the Wald test. To clarify the histologic mechanisms for hepatic blood flow changes, univariate and multivariate logistic regression analyses were performed with the Wald test. Findings at histologic examination of perivenular fibrosis, pericellular fibrosis, portal fibrosis, bridging fibrosis, steatosis, lobular inflammation, portal inflammation, and ballooning were assessed by using regression models. Multivariate logistic regression models included parameters that were significant ($P < .05$) at univariate logistic regression analysis. To estimate intraobserver reproducibility, the coefficient of variation was measured as CV = (SD/mean) · 100, where CV is the coefficient of variation and SD is the standard deviation. Less than 5% was defined as good reproducibility. A P value of .05 was considered to indicate a significant difference.

Table 1

Patient Clinical Characteristics and Laboratory Data

Characteristic	Datum
No. of patients	121
Sex	
Female	62
Male	59
Age (y)*	55.3 ± 14.3 (20–89)†
Female	57.3 ± 12.4 (26–83)†
Male	53.2 ± 15.3 (20–89)†
Body mass index (kg/m^2)	27.1 ± 6.2 (20.2–48.2)†
Aspartate aminotransferase level (U/L)‡	45.7 ± 32.7 (12–184)†
Alanine aminotransferase level (U/L)‡	58.4 ± 54.4 (8–374)†
Gamma glutamyltransferase level (U/L)‡	62.5 ± 78.1 (7–354)†
Albumin level (g/dL)§	4.1 ± 0.5 (2.8–5.0)†
Fasting blood glucose level (mg/dL)‖	116.9 ± 51.7 (66–367)†
Uric acid (mg/dL)#	5.9 ± 1.5 (2.8–9.4)†
Platelet count (×10^3/μL)**	204.7 ± 69.9 (61.0–364.0)†
<160	30
≥160, < 180	11
≥180, < 200	13
≥200, < 220	16
≥220, < 240	19
≥240	32
Fibrosis stage	
F0	41
F1	22
F2	19
F3	23
F4	16

Note.—Unless otherwise indicated, data are number of patients.

* $P = .07$.

† Data are means ± standard deviation, with the range in parentheses.

‡ To convert to Système International (SI) units (microkatals per liter), multiply by 0.0167.

§ To convert to SI units (grams per liter), multiply by 10.

‖ To convert to SI units (micromoles per liter), multiply by 0.0555.

To convert to SI units (micromoles per liter), multiply by 59.485.

** To convert to SI units (×10^9 per liter), multiply by 1.

Results

Clinical Characteristics

The clinical characteristics and laboratory data of subjects are shown in Table 1. The optimal threshold for the association of the platelet count with an SEP score greater than or equal to 6 (Fig E1, *A* [online]) was lower than or equal to 146000/μL. With this threshold, the accuracy of SEP greater than or equal to 6 was 82.6% (sensitivity, 60.0% [15 of 25]; specificity, 88.5% [85 of 96]; positive predictive value, 57.7% [15 of 26]; and negative predictive value, 89.5% [85 of 95]; area under the curve, 0.79).

Intraobserver Reproducibility and Hepatic Hemodynamic Change

Intraobserver variability of the velocity of both the hepatic artery and the portal vein and splenic elasticity were 3.1% ± 2.5, 2.2% ± 1.6, and 2.6% ± 2.2, respectively. The normality assumption of the arterioportal ratio was not met ($P < .0001$). The optimal threshold for defining patients with SEP scores less than 6 or greater than or equal to 6 was an arterioportal ratio greater than or equal to 2.88 (Fig E1, *B* [online]). With this threshold, the accuracy of diagnosis was 78.5% (sensitivity, 80.0% [20 of 25]; specificity, 78.1% [75 of 96]; positive predictive value, 48.8% [20 of 41]; negative predictive value, 93.8% [75 of 80]; and area under the curve, 0.85). The median arterioportal ratios in patients with stage F0, F1, F2, F3, and F4 were 1.8, 2.0, 2.5, 3.2, and 3.7, respectively (Fig 1, *B*). The mean ± standard deviation arterioportal ratios were 1.8 ± 0.4, 2.2 ± 0.6, 2.4 ± 0.6, 3.1 ± 0.7, and 3.9 ± 1.0, respectively. The median arterioportal ratios were significantly increased at the higher stages in the pair-wise comparisons (stage F0 vs stages F1–F4, stages F0–F1 vs stages F2–F4, stages F0–F2 vs stages F3–F4, and stages F0–F3 vs stage F4 [$P < .0001$]).

Splenic Elasticity

The normality assumption of splenic elasticity was not met ($P < .0001$). The SEP scores increased with increasing severity of hepatic fibrosis (Fig 1, *A*). Median SEP scores in patients with F0, F1, F2, F3, and F4 were 2.9, 3.8, 4.3, 5.4, and 10.7, respectively. The mean SEP scores were 3.1 ± 1.9, 3.6 ± 1.7, 4.4 ± 2.3, 5.8 ± 2.6, and 9.2 ± 4.0,

Figure 1

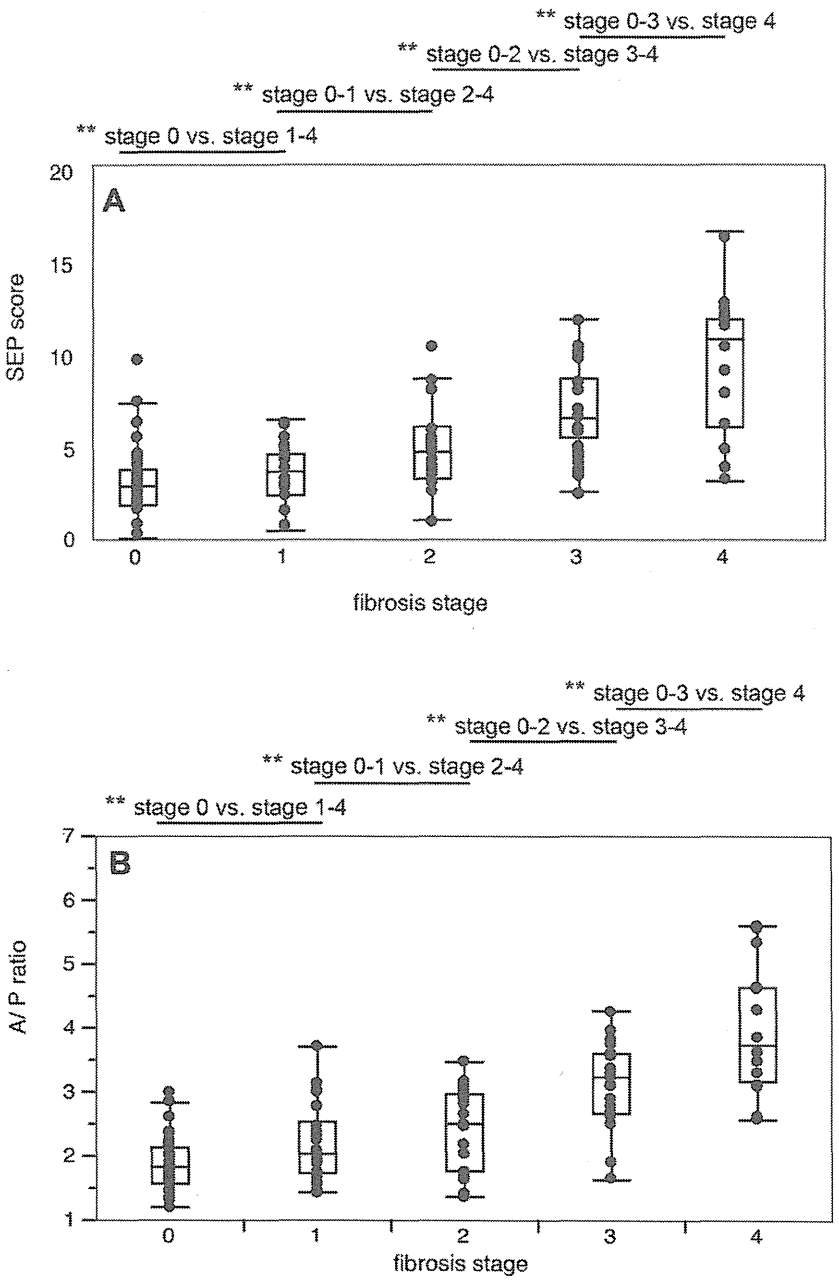

Figure 1: Box and whisker plots show evaluation of splenic elasticity and the Doppler index at each stage of fibrosis. *A*, Both SEP score and, *B*, arterioportal ratio *(A/P)* were altered in patients with NAFLD, even at stage F2. * = $P < .05$, ** = $P < .01$).

respectively. The median SEP scores were significantly higher at the higher stages in pair-wise comparisons (stage 0 vs stages 1–4, stages 0–1 vs stages 2–4, stages 0–2 vs stages 3–4, and stages 0–3 vs stage 4 [$P < .0001$]).

Hepatic Hemodynamic Change, Splenic Elasticity, and Platelet Count

Median arterioportal ratios were 3.2, 2.8, and 1.89 in each platelet count category (Fig 2, *B*). Mean arterioportal ratios were 3.4 ± 1.1, 2.7 ± 0.8, and 2.1 ± 0.7. The median arterioportal ratio was significantly decreased as the platelet count increased (category 1 vs categories 2 and 3; $P < .0001$; categories 1 and 2 vs category 3; $P < .0001$).

Median SEP scores were 7.6, 4.3, and 3.1 in each platelet count category in the NAFLD group (Fig 2, *A*). Mean SEP scores were 7.7 ± 3.8, 4.6 ± 2.5, and 3.6 ± 2.1. Median SEP scores were significantly decreased as the platelet count increased (category 1 vs categories 2 and 3, $P < .0001$; categories 1 and 2 vs category 3, $P < .0001$). Other parameters analyzed are shown in Figure 3. The resistive index of the hepatic artery ($r = 0.37$; 95% confidence interval [CI]: 0.21, 0.51), the resistive index of the splenic artery ($r = 0.28$; 95% CI: 0.11, 0.44), fibrosis 4 index ($r = 0.72$; 95% CI: 0.62, 0.80), hepatic elasticity ($r = 0.56$; 95% CI: 0.42, 0.67), arterioportal ratio ($r = 0.53$; 95% CI: 0.39, 0.65), and the SEP scores ($r = 0.49$; 95% CI: 0.34, 0.61) showed correlations with the platelet count.

Histologic Analysis

Hepatic elasticity ($P < .0001$), arterioportal ratio ($P < .0001$), splenic elasticity ($P = .0003$), and splenic volume ($P = .0327$) were significant predictors of early fibrosis stage (Table 2). Perivenular fibrosis ($P < .0001$), pericellular fibrosis ($P < .0001$), portal fibrosis ($P < .0001$), bridging fibrosis ($P < .0001$), lobular inflammation ($P = .0012$), portal inflammation ($P = .0003$), and ballooning ($P < .0001$) were significant predictors of an elevated arterioportal ratio at univariate logistic regression analysis. Multivariate logistic regression modeling by using these significant factors allowed identification of portal fibrosis and ballooning as predictive factors for an elevated arterioportal ratio in patients with NAFLD (Table 3).

