2011 earthquake and tsunami. Because of the destruction, water was unavailable for flushing toilets. Therefore, most of the evacuees limited food and liquid intake to minimize stool and urine production. After returning home, the woman manifested functional decline; she often mistook the time and forgot to take her medication, her gait became somewhat unstable, and slight edema developed in both legs. She had consulted doctors at several hospitals, where access to detailed tests were limited because of the destruction. Because her brain computed tomography (CT) scan and blood screening tests were normal, she was repeatedly diagnosed with dementia, reinforced by the effect of the disaster and lack of exercise.[3] One day, her hands were noted to be shaking briefly. Although this symptom appeared only once, her son decided to admit her to the hospital.

The woman's only significant medical history was hypertension, controlled with daily candesartan and nilvadipine (8 mg each); she had no significant family history of disease. No abnormalities, except obesity (body mass index (BMI) 29.4 kg/m^2), had been noted over several years of regular examinations. Her edema, which expanded to her upper and lower extremities, was classified as pitting and fast, indicating hypoalbuminemia as the major cause.[4] Pyramidal and extra-pyramidal signs and ataxia were not manifested; her muscle strength was well preserved. Mini-Mental State Examination revealed immediate recall failure. The forward and backward digit span test showed lower score, suggesting that her forgetfulness was inattention rather than memory impairment.[5] She spoke slowly and showed careless behavior, such as leaving the toilet without flushing after excretion. Because most of her symptoms seemed to be related to inattention, it was speculated that she had delirium rather than dementia, although fluctuation of symptoms or hyperactivity was unapparent.

The concomitance of "subsyndromal delirium"[1] and edema caused by hypoalbuminemia with mild thrombocytopenia (Figure 1A) suggested hepatic encephalopathy (HE),[6] which a blood ammonia level of 194 pg/mL and electroencephalographic findings confirmed. Abdominal ultrasonography showed cirrhosis without fluid retention (Child Class B). CT showed that her liver and spleen were of almost the same density (Figure 1B). Autoantibodies were negative. Her history and CT findings strongly indicated that cirrhosis occurred due to NASH, which often occurs in people with obesity or diabetes mellitus.[5] Insulin resistance was detected later; it had been missed in her annual examinations.[7] Her transaminase levels were normal in her regular examinations, suggesting that she had developed compensated cirrhosis several years earlier. Her nutrition had already improved by the time she developed HE, but sanitary water remained unavailable. These facts suggested that constipation, rather than malnutrition, was the likely cause of her encephalopathy. After 20 days of lactulose (19.5 g/d) and branched-chain amino acid (12 g/d) administration, her behavior and her performance on neuropsychological tests improved markedly (Figure 1A).

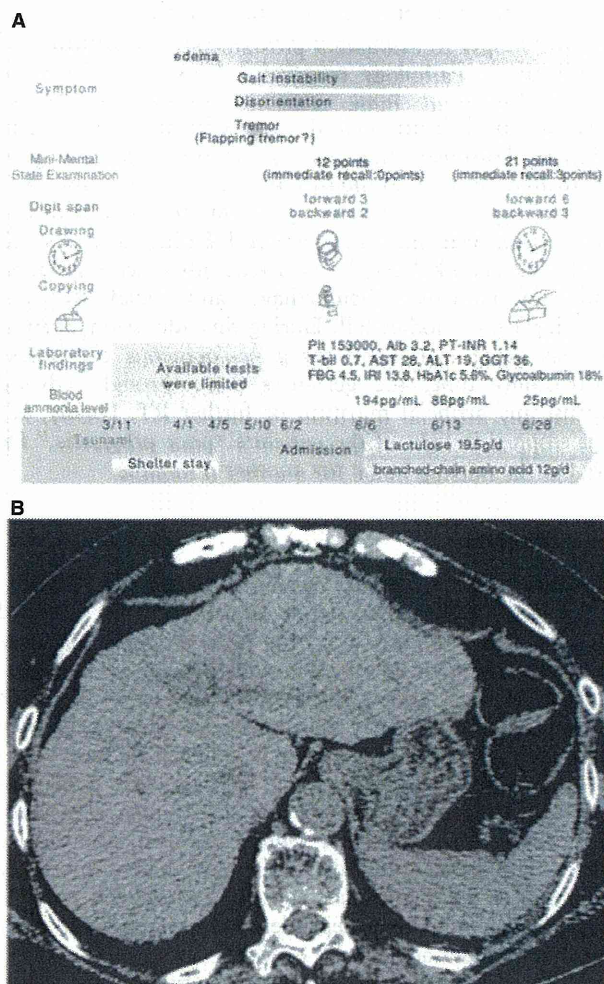

Figure 1. Clinical course and abdominal computed tomography of the patient. (A) Homeostasis model of assessment—insulin resistance (HOMA-IR) was calculated as 2.76, indicating high insulin resistance. Discrepancy between glycohemoglobin and glycoalbumin was observed. (B) Liver density was higher than fat density, indicating that fibrosis had already progressed. Splenomegaly, ascites, bile duct abnormalities, and collateral circulation were absent. Liver dysfunction caused by metal deposition was excluded, because the liver density was equivalent to that of the spleen; platelets 153,000/μL, albumin 3.2 g/dL, prothrombin time international normalized ratio 1.14, total bilirubin 0.7 mg/dL; aspartate aminotransferase 28 IU/L, alanine transaminase 19 IU/L, gamma-glutamyltranspeptidase 36 IU/L, fasting blood glucose 4,5 mmol/L, insulin resistance index 13.8 μU/mL; glycosylated hemoglobin 5.6%.

DISCUSSION

Comprehensive evaluation of edema, cognition, and behavior played a major role in diagnosis. Even without full access to detailed examinations, detection of subsyndromal delirium and speculation regarding its etiology were achieved through comprehensive assessment.

The major cause of misdiagnosis was NASH, which tends to be missed in the absence of suggestive history (e.g., excessive alcohol intake or hepatitis infection) and normal transaminase levels. Furthermore, if NASH has developed into cirrhosis, diabetes mellitus and insulin resistance (both causes of NASH) might be obscured. Its diagnosis should not depend heavily on laboratory findings or medical history alone.

Another cause of misdiagnosis was the subacute progression and lack of fluctuation of symptoms, which resulted from a variation in clinical progression of HE and difficulties in detecting fluctuation within low-stage HE.[6] Acute onset and fluctuation of symptoms are considered essential features of delirium,[8] but the possibility of delirium should not be excluded if any one of the core features exists.

The present case also indicates that maintaining appropriate lavatory conditions at emergency shelters is important not only for preventing infections,[9] but also for maintaining good excretory habits.

Naoki Tomita, MD, PhD, MPH
Kaori Une, MD, PhD
Department of Geriatrics and Gerontology, Institute of Development, Aging and Cancer, Tohoku University Sendai, Japan

Takashi Ohrui, MD, PhD
Division of Geriatric Pharmacotherapy, Institute of Development, Aging and Cancer, Tohoku University Sendai, Japan

Takae Ebihara, MD, PhD
Youichi Kosaka, MD, PhD
Shoji Okinaga, MD, PhD
Katsutoshi Furukawa, MD, PhD
Hiroyuki Arai, MD, PhD
Department of Geriatrics and Gerontology, Institute of Development, Aging and Cancer, Tohoku University Sendai, Japan

ACKNOWLEDGMENTS

Conflict of Interest: None.

