

【特集】シリーズ・発達障害の理解⑤ 成人期の発達障害支援

生活のなかで発達障害者を「支援」する

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I 生活支援の現状と取り組み

発達障害者は、発達障害に特化した社会資源の少なさ、本人と周りの困り感の違い、自分に支援が必要だと気づきにくい特性からも、そもそも生活支援の必要性が認識されにくく、支援に繋がりにくい状況がある。そのため、問題が起きてから社会資源に繋がってくるケースも多く、問題が起きる前から介入していく予防的な支援が必要であることは明らかである。また、家族や本人から聞き取りをしていくと、既存の入所施設や共同生活型のグループホームの利用はイメージしにくい、何の準備もないままひとり暮らしをすることにも不安があるということも多い。

前述の現状と課題を踏まえ、筆者の所属する NPO 法人では、2009 年から約 2 年間の横浜市発達障害者支援開発モデル事業を経て、2012 年 11 月から横浜市より発達障害者サポートホーム事業の委託を受け、成人期の発達障害の人の予防的な生活支援の取り組みを開始している。

II サポートホーム事業とは

サポートホーム事業は主に、発達障害の人が在宅から地域でのひとり暮らしを目指すための準備

段階として、最長 2 年間、仮のひとり暮らしを経験する場である（図 1 参照）。暮らしの場は、グループホーム・イオプレイス（1K アパートタイプ）とした。支援者は各部屋を訪問し、地域でのひとり暮らしに向けたスキルアップ、相談、アセスメントをおこない、移行後のフォローアップまでをおこなう（図 2 参照）。

サポートホーム事業の対象者はモデル事業時を含め表 1 の通りである。また、入居の条件として、横浜市在住であり、就労または日中の所属先のある発達障害の人を対象としている。

III サポートホームでのかわり

入居前の聞き取りのなかで、関係機関または家族は、発達障害の人を「〇〇ができない人」「〇〇にこだわっている」と決めつけている節が少なからずあるように思う。大人であるがゆえに「〇〇くらいはできていて当たり前」とか、できていなければ「〇〇ができない人」と誤評価されやすいのも特徴的だ。そしてこのような近しい人からの誤評価が、そのまま自己評価になっていることが少なくない。サポートホームという環境は、「自分を知る」機会を与え「生活」を学習する場となる。この環境で主体的に生活することで改めて

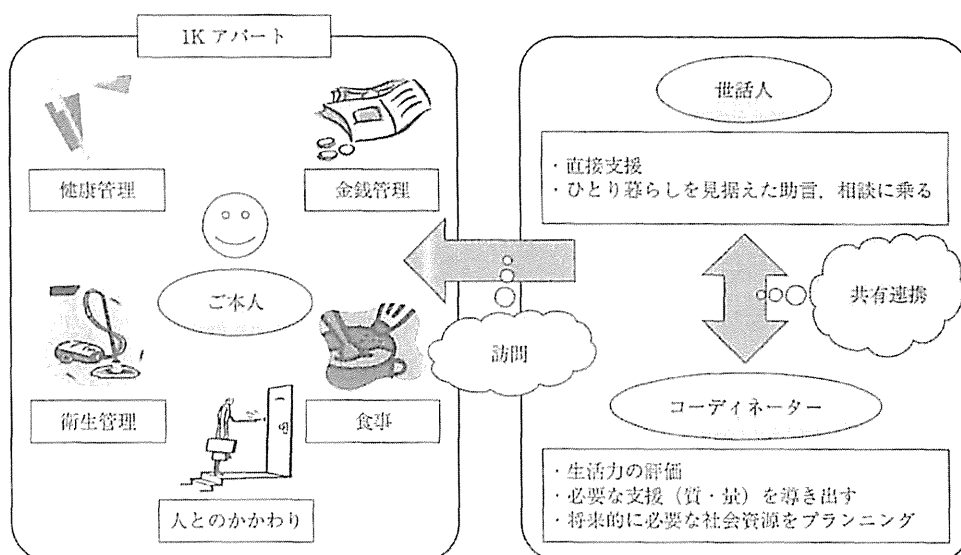


図1 グループホーム・イオプレイスでの暮らし

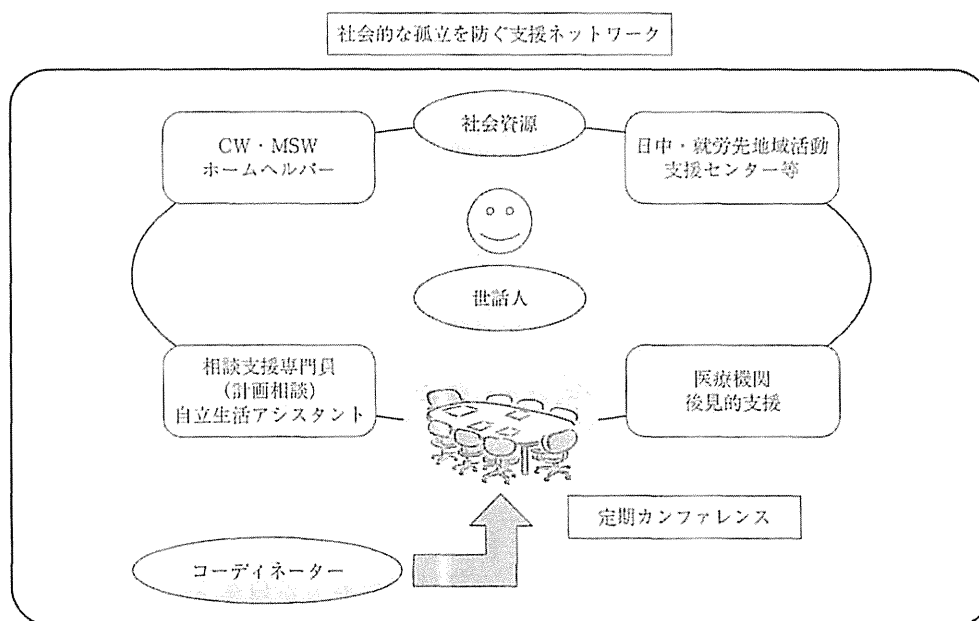


図2 地域でのひとり暮らしへ（イメージ図）

みえてくる発達障害の人の特徴やそこでの支援者のかかわりを、本論では紹介したい。

Ⅰ 事例①——うどんしか食べない「こだわり」

普通高校卒業後、就労したGさんは、会社での人間関係にうまく馴染めず、数年在宅で過ごした後、30代で広汎性発達障害と診断を受け、地域活動支援センターに繋がった。PCを使ったデータ入力のほか、車の運転が得意なGさんは、納品の仕事や外部の機関へ赴き清掃業などをおこなって

いる。40代になり、親が将来を心配したことをきっかけに、また本人も「違う環境での生活に興味があった」と意思表示をして、入居に至った。

1. 現状

入居から4週間が過ぎたところで、毎日うどんしか食べないという食生活の偏りがあることがわかったが、支援者の「うどん以外も食べましょう」という提案では食生活の広がりは見られなかった。うどんばかりを食べる理由としては「簡単だ

表 1 対象者層

	年齢	学歴	類型（タイプ）	手帳	日中の所属先
A さん	20 代	大卒	孤立型	知的（B2）	地域活動支援センター
B さん	30 代	大卒	受動型	精神（2 級）	アルバイト
C さん	30 代	大学院卒	積極奇異型	精神（2 級）	地域活動支援センター
D さん	40 代	普通高卒	積極奇異型	精神（2 級）	デイケア
E さん	50 代	大卒	受動型	精神（2 級）	地域活動支援センター
F さん	20 代	大卒	積極奇異型	精神（2 級）	地域活動支援センター
G さん	40 代	普通高卒	孤立型	知的（B2）	地域活動支援センター
H さん	20 代	大卒	受動型	知的（B2）	地域活動支援センター
I さん	20 代	大学中退	孤立型	知的（B2）	福祉就労
J さん	30 代	大卒	積極奇異型	精神（2 級）	地域活動支援センター
K さん	30 代	大学中退	孤立型	精神（2 級）	地域活動支援センター
L さん	30 代	大卒	孤立型	精神（2 級）	地域活動支援センター
M さん	20 代	大卒	孤立型	精神（3 級）	地域活動支援センター

から」だと言う。一方で、訪問時にスリッパを出してくれたり、お茶やお菓子を出してくれるなど、「もてなす」行為がみられ、感謝の言葉を伝えると嬉しそうで照れくさそうにしている。

2. 長期目標と短期目標

長期目標として、幅広い食事のレパートリーから選んで作れるようになることに設定した。またその前段階の短期目標を「うどん以外の料理を作ってみる」ことにした。

3. 支援者のねらい

「もてなす」行為が本人にとって満足感を得られるものと仮定し、調理後に試食をさせてもらうことにし、その機会を通じて、他者から褒められる満足感、やってよかったという経験を積み重ねることを目指した。そして支援者は、そのきっかけを意図的につくことで行動強化に繋がるか評価し、基本的な調理スキルや調理に対する意欲の変化を観察することにした。

4. 具体的ななかかわり方

過去に作ったことのあるメニューで、「作るのは大変じゃない」と本人が言っていた炒飯から始

めることにした。調理開始時から立ち合い、スキルを観察するにとどめ、嫌な経験にさせないように極力助言はせず、普通にできていることを褒めることを心がけた。試食をさせてもらった後に振り返りをおこない、褒めるようにした。また、最初は週に1回おこなうこととし、次週のメニューを一緒に決め、毎週違うものを作ることも支援のねらいとした。

以上の目標、支援者のねらいを定め、かかわりを開始した。初回の炒飯作りでは、調理スキルについては全く問題なく、味もお世辞抜きにおいしいものだった。ただし次回のメニュー決めには時間がかかり、今回購入した卵の残りを使ったものというキーワードを提案したところ、「オムライス」と自分で決めた。

スキルがありながらうどん以外の調理をしていなかった理由として、実家と違う小さいコンロで火力を気にしていたこと（やってみたら問題はなかった）、メニュー選びに困難さを抱えていることがわかってきた。初回以降、毎週違うメニューを作り続け、実家では母親が調理しているところを見に行くという変化も起こった。また、自主的に料理本を購入、クックパッド（ネット上の献立サイト）を使うなど、意欲の変化がうかがえ

