

Figure 2. Geometries of indole/imidazolium complexes A–P used for calculations of interaction energy potentials.

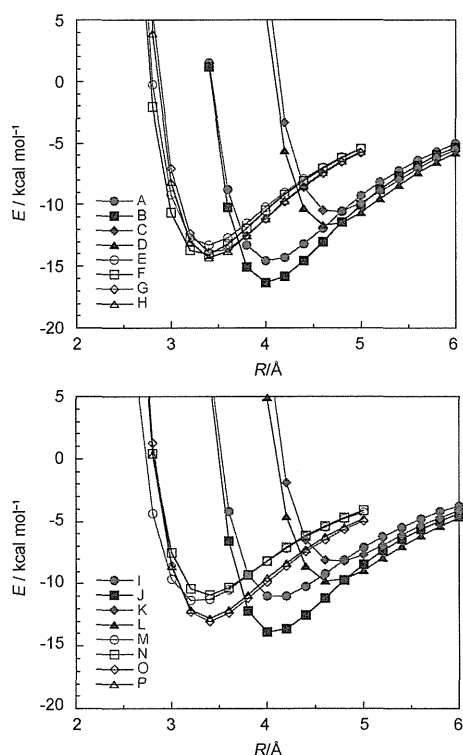


Figure 3. MP2/cc-pVTZ interaction energy potentials for indole/imidazolium complexes A–P (Figure 2). Intermolecular distance (R) is the distance between the indole plane and the midpoint of the two nitrogen atoms of imidazolium.

for the interaction energy for the geometries A–H, in which the imidazolium ring locates above the six-membered ring of indole, to be larger than in the corresponding geometries I–P, in which the imidazolium ring is located above the five-membered ring of indole, which indicates that 1,3-dimethylimidazolium cation prefers to interact with the six-membered ring of indole. These results are consistent with the optimized structure for the indole/ Na^+ complex, in which the sodium cation is positioned on the six-membered ring of indole.^[1,25] Interestingly, the interaction energy in the complexes (A, B, I, and J), in which the C2–H bond of the imidazolium ion points toward the indole, is larger than that of the corresponding complexes (C, D, K, and L), in which the C4–H and C5–H bonds of imidazolium have contact with the indole. In particular, the T-shaped structure B has a very large interaction energy compared with those of the other structures.

Two stable geometries were obtained by geometry optimization of the indole/1,3-dimethylimidazolium complex. The optimized geometries and the related interaction energies (E_{int} , the CCSD(T) level total interaction energy at the basis set limit) are shown in Figure 4. Both structures are T-

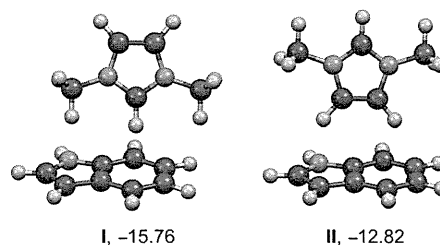


Figure 4. Optimized geometries and CCSD(T) interaction energies at the basis set limit (kcal mol^{-1}) for an indole/1,3-dimethylimidazolium complex.

shaped. Structure I ($-15.76 \text{ kcal mol}^{-1}$) in which the C₂–H bond of imidazolium points toward the center of six-membered ring of indole is more stable than II ($-12.82 \text{ kcal mol}^{-1}$) in which the C₄–H and C₅–H bonds of the imidazolium ion have contact with the indole. The larger electrostatic and induction interactions in I compared with II (Table 4) are the origin of the greater stability of the geometry I. The center of the positive charge distributions of imidazolium ring is close to the midpoint between the two nitrogen atoms of the imidazolium ring.^[26] The shorter distance between the midpoint and the indole plane in geometry I is apparently the cause of the larger electrostatic and induction energies.

Calculated interaction energies for the complex are compared with those for the benzene complexes with pyridinium and K^+ cations in Table 4. The E_{int} for the most stable form (I) is larger than that of the benzene/pyridinium complex ($-14.77 \text{ kcal mol}^{-1}$) and also close to that of the benzene/ K^+ complex ($-17.2 \text{ kcal mol}^{-1}$), which indicates that strong attraction that exists between an indole and a 1,3-di-

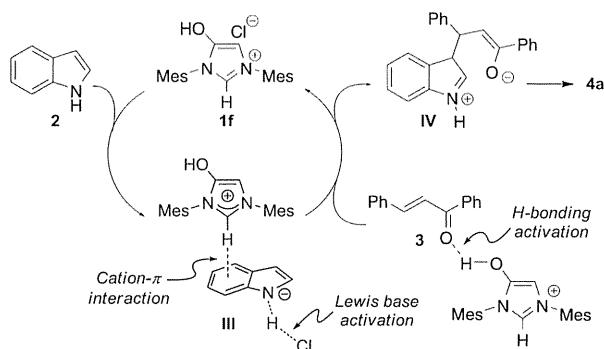
Table 4. Electrostatic, induction, and dispersion energies of indole/1,3-dimethylimidazolium and other cation/ π complexes.^[a]

	$E_{\text{HF}}^{\text{[b]}}$	$E_{\text{int}}^{\text{[c]}}$	$E_{\text{es}}^{\text{[d]}}$	$E_{\text{ind}}^{\text{[e]}}$	$E_{\text{shot}}^{\text{[f]}}$	$E_{\text{corr}}^{\text{[g]}}$
I	-8.70	-15.76	-8.86	-6.57	6.73	-7.06
II	-5.82	-12.82	-7.45	-5.19	6.82	-7.01
benzene/pyridinium ^[h]	-14.77	-8.12	-9.13	8.12	-5.63	
benzene/K ⁺ ^[h]	-17.2	-11.9	-12.8	11.8	-4.4	

[a] Energy in kcal mol⁻¹. The geometries of clusters **I** and **II** are shown in Figure 4. [b] HF/aug-cc-pVTZ interaction energy. [c] Estimated CCSD(T) interaction energy at the basis set limit (ECCSD(T) limit). [d] Electrostatic energy. [e] Induction energy. [f] Repulsion energy. [g] Effect of electron correlation on the interaction energy; mainly dispersion energy. [h] Data in ref. [23].

methylimidazolium cation. The large electrostatic and induction contributions to the attraction in the indole/1,3-dimethylimidazolium complex, as in the cases of the benzene complexes with pyridinium and alkali-metal cations, show that the interactions in the indole/1,3-dimethylimidazolium complex should be categorized as a cation- π interaction.

A plausible reaction mechanism is shown in Scheme 5. Although the reaction mechanism through the imidazolium-mediated hydrogen-bonding activation of conjugate ac-



Scheme 5. Proposed reaction mechanism.

ceptors such as Brønsted acid catalysis cannot be excluded,^[14,27] based on the results of our mechanistic studies, we propose a catalytic process involving possible cation- π interactions of indole/imidazolium complexes. The cation- π interaction of imidazolium salts with indoles leads to the formation of an indole/imidazolium complex (**III**). Close juxtaposition of imidazolium cations to electron-rich indole rings, which leads to the increased acidity of indoles,^[28] would facilitate a Lewis base activation of the NH group of the indole by the imidazolium salt-derived chloride anion. This is followed by Friedel-Crafts-type conjugate addition to the acceptor, presumably activated by the hydroxy group of HyMes⁺, to generate the corresponding enolate **IV**. Subsequent tautomerization of **IV** affords the 3-substituted indole **4a** and regenerates the imidazolium-salt catalyst.

Conclusions

We have described an imidazolium salt-catalyzed Friedel-Crafts-type conjugate addition of indoles that requires no base, no solvent, and no carbene to provide various 3-substituted indoles in good to excellent yields at ambient temperatures. The key to this reaction catalyzed by imidazolium salts is the unique activation of indoles prompted by the possible cation/ π interaction of indole/imidazolium complexes. High-level ab initio calculation reveals that there is a strong attraction between the indole and the 1,3-dimethylimidazolium cation, and that the most stable T-shaped structure of indole/imidazolium complex is stabilized by large electrostatic and induction interactions, which is a characteristic feature of the cation- π interaction. These findings expand the potential for the development of novel reactions based on these classes of molecules. Efforts to develop an enantioselective version^[29] of this reaction and to explore the detailed mechanism are currently in progress.

Experimental Section

General Procedure for Azolium Salt-Catalyzed Friedel-Crafts-Type Conjugate Addition of Indole 2.

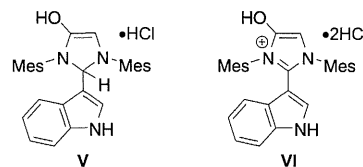
Chalcone **3** (0.50 mmol, 1.0 equiv.), indole (**2**, 2.0 equiv.), and HyMesCl (**1e**, 10 mol %) were placed in a test tube. The reaction mixture was stirred at 40°C for 24 h. Purification by flash column chromatography on silica gel with *n*-hexane/EtOAc gave the corresponding compound **4a**.

Acknowledgements

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- [28] Atomic charge distributions of indole were calculated by electrostatic potential fitting using Merz-Singh-Kollman Scheme from the MP2/6-311G** wavefunctions of isolated indole and an indole-dimethylimidazolium complex, indicating that a cation- π interaction in the indole/imidazolium complex largely affect the atomic charge distributions of indole, rendering the NH group of indole more cationic. See the Supporting Information for details.
- [29] Several chiral imidazolium salts with a hydroxy group for the enantioselective reaction were attempted, but no enantioselectivity was achieved. See the Supporting Information for details.

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Isostere-Based Design of 8-Azacoumarin-Type Photolabile Protecting Groups: A Hydrophilicity-Increasing Strategy for Coumarin-4-ylmethyls

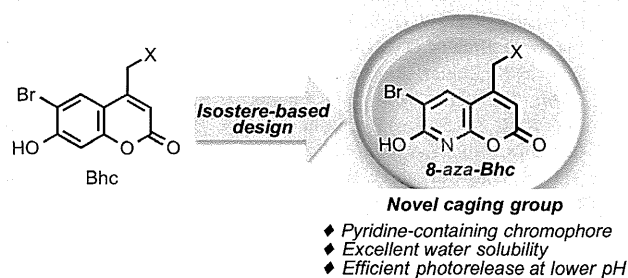
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S Supporting Information

ABSTRACT: Described is the development of 8-azacoumarin-4-ylmethyl groups as aqueous photolabile protecting groups. A key feature of the strategy is the isosteric replacement of the C7–C8 enol double bond of the Bhc derivative with an amide bond, resulting in conversion of the chromophore from coumarin to 8-azacoumarin. This strategy makes dramatically enhanced water solubility and facile photocleavage possible.



