



Figure 4. Ratios of CSF A $\beta$ 1-42/A $\beta$ 1-40, A $\beta$ 1-42/A $\beta$ 1-38, ptau-181/A $\beta$ 1-42 and ptau-181/A $\beta$ 1-38. (A) The ratio of A $\beta$ 1-42/A $\beta$ 1-40 in lvPPA and AD was significantly decreased compared to those of naPPA, svPPA and ND (\*\* $p < 0.0001$ ). (B) The ratio of A $\beta$ 1-42/A $\beta$ 1-38 in lvPPA and AD was significantly decreased compared to those naPPA, svPPA and ND (\*\* $p < 0.0001$ ). (C) The ratio of ptau-181/A $\beta$ 1-42 in lvPPA and AD was significantly increased compared to those naPPA, svPPA and ND (\*\* $p < 0.0001$ ). (D) The ratio of ptau-181/A $\beta$ 1-38 in lvPPA and AD was significantly increased compared to those naPPA, svPPA and ND (\*\* $p < 0.0001$ ). The bar in Figure shows average data. Asterisks denote significantly impaired at \*\* $p < 0.0001$  (Mann-Whitney test).

the frequency of the ApoE  $\epsilon$ 4 allele in AD patients in Japan [29,30]; however, it appears lower than a previous publication [6].

## Discussion

Our study of PPA is based on the analysis of 24 patients diagnosed with a primary progressive language disorder. For the PPA patients in our study, there were clear clinical features, in which, SLTA scores of ‘‘Naming’’, ‘‘Word repetition’’, ‘‘Sentence repetition’’, ‘‘Auditory single-word comprehension’’ and ‘‘Auditory sentence comprehension commands’’ in svPPA were significantly less than those of naPPA and lvPPA. Relatively early age of onset, disease duration and education years were similar among the three clinical variants of PPA. These findings were compatible to those from a previous publication [6,9].

In our study, the MRI of PPA patients generally revealed left-sided dominant brain atrophy, with left posterior frontal lobe and insular atrophy for naPPA, left anterior temporal lobe atrophy for svPPA, and left temporal lobe and perisylvian region atrophy for lvPPA.  $^{99m}\text{Tc}$  ECD-SPECT presented hypoperfusion in the left posterior frontal lobe/perisylvian region for naPPA, hypoperfusion in the left anterior temporal lobe for svPPA, and hypoperfusion in the left posterior perisylvian region/parietal lobe for lvPPA. In contrast, an early-onset AD patient showed bilateral hypoperfusion in the posterior cingulate to parietal lobe and frontal lobe. FDG-PET also showed hypometabolism in the left posterior frontal lobe for naPPA, left temporo-anterior lobe

for svPPA, and left temporo-parietal lobe and the posterior cingulate for lvPPA. In contrast, an early-onset AD patient showed hypoperfusion/hypometabolism bilaterally in the frontal and temporal lobes and the posterior cingulate to parietal lobe. Our  $^{11}\text{C}$  PiB-PET study showed PiB positive findings in the fronto-temporal cortices bilaterally and the posterior cingulate for lvPPA and AD, and PiB negative findings for naPPA and svPPA.

The results of AD-CSF biomarkers for the lvPPA patients were quite similar to those for AD patients, presenting significantly higher frequency of the ApoE  $\epsilon$ 4 allele in lvPPA patients than in naPPA and svPPA patients. Recently, the level of CSF A $\beta$ 1-38 for FTD patients was reported to be significantly lower compared to the other diagnostic groups of PPA patients (not classified clinical variants), AD and ND [14]. The AD-CSF markers are reported to be closely correlated to those of the lvPPA patients, while not correlated to those of naPPA and svPPA patients [15].

In our study, we observed no differences between the three PPA variants and AD in the levels of CSF A $\beta$ 1-38 and CSF A $\beta$ 1-40. Additionally, we have confirmed lower levels of CSF A $\beta$ 1-42 and higher levels of CSF ptau-181 and a higher ratio of ptau-181/A $\beta$ 1-42 for AD and lvPPA than those for the other two clinical variants (naPPA and svPPA) and ND, as previous reports [8,15,31].

Furthermore, we revealed that lvPPA patients showed significantly lower ratios of A $\beta$ 1-42/A $\beta$ 1-40 and A $\beta$ 1-42/A $\beta$ 1-38, whereas the ratios of ptau-181/A $\beta$ 1-42 and ptau-181/A $\beta$ 1-38 were significantly higher than those of naPPA, svPPA and ND. We observed neither higher levels of

CSF A $\beta$ 1-38 for lvPPA nor AD compared to naPPA, svPPA and ND. With a higher frequency of the ApoE  $\epsilon$ 4 allele in lvPPA, these patients might share a common pathological mechanism of Alzheimer's disease in biochemical pathways and pathology [31,32].

