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#### Abbreviations Used

ASK1 = apoptosis signal-regulating kinase 1
BBB = blood–brain barrier
DAPI = 4',6-diamidino-2-phenylindole
DMEM = Dulbecco's modified Eagle's medium
E6AP = E6-associated protein
ER = endoplasmic reticulum
FKHR = forkhead transcription factor Foxo1
H <sub>2</sub> O <sub>2</sub> = hydrogen peroxide
HBMEC = human brain microvascular endothelial cell
HO-1 = heme oxygenase-1
HSP27 = heat shock protein 27
JNK = c-Jun N-terminal kinase
MALDI-TOF = matrix-assisted laser desorption/ionization-time-of-flight
mHtt = mutant Huntington
MMPs = metalloproteinases
NeuN = neuronal nuclear marker
NO = nitric oxide
Nrf2 = NF-E2-related factor 2
O <sub>2</sub> <sup>•-</sup> = superoxide
OGD = oxygen–glucose deprivation
ONOO <sup>-</sup> = peroxynitrite
PAGE = polyacrylamide gel electrophoresis
PARP = poly ADP-ribose polymerase
PBS = phosphate-buffered saline
PI = propidium iodide
Prx = peroxiredoxin
ROS = reactive oxygen species
SDS = sodium dodecyl sulfate
shRNA = short hairpin RNA
SIN-1 = 3-morpholinopyridone
siRNA = small interfering RNA
tMCAO = transient middle cerebral artery occlusion
TUNEL = terminal deoxynucleotidyl transferase dUTP nick end labeling
ZO-1 = zonula occludens-1



# Multiple functions of DDX3 RNA helicase in gene regulation, tumorigenesis, and viral infection

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The DEAD-box RNA helicase DDX3 is a multifunctional protein involved in all aspects of RNA metabolism, including transcription, splicing, mRNA nuclear export, translation, RNA decay and ribosome biogenesis. In addition, DDX3 is also implicated in cell cycle regulation, apoptosis, Wnt- $\beta$ -catenin signaling, tumorigenesis, and viral infection. Notably, recent studies suggest that DDX3 is a component of anti-viral innate immune signaling pathways. Indeed, DDX3 contributes to enhance the induction of anti-viral mediators, interferon (IFN) regulatory factor 3 and type I IFN. However, DDX3 seems to be an important target for several viruses, such as human immunodeficiency virus type 1 (HIV-1), hepatitis C virus (HCV), hepatitis B virus (HBV), and poxvirus. DDX3 interacts with HIV-1 Rev or HCV Core protein and modulates its function. At least, DDX3 is required for both HIV-1 and HCV replication. Therefore, DDX3 could be a novel therapeutic target for the development of drug against HIV-1 and HCV.

**Keywords:** DDX3, HCV, HIV-1, innate immunity, RNA helicases, stress granules, translation, tumor suppressor

## INTRODUCTION

DDX3 belongs to the DEAD (D-E-A-D: Asp-Glu-Ala-Asp)-box RNA helicase family, which is an ATPase-dependent RNA helicase, is found in various organisms from yeast to human (Cordin et al., 2006; Linder and Lasko, 2006; Linder, 2008; Jankowsky, 2011). DDX3 has two homologs designated DDX3X (DBX) and DDX3Y (DBY), which were located on X and Y chromosomes, respectively (Lahn and Page, 1997; Park et al., 1998; Kim et al., 2001). DDX3X is ubiquitously expressed in most tissues, while the expression of DDX3Y protein is limited to the male germline (Ditton et al., 2004) and DDX3Y seems to be involved in male fertility (Leory et al., 1989; Mazeyrat et al., 1998; Foresta et al., 2000). DDX3 is involved in various RNA metabolism, including transcription, translation, RNA splicing, RNA transport, and RNA degradation (Chang and Liu, 2010; Schröder, 2010).

## REGULATION OF GENE EXPRESSION BY DDX3

DDX3 regulates gene expression at different levels, such as transcription, splicing, mRNA export, and initiation of translation. First, DDX3 participates in transcriptional regulation of gene promoters. Indeed, DDX3 up-regulates the interferon (IFN)  $\beta$  promoter (Soulat et al., 2008) and the p21<sup>waf1/cip1</sup> promoter (Chao et al., 2006), respectively. DDX3 binds to the transcription factor Sp1 and enhance the p21<sup>waf1/cip1</sup> promoter. On the other hand, DDX3 down-regulates the E-cadherin promoter (Botlagunta et al., 2008). *In vivo* association of DDX3 with the E-cadherin or the IFN $\beta$  promoter was demonstrated by chromatin immunoprecipitation assay. Second, DDX3 seems to contribute to splicing. DDX3 associates with spliced mRNAs in an exon junction complex (EJC)-dependent manner (Merz et al., 2007) and DDX3 contains C-terminal RS-like domain, which is stretches of protein sequence rich in arginine and serine residues and is found in splicing factors. Third, DDX3 contributes to the nuclear export of RNA. DDX3

shuttles between the cytoplasm and the nucleus (Owsianka and Patel, 1999; Yedavalli et al., 2004; Lai et al., 2008; Schröder et al., 2008). Accordingly, DDX3 interacts with two nuclear export shuttle protein: CRM1 as a receptor for protein containing the nuclear export signal (NES) and tip-associated protein (TAP) as the major receptor for mRNA export (Yedavalli et al., 2004; Lai et al., 2008). DDX3 interacts with CRM1 and functions in the human immunodeficiency virus type 1 (HIV-1) Rev-dependent nuclear export of HIV-1 mRNA (Yedavalli et al., 2004). Depletion of TAP resulted in nuclear accumulation of DDX3, suggesting DDX3 exports along with messenger ribonucleoprotein (mRNP) to the cytoplasm via the TAP-mediated pathway (Lai et al., 2008).

Forth, DDX3 plays a role in translational regulation. DDX3 localizes in cytoplasmic stress granules under stress conditions (Lai et al., 2008; Shih et al., 2012), suggesting a role for DDX3 in translational control. DDX3 represses the cap-dependent translation by trapping eIF4E in a translationally inactive complex to block an interaction with eIF4G (Shih et al., 2008), indicating that DDX3 acts as a translational suppressor. Since depletion of DDX3 does not significantly affect general translation, DDX3 may be dispensable for general mRNA translation (Lai et al., 2008). Indeed, DDX3 associates with eIF4E together with several translation initiation factors, including eIF4a, eIF4G, eIF2a, eIF3, and poly(A)-binding protein (PABP), and facilitates translation of mRNA containing structured 5' untranslated region (UTR; Lai et al., 2008; Shih et al., 2012; Soto-Rifo et al., 2012). In contrast, others reported that primary function for DDX3 is in protein translation via an interaction with eIF3 (Lee et al., 2008). Accordingly, DDX3 interacts with eIF3 and 40S ribosome to support the assembly of functional 80S ribosome (Geissler et al., 2012). The yeast DDX3 homolog, Ded1, also modulates translation by the formation of a translation initiation factor eIF4F-mRNA complex (Hilliker et al., 2011). Taken together, DDX3 modulates the protein translation.

Finally, DDX3 interacts with Ago2, which is an essential factor in RNA interference (RNAi) pathway that cleaves target mRNA, and acts as an essential factor involved in RNAi pathway (Kasim et al., 2013).

### DDX3 IN CELL CYCLE REGULATION AND TUMORIGENESIS

It has been indicated a role of DDX3 in cell cycle regulation, apoptosis, and tumorigenesis. In the temperature-sensitive DDX3 mutant hamster cell line tsET24 or the DDX3 knockdown cells, cell cycle was impeded transition from G<sub>1</sub> to S-phase (Fukumura et al., 2003; Lai et al., 2010). DDX3 enhances cyclin E1 during cell cycle by a translational regulation (Lai et al., 2010). On the other hand, DDX3 regulates the cell cycle by inhibiting cyclin D1 and causing cell cycle arrest (Chao et al., 2006). DDX3 is known to be phosphorylated by cyclin B/cdc2 at threonine 204 to inhibit the function (Sekiguchi et al., 2007). Furthermore, DDX3 interacts with DDX5, which colocalizes with it in the cytoplasm through the phosphorylation of both proteins during G<sub>2</sub>/M phase of cell cycle (Choi and Lee, 2012), indicating the cell cycle-dependent regulation of DDX3 localization and the function. During mouse early embryonic development, DDX3 also regulates cell survival and cell cycle (Li et al., 2014b).

It has been indicated the oncogenic role of DDX3 in breast cancer (Botlagunta et al., 2008). Activation of DDX3 by benzo[a]pyrene diol epoxide (BPDE) present in tobacco smoke, can promote growth, proliferation and neoplastic transformation of breast epithelial cells. Consistent with this finding, overexpression of DDX3 induced an epithelial-mesenchymal-like transformation, exhibited increased motility and invasive properties, and formed colonies in soft agar assays. In addition, DDX3 is recruited to the E-cadherin promoter and represses the E-cadherin expression resulting the increased cell migration and metastasis (Botlagunta et al., 2008). Similarly, DDX3 also modulates cell adhesion, motility and cancer cell metastasis via Rac1-mediated signaling pathway (Chen et al., 2014). In fact, DDX3 knockdown reduces the cell migration, the invasive and metastatic activities, suggesting that DDX3 is required for metastasis and the oncogenic role of DDX3 in malignant cancers. The DDX3 knockdown also reduces the expression of levels of both Rac1 and  $\beta$ -catenin. DDX3 regulates Rac1 mRNA translation through an interaction with its 5'UTR and affects  $\beta$ -catenin protein stability in Rac1-dependent manner. In response to Wnt signaling, DDX3 binds to casein kinase (CK) 1 $\epsilon$  and stimulates CK1 $\epsilon$ -mediated phosphorylation of the Wnt effector disheveled and thereby activates  $\beta$ -catenin (Cruciat et al., 2013), indicating a role of DDX3 as a regulator of Wnt- $\beta$ -catenin network. Moreover, DDX3 may aid cancer progression by promoting increased levels of the transcription factor Snail (Sun et al., 2011). Snail is known to repress the expression of cellular adhesion proteins, leading to increased cell migration and metastasis of many types of cancer. In addition, recent study reported that positive DDX3 expression is significantly associated with large tumor size and high TNM (Tumor, Node, and Metastasis) stage, invasion, lymph node metastasis in gallbladder cancers (Miao et al., 2013), suggesting that DDX3 is a biomarker for metastasis and poor prognosis of gallbladder cancers. TNM classification is an anatomically based staging system that records the primary and

regional nodal extent of the tumor and the absence or presence of metastases.

