

Fig. 1 Representatives of flow cytometric analysis of immune cells isolated from cervical intraepithelial neoplasia lesions. Bold lines delimit cervical CD4+CD25+Foxp3+ Tregs (a) and PD1+ CD4+ T cells (b). The indicated percentages represent percentage of total CD4+ T cells.

two groups using Wilcoxon rank sum testing (Table II). None of these possible confounders correlated with CD25+Foxp3+ Tregs and PD-1+ T cells results in CIN lesions, indicating that the tolerogenic T cells residing in the cervical mucosa were not influenced by smoking, hormonal status, or infecting HPV subtypes.

Next, we compared populations of CD25+Foxp3+ Tregs and PD-1+ T cells residing in the CIN lesions of regressors (n=12) and non-regressors (n=12) to determine whether there was an association between the frequency of cervical tolerogenic T-cell subsets and spontaneous regression of CIN. Twelve patients had spontaneous regression of their CIN lesions, and these women had a median follow-up duration of 16.5 (8–33) months. The non-regression group \mathfrak{L} consisted of twelve women with persistent

cytological abnormalities who were matched to the spontaneous regressor cohort by follow-up time. No significant differences were seen in the detection rates of high-risk HPV (58.3% vs 83.3%, P = 0.37), percent of CIN 2 at the enrollment (33.3% vs 58.3%, P = 0.4), and the median ages (33 years old vs 36, P = 0.44) of patients in the regression and non-regression groups. Among regressors, cervical CD25+Foxp3+ Tregs comprised a median of 7.3% (IQR: 6.3-11.4) of cervical CD4+ cells; the rate among non-regressors was 13.9% (IQR: 11.6-16.9). The frequency of cervical CD25+Foxp3+ Tregs in regressors was significantly lower than that in nonregressors (P = 0.0012) (Table II and Fig. 2). Similarly, cervical PD1+ CD4+ cells comprised a median of 20.8% (IQR: 15.8-31.9) of cervical CD4+ cells among regressors whereas a median of 35.1% (IQR:

Table II Correlation of the proportions of	f convical Trace and DD 14 colle among	convical CDAL T call	nonulations with clinical characteristics
Table II Correlation of the proportions of	i cervical freg and rour cens among	CEIVICAI CD4T ITCEII	populations with chilical trialacteristics

Factors Menstrual phase		Percentage of total cervical CD4+ T cells							
	Groups	CD25+Foxp3+ Tregs		PD-1+ cells					
	Proliferative	10.26 (7.04–15.4)	P = 0.94	29.8 (22.7–39.5)	P = 0.72				
COMMISSION STREET	Secretory	12.0 (7.1–14.2)		28.1 (18.9–36.7)					
HPV genotype	High risk	11.8 (7.8–14.2)	P = 0.67	29.8 (20.3-38.2)	P = 0.82				
	Low risk	7.4 (6.7–15.7)		33.5 (18.5–45.4)					
Smoking	Smoking	10.2 (7.3–14.7)	P = 0.73	29.8 (19.5–39.5)	P = 0.80				
	Non-smoking	10.8 (5.0–15.9)		24.6 (19.6-40.9)					
CIN course	Regression	7.3 (6.3–11.4)	P = 0.0012	20.8 (15.8-31.9)	P = 0.018				
Problem - publica	Non-regression	13.9 (11.6–16.9)		35.1 (30.2-42.6)					

Association of cervical CD4+CD25+Foxp3+ Tregs and PD1+CD4+ cells with menstrual cycle, HPV genotype, smoking, and cervical intraepithelial neoplasia (CIN) course were shown.

American Journal of Reproductive Immunology **69** (2013) 134–141

138

© 2012 John Wiley & Sons A/S

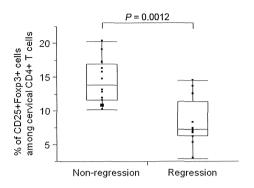


Fig. 2 Association of cervical Tregs with the natural course of cervical intraepithelial neoplasia. Among regressors, cervical Tregs comprised a median of 7.33% [Interquartile ranges (IQR): 6.38-11.4, n=12] of CD4+ cervical T cells; the rate among non-regressors was 13.9% (IQR: 11.6-16.9, n=12); P=0.0012.

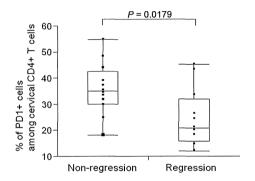


Fig. 3 Association of cervical PD-1+ CD4+ T cells with the natural course of cervical intraepithelial neoplasia. Among regressors, cervical PD1+ cells comprised a median of 20.8% [Interquartile ranges (IQR): 15.8-31.9, n=12] of CD4+ cervical T cells; the rate among non-regressors was 35.1% (IQR: 30.2-42.6, n=12); P=0.0179.

30.2–42.6) among non-regressors. Again, the frequency of cervical PD-1+ CD4+ cells in regressors was significantly lower than that in non-regressors (P = 0.017) (Table II and Fig. 3).

Discussion

Although many studies have been reported about the positive association between tolerogenic lymphocytes and poor prognosis in many cancers, there are limited data on similar associations in women with HPV-related cervical precursor lesions. Our results show that the prevalence of CD25+ Foxp3+ Tregs and of PD1+ CD4+ T cells residing in cervical precursor lesions inversely correlates with spontaneous regression of CIN.

The peripheral population of Foxp3+ Tregs includes nTregs and iTregs. iTregs play essential roles in mucosal tolerance, in the control of severe chronic allergic inflammation, and in the prevention of organism clearance and tumor immunosurveillance, while nTregs have roles in preventing autoimmunity and exaggerated immune responses. We would predict that the majority of cervical CD25 +Foxp3+ Tregs assessed in this study are iTregs although definitive isolation of iTregs is hampered by the lack of suitable surface markers that distinguish iTreg and nTreg cell populations.

In this study, cervical Treg prevalence negatively correlated with regression of CIN (Fig. 2) but did not correlate with CIN grade (data not shown). Supporting our data, several previous studies have shown a positive correlation between Treg prevalence in peripheral blood and high grade of CIN. 19,20 Of course, cervical iTregs and circulating Tregs may differ in their TCR repertoire. iTregs are known to differentiate from mature naïve CD4+ cells through the effects of TGF-β and RA secreted by mucosa-associated DCs. 17 In our data, the proportion of CD25 +Foxp3+ Tregs among total cervical CD4+ cells (a median of 11%) was twofold higher than previously reported peripheral blood levels (approximately 5%). This suggests that iTregs may be generated continuously, probably in an antigen-depending manner, and accumulate in chronically HPV-infected tissues and CIN lesions. Others have reported that Foxp3 mRNA levels in cervical samples that included exfoliated epithelial cells and cervical lymphocytes are higher among high-grade squamous intraepithelial lesion (HSIL) patients when compared with lowsquamous intraepithelial lesion (LSIL) patients.²⁷ However, it is unknown whether Foxp3 mRNA levels in these cervical samples parallel the number of Tregs because cervical lymphocytes were not specifically isolated in this study.

Although the persistence of HPV infection was not followed in the present study, Molling et al.²⁰ reported that CD4+CD25hi Treg frequency correlates with persistence of HPV type 16. Tregs may inhibit the HPV clearance by immune cells such as invariant natural killer T cells.

TGF- β is critical to the induction and maintenance of Foxp3+ Tregs, with particular importance in the induction of iTregs from naive T cells and in the conversion of effector T cells to iTregs. Several studies have demonstrated that the expression of TGF- β and RA receptors in cervical specimens is lower in

American Journal of Reproductive Immunology **69** (2013) 134–141 © 2012 John Wiley & Sons A/S

139

CIN lesions when compared with normal epithelium. ^{28,29} In these studies, there was no correlation between TGF-β mRNA levels and either CIN grade or CIN natural course. TGF-β-induced iTreg frequency may be a more direct predictor of CIN progression than TGF-β. In fact, measurement of tolerogenic T-cell frequency in CIN lesions has the potential to prove useful in determining individualized screening and treatment paradigms.

Whether sex hormones modulate the prevalence and function of Tregs remains controversial. Arruvito et al. reported that the proportion of Foxp3+ cells within the peripheral blood CD4+ T-cell population increases during the late follicular phase when compared with the luteal phase.29 The expansion of Tregs during the follicular phase was highly correlated with serum estradiol (E2) levels.³⁰ In contrast, Weinberg et al. reported recently that there are no significant correlations between changes in serum E2 levels and the prevalence of any circulating Treg subtypes or between changes in serum progesterone levels and the proportion of CD8+ Foxp3+ Tregs in peripheral blood samples.³¹ The effect of smoking on the generation of tolerogenic T cells is also controversial. 32-34 Note that all of the above studies assess peripheral circulating rather than local cervical Tregs. Our data on the latter cells revealed no correlations between cervical Treg prevalence and either menstrual phase or smoking.

In this study, we focused on PD-1+ CD4+ T cells as well as Foxp3+ Tregs as engagement of PD-1 by its ligands on T cells is critical to the differentiation of naïve T cell into Foxp3+ iTregs. Furthermore, Tregs and the PD-1/PD-L pathway are integral in terminating immune responses and augmenting the suppression of anti-tumor T-cell responses. In short, the PD-1 pathway controls the development, maintenance, and function of iTregs at mucosal sites. Here, we show that PD-1+ T cells are more frequently found among cervical T cells than among PBMCs and that the prevalence of PD1+ T cells in CIN lesions (likely reflecting cervical iTregs) correlates in versely with spontaneous regression of CIN. Assessment for other tolerogenic T-cell subsets (e.g., Foxp3-IL10+ Tr1, Foxp3-TGF-β+ Th3) in this study, while potentially informative, was limited by the number of cervical lymphocytes that could be isolated from a single cytobrush sample.

In summary, even the study population is small and the results are limited, our flow cytometric analyses demonstrate for the first time that a prevalence of CD4+ CD25+ Foxp3+ Tregs infiltrating into CIN lesions significantly correlates with regression of CIN regardless of HPV subtype. Conversely, a high prevalence of lesional cervical Tregs may be responsible for CIN persistence as well as HPV infections and might function as a useful predictive biomarker for progression of CIN.

Acknowledgements

We thank Dr. Ai Tachikawa-Kawana for expert advice about flow cytometry. This work was supported by a grant from the Ministry of Health, Labour and Welfare of Japan for the Third-Term Comprehensive Strategy for Cancer Control and for Comprehensive Strategy for Practical Medical Technology and by a grant from the Ministry of Education, Culture, Sports, Science and Technology of Japan and by a grant from Tokyo IGAKUKAI.

