

## Original Article

# Characteristics and prediction of hepatitis B e-antigen negative hepatitis following seroconversion in patients with chronic hepatitis B

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**Aim:** We analyzed the characteristics of alanine aminotransferase (ALT) abnormality after achieving hepatitis B e-antigen (HBeAg) seroconversion (SC) and other factors associated with the occurrence of HBeAg negative hepatitis.

**Methods:** We followed 36 patients with chronic hepatitis B from 3 years prior to at least 3 years after SC (mean, 11.6 years) and examined ALT, hepatitis B virus (HBV) DNA, HB surface antigen, HB core-related antigen (HBcrAg) levels and mutations related to HBeAg SC.

**Results:** ALT normalization (<31 IU/L for at least 1 year) was primarily observed until 2 years following SC, after which it became more infrequent. We next divided patients into abnormal ( $\geq 31$  IU/L,  $n = 20$ ) and normal (<31 IU/L,  $n = 16$ ) groups based on integrated ALT level after the time point of 2 years from SC, and considered the former group as having HBeAg negative hepatitis in the present study. Although

changes in median levels of ALT and HBcrAg differed significantly between the groups, multivariate analysis showed ALT normalization within 2 years after SC to be the only significant determining factor for this disease ( $P = 0.001$ ). We then assessed the 19 patients whose ALT was normal at 2 years following SC, four of whom developed HBeAg negative hepatitis. Increased levels of HBV DNA ( $P = 0.037$ ) and HBcrAg ( $P = 0.033$ ) were significant factors of potential relevance.

**Conclusion:** ALT abnormality after 2 years of SC may be evaluated as HBeAg-negative hepatitis. ALT, HBV DNA and HBcrAg levels may be useful in predicting the outcome of patients who achieve HBeAg SC.

**Key words:** hepatitis B core-related antigen, hepatitis B virus, reactivation, seroconversion

## INTRODUCTION

HEPATITIS B VIRUS (HBV) infection is a major health concern with an estimated 350–400 million carriers worldwide. Whereas acute infection in adults is generally self-limiting, that during early childhood develops into persistent infection in most individuals, which can lead to chronic hepatitis and eventually liver cirrhosis and hepatocellular carcinoma (HCC).<sup>1–3</sup> The natural history of chronic HBV infection can be classified into

several phases based on levels of alanine aminotransferase (ALT) and HBV DNA, hepatitis B e-antigen (HBeAg) status and estimated immunological status.<sup>4</sup> In the immune tolerance phase, HBeAg is positive, ALT level is normal, histological evidence of hepatitis is absent or minimal, and HBV DNA level is elevated. The chronic hepatitis B phase is characterized by raised ALT and HBV DNA levels. In this phase, the host's immune system initiates a response that results in active hepatitis. In patients who are HBeAg positive, active hepatitis can be prolonged and may result in cirrhosis. However, chronic hepatitis B eventually transitions into an inactive phase with a loss of HBeAg positivity in the majority of patients. Seroconversion (SC) of HBeAg to HBe antibodies and the fall of HBV DNA level result in the disappearance of disease activity despite persisting hepatitis B surface antigen (HBsAg) and low HBV DNA level. The SC of

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HBeAg marks the transition from the hepatitis phase to the inactive carrier phase, which is generally thought to be a benign course for the HBV carrier, although hepatitis can sometimes reactivate spontaneously.<sup>5</sup>

Patients experiencing HBV reactivation undergo another transition characterized by increases in HBV DNA and ALT levels and disease activity without the reappearance of HBeAg. This phase is referred to as HBeAg negative chronic hepatitis B. Occasional severe hepatitis B flare-ups with moderate HBV DNA level occur in this phase.<sup>6,7</sup> It is thought that HBeAg negative chronic hepatitis B is caused by mutant strains of HBV that are unable to produce HBeAg<sup>6,8</sup> and tends to develop into cirrhosis and HCC more frequently than does HBeAg positive chronic hepatitis B.<sup>9–13</sup> Therefore, it is important to identify patients who are likely to develop HBeAg negative hepatitis after HBeAg SC from those who can maintain an inactive carrier phase. In the present study, we evaluated 36 patients with HBeAg SC to examine the effects of host factors and viral factors, including serum quantitative HBsAg, hepatitis B core-related antigen (HBcrAg), HBV DNA, PC (A1896) mutation and BCP mutations (T1762 and A1764) before, during and after SC.

## METHODS

### Patients

A TOTAL OF 36 patients with sustained HBeAg SC (24 men and 12 women; median age, 38 years [range, 23–65]) were enrolled in this study after meeting the following criteria: (i) follow ups for at least 3 years before and after HBeAg SC; and (ii) serum samples at several time points before, during and after SC available for testing. HBeAg SC was defined as seroclearance of HBeAg with the appearance of anti-HBe that was not followed by HBeAg reversion or loss of anti-HBe. All patients were seen at Shinshu University Hospital from 1985 to 2009. The median follow-up period after SC was 11.6 years (range, 3.2–26.0). HBsAg was confirmed to be positive on two or more occasions at least 6 months apart in all patients. No patients had other liver diseases, such as alcoholic or non-alcoholic fatty liver disease, autoimmune liver disease or drug-induced liver injury. Patients who were complicated with HCC or who showed signs of hepatic failure were excluded from the study. HBV genotype was C in all patients, who were also negative for antibodies to hepatitis C virus and HIV. Nucleoside/nucleotide analog (NUC) therapy was introduced in 14 patients after HBeAg SC on physicians' decision, and then follow up

was stopped. No patient was treated with interferon during the study period. ALT, albumin, bilirubin, platelet and other relevant biochemical tests were performed using standard methods.<sup>14</sup> The integration value of ALT after SC was calculated using the method described by Kumada *et al.*<sup>15</sup> (median determination frequency, 4.7/year per person [range, 1.6–13.9]) because a previous study showed integration values to be more meaningful than arithmetic mean values in long-term follow-up cohorts.<sup>16</sup> As guidelines released by the Ministry of Health, Labor and Welfare of Japan advise consideration of antiviral therapy for patients with ALT levels of 31 IU/L or more,<sup>17</sup> an ALT integration value of less than 31 IU/L was defined as normal in this report. Serum samples were stored at  $-20^{\circ}\text{C}$  until tested. Liver biopsies were performed by percutaneous sampling of the right lobe with a 14-G needle in eight patients with HBeAg negative hepatitis, as reported previously.<sup>14</sup> All biopsies were 1.5 cm or more in length. Liver histological findings were scored by the histology activity index of Knodell *et al.*<sup>18</sup> The protocol of this study was approved by the ethics committee of our university and was in accordance with the Declaration of Helsinki of 1975. Informed consent was obtained from each patient.

### Hepatitis B viral markers

Serological markers for HBV, including HBsAg, HBeAg and anti-HBe, were tested using commercially available enzyme immunoassay kits (Abbott Japan, Tokyo, Japan).<sup>19</sup> Quantitative measurement of HBsAg was done using a chemiluminescence enzyme immunoassay (CLEIA)-based HISCL HBsAg assay manufactured by Sysmex (Kobe, Japan).<sup>20</sup> The assay had a quantitative range of  $-1.5$  to  $3.3$  log IU/mL. Serum HBcrAg level was measured using a CLEIA HBcrAg assay kit with a fully automated Lumipulse System analyzer (Fujirebio, Tokyo, Japan) as described previously.<sup>21</sup> We expressed HBcrAg level in terms of log U/mL, with a quantitative range set at  $3.0$ – $6.8$  log U/mL. End titers of HBsAg and HBcrAg were determined by diluting samples with normal human serum when initial results exceeded the upper limit of the assay range. HBV DNA level was measured using an Amplicor monitor assay with a dynamic range of  $2.6$ – $7.6$  log copies/mL.<sup>22</sup> Six major genotypes (A–F) of HBV were determined using the method reported by Mizokami *et al.*,<sup>23</sup> in which the surface gene sequence amplified by polymerase chain reaction was analyzed by restriction fragment length polymorphism.

The PC and BCP mutations of HBV were assessed as previously described. Briefly, the stop codon mutation in the PC region (A189G) was detected with an enzyme-linked mini-sequence assay kit (Smitest; Roche Diagnostics, Tokyo, Japan) with a sensitivity of 1000 copies/mL. The results were expressed as the percent mutation rate as defined by Aritomi *et al.*<sup>24</sup> The PC mutation was judged to exist when the mutation rate exceeded 50% in the present study because the mutation rate would increase to 100% once surpassing this value.<sup>25</sup> The BCP double mutation was detected using an HBV core promoter detection kit (Smitest; Genome Science Laboratories) with a detection limit of 1000 copies/mL.<sup>24</sup> The BCP mutation was judged to exist for all classifications of mutant in the present study.