Chemical processes mediated by photolabile protecting groups find numerous utilities in synthetic organic chemistry,¹ chemical biology, and cell biology.² The exceptional utilities of photolabile protecting groups include their mild conditions associated with the photocleavage that can proceed smoothly and quickly even in aqueous conditions and their potential as photoactivatable molecules or caged compounds that enable spatial and temporal control of their biological functions.³ Among various caging groups,^{4–7} coumarins have had widespread applications to caging chemistry in recent years. In particular, the potential of two-photon photolysis with practically useful absorption cross sections (720–900 nm) is among the outstanding advantages of coumarin types such as the 6-bromo-7-hydroxycoumarin-4-ylmethyl (Bhc) group.^{7d} However, one of the drawbacks of coumarin types is their low aqueous solubility. Aqueous solubility is critical for the utility of caged compounds, since hydrophobic caged compounds will be aggregated in physiological conditions and the photocleavage would be plagued by sluggish reactivity.^{7h}

There are a few methods for increasing the aqueous solubility of coumarin chromophores. One successful example is the introduction of one or more hydrophilic carboxyl groups such as BCMACM,⁸ BBHCM,⁹ and DEAC450.¹⁰ Although these approaches effectively achieve the increase of hydrophilicity of coumarin chromophores, the development of new strategies for increasing the hydrophilicity with high photosensitivity remains challenging.

In this report, we disclose a simple and powerful strategy based on the concept of the amide-alkene isosterism for increasing the hydrophilicity of coumarin-type photolabile protecting groups (Figure 1), leading to the development of novel 8-azacoumarin-type protecting groups. The newly

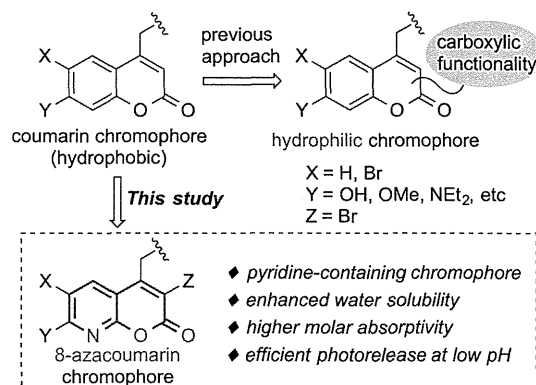


Figure 1. Strategies to increase the hydrophilicity of the coumarin chromophore.

designed 8-azacoumarin-type protecting groups have approximately 10- to 18-fold enhanced solubility in aqueous buffer compared to that of the parent Bhc group and possess photophysical and photochemical properties favorable for caging chemistry. Our presented strategy has the potential to provide new solutions for the development of caged compounds with enhanced hydrophilicity.

Our studies started from the design of novel chromophores with enhanced hydrophilicity (Figure 2). Our approach to increasing hydrophilicity is based on the introduction of polar and hydrophilic amide functionality into the coumarin chromophore. As shown in Figure 2, the C7–C8 enol double

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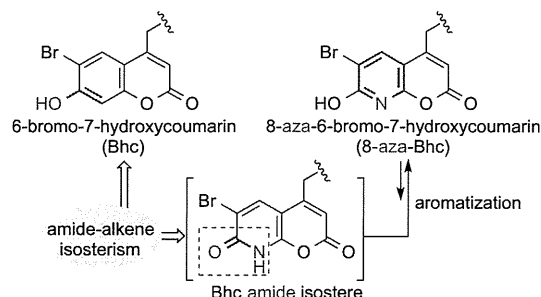


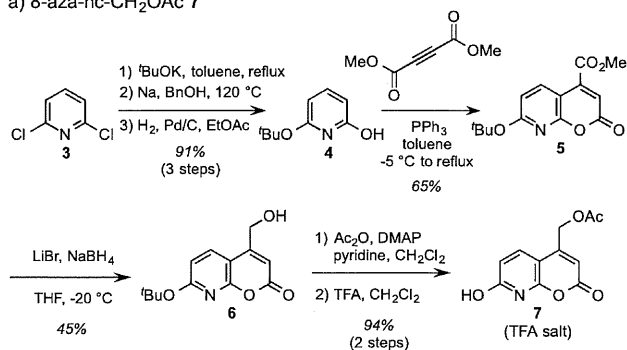
Figure 2. Isostere-based design of an 8-azacoumarin-type photolabile protecting group.

bond of the coumarin chromophore was replaced with an amide bond followed by aromatization of the lactam moiety to form a hydroxypyridine-containing 8-azacoumarin chromophore. This strategy relies on the concept of structural isosterism of amides and alkenes (enols), familiar in medicinal chemistry¹¹ and exemplified by alkene-type dipeptide isosteres,¹² which are regarded as ideal ground state mimetics of dipeptides and thus have been applied to many biologically active peptides.¹³

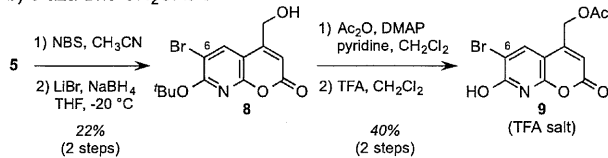
Our synthesis of 8-azacoumarins with a hydroxymethyl group at the C4 position began with 2,6-dichloropyridine **3** (Scheme 1). Successive treatments of **3** with potassium *tert*-

Scheme 1. Synthesis of Azacoumarin Derivatives **7** and **9**

a) 8-aza-hc-CH₂OAc **7**



b) 8-aza-Bhc-CH₂OAc **9**



butoxide and sodium benzyl alkoxide followed by hydrolysis of the benzyl group afforded the hydroxypyridine derivative **4** in an excellent yield (91% in 3 steps). Reaction of **4** with dimethyl acetylenedicarboxylate (DMAD) in the presence of PPh₃ provided the desired 8-azacoumarin **5** with an ester functionality at the C4 position in 65% yield.¹⁴ Chemoselective reduction was required for the conversion of this conjugated ester of **5** to the corresponding alcohol, since the lactone moiety of 8-azacoumarin is subject to cleavage by reducing agents. Screening of various reducing agents revealed that the use of LiBH₄ prepared *in situ* in THF at -20 °C afforded acceptable conversion giving the desired 8-azacoumarin

derivative **6** with a hydroxymethyl group at the C4 position, in 45% isolated yield. Compound **6** was subjected to acetylation of the alcohol followed by TFA treatment to give the desired 4-acetoxymethyl-8-aza-hc (8-aza-hc-CH₂OAc, **7**). Furthermore, in order to study the substituent (heavy atom) effects^{7d} on the 8-azacoumarin chromophore, the 6-brominated derivative (8-aza-Bhc-CH₂OAc, **9**) was also synthesized in a similar manner (Scheme 1b).

The key underlying concept of our approach is the introduction of an amide functionality to the coumarin chromophore to increase the aqueous solubility. The aqueous solubility of 8-azacoumarin derivatives **7** and **9** in PBS (0.1% DMSO) was evaluated with the parent Bhc derivative **10** (Table 1). As expected, 8-azacoumarin derivatives showed

Table 1. Hydrophilic Properties of 8-Azacoumarins **7** and **9** and Bhc Derivative **10**

compd	C_s^a (μM)	$\text{p}K_a^b$
7	6611	5.67
9	10832	4.22
10	602	5.88 ^c

^aConcentration at saturation in PBS buffer (0.1% DMSO).

^bDetermined using citric/phosphate buffer in the pH range 2.6–7.0.

^cLiterature value = 6.2 in H₂O.¹⁵

hydrophilicity much higher than that of **10**; in particular, the saturated concentration (C_s) of 8-aza-Bhc-CH₂OAc **9** was approximately 18-fold greater than that of **10**. These results indicate that the replacement of the chromophore involving the conversion of the coumarin into 8-azacoumarin enabled the enhancement of hydrophilicity of the coumarin chromophore. It is noteworthy that 8-aza-Bhc-CH₂OAc **9** showed hydrophilicity higher than that of the nonbrominated compound **7** possibly due to the lower $\text{p}K_a$ value (4.22) of the chromophore. In addition, HPLC monitoring of **9** in PBS at room temperature showed that **9** was highly resistant to spontaneous hydrolysis in the dark and that only 2% of **9** was hydrolyzed in 12 h.¹⁶

The photochemical properties of azacoumarin derivatives **7**, **9**, and **10** in aqueous solutions were examined. 8-Aza-hc-CH₂OAc **7** was subjected to photolysis in 5 μM KMOPS buffer (10 mM MOPS; 4-morpholinepropane-1-sulfonic acid, and 100 mM KCl) solution at pH 7.2 at 350 nm. Figure 3 shows the time courses of photolysis reactions of synthetic compounds in terms of the consumption of the starting materials and indicates that the photolytic reaction of **7** follows a single-exponential decay with the time to reach 50% conversion (t_{50}) for photolysis of **7** at 29 s. As expected, introduction of the bromo group resulted in the remarkable increase of the photochemical reactivity; the value of t_{50} for photolysis of **9** is 13 s, which is slightly longer than that of **10** (9 s) but is about 2.3 times shorter than that of **7**. Although the photolytic mechanism of 8-azacoumarin derivatives **7** and **9** is not fully understood at this stage, these observations suggest the possibility of 8-azacoumarin-based chromophores working as photolabile protecting groups.

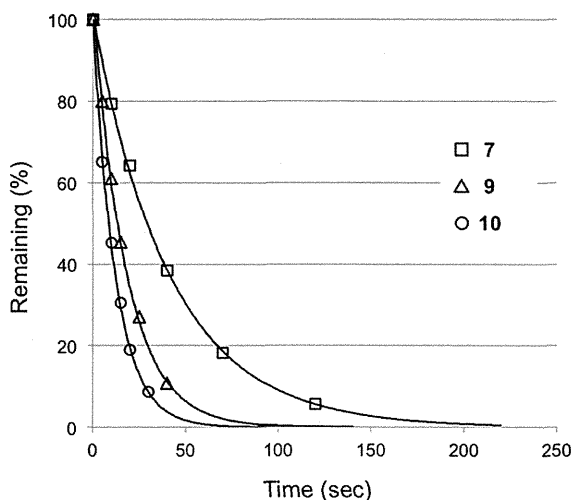


Figure 3. Time courses of photolysis reactions of 7, 9, and 10. Samples were subjected to photolysis in 5 μ M KMOPS buffer solution at pH 7.2 at 350 nm (10 mJ/s). All data are the mean values for at least two independent experiments.