Hypoxia is a major characteristic of solid tumors and affects gene expression, which greatly impacts cellular and tumor tissue physiology particularly respiration and metabolism. Expression of hypoxia-responsive genes is predominantly regulated by hypoxia inducible factors (HIFs). DDX3 is aberrantly expressed in breast cancer cells ranging from weakly invasive to aggressive phenotypes (Botlagunta et al., 2011). HIF-1 binds to the DDX3 promoter and enhances the DDX3 expression (Botlagunta et al., 2011), indicating a DDX3 as a hypoxia inducible gene.

In contrast, DDX3 has been proposed to be a tumor suppressor (McGivern and Lemon, 2009). In fact, DDX3 inhibits colony formation in various cell lines and down-regulates cyclin D1 and up-regulates the p21<sup>waf1/cip1</sup> promoter (Chao et al., 2006). DDX3 expression is deregulated in hepatocellular carcinoma (HCC; Chang et al., 2006; Chao et al., 2006). Loss of DDX3 leads to enhanced cell proliferation and reduced apoptosis (Chang et al., 2006). Similarly, loss of DDX3 by p53 inactivation promotes tumor malignancy via the MDM2/Slug/E-cadherin pathway and consequently results in poor patient outcome in non-small-cell lung cancer (Wu et al., 2014). In addition, DDX3 contributes to both antiapoptotic and proapoptotic actions. Death receptors are found to be capped by an antiapoptotic protein complex containing GSK3, DDX3 and cIAP-1 and DDX3 protects from apoptotic signaling (Sun et al., 2008). In contrast, DDX3 also associates with p53, increases p53 accumulation, and positively regulates DNA damage-induced apoptosis (Sun et al., 2013). Furthermore, reduced p21<sup>waf1/cip1</sup> via alteration of p53-DDX3 pathway is associated with poor relapse-free survival in early stage human papillomavirus-associated lung cancer (Wu et al., 2011). Thus, p21<sup>waf1/cip1</sup> is considered to act as a tumor suppressor. Since low/negative DDX3 expression in tumor cells is significantly associated with aggressive clinical manifestations, low/negative expression of DDX3 might predict poor prognosis in oral cancer patients (Lee et al., 2014).

Altogether, DDX3 has both tumor suppression and oncogenic properties. This may reflect on the cell type used in their experiments. Further studies are necessary to clarify the potential role of DDX3 in cell growth regulation. These studies may shed a light on the development of drugs for chemotherapy against cancer and viral infection described below.

### DDX3 AS A TARGET OF VIRUSES

DDX3 has been implicated in a target of several viruses, including hepatitis C virus (HCV), HIV-1, hepatitis B virus (HBV), West Nile virus (WNV), Japanese encephalitis virus, norovirus, pestivirus, vaccinia virus, and cytomegalovirus (Table 1). DDX3 is required for several RNA viral replication such as HCV and HIV-1, while DDX3 restricts HBV replication. At least, DDX3 may be a therapeutic target for anti-viral drug against HCV and HIV-1.

### REQUIREMENT OF DDX3 IN HCV LIFE CYCLE

Hepatitis C virus is a causative agent of chronic hepatitis, which progresses to liver cirrhosis and HCC. HCV is an enveloped virus with a positive single-stranded 9.6 kb RNA genome, which encodes

**Table 1 | DDX3 as a target of viruses.**

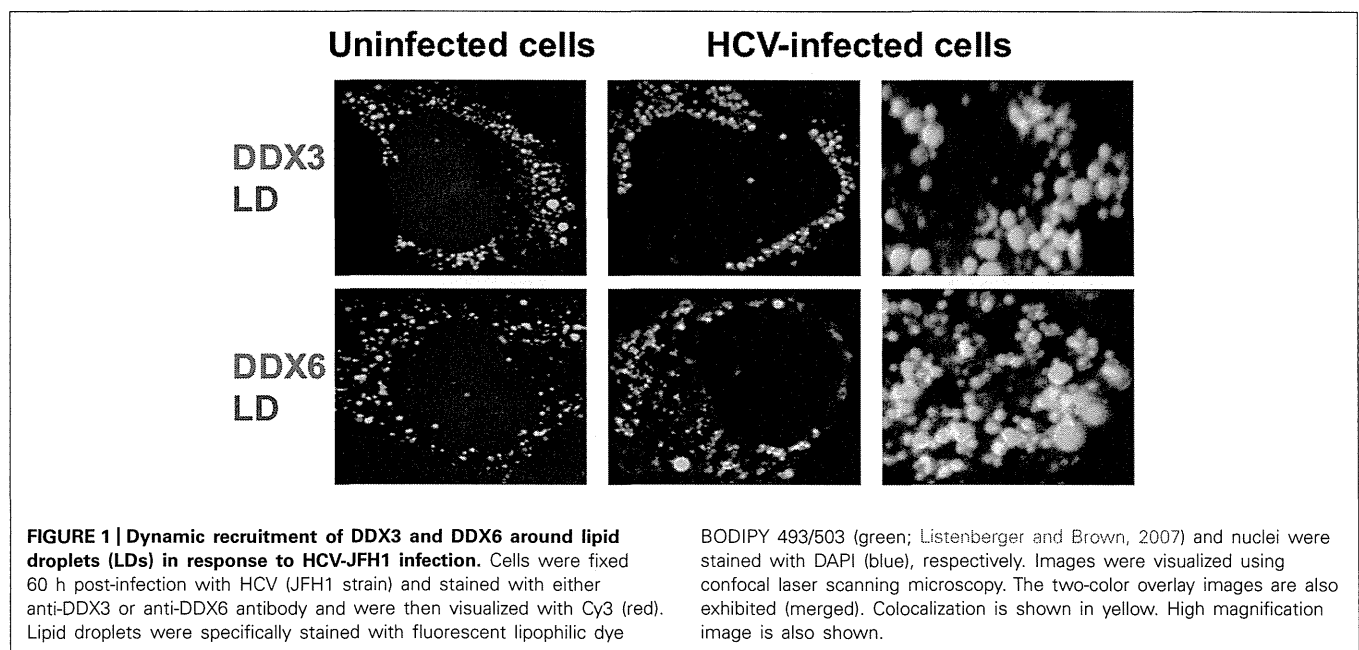
Virus	Effect of DDX3 on viral replication	Viral binding protein	Cellular function
HCV	Up-regulation	Core	Translational regulation
HIV-1	Up-regulation	Rev Tat	Nuclear export of mRNA Translational regulation
HBV	Down-regulation	Pol	Inhibition of IFN induction
Vaccinia virus	?	K7	Inhibition of IFN induction
WNV	Up-regulation	?	?

*DDX3 interacts with several RNA virus including hepatitis C virus (HCV), human immunodeficiency virus type 1 (HIV-1), hepatitis B virus (HBV), vaccinia virus, and West Nile virus (WNV). DDX3 is required for HCV, HIV-1, WNV replication, while DDX3 restricts HBV replication. Furthermore, these viral proteins suppress the DDX3-mediated type I IFN induction through an interaction with DDX3.*

a large polyprotein precursor of ~3,000 amino acid residues (Kato et al., 1990). This polyprotein is cleaved by a combination of the host and viral proteases into at least 10 proteins in the following order: core, envelope 1 (E1), E2, p7, non-structural 2 (NS2), NS3, NS4A, NS4B, NS5A, and NS5B (Hijikata et al., 1991, 1993). The HCV core protein is a viral structural protein, which forms the viral nucleocapsid, is targeted to lipid droplets (LDs). Recently, LDs have been found to be an important cytoplasmic organelle for HCV production (Miyanari et al., 2007). Budding is an essential step in the life cycle of enveloped viruses. HCV utilizes the endosomal sorting complex required for transport (ESCRT) system as the budding machinery (Ariumi et al., 2011b).

Several DEAD-box RNA helicases have been shown to interact with HCV proteins and regulate the HCV replication (Schröder, 2010; Upadya et al., 2014). DDX3 was identified as an HCV

core-binding protein by yeast two-hybrid screening (Mamiya and Worman, 1999; Owsianka and Patel, 1999; You et al., 1999). HCV core protein was the first viral protein to be described as a DDX3-binding protein. HCV core binds to the C-terminal RS-like domain of DDX3 and the interaction is mediated by the N-terminal 59 amino acid residues of HCV core. DDX3 and HCV core colocalized in distinct spots in the perinuclear region of the cytoplasm. However, these studies lack evidence regarding the functional relevance of the DDX3-HCV core interaction in HCV replication and the HCV-associated liver diseases. Recent studies have demonstrated that DDX3 is required for HCV replication (Ariumi et al., 2007; Randall et al., 2007). The accumulation of both genome-length HCV RNA (HCV-O strain, genotype 1b; Ikeda et al., 2005) and its replicon RNA were significantly suppressed in the DDX3 knockdown cells. As well, HCV infection (JFH1 strain, genotype 2a; Wakita et al., 2005) was also suppressed in the DDX3 knockdown cells. Notably, HCV infection dynamically redistributes DDX3 to the HCV production site around LDs and colocalizes with HCV core (Figure 1; Ariumi et al., 2011a). However, the specific interactions between DDX3 and HCV core and the functional importance of these interactions for the HCV viral life cycle remain unclear. In this regard, Mutagenesis studies located a single amino acid in the N-terminal domain of JFH1 core that when changed to alanine significantly abrogated this interaction. Surprisingly, this mutation did not alter infectious virus production and RNA replication, indicating that the core-DDX3 interaction is dispensable in the HCV life cycle (Angus et al., 2010). On the other hand, there is a contradictory report that the inhibition of HCV replication due to expression of the green fluorescent protein (GFP) fusion to HCV core protein residues 16–36 can be reversed by overexpression of DDX3 (Sun et al., 2010). These results suggest that the protein interface on DDX3 that binds the HCV core protein is important for replicon maintenance. However, infection



of HuH-7 cells by HCV (JFH1) was not affected by expression of the GFP fusion protein. These results suggest that the role of DDX3 in HCV infection involves aspects of the viral life cycle that vary in importance between HCV genotypes. Therefore, the exact contribution of HCV core-DDX3 interaction remains to be determined.

In addition to DDX3, other DEAD-box RNA helicases DDX1, DDX5, and DDX6 have been involved in the HCV life cycle (Goh et al., 2004; Tingting et al., 2006; Jangra et al., 2010; Ariumi et al., 2011a; Kuroki et al., 2013). DDX1 bound to both the HCV 3'UTR and the HCV 5'UTR and DDX1 knockdown caused a marked reduction in the replication of subgenomic replicon RNA (Tingting et al., 2006). Furthermore, DDX5 was identified as an HCV NS5B RNA-dependent RNA polymerase-binding protein by yeast two-hybrid screening (Goh et al., 2004). Depletion of endogenous DDX5 correlated with a reduction in the transcription of negative strand HCV RNA, suggesting that DDX5 participates in the HCV RNA replication. Overexpression of HCV NS5B or the HCV infection redistributes DDX5 from the nucleus to the cytoplasm. Moreover, recent study reported that knockdown of DDX5 reduces HCV (JFH1) virus production in the supernatant, suggesting that DDX5 is important for a late stage of the HCV life cycle (Kuroki et al., 2013).