References

- 1 Holowaty P, Miller AB, Rohan T, To T: Natural history of dysplasia of the uterine cervix. J Natl Cancer Inst 1999; 91:252–258.
- 2 Moscicki AB, Schiffman M, Kjaer S, Villa LL: Chapter 5: updating the natural history of HPV and anogenital cancer. *Vaccine* 2006; 24:42–51.
- 3 Ellerbrock TV, Chiasson MA, Bush TJ, Sun XW, Sawo D, Brudney K, Wright TC Jr: Incidence of cervical squamous intraepithelial lesions in HIV-infected women. *JAMA* 2000; 283:1031–1037.
- 4 Ognenovski VM, Marder W, Somers EC, Johnston CM, Farrehi JG, Selvaggi SM, McCune WJ: Increased incidence of cervical intraepithelial neoplasia in women with systemic lupus erythematosus treated with intravenous cyclophosphamide. *J Rheumatol* 2004; 31:1763–1767.
- 5 Kojima S, Kawanna K, Fujii T, Yokoyama T, Miura S, Tomio K, Tomio A, Yamashita A, Adachi K, Sato H, Nagamatsu T, Schust DJ, Kozuma S, Taketani Y: Characterization of Gut-Derived Intraepithelial Lymphocyte (IEL) Residing in Human Papillomavirus (HPV)-Infected Intraepithelial Neoplastic Lesions. *Am J Reprod Immunol* 2011: 66:435–443.
- 6 Wolf D, Wolf AM, Rumpold H, Fiegl H, Zeimet AG, Muller-Holzner E, Deibl M, Gastl G, Gunsilius E, Marth C: The expression of the regulatory T cell-specific forkhead box transcription factor FoxP3 is associated with poor prognosis in ovarian cancer. Clin Cancer Res 2005: 11:8326–8331.
- 7 Jordanova ES, Gorter A, Ayachi O, Prins F, Durrant LG, Kenter GG, van der Burg SH, Fleuren GJ: Human leukocyte antigen class I, MHC class I chain-related molecule A, and CD8+/regulatory T-cell ratio: which variable determines survival of cervical cancer patients? Clin Cancer Res 2008; 14:2028–2035.
- 8 Yamagami W, Susumu N, Tanaka H, Hirasawa A, Banno K, Suzuki N, Tsuda H, Tsukazaki K, Aoki D: Immunofluorescence-detected infiltration of CD4+FOXP3+ regulatory T cells is relevant to the prognosis of patients with endometrial cancer. *Int J Gynecol Cancer* 2011; 21:1628–1634.

American Journal of Reproductive Immunology **69** (2013) 134–141

© 2012 John Wiley & Sons A/S

140

- 9 Koyama K, Kagamu H, Miura S, Hiura T, Miyabayashi T, Itoh R, Kuriyama H, Tanaka H, Tanaka J, Yoshizawa H, Nakata K, Gejyo F: Reciprocal CD4+ T-cell balance of effector CD62Llow CD4+ and CD62LhighCD25+ CD4+ regulatory T cells in small cell lung cancer reflects disease stage. Clin Cancer Res 2008; 14:6770–6779.
- 10 Liu F, Lang R, Zhao J, Zhang X, Pringle GA, Fan Y, Yin D, Gu F, Yao Z, Fu L: CD8 cytotoxic T cell and FOXP3 regulatory T cell infiltration in relation to breast cancer survival and molecular subtypes. *Breast Cancer Res Treat* 2011; 130:645–655.
- 11 Yamamoto T, Yanagimoto H, Satoi S, Toyokawa H, Hirooka S, Yamaki S, Yui R, Yamao J, Kim S, Kwon AH: Circulating CD4 +CD25+ regulatory T cells in patients with pancreatic cancer. *Pancreas* 2012: 41:409–415.
- 12 Jacobs JF, Nierkens S, Figdor CG, de Vries IJ, Adema GJ: Regulatory T cells in melanoma: the final hurdle towards effective immunotherapy? *Lancet Oncol* 2012; 13:32–42.
- 13 Liotta F, Gacci M, Frosali F, Querci V, Vittori G, Lapini A, Santarlasci V, Serni S, Cosmi L, Maggi L, Angeli R, Mazzinghi B, Romagnani P, Maggi E, Carini M, Romagnani S, Annunziato F: Frequency of regulatory T cells in peripheral blood and in tumour-infiltrating lymphocytes correlates with poor prognosis in renal cell carcinoma. *BJU Int.*, 2011; 107:1500–1506.
- 14 Fu J, Xu D, Liu Z, Shi M, Zhao P, Fu B, Zhang Z, Yang H, Zhang H, Zhou C, Yao J, Jin L, Wang H, Yang Y, Fu YX, Wang FS: Increased regulatory T cells correlate with CD8 T-cell impairment and poor survival in hepatocellular carcinoma patients. *Gastroenterology* 2007; 132:2328–2339.
- 15 French JD, Weber ZJ, Fretwell DL, Said S, Klopper JP, Haugen BR: Tumor-associated lymphocytes and increased FoxP3+ regulatory T cell frequency correlate with more aggressive papillary thyroid cancer. J Clin Endocrinol Metab 2010; 95:2325–2333.
- 16 Francisco LM, Sage PT, Sharpe AH: The PD-1 pathway in tolerance and autoimmunity. *Immunol Rev* 2010; 236:219–242.
- 17 Maria A, Lafaille C, Lafaille JJ: Natural and adaptive Foxp3+ regulatory T cells: more of the same or a division of labor? *Immunity* 2009; 30:626–635.
- 18 Driessens G, Kline J, Gajewski TF: Costimulatory and coinhibitory receptors in anti-tumor immunity. *Immunol Rev* 2009; 229:126–144.
- 19 Visser J, Nijman HW, Hoogenboom BN, Jager P, van Baarle D, Schuuring E, Abdulahad W, Miedema F, van der Zee AG, Daemen T: Frequencies and role of regulatory T cells in patients with (pre) malignant cervical neoplasia. Clin Exp Immunol 2007; 150:199–209.
- 20 Molling JW, de Gruijl TD, Glim J, Moreno M, Rozendaal L, Meijer CJ, van den Eertwegh AJ, Scheper RJ, von Blomberg ME, Bontkes HJ: CD4(+)CD25hi regulatory T-cell frequency correlates with persistence of human papillomavirus type 16 and T helper cell responses in patients with cervical intraepithelial neoplasia. *Int J Cancer* 2007; 121:1749–1755.
- 21 Nakamura T, Shima T, Saeki A, Hidaka T, Nakashima A, Takikawa O, Saito S: Expression of indoleamine 2, 3-dioxygenase and the recruitment of Foxp3-expressing regulatory T cells in the

- development and progression of uterine cervical cancer. *Cancer Sci* 2007; 98:874–881.
- 22 Gravitt PE, Peyton CL, Alessi TQ, Wheeler CM, Coutlee F, Hildesheim A, Schilfman MH, Scott DR, Apple RJ: Improved amplification of genital human papillomaviruses. *J Clin Microbiol* 2000: 38:357–361.
- 23 Bosch FX, Sanjose S: Human papillomavirus and cervical cancer burden and assessment of causality. J Natl Cancer Inst Monogr 2003; 31:3–13.
- 24 Baecher-Allan C, Brown JA, Freeman GJ, Hafler DA: CD4 +CD25high regulatory cells in human peripheral blood. *J Immunol* 2001; 167:1245–1253.
- 25 Shen T, Zheng J, Liang H, Xu C, Chen X, Zhang T, Xu Q, Lu F: Characteristics and PD-1 expression of peripheral CD4 +CD127loCD25hiFoxP3+ Treg cells in chronic HCV infectedpatients. *Virol J* 2011; 8:279–287.
- 26 zur Hausen H: Papillomavirus and cancer: from basic studies to clinical application. *Nat Rev Cancer* 2002; 2:342–350.
- 27 Scott ME, Ma Y, Kuzmich L, Moscicki AB: Diminished IFN-gamma and IL-10 and elevated Foxp3 mRNA expression in the cervix are associated with CIN 2 or 3. Int J Cancer 2009; 124:1379–1383.
- 28 El-Sherif AM, Seth R, Tighe PJ, Jenkins D: Decreased synthesis and expression of TGF-beta1, beta2, and beta3 in epithelium of HPV 16-positive cervical precancer: a study by microdissection, quantitative RT-PCR, and immunocytochemistry. *J Pathol* 2000; 192:494–501.
- 29 Xu XC, Mitchell MF, Silva E, Jetten A, Lotan R: Decreased expression of retinoic acid receptors, transforming growth factor beta, involucrin, and cornifin in cervical intraepithelial neoplasia. *Clin Cancer Res* 1999; 5:1503–1508.
- 30 Arruvito L, Sanz M, Banham AH, Fainboim L: Expansion of CD4 +CD25+ and FOXP3+ regulatory T cells during the follicular phase of the menstrual cycle: implications for human reproduction. *J Immunol* 2007; 178:2572–2578.
- 31 Weinberg A, Enomoto L, Marcus R, Cannilf J: Effect of menstrual cycle variation in female sex hormones on cellular immunity and regulation. *J Reprod Immunol* 2011; 89:70–77.
- 32 Brandsma CA, Hylkema MN, Geerlings M, van Geffen WH, Postma DS, Timens W, Kerstjens HA: Increased levels of (class switched) memory B cells in peripheral blood of current smokers. *Respir Res* 2009; 10:108.
- 33 Barceló B, Pons J, Ferrer JM, Sauleda J, Fuster A, Agustí AG: Phenotypic characterisation of T-lymphocytes in COPD: abnormal CD4+CD25+ regulatory T-lymphocyte response to tobacco smoking. Eur Respir J 2008; 31:555–562.
- 34 Vargas-Rojas MI, Ramírez-Venegas A, Limón-Camacho L, Ochoa L, Hernández-Zenteno R, Sansores RH: Increase of Th17 cells in peripheral blood of patients with chronic obstructive pulmonary disease. Respir Med 2011; 105:1648–1654.

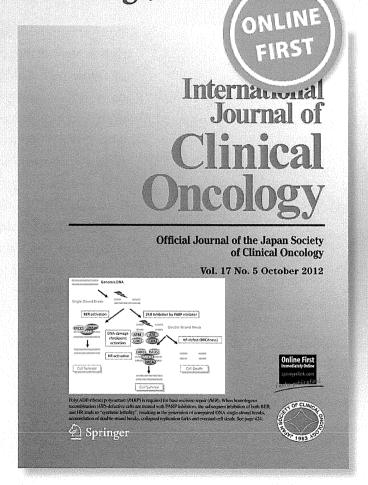
Association between carotenoids and outcome of cervical intraepithelial neoplasia: a prospective cohort study

Takuma Fujii, Naoyoshi Takatsuka, Chisato Nagata, Koji Matsumoto, Akinori Oki, Reiko Furuta, Hiroo Maeda, Toshiharu Yasugi, et al.

International Journal of Clinical Oncology

ISSN 1341-9625

Int J Clin Oncol DOI 10.1007/s10147-012-0486-5





Your article is protected by copyright and all rights are held exclusively by Japan Society of Clinical Oncology. This e-offprint is for personal use only and shall not be self-archived in electronic repositories. If you wish to self-archive your work, please use the accepted author's version for posting to your own website or your institution's repository. You may further deposit the accepted author's version on a funder's repository at a funder's request, provided it is not made publicly available until 12 months after publication.