た。また、6回目以降、残りの食材で自主的に調理するようになった。10回目の調理の日に他の支援者が、「ひとり暮らしで大変なことはありませんか？」と質問したところ、「料理」と答えたが、続けて「料理といっても、メニュー決め、買い物、調理、片づけのどれが大変ですか」と聞くと、「メニュー決め」と答えた。自分なりに料理本を買うなどの工夫はしていたものの、多くの選択肢から選ぶことが苦手だったと推測できた。これを受け、支援者が2～3ピックアップし、そのなかから本人に選んでもらうかたちを提案したところ、それ以降、メニュー選びには時間がかからなくなっていった。約3カ月続けてきたところでのモニタリング状況は以下の通りである。

スキルについて：調理スキルは高く、手伝ったり教えたりする必要はなかった。調理の機会を意図的に設定することで、うどん以外の18種類を作ることができた。

意欲について：自主的に料理本や調味料、調理器具などを買うようになった。また、週末帰宅した際に、家族に料理を振る舞うことがあった。

行動について：「もてなす」行為に対し、支援者から「上手ですね」「美味しいですね」などの声をかけられることで、笑顔が多くみられた。こういった経験を積み上げることで、行動強化に繋がったと思われる。

一方、「メニュー決め」については、長いときで20分以上かかっていたことから、苦手分野であったと思われる。料理本やクックパッドを利用したが、情報が多すぎて逆に決められなくなっていた。このことは、ある程度支援者が選択肢を狭めて提案することで、すぐに決められるようになったところからも裏付けられる。

Gさんの偏食は、うどんへのこだわりや好み、または感覚過敏の問題ではなく、自主的に選択肢を広げていくという意欲の広がりや問題解決のポイントだったと思われる。そのためのきっかけをつくり、意欲を高めるためのかわりにより、生活の豊かさに繋がったのが、Gさんのケースである。

2 事例②——自己管理の方法

Cさんは、夏場に体調不良で通院したところ、水分の過剰摂取による低ナトリウム血症であることがわかった。多い日には1日に7～8リットルの水を飲んでいたのである。本人も「水分の摂りすぎをなんとかしたい」と言っていたが、これまでも家族から何度も注意を受け、その都度反省はしつつも、継続して水分量をコントロールすることはできないでいた。

継続的に水分摂取を適量（2.5リットル）に抑え自己管理できるようになるために、自己管理ツールとしての「水管理表（水分摂取の際に時間・場所・質・量・累計を記入するもの）」を使うことを提案した。記入すること自体が目的とならないよう、1日ごとに、できたことや記録を付ける意味などの振り返りをおこない、かわりの頻度を徐々に減らしていった。

記録を付け始めたCさんは、「今どれだけ飲んでいるか、あとどれくらい飲めるかが一目でわかるので意識できます」と言って、初日から規定量で抑えることができた。その後も継続し、段階的に摂取量を減らしていき、目標値である2.5リットルを3～4カ月で達成することができた。

何度も注意したり説得したりするのではなく、目標を視覚化することで、本人にとってはわかりやすいものになり、結果的に、他者の働きかけではなく、自分自身で水分摂取量を管理できるようになった。

3 事例③——金銭「感覚」が育つこと

Aさんは入居前、「ひとり暮らしをするためには1億円かかる」「将来は事務職で50万円稼ぎたい」と言っていた。入居後半年経ったところで、財布をなくしてしまうことがあった。慌てて電話をかけてきたAさんは、「財布に5千円入っていた。5千円あればいつも買っているお米が2袋買えたのに」と言っていた。金銭の動きが目で見える家計簿を付け、月ごとに支援者と予算立てや振り返りをおこなっていったこともあるが、自

分が生活するという気づきに繋がりがやすい環境と、そこでの直接経験が、金銭感覚という目に見えない力を育てた事例である。

4 事例④——言葉（イメージ）と行動

Hさんは、当施設の生活マニュアルにある「掃除機の使用は夜22時まで」というマナーを守り生活していた。ある日、お茶の葉を床にこぼしてしまい、それが22時以降であったため、今後のためにもということで「ほうき」を買うことにした。翌日さっそく購入してきたものを見せてもらうと、天井にぶつかりそうなほどの大きさの「竹ほうき」であった。よくよく聞いてみると、Hさんは、「天才バカボン」が好きで、竹のほうきを持ったレレレのおじさんをイメージしていたことがわかった。一般的にイメージする室内用の「ほうき」と、Hさんのイメージする「ほうき」の違いからも、言葉は知っていてもイメージや行動がズレてしまうという特徴的な出来事であった。

こういったイメージのズレは、衣類やその他の生活用品の購入の際にも起こり得ることであり、タブレット端末などで商品の画像一覧を表示し、選んでもらうことで、事前に彼らのイメージを知ることができる。

Hさんとは別に、言葉と行動を繋げていくかわりとして、「スケジュール調整ができない」と言っているFさんの例をあげたい。Fさんは、通院などで支援者に同行してほしいとき、友人と出かけたいと思ったときに「明日一緒に行ってくださいませんか」というような誘い方をしており、相手からは急なので行けないと断られることが多

かった。そのたびにFさんは「人と予定が合わない」と苛々していた。「スケジュール調整」とは、例えば1週間前に「〇〇に一緒に行ってほしいのですが、いつなら都合がいいですか」というように、まずは相手の予定を聞くところから始めるということを、ひとつずつ手順を紙に書いて伝えていくと、Fさんは「知らなかった」と驚いていた。「スケジュール調整」という言葉は知っていても、具体的にどういう行動がそれを意味するのかという気づきに繋がった例である。

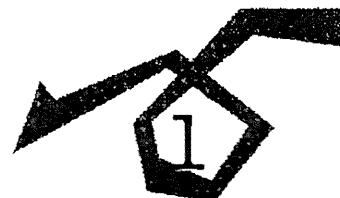
IV 生活における「支援」

発達障害の人たちは、できないのではなく、知らないだけなのだ。だから教えればいい。だが、勉強や仕事とは違い「生活」は、学ぶ機会が与えられにくく、大人になると暗黙のうちにすでに学び終えていると思われる節がある。暗黙知の学習困難をもつ発達障害の人には、意図的に「生活を学習する」場所や必要なサポートが求められているのである。

本事業における支援とは、現状から何を「教える」のかを見極め、観察と記録に基づく継続的なかわりを続け、本人の理解を促すためのツールなのである。

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見落とされやすい
生活支援
浮見明典

見落とされやすい 生活支援

7 「生活」と生活支援の 現状と取り組み

障害児者支援というと、児童期からの特別支援教育とその後の就労支援というイメージがあるだろう。人の一日は「生活」という、勉強や仕事のための日中以外の時間を合わせて初めて成り立っている。通常、一日の「生活」は家庭で担われているが、グループホームなど家庭とは異なる「生活」の場ができ、家族ではない第三者が「生活」に関わることで、仕事という日中との密接さが明らかになってきた。

当法人の運営する地域活動支援センター「オフィスウイング」「ウイングネクスト」では、知的に遅れが

ないとされている高機能自閉症・アスペルガー障害の人たちの就労移行支援をおこなっており、学校卒業後の進路や、就労に向けたステップアップとして利用されている。関わりの中で、就労に結びつく人がいる一方、本センターに安定的に通うことさえ難しい人もいます。そして、この後者の背景に「生活」が影響していることが少なくないのである。

発達障害に特化した社会資源の少なさ、本人とまわりの困り感の違い、自分に支援が必要だと気づきにくい特性からも、そもそも生活支援の必要性は認識されにくく、支援に繋がりにくい状況があった。そのため、問題が起きてから社会資源に繋がってくる状況も多く、問題が起きる前から介入していく予防的な支援

が必要であることは明らかであった。また、家族や本人から聞き取りをしていく中では、既存の入所施設や共同生活型のグループホームの利用はイメージしにくい、何の準備もないままひとり暮らしをすることに不安があるという状況もあった。

前述の現状と課題を踏まえ、当法人では、二〇〇九

年から約二年間の横浜市発達障害者支援開発モデル事業を経て、二〇二二年一月から横浜市より、発達障害者サポートホーム運営事業（以下サポートホーム事業）の委託を受け、成人期の発達障害者の人の予防的な生活支援の取り組みを開始している。

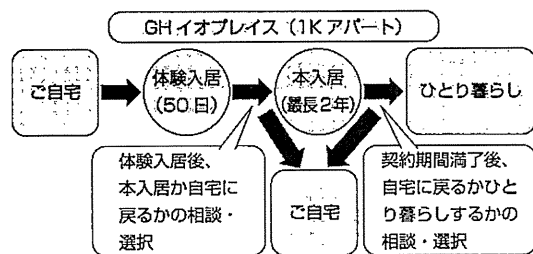


図1 サポートホーム事業の流れ

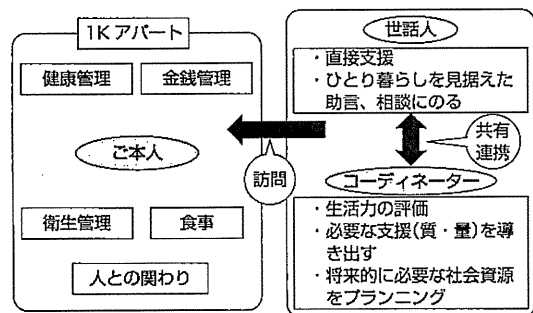


図2 イオスペースでの暮らしのイメージ

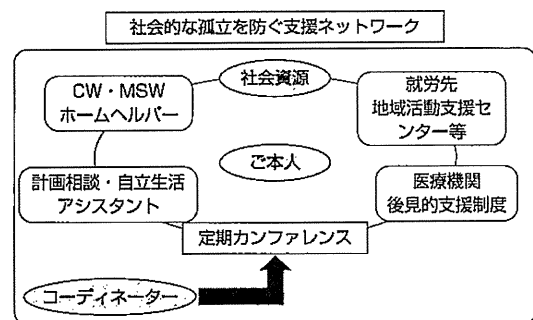
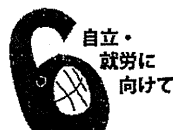


