

network among genes can be utilized for the estimation of a chemical's effect, from experimentally obtained expression profiles. The ability to identify expression profiles and the corresponding biological functions is expected to provide further possibilities for SEM in the inference of regulatory mechanisms by chemical toxicity.

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## REVIEW

# Splice isoforms as therapeutic targets for colorectal cancer

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**Alternative pre-mRNA splicing allows exons of pre-mRNA to be spliced in different arrangements to produce functionally distinct mRNAs. More than 95% of human genes encode splice isoforms, some of which exert antagonistic functions. Recent studies revealed that alterations of the splicing machinery can cause the development of neoplasms, and understanding the splicing machinery is crucial for developing novel therapeutic strategies for malignancies. Colorectal cancer patients need novel strategies not only to enhance the efficacy of the currently available agents but also to utilize newly identified therapeutic targets. This review summarizes the current knowledge about the splice isoforms of VEGFA, UGT1A, PXR, cyclin D1, BIRC5 (survivin), DPD, K-RAS, SOX9, SLC39A14 and other genes, which may be possible therapeutic targets for colorectal cancer. Among them, the VEGFA splice isoforms are classified into VEGFAxxx and VEGFAxxx<sub>b</sub>, which have proangiogenic and antiangiogenic properties, respectively; UGT1A is alternatively spliced into UGT1A1 and other isoforms, which are regulated by pregnane X receptor isoforms and undergo further splicing modifications. Recently, the splicing machinery has been extensively investigated and novel discoveries in this research field are being reported at a rapid pace. The information contained in this review also provides suggestions for how therapeutic strategies targeting alternative splicing can be further developed.**

## Introduction

Colorectal cancer (CRC) is the third most common cancer worldwide and the second most common cause of cancer mortality; ~608 000 deaths are attributed to this disease annually (1). As indicated in the National Comprehensive Cancer Network (NCCN) guidelines version 1.2013 (2), the anticancer agents used for CRC include 5-fluorouracil (5-FU), irinotecan hydrochloride (CPT-11), oxaliplatin and molecularly targeted agents such as bevacizumab, cetuximab and panitumumab. According to the guidelines, in the adjuvant setting for stage II or III patients with resectable CRC, regimens including 5-FU-based agents and leucovorin with or without oxaliplatin are recommended, and for stage IV patients with unresectable CRC, regimens including 5-FU-based agents and leucovorin with oxaliplatin or CPT-11 and the addition of bevacizumab, cetuximab or panitumumab are considered

**Abbreviations:** 5-FU, 5-fluorouracil; 2'-OME, 2'-O-methyl; 2'-MOE, 2'-O-methoxyethyl; 3'SS, 3' splice site; 5'SS, 5' splice site; BIR, baculovirus IAP repeat; BIRC5, baculoviral IAP repeat-containing 5; CCND1, cyclin D1; CDK, cyclin-dependent kinase; CPT-11, irinotecan hydrochloride; CRC, colorectal cancer; CRNDE, colorectal neoplasia differentially expressed; DPD, dihydropyrimidine dehydrogenase; ESE, exonic splice enhancer; ESS, exonic splice silencer; hnRNP, heterogeneous nuclear ribonucleoprotein; IAP, inhibitor of apoptosis protein; ISE, intronic splice enhancer; ISS, intronic splice silencer; pre-mRNA, precursor messenger RNA; PXR, pregnane X receptor; siRNA, small interfering RNA; SR protein, serine/arginine-rich protein; UGT1A1, UDP glucuronosyltransferase 1A1; VEGF, vascular endothelial growth factor; VEGFR, VEGF receptor.

(2). Over the past two decades, there have been advances in the treatment of CRC; however, patients need novel strategies not only to enhance the efficacy of the above agents but also to utilize newly identified therapeutic targets.

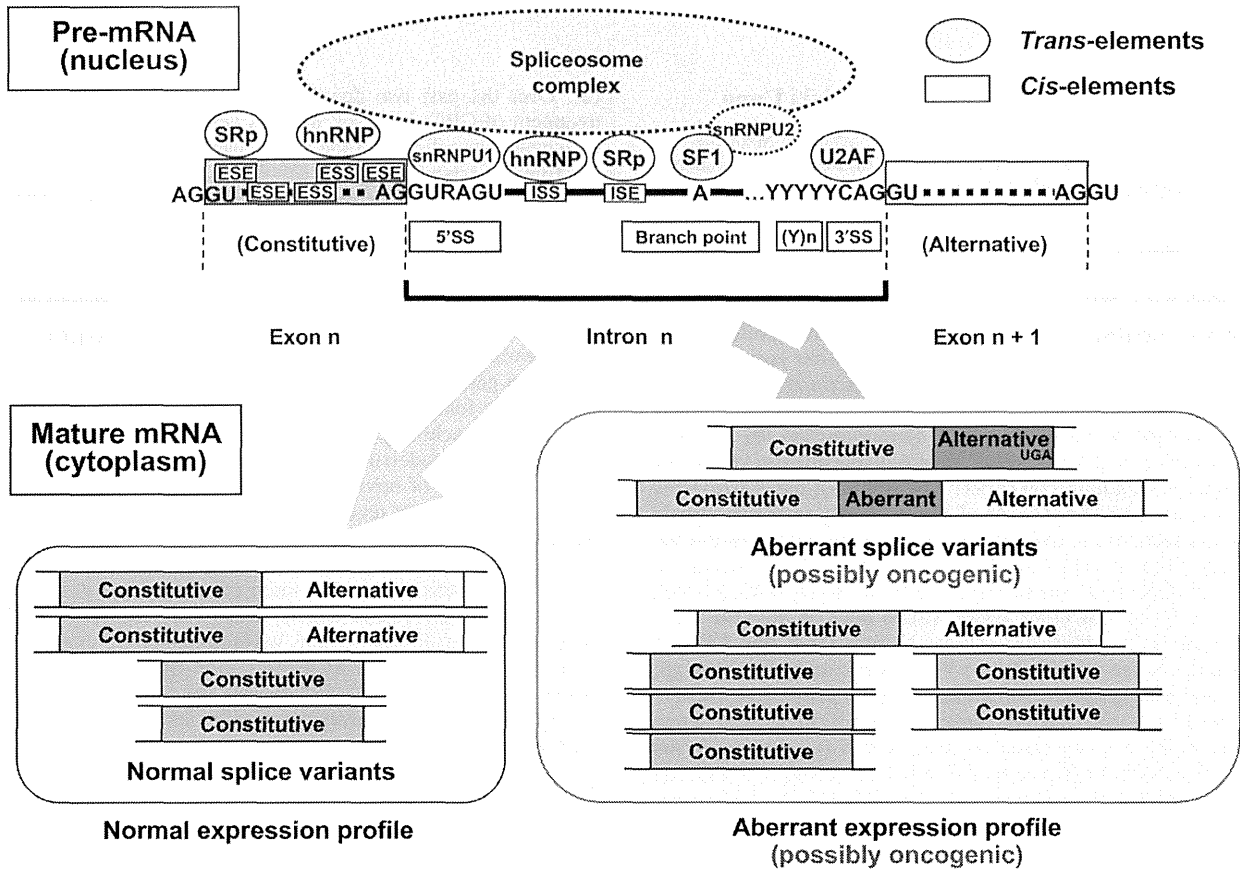
Alternative precursor messenger RNA (pre-mRNA) splicing is the process by which the exons of pre-mRNA are spliced in different arrangements to produce structurally and functionally distinct mRNAs and proteins (Figure 1A) (3). After the completion of the Human Genome Project in 2004, alternative splicing has been recognized as one of the most important mechanisms that maintains genomic and functional diversity. It is well known that >95% of human genes encode splice isoforms (4), some of which exert antagonistic functions. A recent study revealed that alterations of the splicing machinery can cause the development of myeloid neoplasms (5), and understanding the splicing machinery is crucial for the development of novel therapeutic strategies for malignancies. Another recent study revealed that a series of functionally associated splice isoforms are simultaneously expressed under a common regulatory network (6), which supports the notion that an entire set of splice isoforms or their common regulatory network should be considered as therapeutic targets, rather than focusing on a single gene as a target. In this review, we summarize the current knowledge about the potential of using splice isoforms as therapeutic targets, focusing on CRC, and discuss the future work that needs to be done to develop therapeutic strategies targeting these splice isoforms.