Author Contributions: Naoki Tomita: patient diagnosis and care and manuscript preparation. Kaori Une and Takashi Ohrui: patient diagnosis and advice on the manuscript. Takae Ebihara, Youichi Kosaka, Shoji Okinaga, Katsutoshi Furukawa, Hiroyuki Arai: patient diagnosis. All of the authors read the final version of the manuscript.

Sponsor's Role: None.

REFERENCES

1. Martins S, Femandes L. Delirium in elderly people: A review. Front Neurol 2012;3:1–12.
2. Sheth SG, Chopra S. Epidemiology, Clinical Features, and Diagnosis of Nonalcoholic Steatohepatitis. Waltham, MA: Up To Date, 2012.
3. Furukawa K, Ootsuki M, Kodama M et al. Exacerbation of dementia after the earthquake and tsunami in Japan. J Neurol 2011;259:1243.
4. Henry JA, Altmann P. Assessment of hypoproteinaemic oedema: A simple physical sign. BMJ 1978;1:890–891.
5. Hodges JR. Cognitive Assessment for Clinicians, 2nd ed. New York: Oxford University Press, 2007.
6. Bajaj JS. Review article: The modern management of hepatic encephalopathy. Aliment Pharmacol Ther 2010;31:537–547.
7. Garcia-Compean D, Jaquez-Quintana JO, Gonzalez-Gonzalez JA et al. Liver cirrhosis and diabetes: Risk factors, pathophysiology, clinical implications and management. World J Gastroenterol 2009;15:280–288.
8. Gonzales M, de Pablo J, Fuente E et al. Instrument for detection of delirium in general hospitals: Adaptation of the Confusion Assessment Method. Psychosomatics 2004;45:426–431.
9. Bartels SA, Van Rooyen MJ. Medical complications associated with earthquakes. Lancet 2012;379:748–757.

COMMENTS/RESPONSES

POOR DENTAL HEALTH AND DEMENTIA

To the Editor: The authors of the paper entitled "Dentition, Dental Health Habits, and Dementia: The Leisure World Cohort Study"[1] conducted a longitudinal cohort study using the responses to a mailed survey to demonstrate that men with inadequate masticatory function who did not wear dentures and dentate individuals who did not brush their teeth daily had a greater risk of dementia. The authors described a number of potential mechanisms that might be responsible for this association, including periodontal infection, the associated inflammatory response, and its effects on brain vascularity.

To bolster their contention, they cite the Nun Study, which followed 101 participants "without dementia" and found that a low number of teeth increased the risk of dementia (hazard ratio = 2.2, 95% confidence interval = 1.1–4.5 for participants with 0–9 teeth vs those with 10–28 teeth) during the subsequent 12 years of observation.[2]

I would posit that the authors have truly identified the problem when they state that "it is plausible that an individual's poor oral health behaviors may be early signs of dementia." To bolster this argument, a closer look at the participants in the Nun Study reveals that many of these older nuns had evidence at a mean age of 22 of a premorbid low level of "idea density" (average number of ideas expressed per 10 words) in their hand-written autobiographies.[3] This low level of idea density at age 22 was significantly associated with poorer cognitive function measured approximately 58 years later at their last examination and also at their death when a neuropathological examination confirmed the diagnosis of Alzheimer's disease (AD). Another group of neuroscientists, who demonstrated, using similar methodology (propositional density), that specific elements (number of interrelated ideas, complexity of written language) in early adulthood writing samples (medical school admission essays) can be used to predict the development of AD in later life in men and women, recently confirmed this construct.[4]

Thus, I concur with the authors parenthetical statement that it is just as plausible to suggest that some components of neurodegenerative disease, which takes decades to fully develop, may have precipitated the dental disease (rather than the other way around) by hampering afflicted individuals from following routine oral hygiene procedures over a significant portion of their lifetime.[5,6]

Arthur H. Friedlander, DMD
Graduate Medication Education, VA Greater Los Angeles Los Angeles, California
Quality Assurance, Hospital Dental Service, Ronald Reagan UCLA Medical Center, Los Angeles, California
Oral and Maxillofacial Surgery, School of Dentistry University of California at Los Angeles, Los Angeles California

J Neurol (2012) 259:1243
DOI 10.1007/s00415-011-6329-x

LETTER TO THE EDITORS

Exacerbation of dementia after the earthquake and tsunami in Japan

Katsutoshi Furukawa · Mari Ootsuki · Manabu Kodama · Hiroyuki Arai

Received: 16 August 2011 / Accepted: 13 November 2011 / Published online: 30 November 2011
© Springer-Verlag 2011

Dear Sir,

On Friday, March 11, 2011, a magnitude 9.0 earthquake hit Japan, which was followed by enormous tsunamis that caused numerous casualties and serious damage in the coastal cities [1]. After the disaster, many elderly people including patients with dementia were evacuated to asylums such as gymnasiums and halls because their houses were destroyed. Many of the patients visited our clinic after evacuation, and most of them got significantly worse with their dementia symptoms including behavioral and psychological symptoms of dementia (BPSD). We here examined changes in cognitive functions and BPSD with minimental state examination (MMSE) and neuropsychiatric inventory-questionnaire (NPI-Q), respectively, in patients with Alzheimer's disease after the earthquake.

We analyzed three groups including subjects who did not suffer from the disaster (group 1, M/F = 9/11, age 74.3 ± 8.3), subjects who had experienced the disaster but did not stay at an evacuation site (group 2, M/F = 9/9, age 75.6 ± 7.8), and subjects who were forced to stay at an evacuation site (group 3, M/F = 8/9, age 77.8 ± 8.5). We compared the altered values (after-before) of each test in the three groups. Both groups 2 and 3 showed a decline in MMSE compared to group 1 although a significant difference was observed only between "group 1 and 2" and "group 1 and 3". Concerning the NPI-Q both group 2 and 3 exhibited exacerbation of BPSD and the significant difference was observed between "group 1 and 2", "group 1 and 3" and "group 2 and 3" (Fig. 1).

Disasters such as earthquakes, tsunamis, hurricanes, and tornados must be extremely stressful to elderly people. On the other hand, to change their circumstances to inferior conditions such as evacuation sites should affect their mental and cognitive condition more severely [2]. Nobody likes to live together with many other people in a big hall with people having poor hygiene. Not only physical but also mental care to the elderly who suffered from the disaster is now needed.

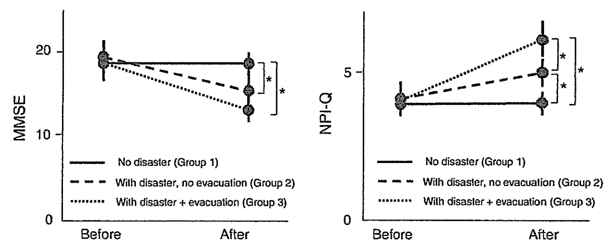

Fig. 1 Alterations of MMSE and NPI-Q after the earthquake and tsunami in patients with Alzheimer's disease. Changed values of each test between before and after the disaster were statistically analyzed. Values indicate mean ± SE. $*p < 0.05$

Conflict of interest The authors have no conflicts of interest to declare.

