resistant variants, which emerged during telaprevir monotherapy for 24 weeks, could be eliminated by PEG-IFN/ribavirin [Ozeki et al., 2011]. Furthermore, this finding probably suggests that a small number of mutant type viral RNA may be incomplete or defective, since a large proportion of viral genomes are thought to be defective due to the high replication and mutation rates of the virus [Bartenschlager and Lohmann, 2000]. However, recent report based on simeprevir-based therapy indicated persistence of simeprevir-resistant variants in patients infected with HCV genotype 1 at approximately 1.5 years after the cessation of simeprevir monotherapy, and which might affect response to with simeprevir/PEG-IFN/ribavirin re-treatment [Lenz et al., 2012]. Further studies of larger number of patients should be performed to evaluate whether the emergence of NS3/4A protease inhibitors-resistant variants affects treatment efficacy by the second course of NS3/4A protease inhibitors-based treatment.

In conclusion, the present study indicates that the emergence of simeprevir-resistant variants after the start of treatment could not be predicted at baseline, and the majority of de novo resistant variants become undetectable over time. One limitation in the present Japanese study is that the significance of preexisting resistant variants, especially HCV genotype 1a with variants of Q80K, could not be investigated. Patients with variants of Q80K at baseline indicated the lower rates of sustained virological response (46.7%) in PROMISE phase III trial with simeprevir/PEG-IFN/ ribavirin [Forns et al., 2013]. Another limitation is that it could not be investigated at this stage whether the emergence of simeprevir-resistant variants (especially, variants of aa168) might affect the interferonfree regimens, including direct-acting antiviral agents, in future (e.g., Oral dual therapy of daclatasvir and asunaprevir, without PEG-IFN/ribavirin [Chayama et al., 2012; Karino et al., 2013]). Further large-scale prospective studies should be performed to investigate the clinical utility in detecting simeprevir-resistant variants on the response to treatment, and to help in the design of more effective therapeutic regimens.

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# Utility of Detection of Telaprevir-Resistant Variants for Prediction of Efficacy of Treatment of Hepatitis C Virus Genotype 1 Infection

Norio Akuta,<sup>a</sup> Fumitaka Suzuki,<sup>a</sup> Taito Fukushima,<sup>a</sup> Yusuke Kawamura,<sup>a</sup> Hitomi Sezaki,<sup>a</sup> Yoshiyuki Suzuki,<sup>a</sup> Tetsuya Hosaka,<sup>a</sup> Masahiro Kobayashi,<sup>a</sup> Tasuku Hara,<sup>a</sup> Mariko Kobayashi,<sup>b</sup> Satoshi Saitoh,<sup>a</sup> Yasuji Arase,<sup>a</sup> Kenji Ikeda,<sup>a</sup> Hiromitsu Kumada<sup>a</sup>

Department of Hepatology, Toranomon Hospital, and Okinaka Memorial Institute for Medical Research, Tokyo, Japan<sup>a</sup>; Liver Research Laboratory, Toranomon Hospital, Tokyo, Japan<sup>b</sup>

The clinical usefulness of detecting telaprevir-resistant variants is unclear. Two hundred fifty-two Japanese patients infected with hepatitis C virus (HCV) genotype 1b received triple therapy with telaprevir-peginterferon (PEG-IFN)-ribavirin and were evaluated for telaprevir-resistant variants by direct sequencing at baseline and at the time of reelevation of the viral load. An analysis of the entire group indicated that 76% achieved a sustained virological response. Multivariate analysis identified a PEG-IFN dose of <1.3 µg/kg of body weight, an IL28B rs8099917 genotype (genotype non-TT), detection of telaprevir-resistant variants of amino acid (aa) 54 at baseline, nonresponse to prior treatment, and a leukocyte count of <5,000/mm<sup>3</sup> as significant pretreatment factors for detection of telaprevir-resistant variants at the time of reelevation of the viral load. In 63 patients who showed nonresponse to prior treatment, a higher proportion of patients with no detected telaprevir-resistant variants at baseline (54%) achieved a sustained virological response than did patients with detected telaprevir-resistant variants at baseline (0%). Furthermore, 2 patients who did not have a sustained virological response from the first course of triple therapy with telaprevir received a second course of triple therapy with telaprevir. These patients achieved a sustained virological response by the second course despite the persistence of very-high-frequency variants (98.1% for V36C) or a history of the emergence of variants (0.2% for R155Q and 0.2% for A156T) by ultradeep sequencing. In conclusion, this study indicates that the presence of telaprevir-resistant variants at the time of reelevation of viral load can be predicted by a combination of host, viral, and treatment factors. The presence of resistant variants at baseline might partly affect treatment efficacy, especially in those with nonresponse to prior treatment.

ew strategies have been introduced recently for the treatment of chronic hepatitis C virus (HCV) infection based on the inhibition of protease in the nonstructural 3 (NS3)/NS4 region of the HCV polyprotein. Of the new agents currently available, telaprevir (VX-950) is used for the treatment of chronic HCV infection (1). Three studies (PROVE1, PROVE2, and a Japanese study [2-4]) showed that a 24-week regimen of triple therapy (telaprevir, peginterferon [PEG-IFN], and ribavirin) for 12 weeks followed by dual therapy (PEG-IFN and ribavirin) for 12 weeks (also called the T12PR24 regimen) achieved sustained virological response (SVR) (negative for HCV RNA for >24 weeks after the withdrawal of treatment) rates of 61%, 69%, and 73%, respectively, in patients infected with HCV genotype 1 (HCV-1). However, another study (PROVE3) found lower SVR rates to the T12PR24 regimen (39%) in nonresponders to previous PEG-IFN-ribavirin therapy infected with HCV-1 who did not achieve HCV RNA negativity during or at the end of the initial triple therapy course (5).

Telaprevir-based therapy is reported to induce resistant variants of HCV (6,7). A recent report indicated that resistant variants are observed in most patients after failure to achieve an SVR by telaprevir-based treatment and that they tend to be replaced with wild-type viruses over time, presumably due to the lower fitness of those variants (8). However, the clinical usefulness of detecting telaprevir-resistant variants is still unclear. First of all, pretreatment factors associated with the detection of telaprevir-resistant variants at the time of reelevation of viral load have not been investigated. Furthermore, it is not clear at this stage whether the detection of telaprevir-resistant variants at baseline is useful for predicting the efficacy of telaprevir-based treatment and whether

a history of the emergence of telaprevir-resistant variants affects treatment efficacy with the second course of telaprevir-based treatment.

Based on the above background, there is a need to investigate the clinical usefulness of detecting telaprevir-resistant variants. The aim of this study was to determine the pretreatment factors associated with the subsequent detection of telaprevir-resistant variants at the time of reelevation of viral load and the importance of telaprevir-resistant variants for predicting the efficacy of telaprevir-based treatment in patients infected with HCV-1b.

#### MATERIALS AND METHODS

Study population. From May 2008 through August 2013, 340 consecutive patients infected with HCV were selected for triple therapy with telaprevir (MP-424 or Telavic; Mitsubishi Tanabe Pharma, Osaka, Japan), PEG-IFN-α2b (PegIntron; MSD, Tokyo, Japan), and ribavirin (Rebetol; MSD, Tokyo) at the Department of Hepatology, Toranomon Hospital (located in metropolitan Tokyo, Japan). Subsequently, 252 of these patients received the triple therapy based on the following inclusion and exclusion criteria: (i) diagnosis of chronic hepatitis C, (ii) HCV-1b confirmed by sequence analysis, (iii) HCV RNA level of ≥5.0 log IU/ml as determined

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Address correspondence to Norio Akuta, akuta-gi@umin.ac.jp.
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by the Cobas TaqMan HCV test (Roche Diagnostics, Tokyo, Japan), (iv) follow-up duration of ≥24 weeks after the completion of triple therapy, (v) no history of treatment with NS3/4A protease inhibitors, (vi) absence of decompensated liver cirrhosis and hepatocellular carcinoma (HCC), (vii) negative for hepatitis B surface antigen (HBsAg), (viii) no evidence of human immunodeficiency virus infection, (ix) negative history of autoimmune hepatitis, alcohol liver disease, hemochromatosis, and chronic liver disease other than chronic hepatitis C, (x) negative history of depression, schizophrenia, or suicide attempts, angina pectoris, cardiac insufficiency, myocardial infarction, severe arrhythmia, uncontrolled hypertension, uncontrolled diabetes, chronic renal dysfunction, cerebrovascular disorders, thyroidal dysfunction uncontrolled by medical treatment, chronic pulmonary disease, allergy to medication, or anaphylaxis at baseline, and (xi) pregnant or breastfeeding women or those willing to become pregnant during the study and men with a pregnant partner were excluded. The study protocol was in compliance with the guidelines for good clinical practice and the 1975 Declaration of Helsinki and was approved by the institutional review board of the Toranomon Hospital. Each patient received ample information about the goals and potential side effects of the treatment and their right to withdraw from the study at any time. Each patient provided a signed consent form before participating in this trial.

The efficacy of treatment was evaluated by the presence or absence of an HCV RNA-negative result at 24 weeks after the completion of therapy (i.e., SVR), as determined by the Cobas TaqMan HCV test (Roche Diagnostics). Furthermore, failure to achieve an SVR was classified as nonresponse (HCV RNA detected during or at the end of treatment) or relapse (at the time of reelevation of viral load after the end of treatment, even when HCV RNA result was negative at the end of treatment).

Twenty patients (8%) were assigned to a 12-week regimen of triple therapy (the T12PR12 group) and were randomly divided into two groups (10 patients each) treated with either 1,500 mg/day or 2,250 mg/day of telaprevir to evaluate the treatment efficacy during 12 weeks on treatment. Sixty patients (24%) were allocated to a 24-week regimen of the same triple therapy described above followed by dual therapy of PEG-IFN and ribavirin for another 12 weeks (the T12PR24 group) to evaluate treatment efficacy according to the response to prior treatment, and they were treated with 2,250 mg/day of telaprevir. Another group of 172 patients (68%) was treated as described above for the T12PR24 group except for the dosages of telaprevir; this group was divided into two groups treated with either 1,500 mg/day (111 patients) or 2,250 mg/day (61 patients) of telaprevir, as selected by the attending physician. Table 1 summarizes the profiles and laboratory data of the entire group of 252 patients at the commencement of treatment. They included 155 males and 97 females 21 to 73 years of age (median, 58 years). At the start of treatment, telaprevir was administered at a median dose of 30.8 mg/kg of body weight (range, 14.1 to 59.2 mg/kg) daily. One hundred thirty-one patients (52%) were treated with 2,250 mg/day of telaprevir, while the other 121 patients (48%) were treated with 1,500 mg/day of telaprevir. PEG-IFN-α2b was injected subcutaneously at a median dose of 1.5  $\mu g/kg$  (range, 0.7 to 1.8 μg/kg) once a week. Ribavirin was administered at a median dose of 10.9 mg/kg (range, 4.3 to 15.8 mg/kg) daily. Each drug was discontinued or its dose reduced as required per the judgment of the attending physician, in response to a fall in hemoglobin level, leukocyte count, neutrophil count, or platelet count, or the appearance of side effects. The triple therapy was discontinued when the leukocyte count decreased to <1,000/mm<sup>3</sup>, the neutrophil count decreased to  $<500/\mathrm{mm}^3$ , the platelet count decreased to  $<5.0 \times 10^4$ /mm<sup>3</sup>, or when hemoglobin decreased to <8.5 g/dl.