Next, this logistic regression analysis was performed for patients with NAFLD who had platelet counts higher than 200000/μL (Table 4). Pericellular fibrosis

Figure 2

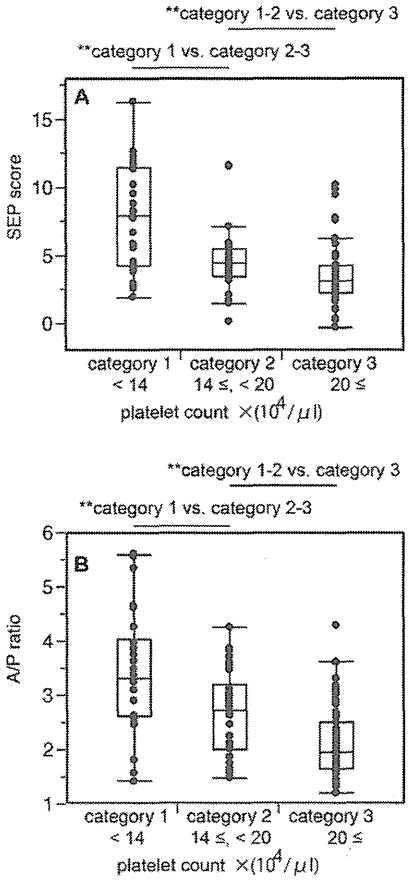

Figure 2: Box and whisker plots show evaluation of splenic elasticity and the Doppler index according to the platelet count. *A*, SEP score and, *B*, arterioportal ratio changed with platelet count lower than 200 000/μL in patients with NAFLD. * = $P < .05$, ** = $P < .01$).

Figure 3

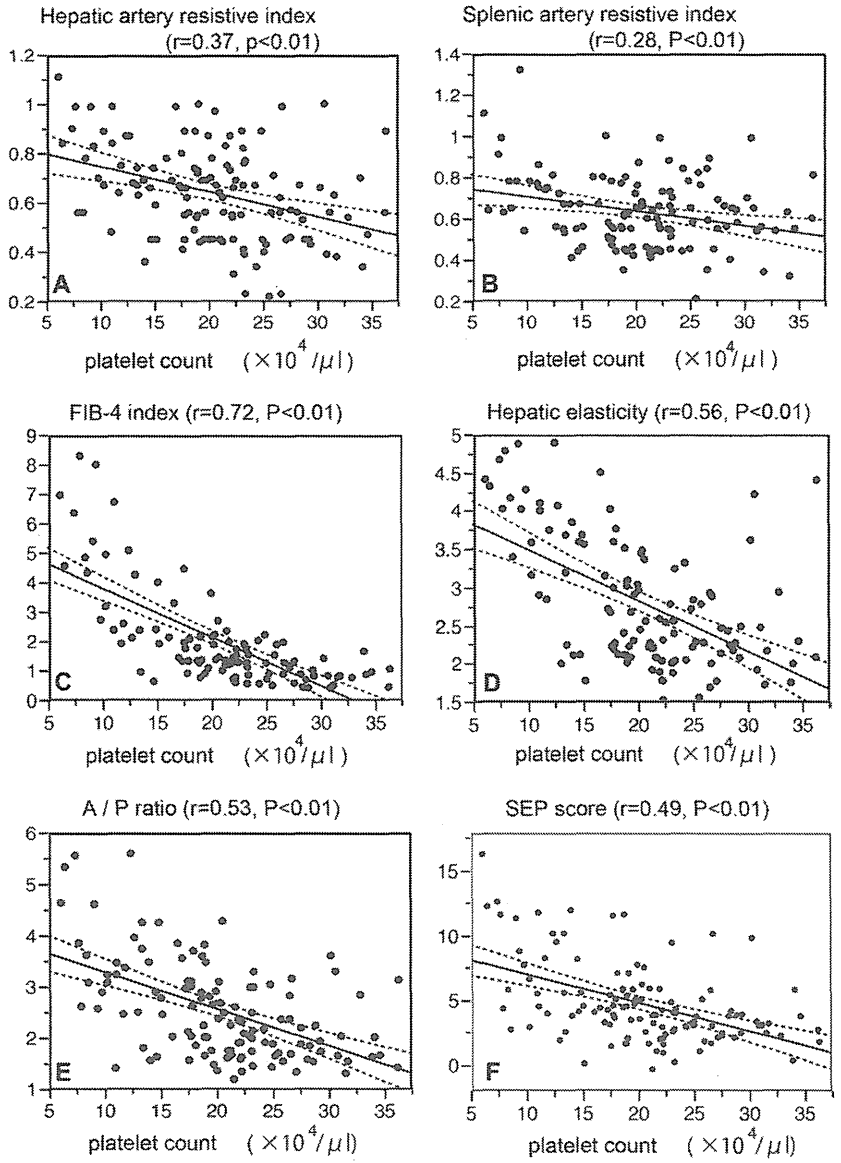

Figure 3: Scatterplots show correlations between each parameter and the platelet count. *A*, Hepatic artery resistive index; *B*, splenic artery resistive index; *C*, fibrosis 4 index; *D*, hepatic elasticity; *E*, arterioportal ratio; and, *F*, SEP score. These parameters showed no significant correlations with platelet count.

($P = .032$) and portal fibrosis ($P = .041$) were significant predictors of an elevated arterioportal ratio at univariate logistic regression analysis. Multivariate logistic regression modeling with these significant factors allowed identification of only pericellular fibrosis as a predictive factor for an elevated arterioportal ratio in patients with NAFLD.

Discussion

Patients with NAFLD and advanced fibrosis may progress to portal hypertension. Mendes et al (7) reported a 25% incidence of portal hypertension in patients with NAFLD, and portal hypertension can occur in patients without cirrhosis. The present study was an evaluation of hepatic blood flow changes that resulted from portal hypertension, and we identified those histologic parameters that correlated with hemodynamic changes in patients with NAFLD. If the fibrosis stage of NAFLD is advanced, the platelet count is known to decrease (20). In patients with NAFLD who have fibrosis, the platelet count is typically higher than 200 000/μL (20). For the association of platelet count with a SEP score greater than or equal

Table 2

Imaging Factors Predictive of Change in Hepatic Fibrosis

	Univariate Analysis		Multivariate Analysis	
Imaging Factor	Odds Ratio	*P* Value	Odds Ratio	*P* Value
Splenic elasticity	1.93 (1.39, 2.94)	.0003	1.16 (0.75, 1.86)	.5077
Splenic volume	1.01 (1.00, 1.01)	.0327	1.00 (0.99, 1.01)	.8630
Hepatic elasticity	15.62 (5.55, 62.50)	<.0001	10.85 (3.19, 47.39)	.0004
Arterioportal ratio	4.27 (1.81, 11.42)	<.0001	1.63 (0.63, 4.76)	.2674
Hepatic artery resistive index	3.53 (0.27, 51.10)	.348	...	...
Splenic artery resistive index	1.25 (0.38, 4.47)	.705	...	...

Note.—Data in parentheses are 95% CIs.

Table 3

Histologic Factors Predictive of Change in Hepatic Blood Flow

	Univariate Analysis		Multivariate Analysis	
Histologic Factor	Odds Ratio	*P* Value	Odds Ratio	*P* Value
Perivenular fibrosis	9.82 (4.21, 24.92)	<.0001	2.37 (0.54, 10.82)	.248
Pericellular fibrosis	8.43 (3.24, 26.50)	<.0001	1.12 (0.21, 6.63)	.893
Portal fibrosis	14.21 (5.78, 39.42)	<.0001	4.47 (1.13, 18.91)	.0329
Bridging fibrosis	10.87 (4.57, 27.81)	<.0001	1.87 (0.55, 6.53)	.317
Steatosis				
1 vs 2–3	1.18 (0.55, 2.57)	.668	...	...
1–2 vs 3	2.37 (0.69, 10.92)	.205	...	...
Lobular inflammation	3.95 (1.69, 10.14)	.0012	1.34 (0.33, 6.27)	.188
Portal inflammation	4.21 (1.93, 9.72)	.0003	1.57 (0.49, 5.00)	.441
Ballooning	6.51 (2.70, 16.87)	<.0001	3.94 (1.29, 15.29)	.015

Note.—Data in parentheses are 95% CIs.

Table 4

Histopathological Factors Predictive of Changes of Hepatic Blood Flow

	Univariate Analysis		Multivariate Analysis	
Factor	Odds Ratio	*P* Value	Odds Ratio	*P* Value
Perivenular fibrosis	2.27 (0.58, 8.69)	.223	...	...
Pericellular fibrosis	4.40 (1.14, 17.80)	.032	7.17 (1.33, 57.13)	.021
Portal fibrosis	2.62 (1.83, 9.20)	.041	2.25 (0.41, 17.89)	.358
Bridging fibrosis	4.87 (0.84, 26.49)	.075	...	...
Steatosis				
1 vs 2–3	1.69 (0.38, 6.54)	.472	...	...
1–2 vs 3	3.07 (0.37, 15.29)	.311	...	...
Lobular inflammation	2.66 (0.69, 10.13)	.159	...	...
Portal inflammation	3.43 (0.76, 14.38)	.104	...	...
Ballooning	2.91 (0.79, 12.26)	.110	...	...

Note.—Data in parentheses are 95% CIs.

to 6, the optimal cutoff value for platelet count was found to be 146000/μL. Thus, participants were classified into three categories according to their platelet counts. This hemodynamic change was observed when the platelet count was not markedly decreased (≥ 140000/μL but < 200000/μL).

As in other reports (21,22), stiffness of the spleen was used to represent portal hypertension. In previous reports (8,9), splenic elasticity was found to correlate with the HVPG. HVPG for patients with portal hypertension is greater than 6 mm Hg. Among the parameters associated with HVPG, the correlation was closest with splenic elasticity ($r = 0.854$, $P < .0001$) (8). The changes in hepatic architecture due to fibrosis increased the resistance to portal vein flow. This change may have decreased maximum blood flow through the portal vein combined with a hyperdynamic splenic circulation. Deceleration of portal vein flow is associated with increased hepatic artery flow (9,11–13). Thus, arterioportal ratio was increased in patients with portal hypertension.

There was an overlap of US imaging parameters between different stages of early hepatic fibrosis, and not all patients showed changes in the SEP score or the arterioportal ratio between stages. The incidence of portal hypertension in patients with NAFLD without cirrhosis was 25% (7). In the present study, we found that splenic elasticity, splenic volume, and the arterioportal ratio were already changed in some patients in the earlier fibrosis stages. Thus, the findings of portal hypertension begin appearing in these stages.