図3 地域でのひとり暮らしへイメージ図



自立・就労に向けて

表1 事業対象者

	年齢	学歴	障害名	手帳	日中の所属先
Aさん	20代	大卒	アスペルガー障害	知的 (B2)	地域活動支援センター
Bさん	30代	大卒	アスペルガー障害	精神 (2級)	アルバイト
Cさん	30代	大学院卒	アスペルガーの疑い	精神 (2級)	地域活動支援センター
Dさん	40代	普通高卒	広汎性発達障害	精神 (2級)	デイケア
Eさん	50代	大卒	広汎性発達障害	精神 (2級)	地域活動支援センター
Fさん	20代	大卒	アスペルガー障害	精神 (2級)	地域活動支援センター
Gさん	40代	普通高卒	広汎性発達障害	知的 (B2)	地域活動支援センター
Hさん	20代	大卒	高機能自閉症	知的 (B2)	地域活動支援センター
Iさん	20代	大学中退	高機能自閉症	知的 (B2)	福祉就労
Jさん	30代	大卒	アスペルガー障害	精神 (2級)	地域活動支援センター

2 サポートホーム事業の概要

サポートホーム事業は、発達障害の人が主に在宅から、地域でのひとり暮らしを目指すための準備段階として、最長二年間、仮のひとり暮らしを経験する場である（前頁・図1参照）。暮らしの場は、グループホーム・イオブレイス（1Kアパートタイプ）とした。支援者は各部屋を訪問し、地域でのひとり暮らしに向けたスキルアップ、相談、アセスメントをおこない、その準備から地域移行後のフォローアップまでをおこなっている（前頁・図2、3参照）。

サポートホーム事業の対象者はモデル事業時を含め表1の通りである。また、入居の条件として、横浜市在住であり、就労または日中の活動場所に安定的に通えている発達障害の人を対象としている。

3 サポートホームでの関わり

(1) 「折り合い点」を設ける

共同生活とは異なり、ひとり暮らしとなると、たとえば、食事・入浴・就寝など何時からという決まりが

ない。人と合わせる必要があるにはないため、これが正解というライフスタイルは本人が決めていくことになる。生活に偏りがある場合には、健康面、衛生面などの視点から支援者の考えを提案していく。本人、支援者どちらが正解ということではなく、本人の考えや行動を否定しないかたちで支援者が提案をすることで「折り合い点」を設け、望ましい状態に近づけていくことを関わり方のベースとしている。

(2) 介入のための「三つの視点」

サポートホームでは、折り合い点を目標とした介入型の関わりをすることで、今は問題とされていなくても、将来的に想定される課題を抽出し「予防する」ことをひとつの目的としている。つまり、予防的であるために、問題となる前からの介入が必要なのである。ただし、支援者の思いつきや感覚で介入しないよう、以下の三つの視点をもった関わりとしている。

一つ目は、「生活のしやすさ」である。これは、家事全般のスキルアップや、やるべきことの優先順位のつけ方、効率的な時間の使い方などを教えていくことで、生活がしやすくなるというところに繋げていく視

点である。たとえば、部屋を片づけず散らかっているため、よく物をなくし混乱してしまう人に対して、何度言ってもやらない、怠けている、やる気がないと判断するのはなく、いつ・どのように・どのくらいの頻度でやればいいか知らないだけ、という視点で関わる。片づけをするという行動を具体的に教えていくことで、物をなくすことや混乱が減り、結果本人の生活のしやすさに繋げていくのである。

二つ目は、「生活の豊かさ」で、広がりのある選択肢の中から、自分の希望や意思に沿って物事を選べるように関わっていく視点である。

ここで事例を紹介したい。

大学卒業後、地域活動支援センターに週五日フルタイムで通うAさんは、PCを使ったデータ入力等、問題なくこなせるようになった。日中の安定があり、次のステップとして生活面の自立を考え、サポートホーム入居に至った。

入居初日、Aさんが夕食は自炊をしたいと希望したため、一緒にスーパーへ同行した。Aさんは、スーパーに入るなり、「肉と魚と野菜をバランスよく」と言

いながら、店内を数十分回って選んだものは、ハム（肉）・しらす（魚）・キュウリ（野菜）の三つであった。Aさんは、「これで肉と魚と野菜です」とレジに向かおうとした。確かに、肉・魚・野菜であったが、私は総菜コーナーを見てみることをAさんに提案した。惣菜コーナーに行くと、Aさんはビックリした表情で、「これはいいですね」と言いつつ、ハムを取り下げメンチカツを、しらすを取り下げ白身魚のフライをかごに入れた。

二日目、同様にスーパーに行くと、真つ先に昨日の総菜コーナーへ行き、メンチと白身魚のフライを選んで購入した。

三日目、同様にスーパーへ行くと、同じ総菜コーナーへ一目散に向かった。そこで、私は、「栄養バランスを考えた食事が大事」というAさんの「知識としての言葉」を受けて、野菜の入っている鶏の照り焼きを提案した。Aさんは、数秒考えた後、私の提案を受け入れてくれた。

四日目、同様にスーパーへ行くと、Aさんは、「メニューにしようか、鶏の照り焼きにしようか」と二択で迷っていた。

買い物初日に、こちらの提案を受け入れ、メニュー

を決めることができた。二日目には、自主的に選ぶ経験をした。三日目にまた同じものを選ぶようになった。四日目にはこれまでに選んだものの中からの二択になった。予防的な介入がなければ、Aさんは、メンチや白身魚のフライが好きで、こだわっている人、というレッテルをはられていたであろう。

四日目から二択になり、三択・四択……と選択肢を広げていく関わりを続けていくことで、Aさんは手ごねのハンバーグを作るまでになった。現在はひとり暮らしに移行し三年目であるが、「お買い得商品」を見てその日のメニューを決められるまでに至っている。

三つ目は、「社会とのつながり」である。発達障害の人は、困り感の違いなどその特性から、ひとり暮らしになると社会的な孤立を招きかねない。そのためにも、家族以外の第三者との関わりを通じて、困ったときにまわりの支援者に頼る経験を、生活の場であるサポートホームで積み上げていく必要がある。また、将来的に関わる頻度は減らしても関わり続ける必要がある

ることは明らかであろう。

4 サポートホーム事業を通じて

サポートホームでの経験が、生活する上での得意不得意や「自分を知る」機会となり、初めて自分に合った将来の生活環境を自分で選択できるようになると考えられる。一方、関わる側にとっては、経験により積み上がる部分と積み上がりにくい部分がわかることで、地域でひとり暮らしするための支援ネットワーク構築に繋げていくことができるのである。

5 教えられるものとしての「生活」

学齢期を過ぎると「生活」は暗黙のもと、すでに学び終えていると思われる節がある。学んでいない場合、「それくらい言えはわかる、できる」と思われ、できない場合は、これまでの親のしつけや本人の責任とされてしまうこともあるだろう。

発達障害の人の特性の一つに、暗黙知の学習困難があると思われる。前述のAさんは家族とスーパーに買

い物に行く経験は何度もしていた。しかし、意図的には教えられてはこなかったのである。勉強や仕事と同様、「生活」も教えられてこなければ、できるようにはならないのである。勉強や仕事とは違い「生活」は、学ぶ機会が与えられにくく、学齢期から意図的に教えていく必要があることは、成人期の彼らとの関わりから教えられるところである。

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RESEARCH

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Serum microRNA profiles in children with autism

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Abstract

Background: As regulators of gene expression, microRNAs (miRNAs) play a key role in the transcriptional networks of the developing human brain. Circulating miRNAs in the serum and plasma are remarkably stable and are suggested to have promise as noninvasive biomarkers for neurological and neurodevelopmental disorders. We examined the serum expression profiles of neurologically relevant miRNAs in autism spectrum disorder (ASD), a complex neurodevelopmental disorder characterized by multiple deficits in communication, social interaction and behavior.

Methods: Total RNA, including miRNA, was extracted from the serum samples of 55 individuals with ASD and 55 age- and sex-matched control subjects, and the mature miRNAs were selectively converted into cDNA. Initially, the expression of 125 mature miRNAs was compared between pooled control and ASD samples. The differential expression of 14 miRNAs was further validated by SYBR Green quantitative PCR of individual samples. Receiver-operating characteristic (ROC) analysis was used to evaluate the sensitivity and specificity of miRNAs. The target genes and pathways of miRNAs were predicted using DIANA mirPath software.

Results: Thirteen miRNAs were differentially expressed in ASD individuals compared to the controls. MiR-151a-3p, miR-181b-5p, miR-320a, miR-328, miR-433, miR-489, miR-572, and miR-663a were downregulated, while miR-101-3p, miR-106b-5p, miR-130a-3p, miR-195-5p, and miR-19b-3p were upregulated. Five miRNAs showed good predictive power for distinguishing individuals with ASD. The target genes of these miRNAs were enriched in several crucial neurological pathways.

Conclusions: This is the first study of serum miRNAs in ASD individuals. The results suggest that a set of serum miRNAs might serve as a possible noninvasive biomarker for ASD.

Keywords: Autism spectrum disorder, microRNA, complementary DNA, microarray, quantitative PCR

Background

Autism spectrum disorder (ASD) refers to a group of heterogeneous neurodevelopmental disorders characterized by impairments in communication and social interaction, and restricted, repetitive and stereotypic patterns of behavior [1]. According to a recent estimate, 1 in 88 individuals has ASD [2]. ASD is largely genetic in origin, with most data supporting a polygenic epistatic model [3,4]. However, owing to the heterogeneous nature of this disorder, classical genetic studies have not necessarily been successful in identifying suitable candidate genes for ASD. In addition to the genetic factors, environmental factors also play a vital role in predisposing individuals to

ASD [5]. In recent years, epigenetic mechanisms, which act at the interface of genes and the environment, have been identified as a potential contributor to the pathogenesis of several neurodevelopmental abnormalities such as ASD [6]. Epigenetic factors control heritable changes in gene expression without changing the DNA sequence [7].

MicroRNAs (miRNAs) have recently emerged as prominent epigenetic regulators of a variety of cellular processes, including differentiation, apoptosis and metabolism [8]. miRNAs are a class of small (approximately 21 nucleotides) noncoding transcripts that can modulate cellular messenger RNA (mRNA) and protein levels by interacting with specific mRNAs, usually at the 3' untranslated region (UTR), resulting in mRNA degradation or repression of translation [9,10], through partial sequence complementation [11]. MiRNAs are abundantly present in the brain,

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and have been found to play crucial roles in several facets of brain function, particularly in neuronal plasticity and neuronal development [12].

So far, three studies have determined the expression of miRNAs in lymphoblastoid cell cultures of ASD patients, and one study has identified dysregulated miRNAs in the cerebellar cortex of ASD patients. Abu-Elneel *et al.* first observed an altered expression of miRNAs and their brain-specific targets in the postmortem cerebellar cortices of autism subjects [13]. Studies in lymphoblastoid cells have implicated brain-related miRNAs and their targets in the pathophysiological conditions underlying autism [14-16].