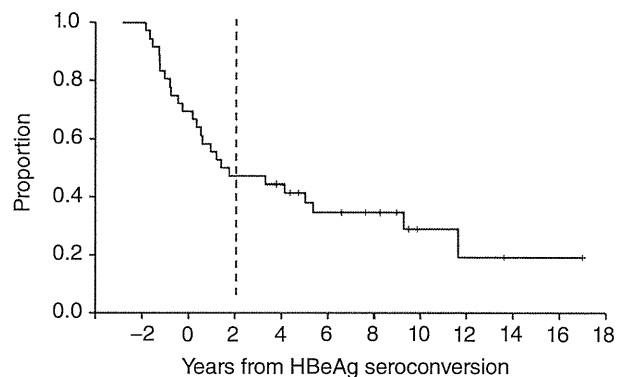
### Statistical analysis

Clinical factors were compared between patients with and without HBeAg negative hepatitis after SC using the  $\chi^2$ -test and Fisher's exact test, and group medians were compared using the Mann–Whitney *U*-test. Receiver–operator curves (ROC) with Youden's index were used to decide each cut-off point for predicting HBeAg negative hepatitis after SC. Differences between the analyzed groups were assessed using Kaplan–Meier analysis and the log–rank test. Sex, age at SC, HBcrAg level, ALT level, HBV DNA level, HBsAg level, PC mutation and BCP mutation were all suspected to be associated with ALT elevation after SC. Factors attaining a *P*-value of less than 20% in univariate analysis were used in multivariate analysis that employed a stepwise Cox proportional hazard model. These included level of serum albumin and platelet count at SC, levels of ALT at 0, 1, 2 and 3 years after SC, and levels of HBcrAg at 1, 2 and 3 years after SC. All tests were performed using the IBM SPSS Statistics Desktop for Japan ver. 19.0 (IBM Japan, Tokyo, Japan). *P*-values less than 0.05 were considered to be statistically significant.

## RESULTS

### Baseline characteristics of patients

ALL 36 PATIENTS enrolled showed abnormal levels of ALT before SC, with the majority showing normalization around the time of SC. We defined ALT normalization as a decrease in ALT level to less than 31 IU/L for at least 1 year. The change in ratio of patients not achieving normalization over time revealed two distinct phases (Fig. 1): the first was a fast decline phase from 2 years before SC to 2 years afterwards, and the second



**Figure 1** Changes in the proportion of patients with alanine aminotransferase (ALT) abnormality. ALT normalization was defined as ALT level decreasing to lower than 31 IU/L and maintained for at least 1 year. These data reveal two distinct time frames: a fast decline phase around the seroconversion (SC) period until 2 years afterwards, and a slow decline phase from 2 years after SC to the end of follow up. The vertical broken line at 2 years after SC indicates the borderline between the two phases. HBeAg, hepatitis B e-antigen.

was a slow decline phase from 2 years after SC to the end of follow up. Normalization of ALT during the fast phase was presumed to be associated with HBeAg SC, which was seen in 53% (19/36) of total patients. Based on this, we analyzed the risk factors associated with ALT abnormality after the time point of 2 years from SC by calculating integrated ALT levels (Fig. 2). We defined patients whose integrated ALT level exceeded 30 IU/L as having HBeAg negative hepatitis in the present study. Serum HBV DNA of over 4.0 log copies/mL was observed in all patients with HBeAg negative hepatitis.

Of the 36 patients enrolled, 20 (56%) developed HBeAg negative hepatitis and 16 (44%) did not. ALT normalization within 2 years after SC was significantly less frequent in patients with HBeAg negative hepatitis (Table 1). Median age, sex distribution and follow-up period did not differ between the two groups. Median albumin level tended to be lower in patients with HBeAg negative hepatitis, but only modestly. Eight of 20 HBeAg negative hepatitis patients underwent liver biopsy after SC. All had necroinflammatory activity. Initiation of NUC therapy was more common in the HBeAg negative hepatitis group.

### Clinical and virological profiles

Changes in median levels of ALT, HBV DNA, HBsAg and HBcrAg during the course of SC have been compared between patients with and without HBeAg negative

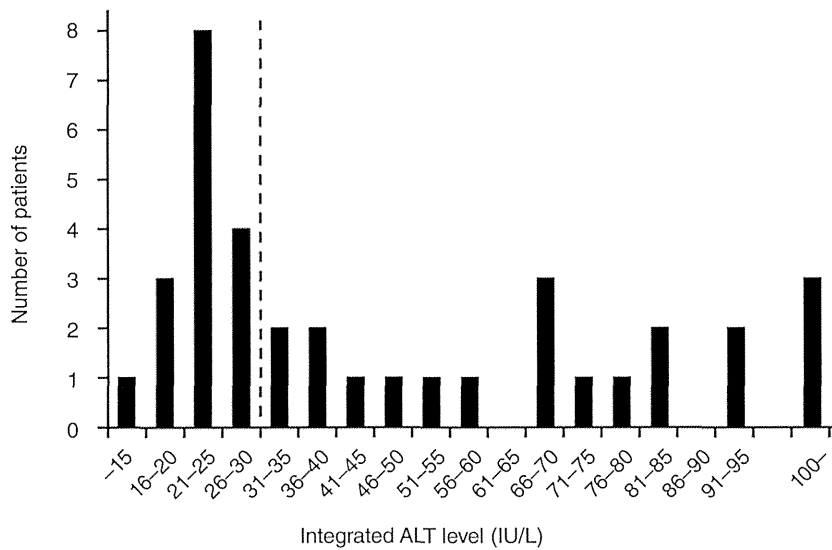


Figure 2 Distribution of integrated alanine aminotransferase (ALT) level from the time point of 2 years after seroconversion (SC) to the end of follow up.

hepatitis in Figure 3. We observed that median ALT level decreased around the time of SC in patients without HBeAg negative hepatitis, but did not in the other group. Overall, median ALT differed significantly between the two groups at the time of SC (43.0 vs 21.5 IU/L;  $P=0.009$ ) and at 1 (67.0 vs 15.0 IU/L;  $P=0.001$ ), 2 (52.0 vs 14.5 IU/L;  $P<0.001$ ) and 3 years (41.5 vs 15.0 IU/L;  $P<0.001$ ) afterwards (Fig. 3a). Median HBV DNA level decreased similarly in both groups around the time of SC (Fig. 3b). Median HBsAg

level was unchanged or minimally decreased in both groups around the time of SC, but was significantly lower in patients with HBeAg negative hepatitis at 1 (3.9 vs 3.2 log IU/mL;  $P=0.025$ ) and 2 years (3.9 vs 3.2 log IU/mL;  $P=0.045$ ) before SC and at 2 years (3.7 vs 3.0 log IU/mL;  $P=0.023$ ) after SC (Fig. 3c). Median HBcrAg level decreased in both groups around the time of SC, but this decline was more gradual in patients with HBeAg negative hepatitis, becoming significantly higher at 1 (5.2 vs 3.9 log U/mL;  $P=0.011$ ), 2 (4.6 vs 3.5 log

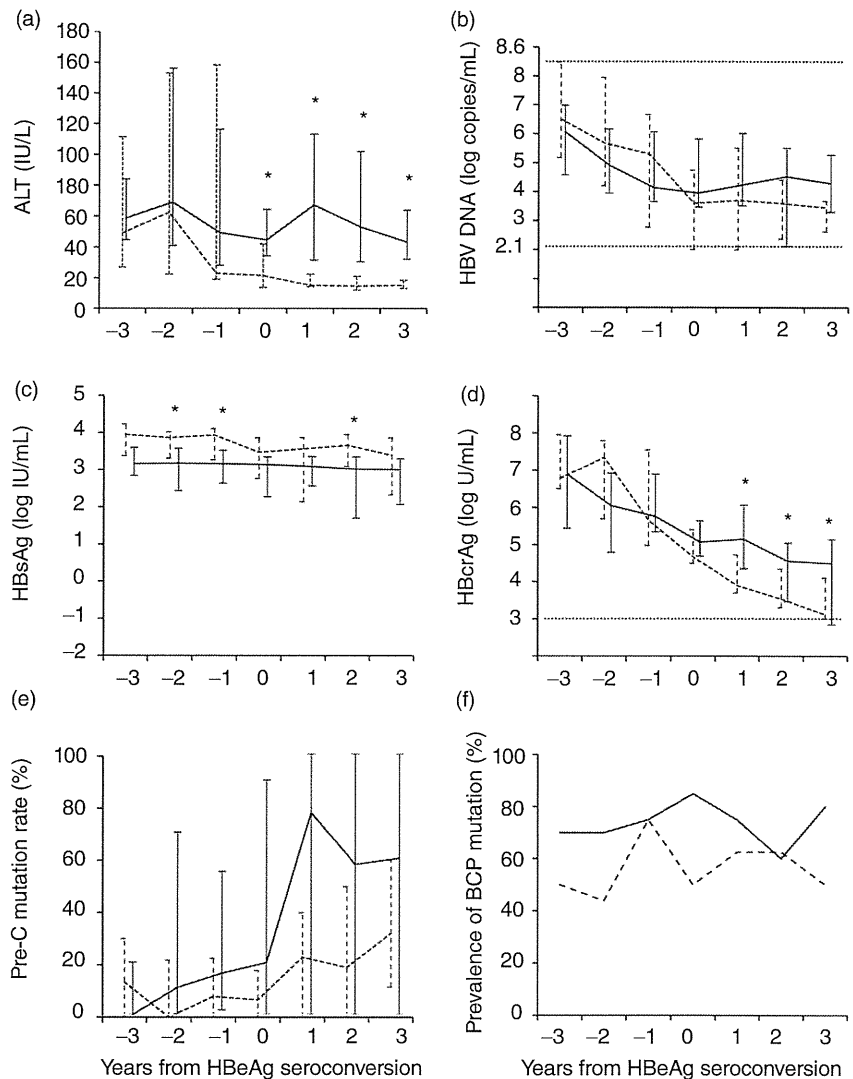
Table 1 Comparison of host and viral factors between patients with and without HBeAg negative hepatitis among total patients