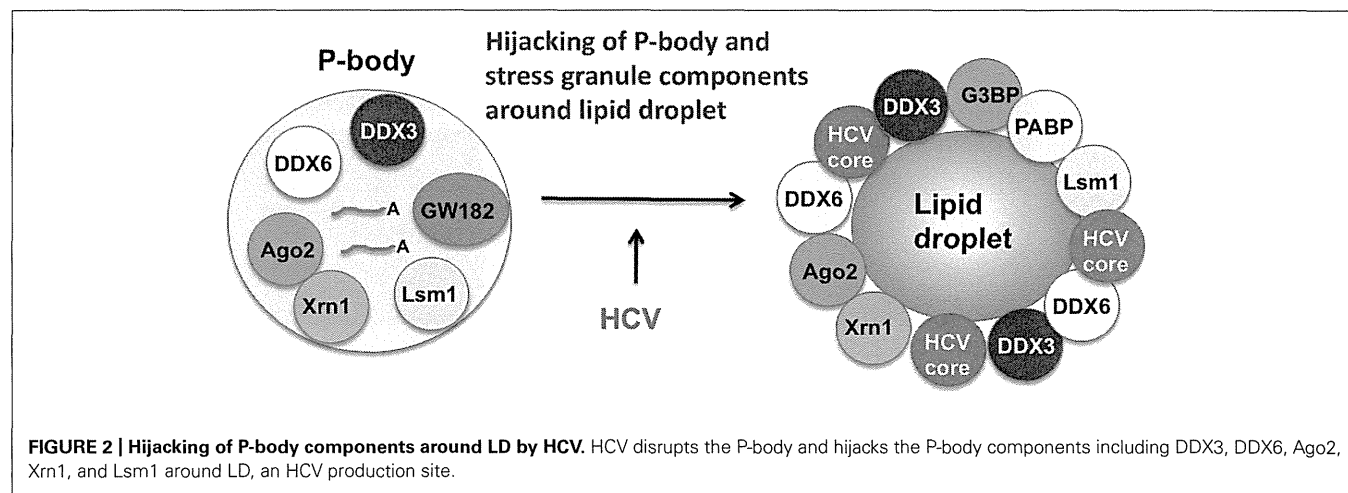
The microRNA miR122 and DDX6/Rck/p54, a microRNA effector, have been implicated in HCV replication (Jopling et al., 2005; Scheller et al., 2009; Jangra et al., 2010; Ariumi et al., 2011a). The liver-specific and abundant miR-122 interacts with the 5'UTR of the HCV RNA genome and facilitates the HCV replication (Jopling et al., 2005). DDX6 interacts with the eukaryotic initiation factor 4E (eIF-4E) to repress the translational activity of mRNA. Furthermore, DDX6 regulates the activity of the decapping enzymes DCP1 and DCP2 and interacts directly with Argonaute-1 (Ago1) and Ago2 in the microRNA-induced silencing complex (miRISC) and is involved in RNA silencing. DDX6 predominantly localizes in the discrete cytoplasmic foci termed processing (P)-body. Thus, the P-body may play a role in the translation repression and mRNA decay machinery (Parker and Sheth, 2007; Beckham and Parker, 2008). The knockdown of DDX6 was found to reduce the accumulation of intracellular HCV RNA and infectious HCV

production, indicating that DDX6 is essential for the HCV RNA replication (Scheller et al., 2009; Jangra et al., 2010; Ariumi et al., 2011a). Notably, HCV (JFH1) infection disrupts the P-body formation of DDX3, DDX6, Lsm1, Xrn1, PATL1, and Ago2 and dynamically redistributes them to the HCV production site around LDs (Figure 2; Ariumi et al., 2011a), indicating that HCV hijacks the P-body components around LDs and regulates the HCV replication and translation. Recent studies suggested that DDX3 is also required for WNV, Japanese encephalitis virus, norovirus, and pestivirus (Vashist et al., 2012; Chahar et al., 2013; Jefferson et al., 2014; Li et al., 2014a; Tsai and Lloyd, 2014). Similarly, P-body components LSM1, GW182, DDX3, DDX6, and XRN1 are also recruited to WNV replication sites and positively regulate viral replication (Chahar et al., 2013).

On the other hand, recent studies have suggested a potential role of DDX3 and DDX5 in the pathogenesis of HCV-related liver diseases. DDX3 expression is deregulated in HCC (Chang et al., 2006; Chao et al., 2006) and single-nucleotide polymorphisms were identified in the DDX5 genes that were associated with an increased risk of advanced fibrosis in patients with chronic hepatitis C (Huang et al., 2006). DDX3 has been proposed to be a tumor suppressor (McGivern and Lemon, 2009). In fact, DDX3 inhibits colony formation in various cell lines, including human hepatoma HuH-7, and up-regulates the p21<sup>waf1/cip1</sup> promoter (Chao et al., 2006). Therefore, HCV core protein might overcome the DDX3-mediated cell growth arrest and down-regulate p21<sup>waf1/cip1</sup> through an interaction with DDX3, and it might be involved in the development of HCC.

### DDX3 IS ESSENTIAL FOR HIV-1 REPLICATION

Human immunodeficiency virus type 1 is the causative agent of acquired immune deficiency syndrome (AIDS). HIV-1 is a retrovirus with a positive strand RNA genome of 9 kb which encodes nine polypeptides, structural proteins, Gag (group specific antigen), Pol (polymerase) and Env (envelope), the accessory proteins, Vif, Vpu, Vpr, and Nef, and the regulatory proteins, Tat and Rev. The gene expression of HIV-1 is regulated transcriptionally by Tat through its binding to a nascent viral *trans*-activation responsive (TAR) RNA (Berkhout et al., 1989; Jeang et al., 1999),



**FIGURE 2 | Hijacking of P-body components around LD by HCV.** HCV disrupts the P-body and hijacks the P-body components including DDX3, DDX6, Ago2, Xrn1, and Lsm1 around LD, an HCV production site.

and post-transcriptionally by Rev through its association with Rev-responsive element (RRE) in the *env* gene (Hope and Pomerantz, 1995; Pollard and Malim, 1998; Cullen, 2003). Since the intron-containing host RNA cannot leave the nucleus before it is completely spliced, HIV-1 needs to evade host surveillance system to export unspliced or partially spliced viral RNA into cytoplasm and produce HIV-1 structural proteins and accessory proteins. For this, Rev contains a leucine-rich NES that recruits nuclear export receptor CRM1 (Hope and Pomerantz, 1995; Pollard and Malim, 1998; Cullen, 2003). Upon binding to the RRE together with the GTP-bound form of Ran (Ran-GTP), CRM1 forms the nuclear export complex and Rev-CRM1-RRE-Ran-GTP complex exports unspliced or partially spliced HIV-1 RNA from the nucleus to the cytoplasm.

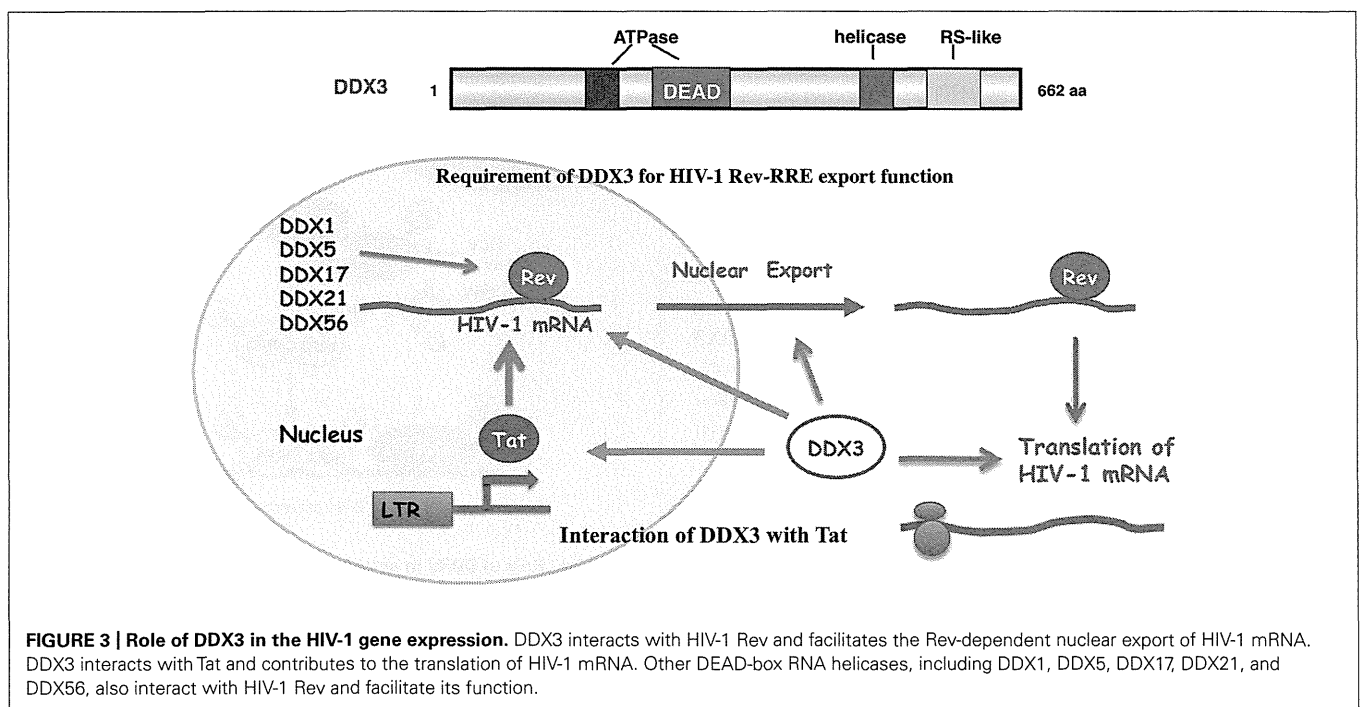
Several viruses are known to carry their own RNA helicases to facilitate the replication of their viral genome, including HCV, flavivirus, severe acute respiratory syndrome (SARS) coronavirus, rubella virus, and alphavirus, however, HIV-1 does not encode own RNA helicase (Utama et al., 2000; Kwong et al., 2005). Thus, host RNA helicases may be involved in HIV-1 replication at multiple stages, including the reverse transcription of HIV-1 RNA, HIV-1 mRNA transcription, the nucleus-to-cytoplasm transport of HIV-1 mRNA, and HIV-1 RNA packaging (Cochrane et al., 2006; Lorgeoux et al., 2012).