Follow-up. Clinical and laboratory assessments were performed at least once every month before, during, and after treatment. They were performed every week in the initial 12 weeks of treatment. Adverse effects were monitored clinically by careful interviews and a medical examination at least once every month. Compliance with treatment was evaluated by a questionnaire.

TABLE 1 Profile and laboratory data at commencement of telaprevir, peginterferon, and ribavirin triple therapy in patients infected with HCV genotype 1b

HCV genotype 1b	Designate desagn
Variable	Patient data
Patient demographics	2.50
No. of patients	252
Sex (no. of males/no. of females)	155/97
Median age (yr) (range)	58 (21–73)
Median body mass index (kg/m²) (range)	22.8 (16.0–36.7)
Laboratory data (median [range])	
Level of viremia (log IU/ml)	6.7 (5.0-7.8)
Aspartate aminotransferase (IU/liter)	37 (15-624)
Alanine aminotransferase (IU/liter)	42 (11–525)
Albumin (g/dl)	3.9 (2.5-4.7)
Gamma-glutamyl transpeptidase (IU/liter)	34 (3-319)
Leukocyte count (/mm³)	4,700 (2,000-8,400)
Hemoglobin (g/dl)	14.3 (12.1–17.6)
Platelet count (10 <sup>4</sup> /mm <sup>3</sup> )	16.5 (8.5–33.8)
Treatment	
Median PEG-IFN-α2b dose (μg/kg) (range)	1.5 (0.7-1.8)
Median ribavirin dose (mg/kg) (range)	10.9 (4.3-15.8)
Median telaprevir dose (mg/kg) (range)	30.8 (14.1-59.2)
No. of patients with telaprevir dose of 1,500/2,250 mg/day	121/131
No. of patients on T12PR12/T12PR24 treatment regimen	20/232
Response to prior treatment	
<ul> <li>No. of treatment-naive patients/no. of patients with relapse to prior treatment/no. of patients with nonresponse to prior treatment (IFN monotherapy/ribavirin combination therapy)/unknown</li> </ul>	179/109/63-(16/47)/1-
Amino acid substitutions in HCV genotype 1b	
Core aa 70 (arginine/glutamine [histidine]/ND")	162/88/2
Core aa 91 (leucine/methionine/ND)	139/111/2
ISDR of NS5A (wild type/non-wild type/ND)	199/24/29
IRRDR of NS5A (≤5/≥6/ND)	180/69/3
V3 of NS5A (≤2/≥3/ND)	64/185/3
IL28B genotype	101/50/0
rs8099917 genotype (TT/non-TT/ND)	181/69/2
ITPA genotype	
rs112735 genotype (CC/non-CC)	186/65/1
NS3/4A protease inhibitor-resistant variants by direc	t

<sup>&</sup>quot;ND, not determined.

sequencingb

V36/T54/Q80/R155/A156/D168/V170

Measurement of HCV RNA. The antiviral effects of the triple therapy on HCV were assessed by measuring blood plasma HCV RNA levels. In this study, HCV RNA levels during treatment were evaluated at least once every month before, during, and after therapy. HCV RNA concentrations were determined using the Cobas TaqMan HCV test (Roche Diagnostics). The linear dynamic range of the assay was 1.2 to 7.8 log IU/ml, and undetectable samples were defined as negative.

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1/7/55/1/2/26/0

 $<sup>^</sup>b$  The NS3/4A protease inhibitor-resistant variants detected by direct sequencing included V36A/C/M/L/G, T54A/S, Q80K/R/H/G/L, R155K/T/I/M/G/L/S/Q, A156V/T/S/I/G, D168A/V/E/G/N/T/Y/H/I, and V170A (19, 20).

Determination of *IL28B* and *ITPA* genotypes. The *IL28B* rs8099917 and *ITPA* rs112735 genotypes have been reported as predictors of treatment efficacy and side effects to PEG-IFN-ribavirin dual therapy, and they were genotyped by using the Invader assay, TaqMan assay, or direct sequencing, as described previously (9–13).

Detection of amino acid substitutions in core and NS5A regions of HCV-1b. With the use of HCV-J (GenBank accession no. D90208) as a reference type (14), the sequence of amino acids (aa) 1 to 191 in the core protein of HCV-1b was determined and then compared with the consensus sequence constructed in a previous study to detect substitutions at aa 70 of arginine (Arg70) or glutamine/histidine (Gln70/His70) and at aa 91 of leucine (Leu91) or methionine (Met91) (15). The sequence of aa 2209 to 2248 in the NS5A of HCV-1b (the interferon sensitivity-determining region [ISDR]) reported by Enomoto and coworkers (16) was determined, and the number of amino acid substitutions in the ISDR was defined as wild type ( $\leq 1$ ) or non-wild type ( $\geq 2$ ) compared to that of HCV-J. Furthermore, the sequence of aa 2334 to 2379 in the NS5A region of HCV-1b (the IFN/ribavirin resistance-determining region [IRRDR]) reported by El-Shamy and coworkers (17), including the sequence of aa 2356 to 2379 referred to as the variable region 3 (V3), was determined and then compared with the consensus sequence constructed in a previous study. The numbers of amino acid substitutions in the IRRDR and V3 regions were divided into two groups for analysis (those with  $\leq 5$  and  $\geq 6$ aa substitutions in the IRRDR, and those with  $\leq 2$  and  $\geq 3$  aa substitutions in the V3). In the present study, the amino acid substitutions of the core region and the NS5A-ISDR/IRRDR/V3 of HCV-1b were analyzed by direct sequencing.

Assessment of NS3/4A protease inhibitor-resistant variants. The genome sequence of 609 nucleotides (203 amino acids) in the N terminal of the NS3 region of HCV isolates from the patients was examined. HCV RNA was extracted from 100 µl of blood serum sample, and the nucleotide sequences were determined by direct sequencing and deep sequencing. The primers used to amplify the NS3 region were NS3-F1 (5'-ACA CCG CGG CGT GTG GGG ACA T-3', nucleotides 3295 to 3316) and NS3-AS2 (5'-GCT CTT GCC GCT GCC AGT GGG A-3', nucleotides 4040 to 4019) as the first (outer) primer pair and NS3-F3 (5'-CAG GGG TGG CGG CTC CTT-3', nucleotides 3390 to 3407) and NS3-AS2 as the second (inner) primer pair (18). Thirty-five cycles of first and second amplifications were performed as follows: denaturation for 30 s at 95°C, annealing of primers for 1 min at 63°C, extension for 1 min at 72°C, and final extension at 72°C for 7 min. The PCR-amplified DNA was purified after agarose gel electrophoresis and then used for direct sequencing and ultradeep sequencing.

Patients were examined for NS3/4A protease inhibitor-resistant variants by direct sequencing at baseline and at the time of reelevation of viral loads. Furthermore, patients who did not have an SVR with the first course of triple therapy with telaprevir and received the second course of the triple therapy with telaprevir were analyzed for telaprevir-resistant variants by ultradeep sequencing at baseline and at the time of reelevation of viral loads. NS3/4A protease inhibitor-resistant variants included V36A/C/M/L/G, T54A/S, Q80K/R/H/G/L, R155K/T/I/M/G/L/S/Q, A156V/T/S/I/G, D168A/V/E/G/N/T/Y/H/I, and V170A. Telaprevir-resistant variants (at aa 36, aa 54, aa 155, aa 156, and aa 170) and TMC435-resistant variants (at aa 80, aa 155, and aa 168) were evaluated (19, 20).

Direct sequencing was analyzed by the Dye Terminator method. Dideoxynucleotide termination sequencing was performed with the BigDye deoxy terminator version 1.1 cycle sequencing kit (Life Technologies, Carlsbad, CA) (18). The sequence data were deposited in GenBank. Also, ultradeep sequencing was performed using the Ion Personal Genome Machine (PGM) sequencer (Life Technologies). An Ion Torrent adapterligated library was prepared using an Ion Xpress Plus fragment library kit (Life Technologies). Briefly, 100 ng of fragmented genomic DNA was ligated to the Ion Torrent adapters P1 and A. The adapter-ligated products were nick translated and PCR amplified for a total of 8 cycles. Subsequently, the library was purified using AMPure beads (Beckman Coulter,

Brea, CA) and the concentration determined using the StepOnePlus real-time PCR (Life Technologies) and Ion Library quantitation kit, according to the instructions provided by the manufacturers. Emulsion PCR was performed using the Ion OneTouch (Life Technologies) in conjunction with the Ion OneTouch 200 template kit version 2 (Life Technologies). Enrichment for templated Ion Sphere particles (ISPs) was performed using the Ion OneTouch enrichment system (Life Technologies) according to the instructions provided by the manufacturer. Templated ISPs were loaded onto an Ion 314 chip and subsequently sequenced using 130 sequencing cycles according to the Ion PGM 200 sequencing kit user guide. The total output read length per run was >10 Mb (0.5 million tags, 200-base read) (21). The results were analyzed with the CLC Genomics Workbench software (CLC bio, Aarhus, Denmark) (22).

We also included a control experiment to validate the error rates in ultradeep sequencing of the viral genome. In this study, the amplification products of the second-round PCR were ligated with a plasmid and transformed in *Escherichia coli* by using a cloning kit (TA Cloning; Invitrogen, Carlsbad, CA). A plasmid-derived NS3 sequence was determined as the template, in a control experiment. The fold coverages evaluated per position for aa 36, aa 54, aa 155, aa 156, and aa 170 in the NS3 region were 359,379×, 473,716×, 106,435×, 105,979×, and 49,058×, respectively. Thus, using the control experiment based on a plasmid carrying the HCV NS3 sequence, amino acid mutations were defined as amino acid substitutions at a frequency of >0.2% among the total coverage. This frequency ruled out putative errors caused by the ultradeep sequence platform used in this study (23).

