNT impact on deposition and associated lesion development in the parietal and visceral pleura. Two different MWCNT were chosen for this study: larger needle-like MWCNTs (MWCNT-L,  $l = 8 \mu\text{m}$ ,  $d = 150 \text{ nm}$ ) and smaller-sized MWCNT (MWCNT-S,  $l = 3 \mu\text{m}$ ,  $d = 15 \text{ nm}$ ) that form cotton candy-like aggregates. We gave relatively high doses (125  $\mu\text{g}/\text{rat} \times 13 \text{ doses}$ ) of the two MWCNT suspensions over a 24-week period to the rat lung by transtracheal intrapulmonary spraying (TIPS) in order to examine detectable fibers and associated inflammatory and proliferative lesions in the pleura.

## Materials and Methods

**Animals.** Eight-week-old male F344 rats (Charles River, Kanagawa, Japan) were housed on a 12:12 h light:dark cycle and received Oriental MF basal diet (Oriental Yeast, Tokyo, Japan) and water *ad libitum*. The study was conducted according to the Guidelines for the Care and Use of Laboratory Animals of Nagoya City University Medical School (Nagoya, Japan) and the experimental protocol was approved by the Institutional Animal Care and Use Committee (H22M-19).

**Preparation of MWCNT suspensions.** We used two types of MWCNTs grown in the vapor phase. The larger-sized MWCNTs (MWCNT-L) had a primary mean length of 8  $\mu\text{m}$  and a diameter of 150 nm, and the smaller-sized MWCNTs (MWCNT-S) had a primary mean length of 3  $\mu\text{m}$  and a diameter of 15 nm. Five milligrams of MWCNT-L or MWCNT-S were suspended in 20 mL saline containing 0.5% Pluronic F68 (PF68, non-ionic, biocompatible amphiphilic block copolymers; Sigma-Aldrich, St. Louis, MO, USA) and homogenized for 1 min four times at 3000 rpm in a Polytron PT1600E benchtop homogenizer (Kinematika, Littau, Switzerland). The suspensions were sonicated for 30 min shortly before use to minimize aggregation. The concentration of MWCNTs was 250  $\mu\text{g}/\text{mL}$ . The lengths of MWCNT-L in the suspensions were determined using a digital map meter (Comcurve-9 Junior; Koizumi Sokki, Nigata, Japan) on SEM photographs. Characterization of MWCNT including shape, elemental analysis, and size distribution is shown in Figure S1.

**Transtracheal intrapulmonary spraying of MWCNTs into the lung and pleural cavity lavage.** Spraying of MWCNT suspensions into the lung and pleural cavity lavage (PCL) were carried out as previously described.<sup>(15,19)</sup> Ten-week-old male Fisher 344 rats were divided into four groups of six animals each. Group 1 did not receive any treatment, and Groups 2, 3, and 4 were given 0.5 mL saline containing 0.5% PF68 or 250  $\mu\text{g}/\text{mL}$  MWCNT-L or MWCNT-S suspensions by TIPS under anesthesia by isoflurane once every 2 weeks, 13 times over a 24-week period. The total amount of the MWCNT fibers given to Groups 3 and 4 was  $13 \times 0.125 = 1.625 \text{ mg}/\text{rat}$ . Twenty-four hours after the last TIPS, the rats were placed under deep isoflurane anesthesia and PCL was carried out. The rats were then killed by exsanguination from the inferior vena cava. The left lung was frozen in liquid nitrogen for biochemi-

cal analysis, and the right lung, as well as other major organs and lymph nodes, were processed for histological examination.

**Light microscopy, polarized light microscopy, and SEM.** The MWCNT fibers in H&E stained slides of lung tissue, PCL cell pellets, and chest wall sections were observed with polarized light microscopy (PLM, BX51N-31P-O; Olympus, Tokyo, Japan) at  $\times 1000$  magnification. The exact localization of the illuminated fibers was confirmed in the same H&E stained sections after removing the polarizing filter.

For SEM, H&E stained slides were immersed in xylene for 2–3 days to remove the cover glass, immersed in 100% ethanol for 10 min to remove the xylene, and air-dried for 2 h at room temperature. The slides were then coated with platinum for viewing the MWCNT fibers by SEM (Model S-4700 Field Emission Scanning Electronic Microscope; Hitachi High Technologies, Tokyo, Japan) at 5–10 kV.

**Azan–Mallory staining and measurement of the thickness of the parietal and visceral pleura.** To clearly visualize collagen fibers in the lung and the pleura, Azan–Mallory staining was carried out using Azan staining reagents (Muto Pure Chemicals, Tokyo, Japan). The thickness of the pleura was measured on the basis of the Azan–Mallory stained sections (Fig. S2). For the rats treated with MWCNT-L, only the parietal and visceral pleural regions with observed MWCNT-L fibers by PLM were measured. Because obvious thickening of the pleura was not observed in the rats treated with PF68 or MWCNT-S, six pleural regions in each parietal and visceral pleura of each rat were randomly selected for measurement.

**Analysis of inflammatory reaction in the pleural cavity.** Cells in the lavage fluid were counted using a hemocytometer (Erma, Tokyo, Japan), and the cellular fraction was then isolated by centrifugation at 200g for 5 min at 4°C. To make cell pellets, cells collected from three rats were combined (generating a total of two cell pellets per group) and resuspended in 0.2 mL of 1% sodium alginate (Sigma-Aldrich) by pipetting. The suspension was then solidified by addition of one drop of 1 M  $\text{CaCl}_2$ . The cell pellets were fixed in 4% paraformaldehyde and processed for histological examination. Total protein in the supernatants of each of the lavage fluids was determined using the Pierce BCA Protein Assay Kit (Thermo Fisher Scientific, Rockford, IL, USA). Cytokines and chemokines were analyzed as described below.

**Analysis of cytokines and chemokines by Multiplex Suspension Array.** Approximately 100 mg of the left lung tissues was rinsed with cold PBS three times and homogenized in 1 mL T-PER Tissue Protein Extraction Reagent (Pierce, Rockford, IL, USA), containing 1% (v/v) proteinase inhibitor cocktail (Sigma-Aldrich). The homogenates were clarified by centrifugation at 10 000 g for 5 min at 4°C. Protein content was measured as described above. The levels of 20 cytokines and chemokines (interleukin [IL]-1 $\alpha$ , IL-1 $\beta$ , IL-2, IL-4, IL-6, IL-12 [p70], IL-17, IL-18, granulocyte/macrophage colony-stimulating factors [GM-CSF], granulocyte colony-stimulating factor [G-CSF], tumor necrosis factor [TNF]- $\alpha$ ,  $\gamma$ -interferon, monocyte chemoattractant protein [MCP]1, macrophage inflammatory protein [MIP]1 $\alpha$ , MIP2, interferon gamma-induced protein [IP]-10, regulated on activation, normal T cell expressed and secreted [RANTES], growth related oncogene/keratinocyte-derived cytokine [GRO/KC], vascular endothelial growth factor [VEGF], and epidermal growth factor [EGF]) in the lung tissue extracts and in the supernatants of the lavage fluids were measured by the Multiplex MAP Rat Cytokine/Chemokines Magnetic Bead Panel (Filgen, Nagoya, Japan).

**Immunohistochemistry.** CD68, proliferating cell nuclear antigen (PCNA), and mesothelin/Erc were detected using anti-rat CD68 antibodies (BMA Biomedicals, Augst, Switzerland), anti-

PCNA mAbs (Clone PC10; Dako Japan, Tokyo, Japan) and anti-rat C-ERC/mesothelin polyclonal antibodies (Immuno-Biological Laboratories, Gunma, Japan). The CD68, PCNA, and C-ERC/mesothelin antibodies were diluted 1:100, 1:200, and 1:1000, respectively, in blocking solution and applied to deparaffinized slides, and the slides were incubated at 4°C overnight. The slides were then incubated for 1 h with biotinylated species-specific secondary antibodies diluted 1:500 (Vector Laboratories, Burlingame, CA, USA) and visualized using avidin-conjugated HRP complex (ABC kit; Vector Laboratories).

**Statistical analysis.** Statistical analysis was carried out using ANOVA. Statistical significance was analyzed using two-tailed Student's *t*-test. A *P*-value of <0.05 was considered to be significant.

## Results

**Deposition of MWCNT-L in the pleura.** Observation of the pleural tissue sections with PLM and SEM indicated that MWCNT-L deposited in the parietal pleura in four out of six rats, most of the fibers being located in fibrotic parietal pleura, with a few piercing and penetrating into the parietal mesothelium (Fig. 1a–c); MWCNT-L was also found in the visceral pleura (Fig. 1d–f).

Smaller sized MWCNT did not cause polarization and consequently were not detected by PLM (Fig. 1h,k); therefore, observation of MWCNT-S was made mainly by SEM. The MWCNT-S were not found in either the parietal (Fig. 1g–i) or visceral (Fig. 1j) pleura and were often found phagocytosed in alveolar macrophages close to the visceral pleura (Fig. 1j–l).

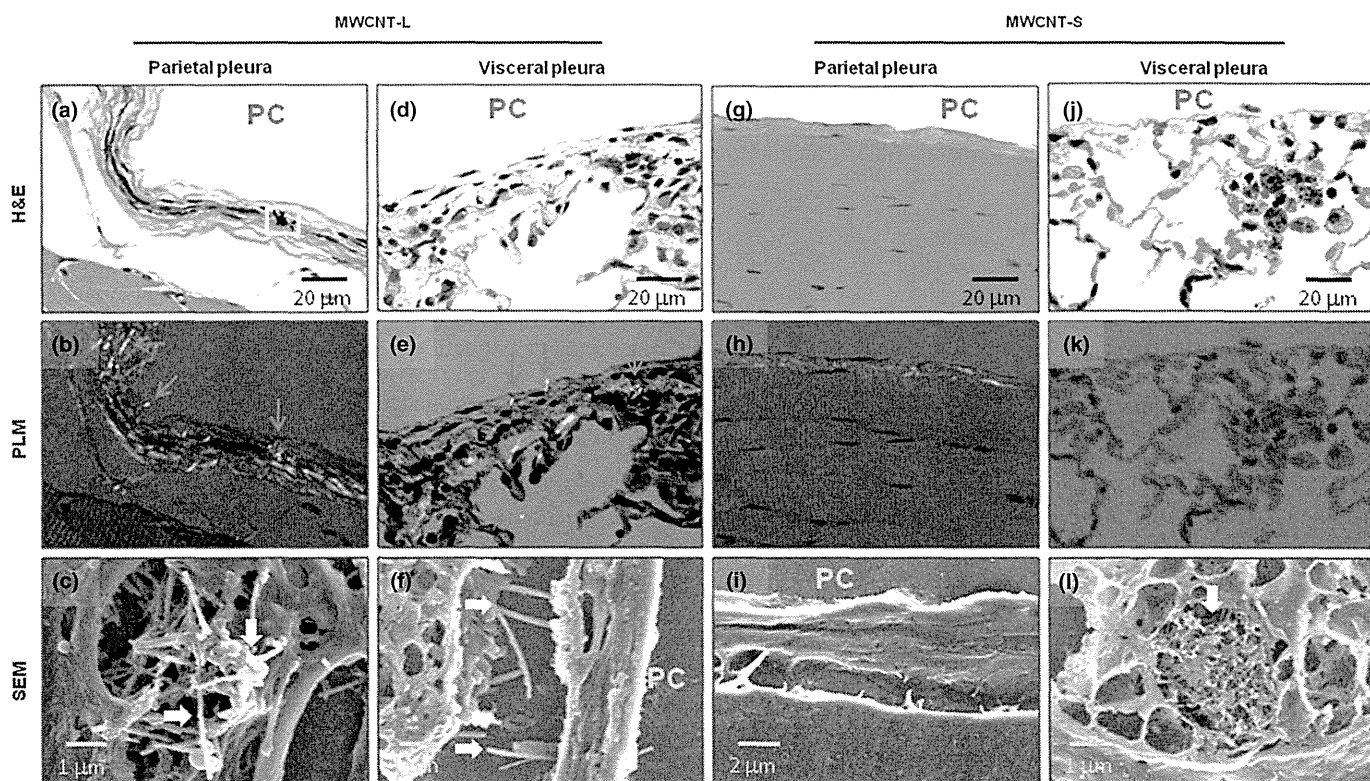
**Fibrosis and mesothelial proliferation in the pleura.** Deposition of MWCNT-L in the parietal and visceral pleura was preferen-