Among the histologic parameters, portal fibrosis and ballooning were predictive factors for changes in hepatic blood flow at multivariate analysis in patients with NAFLD. Moreover, when regression analysis was performed in patients with platelet counts higher than or equal to 200000/μL, pericellular fibrosis was the only significant predictive factor for hepatic hemodynamic changes in those with NAFLD. In patients with NAFLD, hepatic fibrosis was found in the pericellular space around the central vein and in the presinusoidal region in zone 3 in the early stage (23). This outflow block due to pericellular fibrosis may lead to an elevated arterioportal ratio in the earliest stage of fibrosis in patients with NAFLD. The mechanisms underlying the increased

arterioportal ratio in patients with increased fibrosis remain unclear. Changes in hepatic architecture along with progressive fibrosis may be responsible for increased portal vascular resistance (13). Particularly in the earlier fibrosis stages, increased portal vascular resistance was present, potentially due to portal blood flow becoming stagnant because fibrosis in zone 3 was preventing flow to the central vein. Patients with a high arterioportal ratio (≥ 3) were found even in the F0 and F1 stages of NAFLD. A similar association was observed between the platelet count and hepatic hemodynamic changes in patients with NAFLD, indicating that monitoring for signs of portal hypertension is warranted even in those with platelet counts higher than 200000/μL.

Several limitations to our study must be considered. First, Doppler US was used to evaluate hepatic blood flow. Lim et al (24) reported that Doppler US indexes are difficult to reproduce reliably. False-negative or false-positive results are obtained sometimes when Doppler US is used to evaluate hepatic blood flow. Second, portal hypertension was not assessed by means of direct measurement of the pressure of the portal vein or HVPG. Third, there may have been sampling errors at liver biopsy. The grade of liver fibrosis may have been underestimated due to the heterogeneity of hepatic fibrosis.

In conclusion, the arterioportal ratio and SEP scores correlated with fibrosis at biopsy, these indexes were affected in patients with platelet counts as high as 200000/μL, and the underlying mechanism may have been outflow block due to pericellular fibrosis. A clinically important finding was that some patients with NAFLD in the earliest stage of fibrosis had portal hypertension.

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PLOS ONE

New Susceptibility and Resistance HLA-DP Alleles to HBV-Related Diseases Identified by a Trans-Ethnic Association Study in Asia

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Abstract

Previous studies have revealed the association between SNPs located on human leukocyte antigen (*HLA*) class II genes, including *HLA-DP* and *HLA-DQ*, and chronic hepatitis B virus (HBV) infection, mainly in Asian populations. *HLA-DP* alleles or haplotypes associated with chronic HBV infection or disease progression have not been fully identified in Asian populations. We performed trans-ethnic association analyses of *HLA-DPA1*, *HLA-DPB1* alleles and haplotypes with hepatitis B virus infection and disease progression among Asian populations comprising Japanese, Korean, Hong Kong, and Thai subjects. To assess the association between *HLA-DP* and chronic HBV infection and disease progression, we conducted high-resolution (4-digit) *HLA-DPA1* and *HLA-DPB1* genotyping in a total of 3,167 samples, including HBV patients, HBV-resolved individuals and healthy controls. Trans-ethnic association analyses among Asian populations identified a new risk allele *HLA-DPB1*09:01* ($P = 1.36 \times 10^{-6}$; OR = 1.97; 95% CI, 1.50–2.59) and a new protective allele *DPB1*02:01* ($P = 5.22 \times 10^{-6}$; OR = 0.68; 95% CI, 0.58–0.81) to chronic HBV infection, in addition to the previously reported alleles. Moreover, *DPB1*02:01* was also associated with a decreased risk of disease progression in chronic HBV patients among Asian populations ($P = 1.55 \times 10^{-7}$; OR = 0.50; 95% CI, 0.39–0.65). Trans-ethnic association analyses identified Asian-specific associations of *HLA-DP* alleles and haplotypes with HBV infection or disease progression. The present findings will serve as a base for future functional studies of HLA-DP molecules in order to understand the pathogenesis of HBV infection and the development of hepatocellular carcinoma.

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Introduction

Hepatitis B virus (HBV) infection is a major global health problem, resulting in 0.5–1.0 million deaths per year [1]. The prevalence of chronic HBV infection varies. About 75% of the chronic carriers in the world live in Southeast Asia and East Pacific [2]. Due to the introduction of vaccination programs, the prevalence of HBV infection in many countries has gradually been decreasing with consequent decreases in HBV-related hepatocellular carcinoma (HCC) [3]. Although some HBV carriers spontaneously eliminate the virus, about 10–15% of carriers develop liver cirrhosis (LC), liver failure and HCC [4]. Moreover, the progression of liver disease was revealed to be associated with the presence of several distinct mutations in HBV infections [5]. Genetic variations in *STAT4* and *HLA-DQ* genes were recently identified as host genetic factors in a large-scale genome-wide association study (GWAS) for HBV-related HCC in China [6].

With regard to the genes associated with susceptibility to chronic HBV infection, *HLA-DP* and *HLA-DQ* genes were identified by GWAS in Japanese and Thai populations in 2009 [7] and 2011 [8], respectively. In addition, our previous GWAS confirmed and identified the association of SNP markers located on *HLA-DPA1* (rs3077) and *HLA-DPB1* (rs9277535) genes with susceptibility to chronic hepatitis B (CHB) and HBV clearance in Japanese and Korean subjects[9]. The significant associations of *HLA-DP* with CHB and HBV clearance have mainly been detected in Asian populations, such as Japanese [8,9], Thai [7], Chinese [10–12], and Korean [9]. In 2012, the association between *HLA-DPA1* gene SNPs and persistent HBV infection was replicated in a Germany non-Asian population for the first time; however, this showed no association with HBV infection [13]. These results seem to be explained by the fact that allele frequencies of both rs3077 (0.155, 0.587 and 0.743 for C allele, on HapMap CEU, JPT, and YRI) and rs9277535 (0.261, 0.558 and 0.103 for G allele, on HapMap CEU, JPT, and YRI) are markedly different between populations. Moreover, the previous study showed that HBsAg seropositivity rates were higher in Thailand and China (5–12%) than in North America and Europe (0.2–0.5%) [2]. These results suggest that comparative analyses of *HLA-DP* alleles and haplotypes in Asian populations would clarify key host factors of the susceptible and protective *HLA-DP* alleles and haplotypes for CHB and HBV clearance. Here, we performed trans-ethnic analyses of *HLA-DP* alleles and haplotypes in Asian populations comprising Japanese, Korean, Hong Kong and Thai individuals. The findings from this study will serve as a base for future functional studies of HLA-DP molecules.

Results

Characteristics of studied subjects

The characteristics of a total of 3,167 samples, including Japanese, Korean, Hong Kong and Thai subjects, are shown in Table 1. Each population included three groups of HBV patients, resolved individuals and healthy controls. The clinical definitions of HBV patients and resolved individuals are summarized in Materials and Methods. Some of the Japanese and all of the Korean samples overlapped with the subjects in our previous study [9,14].

We performed genotyping for *HLA-DPA1* and *HLA-DPB1* in all 3,167 samples, and a total of 2,895 samples were successfully genotyped. The characteristics of successfully genotyped samples are shown in Table S1.

Association of *HLA-DPA1* and *HLA-DPB1* alleles in Asian populations

As for a general Asian population, including 464 Japanese, 140 Korean, 156 Hong Kong, and 122 Thai subjects, five *HLA-DPA1* alleles and twenty-four *HLA-DPB1* alleles were observed (Table S2). The frequencies of *HLA-DPA1* and *HLA-DPB1* alleles were similar between Japanese and Korean subjects. On the other hand, the number of alleles with frequencies of 1–2% was larger in Hong Kong and Thai populations, despite the small sample size. Although the frequencies of *HLA-DP* alleles varied in Asian populations, *HLA-DPB1*05:01* was the most prevalent with over 30% in all populations.

The associations of *HLA-DPA1* and *HLA-DPB1* alleles with chronic HBV infection (i.e., comparison between HBV patients and healthy controls) are shown in Table S2. To avoid false positives caused by multiple testing, the significance levels were corrected based on the numbers of *HLA-DPA1* and *HLA-DPB1*

Table 1. Number of individuals in this study.

Population	Japanese	Korean	Hong Kong	Thai
Total number of samples	1,291	586	661	629
HBV patients	489	340	281	390
IC	114	-	-	-
CH	147	175	187	198
AE	21	-	-	-
LC	38	-	-	-
HCC	169	165	94	192
Mean age (y)	57.1	44.7	57.9	52.0
(min-max)	(20–84)	(18–74)	(32–86)	(21–84)
Gender (M/F)	338/151	265/75	239/42	289/101
Resolved individuals*	335	106	190	113
HCV (−)	249	106	190	113
HCV (+)	86	-	-	-
Mean age (y)	59.7	43.1	40.0	48.2
(min-max)	(18–87)	(12–66)	(18–60)	(39–66)
Gender (M/F)	173/162	61/45	113/77	83/30
Healthy controls	467	140	190	126
Mean age (y)	39.0**	33.7	26.2	46.6
(min-max)	(23–64)	(1–59)	(16–60)	(38–79)
Gender (M/F)	370/97	67/73	87/103	73/53

Abbreviation: IC, Inactive Carrier; CH, Chronic Hepatitis; AE, Acute Exacerbation; LC, Liver Cirrhosis; HCC, Hepatocellular Carcinoma.
* Resolved individuals were HBsAg negative and HBcAb positive.
** 419 of 467 healthy controls were de-identified, without information on age.
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alleles in the focal population. Briefly, the significance level was set at 0.05/(# of observed alleles at each locus) in each population (see Materials and Methods). With regard to high-risk alleles of *HLA-DPA1*, the most prevalent allele *HLA-DPA1*02:02* was significantly associated with susceptibility to HBV infection in Japanese ($P = 3.45 \times 10^{-4}$; OR = 1.39; 95% CI, 1.16–1.68) and Korean subjects ($P = 2.66 \times 10^{-5}$; OR = 1.89; 95% CI, 1.39–2.58), whereas this association was not observed in Hong Kong or Thai subjects. The association of *HLA-DPA1*02:01* with susceptibility to HBV infection was significant only in Japanese ($P = 2.61 \times 10^{-7}$; OR = 1.88; 95% CI, 1.46–2.41). The significant association of *HLA-DPA1*01:03* with protection against HBV infection was commonly observed among four Asian populations (Table S2). The pooled OR and 95% CI were 0.51 and 0.41–0.63, respectively in a meta-analysis ($P = 3.15 \times 10^{-10}$) (Fig. S1A).

As shown in Table S2, *HLA-DPB1* shows higher degree of polymorphism than *HLA-DPA1*. The most common allele in Asian populations, *HLA-DPB1*05:01*, was significantly associated with HBV susceptibility in both Japanese and Korean subjects. Although *HLA-DPB1*05:01* showed no significant association in the Hong Kong and Thai populations, the same direction of association (i.e., HBV susceptibility) was observed. Meta-analysis of the four populations revealed a significant association between *HLA-DPB1*05:01* and susceptibility to HBV infection ($P = 1.51 \times 10^{-4}$; OR = 1.45; 95% CI, 1.19–1.75) (Fig. S1B). The frequency of *HLA-DPB1*09:01* was significantly elevated in Japanese HBV patients (15.7%) as compared with healthy controls (8.7%) ($P = 3.70 \times 10^{-6}$; OR = 1.94; 95% CI, 1.45–2.62), and this association was most significant (i.e., the smallest P value) in the Japanese population. Because of lower allele frequencies of *HLA-DPB1*09:01* or lack of statistical power in the other populations, no significant associations were observed. A common allele in Thai subjects, *HLA-DPB1*13:01*, was significantly associated with susceptibility to HBV infection ($P = 2.49 \times 10^{-4}$; OR = 2.17; 95% CI, 1.40–3.47) with the same direction of associations in Japanese and Hong Kong (OR = 1.52 and 1.40, respectively).