Statistical analysis. Nonparametric variables were compared between the groups by the chi-square and Fisher's exact probability tests. Univariate and multivariate analyses for factors affecting the presence of telaprevir-resistant variants by direct sequencing at the reelevation of viral load were performed by the chi-square test and logistic regression, respectively. Patients who achieved an SVR were said to have no detection of resistant variants at the reelevation of viral load. The sensitivity, specificity, positive predictive value (PPV), and negative predictive value (NPV) were calculated to determine the reliability of the predictors of the response to therapy.

Nucleotide sequence accession numbers. The N-terminal sequences of the NS3 regions of the telaprevir-resistant variant isolates were deposited in GenBank under accession numbers AB709241, AB709263, AB709264, AB709276, AB709279, AB709283, AB709286, AB709289, AB709295, AB709296, AB709300, AB709303, AB709307, AB709310, AB709311, AB709312, AB709317, AB709319, AB709321, AB709322, AB709345, AB709348, AB709352, AB709353, AB709354, AB709356, AB709357, AB709358, AB709360, AB709370, AB709377, AB709382, AB709383, AB709384, AB709388, AB709390, AB709392, AB709396, AB709398, AB709399, AB709401, AB709405, AB709409, AB709410, AB709414, AB709418, AB709422, AB709426, AB709437, AB709444, AB709445, AB709451, AB709456, AB709461, AB709474, AB709476, AB709481, AB709484, AB709485, AB709486, AB709488, AB709489, AB709490, AB709491, AB709492, AB709493, AB709502, AB709507, AB709508, AB709514, AB709515, AB709525, AB709526, AB709527, and AB826566 to AB826684.

#### RESULTS

Virological response to therapy. An analysis of the entire group showed that 76% (192 of 252 patients) achieved an SVR. According to the treatment regimen, an SVR was achieved by 45% (9 of 20 patients) and 79% (183 of 232 patients) of the T12PR12 and T12PR24 groups, respectively. Taking into consideration the response to prior treatment, an SVR was achieved by 86% (68 of 79 patients), 84% (91 of 109 patients), and 35% (32 of 63 patients) of the treatment-naive patients, patients who showed relapse following prior treatment, and nonresponders to prior treatment, respectively. In the 231 patients of the T12PR24 group, an SVR was achieved by 88% (61 of 69 patients), 85% (89 of 105 patients), and

TABLE 2 Frequencies of the subjects in whom NS3/4A protease inhibitor-resistant variants were detected by direct sequencing at baseline and at the time of reelevation of viral loads<sup>a</sup>

Time of variant	% (n) by aa	% $(n)$ by aa position <sup>b</sup> :								
detection	36	54	80	155	156	168	170			
Baseline	0.4(1)	3 (7)	22 (55)	0.4(1)	0.8 (2)	10 (26)	0 (0)			
Reelevation of viral load	7 (18)	12 (30)	5 (11)	0.4(1)	4 (10)	1.2 (3)	0.4(1)			

<sup>&</sup>quot;NS3/4A protease inhibitor-resistant variants included V36A/C/M/L/G, T54A/S, Q80K/R/H/G/L, R155K/T/I/M/G/L/S/Q, A156V/T/S/I/G, D168A/V/E/G/N/T/Y/H/I, and V170A

56% (32 of 57 patients) of the treatment-naive patients, patients who showed relapse following prior treatment, and nonresponders to prior treatment, respectively. Furthermore, an SVR was achieved by 86% (12 of 14 patients) and 47% (20 of 43 patients) of the nonresponders to prior IFN monotherapy and ribavirin combination therapy, respectively.

NS3/4A protease inhibitor-resistant variants detected by direct sequencing at baseline and at the time of reelevation of viral loads. All of the 252 patients were evaluated for resistant variants by direct sequencing at baseline. Sixty patients who did not achieve an SVR were also analyzed for resistant variants by direct sequencing at the time of reelevation of viral load. One hundred ninety-two patients who achieved SVR were said to have no detection of resistant variants as determined by direct sequencing at the reelevation of viral load.

As a whole, the frequency of the subjects in whom telaprevirresistant variants were detected increased from 5% (12 of 252 patients) at baseline to 18% (45 of 252 patients) at the time of reelevation of viral load. On the other hand, the frequency of the subjects in whom TMC435-resistant variants were detected decreased from 31% (78 of 252 patients) at baseline to 6% (14 of 252 patients) at the time of reelevation of viral load. Table 2 shows the frequencies of subjects in whom resistant variants were detected at baseline and at the time of reelevation of viral load per position for aa 36, aa 54, aa 80, aa 155, aa 156, aa 168, and aa 170 in the NS3 region.

Pretreatment factors associated with detection of telaprevirresistant variants by direct sequencing at the time of reelevation of viral load. Univariate analysis of the data of the entire group identified eight pretreatment factors that were significantly associated with the detection of telaprevir-resistant variants by direct sequencing at the time of reelevation of viral load: IL28B rs8099917 genotype (genotype non-TT) (P < 0.001), nonresponse to prior treatment (P < 0.001), PEG-IFN dose of < 1.3 $\mu g/kg$  (P = 0.001), detection of variants at aa 54 at baseline (P = 0.002), Gln70/His70 substitution of aa 70 (P = 0.003), gamma-glutamyl transpeptidase (GGT) level of ≥50 IU/liter (P = 0.006), leukocyte count of  $<5,000/\text{mm}^3$  (P = 0.026), and ribavirin dose of < 8.0 mg/kg (P = 0.026). Multivariate analysis that included the above variables identified five pretreatment factors that were independently associated with the detection of telaprevir-resistant variants at the time of reelevation of viral load: PEG-IFN dose of <1.3  $\mu$ g/kg (odds ratio [OR], 9.71; P <0.001), IL28B rs8099917 genotype (genotype non-TT) (OR, 8.61; P < 0.001), detection of variants at an 54 at baseline (OR, 33.4; P = 0.002), nonresponse to prior treatment (OR, 2.66, P = 0.018), and leukocyte count of <5,000/mm<sup>3</sup> (OR, 2.46; P = 0.042) (Table 3).

Prediction of treatment efficacy by the combination of response to prior treatment and presence of telaprevir-resistant variants by direct sequencing at baseline. The SVR rates based on the combination of response to prior treatment and the presence of telaprevir-resistant variants by direct sequencing at baseline are shown in Fig. 1. In 79 treatment-naive patients, the SVR rates were not different between those patients in whom there were no detected telaprevir-resistant variants (86% [65 of 76 patients]) and those in whom variants were detected (67% [2 of 3 patients]). In 109 patients who showed relapse following prior treatment, the SVR rates were not different between those patients in whom there were no detected variants (83% [86 of 104 patients]) and those in whom variants were detected (100% [5 of 5 patients]). In contrast, in 63 patients who showed nonresponse to prior treatment, a higher proportion of patients with undetected telaprevir-resistant variants (54% [32 of 59 patients]) achieved an SVR than did patients in whom telaprevir-resistant variants were detected (0% [0 of 4 patients]) (P = 0.053). Thus, with the combination of nonresponse to prior treatment and detection of telaprevir-resistant variants, the sensitivity, specificity, PPV, and NPV for those with non-SVR were 7% (4 of 60 patients), 100% (191 of 191 patients), 100% (4 of 4 patients), and 77% (191 of 247 patients), respectively. These results indicated that the use of the combination of the above two factors has high specificity and PPV for the prediction of a non-SVR.

TABLE 3 Multivariate analysis of factors associated with detection of telaprevir-resistant variants by direct sequencing at the reelevation of viral load, to telaprevir, peginterferon, and ribavirin triple therapy in patients infected with HCV genotype 1b

Detection		Odds ratio	
factors	Category	(95% CI <sup>a</sup> )	$P^{b}$
PEG-IFN-α2b	≥1.3	1	
dose (µg/kg)	<1.3	9.71 (3.23–29.4)	< 0.001
<i>IL28B</i> rs8099917	TT genotype	1	
genotype	Non-TT genotype	8.61 (3.48–21.3)	< 0.001
Variants of aa 54	No detection	1	
at baseline	Detection	33.4 (3.77–295)	0.002
Response to	Naive or relapse	1	
treatment	Nonresponse	2.66 (1.18–5.96)	0.018
Leukocyte count	≥5,000	1	
(/mm³)	<5,000	2.46 (1.03-5.85)	0.042

<sup>&</sup>quot; CI, confidence interval.

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b The data represent the percentages (a) of patients in whom NS3/4A protease inhibitor-resistant variants were detected by direct sequencing. Patients who achieved a sustained virological response were said to have no detection of resistant variants by direct sequencing at the time of reclevation of the viral load.

 $<sup>^</sup>b$  Only variables that achieved statistical significance (P < 0.05) on multivariate logistic regression analysis are shown.

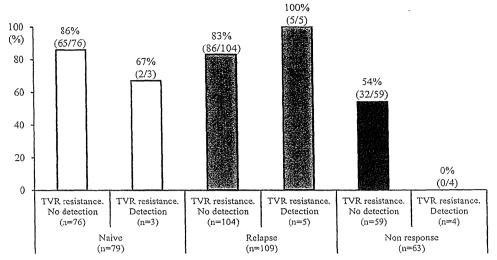


FIG 1 The rates of sustained virological response by the combination of response to prior treatment and presence of telaprevir (TVR)-resistant variants by direct sequencing at baseline are shown. Of those who showed nonresponse to prior treatment, a higher proportion of patients with undetected TVR-resistant variants (54%) achieved a sustained virological response than patients with detected TVR-resistant variants (0%) (P = 0.053).

Table 4 summarizes the profiles of 4 patients with nonresponse to prior treatment and in whom telaprevir-resistant variants were detected by direct sequencing at baseline. All of these 4 patients did not achieve an SVR with triple therapy. Interestingly, both T54S as a telaprevir-resistant variant and Q80L as a TMC435-resistant variant (19) were detected by direct sequencing at baseline.

Evolution of telaprevir-resistant variants over time as investigated by ultradeep sequencing in patients who received the second course of triple therapy. Two of 60 patients who did not achieve an SVR with the first course of triple therapy with telaprevir received the second course of triple therapy with telaprevir. They were analyzed for telaprevir-resistant variants by ultradeep sequencing at baseline and at the time of reelevation of viral loads.

Figure 2A shows the clinical course of case 1. In the first course of triple therapy with telaprevir (T12PR24) in a 57-year-old, V36C (0% of 32,413× coverage) was not detected by ultradeep sequencing at baseline of the first course, but very-high-frequency variants of V36C (97.2% of 36,757× coverage) were detected at the time of reelevation of viral loads. In the second course of triple therapy with telaprevir (T12PR54) when the patient was 59 years old, very-high-frequency variants of V36C (98.1% of 94,547× coverage)

persisted at baseline of the second course, despite the passing of 2 years after cessation of the first therapy course. Case 1 achieved HCV RNA-negative status at 20 weeks after the start of the second course (late virological response), so PEG-IFN and ribavirin therapy was extended to 54 weeks. In conclusion, case 1 achieved an SVR after the second course of triple therapy with telaprevir, despite the persistence of very-high-frequency variants.