## Alternative pre-mRNA splicing

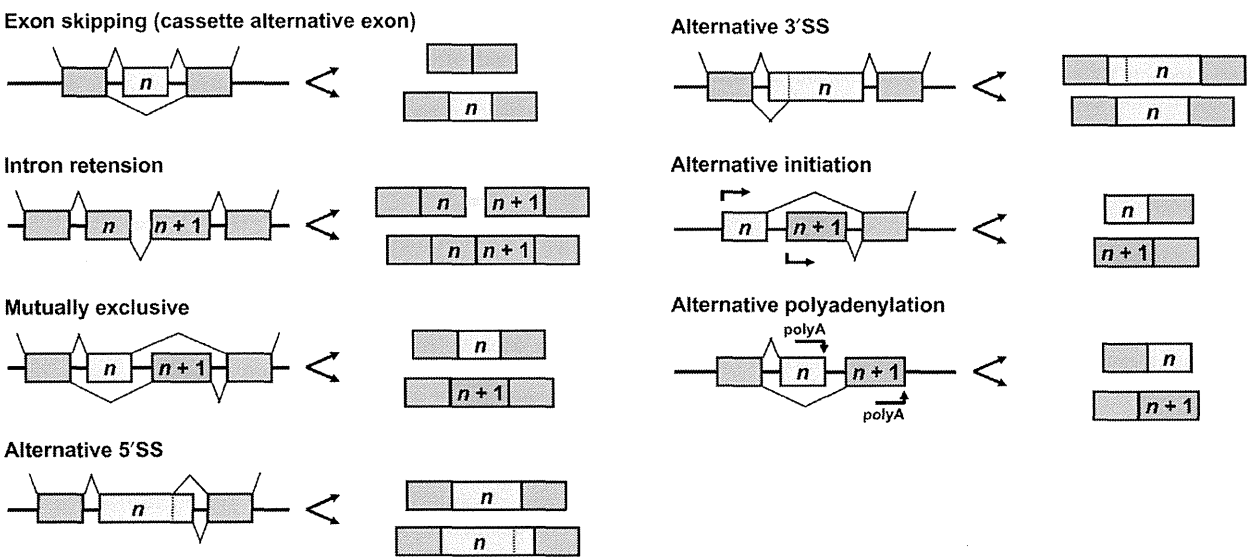
The first studies on pre-mRNA splicing were published in 1977 (7,8). Two regulatory factors have been the focus of most investigations related to the splicing machinery: cis-elements and trans-elements (Figure 1A). Among the cis-elements, consensus splice sites such as the 5' splice site (5'SS; also known as a splice donor site), the branch point motif, the poly-pyrimidine tract [(Y)n] and the 3' splice site (3'SS; also known as a splice acceptor site) are essential for pre-mRNA splicing. Splice enhancers and silencers are also categorized into cis-elements, both of which are important for the recognition of the 5'SS and 3'SS sites. Depending on their localization within the genome, splice enhancers and silencers are subclassified into exonic splice enhancers (ESEs), intronic splice enhancers (ISEs), exonic splice silencers (ESSs) and intronic splice silencers (ISSs). Cis-elements are bound by trans-elements. Among the trans-elements, spliceosomes are multicomponent complexes comprising >200 subunits. Among the subunits of spliceosomes, serine/arginine-rich proteins (SR proteins, SRp) predominantly bind to ESEs and ISEs; in contrast, heterogeneous nuclear ribonucleoproteins (hnRNPs) commonly bind to ESSs and ISSs. In many cases, hnRNPs block spliceosome assembly, resulting in exon skipping. Recently, tissue- or organ-specific SR proteins and hnRNPs have been extensively investigated (9,10). Figure 1B shows several patterns of alternative splicing in which splice isoforms are generated: (i) exon skipping in which an alternative exon is excluded or included, (ii) intron retention between constitutive exons, (iii) inclusion of one of the exons in a mutually exclusive manner, (iv) use of alternative 5'SSs, (v) alternative 3'SSs, (vi) alternative initiation sites and (vii) alternative polyadenylation sites.

The normal expression profile is indicated in the lower left panel, whereas the aberrant splicing that is observed in malignancies is shown in the lower right panel of Figure 1A, and can be subclassified into two categories: (i) aberrant splice isoforms as individual transcripts and (ii) an aberrant expression profile of splice isoforms as an entire set of transcripts; both of which occur at the germ cell or somatic cell level. Herein the word 'change' is used to encompass both 'genetic polymorphism' and 'genetic alterations'. The former

**A**



**B**



**Fig. 1.** (A) The regulation of alternative pre-mRNA splicing and its alteration in malignancies (adapted from ref. 3). *Cis*-elements and *trans*-elements are indicated with rectangles and ellipses, respectively. In the nucleotide sequences, Y denotes a pyrimidine (U or C) and R denotes a purine (G or A). ESE, exonic splice enhancer; ESS, exonic splice silencer; hnRNP, heterogeneous nuclear ribonucleoprotein; ISE, intronic splice enhancer; ISS, intronic splice silencer; snRNP, small nuclear ribonucleoprotein; SRp, serine/arginine-rich protein; SS, splice site; U2AF, U2 small nuclear ribonucleoprotein auxiliary factor. (B) Alternative pre-mRNA splicing (adapted from ref. 3). The green boxes indicate constitutive exons and the blue boxes indicate alternatively spliced exons.

category 'aberrant splice isoforms' can be caused by changes in the 5'-untranslated region (UTR), coding regions and 3'-UTR, as well as ESEs and ESSs, and altered expression of trans-elements may also cause aberrant splice isoforms. In contrast, the latter category 'aberrant expression profiles' can be caused by altered expression and structures of trans-elements, changes in the 5'-UTR, 3'-UTR, ESEs, ESSs, ISEs and ISSs, and possibly by changes of the consensus splice sites in introns. Recent evidence has demonstrated that most splicing occurs cotranscriptionally, and transcription modulates the splicing as well (11). In the following sections, the potential use of splice isoforms as therapeutic targets for CRC, all of which were recently identified, is discussed.

#### Vascular endothelial growth factor

The vascular endothelial growth factor (*VEGF*) gene superfamily consists of at least six ligands, many of which are spliced to generate a multitude of ligand isoforms (12). The VEGF molecules bind specifically to one or two of the three VEGF receptors (VEGFRs), with VEGFA binding to VEGFR-1 and VEGFR-2 (13). VEGFA and its receptors have been the most common research focus with regard to therapeutic targets with antiangiogenic effects. In addition to the previously known subfamily of VEGFA isoforms (VEGF<sub>xxx</sub>), another subfamily, VEGFA<sub>xxx</sub>b, was identified in 2002 (14). The terminal exon 8 of *VEGFA* gene is spliced in a mutually exclusive manner, resulting in a six amino acid substitution (CDKPRR to SLTRKD) to generate VEGFA<sub>xxx</sub> and VEGFA<sub>xxx</sub>b, respectively (Figure 2A). Recent studies have revealed the VEGFA<sub>xxx</sub> isoform to have proangiogenic properties, whereas VEGFA<sub>xxx</sub>b has antiangiogenic properties (15,16).

Bevacizumab (17), which was approved for clinical use against CRC in 2004, is a humanized monoclonal antibody that inhibits both the VEGFA<sub>xxx</sub> and VEGFA<sub>xxx</sub>b isoforms by blocking their common kinase domain receptor binding site (18). Despite the effectiveness of bevacizumab when it is combined with cytotoxic agents, its low response rate, high rate of resistance and adverse events have been discussed (19). These disadvantages of using bevacizumab may be caused by non-specific targeting, probably resulting from the non-specificity of the antibody for the pro- and antiangiogenic isoforms. In response to these findings, strategies specifically blocking the VEGFA<sub>xxx</sub> subfamily have been explored and pegaptanib was developed as a short modified RNA aptamer that specifically binds to VEGFA<sub>xxx</sub> but not VEGFA<sub>xxx</sub>b (20). Another possible target for CRC is a trans-element, SRp55, which is known to increase VEGFA<sub>xxx</sub>b expression, leading to antiangiogenic effects (21). In addition, IGF1, TNF- $\alpha$  and TGF- $\beta$ 1 were also identified as being involved in regulating the alternative splicing of VEGFA (21).