K. Furukawa (✉) · M. Ootsuki · H. Arai
Department of Geriatrics and Gerontology, Division of Brain Sciences, Institute of Development, Aging and Cancer, Tohoku University, 4-1 Seiryo-cho Aobaku, Sendai 980-8575, Japan
e-mail: kfurukawa-ns@umin.ac.jp

M. Kodama
Division of Psychiatry, Kodama Hospital, Ishinomaki, Japan

References

1. Furukawa K, Arai H (2011) An earthquake in Japan. Lancet 377:1652
2. Cloyd E, Dyer CB (2010) Catastrophic events and older adults. Crit Care Nurs Clin North Am. 22:501–513

Downloaded from thorax.bmj.com on May 21, 2013 - Published by group.bmj.com

OPEN ACCESS

ORIGINAL ARTICLE

Impact of the Tohoku earthquake and tsunami on pneumonia hospitalisations and mortality among adults in northern Miyagi, Japan: a multicentre observational study

Open Access
Scan to access more free content

Hisayoshi Daito,[1,2] Motoi Suzuki,[3] Jun Shiihara,[1,2] Paul E Kilgore,[4] Hitoshi Ohtomo,[5,6] Konosuke Morimoto,[3] Masayuki Ishida,[3] Taro Kamigaki,[7] Hitoshi Oshitani,[7] Masahiro Hashizume,[8] Wataru Endo,[1] Koichi Hagiwara,[2] Koya Ariyoshi,[3] Shoji Okinaga[1,9]

▶ Additional material is published online only. To view please visit the journal online (http://dx.doi.org/10.1136/thoraxjnl-2012-202658).

[1]Kesennuma City Hospital, Kesennuma, Japan
[2]Department of Respiratory Medicine, Saitama Medical University, Saitama, Japan
[3]Department of Clinical Medicine, Institute of Tropical Medicine, Nagasaki University, Nagasaki, Japan
[4]Wayne State University, Detroit, Michigan, USA
[5]Ohtomo Hospital, Kesennuma, Japan
[6]Kesennuma City Medical Association, Kesennuma, Japan
[7]Department of Virology, Tohoku University Graduate School of Medicine, Sendai, Miyagi, Japan
[8]Department of Paediatric Infectious Diseases, Institute of Tropical Medicine, Nagasaki University, Nagasaki, Japan
[9]Institute of Development, Aging and Cancer, Tohoku University, Sendai, Miyagi, Japan

Correspondence to
Dr Motoi Suzuki, Department of Clinical Medicine, Institute of Tropical Medicine, Nagasaki University, Sakamoto 1-12-4, Nagasaki 852-8523, Japan; mosuzuki@nagasaki-u.ac.jp

Received 30 August 2012
Revised 25 January 2013
Accepted 29 January 2013
Published Online First 19 February 2013

ABSTRACT

Background On 11 March 2011, the Tohoku earthquake and tsunami struck off the coast of northeastern Japan. Within 3 weeks, an increased number of pneumonia admissions and deaths occurred in local hospitals.

Methods A multicentre survey was conducted at three hospitals in Kesennuma City (population 74 000), northern Miyagi Prefecture. All adults aged ≥18 years hospitalised between March 2010 and June 2011 with community-acquired pneumonia were identified using hospital databases and medical records. Segmented regression analyses were used to quantify changes in the incidence of pneumonia.

Results A total of 550 pneumonia hospitalisations were identified, including 325 during the pre-disaster period and 225 cases during the post-disaster period. The majority (90%) of the post-disaster pneumonia patients were aged ≥65 years, and only eight cases (3.6%) were associated with near-drowning in the tsunami waters. The clinical pattern and causative pathogens were almost identical among the pre-disaster and post-disaster pneumonia patients. A marked increase in the incidence of pneumonia was observed during the 3-month period following the disaster; the weekly incidence rates of pneumonia hospitalisations and pneumonia-associated deaths increased by 5.7 times (95% CI 3.9 to 8.4) and 8.9 times (95% CI 4.4 to 17.8), respectively. The increases were largest among residents in nursing homes followed by those in evacuation shelters.

Conclusions A substantial increase in the pneumonia burden was observed among adults after the Tohoku earthquake and tsunami. Although the exact cause remains unresolved, multiple factors including population aging and stressful living conditions likely contributed to this pneumonia outbreak.

Key messages

What is the key question?

- Did the pneumonia incidence increase among the adult population after the Tohoku earthquake/tsunami, what were the characteristics of the disaster-associated pneumonia?

What is the bottom line?

- Our survey in a well defined population of northern Miyagi Prefecture revealed that a marked increase in the incidence of pneumonia hospitalisations and pneumonia-associated deaths was observed during the 3-month period following the disaster, the vast majority of the victims were older people, only 3.6% were associated with near-drowning in the tsunami waters, and the clinical and microbiological characteristics of the post-disaster patients were similar to those of the pre-disaster patients.

Why read on?

- Because this disaster affected a notably aging population with the highest baseline pneumonia incidence rate, the disaster caused a drastic increase in the number of admissions and placed a heavy burden on local hospitals. In addition to using the pneumococcal vaccine for disaster-affected populations, the provision of optimal living conditions, medical check-ups and oral hygiene care must be a priority for older people after natural disasters.

INTRODUCTION

On 11 March 2011, a magnitude 9.0 earthquake struck off the northeastern coast of Japan. Within an hour of the earthquake, devastating tsunamis swept over the east coast of the Tohoku Region, resulting in approximately 20 000 deaths and catastrophic damage to the local infrastructure and environment.[1 2] As a result of the extensive destruction of homes, more than 400 000 displaced people were moved to emergency evacuation shelters that were not supplied with electricity, gas, water or food, despite sub-freezing winter temperatures.[3 4]

Previous studies showed that acute respiratory infections were frequently observed among people displaced by the 2001 earthquake in El Salvador,[5] among those affected by the 2003 Bam earthquake

To cite: Daito H, Suzuki M, Shiihara J, *et al*. *Thorax* 2013;**68**:544–550.

Downloaded from thorax.bmj.com on May 21, 2013 - Published by group.bmj.com

in Iran[6] and among people in Aceh Province affected by the 2004 Indian Ocean earthquake and tsunami.[7] Furthermore, severe pneumonia associated with the aspiration of seawater, known as 'tsunami lung', was reported in areas affected by the Indian Ocean tsunami.[8–10] However, these studies were conducted in resource-limited settings without reliable baseline data and lacked a standardised case definition. The impact of natural disasters, including tsunamis, on the risk of pneumonia remains largely unknown.

Within 3 weeks of the earthquake and tsunami on 11 March, a rapid increase in pneumonia hospitalisations and related deaths in northern Miyagi Prefecture was reported by mass media outlets.[11] We undertook an investigation to elucidate the impact of the Tohoku earthquake/tsunami on the incidence of pneumonia-related hospitalisations and mortality among adults aged ≥18 years in Kesennuma. We also sought to describe the clinical characteristics of disaster-related pneumonia and investigate the potential causes of increased rates of pneumonia in the affected population.