Int J Clin Oncol DOI 10.1007/s10147-012-0486-5

ORIGINAL ARTICLE

Association between carotenoids and outcome of cervical intraepithelial neoplasia: a prospective cohort study

Takuma Fujii · Naoyoshi Takatsuka · Chisato Nagata · Koji Matsumoto · Akinori Oki · Reiko Furuta · Hiroo Maeda · Toshiharu Yasugi · Kei Kawana · Akira Mitsuhashi · Yasuo Hirai · Tsuyoshi Iwasaka · Nobuo Yaegashi · Yoh Watanabe · Yutaka Nagai · Tomoyuki Kitagawa · Hiroyuki Yoshikawa

Received: 14 May 2012/Accepted: 1 October 2012 © Japan Society of Clinical Oncology 2012

Abstract

Background It has been suggested that micronutrients such as alpha-tocopherol, retinol, lutein, cryptoxanthin, lycopene, and alpha- and beta-carotene may help in the prevention of cervical cancer. Our aim was to investigate whether serum concentrations and/or dietary intake of

The Japan HPV and Cervical Cancer (JHACC) Study Group.

T. Fujii (🖂)

Department of Obstetrics and Gynecology, School of Medicine, Keio University, 35 Shinanomachi, Shinjuku-ku, Tokyo 160–8582, Japan e-mail: fuji it@a5.keio.jp

N. Takatsuka · C. Nagata

Department of Epidemiology and Preventive Medicine, Gifu University, Graduate School of Medicine, Yanagito 1-1, Gifu, Gifu 501-1194, Japan

K. Matsumoto · A. Oki · H. Yoshikawa

Department of Obstetrics and Gynecology, Graduate School of Comprehensive Human Science, University of Tsukuba, 1-1, 1-chome, Tennoudai, Tsukuba, Ibaraki 305-8575, Japan

R. Furuta · T. Kitagawa

Department of Pathology, The Cancer Institute, Japanese Foundation for Cancer Research, 8-31, 3-chome, Ariake, Kouto-ku, Tokyo 135-8550, Japan

H. Maeda

Department of Transfusion Medicine and Cell Therapy, Saitama Mædical Center, Saitama Medical University, 1981 Kamoda, Kawagoe, Saitama 350-8550, Japan

T. Yasugi · K. Kawana Department of Obstetrics and Gynecology, The University of Tokyo, 3-1, 7-chome, Hongo,

Bunkyo-ku. Tokyo 113-8655, Japan

micronutrients influence the regression or progression of low-grade cervical abnormalities.

Methods In a prospective cohort study of 391 patients with cervical intraepithelial neoplasia (CIN) grade 1–2 lesions, we measured serum micronutrient concentrations in addition to a self-administered questionnaire about dietary intake. We evaluated the hazard ratio (HR) adjusted for CIN grade, human papillomavirus genotype, total energy intake and smoking status.

A. Mitsuhashi

Department of Reproductive Medicine, Graduate School of Medicine, Chiba University, 8-1, 1-chome, Inohana, Chuo-ku, Chiba 260-8670, Japan

Y. Hirai

Department of Gynecology and Cytopathology, The Cancer Institute Hospital, Japanese Foundation of Cancer Research, 8-31, 3-chome, Ariake, Kouto-ku, Tokyo 135-8550, Japan

T. Iwasaka

Department of Obstetrics and Gynecology, Faculty of Medicine, Saga University, 1-1, 5-chome, Nabeshima, Saga, Saga 849-8501, Japan

N. Yaegashi

Department of Obstetrics and Gynecology, Tohoku University School of Medicine, 1-1 Seiryo-cho, Aoba-ku, Sendai, Miyagi 980-8574, Japan

Y. Watanabe

Department of Obstetrics and Gynecology, Kinki University, School of Medicine, 377-2 Ohnohigashi, Osakasayama, Osaka 589-8511, Japan

Y. Naga

Department of Obstetrics and Gynecology, Faculty of Medicine, University of the Ryukyus, 207 Uehara, Nishihara-cho, Nakagamigun, Okinawa 903-0215, Japan

Published online: 25 October 2012

Results In non-smoking regression subjects, regression was significantly associated with serum levels of zeaxanthin/lutein (HR 1.25, 0.78–2.01, p=0.024). This benefit was abolished in current smokers. Regression was inhibited by high serum levels of alpha-tocopherol in smokers (p=0.042). In progression subjects, a significant protective effect against progression to CIN3 was observed in individuals with a medium level of serum beta-carotene [HR 0.28, 95 % confidence interval (CI) 0.11–0.71, p=0.007), although any protective effect from a higher level of serum beta-carotene was weaker or abolished (HR 0.52, 95 % CI 0.24–1.13, p=0.098). Increasing beta-carotene intake did not show a protective effect (HR 2.30, 95 % CI 0.97–5.42, p=0.058).

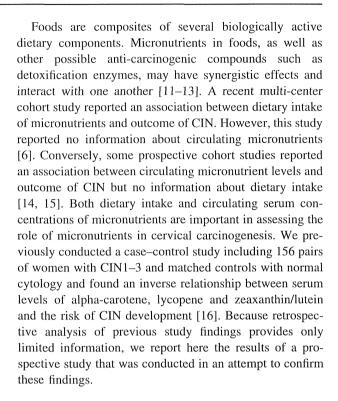
Conclusions Measurements of serum levels of carotenoids suggest that regression is modulated by smoking status. Maintaining a medium serum level of beta-carotene has a protective effect for progression; however, carotene intake is not correlated with serum levels of carotenoids.

Keywords Human papillomavirus · Cervical intraepithelial neoplasia · Low-grade squamous intraepithelial lesion · Micronutrients · Carotenoids

Introduction

Persistent infection with human papillomavirus (HPV) may potentially lead to the development of cervical cancer. Most women are exposed to at least one type of genital HPV in their lifetime [1]. HPV infections often cause cervical intraepithelial neoplasia 1 (CIN1) [2]. Only a subset of individuals with CIN1 progress to CIN3 or invasive cervical cancer, suggesting that environmental cofactors are related to cervical carcinogenesis [3–5]. Numerous environmental candidates such as oral contraceptives, parity, smoking status, micronutrient status, nutrient intake, *Chlamydia trachomatis* infection and herpes simplex virus type 2 infection have been investigated as potential cofactors related to progression of CIN.

Much attention has been given to the role of dietary factors and serum micronutrients in the etiology of cervical cancer and CIN. Carotenoids and tocopherols are lipid-soluble micronutrients with potent antioxidant activities and modulatory effects on immunity. Recent publications have reported that the association of carotenoids and tocopherols with reduced risk has not been observed consistently [6–10]; however, these inconsistent results may be due to the study designs. Furthermore, the majority of case—control studies of the associations between micronutrients and outcome of CIN were conducted to assess either dietary intake or circulating micronutrients only [7–9, 11].



Materials and methods

Study design

We used follow-up data from the Japan HPV and Cervical Cancer Study, a prospective non-intervention cohort study conducted to identify determinants of low-grade squamous intraepithelial lesion (LSIL)/CIN regression and progression. Among a total of 570 study subjects with low-grade cervical abnormalities (cytological LSIL and histological CIN1/2) recruited from nine hospitals between 1998 and 2004, 391 women with data concerning serum micronutrients and complete entry questionnaires were enrolled in the present study. Details of the design, methods and primary results have been provided elsewhere [17, 18]. Participants entered the study only after voluntarily giving signed, informed consent. The subjects were routinely followed at 3- to 4-month intervals and received cytology and colposcopy examinations at each visit. To avoid interference of the biopsy procedure on the natural course of the disease, cervical biopsy was performed only when women had HSIL smears and major colposcopic changes that were suggestive of progression to CIN3 or worse. Progression was defined as histological CIN3 lesions or worse, diagnosed on central pathology review. We defined regression as at least two consecutive negative smears and normal colposcopy. Women were regarded as having persistent lesions when they did not have either regression or



progression over the period of follow-up. At enrollment, study subjects were tested for cervical HPV-DNA and circulating serum micronutrients. Information about smoking and dietary intake was obtained from a selfadministered questionnaire. Participants were not obliged to answer the questionnaire and their participation was unrelated to their clinical evaluation, treatment or followup evaluation. The simplified diet history questionnaire used in the current study had been developed and validated previously [19]. Originally, a prototype diet history questionnaire including 169 traditional Japanese foods and dishes was developed. To alleviate the participants' burden, our simplified diet history questionnaire was developed to employ a stepwise regression method to select from the 169 diet history questionnaire items. This simplified questionnaire was composed of 14 categories: (1) dishes of meat and vegetables; (2) meat (without dishes including vegetables); (3) fish; (4) cereals; (5) eggs and soybean products; (6) vegetables; (7) seaweed; (8) juice; (9) fruits; (10) milk and dairy products; (11) desserts and snacks; (12) pickles; (13) seasoning; and (14) alcoholic beverages. Supplement use was not assessed in this study because of a lack of complete information regarding availability. Because it was impossible to distinguish between intake of alpha- and beta-carotene from the questionnaire, total carotene intake was described. Questions on smoking habits included status (never, former or current smoker) and intensity (number of cigarettes smoked per day).

Circulating micronutrients

Blood was collected in foil-wrapped glass tubes without heparin. Serum was separated by centrifugation at $1,000 \times g$ for 10 min and stored in the dark at -70 °C prior to sample preparation. Serum levels of retinol, alphatocopherol and various carotenoids were determined by a high-pressure liquid chromatography method described previously [21].

Statistical analysis

The association between smoking status and nutrient intake was analyzed by one-way analysis of variance. The association between smoking status and serum micronutrients was analyzed by analysis of covariance. The data were adjusted for age, body mass index (BMI) and alcohol intake frequency. For regression or progression, time to event was measured from the date of the index visit to the date of the visit at which cytological transition to normal or CIN3 was first detected. To estimate the association between the CIN outcomes and circulating serum micronutrients, serum micronutrient tertiles were examined.

Hazard ratios (HRs) and 95 % confidence intervals (CIs) for each tertile with reference to the lowest tertile were calculated using a proportional hazard model. For nutrient intake, identical estimation was conducted. The Brinkman Index (BI) was calculated by multiplying the average number of cigarettes smoked per day by the smoking years. We detected HPV-DNA in exfoliated cervical cells by a PCR-based methodology described previously [20]. HPV DNA was amplified by PCR using consensus-primers (L1C1/L1C2 + L1C2M) for the HPV L1 region. HPV genotypes were identified by a restriction fragment-length polymorphism (RFLP) PCR method that has been shown to identify at least 26 genotypes of genital HPV [18]. HRs were adjusted for potential confounders, including CIN grade, HPV genotype, age, total energy intake and smoking status. Statistical analyses were performed using Stata statistical software, release 11.1 (Stata Corporation; College Station, TX, USA).