Photophysical and photochemical properties of the 8-azacoumarin derivatives 7 and 9 and the Bhc derivative 10 are shown in Table 2. The absorption maxima shifted slightly to

Table 2. Selected Photophysical and Photochemical Properties of Compounds 7, 9, and 10

compd	λ_{\max}^a (nm)	ϵ_{\max}^b ($M^{-1}cm^{-1}$)	ϵ_{350}^c ($M^{-1}cm^{-1}$)	Φ_{chem}^d	$\epsilon_{350} \cdot \Phi_{\text{chem}}^e$
7	356	20799	20175	0.026	526
9	362	23520	20583	0.059	1211
10	370	18071	13774	0.13	1806

^aLong-wavelength absorption maxima. ^bMolar absorptivity at the absorption maxima. ^cMolar absorptivity at 350 nm. ^dQuantum yields for the disappearance of starting materials upon irradiation at 350 nm. ^eProduct of the photolysis quantum yield and molar absorptivity.

shorter wavelength, from 370 nm for 10 to 356 and 362 nm for 7 and 9, respectively, indicating that like the Bhc group azacoumarin-based protecting groups can be cleaved under uncaging light conditions (330–385 nm). The molar absorptivities at 350 nm of 7 ($\epsilon = 20175 M^{-1} cm^{-1}$) and 9 ($\epsilon = 20583 M^{-1} cm^{-1}$) are higher than that of 10. The photolysis quantum yields for disappearance of starting materials were calculated from the single decay curves using the equation $\Phi = 1/(I \times 10^3 \epsilon t_{90})$ as reported by Tsien.¹⁷ The quantum yields of disappearance were determined as 0.026 for 7 and 0.059 for 9, respectively, which are 2–5 times lower than that of 10 (0.13) possibly due to the relatively strong fluorescence of the 8-azacoumarin chromophore.¹⁸ An important factor in the development of new photolabile protecting groups is photolysis efficiency. The photolysis efficiency of caged compounds is evaluated with the product of the photolysis quantum yield (Φ_{chem}) and molar absorptivity (ϵ) and allows quantitative comparison of the overall efficiency of a photolysis reaction.¹⁹ The $\epsilon_{350} \cdot \Phi_{\text{chem}}$ values of 7 and 9 are 526 and 1211, respectively, and that of 10 is 1806. The observed $\epsilon_{350} \cdot \Phi_{\text{chem}}$ values of 8-azacoumarin derivatives 7 and 9 were sufficiently high to support practical applications to caging chemistry. Taking into account the excellent aqueous solubility of the 8-azacoumarin chromophore, 8-azacoumarin-based

photolabile protecting groups promise to be useful for caging chemistry.

In conclusion, we have designed and performed a simple and robust strategy for increasing the hydrophilicity of coumarin chromophores based on the concept of structural isosterism of amides and enols. Replacement of the C7–C8 enol double bond of the Bhc group with a polar and hydrophilic amide bond led to the development of novel 8-azacoumarin-type protecting groups, which can be removed photolytically and which showed markedly enhanced hydrophilicity and high photolysis efficiency supporting applications to caging chemistry. These studies provide the basis for future work including the development of novel hydrophilic molecules, to which it is difficult by standard approaches to introduce additional hydrophilic functionalities. Current efforts are aimed at expanding this strategy to other caging groups and functional molecules, which will offer highly effective methods for spatial and temporal control of biological activities.

■ ASSOCIATED CONTENT

Supporting Information

Experimental detail, synthesis, and characterization data. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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Notes

The authors declare no competing financial interest.

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ターゲットタンパク質を特異的に認識するプローブ

野村 渉^{*1}・玉村 啓和^{*2}

1. タグ-プローブシステム

タンパク質は物質の変換・輸送・情報伝達などの機能を司っている重要な生体高分子であり、生命現象をより深く理解するためには、生きた細胞におけるタンパク質の機能や局在、タンパク質間の相互作用について詳細に解析することが不可欠である。現在ではさまざまな蛍光分子を用いて生細胞内外のタンパク質を可視化できる手法が開発されている。最も広く用いられているタンパク質の蛍光ラベル化は、1960年代下村らにより発見された Green Fluorescent Protein (GFP) を蛍光タンパク質として融合する方法である¹⁾。GFP を用いる可視化は非常に有用な方法であり、蛍光イメージングにおいて大きく貢献し、GFP を改変したさまざまな色の蛍光タンパク質を用いた蛍光ラベル化が可能になっている。近年では、時間依存的に発現動態や局在、活性化・不活性化状態が大きく変化するタンパク質についてより詳細に解析することに焦点が当てられ、標的タンパク質を特定の時間に観測する手法の開発が求められている。そこで、標的タンパク質にタグとなるタンパク質またはペプチドを付加し、そのタグと特異的に結合する蛍光プローブを後から加えて蛍光ラベル化を達成するタグ-プローブシステムが有

用なイメージングツールとして期待されている。われわれのペプチドタグ-プローブペアを開発する以前までのそれらの例としては、テトラシステインタグ (-Cys-Cys-X-X-Cys-Cys-) と 2 個の砒素原子を有する蛍光プローブ (FIAsH 等) の組み合わせ²⁾ や、酵素自体をタグとし基質の蛍光誘導体をプローブとして利用した Halo タグ³⁾、金属錯体とそのリガンドとの間の強い親和性を利用した D4 タグ (Asp4 残基を含む)-二核亜鉛錯体プローブ⁴⁾ 等があった。ほとんどのタグ-プローブペアにおいて、タグに結合していない遊離のプローブ分子由来の蛍光がバックグラウンドとして観測されてしまうなどの問題点があげられていた。

2. ZIP タグ-プローブペア

これまでに報告されているロイジンジッパー構造⁵⁾に着目し、すでに結晶構造が明らかにされている逆平行 3 本鎖ペプチド⁶⁾を基本骨格としたタグ-プローブペア (ZIP タグ-プローブペア) を開発した。3 本鎖のうち 1 本鎖をプローブペプチド、2 本鎖をタグペプチドとした。プローブペプチドには、環境応答性色素として 4-nitrobenzo-2-oxa-1,3-diazole (NBD) を導入した NBD プローブ分子を設計、合成した。そして、ロイジンジッパー構造内部 (3 本鎖の内側) に形成される疎水性ポケットのサイズを最適化するため、タグ配列のポケット形成に関わる 2 個のロイシンをアラニ

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Specific Probes Recognizing Target Proteins

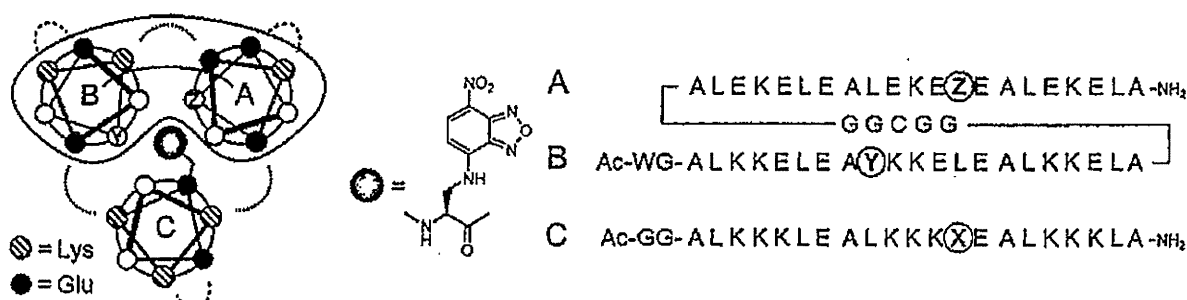


図1 ZIP タグ-プローブペアのデザイン。二本鎖ペプチドAとBをGGCGGリンカーでつないで、タグペプチドとした。一本鎖ペプチドCにX(NBDを導入した2,3-diaminopropionic acid)を導入し、プローブペプチドとした。YとZはもとのLeuをAlaに置換した

ンに置換したタグペプチドを設計、合成した(図1)。これらのタグとNBDプローブペアについてHEPES buffer中にて蛍光滴定実験を行い、タグ-NBDプローブペアの結合親和性および蛍光応答能の評価を行うと、結合に伴う約18倍の蛍光強度の増加と30 nmの蛍光波長の短波長シフトが見られた(図2)。NBDプローブ単独では微弱な黄色の蛍光しか示さないのに対し、タグ-NBDプローブペアの溶液では明るい緑色の蛍光が観測され、これらの差は肉眼でも識別可能である。タグ-NBDプローブペアの解離定数は17.5 nMであり、抗原-抗体並みの結合親和性を有している。また、タグとの結合に伴ってNBDプローブの蛍光強度と蛍光波長が大幅に変化するため、遊離のプローブと容易に区別して標的タンパク質の蛍光イメージングが可能となる。これはタンパク質の蛍光イメージング

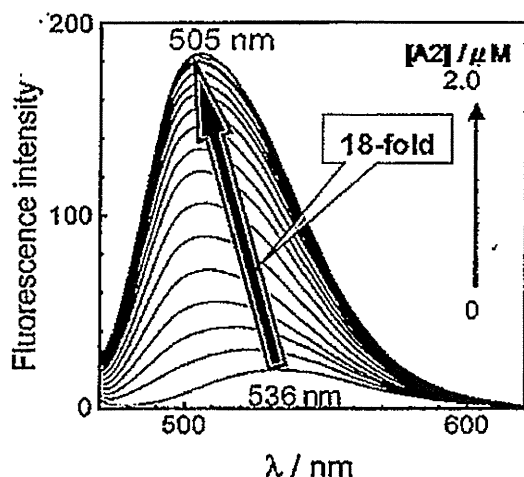


図2 ZIPタグ-NBDプローブペアの蛍光滴定

に用いるには十分な親和性であるといえる。さらに、円二色性スペクトルよりNBDプローブペプチドとタグペプチドが安定な三本鎖 α -ヘリックス構造を形成していることを確認している⁷⁾。