#### UDP glucuronosyltransferase 1A1 and pregnane X receptor

CPT-11, a semisynthetic camptothecin derivative that functions as a topoisomerase I-inhibitor, has been used as an effective anticancer prodrug against CRC. CPT-11 is anabolized to its active metabolite, SN-38, by carboxylesterase (22), and catabolized to its inactive metabolite by glucuronidation (23). UDP glucuronosyltransferase 1A1 (UGT1A1) is the main enzyme involved in glucuronidation of UDP, and genetic polymorphisms of this enzyme, such as UGT1A1\*28 (leading to a TA insertion in the promoter region) (24) and single nucleotide polymorphisms (25,26), are known to affect its glucuronidation activity. However, it is widely recognized that the UGT1A1 activity cannot be explained by the polymorphisms alone. One of the main reasons may be the alternative splicing of the *UGT1A* gene. Based on a search of the National Center for Biotechnology Information (NCBI) database and a PubMed literature survey, at least nine isoforms, including UGT1A1, are generated by the alternative splicing of *UGT1A* (Figure 2B), among which UGT1A1, UGT1A7, UGT1A9 and UGT1A10 have glucuronidation activity (27,28), but some of the other isoforms are non-functioning. This means that controlling the alternative splicing of *UGT1A* is important to avoid or decrease the adverse effects associated with CPT-11 and to enhance its efficacy. Recently, Guillemette's group found that the UGT1A

locus encodes a previously unknown splice isoform, UGT1A-i2, which is different from the previously known isoform, UGT1A-i1, which results from the alternative splicing of the terminal exon 5 (29). They found that UGT1A-i1 has glucuronosyltransferase activity, but UGT1A-i2 is inactive. By an immunohistochemical analysis using antibodies specific for each of the isoforms, they revealed that UGT1A-i1 and UGT1A-i2 are coproduced in the same structural regions in various organs (30). They further clarified that there is decreased expression of both UGT1A-i1 and UGT1A-i2 in CRC compared with corresponding normal tissues. Knockdown of endogenous UGT1A-i2 enhanced the cellular UGT1A-i1 activity (31), which supports the notion that UGT1A-i2 has a dominant-negative function and is a potential target for regulating the efficacy of CPT-11.

In addition, the UGT1A1 activity is regulated by splice isoforms of the *pregnane X receptor (PXR)* gene, which encodes a xenoreceptor that regulates drug metabolism and transporter genes (32). Currently, the *PXR* is known to have three major splice isoforms, T1, T2 and T3 (Figure 2C) (33). The expression of UGT1A1 isoforms, as well as that of UGT1A3 and UGT1A4, is upregulated by the T1 and T2 isoforms, but not by T3, which indicates that splice isoforms of *PXR* are potential therapeutic targets that may regulate the efficacy of CPT-11. In contrast, CPT-11 treatment of HCT116 cells preferentially affected the alternative splicing of factors such as RBM8A, which was not observed in cells treated with cisplatin or vinblastine (34). This indicates that the alternative splicing induced by CPT-11 was not simply due to reduced topoisomerase I activity, but rather was due to rapid RNA polymerase II hyperphosphorylation caused by CPT-11 (34).

#### Cyclin D1

The cyclin family is composed of proteins that control the progression of the cell cycle by activating cyclin-dependent kinases (CDKs). Among them, the protein encoded by *CCND1 (cyclin D1)* forms a complex with CDK4 and CDK6. The cyclin D1-CDK4/CDK6 complex induces the phosphorylation of retinoblastoma protein, which releases transcription factors from the phosphorylation of retinoblastoma protein complex, thereby promoting cell division through the G<sub>1</sub>-S checkpoint (35). For this reason, *cyclin D1* has been regarded as a proto-oncogene and overexpression of *cyclin D1* occurs at a high frequency in patients with CRC (36,37), esophageal cancer (38) and other malignancies. In addition, *cyclin D1* can activate estrogen receptors in a CDK-independent manner in breast cancer (39) and an abundance of *cyclin D1* affects the radiation sensitivity in some malignancies (40). The transcriptional mechanisms and other functions of cyclin D1 have recently been analyzed (41).

Although genetic alterations of the *cyclin D1* locus are rarely observed, recent studies have demonstrated that the alternative splicing of *cyclin D1* can influence the cancer risk and carcinogenesis (42). The *cyclin D1* gene is known to produce two alternative splice isoforms: CD1a and CD1b (Figure 2D). CD1a is a canonical isoform that consists of five exons, whereas CD1b includes exons 1-4 and a partial intron 4 (43). In colon cancer and other malignancies, the single nucleotide polymorphism G870A, which is the last nucleotide of exon 4 (CCG and CCA) and is located adjacent to the 5' splice site of intron 4 (GURAGU in Figure 1A), modulates the alternative splicing between exon 5 and intron 4, thus generating CD1a and CD1b, respectively (44). In addition, trans-elements ASF/SF2 (45) and Sam68 (46) regulate the alternative splicing toward the generation of CD1b. Although both CD1a and CD1b can associate with CDK4 and CDK6, they show distinct functions and cellular localizations. Phosphorylation of Thr286, which is located within exon 5 (Figure 2D), allows for the nucleocytoplasmic translocation of *cyclin D1* and its subsequent degradation (47); hence, CD1a can translocate to the cytoplasm, whereas CD1b remains constitutively in the nucleus. Although such functions of CD1a have not been observed, CD1b can cause cellular transformation and has been linked to human carcinogenesis (42,47). By performing the immunocytochemical analyses using antibodies for each of the isoforms, Li *et al.* (40) showed that CD1a, but not CD1b, elicited the DNA damage response in colon cancer cells when stably associated with chromatin. Considering the above results, the

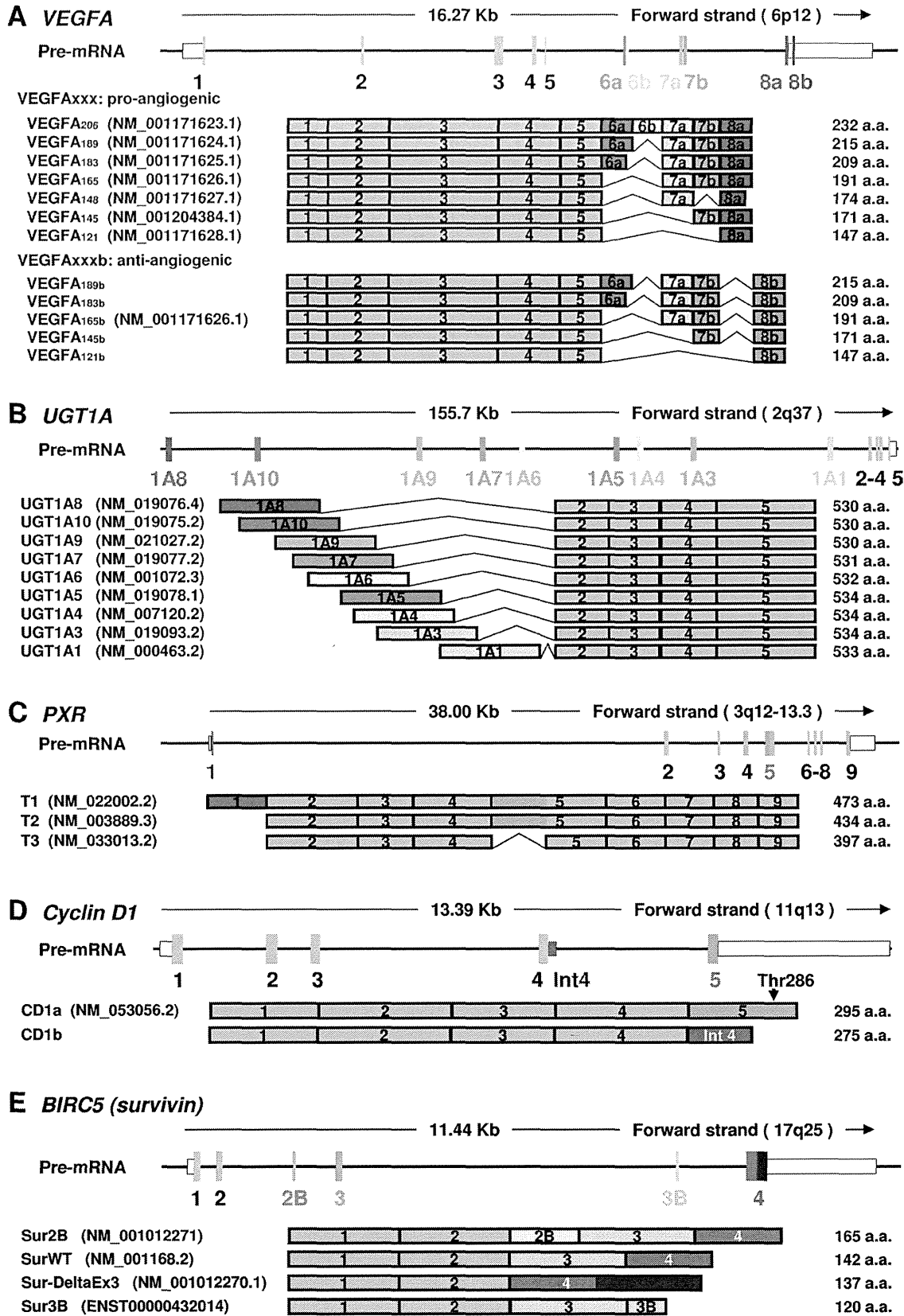


Fig. 2. Splice isoforms of *VEGFA* (A), *UGT1A* (B), *PXR* (C), *cyclin D1* (D) and *survivin* (E). For each of the genes, the pre-mRNA is indicated at the top and mature mRNAs are indicated below. White boxes indicate 5'-UTR and 3'-UTR. The NM numbers and the numbers of amino acids were provided based on the information contained in the NCBI database (as of 30 September 2012).

two splice isoforms of *cyclin D1* must be distinguished in order to develop therapeutic strategies targeting cyclin D1, and CD1b should be targeted for downregulation to maintain the inherent cell cycle control.