Clinical characteristics	HBeAg negative hepatitis		P
	Present (n = 20)	Absent (n = 16)	
Age at SC (years)†	40 (23–64)	38 (24–65)	0.504
Sex (male : female)	15:5	9:7	0.298
Follow-up period (years)†	10.6 (3.8–26.0)	12.4 (3.2–23.1)	0.610
Laboratory data at SC			
Albumin (g/dL)†	4.1 (3.6–4.6)	4.3 (3.7–4.8)	0.030
Bilirubin (mg/dL)†	1.0 (0.4–2.6)	0.8 (0.5–1.3)	0.319
Platelets (/μL)†	13.9 (8.5–24.3)	18.1 (9.6–22.9)	0.187
ALT normalization within 2 years after SC‡	4 (20)	15 (94)	<0.001
Events during follow-up period			
Initiation of NUC therapy‡	12 (60)	2 (13)	0.006
Development of HCC‡	2 (10)	1 (6)	1.000

†Data are expressed as median (range).

‡Data are expressed as number of patients (%).

ALT, alanine aminotransferase; HBeAg, hepatitis B e-antigen; HCC, hepatocellular carcinoma; NUC, nucleoside/nucleotide analog; SC, seroconversion.



**Figure 3** Changes in median levels of serum alanine aminotransferase (ALT) (a), hepatitis B virus (HBV) DNA (b), hepatitis B surface antigen (HBsAg) (c), hepatitis B core-related antigen (HBcrAg) (d) and PC mutation rate (e) are compared between patients with and without the occurrence of hepatitis B e-antigen (HBeAg) negative hepatitis. A similar comparison is made for prevalence of patients with BCP mutations (f). Solid lines indicate patients with HBeAg negative hepatitis ( $n = 20$ ) and broken lines indicate those without ( $n = 16$ ). Data are shown as median values with 25% and 75% ranges at each point for (a–e). Horizontal broken lines in (b) and (d) indicate the upper and lower detection limits of the corresponding markers.  $*P < 0.05$ .

U/mL;  $P = 0.041$ ) and 3 years (4.6 vs 3.1 log U/mL;  $P = 0.016$ ) after SC (Fig. 3d). PC mutation rate increased similarly in both groups during the course of SC (Fig. 3e), and the prevalence of BCP mutation positive patients remained comparatively high in both groups throughout the study period (Fig. 3f).

All factors that were associated with the occurrence of HBeAg negative hepatitis were evaluated for independence by multivariate analysis. We found that only abnormal level of ALT ( $\geq 31$  IU/L) at 2 years after SC (odds ratio, 42.0; 95% confidence interval, 4.3–405.4;  $P = 0.001$ ) was an independent predictive factor. Therefore, we examined for factors associated with the occurrence of HBeAg negative hepatitis in the 19 patients

whose ALT level had normalized by 2 years after SC. Four (21%) of these patients developed HBeAg negative hepatitis and the remaining 15 (79%) did not. We found no significant differences between the two groups with regard to age at SC, sex or laboratory data (Table 2). We next analyzed HBV DNA, HBsAg and HBcrAg levels at 2 years after SC to see if these factors could discriminate between patients with and without the development of HBeAg negative hepatitis. Cut-off values for each factor were determined by ROC analysis. As shown in Figure 4, serum levels of HBV DNA (7% vs 60%;  $P = 0.037$ ) and HBcrAg (0% vs 44%;  $P = 0.033$ ) were significant factors indicating susceptibility, but HBsAg was not.

**Table 2** Comparison of host and viral factors between patients with and without HBeAg negative hepatitis in 19 patients whose ALT levels were normal at 2 years after SC

Clinical characteristics	HBeAg negative hepatitis		P
	Present (n = 4)	Absent (n = 15)	
Age at SC (years)†	41 (30–43)	37 (23–65)	0.549
Sex (male : female)	2:2	8:7	1.000
Follow-up period (years)†	9.1 (8.3–14.1)	12.2 (3.2–23.1)	0.610
Laboratory data at SC			
Albumin (g/dL)†	4.3 (3.8–4.3)	4.3 (3.7–4.7)	0.364
Bilirubin (mg/dL)†	1.0 (1.0–1.3)	0.8 (0.5–1.3)	0.083
Platelets (/μL)†	14.9 (13.3–16.4)	16.9 (9.6–22.5)	0.667
Events during follow-up period			
Initiation of NUC therapy‡	3 (75)	2 (13)	0.037
Development of HCC‡	1 (25)	1 (7)	0.386

†Data are expressed as median (range).

‡Data are expressed as number of patients (%).

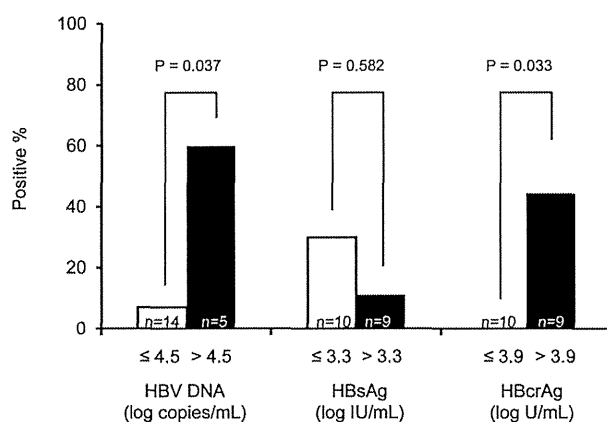
ALT, alanine aminotransferase; HBeAg, hepatitis B e-antigen; HCC, hepatocellular carcinoma; NUC, nucleoside/nucleotide analog; SC, seroconversion.

## DISCUSSION

ALTHOUGH ACTIVE HEPATITIS usually subsides following HBeAg SC, it recurs in a considerable proportion of patients several years afterwards. Hsu *et al.*<sup>5</sup> followed 283 patients with HBeAg SC for a median follow-up period of 8.6 years and observed that ALT elevation of over twice the upper limit of normal

occurred in 94 patients (33%). Of these, 68 (72%) were considered to have HBeAg negative hepatitis B because HBV DNA was detectable without the reappearance of HBeAg at the time of ALT elevation. HBeAg negative hepatitis is a major health concern because its occurrence is closely associated with progression to cirrhosis and development of HCC,<sup>9–12</sup> and thus prediction of its onset is important. Hsu *et al.*<sup>5</sup> found that patients with more frequent acute exacerbations of hepatitis before HBeAg SC and those with cirrhosis at the time of HBeAg SC had a higher risk of developing HBeAg negative hepatitis. Although significant, these factors were insufficient to accurately predict the occurrence of the disease.<sup>26–30</sup> Therefore, we analyzed several additional factors, including HBV DNA, HBsAg and HBcrAg levels, as well as viral mutations that halt HBeAg production.