MiRNAs have also been found to be present in the extracellular fluids such as plasma, serum, saliva, and urine of humans in detectable concentrations [17-20]. In particular, serum miRNAs, which may be derived from circulating blood cells, are known to be remarkably stable, reproducible and resistant to the actions of RNase [21], suggesting the potential efficacy of serum miRNAs as noninvasive biomarkers for ASD. Therefore, in the present study we performed miRNA expression profiling of serum samples from individuals with ASD who had never received drug treatment.

Methods

This study was approved by the Ethics Committee of Hamamatsu University School of Medicine, Japan. A detailed description of the study was given to all participants and their parents before enrollment. Blood samples were collected from the donors after obtaining written informed consent.

Subjects

In this study, we included 55 subjects with ASD (age = 11.29 ± 2.45 years (mean $\pm$ SD); range = 6 to 16 years; 48 males and 7 females) and 55 age- and sex-matched typically developed control subjects (age = 11.3 ± 2.37 ; range = 6 to 16; 41 males and 14 females). There were no significance differences in the age ($P = 0.9685$) or sex ($P = 0.089$) distribution between the control and ASD groups (Table 1). All

of the participants were Japanese. The diagnosis of ASD was made on the basis of the Diagnostic and Statistical Manual, Fourth Edition, Text Revision (DSM-IV-TR; American Psychiatric Association, 2000) criteria. The Autism Diagnostic Interview-Revised (ADI-R) [22] was conducted by experienced child psychiatrists who are licensed to use the Japanese version of the ADI-R. Participants having comorbid psychiatric illnesses were excluded by means of the Structured Clinical Interview for DSM-IV (SCID) [23]; any additional psychiatric or neurological diagnosis was also excluded. None of the participants had received any drug treatment for ASD.

Typically developed individuals (control group) were recruited through advertisements in local newspapers. Control group participants underwent a comprehensive assessment of their medical history; those with neurological or other medical disorders were excluded. The SCID was also conducted to screen all participants for any past or present mental illness. None of the control participants were diagnosed with any neuropsychiatric condition.

Serum separation

Blood samples were collected between 11:00 am and noon from each subject by venipuncture, and the samples were kept for 30 min at room temperature. All protocols for serum separation were completed within 1 h of drawing blood. Serum was separated by centrifugation at 3,500 rpm for 10 min at room temperature. Hemolyzed samples were excluded from the study at this stage. The clear supernatant was collected into RNase/DNase-free microfuge tubes in 200 μ l aliquots and stored at -80°C until use.

RNA extraction and cDNA synthesis

Total RNA, including miRNA, was extracted from 200 μ l serum by using a MiRNeasy Serum/Plasma Kit (QIAGEN GmbH, Hilden, Germany) in accordance with the manufacturer's protocol. Briefly, five volumes of QIAzol lysis reagent was added to the sample; a synthetic spike-in control, *Caenorhabditis elegans* miR-39 (1.6×10^8 copies/ μ l), was added to the lysed samples for internal normalization. After adding an equal volume of

Table 1 Clinical and/demographic variables of individuals with autism and of control subjects

Clinical/demographic	Autism (n = 55)	Control (n = 55)	P value
Age	11.29 ± 2.45 (6 to 16)	11.31 ± 2.37 (6 to 16)	0.9685 ^a
Sex			
Male	48	41	0.089 ^b
Female	7	14	
ADI-R			
Domain A score, social	17.7 ± 7.62 (10 to 29)		
Domain BV score, communication	12.8 ± 5.62 (8 to 25)		
Domain C score, stereotype	4.1 ± 2.84 (3 to 12)		

Values are expressed as the mean $\pm$ SD (range). ^at-test, ^bchi-square test. ADI-R, Autism Diagnostic Interview-Revised.

chloroform, the samples were centrifuged for 15 min at 12,000 g at 4°C. The upper aqueous phase was mixed with 1.5 volumes of 100% ethanol, transferred to a spin column, centrifuged, washed, and eluted in 14 µl RNase-free water.

Two microliters of each RNA sample was used for cDNA synthesis using the miScript II RT kit (QIAGEN). The reverse-transcription reaction mix (20 µl) was prepared using Hispec buffer (for selective conversion of mature miRNAs into cDNA), nucleics mix, RT mix and RNase-free water. The reaction mixture was incubated for 60 min at 37°C, followed by denaturation for 5 min at 95°C. Each cDNA was further diluted to 220 µl with RNase-free water and stored at -20°C until use.

microRNA screening

Initial screening was done using the Human Neurological Development & Disease miRNA PCR array (SABiosciences, Frederick, MD, USA), which contains 84 miRNA assays, and a custom-made array (SABiosciences) with 41 miRNA assays (see Additional files 1 and 2). For this purpose, 40 samples were chosen from 55 ASD subjects at random, and 40 matched control samples were selected. All the miRNAs included in the arrays have previously been reported to play a role in various aspects of brain development and function and/or in several neuropsychiatric conditions such as ASD. Both arrays included *C. elegans* miR-39 primer assays for internal normalization, snoRNA/snRNA (SNORD48, SNORD61, SNORD68, SNORD72, SNORD95, SNORD96A and RNU6-2) PCR control assays, positive PCR control (PPC) assays, and miRNA reverse transcription control (miRTC) assays.

RNA from age- and sex-matched control (n = 40) and ASD (n = 40) samples were pooled separately to generate four pools per group, with each pool consisting of 10 samples. Then, cDNA prepared from 3 µl of the pooled RNA was used for array screening. The expression of miRNAs was detected and quantified by means of SYBR Green reverse-transcription quantitative PCR performed with an ABI PRISM 7900 Sequence Detection System (Applied Biosystems, Foster City, CA, USA).

Normalization

The data sets were first calibrated using a *C. elegans* miR-39 assay, which detected the spike-in control that was added to the serum samples during RNA extraction. This calibration would have resolved any differences in recovery that may have occurred during the purification procedure, or any differences in amplification efficiency. Due to the very low expression of snoRNA/snRNA PCR controls in the serum, three alternate normalization strategies, as recommended by the manufacturer, were used for data normalization. These were (i) normalization to

the whole plate Ct mean, (ii) normalization to the plate Ct mean of commonly expressed (Ct < 30) miRNAs, and (iii) normalization to the Ct mean of at least four invariant miRNAs with little (<1) Ct variation between samples. In the third strategy, miR-125b-5p, miR-126-5p, miR-140-5p and miR-191-5p were chosen for the data normalization of the neurological array, whereas miR-103a-3p, miR-21-5p, miR-23a-3p, and miR-25-3p were chosen for the custom array. Consistent results were obtained using all three strategies.

Data analysis

An Excel-based miRNA PCR Array Data Analysis tool (SABiosciences; <http://pcrdataanalysis.sabiosciences.com/mirna>) was used for data analysis. SABiosciences makes use of the $\Delta\Delta C_t$ method for the relative quantification of miRNAs. Student's *t*-test was used to examine any differential expression of miRNAs between the ASD and control groups; values of *P* < 0.05 were considered to indicate statistical significance (GEO Accession Number: GSE58850).

Quantitative reverse-transcription PCR

SYBR Green qPCR, performed on an ABI PRISM 7900 Sequence Detection System (Applied Biosystems), was used for the validation of differentially expressed miRNAs (the accession ID and mature miRNA sequence are given in Additional file 3). In this validation experiment, all the samples (55 subjects with autism and 55 controls) were examined individually. Ten microliters of qPCR reaction mixture was prepared with a universal primer, primer assay and RNase-free water. All the qPCR reactions were performed in triplicate with the following cycling conditions: 95°C → 15 min, followed by 40 cycles of 94°C → 15 sec, 55°C → 30 sec and 70°C → 30 sec.

The Ct values of nine miRNAs (miR-101-3p, miR-106b-5p, miR-151a-3p, miR-195-5p, miR-19b-3p, miR-27a-3p, miR-320a, miR-328, and miR-489) were in the range of 25–30, while the remaining five miRNAs (miR-130a-3p, miR-181b-5p, miR-433, miR-572, and miR-663a) had Ct values in the range of 30 to 35.

Normalization

A randomly chosen control sample was amplified in each plate and used as an interplate calibrator to correct for the experimental differences among consecutive PCR runs. The qPCR data was first subjected to interplate calibration, followed by *C. elegans* miR-39 (detection of the spike-in control) calibration. The expression of miR-16, an miRNA highly abundant in the red blood cells, was analyzed in each sample to examine the extent of hemolysis in the serum. Any sample that showed significant hemolysis (the value of Ct invariant miRNA - Ct miR16 was greater than 5) was omitted from further analyses. Finally, the qPCR data were normalized to the average of three invariantly expressed miRNAs, let-7a, miR-

191-5p and miR-103a-3p. Among these, miR-191-5p and miR-103a-3p were selected on the basis of their performance as invariant miRNAs in the neurological array and custom array, respectively, while let-7a has been widely reported as an invariant miRNA in blood. The fold change in gene expression between the control and ASD groups was determined by the $\Delta\Delta C_t$ method of relative quantification.

Statistical analysis

All statistical calculations were performed with PASW Statistics 18 software (IBM, Tokyo, Japan). Student's *t*-test and chi-square test were used to examine any variability in the distribution of age and sex, respectively, across the control and ASD groups. Any differential expression of miRNAs between the control and ASD groups was determined by Mann-Whitney test. The relationship between the expression of miRNA and ADI-R subscores was evaluated by Spearman's correlation coefficient. Analysis of covariance (ANCOVA) was used to control for potential covariates such as age and sex. Receiver Operating Characteristics (ROC) curve analysis was used for evaluating the diagnostic power of miRNAs.

Enrichment pathway analysis and target gene prediction

The DIANA mirPath v2.0 (<http://diana.cslab.ece.ntua.gr/pathways/>) functional annotation tool was used to predict

the target genes and altered pathways of differentially expressed miRNAs. This tool predicts the miRNA targets based on DIANA-microT-CDS and/or experimentally verified targets from TarBase v6 (manually curated, experimentally validated miRNA-gene interactions database).

Results

microRNA screening

Ct values of the PPC controls were 19 ± 2 across all samples, indicating the uniformity of reaction conditions. The differences between the Ct values of PPC and miRTC were calculated as <7 , indicating that there was no inhibition of the reverse-transcription reaction.