#### *Baculoviral inhibitor of apoptosis protein repeat-containing 5 (survivin)*

The inhibitor of apoptosis protein (IAP) family, which is characterized by the presence of baculovirus IAP repeat (BIR) domains (48,49), prevents apoptosis through direct inhibition of caspases and procaspases, and these proteins are expressed at elevated levels in the majority of human malignancies (50). Currently, seven genes in the IAP family have been isolated, among which the baculoviral IAP repeat-containing 5 (BIRC5) gene, also known as survivin, has been the most investigated as a therapeutic target for malignancies, and novel agents targeting this gene or protein are currently under development. Among them, YM155 (Astellas Pharma, Tsukuba, Japan) is a small molecule inhibitor of survivin (51). In 2012, Nakamura *et al.* (51) revealed that YM155 suppresses the expression of survivin through binding to the C-terminal region of interleukin enhancer-binding factor 3, although their study on the molecular mechanism is still underway. LY2181308 (Eli Lilly and Co., Indianapolis, IN) is a second-generation antisense oligonucleotide with a phosphorothioate backbone and other structural modifications, which targets the translation initiation site of the survivin transcripts (52). Both of these agents are designed to block all of the survivin transcripts. Recently, amiloride was reported to regulate the alternative splicing of survivin, as well as that of APAF1 and CRK (53).

Several splice isoforms of survivin have been reported (Figure 2E). In 2007, Sampath and Pelus (54) published a detailed review on the splice isoforms of survivin. The splice isoform Sur2B was regarded to be proapoptotic until the middle of the 2000s. However, the results of recent studies in CRC (55) and other malignancies (56,57) indicated different outcomes. In 2010, Sawai *et al.* (55) reported that Sur2B expression in CRC is an important factor involved in the invasive capacity of tumors in the presence of 5-FU. In 2011, Huang *et al.* (56) reported that the SurWT, Sur-DeltaEx3 and Sur2B isoforms were significantly elevated in astrocytoma and were associated with a poorer prognosis and Vivas-Mejia's study on ovarian cancer cells showed that Sur2B was more abundant in taxane-resistant cells than in taxane-sensitive cells (57). Using CRC samples and corresponding normal tissues, Pavlidou *et al.* (58) analyzed the expression levels of the isoforms, and Antonacopoulou *et al.* (59) analyzed the correlation between the expression of survivin isoforms and single nucleotide polymorphisms. It is still difficult to integrate all of the information on the survivin isoforms because some of the results have been contradictory, but the information will be important to design therapeutic strategies targeting survivin.

#### *Dihydropyrimidine dehydrogenase*

After its development in 1957 (60), 5-FU has been a core anticancer agent used for CRC. Approximately 90% of the administered 5-FU is catabolized by dihydropyrimidine dehydrogenase (DPD), mainly in the liver, whereas the remaining 10% of 5-FU is anabolized to exert cytotoxic activity (61), making DPD the most important determinant of 5-FU metabolism (62). Screening for genetic alterations with genomic DNA and mRNA sequencing, van Kuilenburg *et al.* (63) identified genetic alterations in deep intronic regions such as c.1129-5923C>G, which caused aberrant splice isoforms of the *DPD* gene. Their study indicates that caution should be exercised when screening for introns, as well as for exons, when identifying DPD-deficient patients and determining the likely efficacy of 5-FU.

#### *Other splice isoforms implicated in CRC*

Some of the other genes encoding splice isoforms that may be possible therapeutic targets for CRC are the *K-RAS* (64), *macroH2A1* (65,66), *SOX9* (67), *SLC39A14* (68,69), colorectal neoplasia differentially expressed (*CRNDE*) (70), *BARD1* (71), *CDH17* (72), *CYP24A1* (73)

and *PPARG* genes (74). It is well known that somatic mutation of the *K-RAS* gene is an early event in colorectal carcinogenesis. However, since the middle of the 2000s, the splice isoforms K-RAS4A and K-RAS4B have been reported to have differential functions in apoptosis (75) and differentiation (76) in the intestinal epithelia. In 2009, Abubaker *et al.* (64) analyzed CRC tissues for somatic mutations in the *K-RAS* gene, as well as performing an immunohistochemical analysis of the splice isoforms. Their study demonstrated that the expression of K-RAS4A and K-RAS4B was associated with several clinicopathological features of CRC, and both the *K-RAS* mutation and K-RAS4A expression were independent prognostic markers in a multivariate analysis.

MacroH2A1 is the founding member of the macroH2As family, which has the ability to replace the functions of canonical histones, and has two splice isoforms: macroH2A1.1 and macroH2A1.2. In 2011, Novikov *et al.* (65) demonstrated that the expression of macroH2A1.1 is suppressed in CRC and other malignancies compared with normal tissues. An immunohistochemical study of the two isoforms by Sporn *et al.* (66) in 2012 revealed that the loss of macroH2A1.1 was associated with a worse prognosis of CRC.

The SOX9 transcription factor, which has antioncogenic potential in CRC, generates two isoforms: canonical SOX9 and MiniSOX9, which is a truncated isoform of SOX9 expressed at high levels in CRC (67). An immunohistochemical analysis of CRC and corresponding normal tissues using isoform-specific antibodies revealed that MiniSOX9 behaves as a SOX9 inhibitor and increases the oncogenic potential of CRC cells (67). This indicates that MiniSOX9 may be a therapeutic target for CRC.

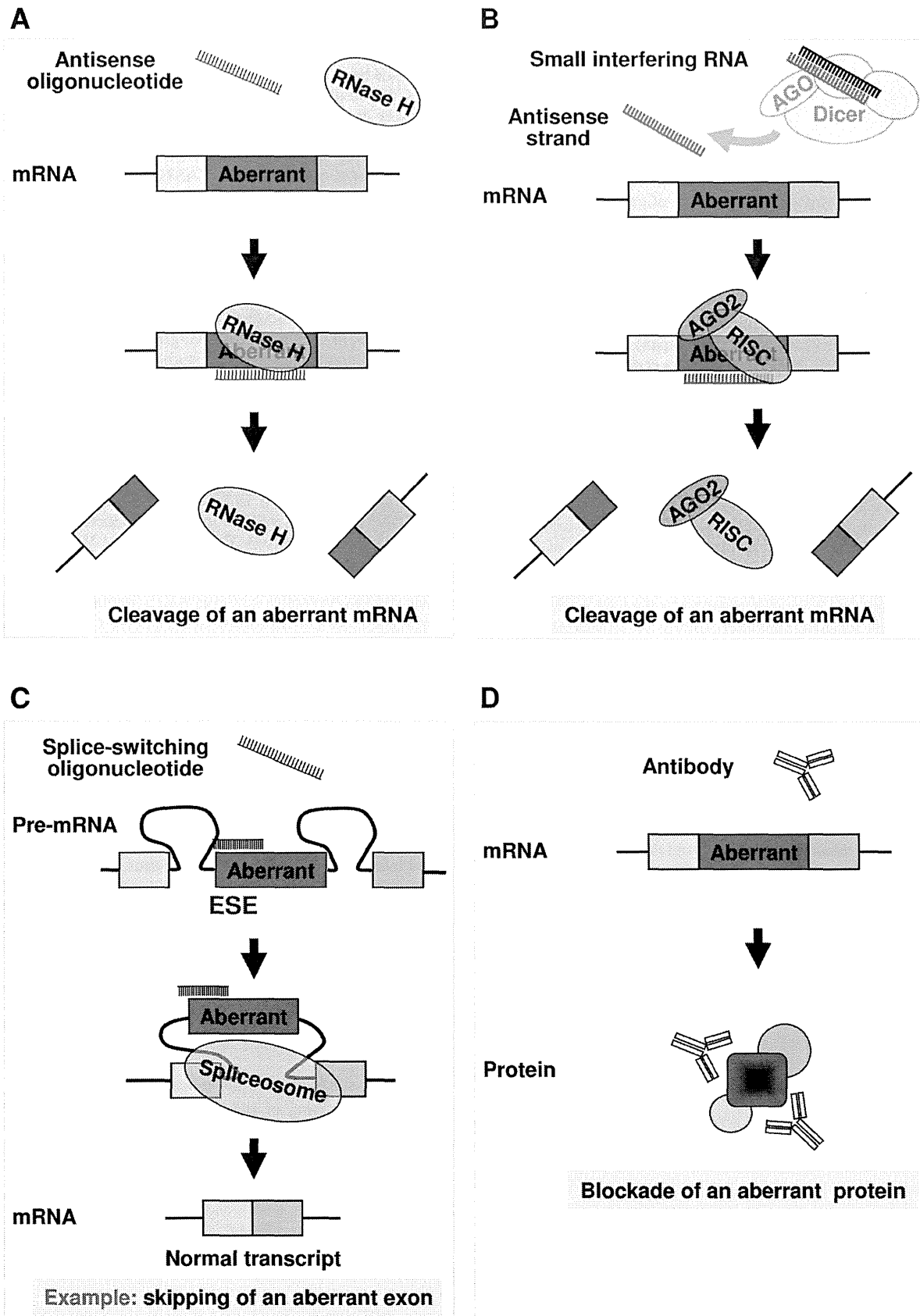
SLC39A14 is a divalent cation transporter, which consists of nine exons and has two splice isoforms with a mutually exclusive exon 4, which generates two isoforms: SLC39A14-4A and SLC39A14-4B. In 2011, Thorsen *et al.* (69) demonstrated that SLC39A14-4B mRNA is highly expressed in colonic adenoma and CRC tissues compared with the SLC39A14-4A mRNA. In 2011, Sveen *et al.* (68) reported that SLC39A14-4B can be used as a marker to distinguish CRC from other pathological conditions of the colon. In addition, Graham *et al.* (70) indicated that splice isoforms of the *CRNDE* gene seem to be differentially expressed in different stages of CRC.