*HLA-DPB1*04:02* was identified as the most protective allele for HBV infection in Japanese ($P = 1.59 \times 10^{-7}$; OR = 0.37; 95% CI, 0.24–0.55) and Korean subjects ($P = 1.27 \times 10^{-7}$; OR = 0.19; 95% CI, 0.10–0.38). Both *HLA-DPB1*02:01* and *HLA-DPB1*04:01* were also significantly associated with protection in the Japanese population, and the former was significantly associated with protection in Hong Kong subjects ($P = 9.17 \times 10^{-4}$; OR = 0.49; 95% CI, 0.32–0.76). This common allele among four Asian populations, *HLA-DPB1*02:01*, showed a significant association with protection against HBV infection ($P = 5.22 \times 10^{-6}$; OR = 0.68; 95% CI, 0.58–0.81) in a meta-analysis (Fig. S1B).

The frequencies of associated *HLA-DP* alleles in a comparison of HBV patients with healthy controls (Table S2) or with HBV-resolved individuals (Table S3) were similar in all four Asian populations. In the Japanese population, the associations of susceptible and protective *HLA-DPB1* alleles to chronic HBV infection seem weaker in the comparison of HBV patients with HBV-resolved individuals than in the comparison of HBV patients with healthy controls. Moreover, the results of association analyses showed no difference in the comparison of HBV patients with HBV-resolved individuals, including or excluding HCV positive individuals (Table S3). In contrast, the association became stronger in the comparison of HBV patients with HBV-resolved individuals among the Korean subjects. The protective allele *HLA-DPB1*04:01* was also identified to have a strong association with HBV clearance in Hong Kong subjects (Table S3). Moreover, in Hong Kong subjects, the *HLA-DPB1*05:01* associated with the risk for HBV infection showed lower frequency in HBV-resolved individuals (42.9%) than in the healthy controls (48.1%), which accounts for a strong association in the comparison of HBV patients with HBV-resolved individuals ($P = 6.24 \times 10^{-3}$; OR = 1.64; 95% CI, 1.14–2.36). Although the number of samples was insufficient, *HLA-DP*100:01* showed a significant association with protection against HBV infection in the Hong Kong population ($P = 3.05 \times 10^{-6}$; OR = 0.03; 95% CI, 0.0007–0.20).

Table 2. Association of number of *DPB1*02:01* alleles (i.e., 0, 1 or 2) with disease progression in CHB patients assessed by multivariate logistic regression analysis adjusted for age and sex.

Population	P value	OR (95% CI)
Japanese	0.000177	0.47 (0.32–0.70)
Korean	0.025358	0.55 (0.33–0.93)
Hong Kong	0.040842	0.46 (0.22–0.97)
Thai	0.087782	0.58 (0.31–1.08)
All*	1.55×10^{-7}	0.50 (0.39–0.65)

*Population was adjusted using dummy variables.

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As for disease progression in CHB patients among Asian populations, a protective effect of *HLA-DPB1*02:01* on disease progression was observed in the Japanese ($P = 4.26 \times 10^{-5}$; OR = 0.45; 95% CI, 0.30–0.67) and Korean populations ($P = 8.74 \times 10^{-4}$; OR = 0.47; 95% CI, 0.29–0.75) (Table S4). Multivariate logistic regression analysis adjusted for age and sex revealed that the number of *DPB1*02:01* alleles (i.e., 0, 1, or 2) was significantly associated with disease progression in CHB patients in Japanese ($P = 1.77 \times 10^{-4}$; OR = 0.47; 95% CI, 0.32–0.70) (Table 2). Moreover, protective effects of *DPB1*02:01* on disease progression in Asian populations ($P = 1.55 \times 10^{-7}$; OR = 0.50; 95% CI, 0.39–0.65) were detected in a multivariate logistic regression analysis adjusted for age, gender, and population (Table 2).

Associations of *DPA1-DPB1* haplotypes in Asian populations

The estimated frequencies of *HLA DPA1-DPB1* haplotypes are shown in Table S5. The most frequent haplotype among the four Asian populations was *DPA1*02:02-DPB1*05:01*. The number of haplotypes with low frequencies of 1–2% was 10 in both Japanese and Korean subjects, whereas more haplotypes appeared with frequencies of 1–2% in Hong Kong and Thai subjects. The associations of *DPA1-DPB1* haplotypes with HBV infection are shown in Table S5. In the Japanese population, *DPA1*02:01-DPB1*09:01* showed the most significant association with susceptibility to HBV infection ($P = 3.38 \times 10^{-6}$; OR = 1.95; 95% CI, 1.46–2.64). The most common haplotype in the four Asian populations, *DPA1*02:02-DPB1*05:01*, was found to be significantly associated with susceptibility to HBV infection in the Japanese and Korean subjects ($P = 7.40 \times 10^{-4}$; OR = 1.37; 95% CI, 1.14–1.66 for Japanese, and $P = 4.50 \times 10^{-6}$; OR = 2.02; 95% CI, 1.48–2.78 for Korean). In the Thai subjects, *HLA-DPB1*13:01* was the most significant risk allele for HBV infection (Table S2); however, no significant associations were found for the three different haplotypes bearing *HLA-DPB1*13:01*: *DPA1*02:01-DPB1*13:01*, *DPA1*02:02-DPB1*13:01*, and *DPA1*04:01-DPB1*13:01*,indicating that the association of *HLA-DPB1*13:01* with susceptibility to HBV infection did not result from a specific *DPA1-DPB1* haplotype or combination with a specific *DPA1* allele.

In the Japanese population, both haplotypes *DPA1*01:03-DPB1*04:01* and *DPA1*01:03-DPB1*04:02* showed significant associations with protection against HBV infection ($P = 1.17 \times 10^{-5}$; OR = 0.32; 95% CI, 0.18–0.56 for *DPA1*01:03-DPB1*04:01* and $P = 1.95 \times 10^{-7}$; OR = 0.37; 95% CI, 0.24–0.55 for *DPA1*01:03-DPB1*04:02*). In the Korean subjects, a significant association of *DPA1*01:03-DPB1*04:02* was also demonstrated; however, no association was observed for *DPA1*01:03-DPB1*04:01*. Because the observed number of each haplotype was small, none of the other haplotypes showed a significant association with protection against HBV infection.

In order to identify trans-ethnic DPA1-DPB1 haplotypes associated with HBV infection, a meta-analysis was performed. A meta-analysis further revealed that the *DPA1*01:03-DPB1*02:01* haplotype was significantly associated with protection against HBV infection ($P = 1.45 \times 10^{-5}$; OR = 0.69; 95% CI, 0.58–0.82) (Fig. S1C).

Discussion

Among 2.2 billion individuals worldwide who are infected with HBV, 15% of these are chronic carriers. Of chronic carriers, 10–15% develops LC, liver failure and HCC, and the remaining individuals eventually achieve a state of nonreplicative infection, resulting in HBsAg negative and anti-HBc positive, i.e. HBV-resolved individuals. To identify host genetic factors associated with HBV-related disease progression may lead HBV patients to discriminate individuals who need treatment.

The *HLA-DPA1* and *HLA-DPB1* genes were identified as host genetic factors significantly associated with CHB infection, mainly in Asian populations [7–12], and not in European populations [13]. In the previous association analyses of *HLA-DPB1* alleles with HBV infection, one risk allele *HLA-DPB1*05:01* (OR = 1.52; 95% CI, 1.31–1.76), and two protective alleles, *HLA-DPB1*04:01* (OR = 0.53; 95% CI, 0.34–0.80) and *HLA-DPB1*04:02* (OR = 0.47; 95% CI, 0.34–.64), were identified in the Japanese population [7]. In this study, we further identified a new risk allele *HLA-DPB1*09:01* (OR = 1.94; 95% CI, 1.45–2.62) for HBV infection and a new protective allele *HLA-DPB1*02:01* (OR = 0.71; 95% CI, 0.56–0.89) in the Japanese population, in addition to the previously reported alleles (Table S2) [7]. The discrepancy in the association of *HLA-DPB1*09:01* allele with risk for HBV infection in a previous study [7] results from the elevated frequency of *HLA-DPB1*09:01* in the controls (12.2%), which is higher than our controls (8.7%). In this study, healthy subjects were recruited as controls. In contrast, individuals that were registered in BioBank Japan as subjects with diseases other than CHB were recruited as controls in the previous study [7], which may have included patients with diseases with which *HLA-DPB1*09:01* is associated. Although no significant association of *HLA-DPB1*09:01* with risk for HBV infection was observed in the Korean subjects, *HLA-DPB1*09:01* appears to have a susceptible effect on HBV infection, as it showed the same direction of association. When the association analyses in Japanese and Korean subjects were combined in meta-analysis, the association was statistically significant ($P = 1.36 \times 10^{-6}$; OR = 1.97; 95% CI, 1.50–2.59). Thus, *HLA-DPB1*09:01* may be a Northeast Asian-specific allele associated with risk for HBV infection.

Moreover, a significant association of *HLA-DPB1*13:01* with risk of HBV infection (OR = 2.17; 95% CI, 1.40–3.47) was identified in the Thai subjects. However, the frequency of *HLA-DPB1*13:01* in Thai healthy controls (11.5% in the present study) reportedly varies, ranging from 15.4% to 29.5%, due to the population diversity [15–17]. Therefore, a replication analysis is required to confirm the association of *HLA-DPB1*13:01* with HBV infection in the Thai subjects. There were four other marginally associated *HLA-DPB1* alleles with low allele frequencies below 5% in HBV patients and healthy controls, including *HLA-DPB1*28:01*, *-DPB1*31:01*, *-DPB1*100:01*, and *-DPB1*105:01*, in the Hong Kong and Thai subjects. Because these infrequent alleles may have resulted from false positive associations, the association needs to be validated in a large number of subjects.

*HLA-DPB1*02:01* showed a significant association with protection against HBV infection in both Japanese and Hong Kong populations (Table S2); however, the *HLA-DPB1*02:01* allele was not associated with HBV infection in the previous study [7]. Although *HLA-DPB1*02:01* showed no association in either Korean or Thai populations, a significant association of *HLA-DPB1*02:01* with protection against HBV infection among four Asian populations was detected in meta-analysis ($P = 5.22 \times 10^{-6}$; OR = 0.68; 95% CI, 0.58–0.81) (Fig. S1B). We therefore conclude that the present finding is not a false positive.

A recent report showed that *HLA-DPB1*02:01:02*, **02:02*, **03:01:01*, **04:01:01*, **05:01*, **09:01*, and **14:01* were significantly associated with response to booster HB vaccination in Taiwan neonatally vaccinated adolescents [18]. The *HLA-DPB1*02:01:02*, **02:02*, **03:01:01*, **04:01:01*, and **14:01* were significantly more frequent in recipients whose post-booster titers of antibodies against HBV surface antigen (anti-HBs) were detectable, on the other hand, *HLA-DPB1*05:01* and **09:01* were significantly more frequent in recipients who were undetectable. Moreover, the *HLA-DPB1*05:01* and **09:01* significantly increase the likelihoods of undetectable pre-booster anti-HBs titers. These results seem consistent with our findings, in which *HLA-DPB1*05:01* and **09:01* are associated with susceptibility to chronic hepatitis B infection.