METHODS

Setting

Kesennuma is located on the northeastern coast of Miyagi Prefecture (figure 1). The city has a long, saw-toothed coastline with narrow, flat land facing the Pacific Ocean. The total population in February 2011 was 74 257 (source: Department of

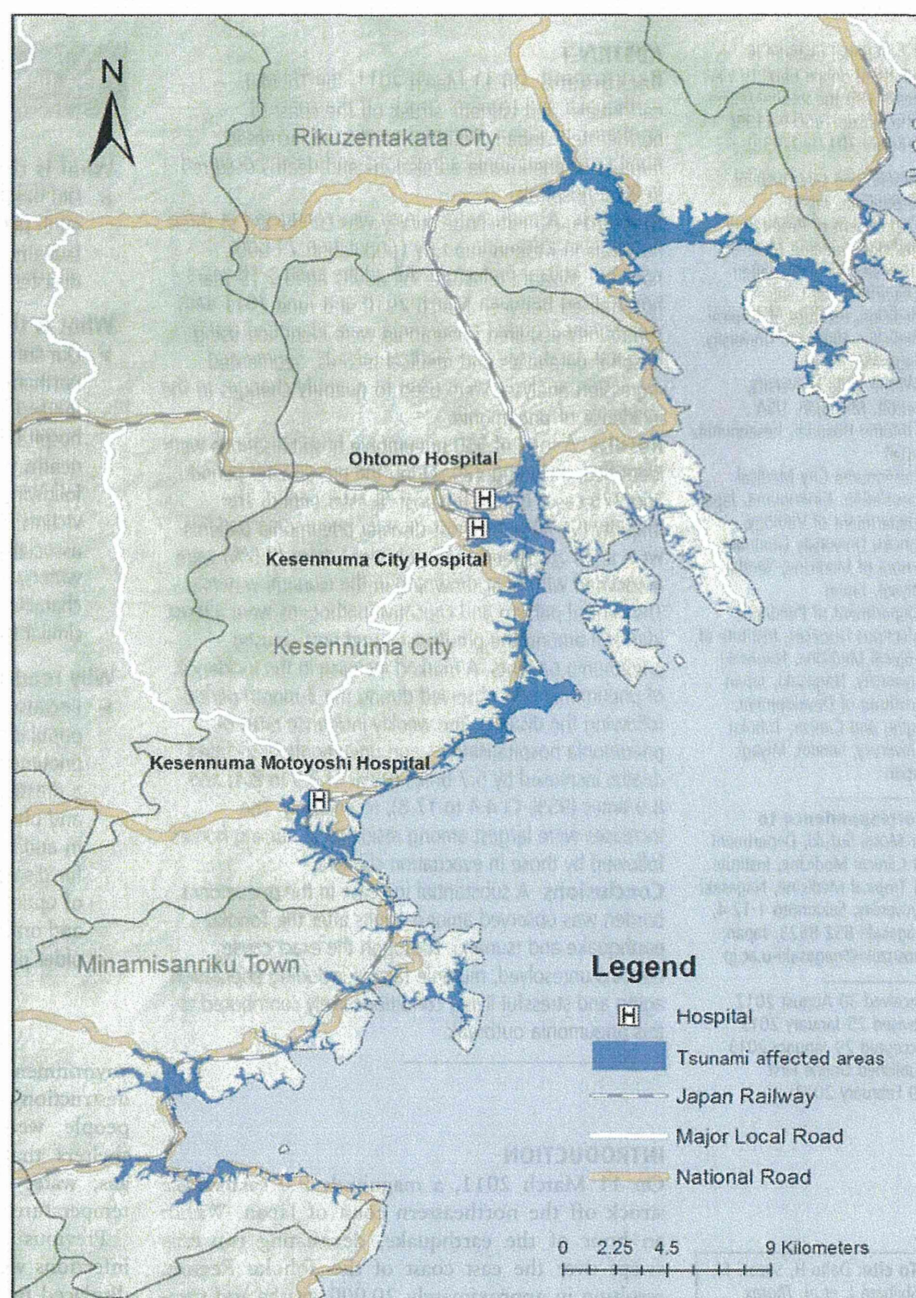

Figure 1 Area affected by the Tohoku earthquake and tsunami, Kesennuma City, Miyagi Prefecture. The disaster area data were obtained from the overview map of tsunami-affected areas released by the Geospatial Information Authority of Japan (http://www.gsi.go.jp/BOUSAI/h23_tohoku.html).

Downloaded from thorax.bmj.com on May 21, 2013 - Published by group.bmj.com

Vital Statistics, Kesennuma City). The city inhabitants included a substantial number of older adults: 30.2% (n=22 421) were aged ≥65 years and 8.9% (n=6618) were aged ≥80 years. These percentages were higher than the national averages (23% and 6.4%, respectively). At the time of the disaster, no national programme for the administration of the 23 valent polysaccharide pneumococcal vaccine (PPV23) existed in Japan, and its coverage among Kesennuma residents aged ≥65 years was <5%.

At 14 : 46 local time on 11 March 2011, the earthquake shook Kesennuma. The first large tsunami wave hit Kesennuma within a half hour of the earthquake, resulting in the deaths of 1032 residents; an additional 324 residents were listed as missing. The majority (>90%) of the victims died from drowning.[2]

The tsunamis devastated buildings, cars, ships and all other structures. Major oil tanks in the port were damaged and leaked petroleum, leading to massive conflagrations in the city. The main road was demolished to the north and the south, and the city was isolated (figure 1). In the aftermath, residents fled to evacuation shelters, including schools and public halls, to relatives' houses located on higher ground. The number of evacuees reached a peak on 17 March 2011 (20 105 individuals at 99 sites), while many other residents remained in their partially damaged houses.

In early April 2011, a considerable increase in pneumonia hospitalisations was reported from hospitals in northern Miyagi Prefecture. Media outlets reported that the outbreak may have been related to exposure to dried oil mist (ie, oil leaked from damaged storage tanks) or contaminated tsunami water.

Study design

In response to this outbreak, the Kesennuma City Hospital (KCH), the Kesennuma City Medical Association and Nagasaki University established an investigation team and initiated a multicentre survey on 12 May. The team identified three hospitals in Kesennuma that were providing inpatient care for patients with pneumonia before the disaster (KCH, 451 beds; Kesennuma Motoyoshi Hospital (KMH), 38 beds; and Ohtomo Hospital (OH), 78 beds). The team also identified an orthopaedic hospital and some clinics that had a small number of pneumonia admissions before the disaster (approximately 10 cases per year in total); however, their buildings were completely demolished, and their patients' records were unavailable. Therefore, we did not include those cases.

Case ascertainment

For the study period (defined as 1 March 2010 to 30 June 2011), all patients who were hospitalised with a diagnosis of pneumonia were enumerated from existing hospitalisation databases. Working as a panel, three qualified pulmonologists reviewed medical charts and chest radiographs (CXRs) in September 2011 using a standardised case definition based on the British Thoracic Society guidelines.[12] After reviewing the medical charts and CXRs, the panel's consensus CXR interpretations were recorded. Patients were classified as having any pneumonia if they showed pulmonary consolidation on CXR and any respiratory symptoms consistent with pneumonia. If a patient developed the disease 48 h after admission, the patient was classified as having hospital-acquired pneumonia and was excluded from further analysis. Repeated episodes of pneumonia in the same patient within a 2-week period were regarded as a single episode.