With the results of CSF and neuroimages including  $^{11}\text{C}$  PiB-PET, we could diagnose lvPPA for AD and other variants of PPA more exactly. Our findings are the first report in Japan including Asian ethnics whose language structure differs from Western languages; which may support a common pathogenicity worldwide. The lvPPA might have a different pathogenesis from other two variants of PPA and may be a variant of AD in most cases from points of patho-biochemical findings [33]. Migliaccio et al. reported that lvPPA and posterior cortical atrophy (PCA) showed overlapping anatomic and biologic features with early age at onset of Alzheimer's disease [34]. Magnin et al. described that lvPPA is frequently found in PCA and may be associated with poor performance on verbal neuropsychological tasks, especially verbal memory [35]. They suggest that these clinical syndromes represent the spectrum of clinical manifestation of the non-typical form of AD that presents at early age.

Very recently, the use of PBB3 for tau PET study was developed, which enables detection of tau accumulation in mutant tau transgenic mice and AD patients [36]. By detecting the distribution and accumulation of A $\beta$  and tau with  $^{11}\text{C}$  PiB-PET and  $^{11}\text{C}$  PBB3-PET for PPA patients, we could describe in more detail the correlation of both accumulation of A $\beta$  and tau in brains of PPA patients, and better understand the pathogenesis of speech and dementia.

## Conclusions

There were clear clinical features and neuroimaging findings in naPPA, svPPA and lvPPA, as well as changes in AD-CSF biochemical markers (decrease of A $\beta$ 1-42 and increase of ptau-181) in lvPPA as well as AD. In our studies, lvPPA showed a higher ratios of ptau-181/A $\beta$ 1-42, ptau-181/A $\beta$ 1-38 and a higher frequency of the ApoE  $\epsilon$ 4 allele as compared to naPPA and svPPA; these findings, accompanying the results of neuroimaging including  $^{11}\text{C}$  PiB-PET, demonstrate a common mechanism of AD and lvPPA.

## Declaration of interest

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## Short Communication

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# Lack of Genetic Association Between *TREM2* and Late-Onset Alzheimer's Disease in a Japanese Population

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**Abstract.** Rare non-synonymous variants of *TREM2* have recently been shown to be associated with Alzheimer's disease (AD) in Caucasians. We here conducted a replication study using a well-characterized Japanese sample set, comprising 2,190 late-onset AD (LOAD) cases and 2,498 controls. We genotyped 10 non-synonymous variants (Q33X, Y38C, R47H, T66M, N68K, D87N, T96K, R98W, H157Y, and L211P) of *TREM2* reported by Guerreiro *et al.* (2013) by means of the TaqMan and dideoxy sequencing methods. Only three variants, R47H, H157Y, and L211P, were polymorphic (range of minor allele frequency [MAF], 0.0002–0.0059); however, no significant association with LOAD was observed in these variants. Considering low MAF of variants examined and our study sample size, further genetic analysis with a larger sample set is needed to firmly evaluate whether or not *TREM2* is associated with LOAD in Japanese.

**Keywords:** Alzheimer's disease, Japanese, rare variants, SNP, *TREM2*

## INTRODUCTION

Alzheimer's disease (AD) is the main cause of dementia in the elderly. AD is thought to be caused by complex interactions between genetic and environmental factors. A twin study demonstrated that the heritability of late-onset AD (LOAD) is approximately 60–80% [1]. It is also assumed that multiple genes/loci contribute to LOAD development [2]. Rare non-synonymous mutations of *APP*, *PSEN1*, and *PSEN2* are well known to cause familial cases of early-onset AD (EOAD) [3], which accounts for several percent

of AD. Concerning LOAD, genome-wide association studies with large numbers of subjects have been conducted, based on the common diseases-common variants hypothesis. As a result, over a dozen genes other than *APOE* have been to be associated with the susceptibility to LOAD [4–10].