We also identified a protective effect of *HLA-DPB1*02:01* allele on disease progression in Asian populations. Previous studies identified the association of HLA class II genes including *HLA-DQ* and *HLA-DR* with development of HBV related hepatocellular carcinoma in the Chinese population [6,19,20]. In this study using Japanese and Korean samples, we identified significant associations between *HLA-DPB1*02:01* and disease progression in CHB patients ($P = 4.26 \times 10^{-5}$; OR = 0.45; 95% CI, 0.30–0.67, for Japanese and $P = 8.74 \times 10^{-4}$; OR = 0.47; 95% CI, 0.29–0.75 for Korean) (Table S4). Although the association of *HLA-DPB1*02:01* with disease progression was weaker after adjustment for age and gender in Korean subjects ($P = 2.54 \times 10^{-2}$; OR = 0.55; 95% CI, 0.33–0.93), the same direction of association was observed (i.e. protective effect on disease progression) (Table 2). The protective effects of *HLA-DPB1*02:01* on disease progression showed a significant association after adjustment for age and gender in the Japanese population ($P = 1.77 \times 10^{-4}$; OR = 0.47; 95% CI, 0.32–0.70); moreover, a significant association between *HLA-DPB1*02:01* was observed among four Asian populations, under which population was adjusted by using dummy variables in a multivariate logistic regression analysis ($P = 1.55 \times 10^{-7}$; OR = 0.50; 95% CI, 0.39–0.65) (Table 2).

The *HLA-DPA1* and *HLA-DPB1* belong to the HLA class II alpha and beta chain paralogues, which make a heterodimer consisting of an alpha and a beta chain on the surface of antigen presenting cells. This HLA class II molecule plays a central role in the immune system by presenting peptides derived from extracellular proteins. We identified two susceptible haplotypes (*DPA1*02:02-DPB1*05:01* and *DPA1*02:01-DPB1*09:01*) and three protective haplotypes (*DPA1*01:03-DPB1*04:01*, *DPA1*01:03-DPB1*04:02*, and *HLA-DPA1*01:03-DPB1*02:01*) to chronic hepatitis B infection, which may result in different binding

affinities between HLA-DP subtypes and extracellular antigens. Although functional analyses of HLA-DP subtypes to identify HBV-related peptides are not fully completed, identification of susceptible and protective haplotypes as host genetic factors would lead us to understand the pathogenesis of HBV infection including viral factors.

In summary, we identified a new risk allele *HLA-DPB1*09:01*, which was specifically observed in Northeast Asian populations, Japanese and Korean. Moreover, a new protective allele *HLA-DPB1*02:01* was identified among four Asian populations: Japanese, Korean, Hong Kong and Thai. The protective allele *HLA-DPB1*02:01* was associated with both chronic HBV infection and disease progression in chronic HBV patients. Identification of a total of five alleles, including two risk alleles (*DPB1*09:01* and *DPB1*05:01*) and three protective alleles (*DPB1*04:01*, *DPB1*04:02* and *DPB1*02:01*), would enable HBV-infected individuals to be classified into groups according to the treatment requirements. Moreover, the risk and protective alleles for HBV infection and disease progression, identified in this study by means of trans-ethnic association analyses, would be key host factors to recognize HBV-derived antigen peptides. The present results may lead to subsequent functional studies into HLA-DP molecules and viral factors in order to understand the pathogenesis of HBV infection and development of hepatocellular carcinoma.

Materials and Methods

Ethics Statement

All study protocols conform to the relevant ethical guidelines, as reflected in the *a priori* approval by the ethics committee of National Center for Global Health and Medicine, and by the ethics committees of all participating universities and hospitals, including The University of Tokyo, Japanese Red Cross Kanto-Koshinetsu Block Blood Center, The University of Hong Kong, Chulalongkorn University, Yonsei University College of Medicine, Nagoya City University Graduate School of Medical Sciences, Musashino Red Cross Hospital, Tokyo Medical and Dental University, Teine Keijinkai Hospital, Hokkaido University Graduate School of Medicine, Kurume University School of Medicine, Okayama University Graduate School of Medicine, Yamaguchi University Graduate School of Medicine, Tottori University, Kyoto Prefectural University of Medicine, Osaka City University Graduate School of Medicine, Nagoya Daini Red Cross Hospital, Ehime University Graduate School of Medicine, Kanazawa University Graduate School of Medicine, National Hospital Organization Osaka National Hospital, Iwate Medical University, Kawasaki Medical College, Shinshu University School of Medicine, Saitama Medical University, Kitasato University School of Medicine, Saga Medical School, and University of Tsukuba.

Written informed consent was obtained from each patient who participated in this study and all samples were anonymized. For Japanese healthy controls, 419 individuals were de-identified with information about gender, and all were recruited after obtaining verbal informed consent in Tokyo prior to 1990. For the 419 Japanese healthy individuals, written informed consent was not obtained because the blood sampling was conducted before the "Ethical Guidelines for Human Genome and Genetic Sequencing Research" were established in Japan. Under the condition that DNA sample is permanently de-linked from the individual, this study was approved by the Research Ethics Committee of National Center for Global Health and Medicine.

Characteristics of studied subjects

All of the 3,167 genomic DNA samples were collected from individuals with HBV, HBV-resolved individuals (HBsAg-negative and anti-HBc-positive) and healthy controls at 26 multi-center hospitals throughout Japan, Korea, Hong Kong, and Thailand (Table 1). In a total of 1,291 Japanese and 586 Korean samples, 1,191 Japanese individuals and all 586 Korean individuals were included in our previous study [9]. With regard to additional Japanese individuals, we collected samples from 48 healthy controls at Kohnodai Hospital, and 52 HBV patients at Okayama University Hospital and Ehime University Hospital, including 26 individuals with LC and 26 individuals with HCC. A total of 661 Hong Kong samples and 629 Thai samples were collected at Queen Mary Hospital and Chulalongkorn University, respectively.

HBV status was measured based on serological results for HBsAg and anti-HBc with a fully automated chemiluminescent enzyme immunoassay system (Abbott ARCHITECT; Abbott Japan, Tokyo, Japan, or LUMIPULSE f or G1200; Fujirebio, Inc., Tokyo, Japan). For clinical staging, inactive carrier (IC) state was defined by the presence of HBsAg with normal ALT levels over 1 year (examined at least four times at 3-month intervals) and without evidence of liver cirrhosis. Chronic hepatitis (CH) was defined by elevated ALT levels (>1.5 times the upper limit of normal [35 IU/L]) persisting over 6 months (by at least 3 bimonthly tests). Acute exacerbation (AE) of chronic hepatitis B was defined as an elevation of ALT to more than 10 times the upper limit of normal (ULN, 58 IU/L) and bilirubin to at least three times ULN (15 μmol/L). LC was diagnosed principally by ultrasonography (coarse liver architecture, nodular liver surface, blunt liver edges and hypersplenism), platelet counts<100,000/cm^3, or a combination thereof. Histological confirmation by fine-needle biopsy of the liver was performed as required. HCC was diagnosed by ultrasonography, computerized tomography, magnetic resonance imaging, angiography, tumor biopsy or a combination thereof.

The Japanese control samples from HBV-resolved subjects (HBsAg-negative and anti-HBc-positive) at Nagoya City University-affiliated healthcare center were used by comprehensive agreement (anonymization in a de-identified manner) in this study. Some of the unrelated and anonymized Japanese healthy controls were purchased from the Japan Health Science Research Resources Bank (Osaka, Japan). One microgram of purified genomic DNA was dissolved in 100 μl of TE buffer (pH 8.0) (Wako, Osaka, Japan), followed by storage at −20°C until use.

Genotyping of *HLA-DPA1* and *HLA-DPB1* alleles

High resolution (4-digit) genotyping of *HLA-DPA1* and *-DPB1* alleles was performed for HBV patients, resolved individuals, and healthy controls in Japan, Korea, Hong Kong, and Thailand. LABType SSO HLA DPA1/DPB1 kit (One Lambda, CA) and a Luminex Multi-Analyte Profiling system (xMAP; Luminex, Austin, TX) were used for genotyping, in according with the manufacturer's protocol. Because of the small quantity of genomic DNA in some Korean samples, we performed whole genome amplification for a total of 486 samples using GenomiPhi v2 DNA Amplification kit (GE Healthcare Life Sciences, UK), in accordance with the manufacturer's instruction.

A total of 2,895 samples were successfully genotyped and characteristics of these samples are summarized in Table S1.

Statistical analysis

Fisher's exact test in two-by-two cross tables was used to examine the associations between *HLA-DP* allele and chronic HBV infection or disease progression in chronic HBV patients,

using statistical software R2.9. To avoid false-positive results due to multiple testing, significance levels were adjusted based on the number of observed alleles at each locus in each population. For *HLA-DPA1* alleles, the number of observed alleles was 3 in Japanese, 4 in Korean, 5 in Hong Kong, and 5 in Thai subjects. Therefore, the significant levels for α were set at $\alpha = 0.05/3$ in Japanese, $\alpha = 0.05/4$ in Korean, $\alpha = 0.05/5$ in Hong Kong, and $\alpha = 0.05/5$ in Thai subjects. In the same way, significant levels for *HLA-DPB1* alleles were $\alpha = 0.05/10$, $0.05/11$, $0.05/12$, and $0.05/16$, respectively. Multivariate logistic regression analysis adjusted for age and sex (used as independent variables) was applied to assess associations between the number of *DPB1*02:01* alleles (i.e., 0, 1, or 2) and disease progression in CHB patients. To examine the effect of *DPB1*02:01* allele on disease progression in all populations, population was further adjusted by using three dummy variables (i.e., (c1, c2, c3) = (0, 0, 0) for Japanese, (1, 0, 0) for Korean, (0, 1, 0) for Hong Kong, and (0, 0, 1) for Thai) in a multivariate logistic regression analysis. We obtained the following regression equation: logit(p) = −3.905+0.083*age+(−0.929)*sex+(−0.684)**DPB1*02:01*+1.814*c1+(−0.478)*c2+0.782*c3. Significance levels in the analysis of disease progression in CHB patients were set as $\alpha = 0.05/10$ in Japanese, $\alpha = 0.05/11$ in Korean, $\alpha = 0.05/15$ in Hong Kong, and $\alpha = 0.05/15$ in Thai subjects. The phase of each individual (i.e., a combination of two *DPA1-DPB1* haplotypes) was estimated using PHASE software [21], assuming samples are selected randomly from a general population. In comparison of the estimated *DPA1-DPB1* haplotype frequencies, significant levels were set as $\alpha = 0.05/14$ in Japanese, $\alpha = 0.05/17$ in Korean, $\alpha = 0.05/17$ in Hong Kong, and $\alpha = 0.05/18$ in Thai subjects. Meta-analysis was performed using the DerSimonian-Laird method (random-effects model) in order to calculate pooled OR and its 95% confidence interval (95% CI). We applied meta-analysis for alleles with frequency>1% in all four Asian populations. The significance levels in meta-analysis were adjusted by the total number of statistical tests; $\alpha = 0.05/20$ for *DPA1* alleles, $\alpha = 0.05/57$ for *DPB1* alleles, and $\alpha = 0.05/74$ for *DPA1-DPB1* haplotypes.