tially localized in thickened fibrotic lesions (Fig. 1a,d). Azan–Mallory staining indicated that the thickened lesions were composed of collagen fibers (Fig. 2a). The thickness of the parietal and visceral pleura with deposition of MWCNT-L was  $28.75 \pm 10.43 \mu\text{m}$  and  $18.92 \pm 10.13 \mu\text{m}$ , respectively, both lesions showing a significant increase compared to those in the rats treated with MWCNT-S ( $7.28 \pm 4.37 \mu\text{m}$  and  $6.16 \pm 2.05 \mu\text{m}$ ) or with the dispersing agent, PF68, alone ( $7.16 \pm 4.95 \mu\text{m}$  and  $4.57 \pm 1.23 \mu\text{m}$ ; Fig. 2b). An increase in the thickness of the visceral pleura of the rats treated with MWCNT-S compared with the PF68-treated rats was also observed (Fig. 2b).

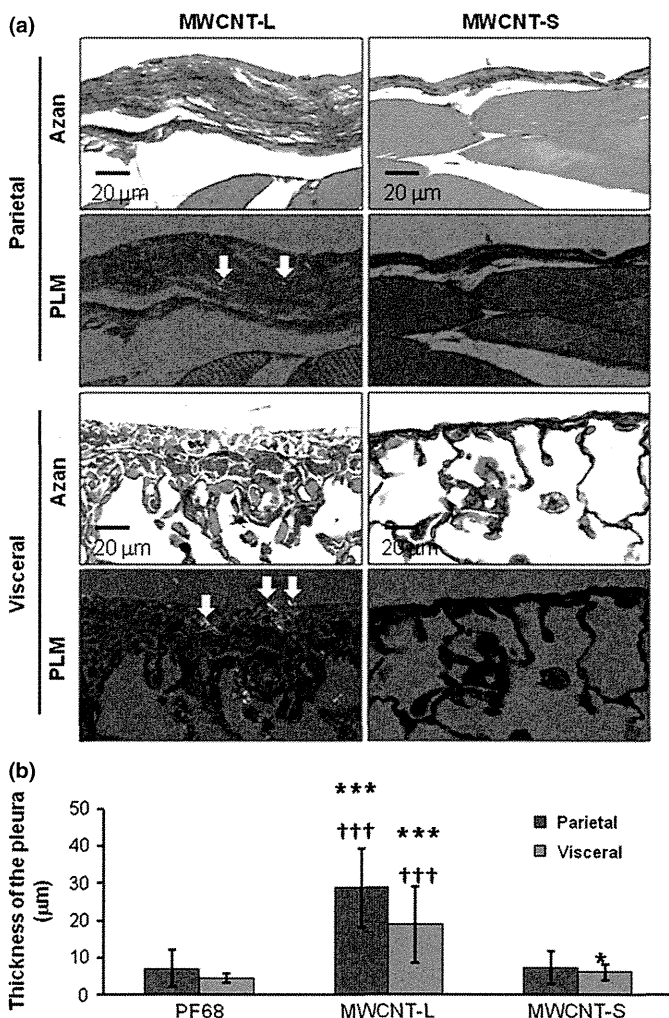
Neoplastic development was not found in the parietal or visceral pleura of either the MWCNT-L or MWCNT-S groups; however, in the MWCNT-L group, patchy foci of mesothelial cell proliferation were observed in the parietal pleura (Fig. 3a) and PCNA indices were significantly increased in both parietal and visceral mesothelium. The PCNA indices of the MWCNT-S group were comparable to those of the PF68 treated rats (Fig. 3b).

**Translocation of MWCNT-L into the pleural cavity.** In the cell pellets of the PCL, MWCNT-L was found with both PLM and SEM observations. Larger sized MWCNT fibers were observed mainly within and/or attached to the cell surface of macrophages (Fig. 4a). The ratio of the MWCNT-L containing macrophages to the total cell count was approximately 1:1800 and the average length of MWCNT-L was  $6.23 \pm 4.11 \mu\text{m}$  (data not shown). Smaller sized MWCNT could not be detected (Fig. 4a).

**Inflammation in the pleural cavity.** Both MWCNT-L and MWCNT-S treatments caused inflammatory reactions in the

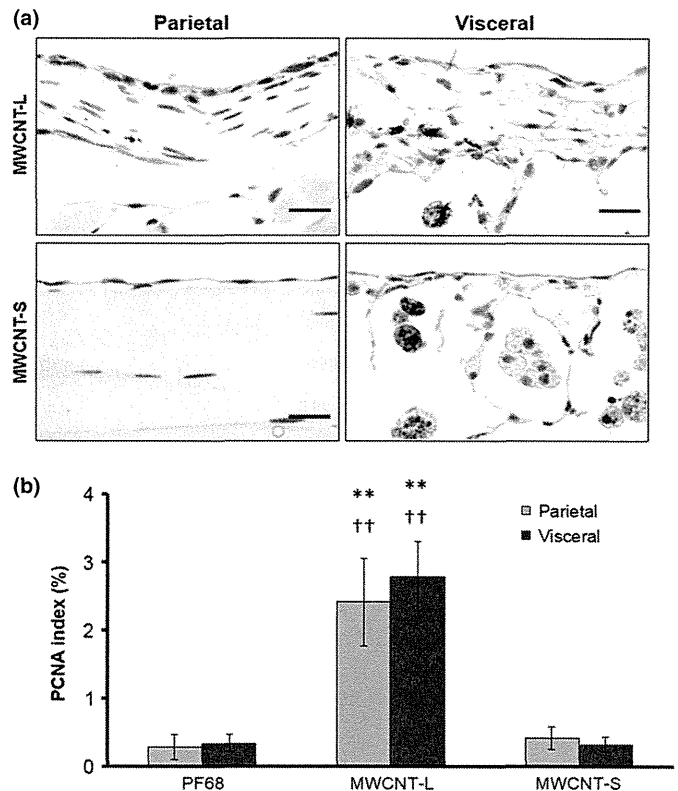


**Fig. 1.** Evidence of multiwalled carbon nanotube (MWCNT) fibers in the pleura. Existence of MWCNT fibers in the parietal (a–c, g–i) and visceral (d–f, j–l) pleura of rats treated with larger sized MWCNT (MWCNT-L;  $l = 8 \mu\text{m}$ ,  $d = 150 \text{ nm}$ ) (a–f) or smaller sized MWCNT (MWCNT-S;  $l = 3 \mu\text{m}$ ,  $d = 15 \text{ nm}$ ) (g–l) was examined by polarized light microscopy (PLM) (d, e, h, k) and SEM (c, f, i, l). The area in (a) denoted by the square was subjected to SEM observation, shown in (c). Arrows indicate MWCNT fibers. PC, pleural cavity.



**Fig. 2.** Azan-Mallory (Azan) staining and thickness of the parietal and visceral pleura. (a) Azan-Mallory staining images and polarized light microscopy (PLM) images of the parietal and visceral pleura in rats sprayed with larger sized multiwalled carbon nanotubes (MWCNT-L;  $l = 8 \mu\text{m}$ ,  $d = 150 \text{ nm}$ ) or smaller sized MWCNT (MWCNT-S;  $l = 3 \mu\text{m}$ ,  $d = 15 \text{ nm}$ ). (b) Quantification of the thickness of the parietal and visceral pleura of rats treated with Pluronic F68 (PF68), MWCNT-L, or MWCNT-S on the basis of Azan-Mallory stained images. \* $P < 0.05$  versus PF68; \*\*\* $P < 0.001$  versus PF68; ††† $P < 0.001$  MWCNT-L versus MWCNT-S by two-tailed Student's  $t$ -test. Arrows indicate MWCNT fibers.

