

susceptibility profiles with high MICs of PIPC, CAZ, and CTX, not IPM. These results suggest that the BC-GN assay is useful for earlier administration of appropriate antibiotics and de-escalation. Whereas, no genotypic-phenotypic correlation was found in the *Acinetobacter* spp. isolate harboring *bla*<sub>OXA-58</sub>. In that case, the BC-GN assay may lead to inappropriate use of antibiotic, and de-escalation is needed after conventional drug susceptibility testing.

Although *bla*<sub>CTX-M</sub> is the most prevalent among genes of extended-spectrum  $\beta$ -lactamases (ESBLs)[26,27], the BC-GN assay cannot detect other ESBLs genes like *bla*<sub>TEM</sub> and *bla*<sub>SHV</sub>, and there remains concern of bacteria harboring drug resistance genes which were not detected by the assay. More than 200 *bla*<sub>TEM</sub> and more than 100 *bla*<sub>SHV</sub> genes are currently known in the Lahey clinic database [28]. Thus, it remains unfeasible to detect these variants in a rapid and simple manner. The emergence of various  $\beta$ -lactam resistance genes, including carbapenemases and ESBLs, have been extensively reported [6]. The timely direct detection of resistance genes from positive blood cultures will be very useful in clinical situations, since multidrug-resistant bacteria and polymicrobial infections are important causes of failure in the treatment of sepsis. Because drug resistance genes will continue to evolve and spread, it is necessary to carefully monitor the spread of drug resistance genes.

Of the 10 most frequently isolated microorganisms in ICU-acquired bloodstream infection in Europe, 7 were Gram-negative pathogens targeted by the BC-GN assay [29]. Similar results were obtained from monomicrobial nosocomial bloodstream infections both in ICU and non-ICU wards in the USA [30]. The National Healthcare Safety Network at CDC reported that a significant proportion of central line-associated bloodstream infections are caused by drug-resistant Gram-negative pathogens in the USA [31]. Of the 6 major pathogens other than coagulase-negative staphylococci associated with nosocomial blood stream infections in Japan, 3 were Gram-negative pathogens, 2 were Gram-positive ones, and 1 was *Candida* spp. [32]. The BC-GN assay, which targets 9 Gram-negative bacterial species, will contribute to the diagnosis and management of patients with Gram-negative sepsis.

A number of new diagnostic methods have been developed for rapid detection of pathogens in positive blood cultures. A real-time PCR-based assay (LightCycler SeptiFast Test) can detect a number of Gram-negative and Gram-positive bacteria and fungi in a single assay, although it cannot detect drug resistance genes [8]. PNA-FISH can detect 3 Gram-negative pathogens, including *E. coli*, *K. pneumoniae*, and *P. aeruginosa*, but cannot detect drug resistance genes [9]. MALDI-TOF MS can detect bacterial isolates in blood culture, but cannot detect 2 or more bacterial species in polymicrobial samples or drug resistance gene products [33]. A DNA-based microarray platform, Probe-it sepsis assay, can detect various Gram-negative and Gram-positive pathogens in blood cultures. Nevertheless, it cannot detect drug resistance genes other than *mecA* [11].

To integrate the Verigene system into a laboratory workflow, Gram stain was needed after blood culture was positive to

determine whether the BC-GN or BC-GP assay was used. The Verigene system cannot measure MICs of antibiotics, and thus should be used in combination with biochemical characteristics of bacteria obtained from conventional drug susceptibility testing.

This study has some limitations. Firstly, we could not fully evaluate the ability of the BC-GN assay to detect some drug resistance genes, such as *bla*<sub>OXA-24/40</sub> and *bla*<sub>OXA-48</sub>. Secondly, to select samples containing organisms listed in the BC-GN panel, positive blood culture was stored for  $3.1 \pm 1.4$  days (maximum 5 days) before the BC-GN assay was done. The BC-GN assay should be done immediately after the culture becomes positive, although the storage of the culture in the study was unlikely to affect the assay results. A large-scale prospective clinical evaluation of the BC-GN assay is planned to determine the clinical impact of the assay including selection of antibiotics, length of stay, morbidity, and cost-effectiveness.

In conclusion, the Verigene system BC-GN assay accurately detects common Gram-negative bacterial isolates and their drug resistance genes from positive blood cultures in a rapid manner. The BC-GN assay demonstrated high sensitivity and specificity in the study, and it will generate significantly faster results compared to conventional methods in the medical settings. This new antibiotic microarray platform can be easily introduced into microbiological laboratories in various clinical settings. It will contribute to improved sepsis management as a result of earlier reporting of critical information leading to earlier administration of appropriate antibiotics and de-escalation.

## Supporting Information

**Table S1 MICs of antibiotics in clinical isolates harboring drug resistance genes.** It was measured using the broth microdilution method, according to the guidelines of the Clinical and Laboratory Standards Institute (CLSI). (XLSX)

**Table S2 MICs of antibiotics in all clinical isolates determined by Microscan Walkaway.** (XLSX)

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## Author Contributions

Conceived and designed the experiments: MT KH HT TK NO. Performed the experiments: MT TF YA MN KH HT. Analyzed the data: MT TF YA TK NO. Contributed reagents/materials/analysis tools: MT TF YA KM AS YM HY TMA KT NO. Wrote the paper: MT TK NO.