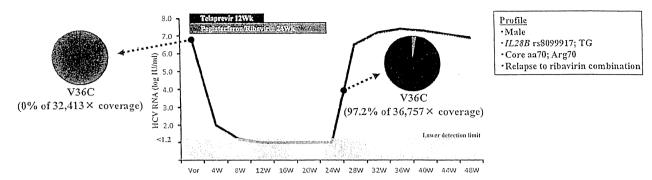
Figure 2B shows the clinical course of case 2. In the first course of triple therapy with telaprevir (T12PR24) in a 61-year-old patient, R155Q (0% of 23,751× coverage) and A156T (0% of 16,040× coverage) were not detected by ultradeep sequencing at baseline of the first course, but very-low-frequency variants of R155Q (0.2% of 11,572× coverage) and A156T (0.2% of 16,040× coverage) were detected at the time of reelevation of viral loads. In the second course of triple therapy with telaprevir (T12PR20) when the patient was 64 years old, R155Q (0% of 80,572× coverage) and A156T (0% of 87,686× coverage) were not detected by ultradeep sequencing at baseline of the second course, which was 2 years after cessation of the first course. In conclusion, case 2 achieved an SVR by the second course of triple therapy with telaprevir, despite the history of the emergence of variants.

TABLE 4 Profiles of 4 patients with nonresponse to prior treatment and detection of telaprevir-resistant variants by direct sequencing at baseline

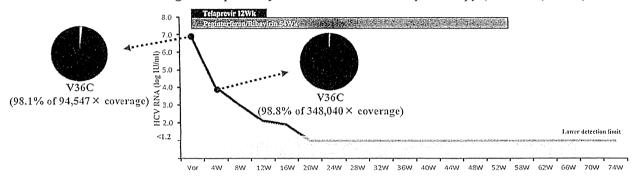
Case Age		Agre	Response to prior	Amino acid detected at aa position:						Time of HCV RNA-negative result during	Efficacy of	
<u> </u>	treatment"	36	54	80	155	156	168	170	treatment (wks)	triple therapy		
1	Male	70	Nonresponse to IFN monotherapy	V	S	L	R	A	D	I	2	Non-SVR
2	Male	47	Nonresponse to IFN monotherapy	V	S	L	R	Α	D	I	4	Non-SVR
3	Male	61	Nonresponse to RBV combination therapy	V	S	L	R	A	D	1	3	Non-SVR
4	Female	60	Nonresponse to RBV combination therapy	V	S	L	R	A	D	I	4	Non-SVR

<sup>&</sup>quot; RBV, ribavirin.

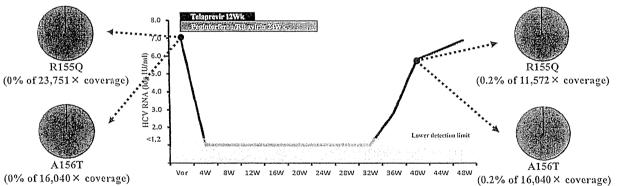
# A Case 1 Relapse by the first course of triple therapy (T12PR24) at 57 years old



Sustained virological response by the second course of triple therapy (T12PR54) at 59 years old



B Case 2 Relapse by the first course of triple therapy (T12PR24) at 61 years old



Sustained virological response by the second course of triple therapy (T12PR20) at 64 years old

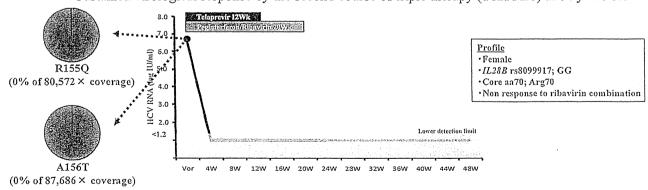


FIG 2 Two patients who did not achieve a sustained virological response with the first course of triple therapy with telaprevir received the second course of the triple therapy with telaprevir. They were analyzed for telaprevir-resistant variants by ultradeep sequencing at baseline and at the time of reelevation of viral loads. (A) Case 1 achieved a sustained virological response with the second course of therapy despite the persistence of very-high-frequency variants. (B) Case 2 achieved a sustained virological response with the second course of therapy despite the history of the emergence of variants.

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#### DISCUSSION

Patients who fail to achieve an SVR to triple therapy need to be identified to avoid unnecessary side effects, high costs, and the emergence of telaprevir-resistant variants. Host genetic factors (e.g., IL28B genotype), and viral factors (e.g., amino acid substitutions in the core/NS5A region) have often been used as pretreatment predictors of poor virological response to PEG-IFN-ribavirin dual therapy (9-11, 15, 17) and telaprevir-PEG-IFN-ribavirin triple therapy (24-26). However, the pretreatment factors associated with the detection of telaprevir-resistant variants at the time of reelevation of viral load are still unknown. The present study identified that the detection of telaprevir-resistant variants at the time of reelevation of viral load can be predicted by a combination of host (IL28B rs8099917 genotype and leukocyte count), viral (variants of aa 54 at baseline), and treatment factors (PEG-IFN dose). All of the 4 patients with nonresponse to prior treatment and in whom telaprevir-resistant variants were detected at baseline did not achieve an SVR with triple therapy, and the use of the combination of nonresponse to prior treatment and the detection of telaprevir-resistant variants at baseline had high specificity and PPV for the prediction of a non-SVR. This finding suggests that there is a complex relationship between host susceptibility to IFN and viral sensitivity to NS3/4A protease inhibitors in determining treatment efficacy. Interestingly, in all of the 4 patients, both T54S as a telaprevir-resistant variant and Q80L as a TMC435-resistant variant (19) were detected by direct sequencing at baseline. This result suggests that patients with the above two factors should be carefully introduced to NS3/4A protease inhibitors besides telaprevir because of the high risk of the emergence of resistant variants. However, the present study was performed with a small number of patients, so further studies based on a larger number of patients should be performed.

In the present study employing ultradeep sequencing technology, 2 patients who did not achieve an SVR with the first course of triple therapy with telaprevir received the second course of the triple therapy with telaprevir. They achieved an SVR with the second course, despite the persistence of very-high-frequency variants (case 1, 98.1% for V36C) or a history of the emergence of variants (case 2, 0.2% for R155Q and 0.2% for A156T) as determined by ultradeep sequencing. This finding may be due to one or more reasons. One reason is probably related to the high susceptibility of telaprevir-resistant variants to IFN. One previous study indicated that mice infected with the resistant strain (A156F [99.9%]) developed only low-level viremia, and the virus was successfully eliminated with IFN therapy (27). In the other clinical report, telaprevir-resistant variants that emerged during 24-week telaprevir monotherapy were eliminated by the combination therapy of PEG-IFN plus ribavirin (28). Furthermore, this finding probably suggests that a small number of mutant-type viral RNAs may be incomplete or defective, since a large proportion of viral genomes are thought to be defective due to their high replication and mutation rates (29). Further studies employing ultradeep sequencing should be performed to evaluate whether a history of the emergence of NS3/4A protease inhibitor-resistant variants, besides telaprevir-resistant variants, affects the efficacy of a second course of NS3/4A protease inhibitor-based treatment.

The results of the present study should be interpreted with caution, since the study was performed with a small number of Japanese patients infected with HCV-1b. Any generalization of the

results should await confirmation by a multicenter randomized trial based on a larger number of patients, including patients of other races and those infected with HCV-1a. Furthermore, the other limitation of the present study is that the loss of telaprevirresistant variants was not investigated long after the cessation of therapy. Further large-scale studies should be performed to investigate the impacts of telaprevir-resistant variants on the response to treatment using new drugs, including direct-acting antiviral agents.

In conclusion, this study based on Japanese patients infected with HCV-1b indicates that telaprevir-resistant variants at the time of reelevation of viral load can be predicted by a combination of host, viral, and treatment factors. In those patients with no response to prior treatment, the present results suggest that telaprevir-resistant variants at baseline might partly affect the efficacy of triple therapy treatment. This finding indicates the clinical utility of detecting telaprevir-resistant variants to predict treatment efficacy, and it suggests a complex relationship between host susceptibility to IFN and viral sensitivity to NS3/4A protease inhibitors in determining treatment efficacy. Further large-scale prospective studies are needed to investigate the clinical usefulness of telaprevir-resistant variants and to develop more effective therapeutic regimens in patients infected with HCV-1.

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# Correlation Between Hepatitis B Virus Surface Antigen Level and Alpha-Fetoprotein in Patients Free of Hepatocellular Carcinoma or Severe Hepatitis

Norio Akuta,<sup>1</sup>\* Fumitaka Suzuki,<sup>1</sup> Mariko Kobayashi,<sup>2</sup> Tasuku Hara,<sup>1</sup> Hitomi Sezaki,<sup>1</sup> Yoshiyuki Suzuki,<sup>1</sup> Tetsuya Hosaka,<sup>1</sup> Masahiro Kobayashi,<sup>1</sup> Satoshi Saitoh,<sup>1</sup> Kenji Ikeda,<sup>1</sup> and Hiromitsu Kumada<sup>1</sup>

Alfa-fetoprotein (AFP) is used as a marker of early hepatocarcinogenesis. However, the impact of hepatitis B virus surface antigen (HBsAg) on this relationship in patients with HBV infection is not clear. The present study evaluated the relation between HBsAg and AFP levels at the initial visit in 1,610 untreated HBV patients, free of hepatocellular carcinoma (HCC) or severe hepatitis. The cumulative rate of HCC was significantly lower in patients with a low AFP level (<10 µg/L; below the upper limit of normal) than in those with a high AFP level  $(\ge 11 \,\mu\text{g/L})$  at the initial visit. In patients with HBsAg levels more than 500 lU/ml, HBsAg levels correlated significantly and negatively with AFP levels, and significantly with platelet count. Multivariate analysis of data of patients with HBsAg more than 500 IU/ml identified HBsAg (<7,000 IU/ml), albumin (<3.9 g/dl), platelet count ( $<20.0 \times 10^4$ /mm<sup>3</sup>), gamma-glutamyl transpeptidase (≥50 IU/L), aspartate aminotransferase (≥34 U/L), HBeAg (positive), and HBV core-related antigen (≥3.0 log U/ml) as determinants of a high AFP. Especially, in patients with HBsAg more than 500 IU/ml and low transaminase levels (below the upper limit of normal), HBsAg was identified as significant determinant of a high AFP. On the other hand, in patients with HBsAg less than 500 IU/ml, multivariate analysis identified albumin, gamma-glutamyl transpeptidase, and HBV core-related antigen as determinants of a high AFP. The results indicated that HBsAg level seems to affect, at least in part, the AFP levels, and that it can be used as a surrogate marker of early hepatocarcinogenesis. J. Med. Virol. 86:131-138, 2014. © 2013 Wiley Periodicals, Inc.