In 2011, Yi and Tang reported a review article on the potential use of splice variants as diagnostic, predictive and prognostic markers for CRC (77), which included information about *APC*, *TIMP-1*, *VEGFA*, *DYX1C1* and *c-FLIP*, among other genes. Their article provided information about the splice isoforms with regard to the use of chemotherapy for CRC. A genome-wide exon array analysis in 2011 detected several CRC-specific splice isoforms (*TCF12*, *OSBPL1A*, *TRAK1*, *ANK3*, *CHEK1*, *UGP2*, *LMO7*, *ACSL5* and *SCIN*) (78). In addition, trans-elements, such as SR protein kinase 1 and SR protein kinase 2, have also been discussed as therapeutic targets for CRC and other malignancies (79), although trans-elements are not described in detail in this review. Most of the studies presented here were reported after 2011, and the information on splice isoforms is still being accumulated.

#### *Therapeutic strategies to target splice isoforms*

Therapeutic targeting of splice isoforms may be achieved through conventional small molecules, but these molecules can only target a small subset of proteins, such as enzymes (e.g. tyrosine kinases) and receptors (e.g. the epidermal growth factor receptor). On the other hand, RNA-based therapeutics can theoretically target all of the pre-mRNAs and mRNAs with a wider range and higher selectivity than small molecules (80), although almost all of these modalities are still in preclinical development. Currently, the most important issue to be resolved for the use of RNA-based therapeutics as macromolecules is the development of an optimal drug delivery system.

The RNA-based therapeutics include antisense oligonucleotides, small interfering RNA (siRNA), splice-switching oligonucleotides and other molecules such as ribozymes and aptamers. Among them, synthetically modified antisense oligonucleotides are about 20 nucleotides long (Figure 3A) and the annealing of the oligonucleotides



**Fig. 3.** Macromolecules targeting splice isoforms associated with malignancy. (A) A synthetically modified antisense oligonucleotide, (B) siRNA, (C) a splice-switching oligonucleotide and (D) an antibody. In (C), an example of splice switching is presented, in which an ESE located in the aberrant exon is annealed to an oligonucleotide, and the aberrant exon is skipped. AGO, argonaute; ESE, exonic splice enhancer; RISC, RNA-induced silencing complex; RNase H, ribonuclease H.

to mRNA allows the cleavage of the mRNA by ribonuclease H. To provide enhanced structural stability and pharmacological qualities while not interfering with the activity of ribonuclease H, various modifications of their chemical structures have been made, such as the use of a phosphorothioate linkage instead of natural phosphates as a backbone of nucleotides, and 2'-O-methyl (2'-OMe) residues, 2'-O-methoxyethyl (2'-MOE) residues or locked nucleic acids (81) have also been developed. In addition, the chemistry-dependent toxicities induced by their structures is another important issue to be considered. siRNA (Figure 3B) is another modality that consists of a double-stranded RNA fragment 21–22 nucleotides long. After interacting with the multiprotein RNA-induced silencing complex, the antisense strand of the siRNA anneals to the complementary mRNA as a target, and the endonuclease argonaute 2 cleaves the annealed mRNA. In this modality, off-target effects and the innate immune response via the activation of Toll-like receptors should be carefully managed. Splice-switching oligonucleotides (Figure 3C) modulate pre-mRNA splicing with spliceosomes and repair the defective pre-mRNA to generate proteins that have distinct functions. Monoclonal antibodies (82) have also been used for various targets (Figure 3D). In contrast with the RNA-based therapeutics, some antibodies targeting oncogenic proteins have already been in clinical use; and those for each of the splice isoforms will likely be further developed for clinical use. The development of antibodies is still very expensive, and further considerations for their development are discussed elsewhere (83). Targeting trans-elements that act as spliceosomes or splicing modulators is another option.

### Future perspectives

In this review, we summarized the splice isoforms that represent possible therapeutic targets for CRC. As discussed in this review, isoform-specific antibodies for VEGFA (16), UGT1A (30), cyclin D1 (40), K-RAS (64) and SOX9 (67) are currently available, and they can be utilized for immunohistochemical analyses and other purposes, and may eventually be useful for clinical applications. With the recent advances in nucleotide sequencing technologies, an entire set of genomic DNA sequences has been analyzed, and in the next stage, an entire set of RNA sequences will be further analyzed; the interpretation of the latter, however, is far more complex compared with the former, mainly due to the wide variety of mature mRNAs resulting from alternative splicing. To elucidate the regulatory mechanism(s) for alternative splicing as a whole, the two sets of sequence information will have to be integrated. Although the importance of individual cis-elements in the splicing machinery has been widely discussed, the concept of a 'splicing code', which is defined as a complex combination of the cis-elements that direct constitutive or alternative splicing, was proposed as early as the 1970s. To experimentally prove this concept had been a major challenge, but recent studies combining transcriptome-wide data with advanced machine learning algorithms were able to predict new classes of alternative splicing events under regulation by the splicing code (84,85). Furthermore, in a recent genome-wide siRNA screening, Moore *et al.* (6) identified a coordinated alternative splicing of *Bcl-X*, *MCL1*, *CASP9* and other apoptosis-associated genes under a common regulatory network. These findings suggest that we should consider a set of splice isoforms or their common regulatory network when developing therapeutic strategies for malignancies, rather than targeting a single gene. To what extent the mechanisms regulating alternative splicing are organ-specific remains unclear, but the phenomenon is complex, and is the subject of many ongoing studies.

Research in these various areas is still ongoing, and new discoveries are being reported at a rapid pace. Recent reports have demonstrated that alternative splicing is also affected by newly identified regulatory factors, such as RNA polymerase II elongation (86), the chromatin structure (87,88), histone modifications (89), the RNA structure (90) and the spliceosome structure (91), most of which are interwoven bidirectionally (87,88). Importantly, the splicing machinery is regulated by innate microRNAs, siRNAs, small nucleolar RNAs and other

non-coding RNAs (92,93), and these should also be considered as therapeutic targets. The rapidly increasing information available about nucleotide sequences, trans-elements, and newly identified regulatory factors, along with novel bioinformatics technology, such as the multi-mapping Bayesian gene eXpression (MMBGX) program by Turro *et al.* (94), which enables the detection of differential splicing at the isoform level, will provide additional information about how therapeutic strategies targeting alternative splicing in malignancies can be developed.

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# Differentiating rectal carcinoma by an immunohistological analysis of carcinomas of pelvic organs based on the NCBI Literature Survey and the Human Protein Atlas database

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**Abstract** The treatments and prognoses of pelvic organ carcinomas differ, depending on whether the primary tumor originated in the rectum, urinary bladder, prostate, ovary, or uterus; therefore, it is essential to diagnose pathologically the primary origin and stages of these tumors. To establish the panels of immunohistochemical markers for differential diagnosis, we reviewed 91 of the NCBI articles on these topics and found that the results correlated closely with those of the public protein database, the Human Protein Atlas. The results revealed the panels of immunohistochemical markers for the differential diagnosis of rectal adenocarcinoma, in which [+] designates positivity in rectal adenocarcinoma and [–] designates

negativity in rectal adenocarcinoma: from bladder adenocarcinoma, CDX2[+], VIL1[+], KRT7[–], THBD[–] and UPK3A[–]; from prostate adenocarcinoma, CDX2[+], VIL1[+], CEACAM5[+], KLK3(PSA)[–], ACPP(PAP)[–] and SLC45A3(protein)[–]; and from ovarian mucinous adenocarcinoma, CEACAM5[+], VIL1[+], CDX2[+], KRT7[–] and MUC5AC[–]. The panels of markers distinguishing ovarian serous adenocarcinoma, cervical carcinoma, and endometrial adenocarcinoma were also represented. Such a comprehensive review on the differential diagnosis of carcinomas of pelvic organs has not been reported before. Thus, much information has been accumulated in public databases to provide an invaluable resource for clinicians and researchers.