Supporting Information

Figure S1 Comparison of odds ratios in association analyses for *HLA-DP* with chronic HBV infection among four Asian populations: (A) *HLA-DPA1* alleles; (B) *HLA-DPB1* alleles; and (C) *HLA DPA1-DPB1* haplotypes. Meta-analysis was performed using the DerSimonian-Laird method (random-effects model) to calculate pooled OR and its 95% confidence interval (95% CI). Bold depicts a statistically significant association after correction of significance level.
(DOCX)

Table S1 Individuals with successfully genotyped for *HLA-DPA1* and *HLA-DPB1*.
(DOCX)

Table S2 Frequencies of HLA-DP alleles in HBV patients and healthy controls among Asian populations.
(XLSX)

Table S3 Frequencies of HLA-DP alleles in HBV patients and resolved individuals among Asian populations.
(XLSX)

Table S4 Associations of HLA-DPB1 alleles with disease progression in CHB patients among Asian populations.
(XLSX)

Table S5 Estimated frequencies of HLA DPA1-DPB1 haplotypes in HBV patients and healthy controls among Asian populations.
(XLSX)

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Conceived and designed the experiments: NN HS MS KT M. Mizokami. Performed the experiments: NN HS KK Y. Mawatari M. Kawashima M. Minami. Analyzed the data: NN HS M. Kawashima JO. Contributed reagents/materials/analysis tools: W-KS M-FY NP YP SHA K-HH K. Matsuura YT M. Kurosaki YA NI J-HK SH TI KY IS Y. Murawaki YI AT EO YH MH SK EM KS KH ET SM MW YE NM K. Murata M. Korenaga KT M. Mizokami. Wrote the paper: NN HS JO KT M. Mizokami.

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ORIGINAL INVESTIGATION

Genome-wide association study identifies a *PSMD3* variant associated with neutropenia in interferon-based therapy for chronic hepatitis C

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Abstract Cytopenia during interferon-based (IFN-based) therapy for chronic hepatitis C (CHC) often necessitates reduction of doses of drugs and premature withdrawal from therapy resulting in poor response to treatment. To identify genetic variants associated with IFN-induced neutropenia, we conducted a genome-wide association study (GWAS) in 416 Japanese CHC patients receiving IFN-based therapy. Based on the results, we selected 192 candidate single nucleotide polymorphisms (SNPs) to carry out a replication analysis in an independent set of 404 subjects. The SNP rs2305482, located in the intron region of the *PSMD3* gene on chromosome 17, showed a strong association when the results of GWAS and the replication stage were combined (OR = 2.18, $P = 3.05 \times 10^{-7}$ in the allele frequency model). Logistic regression analysis showed that rs2305482 CC and neutrophil count at baseline were independent predictive factors for IFN-induced neutropenia (OR = 2.497, $P = 0.0072$ and OR = 0.998, $P < 0.0001$, respectively). Furthermore, rs2305482 genotype was associated with the doses of pegylated interferon (PEG-IFN) that could be tolerated in hepatitis C virus genotype 1-infected patients treated with PEG-IFN plus ribavirin, but not with treatment efficacy. Our results suggest that genetic

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testing for this variant might be useful for establishing personalized drug dosing in order to minimize drug-induced adverse events.

Introduction

Chronic hepatitis C virus (HCV) infection is a significant risk factor for progressive liver fibrosis and hepatocellular carcinoma. Antiviral treatment improves the natural course in chronic hepatitis C (CHC) (George et al. 2009; Yoshida et al. 2004). Newly-developed treatments involving direct-acting antivirals (DAAs), including nonstructural (NS) 3/4A protease inhibitors have shown promising outcomes in combination with pegylated interferon (PEG-IFN) plus ribavirin (RBV) in several clinical trials. Thus, >70 % of patients infected with HCV genotype 1 are reported to achieve sustained virological responses (SVR) (Jacobson et al. 2011; Poordad et al. 2012; Zeuzem et al. 2011). Furthermore, interferon-free (IFN-free) therapies are expected to be useful especially in IFN-resistant patients and may become the standard of care in the near future. However, IFN-based regimens have been standard-of-care therapies over the last couple of decades.

IFN-based therapies are associated with various adverse effects. Cytopenia is common due to bone marrow suppression cased by IFN or DAA and hemolysis by RBV. This is particularly the case in patients with advanced hepatic fibrosis, but can sometimes also occur in those with mild fibrosis. This then often necessitates dose reduction or premature withdrawal from therapy, resulting in poor response to treatment. For instance, it was reported that rates of viral clearance were significantly reduced in patients who could not be maintained on at least 80 % of their drug doses for the duration of PEG-IFN/RBV therapy (McHutchison et al. 2002). Therefore, pre-treatment prediction of possible adverse effects in order to avoid them and undergo therapy safely is desirable.

Recent genome-wide association studies (GWASs) have identified two important host genetic variants influencing CHC treatment: (1) single nucleotide polymorphisms (SNPs) near the interleukin-28B (*IL28B*) gene, which are strongly associated with response to therapy for chronic HCV genotype 1 infection (Ge et al. 2009; Suppiah et al. 2009; Tanaka et al. 2009), and (2) SNPs in the inosine triphosphatase (*ITPA*) gene, which accurately predict RBV-induced anemia in European–American (Fellay et al. 2010) and Japanese population (Ochi et al. 2010). We validated the association between this *ITPA* genetic variant and RBV-induced anemia (Sakamoto et al. 2010), and reported that the *ITPA* genotype affects the tolerated doses of RBV and treatment response in a stratified group (Kurosaki et al. 2011; Matsuura et al. 2014). Additionally, our GWAS showed that *DDRGK1/ITPA* variants are strongly associated with IFN-induced thrombocytopenia as well as anemia during PEG-IFN/RBV therapy (Tanaka et al. 2011). Thompson et al. (2012) also reported that the *ITPA* genetic variant was associated with anemia and thrombocytopenia during PEG-IFN/RBV therapy. However they identified no genetic determinants of IFN-induced neutropenia at the level of genome-wide significance by their GWAS in populations of European Americans, African Americans and Hispanics.

Hence, to identify genetic variants associated with IFN-induced neutropenia, we conducted a GWAS in Japanese CHC patients.

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Materials and methods

Patients

From 2007 to 2012, samples for the GWAS were obtained from 416 CHC patients who were treated at 22 hospitals (liver units with hepatologists) throughout Japan. In the following stage of replication analysis, samples were collected in an independent set of 404 Japanese CHC patients. Most patients were treated with PEG-IFN-α2b (1.5 μg/kg body weight subcutaneously once a week) or PEG-IFN-α2a (180 μg once a week) plus RBV (600–1,000 mg daily according to body weight) for 48 weeks for HCV genotype 1 and 24 weeks for genotype 2. Treatment duration was extended in some patients up to 72 weeks for genotype 1 and 48 weeks for genotype 2 according to physicians' preferences. Other patients were treated with PEG-IFN-α2a or IFN monotherapy, or IFN-α2b plus RBV in standard doses of the regimens. The doses of drugs were reduced according to the recommendations on the package inserts or the clinical conditions of the individual patients. Erythropoietin or other growth factors were not given. Patients chronically infected with hepatitis B virus or human immunodeficiency virus, or with other causes of liver disease such as autoimmune hepatitis and primary biliary cirrhosis, were excluded from this study. Written informed consent was obtained from all individual participants in this study and the study protocol conformed to the ethics guidelines of the Declaration of Helsinki and was approved by the institutional ethics review committees.

Inclusion criteria of neutropenia

In the initial stage of GWAS, we defined the inclusion criteria of the case group as minimum neutrophil counts of $<750/mm^3$ at week 2 or 4 during IFN-based therapy, since the dose reduction of IFN is recommended at those levels on the package inserts. Thereafter we did it as minimum neutrophil counts of $<600/mm^3$ at week 2 or 4 in the following GWAS and the replication stages.

SNP genotyping and data cleaning

We conducted two stages of GWAS using the Affymetrix Genome-Wide Human SNP Array 6.0 (Affymetrix, Inc. Santa Clara, CA) according to the manufacturer's instructions. The cut-off value was calculated to maximize the difference, which was also close to median change. At GWAS, the average overall call rate of patients in the case and the control group reached 98.66 and 98.79 %, respectively. We then applied the following thresholds for SNP quality control (QC) in data cleaning: SNP call rate ≥95 % for all samples, minor allele frequency (MAF) ≥1 % for all samples. A total of 601,578 SNPs on autosomal chromosomes passed the QC filters and were used for association analysis. All cluster plots of SNPs showing $P < 0.0001$ in association analyses by comparing allele frequencies in both groups were checked by visual inspection and SNPs with ambiguous genotype calls were excluded. In the replication study, the genotyping of 192 candidate SNPs in an independent set of 404 Japanese HCV-infected patients was carried out using the DigiTag2 assay (Nishida et al. 2007). Successfully genotyped SNPs in the replication analysis had a >95 % call rate, and cleared Hardy–Weinberg equilibrium (HWE) $P \geq 0.001$. One SNP could not be genotyped, and hence we obtained data on 191 SNPs including rs9915252. Three SNPs, rs4794822, rs3907022, and rs3859192 located around the proteasome 26S subunits non-ATPase 3 (*PSMD3*) gene and rs8099917 near the *IL28B* gene were genotyped by TaqMan SNP Genotyping Assays (Applied Biosystems, Carlsbad, CA) following the manufacturer's protocol.

Laboratory and histological tests

Blood samples were obtained at baseline and at appropriate periods after the start of therapy and for hematologic tests, blood chemistry, and HCV RNA. Fibrosis was evaluated on a scale of 0–4 according to the METAVIR scoring system. The SVR was defined as an undetectable HCV RNA level by Roche COBAS Amplicor HCV Monitor test, v.2.0 (Roche Molecular Diagnostics, Pleasanton, CA) with a lower detection limit of 50 IU/ml or Roche COBAS AmpliPrep/COBAS TaqMan HCV assay (Roche Molecular Diagnostics, Pleasanton, CA) with a lower detection limit of 15 IU/ml 24 weeks after the completion of therapy. Serum granulocyte colony-stimulating factor (G-CSF) levels were analyzed using Human G-CSF Quantikine ELISA Kit (R&D Systems, Inc., Minneapolis, MN).

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Expression quantitative trait locus analysis

Expression quantitative trait locus analysis (eQTL) was conducted using the web-based tool, Genevar (http://www.sanger.ac.uk/resources/software/genevar) (Yang et al. 2010). We evaluated the correlations between rs2305482 genotypes and the expression of transcripts of *PSMD3* or colony-stimulating factor 3 (*CSF3*) by the Spearman's rank correlation coefficient.