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**KEY WORDS:** HBV; AFP; HBsAg; HBcrAg; genotype; hepatocellular carcinoma

# INTRODUCTION

Hepatitis B virus (HBV) is a small, enveloped DNA virus known to cause chronic hepatitis and often leads to liver cirrhosis and hepatocellular carcinoma (HCC) [Viola et al., 1981; Kobayashi et al., 2002; Yao, 2003]. Evidence suggests that the use of elevated alpha-fetoprotein (AFP) for the prediction of early hepatocarcinogenesis in non-HCC patients could be clinically useful. AFP is a fetal glycoprotein produced by the yolk sac and fetal liver [Bergstrand and Czar, 1956] and has been widely used as a serum marker for the diagnosis of HCC [Sato et al., 1993; Johnson, 2001]. Furthermore, high serum AFP levels are also associated with various chronic liver diseases and hepatic regeneration [Kew et al., 1973; Silver et al., 1974; Elftherious et al., 1977; Alpert and Feller, 1978]. Many patients with chronic hepatitis B who are free of HCC have high AFP levels [Chen and Sung, 1979; Di Bisceglie and Hoofnagle, 1989], and some patients with cirrhosis and concomitant high

<sup>&</sup>lt;sup>1</sup>Department of Hepatology and Okinaka Memorial Institute for Medical Research, Toranomon Hospital, Tokyo, Janan

Japan <sup>2</sup>Liver Research Laboratory, Toranomon Hospital, Tokyo, Japan

Grant sponsor: Ministry of Health, Labor and Welfare, Japan Correspondence to: Norio Akuta, M.D., Department of Hepatology, Toranomon Hospital, 2-2-2 Toranomon, Minato-ku, Tokyo 105-0001, Japan. E-mail: akuta-gi@umin.ac.jp

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inflammatory activity have very high AFP levels [Yao, 2003; Cheema et al., 2004]. On the other hand. some patients with small HCC lesions have only moderately elevated levels of AFP [Shinagawa et al., 1984; Ebara et al., 1986; Bruix and Sherman, 2005]. At present, however, there are no cutoff levels for serum AFP used to predict HCC in patients with HBV infection.

There is growing interest in the use of hepatitis B surface antigen (HBsAg) level as a prognostic marker in chronic hepatitis E patients [Chan et al., 2010]. The HBsAg levels are useful for identifying the stage of disease [Jaroszewicz et al., 2010; Nguyen et al., 2010], to distinguish true inactive carriers from patients with HBe antigen-negative disease [Brunetto et al., 2010; Martinot-Peignoux et al., 2010; Chan et al., 2011; Liaw, 2011], and to predict the response to interferon therapy [Brunetto et al., 2009; Moucari et al., 2009]. Recent studies has also demonstrated that the HBsAg levels are associated with the risk of progression to HCC, especially in patients with low HBV DNA levels [Chan, 2012; Tseng et al., 2012], and that there is a potential correlation between the HBsAg levels and the stage of liver fibrosis [Seto et al., 2012; Martinot-Peignoux et al., 2013]. However, the impact of viral factors, such as the HBsAg level, on serum AFP level as a predictor of early HCC is not clear at present.

The present study included 1,610 untreated patients with HBV infection, free of HCC or severe hepatitis. The present study was designed to provide answers to the following questions: (1) what is the relation between a high serum AFP level at the initial outpatient visit and subsequent development of hepatocarcinogenesis in antiviral-therapy-naive patients with hepatitis B viral infection? (2) What is the impact of viral factors, such as the HBsAg level, on serum AFP level in such patients, and (3) What is a good surrogate marker for a high serum AFP at the initial visit.

#### PATIENTS AND METHODS

#### **Patients**

Among 6,466 consecutive patients who were diagnosed with HBV infection between March 1972 and December 2012 at Toranomon Hospital, 1,610 were selected in the present study based on the following criteria: (1) They were positive for HBsAg (radioimmunoassay, Dainabot, Tokyo, Japan) and negative for anti-HCV (third-generation enzyme immunoassay, Chiron, CA). (2) They were free of HCC at the initial visit. (3) HBV hepatitis was assessed as less than severe at the initial visit, in order to minimize the potential effects of high inflammatory activity. Severe hepatitis was defined as serum transaminase level of ≥300 IU/L, and/or total bilirubin level of ≥3.0 mg/dl. (4) They had not received antiviral therapy in the past (e.g., interferon and/or nucleot(s)ide analogs) at the initial visit. (5) They underwent examination of the AFP level (upper limit of normal, 10 µg/L) at the initial visit. Furthermore, the HBsAg level, HBV core-related antigen (HBcrAg) level, and HBV DNA were also assayed using stored frozen sera obtained at the initial visit. (6) They were free of coinfection with human immunodeficiency virus. (7) They were free of other types of chronic liver disease, including hemochromatosis, Wilson disease, primary biliary cirrhosis, alcoholic liver disease, autoimmune liver disease, inherited liver disease including alpha-1 antitrypsin deficiency, and hepatic venous outflow block. (8) They consented to the study.

Table I summarizes the profile and laboratory data at the initial visit of the 1,610 patients included in the present study. They included 1,047 males and 563 females, with a median age of 40 years (range: 18-83 years). The median AFP level was 4 μg/L (range, 1-1,770  $\mu g/L)$  and the median follow-up time (from the initial visit until the last visit) was 6.0 years (range, 0.0-34.6 years). The study protocol was approved by the Human Ethics Review Committee of Toranomon Hospital.

# **Laboratory Tests**

HBsAg, HBcrAg, and HBV DNA levels were assayed using stored frozen sera obtained at the initial visit. Blood samples were frozen at -80°C within 4 hr of collection and were not thawed until used for testing. Serum HBsAg level was measured using Architect HBsAg QT assay kit (Abbott Laboratories, Tokyo, Japan), which has a lower limit of detection of

TABLE I. Profiles and Laboratory Data at the Initial Visit of 1,610 Patients Infected With HBV

	1011 1110 1
Demographic data	
Number of patients	1,610
Sex (male/female)	1,047/563
Age (years)*	40 (18-83)
Family history of liver disease <sup>a</sup>	836 (51.9%)
Lifetime cumulative alcohol	112 (7.0%)
intake (≥500 kg)	(,,
Laboratory data	
Total bilirubin (mg/dl)	0.6(0.1-2.9)
Aspartate aminotransferase (IU/L)	37 (5–220)
Alanine aminotransferase (IU/L)	48 (5–297)
Albumin (g/dl)	4.2 (1.0-5.6)
Gamma-glutamyl transpeptidase	37 (2–2,370)
(IU/L)	31 (2 2,010)
Hemoglobin (g/dl)	14.5 (6.9-18.2)
Platelet count (×104/mm³)	19.1 (2.7–44.7)
Alpha-fetoprotein (μg/L)	4 (1-1,770)
Virological data	± (1-1,770)
HBeAg (No. of positive)	690 (42.9%)
HBsAg (IU/ml)*	2,845
	(0.09  to  > 125,000)
HBcrAg (log U/ml)*	4.9
	(<3.0 to >6.8)
HBV DNA (log copies/ml)*	5.7
and a rest (reg copies, mil)	(<2.1  to  > 9.1)
HBV genotype (A/B/C/others/ND)	65/218/1,119/6/202
	. ,

Data are number and percentages of patients, except those denoted by ', which represent the median (range) values.

Family history of positivity for hepatitis B surface antigen includ-

ing third-degree relatives.

HBsAg and AFP

0.05 IU/ml and upper limit of detection of 250 IU/ml. To expand the upper range from 250 to 125,000 IU/ml, serum samples with the HBsAg levels above the upper range were diluted in a stepwise fashion to 1:20 and 1:500 with Architect diluents using the information supplied by the manufacturer. HBeAg was determined by enzyme-linked immunosorbent assay kit (HBeAg EIA; Institute of Immunology, Tokyo, Japan). Serum HBcrAg level was measured using a Cleia HBcrAg assay kit (Fujirebio, Tokyo, Japan) using a fully automated analyzer system (Lumipulse System; Fujirebio). The cut-off value of HBcrAg was 3.0 log U/ml. HBV DNA was quantified using the Cobas TaqMan HBV v.2.0 (Roche Diagnostics, Tokyo, Japan), which has a dynamic range of 2.1–9.0 log copies/ml.

A commercial kit (HBV Genotype EIA; Institute of Immunology) was used to determine serologically the HBV genotypes using the combination of epitopes expressed on the pre-S2 region product, which is specific to each of the major genotypes.

# Follow-Up and Diagnosis of Future Hepatocellular Carcinoma

After the initial visit, patients were followed-up once or three times a month. Imaging studies (ultrasonography, computed tomography, or magnetic resonance imaging) were conducted once or more per year.

#### Statistical Analysis

Non-parametric tests (Mann-Whitney U-test, chisquared test and Fisher's exact probability test) were used to compare differences between two groups. Correlation analysis was evaluated by the Spearman rank correlation test. The cumulative rate of hepatocarcinogenesis was calculated using the Kaplan-Meier technique; differences between cumulative carcinogenesis curves between groups were tested using the log-rank test. Statistical analyses of the rate of hepatocarcinogenesis according to groups were calculated using the period from the initial visit. Univariate and multivariate logistic regression analyses were used to determine the independent surrogate markers of elevated AFP at the initial visit. The odds ratios (OR) and 95% confidence intervals (95% CI) were also calculated. A two-tailed P-value less than 0.05 was considered significant. Variables that achieved statistical significance (P < 0.05) on univariate analysis were entered into multiple logistic regression analysis to identify significant independent factors for elevated AFP. Potential surrogate markers of elevated AFP at the initial visit included the following pretreatment variables: age, sex, family history of liver disease, lifetime cumulative alcohol intake, total bilirubin, aspartate aminotransferase (AST), alanine aminotransferase (ALT), albumin, gamma-glutamyl transpeptidase (GGT), hemoglobin, platelet count, HBV genotype, HBeAg, HBsAg levels,

HBcrAg levels, and HBV DNA levels. Statistical analyses were performed using the Statistical Package for Social Sciences software (SPSS, Inc., Chicago, IL).