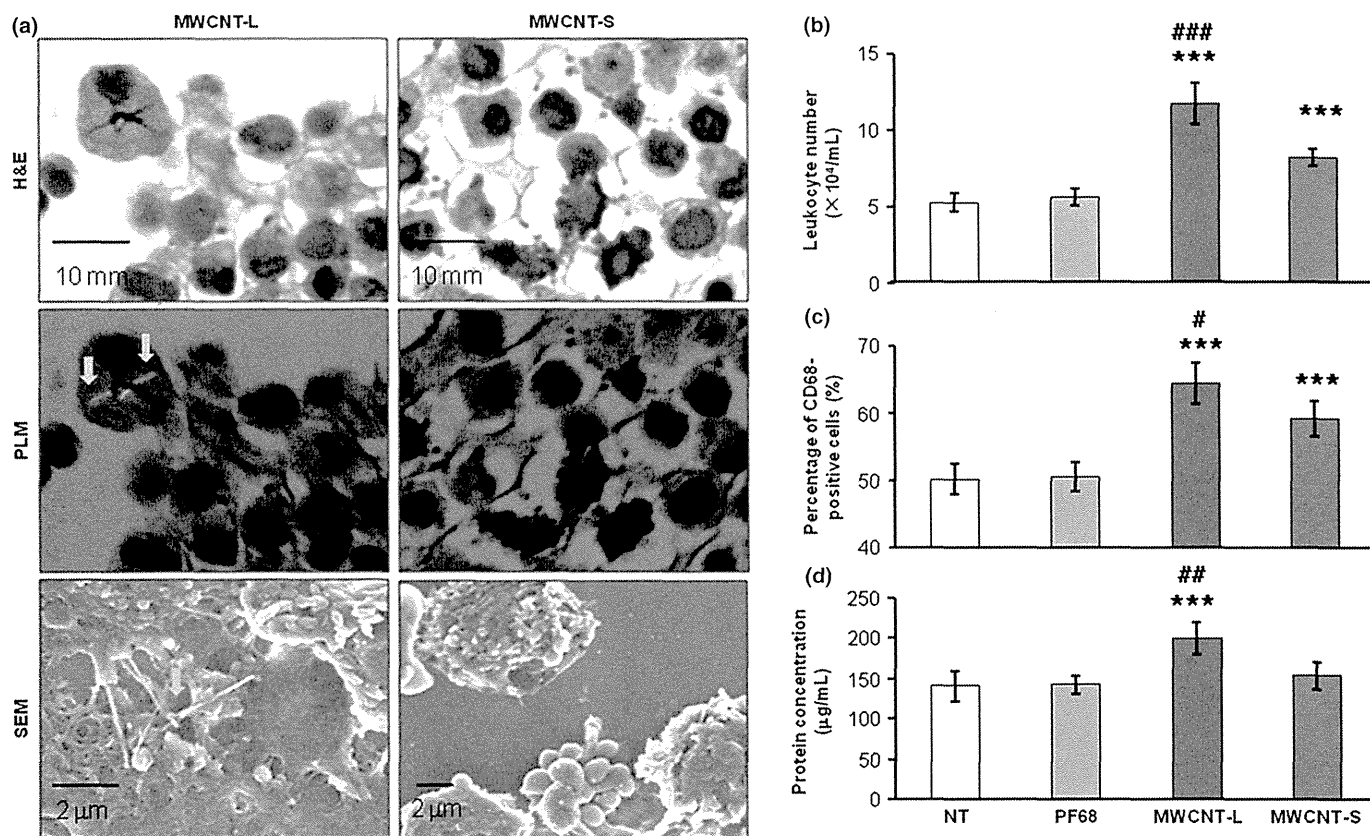
pleural cavity. In the PCL, the total cell number, composed mostly of macrophages, neutrophils, eosinophils, and lymphocytes, in the MWCNT-L and MWCNT-S treated groups was significantly increased compared with the PF68 group. The PCL cell number in the MWCNT-L group was significantly greater than in the MWCNT-S group (Fig. 4b). A similar pattern was observed for the ratio of cells positive for CD-68, a macrophage/monocyte marker (Fig. 4c). The ratios of cells in the PCL pellets positive for mesothelin/Erc, a mesothelial cell marker, were approximately 1%, indicating that the increased cell number in the pleural cavity of the rats treated with MWCNT-L and MWCNT-S was caused by inflammatory cell effusion, not by mesothelial cell shedding from the mesothelium. Treatment with MWCNT-L also caused an increase in the total protein level of the cell-free PCL (Fig. 4d). Analysis



**Fig. 3.** Cell proliferation of the parietal and visceral mesothelium. (a) Representative proliferating cell nuclear antigen (PCNA) immunostained images of the parietal and visceral pleural regions of rats treated with larger sized multiwalled carbon nanotubes (MWCNT-L;  $l = 8 \mu\text{m}$ ,  $d = 150 \text{ nm}$ ) or smaller sized MWCNT (MWCNT-S;  $l = 3 \mu\text{m}$ ,  $d = 15 \text{ nm}$ ). (b) PCNA indices (percentages of PCNA positive mesothelial cells in total mesothelial cells). Scale bar =  $20 \mu\text{m}$ . \*\* $P < 0.01$  versus Pluronic F68 (PF68); †† $P < 0.01$  MWCNT-L versus MWCNT-S.

of 20 cytokines and chemokines by Multiplex Suspension Array indicated that the levels of IP-10, RANTES, IL-2, and IL-18 were significantly higher in the MWCNT-L group than the MWCNT-S group (Table 1).

**Toxicological responses in the lung.** In the lung tissue, both MWCNT-L and MWCNT-S treatments induced small granulation foci and scattered infiltration of macrophages in the alveoli (Fig. S3A,B). Alveolar neoplastic proliferation was not found. The number of alveolar macrophages was higher in the MWCNT-S group than in the MWCNT-L group. We were unable to quantitatively analyze the alveolar macrophage number, because most of the alveolar macrophages induced by MWCNT-S were degenerative or necrotic. Most of the MWCNT-L fibers were found within alveolar macrophages (Fig. S3C), with a few penetrating the alveolar epithelium (Fig. S3D), whereas MWCNT-S fibers were observed in alveolar macrophages, but not in the alveolar epithelium (Fig. S3E). Multiplex Suspension Array analysis of 20 cytokines and chemokines in the lung tissue indicated that the levels of MIP1 $\alpha$ , MIP2, MCP1, IP10, IL-1 $\beta$ , IL-18, and VEGF were significantly higher in the MWCNT-S group than in the MWCNT-L group; the values of GRO/KC and IL-1 $\alpha$  were elevated in both the MWCNT-L and MWCNT-S treated groups without an intergroup difference. The level of RANTES was significantly higher in the MWCNT-L group than the MWCNT-S group, and the other 10 cytokines were compara-



**Fig. 4.** Demonstration of multiwalled carbon nanotube (MWCNT) fibers and analysis of inflammatory reactions in the pleural cavity. (a) H&E staining, polarized light microscopy (PLM), and SEM images of pleural cell pellets taken from rats treated with larger sized MWCNT (MWCNT-L;  $l = 8 \mu\text{m}$ ,  $d = 150 \text{ nm}$ ) or smaller sized MWCNT (MWCNT-S;  $l = 3 \mu\text{m}$ ,  $d = 15 \text{ nm}$ ). Arrows indicate MWCNT fibers. (b–d) Analysis of leukocyte number (b), proportion of CD 68-positive cells (c), and protein concentration (d) in the supernatants of pleural cavity lavages.  $***P < 0.001$  versus Pluronic F68 (PF68);  $\#P < 0.05$ ,  $\#\#P < 0.01$ , and  $\#\#\#P < 0.001$  MWCNT-L versus MWCNT-S by two-tailed Student's *t*-test. NT, no treatment.

ble among the PF68, MWCNT-L, and MWCNT-S treated groups (Table 1). Smaller sized MWCNT were more potent than MWCNT-L in inducing 8-hydroxydeoxyguanosine (8-OHdG), a marker for oxidative stress, in the lung tissue (Fig. S3F).

**Transportation of MWCNT-L to extrapulmonary organs.** In addition to the lung and pleura, MWCNT-L was found in extrapulmonary organs. Polarized light microscopy observations indicated that MWCNT-L was transported to the mediastinal (Fig. S4A), submandibular (Fig. S4B), and mesenteric (Fig. S4C) lymph nodes, with many more fibers in the mediastinal lymph nodes than in the other examined lymph nodes. A few MWCNT-L fibers were also observed in the liver (Fig. S4D), kidney (Fig. S4E), spleen (Fig. S4F), and brain (Fig. S4G). Examination with SEM did not detect MWCNT-S in these organs.

**Cytotoxicity *in vitro*.** Smaller sized MWCNT were more potent than MWCNT-L in lowering cell viability of primary rat alveolar macrophages, human mesothelioma cells, human lung carcinoma cells, and human lung fibroblasts *in vitro* (Fig. S5).

## Discussion

Multiwalled carbon nanotubes, when injected into the peritoneal cavity or the scrotum, results in the development of mesothelioma.<sup>(11–13)</sup> It is of great interest to know whether

pulmonary exposure leads to migration of MWCNT into the pleural cavity. Our previous study showed that short-term exposure of the lung to MWCNT resulted in fiber translocation into the pleural cavity and induction of pleural inflammation and fibrosis and mesothelial cell proliferation in the visceral pleura.<sup>(15)</sup> Similarly, Porter *et al.* and Mercer *et al.* showed that MWCNT could reach the visceral pleura<sup>(20)</sup> and enter the pleural cavity.<sup>(14)</sup> Furthermore, Mercer *et al.*<sup>(16)</sup> showed that MWCNT was transported to the muscle tissue of the chest wall and distant organs. Multiwalled carbon nanotubes were not found in the parietal pleura in these studies, probably due to short exposure periods and/or low doses.

Development of asbestos-induced pleural malignant mesothelioma in humans is a long-term process with a latency of up to tens of years,<sup>(2)</sup> indicating this is a cumulative effect of the fibers and associated pathogenic responses in the pleura. Thus, accurate modeling of human exposure to asbestos-like fibers and related pathogenesis in rodents is difficult. One solution to this problem is to increase exposure doses in animals. Therefore, in the present study, we sprayed a relatively high dose of MWCNT into the rat lung for a longer exposure period. The dosing was much higher than the recommended exposure limit of  $1 \mu\text{g}/\text{m}^3$  to carbon nanotubes and carbon nanofibers for an 8-h time-weight average proposed by the US National Institute of Occupational Safety and Health in 2013 (<http://www.cdc.gov/niosh/docs/2013-145/>).