In the present study, we found that the majority of patients with HBeAg SC achieved normalization of ALT within 2 years following SC, after which such normalization became relatively rare. Abnormal ALT was determined using the distribution of integrated ALT level from 2 years after SC to the end of follow up, which clearly showed the existence of two groups. We defined patients with an abnormal integrated level of ALT as having HBeAg negative hepatitis because this abnormality tended to persist and was preceded by HBV DNA elevation. Our result also conferred the important realization that ALT abnormality within 2 years after SC may not necessarily indicate the occurrence of HBeAg negative hepatitis, which has a poor prognosis. NUC



**Figure 4** Occurrence of hepatitis B e-antigen (HBeAg) negative hepatitis is compared among patients using higher and lower levels of corresponding markers at 2 years after seroconversion (SC). The cut-off value for each marker was determined by receiver–operator curve analysis. HBcrAg, hepatitis B core-related antigen; HBsAg, hepatitis B surface antigen; HBV, hepatitis B virus.

therapy was not available for patients with chronic hepatitis B in Japan when our subjects began follow up. Hence, the natural history of SC has been evaluated in this cohort. Follow up stopped in this study when NUC therapy was commenced. Currently, we perform NUC therapy on patients with HBe negative hepatitis based on age and ALT activity, as advised by the Ministry of Health, Labor and Welfare.<sup>17</sup>

Many host and viral factors were also analyzed to predict the occurrence of HBeAg negative hepatitis in the current study. Host factors, including age and sex, did not differ between the groups with and without HBeAg negative hepatitis, but changes in median ALT level around SC clearly differed between the two groups. Specifically, ALT level did not decrease even after SC in patients with HBeAg negative hepatitis, while it normalized during the SC period in those without. Viral factors were analyzed at several time points around SC. Among them, median HBcrAg level clearly differed between the groups; HBcrAg showed a steep decrease around the SC period in patients without HBeAg negative hepatitis, while it exhibited a significantly slower decline in those with. Similarly to earlier reports, median levels of HBV DNA and HBsAg showed some differences between the two groups, but these were not remarkable when analyzed chronologically. Negative results were also seen in the analyses of PC and BCP mutations. Multivariate analysis showed that abnormal ALT level at 2 years after SC was the only significant factor to predict the occurrence of HBeAg negative hepatitis among the factors analyzed. Because patients with normal ALT had maintained that level for at least 1 year, this result may indicate that continuous normalization of ALT is rare in patients with HBeAg negative hepatitis after SC and that ALT abnormality is associated with higher levels of HBcrAg and HBV DNA.

Because ALT level was closely related to the occurrence of HBeAg negative hepatitis, we next analyzed for predictive factors in patients whose ALT level was normal (<31 IU/L) at 2 years after SC. We observed that increased HBV DNA and HBcrAg levels at 2 years after SC were significant factors for predicting the occurrence of HBeAg negative hepatitis, but that HBsAg level was not. Single or combined monitoring use of HBV DNA and HBcrAg levels may therefore be useful to predict the recurrence of hepatitis in patients whose ALT level normalizes following HBeAg SC. However, further studies are required to verify this in the clinical setting.

Whereas HBsAg is a serum marker commonly used for the diagnosis of HBV infection, HBcrAg assays measure serum levels of HBc, HBe and the 22-kDa precore anti-

gens simultaneously using monoclonal antibodies that recognize the common epitopes of these three denatured antigens.<sup>31</sup> Because the latter assay measures all antigens transcribed from the precore/core gene, it is regarded as core-related.<sup>21</sup> It has been suggested that viral antigen levels, including those of HBsAg and HBcrAg, are differently associated with HBV activity from HBV DNA and ALT levels, and thus are useful for predicting the future activity of hepatitis B. For example, HBcrAg level was seen to predict hepatitis relapse after discontinuation of NUC therapy,<sup>32,33</sup> and HBsAg level has been reportedly associated with the response to pegylated interferon therapy differently from HBV DNA.<sup>34,35</sup> Both antigen levels are believed to be related to intracellular levels of HBV cccDNA. However, it is possible that levels of HBsAg and HBcrAg have different roles in monitoring viral activity because the transcription of these two antigens is regulated by alternative enhancer–promoter systems in the HBV genome.<sup>1</sup> The serum level of HBcrAg was more useful than that of HBsAg to predict the occurrence of HBeAg negative hepatitis in the present study. This difference may be attributed to the fact that the production of all antigens that constitute HBcrAg is regulated by the same system as that of HBeAg, while the production of HBsAg is not.

Lastly, it is reasonable to presume that the PC and BCP mutations which halt HBeAg production are associated with integrated values of ALT elevation because the disease is essentially caused by HBV containing these mutations.<sup>8,10</sup> However, the prevalence of either mutation did not differ between the groups at any time point during the study. Our results showed that almost all patients had PC and/or BCP mutations, especially after SC, and implied that the existence of these mutations alone was not sufficient for developing ALT elevation. HBV genotype is also closely associated with HBeAg SC,<sup>36</sup> but we could not include genotype as a factor because our entire cohort was genotype C.

A recent review by Papatheodoridis *et al.*<sup>37</sup> showed that histologically significant liver disease is rare in HBeAg negative patients with persistently normal ALT based on stringent criteria and serum HBV DNA of 20 000 IU/mL or less. They suggest that such individuals can be considered as true inactive HBV carriers, who require continued follow up rather than liver biopsy or immediate therapy. On the contrary, liver biopsy samples obtained from eight of our patients with HBeAg negative hepatitis having elevated ALT levels after SC revealed necroinflammatory activity. Hence, it remains controversial if histological findings are important for diagnosis of HBeAg negative hepatitis.

This study has the main limitations of a retrospective design and a small cohort size. However, our findings from careful extended follow up indicate that ALT abnormality after 2 years from SC can be considered to be HBeAg negative hepatitis, and that HBcrAg and HBV DNA levels may be useful for predicting the long-term outcome of patients who achieve HBeAg SC and ALT normalization.

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## Original Article

# Serum levels of interleukin-22 and hepatitis B core-related antigen are associated with treatment response to entecavir therapy in chronic hepatitis B

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**Aim:** We sought to clarify the associations between serum cytokines and chemokines, hepatitis B surface antigen (HBsAg), hepatitis B core-related antigen (HBcrAg), and hepatitis B virus (HBV) DNA and response to entecavir therapy in chronic hepatitis B.

**Methods:** We analyzed six cytokines (interleukin [IL]-2, IL-6, IL-10, IL-12p70, IL-21 and IL-22) and five chemokines (CCL2, CCL3, CXCL9, CXCL10 and CXCL11) before and at 6, 12 and 24 months during entecavir therapy in 48 chronic hepatitis B patients. Quantitative measurement of HBsAg, HBcrAg and HBV DNA was performed. A virological response (VR) was defined as serum HBV DNA of less than 2.1 log copies/mL by treatment month 24.

**Results:** Thirty-nine patients (81%) achieved a VR. Serum IL-6 ( $P = 0.031$ ), CXCL-9 ( $P = 0.002$ ), and CXCL-10 ( $P = 0.001$ ) were high in chronic HBV and correlated positively with

transaminases and bilirubin. Before treatment, elevated IL-22 ( $P = 0.031$ ) and lower HBsAg ( $P = 0.001$ ) and HBcrAg ( $P < 0.001$ ), but not HBV DNA, were associated with a favorable treatment outcome. In multivariate analysis, high IL-22 (hazard ratio = 13.67,  $P = 0.046$ ) and low HBcrAg (hazard ratio = 10.88,  $P = 0.048$ ) were independently associated with a VR. The levels of IL-22 ( $P < 0.001$ ), HBsAg ( $P < 0.001$ ), and HBcrAg ( $P < 0.001$ ) all decreased from baseline to 24 months of treatment in virological responders.

**Conclusion:** Serum IL-22 and HBcrAg are predictive markers of a VR to entecavir therapy in patients with chronic hepatitis B.

**Key words:** entecavir, hepatitis B core-related antigen, hepatitis B surface antigen, hepatitis B virus, interleukin-22

## INTRODUCTION

HEPATITIS B VIRUS (HBV) infection is the primary cause of cirrhosis and hepatocellular carcinoma (HCC) and is one of the major causes of death globally.<sup>1,2</sup> Because high plasma HBV DNA concentrations and quantitative hepatitis B surface antigen (HBsAg) levels are associated with progression to cirrhosis and development of HCC,<sup>3,4</sup> viral suppression by means of nucleoside/nucleotide analog therapy has shown

clinical benefits via a reduction in hepatic decompensation and lower HCC rates.<sup>5–7</sup>

Cytokines and chemokines are involved in cell-mediated and humoral immune responses as well as in antiviral activity, viral clearance, apoptosis and fibrogenesis. As the control of cytokine production is highly complex and their effects widespread throughout multiple regulatory networks, it would seem that screening for multiple biomarkers may best clarify the immunopathogenesis of this disease and predict responses to antiviral therapy. Our previous studies have shown that several cytokines and chemokines are associated with treatment outcome in patients with chronic hepatitis C using bead-based multiplex immunoassays.<sup>8–10</sup> Although other reports have demonstrated an association between individual cytokines and clinical outcome in subjects with HBV,<sup>11–18</sup> the

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relationship between multiple cytokines and chemokines and response to nucleoside/nucleotide analog therapy in chronic hepatitis B patients has not yet been examined in the Japanese population.

The objective of this study is to determine which cytokines and chemokines in chronic hepatitis B are related to the clinical and virological characteristics of hepatitis and how they affect the HBV response to entecavir (ETV) treatment.