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# Biochemical Analysis of Metallo- $\beta$ -Lactamase NDM-3 from a Multidrug-Resistant *Escherichia coli* Strain Isolated in Japan

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New Delhi metallo- $\beta$ -lactamase-3 (NDM-3) was identified in a multidrug-resistant *Escherichia coli* isolate, NCGM77, obtained from the feces of a patient in Japan. The enzymatic activities of NDM-3 against  $\beta$ -lactams were similar to those of NDM-1, although NDM-3 showed slightly lower  $k_{cat}/K_m$  ratios for all the  $\beta$ -lactams tested except for doripenem. The genetic context for  $bla_{NDM-3}$  was *tnpA-bla\_{NDM-3}-ble\_{MBL}-trpF-dsbC-tnpA-sulI-qacEdeltaI-aadA2-dfrA1*, which was present on an approximately 250-kb plasmid.

Metallo- $\beta$ -lactamases (MBLs) are produced by many species of Gram-negative bacteria and some species of Gram-positive bacteria, including *Bacillus* spp. (1, 2). MBLs can confer resistance or reduced susceptibility to carbapenems and, usually, to cephalosporins and to penicillins except for monobactams (3). New Delhi metallo- $\beta$ -lactamase-1 (NDM-1), a recently discovered MBL, was initially found in Sweden from *Klebsiella pneumoniae* and *Escherichia coli* isolates that originated from India (4). Subsequently, at least 10 NDM variants (see [www.lahey.org/studies](http://www.lahey.org/studies)) have been reported in several different countries (5–17).

*Escherichia coli* NCGM77 was isolated from the feces of a patient in a medical setting in Japan in 2013. The isolate was phenotypically identified, and species identification was confirmed by 16S rRNA sequencing (18). MICs were determined using the broth microdilution method as recommended by the Clinical and Laboratory Standards Institute (19). *E. coli* NCGM77 was resistant to various tested  $\beta$ -lactams (Table 1); the MICs of the other antibiotics were 32  $\mu$ g/ml (amikacin), 8  $\mu$ g/ml (arbekacin), 256  $\mu$ g/ml (ciprofloxacin), <0.25  $\mu$ g/ml (colistin), >1,024  $\mu$ g/ml (fosfomicin), 64  $\mu$ g/ml (gentamicin), 512  $\mu$ g/ml (kanamycin), 32  $\mu$ g/ml (levofloxacin), 1  $\mu$ g/ml (minocycline), <0.25  $\mu$ g/ml (tigecycline), and 64  $\mu$ g/ml (tobramycin). PCR analysis for MBL genes of  $bla_{DIM}$ ,  $bla_{GIM}$ ,  $bla_{IMP}$ ,  $bla_{NDM}$ ,  $bla_{SIM}$ ,  $bla_{SPM}$ , and  $bla_{VIM}$  was performed (20, 21). On the basis of the PCR results, the isolate was positive for  $bla_{NDM}$ . The DNA sequence of the PCR product revealed that the isolate had the  $bla_{NDM-3}$  gene (9). Multilocus sequence typing (MLST) of NCGM77 found it to be sequence type 88 (ST88) (*E. coli* MLST database [see <http://www.pasteur.fr/recherche/genopole/PF8/mlst/EColi.html>]). *Pseudomonas aeruginosa* IOMTU9 (17) was used as a source of the  $bla_{NDM-1}$  gene.

The  $bla_{NDM-3}$  and  $bla_{NDM-1}$  genes were cloned into the corresponding sites of pHSG398 (TaKaRa, Shiga, Japan) using the primer set EcoRI-NDM-F (5'-GGGAATTCATGGAATTGCCCAATATTATG-3') and PstI-NDM-R (5'-AACTGCAGTCAGCGCAGCTTGTCGGCCAT-3'). The *Escherichia coli* DH5 $\alpha$  strain was transformed with pHSG398-NDM-3 or pHSG398-NDM-1 to determine the MICs of  $\beta$ -lactams.

The open reading frames of NDM-1 and NDM-3 without signal peptide regions were cloned into the pET28a expression vector (Novagen, Inc., Madison, WI) using the primer set BamHI-TEV-NDM-F (5'-ATGGATCCGAAAACCTGTATTTCCAAGGCCAGCAAATGGAACTGGCGAC-3') and XhoI-NDM-R (5'-ATCTCGAGTCAGCGCAGCTTGTCGGCCATG-3'). Plasmids were

