DISCUSSION

In this study, viral mutations conferring resistance to the NS5A replication complex inhibitor daclatasvir were investigated by deep sequencing in daclatasvir treatment-naïve genotype 1b HCV patients and the mutations, especially Y93H, were detected more frequently than predicted by direct sequencing. Interestingly and importantly, the presence of the Y93H mutation correlated with the IL28B SNP of the host, suggesting the possibility that IL28B major-type patients who might show a favorable response to IFN have a greater risk of being infected by daclatasvir-resistant HCV.

Regarding the daclatasvir-resistance mutations L31M/V/F, P32L, and Y93H in genotype-1b HCV, it has been reported that a single mutation produces 5- to 28-fold increased resistance and simultaneous mutations of L31M/V/F and Y93H yield 10,989 to 21,674-fold increased resistance in genotype 1b HCV infection [6]. Previously, the frequencies of L31 M/V/F and Y93H were reported to be 2.7% and 8.2%, respectively, with direct sequencing in genotype 1b daclatasvir-treatment naïve Japanese patients (n=294) and this was comparable with the frequency (3.8% and 8.3%, respectively) in genotype 1b patients, determined from the European HCV database (n=1796) [6, 25]. Among the regimens including daclatasvir for genotype 1b HCV infection, until now only the result of a phase II trial of daclatasvir/asunaprevir therapy for 43 patients has been reported [8, 9]. In that study, the pretreatment presence of HCVs carrying Y93H was significantly associated with non-SVR to that regimen and, moreover, that viruses carrying mutations in both regions of NS5A (L31M/V/F and Y93H) and of NS3 (D168A/V) emerged in most of non-SVR patients after virological failure.

In our study, the presence of L31 M/V/F and Y93H mutations in

daclatasvir-treatment naïve genotype 1b patients was comparable to a previous study which involved direct sequencing, when a cut-off value was introduced to our deep sequencing data, although the prevalence of NS5A mutants changed depending on the cut-off value. However, deep sequencing analysis revealed that NS5A L31M/V/F and Y93H mutations were detectable in 13/110 (11.8%) and in 34/110 (30.9%) patients, respectively, above the background error rate of 0.1% and significantly more frequently than detected by direct sequencing. These results demonstrate that deep sequencing is useful for the detection of viral mutants present as minor variants.

association with clinical characteristics? Interestingly, univariate analysis based on the relationship between the presence of the Y93H variant and clinical factors or factors determining treatment efficacy to PEG-IFN/RBV combination therapy extracted three significant factors: the IL28B SNP, core aa 70 and the IRRDR (Table 4). All these factors were associated with a favorable response to PEG-IFN/RBV combination therapy in the group with the Y93H resistance mutation [26]. Despite that the difference did not reach statistical significance, the number of substitutions in the ISDR also tended to be higher in the group with the Y93H mutation, similar to the IRRDR. It was quite intriguing that multivariate analysis of the presence of Y93H extracted the IL28B major-type, the SNP was significantly associated with favorable IFN responses, as an independent factor (Table 4). On the other hand, because it is known that the IL28B SNP is closely linked with core aa 70, it is assumed that core 70R should be observed more frequently in the group with Y93H [16].

Then, do NS5A resistant variants with Y93H that are present prior to treatment affect the response to daclatasvir treatment? At present, in genotype-1b infection,

daclatasvir is scheduled to be used in combination with other DAAs but not with IFN. Considering the correlation between IL28B SNPs and Y93H, and the fact that Y93H variants might be sensitive to IFN but resistant to daclatasvir [27], patients with the IL28B major-type might be recommended to receive IFN-based therapy rather than DAA regimens including daclatasvir, because those patients have a greater chance of being infected with daclatasvir-resistant Y93H variants leading to treatment failure. In contrast, the IL28B minor-type patients who have poor responses to IFN might be more promising candidates.

The true clinical influence of Y93H on treatment responses remain unknown and further elucidation is mandatory after the approval of daclatasvir for clinical use. In particular, it is important to clarify the cut off values as to the mixture ratio of Y93H to Y93 wild type in establishing clinical resistance, if the presence of viruses with Y93H before treatment really does affect the response. If so, it is also important to clarify whether the proportion of Y93H variants changes during the clinical course (the natural course or during therapy including IFN) in order to determine the most appropriate timing for introducing daclatasvir. However, it is possible for Y93H variants to disappear after IFN treatment considering that Y93H variants might be sensitive to IFN. The mechanism of the relationship between the IL28B SNP and Y93H also is not clear at present. Considering that wild-type NS5A is known to be associated in its ISDR-region with IFN-resistance and with the IL28B minor SNPs (TG/GG) [28], it is possible that wild-type NS5A Y93 also is associated with IFN-resistance and with IL28B minor SNPs, although further elucidation is necessary.