In the preliminary array screening, we observed an altered expression of 14 miRNAs in the ASD samples compared to those of controls (Figure 1). MiR-151a-3p, miR-181b-5p, miR-320a, miR-328, miR-433, miR-489, miR-572 and miR-663a were downregulated, while miR-101-3p, miR-106b-5p, miR-19b-3p, miR-195-5p, miR-130a-3p and miR-27a-3p were upregulated.

Confirmation with quantitative PCR

The differential expression of the 14 miRNAs was further validated by SYBR Green qPCR. We observed consistent results for all miRNAs except miR-27a-3p (Figure 2). miR-151a-3p ($\Delta\Delta C_t = -2.01$, $P = 8.29E-06$),

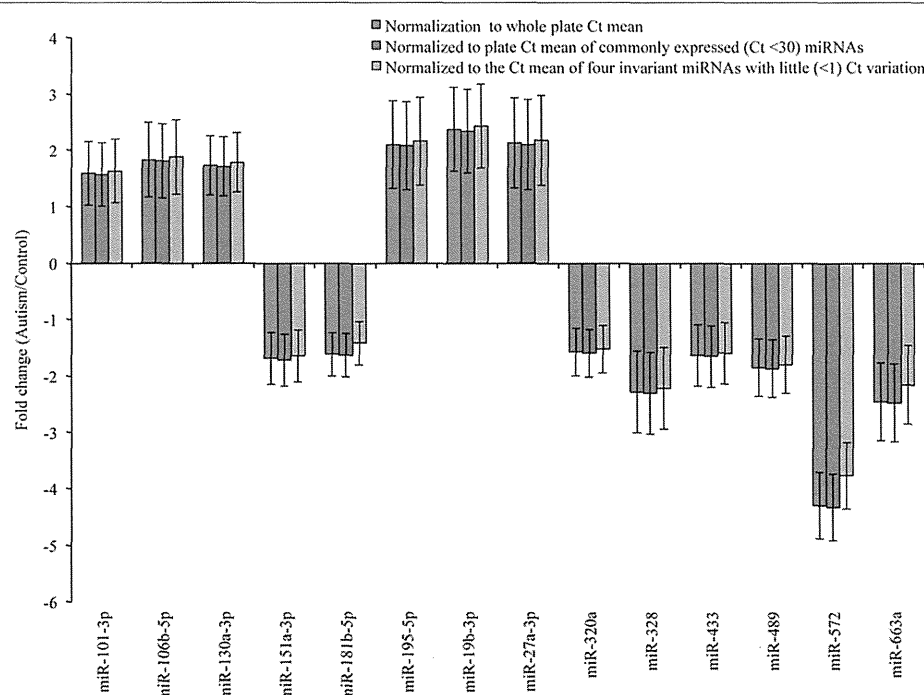


Figure 1 Results from preliminary screening experiments. The Ct values obtained were normalized and calculated by three different strategies. miR-151a-3p, miR-181b-5p, miR-320a, miR-328, miR-433, miR-489, miR-572 and miR-663a were downregulated while miR-101-3p, miR-106b-5p, miR-19b-3p, miR-195-5p, miR-130a-3p and miR-27a-3p were upregulated. Bars represent the fold change in subjects with autism as compared to controls.

MiR-181b-5p ($\Delta\Delta Ct = -3.39$, $P = 1.04E-10$), miR-320a ($\Delta\Delta Ct = -2.47$, $P = 5.02E-12$), miR-328 ($\Delta\Delta Ct = -2.28$, $P = 4.33E-06$), miR-433 ($\Delta\Delta Ct = -2.33$, $P = 0.0001$), miR-489 ($\Delta\Delta Ct = -2.10$, $P = 1.25E-06$), miR-572 ($\Delta\Delta Ct = -2.47$, $P = 2.66E-08$) and miR-663a ($\Delta\Delta Ct = -2.06$, $P = 0.00002$) were downregulated, while miR-101-3p ($\Delta\Delta Ct = 1.43$, $P = 0.003$), miR-106b-5p ($\Delta\Delta Ct = 1.30$, $P = 0.008$), miR-130a-3p ($\Delta\Delta Ct = 2.35$, $P = 1.89E-09$), miR-195-5p ($\Delta\Delta Ct = 1.43$, $P = 0.0016$) and miR-19b-3p ($\Delta\Delta Ct = 1.87$, $P = 6.88E-09$) were upregulated in the ASD individuals.

When the samples from the ASD individuals were examined for correlations between the expression of each miRNA and each of the three domains assessed by ADI-R (Domain A score, social; Domain score BV, communication; Domain C score, stereotype), none of the miRNA expressions was correlated with any of the domains (see Additional file 4).

The effects of age and sex on miRNA expression were examined by ANCOVA. The difference in the expression of miRNAs between the ASD and control groups remained significant even after adjusting for the effects of age and sex (see Additional file 5).

Receiver operating characteristic analysis

Receiver operating characteristic (ROC) curve analysis was used to evaluate the predictive power of differentially expressed miRNAs to distinguish between ASD individuals and controls. The analysis showed significant diagnostic values of these 13 differentially expressed miRNAs for ASD (Figure 3). High values for sensitivity, specificity and the area under the curve (AUC) were observed for five miRNAs: miR-181b-5p, miR-320a, miR-572, miR-130a-3p and miR-19b-3p (see Additional file 6).

Enrichment pathway analysis and target gene prediction

Using DIANA mirPath software, we found that the predicted target genes of the differentially expressed miRNAs could be involved in diverse vital neurological pathways (Figure 4). By a thorough analysis of the target genes and the pathways involving them, a total of 600 predicted genes and 18 neurological pathways were found (see Additional file 7). The top ten neurological pathways were those involved in axon guidance, TGF-beta signaling, MAPK signaling, adherens junction, regulation of actin cytoskeleton, oxidative phosphorylation,

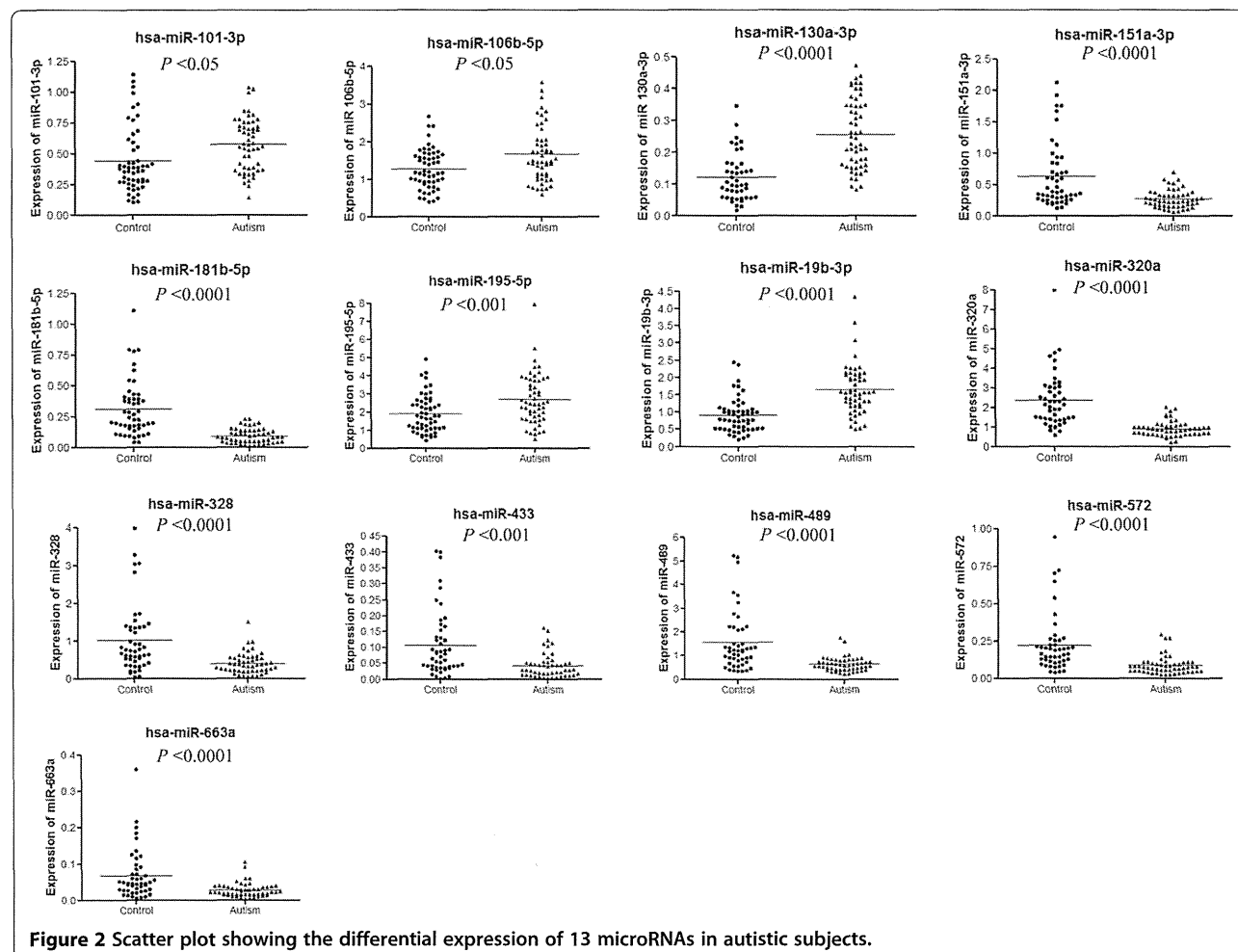
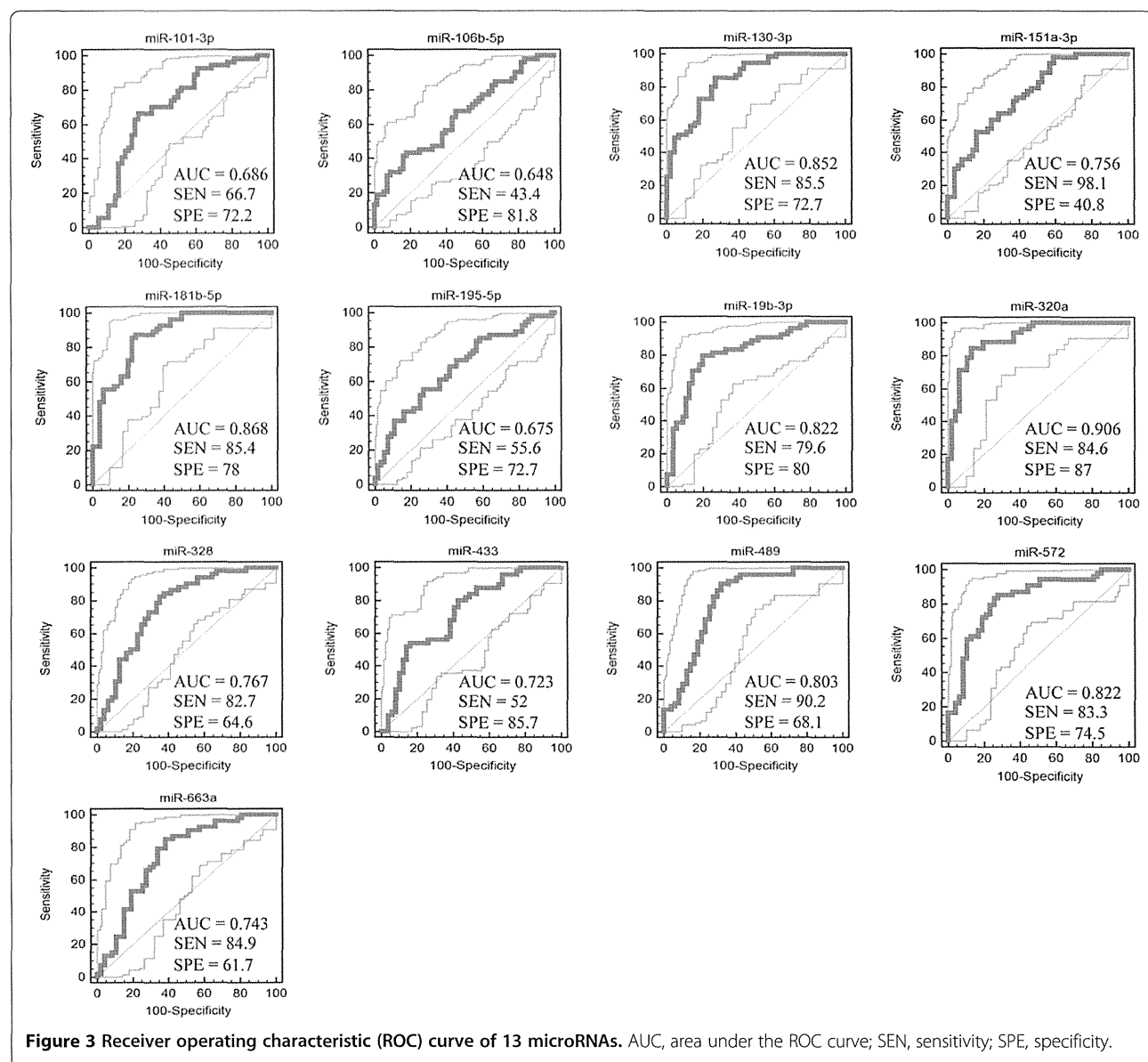