*TREM2* was recently identified as a novel susceptibility gene for LOAD in Caucasians by two independent study groups [11, 12], both studies being performed on the basis of the common diseases-rare variants hypothesis. A noteworthy fact is that the most significant non-synonymous variant, R47H

(rs75932628: CGC→CAC; and minor allele frequency [MAF] < about 1%), located within exon 2 of *TREM2*, shows an odds ratio (OR) range of 2.0–5.0 [11, 12], which is almost equal to the risk magnitude for the *APOE*- $\epsilon$ 4 allele [13, 14]. The association of this variant with LOAD [15–19] as well as EOAD [20] has been reproducibly confirmed in multiple Caucasian populations. As to Asians, at present there has only been one genetic association study on *TREM2* variants and LOAD, a northern Han Chinese population being involved [21]. In that study, it was demonstrated that no *TREM2* variants, including R47H, examined show significant association with LOAD [21]. It is assumed that *TREM2* may be a Caucasian-specific susceptibility gene for AD. Therefore, in this study we attempted to replicate the association of *TREM2* with LOAD utilizing a Japanese sample set, comprising 4,688 subjects in total.

## SUBJECTS AND METHODS

### Subjects

This study was approved by the Institutional Review Board of Niigata University and by all participating institutes. All subjects were Japanese and anonymously genotyped.

We prepared a Japanese sample set, comprising 2,190 LOAD cases (clinically-verified,  $n = 1,977$ ; and neuropathologically-characterized,  $n = 213$ ) and 2,498 controls (clinically-verified,  $n = 2,128$ ; and neuropathologically-characterized,  $n = 370$ ) (Table 1). From power analysis on the basis of Guerreiro et al.'s study with Caucasians [11], this sample set was estimated to be large enough to detect risk alleles with an OR of 1.1–2.5 (range of risk allele frequency = 0.01–0.99,  $\alpha = 0.05$ , power = 80%) [29]. A large proportion of the clinically-verified subjects were the same (74.8%) as those in the overall sample set used in our previous genetic study on *GAB2* [22]. The LOAD patients met the criteria of the National Institute of Neurological and Communicative Disorders and Stroke-Alzheimer's Disease and Related Disorders Association for a diagnosis of probable AD [23]. Non-dementia controls were recruited from among elderly people living in an unassisted manner in the local community. Mini-Mental State Examination [24], Clinical Dementia Rating [25], and/or Function Assessment Staging [26] were applied to assess the severity of the cognitive impairment. All neuropathologically-characterized subjects were utilized in our recent genetic study on *SORL1* [27].

Extraction and quantification of genomic DNA, and *APOE* genotyping are described elsewhere [27, 28]. The *APOE* alleles exhibited strong association with LOAD, as expected:  $p_{\text{allele}} = 6.71\text{E-}171$  with  $\chi^2$  test ( $\chi^2$  value = 783.7, degree of freedom = 2), and  $\text{OR}_{\epsilon 4/\epsilon 3}$  (95% confidence interval [CI]) = 4.81 (4.26–5.42) and  $\text{OR}_{\epsilon 2/\epsilon 3}$  (95% CI) = 0.59 (0.46–0.76).

### *TREM2* variants and genotyping

To determine whether or not *TREM2* is associated with LOAD in Japanese, we focused on 12 non-synonymous variants of this gene, which were examined in Guerreiro et al.'s study with Caucasians [11]: Q33X (rs104894002), Y38C (rs ID, not available), R47H (rs75932628), R62H (rs143332484), T66M (rs201258663), N68K (rs ID, not available), D87N (rs142232675), T96K (rs2234253), R98W (rs147564421), R136Q (rs149622783), H157Y (rs2234255), and L211P (rs2234256). However, two variants, R62H and R136Q, were excluded since one (R62H) did not satisfy the design criteria for the TaqMan<sup>®</sup> genotyping assay and the other (R136Q) did not work well on TaqMan<sup>®</sup> genotyping. Consequently, we determined the genotypes of the remaining ten *TREM2* variants using the TaqMan<sup>®</sup> method (Table 2, Supplementary Table 1). Heterozygotes were further evaluated by means of dideoxy DNA sequencing. Information on sequencing primers is available on request.

### Statistical analysis

To detect genotyping errors, a Hardy-Weinberg equilibrium (HWE) test based on Fisher's exact test was conducted. From a  $2 \times 2$  contingency table (case-control status and genotype [MM and Mm]), we computed genotypic  $p$  ( $p_{\text{genotype}}$ ) based on Fisher's exact test and OR with 95% CI as the relative risk of disease for each polymorphic variant. We further performed multiple variant analysis as one of gene-based case-control association studies: distribution of minor-allele carriers (Mm) and non-carriers (MM) as to three polymorphic variants, R47H, H157Y and L211P, was compared between cases and controls on the basis of  $\chi^2$  test from a  $2 \times 2$  contingency table. Subjects with undetermined genotype data in these variants were omitted for this analysis, with 4,582 subjects remaining. We used SNPalyze software (DYNACOM, Japan; <http://www.dynacom.co.jp/>) for these statistical analyses, as described in detail elsewhere [35].