## METHODS

### Subjects

WE ENROLLED 48 consecutive patients with chronic hepatitis B in this study. All patients were treatment naïve at the time of commencing ETV at a daily dose of 0.5 mg for a duration of at least 24 months. Clinical and laboratory data of the patients were analyzed at baseline and at months 6, 12 and 24 of therapy. Chronic hepatitis B was based on HBsAg positivity for at least 6 months. No patients had a history of organ transplantation, decompensated cirrhosis, HCC or the concurrent use of immunomodulatory drugs or corticosteroids. Patients who were co-infected with the hepatitis C virus (HCV) or who exhibited evidence of other liver diseases, such as primary biliary cirrhosis, autoimmune hepatitis, alcoholic liver disease and non-alcoholic liver disease, were excluded from this study. A group of 10 healthy individuals negative for HBV and HCV serology and normal transaminase levels was used as the control. All patients and subjects were negative for antibodies to HIV type 1. The protocol of this study was approved by the ethics committee of Shinshu University School of Medicine. All patients provided written informed consent.

### Laboratory testing

Hepatitis B surface antigen, hepatitis B e-antigen (HBeAg), anti-HBe, anti-HCV and anti-HIV-1 were determined using commercially available enzyme immunoassay kits (Abbott Japan, Tokyo, Japan).<sup>19</sup> Serum levels of HBV DNA were quantified using the COBAS TaqMan HBV Test v2.0 (Roche Diagnostics, Tokyo, Japan) that had a dynamic range of 2.1–9.0 log copies/mL. Quantitative measurement of HBsAg was performed using an HISCL HBsAg assay based on the chemiluminescence enzyme immunoassay (CLEIA; Sysmex, Kobe, Japan) which had a quantitative range of –1.5 to 3.3 log IU/mL. End titer was determined by diluting samples with normal human serum when

initial results exceeded the upper limit of the assay range. Serum HB core-related antigen (HBcrAg) levels were measured using a CLEIA-based HBcrAg assay kit with a fully automated Lumipulse System analyzer (Fujirebio, Tokyo, Japan). We expressed HBcrAg level in terms of log U/mL with a quantitative range set at 3.0–6.8 log U/mL. HBV genotypes were determined using commercially available ELISA kits (HBV GENOTYPE EIA; Institute of Immunology). Serum alanine aminotransferase (ALT), aspartate aminotransferase (AST) and other relevant biochemical tests were performed using standard methods.<sup>20</sup>

### Definitions

A virological response (VR) was defined as a HBV DNA level that was undetectable by real-time polymerase chain reaction (<2.1 copies/mL) at 24 months. A virological breakthrough was defined as an increase in HBV DNA level by 1 log copies/mL or more above nadir while on treatment following an initial decline to 2 log copies/mL or more.

### Detection of cytokines and chemokines

Six cytokines (interleukin [IL]-2, IL-6, IL-10, IL-12p70, IL-21 and IL-22) and five chemokines (CCL2/MCP-1, CCL3/MIP-1 $\alpha$ , CXCL9/MIG, CXCL10/IP-10 and CXCL11/I-TAC) were quantified using Luminex Multiplex Cytokine Kits (Procarta Cytokine Assay Kit) for serum samples obtained before the start of treatment and at weeks 24, 48 and 96 as reported previously.<sup>8,9</sup> These markers had been implicated in HBV pathogenesis in earlier reports.<sup>11–16,18</sup> All collected samples were immediately stored at –70°C and remained in storage until testing.

### Statistical analysis

The Mann–Whitney *U*-test and Kruskal–Wallis test were used to analyze continuous variables where appropriate. The Friedman test was employed to evaluate changes in serum cytokine levels over time. Spearman's rank correlation coefficients were adopted to evaluate the relationship between pairs of markers. The  $\chi^2$ -test with Yates's correction was used for the analysis of categorical data. In cases where the number of subjects was less than five, we employed Fisher's exact test.  $P < 0.05$  was considered statistically significant. To predict treatment outcome, cut-off points for continuous variables were decided by receiver–operator curve (ROC) analysis with Youden's index. Factors attaining a *P*-value of less than 0.1 in univariate analysis were evaluated by multivariate analysis using a stepwise logistic regression model. These

included age, HBe positivity, platelets, and levels of HBsAg, HBcrAg, HBV DNA and IL-22 before treatment. Statistical analyses were carried out using SPSS software version 21.0J (IBM Japan, Tokyo, Japan).

## RESULTS

### Baseline clinical characteristics of patients

**T**HE CLINICAL PROFILE of the experimental patient cohort is shown in Table 1. Among our 48 patients with chronic hepatitis, 39 (81%) achieved a VR at 24 months. A VR was attained in 11 of 20 HBeAg positive patients (55%) and in all 28 HBeAg negative patients (100%). One patient (5%) demonstrated HBeAg seroclearance through to month 24, but did not attain HBeAg seroconversion. No patient experienced a virological breakthrough.

The median age of patients achieving a VR was significantly higher than that of patients who did not (55 vs 37 years;  $P = 0.031$ ) (Table 1). In contrast, viral responders had significantly lower median HBsAg (3.3 vs 3.9 log IU/mL;  $P = 0.001$ ) and HBcrAg (5.0 vs 6.8 log U/mL;  $P < 0.001$ ) levels than non-responders. We found no significant differences between patient groups with regard to sex, HBV genotype, or albumin, AST, ALT, bilirubin or platelet levels. When stratified by HBeAg positivity, HBsAg level only was significantly associated with a VR (3.2 vs 3.9 log IU/mL;  $P = 0.003$ ). When we compared HBeAg positive and negative patients,

median HBV DNA and HBcrAg levels, but not HBsAg, were significantly higher in HBeAg positive patients (Table S1).

### Detection and quantification of serum markers in patients with chronic hepatitis B and controls

Serum samples obtained prior to ETV therapy were examined for the presence of six cytokines and five chemokines by multiplex assays. As shown in Table 2, the median baseline serum concentrations of IL-6 (6.5 vs 5.8 pg/mL;  $P = 0.031$ ) and three chemokines (CCL2 [39.3 vs 31.5 pg/mL;  $P = 0.022$ ], CXCL9 [329.2 vs 127.8 pg/mL;  $P = 0.002$ ] and CXCL10 [217.1 vs 58.7 pg/mL;  $P = 0.001$ ]) were significantly higher in patients with chronic hepatitis B than in healthy controls. When we subdivided patients into HBeAg positive or anti-HBe positive groups, no significant differences in the median concentrations of any cytokine or chemokine were seen, including IL-22 (Table S1).

### Effect of ETV therapy on serum cytokine levels

The median levels of serum cytokines and chemokines in our cohort are shown in Table 3. Among our patients, the median baseline serum IL-22 concentration was significantly higher in virological responders than in non-responders (35.3 vs 27.8 pg/mL;  $P = 0.031$ ) (Fig. 1a). No other cytokines or chemokines were associated with

**Table 1** Demographic and clinical characteristics of 48 patients with chronic hepatitis B

Characteristics	Total, $n = 48$	VR (+), $n = 39$	VR (-), $n = 9$	<i>P</i>
Age, years	55 (24–81)	55 (24–81)	37 (26–67)	<b>0.031</b>
Male, $n$ (%)	33 (69)	29 (74)	4 (44)	0.18
HBeAg positive, $n$ (%)	20 (42)	11 (28)	9 (100)	<b>&lt;0.001</b>
HBV genotype C, $n$ (%)	45 (94)	37 (95)	8 (89)	1.00
HBV DNA (log copies/mL)	6.6 (2.7 to >9.1)	6.4 (2.7 to >9.1)	8.0 (3.9 to >9.1)	0.06
HBsAg (log IU/mL)	3.4 (-1.2 to 4.5)	3.3 (-1.2 to 4.3)	3.9 (3.3–4.5)	<b>0.001</b>
HBcrAg (log U/mL)	5.2 (3.0–6.8)	5.0 (3.0–6.8)	6.8 (5.4–6.8)	<b>&lt;0.001</b>
Albumin (mg/dL)	4.2 (2.3–5.3)	4.2 (3.1–5.3)	4.2 (2.3–4.5)	0.80
AST (IU/L)	48 (15–1476)	51 (15–1476)	36 (28–358)	0.82
ALT (IU/L)	49 (9–2021)	63 (9–2021)	56 (29–954)	0.74
Bilirubin (mg/dL)	0.8 (0.3–3.1)	0.8 (0.3–3.1)	0.7 (0.5–1.0)	0.33
Platelet ( $\mu$ L)	16.3 (8.0–28.9)	15.2 (8.0–28.9)	19.5 (11.9–27.7)	0.053

Continuous variables are expressed as median values (range).

Bolded figures indicate statistical significance.

ALT, alanine aminotransferase; AST, aspartate aminotransferase; HBcrAg, hepatitis B core-related antigen; HBeAg, hepatitis B e-antigen; HBsAg, hepatitis B surface antigen; HBV, hepatitis B virus.