transformed into *E. coli* BL21-CodonPlus (DE3)-RIP (Agilent Technologies, Santa Clara, CA). Recombinant NDM proteins were purified using nickel-nitrilotriacetic acid (Ni-NTA) agarose according to the manufacturer's instructions (Qiagen, Hilden, Germany). His tags were removed by digestion with TurboTEV protease (Accelagen, San Diego, CA), and untagged proteins were purified by an additional passage over the Ni-NTA agarose. The purities of NDM-1 and NDM-3 were >90%, as estimated by SDS-PAGE. During the purification procedure, the presence of  $\beta$ -lactamase activity was monitored using nitrocefin (Oxoid Ltd., Basingstoke, United Kingdom). Initial hydrolysis rates were determined in 50 mM phosphate buffer (pH 7.0) containing 5  $\mu$ M Zn(NO<sub>3</sub>)<sub>2</sub> at 37°C, using a UV-visible spectrophotometer (V-530; Jasco, Tokyo, Japan). The  $K_m$  and  $k_{cat}$  values and the  $k_{cat}/K_m$  ratios were determined by analyzing  $\beta$ -lactam hydrolysis using a Lineweaver-Burk plot. Wavelengths and extinction coefficients for  $\beta$ -lactam substrates have been reported previously (22–24). Three individual experiments were performed to determine the  $K_m$  and  $k_{cat}$  values.

All cloned genes in the pHSG398 and pQE2 vectors were sequenced using an ABI PRISM 3130 sequencer (Applied Biosystems, Foster City, CA).

The plasmid harboring  $bla_{NDM-3}$  was extracted (25) and sequenced by MiSeq (Illumina, San Diego, CA). The size of the plasmid harboring  $bla_{NDM-3}$  was determined using pulsed-field gel electrophoresis (PFGE) and Southern hybridization (12). A probe for  $bla_{NDM-3}$  was amplified by PCR by using the EcoRI-NDM-F and the PstI-NDM-R primers. Signal detection was carried out using the DIG High Prime DNA labeling and detection starter kit II (Roche Applied Science, Indianapolis, IN).

The  $bla_{NDM-3}$  probe hybridized to a 250-kb plasmid (Fig. 1). The sequence surrounding  $bla_{NDM-3}$  was *tnpA-bla\_{NDM-3}-ble\_{MBL}-trpF-dsbC-tnpA-sulI-qacEdeltaI-aadA2-dfrA1*. This plasmid showed more than 99.9% identity at the nucleotide sequence level from

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TABLE 1 MICs of various β-lactams for *E. coli* strains NCGM77 and DH5α transformed with plasmids carrying NDM-1 or NDM-3

Antibiotic	MIC (μg/ml) for:			
	NCGM77	pHSG398/ NDM-3	pHSG398/ NDM-1	pHSG398
Ampicillin	>1,024	256	256	4
Ampicillin-sulbactam	>1,024	128	128	2
Aztreonam	>1,024	0.063	0.063	0.063
Cefepime	>1,024	0.25	0.5	0.063
Cefoselis	— <sup>a</sup>	2	4	0.031
Cefotaxime	>1,024	4	8	0.031
Cefoxitin	>1,024	32	64	4
Cefpirome	— <sup>a</sup>	1	0.5	0.015
Ceftazidime	>1,024	256	256	0.25
Ceftriaxone	— <sup>a</sup>	8	8	0.031
Cephadrine	>1,024	256	256	16
Doripenem	32	0.125	0.125	0.031
Imipenem	16	0.25	0.5	0.063
Meropenem	32	0.25	0.5	<0.015
Moxalactam	— <sup>a</sup>	8	8	0.125
Penicillin G	>1,024	128	256	32

<sup>a</sup> —, MICs of cefoselis, cefpirome, ceftriaxone, and moxalactam for the NCGM77 strain were not determined.

69,229 to 78,275 bp of the pGUE plasmid (GenBank accession no. JQ364967) from the *E. coli* strain GUE, which was isolated in India (26). The entire sequence of the plasmid was not determined with the sequence data generated by MiSeq (Illumina).

Expression of the *bla*<sub>NDM-3</sub> and *bla*<sub>NDM-1</sub> genes in *E. coli* DH5α conferred resistance or reduced susceptibility to all cephalosporins, moxalactam, and carbapenems (Table 1). The MIC of cefpirome was 2-fold higher for *E. coli* expressing NDM-3 than for *E. coli* expressing NDM-1. In contrast, those of cefepime, cefoselis, cefotaxime, cefoxitin, imipenem, meropenem, and penicillin G were 2-fold lower for NDM-3 than for NDM-1.

As shown in Table 2, recombinant NDM-3 and NDM-1 hydrolyzed all β-lactams tested except for aztreonam. The profiles of the enzymatic activities of NDM-3 against β-lactams tested were similar to those of NDM-1, although NDM-3 had slightly but significantly lower  $k_{cat}/K_m$  ratios for all β-lactams tested except for