Statistical analysis

In the GWAS and the replication stages, the observed association between a SNP and neutropenia induced by IFN-based therapy was assessed by the Chi square test with a two-by-two contingency table in three genetic models: the allele frequency model, the dominant-effect model and the recessive-effect model. Significance levels after Bonferroni correction for multiple testing were $P = 8.31 \times 10^{-8}$ (0.05/601,578) in the GWAS stage and $P = 2.62 \times 10^{-4}$ (0.05/191) in the replication stage. Categorical variables were compared between groups by the Chi square test, and non-categorical variables by the Student's t test or the Mann–Whitney U test. Multivariate logistic regression analysis with stepwise forward selection was performed with $P < 0.05$ in univariate analysis as the criteria for model inclusion. To evaluate the discriminatory ability of neutrophil counts at baseline to predict neutropenia during IFN-based therapy, receiver operating characteristic curve (ROC) curve analysis was conducted. Changes of serum G-CSF levels from baseline to the period with neutropenia during IFN-based therapy were compared by the repeated measure analysis of variance (ANOVA). Correlations between neutrophil counts and serum G-CSF levels were analyzed using Pearson's correlation coefficient test. $P < 0.05$ was considered significant in all tests.

Results

Genetic variants associated with IFN-induced neutropenia

We conducted two stages of GWAS by changing the terms of neutrophil counts, followed by the replication analysis (Fig. 1). The characteristics of the patients in each group for the GWAS and the replication stage are summarized in Table 1. At the first stage of GWAS (GWAS-1st), we genotyped 416 Japanese CHC patients with minimum neutrophil counts of <750/mm^3 (Case-G1, $n = 114$) and ≥1,000/mm^3 (Control-G, $n = 302$) at week 2 or 4 during IFN-based therapy. Here there may still be mixed with undesirable samples that should be removed from the case group. Therefore, we designed and carried out the second stage of GWAS (GWAS-2nd) comparing the patients with more severe neutropenia to the control group: in patients with minimum neutrophil counts of <600/mm^3 (Case-G2, $n = 50$) and ≥1,000/mm^3 (Control-G, $n = 302$) at week 2 or 4 using the same samples as used in GWAS-1st. Supplementary Fig. 1 shows a genome-wide view of the single-point association data based on allele frequencies in GWAS-1st and GWAS-2nd. No association between SNPs and IFN-induced neutropenia reached a genome-wide level of significance [Bonferroni criterion $P < 8.31 \times 10^{-8}$ (0.05/601,578)]. Therefore, we selected the candidate SNPs principally

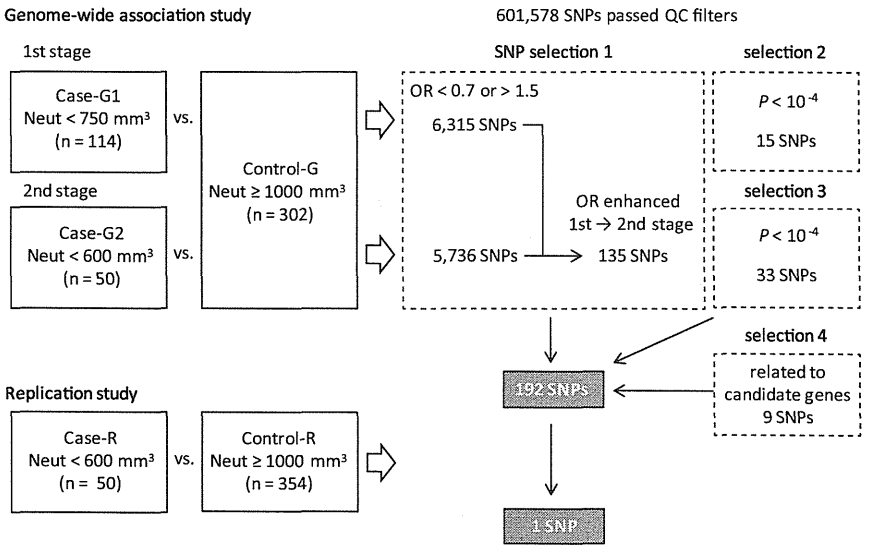

Fig. 1 Outline of the study design. *Neut* neutrophil counts, *SNP* single nucleotide polymorphism, *QC* quality control, *OR* odds ratio

Table 1 Clinical characteristics of patients in GWAS and the replication study

	GWAS			Replication study	
	Case-G1 ($n = 114$)	Case-G2 ($n = 50$)	Control-G ($n = 302$)	Case-R ($n = 50$)	Control-R ($n = 354$)
At baseline					
Gender, male/female	48/66	21/29	170/132	24/26	208/146
Age, years	57.9 (8.7)	57.1 (8.3)	57.2 (11.2)	59.1 (10.2)	56.7 (9.6)
Neutrophil count, /mm^3	1,800 (777)	1,662 (897)	2,750 (984)	1,570 (552)	2,724 (985)
Hemoglobin, g/dL	13.6 (1.3)	13.5 (1.3)	14.2 (1.5)	13.6 (1.6)	14.3 (1.5)
Platelet count, $\times 10^9$/L	141 (42)	132 (46)	164 (54)	140 (47)	162 (60)
ALT, IU/L	82.9 (88.6)	70.4 (53.1)	81.5 (77.9)	87.8 (82.7)	85.2 (71.1)
HCV genotype, 1/2/ND	95/18/1	40/10/0	250/51/1	45/5/0	277/77/0
HCV RNA, log IU/mL	5.9 (0.8)	5.9 (1.0)	6.1 (0.8)	6.1 (0.9)	6.1 (0.8)
Liver fibrosis, F0-2/F3-4/ND	62/22/30	25/10/15	168/70/64	21/6/23	229/87/38
rs8099917, TT/TG + GG/ND	74/39/1	35/15/0	189/109/4	31/17/2	278/70/6
Regimen					
PEG-IFN + RBV/IFN + RBV/PEG-IFN/IFN mono	112/0/0/2	48/0/0/2	277/9/9/7	44/4/2/0	351/0/3/0
At week 4					
Neutrophil count, /mm^3	606 (126)	496 (104)	1,551 (501)	501 (89)	1,533 (484)

Data are expressed as number for categorical data or the mean (standard deviation) for non-categorical data

GWAS genome-wide association study, *ALT* alanine transaminase, *ND* not determined, *PEG-IFN* pegylated interferon, *IFN* mono, interferon monotherapy, *RBV* ribavirin

by comparing between GWAS-1st and GWAS-2nd as follows. There were 6,315 and 5,736 SNPs with odds ratios (ORs) <0.7 or >1.5 at GWAS-1st and GWAS-2nd, respectively. Of these, the ORs of 135 SNPs were more notable at GWAS-2nd than at GWAS-1st. In addition to the 135 SNPs, we selected 15 and 33 SNPs with $P < 10^{-4}$ at GWAS-1st and GWAS-2nd, and added 9 SNPs which are located around the candidate genetic regions identified by the GWAS stage and are non-synonymous or related to diseases in previous reports. Consequently, we carried out the replication analysis focusing on this total of 192 SNPs.

In the subsequent replication analysis, we carried out genotyping of the 192 candidate SNPs in an independent set of 404 Japanese HCV-infected patients with minimum neutrophil counts of <600/mm^3 (Case-R, $n = 50$) and ≥1,000/mm^3 (Control-R, $n = 354$) at week 2 or 4 during IFN-based therapy (Table 1; Fig. 1). The results in the replication stage combined with GWAS-2nd are shown in Supplementary Table 1. Several SNPs such as rs11743919 and rs2457840 showed strong associations with low P value, however, the MAF of them were <5 %. In general, low frequent SNPs tend to show unsettled associations, especially in statistical analysis with small number of samples. Therefore, we excluded these SNPs from the final candidates. Consequently, we determined the SNP rs2305482, located in the intron of *PSMD3* gene on chromosome 17, as the most promising candidate, which showed a strong association with IFN-induced neutropenia in the combined results of GWAS-2nd and the replication stage (OR = 2.18; 95 % CI = 1.61–2.96, $P = 3.05 \times 10^{-7}$ in the allele frequency model) (Table 2).

Association of SNPs located in *PSMD3-CSF3* with neutropenia

A previous GWAS showed that rs4794822 located between the *PSMD3* and *CSF3* genes was associated with neutrophil counts in Japanese patients including 14 different disease groups (Okada et al. 2010). As shown in Fig. 2, rs4794822 is in strong linkage disequilibrium (LD) with rs2305482 which we identified in the present study. Thus, the pairwise LD (r^2) in the HapMap JPT: Japanese in Tokyo, Japan, is 0.66. Because the SNP rs4794822 is not included in the Affymetrix Genome-Wide Human SNP Array 6.0, we additionally genotyped it together with three other SNPs (rs9915252, rs3859192 and rs3907022) located in the same LD block around the *PSMD3* gene (Fig. 2). The allele frequency of each SNP was compared between patients with minimum neutrophil counts of <600/mm^3 (Case-G2 + R: Case-G2 plus Case-R, $n = 100$) and ≥1,000/mm^3 (Control-G + R: Control-G plus Control-R, $n = 656$) at week 2 or 4 during IFN-based therapy. This showed that, rs4794822 was also strongly associated with neutropenia during IFN-based therapy (OR = 2.24; 95 % CI = 1.63–3.07, $P = 3.63 \times 10^{-7}$ in the allele frequency model) (Table 3).

Table 2 SNP associated with interferon-induced neutropenia

dbSNP rsID	Nearest gene	Risk allele	Allele (1/2)	Stage	Case			Control			OR[a] (95 % CI)	*P* value[b]
					11	12	22	11	12	22		
rs2305482	*PSMD3*	C	C/A	GWAS-1st	23 (20.4)	52 (46.0)	38 (33.6)	26 (8.6)	143 (47.4)	133 (44.0)	1.61 (1.17–2.20)	2.95×10^{-3}
				GWAS-2nd	12 (24.5)	28 (57.1)	9 (18.4)	26 (8.6)	143 (47.4)	133 (44.0)	2.37 (1.54–3.65)	6.47×10^{-5}
				Replication	12 (24.4)	20 (40.8)	17 (34.7)	33 (9.5)	136 (39.1)	179 (51.4)	1.99 (1.30–3.06)	1.46×10^{-3}
				Combined[c]	24 (24.5)	48 (49.0)	26 (26.5)	59 (9.1)	279 (42.9)	312 (48.0)	2.18 (1.61–2.96)	3.05×10^{-7}

Data of allele distribution represent number (%). Data of subjects whose genotypes were not determined were excluded

SNP single nucleotide polymorphism

[a] Odds ratio for the allele frequency model

[b] *P* value by the Chi square test for the allele frequency model

[c] Allele distributions in GWAS-2nd and replication were combined

Predictive factors for IFN-induced neutropenia

The following analyses were carried out for rs2305482 and rs4794822 using the subjects in Case-G2 + R and Control-G + R. Neutrophil counts at baseline correlated with rs2305482 and rs4794822 genotypes (Supplementary Fig. 2), and strongly affected IFN-induced neutropenia as shown by ROC analysis (area under the curve = 0.860) (Supplementary Fig. 3). Furthermore, gender, hemoglobin level, and platelet count at baseline were also significantly associated with IFN-induced neutropenia by univariate analysis (Table 4). Therefore, we analyzed pretreatment predictive factors for IFN-induced neutropenia in logistic regression models that included the following variables: gender, neutrophil count, platelet count, and rs2305482 or rs4794822 genotypes. In addition to neutrophil count, rs2305482 CC was an independent predictive factor for IFN-induced neutropenia (OR = 2.497; 95 % CI = 1.281–4.864, $P = 0.0072$) (Table 5) as was rs4794822 CC (OR = 2.272; 95 % CI = 1.337–3.861, $P = 0.0024$) (Supplementary Table 2).