Table 1. Cytokines/chemokines in the pleural cavity lavage and lung tissue of rats treated with multiwalled carbon nanotubes (MWCNT)

Cytokines/chemokines	Pleural cavity lavage (pg/mL)			Lung tissue (pg/mg protein)		
	PF68	MWCNT-L	MWCNT-S	PF68	MWCNT-L	MWCNT-S
G-CSF	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.
GM-CSF	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.
MIP1 $\alpha$	n.d.	n.d.	n.d.	63.8 $\pm$ 16.2	120.7 $\pm$ 21.0***	331.5 $\pm$ 90.4***,†††
MIP2	n.d.	n.d.	n.d.	12.4 $\pm$ 6.1	27.2 $\pm$ 4.9***	59.7 $\pm$ 16.7***,††
MCP1	n.d.	n.d.	n.d.	18.0 $\pm$ 13.9	39.1 $\pm$ 16.3*	213.1 $\pm$ 45.8***,†††
TNF- $\alpha$	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.
IFN- $\gamma$	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.
GRO/KC	n.d.	n.d.	n.d.	425.2 $\pm$ 194.3	1105.4 $\pm$ 395.7**	1353.1 $\pm$ 362.6***
IP10	1.5 $\pm$ 1.5	8.9 $\pm$ 2.3***,†††	2.3 $\pm$ 2.3	35.6 $\pm$ 5.8	49.9 $\pm$ 4.4***	64.0 $\pm$ 11.0***,†
RANTES	3.8 $\pm$ 0.9	6.9 $\pm$ 1.9***,†††	4.1 $\pm$ 0.7	556.0 $\pm$ 128.6	531.7 $\pm$ 127.9††	335.4 $\pm$ 61.3**
IL-1 $\alpha$	n.d.	n.d.	n.d.	56.9 $\pm$ 14.4	85.3 $\pm$ 7.3**	84.5 $\pm$ 14.0**
IL-1 $\beta$	n.d.	n.d.	n.d.	73.0 $\pm$ 19.3	103.7 $\pm$ 18.6*	154.5 $\pm$ 17.6***,†††
IL-4	n.d.	n.d.	n.d.	3.7 $\pm$ 3.0	4.2 $\pm$ 3.4	3.8 $\pm$ 1.9
IL-2	1.2 $\pm$ 1.9	14.1 $\pm$ 5.5***,††	5.2 $\pm$ 5.9	18.3 $\pm$ 4.1	18.9 $\pm$ 3.0	20.3 $\pm$ 7.7
IL-6	n.d.	n.d.	n.d.	29.1 $\pm$ 14.1	27.0 $\pm$ 13.5	32.6 $\pm$ 13.4
IL-12p70	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.
IL-17 $\alpha$	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.
IL-18	66.3 $\pm$ 17.0	108.4 $\pm$ 25.1**,†	70.4 $\pm$ 21.5	2294.8 $\pm$ 495.2	2471.8 $\pm$ 391.7	3085.6 $\pm$ 418.4*,†
VEGF	n.d.	n.d.	n.d.	111.0 $\pm$ 24.0	99.1 $\pm$ 14.4	201.4 $\pm$ 13.8***,†††
EGF	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.

Data are expressed as mean  $\pm$  standard deviation,  $n = 6$  in each treatment group. \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ , larger sized MWCNT (MWCNT-L;  $l = 8 \mu\text{m}$ ,  $d = 150 \text{ nm}$ ) or smaller sized MWCNT (MWCNT-S;  $l = 3 \mu\text{m}$ ,  $d = 15 \text{ nm}$ ) versus Pluronic F68 (PF68). † $P < 0.05$ , †† $P < 0.01$ , ††† $P < 0.001$ , MWCNT-L versus MWCNT-S. EGF, epidermal growth factor; G-CSF, granulocyte colony-stimulating factor; GM-CSF, granulocyte/macrophage colony-stimulating factor; GRO/KC, growth related oncogene/keratinocyte-derived cytokine; IFN $\gamma$ ,  $\gamma$ -interferon; IL, interleukin; IP-10, interferon gamma-induced protein 10; n.d., not detectable; TNF- $\alpha$ , tumor necrosis factor- $\alpha$ ; MCP1, monocyte chemoattractant protein 1; MIP, macrophage inflammatory protein; RANTES, regulated on activation, normal T cell expressed and secreted; VEGF, vascular endothelial growth factor.

The results of this study show that MWCNT-L applied to the lung was found in the pleural cavity and deposited in the parietal pleura, and induced higher inflammatory reactions in the pleural cavity, fibrotic thickening of both the parietal and visceral pleura, and mesothelial proliferation, whereas MWCNT-S caused higher inflammatory reactions and 8-OHdG formation in the lung. Reports have shown that pro-inflammatory cytokines promote mesothelial cell transformation *in vitro*,<sup>(21)</sup> indicating chronic inflammation is a likely contributing factor in the development of mesothelioma. Due to its length and needle-like shape, MWCNT-L deposited in the pleura, especially in the parietal side, is difficult to clear and results in chronic inflammation in the deposited site. Thus, MWCNT-L has more potential to cause pleural mesothelioma.

It should be noted that properties of MWCNT-L and MWCNT-S, other than size and shape, such as chemical composition (MWCNT-L contains zinc [Fig. S1]) and rigidity,<sup>(22)</sup> may contribute to the observed different effects in the pleura and lung. Smaller sized MWCNT were not found in the pleural cavity, possibly because MWCNT-S formed cotton candy-like aggregates and very few free fibers translocated from the lung to the pleural cavity, or/and these fibers were rapidly cleared from the pleural cavity. The size- and shape-dependent pleural toxicity shown in our study is consistent with previous reports that direct injection of MWCNT into the pleural cavity leads to length-dependent retention of MWCNT in the pleural cavity and sustained inflammation and fibrosis in the parietal pleura,<sup>(17)</sup> and with reports that inhaled amosite fibers are found in the parietal pleura with inflammation and fibrosis.<sup>(23,24)</sup> When we were preparing this manuscript, Murphy *et al.* reported that long MWCNT aspired into the lung of

mice was found in the parietal pleura and caused stronger inflammation and fibrosis both in the pleura and lung than short or tangled MWCNT. The lung responses to short or tangled MWCNT are different from our results, possibly due to different animals, administration methods, MWCNT used, and sampling time.<sup>(25)</sup>

Current administration regulations to set permissible air concentrations of particles and fibers are usually based on lung burdens. Although lung diseases may well be related to the lung burden of specific particles or fibers, lung burden is not always suitable for prediction of pleural toxicity of asbestos-like materials.<sup>(2,7)</sup> In the present study, MWCNT-S showed higher toxicity in the lung, whereas MWCNT-L was more toxic in the pleural tissue, indicating that the site of deposition and the associated toxicity needs to be taken into account in regulating carbon nanotube exposure.

In conclusion, deposition of MWCNT-L and induction of fibrosis and mesothelial cell proliferation in the parietal pleura indicate that larger sized MWCNT has greater potential to induce asbestos-like pleural lesions.

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## Disclosure Statement

The authors have no conflict of interests.

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## Supporting Information

Additional supporting information may be found in the online version of this article:

**Fig. S1.** Characterization of multiwalled carbon nanotubes.

**Fig. S2.** Determination of the thickness of the pleura in rats treated with multiwalled carbon nanotubes.

**Fig. S3.** Granuloma formation, alveolar macrophage infiltration, and 8-hydroxydeoxyguanosine (8-OHdG) induction in the lung.

**Fig. S4.** Transportation of larger sized multiwalled carbon nanotubes to extrapulmonary organs.

**Fig. S5.** Cytotoxicity of multiwalled carbon nanotubes *in vitro*.

## Carcinogenicity of fluoro-edenite, silicon carbide fibres and whiskers, and carbon nanotubes

In October, 2014, 21 experts from ten countries met at the International Agency for Research on Cancer (IARC; Lyon, France) to assess the carcinogenicity of fluoro-edenite, silicon carbide (SiC) fibres and whiskers, and carbon nanotubes (CNTs) including single-walled (SWCNTs) and multi-walled (MWCNTs) types. These assessments will be published as Volume 111 of the IARC Monographs.<sup>1</sup>

Fluoro-edenite was first identified around the Etna volcano near Biancavilla, Italy; a similar mineral was also reported from the Kimpo volcano in Japan. Fluoro-edenite can occur as asbestiform fibres. Unpaved roads made from local quarry products from Biancavilla, used since the 1950s, are a source for airborne fluoro-edenite fibres; additionally indoor air was also contaminated from the use of the quarry's products in building materials. Several surveillance studies reported an excess of mesothelioma incidence and mortality in the regional population of Biancavilla.<sup>2</sup> Since the rate ratios for mesothelioma were large and stable, chance was unlikely to explain these findings. The excess was similar in men and women, and most prominent in young adults, suggesting an environmental rather than occupational cause. Moreover, most of the cases had no history of occupational exposure to asbestos. Fluoro-edenite fibrous amphibole was classified as carcinogenic to humans (Group 1) on the basis of sufficient evidence in humans that exposure to fluoro-edenite causes mesothelioma. Sufficient evidence of carcinogenicity was also reported in experimental animals, with increased incidences of mesotheliomas observed in one study in male and female rats given fibrous fluoro-edenite by intraperitoneal or intrapleural injection.<sup>3</sup> The results of the few available mechanistic studies

were consistent with proposed mechanisms of fibre carcinogenicity.<sup>4</sup>

SiC occurs in several forms: particles, fibres, and whiskers. SiC particles are manufactured (mostly for use as industrial abrasive) mainly by the Acheson process, with SiC fibres being unwanted by-products. SiC fibres are generally poly-crystalline; of variable length and diameter, and may include fibres that are indistinguishable from whiskers. SiC whiskers are intentionally produced by different processes as durable industrial substitutes for asbestos; they are physically homogeneous and mono-crystalline, and their dimensions are similar to asbestos amphiboles. The carcinogenicity of SiC fibres was investigated in two cohorts of Acheson process workers who were exposed to fibrous and non-fibrous SiC, quartz, and cristobalite. In a Canadian cohort study,<sup>5</sup> an excess of lung cancer mortality was observed. An excess of lung cancer and an exposure-response relationship with SiC fibres was described in the most detailed report from a series of studies on cancer incidence in a Norwegian cohort.<sup>6</sup> The analyses were limited to workers with at least 3 years of employment in the plant and based on a detailed job-exposure matrix taking into account multiple exposures. The exposure-response relationship was somewhat weakened after adjustment for exposure to cristobalite. Occupational exposures associated with the Acheson process were classified as carcinogenic to humans (Group 1) on the basis of sufficient evidence in humans that they cause lung cancer. Since the correlation between exposures to SiC fibres and cristobalite made it difficult to disentangle their independent effects, the Working Group concluded that fibrous SiC is possibly carcinogenic to humans (Group 2B) based on limited evidence in humans that it

causes lung cancer. No data on cancer in humans exposed to SiC whiskers were available. In experimental animals, there was sufficient evidence for the carcinogenicity of SiC whiskers, with mesotheliomas observed in three studies in female rats treated by intrapleural implantation,<sup>7</sup> intrapleural injection, or intraperitoneal injection, and in one inhalation study in rats that did not include concurrent controls. Although not unanimous, the Working Group classified SiC whiskers as probably carcinogenic to humans (Group 2A) rather than possibly carcinogenic to humans (Group 2B), on the basis that the physical properties of the whiskers resemble those of asbestos and erionite fibres, which are known carcinogens. In addition, the results of available mechanistic studies were consistent with proposed mechanisms of fibre carcinogenicity.<sup>4</sup> The majority of the Working Group considered that differences in the nature of SiC fibres and SiC whiskers warranted separate evaluations.