While inspecting hospitalisation records and CXRs, we realised that a considerable proportion of paper-based medical charts and CXRs in KMH were lost or damaged by the tsunami, and only discharge summaries were available. Therefore for analysis, the patients were classified into one of two pneumonia case categories: (1) *confirmed pneumonia* (full medical records were available and the presence of consolidation was confirmed by pulmonologists) and (2) *probable pneumonia* (detailed data and CXRs were not available, but the history described in the summary records was compatible with pneumonia). We defined pneumonia episodes as near-drowning related if patients were engulfed by the tsunami water on 11 March 2011, and their disease onset occurred within 4 weeks of the disaster.

Data collection

Demographic, clinical, radiographic, microbiological and evacuation site information was collected from the medical charts using a standardised abstraction form. The patients' addresses before the disaster were extracted from the hospital database and converted to geographical coordinates. Patients with pneumonia who died in any of the three study hospitals were categorised as fatal cases. The severity of pneumonia was assessed using the CURB65 scoring system.[13] Microbiological tests were routinely performed for clinically suspected cases throughout the study period at KCH, but they were not available at the other hospitals.

Data analysis

The demographic and clinical characteristics of the study patients were compared between the pre-disaster and post-disaster periods using χ^2 and Fisher's exact tests. The near-drowning-related cases were excluded from this comparison because the cause of disease was clear. The factors associated with death were assessed using Poisson regression models with robust SEs.[14] Pneumonia incidence and mortality rate calculations were limited to patients living in Kesennuma. The effects of the disaster, defined as a change in the weekly incidence of hospitalisations and associated deaths after the disaster (ie, the incidence rate ratios), were separately assessed using segmented generalised linear Poisson regression models allowing for overdispersion.[15] The regression models included terms for the disaster and time trends before and after the disaster. The change in the population size due to the disaster was taken into account using the offset function. Partial correlograms were used to assess serial autocorrelation of the residuals and, since there was no detectable autocorrelation, the data were modelled assuming independence.

Ethics

This study was approved by the Institutional Review Board of KCH.

RESULTS

Patients

Over the course of the study period (1 March 2010 to 30 June 2011), a total of 550 pneumonia cases were identified from hospital and facility records. According to the patients' disease onset, 225 confirmed cases and 100 probable cases occurred before 11 March and 225 confirmed cases occurred after 11 March (see online supplementary appendix figure 1). There was a sharp rise in the weekly number of pneumonia hospitalisations shortly after the disaster (figure 2A). A majority of the patients (95%) were city residents and their geographical distribution was similar across the study periods (see online supplementary appendix figure 2). When only city residents were included in the analysis, the highest incidence rate occurred during the first 2 weeks after the disaster, and the

Downloaded from thorax.bmj.com on May 21, 2013 - Published by group.bmj.com

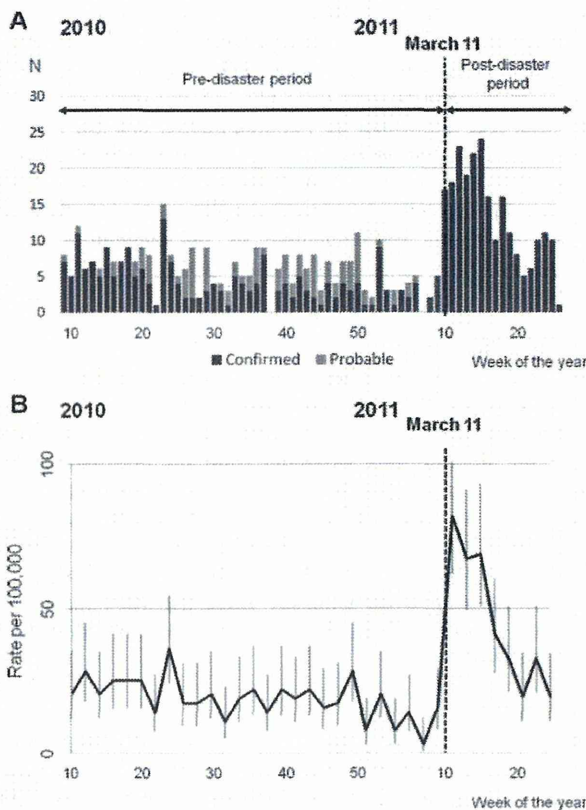

Figure 2 Trend of pneumonia hospitalisations in Kesennuma City, March 2010 to June 2011. (A) Weekly number of confirmed and probable cases according to the date of onset. (B) Biweekly incidence rates (per 100 000 people) calculated according to the date of onset. Cases were limited to the residents of Kesennuma City. The vertical lines indicate 95% CI.

incidence declined to the baseline level by mid-June 2011 (figure 2B).

To understand changes in the incidence of pneumonia, we compared the periods before (1 March 2010–10 March 2011) and after (11 March–30 June 2011) the disaster (table 1). The demographic and clinical pictures of disaster-related pneumonia were similar to those of pre-disaster cases, except that a substantial proportion (27.7%) of post-disaster patients were living in evacuation shelters. Nearly 90% of patients were older adults aged ≥65 years. The patients who were identified from evacuation shelters were younger (average age 76.7 years vs 80 years, p=0.047), less likely to have underlying medical conditions (45% vs 59.9%, p=0.049) and less likely to have fatal pneumonia (10% vs 29.3%, p=0.003) than patients with pneumonia identified from residences and nursing homes.

The patients identified from nursing homes were predominantly women, older and more likely to have had underlying conditions than were patients from homes and evacuation shelters. The proportion of patients with severe pneumonia with CURB65 ≥3 was high among patients from nursing homes, and those patients were more likely to die in the post-disaster period than in the pre-disaster period.

Incidence rates

During the three and a half months following 11 March, the weekly incidence of pneumonia hospitalisations increased by 5.7 times (95% CI 3.9 to 8.4) from the baseline level (table 2). The age group specific ratios were similar across all generations, whereas the absolute increase in the incidence was substantially greater among older people, especially those aged ≥80 years (the rate difference, 156.3 (95% CI 90.8 to 221.9) per 100 000 per population-week). The admission rate ratio was highest among nursing home residents followed by the residents of evacuation shelters. For pneumonia-related deaths, the rate increased by 8.9 times (95% CI 4.4 to 17.8) from the baseline level, and the mortality rate ratio was highest among nursing home residents.

Pneumonia aetiologies

Streptococcus pneumoniae, *Haemophilus influenzae* and *Klebsiella pneumoniae* were the leading causative pathogens identified in pre-disaster and post-disaster pneumonia cases. The positivity of *H influenzae* increased by fourfold after 11 March, especially among patients from evacuation shelters. *Staphylococcus aureus* was also found in patients throughout the study period, but its causative role was unclear (see online supplementary appendix table 1). None of the patients in this study were reported to have had positive rapid tests for influenza (the percentages tested before and after the disaster were 11.4% and 17.9%, respectively) or *Legionella pneumophila* serogroup 1 (28.4% and 35.5%, respectively).

Risk factors for death

Both before and after 11 March, a higher CURB65 score was significantly associated with an increased risk of death; the mortality also increased by age group, but the statistical evidence of this increase was weak. After the disaster, male gender and pre-hospital antibiotics use were associated with a higher risk of death after adjusting for other factors, and staying at an evacuation shelter was associated with a lower risk of death, although the significance was only marginal after adjustment. However, their effects on death were similar to the baseline figures (see online supplementary appendix table 2).