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**Keywords** Rectal adenocarcinoma · Carcinoma of pelvic organs · Differential diagnosis · Immunohistochemistry · Public database

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## Abbreviations

IHC	Immunohistochemistry
H–E	Hematoxylin and eosin
LN	Lymph nodes
HPA	Human Protein Atlas
NCBI	National Center for Biotechnology Information
HPR	Human Proteome Resource
KRT	Keratin
IF	Intermediate filament
CEA	Carcinoembryonic antigen
CEACAM5	Carcinoembryonic antigen-related cell adhesion molecule 5
CDX2	Caudal type homeobox 2
VIL1	Villin 1
THBD	Thrombomodulin

UPK3A	Uroplakin 3A
KLK3	Kallikrein-related peptidase 3
PSA	Prostate-specific antigen
ACPP	Acid phosphatase, prostate
PAP	Prostatic acid phosphatase
SLC45A3	Solute carrier family 45, member 3
MUC5AC	Mucin 5AC, oligomeric mucus/gel-forming
WT	Wilms' tumor
CDKN2A	Cyclin-dependent kinase inhibitor 2A
HPV	Human papillomavirus
VIM	Vimentin
ESR1	Estrogen receptor 1
PR	Progesterone receptor

## Introduction

Establishing the origin of primary tumors or metastatic cancer cells from carcinomas of pelvic organs is often difficult preoperatively and intraoperatively. It is essential to diagnose the primary origin and tumor stage pathologically because treatment selection and prognosis differ according to the organ of origin, namely, the rectum, urinary bladder, prostate, ovary, or uterus. It is important to use immunohistochemistry (IHC) to support the pathological diagnosis with hematoxylin and eosin (H–E) staining when determining the primary origin and stage. IHC helps to reduce the rate of false-negative and false-positive diagnoses, and the knowledge of IHC is constantly expanding. Therefore, it would be beneficial to integrate all of the current knowledge on IHC markers for the differential diagnosis of carcinomas of the pelvic organs.

None of the IHC markers is absolutely sensitive or specific for a particular tumor type because tumors often show aberrant expression of proteins; hence, a panel of IHC markers is essential. Figure 1 illustrates a recent case in which the diagnosis of the primary origin of metastatic cancer cells was important. A concurrent diagnosis of prostate carcinoma was made in a patient with rectal adenocarcinoma and postoperative pathological examination revealed that some of the lymph nodes (LNs) were metastatic from rectal adenocarcinoma and others were from prostate adenocarcinoma (Fig. 1c–g). Based on these findings, androgen deprivation therapy (leuporelin acetate) and systemic chemotherapy, including oxaliplatin, were introduced postoperatively. This case highlights that standardized panels of IHC markers need to be established for an accurate diagnosis in such cases. Another case report described a giant T4 rectal adenocarcinoma mimicking urinary bladder adenocarcinoma in a patient who underwent surgery after the primary origin was identified by IHC

[1]. In this case, an incorrect diagnosis by IHC may have led to improper treatment. In yet another report, IHC was found to be important for the diagnosis of endoluminal metastasis of colon cancer via the ureter [2].

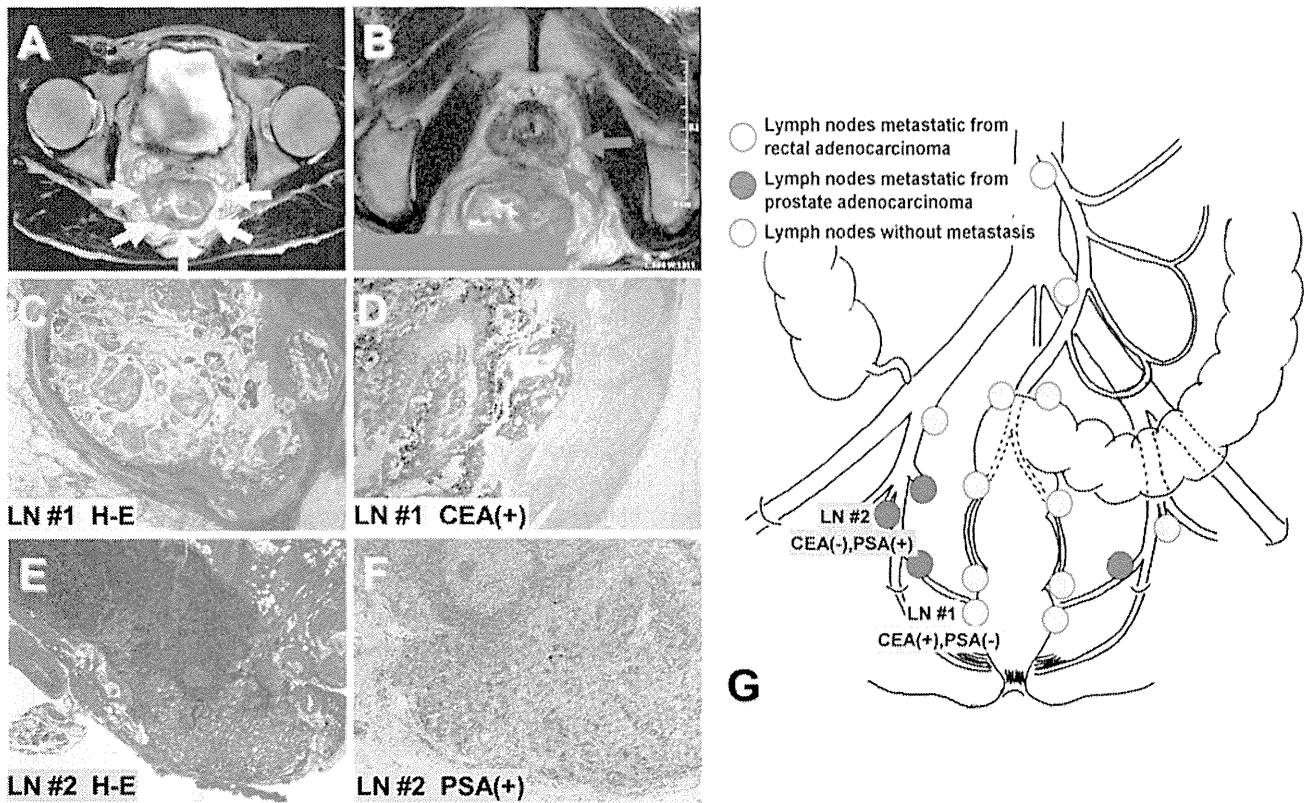
Public databases have recently accumulated much information for clinicians and basic researchers. The public protein database, Human Protein Atlas (HPA), provides a powerful strategy for diagnoses with pathological images and clinicopathological information from a wide variety of normal tissues, cell lines, and cancer tissues [3]. We surveyed literature from the National Center for Biotechnology Information (NCBI) PubMed database and reviewed approximately 600 related NCBI articles. From these, we selected 91 key studies and integrated their data into one summary, which was validated utilizing the HPA database. This review identifies panels of IHC markers for the differential diagnosis of rectal adenocarcinoma from carcinomas of other pelvic organs, including the urinary bladder, prostate, ovary, and uterus. These panels will be helpful not only for general surgeons, but also for urologists and gynecologists. To our knowledge, such a comprehensive review on the differential diagnosis of carcinomas of pelvic organs has never been reported before, from any country.

## Review of the NCBI database

In December 2010, we selected approximately 200 articles in the first round of the NCBI PubMed literature survey on the IHC of carcinomas of the rectum and other pelvic organs, using the following keywords: “differential diagnosis” and “rectal adenocarcinoma” or “pelvic carcinoma”, and “immunohistochemistry”. This process identified 24 IHC markers (Table 1). We then conducted a second literature survey using the following key words: “official symbol” or “other aliases” and “organ name” and “carcinoma” and “immunohistochemistry”; in which, the “official symbol” and “other aliases” are listed in Table 1, and “organ name” was either “rectal”, “bladder”, “urothelial”, “prostate”, “ovary”, “cervical” or “endometrial”. This revealed approximately 600 references related to IHC of carcinomas of pelvic organs, but they did not necessarily include information for the current study. These references were carefully checked, and the 91 key articles were selected. We evaluated these 91 studies and integrated their data into Fig. 2 [4–94].