3. ZIP タグ-プローブシステムによる細胞表面タンパク質のイメージング

このZIP タグ-プローブシステムが生細胞においても同様に機能するかどうか検討するため、タグ-NBDプローブペアを用いて細胞表面に存在する膜タンパク質であるケモカイン受容体CXCR4の蛍光イメージングを実施した(図3)。その結果、洗浄操作無しでもCXCR4を蛍光ラベル化して観察することに成功した。また、本現象はCXCR4アンタゴニストのtetramethylrhodamine (TAMRA) 標識体⁸⁾を用いるダブルラベリングにより証明している。

4. ZIP タグ-プローブシステムによる細胞内タンパク質のイメージング

次に、ZIP タグ-プローブシステムが細胞内のタンパク質に対しても同様に機能するかどうか検討するため、細胞内に存在するタンパク質リン酸化酵素PKCの蛍光イメージングを実施した(図4)。まず、NBDプローブのC末に細胞膜透過シグナルであるオクタアルギニン⁹⁾を付加し、細胞をピレンブチレートで前処理¹⁰⁾することにより、NBDプ

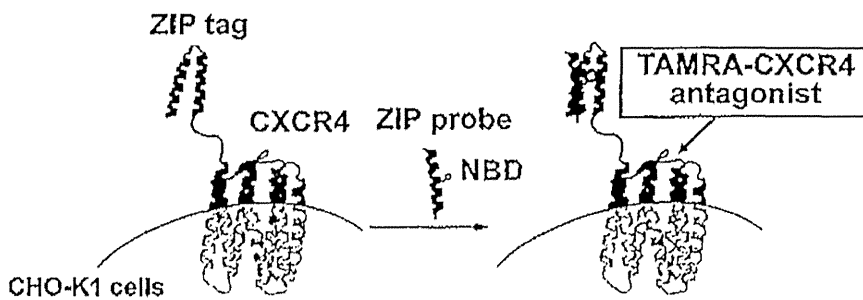


図3 ZIPタグ-プローブペアによるCXCR4の蛍光イメージング。ZIPタグをN末に融合したCXCR4発現CHO-K1細胞にNBDプローブとTAMRA標識CXCR4アンタゴニストを添加した(左図)。TAMRA標識CXCR4アンタゴニスト由来の赤色(a)とNBDプローブ由来の緑色(b)が共局在している(d)のわかる(右図)

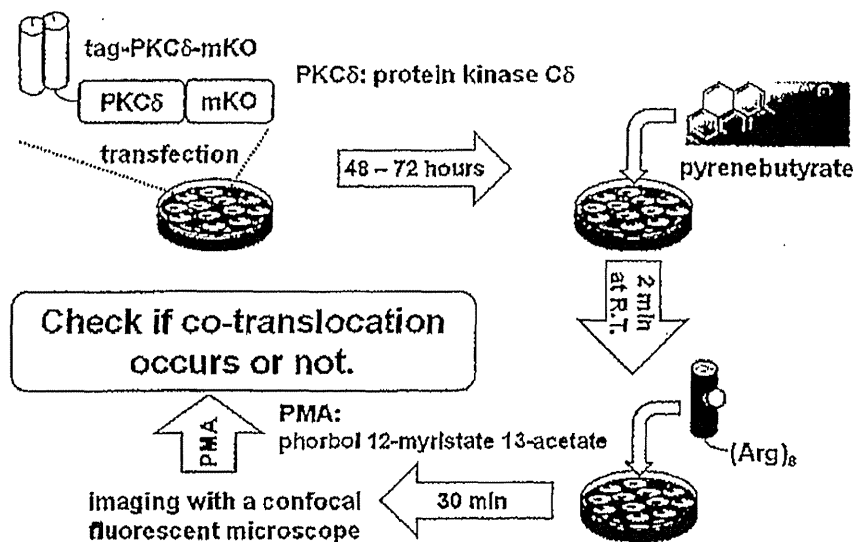


図4 ZIPタグ-プローブペアによるPKCδの蛍光イメージング。ZIPタグをN末に、KusabiraOrange (mKO)をC末に融合したPKCδ発現CHO-K1細胞に、ピレンブチレートとオクタアルギニン付加NBDプローブを添加し、共焦点顕微鏡で観察した。さらにPKCのリガンドであるphorbol 12-myristate 13-acetate (PMA)を添加し、PKC-タグ-プローブ-PMAのco-translocationを追跡した

ローブ分子を細胞内へ導入することができた。その結果、生細胞内のPKCを蛍光ラベリ化して観察できた。また、PKCのリガンドであるphorbol 12-myristate 13-acetate (PMA)を添加することにより、PKC-タグ-プローブ-PMAのco-translocationを追跡することに成功した。このことにより、生細胞内においてリガンドによるタンパク質の動きを追跡できるほどZIPタグ-プローブペアは高い親和性と特異性で結合しており、高い蛍光応答能を持って機能することを示唆している。

5. ZIPタグ-プローブペアのまとめ

ZIPタグ-プローブシステムは、環境応答性色素としてNBDを採用し、ロイシンジッパー構造の逆平行3本鎖に基づくタグとプローブの選択的結合に伴って、蛍光波長・強度が大きく変化する生細胞上および生細胞内タンパク質のイメージングシステムである。これまでに、NBDに代わる色素として7-diethylaminocoumarin (DEAC)を導入し青色蛍光を発するプローブも開発しており¹¹⁾、また、タグとプローブを共有結合で架橋するクロス

リンク型の ZIP タグ-プローブシステムも創出している¹²⁾。これらは、今後、タンパク質イメージングのための有用なツールとなるであろう。

6. 創薬ターゲットとしての Gタンパク質共役型受容体

7 回膜貫通 G タンパク質共役型受容体 (GPCR) は低分子医薬品の分子標的のうち約 30% を占めており、重要な創薬ターゲットである¹³⁾。近年、GPCR がホモあるいはヘテロの二量体化等の多量体化によってシグナル伝達を活性化する可能性が示唆されている¹⁴⁾。GPCR は膜貫通型のタンパク質であるため、X 線結晶構造解析はいくつか報告されているものの一般には非常に困難であることが知られている。そこで、GPCR の細胞膜表面での多量体化状態を検出することは機能解析において重要である。

7. ケモカイン受容体 CXCR4

これまでわれわれは、GPCR に属するケモカイン受容体 CXCR4 に対するアンタゴニストの創製を精力的に行っている¹⁵⁾。ケモカインは特定の白血球の遊走作用や活性化作用を有する一連のサイトカインの総称である。CXCR4 はケモカイン CXCL12/stromal cell-derived factor-1 (SDF-1) を内因性リガンドとする受容体である¹⁶⁾。CXCR4 は CXCL12 との相互作用により、胎生時の血管形成や心形成、造血、神経形成において progenitor cell の遊走や活性化等の重要な作用を示す。この

CXCR4 を GPCR の一例として、後で取り上げることとする。病態との関連では、CXCR4 と CXCL12 との相互作用は固形がんの転移、血液がんの進行、関節リウマチの炎症などに関与し¹⁷⁾、また、CXCR4 はヒト免疫不全ウイルス (human immunodeficiency virus, HIV) の感染の際に第二受容体 (coreceptor)¹⁸⁾ として機能している。このように CXCR4 はさまざまな疾病に関与する創薬の multi-target として注目されており、われわれは現在までに多数の CXCR4 アンタゴニストの創製を行ってきた¹⁵⁾。1980 年代後半から京都大学藤井信孝研究室 (玉村の前所属) では、カプトガニ血球由来の防御ペプチド polyphemusin の構造活性相関研究を進め、18 残基からなる侵入阻害ペプチド T22 を見出した (図 5)¹⁹⁾。そして、CXCR4 が HIV 侵入のコレセプターとして同定されて以来、T22 は CXCR4 アンタゴニストであることが証明され²⁰⁾、構造最適化により 14 残基の CXCR4 アンタゴニスト T140 を見出した¹⁵⁾。生体内安定型 T140 誘導体は現在、臨床試験 (phase II) 中である²¹⁾。また、T140 のファルマコフォアのアミノ酸残基を基にした環状ペプチド FC131 を創出した¹⁵⁾。これらのリード化合物をもとに低分子のペプチドミミック、非ペプチド性二核亜鉛錯体やその誘導体も創出している²²⁾。われわれが T22 から T140 誘導体へと構造最適化を進めている 2000 年代初頭に、CXCR4 と CXCL12 の相互作用が種々の固形がんの転移や血液がんの進行、関節リウマチの炎症等に関与することが明らか

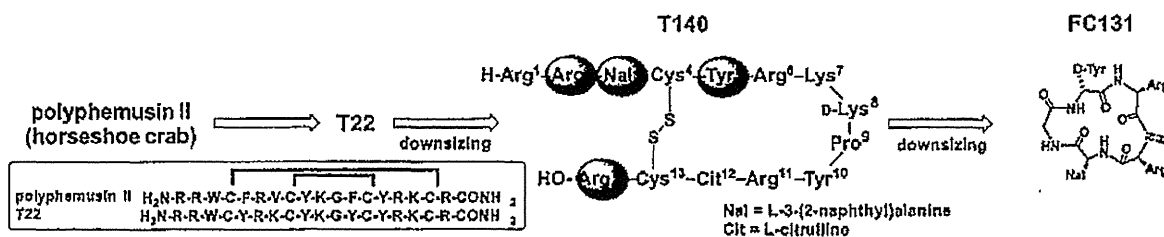


図 5 カプトガニ血球由来の防御ペプチド polyphemusin からペプチド性 CXCR4 アンタゴニスト T140 および FC131 の創製。T140 中のグラデーションスークルは活性に重要なアミノ酸残基を示す

にされた¹⁷⁾。それに伴い、T140 誘導体ががん転移阻害活性、白血病の進行の阻害活性、抗関節リウマチ作用を有することも明らかにした²³⁾。CXCR4 アンタゴニストの臨床応用においては、CXCR4 と CXCL12 の相互作用が生理的に重要な作用を示すことから、アンタゴニストの副作用を十分検討する必要がある。

8. 2 価型 CXCR4 リガンドのデザインと創製

種々の GPCR が多量体化することによってシグナル伝達を活性化する可能性が示唆されており、CXCR4 も種々のがん細胞で過剰発現しており²⁴⁾、その二量体化ががんの悪性度、転移性に関与することが報告されている。CXCR4 のリガンドを 2 価結合型とすることにより、CXCR4 発現量に応じた細胞イメージングを可能とし、CXCR4 が過剰発現した腫瘍細胞を特異的に認識することができないかと考えた。一般に 2 価型リガンドは単量体