#### RESULTS

# Cumulative Rate of Hepatocarcinogenesis According to the AFP Level at the Initial Visit

A total of 1,061 patients naïve to antiviral therapy from the initial visit until the last visit were evaluated for the rate of development of HCC based on the AFP levels at the initial visit. During the follow-up period, HCC was diagnosed in 31 of 905 patients (3.4%) with a low AFP level (≤10 µg/L; below the upper limit of normal) and 37 of 156 patients (23.7%) with a high AFP level (>11 μg/L) at the initial visit. The cumulative hepatocarcinogenesis rates for patients with low and high AFP levels at the initial visit were 4.7% and 30.2% at the end of 10-year follow-up; 9.1% and 36.5% at the end of 20-year follow-up; and 13.2% and 42.9% at the end of 30-year follow-up, respectively. These results indicate that the rate of hepatocarcinogenesis is significantly higher in patients with HBV infection and high AFP levels than their counterparts with low AFP levels (P < 0.001; Log-rank test) (Fig. 1).

# HBsAg and AFP Levels at the Initial Visit

Blood samples from all patients were analyzed to determine the relationship between the HBsAg and the AFP levels at the initial visit. The proportions of patients with high AFP levels among those with the HBsAg levels below 500 IU/ml, from 500 to 1,999 IU/ml, from 2,000 to 6,999 IU/ml, from 7,000 to 24,999 IU/ml, and above 25,000 IU/ml were 12.6% (42 of 333 patients), 26.7% (89 of 333), 22.6% (94 of 416), 10.4% (29 of 278), and 6.4% (16 of 250), respectively (Fig. 2A). The relationship between the HBsAg and

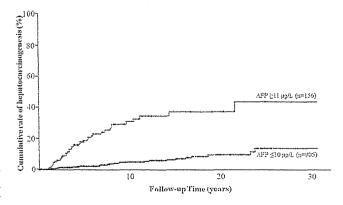
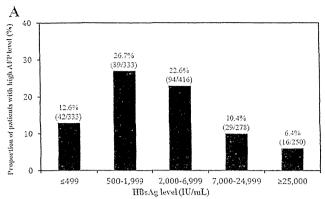


Fig. 1. Cumulative rate of hepatocarcinogenesis according to the AFP level at the initial visit in patients naïve to antiviral therapy from the initial visit until the last visit. The rate of hepatocarcinogenesis was significantly higher in patients with high AFP levels ( $\geq 11\,\mu g/L$ ) than in those with low levels ( $\leq 10\,\mu g/L$ ) at the initial visit (P < 0.001; Log-rank test).

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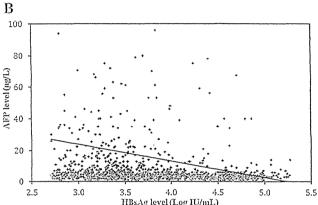


Fig. 2. A: Proportions of patients with the high AFP levels ( $\geq 11\,\mu g/L$ ) at the initial visit, stratified according to the HBsAg levels. Patients with the HBsAg levels above 500 IU/ml included a significantly lower proportions of patients with the high AFP levels and the HBsAg levels above 7,000 IU/ml (8.5%) than those with the HBsAg levels below 7,000 IU/ml (24.4%) (P < 0.001). B: Analysis of data of patients with the HBsAg levels above 500 IU/ml at the initial visit, showed a significant negative correlation between logarithmically transformed HBsAg and AFP levels (r = -0.225, P < 0.001).

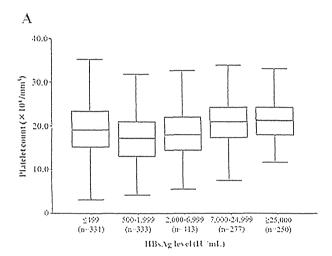
the AFP levels at the initial visit suggested the presence of two distinct populations within the study group. Especially, in 1,277 patients with the HBsAg levels above 500 IU/ml, a significantly smaller proportion of patients with high AFP levels were noted among those with HBsAg of more than 7,000 IU/ml (8.5%) than those with the HBsAg levels less than 7,000 IU/ml (24.4%) (P < 0.001). Furthermore, the HBsAg levels correlated negatively but significantly with the AFP levels (r = -0.225, P < 0.001) (Fig. 2B).

# The HBsAg Levels and the Platelet Count at the Initial Visit

Blood samples from all patients were analyzed to determine the relationship between the HBsAg levels and the platelet count at the initial visit. The median platelet counts among patients with the HBsAg levels below 500 IU/ml, from 500 to 1,999 IU/ml, from 2,000 to 6,999 IU/ml, from 7,000 to 24,999 IU/ml, and above

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 $25,000\,\mathrm{IU/ml}$  were  $19.1\times10^4/\mathrm{mm}^3,\ 17.2\times10^4/\mathrm{mm}^3,\ 18.0\times10^4/\mathrm{mm}^3,\ 20.9\times10^4/\mathrm{mm}^3,\ \mathrm{and}\ 21.2\times10^4/\mathrm{mm}^3,\ \mathrm{respectively}$  (Fig. 3A). The relationship between the HBsAg levels and the platelet count at the initial visit also suggested the presence of two distinct populations within the study group. Especially, in 1,277 patients with the HBsAg levels of more than 500 IU/ml, significantly higher platelet counts were noted among those with the HBsAg levels of more than 7,000 IU/ml (the median platelet count;  $21.0\times10^4/\mathrm{mm}^3$ ) than those with the HBsAg levels less than 7,000 IU/ml (the median platelet count;  $17.6\times10^4/\mathrm{mm}^3$ ) (P<0.001). Furthermore, the HBsAg



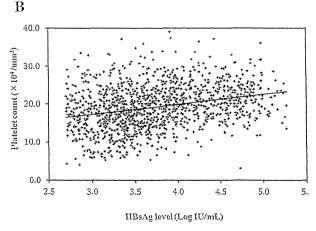


Fig. 3. A: The platelet count at the initial visit, stratified according to the HBsAg levels. Bars within the boxes indicate the median platelet count. The boxes denote the 25th to 75th percentiles, the lower and upper bars the 10th and 90th percentiles, respectively. Among patients with the HBsAg levels above 500 IU/ml at the initial visit, those with the HBsAg levels above 7,000 IU/ml had significantly higher platelet count (the median platelet count;  $21.0\times10^4/\mathrm{mm}^3$ ) compared to those with the HBsAg levels below 7,000 IU/ml (the median platelet count;  $17.6\times10^4/\mathrm{mm}^3$ ) (P<0.001). B: Among patients with the HBsAg levels above 500 IU/ml at the initial visit, logarithmically transformed the HBsAg levels correlated significantly with the platelet count  $(\mathbf{r}=0.293,\,P<0.001)$ .

levels correlated significantly and positively with the platelet count (r = 0.293, P < 0.001) (Fig. 3B).

# Clinical Profiles and Laboratory Data According to the HBsAg Level at the Initial Visit

Table II summarizes the clinical profiles and laboratory data according to the HBsAg level at the initial visit of 1,610 patients infected with HBV. Patients with the HBsAg levels below 500 IU/ml were significantly older and exhibited lower inflammatory activity (lower levels of AST and ALT), and had lower viral levels (they were HBeAg negative and had lower levels of HBcrAg/HBV DNA), compared to those with the HBsAg levels above 500 IU/ml (P < 0.001).

## Factors Associated With High AFP Levels at the Initial Visit, Stratified According to the HBsAg Levels

Blood samples from all 1,610 patients were analyzed to determine the factors that affect the AFP level at the initial visit. Among 1,277 patients with the HBsAg levels more than 500 IU/ml at the initial visit, high AFP levels were detected in 228 (17.9%) patients. Univariate analysis identified 12 parameters that correlated significantly with a high AFP level at the initial visit. These included age ( $\geq$ 30 years; P<0.001), AST ( $\geq$ 34 IU/L; P<0.001), ALT ( $\geq$ 43 IU/L; P<0.001), albumin (<3.9 g/dl; P<0.001), GGT ( $\geq$ 50 IU/L; P<0.001), total bilirubin ( $\geq$ 1.0 mg/dl; P<0.001), platelet count (<20.0  $\times$  10<sup>4</sup>/mm³; P<0.001), HBV genotype (C; P<0.001), HBsAg levels (<7,000 IU/ml; P<0.001), HBeAg (positive; P<0.001), HBV DNA ( $\geq$ 5.0 log copies/ml; P<0.001),

and HBcrAg ( $\geq$ 3.0 log U/ml; P<0.001). Multivariate analysis that included the above variables identified seven factors that influenced independently the elevated AFP level at the initial visit. These included HBsAg level (<7,000 IU/ml; OR 3.69, P<0.001), albumin (<3.9 g/dl; OR 3.09, P<0.001), platelet count ( $<20.0\times10^4$ /mm³; OR 2.50, P=0.001), GGT ( $\geq$ 50 IU/L; OR 2.28, P=0.001), AST ( $\geq$ 34 IU/L; OR 2.77, P=0.003), HBeAg (positive; OR 2.07, P=0.005), and HBcrAg ( $\geq$ 3.0 log U/ml; OR 5.10, P=0.031) (Table III).

Among 333 patients with the HBsAg levels less than 500 IU/ml, a high AFP at the initial visit was detected in 42 (12.6%) patients. Univariate analysis identified nine parameters that correlated significantly with a high AFP level at the initial visit. These included AST ( $\geq$ 34 IU/L; P < 0.001), ALT ( $\geq$ 43 IU/L; P = 0.001), albumin (<3.9 g/dl; P < 0.001), GGT ( $\geq 50 \, \text{IU/L}$ ; P < 0.001), platelet count (<20.0 × 10<sup>4</sup>/ mm<sup>3</sup>; P = 0.001), HBV genotype (C; P < 0.001), HBeAg (positive; P < 0.001), HBV DNA (>5.0 log copies/ml; P = 0.001), and HBcrAg ( $\geq 3.0 \log U/ml$ ; P < 0.001). Multivariate analysis that included the above variables identified three factors that influenced independently the elevated AFP level at the initial visit. These included albumin (<3.9 g/dl; OR P < 0.001), GGT ( $\geq 50 \text{ IU/L}$ ; OR 6.95, P = 0.002), and OR 5.62, P = 0.010) HBcrAg  $(\geq 3.0 \log U/ml;$ (Table III).