We acknowledge that the PCR technique has a risk of producing biased amplicons according to the PCR primer sequences, and therefore, we designed novel

NS5A. We speculate that the sequence bias might have been avoided at least to some extent considering the fact that the NS5A mutation rate in this study was quite compatible with that of a previous study and that obtained from the public database.

In conclusion, we detected by deep sequencing the substantial presence of resistance mutations to daclatasvir, Y93H in particular, in daclatasvir treatment naïve patients and these were not detectable by direct sequencing. We also showed that IL28B major-type patients who have favorable responses to IFN may have a higher risk of being infected with Y93H HCV than IL28B minor-type patients, suggesting that those patients might have a higher risk of developing daclatasvir resistance, although further studies are needed.



FIGURE LEGENDS

Figure 1. The percentage of mutations in the NS5A region associated with resistance to daclatasvir is presented, classified by the response to previous pegylated-interferon/ribavirin (PEG-IFN/RBV) therapy (Naïve, Null Responder, and Relapser). (A) NS5A aa 31, (B) NS5A aa 93. Each bar indicates the mutation rate in one patient and a dot above a bar shows a patient with a mutation detected by deep sequencing.

Figure 2. The percentage of mutations at the NS5A region for resistance to daclatasvir is presented, classified by the IL28B SNP (TT or non-TT). (A) NS5A aa 31, (B) NS5A aa 93. Each bar indicates the mutation rate in one patient and a dot above a bar shows a patient with a mutation detected by deep sequencing.



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Table 1. Patient characteristics classified by their responses to previous PEG-IFN/RBV combination therapy

	Naïve	Relapser	Null responder		
	N = 59	N = 30	N = 21	p	
Age (years)	62.3 ± 11.5	62.7 ± 9.1	61.2 ± 7.7	0.719	
Sex F/M	35 / 24	16 / 14	9 / 12	0.427	
AST (IU/l)	35.4 ± 12.6	43.9 ± 53.4	45.3 ± 14.6	0.008	
ALT (IU/L)	34.6 ± 18.5	45.3 ± 73.2	51.8 ± 23.5	< 0.001	
PLT (x10 ⁻⁴ /μl)	15.1 ± 5.6	14.3 ± 3.8	13.8 ± 4.8	0.582	
Alb (g/dl)	4.2 ± 0.4	4.3 ± 0.3	4.2 ± 0.5	0.334	
γGTP (IU/L)	35.2 ± 37.7	37.6 ± 45.1	67.1 ± 55.2	< 0.001	
AFP (ng/ml)	5.7 ± 6.3	4.5 ± 3.6	14.7 ± 29.0	< 0.001	
Core aa 70 R	35 (59.3%)	23 (76.7%)	6 (28.6%)	0.003	
Core aa 91 L	41 (69.5%)	18 (60.0%)	14 (66.7%)	0.672	
ISDR 2-	14 (23.7%)	5 (16.7%)	2 (9.5%)	0.340	
IRRDR 5-	29 (49.2%)	13 (43.3%)	8 (38.1%)	0.181	
IL28B SNP TT	38 (64.4%)	27 (90.0%)	6 (25.6%)	< 0.001	

PEG-IFN/RBV, pegylated-interferon/ribavirin; ISDR, interferon sensitivity-determining region; IRRDR, interferon-ribavirin resistance determining region.



Table 2. Amplicon read numbers obtained by deep sequencing

N		Average reads ± SD*(range) / sample			
Naïve	59	3603.9 ± 1758.4 (655-10293)			
Relapser	30	3980.4 ± 3295.9 (445-14330)			
Null responder	21	4601.6 ± 2385.5 (1187-9579)			
Plasmid	7	5448.3 ± 1299.1 (2277-7000)			

^{*}SD; standard deviation.