Carbon nanotubes may consist of either a single graphene cylinder (SWCNTs) with an outer diameter of 1–3 nm, or of multiple graphene cylinders arranged in concentric layers (MWCNTs) with diameters of 10–200 nm. CNTs are typically few micrometres in length, ranging from a few hundreds of nanometres to several tens of micrometres; their physical and chemical characteristics vary depending on the production technique. Applications include improving the structural properties of fabrics, plastics, rubbers, electronics, and composite materials. The highest release of CNTs, usually as entangled agglomerates which can be respirable, is observed during production and handling, and in cleaning of the production reactor. Measurement of occupational exposure is limited,



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**Upcoming meetings**  
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June 2–9, 2015, Volume 113: Some organochlorine insecticides and some chlorophenoxy herbicides

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and consumer exposure was not quantified. No human cancer data were available to the Working Group, indicating inadequate evidence for the carcinogenicity of CNTs in humans. Some CNTs were tested in rodents. MWCNT-7 caused peritoneal mesotheliomas in male and female rats in one intraperitoneal injection study<sup>8</sup> and one intrascrotal injection study,<sup>9</sup> and in male *p53*<sup>-/-</sup> mice in two intraperitoneal injection studies.<sup>10</sup> Inhalation of MWCNT-7 promoted bronchioloalveolar adenoma and carcinoma in male mice.<sup>11</sup> In one intraperitoneal study, two other types of MWCNTs with physical dimensions similar to those of MWCNT-7 (length, 1–19 µm; diameter, 40–170 nm) caused mesotheliomas in male and female rats.<sup>8</sup> Two studies with SWCNTs in rats were inconclusive. Regarding carcinogenicity in experimental animals, the Working Group concluded that there was sufficient evidence for MWCNT-7, limited evidence for the two other types of MWCNTs with dimensions similar to MWCNT-7, and inadequate evidence for SWCNTs. Mechanistic and other data in rodents provided evidence of translocation of three types of MWCNTs (including MWCNT-7) to the pleura.<sup>12</sup> Additionally, inhalation of some MWCNTs or SWCNTs induced acute or persistent pulmonary inflammation, granuloma formation, fibrosis, and bronchiolar or bronchioloalveolar hyperplasia in rodents.<sup>13,14</sup> Studies in rodents (eg, Shvedova et al<sup>15</sup>) and in cultured human lung or mesothelial cells showed that MWCNTs, SWCNTs, or both induce genetic lesions such as DNA strand breaks, oxidised DNA bases, mutations, micronucleus formation, and chromosomal aberrations. SWCNTs and MWCNTs also perturb the cellular mitotic

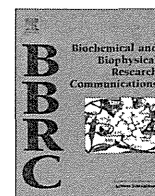
apparatus, including microtubules and centrosomes, in human lung epithelial cells.<sup>16,17</sup> As a whole, the Working Group acknowledged that the above mechanisms are all relevant to humans. However, a majority did not consider the mechanistic evidence for carcinogenicity—especially concerning chronic endpoints—to be strong for any specific CNT. Furthermore, the lack of coherent evidence across the various distinct CNTs precluded generalisation to other types of CNTs. Thus, MWCNT-7 was classified as possibly carcinogenic to humans (Group 2B); and SWCNTs and MWCNTs excluding MWCNT-7 were categorised as not classifiable as to their carcinogenicity to humans (Group 3).

We declare no competing interests.

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## High-temperature calcined fullerene nanowhiskers as well as long needle-like multi-wall carbon nanotubes have abilities to induce NLRP3-mediated IL-1 $\beta$ secretion



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### ABSTRACT

Because multi-wall carbon nanotubes (MWCNTs) have asbestos-like shape and size, concerns about their pathogenicity have been raised. Contaminated metals of MWCNTs may also be responsible for their toxicity. In this study, we employed high-temperature calcined fullerene nanowhiskers (HTCFNWs), which are needle-like nanofibers composed of amorphous carbon having similar sizes to MWCNTs but neither metal impurities nor tubular structures, and investigated their ability to induce production of a major pro-inflammatory cytokine IL-1 $\beta$  via the Nod-like receptor pyrin domain containing 3 (NLRP3)-containing inflammasome-mediated mechanism. When exposed to THP-1 macrophages, long-HTCFNW exhibited robust IL-1 $\beta$  production as long and needle-like MWCNTs did, but short-HTCFNW caused very small effect. IL-1 $\beta$  release induced by long-HTCFNW as well as by long, needle-like MWCNTs was abolished by a caspase-1 inhibitor or siRNA-knockdown of NLRP3, indicating that NLRP3-inflammasome-mediated IL-1 $\beta$  production by these carbon nanofibers. Our findings indicate that the needle-like shape and length, but neither metal impurities nor tubular structures of MWCNTs were critical to robust NLRP3 activation.

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### 1. Introduction

Carbon nanotubes (CNTs) are increasingly being used in various industrial fields because of their unique electronic and mechanical properties. However, these unique physicochemical properties, especially the asbestos-like shape and size with a high-aspect ratio, are currently of great concern with respect to the environment and human health [1]. A variety of multiwall carbon nanotubes (MWCNTs) and single-wall carbon nanotubes have been produced, and some of these materials have been shown to cause mesothelioma after injection into the abdominal cavities of p53<sup>+/−</sup> mice [2]

**Abbreviations:** MWCNTs, multi-wall carbon nanotubes; HTCFNWs, high-temperature calcined fullerene nanowhiskers; NLRP3, Nod-like receptor pyrin domain containing 3; CNTs, carbon nanotubes; SSC, side scatter; FSC, forward scatter.

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or the scrotum of wild-type rats [3]. Inflammatory cell recruitment and granulomas [4] and elevated mRNA expression of pro-inflammatory cytokines and chemokines in peritoneal cells [5] were induced by MWCNTs injected into the abdominal cavities of mice. Pulmonary exposed MWCNTs were shown to reach subpleural tissue and macrophages in mice and rats and to induce fibrosis, inflammation, allergic immune responses [6–8] and mesothelial proliferation [9]. Abundant inflammatory cell infiltration and increased pro-inflammatory cytokine levels in the pleural cavity were also observed [9,10].

IL-1 $\beta$  is an important proinflammatory cytokine that is generated at sites of injury, infection, or immunological challenge to recruit immune cells [11]. It is very likely that IL-1 $\beta$  plays a major role in MWCNT-induced inflammation or immune responses. Recent studies have revealed that IL-1 $\beta$  maturation and release are controlled by a large multiprotein complex, called the inflammasome [11]. In particular, the inflammasome containing the Nod-like receptor (NLR)-family protein 3, NLRP3, can be activated

by a variety of danger signals or phagocytosed crystals and aggregated proteins and has been implicated in several chronic inflammatory diseases [11,12]. Certain MWCNTs have been shown to induce NLRP3-mediated IL-1 $\beta$  secretion [13,14]. Various MWCNTs with different physicochemical properties, including length, diameter, and contaminant metals, are produced. However, factors that are critical to inducing NLRP3 activation and/or IL-1 $\beta$  production remain unclear.

Shape and size of MWCNTs similar to asbestos have been implicated in their toxicity. In addition, several studies have suggested that metal contamination, which is derived from catalysts used in the synthesis of CNTs, is responsible for cytotoxicity and genotoxicity of MWCNTs [15–18] and for the redox-dependent response of macrophages [19], although this suggestion remains controversial [4,20].

In this study, we employed high-temperature calcined fullerene nanowhiskers (HTCFNWs), which are amorphous carbon nanofibers and have a needle-like morphology with similar length to MWCNTs [21]. Notably, HTCFNWs do not contain metal impurities, because they are produced from fullerene nanowhiskers by heating in vacuum [21]. Fullerene nanowhiskers are thin, single crystal nanofibers composed of C<sub>60</sub> fullerene molecules that are bound via van der Waals forces [22]. We investigated the ability of long or short HTCFNW, which did not contain tubular structures and metal impurities, and various MWCNTs with different physical properties to induce IL-1 $\beta$  secretion, and sought to identify factors required for inducing NLRP3-mediated IL-1 $\beta$  release.

## 2. Materials and methods

### 2.1. Materials

We used three types of MWCNT grown in the vapor phase (Fig. 1A): MWCNT-M was provided by Nanocarbon Technologies Co., Ltd. (Tokyo, Japan). MWCNT-SD1 and MWCNT-SD2 were by Showa-Denko Co., Ltd. (Tokyo, Japan). HTCFNW-L and -S were prepared by heating fullerene nanowhiskers at 900 °C in vacuum [21]. Fullerene nanowhiskers were synthesized from C<sub>60</sub> fullerene by a liquid–liquid interfacial precipitation method [23]. The size distribution of MWCNTs and HTCFNWs was determined by scanning electron microscopy. Fe contents were determined by a collision type inductively coupled plasma mass spectrometer as described [2].

### 2.2. Preparation of MWCNT and HTCFNW dispersions

MWCNTs were suspended in phosphate-buffered saline (PBS) containing 0.5% Tween-20 (or Tween-80) at a concentration of 0.5 mg/mL, sonicated with a bath-type sonicator (BRNSON 1200) for 1 min  $\times$  3, and then diluted with PBS to a concentration of 0.2 mg/mL. The suspension of carbon nanotubes was homogenized by passing through a 30G needle. HTCFNWs (0.5 mg/mL) were dispersed in 0.1% Tween 80.

### 2.3. Cell culture and treatment of cells with HTCFNWs and MWCNTs

THP-1 cells (obtained from American Type Culture Collection (ATCC), Manassas, VA) were maintained in RPM-1 medium containing 10% fetal bovine serum (FBS). Cells were plated at a density of  $3.5 \times 10^5$  cells in 24 well plates, differentiated for 72 h with 0.3  $\mu$ M PMA, and further incubated for 24 h without PMA. The cells were treated for 6 h with carbon nanomaterials or the indicated stimuli. As indicated, 10  $\mu$ M of caspase-1 inhibitor z-YVAD-fmk (Calbiochem), 0.2  $\mu$ M cytochalasin D (Sigma), 130 mM KCl, 3 mM ATP, or 3.4  $\mu$ M nigericin (Sigma) was added to the incubation

medium. Cell extract and the medium supernatant were collected for analysis of their cytokine content. Cell viability was assessed by release of LDH to the medium (with a Cytotoxicity Detection Kit Plus (Roche)) or by the Tetracolor one cell proliferation assay, which detects vital mitochondrial function (Seikagaku Kogyo Ltd., Tokyo).

### 2.4. Analysis of IL-1 $\beta$ secretion

IL-1 $\beta$  in the medium supernatant was analyzed by the Milliplex immunoassay (Merck Co., Ltd.) according to the manufacturer's protocol or by Western blotting.

### 2.5. Flow cytometry analysis to detect cellular uptake of MWCNTs and HTCFNWs

PMA-differentiated THP-1 cells were exposed to MWCNTs or HTCFNWs for 20 h. The cells were washed, trypsinized, suspended in PBS containing 10% FBS, and filtered through 100  $\mu$ m nylon mesh. The uptake of carbon nanofibers was determined by flow cytometry (FACSCalibur™; BD Biosciences) measuring side scatter (SSC) and forward scatter (FSC) of 10,000 counts.