TABLE 2 Kinetic parameters of NDM-3 and NDM-1 enzymes<sup>a</sup>

β-Lactam	NDM-3			NDM-1		
	$K_m$ <sup>b</sup> (μM)	$k_{cat}$ <sup>b</sup> (s <sup>-1</sup> )	$k_{cat}/K_m$ <sup>b</sup> (μM <sup>-1</sup> s <sup>-1</sup> )	$K_m$ (μM)	$k_{cat}$ (s <sup>-1</sup> )	$k_{cat}/K_m$ <sup>b</sup> (μM <sup>-1</sup> s <sup>-1</sup> )
Ampicillin	228 ± 35	73 ± 7	0.32	500 ± 14	255 ± 8	0.51
Aztreonam	NH <sup>c</sup>	NH <sup>c</sup>	NH <sup>c</sup>	NH <sup>c</sup>	NH <sup>c</sup>	NH <sup>c</sup>
Cefepime	103 ± 10	17 ± 1	0.16	147 ± 18	41 ± 3	0.28
Cefotaxime	28 ± 3	24 ± 1	0.9	36 ± 4	63 ± 2	1.7
Cefoxitin	17 ± 1	1.6 ± 0.2	0.10	20 ± 3	4.4 ± 0.2	0.22
Ceftazidime	64 ± 9	11 ± 1	0.17	233 ± 35	58 ± 5	0.25
Cephadrine	12 ± 3	26 ± 1	2.2	13 ± 2	66 ± 1	5.0
Doripenem	92 ± 2	34 ± 1	0.37	116 ± 18	41 ± 4	0.35
Imipenem	148 ± 13	25 ± 1	0.17	123 ± 21	59 ± 3	0.48
Meropenem	81 ± 4	32 ± 1	0.40	78 ± 6	74 ± 2	0.95
Penicillin G	42 ± 3	47 ± 1	1.1	24 ± 4	99 ± 4	4.3

<sup>a</sup> The proteins were initially modified by a His tag, which was removed after purification.

<sup>b</sup>  $K_m$  and  $k_{cat}$  values represent the means of 3 independent experiments ± the standard deviations.

<sup>c</sup> NH, no hydrolysis was detected under conditions with substrate concentrations up to 1 mM and enzyme concentrations up to 700 nM.

doripenem. The lower  $k_{cat}/K_m$  ratios were mostly caused by the lower  $k_{cat}$  values of NDM-3 compared with those of NDM-1, i.e., the values of NDM-3 were 19.0 to 47.5% of those of NDM-1 (Table 2). In fact, the substitution from Asp to Asn at position 95 of NDM appeared to decrease the hydrolysis rate of all β-lactams tested except for doripenem (Table 2). An amino acid substitution at position 95 from Asp to Asn decreased the  $k_{cat}$  values of NDM-3 compared to those of NDM-1 (Table 2). Residue 95 is in α1, which is located on the protein surface. The crystal structure of NDM-1 revealed that the active site of NDM-1 is located at the bottom of a shallow groove enclosed by 2 important loops, L3 and L10 (27–30). Residue 95 in α1, however, was not located in these loops. This residue may indirectly affect the interaction of the substrate with the active site. Among all 9 NDM variants, amino acid substitutions were identified at 7 positions (28, 88, 95, 130, 152, 154, and 233). It remains unclear which position(s) plays a critical role in the enzymatic activities. Relative to IMP-1 and VIM-2, NDM-1 does not bind to carbapenems as tightly, but it turns over carbapenems at a rate similar to that of VIM-2 (4). NDM-4 with an amino acid substitution at position 154 (Met to Leu) showed greater hydrolytic activity toward carbapenems, cephalotin, cefotaxime, and ceftazidime than that shown by NDM-1 (12). NDM-5 with substitutions at positions 88 (Val to Leu) and 154 (Met to Leu) resulted in reduced susceptibilities of *E. coli* transformants to cephalosporins and carbapenems (12). NDM-8 with substitutions at positions 130 (Asp to Gly) and 154 (Met to Leu) resulted in enzymatic activities against β-lactams that were similar to those of NDM-1 (17). The drug susceptibilities of *E. coli* transformants with *bla*<sub>NDM-2</sub>, *bla*<sub>NDM-3</sub>, *bla*<sub>NDM-6</sub>, *bla*<sub>NDM-7</sub>, and *bla*<sub>NDM-9</sub> have not been reported.

This is the first report describing NDM-3-producing Gram-negative pathogens in Japan. It appears that NDMs have recently begun evolving; therefore, careful monitoring of NDM-producing pathogens is required.

**Plasmid sequence accession number.** The plasmid sequence including the *bla*<sub>NDM-3</sub> gene has been deposited in GenBank under the accession number AB898038.

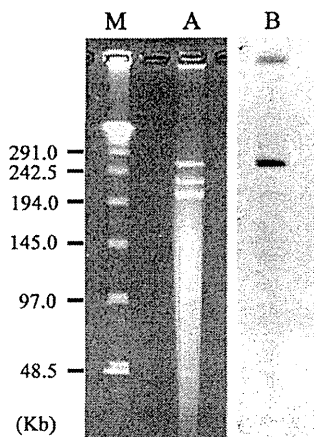


FIG 1 Localization of the *bla*<sub>NDM-3</sub> gene on the plasmid of *E. coli* strain NCGM77 separated by PFGE. Lane M, midrange PFG marker (New England BioLabs, Tokyo, Japan); lane A, plasmids of *E. coli* strain NCGM77; lane B, hybridization of the plasmid with a probe specific for the *bla*<sub>NDM-3</sub> gene.