と違って結合の相乗的効果によって高い結合親和性を示す。これまでに創製された GPCR の 2 価型リガンドの多くは、リガンドユニット間をつなぐリンカー部位においてアルキル鎖、もしくは水溶性を向上させるためにポリエチレングリコール鎖を用いていた。これらのリンカー構造は柔軟であり、標的である二量体 GPCR に対して適合するような長さのリンカーを有するリガンドを合成することが困難であった。そこでわれわれは比較的堅固な構造を有するポリ-L-プロリン鎖を用いることによって、リガンドユニット間の距離を一定に固定化する構造をもつリガンドを創製した(図 6)。これまでにポリ-L-プロリン鎖を活用した機能性分子はいくつか報告されているが、リガンド間の距離をポリプロリンによって固定化するという報告はなく、われわれの研究が初報である²⁵⁾。CXCR4 リガンドとしては FC131 のグリシンを D-システインに置換した cFC131 を用いた(図 6 (A))。Fmoc 型固相合成法によって、6-27 残基のポ

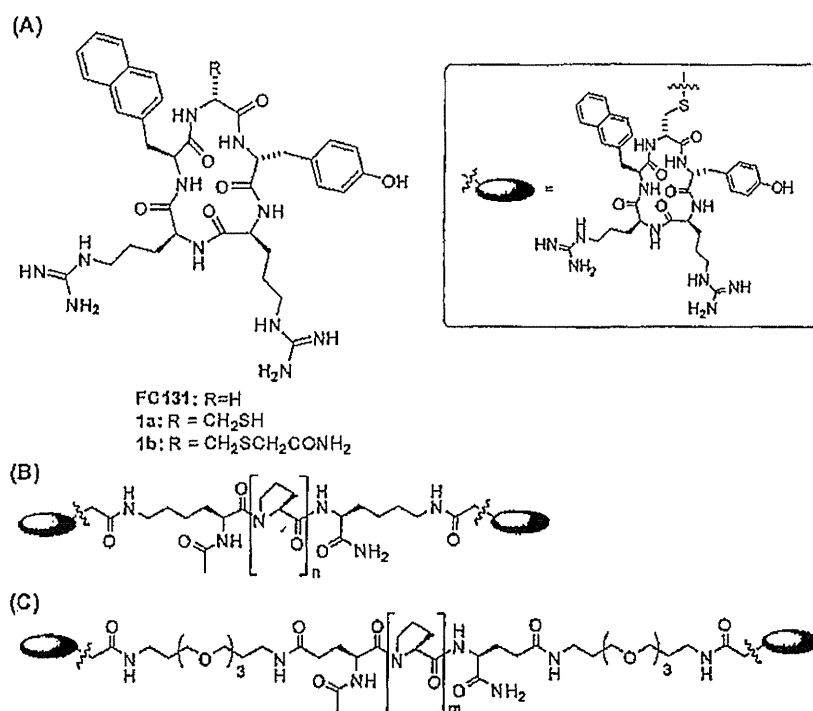


図 6 (A)CXCR4リガンドFC131のコンジュゲート用誘導体cFC131(1a)とそのキャッピング誘導体(1b)。(B)ポリプロリンリンカーで架橋した 2 価型 CXCR4 リガンド (n = 6~27)。(C)ポリプロリン-PEG リンカーで架橋した 2 価型 CXCR4 リガンド (m = 3~18)

リプロリンリンカーを合成し、両末端にクロロアセチル基を導入した(図6(B)). また, ポリプロリンリンカーの両末端にポリエチレングリコール(PEG)を導入したリンカーも同時に合成した(図6(C)). そして, 各リンカーに対してcFC131を導入し, 結果的に2~8 nmの長さをカバーするリンカーを持つ2価型リガンドを合成した. ポリプロリンリンカーおよびポリプロリン-PEG リンカーを持つリガンドの両方において5.5~6.5 nmの長さのリンカーで最大のCXCR4結合活性を示した. すなわち, 二量体CXCR4における結合ポケット間の距離がその長さに相当すると考えられる. ロドプシン構造を利用した二量体会合様式の推定においては, 膜貫通ヘリックス transmembrane region(TM)4とTM5が介した会合様式となり, FC131の結合ポケット間の距離と上述のリンカー長が一致した. 2010年にCXCR4のX線結晶構造が報告されたが, この報告では結晶中においてはTM5とTM6が細胞質で相互作用する会合様式をとっている²⁶. しかし, GPCRの会合様式としてはTM4とTM5を介する会合様式が一般的であり, 結晶構造解析に用いられたCXCR4には大幅なアミノ酸変異が導入されていることから, 細胞膜表面での会合様式を反映していない可能性も高い. この2価型リガンドを用いた解析によって得られたTM4とTM5の会合様式が信頼性の高いものである.

9. 2価型リガンドを用いたCXCR4イメージングと腫瘍プローブへの応用

最適な2価型リガンドのポリプロリン(Pro)₁₈リンカー部位に蛍光基TAMRAを導入することにより, 細胞表面上でのCXCR4に対する結合が可視化できるかどうかを検討した. まず, CXCR4のC末端にEGFPを融合したタンパク質を強制発現したHeLa細胞を用いて共焦点レーザー顕微鏡により観察



図7 TAMRA標識2価型CXCR4リガンド(左)および単量体リガンド(右)を用いたCXCR4-EGFP強制発現HeLa細胞のイメージング. 2価型リガンド(25 nM)を用いた場合, 単量体リガンド(50 nM)を用いた場合に比べ, 明瞭なCXCR4との共局在が見られる. Barは50 μmを示す

した. その結果, 2価型リガンドにおいては, リガンドのTAMRA由来の赤色蛍光とCXCR4の存在を示すEGFP由来の緑色蛍光との共局在が明瞭に見られた(図7, 左). 単量体リガンドと比較することにより, 2価型リガンドの選択性が確認された(図7, 右). また, CXCR4の発現量が異なる3種類の細胞(CXCR4発現量の多い順にJurkat, HeLa, K562)において, 2価型リガンドではCXCR4の発現量に比例して結合量が増大すること, 単量体ではそのような現象が見られないことを見出した. さらに, CXCR4の発現量が亢進しているヒト肺癌由来のA549と, 成長因子FGFを除いて培養を行ったCXCR4の発現がほとんど見られないヒト血管上皮細胞HUVECを用いたイメージングを行い, A549では2価型リガンドで強い蛍光が検出でき, HUVECにおいてはバックグラウンド蛍光も確認されないことが示された. これらの結果は細胞表面でCXCR4発現量が増大すると, 二量体化して存在するCXCR4の割合が増えるということを示唆している. この分子イメージング実験において, 25 nMという非常に低いリガンド濃度で明瞭な分子イメージングが可能であるため, 抗体(μMオーダーレベルで使用)などと比較しても優れたイメージング性能を有しているといえる.

10. 2価型 CXCR4 リガンドの まとめ

本研究では、ポリプロリンリンカーを用いて2価型 CXCR4 リガンドのユニット間を適切な距離に固定化する手法を見出した。これは他の GPCR リガンドにも広く応用が可能であると考えられ、本法によって X 線結晶構造が未だに解かれていない種々の GPCR に関してその会合状態の推定やリガンド結合部位間の距離の推定が可能になると期待される。さらに、腫瘍認識プローブとしての2価型 CXCR4 リガンドの有用性を紹介した。2価型リガンドは診断薬としての展開が期待されるが、がん転移阻害剤としても有望なデータを得ており、双方への発展も視野に入れて進めている。

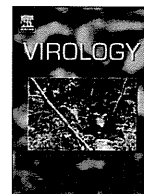
11. おわりに

本稿では、「ターゲットタンパク質を特異的に認識するプローブ」として、前半ではわれわれが開発した ZIP タグ-プローブシステムを、後半では二量体 GPCR を認識するプローブを紹介した。生命現象をより深く理解するために、生細胞におけるタンパク質の機能や局在、タンパク質間の相互作用を詳細に解析するためのツールが重要になる。われわれは他にも多くの有用なツールを開発しており、そのことによって、ケミカルバイオロジー研究、生命科学研究に貢献したいと考えている。本研究を遂行するにあたってお世話になりました、大橋南美機関研究員(講師相当)、水口貴章助教、堤浩博士(現・東京工業大学助教)、田中智博博士(現・東京理科大学研究員)をはじめ、東京医科歯科大学メディ

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Generation of a monkey-tropic human immunodeficiency virus type 1 carrying *env* from a CCR5-tropic subtype C clinical isolate



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ABSTRACT

Several derivatives of human immunodeficiency virus type 1 (HIV-1) that evade macaque restriction factors and establish infection in pig-tailed macaques (PtMs) have been described. These monkey-tropic HIV-1s utilize CXCR4 as a co-receptor that differs from CCR5 used by most currently circulating HIV-1 strains. We generated a new monkey-tropic HIV-1 carrying *env* from a CCR5-tropic subtype C HIV-1 clinical isolate. Using intracellular homologous recombination, we generated an uncloned chimeric virus consisting of at least seven types of recombination breakpoints in the region between *vpr* and *env*. The virus increased its replication capacity while maintaining CCR5 tropism after *in vitro* passage in PtM primary lymphocytes. PtM infection with the adapted virus exhibited high peak viremia levels in plasma while the virus was undetectable at 12–16 weeks. This virus serves as starting point for generating a pathogenic monkey-tropic HIV-1 with CCR5-tropic subtype C *env*, perhaps through serial passage in macaques.

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Introduction

Nonhuman primate models with human-like immune systems are often employed to evaluate the efficacy of candidate vaccines against acquired immune deficiency syndrome (AIDS). However, human immunodeficiency virus type 1 (HIV-1) infects humans or chimpanzees (*Pan troglodytes*) but not rhesus macaques (*Macaca mulatta*), the most widely used primate species in biomedical research (Gibbs et al., 2007). Experimental infection of macaques with simian immunodeficiency virus (SIV) or simian-human immunodeficiency virus (SHIV) has been used extensively to investigate HIV-1 infection *in vivo*. Pathogenic infection with SIV allows insight into the mechanisms of pathogenesis and provides information for development of novel vaccination strategies. However, due to the marked antigenic difference in viral proteins between HIV-1 and SIV, macaque models with SIV are not suitable for evaluating the immune response directed against HIV-1 (Javaherian et al., 1992; Kanki et al., 1985; Murphey-Corb et al., 1986). SHIV, a chimeric virus carrying *tat*, *rev*, *vpu* and *env* from

HIV-1 with an SIV genetic backbone, has been constructed and used widely to assess the immune response and pathogenicity directed against HIV-1 Env (Shibata and Adachi, 1992; Reimann et al., 1996; Harouse et al., 1999).