### 2.6. Western blotting

Cells were extracted with RIPA containing the protease inhibitor cocktail set III (1:100) (Calbiochem). The culture medium supernatant was concentrated with a Microkon Ultracel YM-10 (10 kDa cut-off; Millipore, Bedford, MA) or Amicon Ultra-3K (3 kDa cut-off; Millipore, Bedford, MA). Cell extract and medium samples were analyzed by polyacrylamide gel electrophoresis followed by transfer to an Immobilon-P Transfer Membrane (Millipore). Anti IL-1 $\beta$  antibody (sc-7884), anti-caspase-1 P-10 antibody (sc-515) (Santa Cruz Biotechnology, Inc), and anti  $\beta$ -actin antibody (Sigma) were used. The immunoreactive proteins were visualized using ECL (GE Healthcare, Piscataway, NJ) or a SuperSignal West Femto Substrate kit (Thermo Scientific, Rockford, IL), and light emission was quantified with a LAS-3000 lumino-image analyzer (Fuji, Tokyo, Japan).

### 2.7. NLRP3 knockdown by RNA interference

THP-1 cells were plated at a density of  $1.75 \times 10^6$  cells in 12 well plates and were differentiated for 72 h with 0.3  $\mu$ M PMA. Cells were transiently transfected with gene-specific Stealth™ Select RNAi or Stealth RNAi negative control (Invitrogen, Carlsbad, CA) using lipofectamine RNAi MAX reagent (Invitrogen) for 24 h. The Stealth RNAi sequences used were human NLRP3 sense1 (5'-AACCCAGGCACACUCCUCCUGUAGC-3'), antisense1 (5'-GCUACAG GAGGAGUGUGCCUGGGUU-3'); sense2 (5'-UUCUGUUGCUGGCUU CCUACAGACA-3'), antisense2 (5'-UGUGUGAGGAAGCCAGCAACA GAA-3'); sense3 (5'-UCCUGUGCUACUCCAGUAACCCAGG-3'), and antisense3 (5'-CCUGGGUUACUGGAGUAGCACAGGA-3').

### 2.8. RNA extraction and quantitative real-time RT-PCR

Total RNA was extracted with an RNeasy Mini Kit using on-column deoxyribonuclease digestion to eliminate genomic DNA contamination according to the manufacturer's instructions (Qiagen, Valencia, CA). Quantitative real-time RT-PCR was performed with an ABI Prism 7300 sequence detection system using the TaqMan one-step RT-PCR Master Mix Reagent Kit (Applied Biosystems, Foster City, CA) with TaqMan probes/primers as follows: human NLRP3, forward: 5'-TGAGCCTCAACAACGCTACA-3'; reverse: 5'-CTTGCCGATGGCCAGAAG -3'; probe: 5'-FAM-CTGCGTCTCATCAAG GAGCACCGG-BHQ-3'. 18S rRNA (Applied Biosystems). Expression

data were normalized to 18S rRNA levels, and are presented as the fold difference between treated and untreated cells.

2.9. Statistical analysis

Data were analyzed by ANOVA followed by the Student–Newman–Keuls method. Statistical significance was established at the  $P < 0.05$  level.

3. Results

3.1. HTC FNWs and various MWCNTs display different abilities to induce IL-1 $\beta$  production

HTCFNWs are the fullerene nanowhiskers heat-treated in vacuum at 900 °C and are composed of amorphous carbon [21]. Two types of HTC FNWs with different lengths and diameters (Fig. 1A) were examined for their ability to induce IL-1 $\beta$  production

compared with MWCNT-SD1 in the human macrophage-like cell line THP-1 cells. As shown in Fig. 1B left, long HTC FNWs (HTCFNW-L) caused robust IL-1 $\beta$  production in a dose-dependent manner, which corresponded to ca. 30% of the MWCNT-SD1-induced stimulation at the same concentration. Short HTC FNWs (HTCFNW-S) had a very small but significant and phagocytosis-dependent effect (Fig. 1B right). We also investigated the ability of various MWCNTs with different physical properties (Fig. 1A) to stimulate IL-1 $\beta$  production. MWCNT-M, -SD1, or -SD2 dose-dependently induced IL-1 $\beta$  secretion into the medium (Fig. 1C). IL-1 $\beta$  production induced by MWCNT-M or -SD1 was almost completely inhibited by pretreating cells with the phagocytosis inhibitor cytochalasin D that impairs the actin filament assembly (Fig. 1D), indicating that the uptake of MWCNTs into cells is required for stimulation of IL-1 $\beta$  production.

These findings clearly show that MWCNTs with various physical properties exhibit different abilities to induce IL-1 $\beta$  production, and HTC FNWs without impurities exhibited a comparable effect to MWCNTs.

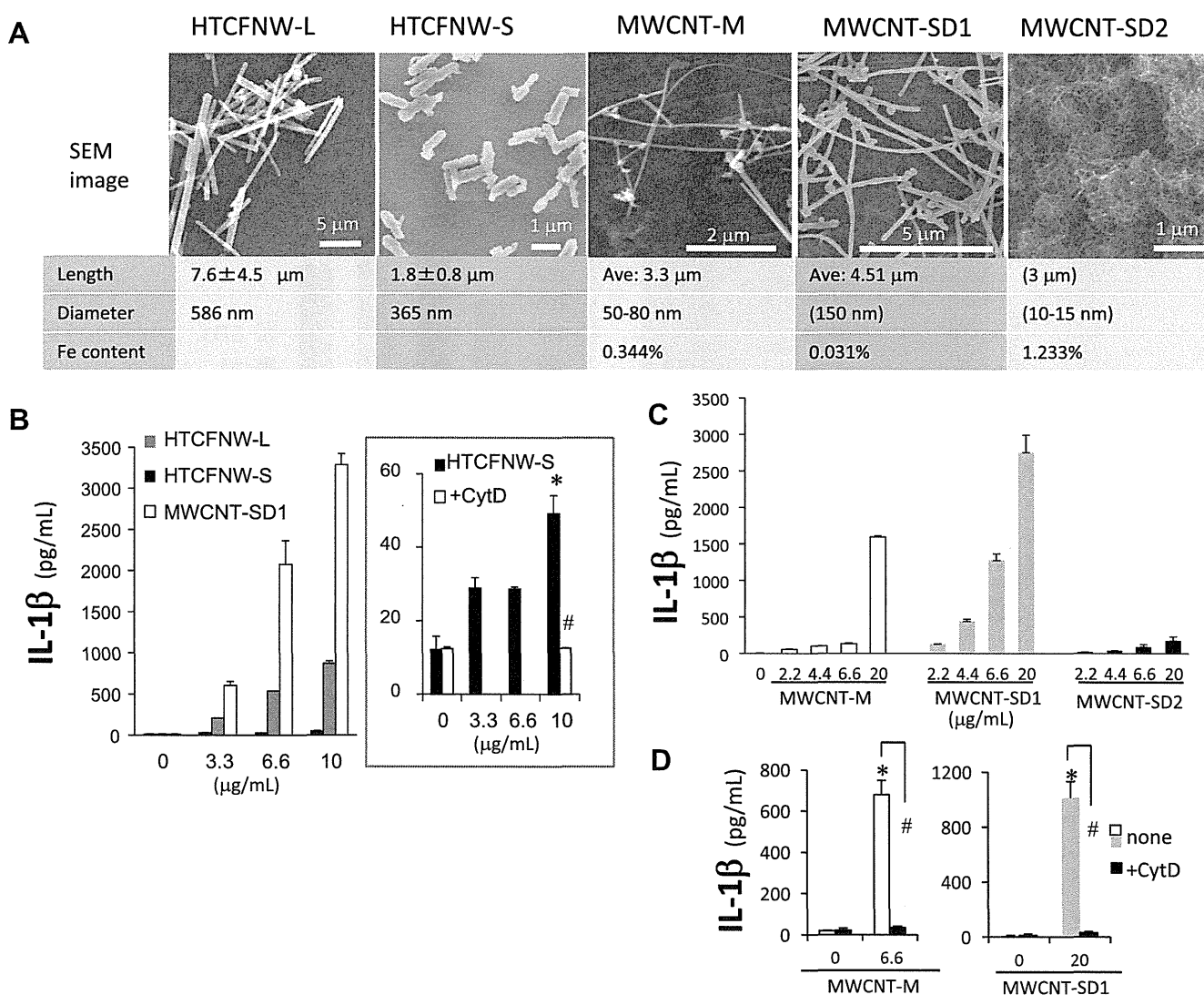


Fig. 1. HTC FNWs as well as long-, needle-like MWCNTs have abilities to induce IL-1 $\beta$  production. (A) Specification of the HTC FNWs and MWCNTs used in this study. Characteristics in parenthesis were provided by manufacturers. (B–D) THP-1 cells were stimulated for 6 h with the indicated amount ( $\mu\text{g}/\text{mL}$  of media) of HTC FNW-L, -S, and MWCNT-SD1 dispersed in Tween 80 (final concentration, 0.002%), or MWCNT-M, -SD1, and -SD2 dispersed in Tween 20 (final concentration, 0.002%) (C, D). Phagocytosis was inhibited by treating cells with cytochalasin D (0.2  $\mu\text{M}$ ) for 30 min before stimulation. IL-1 $\beta$  in the medium was analyzed by the Milliplex immunoassay. Data represent means  $\pm$  S.D ( $n = 2$ ). Significant difference from vehicle control (\*) or between cytochalasin D-untreated and -treated cells (#). A vertical axis for a HTC FNW-S graph was expanded in the right panel (B).

Internalization of nanofibers by cells was evaluated with the SSC value from flow cytometry. The SSC is directly related to cell granularity and is used as a measurement of the uptake of particles or nanofibers [24,25]. Exposure of cells to MWCNT-SD1 or MWCNT-M resulted in increases in the SSC values (Fig. 2A and B), which were inhibited by the phagocytosis inhibitor cytochalasin D (Fig. 2C). MWCNT-SD2, with an agglomerate morphology, had no effect. HTC FNW-L and -S caused similar levels of SSC increase (Fig. 2D).