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1 **Dissemination of 16S rRNA Methylase ArmA-Producing *Acinetobacter baumannii* and**

2 **Emergence of OXA-72 Carbapenemase Coproducers in Japan**

3

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12 **Running title:** ArmA producing *A. baumannii* in Japan

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19 **Abstract**

20 Forty-nine clinical isolates of multidrug-resistant *Acinetobacter baumannii* were obtained  
21 from 12 hospitals in 7 prefectures throughout Japan. Molecular phylogenetic analysis revealed  
22 the clonal spread of *A. baumannii* ST208 and ST455 isolates harboring *armA* and ST512  
23 harboring *armA* and *bla*<sub>OXA-72</sub>. These findings show that *A. baumannii* isolates harboring  
24 *armA* are disseminated throughout Japan, as well as being the first report to show that *A.*  
25 *baumannii* strains harboring *bla*<sub>OXA-72</sub> and *armA* are emerging in hospitals in Japan.  
26

27 **TEXT**

28           Multidrug-resistant *Acinetobacter baumannii* has become a threatening nosocomial  
29 pathogen worldwide (1). Most of these strains develop resistance to carbapenems by  
30 mechanisms associated with carbapenem-hydrolyzing class D OXA-type  $\beta$ -lactamases  
31 (CHDLs) (2). The overproduction of intrinsic chromosomal OXA-51-like enzymes, and the  
32 production of acquired OXA-23-, OXA-24/40-, OXA-58-, OXA-143- and OXA-235-like  
33 enzymes, have been associated with carbapenem-resistant *A. baumannii* isolates (3-5). The  
34 gene *bla*<sub>OXA-72</sub>, one of the *bla*<sub>OXA-40-like</sub> genes, was first identified in an *A. baumannii* strain  
35 isolated in 2004 in Thailand (GenBank Accession no. AY739646). Since then, *Acinetobacter*  
36 spp. harboring *bla*<sub>OXA-72</sub> have been reported in Brazil (6), China (7), Colombia (8), Croatia (9),  
37 France (10), Italy (11), Lithuania (12), South Korea (13), Spain (14), Taiwan (15) and the  
38 United States of America (16).

39           The *armA* gene, encoding a 16S rRNA methylase that confers aminoglycoside  
40 resistance, was initially identified in *Citrobacter freundii* in 2002 in Poland (17), and later  
41 detected in several Gram-negative bacterial spp., including *A. baumannii*, in Africa, Asia,  
42 Europe and North America (18).

43           From July to December 2012, BML Biomedical Laboratories R&D Center (Kawagoe,  
44 Saitama, Japan) acquired 16,343 isolates of *Acinetobacter* spp. from 3,015 medical settings



45 located in 47 prefectures throughout Japan. These included 49 isolates of multidrug-resistant  
46 *A. baumannii*, obtained from 49 patients in 12 hospitals located in 7 prefectures in Japan (Fig.  
47 S1). Of these 49 isolates, 41 were from respiratory tracts, 7 from urinary tracts and 1 from  
48 blood. Multidrug-resistant *A. baumannii* strains were defined as having MICs  $\geq 16$   $\mu\text{g/ml}$  to  
49 imipenem/meropenem,  $\geq 32$   $\mu\text{g/ml}$  to amikacin, and  $\geq 8$   $\mu\text{g/ml}$  to levofloxacin/gatifloxacin or  
50  $\geq 4$   $\mu\text{g/ml}$  to ciprofloxacin, according to the criteria of the Japanese Nosocomial Infection  
51 Surveillance System (JANIS) of the Japanese Ministry of Health, Labour and Welfare  
52 (MHLW). Species were determined by the VITEK system (bioMérieux SA, Marcy l'Etoile,  
53 France) and by the sequences of the 16S rRNA, *gyrB*, and *bla*<sub>OXA-51-like</sub> genes.

54 The MICs were determined using MicroScan WalkAway™ (Siemens Japan K.K.,  
55 Tokyo, Japan) and the microdilution method, as described by the guidelines of the Clinical  
56 Laboratory Standards Institute (19).

57 Whole genomes of the 49 multidrug-resistant isolates were extracted by DNeasy  
58 Blood & Tissue kits (QIAGEN, Tokyo, Japan) and sequenced by MiSeq (Illumina, San Diego,  
59 CA). More than 10-fold coverage was archived for each isolate. To identify SNPs among  
60 these genomes, the sequence of *A. baumannii* MDR-TJ (Accession no. CP003500) (20) was  
61 used as a control, with all reads of each isolate aligned against the MDR-TJ sequence using  
62 CLC genomics workbench version 5.5 (CLC bio, Tokyo, Japan). SNP concatenated sequences

63 were aligned by MAFFT (<http://mafft.cbrc.jp/alignment/server/>). A maximum-likelihood  
64 phylogenetic tree was constructed from SNP alignment with PhyML 3.0 (21). The probability  
65 of node branching was evaluated with 100 bootstrappings. Multilocus sequence typing  
66 (MLST) was deduced, as described by the protocols of the Institut Pasteur MLST (IP-MLST)  
67 (<http://www.pasteur.fr/recherche/genopole/PF8/mlst/Abaumannii.html>) and PubMLST  
68 (<http://pubmlst.org/abbaumannii/>) databases. Clonal complexes were determined by eBURST  
69 version 3 (<http://eburst.mlst.net>). Sequences of 916 drug-resistance genes, including  
70  $\beta$ -lactamase encoding genes at the website ([www.lahey.org/studies](http://www.lahey.org/studies)), aminoglycoside  
71 resistance genes (22, 23) and quinolone resistance genes (24), were determined using CLC  
72 genomics workbench version 5.5. Pulsed-field gel electrophoresis (PFGE) analysis was  
73 performed as described (25).