The statistical significance was set at  $p < 0.05$ .

Table 1  
Demographics of the study sample set

	No. of subjects (Female %)	Age		<i>APOE</i> allele frequency		
		Mean (SD)	Range	$\epsilon 2$	$\epsilon 3$	$\epsilon 4$
Cases	2,190 (70.1)	75.2 (6.2)	57–102	0.02	0.67	0.31
Controls	2,498 (54.9)	76.3 (6.6)	65–105	0.05	0.87	0.08

SD, standard deviation.

## RESULTS AND DISCUSSION

We attempted to replicate the association of *TREM2* with LOAD in a Japanese sample set, comprising 4,688 subjects in total: cases,  $n=2,190$ ; and controls,  $n=2,498$  (Table 1). Three variants, R47H, H157Y, and L211P, were found to be polymorphic; however, the remaining seven, Q33X, Y38C, T66M, N68K, D87N, T96K, and R98W, did not show polymorphisms (Table 2, Supplementary Table 1). The MAF of the variants, R47H, H157Y, and L211P, were less than 0.01 (Supplementary Table 1). Concerning variant R47H [11, 12], three heterozygous subjects were observed: one clinically-verified case (female, age at onset of 76 years old, and *APOE*- $\epsilon 3^*3$ ) and two neuropathologically-characterized controls (one female, age at death of 99 years old, and *APOE*- $\epsilon 3^*3$ ; and one male, age at death of 79 years old, and *APOE*- $\epsilon 3^*3$ ). Variant L211P exhibited the highest MAF among them: 0.0041 in cases and 0.0059 in controls (Supplementary Table 1). Variants R47H, H157Y, and L211P were all in HWE (Supplementary Table 1). In both single and multiple variant analyses, we observed no significant association of *TREM2* with LOAD (Table 2).

*TREM2* is mainly expressed in microglia in the brain [30]. This protein directly interacts with a type I transmembrane adapter protein, DAP12 [30]. Recent whole transcriptome analysis of microglia, purified from mouse brains by means of flow cytometry, revealed that *TREM2* belongs to a DAP12-centered protein network, in which multiple microglial marker proteins such as Cd68 are included [31]. A *TREM2*-DAP12 signaling pathway is involved in innate immune responses as well as the differentiation of myeloid progenitor cells into mature microglia [30, 32]. Microglia play an important role in the clearance of amyloid- $\beta$  protein in the brain [33]. Thus, it is likely that genomic variants of not only *TREM2* but also other genes involved in the *TREM2*-DAP12 signaling pathway may accelerate amyloid plaque deposition through microglial dysfunction [34]. Although none of the rare non-synonymous *TREM2* variants investigated here

exhibited association with LOAD in our sample sets (Table 2), we could not rule out the possibility that *TREM2* is one of the crucial proteins for AD from the point of view of biological functions of this protein.

In conclusion, we were not able to detect the significant association of *TREM2* variants examined with LOAD in Japanese, which is consistent with a recent study involving Chinese [21]. On the other hand, *TREM2* has been reproducibly shown to be strongly associated with both LOAD [15–19] and EOAD [20] in multiple Caucasian sample sets. Given these data, *TREM2* may contribute to the susceptibility of LOAD only in Caucasians, i.e., not or only weakly in Asians. However, considering the very low MAF of variants investigated (Table 2, Supplementary Table 1) and our study sample size (Table 1), a large-scale meta-analysis is further needed to comprehensively evaluate whether or not *TREM2* is associated with LOAD in Asians.

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Table 2  
Genotypic distribution of three polymorphic variants, R47H, H157Y, and L211P, on *TREM2* in Japanese