Results

Of the 570 women enrolled in the parent study, 391 met the eligibility requirements of the current study for tests of serum micronutrients and completion of entry questionnaires. Of these, 329 and 62 women were diagnosed as CIN1 and CIN2, respectively. The mean age of the women was 36.3 years (median 36.0, range 19–54). Of the 391 women, regression, persistence and progression occurred in 218, 135 and 38, respectively.

Influence of smoking status on circulating levels and intake of micronutrients

At enrollment, 190 women had never smoked, while 142 women were current smokers (BI >100). Data from three women were lost and the remaining 56 women were past smokers. We found a 22 and 10 % decrease in carotene and vitamin E intake in current smokers compared with non-smokers, respectively (Table 1). Among the three groups, there was a significant difference in the intake of fiber, calcium, carotenes, vitamin A, vitamin C and vitamin E. As shown in Table 2, current smokers had significantly lower serum levels of alpha-carotene, beta-carotene and crypto-xanthin compared with non-smokers. Smokers had marginally lower levels of lycopene. Retinol, zeaxanthin/lutein and alpha-tocopherol were not related to smoking status.

The effects of serum micronutrients and nutrient intake in regression subjects

Significantly more inhibition of regression was observed in women in the middle tertiles of serum alpha-tocopherol



Table 1 Relationship between estimated daily nutrient intake and tobacco smoking status

Nutrient intake per day	Non smoke	rs $(N = 190)$	Past smoke	Past smokers $(N = 56)$		kers ($N = 142$)	p value
	Mean	SD	Mean	SD	Mean	SD	
Total energy intake (kcal)	2,220.1	576.1	2,221.6	679.7	2,149.1	574.9	0.520
Protein intake (g)	85.2	26.2	85.2	31.0	79.4	27.3	0.127
Fat intake (g)	60.2	21.9	62.9	27.2	59.0	22.6	0.566
Carbohydrate intake (g)	329.5	78.3	325.2	85.6	315.2	74.6	0.255
Fiber intake (g)	5.3	1.9	5.2	2.0	4.6	1.8	0.004
Calcium intake (mg)	740.8	292.2	738.3	337.6	620.9	274.2	0.001
Retinol intake (μg)	284.6	219.1	302.4	176.9	331.2	624.7	0.597
Carotene intake (µg)	4,943.5	2,439.7	4,856.3	2,532.1	3,866.8	2,083.5	0.000
Vitamin A intake (IU)	3,430.6	1,587.5	3,424.3	1,546.9	2,954.2	2,197.4	0.049
Vitamin C intake (mg)	134.0	65.6	133.3	65.9	113.4	56.4	0.008
Vitamin D intake (IU)	76.4	48.8	69.3	40.6	66.9	53.7	0.213
Vitamin E intake (mg)	8.4	2.8	8.3	3.2	7.5	2.7	0.021
Salt intake (g)	13.5	4.1	13.7	4.8	12.8	4.5	0.291
Cholesterol intake (mg)	323.7	122.6	322.9	160.2	304.7	137.5	0.412

Analysis of variance was used to examine the differences in the mean values of factors among groups SD standard deviation

Table 2 Relationship between serum micronutrients and tobacco smoking status

	Non-smoker ($N = 190$)		Past smoker $(N = 56)$		Current smoker ($N = 142$)		P value
	Adjusted mean	95 % CI	Adjusted mean	95 % CI	Adjusted mean	95 % CI	
Serum retinol (µg/dL)	59.23	56.42-62.04	59.70	54.59-64.81	60.88	57.24–64.51	0.695
Serum α-carotene (µg/dL)	9.70	8.58-10.82	7.47	5.43-9.51	7.23	5.78-8.68	0.003
Serum β -carotene (μ g/dL)	58.05	50.77-65.33	46.61	33.36-59.85	41.02	31.60-50.44	0.003
Serum zeaxanthin/lutein (µg/dL)	54.93	50.77-59.09	54.06	46.50-61.62	49.88	44.50-55.26	0.205
Serum cryptoxanthin (µg/dL)	31.19	25.61-36.76	23.61	13.46-33.76	21.27	14.05-28.49	0.03
Serum lycopene (µg/dL)	30.00	26.76-33.22	34.68	28.80-40.55	27.23	23.04-31.41	0.06
Serum α-tocopherol (µg/dL)	881.68	817.51-945.84	953.15	836.40-1,069.91	873.56	790.50-956.63	0.414

Analysis of covariance was used to examine the differences in the mean concentrations of the serum levels of micronutrients that are related to the effect of the smoking status. The data were adjusted for age (20–29, 30–39, or 40–54 years), BMI and alcohol intake frequency (0, 1–6, 7/week)

(HR 0.68, 95 % CI 0.49–0.95) as compared with women in the lower tertiles, but the linear trend was not statistically significant (p=0.882). From the questionnaire, high-load intake of retinol significantly inhibited the regression (adjusted model: HR 0.59, 95 % CI 0.40–0.89) but the linear trend was not significant (Table 3).

Because serum levels of most carotenoids were low and carotene intake was small in smokers, the regression group was sub-analyzed stratifying by smoking status (never or current smokers) as shown in Tables 4 and 5. In non-smokers (Table 4), regression was observed in women in the upper tertiles of serum zeaxanthin/lutein (HR 1.25, 95 % CI 0.78–2.01) as compared with women in the lower and middle tertiles, and the linear trend was statistically

significant (p = 0.024). In current smokers, this was statistically abolished as shown in Table 5. In current smokers, a significant inhibition of regression was observed in women in the middle tertiles for serum alpha-tocopherol (HR 0.53, 95 % CI 0.27–0.94) as compared with women in the lower tertiles, and the linear trend was significant (p = 0.042) in the adjusted model (Table 5).

Effect of serum micronutrients and nutrient intake in progression subjects

In Table 6, a significant inverse relationship was observed in subjects with a medium level of serum beta-carotene (HR 0.28, 95 % CI 0.11–0.71, p = 0.007), although these



Table 3 HR of regression from entire CIN1/2 according to the serum micronutrients and nutrient intake questionnaire

	n	Person-months	Events	Cumulative 2-year	Hazard ratio for r	egression ((95 % CI)	
				rate (95 % CI)	Unadjusted	p value	Adjusted model	p value
Serum retinol							p for trend	0.812
Low (<55.2)	128	1,715.6	74	62.5 (53.6–71.4)	1		1	
Medium (55.2-67.9)	132	1,689.8	77	63.2 (54.4–72.0)	1.06 (0.77-1.46)	0.709	1.19 (0.86-1.65)	0.301
High (>67.9)	131	1,763.5	67	57.8 (48.6–67.4)	0.87 (0.62-1.21)	0.399	0.87 (0.62-1.22)	0.423
Serum α-carotene							p for trend	0.472
Low (<5.1)	127	1,654.9	71	60.9 (51.9–70.0)	1.00		1.00	
Medium (5.1–9.7)	133	1,750.0	68	57.3 (48.2–66.8)	0.91 (0.65-1.27)	0.574	1.00 (0.71-1.41)	0.984
High (>9.7)	131	1,764.0	79	65.2 (56.5–73.9)	1.04 (0.75–1.43)	0.828	1.26 (0.89-1.80)	0.19
Serum β -carotene							p for trend	0.095
Low (<2 8 .3)	129	1,679.7	66	56.7 (47.7–66.2)	1.00		1.00	
Medium (28.3–57.6)	131	1,755.9	75	62.7 (53.8–71.6)	1.10 (0.79–1.53)	0.581	1.17 (0.83-1.66)	0.364
High (>5 7.6)	131	1,733.3	77	64.0 (55.2–72.9)	1.12 (0.80-1.56)	0.511	1.34 (0.93–1.93)	0.115
Serum zeaxanthin/lutein							p for trend	0.235
Low (<42.9)	130	1,645.9	76	62.7 (53.8–71.6)	1.00		1.00	
Medium (42.9-57.3)	130	1,803.1	70	58.1 (49.2–67.2)	0.85 (0.62–1.18)	0.341	0.97 (0.69-1.36)	0.868
High (>57.3)	131	1,719.9	72	63.5 (54.2–72.7)	0.89 (0.65-1.23)	0.488	1.05 (0.75–1.48)	0.768
Serum cryptoxanthin							p for trend	0.215
Low (<11.2)	129	1,659.5	74	63.9 (54.8–73.0)	1.00		1.00	
Medium (11.2–22.1)	130	1,754.7	67	56.8 (47.8–66.2)	0.87 (0.62–1.21)	0.406	0.91 (0.65-1.28)	0.592
High (>2.2.1)	132	1,754.7	77	63.1 (54.3–71.9)	0.99 (0.72–1.37)	0.974	1.07 (0.76–1.51)	0.694
Serum lyco pene							p for trend	0.638
Low (<19.8)	129	1,713.7	69	58.6 (49.7–67.9)	1.00		1.00	
Medium (19.8–35.8)	131	1,780.3	79	66.3 (57.4–75.0)	1.07 (0.78–1.48)	0.67	1.07 (0.76–1.49)	0.705
High (>35.8)	131	1,674.9	70	58.5 (49.4–67.8)	1.02 (0.73–1.42)	0.914	1.08 (0.77–1.52)	0.662
Serum α-to copherol							p for trend	0.882
Low (<753.0)	128	1,535.8	82	67.3 (58.7–75.6)	1.00		1.00	
Medium (753.0-983.9)	132	1,896.8	66	54.7 (45.9–64.0)	0.66 (0.48-0.91)	0.011	0.68 (0.49-0.95)	0.025
High (>9 8 3.9)	131	1,736.3	70	62.8 (53.2–72.3)	0.74 (0.54–1.01)	0.062	0.78 (0.56–1.09)	0.142
Retinol intake							p for trend	0.322
Low (<190.2)	130	1,555.8	74	62.8 (53.6–72.0)	1.00		1.00	
Medium (190.2–313.1)	130	1,755.6	74	63.3 (54.0–72.0)	0.89 (0.65–1.23)	0.484	0.76 (0.54–1.07)	0.12
High (>3 1 3.1)	131	1,857.5	70	57.8 (49.0–66.9)	0.80 (0.57–1.10)	0.172	0.59 (0.40-0.89)	0.011
Carotene in take				, ,	, ,		p for trend	0.325
Low (<3,281.4)	130	1,639.3	70	59.8 (50.6–69.1)	1.00		1.00	
Medium (3,281.4–5,042.8)	131	1,812.8	72	61.6 (52.5–64.7)	0.92 (0.66–1.28)	0.637	0.90 (0.63-1.28)	0.557
High (>5,042.8)	130	1,716.8	76	62.2 (53.5–71.0)	1.03 (0.74–1.42)	0.869	0.97 (0.65–1.46)	0.89
Vitamin A intake		•		,	,		p for trend	0.546
Low (<2,398.8)	130	1,601.8	70	61.5 (62.5–74.6)	1.00		1.00	
Medium (2,398.8–3,466.7)	131	1,834.7	72	59.7 (51.7–64.7)	0.90 (0.65–1.25)	0.541	0.91 (0.64–1.29)	0.599
High (>3, 466.7)	130	1,732.4	76	62.6 (53.9–71.4)	1.01 (0.73–1.40)	0.948	0.93 (0.61–1.42)	0.727
Vitamin E intake		,		(25/5 (21/1)	(5.75 1.10)	0.2.10	p for trend	0.147
Low (<6.7)	130	1,610.2	68	57.4 (48.3–66.7)	1.00		1.00	
Medium (6.7–8.7)	130	1,897.1	71	59.4 (50.5–68.5)	0.90 (0.64–1.25)	0.521	0.95 (0.66–1.39)	0.807
High (>8. 7)	131	1,661.6	79	65.9 (57.1–74.6)	1.11 (0.80–1.54)	0.519	0.88 (0.54–1.43)	0.601