**Table 2** Serum cytokines and chemokines in patients with chronic hepatitis B and healthy subjects

Cytokine/chemokine	Patients	Controls	P-value
IL-2	2.3 (0–4.9)	2.1 (1.9–2.4)	0.42
IL-6	6.5 (2.7–19.1)	5.8 (5.8–6.5)	<b>0.031</b>
IL-10	1.1 (0.0–26.8)	1.4 (1.3–1.6)	0.49
IL-12p70	12.9 (0.1–22.0)	12.9 (12.8–12.9)	0.50
IL-21	12.5 (5.0–1916.5)	11.5 (10.5–253.5)	0.68
IL-22	34.9 (27.2–75.7)	33.6 (32.3–39.0)	0.47
CCL2	39.3 (23.8–8118.8)	31.5 (26.7–39.3)	<b>0.022</b>
CCL3	4.8 (0.0–651.8)	7.0 (5.0–9.9)	0.25
CXCL9	329.2 (89.8–18 758.9)	127.8 (107.5–874.3)	<b>0.002</b>
CXCL10	217.1 (18.6–3594.3)	58.7 (24.7–859.5)	<b>0.001</b>
CXCL11	40.8 (0.7–553.8)	25.8 (12.9–90.3)	0.23

Continuous variables are expressed as median values (range) (pg/mL).

Bolded figures indicate statistical significance.

IL, interleukin.

a VR. When stratified by HBeAg positivity, serum IL-22 and IL-6 levels in the VR group were significantly higher than those in the non-VR group (35.3 vs 31.2 pg/mL [ $P=0.046$ ] and 6.9 vs 6.1 pg/mL [ $P=0.031$ ], respectively).

Several clinical findings (HBV DNA, HBsAg, HBcrAg, albumin, AST, ALT, bilirubin and platelet) at baseline were examined for their correlation with serum cytokines or chemokines in patients with chronic hepatitis B. Serum IL-6, CXCL9, CXCL10 and CXCL11 were all positively correlated with values for AST, ALT and bilirubin, but were negatively correlated with serum HBsAg (Table 4). CXCL9, CXCL10 and CXCL11 were also significantly correlated with each other (data not

shown). There was a negative correlation between HBsAg and AST, ALT and bilirubin (data not shown).

### Prediction of VR in patients with chronic hepatitis B

We performed ROC analysis to determine the optimal cut-off values for serum IL-22, HBsAg and HBcrAg in predicting a VR for chronic HBV infection with the values obtained from the 39 patients who achieved a VR and the nine who did not. The selection of optimal cut-off point values was based on the IL-22, HBsAg and HBcrAg levels at which accuracy was maximal. Optimal cut-off value, sensitivity, specificity, positive predictive value, negative predictive value and calculated area

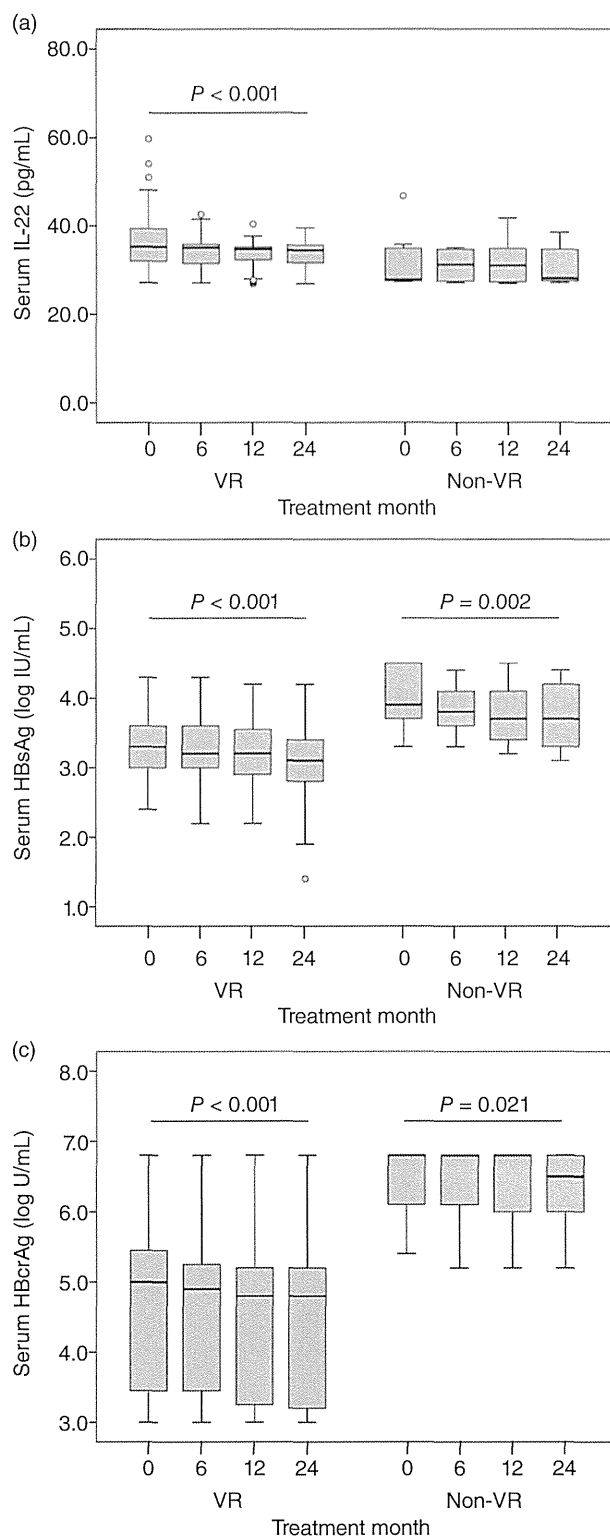
**Table 3** Serum cytokines and chemokines in treatment outcome to antiviral therapy

Cytokine/chemokine	VR	Non-VR	P-value
IL-2	2.3 (0.0–4.9)	3.1 (0.0–3.3)	0.60
IL-6	6.8 (2.7–19.1)	6.1 (4.3–12.5)	0.22
IL-10	0.6 (0.0–26.8)	1.5 (0.0–5.0)	0.86
IL-12p70	12.9 (0.1–22.0)	12.9 (1.2–18.0)	0.74
IL-21	12.2 (5.0–1916.5)	19.9 (5.9–27.8)	0.70
IL-22	35.3 (27.2–75.7)	27.8 (27.3–46.7)	<b>0.031</b>
CCL2	40.8 (24.4–118.8)	34.8 (23.8–60.3)	0.13
CCL3	4.5 (0.0–651.8)	6.5 (2.7–22.9)	0.57
CXCL9	322.5 (115.4–18 758.9)	353.6 (89.8–1545.1)	0.60
CXCL10	206.3 (29.1–3594.3)	294.2 (18.6–2240.7)	0.94
CXCL11	39.9 (0.7–553.8)	48.8 (12.6–428.2)	0.80

Continuous variables are expressed as median values (range) (pg/mL).

Bolded figure indicates statistical significance.

IL, interleukin; VR, virological response.



**Figure 1** Comparison of serum (a) IL-22, (b) HBsAg and (c) HBcrAg levels during entecavir therapy in the VR ( $n = 39$ ) and non-VR ( $n = 9$ ) groups. Boxes represent the interquartile range of the data. The lines across the boxes indicate the median values. The harsh marks above and below the boxes indicate the 90th and 10th percentiles for each group, respectively. IL, interleukin; HBsAg, hepatitis B surface antigen; HBcrAg, hepatitis core-related antigen; VR, virological response.

under the curve (AUC) values for each parameter are listed in Table 5. The AUC values were consistently high and ranged between 0.731 (IL-22) and 0.858 (HBcrAg).

Several factors found in association with a VR to ETV therapy were evaluated for their independence by multivariate analysis. We determined that IL-22 of 27.8 pg/mL or more (hazard ratio [HR] = 13.67 [95% confidence interval [CI] = 1.05–178.11],  $P = 0.046$ ) and HBcrAg of 5.7 log U/mL or less (HR = 10.88 [95% CI = 1.02–115.44],  $P = 0.048$ ) were independent factors related to a VR. HBsAg did not have a significant independent association in this study ( $P = 0.071$ ).

### Serum cytokine and chemokine changes during treatment

Longitudinal analysis of IL-22, HBsAg and HBcrAg levels was carried out at 6, 12 and 24 months after the initiation of therapy and showed significant gradual reductions in IL-22 ( $P < 0.001$ , Friedman test), HBsAg ( $P < 0.001$ ) and HBcrAg ( $P < 0.001$ ) in samples collected from patients who achieved a VR (Fig. 1). We noted a higher median serum IL-22 concentration at month 6 in the VR group than in the non-VR group ( $P = 0.012$ ), and there were significant differences at each time point for HBsAg (6 months,  $P = 0.002$ ; 12 months,  $P = 0.006$ ; and 24 months,  $P = 0.004$ ) and HBcrAg (6 months,  $P < 0.001$ ; 12 months,  $P < 0.001$ ; and 24 months,  $P < 0.001$ ) between responders and non-responders.