Near-drowning-related pneumonia

A history of exposure to tsunami water on 11 March was recorded in 10 patients. Among them, eight (3.6% of the disaster-related cases) were near-drowning-related pneumonia; seven were women, three were inside a car when engulfed by the tsunami, and one died from the disease. The median age was younger than that of other disaster-related pneumonia patients (62 years vs 79 years, p<0.001).

DISCUSSION

In this report, we documented a substantial increase in the rate of pneumonia-related hospital admissions and deaths in Kesennuma among adults of all age groups soon after the Tohoku earthquake and tsunami. The clinical and microbiological characteristics of the post-disaster patients were similar to those of the pre-disaster patients. The vast majority of the victims were older people. Because this disaster affected a notably aging population with the highest baseline pneumonia incidence rate, the disaster caused a drastic increase in the number of admissions and placed a heavy burden on local hospitals.

Although the causal mechanism was not fully established, our findings suggested that multiple factors have contributed to this outbreak. The largest increase in the pneumonia burden was observed in nursing home residents, the majority of which were older people with physical and mental limitations and needed assistance with daily activities. A sudden change in their living

Downloaded from thorax.bmj.com on May 21, 2013 - Published by group.bmj.com

Table 1 Characteristics of confirmed pneumonia cases by residence, before and after the 2011 Tohoku earthquake and tsunami, Kesennuma City, Miyagi, Japan

	Pre-disaster period (1 March 2010–10 March 2011)†			Post-disaster period (11 March–30 June 2011)†				
	Residential category*			Residential category**				
Characteristics	Total (n=225)	Home (n=193)	Nursing home (n=32)	Total (n=217)	Home (n=117)	Nursing home (n=40)	Evacuation shelter (n=60)	Pre-disaster vs post-disaster period p Value‡
Female sex (%)	98 (43.6)	77 (39.9)	21 (65.6)	93 (42.9)	46 (39.3)	26 (65)	21 (35)	0.882
Age category (%)								
18–49 years	13 (5.8)	12 (6.2)	1 (3.1)	4 (1.8)	3 (2.6)	0 (0)	1 (1.7)	0.161§
50–64 years	21 (9.3)	20 (10.4)	1 (3.1)	18 (8.3)	10 (8.6)	3 (7.5)	5 (8.3)	
65–79 years	61 (27.1)	56 (29)	5 (15.6)	67 (30.9)	32 (27.4)	6 (15)	29 (48.3)	
≥80 years	130 (57.8)	105 (54.4)	25 (78.1)	128 (59)	72 (61.5)	31 (77.5)	25 (41.7)	
Duration of symptoms before admission (%)								
≤2 days	109 (48.4)	91 (47.2)	18 (56.3)	114 (52.5)	59 (50.4)	25 (62.5)	30 (50)	0.434
3 days or more	109 (48.4)	96 (49.7)	13 (40.6)	98 (45.2)	54 (46.2)	14 (35)	30 (50)	
Antibiotics prescribed before admission (%)	32 (14.2)	23 (11.9)	9 (28.1)	29 (13.4)	7 (6)	10 (25)	12 (20)	0.794
With underlying conditions (%)	129 (57.3)	107 (55.4)	22 (68.7)	121 (55.8)	64 (54.7)	30 (75)	27 (45)	0.739
CURB65 score (%)								
3–5 (severe)	26 (11.6)	23 (11.9)	3 (9.4)	27 (12.4)	10 (8.6)	13 (32.5)	4 (6.7)	0.916
0–2 (less severe)	186 (82.7)	159 (82.4)	27 (84.4)	179 (82.5)	97 (82.9)	26 (65)	56 (93.3)	
Deceased (%)	39 (17.3)	31 (16.1)	8 (25)	52 (24)	28 (23.9)	18 (45)	6 (10)	0.085
Microbiological tests performed	145 (64.4)	129 (66.8)	16 (50)	139 (64.1)	74 (63.3)	22 (55)	43 (71.7)	0.932
Positive for *Streptococcus pneumoniae*¶	15 (6.7)	13 (6.7)	2 (6.3)	22 (10.1)	9 (7.7)	4 (10)	9 (15)	0.402
Positive for *Haemophilus influenzae*	3 (1.3)	3 (1.5)	0 (0)	14 (6.5)	7 (6)	0 (0)	7 (11.7)	0.013§
Positive for *Klebsiella pneumoniae*	8 (3.6)	6 (3.1)	2 (6.2)	11 (5.1)	5 (4.3)	4 (10)	2 (3.3)	0.698

*The characteristics differed by residential categories for gender (p=0.007) and pre-hospital antibiotic treatment (p=0.015).
†The pre-disaster and pos-disaster cases were categorised according to the date of onset. The near-drowning-related cases were excluded.
‡Characteristics were compared between the pre-disaster and post-disaster cases. χ^2 tests were performed unless otherwise indicated.
§Fisher's exact test.
¶Either a bacterial culture was isolated or a rapid urinary antigen test was positive.
**The characteristics differed by residential categories for gender (p=0.006), age group (p=0.012), pre-hospital antibiotic treatment (p=0.002), presence of underlying conditions (p=0.012), clinical severity (p<0.001) and fatality (p<0.001).

environment after the disaster, such as a lack of appropriate nutrition, the loss of regular medicines and a shortage of caregivers, must have worsened their conditions.[16] It should be noted that many caregivers were also victims who lost their families, friends and homes. This may have been reflected by the fact that the highest mortality rate among patients from nursing homes occurred in the early post-disaster period (results not shown). A high incidence was also observed in the residents of evacuation shelters. Crowding is a risk factor for *S pneumoniae* and *H influenzae* infection,[17 18] and we found that these pathogens, particularly *H influenzae*, were isolated more frequently in patients from evacuation shelters.

The increased incidence observed in all residential places suggests that other factors which were shared by all survivors have also played an important role. First, hypothermia is known to increase the risk of subsequent infections, including pneumonia.[19 20] On 11 March, it was snowing in northern Miyagi. All survivors were suddenly left without running water, gas, electricity or oil in freezing weather (−3 to −5°C at night; see online supplementary appendix figure 3). The majority of the evacuation shelters were not sufficiently equipped with heating and blankets immediately after the disaster. Second, people experience stress reactions after the disaster. Psychological stress weakens the immune system and may increase the risk of respiratory infections.[21 22] Third, the medical supply systems have drastically changed. Soon after the disaster, more than a hundred relief teams arrived in Kesennuma and initiated care for survivors; this change may have increased the chance of identifying patients with pneumonia.

The abovementioned reasons also explain the decline in pneumonia cases after May; the temperature increase, improvements in living conditions (water, gas and electricity had been fully restored by the end of May), recovery of medical supplies, and the decline in the number of evacuees reduced the risks of pneumonia. However, in our study, it was impossible to know what factors have truly contributed to this outbreak.