In fact, DDX3 was first found to involve in the Rev-dependent nuclear export of unspliced and partially spliced HIV-1 RNAs (Figure 3; Yedavalli et al., 2004). Over-expression of DDX3 enhanced the Rev-dependent nuclear export function. Conversely, knockdown of DDX3 or expression of dominant negative mutant of DDX3 significantly suppressed the Rev function as well as HIV-1 replication (Yedavalli et al., 2004; Ishaq et al., 2008). Rev is co-immunoprecipitated with DDX3. DDX3 is a nucleo-cytoplasmic

shuttling protein, which binds CRM1 and localizes to nuclear membrane pores.

In addition to DDX3, another DEAD-box RNA helicase DDX1 also associates with Rev and promotes the Rev-dependent RNA nuclear export function (Fang et al., 2004). DDX1 interacts with Rev via the N-terminal domain, suggesting a role of DDX1 in initial complex assembly. DDX1 promotes Rev oligomerization on the RRE through this interaction (Robertson-Anderson et al., 2011). Thus, DDX1 and DDX3 act sequentially in the Rev-dependent RNA nuclear export. DDX1 first binds to Rev and promotes Rev oligomerization on the RRE. Then, the oligomerized Rev recruits the CRM1/DDX3 complex that subsequently exports the RRE-containing HIV-1 RNAs into the cytoplasm (Lorgeoux et al., 2012). In addition to DDX1 and DDX3, we and other group recently reported that other RNA helicases, including DDX5, DDX17, DDX21, DHX36, DDX47, DDX56, and RNA helicase A (RHA) associate with the Rev-dependent nuclear export function (Figure 3; Li et al., 1999; Naji et al., 2012; Yasuda-Inoue et al., 2013a; Zhou et al., 2013). Furthermore, DDX3 interacts with DDX5 and synergistically enhances the Rev-dependent nuclear export. As well, combination of other distinct DDX RNA helicases such as DDX1 and DDX3 also synergistically facilitates the Rev function (Yasuda-Inoue et al., 2013a) suggesting that a set of distinct Rev-interacting DEAD-box RNA helicases cooperate to modulate the HIV-1 Rev function.

On the other hand, HIV-1 Tat activates the HIV-1 RNA synthesis. Tat binds to the TAR RNA and recruits several host factors including p300/CREB-binding protein (p300/CBP), p300/CBP-associated factor (PCAF), SWI/SNF chromatin-remodeling complex, and positive transcription elongation factor b (P-TEFb) to stimulate both transcription initiation and elongation (Jeang et al., 1999; Ariumi et al., 2006; Lorgeoux et al., 2012). P-TEFb



contains cyclin T1 and cyclin-dependent kinase 9 (CDK9). CDK9 hyperphosphorylates the C-terminal domain (CTD) of RNA Pol II and activates transcription elongation. The Werner syndrome (WRN) helicase and RHA were reported to act as co-factors of Tat and enhance the HIV-1 gene expression (Fujii et al., 2001; Sharma et al., 2007). In addition to WRN and RHA, DDX3 interacts with Tat (Figure 3; Lai et al., 2013; Yasuda-Inoue et al., 2013b). Tat is partially targeted to cytoplasmic stress granules upon DDX3 overexpression or cell stress conditions, suggesting a potential role of Tat/DDX3 complex in translation. Accordingly, Tat remains associated with translating mRNAs and facilitates translation of mRNAs containing the HIV-1 5'UTR. In this regard, DDX3 is essential for translation of HIV-1 genomic RNA (gRNA; Figure 3; Soto-Rifo et al., 2012). DDX3 directly binds to the HIV-1 5'UTR and interacts with eIF4G and PABP but lacking the major cap-binding proteins eIF4E in large cytoplasmic RNA granules (Soto-Rifo et al., 2013), indicating that DDX3 promotes the HIV-1 gRNA translation initiation in an eIF4E-independent manner.

Both HIV-1 and HCV have been shown to utilize DDX3 as a cofactor for viral genome replication. Therefore, DDX3 could be an important therapeutic target for development of anti-viral drug (Kwong et al., 2005). Indeed, small molecule inhibitors were used to inhibit ATPase activity of DDX3 with anti-HIV-1 activity (Maga et al., 2008, 2011; Yedavalli et al., 2008; Radi et al., 2012).

### DDX3 RESTRICTS HBV REPLICATION

Hepatitis B virus is also the causative agent of chronic hepatitis, which progresses to liver cirrhosis and HCC worldwide. HBV belongs to hepadnavirus family and contains a small partially double-stranded circular DNA genome of 3.2 kb. Even though HBV is a DNA virus, HBV replicates its DNA genome via reverse transcription. Upon HBV infection, the HBV DNA is converted into covalently closed circular DNA (cccDNA) as the template for the viral transcription. Pregenomic RNA (pgRNA) of 3.5 kb is selectively packaged into nucleocapsid together with HBV Pol. The pgRNA is reverse transcribed by HBV Pol to generate relaxed circular (RC) DNA. The HBV reverse transcription occurs entirely within nucleocapsid following encapsidation.

Recently, it was shown that DDX3 specifically binds to the HBV Pol and is incorporated into nucleocapsid together with HBV Pol (Wang et al., 2009). However, unlike HIV-1 and HCV replication, which is enhanced by DDX3 (Yedavalli et al., 2004; Ariumi et al., 2007; Randall et al., 2007), HBV reverse transcription was inhibited by DDX3. In addition, recent study reported that DDX3 suppresses transcription from HBV promoter (Ko et al., 2014). The helicase activity is dispensable for this DDX3-mediated transcription suppression. Thus, DDX3 is identified as a new host restriction factor for HBV.

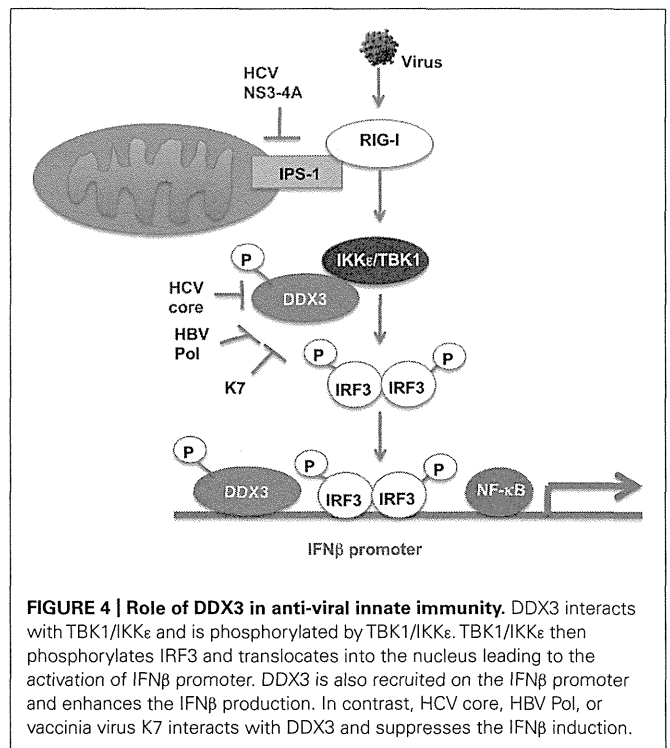
### ROLE OF DDX3 IN ANTI-VIRAL INNATE IMMUNITY

Viral infection triggers host innate immune responses through activation of the transcription factors NF- $\kappa$ B and IFN regulatory factor (IRF)-3 leading to type I IFN production and anti-viral state in mammalian cells (Gale and Foy, 2005; Saito and Gale, 2007). Similar to NF- $\kappa$ B, IRF-3 is retained in cytoplasm in uninfected cells. After viral infection, IRF-3 is phosphorylated by IKK $\epsilon$  and

TBK1 and the phosphorylated IRF-3 then homodimerizes and translocates into the nucleus to activate type I IFN. Type I IFNs, such as IFN- $\alpha$  and IFN- $\beta$  are essential for immune defense against viruses. These IFNs activate the JAK-STAT pathway to induce the IFN-stimulated genes (ISGs), which impact immune enhancing and antiviral action of host cells.

Double-stranded RNA (dsRNA) produced during viral replication is recognized by the host cell as pathogen-associated molecular patterns (PAMPs) by two major pathogen recognition receptor (PRR) proteins: the Toll-like receptors (TLRs; Akira and Takeda, 2004) and DEAD-box RNA helicases RIG-I and Mda5 (Andrejeva et al., 2004; Yoneyama et al., 2004). RIG-I contains two N-terminal caspase activation and recruitment domains (CARD) and a C-terminal RNA helicase domain that binds to dsRNA. Binding viral RNA to RIG-I lead to a conformational change that allows to interact with the RIG-I/Mda5 adaptor IPS-1/MAVS/Cardif/VISA (Kawai et al., 2005; Meylan et al., 2005; Seth et al., 2005; Xu et al., 2005) leading to the activation of IRF-3 and NF- $\kappa$ B. Notably, RIG-I and Mda5 distinguish RNA viruses and are critical for host antiviral responses (Kato et al., 2006). RIG-I is essential for the production of IFN in response to RNA viruses including paramyxoviruses, influenza virus and Japanese encephalitis virus, while Mda5 is critical for picornavirus detection.

DDX3 was recently reported to be a component of anti-viral innate immune signaling pathway leading to type I IFN (Figure 4; Schröder et al., 2008; Soulat et al., 2008; Gu et al., 2013). Indeed, DDX3 contributes to enhance the induction of anti-viral mediators, IRF3 and type I IFN. DDX3 up-regulates the IFN- $\beta$  induction through an interaction with IKK $\epsilon$  (Figure 4; Schröder et al., 2008; Gu et al., 2013) or TBK1 (Soulat et al., 2008). Phosphorylation of





DDX3 at serine 102 by IKK $\epsilon$  was required for the recruitment of IRF-3 into the complex. Both IKK $\epsilon$  and TBK1 are IRF-3-activating kinase to leading the NF- $\kappa$ B and IFN induction. Furthermore, DDX3 is recruited to the IFN $\beta$  promoter (Figure 4; Soulat et al., 2008), suggesting that DDX3 acts as a transcriptional regulator. In addition, DDX3 also forms a complex with RIG-I and Mda5 and binds to IPS-1 to facilitate IFN $\beta$  induction (Oshiumi et al., 2010b), suggesting that DDX3 acts as a viral RNA sensor and a scaffolding adaptor to link of viral RNA with the IPS-1 complex.