74 A genome of *A. baumannii* strain NCGM237, one of the 49 multidrug-resistant  
75 isolates, was sequenced using a PacBio™ RSII platform (Pacific Biosciences of California,  
76 Inc., Menlo Park, CA).

77 All 49 isolates were resistant to most of the antibiotics tested (Table 1), including to  
78 imipenem and meropenem with MICs  $\geq 16$   $\mu\text{g/ml}$ . Five isolates showed higher MICs to  
79 imipenem and meropenem, of 64 and 128  $\mu\text{g/ml}$ , respectively, than the other 44 isolates. All  
80 49 isolates were resistant to amikacin, arbekacin and gentamicin, with MICs  $\geq 512$   $\mu\text{g/ml}$ ; and

81 to ciprofloxacin, with MICs 32-1,024 µg/ml. Of the 49 isolates, 45 were susceptible to  
82 colistin.

83 IP-MLST showed that all isolates belonged to ST2. According to the MLST scheme  
84 from [www.pasteur.fr](http://www.pasteur.fr), all isolates belonging to ST2 will belong to the worldwide clonal  
85 lineage II (European Clone II) (26), indicating that *A. baumannii* European Clone II isolates  
86 were spreading in Japan. PubMLST showed that 23, 21 and 5 isolates belonged to ST455,  
87 ST208 [clonal complex (CC) 92] and ST512 (CC92), respectively. CC 92 is the most widely  
88 disseminated complex worldwide (27). ST455 does not belong to CC92. Molecular  
89 phylogenetic analysis based on SNP concatenation showed that the 49 isolates could be  
90 clustered into 3 clades (Fig. 1). PFGE pattern analysis showed 2 clusters (Cluster I and II)  
91 (Fig. S2). Cluster I had the isolates belonging to ST455 and Cluster II had the isolates  
92 belonging to ST208 and ST512.

93 The 23 isolates belonging to the ST455 clade had both *bla*<sub>OXA-23</sub> and *bla*<sub>OXA-66</sub> (Table  
94 2). Of the 21 isolates belonging to the ST208 clade, 17 had *bla*<sub>OXA-82</sub> genes and 4 had  
95 *bla*<sub>OXA-202</sub> (Table 2). The five isolates belonging to the ST512 clade had both *bla*<sub>OXA-66</sub> and  
96 *bla*<sub>OXA-72</sub> genes (Table 2). The *bla*<sub>OXA-66</sub>, *bla*<sub>OXA-82</sub> and *bla*<sub>OXA-202</sub> are *bla*<sub>OXA-51-like</sub> variants.  
97 Among these *bla*<sub>OXA</sub> genes, *bla*<sub>OXA-23</sub>, *bla*<sub>OXA-82</sub> and *bla*<sub>OXA-202</sub> were flanked by *ISAbal*,  
98 whereas *bla*<sub>OXA-66</sub> and *bla*<sub>OXA-72</sub> were not. Sixteen isolates had *bla*<sub>TEM-1</sub>, and all 49 had the

99 AmpC encoding gene, *bla*<sub>ADC-30</sub> flanked by *ISAbal*. None of the isolates had any other  
100  $\beta$ -lactamase encoding genes registered at <http://www.lahey.org/studies/>. It has been reported  
101 that *A. baumannii* isolates producing OXA-66, -82 or -202 belong to European Clone II (28).  
102 There were no differences in drug-susceptibility profiles among the isolates belonging to the  
103 three clades, except for their carbapenem susceptibilities. Five isolates belonging to the  
104 ST512 clade were more resistant to imipenem and meropenem, with MICs of 64  $\mu$ g/ml and  
105 128  $\mu$ g/ml, respectively. These isolates harbored *bla*<sub>OXA-72</sub>.

106 In the 5 isolates harboring *bla*<sub>OXA-72</sub>, this gene was located on pAB-NCGM253  
107 (Accession no. AB823544). The genetic organization of pAB-NCGM253 was similar to that  
108 of pABVA01 harboring *bla*<sub>OXA-24</sub> (29) and p2ABAYE harboring no  $\beta$ -lactamase gene (30),  
109 which were obtained from clinical isolates in Italy and France, respectively. The entire  
110 sequences of pAB-NCGM253 (8,970 bp) had more than 96% identity with those of  
111 pABVA01, and the most part of pAB-NCGM253 (86.0%: nt 1 to nt 5,194 and nt 6,098 to nt  
112 8,619) had more than 99% identity with those of p2ABAYE. The *bla*<sub>OXA-72</sub> was flanked by  
113 XerC/XerD recombination sites which were identical to those of pABVA01 (29), indicating  
114 mobilization by the site-specific recombination mechanism. The sequence analysis using  
115 MiSeq revealed that all the 5 isolates had the same sequence of pAB-NCGM253. The other  
116 than the 5 isolates harboring *bla*<sub>OXA-72</sub> had no plasmid. The genetic environments surrounding