Figure 2 Scatter plot showing the differential expression of 13 microRNAs in autistic subjects.

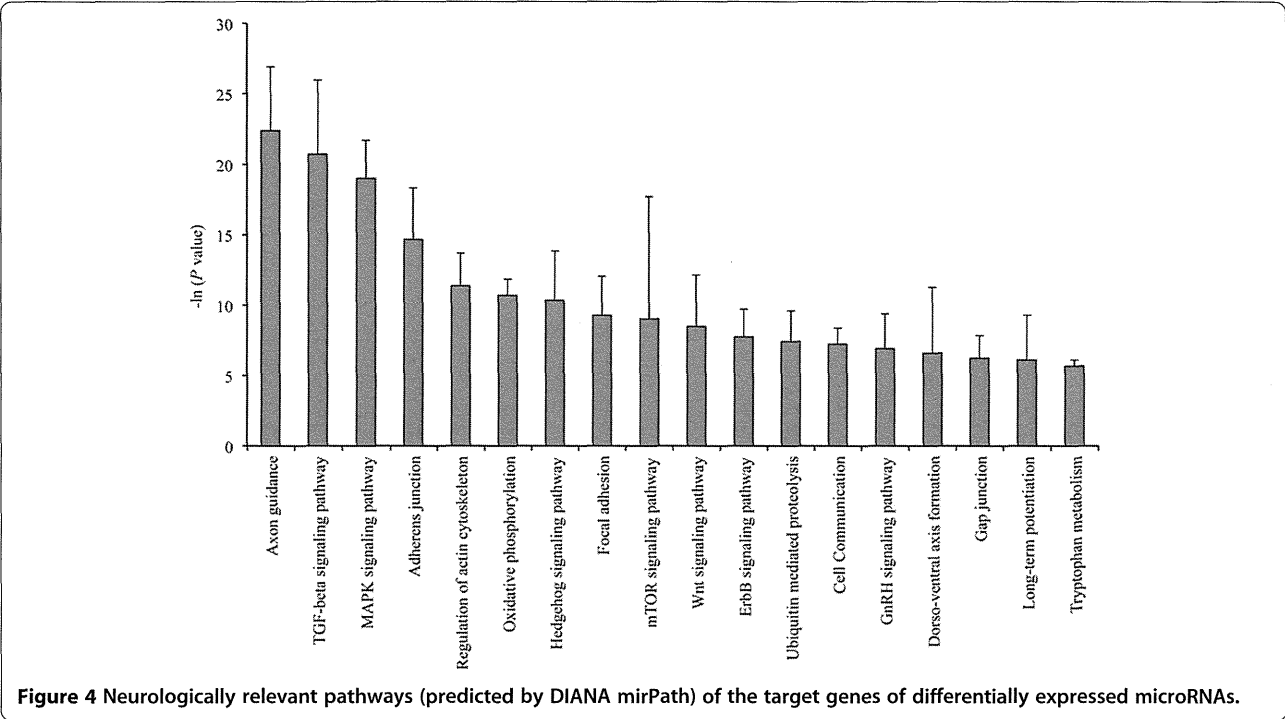


hedgehog signaling, focal adhesion, mTOR signaling and Wnt signaling. No specific pathways were observed for miR-572.

Discussion

This is the first report on serum miRNAs in subjects with ASD. Altered expression of 13 miRNAs (downregulation of 8 miRNAs; upregulation of 5 miRNAs) was observed in our ASD subjects. Previous reports have shown a differential expression pattern of miRNAs in the postmortem brain [13] and in the lymphoblastoid cell lines of ASD individuals [14-16]. The results of the present and previous studies are summarized in Table 2, in which hsa-miR-181b-5p, hsa-miR-195-5p, hsa-miR-320a and hsa-miR-328 showed the same direction of

regulation as in the brain [13] and lymphoblasts [14-16], while hsa-miR-106b-5p, hsa-miR-19b-30 and hsa-miR-663a did not. The reason for the latter differences in miRNA expression between the present and previous results is not known. The fact that the direction of alteration in the expression of hsa-miR-106b-5p in this study was the opposite of that reported in the previous post-mortem study [13] suggests that the serum level of certain miRNAs may not reflect that in the brain, and thus that our findings should be treated with caution. However, it was interesting that hsa-miR-181b-5p and hsa-miR-328 in serum showed the same direction of regulation as in the brain. As mentioned above, serum miRNA expression is very stable, reproducible and resistant to RNase action [21]. In addition, an ANCOVA showed



that confounding factors such as age and sex did not influence the results observed here. Therefore, hsa-miR-181b-5p and hsa-miR-328 in serum may become peripheral biomarkers reflecting the miRNA expression profile of individuals with ASD.

ROC curve analyses showed significant diagnostic values of 13 differentially expressed miRNAs for ASD (Figure 3). High values for sensitivity, specificity and area under the curve (AUC) were observed for five miRNAs: miR-181b-5p, miR-320a, miR-572, miR-130a-3p and miR-19b-3p (see Additional file 6). Therefore, these five miRNAs may be potential candidates for circulating miRNA-based prediction of ASD.

MiRNA can influence gene silencing via translational repression or mRNA degradation [24]. This mRNA destabilization may alter several downstream pathways and induce several noticeable effects [25]. A number of neurologically relevant pathways and target genes were

identified by our enrichment analysis, with most of the target genes being involved in multiple pathways. Collectively, these results predicted several neurologically relevant canonical pathways for the target genes of the five miRNAs (miR-130a-3p, miR-19b-3p, miR-320a, miR181b-5p, and miR-572) that showed a good discriminative power in ROC analysis. Most of these genes and pathways have already been implicated in the pathogenesis of ASD [4,26-30].

The differentially expressed miRNAs in this study, which included miR-101, miR-106b, miR-130a, miR-151a, miR181b, miR-328, miR-433, miR-489 and miR-572, were previously reported to have altered expression in schizophrenia [31-35], supporting the contention that ASD and schizophrenia share common neurobiological features [36].

The detection of clinically useful noninvasive biomarkers that could allow early intervention for ASD is

Table 2 Comparison of the results of the present study and previous autism microRNA studies

miR ID	Present result	Previous report	Type of sample	Reference
hsa-miR-106b-5p	↑	↓	Brain	[13]
hsa-miR-181b-5p	↓	↓	Brain, lymphoblastoid cell line	[13,16]
hsa-miR-195-5p	↑	↑	Lymphoblastoid cell line	[15]
hsa-miR-19b-3p	↑	↓	Lymphoblastoid cell line	[14]
hsa-miR-320a	↓	↓	Lymphoblastoid cell line	[14]
hsa-miR-328	↓	↓	Brain	[13]
hsa-miR-663a	↓	↑	Lymphoblastoid cell line	[14]

(↑), upregulated, (↓), downregulated.

an important goal in ASD research. As an initial step toward this goal, our results suggest that serum miRNAs could be potential peripheral biomarkers of ASD. A limitation of this study is that the samples came from ASD individuals ranging from 6 to 16 years old, although ASD is an early-onset disorder. Therefore, to accurately evaluate the diagnostic power of circulating miRNAs in ASD, further studies on subjects of lower age will be necessary. Another limitation of the study is that we used the same sample set in both the screening and validation. It would have been more informative if we had screened an independent sample set.