In contrast, viruses must overcome the host anti-viral innate immunity. HCV NS3-4A protease cleaves IPS-1/Cardif to block IFN $\beta$  induction (Figure 4; Meylan et al., 2005) In addition, HCV core protein can disrupt the DDX3-IPS-1/MAVS/Cardif/VISA interaction and act as a viral immune evasion protein preventing IFN $\beta$  induction (Figure 4; Oshiumi et al., 2010a). Furthermore, DDX3 is known to bind to HBV Pol and restrict the HBV replication (Wang et al., 2009). Conversely, HBV Pol acts as a viral immune evasion protein by disrupting the interaction of DDX3 with TBK1/IKK $\epsilon$  (Figure 4; Wang and Ryu, 2010; Yu et al., 2010). Similarly, vaccinia virus K7 protein targets DDX3 (Schröder et al., 2008; Kalverda et al., 2009; Oda et al., 2009) and inhibits the IFN $\beta$  induction by preventing TBK1/IKK $\epsilon$ -mediated IRF activation (Figure 4; Schröder et al., 2008). Moreover, DDX3 contributes the DNA sensor ZBP1/DAI-dependent IFN response after human cytomegalovirus infection (DeFilippis et al., 2010).

In conclusion, DDX3 participates in anti-viral innate immune signaling pathway leading to type I IFN induction. In contrast, viruses must target DDX3 and evolve mechanisms to overcome this host immune system. Indeed, several RNA viruses sequester and utilize DDX3 for their viral replication and prevent IFN induction.

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# Amphipathic $\alpha$ -Helices in Apolipoproteins Are Crucial to the Formation of Infectious Hepatitis C Virus Particles

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## Abstract

Apolipoprotein B (ApoB) and ApoE have been shown to participate in the particle formation and the tissue tropism of hepatitis C virus (HCV), but their precise roles remain uncertain. Here we show that amphipathic  $\alpha$ -helices in the apolipoproteins participate in the HCV particle formation by using zinc finger nucleases-mediated apolipoprotein B (ApoB) and/or ApoE gene knockout Huh7 cells. Although Huh7 cells deficient in either ApoB or ApoE gene exhibited slight reduction of particles formation, knockout of both ApoB and ApoE genes in Huh7 (DKO) cells severely impaired the formation of infectious HCV particles, suggesting that ApoB and ApoE have redundant roles in the formation of infectious HCV particles. cDNA microarray analyses revealed that ApoB and ApoE are dominantly expressed in Huh7 cells, in contrast to the high level expression of all of the exchangeable apolipoproteins, including ApoA1, ApoA2, ApoC1, ApoC2 and ApoC3 in human liver tissues. The exogenous expression of not only ApoE, but also other exchangeable apolipoproteins rescued the infectious particle formation of HCV in DKO cells. In addition, expression of these apolipoproteins facilitated the formation of infectious particles of genotype 1b and 3a chimeric viruses. Furthermore, expression of amphipathic  $\alpha$ -helices in the exchangeable apolipoproteins facilitated the particle formation in DKO cells through an interaction with viral particles. These results suggest that amphipathic  $\alpha$ -helices in the exchangeable apolipoproteins play crucial roles in the infectious particle formation of HCV and provide clues to the understanding of life cycle of HCV and the development of novel anti-HCV therapeutics targeting for viral assembly.

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**Data Availability:** The authors confirm that all data underlying the findings are fully available without restriction. All relevant data are within the paper and its Supporting Information files except for the cDNA array data of GSE32886 which is available from GEO (Gene Expression Omnibus) under the accession number GSE32886.

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## Introduction

More than 160 million individuals worldwide are infected with hepatitis C virus (HCV), and cirrhosis and hepatocellular carcinoma induced by HCV infection are life-threatening diseases [1]. Current standard therapy combining peg-interferon (IFN), ribavirin (RBV) and a protease inhibitor has achieved a sustained virological response (SVR) in over 80% of individuals infected with HCV genotype 1 [2]. In addition, many antiviral agents targeting non-structural proteins and host factors involved in HCV replication have been applied in clinical trials [3,4].

*In vitro* systems have been developed for the study of HCV infection and have revealed many details of the life cycle of HCV. By using pseudotype particles bearing HCV envelope proteins and RNA replicon systems, many host factors required for entry and

RNA replication have been identified, respectively [5,6]. In addition, development of a robust *in vitro* propagation system of HCV based on the genotype 2a JFH1 strain (HCV<sub>cc</sub>) has gradually clarified the mechanism of assembly of HCV particles [7,8]. It has been shown that the interaction of NS2 protein with structural and non-structural proteins facilitates assembly of the viral capsid and formation of infectious particles at the connection site between the ER membrane and the surface of lipid droplets (LD) [9]. On the other hand, very low density lipoprotein (VLDL) associated proteins, including apolipoprotein B (ApoB), ApoE, and microsomal triglyceride transfer protein (MTTP), have been shown to play crucial roles in the formation of infectious HCV particles [10–12]. Generally, ApoA, ApoB, ApoC and ApoE bind the surface of lipoprotein through the interaction between amphipathic  $\alpha$ -helices and ER-derived membrane [13,14]. This

## Author Summary

*In vitro* systems have been developed for the study of hepatitis C virus (HCV) infection and have revealed many details of the life cycle of HCV. Apolipoprotein B (ApoB) and ApoE have been shown to play crucial roles in the particle formation of HCV, based on data obtained by siRNA-mediated gene knockdown and overexpression of the proteins. However, precise roles of the apolipoproteins in HCV assembly have not been elucidated yet. In this study, we show that infectious particle formation of HCV in Huh7 cells was severely impaired by the knockout of both ApoB and ApoE genes by artificial nucleases, and this reduction was cancelled by the expression of not only ApoE, but also other exchangeable apolipoproteins, including ApoA1, ApoA2, ApoC1, ApoC2 and ApoC3. In addition, expression of amphipathic  $\alpha$ -helices in the exchangeable apolipoproteins restored the infectious particle formation in the double-knockout cells through an interaction with viral particles. These results provide clues to the understanding of life cycle of HCV and the development of novel antivirals to HCV.

binding of apolipoproteins enhances the stability and hydrophilicity of lipoprotein. However, the specific roles played by the apolipoproteins in HCV particle formation are controversial. Gastaminza et al. demonstrated that ApoB and MTTP are cellular factors essential for an efficient assembly of infectious HCV particles [10]. However, studies by other groups demonstrated that ApoE is a major determinant of the infectivity and particle formation of HCV, and the ApoE fraction is highly enriched with infectious particles [11]. In addition, Mancone et al. showed that ApoA1 is required for production of infectious particles of HCV [15]. However, the evidence of the involvement of apolipoproteins in HCV particle formation is dependent on knockdown data and exogenous expression of the apolipoproteins, and thus the precise mechanisms of participation of the apolipoproteins in HCV assembly have not been elucidated [10,11,16].

Recently, several novel genome editing techniques have been developed, including methods using zinc finger nucleases (ZFN), transcription activator like-effector nucleases (TALEN) and CRISPR/Cas9 systems [17–19]. DNA double strand breaks (DSBs) induced by these artificial nucleases can be repaired by error-prone non-homologous end joining (NHEJ), resulting in mutant mice or cell lines carrying deletions, insertions, or substitutions at the cut site. To clarify the detailed function of gene family with redundant functions, the generation of animals or cell lines carrying multiple mutated genes may be essential.

In this study, Huh7 cell lines deficient in both ApoB and ApoE genes were established by using ZFNs and revealed that ApoB and ApoE redundantly participate in the formation of infectious HCV particles. Interestingly, the expression of other exchangeable apolipoproteins, i.e., ApoA1, ApoA2, ApoC1, ApoC2 and ApoC3, facilitated HCV assembly in ApoB and ApoE double-knockout cells. In addition, the expression of amphipathic  $\alpha$ -helices in the exchangeable apolipoproteins restored the production of infectious particles in the double-knockout cells through an interaction with viral particles.

## Results

### Several apolipoproteins participate in the production of infectious viral particles

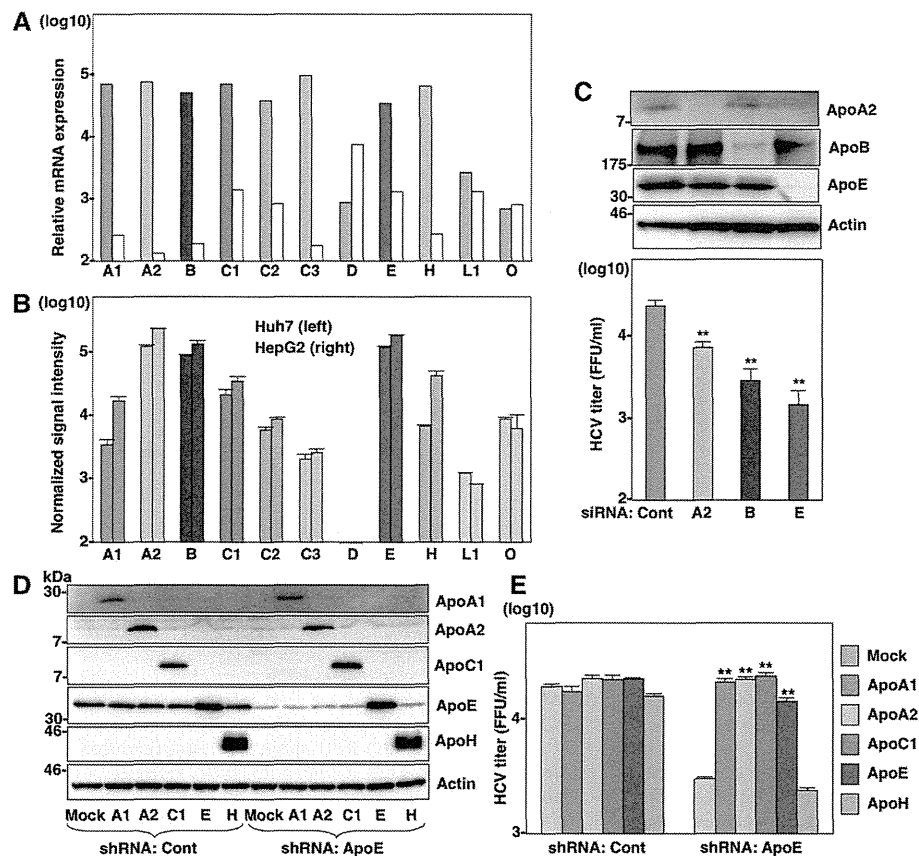
First, we compared expression levels of apolipoproteins between hepatocyte and hepatic cancer cell lines including Huh7 and

HepG2 cells (Fig. 1A and B). The web-based search engine NextBio (NextBio, Santa Clara, CA) revealed that ApoB, ApoH and the exchangeable apolipoproteins ApoA1, ApoA2, ApoC1, ApoC2, ApoC3, and ApoE are highly expressed in human liver tissues (Fig. 1A). On the other hand, the expressions of ApoA1, ApoC1, ApoC2, ApoC3 and ApoH in hepatic cancer cell lines were suppressed compared to those in hepatocytes (Fig. 1B). To examine the roles of apolipoproteins in the formation of infectious HCV particles, the effects of knockdown of ApoA2, ApoB and ApoE on the infectious particle production in the supernatants were determined in Huh7 cells by focus forming assay (Fig. 1C). The transfection of siRNAs targeting to ApoA2, ApoB and ApoE significantly suppressed the production of infectious HCV particles. This inhibitory effect is well consistent with the high level of expression of these apolipoproteins in the hepatic cancer cell lines, suggesting that the apolipoproteins involved in HCV assembly are dependent on the expression pattern in hepatic cancer cell lines, including Huh7 cells [20]. Therefore, we examined the effects of exogenous expression of the apolipoproteins highly expressed in the liver tissues on the infection of HCV in the stable ApoE-knockdown Huh7 cells (Fig. 1D). In contrast to the control-knockdown cells, expression of not only ApoE but also ApoA1, ApoA2, and ApoC1 rescued the infectious particle formation in the ApoE-knockdown cells (Fig. 1E), suggesting that various exchangeable apolipoproteins participate in the efficient production of infectious HCV particles.