Table 3. Presence of daclatasvir-resistance amino acid substitutions in daclatasvir-treatment naïve patients, determined by deep sequencing

i	Naïve	Relapser	Null responder	Naïve vs. Relapser	Naïve vs. Null	Relapser vs. Null
	N = 59	N = 30	N = 21	p	р	р
L31M/V/F %, median (range) *	2.0 (0.0-99.8)	4.1 (0.0-100.0))	0.2 (0.0-3.4)	0.895	0.295	0.317
Pts with L31M/V/F (%)**	8 (13.6%)	4 (13.3%)	1 (4.8%)	1.000	0.510	0.612
P32L %, median (range) *	0.0 (0.0-0.0)	0.0 (0.0-0.0)	0.0 (0.0-0.0)	1.000	1.000	1.000
Pts with P32L (%)**	0 (0%)	0 (0%)	0 (0%)	1.000	1.000	1.000
Y93H %, median (range) *	11.7 (0.0-99.1)	7.9 (0.0-100.0)	4.1 (0.0-45.3)	0.824	0.190	0.301
Pts with Y93H (%)**	21 (35.6%)	10 (33.3%)	3 (14.3%)	1.000	0.112	0.224

Median proportion per patient

^{**} Number of patients with the mutant

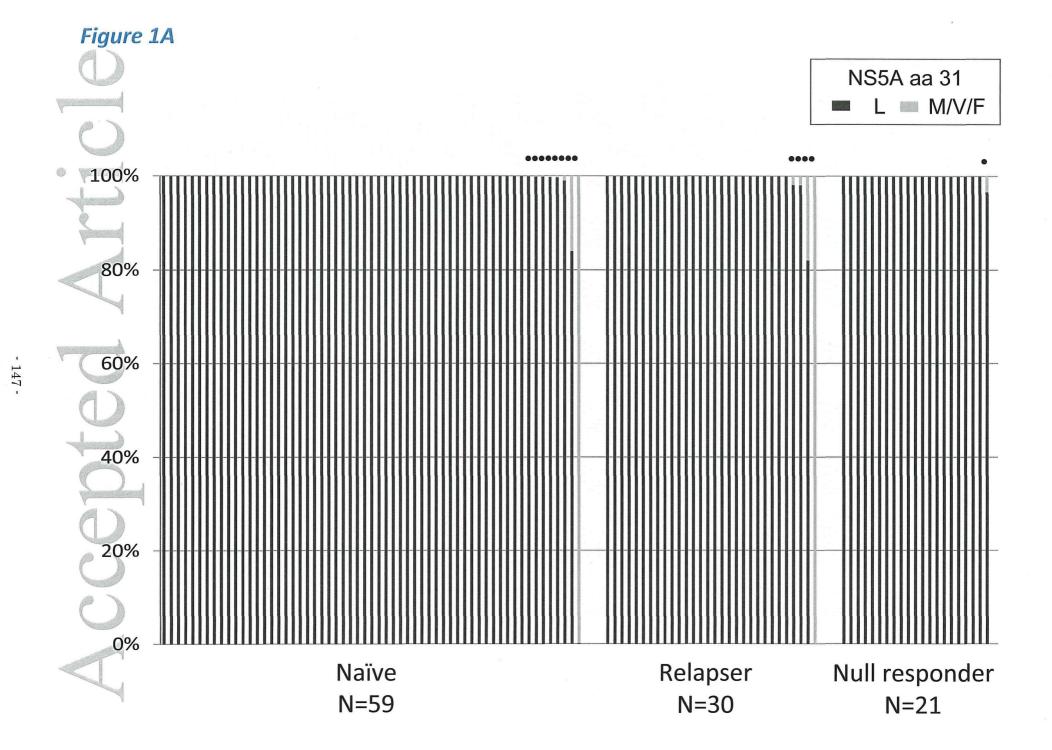
Table 4. Univariate and multivariate analysis of factors associated with NS5A-Y93H