117 *bla*<sub>OXA-23</sub> [from nt 3,159,090 to nt 3,162,816 in the entire genome sequence (Accession no.  
118 AP013357)] were IS*AbaI*-*bla*<sub>OXA-23</sub>-IS*AbaI*, which was identical to *A. baumannii* transposon  
119 Tn2006 (Accession no. GQ861439) (26). All isolates had *armA*, *aac(6)-Ib* and *aadA1*, but  
120 none had the genes encoding the other 16S rRNA methylases, 6'-*N*-aminoglycoside  
121 acetyltransferases and aminoglycoside adenylyltransferases. The genetic environments  
122 surrounding *armA* [from nt 1,398,519 to nt 1,416,800 in the entire genome sequence  
123 (AP013357)] were identical to those of *A. baumannii* MDR-TJ isolated in China (31) and  
124 TYTH-1 isolated in Taiwan (32). The sequences from nt 1,405,067 to nt 1,409,153 in the  
125 entire genome sequence (AP013357) were identical to *A. baumannii* transposon Tn1548  
126 (Accession no. EU014811) (33), which included the IS*CR1* insertion sequence. *tnpU*, a  
127 putative transposase, was located upstream of *armA*, which was followed downstream by  
128 another putative transposase gene, *tnpD*. A class I integron, including  
129 *int11-aac(6)-Ib-catB8-aadA1-qacEdelta1-sul1*, located upstream of the IS*CR1* insertion  
130 sequence.

131 A complete genome sequence of *A. baumannii* NCGM237 determined using a  
132 combination of PacBio and MiSeq revealed that the *armA* and *bla*<sub>OXA-23</sub> were located on the  
133 chromosome (Accession no. AP013357). The genome consisted of a single circle  
134 chromosome of 4,021,920 bp, with an average G+C content of 39.1%. The details of *A.*

135 *baumannii* NCGM237 genome and its comparative analysis will be reported elsewhere. The  
136 *armA* and *bla<sub>OXA-23</sub>* will be located on the chromosome in the other strains belonging to  
137 ST455, because the whole genome sequences using MiSeq revealed that the genomic  
138 environments surrounding the *armA* and *bla<sub>OXA-23</sub>* (18.3 Kbp and 17.9 Kbp, respectively)  
139 were identical to each other and NCGM237 and PFGE analysis showed that any plasmid was  
140 not found in all the isolates belonging to ST455.

141 All 49 isolates tested had point mutations in the quinolone-resistance-determining  
142 regions of *gyrA* and *parC*, with amino acid substitutions of S83L in GyrA and S80L in ParC.  
143 The amino acid substitutions in GyrA and ParC were reported to be associated with the  
144 ciprofloxacin resistance in *A. baumannii* (24).

145 This is the first report of *A. baumannii* ST455 and ST512 isolates in Japan. *A.*  
146 *baumannii* ST455 isolates were originally identified as a causative agent of nosocomial  
147 infections in Taiwan (Cheng-Hsun Chiu: Chang Gung Memorial Hospital, Chang Gung  
148 University College of Medicine; personal communication), and registered in 2012 in the *A.*  
149 *baumannii* MLST Database website (<http://pubmlst.org/abaumannii/>). ST455 isolates have  
150 not been reported elsewhere. The *A. baumannii* ST512 isolates were relatively close to *A.*  
151 *baumannii* MDR-TJ (Fig. 1), which had been isolated in China (20). Both the ST512 isolates  
152 and MDR-TJ had drug-resistance genes, including *bla<sub>OXA-66</sub>*, *armA*, *aac(6')-Ib* and *aadA1*,

153 with the only exception of *bla*<sub>OXA-72</sub> (31), i.e. the ST512 isolates, but not MDR-TJ, had  
154 *bla*<sub>OXA-72</sub> (Table 2). ST208 isolates was found in various regions in Japan (Fig. 1 and Fig. S2),  
155 being first identified in 2012 in the Kanto and Kyusyu areas (34), although it was not reported  
156 whether these isolates were resistant to aminoglycosides and possessed the *armA* gene.

157 To our knowledge, this is the first report showing that highly carbapenem-resistant *A.*  
158 *baumannii* strains harboring *bla*<sub>OXA-72</sub> were emerging in Japan. OXA-72 was primarily  
159 responsible for carbapenem resistance in *A. baumannii* clinical isolates from a Taiwan  
160 hospital (15), although OXA-23 is much more prevalent worldwide (3). The expression of  
161 OXA-72 in *E. coli* resulted in 6.0-, 2.7- and 3.9-fold increases in MICs to imipenem,  
162 meropenem and doripenem, respectively, compared with control (8). It is necessary to monitor  
163 highly carbapenem-resistant *A. baumannii* producing OXA-72 in Japan, because outbreaks due  
164 to metallo- $\beta$ -lactamase producers with high MICs to carbapenems have caused serious health  
165 problems throughout Japan (35). Some *A. baumannii* isolates producing CHDLs show lower  
166 MICs to carbapenems (36), but a part of this population may have been undetected in this  
167 study.