### ApoB and ApoE have a redundant role in HCV particle formation

To obtain more convincing data on the involvement of apolipoproteins in the production of infectious HCV particles, we established knockout (KO) Huh7 cells deficient in either ApoB (B-KO1 and B-KO2) or ApoE (E-KO1 and E-KO2) by using ZFN (Figure S1). Deficiencies of ApoB or ApoE expression in these cell lines were confirmed by ELISA and immunoblotting analyses (Figure S1). First, we examined the roles of ApoB and ApoE on the entry and RNA replication of HCV by using HCV pseudotype particles (HCVpp) and subgenomic replicon (SGR) of the JFH1 strain, respectively. The B-KO and E-KO cell lines exhibited no significant effect on the infectivity of HCVpp and the colony formation of SGR (Figure S2A and Figure S2B), suggesting that ApoB and ApoE are not involved in the entry and replication processes of HCV. To examine the role of ApoB and ApoE in the propagation of HCV, HCVcc was inoculated into parental, B-KO and E-KO cell lines at an MOI of 1, and intracellular viral RNA and infectious titers in the supernatants were determined (Figure S2C and Figure S2D). Although RNA replication and infectious particle formation in B-KO cells upon infection with HCV were comparable with those in parental Huh7 cells, E-KO cells exhibited slight reduction of particle formation, and the expression of ApoE in E-KO cells rescued infectious particle formation (Figure S2C, Figure S2D, Figure S2E). Next, to examine the redundant role of ApoB, the effect of knockdown of ApoB on HCV assembly was determined in parental and E-KO Huh7 cell lines (Fig. 2A). Knockdown of ApoB in E-KO cells resulted in a more efficient reduction of infectious particle production than that in parental Huh7 cells, suggesting that ApoB and ApoE have a redundant role in the formation of infectious HCV particles.

To further confirm the redundant role of ApoB and ApoE in the HCV life cycle, especially in the particle formation, 2 clones of ApoB and ApoE double-knockout (BE-KO1 and BE-KO2) Huh7 cells were established by ZFNs (Figure S3A and Figure S3B). The lack of ApoB and ApoE expressions was confirmed by immunoblotting and ELISA analyses (Figure S3C, Figure S3D, Figure



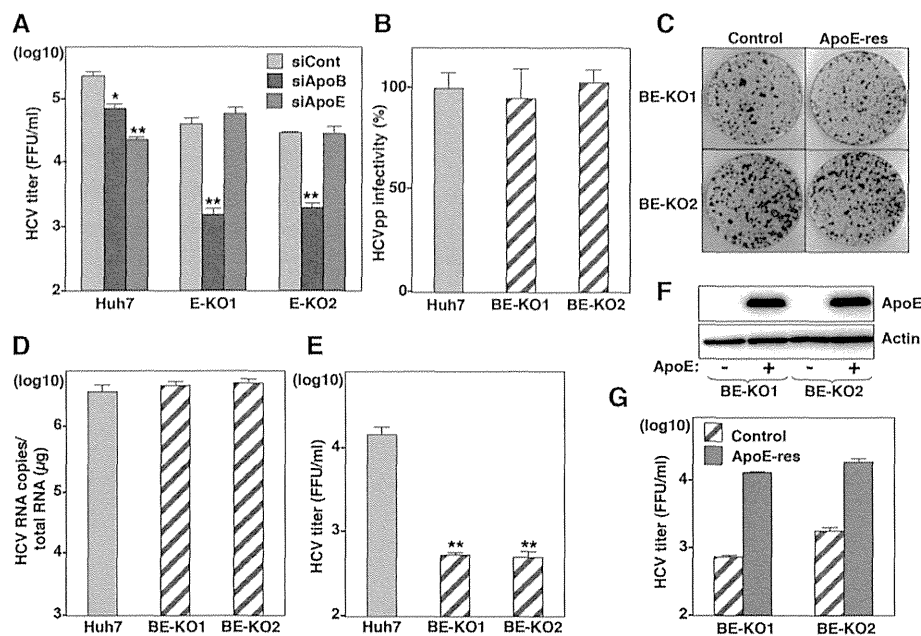
**Figure 1. Several apolipoproteins participate in HCV propagation.** (A) Relative mRNA expression of the apolipoproteins in the liver tissues (left columns) was determined using the NextBio Body Atlas application. The median expression (right columns) was calculated across all 128 human tissues from 1,068 arrays using the Affymetrix GeneChip Human Genome U133 Plus 2.0 Array. mRNA expression for each gene was log10 transformed. (B) Log10 transformed, normalized signal intensity of the apolipoproteins in Huh7 (left columns) and HepG2 (right columns) cells were extracted from previously published expression microarray dataset GSE32886. (C) Huh7 cells infected with HCVcc at an MOI of 1 at 6 h post-transfection with siRNAs targeting ApoA2 (A2), ApoB (B), ApoE (E) and control (Cont), and expression levels of apolipoproteins (upper panel) and infectious titers in the culture supernatants (lower panel) were determined by immunoblotting and a focus-forming assay at 72 h post-infection, respectively. (D) ApoA1, ApoA2, ApoC1, ApoE and ApoH were exogenously expressed in control and ApoE-knockdown Huh7 cells by lentiviral vectors. Expressions of the apolipoproteins were determined by immunoblotting analysis. (E) Infectious titers in the culture supernatants of control and ApoE-knockdown Huh7 cells expressing the apolipoproteins were determined by focus-forming assay at 72 h post-infection. In all cases, asterisks indicate significant differences (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ) versus the results for control cells. doi:10.1371/journal.ppat.1004534.g001

S3E). The BE-KO cell lines also exhibited no significant effect on the infectivity of HCVpp (Fig. 2B) and the colony formation of SGR (Fig. 2C). Next, we examined the redundant role of ApoB and ApoE on the propagation of HCVcc. Upon infection with HCVcc at an MOI of 1, infectious titers in the supernatants of BE-KO1 and BE-KO2 cells were 50 to 100 times lower than those of parental Huh7 cells at 72 h post-infection, while the level of intracellular RNA replication was comparable (Fig. 2D and E). In addition, exogenous expression of ApoE in BE-KO (ApoE-res) cells rescued the production of infectious viral particles to levels comparable to those in parental Huh7 cells (Fig. 2F and G), suggesting that ApoB and ApoE redundantly participate in the particle formation of HCV.

### MTTP participates in HCV particle formation through the maturation of ApoB

It is difficult to determine the roles of ApoB in the particle formation of HCV, because ApoB is too large (550 kDa) to obtain cDNA for expression. However, previous reports have shown that expression of MTTP facilitates the secretion of ApoB [21]. To

further clarify the roles of ApoB in the life cycle of HCV, we established knockout Huh7 cell lines deficient in MTTP (M-KO1 and M-KO2) and in both ApoE and MTTP (EM-KO1 and EM-KO2) by using the ZFN and CRISPR/Cas9 system (Figure S4A and Figure S4E). The lack of MTTP, ApoB and ApoE expressions was confirmed by immunoblotting and ELISA analyses (Figure S4B, Figure S4C, Figure S4D, Figure S4F, Figure S4G, Figure S4H). As previously reported, the secretion of ApoB was completely abrogated in M-KO and EM-KO cells, while the mRNA levels of ApoB were comparable among Huh7, M-KO and EM-KO cells (Figure S4I). To examine the roles of MTTP in the assembly of HCV through the secretion of ApoB, HCVcc was inoculated into the Huh7, B-KO, M-KO, E-KO, BE-KO and EM-KO cell lines at an MOI of 1, and intracellular HCV genomes and infectious titers in the supernatants were determined (Fig. 3A–C). Although intracellular RNA replication in M-KO and EM-KO cells was comparable with that in Huh7, B-KO, E-KO and BE-KO cells (Fig. 3B), infectious titers in the supernatants of EM-KO cells were severely impaired as seen in BE-KO cells, while those of M-KO cells were comparable to those of parental Huh7 cells (Fig. 3C), suggesting that MTTP participates



**Figure 2. ApoB and ApoE redundantly participate in the formation of infectious HCV particles.** (A) Huh7 and E-KO1 cells were infected with HCVcc at an MOI of 1 at 6 h post-transfection with siRNAs targeting ApoB or ApoE, and infectious titers in the culture supernatants were determined by focus-forming assay at 72 h post-infection. (B) HCVpp were inoculated into Huh7, BE-KO1 and BE-KO2 cells, and luciferase activities were determined at 48 h post-infection. (C) A subgenomic HCV RNA replicon of the JFH1 strain was electroporated into BE-KO1 and BE-KO2 cells with/without expression of ApoE by lentiviral vector (ApoE-res), and the colonies were stained with crystal violet at 31 days post-electroporation after selection with 400 µg/ml of G418. Huh7, BE-KO1 and BE-KO2 cells were infected with HCVcc at an MOI of 1, and intracellular HCV RNA (D) and infectious titers in the supernatants (E) were determined at 72 h post-infection by qRT-PCR and focus-forming assay, respectively. (F) Exogenous expression of ApoE in BE-KO1 and BE-KO2 cells by lentiviral vector was determined by immunoblotting analysis. (G) Infectious titers in the culture supernatants of BE-KO1 (gray bars) and ApoE-res cells (red bars) infected with HCVcc at an MOI of 1 were determined at 72 h post-infection by focus-forming assay.