Variables			substitution	Univariate Analysis (Univariate Analysis (N = 110)		Multivariate Analysis (N = 110)	
	No. of -	Positive (N = 34)	Negative (N = 76)	Odds Ratio (95% CI)	p Value	Odds Ratio (95% CI)	p Value	
Age (years) ≥65	48	16 (47.1%)	32 (42.1%)	1.22 (0.54-2.76)	0.629			
Sex Male	50	16 (47.1%)	34 (44.7%)	1.10 (0.49-2.47)	0.821			
AST (IU/L) ≥41	38	11 (32.4%)	27 (35.5%)	0.87 (0.37-2.05)	0.746			
ALT (IU/L) ≥41	33	9 (26.5%)	24 (31.6%)	0.78 (0.32-1.92)	0.590			
Platelets (x10-4/mm³) ≤12	35	12 (35.3%)	23 (30.3%)	1.43 (0.61-3.33)	0.601			
Albumin (g/dL) ≤4	25	9 (26.5%)	16 (21.1%)	0.69 (0.28-1.70)	0.422			
γGTP (IU/L) ≥41	30	10 (29.4%)	20 (26.3%)	1.25 (0.51-3.08)	0.628			
AFP ≥10	16	5 (14.7%)	11 (14.5%)	1.02 (0.32-3.20)	0.974			
IL28B TT	71	29 (85.3%)	42 (55.3%)	4.70 (1.64-13.43)	0.004	3.67 (1.05-12.88)	0.042	
Core aa 70 R	64	25 (73.5%)	39 (51.3%)	2.64 (1.09-6.38)	0.032	1.19 (0.40-3.55)	0.759	
Core aa 91 L	73	24 (70.6%)	49 (64.5%)	1.32 (0.55-3.17)	0.531			
ISDR* ≥2	21	8 (23.5%)	13 (17.1%)	1.49 (0.55-4.02)	0.430			
IRRDR** ≥5	54	23 (67.5%)	32 (42.1%)	2.88 (1.23-6.73)	0.015	2.37 (0.98-5.74)	0.056	
NS5A L31 M/V/F positive	11	2 (5.9%)	9 (11.8%)	0.46 (0.10-2.28)	0.345			
History of IFN therapy	59	21 (61.8%)	38 (50.0%)	1.62 (0.71-3.69)	0.255			

^{*} ISDR mutation number



^{*} IRRDR mutation number



- 149 -

- 150 -

1 Hepatocellular carcinoma risk assessment using gadoxetic acid-enhanced

2 hepatocyte phase magnetic resonance imaging

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20 Short running title: HCC risk assessment using EOB-enhanced MRI

20 Snort running title: HCC risk assessment using EOB-ennanced MR

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- 2 hepatocyte phase, risk assessment

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FOOTNOTES

5 Competing interests

6 All authors have no conflict of interest related to this manuscript.

7

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2 <u>List of Abbreviations</u>

- 3 HCC: Hepatocellular Carcinoma
- 4 MRI: Magnetic Resonance Imaging
- 5 US: Ultrasonography
- 6 CT: Computed Tomography
- 7 HBV: Hepatitis B Virus
- 8 HCV: Hepatitis C Virus
- 9 AASLD: American Association for the Study of Liver Diseases
- 10 T1WIs: T1-Weighted Images
- 11 T2WIs: T2-Weighted Images
- 12 ALT: Alanine Aminotransferase
- 13 γ -GTP: γ Glutamyl Transpeptidase
- 14 AFP: Alpha-fetoprotein
- 15 HR: Hazard Ratio
- 16 CI: Confidence Interval
- 17 DWIs: Diffusion-Weighted Images



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ABSTRACT

- 3 Aim: To investigate whether the patients with hypovascular liver nodules determined on
- 4 the arterial phase and hypointensity on the hepatocyte phase gadoxetic acid-enhanced
- 5 magnetic resonance imaging (hypovascular hypointense nodules) are at increased risk
- 6 of hepatocarcinogenesis, we assessed subsequent typical hepatocellular carcinoma
- 7 (HCC) development at any sites of the liver with and without such nodules.
- 8 Methods: One hundred and twenty-seven patients with chronic hepatitis B or C and
- 9 without a history of HCC, including 68 with liver cirrhosis, were divided into those with
- (non-clean liver group, n = 18) and without (clean liver group, n = 109) hypovascular
- 11 hypointense nodules. All the patients were followed-up for 3 years, and HCC
- development rates and risk factors were analyzed with the Kaplan-Meier method and
- 13 the Cox proportional hazard model, respectively.
- 14 **Results:** A total of 17 patients (10 in the non-clean liver group and 7 in the clean liver
- group) developed typical HCCs. Cumulative 3-year rates of HCC development were
- 16 55.5% in the non-clean liver group and 6.4% in the clean liver group (p <0.001), and
- those at the different sites from the initial nodules was also higher in the non-clean liver
- group (22.2%) than the clean liver group (6.4%) (p=0.003). Multivariate analysis
- identified older age (p = 0.024), low platelet counts (p = 0.017) and a non-clean liver (p
- 20 <0.001) as independent risk factors for subsequent HCC development.
- 21 Conclusions: Patients with hypovascular hypointense liver nodules are at a higher risk
- 22 for HCC development at any sites of the liver than those without such nodules.

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