# Factors Associated With High AFP Levels at the Initial Visit According to the HBsAg Levels in Patients With Low Transaminase Levels

To minimize the effect of inflammatory activity, we examined the data of 618 (among 1,610 patients) who

TABLE II. Profiles and Laboratory Data of Patients Infected With HBV According to the HBsAg Level at the Initial Visit

	HBsAg <500 IU/L	HBsAg ≥500 IU/L	$\overline{P}$
Demographic data			
Number of patients	333	1,277	
Sex (male/female)	227/106	820/457	NS
Age (years)	49 (18–75)	38 (18-83)	< 0.001
Family history of liver disease <sup>a</sup>	130 (39.0%)	706 (55.3%)	< 0.001
Lifetime cumulative alcohol intake (≥500 kg)	32 (9.6%)	80 (6.3%)	0.037
Laboratory data*			
Total bilirubin (mg/dl)	0.7(0.2-2.9)	0.6 (0.1–2.9)	0.033
Aspartate aminotransferase (IU/L)	29 (12–175)	40 (5–220)	< 0.001
Alanine aminotransferase (IU/L)	32 (7–289)	56 (5-297)	< 0.001
Albumin (g/dl)	4.2 (1.1-5.6)	4.2 (1.0-5.5)	NS
Gamma-glutamyl transpeptidase (IU/L)	36 (2-2,370)	38 (4–1,638)	NS
Hemoglobin (g/dl)	14.4 (8.4–17.4)	14.6 (6.9–18.2)	NS
Platelet count ( $\times 10^4$ /mm <sup>3</sup> )	19.1 (2.7–39.6)	19.2 (3.1-44.7)	NS
Alpha-fetoprotein (µg/L)	4 (1–968)	4 (1–1,770)	0.005
Virological data		ŕ	
HBeAg (No. of positive)	37 (11.1%)	653 (51.1%)	< 0.001
HBsAg (IU/ml)*	123 (0.09-498)	4,680 (503 to >125,000)	< 0.001
HBcrAg (log U/ml)*	<3.0 (<3.0 to >6.8)	5.9 (< 3.0  to  > 6.8)	< 0.001
HBV DNA (log copies/ml)*	3.7 (< 2.1  to  > 9.1)	$6.6 \ (< 2.1 \ \text{to} > 9.1)$	< 0.001
HBV genotype (A/B/C/cthers/ND)	7/104/141/0/81	58/114/978/6/121	< 0.001

NS; not significant.

Data are number/percentages of patients, except those denoted by ', which represent the median (range) values.

<sup>a</sup>Family history of positivity for hepatitis B surface antigen including third-degree relatives.

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TABLE III. Results of Multivariate Logistic Analysis for Factors Associated With the High AFP Levels at the Initial Visit

Factor	Category Risk ratio (95%CI)		P
Patients with the HBsAg levels above 500 IU/ml (	(n = 1.277)		
HBsAg (IU/ml)	1: ≥7,000	1	
	2: < 7,000	3.69 (2.12-6.41)	< 0.001
Albumin (g/dl)	$1: \ge 3.9$	1	
	2: < 3.9	3.09 (1.88-5.05)	< 0.001
Platelet count ( $\times 10^4$ /mm <sup>3</sup> )	$1: \ge 20.0$	1	
	2: < 20.0	2.50 (1.47-4.24)	0.001
Gamma-glutamyl transpeptidase (IU/L)	1: < 50	1	
	$2: \ge 50$	2.28 (1.40-3.72)	0.001
Aspartate aminotransferase (IU/L)	1: < 34	1	
	2: ≥34	$2.77 \ (1.42-5.39)$	0.003
HBeAg	1: Negative	1	
www	2: Positive	2.07 (1.24–3.45)	0.005
HBcrAg (log U/ml)	1: <3.0	1	
T	2: ≥3.0	5.10 (1.16-22.4)	0.031
Patients with the HBsAg levels below 500 IU/ml		_	
Albumin (g/dl)	1: ≥3.9	1	0.00=
Cl. I (	2: <3.9	12.8 (4.02–41.7)	< 0.001
Gamma-glutamyl transpeptidase (IU/L)	1: <50	1 (2.07 (2.06 20.7)	0.000
TIP on A or (loor TI/mal)	2: ≥50	6.95 (2.06–23.5)	0.002
HBcrAg (log U/ml)	1: <3.0	E 69 (1 E1 91 0)	0.010
	$2: \ge 3.0$	5.62 (1.51–21.0)	0.010

Low transaminase levels were defined as transaminase levels below the upper limit of normal.

had low transaminase levels (AST ≤33 IU/L and ALT ≤42 IU/L, i.e., below the upper limits of normal) to further determine those factors that determine the high level of AFP at the initial visit. High AFP was detected in 26 (6.1%) patients among 426 with the HBsAg levels above 500 IU/ml and low transaminase levels. Using the data of these patients, univariate analysis identified three parameters that correlated significantly with a high AFP level at the initial visit. These included albumin (<3.9 g/dl; P=0.004), platelet count ( $<20.0 \times 10^4/\text{mm}^3$ ; P = 0.012), and HBsAg levels (<7,000 IU/ml; P = 0.004). Multivariate analysis that included the above variables identified albumin (<3.9 g/dl; OR 3.92, P=0.001) and HBsAg levels (<7,000 IU/ml; OR 4.33, P=0.004) as independent determinants of a high AFP level at the initial visit (Table IV).

Among 192 patients with the HBsAg levels below 500 IU/ml and low transaminase levels, high AFP

TABLE IV. Results of Multivariate Analysis for Factors Associated With the High AFP Levels at the Initial Visit

Factor	Category	Risk ratio (95%CI)	P
Patients with HBs/ levels (n = 426)	Ag >500 IU/r	nl and low transamin	ase
Albumin (g/dl)	$1: \ge 3.9$	1	
	2: < 3.9	3.92 (1.71-9.01)	0.001
HBsAg (IU/ml)	$1: \geq 7,000$	1	
	2: < 7,000	4.33 (1.58-11.9)	0.004
Patients with HBs.	Ag <500 IU/r	nl and low transamin	ase
levels $(n = 192)$			
Albumin (g/dl)	1: ≥3.9	1	
•	2: < 3.9	7.19 (1.87-27.8)	0.004

Low transaminase levels were defined as transaminase levels below the upper limit of normal.

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levels were detected at the initial visit in 12 (6.3%). Univariate analysis identified three parameters that influenced significantly the elevated AFP level at the initial visit. These included albumin (<3.9 g/dl; P=0.010), GGT ( $\geq 50 \, \text{IU/L}$ ; P=0.011), and platelet count (<20.0 × 10<sup>4</sup>/mm³; P=0.020). Multivariate analysis that included these variables identified albumin (<3.9 g/dl; OR 7.19, P=0.004) as the only independent determinant of a high AFP level at the initial visit (Table IV).

# DISCUSSION

There is little information on the cutoff value of AFP that can be used to predict the future probability of HCC in patients with HBV infection. The present study followed-up patients naïve to antiviral therapy from the initial visit and showed that the rate of hepatocarcinogenesis was significantly higher in those with high AFP levels at the baseline than those with low levels. To our knowledge, the present study is the first to report the hepatocarcinogenesis rate stratified according to the AFP level in patients infected with HBV but free of HCC at the initial visit, based on a large-scale long-term follow-up cohort. The results indicated that patients with high AFP levels at the initial visit are at high risk of HCC, and emphasize the need to determine the factors that could affect the AFP level as surrogate markers of early hepatocarcinogenesis. Previous studies in patients with HCV infection indicated that suppression of the AFP level by treatment with interferon reduced the HCC risk even in those without complete eradication of HCV [Arase et al., 2007; Asahina et al., 2013]. However, there is little HBsAg and AFP

evidence that suppression of the AFP level by antiviral therapy reduces the HCC risk in patients with HBV infection. Further prospective studies are needed to investigate this issue in detail.

In the present study, the relationship between the HBsAg levels and the AFP levels detected at the initial visit suggested the presence of two distinct groups within the study patients. Interestingly, in patients with the HBsAg levels above 500 IU/ml, a significant negative correlation was observed between the HBsAg and the AFP levels, and a significant positive correlation was observed between the HBsAg and the platelet count. Previous studies indicated that high serum AFP levels correlated with liver fibrosis Stage 3 and 4 [Bayati et al., 1998; Chu et al., 2001; Hu et al., 2002, 2004], and that lower thrombocytopenia was closely associated with advanced liver disease [Ikeda et al., 2009; Akuta et al., 2012]. Considered together, these results emphasize the importance of hyper-α-fetoproteinemia and thrombocytopenia in the prediction of severe liver fibrosis, respectively. Based on the present results and the recent reports suggesting the potential correlation between the HBsAg level and the stage of liver fibrosis [Seto et al., 2012; Martinot-Peignoux et al., 2013], it is possible that HBsAg levels could correlate with the stage of fibrosis in patients with the HBsAg levels above 500 IU/ml. Further studies are needed to determine the value of hyper-α-fetoproteinemia in patients with low and high HBsAgemia.

In addition to the HBsAg level, multivariate analysis also identified HBcrAg as another viral factor that influenced independently the AFP level at the baseline. HBcrAg comprises HBcAg, HBeAg and a 22-kDa precore protein coded with the precore/core gene [Kimura et al., 2002, 2005]. Previous studies reported a significant correlation between serum HBcrAg concentrations and intrahepatic levels of covalently closed circular DNA (cccDNA) [Wong et al., 2007; Suzuki et al., 2009]. Other studies indicated that HBcrAg is a useful predictor of HCC during antiviral therapy [Kumada et al., 2013], and post-treatment recurrence of HCC during antiviral therapy [Hosaka et al., 2010]. The present study, based on patients naïve to antiviral therapy showed that high serum HBcrAg concentrations also correlated with high AFP at the initial visit. This is the first report demonstrating the potential usefulness of HBcrAg as a surrogate marker for early hepatocarcinogenesis.

The impact of the HBsAg level on hepatocarcinogenesis is not clear at this stage. In this study, the effect of the HBsAg levels at the initial visit on HCC was assessed in 1,061 consecutive antiviral therapynaive patients infected with HBV. Analysis of data of 794 patients with the HBsAg levels above  $500\,\mathrm{IU/ml}$  at the initial visit (after exclusion of patients on antiviral therapy) showed a significantly lower cumulative HCC rate in patients with the HBsAg levels above  $7,000\,\mathrm{IU/ml}$  than those with levels below  $7,000\,\mathrm{IU/ml}$  (P < 0.001, Log-rank test, Fig. 4). This

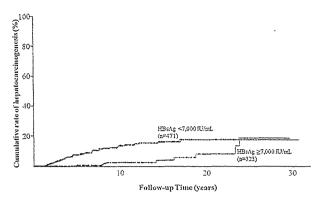


Fig. 4. Cumulative rate of hepatocarcinogenesis stratified according to the HBsAg levels at the initial visit in patients naïve to antiviral therapy from the initial visit until last visit. In a preliminary study based on 794 patients with the HBsAg levels above 500 IU/ml at the initial visit, the cumulative hepatocarcinogenesis rate for patients with the HBsAg levels more than 7,000 IU/ml was significantly lower than for those with levels below 7,000 IU/ml (P < 0.001; Log-rank test).

result suggests that HBsAg levels at the baseline do not only influence AFP, but also play a role in hepatocarcinogenesis. Further studies need to be performed to determine the pathomechanisms of HBsAg in hepatocarcinogenesis.