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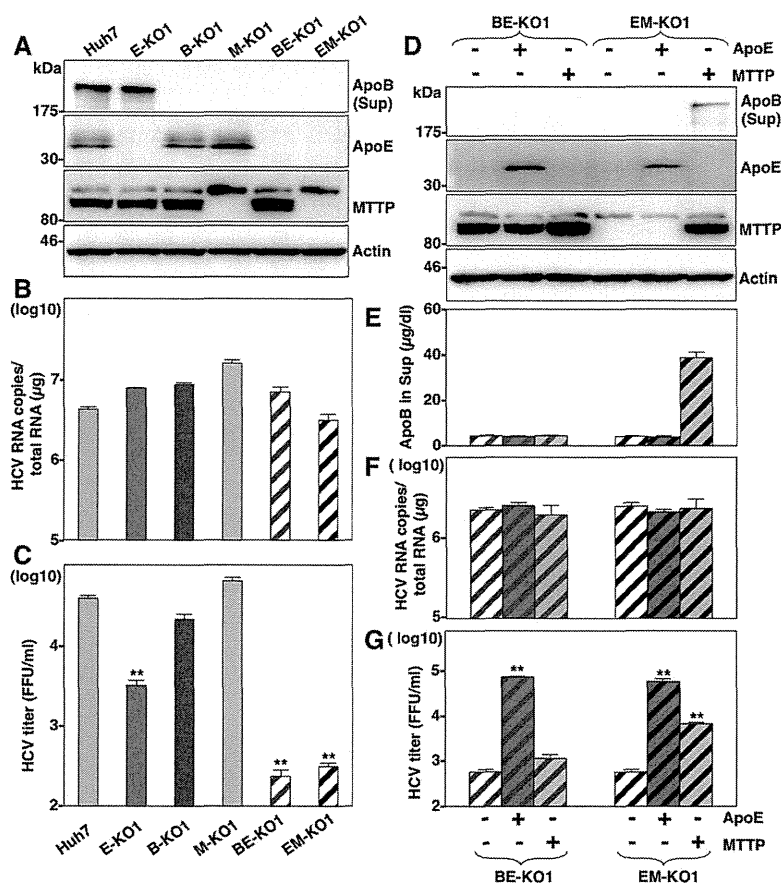
in the HCV assembly through the regulation of ApoB secretion. To further confirm the roles of MTTP in HCV assembly through ApoB secretion, the effects of exogenous expression of MTTP in EM-KO cells on the infectious particle formation of HCV were determined. Immunoblotting and ELISA analyses revealed that exogenous expression of MTTP rescued the secretion of ApoB into the supernatants of EM-KO cells (Fig. 3D and E), while expression of ApoE or MTTP in both BE-KO and EM-KO cells exhibited no effect on the intracellular RNA replication (Fig. 3F). Although exogenous expression of ApoE rescued the infectious particle formation of HCV in both BE-KO and EM-KO cells, expression of MTTP rescued the particle formation in EM-KO cells but not in BE-KO cells (Fig. 3G), supporting the notion that MTTP plays a crucial role in the HCV assembly through the maturation of ApoB.

#### Exchangeable apolipoproteins redundantly participate in the assembly of infectious HCV particles

Next, to examine the roles played in HCV particles formation by other apolipoproteins highly expressed in the liver (Fig. 1A), the expressions of ApoA1, ApoA2, ApoC1, ApoC2, ApoC3 and ApoH in BE-KO1 cells were suppressed by siRNAs (Fig. 4A and Figure S5). While knockdown of ApoA1, ApoC3 and ApoH exhibited no effect, that of ApoA2, ApoC1 and ApoC2 significantly inhibited the release of infectious particles, which was consistent with the expression pattern of endogenous apolipoproteins except for ApoH in Huh7 cells (Fig. 1B), suggesting that not only ApoB and ApoE but also other exchangeable apolipoproteins participate in HCV particle formation. To confirm the redundant role of these

apolipoproteins on the infectious particle formation, the effects of exogenous expression of these apolipoproteins on the propagation of HCVcc in BE-KO1 cells were determined. ApoA1, ApoA2, ApoC1, ApoC2, ApoC3, ApoE and ApoH were expressed by lentiviral vector in BE-KO1 cells (Fig. 4B upper panel). The expressions of ApoA1, ApoA2, ApoC1, ApoC2, ApoC3 and ApoE but not of ApoH enhanced extracellular HCV RNA, while they exhibited no effect on intracellular HCV RNA (Fig. 4C). In addition, the expressions of these exchangeable apolipoproteins enhanced the infectious particle formation in the supernatants of BE-KO1 cells (Fig. 4B lower panel). On the other hand, the expression of nonhepatic apolipoproteins, including ApoD, ApoL1, and ApoO, exhibited no effect on HCV particle formation in BE-KO1 cells (Figure S6). These results suggest that exogenous expression of not only the ApoE but also the ApoA and ApoC families can compensate for the impairment of HCV particle formation in BE-KO1 cells. Interestingly, specific infectivity (infectious titers/viral RNA levels in supernatants) was also enhanced by the expression of ApoA1, ApoA2, ApoC1, ApoC2, ApoC3 and ApoE, suggesting that these apolipoproteins participate in the infectious but not non-infectious particle formation of HCV (Fig. 4D). Previous reports have suggested that the expressions of Claudin1 (CLDN1), miR-122 and ApoE facilitate the production of infectious particles in nonhepatic 293T cells [16]. Therefore, the effects of exogenous expression of exchangeable apolipoproteins on particle formation were examined in 293T cells expressing CLDN1 and miR-122 (293T-CLDN/miR-122 cells). Exogenous expression of ApoA1, ApoA2, ApoC1, ApoC2, ApoC3 and ApoE, but not of ApoH by lentiviral vector facilitated the production of infectious





**Figure 3. MTTP participates in the formation of infectious HCV particles through the maturation of ApoB.** (A) Expressions of ApoB, ApoE and MTTP in Huh7, B-KO1, M-KO1, E-KO1, BE-KO1 and EM-KO1 cells were determined by immunoblotting analysis. Cells were infected with HCVcc at an MOI of 1, and intracellular HCV RNA (B) and infectious titers in the supernatants (C) were determined at 72 h post-infection by qRT-PCR and focus-forming assay, respectively. The expressions of ApoB, ApoE and MTTP in BE-KO1 and EM-KO1 cells with/without expression of ApoE or MTTP by lentiviral vector were determined by immunoblotting (D) and ELISA (E). Cells were infected with HCVcc at an MOI of 1, and intracellular HCV RNA (F) and infectious titers in the supernatants (G) were determined at 72 h post-infection by qRT-PCR and focus-forming assay, respectively. doi:10.1371/journal.ppat.1004534.g003

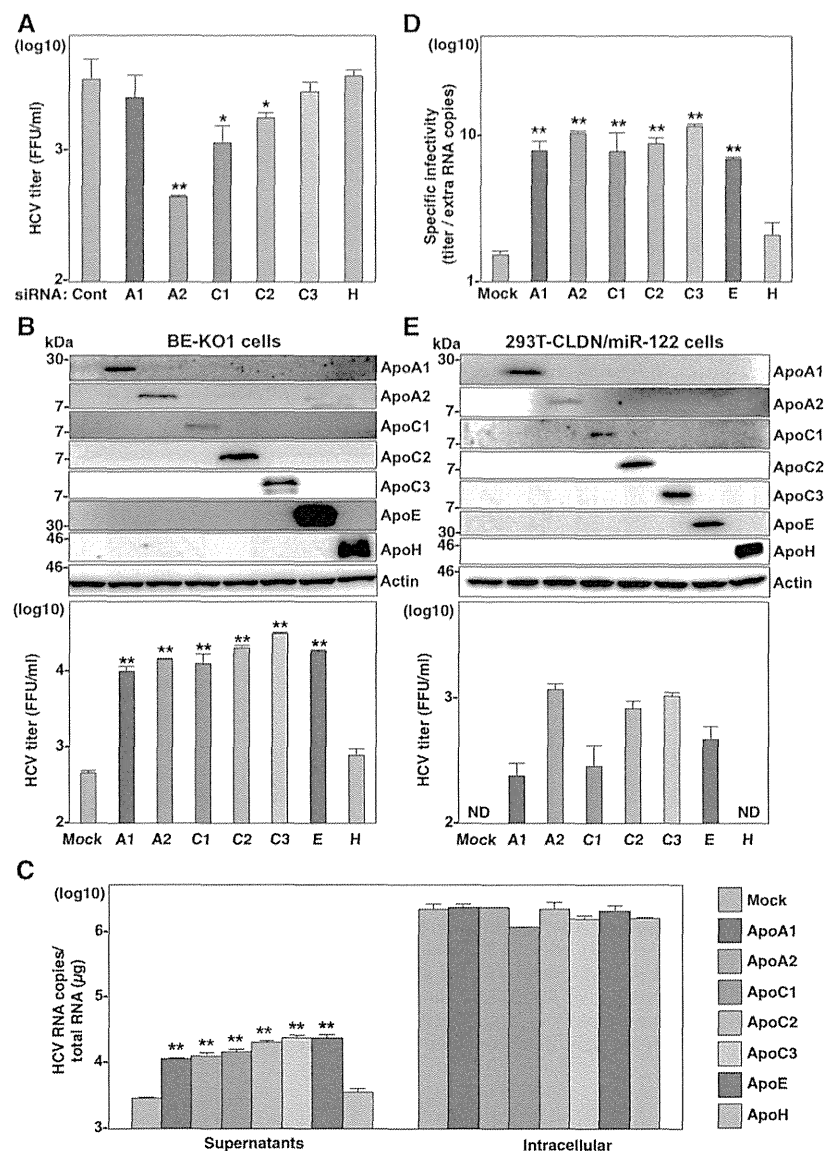
HCV particles in 293T-CLDN/miR-122 cells (Fig. 4E). On the other hand, the expression of ApoE exhibited no effect on the propagation of Japanese encephalitis virus (JEV) and dengue virus (DENV) (Figure S7) in BE-KO1 cells. These results suggest that the exchangeable apolipoproteins and ApoB redundantly and specifically participate in the formation of HCV particles.