Impact of *PSMD3-CSF3* SNPs on tolerated drug doses and treatment efficacy

To evaluate the impact of *PSMD3-CSF3* SNPs on doses of drugs given, and on treatment efficacy, we selected 380 HCV genotype 1-infected patients treated with PEG-IFN/RBV for 48 weeks. They were selected as having information available on the doses of PEG-IFN/RBV that they had received (Supplementary Table 3). It was reported that rates of viral clearance were significantly reduced in patients who could not be maintained on at least 80 % of their drug doses for the duration of PEG-IFN/RBV therapy (McHutchison et al. 2002). In reference to this result, we stratified the patients into three groups according to the doses of PEG-IFN or RBV administered, as follows: <60 %, ≥60 to <80 %, ≥80 % of the planned doses for 48 weeks. The proportion of patients in the <60 % group for PEG-IFN was significantly higher in patients possessing rs2305482 CC than in those with AA/AC ($P = 0.005$), whereas there was no association for RBV (Fig. 3). The same results were found in the analysis of rs4794822 (Supplementary Fig. 4). However, the univariate analysis of pretreatment factors associated with SVR showed that there was no association between SVR and rs2305482 or rs4794822 genotypes (Supplementary Table 3).

Candidate SNP-gene association analysis in IFN-induced neutropenia

To investigate whether the SNPs associated with neutropenia affect the expression of nearby genes, we conducted

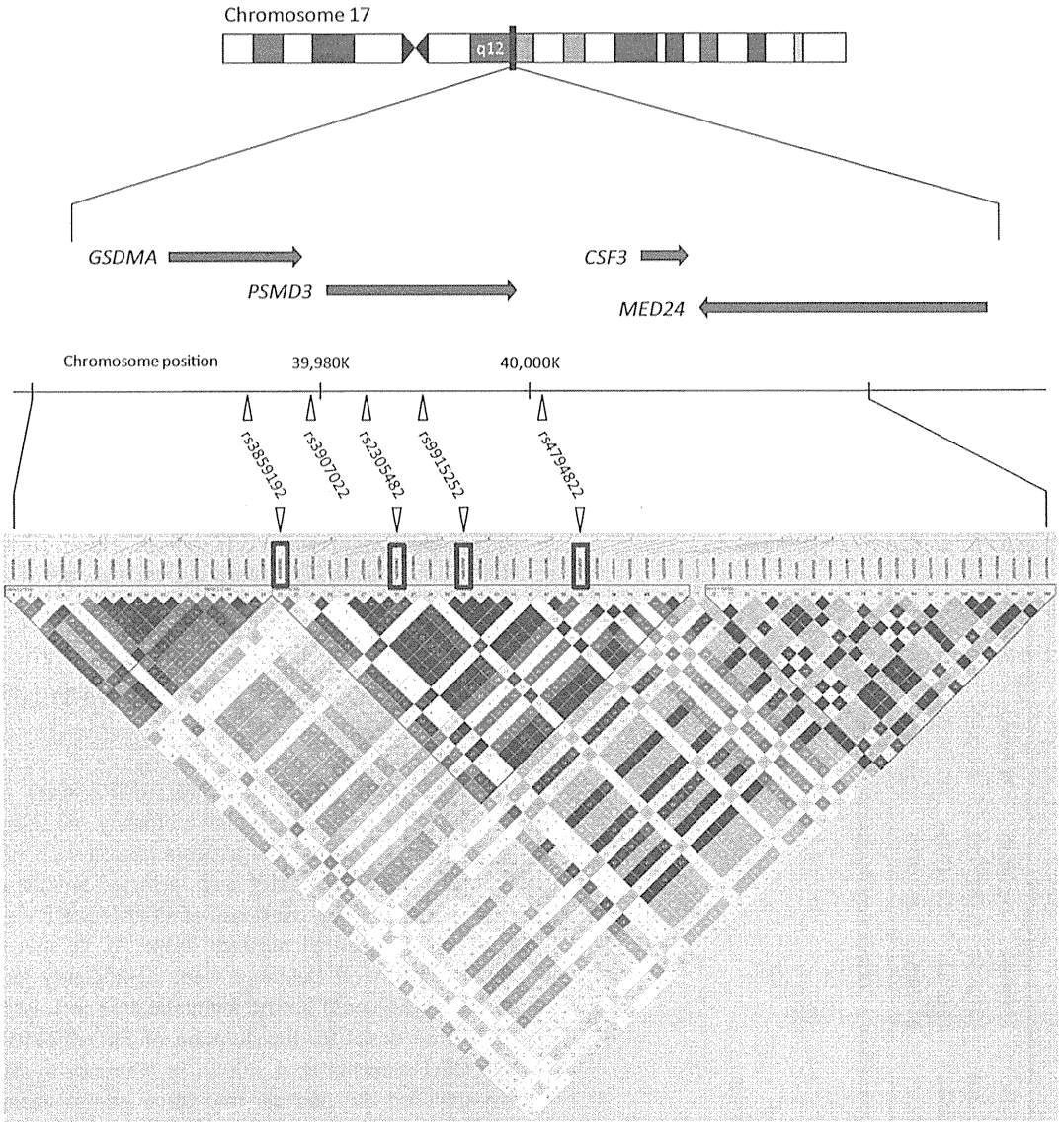

Fig. 2 Position on chromosome and pairwise linkage disequilibrium (r^2) diagrams in the HapMap JPT around the *PSMD3-CSF3* locus

an eQTL analysis. The C allele of rs2305482, a risk for neutropenia, was associated with higher expression levels of *PSMD3* in the populations of LWK: Luhya in Webuye, Kenya (rho = 0.30, $P = 0.006$), and MEX: Mexican ancestry in Los Angeles, California (rho = 0.36, $P = 0.015$) (Supplementary Fig. 5a), whereas it was associated with lower expression levels of *CSF3* in CHB: Han Chinese in Beijing, China, in the probe of ILMN_1655639 (rho = −0.48, $P = 5.5 \times 10^{-6}$) (Supplementary Fig. 5b), and in MEX in that of ILMN_1706852 (rho = −0.33, $P = 0.028$) (Supplementary Fig. 5c).

CSF3 encodes a cytokine, known as G-CSF which is produced by different type of cells such as macrophages, monocytes, stromal cells in the bone marrow, fibroblast, and endothelial cells. The eQTL analysis is based on the whole-genome gene expression variations in lymphoblastoid cell lines derived from HapMap individuals. Therefore, it was still necessary to analyze gene expression in G-CSF producing cells, as well as expression at the protein level. Hence, we measured serum G-CSF levels at baseline and week 2 or 4 (at the time of minimum neutrophil counts) in 127 CHC patients receiving IFN-based therapy. There were no differences in serum G-CSF levels at baseline and the time of minimum neutrophil counts as well as in their changes according to rs2305482 or rs4794822 genotypes (Supplementary Fig. 6a, b). In addition, neutrophil counts

Table 3 Association of SNPs located in *PSMD3-CSF3* with interferon-induced neutropenia

dbSNP rsID	Nearest gene	Risk allele	Allele (1/2)	Case-G2 + R[a] ($n = 100$)			Control-G + R[b] ($n = 656$)			OR[c] (95 % CI)	*P* value[d]
				11	12	22	11	12	22		
rs9915252	*PSMD3*	G	G/C	23 (24.0)	47 (49.0)	26 (27.1)	57 (8.9)	276 (43.3)	304 (47.7)	2.13 (1.57–2.89)	9.64×10^{-7}
rs4794822	*PSMD-CSF3*	C	C/T	42 (42.9)	45 (45.9)	11 (11.2)	130 (21.2)	308 (50.2)	176 (28.7)	2.24 (1.63–3.07)	3.63×10^{-7}
rs3907022	*GSDMA-PSMD*	A	A/G	41 (41.8)	45 (45.9)	12 (12.2)	129 (21.3)	306 (50.6)	170 (28.1)	2.11 (1.54–2.89)	2.31×10^{-6}
rs3859192	*GSDMA*	C	C/T	37 (37.8)	44 (44.9)	17 (17.3)	123 (19.9)	313 (50.7)	181 (29.3)	1.82 (1.34–2.48)	1.04×10^{-4}

Data of allele distribution represent number (%). Data of subjects whose genotypes were not determined were excluded

SNP single nucleotide polymorphism

[a] Case-G2 + R: Case-G2 plus Case-R

[b] Control-G + R: Control-G plus Control-R

[c] Odds ratio for the allele frequency model

[d] *P* value by the Chi square test for the allele frequency model

did not correlate with serum G-CSF levels at baseline and the time of minimum neutrophil counts (Supplementary Fig. 7a), and there was no difference in the changes of serum G-CSF levels from baseline to the time of minimum neutrophil counts between patients with minimum neutrophil counts of $\geq$1,000/mm^3 and <600/mm^3 (Supplementary Fig. 7b).

Discussion

The present GWAS first showed a strong association between genetic variant and IFN-induced neutropenia, namely, with rs2305482 in *PSMD3* on chromosome 17. Although neutrophil counts at baseline were associated with the rs2305482 genotype and the incidence of neutropenia during IFN-based therapy, the logistic regression analysis revealed that the rs2305482 genotype was independently associated with IFN-induced neutropenia.

Intriguingly, the *PSMD3-CSF3* locus was reported to be associated with total white blood cell (WBC) counts based on GWAS of populations with European ancestry (Crosslin et al. 2012; Soranzo et al. 2009) and in Japanese (Kamatani et al. 2010). These findings were replicated in African Americans (Reiner et al. 2011). Moreover, another GWAS by Okada et al. (2010) showed that rs4794822 in *PSMD3-CSF3* was associated with neutrophil counts in 14 different groups of diseases in Japanese patients who were not undergoing chemotherapy. In the present study, rs4794822 as well as rs2305482 was also associated with pretreatment neutrophil counts in CHC patients (Supplementary Fig. 2). However, there have been no reports showing an association between *PSMD3-CSF3* variants and reduction of WBC or neutrophil counts following treatments such as IFN and chemotherapy. The pairwise LD diagram for *PSMD3-CSF3* by HapMap JPT shows that rs4794822 is in strong LD with rs2305482, which we identified here (Fig. 2). In the present study, both rs2305482 and rs4794822 were associated with IFN-induced neutropenia. Collectively, previous reports together with our results imply that the *PSMD3-CSF3* locus is associated with neutropenia in CHC patients under IFN-based therapy as well as with neutrophil counts in healthy individuals and patients without bone marrow suppressive therapy.

In further clinical investigation, the rs2305482 and rs4794822 genotypes were associated with the doses of PEG-IFN that could be given to HCV genotype 1-infected patients treated with PEG-IFN/RBV (Fig. 3; Supplementary Fig. 4). Unfortunately, we could not collect the detailed information about the reason for the reduction of PEG-IFN in this group. However, we highly suppose that these SNPs affected the doses of PEG-IFN through neutropenia in some cases, since neutropenia is one of the major