Highly pathogenic SHIV irreversibly depletes circulating CD4⁺ T-lymphocytes, and cause rapidly AIDS-like symptoms in infected macaques. These properties are, however, different from the vast majority of circulating HIV-1 or SIV isolates, and the discrepancy would be attributed to the viral co-receptor preference (Nishimura et al., 2004). Entry of HIV-1 into cells is mediated through the interaction of viral envelope protein with cellular CD4 and subsequent binding to either the CCR5 or CXCR4 chemokine receptor or both receptors. The vast majority of HIV-1 clinical isolates preferentially utilize CCR5 as the co-receptor for entry (Choe et al., 1996). The CXCR4-tropic or dual-tropic viruses that utilize both CCR5 and CXCR4 emerge during late stages in the disease course (Doranz et al., 1996; Feng et al., 1996).

In addition to the co-receptor usage, it is necessary to consider the variation of *env* gene in SHIV construction. Most HIV-1 strains currently circulating belong to group M, consisting of subtypes A–D, F–H, J, K and their recombinants, and are largely responsible for the global AIDS pandemic (Hemelaar, 2012). Most of early SHIVs are generated by utilizing genes derived from subtype B

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had an overlapping region between the initiation of *vpr* to upstream of the *env* V1/V2 region, and fragments I and III had an overlapping region between the 5' LTR to upstream of the CypA-binding site.

These amplified DNA fragments (fragments I, II and III) were co-transfected into C8166-CCR5 cells that are permissive to CCR5-tropic HIV-1. On day 8 post-transfection, we observed the formation of virus-induced cytopathic effects (CPEs), indicating the generation of replication-competent recombinant virus. The new recombinant virus was isolated and designated HIV-1mt ZA012-P0.

To determine the genomic organization of HIV-1mt ZA012-P0, we subjected the viral RNA isolated from the culture supernatant to direct sequencing. We found that the virus carried sequences of the U5 region of the 5' LTR, *gag*, *pol* and *vif* derived from NL-DT5R and sequences of 3' half of *env*, *nef*, and R and the U3 region of the 3' LTR derived from 97ZA012 (Fig. 1C). First, the recombination breakpoint derived from fragments I and III was found to be located within the junction between the U5 and R region of the 5' LTR (nucleotide positions 551–605 based on HXB2 numbering). However, additional recombination breakpoints between fragments I and II, encoding the *vpr–env* region, were not identified due to multiple peaks at the same locations in the analyzed sequence chromatograms. This result suggested that HIV-1mt ZA012-P0 represented a swarm that might contain several variants with various recombination breakpoints.

Increased replication competence of HIV-1mt ZA012 through long-term *in vitro* passage in CD8⁺ cell-depleted pig-tailed macaque peripheral blood mononuclear cells (PBMCs)

We subsequently determined whether HIV-1mt ZA012-P0 replicates in CD8⁺ cell-depleted pig-tailed macaque peripheral blood mononuclear cells (PtM PBMCs), in which the parental

NL-DT5R replicated as described previously (Kamada et al., 2006). HIV-1mt ZA012-P0 from the culture supernatant of C8166-CCR5 was used to spinoculate CD8⁺ cell-depleted PtM PBMCs, and the virion-associated reverse transcriptase (RT) activity was monitored in the culture supernatant (Fig. 2); however, no RT activity was detected in the culture supernatant after passage 1 (Fig. 2).

Next we carried out *in vitro* serial passages to improve the replication competence of the virus as observed in the cases of HIV-1 (Freed and Martin, 1996; Willey et al., 1988). Infected cells were co-cultured with freshly prepared CD8⁺ cell-depleted PtM PBMCs every 1 or 2 weeks. Although detectable RT activity was not observed during 10 successive passages (passage 1–10), a low level of viral replication was confirmed by the CPEs of C8166-CCR5 cells co-cultured with PBMCs taken from the passage (data not shown). A detectable peak of viral replication (319 cpm/ μ L) was observed at 115 days after the first inoculation (passage 11), and replication was maintained following passages, eventually resulting in enhanced replication in PtM PBMCs (1900 cpm/ μ L in passage 19). The resultant virus, isolated from the culture supernatant of passage 19, was designated HIV-1mt ZA012-P19.

To evaluate the replication capacity of the virus, the replication kinetics of HIV-1mt ZA012-P19 were compared to those of the parental NL-DT5R and HIV-1mt ZA012-P0. Each viral stock was normalized by the number of infectious units per cell (in this case, a multiplicity of infection (MOI) of 0.1) and used to inoculate CD8⁺ cell-depleted PtM PBMCs isolated from two donor monkeys; virion-associated RT activity in the culture supernatant was monitored daily (Fig. 3). Although HIV-1mt ZA012-P19 exhibited a lower level of viral replication compared to that of SIVmac239, the virus showed more efficient replication than NL-DT5R and HIV-1mt ZA012-P0 in cells from both animals. Therefore, we successfully improved the replication capacity of the new HIV-1mt in PtM PBMCs by *in vitro* passaging.

Sequence analysis of HIV-1mt ZA012-P0 and ZA012-P19

It is likely that HIV-1mt ZA012-P0 acquired genetic changes and evolved to HIV-1mt ZA012-P19 through the serial passages in PtM PBMCs. To compare the genomic sequence of these viruses, we first performed single genome amplification (SGA) of viral RNA isolated from the culture supernatant to determine the nucleic acid sequences of the *vpr–env* region (nucleotide positions 5559–8795 based on HXB2) of HIV-1mt ZA012-P0. Subsequently, we identified the sequence of the region containing the expected recombination breakpoints generated by IHR between fragments I and II. Genetic analysis of 17 SGA clones revealed that these sequences had NL-DT5R sequences in the 5' end and HIV-1 97ZA012 sequences in the 3' end, with seven different recombination

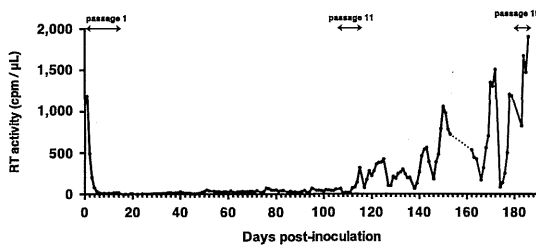


Fig. 2. Improved replication of HIV-1mt ZA012 throughout *in vitro* passages in CD8⁺ cell-depleted PtM peripheral blood mononuclear cells (PBMCs). HIV-1mt ZA012-P0 was used to spinoculate CD8⁺ cell-depleted PtM PBMCs, and virion-associated RT activity in the culture supernatant was monitored daily. Some of the infected cells were co-cultured with freshly prepared CD8⁺ cell-depleted PtM PBMCs. One period of passage was indicated in the shaded grey or white zones. The dotted line indicates data not available.

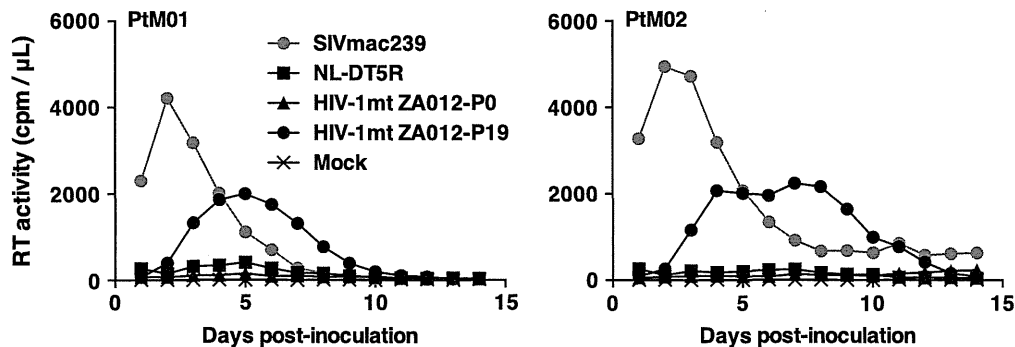


Fig. 3. Growth kinetics of HIV-1mt ZA012 in CD8⁺ cell-depleted PtM PBMCs. Growth kinetics of HIV-1mt ZA012-P0, HIV-1mt ZA012-P19, SIVmac239 and NL-DT5R were compared in PBMCs from two PtMs. Each virus was used to spinoculate CD8⁺ cell-depleted PtM PBMCs (MOI=0.1 TCID₅₀ per cell), and the virion-associated RT activity in the culture supernatant was monitored. The figure shown is representative of four independent experiments.

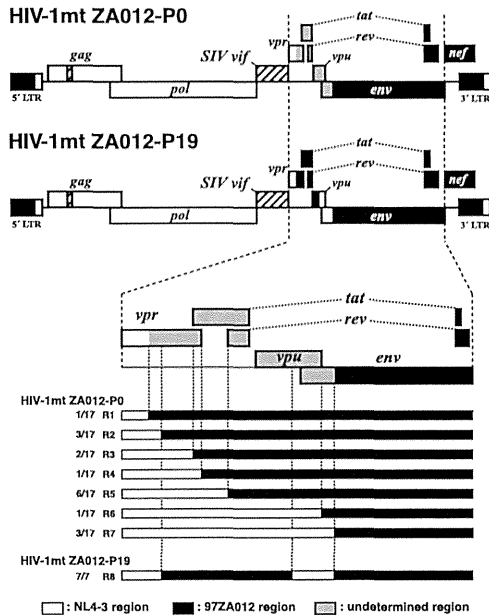


Fig. 4. Recombination breakpoints in HIV-1mt ZA012-P0 and ZA012-P19 genomes. The genome organizations of HIV-1mt ZA012-P0 and HIV-1mt ZA012-P19 are schematically represented (upper two diagrams). The region from the initiation of *vpr* to the end of *env* that included recombination breakpoint sites is depicted in the third diagram; the HIV-1mt ZA012-P0 (17 SGA sequences) or HIV-1mt ZA012-P19 (seven SGA sequences) are depicted (bottom). Sequences from HIV-1mt ZA012-P0 were classified into seven patterns of recombination breakpoints (R1 to R7). Sequences from HIV-1mt ZA012-P19 were classified into one recombination breakpoint pattern (R8). The numbers (left) indicate the numbers of sequences per analyzed sequence.

breakpoints in the region (Fig. 4). One recombination breakpoint was detected at nucleotide positions 178–187 of the *vpr* gene in 1/17 SGA sequences (5736–5745 in HXB2 numbering, recombination type R1) with 10 identical base pairs between NL-DT5R and 97ZA012. In addition to R1, we identified the following recombination types: the *vpr* gene in 3/17 SGA sequences (5760–5767; R2), the initiation of *tat* in 2/17 SGA sequences (5821–5839; R3), the end of the *vpr* gene in 1/17 SGA sequence (5852–5865; R4), the initiation of *rev* in 6/17 SGA sequences (5960–6000; R5), the end of the *vpu* gene in 1/17 SGA sequence (6357–6392; R6) and the upstream of V1/V2 of the *env* gene in 3/17 SGA sequences (6467–6491; R7). These results suggest that homologous recombination occurs in various sites with homologous sequences.