### 3.2. HTC FNW as well as MWCNTs promote caspase-1 cleavage via NLRP3 activation

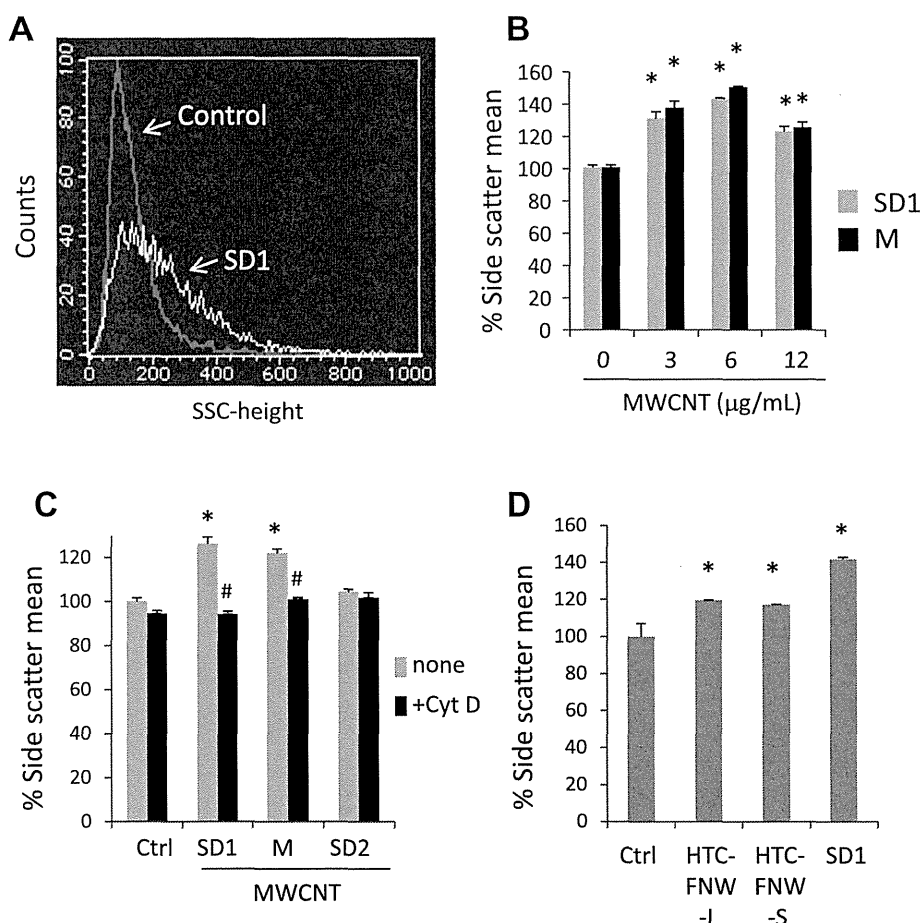
The mature form of IL-1 $\beta$  is cleaved from the pro-IL-1 $\beta$  precursor by caspase-1. As shown in Fig. 3A, MWCNT-SD1 induced a potent, dose-responsive release of mature IL-1 $\beta$  (p17) to the medium, which was accompanied by an increase in the active caspase-1 fragment (p10). As a positive control, we confirmed that the bacterial ionophore nigericin promoted caspase-1 cleavage and IL-1 $\beta$  maturation. Furthermore, IL-1 $\beta$  secretion induced by MWCNT-M was completely inhibited by the caspase-1-inhibitor zYVAD-fmk (Fig. 3B). IL-1 $\beta$  release induced by MWCNT-SD1 (Fig. 3C) and HTC FNW-L (Fig. 3D) was similarly repressed by zYVAD-fmk. These findings indicate that HTC FNW-L, as well as needle-like MWCNTs, induces activation of caspase-1.

Caspase-1 is auto-activated by a signal within the multiprotein complex known as the “inflammasome” [11]. We examined whether the NLRP3-containing inflammasome is involved in MWCNT-induced IL-1 $\beta$  production. NLRP3 inflammasome activation is known to require potassium (K<sup>+</sup>) efflux [11]. As reported that asbestos-induced secretion of mature IL-1 $\beta$  was repressed by a high concentration of KCl added to the medium to inhibit K<sup>+</sup> efflux [26], MWCNT-M-induced IL-1 $\beta$  secretion was blocked by KCl in the medium (Fig. 3B).

To test the role of NLRP3, siRNA knockdown of NLRP3 was performed. In THP-1 cells, three different siRNAs against NLRP3 effectively reduced NLRP3 mRNA expression (Fig. 4A). MWCNT-M-induced IL-1 $\beta$  secretion, determined by immunoassay, was effectively reduced by three different NLRP3 siRNAs (Fig. 4C). This reduction was accompanied by reductions of the active form of caspase-1 (p10) and cleaved IL-1 $\beta$  into the medium (Fig. 4B). IL-1 $\beta$  secretion elicited by MWCNT-SD1 or HTC FNW-L was also diminished by the NLRP3 siRNA (Fig. 4D and E). These findings clearly demonstrate that NLRP3 is involved in IL-1 $\beta$  maturation induced by HTC FNW-L as well as needle-like MWCNTs.

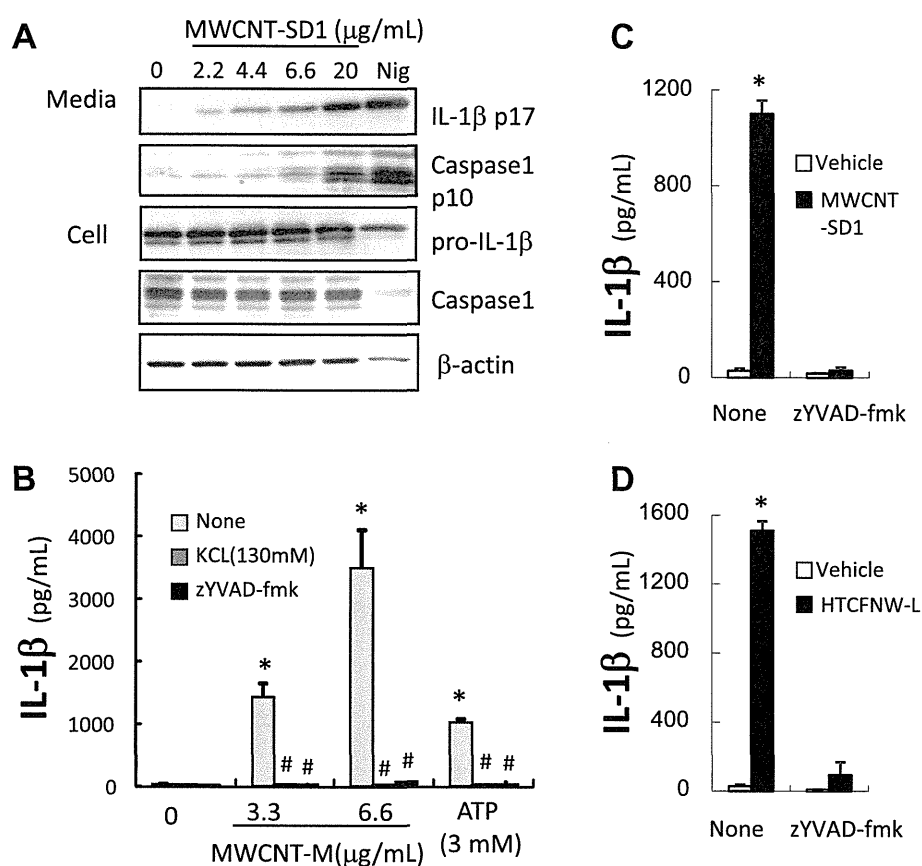
## 4. Discussion

In this study, we showed that fullerene-derived HTC FNWs and certain MWCNTs, with a long, needle like morphology potentially



**Fig. 2.** Flow cytometry analysis shows cell internalization of MWCNTs and HTC FNWs. THP-1 cells were treated with or without cytochalasin D (0.2 µM) for 30 min and were then exposed to the indicated concentration of MWCNT-SD1, -SD2, -M, HTC FNW-L or -S dispersed in Tween 80 (final concentration, 0.002%) for 20 h. The cells were trypsinized and analyzed by flow cytometry. (A) Representative histogram shows the cell count vs side scatter (SSC) for cells treated without (gray line) or with MWCNT-SD1 (6 µg/mL) (white line). (B–D) Mean of the SSC value of cells treated without or with increasing concentrations of MWCNT-SD1 or -M (B), or 6 µg/mL of MWCNTs or HTC FNWs (C, D). Uptake was detectable as an increase in cell number with higher SSC. Data represent means  $\pm$  S.D. ( $n = 2$  or 3). Significant difference from vehicle control (\*) or between cytochalasin D-untreated and -treated cells (#).





**Fig. 3.** MWCNT- and HTC FNW-induced IL-1 $\beta$  secretion accompanies caspase-1 cleavage and is repressed by extracellular KCl (130 mM) or a caspase-1 inhibitor. (A) THP-1 cells were stimulated for 6 h with the indicated amount ( $\mu\text{g}/\text{mL}$  of media) of MWCNT-SD1 dispersed in 0.002% Tween 20 or nigericin (Nig) (3.4  $\mu\text{M}$ ). The cell lysate and medium were analyzed by immunoblotting. (B–D) THP-1 cells were treated for 6 h with MWCNT-M or ATP (3 mM) (B), or MWCNT-SD1 (10  $\mu\text{g}/\text{mL}$ ) (C) and HTC FNW-L (10  $\mu\text{g}/\text{mL}$ ) (D) dispersed in 0.002% Tween 80 in the presence or absence of 130 mM KCl or the caspase-1 inhibitor zYVAD-fmk (10  $\mu\text{M}$ ). IL-1 $\beta$  in the medium was analyzed by the Milliplex immunoassay. Data represent means  $\pm$  S.D. ( $n = 2$ ). Significant difference from vehicle control (\*) or between inhibitor-untreated and -treated cells (#).

induce proinflammatory cytokine IL-1 $\beta$  production in human macrophage-like THP-1 cells (Fig. 1). Prominent IL-1 $\beta$  release by these nanomaterials was sensitive to the phagocytosis inhibitor cytochalasin D (Fig. 1B) and was accompanied by increases in cellular SSC values related to particle internalization (Fig. 2). Notably, HTC FNW-L and -S produced a potent and faint IL-1 $\beta$  release, respectively (Fig. 1C), whereas both fibers caused similar changes in the cellular SSC level (Fig. 2D). These findings clearly indicate that the needle-like shape and length of carbon nanofibers are critical to inducing IL-1 $\beta$  release after being taken up by cells.