### DISCUSSION

**I**N THE PRESENT study, we measured the levels of six cytokines and five chemokines in patients with chronic hepatitis B and analyzed their association with ETV therapy outcome using a bead-array multiplex immunoassay system. Four of our observations are noteworthy and require further comment. First, serum IL-6, CCL2, CXCL9 and CXCL10 concentrations were

**Table 4** Correlation between cytokines, chemokines and clinical parameters

		IL-2	IL-6	IL-10	IL-12	IL-21	IL-22	CCL2	CCL3	CXCL9	CXCL10	CXCL11
HBV DNA	<i>r</i>	0.08	0.01	0.10	0.06	0.08	0.17	-0.13	0.01	-0.13	-0.10	0.20
	<i>P</i>	0.61	0.97	0.51	0.69	0.61	0.25	0.39	0.95	0.39	0.50	0.18
HBsAg	<i>r</i>	-0.99	-0.35	-0.14	0.22	-0.08	-0.05	-2.5	0.02	-0.78	-0.61	-0.32
	<i>P</i>	0.51	<b>0.015</b>	0.35	0.14	0.61	0.74	0.09	0.89	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>0.025</b>
HBcrAg	<i>r</i>	0.04	0.05	-0.16	0.24	0.18	0.14	-0.13	0.14	-0.14	-0.15	0.11
	<i>P</i>	0.79	0.76	0.29	0.11	0.21	0.35	0.40	0.33	0.36	0.31	0.45
Albumin	<i>r</i>	0.17	0.02	0.17	-0.02	0.05	-0.02	0.12	0.08	0.13	-0.09	0.02
	<i>P</i>	0.25	0.91	0.24	0.89	0.75	0.88	0.40	0.60	0.39	0.53	0.91
AST	<i>r</i>	0.05	0.40	0.11	-0.11	-0.03	0.14	0.13	-0.07	0.78	0.75	0.36
	<i>P</i>	0.72	<b>0.004</b>	0.45	0.47	0.83	0.33	0.39	0.66	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>0.013</b>
ALT	<i>r</i>	0.02	0.42	0.12	-0.11	-0.06	0.16	0.10	-0.08	0.69	0.71	0.46
	<i>P</i>	0.91	<b>0.003</b>	0.40	0.44	0.70	0.28	0.52	0.57	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>0.001</b>
Bilirubin	<i>r</i>	-0.03	0.36	0.07	0.08	-0.03	0.13	0.27	-0.12	0.33	0.65	0.35
	<i>P</i>	0.83	<b>0.012</b>	0.64	0.58	0.84	0.38	0.07	0.42	<b>0.023</b>	<b>&lt;0.001</b>	<b>0.015</b>
Platelet	<i>r</i>	0.08	0.12	0.15	-0.09	0.13	0.25	-0.05	0.19	0.31	0.04	0.13
	<i>P</i>	0.57	0.42	0.33	0.55	0.38	0.09	0.74	0.20	<b>0.033</b>	0.82	0.39

Bolded figures indicate statistical significance.

ALT, alanine aminotransferase; AST, aspartate aminotransferase; HBcrAg, hepatitis B core-related antigen; HBsAg, hepatitis B surface antigen; HBV, hepatitis B virus; IL, interleukin; *r*, Spearman's rank correlation.

higher in patients with chronic hepatitis B than in healthy subjects. Second, serum IL-22 concentration before treatment was significantly higher in patients achieving a VR to ETV therapy. In contrast, responders had lower serum levels of HBsAg and HBcrAg at baseline. Third, IL-22, HBsAg and HBcrAg decreased during treatment and remained low in patients with a VR. Fourth, serum IL-6, CXCL9, CXCL10 and CXCL11 were positively correlated with serum values of AST, ALT and bilirubin, but were negatively correlated with HBsAg.

Interleukin-6 is a well-recognized multifunctional cytokine that may reflect more active hepatic necroinflammation and be associated with chronic HBV infection severity. As in previous studies,<sup>18,21</sup> serum IL-6

was significantly higher in the HBV-infected group than in healthy controls and was positively correlated with such clinical parameters as transaminases and bilirubin. Hence, our data support that IL-6 is strongly associated with the severity of liver diseases.

CXCL9, CXCL10 and CXCL11 appear to be particularly important in chronic HCV infection by promoting the development of intrahepatic inflammation that leads to fibrogenesis.<sup>22,23</sup> These chemokines are also significantly elevated in patients with necroinflammatory activity of acute and chronic hepatitis C.<sup>24,25</sup> In our study, serum CXCL9 and CXCL10 were higher in patients with chronic HBV infection than in healthy individuals, which was in agreement with a previous

**Table 5** Optimal cut-off value, sensitivity, specificity, AUC, and predictive value of serum IL-22, HBsAg and HBcrAg at baseline of treatment in 48 patients with chronic hepatitis B

	Cut-off value	Sensitivity (%) (95% CI)	Specificity (%) (95% CI)	AUC (95% CI)	PPV (%)	NPV (%)
IL-22	27.8 pg/mL	56 (21–86)	90 (76–97)	0.731 (0.533–0.929)	90	56
HBsAg	3.6 log IU/mL	78 (40–97)	77 (61–89)	0.838 (0.704–0.971)	44	94
HBcrAg	5.7 log U/mL	89 (52–100)	82 (67–93)	0.858 (0.754–0.962)	53	97

All AUC values were significantly higher than a 0.50 non-predictive value ( $P < 0.01$  for all comparisons). Cut-off values were determined by constructing receiver-operator curves.

AUC, area under the curve; CI, confidence interval; HBcrAg, hepatitis core-related antigen; HBsAg, hepatitis B surface antigen; IL, interleukin; NPV, negative predictive value; PPV, positive predictive value.

report.<sup>12</sup> Moreover, the serum CXCR3-associated chemokines CXCL9, CXCL10 and CXCL11 were all well correlated with serum values of AST, ALT and bilirubin. Because we observed a significant correlation between these chemokines and IL-6, our findings suggest that CXCR3-associated chemokines may too contribute to necroinflammatory activity in chronic HBV infection. However, there were insufficient histological data in our study to assess whether IL-6 and CXCR3-associated chemokines were correlated with degree of fibrosis, in addition to a lack of biochemical evidence of inflammation. We furthermore showed a striking negative association between HBsAg concentration and levels of IL-6 and CXCR3-associated chemokines. As HBsAg was also negatively correlated with transaminases and bilirubin, this HBsAg decline may be linked to increased immunological activity.

Interestingly, this study demonstrated a beneficial role of IL-22 in achieving a VR during ETV therapy. IL-22 is an IL-10 family cytokine that is important for the modulation of tissue responses during inflammation and is expressed by many types of lymphocytes of both the innate and adaptive immune systems, most notably T-helper 17 cells,  $\gamma\delta$  T cells, natural killer cells and lymphoid tissue inducer-like cells. The IL-22 receptor is highly expressed on hepatocytes.<sup>26,27</sup> At present, several studies support a protective role of IL-22 in the prevention of hepatocellular damage, although there is evidence indicating dual protective and pathogenic roles for this cytokine in the liver.<sup>17,28–30</sup> Some groups have examined the association between IL-22 and liver fibrosis in humans and mice.<sup>31,32</sup> In one report, tumor-infiltrating lymphocytes in HCC exhibited elevated IL-22 expression, and these IL-22<sup>+</sup> lymphocytes promoted tumor growth and metastasis in mice.<sup>33</sup> Although human patients with chronic hepatitis B show increased percentages of T-helper 17 cells in the peripheral blood and liver and an increased concentration of IL-22 in the serum,<sup>14,34</sup> there have been no reports on treatment outcome in patients with chronic HBV infection during ETV therapy. In our study, IL-22 levels decreased over time in both the VR and non-VR groups, but they were consistently higher in the VR group. This difference in IL-22 levels between the two groups further supports the possibility that IL-22 may be important for the activation of immune cells that contribute to viral control. When stratified by HBe positivity, although IL-22 was still significantly associated with a VR, the number of patients was only 20 in this study. Further research is needed to clarify the association between IL-22 and treatment response.

Lastly, we uncovered that lower baseline serum HBsAg and HBcrAg levels were associated with a VR. HBcrAg assays measure serum levels of HB core, e and 22-kDa precore antigens simultaneously using monoclonal antibodies that recognize the common epitopes of these three denatured antigens.<sup>35</sup> Because this assay measures all antigens transcribed from the precore/core gene, it is regarded as core related.<sup>36</sup> The AUC values for baseline HBsAg and HBcrAg levels were high at 0.838 and 0.858, respectively. Several studies have shown that HBsAg is useful for the management of ETV therapy,<sup>37,38</sup> whereby an HBsAg decline is most profound in patients losing HBeAg detectability during treatment.<sup>39</sup> HBeAg positivity was also significantly associated with treatment outcome in the present study. However, because HBcrAg, but not HBsAg or HBeAg, was an independent factor related to a VR in multivariate analysis, our results indicated that serum HBcrAg quantitation may offer clinicians a new tool in predicting treatment outcome in HBV infection. Further investigation of large cohorts must be done to validate the significance of our findings.