Cox's proportional hazard model showing the hazard ratio for regression in a cumulative 24-month period. The adjusted model was calculated by CIN grade (initial biopsy results; CIN1 or CIN2), HPV genotypes (HPV16/18/31/33/35/42/52/59, other high-risk types, low-risk types, or HPV negative) [1 7, 18], age, total calorie intake and smoking status (Brinkman index >100). The units of micronutrients are expressed as µg/dL



Table 4 HR of regression from non-smoking CIN1/2 according to the serum micronutrients and nutrient intake questionnaire

	n	Person-months	Events	Cumulative 2-year	Hazard ratio for regression (95 % CI)			
				rate (95 % CI)	Unadjusted	p value	Adjusted model	p value
Serum retinol							p for trend	0.292
Low (<55.2)	62	809.8	39	67.0 (54.5-79.0)	1		1	
Medium (55.2-67.9)	70	922.3	41	62.8 (50.9–74.6)	0.93 (0.60-1.44)	0.75	1.03 (0.65-1.63)	0.908
High (>67.9)	58	743.4	39	71.4 (58.7–83.1)	1.08 (0.69-1.68)	0.742	1.21 (0.74–1.98)	0.448
Serum α-carotene							p for trend	0.883
Low (<5.1)	46	560.7	28	64.4 (50.1–78.5)	1.00		1.00	
Medium (5.1–9.7)	62	789.7	38	66.1 (53.3–78.4)	0.97 (0.60-1.59)	0.918	1.22 (0.73-2.05)	0.449
High (>9.7)	82	1,125.1	53	68.7 (57.9–79.0)	0.93 (0.59-1.47)	0.76	1.26 (0.75–2.11)	0.384
Serum β-carotene							p for trend	0.206
Low (<28.3)	45	583.9	26	60.1 (45.8–74.7)	1.00		1.00	
Medium (28.3-57.6)	61	780.1	41	75.7 (62.7–86.9)	1.16 (0.71–1.90)	0.557	1.20 (0.71-2.03)	0.488
High (>57.6)	84	1,111.5	52	65.5 (54.8–76.0)	1.03 (0.64–1.65)	0.91	1.23 (0.73-2.07)	0.439
Serum zeaxanthin/lutein							p for trend	0.024
Low (<42.9)	56	729.3	34	64.8 (51.4–77.8)	1.00		1.00	
Medium (42.9-57.3)	61	817.3	38	66.7 (54.2–78.9)	1.00 (0.63-1.59)	1	1.12 (0.69–1.84)	0.642
High (>57.3)	73	928.9	47	68.6 (57.1–79.5)	1.05 (0.68–1.64)	0.813	1.25 (0.78-2.01)	0.352
Serum cryptoxanthin							p for trend	0.129
Low (<11.2)	47	650.1	28	64.7 (50.0–79.1)	1.00		1.00	
Medium (11.2-22.1)	61	740.7	38	68.2 (55.3-80.4)	1.23 (0.75-2.00)	0.414	1.24 (0.74–2.08)	0.412
High (>22.1)	82	1,084.7	53	67.5 (56.8–77.8)	1.16 (0.73–1.83)	0.536	1.35 (0.82-2.22)	0.231
Serum lycopene							p for trend	0.269
Low (<19.8)	63	805.3	37	63.2 (50.7–75.7)	1.00		1.00	
Medium (19.8–35.8)	63	827.7	43	73.8 (61.5–84.8)	1.11 (0.71–1.72)	0.651	1.17 (0.73–1.87)	0.51
High (>35.8)	64	842.5	39	64.3 (52.0–76.4)	1.00 (0.63–1.55)	0.962	1.28 (0.79–2.07)	0.316
Serum α-tocopherol							p for trend	0.176
Low (<753.0)	60	731.7	39	67.1 (54.7–79.0)	1.00		1.00	
Medium (753.0–983.9)	63	829.9	40	67.5 (55.2–79.2)	0.91 (0.59-1.42)	0.676	0.96 (0.60–1.53)	0.866
High (>983.9)	67	913.9	40	66.5 (53.9–78.6)	0.81 (0.52–1.26)	0.344	0.96 (0.60–1.54)	0.859
Retinol intake				,	, ,		p for trend	0.892
Low (<190.2)	62	760.7	36	63.5 (50.5–76.4)	1.00		1.00	
, ,	63	840.7	41	70.4 (57.9–82.0)	1.04 (0.67–1.63)	0.854	0.90 (0.53-1.54)	0.704
· · · · · · · · · · · · · · · · · · ·	65	874.1	42	66.3 (54.5–77.7)	1.02 (0.65–1.59)	0.94	0.86 (0.48–1.53)	0.61
Carotene intake				,	`		p for trend	0.131
	47	606.4	29	67.7 (52.7–81.9)	1.00		1.00	
	71	959.6	40	62.1 (50.0–74.2)	0.88 (0.55–1.43)	0.615	0.89 (0.51–1.56)	0.676
• • • • • • • • • • • • • • • • • • • •	72	909.5	50	70.8 (59.8–81.0)	1.16 (0.74–1.84)	0.515	1.08 (0.60–1.94)	0.804
Vitamin A intake				(,			p for trend	0.134
Low (<2,398.8)	50	676.0	28	63.5 (48.8–78.2)	1.00		1.00	
	69	934.1	41	63.8 (51.7–75.8)	1.08 (0.67–1.75)	0.755	1.14 (0.65–1.99)	0.654
High (>3,466.7)	71	865.4	50	72.3 (61.3–82.4)	1.42 (0.89–2.25)	0.14	1.47 (0.79–2.73)	0.218
Vitamin E intake				()	(p for trend	0.163
	51	631.5	29	61.3 (47.4–75.5)	1.00		1.00	3.200
	62	884.3	39	66.0 (53.6–78.1)	0.98 (0.61–1.58)	0.932	1.38 (0.70–2.71)	0.354
	~~	00	-	((0.01 1.00)	J., J.	(S./S 2 ./1)	0.00

Cox's proportional hazard model showing the hazard ratio for regression in a cumulative 24-month period in non-smokers. The adjusted model was identical to the model used in Table 3. The units of micronutrients are expressed as $\mu g/dL$



Table 5 HR of regression from current smoking CIN1/2 according to the serum micronutrients and nutrient intake questionnaire

	n	Person-months	Events	Cumulative 2-year	Hazard ratio for regression (95 % CI)			
				rate (95 % CI)	Unadjusted	p value	Adjusted model	p value
Serum retinol							p for trend	0.43
Low (<55.2)	47	614.0	27	64.0 (49.2–78.6)	1		1	
Medium (55.2-67.9)	38	417.6	24	70.5 (53.4–85.7)	1.29 (0.74-2.23)	0.369	1.54 (0.87-2.76)	0.141
High (>67.9)	57	780.5	21	42.9 (30.1–58.3)	0.60 (0.34-1.06)	0.08	0.54 (0.29-1.00)	0.05
Serum α-c-arotene							p for trend	0.898
Low (<5.1)	59	751.9	33	62.5 (49.2–75.8)	1.00		1.00	
Medium (5.1–9.7)	53	689.6	22	49.9 (35.3-66.7)	0.72 (0.42-1.24)	0.24	0.85 (0.48-1.53)	0.595
High (>9.7)	30	370.6	17	61.8 (43.6–80.2)	1.04 (0.58-1.87)	0.886	1.23 (0.63-2.39)	0.537
Serum β -carotene							p for trend	0.667
Low (<2\$.3)	63	788.0	31	58.1 (44.6–72.2)	1.00		1.00	
Medium (28.3–57.6)	53	700.2	27	54.5 (41.1–69.1)	1.02 (0.61-1.71)	0.94	1.07 (0.62–1.86)	0.808
High (>57.6)	26	323.9	14	66.6 (44.5–87.0)	1.06 (0.56-2.00)	0.854	1.04 (0.51–2.14)	0.915
Serum zeaxanthin/lutein							p for trend	0.373
Low (<42.9)	54	640.8	32	63.6 (50.0–77.0)	1.00		1.00	
Medium (42.9–57.3)	52	669.4	26	54.1 (40.4–69.0)	0.79 (0.47-1.33)	0.372	0.88 (0.51-1.52)	0.645
High (>57.3)	36	501.9	14	57.6 (37.9–78.8)	0.55 (0.29–1.02)	0.059	0.76 (0.37–1.53)	0.435
Serum cryptoxanthin							p for trend	0.866
Low (<1 1.2)	62	727.3	36	67.4 (53.9–80.2)	1.00		1.00	
Medium (11.2–22.1)	47	644.3	20	48.4 (33.9–65.2)	0.63 (0.36–1.09)	0.098	0.72 (0.39–1.31)	0.279
High (>22.1)	33	440.5	16	53.9 (36.6–73.1)	0.73 (0.40–1.31)	0.286	0.85 (0.44–1.64)	0.63
Serum lycopene				,	,		p for trend	0.517
Low (<19.8)	43	543.8	21	55.3 (39.9–71.9)	1.00		1.00	
Medium (19.8–35.8)	55	761.7	29	60.8 (46.7–75.1)	0.96 (0.55–1.69)	0.896	0.79 (0.42–1.48)	0.457
High (>3 5.8)	44	506.6	22	54.4 (39.2–70.9)	1.08 (0.59–1.96)	0.802	0.77 (0.38–1.54)	0.456
Serum α-to-copherol				,	,		p for trend	0.042
Low (<753.0)	53	594.2	34	68.8 (55.5–81.4)	1.00		1.00	
Medium (753.0–983.9)	49	718.2	19	43.5 (30.1–59.7)	0.47 (0.27–0.83)	0.009	0.53 (0.27–0.94)	0.03
High (>9 83.9)	40	499.7	19	66.7 (46.0–86.0)	0.64 (0.36–1.11)	0.114	0.76 (0.42–1.40)	0.383
Retinol intake				,	()		p for trend	0.58
Low (<190.2)	50	573.8	29	62.3 (48.3–76.4)	1.00		1.00	
Medium (190.2–313.1)	51	673.9	25	56.5 (42.1–71.9)	0.74 (0.43–1.26)	0.263	0.76 (0.42–1.37)	0.36
High (>3 13.1)	41	564.4	18	52.3 (36.2–70.6)	0.63 (0.35–1.13)	0.124	0.57 (0.29–1.13)	0.106
Carotene intake				(,	(p for trend	0.182
Low (<3,281.4)	64	730.7	34	59.8 (46.9–73.1)	1.00		1.00	
Medium (3,281.4–5,042.8)	43	632.0	22	58.7 (42.7–75.4)	0.72 (0.42–1.24)	0.238	0.71 (0.39–1.31)	0.272
High (>5,042.8)	35	449.4	16	52.9 (35.8–72.2)	0.73 (0.41–1.33)	0.309	0.55 (0.25–1.18)	0.122
Vitamin A intake					(p for trend	0.268
Low (<2,398.8)	65	723.6	36	61.9 (49.1–74.9)	1.00		1.00	
Medium (2,398.8–3,466.7)	43	642.5	19	49.1 (34.4–66.2)	0.59 (0.34–1.03)	0.064	0.58 (0.31–1.07)	0.081
High (>3,466.7)	34	446.0	17	60.6 (42.2–79.4)	0.74 (0.42–1.32)	0.307	0.60 (0.28–1.32)	0.208
Vitamin E intake				(12.2 (7.11)	(1.02)		p for trend	0.567
Low (<6.7)	61	684.0	32	56.7 (44.1–70.1)	1.00		1.00	0.507
Medium (6.7–8.7)	45	720.6	19	49.0 (34.4–66.0)	0.56 (0.32–0.99)	0.047	0.51 (0.25–1.05)	0.066
High (>8.7)	36	407.5	21	67.3 (49.6–83.8)	1.02 (0.59–1.77)	0.947	0.56 (0.23–1.38)	0.211
gii (~0.7)		101.3	<u>~1</u>	O1.5 (¬7.0~05.0)	1.02 (0.39-1.77)	0.541	0.50 (0.25-1.56)	0.211