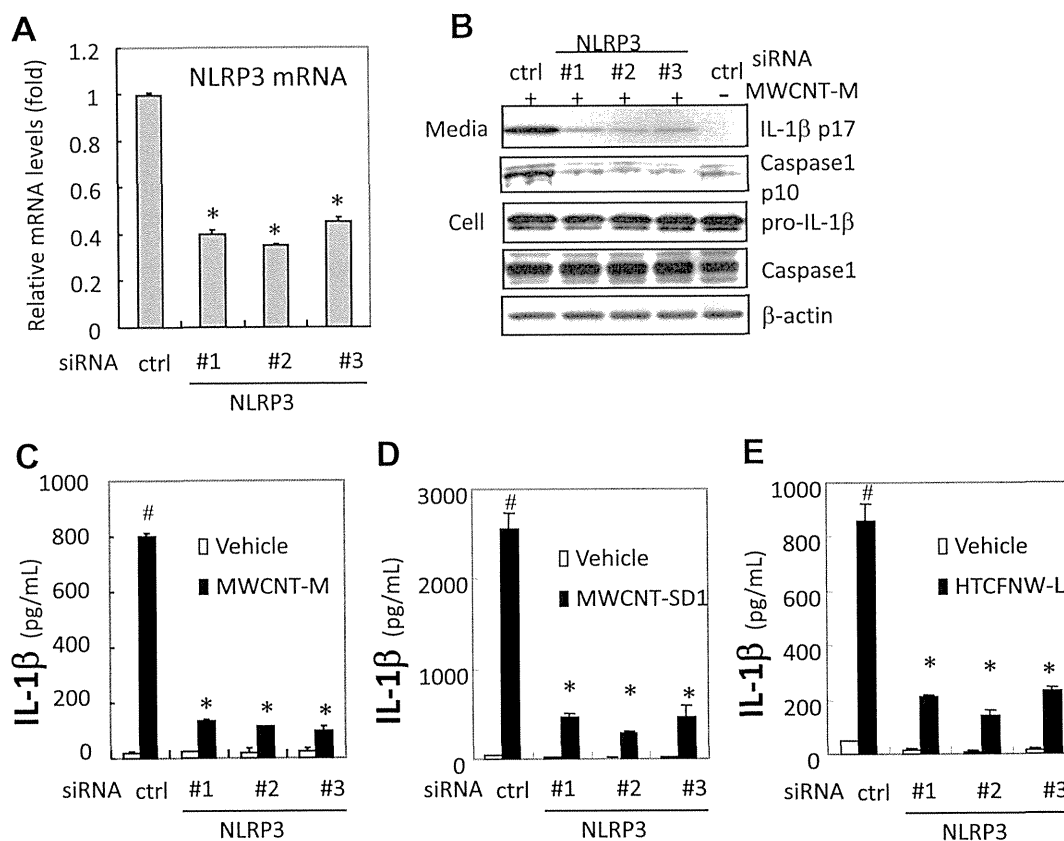
The prominent IL-1 $\beta$  production by MWCNT-M, MWCNT-SD1, and HTC FNW-L was repressed by a high concentration of potassium or caspase-1 inhibitor and was accompanied by an increase in the active-form of caspase-1 release. Knockdown of NLRP3 by specific siRNAs diminished IL-1 $\beta$  production induced by these nanofibers, indicating that the NLRP3-containing inflammasome is involved in IL-1 $\beta$  release induced by fullerene-derived HTC FNW-L as well as long, needle-like MWCNTs.

NLRP3 is known to be activated by a variety of danger signals, including endogenous crystals of monosodium urate and cholesterol, exogenous silica crystals, aluminum salts, carbon nanotubes, and bacterial toxins, and the complex plays a critical role in IL-1 $\beta$ -mediated pathology [11,12]. Although the mechanism of NLRP3 activation is still unclear, potassium efflux, ROS generation, and lysosome destabilization have been implicated in NLRP3-inflammasome activation [11,12]. Changes in the redox environment have been suggested to modulate the NLRP3 inflammasome

activation potential [27]. Our findings indicate that the ability of HTC FNW-L to induce NLRP3-mediated IL-1 $\beta$  release is comparable to that of MWCNT-M and -SD1. HTC FNWs have a needle-like morphology and similar size to MWCNT-M and -SD1. However, unlike MWCNTs, HTC FNWs contain no tubular structures or metal impurities such as Fe or Ni. Previous studies have suggested that metal contamination of CNTs is responsible for their toxicity [16–18] and cellular redox-response [19]. Importantly, HTC FNW-L and -S, which were synthesized from pure fullerene by liquid–liquid interfacial precipitation methods [23] followed by sintering with heat-treatment, contain no metal impurities. Thus, our findings clearly demonstrate that long, needle-like structures of MWCNTs and HTC FNW-L, but not metal contaminants, are required for NLRP3 inflammasome activation leading to the resulting IL-1 $\beta$  release.

The NLRP3-inflammasome has been implicated in several chronic inflammatory diseases such as metabolic syndrome, inflammatory bowel disease, atherosclerosis, and Alzheimer disease, as well as in regulating antimicrobial and mucosal immune responses [12,28]. Our findings raise concerns that MWCNTs may affect these diseases and responses through stimulating NLRP3-mediated IL-1 $\beta$  production.

In conclusion, we have shown for the first time that fullerene-derived HTC FNW-L as well as MWCNTs induces IL-1 $\beta$  release in an NLRP3-mediated process. Our findings indicate that the needle-like shape and length of MWCNTs, but not metal impurities or tubular structures, play a critical role in robust NLRP3 activation, which is closely implicated in chronic inflammatory diseases.



**Fig. 4.** Knockdown of NLRP3 diminishes MWCNT- and HTC FNW-elicited IL-1 $\beta$  secretion. THP-1 cells were transfected with either siRNA against three different sequences of NLRP3 (#1–3) or a negative control siRNA. After 24 h, cells were treated with MWCNT-M (6.6  $\mu$ g/mL) (B, C), MWCNT-SD1 (10  $\mu$ g/mL) (D) dispersed in 0.002% Tween 20, or HTC FNW-L (10  $\mu$ g/mL) in Tween 80 (E) for 6 h. (A) NLRP3 mRNA levels were measured by quantitative real-time RT-PCR analysis normalized with the 18S rRNA. (B) IL-1 $\beta$  (p17) and caspase-1 (p10) in the medium and pro-IL-1 $\beta$  and caspase-1 in the cell lysate were analyzed by immunoblotting. (C–E) IL-1 $\beta$  in the medium was analyzed by the Milliplex immunoassay. Data represent means  $\pm$  S.D. ( $n = 3$  or 2). Significant difference from vehicle-treated control (#) or control siRNA-transfected cells (\*).

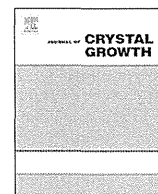
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# Synthesis and structural analysis of C<sub>60</sub>–C<sub>70</sub> two-component fullerene nanowhiskers

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## ABSTRACT

C<sub>60</sub>–C<sub>70</sub> two-component fullerene nanowhiskers (C<sub>60</sub>–C<sub>70</sub>NWs) were synthesized by liquid–liquid interfacial precipitation (LLIP) using various ratios of C<sub>70</sub> to C<sub>60</sub> and then analyzed using a focused ion beam processing apparatus (FIB–SEM), scanning electron microscopy (SEM), Raman spectroscopy, ultraviolet–visible (UV–vis) spectroscopy, X-ray diffraction (XRD) and high-performance liquid chromatography (HPLC). Both C<sub>60</sub> and C<sub>70</sub> were saturated in the supernatant solutions with fullerene compositions in the mother solutions ranging from 12.4 mass% C<sub>70</sub> to 73.4 mass% C<sub>70</sub>. C<sub>60</sub>–C<sub>70</sub>NWs contained a small amount of rhombohedral phase, indicating polymerization of C<sub>60</sub>. The solid solubility limit of C<sub>70</sub> in the C<sub>60</sub> matrix was found to be 13.7 mass%. In addition to fine C<sub>60</sub>–C<sub>70</sub>NWs, thick C<sub>60</sub>–C<sub>70</sub> needle-like crystals were formed. The thick C<sub>60</sub>–C<sub>70</sub> needle-like crystals were fractured using a molybdenum probe in the FIB. The fractured surfaces of the C<sub>60</sub>–C<sub>70</sub> needle-like crystals showed modulated structures with chemical compositions characteristic of spinodal decomposition. The activation energy of diffusion was determined to be 37.1 kJ/mol.

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## 1. Introduction

Fullerenes were first synthesized by Kroto et al. [1]. Thereafter, efficient methods of fullerene synthesis, such as the arc discharge method [2–4] and the combustion method [5,6], have been developed, and research on fullerenes and fullerene-based materials continue to be conducted throughout the world.

Fullerene molecules bond with each other via van der Waals forces to form molecular crystals. In particular, fullerene needle-like crystals with aspect ratios of 3 or greater and diameters less than 1000 nm are called fullerene nanowhiskers (FNWs) [7,8]. FNWs were first observed in a colloidal solution of PZT containing C<sub>60</sub> in 2001 [9,10]. In 2002, a liquid–liquid interfacial precipitation method was developed to efficiently synthesize FNWs [11].

FNWs are recyclable, as fullerene molecules can be recovered by redissolving the FNWs into organic solvents such as toluene and benzene. FNWs exhibit semiconductor properties and can potentially be used for field-effect transistors [12], solar cells [13], fuel cells [14,15], catalysts [8,16–20], biosensors [21] and chemical synthesis templates [8].

To date, studies of C<sub>60</sub> nanowhiskers (C<sub>60</sub>NWs) have been reported. Because the electrical resistivity of C<sub>60</sub>NWs is approximately proportional to diameter to the third power [7], the electrical

properties of C<sub>60</sub>NWs vary from insulator to semiconductor depending on diameter. In addition, C<sub>60</sub>NWs become conductive glass-like carbon nanofibers following high-temperature heat treatment in an inert atmosphere [22]. Additionally, C<sub>60</sub>NWs can be made into superconductors by doping with alkali metals such as potassium [23,24]. The Young's moduli of C<sub>60</sub>NWs are 32 to 54 GPa [25], approximately 30 to 60% of the moduli of single-crystal silicon.

Numerous types of FNWs with various new physical properties can be synthesized by combining different fullerene molecules. Synthesis of C<sub>60</sub>–C<sub>70</sub> nanowhiskers (C<sub>60</sub>–C<sub>70</sub>NWs) was reported in 2004 [26]. The Young moduli of C<sub>60</sub>–C<sub>70</sub>NWs were measured by a transmission electron microscope equipped with atomic force microscope functionality and were found to increase with increasing C<sub>70</sub> content in the mother solutions [27]. This increase in Young's modulus is assumed to be caused by the formation of a C<sub>60</sub>–C<sub>70</sub> solid solution that induces hardening, i.e., solid solution hardening. C<sub>60</sub>–C<sub>70</sub>NWs have absorption bands of both C<sub>60</sub> and C<sub>70</sub>. C<sub>60</sub>–C<sub>70</sub>NWs hold potential for semiconductor devices as a new composite material with high Young's moduli and optical properties of both C<sub>60</sub> and C<sub>70</sub>.

However, despite the various promising properties of C<sub>60</sub>–C<sub>70</sub>NWs, the structural, chemical and optical properties of C<sub>60</sub>–C<sub>70</sub>NWs are not well understood. The objective of the present paper is to investigate the structural, chemical and optical properties of these FNWs using a focused ion beam processing apparatus (FIB–SEM), scanning electron microscopy (SEM), X-ray diffraction

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