With a VR at 12 months established as a parameter, 38 patients (79%) achieved this event. Serum IL-22, HBsAg and HBcrAg levels were all still significantly associated with a VR at 12 months. AUC values were as high as between 0.737 (IL-22) and 0.878 (HBcrAg). Furthermore, ALT normalization was achieved in 40 (83%) and 42 (88%) patients at 12 and 24 months, respectively. Although lower median pretreatment levels of HBsAg and HBcrAg were significantly associated with ALT normalization, there was no such statistically significant relation for IL-22 (data not shown).

In summary, a cytokine (IL-6) and several chemokines (CCL2, CXCL9 and CXCL10) were seen to be elevated in patients with chronic hepatitis B. Our results indicate that serum IL-6 and CXCR3-associated chemokines are correlated with liver injury, serum IL-22 is a useful biomarker for predicting a VR to ETV therapy, and a lower level of serum HBcrAg is related to a favorable response to antiviral therapy.

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## SUPPORTING INFORMATION

**A**DDITIONAL SUPPORTING INFORMATION may be found in the online version of this article at the publisher's website:

**Table S1** Demographic, clinical characteristics, and serum cytokines and chemokines in patients with hepatitis B e-antigen (HBeAg) positive and hepatitis B e-antigen (HBeAg) negative patients.

# New Susceptibility and Resistance HLA-DP Alleles to HBV-Related Diseases Identified by a Trans-Ethnic Association Study in Asia

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## Abstract

Previous studies have revealed the association between SNPs located on human leukocyte antigen (*HLA*) class II genes, including *HLA-DP* and *HLA-DQ*, and chronic hepatitis B virus (HBV) infection, mainly in Asian populations. *HLA-DP* alleles or haplotypes associated with chronic HBV infection or disease progression have not been fully identified in Asian populations. We performed trans-ethnic association analyses of *HLA-DPA1*, *HLA-DPB1* alleles and haplotypes with hepatitis B virus infection and disease progression among Asian populations comprising Japanese, Korean, Hong Kong, and Thai subjects. To assess the association between *HLA-DP* and chronic HBV infection and disease progression, we conducted high-resolution (4-digit) *HLA-DPA1* and *HLA-DPB1* genotyping in a total of 3,167 samples, including HBV patients, HBV-resolved individuals and healthy controls. Trans-ethnic association analyses among Asian populations identified a new risk allele *HLA-DPB1\*09:01* ( $P = 1.36 \times 10^{-6}$ ; OR = 1.97; 95% CI, 1.50–2.59) and a new protective allele *DPB1\*02:01* ( $P = 5.22 \times 10^{-6}$ ; OR = 0.68; 95% CI, 0.58–0.81) to chronic HBV infection, in addition to the previously reported alleles. Moreover, *DPB1\*02:01* was also associated with a decreased risk of disease progression in chronic HBV patients among Asian populations ( $P = 1.55 \times 10^{-7}$ ; OR = 0.50; 95% CI, 0.39–0.65). Trans-ethnic association analyses identified Asian-specific associations of *HLA-DP* alleles and haplotypes with HBV infection or disease progression. The present findings will serve as a base for future functional studies of *HLA-DP* molecules in order to understand the pathogenesis of HBV infection and the development of hepatocellular carcinoma.

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## Introduction

Hepatitis B virus (HBV) infection is a major global health problem, resulting in 0.5–1.0 million deaths per year [1]. The prevalence of chronic HBV infection varies. About 75% of the chronic carriers in the world live in Southeast Asia and East Pacific [2]. Due to the introduction of vaccination programs, the prevalence of HBV infection in many countries has gradually been decreasing with consequent decreases in HBV-related hepatocellular carcinoma (HCC) [3]. Although some HBV carriers spontaneously eliminate the virus, about 10–15% of carriers develop liver cirrhosis (LC), liver failure and HCC [4]. Moreover, the progression of liver disease was revealed to be associated with the presence of several distinct mutations in HBV infections [5]. Genetic variations in *STAT4* and *HLA-DQ* genes were recently identified as host genetic factors in a large-scale genome-wide association study (GWAS) for HBV-related HCC in China [6].

With regard to the genes associated with susceptibility to chronic HBV infection, *HLA-DP* and *HLA-DQ* genes were identified by GWAS in Japanese and Thai populations in 2009 [7] and 2011 [8], respectively. In addition, our previous GWAS confirmed and identified the association of SNP markers located on *HLA-DPA1* (rs3077) and *HLA-DPBI* (rs9277535) genes with susceptibility to chronic hepatitis B (CHB) and HBV clearance in Japanese and Korean subjects [9]. The significant associations of *HLA-DP* with CHB and HBV clearance have mainly been detected in Asian populations, such as Japanese [8,9], Thai [7], Chinese [10–12], and Korean [9]. In 2012, the association between *HLA-DPA1* gene SNPs and persistent HBV infection was replicated in a German non-Asian population for the first time; however, this showed no association with HBV infection [13]. These results seem to be explained by the fact that allele frequencies of both rs3077 (0.155, 0.587 and 0.743 for C allele, on HapMap CEU, JPT, and YRI) and rs9277535 (0.261, 0.558 and 0.103 for G allele, on HapMap CEU, JPT, and YRI) are markedly different between populations. Moreover, the previous study showed that HBsAg seropositivity rates were higher in Thailand and China (5–12%) than in North America and Europe (0.2–0.5%) [2]. These results suggest that comparative analyses of *HLA-DP* alleles and haplotypes in Asian populations would clarify key host factors of the susceptible and protective *HLA-DP* alleles and haplotypes for CHB and HBV clearance. Here, we performed trans-ethnic analyses of *HLA-DP* alleles and haplotypes in Asian populations comprising Japanese, Korean, Hong Kong and Thai individuals. The findings from this study will serve as a base for future functional studies of HLA-DP molecules.

## Results

### Characteristics of studied subjects

The characteristics of a total of 3,167 samples, including Japanese, Korean, Hong Kong and Thai subjects, are shown in Table 1. Each population included three groups of HBV patients, resolved individuals and healthy controls. The clinical definitions of HBV patients and resolved individuals are summarized in Materials and Methods. Some of the Japanese and all of the Korean samples overlapped with the subjects in our previous study [9,14].

We performed genotyping for *HLA-DPA1* and *HLA-DPBI* in all 3,167 samples, and a total of 2,895 samples were successfully genotyped. The characteristics of successfully genotyped samples are shown in Table S1.

### Association of *HLA-DPA1* and *HLA-DPBI* alleles in Asian populations

As for a general Asian population, including 464 Japanese, 140 Korean, 156 Hong Kong, and 122 Thai subjects, five *HLA-DPA1* alleles and twenty-four *HLA-DPBI* alleles were observed (Table S2). The frequencies of *HLA-DPA1* and *HLA-DPBI* alleles were similar between Japanese and Korean subjects. On the other hand, the number of alleles with frequencies of 1–2% was larger in Hong Kong and Thai populations, despite the small sample size. Although the frequencies of *HLA-DP* alleles varied in Asian populations, *HLA-DPBI\*05:01* was the most prevalent with over 30% in all populations.

The associations of *HLA-DPA1* and *HLA-DPBI* alleles with chronic HBV infection (i.e., comparison between HBV patients and healthy controls) are shown in Table S2. To avoid false positives caused by multiple testing, the significance levels were corrected based on the numbers of *HLA-DPA1* and *HLA-DPBI*

**Table 1.** Number of individuals in this study.

Population	Japanese	Korean	Hong Kong	Thai
Total number of samples	1,291	586	661	629
HBV patients	489	340	281	390
IC	114	-	-	-
CH	147	175	187	198
AE	21	-	-	-
LC	38	-	-	-
HCC	169	165	94	192
Mean age (y)	57.1	44.7	57.9	52.0
(min-max)	(20–84)	(18–74)	(32–86)	(21–84)
Gender (M/F)	338/151	265/75	239/42	289/101
Resolved individuals*	335	106	190	113
HCV (–)	249	106	190	113
HCV (+)	86	-	-	-
Mean age (y)	59.7	43.1	40.0	48.2
(min-max)	(18–87)	(12–66)	(18–60)	(39–66)
Gender (M/F)	173/162	61/45	113/77	83/30
Healthy controls	467	140	190	126
Mean age (y)	39.0**	33.7	26.2	46.6
(min-max)	(23–64)	(1–59)	(16–60)	(38–79)
Gender (M/F)	370/97	67/73	87/103	73/53

Abbreviation: IC, Inactive Carrier; CH, Chronic Hepatitis; AE, Acute Exacerbation; LC, Liver Cirrhosis; HCC, Hepatocellular Carcinoma.

\* Resolved individuals were HBsAg negative and HBcAb positive.

\*\* 419 of 467 healthy controls were de-identified, without information on age.

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