Cox's propertional hazard model showing the hazard ratio for regression in a cumulative 24-month period in current smokers only. The adjusted model was identical to the model used in Table 3. The units of micronutrients are expressed as $\mu g/dL$

Table 6 HR of progression from entire CIN1/2 according to the serum micronutrients and nutrient intake questionnaire

	n	Person-months	Events	Cumulative 5-year	Hazard ratio for p	rogression	(95 % CI)	And the state of t
				rate (95 % CI)	Unadjusted	p value	Adjusted model	p value
Serum retinol							p for trend	0.372
Low (<55.2)	128	4,588.2	7	8.7 (3.6-20.1)	1.00		1.00	
Medium (55.2-67.9)	132	5,048.8	17	17.1 (10.8–26.6)	2.25 (0.93-5.44)	0.071	2.35 (0.95-5.77)	0.063
High (>67.9)	131	5,210.1	14	14.3 (8.5–23.7)	1.82 (0.73-4.51)	0.198	2.23 (0.88-5.60)	0.089
Serum α-carotene							p for trend	0.669
Low (<5.1)	127	4,506.6	13	15.4 (8.7–26.2)	1.00		1.00	
Medium (5.1-9.7)	133	4,955.5	17	16.0 (10.0-25.0)	1.21 (0.59-2.49)	0.609	1.08 (0.51-2.31)	0.835
High (>9.7)	131	5,385.0	8	9.6 (4.7–19.0)	0.52 (0.22-1.27)	0.153	0.46 (0.18-1.15)	0.098
Serum β -carotene							p for trend	0.337
Low (<28.3)	129	4,245.0	18	21.8 (13.6–33.9)	1.00		1.00	
Medium (28.3-57.6)	131	5,208.1	7	7.0 (3.2–14.7)	0.32 (0.13-0.77)	0.011	0.28 (0.11-0.71)	0.007
High (>57.6)	131	5,394.0	13	13.2 (7.7–22.3)	0.58 (0.28-1.19)	0.14	0.52 (0.24-1.13)	0.098
Serum zeaxanthin/lutein							p for trend	0.772
Low (<42.9)	130	4,611.4	11	12.1 (6.7–21.4)	1.00		1.00	
Medium (42.9-57.3)	130	5,291.5	17	17.9 (11.2–28.0)	1.37 (0.64-2.94)	0.415	1.58 (0.71–3.53)	0.266
High (>57.3)	131	4,944.2	10	9.4 (5.1–17.1)	0.87 (0.37-2.06)	0.756	0.95 (0.39-2.32)	0.908
Serum cryptoxanthin							p for trend	0.618
Low (<11.2)	129	4,591.6	12	12.2 (6.9–20.9)	1.00		1.00	
Medium (11.2–22.1)	130	4,906.2	16	17.1 (10.6–27.0)	1.26 (0.60-2.67)	0.544	1.37 (0.61–3.06)	0.445
High (>22.1)	132	5,349.3	10	10.5 (5.5–19.7)	0.73 (0.32-1.69)	0.465	0.71 (0.29-1.72)	0.450
Serum lycopene							p for trend	0.286
Low (<19.8)	129	4,827.0	15	17.5 (10.5–28.3)	1.00		1.00	
Medium (19.8–35.8)	131	4,954.6	11	10.0 (5.6–17.6)	0.71 (0.33-1.55)	0.395	0.61 (0.27-1.36)	0.223
High (>35.8)	131	5,065.5	12	13.1 (7.3–22.9)	0.76 (0.36-1.63)	0.48	0.73 (0.33-1.59)	0.428
Serum α-tocopherol							p for trend	0.788
Low (<753.0)	128	5,143.1	11	12.0 (6.6–21.2)	1.00		1.00	
Medium (753.0-983.9)	132	5,052.6	11	13.3 (7.4–23.3)	1.01 (0.44-2.33)	0.983	0.91 (0.39-2.10)	0.820
High (>983.9)	131	4,651.4	16	15.7 (9.3–25.8)	1.60 (0.74-3.45)	0.232	1.87 (0.84-4.19)	0.126
Retinol intake							p for trend	0.666
Low (<190.2)	130	4,778.5	14	14.7 (8.6–24.4)	1.00		1.00	
Medium (190.2-313.1)	130	4,985.2	15	16.7 (9.8–27.7)	1.02 (0.49-2.12)	0.948	1.08 (0.51-2.32)	0.834
High (>313.1)	131	5,083.4	9	9.5 (4.9–17.7)	0.60 (0.26-1.40)	0.239	0.62 (0.23-1.68)	0.346
Carotene intake							p for trend	0.331
Low (<3,281.4)	130	4,578.9	9	10.8 (5.2–21.6)	1.00		1.00	
Medium (3,281.4-5,042.8)	131	4,789.0	16	17.6 (11.4–26.7)	2.02 (0.91-4.46)	0.083	2.30 (0.97-5.42)	0.058
High (>5,042.8)	130	5,479.2	10	11.6 (6.2–21.0)	0.94 (0.38-2.33)	0.901	1.19 (0.41–3.44)	0.746
Vitamin A intake							p for trend	0.493
Low (<2,398.8)	130	4,510.5	11	12.2 (6.3–22.9)	1.00		1.00	
Medium (2,398.8-3,466.7)	131	4,921.0	16	15.1 (9.4–23.9)	1.33 (0.62–2.87)	0.463	1.32 (0.59–2.97)	0.500
High (>3,466.7)	130	5,415.6	11	12.6 (3.8–22.2)	0.84 (0.36–1.95)	0.689	0.92 (0.33–2.54)	0.873
Vitamin E intake				ŕ	·		p for trend	0.834
Low (<6.7)	130	4,431.0	12	13.8 (7.5–24.7)	1.00		1.00	
Medium (6.7–8.7)	130	5,128.1	15	14.1 (8.6–22.6)	1.08 (0.51-2.31)	0.842	1.06 (0.44–2.56)	0.892
·				12.5 (6.8–22.1)				

Cox's proportional hazard model showing the hazard ratio for progression over a cumulative 60-month period. The adjusted model was identical to the model used in Table 3. The units of micronutrients are expressed as $\mu g/dL$



effects were weaker or not found with a higher level of serum beta-carotene (HR 0.52, 95 % CI 0.24–1.13, p=0.098). In contrast, a high carotene intake did not show an inverse relationship, but rather a non-significant increase in progression (HR 2.30, 95 % CI 0.97–5.42, p=0.058). There was no significant association between other serum micronutrients and risk for CIN progression.

Discussion

The role of environmental factors, including micronutrients and tobacco smoking, in cervical carcinogenesis has been discussed. Smoking status in particular interfered with serum levels and intake of carotenoids as shown in Tables 1 and 2. In smokers, food intake is intrinsically lower than in non-smokers [22]. From the questionnaires, the intake per day of all micronutrients, except retinol and tocopherol, was lower in current smokers than in nonsmokers, suggesting an unbalanced diet resulting from either smoking or other lifestyle behaviors (Table 1). Serum levels of alpha-carotene, beta-carotene and cryptoxanthin were inversely correlated with smoking status, but alpha-tocopherol was not correlated with smoking status after adjusting for age, BMI and frequency of alcohol intake (Table 2). These data were consistent with a previous report in which smoking was shown to affect serum beta-carotene levels but to have no effect on alphatocopherol levels [23]. Though alpha-tocopherol and betacarotene are well known as antioxidants, the antioxidant effect of alpha-tocopherol is not due to a reaction with oxygen. In contrast, beta-carotene does react with oxygen. This suggests that there is a difference in the mechanisms of antioxidant reaction [24].

In regression subjects, we expected to find a protective effect from high serum levels or intake of carotenoids; however, neither of these had protective effects. We assume that smoking status modulates dietary intake or serum levels of micronutrients. Therefore, we investigated the association between dietary intake or serum levels of micronutrients and CIN regression, taking into account smoking status (Tables 3, 4, 5). In non-smoking regression subjects, regression was significantly related to the serum levels of zeaxanthin/lutein. This relationship was not found in current smokers. In a similar example, an isoflavone has a protective effect for lung cancer, but the effect is abolished by smoking [25]. It was reported that zeaxanthin/ lutein may be a useful marker of intake of leafy vegetables, spinach, green peas, broccoli and seaweed [26]. Zeaxanthin/lutein is chemically more hydrophilic than other carotenoids such as alpha- and beta-carotene, lycopene and beta-cryptoxanthin. The mechanisms of a potential protection against carcinogenesis may include: induction of apoptosis, inhibition of angiogenesis, enhancement of gap junction intercellular communication, induction of cell differentiation, prevention of oxidative damage, and modulation of the immune system. Serum levels of lutein have been inversely associated with cytochrome CYP1A2 activity, a hepatic enzyme responsible for the metabolic activity of a number of putative human carcinogens [27]. High serum levels of alpha-tocopherol tend to have an inhibitory effect on regression in smokers (Table 4). There is a similar effect in that supplemental vitamin E, presumably causing a high concentration of alpha-tocopherol, is associated with an increased risk of lung cancer, which was confined to current smokers [28]. Alpha-tocopherol is considered to be an antioxidant, but it might act as a pro-oxidant [24].