Conclusions

This preliminary noninvasive study found a set of significantly differentially expressed miRNAs in the sera of children with ASD. The predicted target genes of these miRNAs were found to be associated with neurologically relevant pathways and functions.

Additional files

Additional file 1: miScript miRNA PCR Array Human Neurological Development and Disease (MIHS-107Z) 96 × 4 format.

Additional file 2: 384-Well Custom miScript miRNA PCR Array (CMIHS02055E) Template - 48 × 8 format.

Additional file 3: miRNA mature sequences with miRBase accession ID.

Additional file 4: Correlation between miRNA expression and Autism Diagnostic Interview-Revised (ADI-R) scores.

Additional file 5: ANCOVA analysis for checking the effect of age, sex, disease status and interaction between sex/status of differentially expressed miRNAs.

Additional file 6: Receiver operating characteristics (ROC) curve data showing the sensitivity and specificity of the 13 differentially expressed miRNAs.

Additional file 7: Predicted neurological pathways with the number of target genes and pathway ID.

Abbreviations

ADI-R: Autism Diagnostic Interview-Revised; ANCOVA: analysis of covariance; ASD: autism spectrum disorder; AUC: area under the curve; cDNA: complementary DNA; CI: confidence interval; Ct: threshold cycle; DSM-IV-TR: Diagnostic and Statistical Manual, Fourth Edition, Text Revision; MAPK: mitogen-activated protein kinase; miRNA: microRNA; miR-TC: miRNA reverse transcription control; mRNA: messenger RNA; mTOR: mammalian target of rapamycin; PPC: positive PCR control; qPCR: quantitative polymerase chain reaction; ROC: receiver operating characteristics; SCID: Structured Clinical Interview for DSM-IV; SD: standard deviation; TGF: transforming growth factor; UTR: untranslated region.

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

MMV and AA carried out the molecular genetics studies and drafted the manuscript. IT and KI participated in the sequence alignment and helped to draft the manuscript. KY and TW analyzed data and performed statistical analysis. TT, MT, and TS evaluated and diagnosed participants, and are involved in revising the manuscript critically for clinical contents. KS and NM conceived of the study, participated in its design and coordination, and

helped to draft the manuscript. All authors read and approved the final manuscript.

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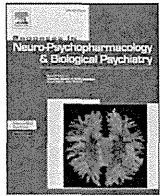
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Perinatal asphyxia alters neuregulin-1 and COMT gene expression in the medial prefrontal cortex in rats

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ABSTRACT

Epidemiological studies suggest that perinatal complications, particularly hypoxia-related ones, increase the risk of schizophrenia. Recent genetic studies of the disorder have identified several putative susceptibility genes, some of which are known to be regulated by hypoxia. It can be postulated therefore that birth complications that cause hypoxia in the fetal brain may be associated with a dysregulation in the expression of some of the schizophrenia candidate genes. To test this, we used an animal model of perinatal asphyxia, in which rat pups were exposed to 15 min of intrauterine anoxia during Caesarean section birth, and examined the expression of mRNA of five of the putative susceptibility genes (NRG1, ErbB4, AKT1, COMT and BDNF) by real-time quantitative PCR in the medial prefrontal cortex (mPFC) and the hippocampus at 6 and 12 weeks after birth. The expression of NRG1 mRNA was significantly decreased in the mPFC, but not in the hippocampus, at 6 and 12 weeks after birth. In addition, a significant increase in COMT mRNA expression was observed in the mPFC at 12 weeks. The alteration in mRNA levels of NRG1 and COMT was not associated with a change in their protein levels. These results suggest that perinatal asphyxia may lead to disturbances in the PFC, which in turn may exert a long-lasting influence on the expression of specific genes, such as NRG1 and COMT. Our results also suggest that translational interruption may occur in this model of perinatal asphyxia.

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1. Introduction

Schizophrenia is a chronic, severe, and disabling brain disorder that has affected people throughout history. Although the etiology of schizophrenia is not well understood, findings from epidemiological and neuropathological studies indicate that pathogenic processes that culminate in the development of schizophrenia are initiated early in life (Murray, 1994). The neurodevelopmental hypothesis that schizophrenia has its origin in aberrant brain development is supported by evidence that obstetric complications at or close to the time of birth contribute to the risk for the development of schizophrenia later in life (Byrne et al., 2007; Cannon et al., 2002).

Abbreviations: AKT1, V-akt murine thymoma viral oncogene homolog 1; ANOVA, analysis of variance; BDNF, brain-derived neurotrophic factor; C-section, Caesarean section; COMT, catechol-O-methyltransferase; ErbB4, v-erb-a erythroblastic leukemia viral oncogene homolog 4; GAPDH, glyceraldehydes-3-phosphate dehydrogenase; HP, hippocampus; NRG1, neuregulin-1; PBS, phosphate buffered saline; PFC, prefrontal cortex; qPCR, quantitative polymerase chain reaction.

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The issue of perinatal asphyxia has been studied in a rodent model of global hypoxia during Caesarean section (C-section) birth (Bjelke et al., 1991). In this model, the intact uterus containing rodent pups is isolated from an anesthetized dam by a C-section on the expected day of birth and immersed in a water bath kept at 37 °C for 14–17 min to induce intra-uterine global hypoxia. Such global hypoxia can lead to alterations in central dopamine function during adulthood that are consistent with the postulated pathophysiology of schizophrenia (Boks and El-Khodori, 2003). For instance, we have recently produced perinatal asphyxia in rat pups by exposing them to 15 min of intrauterine anoxia during C-section birth (Wakuda et al., 2008). When methamphetamine-induced locomotor activity was tested at adulthood (12 weeks after birth), it was greatly increased, accompanied by an increase of dopamine release in the nucleus accumbens. Such findings were not observed at adolescence (6 weeks after birth).

Accumulating evidence from recent studies has advanced our understanding of the neurobiology of schizophrenia. One of the major areas of progress has been the identification of putative susceptibility genes for schizophrenia, which has been made by family studies (Aoki-Suzuki et al., 2005; Chen et al., 2004; Lichtenstein et al., 2009; Yamada et al., 2004), national record linkage studies (Eaton et al., 2006; Ekelund et al., 2004; Numakawa et al., 2004), and emerging

evidence from a genome-wide association study (Schizophrenia Psychiatric Genome-Wide Association Study [GWAS] Consortium, 2011). Some of these candidate genes are responsive to hypoxia (Schmidt-Kastner et al., 2006). Neuregulin-1 (NRG1) has been one of the candidate genes most associated with an increased risk of schizophrenia (Gong et al., 2009; Munafò et al., 2008). NRG1 is a trophic factor that contains an epidermal growth factor (EGF)-like domain that signals by stimulating ErbB receptor tyrosine kinases. The functional NRG1 receptor v-erb-a erythroblastic leukemia viral oncogene homolog 4 (ErbB4) is expressed in the midbrain dopaminergic neurons in mice and primates (Abe et al., 2009; Zheng et al., 2009). Furthermore, the signaling of NRG1 and its receptor ErbB4 has been implicated in important neurodevelopmental processes of putative relevance to the aetiopathology of schizophrenia (Corfas et al., 2004; Fazzari et al., 2010; Hahn et al., 2006; Jaaro-Peled et al., 2009; Mei and Xiong, 2008). Both the catechol-O-methyltransferase (COMT) and brain-derived neurotrophic factor (BDNF) genes are also regulated by hypoxia (Schmidt-Kastner et al., 2006), and are associated with the dopamine system in the brain; COMT is an enzyme involved in the degradation of dopamine and BDNF is one of the trophic factors involved in the development of dopaminergic neurons (Baquet et al., 2005). V-akt murine thymoma viral oncogene homolog 1 (AKT1), a component of the downstream signaling pathways of both NRG1/ErbB4 and BDNF, is also one of the schizophrenia candidate genes that are regulated by hypoxia (Emamian et al., 2004; Schmidt-Kastner et al., 2006; Thiselton et al., 2008). Moreover, AKT1 mediates dopaminergic neurotransmission (Beaulieu et al., 2005). Nicodemus et al. (2010) have suggested that NRG1/ErbB4 and AKT1 signaling is implicated in the pathogenesis of schizophrenia.

To obtain insight into the delayed alterations in central dopamine function during adulthood that are consistent with the postulated pathophysiology of schizophrenia, we used an animal model of rats born by C-section and exposed to additional global hypoxia (Wakuda et al., 2008). Subsequently, we examined the mRNA expression levels of the five schizophrenia candidate genes described above (NRG1, ErbB4, AKT1, COMT and BDNF) in the prefrontal cortex (PFC) and the hippocampus (HP), in addition to their protein levels in the PFC, at two developmental periods, adolescence (6 weeks of age) and adulthood (12 weeks of age).

2. Methods

2.1. Animals and induction of perinatal asphyxia

All experiments were performed in accordance with the Guide for Animal Experimentation of the Hamamatsu University School of Medicine. Intrauterine anoxia was induced in rats delivered by C-section according to the method described originally by Bjelke et al. (1991). Pregnant female Sprague–Dawley rats (Japan SLC, Hamamatsu, Japan) within the last day of gestation were anesthetized by diethyl ether and hysterectomized. The uterus, including fetuses, was placed in a water bath at 37 °C for induction of 15 min of asphyxia. Rats that had delivered normally on the day of the experiment were used as surrogate mothers. Each surrogate mother received four pups from another surrogate mother, four C-section-delivered and four asphyxia-exposed pups. At 3 weeks after birth, male rats were selected for the experiments described below and were housed three per cage in a temperature- and humidity-controlled colony room, which was maintained on a 12-h light/dark cycle (07:00 to 19:00 h lights on) and with food and water provided ad libitum. The animals were divided into 2 groups in terms of delivery: birth by C-section alone (C group, $n = 28$) or by C-section plus 15 min of global perinatal asphyxia (A group, $n = 28$).

2.2. RNA preparation

To determine the target gene expression levels, 12 animals (6-week-old, $n = 6$; 12-week-old, $n = 6$) from each of the two groups were used. All the animals were deeply anesthetized with pentobarbital (100 mg/kg, intraperitoneally) and rapidly decapitated. The bilateral medial PFC (mPFC) and the whole HP were dissected on ice and used for the polymerase chain reaction (PCR) quantification analysis. The region of mPFC was defined as the cingulate (Cg), prelimbic (PrL) and infralimbic (IL) cortices according to the atlas of Paxinos and Watson (1997) (Fig. 1).