## Guidelines for immunoreactivity

In Fig. 2, each of the 91 references is indicated by a leading number for all cells. We used the guidelines proposed by Hammerich et al. [32] to standardize the immunoreactivity



**Fig. 1** Synchronous double carcinomas of the prostate and colorectum with lymph node metastasis. **a** Magnetic resonance imaging (MRI) axial image showing the rectal adenocarcinoma (yellow arrows). **b** MRI axial image showing the prostate adenocarcinoma (purple arrows). A metastatic LN in the pararectal region with H–E staining (**c**) and immunohistochemistry (IHC) for carcinoembryonic antigen (CEA) (**d**), showing possible rectal adenocarcinoma origin.

A metastatic lymph node (LN) in the lateral pelvic region with H–E staining (**e**) and IHC for prostate-specific antigen (PSA) (**f**), showing the possibility of prostate adenocarcinoma origin. **g** Schematic illustration of the distribution of dissected lymph nodes. Lymph nodes metastatic from rectal adenocarcinoma and prostate adenocarcinoma are shown as yellow and purple, respectively

among different studies, and the immunoreactivity was classified into three grades: (+), which means all or most tumors are positive; (– +), which means some tumors are positive; and (–), which means most or all tumors are negative (Fig. 2). In parentheses, the positive rates for each of the markers are expressed as percentages, instead of (+), (– +), or (–) if the information was available (Fig. 2).

### Retrieval of data from the Human Protein Atlas

The HPA [3], hosted by the Swedish Human Proteome Resource (HPR) program, is available at the web address: <http://www.proteinatlas.org/index.php>. This database provides the expression profiles at the protein levels, as well as IHC images for a wide variety of tissues and cell lines. The antibody information used for each of IHC can also be retrieved. This database yielded IHC data for colorectal adenocarcinoma, bladder adenocarcinoma, prostate

adenocarcinoma, ovarian adenocarcinoma, cervical carcinoma, and endometrial adenocarcinoma; however, it does not classify ovarian carcinoma into histological subtypes. The immunoreactivity in the HPA database is classified into four grades: strong, moderate, weak, and negative (Supplementary Fig. 1). Figure 3 summarizes the positive rates of IHC markers retrieved from the HPA database in December, 2010.

Combining the results of the literature survey shown in Fig. 2 and those from the HPA database shown in Fig. 3 provides panels of IHC markers for the differential diagnosis of rectal adenocarcinoma from carcinomas of other pelvic organs (Table 2).

### IHC markers for carcinomas of the pelvic organs

Figure 2 summarizes the findings of the 91 studies [4–94], including the results of IHC analyses and the information of the IHC markers.

**Table 1** Genes analyzed for immunohistochemistry of pelvic carcinomas

Official symbol	Official full name	Other names	Chromosomal localization
KRT7	Keratin 7	K7; CK7; SCL; K2C7; MGC3625; MGC129731	12q12-q13
KRT20	Keratin 20	K20; CD20; CK20; KRT21; MGC35423	17q21.2
CEACAM5	Carcinoembryonic antigen-related cell adhesion molecule 5	CEA; CD66e; DKFZp781M2392	19q13.1-q13.2
CDX2	Caudal type homeobox 2	CDX3; CDX-3	13q12.3
VIL1	Villin 1	VIL; D2S1471	2q35-q36
TFF1	Trefoil factor 1	pS2; BCEI; HPS2; HP1.A; pNR-2; D21S21	21q22.3
THBD	Thrombomodulin	TM; THRM; CD141	20p11.2
UPK3A	Uroplakin 3A	UPK3; UPIII; UPIIIA; MGC119178	22q13.31
KLK3	Kallikrein-related peptidase 3	APS; PSA; hK3; KLK2A1	19q13.41
ACPP	Acid phosphatase, prostate	PAP; ACP3; ACP-3	3q21-q23
SLC45A3	Solute carrier family 45, member 3	PRST (prostein); IPCA6; IPCA-2; IPCA-6; IPCA-8; PCANAP2; PCANAP6; PCANAP8	1q32.1
AMACR	Alpha-methylacyl-CoA racemase	RACE; CBAS4 (P504S)	5p13
EPCAM	Epithelial cell adhesion molecule	EGP; ESA; KSA; M4S1; MK-1; EGP-2; EGP34; EGP40; KS1/4; MIC18; TROP1; CO-17A; Ep-CAM; hEGP-2; CO17-1A; GA733-2; TACST-1; TACSTD1	2p21
B3GAT1	Beta-1,3-glucuronyltransferase 1 (glucuronosyltransferase P)	NK1; CD57; HNK1; LEU7; NK-1; GLCATP; GLCUATP	11q25
NKX3-1	NK3 homeobox 1	NKX3; BAPX2; NKX3A; NKX3.1	8p21
MUC5AC	Mucin 5AC, oligomeric mucus/gel-forming	MUC5	11p15.5
WT1	Wilms tumor 1	GUD; WAGR; WT33; WIT-2	11p13
MUC16	Mucin 16, cell surface associated	CA125; FLJ14303	19p13.2
MSLN	Mesothelin	MPF; SMR; CAK1	16p13.3
CDKN2A	Cyclin-dependent kinase inhibitor 2A (melanoma, p16, inhibits CDK4)	ARF; MLM; p14; p16; p19; CMM2; INK4; MTS1; TP16; CDK4I; CDKN2; INK4a; p14ARF; p16INK4; p16INK4a	9p21
VIM	Vimentin	FLJ36605	10p13
ESR1	Estrogen receptor 1	ER; ESR; Era; ESRA; NR3A1; DKFZp686N23123	6q25.1
PGR	Progesterone receptor	PR; NR3C3	11q22-q23
BCL2	B-cell CLL/lymphoma 2	Bcl-2	18q21.33

### Keratins (KRTs)

Along with actins and tubulins, keratins (KRTs) are classified into the intermediate filament (IF) proteins, with KRTs sub-classified from KRT1 to KRT20. KRT7 is a 54 kDa basic protein and KRT20 is a 46 kDa acidic protein, and most studies of keratins support the notion that KRT20 is positive in rectal adenocarcinoma, whereas KRT7 is negative. Carcinomas of other pelvic organs also show distinct patterns of immunoreactivity for KRT7 and KRT20, indicating that they are good markers for differential diagnosis.

### CEACAM5, CDX2 and VIL1

In 1965, Gold and Freedman [95] discovered a tumor-specific antigen in human colorectal carcinoma, now

known as carcinoembryonic antigen (CEA), using immunological tolerance and absorption techniques. CEA is a high molecular glycoprotein with 180 kDa, which is encoded by *carcinoembryonic antigen-related cell adhesion molecule 5 (CEACAM5)* gene [96] and belongs to a family of membrane glycoproteins. CEA functions as a cell adhesion molecule and is important during embryogenesis and tumor development [97]. CEA is positive not only in rectal adenocarcinoma, but also in bladder adenocarcinoma and cervical carcinoma, whereas it is negative in ovarian mucinous and serous adenocarcinomas and endometrial adenocarcinoma.

In 1997, Drummond et al. [98] cloned the cDNA and amino acid sequences of the *Caudal type homeobox 2 (CDX2)* gene from a human jejunal cDNA library. Bai et al. [99] also reported that CDX2 up-regulates the