Though a weak and non-significant protective effect of dietary intake or low serum concentration of beta-carotene has been observed previously [10, 15, 29, 30], we found that a medium serum level of beta-carotene showed a significant protective effect on CIN progression, whereas this protective effect at higher serum levels of beta-carotene was weaker or abolished (Table 6). These data appear to be consistent with in-vitro experiments reporting that very high concentrations of beta-carotene decreased antioxidant and/or induced pro-oxidant effects [31, 32]. Based on epidemiological studies that have shown an association between a low intake of carotenes and human cancers [33], an intervention study was conducted for the prevention of lung cancer [34]. However, it was paradoxically reported that high serum levels of beta-carotene induced by oral supplements promoted lung cancer in male heavy smokers aged 50-69 years. In CIN, oral beta-carotene supplementation did not enhance CIN regression in a randomized, double-blind phase III trial [35]. One explanation for these failures may be that oral supplements induced extremely high serum levels of beta-carotene. Taken together, these data suggest that medium serum levels of beta-carotene may interfere with CIN progression or cancer development.

There was a discrepancy between the results of dietary intake and serum levels of beta-carotene. Endogenous metabolic processes may influence the serum concentrations of micronutrients. In fact, inconsistent results of the serum levels and dietary intake of alpha-tocopherol in patients with prostate cancer, and contradictory results of retinol in patients with cervical cancer, have been reported previously [14, 36, 37]. Additionally, there is limited dietary intake information obtained from questionnaires because of inherent recall bias. We examined the residual confounding factors, including passive smoking, the number of sexual partners, and serum *Chlamydia* IgG antibody, in addition to the adjusted model. Despite confounding by other risk factors included for adjustments, the analyses did not change the conclusion.

To our knowledge, this is the first large-scale prospective cohort study for CIN outcome to report an association between serum levels of antioxidant micronutrients adjusted for potential confounders including CIN grade, HPV genotype, age, total energy intake and smoking. To make our comparisons, we investigated not only serum levels but also dietary intake of micronutrients, despite the fact that food-intake questionnaires contain limited information. It is known that the accuracy of recalling past dietary intake is influenced by current dietary habits [38]. There are inconsistent results between previous case-control and cohort studies. However, our discrepant results did not reach the conclusion that women with CIN received a benefit from consuming a beta-carotene-rich diet. However, not smoking and maintaining high serum levels of zeaxanthin/lutein, presumably by intake of leafy vegetables, spinach, green peas, broccoli, and seaweed, are advantageous for the prevention of cervical cancer.

This study has some potential limitations. We included only CIN patients with an available serum sample for measurement of serum nutrients [18]. The majority of CIN patients already had persistent HPV infection at enrollment in the present study. If these nutrients play an important role in preventing persistent HPV infection, we cannot determine that role in this cohort study. The food intake contains not only the micronutrients being investigated but also other nutrients and mixtures. The incident number of progression cases was small and it was difficult to analyze by smoking status. A large-scale cohort study with a longer period of observation is required to clarify the association between serum levels or dietary intake of micronutrients and the risk of developing cervical cancer.

Acknowledgments The authors thank: Dr. Tadahito Kanda (Center for Pathogen Genomics, National Institute of Infectious Disease, Tokyo, Japan) for his comments on the study design and the manuscript; the late Mr. Masafumi Tsuzuku (Department of Cytopathology, Cancer Institute Hospital, Japanese Foundation of Cancer Research, Japan) for his cytological review; many others who facilitated this study; and all of the women who participated in the study. This work was supported by a grant from the Ministry of Education, Culture, Sports, Science and Technology of Japan (Grant Number 12218102) and in part by a grant from the Smoking Research Foundation.

Conflict of interest The authors declare that they have no conflict of interest.

References

- Syrjnen K, Hakama M, Saarikoski S et al (1990) Prevalence, incidence, and estimated life-time risk of cervical human papillomavirus infections in a nonselected Finnish female population. Sex Transm Dis 17:15–19
- Schiffman M, Castle PE, Jeronimo J et al (2007) Human papillomavirus and cervical cancer. Lancet 370:890–907

- Castellsague X, Bosch FX, Munoz N (2002) Environmental co-factors in HPV carcinogenesis. Virus Res 89:191–199
- 4. Jordan JA, Singer A (eds) (2006) The cervix, 2nd edn. Blackwell Publishing Ltd, Malden
- 5. Giuliano AR (2000) The role of nutrients in the prevention of cervical dysplasia and cancer. Nutrition 16:570–573
- González CA, Travier N, Luján-Barroso L et al (2011) Dietary factors and in situ and invasive cervical cancer risk in the European prospective investigation into cancer and nutrition study. Int J Cancer 129:449–459
- Ghosh C, Baker JA, Moysich KB et al (2008) Dietary intakes of selected nutrients and food groups and risk of cervical cancer. Nutr Cancer 60:331–341
- Tomita LY, Longatto Filho A, Costa MC et al (2010) Diet and serum micronutrients in relation to cervical neoplasia and cancer among low-income Brazilian women. Int J Cancer 126:703–714
- Cho H, Kim MK, Lee JK et al (2009) Relationship of serum antioxidant micronutrients and sociodemographic factors to cervical neoplasia: a case-control study. Clin Chem Lab Med 47:1005-1012
- García-Closas R, Castellsagu X, Bosch X, González CA (2005)
 The role of diet and nutrition in cervical carcinogenesis: a review of recent evidence. Int J Cancer 117:629–637
- Rock CL, Michael CW, Reynolds RK et al (2000) Prevention of cervix cancer. Crit Rev Oncol Hematol 33:169–185
- Steinmetz KA, Potter JD (1996) Vegetables, fruit, and cancer prevention: a review. J Am Diet Assoc 96:1027–1039
- Mascio P, Murphy M, Sies H (1991) Antioxidant defense systems: the role of carotenoids, tocopherols, and thiols. Am J Clin Nutr 53:194S–200S
- Nagata C, Shimizu H, Higashiiwai H et al (1999) Serum retinol level and risk of subsequent cervical cancer in cases with cervical dysplasia. Cancer Invest 17:253–258
- Sedjo RL, Papenfuss MR, Craft NE et al (2003) Effect of plasma micronutrients on clearance of oncogenic human papillomavirus (HPV) infection (United States). Cancer Causes Control 14:319– 326
- Nagata C, Shimizu H, Yoshikawa H et al (1999) Serum carotenoids and vitamins and risk of cervical dysplasia from a casecontrol study in Japan. Br J Cancer 81:1234–1237
- Matsumoto K, Oki A, Furuta R et al (2010) Tobacco smoking and regression of low-grade cervical abnormalities. Cancer Sci 101:2065–2073
- Matsumoto K, Oki A, Furuta R et al (2011) Predicting the progression of cervical precursor lesions by human papillomavirus genotyping: a prospective cohort study. Int J Cancer 128:2898–2010
- Takatsuka N, Kurisu Y, Nagata C et al (1997) Validation of simplified diet history questionnaire. J Epidemiol 7:33-41
- Yoshikawa H, Kawana T, Kitagawa K et al (1991) Detection and typing of multiple genital human papillomaviruses by DNA amplification with consensus primers. Jpn J Cancer Res 82:524-531
- Miller KW, Yang CS (1985) An isocratic high-performance liquid chromatography method for the simultaneous analysis of plasma retinol, alpha-tocopherol, and various carotenoids. Anal Biochem 145:21–26
- Tomita LY, Roteli-Martins CM, Villa LL et al (2011) Associations of dietary dark-green and deep-yellow vegetables and fruits with cervical intraepithelial neoplasia: modification by smoking. Br J Nutr 105:928–937
- Palan PR, Mikhail MS, Basu J et al (1991) Plasma levels of antioxidant β-carotene and α-tocopherol in uterine cervix dysplasias and cancer. Nutr Cancer 15:13–20
- Schneider C (2005) Chemistry and biology of vitamin E. Mol Nutr Food Res 49:7–30



- Shimazu T, Inoue M, Sasazuki S et al (2010) Isoflavone intake and risk of lung cancer: a prospective cohort study in Japan. Am J Clin Nutr 91:722–728
- 26. Ito Y, Shimizu H, Yoshimura T et al (1999) Serum concentrations of carotenoids, alpha-tocopherol, fatty acids, and lipid peroxides among Japanese in Japan, and Japanese and Caucasians in the US. Int J Vitamin Nutr Res 69:385–395
- Ribaya-Mercado JD, Blumberg JB (2004) Lutein and zeaxanthin and their potential roles in disease prevention. J Am Coll Nutr 23:5678–587S
- 28. Slatore CG, Littman AJ, Au DH et al (2008) Long-term use of supplemental multivitamins, vitamin C, vitamin E, and folate does not reduce the risk of lung cancer. Am J Respir Crit Care Med 177:524-530
- 29. Giuliano AR, Papenfuss M, Nour M et al (1997) Antioxidant nutrients: associations with persistent human papillomavirus infectison. Cancer Epidemiol Biomarkers Prev 6:917–923
- Giuliario AR, Siegel EM, Roe DJ et al (2003) Dietary intake and risk of persistent human papillomavirus (HPV) infection: the Ludwig-McGill HPV Natural History Study. J Infect Dis 188:1508-1516
- 31. El-Agamey A, Lowe GM, McGarvey DJ et al (2004) Carotenoid radical chemistry and antioxidant/pro-oxidant properties. Archiv Biochem Biophys 430:37–48

- 32. Burton GW, Ingold K (1984) Beta-carotene: an unusual type of lipid antioxidant. Science 224:569–573
- 33. Peto R, Doll R, Buckley JD et al (1981) Can dietary beta-carotene materially reduce human cancer rates? Nature 290:201-208
- 34. Alberts D, Barakat R (1994) The effect of vitamin E and beta carotene on the incidence of lung cancer and other cancers in male smokers. The Alpha-Tocopherol, Beta Carotene Cancer Prevention Study Group. N Engl J Med 330:1029–1035
- 35. Keefe KA, Schell MJ, Brewer C et al (2001) A randomized, double blind, Phase III trial using oral beta-carotene supplementation for women with high-grade cervical intraepithelial neoplasia. Cancer Epidemiol Biomarkers Prev 10:1029–1035
- Weinstein SJ, Wright ME, Lawson KA et al (2007) Serum and dietary vitamin E in relation to prostate cancer risk. Cancer Epidemiol Biomarkers Prev 16:1253–1259
- Kanetsky PA, Gammon MD, Mandelblatt J et al (1998) Dietary intake and blood levels of lycopene: association with cervical dysplasia among non-Hispanic, black women. Nutr Cancer 31:31–40
- Myung SK, Ju W, Kim SC et al (2011) Vitamin or antioxidant intake (or serum level) and risk of cervical neoplasm: a metaanalysis. BJOG 118:1285–1291

The official fournal of Antiand Oceania Federalisis of notyrics: Obstetrics and Operacology Dipon Society of Obstetrics and Symbology

doi:10.1111/jog.12196

J. Obstet. Gynaecol. Res. Vol. 40, No. 2: 554-560, February 2014

High-risk human papillomavirus correlates with recurrence after laser ablation for treatment of patients with cervical intraepithelial neoplasia 3: A long-term follow-up retrospective study

Kanako Inaba, Kazunori Nagasaka, Kei Kawana, Takahide Arimoto, Yoko Matsumoto, Tetsushi Tsuruga, Mayuyo Mori-Uchino, Shiho Miura, Kenbun Sone, Katsutoshi Oda, Shunsuke Nakagawa, Tetsu Yano, Shiro Kozuma and Tomoyuki Fujii

Department of Obstetrics and Gynecology, Faculty of Medicine, The University of Tokyo, Tokyo, Japan

Abstract

Aim: The purpose of our study was to evaluate the efficacy of laser ablation as a conservative treatment for cervical intraepithelial neoplasia 3 (CIN3) and assess whether the human papillomavirus (HPV) test is useful to predict recurrence after treatment.