Next, seven SGA sequences were amplified from viral RNA isolated from the culture supernatant of PtM PBMCs infected with HIV-1mt ZA012-P19, and nucleotide sequences and recombination breakpoints were determined in the same manner. Unexpectedly, all the sequences of HIV-1mt ZA012-P19 had three recombination breakpoints in the region from the *vpr* to *env* genes (recombination type R8 in Fig. 4). The first breakpoint was located in the *vpr* gene (5760–5767), the second was located in the *vpu* gene (6194–6213), and the third was located in *env* (6467–6491) with the N-terminal portion of C1 region from NL4-3 sequence. Although the pattern of recombination breakpoint of the virus differed from those of HIV-1mt ZA012-P0, the first and third recombination breakpoints were identical to the recombination type of R2 and R7, respectively (Fig. 4). It is likely that HIV-1mt ZA012-P19 was generated from further recombination events that occurred in the middle of the *vpu* gene (6194–6213) between recombination type R2 and R7 of HIV-1mt ZA012-P0.

It is conceivable that the genome of HIV-1mt ZA012-P19 acquired several amino acid mutations associated with the enhanced replication in PtM PBMCs. Compared with the deduced

amino acid sequences in HIV-1mt ZA012-P0, HIV-1mt ZA012-P19 acquired substitutions from Lys to Arg at amino acid position 432 in Pol-RT and Asp to Glu at position 232 in Pol-IN that were in the NL-DT5R backbone. In addition, an amino acid substitution from Phe to Ser at 139 in Nef was found in HIV-1mt ZA012-P19 compared to 17 SGA sequences derived from HIV-1mt ZA012-P0. No nonsynonymous substitutions were identified in Gag and Vif, the proteins responsible for evading TRIM5 α and APOBEC3. Around the recombination break points in HIV-1mt ZA012-P19, the *vpr* and *vpu* genes keep each open reading frame and do not contain any mutations in the region derived from NL-DT5R, respectively. Furthermore, consensus amino acid sequence of HIV-1mt ZA012-P0 and -P19 were also identical in the regions derived from HIV-1 97ZA012, respectively. These facts suggest that recombination was occurred to keep these genes intact.

Phylogenetic analysis of *env* genes

It is likely that HIV-1mt ZA012-P0 generated by IHR in human C8166-CCR5 cells was a swarm carrying diverse *env* sequences of the parental HIV-1 97ZA012, which evolved to HIV-1mt ZA012-P19 through *in vitro* passages. To evaluate the *env* variants selected in C8166-CCR5 cells or primary PtM cells, we determined 22 sequences of HIV-1 97ZA012, 17 sequences of HIV-1mt ZA012-P0

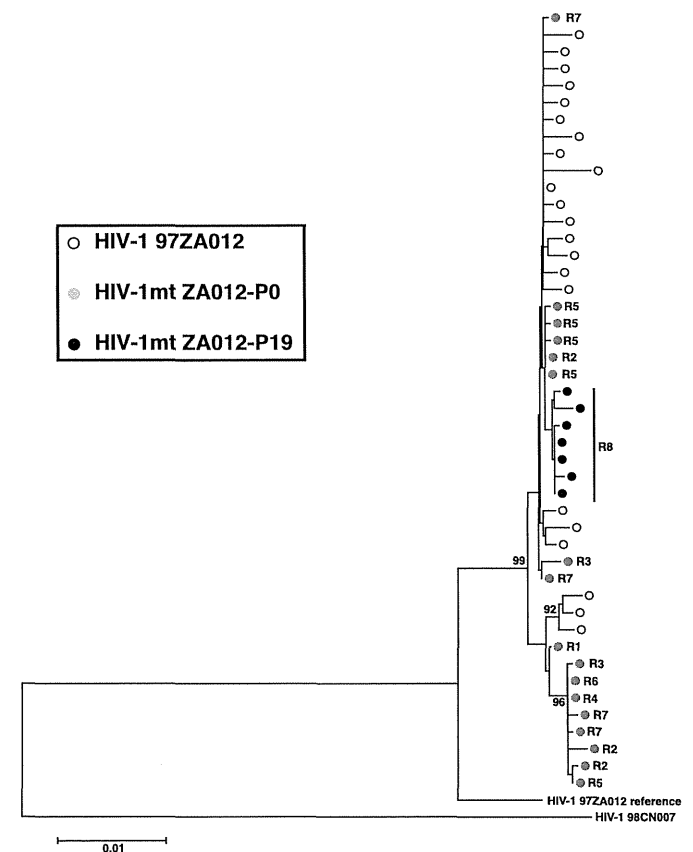


Fig. 5. Phylogenetic analysis of partial *env* sequences. A neighbor-joining phylogenetic tree was constructed from the partial nucleic acid sequences of *env* (nucleotide positions 211–2571 based on *env* of HXB2 numbering). The sequences of HIV-1 97ZA012 (white circle), HIV-1mt ZA012-P0 (grey circle) and HIV-1mt ZA012-P19 (black circle) were determined from SGA sequences. HIV-1 97ZA012 (accession number: AF286227) and 98CN007 (AF286230) reference sequences were obtained from the Los Alamos HIV sequence database (<http://hiv-web.lanl.gov/>). R1–R8 correspond to the patterns of recombination breakpoint types in Fig. 2. Bootstrap values were computed from 1000 bootstrap replicates, and only > 90% are shown at branches. The scale bar indicates the substitutions per site.

and seven sequences of HIV-1mt ZA012-P19 from SGA. Next, we conducted a phylogenetic analysis of the nucleotide sequences of the 3' terminal 2361 bp of each viral *env* derived from HIV-1 97ZA012 and shared by all variants of HIV-1mt ZA012-P0 and -P19 (Fig. 5). These sequences were divided into two clusters: the larger cluster included 19 sequences of HIV-1 97ZA012, 8 sequences of HIV-1mt ZA012-P0 and 7 sequences of HIV-1mt ZA012-P19; and the smaller cluster included 3 sequences of HIV-1 97ZA012 and 9 sequences of HIV-1mt ZA012-P0. Recombination types R2, R3, R5 and R7 (Fig. 4) were intermingled among the sequences of the two groups, suggesting that homologous recombination could occur in various *env* templates.

To compare the genetic diversity of *env* in these viruses, we computed the mean of all pair-wise distances between any two viral *env* sequences in each of the viruses. The computed diversity of *env* in HIV-1mt ZA012-P0 was 0.0038 ± 0.0025 (\pm standard deviation, SD), which was significantly lower than that in the parental HIV-1 97ZA012 (0.0044 ± 0.0021 ; $p < 0.05$). The computed diversity of HIV-1mt ZA012-P19 *env* was 0.0012 ± 0.00078 , which showed significantly lower variation compared to HIV-1mt ZA012-P0 ($p < 0.0001$).

Co-receptor usage of HIV-1mt ZA012-P19

To characterize co-receptor usage of HIV-1mt ZA012-P19 after long-term *in vitro* passage, we conducted an entry assay using TZM-bl cells with small molecule antagonists (Fig. 6). Viral infectivity of the CXCR4-tropic virus (NL4-3) was reduced in the presence of an increasing amount of the CXCR4 inhibitor, AMD3100, but was not affected by the CCR5 inhibitor, AD101. In contrast, the CCR5-tropic virus, SIVmac239, was inhibited in the presence of an increasing amount of AD101 but not by AMD3100. Similar to the results using SIVmac239, HIV-1mt ZA012-P19 exhibited sensitivity to inhibition by AD101 but resistance to AMD3100, indicating that the virus maintained its CCR5-tropism after the serial passage.

Replication of HIV-1mt ZA012 in pig-tailed macaques

Since HIV-1mt ZA012-P19 utilized CCR5 as a co-receptor and exhibited increased infectivity to primary cells of PtMs, we next assessed the *in vivo* replication capacity of the virus by experimental infection of PtMs. Two PtMs were inoculated intravenously

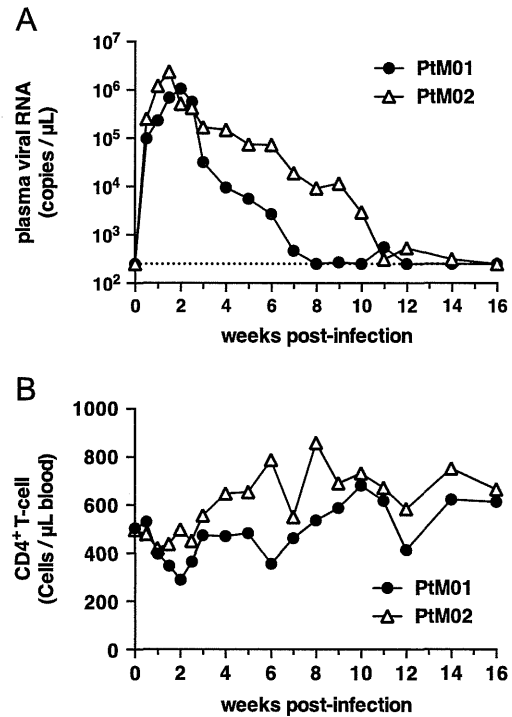


Fig. 7. HIV-1mt ZA012 infection of pig-tailed macaques. Two pig-tailed macaques were inoculated intravenously with HIV-1mt ZA012 (100,000 TCID₅₀), and the plasma viral RNA burdens (A) and circulating CD4⁺ T-lymphocytes (B) were monitored.