Pneumonia outbreaks after natural disasters have never been documented in the past. In 2005, Nishikiori and colleagues conducted a cross-sectional survey (n=3533 individuals) in Sri Lanka after the Indian Ocean tsunami,[23] and no deaths were reported between one week and two and a half months after the tsunami. The different findings in Sri Lanka may be explained by the difference in population structures. If we projected our age group-specific estimates onto a population in Sri Lanka, where the proportions of people aged ≥65 years and ≥80 years in 2004 were 7% and <0.5%, respectively, the overall impact on pneumonia admission and mortality would decrease by almost 80%. Therefore, it is plausible that the impact of

Downloaded from thorax.bmj.com on May 21, 2013 - Published by group.bmj.com

Table 2 Incidence of pneumonia hospitalisations and pneumonia-associated mortality among people aged ≥18 years before and after the 2011 Tohoku earthquake and tsunami, Kesennuma City, Miyagi, Japan

	Pre-disaster period (1 March 2010–10 March 2011)*			Post-disaster period (11 March 2011–30 June 2011)*			
	Pop.†	N‡	Weekly incidence rate§ (95% CI)	Pop.†	N‡	Weekly incidence rate§ (95% CI)	Rate ratio (95% CI)¶
Pneumonia hospitalisations							
Total	63365	305	9.2 (8 to 10.4)	61104	208	38.3 (28.6 to 48)	5.7 (3.9 to 8.4)
Age category (years)							
18–49	23354	14	1 (0.4 to 1.5)	22291	6	3.6 (-0.4 to 7.7)	10 (1.9 to 54.3)
50–64	17590	24	2.5 (1.3 to 3.6)	17245	18	7.3 (0.6 to 14)	6.1 (1.5 to 24.7)
65–79	15803	85	10.6 (8.2 to 13.1)	15241	62	62.6 (37.5 to 87.7)	6.2 (3.3 to 11.5)
80+	6618	182	52.3 (43.8 to 60.8)	6327	122	193.3 (129.1 to 257.5)	5.2 (3.2 to 8.5)
Residence location							
Home	62239	262	8.1 (7 to 9.2)	54460	111	21 (12.9 to 29)	2.7 (1.7 to 4.4)
Nursing home	1126	43	57 (38.6 to 75.5)	796	38	882.8 (481.3 to 1284.3)	28.2 (11.7 to 68)
Evacuation shelter	–	–	–	5848	59	328.7 (190.8 to 466.7)	10.2 (6.2 to 16.9)
Pneumonia-associated deaths							
Total	63365	55	1.6 (1.2 to 2.1)	61104	49	12.8 (7.5 to 18.1)	8.9 (4.4 to 17.8)
Age category (years)							
18–79	56747	13	0.4 (0.2 to 0.7)	54777	12	8.7 (3 to 14.4)	18.6 (5.3 to 64.9)
80-	6618	42	12 (8.5 to 15.5)	6327	37	66.3 (32.8 to 99.8)	6.7 (3 to 14.8)
Residence location							
Home	62239	46	1.4 (1 to 1.8)	54460	27	7.1 (2.7 to 11.5)	4.8 (2 to 11.2)
Nursing home	1126	9	12.4 (4.5 to 20.3)	796	17	555.2 (216.6 to 893.7)	40.6 (9.1 to 180.8)
Evacuation shelter	–	–	–	5848	5	80.6 (0.2 to 160.9)	11.6 (3.7 to 36.2)

*The pre-disaster and post-disaster cases were categorised according to the date of onset. The near-drowning-related cases were excluded.
†Population in 28 February 2011 for the pre-disaster period and in 31 May 2011 for the post-disaster period. The population in each residential category reflects the period average. Data provided by Kesennuma City Hall.
‡Number of patients living in Kesennuma.
§Per 100 000 people. Weekly incidence rates were estimated using segmented generalised linear Poisson regression models allowing for time trends and the change in the population size.
¶Rate ratios were estimated using segmented generalised linear Poisson regression models. Rate ratios for evacuation shelter residents were estimated using the overall pre-disaster incidence as a reference.

disasters on pneumonia incidence was overlooked in developing countries with relatively young populations.

A comparable event may have been observed in Japan after the Hanshin-Awaji earthquake that occurred in Hyogo Prefecture (where 15% of the population were aged ≥65 years) in January 1995. Among 1948 patients admitted for illness during the first 15 days after the earthquake, 418 (21%) had pneumonia. Their average age was 66 years,[24] although population-based impact estimates were unavailable. In contrast, no pneumonia outbreak was documented after Hurricane Katrina, which occurred during the summer.[25 26] Freezing temperatures may be a critical factor in pneumonia outbreaks after a disaster.

In our study, eight cases of near-drowning-related pneumonia were identified. Pneumonia associated with the aspiration of tsunami water drew global attention after a series of melioidosis cases among the Indian Ocean tsunami survivors was reported.[8–10] This condition has been sometimes referred to as 'tsunami lung', which is defined as pneumonia caused by the aspiration of tsunami water containing soil, oil and sewage.[27 28] However, there is no evidence that this condition is distinct from seawater drownings unrelated to tsunami disasters. Furthermore, the clinical characteristics of victims of the Indian Ocean tsunami may not be comparable to those of patients in settings where *Burkholderia pseudomallei* is not endemic, as in our case. Natural disasters do not cause new diseases that are not endemic to the affected area.[29–31] The term 'tsunami lung' must be used with caution to avoid media sensationalism.

The limitations of our study arise from the nature of hospital-based data collection. In Japan, 70% of the medical costs for people aged <70 years and 80–90% of the medical costs for people aged ≥70 years are covered by insurance,[32] and all medical fees for the disaster-affected people were waived after 11 March.[33] The cost was not a barrier to hospitalisation throughout the study period. Non-pneumonia diseases, such as heart failure, might have been misdiagnosed as pneumonia during the post-disaster period especially among older patients. However, the cases in this study were confirmed by experts using a standardised case definition, and the microbiological confirmation rate was similar between the pre-disaster and post-disaster period. Thus, the impact of misclassification and potential changes in admission criteria on our incidence estimates must be minimal. However, due to the limited microbiological data, the aetiology of our cases was not fully established.

Pneumonia and pneumonia-related deaths among older people have been overlooked in emergency preparedness and humanitarian responses, most likely because both are common events in this population. The key findings of our study are: disaster-affected people, especially those exposed to stressful living conditions, are at high risk of developing pneumonia and pneumonia-related death during the emergency phase of a disaster; and the pneumonia burden becomes substantial in areas with an aging population. This situation may arise in low-income and middle-income countries, as their populations are rapidly aging.[34] In addition to using the PPV23 or pneumococcal conjugate vaccine for disaster-affected populations, the provision of optimal living conditions, medical check-ups and oral hygiene care must be a priority for older people after natural disasters.[35]

Downloaded from thorax.bmj.com on May 21, 2013 - Published by group.bmj.com

Acknowledgements The authors are grateful to the staff at Kesennuma City Hospital for their technical support, and to Ms Michiko Sasaki of Kesennuma Motoyoshi Hospital for her field support.

Contributors HD, MS and SO formulated the research question and designed the study. HD, MS and KA wrote research grants. HD, MS, JS, HO, KM, MI, WE and SO collected the clinical and demographic data. HD, MS, TK, MH and KA analysed the data. HD, MS, PEK, KM, TK, HO, MH, KH, KA and SO interpreted the findings. HD, MS, PEK, KA and SO wrote the report. HD and MS contributed equally.