Materials and Methods: A total of 134 patients who received laser ablation for treatment of CIN3 were enrolled in this study. During the follow-up period, patients were followed with cytological and colposcopic evaluations. Recurrence of CIN3 was regarded as the primary end-point. HPV genotype was tested before and after treatment. Post-treatment cumulative recurrence rates were estimated and comparisons by both patient age and HPV genotype were performed.

Results: Overall cumulative recurrence rate of CIN3 in the first year after treatment was 22.6% for all patients. No significant correlation was shown between patient age and recurrence. Patients infected by specific genotypes (16, 18, 31, 33, 52, and 58) frequently failed to clear the infection after treatment. The 1-year recurrence-free survival in those positive after treatment for eight high-risk genotypes (16, 18, 31, 33, 35, 45, 52, and 58) was significantly lower (66.7%), compared to that in those positive for other high-risk types (78.6%). The recurrence-free survival of those who remained HPV-positive after treatment was significantly lower than those who turned negative.

Conclusion: Laser ablation should be performed prudently with appropriate patient counseling about recurrence rate. Considering its minimal invasiveness, laser ablation is effective, especially for young patients who are negative for eight high-risk genotypes. With regard to HPV testing, although genotyping has significant value for predicting recurrence, screening for all genotypes warrants further evaluation.

Key words: cervical intraepithelial neoplasia 3, human papillomavirus testing, laser ablation, recurrence, treatment efficacy.

Introduction

The spread of systematic screening programs has detected more cervical intraepithelial neoplasia

(CIN) and has succeeded in producing marked declines in cervical carcinoma incidence and mortality in the developed countries where screening programs and treatment for pre-invasive lesions are

Received: January 9 2013. Accepted: May 27 2013.

Reprint request to: Dr Kazunori Nagasaka, Department of Obstetrics and Gynecology, Faculty of Medicine, The University of Tokyo, 7-3-1 Hongo, Bunkyo-ku, Tokyo 113-8655, Japan. Email: nagasakak-tky@umin.ac.jp

© 2013 The Authors

widespread. Many women who receive treatment for high-grade CIN are of reproductive age with a mean age of approximately 30 years old.1 Therefore, the treatment should not only be effective but also have minimum adverse effects on future fertility and obstetrical outcomes. Cold-knife conization, laser conization, loop electrosurgical excisional procedure (LEEP), cryotherapy, and laser ablation are all conservative methods used to treat high-grade CIN by removing or destroying the transformation zone containing abnormal epithelial cells and thereby preserving cervical function. According to data from the Japan Society of Obstetrics and Gynecology (JSOG), conservative conization methods were chosen for only 33% of women with carcinoma in situ (CIS) in 1990, for which hysterectomy had been the treatment standard, rising as high as 79.3% in 2009. These data robustly represent the increasing demand for conservative CIN treatments at the present time.

studies have demonstrated that these Many methods show similarly low morbidity and are equally successful at preventing invasive cervical cancer.²⁻⁵ Of these conservative methods, characteristics of laser ablation have been well reported due to its fertility-sparing advantage. Laser ablation is usually performed under local anesthesia as an outpatient procedure whereas conization procedures need general anesthesia and inpatient care. Regarding pregnancy outcomes, excisional treatment procedures, including cold-knife conization, laser conization, and LEEP, are associated with increased risk of adverse obstetric morbidity. In contrast, ablative procedures, including cryotherapy and laser ablation, are free of any of these untoward outcomes. 5,6 However, resected specimens from excisional procedures allow for precise histological diagnosis, including presence of unexpected microinvasive diseases, while ablative methods, by destroying cervical tissue, preclude this investigation and require additional pre-treatment biopsy. This ability to combine diagnosis with treatment in a single procedure remains an advantage of excisional treatments.

Women with high-grade CIN frequently undergo excisional treatments because, while more invasive, they are more definitive than ablative therapies. As such, there are few reports available regarding the efficacy of ablative treatments, such as laser ablation for high-grade CIN. Moreover, most studies comparing efficacy between treatments show both the rate of recurrence and residual disease, which is the failure

rate; since these study populations often include a variety of CIN1 to CIN3 patients, and definitions for recurrence/residual disease depend on treatments, failure rates also vary markedly between studies. Both randomized and non-randomized studies demonstrate a failure rate of 5-30% for laser ablation and 5–16% for LEEP in a 6-month follow-up period;^{7,8} however, in 2002, Dev et al. demonstrated that the cumulative risk of cytological abnormality reported as moderate dysplasia or worse is higher after laser ablation than LEEP.9 A recent long-term follow-up study found cryotherapy was associated with the highest rate of recurrence compared with conization, LEEP, and laser ablation.¹⁰ In total, the treatment efficacy against CIN has been still inconsistent comparing laser ablation against other excisional methods, such as conization and LEEP, and there is little information for the safety and efficacy of laser ablation for high-grade lesion.

In this study, we propose that laser ablation is a useful modality for the treatment of CIN in terms of obstetrics outcomes, even for high-grade lesions, if satisfactory colposcopy and consecutive cytology after treatment are available. In addition, we aimed to perform a descriptive investigation of the recurrence of high-grade CIN after laser ablation. Furthermore, the International Agency for Research on Cancer (IARC) announced in 2003 that among the over 100 HPV genotypes, 13 types (16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59, 68, 73, and 82) should be considered carcinogenic, thus defined as 'high-risk types'.11 Since then, HPV testing has been proposed as part of the follow-up of patients treated for high-grade CIN due to its very high sensitivity and negative predictive value for detecting residual/recurrent disease,12 suggesting that it may be a good indicator of disease clearance. Indeed, a growing body of evidence has demonstrated that HPV testing together with cytology is useful in monitoring women treated for high-grade CIN.12,13 To further clarify this in the setting of ablative therapy, we focused on the correlation between high-grade CIN recurrence rates and HPV genotype before and after laser ablation.

Methods

Patients

Following Japanese standard treatment protocols, in our study, those patients whose cervical biopsy demonstrated CIN3 (excluding CIS) and whose histology, cytology, and colposcopy were in concordance, were treated with laser ablation between 2004 and 2010 at the Tokyo University Hospital. Patients were followed up with cytology and colposcopy 3 months after laser ablation, and those who showed residual disease were excluded from this study. Patients with negative cytology and normal colposcopic findings 3 months after treatment were included in this retrospective study. A total of 144 patients (mean age, 36.9 years; range, 25-71 years) met these criteria and were included in this retrospective study. Patients were followed up for at least 5 years with cytological and colposcopic evaluations conducted at intervals of 3-4 months. No residual lesion was confirmed by satisfactory colposcopic findings with negative cytology on the ecto-endocervix together. The recurrence of CIN3 was regarded as the primary end-point. Referring to the previous publications, 9,10 date of recurrence was defined as the midpoint between the date of the examination when abnormal cytology or histology (such as moderatesevere dysplasia, atypical squamous cells that cannot exclude HSIL [ASC-H], or high-grade squamous intraepithelial lesion [HSIL]) was first detected with satisfactory colposcopy, and the most recent preceding examination in which the colposcopic evaluations and smear (ecto-endocervix) were normal.

In total, 83 patients (median age, 36 years) were further examined for the efficacy of laser ablation by HPV genotypes, identified by polymerase chain reaction (PCR)-based HPV DNA testing before and after ablation, comparing the post-treatment persistent infection and recurrence-free survival (RFS) rates. HPV genotyping was performed in each patient. Regarding the natural history of CIN in Japan, a recent prospective cohort study by Matsumoto et al.14 showed that the cumulative progression rate for CIN3 within 5 years was 20.5% for eight types of high-risk HPV (16, 18, 31, 33, 35, 45, 52, and 58), which was significantly higher than the 6.0% observed for five other high-risk types (39, 51, 56, 59, and 68), demonstrating that differences in progression exists even in the 13 HPV types defined by IARC as high-risk. In our study, therefore, we classified the study population according to this report and focused on the eight 'higher-risk' types. Informed consent was obtained in all cases. The median follow-up period was 17 months, with a minimum of at least 6 months. Recurrence was defined as emergence of CIN3 in complete responders.

PCR-based HPV DNA testing

DNA was extracted from cervical smear samples by using the QIAGEN DNeasy Blood & Tissue Kits. PCR-

based HPV DNA testing was performed using the PGMY-CHUV assay. Briefly, standard PCR was conducted using the PGMY09/11 L1 consensus primer sets and HLA-dQ primer sets. Reverse blotting hybridization was subsequently performed as described previously.¹⁵

Laser ablation

Outpatient carbon dioxide laser procedures were carried out under colposcopic guidance, taking about 10 min, without anesthesia or premedication. Water in the tissue absorbs the laser energy, which destroys tissue by vaporization. To be effective, the lesion is typically ablated to a depth of 5 mm on the ectocervix and 8–9 mm around the endocervix. After ablation, the epithelium regenerates in 2–3 weeks. All cases were performed by gynecologic oncologists using CO2 laser, MEDILASER-30S (Model mel-30S, Mochida) with a power density of 8–12W in continuous mode.

Statistics

Date of recurrence was defined as the mid-point between the date of the examination when abnormal cytology was first detected and the most recent preceding examination in which the smear was normal. The log–rank test was used to assess differences in cumulative risk between study groups; tests of significance were carried out at the 5% two-sided level.

Results

We initially identified 144 patients with CIN3 who received laser ablation at Tokyo University Hospital between 2004 and 2010, and showed both negative cytology and normal colposcopic findings 3 months after treatment. Ten patients were excluded because of incomplete data. A total of 134 cases of CIN3 (median age, 37 years; range, 27–71 years, excluding CIS) were monitored every 3–4 months during the follow-up period (6–95 months; median, 38 months). Seven (5.2%) were censored at 1 year, 19 (14.2%) at 2 years, and 105 (78.4%) at 5 years after treatment. The recurrence of CIN3 was regarded as the primary end-point. All the patients were evaluated with satisfactory colposcopy and histological examination of transitional zone.

First, we investigated the efficacy of laser ablation against all CIN cases. During the follow-up period, recurrence was identified in 57 (42.5%) of the 134 patients, and the overall cumulative CIN3 recurrence rate in the first 12 months after treatment was 22.6%

© 2013 The Authors