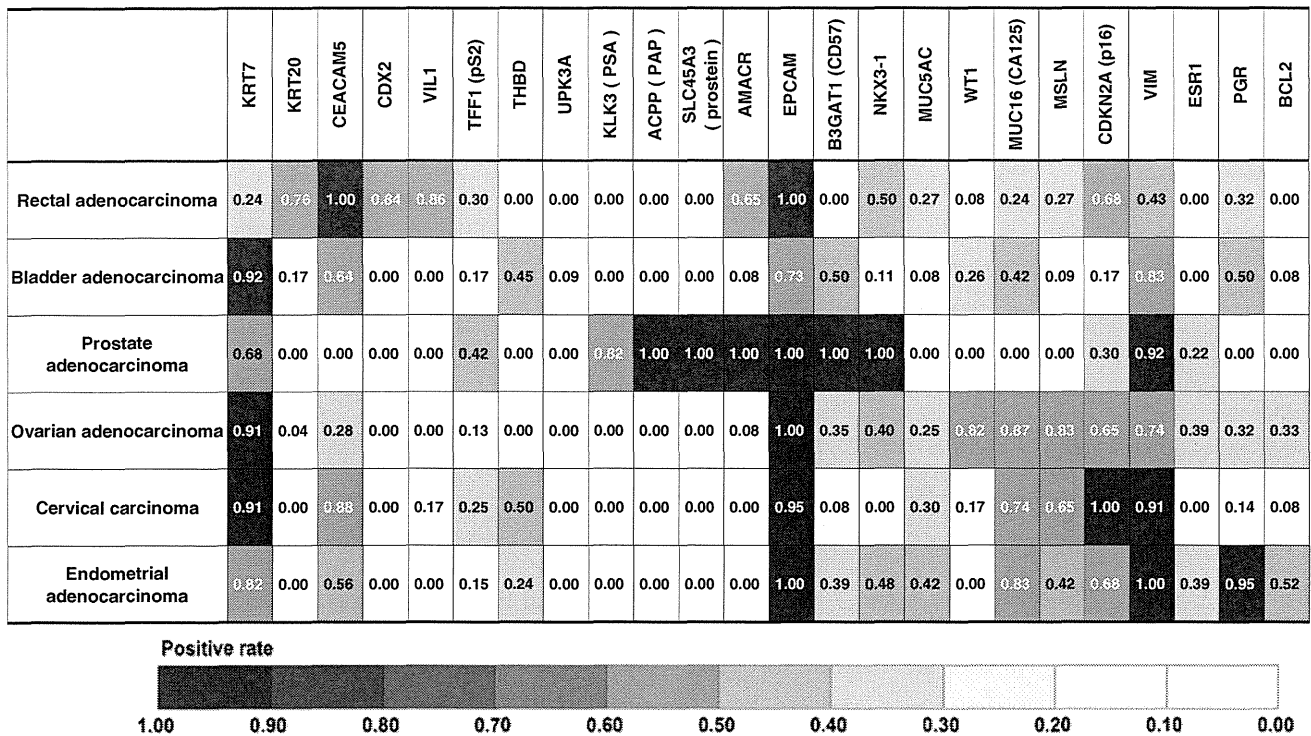


Fig. 3 Positive rates of immunoreactivity retrieved from the HPA

produced by the prostate epithelium and abundant in seminal fluid, which is both sensitive and specific in prostate adenocarcinoma [102, 103]. ACPP (PAP) is approximately 50 kDa and belongs to the kallikrein family encoding serine proteases [104], whereas SLC45A3 (prostein) is a prostate-specific transmembrane protein. Both are sensitive and specific in prostate adenocarcinoma, as is KLK3 (PSA).

MUC5AC

Mucins are a family of high molecular weight glycoproteins, expressed differentially in epithelia, depending on cell-type specificity; thus, some mucins can be used as IHC markers. Mucin 5AC, oligomeric mucus/gel-forming (MUC5AC), is positive in ovarian mucinous adenocarcinoma and negative in rectal adenocarcinoma.

WT1

Wilms’ tumor (WT) develops in the kidney and is one of the most common solid tumors of childhood. The *WT1* gene and several other genes, including *WT2*, *WT3*, and *WT4*, have been associated with Wilms’ tumors. In 2000, Shimizu et al. [81] immunohistochemically detected the WT1 protein in epithelial ovarian tumors and it is

now considered a good marker of ovarian serous adenocarcinoma.

CDKN2A

Cyclin-dependent kinase inhibitor 2A (CDKN2A), also known as “p16”, is selectively positive in cervical adenocarcinoma. In 1995, Stone et al. [105] demonstrated that the CDKN2A locus at 9p21 region codes two alternative transcripts from different promoters, p16 (INK4A) and p14 (ARF). Since then, homozygous deletions and genetic alterations of the *p16* gene have been reported in several malignancies, including melanoma and pancreatic carcinomas, indicating that p16 is a tumor suppressor [106, 107]. Lukas et al. [108] reported that p16 is a CDK inhibitor. IHC of p16 has also been reported in female genital tract cancers. Moreover, the detection of human papillomavirus (HPV) is a powerful strategy for the diagnosis of cervical carcinoma and squamous cell carcinoma, and it was also recently reported that cervical carcinoma can be diagnosed with its detection.

VIM, ESR1, and PGR

Vimentin (VIM), one of the main IF proteins expressed in mesenchymal cells [109], is positive in endometrial



**Table 2** Proposal for sets of immunohistochemical markers for the differential diagnosis of rectal adenocarcinoma

Differential diagnosis	Immunohistochemical markers	
	Positive for rectal adenocarcinoma and negative for the other carcinoma	Negative for rectal adenocarcinoma and positive for the other carcinoma
Rectal adenocarcinoma versus bladder adenocarcinoma	CDX2, VIL1	KRT7, THBD, UPK3A
Rectal adenocarcinoma versus prostate adenocarcinoma	CDX2, VIL1, CEACAM5	KLK3 (PSA), ACP (PAP), SLC45A3 (prostein)
Rectal adenocarcinoma versus ovarian mucinous adenocarcinoma	CEACAM5, VIL1, CDX2	KRT7, MUC5AC
Rectal adenocarcinoma versus ovarian serous adenocarcinoma	KRT20, CEACAM5, CDX2	KRT7, WT1
Rectal adenocarcinoma versus cervical carcinoma	KRT20, CDX2	KRT7, p16 + HPV detection
Rectal adenocarcinoma versus endometrial adenocarcinoma	KRT20, CEACAM5, VIL1	KRT7, VIM, ESR1, PGR

adenocarcinoma, while estrogen receptor 1 (ESR1) and progesterone receptor (PR) are positive in endometrial carcinoma. All of these markers are negative in rectal adenocarcinoma.

Most of the references for the IHC markers in Fig. 2 reported consistent results and provided important information for the differential diagnosis. It is important to note that much of the negative data on immunoreactivity may not have been reported and are thus not reflected in this summary. Supplementary Fig. 1 shows some of the IHC results retrieved from the HPA database in December 2010, along with the number of informative cases and the positive rates for each marker. The positive rates for each of the IHC markers from the HPA database are further summarized in Fig. 3. The results of the literature survey in Fig. 2 were highly concordant with those of the HPA database (Fig. 3).

The histological classification of ovarian tumors by the World Health Organization is widely accepted and categorizes ovarian tumors with regard to their derivation from coelomic surface epithelial cells, germ cells, and mesenchyme. Kaku et al. [110] reported that ovarian tumors exhibit a wide variety of histological features and McCluggage [111] revealed that the pathogenesis of ovarian carcinoma is largely unknown because of the lack of a tumor progression model. For these reasons, it is difficult to classify ovarian carcinomas into histological subtypes.

### Panels of IHC markers for differential diagnosis

This study focuses on how IHC can be used in a clinical setting. We proposed sets of IHC markers to assist in the

differential diagnosis of rectal adenocarcinoma from carcinomas of other pelvic organs, including the urinary bladder, prostate, ovary, and uterus. In the panels of IHC markers listed in Table 2, the symbol [+] attached to the names of the markers designates “positive” in rectal adenocarcinoma and “negative” in the other pelvic organ carcinomas, whereas [–] designates “negative” in rectal adenocarcinoma and “positive” in the other pelvic organ carcinomas. For example, the following panel of markers was proposed for the differential diagnosis of rectal adenocarcinoma from bladder adenocarcinoma: CDX2[+], VIL1[+], KRT7[–], THBD[–] and UPK3A[–].

### Conclusions

The Swedish HPR program was established to allow for a systematic exploration of the human proteome using antibody-based proteomics [3]. The main objective of the resource center is to produce specific antibodies to human target proteins. These antibodies are used to study the expression profiles in cells and tissues and to perform functional analyses. The HPA version 1.1 was released in November 2005. In addition to the HPA, the NCBI (<http://www.ncbi.nih.gov>) and Ensembl databases (<http://www.ensembl.org>), other public databases on life sciences, including the Human Proteome Organization, the Universal Protein Resource, the Swiss-Prot protein knowledge base, and the Vega genome browser, are currently available. Much information has been accumulated on these public databases, which provide a valuable resource for clinicians and researchers. It is important not only to utilize their data, but also to register our novel data to promote further development of these databases. Finally,

it is important to establish the internationally standardized controls for those data, particularly for image data.

In this review, we proposed panels of IHC markers for the differential diagnosis of pelvic cancers. To utilize the information, it is important to remember that the expression of each of the markers can vary depending on the histological subtypes and differential status. Especially in poorly differentiated adenocarcinoma and signet-ring cell carcinoma, IHC staining of the markers is decreased [112] and the diagnostic biopsy material is limited [113]. In this regard, the differential diagnosis of undifferentiated adenocarcinoma is more difficult than that of differentiated adenocarcinoma. Furthermore, to use the information gained from this review, we must remember that IHC results can be influenced by many factors, namely, the fixation of materials, the preservation of materials, antibodies, IHC methods, and so on. Concerning differential diagnoses, clinical characteristics (such as sex, age, and history), macroscopic distribution of the tumor, and conventional histological findings are as important as IHC status. Considering all that has been discussed in this review, the panels of IHC markers for the differential diagnosis of pelvic carcinomas can be maximally utilized, not only by general surgeons, but also by urologists and gynecologists.

**Conflict of interest** Koh Miura and his co-authors have no conflict of interest.

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