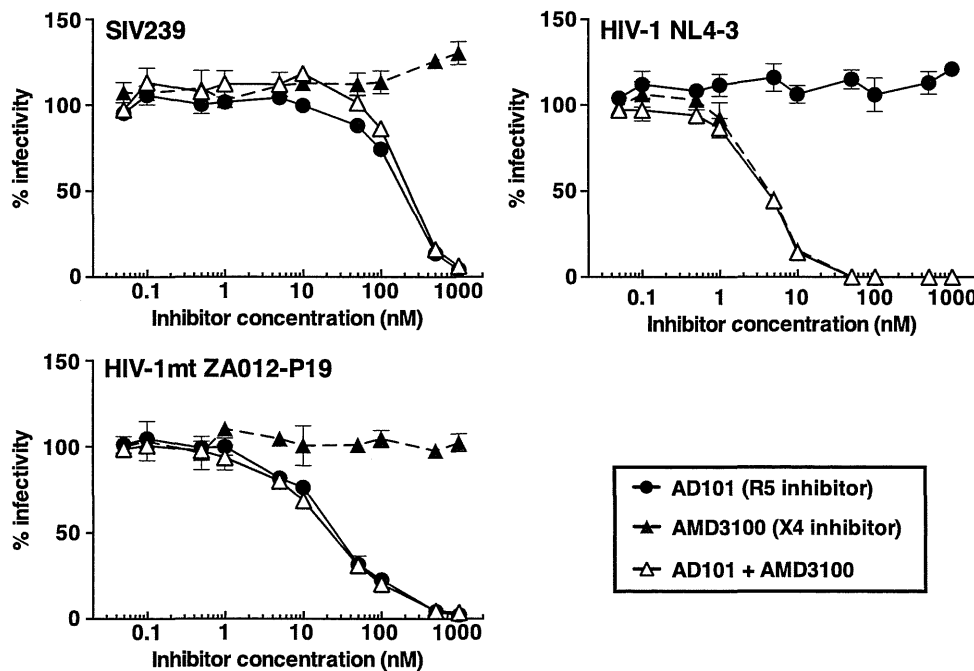


Fig. 6. Co-receptor usage of HIV-1mt ZA012-P19. Infectivity of HIV-1 NL4-3, SIVmac239 and HIV-1mt ZA012-P19 to TZM-bl cells was assessed in the presence of increasing amounts of AMD3100 (CXCR4 inhibitor), AD101 (CCR5 inhibitor) or both. The experiment was conducted in triplicate.

with 1.0×10^5 TCID₅₀ of the HIV-1mt prepared in PtM PBMCs, and plasma viral RNA burdens and the numbers of circulating CD4⁺ T-lymphocytes were monitored periodically (Fig. 7A). Plasma viral RNA loads in PtM01 peaked (1.0×10^6 copies/mL) at 2 weeks post-infection (wpi) and declined thereafter to levels below the detection limit at 8 wpi. PtM02 exhibited a peak plasma viral RNA burden (2.3×10^6 copies/mL) at 1.5 wpi and maintained more than 1×10^4 copies/mL by 9 wpi, but the viral load declined to levels below the detection limit at 16 wpi. The numbers of CD4⁺ T-lymphocytes in the circulation in both animals were not affected (Fig. 7B). Furthermore, we analyzed naive and memory populations of CD4⁺ T cells and no preferential depletion of circulating memory CD4⁺ T-lymphocyte was observed (data not shown).

Discussion

In this study, we used IHR to generate a new HIV-1mt carrying *env* from the CCR5-tropic subtype C HIV-1 clinical isolate. This recombination method has been used to generate infectious HIV-1 or SHIV by joining two linear DNAs in regions with completely identical sequences (Chen et al., 2000; Kalyanaraman et al., 1988; Kellam and Larder, 1994; Luciw et al., 1995; Srinivasan et al., 1989; Velpandi et al., 1991). Recently, we applied IHR to generate a replication-competent SHIV carrying subtype C *env* that was inserted within the *env* sequence of subtype B (Fujita et al., 2013). Here, we utilized the same method to generate HIV-1mt by replacing a coding sequence region from subtype B with that of a primary isolate of subtype C and investigated recombination breakpoints in detail by analyzing the sequences of the resultant viruses. We found seven variants with different recombination breakpoints that were located within overlapped sequences between fragments I and II. These variants were selected as replication-competent virus in C8166-CCR5 cells that maintained their variability, suggesting that IHR events occur frequently in cells co-transfected with DNA fragments. In addition, it appears that the length of identical sequence of as short as 8 bp is sufficient for IHR (recombination type R2 in Fig. 4). Furthermore, IHR is suggested to occur between various DNA templates, based on the phylogenetic analysis results that indicated intermingled types of recombination breakpoints among different *env* sequences.

To develop a virus that efficiently infects monkey cells, it is important to choose an *env* that mediates efficient entry to macaque cells. The Env proteins in most A-D subtypes of HIV-1 clinical isolates from infected individuals during the acute phase of infection do not mediate efficient entry using macaque CD4 receptors (Humes et al., 2012). In a preliminary experiment in C8166-CCR5 cells, we generated five strains of replication-competent HIV-1mt carrying *env* from subtype C HIV-1 clinical isolates, including 97ZA012, but only three were infectious to PtM cells (data not shown). The generation of SHIV 97ZA012 that can establish infection in rhesus macaques as described previously (Fujita et al., 2013) also suggested that Env of HIV-1 97ZA012 can generate recombinant viruses that are infectious to macaque cells.

The serial passage of HIV-1mt ZA012-P0 through PtM PBMCs resulted in the loss of variants with recombination breakpoints and led to the emergence of HIV-1mt ZA012-P19 variants with shared uniform mosaic breakpoints not detected before the passage (Fig. 4). It is possible that recombination type R8 was generated through additional recombination events within homologous sequences in the *vpu* region between variants with recombination type R2 and R7 because recombinant breakpoints located on *vpr* and *env* regions of the virus were identical to that of R2 and R7, respectively. This possibility of recombination between R2 and R7 is also supported by the previous finding that the AAAAA tract within the putative site of recombination is a recombination

hotspot during reverse transcription because the sequence facilitates template switching by pausing and dissociation of reverse transcriptase and results in frequent recombination (Quinones-Mateu et al., 2002).

HIV-1mt ZA012-P19 acquired three amino acid substitutions (K432R of Pol-RT, D232E of Pol-IN and F138S of Nef) through serial passages in PtM PBMCs, but the biological significance of these mutations remains undetermined. It has been reported previously that two amino acid substitutions (N222K and V234I) in the C-terminus of Pol-IN of NL4-3 could augment replication of HIV-1mt in cynomolgus macaque HSC-F and human MT4/CCR5 cells (Nomaguchi et al., 2013). A D232E mutation observed in this study was positioned near these two residues, which might be associated with increased replication in primate cells.

HIV-1mt ZA012 established infection in PtMs with the peak viremia reaching 1.0 – 2.3×10^6 copies/mL at 1.5 or 2 wpi (Fig. 7). In contrast, NL-DT5R exhibited low levels of replication in PtMs (at most 3.5×10^4 copies/mL at peak viremia) regardless of CD8⁺ cell-depletion, as described previously (Igarashi et al., 2007). Plasma viral RNA load at peak viremia in HSIV-vif infected newborn PtMs showed 0.5 – 1.0×10^5 copies/mL (Thippeshappa et al., 2011). The highest peak viral level has been achieved by stHIV-1 infection of PtMs, reaching 1.0×10^5 – 10^6 copies/mL at the peak (Hatzioannou et al., 2009). Although HIV-1mt ZA012 failed to persist its replication over 10 weeks, the replication capacity of the virus in the acute phase appeared to be comparable to or greater than known monkey-tropic HIV-1 isolates. The caveat is that HIV-1mt ZA012 was obtained through "autologous" cell passage.

The derivative of NL-DT5R was designed to counteract or evade restrictions by macaque TRIM5 α and APOBEC3G but not by interferon (IFN)-stimulated genes (ISGs). One of the IFN α -inducible host factors, tetherin, inhibits release of viral particles from infected cells (Neil et al., 2008). HIV-1 Vpu is able to counteract human tetherin activity but fails to downregulate this activity in macaque (Jia et al., 2009). On the other hand, unlike HIV-1 HXB2 or NL4-3, some strains of HIV-1 appear to antagonize macaque tetherin by its N-terminal transmembrane (TM) domain of Vpu (Shingai et al., 2011). It has been reported that replication of monkey-tropic HIV-1 could be controlled in macaque lymphocytes treated with IFN- α (Bitzegeio et al., 2013; Thippeshappa et al., 2013). Further investigations are required to determine whether HIV-1mt ZA012-P19 that encodes the N-terminal TM domain of Vpu, Env and Nef from subtype C could efficiently replicate in the presence of PtM tetherin or ISGs.

We generated the first CCR5-tropic HIV-1mt in the currently available derivatives of HIV-1 that can establish infection in macaques. NL-DT5R, HSIV-vif and stHIV-1 are infectious to PtMs, but these viruses are CXCR4 or CXCR4/CCR5 dual tropic. Several monkey-tropic HIV-1 isolates carrying CCR5-tropic *env* have been reported, but the viral replication was less efficient than NL-DT5R (Yamashita et al., 2008). The CCR5-tropic viruses preferentially infect memory CD4⁺ T-lymphocytes and efficiently replicate in effector sites *in vivo* (i.e., lymphocytes in the lung or gastrointestinal tract) (Brenchley et al., 2004; Mehandru et al., 2004; Okoye et al., 2007; Picker et al., 2004). Although we characterized co-receptor usage of HIV-1mt ZA012-P19 *in vitro*, further investigation is needed to determine whether the virus behaves similarly to CCR5-tropic HIV-1 isolates in patients *in vivo*.

In this study, we generated a new monkey-tropic HIV-1. The viral swarm HIV-1mt ZA012-P19 carries *env* sequences from CCR5-tropic subtype C HIV-1, and it successfully established infection in PtMs with a high peak viremia comparable or greater than the monkey-tropic HIV-1 strains currently available. Although the monkey-tropic HIV-1 requires further adaptation to improve its *in vivo* replication capacity, the virus potentially serves as a nonhuman primate model for AIDS, which reproduces infection with currently circulating HIV-1.