To examine the role of exchangeable apolipoproteins in the formation of other genotypes of HCV, the effect of exogenous expression of these apolipoproteins on the propagation of genotype 1b and 3a chimeric HCVcc, TH/JFH1 and S310/JFH1 viruses in BE-KO1 cells was determined (Fig. 5) [22,23]. As seen in infection with HCVcc (JFH1), expression of ApoA1, ApoA2, ApoC1, ApoC2, ApoC3 and ApoE enhanced the formation of infectious particles of TH/JFH1 and S310/JFH1 chimeric viruses. These results suggest that ApoA1, ApoA2, ApoC1, ApoC2, ApoC3 and ApoE redundantly participate in the efficient formation of infectious HCV particles of genotypes 1b, 2a and 3a.

#### Apolipoproteins participate in the post-envelopment step of particle formation

To determine the details of the assembly of infectious HCV particles in the BE-KO1 cells, intracellular infectious titers were determined in Huh7, BE-KO1 and ApoE-res cells by using the

freeze and thaw method. Not only intracellular but also extracellular infection titers were impaired in BE-KO1 cells compared with those in parental and ApoE-res cells (Fig. 6A), suggesting that intracellular particle formation is impaired by deficiencies in the expression of ApoB and ApoE. Previous reports have shown that the recruitment of viral proteins around LD and redistribution of LD are essential for HCV assembly [24]. To clarify the roles of the exchangeable apolipoproteins on HCV assembly in more detail, we examined the intracellular localization of viral proteins, LD and ER in BE-KO1 and ApoE-res cells. The localization of core proteins around LD and the membranous-web structure forming the replication complex were observed in BE-KO1 cells upon infection with HCVcc, as reported in parental Huh7 cells (Fig. 6B, 6C and Figure S8). However, greater accumulation of core proteins and LD around the perinuclear region was detected in BE-KO1 cells in comparison with ApoE-res cells (Fig. 6C and 6D), supporting the notion that apolipoproteins participate in the infectious particle formation in HCV rather than viral RNA replication. Previous studies revealed that core proteins were mainly localized on the ER membrane upon infection with the genotype 2a Jc1 strain-based HCVcc (HCVcc/Jc1), and inhibition of capsid assembly and envelopment caused accumulation of core proteins on the surface of LD [25–27]. In ApoE-res cells, core proteins of HCVcc/Jc1 were mainly localized on the

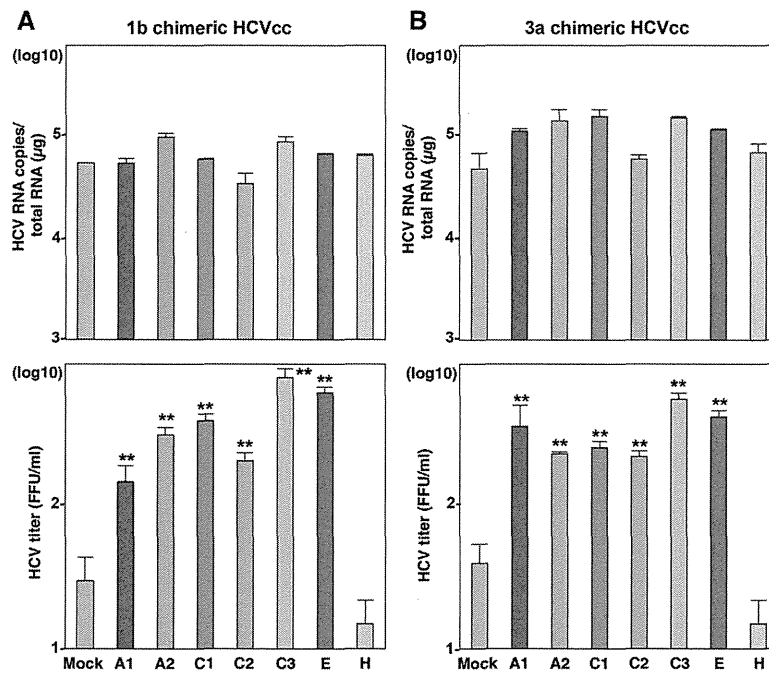


**Figure 4. Exchangeable apolipoproteins redundantly participate in the formation of infectious HCV particles.** (A) BE-KO1 cells infected with HCVcc at an MOI of 1 at 6 h post-transfection with siRNAs targeting ApoA1 (A1), ApoA2 (A2), ApoC1 (C1), ApoC2 (C2), ApoC3 (C3) and ApoH (H) and infectious titers in the culture supernatants were determined by focus-forming assay at 72 h post-infection. (B) ApoA1, ApoA2, ApoC1, ApoC2, ApoC3, ApoE and ApoH were exogenously expressed in BE-KO1 cells by infection with lentiviral vectors, and then infected with HCVcc at an MOI of 1. Expression of the apolipoproteins was determined by immunoblot analysis (upper), and infectious titers in the culture supernatants were determined at 72 h post-infection by focus-forming assay (lower). (C) Extracellular and intracellular HCV RNA in BE-KO1 cells expressing apolipoproteins and infected with HCVcc were determined at 72 h post-infection by qRT-PCR. (D) Specific infectivity was calculated as extracellular infectious titers/extracellular HCV RNA copies in BE-KO1 cells expressing apolipoproteins at 72 h post-infection. (E) 293T cells stably expressing CLDN1 and miR-122 (293T-CLDN/miR-122 cells) were infected with the lentiviral vectors, and the expressions of the apolipoproteins were determined by immunoblot analysis (upper). These cells were infected with HCVcc at an MOI of 1, and infectious titers in the supernatants were determined at 72 h post-infection by focus-forming assay (lower). In all cases, asterisks indicate significant differences (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ) versus the results for control cells. doi:10.1371/journal.ppat.1004534.g004

ER membrane, in contrast to the co-localization of core proteins of HCVcc (JFH1) with LD (Fig. 6E upper). However, core proteins were accumulated around LD in BE-KO1 cells infected with HCVcc/Jc1, as seen in those infected with HCVcc (JFH1) (Fig. 6E lower). These results suggest that apolipoproteins participate in the steps of HCV particle formation occurring after HCV protein assembly on the LD.

To further examine the involvement of apolipoproteins in the infectious particle formation of HCV, culture supernatants and cell lysates of BE-KO1 and ApoE-res cells infected with HCVcc

were analyzed by buoyant density ultracentrifugation (Fig. 7A–B) [28]. Secretion of viral capsids in the supernatants was severely impaired in BE-KO1 cells in comparison with that in ApoE-res cells (Fig. 7A upper), in contrast to the detection of abundant intracellular capsids in both cell lines (Fig. 7B upper). Although peak levels of the core proteins and infectious titers were detected around 1.08 g/ml in both cell lines, the infectious titers in all fractions of BE-KO1 cells were significantly lower than those in ApoE-res cells, supporting the notion that apolipoproteins participate in the post-assembly process of HCV capsids which



**Figure 5. Exchangeable apolipoproteins participate in the formation of infectious HCV particles of genotype 1 and 3.** ApoA1, ApoA2, ApoC1, ApoC2, ApoC3, ApoE and ApoH were exogenously expressed in BE-KO1 cells by infection with lentiviral vectors, and then infected with genotype 1b and 3a chimeric HCVcc, TH/JFH1 (A) and S310/JFH1 (B) at an MOI of 0.5. Intracellular HCV RNA and infectious titers in the culture supernatants were determined at 72 h post-infection by qRT-PCR (upper) and focus-forming assay (lower). Asterisks indicate significant differences (\*\*,  $P < 0.01$ ) versus the results for control cells. doi:10.1371/journal.ppat.1004534.g005

is required to confer infectivity. Next, to examine the involvement of apolipoproteins in the envelopment of HCV particles, lysates of BE-KO1 and ApoE-res cells infected with HCVcc were treated with proteinase K in the presence or absence of Triton X [26]. Protection of HCV core proteins from the protease digestion was observed in both cell lysates (Fig. 7C), suggesting that apolipoproteins are not involved in the envelopment of HCV particles. Collectively, these results suggest that exchangeable apolipoproteins participate in the post-envelopment step of HCV particle formation.

#### Amphipathic $\alpha$ -helices in exchangeable apolipoproteins participate in the formation of infectious HCV particles through the interaction with viral particles

To determine the structural relevance of apolipoproteins involved in the HCV assembly, the secondary structures of the apolipoproteins were deduced by using a CLC Genomics Workbench and previous reports (Fig. 8A) [29–34]. Tandem repeats of amphipathic  $\alpha$ -helices were observed in the apolipoproteins capable of rescuing HCV assembly in BE-KO1 cells, but not in those lacking this activity, suggesting that amphipathic  $\alpha$ -helices in the apolipoproteins participate in the assembly of HCV. To examine the involvement of the amphipathic  $\alpha$ -helices of the exchangeable apolipoproteins in the particle formation of HCV, we constructed expression plasmids encoding deletion mutants of ApoE and ApoC1, and then these deletion mutants were exogenously expressed in BE-KO1 cells by lentiviral vectors (Fig. 8B and C upper panels). The expression of all of the deletion mutants of ApoE and ApoC1 containing either N-terminal or C-terminal amphipathic  $\alpha$ -helices rescued the particle formation of HCV in BE-KO1 cells (Fig. 8B and C lower panels), suggesting that amphipathic  $\alpha$ -helices in the apolipoproteins play crucial roles

in the production of infectious HCV particles. In addition, more abundant full-length and truncated ApoE were detected in the precipitates of the culture supernatants of cells infected with HCVcc than those of mock-infected cells concentrated by ultracentrifugation, suggesting that the amphipathic  $\alpha$ -helices of apolipoproteins are directly associated with HCV particles (Fig. 8D and E). Taken together, the data in this study strongly suggest that exchangeable apolipoproteins redundantly participate in the infectious particle formation of HCV through the interaction between amphipathic  $\alpha$ -helices and viral particles.

#### Discussion

In this study, we demonstrated the redundant roles of ApoB and the exchangeable apolipoproteins ApoA1, ApoA2, ApoC1, ApoC2, ApoC3 and ApoE in the assembly of infectious HCV particles. The deficiencies of both ApoB and ApoE inhibited the production of infectious HCV particles in Huh7 cells, and exogenous expression of exchangeable apolipoproteins rescued the particle formation. cDNA microarray revealed that the expression patterns of exchangeable apolipoproteins in hepatic cancer cell lines are widely different from those in liver tissue. In previous reports, ApoE and ApoB were identified as important host factors for the assembly of infectious HCV particles [10,11], and knockdown of ApoE and ApoB expression also inhibited the production of infectious particles in this study. Because ApoB and ApoE are major apolipoproteins in VLDL, several reports have suggested that the VLDL production machinery participates in the production of HCV particles. Furthermore, density gradient analyses revealed co-fractionation of HCV RNA with lipoproteins, with the resulting complexes being termed lipovirions (LVP) [12,35]. However, it has been reported that there is no correlation between secretion of VLDL and production of LVP [36]. In