Funding This work was supported by the Kesennuma City Hospital, Nagasaki University, Daiwa Securities Health Foundation, and the Japanese Ministry of Education, Culture, Sports, Science & Technology (JSPS KAKENHI Grant Number 24790801). The study sponsors were not involved in the study design and the collection, analysis and interpretation of data, or the writing of the article or the decision to submit it for publication. The authors were independent from the study sponsors.

Competing interests None.

Ethics approval The Institutional Review Board of Kesennuma City Hospital.

Provenance and peer review Not commissioned; internally peer reviewed.

Open Access This is an Open Access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 3.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited and the use is non-commercial. See: http://creativecommons.org/licenses/by-nc/3.0/

REFERENCES

1. Nagamatsu S, Maekawa T, Ujike Y, *et al*. The earthquake and tsunami—observations by Japanese physicians since the 11 March catastrophe. *Crit Care* 2011;15:167.
2. Damage situation and police countermeasures associated with 2011 Tohoku district—off the Pacific Ocean Earthquake, March 28, 2012. National Police Agency of Japan; 2012. http://www.npa.go.jp/archive/keibi/biki/higaijokyo_e.pdf (accessed 10 Feb 2013).
3. McCurry J. Japan: the aftermath. *Lancet* 2011;377:1061–2.
4. Cabinet Office Government of Japan. Transition of the number of evacuees after the Great East Japan Earthquake. Cabinet Office, Government of Japan. http://www.cao.go.jp/shien/en/2-count/annex1-2.pdf (accessed 7 February 2013).
5. Woersching JC, Snyder AE. Earthquakes in El Salvador: a descriptive study of health concerns in a rural community and the clinical implications, part I. *Disaster Manag Response* 2003;1:105–9.
6. Akbari ME, Farshad AA, Asadi-Lari M. The devastation of Bam: an overview of health issues 1 month after the earthquake. *Public Health* 2004;118:403–8.
7. Guha-Sapir D, van Panhuis WG. Health impact of the 2004 Andaman Nicobar earthquake and tsunami in Indonesia. *Prehosp Disaster Med* 2009;24:493–9.
8. Chierakul W, Winothai W, Wattanawaitunechai C, *et al*. Melioidosis in 6 tsunami survivors in southern Thailand. *Clin Infect Dis* 2005;41:982–90.
9. Allworth AM. Tsunami lung: a necrotising pneumonia in survivors of the Asian tsunami. *Med J Aust* 2005;182:364.
10. Athan E, Allworth AM, Engler C, *et al*. Melioidosis in tsunami survivors. *Emerg Infect Dis* 2005;11:1638–9.
11. Pneumonia cases rise in Tohoku. *The Japan Times* 10 April 2011.
12. Levy ML, Le Jeune I, Woodhead MA, *et al*. Primary care summary of the British Thoracic Society Guidelines for the management of community acquired pneumonia in adults: 2009 update. Endorsed by the Royal College of General Practitioners and the Primary Care Respiratory Society UK. *Prim Care Respir J* 2010;19:21–7.
13. Chalmers JD, Singanayagam A, Akram AR, *et al*. Severity assessment tools for predicting mortality in hospitalised patients with community-acquired pneumonia. Systematic review and meta-analysis. *Thorax* 2010;65:878–83.
14. Barros AJ, Hirakata VN. Alternatives for logistic regression in cross-sectional studies: an empirical comparison of models that directly estimate the prevalence ratio. *BMC Med Res Methodol* 2003;3:21.
15. Wagner AK, Soumerai SB, Zhang F, *et al*. Segmented regression analysis of interrupted time series studies in medication use research. *J Clin Pharm Ther* 2002;27:299–309.
16. Tanida N. What happened to elderly people in the great Hanshin earthquake. *BMJ* 1996;313:1133–5.
17. Watanabe H, Batuwanthudawe R, Thevanesam V, *et al*. Possible prevalence and transmission of acute respiratory tract infections caused by Streptococcus pneumoniae and Haemophilus influenzae among the internally displaced persons in tsunami disaster evacuation camps of Sri Lanka. *Intern Med* 2007;46:1395–402.
18. Dagan R, Gradstein S, Belmaker I, *et al*. An outbreak of Streptococcus pneumoniae serotype 1 in a closed community in southern Israel. *Clin Infect Dis* 2000;30:319–21.
19. Pio A, Kirkwood BR, Gove S. Avoiding hypothermia, an intervention to prevent morbidity and mortality from pneumonia in young children. *Pediatr Infect Dis J* 2010;29:153–9.
20. Laupland KB, Zahar JR, Adrie C, *et al*. Severe hypothermia increases the risk for intensive care unit-acquired infection. *Clin Infect Dis* 2012;54:1064–70.
21. Baker DG, Nievergelt CM, O'Connor DT. Biomarkers of PTSD: neuropeptides and immune signaling. *Neuropharmacology* 2012;62:663–73.
22. Luft BJ, Schechter C, Kotov R, *et al*. Exposure, probable PTSD and lower respiratory illness among World Trade Center rescue, recovery and clean-up workers. *Psychol Med* 2012;42:1069–79.
23. Nishikiori N, Abe T, Costa DG, *et al*. Timing of mortality among internally displaced persons due to the tsunami in Sri Lanka: cross sectional household survey. *BMJ* 2006;332:334–5.
24. Matsuoka T, Yoshioka T, Oda J, *et al*. The impact of a catastrophic earthquake on morbidity rates for various illnesses. *Public Health* 2000;114:249–53.
25. Centers for Disease Control and Prevention. Mortality associated with Hurricane Katrina—Florida and Alabama, August–October 2005. *MMWR Morb Mortal Wkly Rep* 2006;55:239–42.
26. Centers for Disease Control and Prevention. Morbidity surveillance after Hurricane Katrina—Arkansas, Louisiana, Mississippi, and Texas, September 2005. *MMWR Morb Mortal Wkly Rep* 2006;55:727–31.
27. Inoue Y, Fujino Y, Onodera M, *et al*. Tsunami lung. *J Anesth* 2012;26:246–9.
28. Potera C. In disaster's wake: tsunami lung. *Environ Health Perspect* 2005;113:A734.
29. Floret N, Viel JF, Mauny F, *et al*. Negligible risk for epidemics after geophysical disasters. *Emerg Infect Dis* 2006;12:543–8.
30. Watson JT, Gayer M, Connolly MA. Epidemics after natural disasters. *Emerg Infect Dis* 2007;13:1–5.
31. Kouadio IK, Aljunid S, Kamigaki T, *et al*. Infectious diseases following natural disasters: prevention and control measures. *Expert Rev Anti Infect Ther* 2012;10:95–104.
32. Ikegami N, Yoo BK, Hashimoto H, *et al*. Japanese universal health coverage: evolution, achievements, and challenges. *Lancet* 2011;378:1106–15.
33. The Ministry of Health Law. Medical fees for the disaster-affected people in Tohoku Region. The Ministry of Health, Labor and Welfare; 2011. http://www.med.or.jp/etc/eq201103/hoken/110311eq9.pdf (accessed 10 Feb 2013).
34. Lutz W, Sanderson W, Scherbov S. The coming acceleration of global population ageing. *Nature* 2008;451:716–19.
35. Terpenning M. Geriatric oral health and pneumonia risk. *Clin Infect Dis* 2005;40:1807–10.