The present study has certain limitations. First, the study did not examine the effects of other genotypes, apart from HBV genotype B or C. Second, the study population was limited to Japanese and did not include other races, and thus generalization of the results to other races cannot be made based on the results. Third, the study did not investigate the effects of antiviral therapy (interferon and/or nucleot(s)ide analogs) on the outcome since such therapy suppressed the AFP levels and thus reduce the risk of HCC in patients with HBV infection.

In conclusion, the present studies demonstrated that the HBsAg level seem to influence the AFP levels and can be used as a surrogate marker for early hepatocarcinogenesis in patients with hepatitis B viral infection.

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# Impact of Virus Clearance for the Development of Hemorrhagic Stroke in Chronic Hepatitis C

Yasuji Arase,<sup>1,2,3</sup>\* Mariko Kobayashi,<sup>1</sup> Yusuke Kawamura,<sup>1</sup> Fumitaka Suzuki,<sup>1</sup> Yoshiyuki Suzuki,<sup>1</sup> Norio Akuta,<sup>1</sup> Masahiro Kobayashi,<sup>1</sup> Hitomi Sezaki,<sup>1</sup> Satoshi Saito,<sup>1</sup> Tetsuya Hosaka,<sup>1</sup> Kenji Ikeda,<sup>1</sup> Hiromitsu Kumada,<sup>1</sup> and Tetsuro Kobayashi<sup>3</sup>

Japan <sup>2</sup>Department of Health Management Center, Toranomon Hospital, Tokyo, Japan

The aim of this retrospective cohort study was to assess the cumulative incidence and predictive factors for intracerebral hemorrhagic stroke after the termination of interferon (IFN) therapy in Japanese patients with hepatitis C virus (HCV). A total of 4,649 HCV-positive patients treated with IFN were enrolled. The primary goal is the first onset of intracerebral hemorrhagic stroke. The mean observation period was 8.0 years. Evaluation was performed using the Kaplan-Meier method and the Cox proportional hazard model. A P-value of less than 0.05 was considered statistically significant. A total of 28 developed intracerebral hemorrhagic stroke. The cumulative incidence of intracerebral hemorrhagic stroke was 0.3% at 5 years, 0.8% at 10 years, and 1.7% at 15 years. Intracerebral hemorrhagic stroke occurred when patients had age increments of 10 years (hazard ratio: 2.77; 95% confidence interval (CI) 1.48-5.18; P=0.001), hypertension (hazard ratio: 2.30; 95% CI 1.09-4.83; P = 0.021), liver cirrhosis (hazard ratio: 4.50; 95% CI 2.07-9.78; P < 0.001), and HCV non-clearance (hazard ratio: 3.22; 95% Cl 1.22-8.53; P=0.018). On the intracerebral hemorrhagic stroke based on the difference of liver fibrosis and efficacy of IFN therapy, HCV clearance reduced to 24.3% (1/ 4.11) compared to HCV non-clearance in cirrhotic patients (P = 0.040). In conclusion, HCV clearance reduced the development of intracerebral hemorrhagic stroke. In particular, HCV clearance reduced intracerebral hemorrhagic stroke to about one-fourth in cirrhotic patients.

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**KEY WORDS:** hepatitis C virus; interferon therapy; hemorrhagic stroke

#### INTRODUCTION

There are 170 million people affected with chronic hepatitis C virus (HCV) infection worldwide, which may cause an insidiously progressive form of liver disease that relentlessly but silently progresses to cirrhosis in 20–50% of cases over a period of 10–30 years [Kiyosawa and Furuta, 1991; Alter et al., 1992]. In addition, HCV is a major risk for hepatocellular carcinoma (HCC) [Hasan et al., 1990; Kew et al., 1990; Ikeda et al., 1993; Tsukuma et al., 1993; Arase et al., 2012]. In addition, several authors have reported that HCV clearance decreases the rate of fibrosis progression and the development of HCC in patients with chronic HCV infection [Kasahara et al., 1998; Yoshida et al., 2002; Arase et al., 2013].

On the other hand, hemorrhagic stroke is a medical emergency and can cause permanent neurological damage and death [Truelsen et al., 2003; Iso et al., 2007; Donnan et al., 2008]. It is becoming a great health burden in most countries. However, there is a little information on the incidence and risk factors on the incidence of hemorrhagic stroke in HCV patients treated with interferon (IFN). Furthermore, it is not clear whether the HCV clearance is useful for

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<sup>&</sup>lt;sup>1</sup>Department of Hepatology and Okinaka Memorial Institute for Medical Research, Toranomon Hospital, Tokyo, Japan

<sup>&</sup>lt;sup>3</sup>Department of Third Internal Medicine, University of Yamanashi, Yamanashi, Japan

Abbreviations: ALT, alanine aminotransferase; AST, aspartate aminotransferase; BMI, body mass index; CI, confidence interval; CT, computed tomography; GGT, gamma-glutamyltransferase;  $HbA_{1C}$ , hemoglobin  $A_{1C}$ ; HCV, hepatitis C virus; HDL, high density lipoprotein; IFN, interferon; LDL, low density lipoprotein

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<sup>\*</sup>Correspondence to: Yasuji Arase, MD, Toranomon Hospital, 2-2-2, Toranomon, Minato-ku, Tokyo 105-8470, Japan. E-mail: es9y-ars@asahi-net.or.jp

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reducing the development of hemorrhagic stroke in HCV patients.

With this background in mind, the present retrospective cohort study was initiated to investigate the cumulative incidence and risk factors of cerebral stroke after prolonged follow-up in HCV patients treated with IFN. The strengths of the current study are the large numbers of patients included and the long-term follow-up of patients.

#### PATIENTS AND METHODS

#### **Patients**

The number of patients who were diagnosed with chronic HCV infection and treated for the first time with IFN monotherapy or combination therapy between September 1990 and May 2010 in the Department of Hepatology, Toranomon Hospital, Tokyo, Japan was 7,635. Of these, 4,649 patients satisfied with the following enrolled criteria: (1) features of chronic hepatitis or cirrhosis diagnosed via laparoscopy and/or liver biopsy within 1 year before the initiation of IFN therapy; (2) positivity for serum HCV-RNA before the initiation of IFN therapy; (3) period of  $\geq 1$  month to  $\leq 1$  year of IFN therapy; (4) negativity for hepatitis B surface antigens (HBsAg), antibody to hepatitis B core, or antimitochondrial antibodies in serum, as determined by radioimmunoassay, enzyme-linked immunosorbent assay or indirect immunofluorescence assay; (5) age of ≥30 to ≤80 years; and (6) no autoimmune systemic disease, such as systemic lupus erythematosus or rheumatic arthritis. Patients with either of the following criteria were excluded from the study: (1) they had illnesses that could seriously reduce their life expectancy; (2) they had a history of coronary and/or cerebrovascular disease; (3) they had a history of carcinogenesis; and (4) they had been given anticoagulant and antiplatelet drugs.

The primary outcome is the first development of hemorrhagic stroke. Hemorrhagic stroke was regarded as intracerebral hemorrhagic stroke in the present study. Thus, patients with subarachnoid hemorrhagic stroke or subdural hematoma were excluded from analyses. The development of hemorrhagic stroke was diagnosed by clinical symptoms and imaging (computed tomography and/or magnetic resonance imaging) based on the World Health Organization definition [Truelsen et al., 2003; Iso et al., 2007; Donnan et al., 2008]. All of the studies were performed retrospectively by collecting and analyzing data from the patient records. The physicians in charge explained the purpose, method, and side effect of IFN therapy to each patient and/or patients' family. In addition, the physicians in charge got permission of serum stores and future uses of stored serum. Informed consent for IFN therapy and future uses of stored serum was obtained from all patients. This study had been approved by Institutional Review Board of our hospital.

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#### **Medical Evaluation**

Body weight was measured in light clothing and without shoes to the nearest 0.1 kg. Height was measured to the nearest 0.1 cm. Height and weight were recorded at baseline, and the body mass index (BMI) was calculated as kg/m². All patients were interviewed by physicians or nurse staff in the Toranomon Hospital using a questionnaire that gathered information on demographic characteristics, medical history, and health-related habits including questions on alcohol intake and smoking history.

Hemoglobin  $A_{1C}$  (Hb $A_{1C}$ ) was estimated as National Glycohemoglobin Standardization Program equivalent value (%) and fasting plasma glucose [American Diabetes Association, 2010]. Patients were defined as having type 2 diabetes mellitus when Hb $A_{1C}$  level was  $\geq 6.5\%$  and/or fasting plasma glucose level was  $\geq 126\,\mathrm{mg/dl}$ . Patients were defined as hypertensive when blood pressure was  $\geq 140/90\,\mathrm{mmHg}$  or pharmacological treatment for high blood pressure was given. Smoking index (package per day × year) and total alcohol intake were evaluated by the sum of before, during, and after the IFN therapy.

# Laboratory Investigation

Diagnosis of HCV infection was based on detection of serum HCV antibody and positive RNA. Anti-HCV was detected using an enzyme-linked immunosorbent assay (ELISA II) (Abbott Laboratories, North Chicago, IL). HCV-genotype was examined via polymerase chain reaction assay, using a mixture of primers for the six subtypes known to exist in Japan, as reported [Dusheiko et al., 1994]. HCV-RNA was determined by the COBAS TaqMan HCV test (Roche Diagnostics, Basel, Switzerland). The serum samples stored at -80°C before IFN therapy were used. The linear dynamic range of the assay was  $1.2-7.8 \log IU/$ ml, and the undetectable samples were defined as negative. A HCV clearance was defined as clearance of HCV RNA using the COBAS TaqMan HCV test 6 months after the cessation of IFN therapy.

## **Evaluation of Liver Cirrhosis**

Status of liver was mainly determined on the basis of peritoneoscopy and/or liver biopsy. Liver biopsy specimens were obtained using a modified Vim Silverman needle with an internal diameter of 2 mm (Tohoku University style, Kakinuma Factory, Tokyo, Japan), fixed in 10% formalin, and stained with hematoxylin and eosin, Masson's trichrome, silver impregnation, and periodic acid-Schiff after diastase digestion. The size of specimens for examination was more than six portal areas [Desmet et al., 1994].

# Follow-Up

The observation starting point was 6 months after the termination of IFN therapy. After that, patients were followed up at least twice a year in our hospital.