Total RNAs from rat mPFC and HP tissue were isolated from brain tissues using TRIZOL reagent (Invitrogen Life Technologies, Carlsbad, CA). The RNAs were cleaned up using RNeasy Mini Kit and DNase set (Qiagen, Hilden, Germany). The quality and quantity of RNA were measured using a NanoDrop 1000 spectrophotometer (Thermo Scientific, Yokohama, Japan). RNA with a 260/280 nm ratio in the range of 1.8–2.0 was considered high quality. The complementary DNAs (cDNAs) from the RNA preparations were synthesized using a SuperScript III First-Strand Synthesis System (Invitrogen Life Technologies).

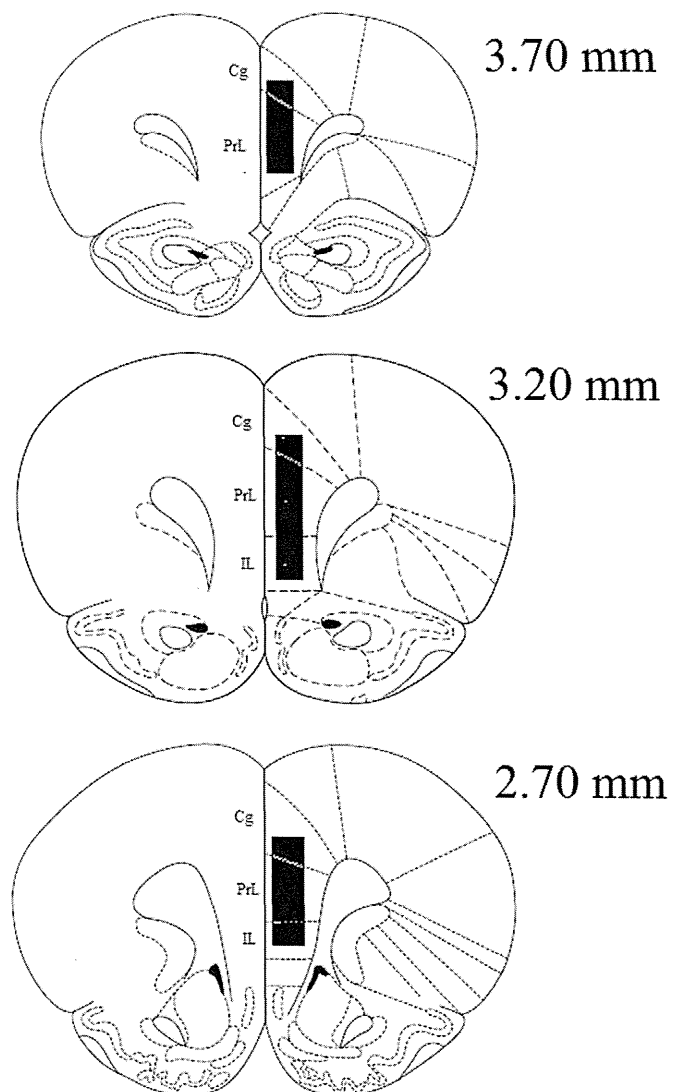


Fig. 1. Schematic representation of the rat brain regions analyzed for real-time qPCR. The brain regions of mPFC sampled for analysis are indicated by the filled rectangles. The drawings were made according to the atlas of Paxinos and Watson (1997), in a caudal to rostral distribution using the bregma (mm) as a reference point.

2.3. Real-time quantitative PCR conditions

Real-time quantitative PCR (qPCR) was performed using an ABI PRISM 7700 Sequence Detection System in combination with continuous SYBR Green detection (Applied Biosystems, Foster City, CA). Real-time qPCR was performed in a 25 μ l reaction volume containing 2.5 μ l cDNA, 12.5 μ l SYBR Green PCR Master Mix (Qiagen), 2.5 μ l each of the sense and antisense primers (10 μ M), and 5 μ l H₂O. The general PCR condition profile was as follows: polymerase activation at 95 °C for 15 min, followed by 50 cycles of denaturing at 95 °C for 15 s, annealing at 60 °C for 30 s, and extension at 72 °C for 60 s. After amplification, a melting curve was acquired to determine the optimal PCR conditions by heating the PCR products at 20 °C/s to 95 °C, then cooling at 20 °C/s to 60 °C. Primer sequences for qPCR amplification were designed using online Primer3 software (http://biocore.unl.edu/cgi-bin/primer3/primer3_www.cgi). The sequences of primers and sizes of PCR amplicons are listed in Table 1.

The $\Delta\Delta$ Ct method (comparative Ct method) (Livak and Schmittgen, 2001) was employed for the diagnostic assays. The Ct values were calculated using three kinds of housekeeping genes (glyceraldehydes-3-phosphate dehydrogenase [GAPDH], cyclophilin A and β -actin). The housekeeping genes fulfilled the criterion that the absolute value of the slope of the log input amount vs. Δ Ct should be <0.1, which was selected as the internal reference value.

2.4. Western blot analysis

To determine the protein quantity, we used another set of 16 animals (6-week-old, $n = 8$; 12-week-old, $n = 8$) from each of the two groups. Total protein from the rat mPFC tissue was quantified with a Pierce BCA Protein Assay kit (Thermo Scientific, Barrington, IL). GAPDH was used as the internal control to normalize the expression of proteins. We used the following primary antibodies for western blot detection: ErbB4 (ab32375), COMT (ab126618), GAPDH (ab8245) (all from Abcam, Tokyo, Japan), NRG1 (sc-348), BDNF (sc-546) (both from Santa Cruz Biotechnology, Santa Cruz, CA), and AKT1 (#2938) (Cell Signaling Technology, Danvers, MA). Fluorescent-labeled anti-rabbit and anti-mouse secondary antibodies (Rockland, Gilbertsville, PA) were used for the detection of proteins with an Odyssey Infrared Imaging System (Li-cor Bioscience, Lincoln, NE). Representative images of the western blots are shown in Fig. 2.

2.5. Statistical analysis

The data are expressed as the mean $\pm$ standard error of the mean (SEM). In the RT-PCR analysis, the values for the A group are relative to the mean of the corresponding values of the C group, which was a

reference set as 100. In the Western blot analysis, the values indicate the relative protein levels normalized to GAPDH expression. Differences in the gene and protein expression levels between the A and C groups were determined by Student's *t*-test as well as two-way analysis of variance (ANOVA), in which treatment (C group and A group) and age (i.e. 6-week-old and 12-week-old) were the independent variables. In the two-way ANOVA, the interaction between treatment and age was also tested. We used SPSS version 22J (IBM Corp., Tokyo) for the statistical analyses. The level of statistical significance was set at $p < 0.05$.

3. Results

Significant changes in the mRNA levels of NRG1 and COMT were observed in the mPFC. The mRNA levels of NRG1 in the A group were significantly decreased at 6 (16% decrement) ($t = -2.24$, $df = 10$, $p = 0.049$) and 12 weeks of age (24% decrement) ($t = -2.61$, $df = 10$, $p = 0.026$), compared with those in the C group (Table 2). There were significant main effects of treatment [$F_{(1,20)} = 11.771$; $p = 0.003$] and age [$F_{(1,20)} = 30.235$; $p < 0.001$], but no significant interaction between treatment and age [$F_{(1,20)} = 0.510$; $p = 0.483$]. Compared with those of the C group, the A group rats showed a significant increase in the mRNA expression levels of COMT at 12 weeks, but not 6 weeks (48% increment) ($t = 2.80$, $df = 10$, $p = 0.019$) (Table 2). There were significant main effects of treatment [$F_{(1,20)} = 8.313$; $p = 0.009$] and age [$F_{(1,20)} = 49.079$; $p < 0.001$], but no significant interaction between treatment and age [$F_{(1,20)} = 0.412$; $p = 0.528$]. There was no significant difference in the mRNA expression levels for the remaining three genes (ErbB4, AKT1, and BDNF) at 6 or 12 weeks. In the HP, there was no overt change in the mRNA expression for the five genes tested between the A and C groups, at either 6 or 12 weeks after birth.

There was no apparent change in the protein quantity for the five genes tested between the A and C groups, at either 6 or 12 weeks after birth (Table 3).

4. Discussion

We evaluated the mRNA levels of five genes that are related to the regulation of the dopaminergic system (Abe et al., 2009; Baquet et al., 2005; Beaulieu et al., 2005; Zheng et al., 2009), NRG1, ErbB4, AKT1, COMT and BDNF, in the mPFC and HP in rats born by C-section with added global hypoxia at two developmental periods, adolescence (6 weeks of age) and adulthood (12 weeks of age). Among the five genes, apparent changes were observed in two genes, NRG1 and COMT: expression of the mRNA of NRG1 was decreased at 6 and 12 weeks, while expression of the mRNA of COMT was increased at 12 weeks after delivery. These changes were observed in the mPFC, but not the HP. Since both NRG1 and COMT are strongly implicated in

Table 1
Primer sequences and length of PCR products for each gene used in the real-time quantitative PCR.

Genes		Primer sequences (5'-3')	Bases	Amplicon size
NRG1	Forward	GAGTCAGTTCAAGAGCCCGTTAA	1926–1948	69 bp
	Reverse	GCCATTGGGCTTGGTCTTT	1975–1994	
ErbB4	Forward	TGATTGCAGCCGGAGTCAT	1988–2006	72 bp
	Reverse	TGACATAAACGGCAAATGTCAGA	2037–2059	
AKT1	Forward	GAACGACGTAGCCATTGTGA	45–64	101 bp
	Reverse	AGGTGCCATCATTCTTGAGG	126–145	
COMT	Forward	ATCTTCACGGGGTTCACTG	1272–1291	145 bp
	Reverse	GAGCTGCTGGGGACAGTAAG	1397–1416	
BDNF	Forward	AAGGCTGCAGGGGCATAGAC	1247–1266	111 bp
	Reverse	TGAACCGCCAGCCAATTCTC	1338–1357	
GAPDH	Forward	GACATGCCGCTGGAGAAAC	805–824	92 bp
	Reverse	AGCCAGGATGCCCTTTAGT	877–896	
Cyclophilin A	Forward	GTCTGCTTCGAGCTGTTTG	100–119	80 bp
	Reverse	AATCCTTTCTCCCACTGCT	160–179	
Actin β	Forward	CCTGAAAAGATGACCCAGATCA	427–448	90 bp
	Reverse	AGAGGCATACAGGGACAACACA	495–516	