168 The present study strongly suggests that *A. baumannii* isolates producing a 16S  
169 rRNA methylase, ArmA, have emerged and disseminated in medical settings throughout  
170 Japan. Bacteria producing 16S rRNA methylases are resistant to clinically important

171 aminoglycosides (37, 38). In Japan, clinical isolates of aminoglycoside-resistant  
172 Gram-negative bacteria producing 16S rRNA methylases were first reported in 2003 (39);  
173 since then, 38 of these strains have been reported throughout Japan (38-43). A nationwide  
174 surveillance of 16S rRNA methylase-producing Gram-negative pathogens in 2004 in Japan  
175 (38) revealed that only 26 of 87,626 isolates (0.03%) produced 16S rRNA methylases.  
176 Recently, there was an outbreak of *A. baumannii* harboring *armA* at a university hospital in  
177 Japan (43). We focused on multidrug-resistant *A. baumannii* isolates in this study, but not  
178 aminoglycoside-resistant isolates. Therefore, a part of aminoglycoside-resistant *A. baumannii*  
179 isolates, such as carbapenem-sensitive ArmA-producers, could have been missed. It is  
180 necessary to survey aminoglycoside-resistant *A. baumannii* isolates in Japan.  
181



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188

TABLE 1. MIC<sub>50</sub> and MIC<sub>90</sub> values and percent antimicrobial resistance of *A. baumannii* clinical isolates

Antimicrobial agent	<i>A. baumannii</i> (n=49)				
	Breakpoint for resistance <sup>a</sup> (µg/ml)	% Resistance	Range (µg/ml)	MIC <sub>50</sub> (µg/ml)	MIC <sub>90</sub> (µg/ml)
Amikacin	≥64	100	>1,024	>1,024	>1,024
Arbekacin	-	-	1,024->1,024	>1,024	>1,024
Colistin	≥4	8	≤0.25-4	2	2
Ciprofloxacin	≥4	100	32-1,024	256	512
Gentamicin	≥16	100	512->1,024	>1,024	>1,024
Imipenem	≥16	100	16-64	16	64
Meropenem	≥16	100	16-128	16	128
Tigecycline <sup>b</sup>	-	-	≤0.25-4	1	4

<sup>a</sup>Break points for antimicrobial resistance were determined according to the guidelines of the Clinical and Laboratory Standards Institute (M07-A9).

<sup>b</sup>MICs to tigecycline were 4 µg/ml for 6 isolates, 2 µg/ml for 7, 1 µg/ml for 18, 0.5 µg/ml for 12, and ≤0.25 µg/ml for 6.

TABLE 2. MLST and drug resistance genes in *A. baumannii* isolates

MLST	No. of isolates <sup>a</sup>	$\beta$ -lactamase encoding genes	aminoglycoside-resistance genes
ST208	21	<i>bla</i> <sub>OXA-82</sub> (17/21), <i>bla</i> <sub>OXA-202</sub> (4/21) <sup>b</sup> , <i>bla</i> <sub>ADC-30</sub> , <i>bla</i> <sub>TEM-1</sub> (10/21)	<i>armA</i> , <i>aac(6')-Ib</i> , <i>aac(3)-Ia</i> (16/21), <i>aadA1</i> , <i>aph(3')-Ib</i> (14/21)
ST455	23	<i>bla</i> <sub>OXA-23</sub> , <i>bla</i> <sub>OXA-66</sub> , <i>bla</i> <sub>ADC-30</sub> , <i>bla</i> <sub>TEM-1</sub> (1/23)	<i>armA</i> , <i>aac(6')-Ib</i> , <i>aadA1</i> , <i>aph(3')-Ib</i> (1/23)
ST512	5	<i>bla</i> <sub>OXA-66</sub> , <i>bla</i> <sub>OXA-72</sub> , <i>bla</i> <sub>ADC-30</sub> , <i>bla</i> <sub>TEM-1</sub>	<i>armA</i> , <i>aac(6')-Ib</i> , <i>aadA1</i> , <i>aph(3')-Ib</i>
ST369 (MDR-TJ) <sup>c</sup>	1	<i>bla</i> <sub>OXA-66</sub> , <i>bla</i> <sub>ADC-30</sub>	<i>armA</i> , <i>aac(6')-Ib</i> , <i>aadA1</i> , <i>aph(3')-Ib</i>

<sup>a</sup>Numbers of isolates belonged to the same sequence type.

<sup>b</sup>Of 21 isolates belonging to ST208, the remaining 4 had *bla*<sub>OXA-202</sub>.

<sup>c</sup>MDT-TJ belonging to ST369 strain was cited to compare drug resistance genes (20).

191 **Figure legends**

192

193 **FIG. 1.** Molecular phylogeny of the 49 *A. baumannii* strains. Molecular phylogenetic analysis  
194 based on SNP concatenation revealed that the 49 isolates were clustered into 3 clades, with  
195 the ST208 clade composed of 2 subclades.

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