Single variant analysis		Allele		Cases (frequency)			Controls (frequency)			$P_{genotype}^a$	$OR_{Mm}$ (95% CI) <sup>b</sup>
Variant	dbSNP	M	m	MM	Mm	mm	MM	Mm	mm		
R47H	rs75932628	G	a	2,171 (0.9995)	1 (0.0005)	0 (0.0)	2,477 (0.9992)	2 (0.0008)	0 (0.0)	1.00E+00	0.57 (0.05–6.30)
H157Y	rs2234255	C	t	2,147 (0.9972)	6 (0.0028)	0 (0.0)	2,474 (0.9984)	4 (0.0016)	0 (0.0)	5.29E-01	1.73 (0.49–6.13)
L211P	rs2234256	T	c	2,161 (0.9917)	18 (0.0083)	0 (0.0)	2,461 (0.9884)	29 (0.0116)	0 (0.0)	3.04E-01	0.71 (0.39–1.28)
Multiple variant analysis		Combind genotype		Cases (frequency)			Controls (frequency)			$P_{genotype}^c$	$OR_{CG-2}$ (95% CI) <sup>d</sup>
Combind variant	Combind dbSNP	CG-1	CG-2	CG-1	CG-2	others	CG-1	CG-2	others		
R47H- H157Y- L211P	rs75932628- rs2234255- rs2234256		Ga-CC-TT, GG-CC-TT, GG-Ct-TT, GG-CC-Tc	2,104 (0.9883)	25 (0.0117)	0 (0.0)	2,419 (0.9861)	34 (0.0139)	0 (0.0)	5.26E-01	0.85 (0.50–1.42)

In single variant analysis, only three variants, L211P, H157Y, and R47H, are shown here since heterozygotes (Mm) were observed. M, major allele; m, minor allele; MM, major genotype; Mm, heterozygous genotype; mm, minor genotype; CG, combined genotype. <sup>a</sup>Fisher's exact test; <sup>b</sup> $OR_{Mm}$  (95% CI) for the heterozygote (Mm); <sup>c</sup>chi-squared test (degree of freedom = 1); <sup>d</sup> $OR_{CG-2}$  (95% CI) for CG-2 (Ga-CC-TT, GG-Ct-TT, and GG-CC-Tc).



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## SUPPLEMENTARY MATERIAL

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## REVIEW ARTICLE

# Early detection of dementia in the community under a community-based integrated care system

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Early detection of dementia is recommended in the stages from mild cognitive impairment to early dementia, excluding the asymptomatic stage. The advantages of early detection for patients and their caregivers include early receipt of pharmacological and non-pharmacological therapies, and early access to appropriate agencies and/or support networks. The disadvantages include psychological damage related to anxiety and depression, and risk of stigmatization and/or social exclusion. The possibility of false positive diagnoses is also problematic. For detection of dementia, various screening tests and questionnaires have been developed. However, none of these techniques are sensitive and specific enough to avoid false positives. Thus, these screening tools are recommended for assessment of the severity of functional decline after sufficient information has been gathered to suspect dementia. In terms of social services, early detection might delay institutionalization. However, implementation of early detection would add a heavy burden on social resources, especially human resources. For effective implementation of early diagnosis and management of dementia, measures are required to improve social and human resources, including the following: improvement of the diagnostic abilities of general practitioners, improvement of necessary care and support systems after diagnosis, and organizing volunteers to support local communities. Under a community-based integrated care system, each community will create a “tailored” system that meets the health needs, health status and values of the community. Promoting social participation and community involvement of the residents should be one of the key strategies to address the shortage of human resources. *Geriatr Gerontol Int* 2014; 14 (Suppl. 2): 2–10.

**Keywords:** community-based integrated care systems, early detection of dementia, social support, social resources, stigma and social exclusion.

## Introduction

Early detection of dementia is encouraged for individuals with dementia and their caregivers to ensure the benefits of accessing treatment, care and support; earlier detection and intervention is one of the main policies of the Five-Year Plan for Promotion of Measures against Dementia in Japan (Orange Plan; 2013–2017). However, there are disadvantages of early detection, as well as various advantages (Table 1). The most serious issue is the shortage of social resources, particularly human resources, as a result of an enormous increase in the number of demented individuals. Early diagnosis is beneficial only when effective treatment and appropriate social services are available; for treatment and care of dementia, medication alone is not sufficient, and social services and support are crucial. The present article aims to overview the advantages and disadvantages from the perspectives of the patients, their caregivers and

social services, and then to consider implementation under a community-based integrated care system.

## Early detection of dementia: at which stage of dementia should treatment be started?

There is a lack of consensus regarding at which stage of dementia treatment should be started: asymptomatic stage, symptomatic prodromal stage of dementia (mild cognitive impairment [MCI]) or early-stage dementia after the onset of the disease.

### *Asymptomatic stage*

Research study trends are moving to earlier detection of dementia, at the asymptomatic stage, aiming at the prevention of dementia. Most of these studies have focused on Alzheimer’s disease (AD); clinical and epidemiological evidence generally suggests the presence of a cognitive continuum from an asymptomatic phase to onset of AD, and the pathophysiological process of AD is thought to begin many years before the diagnosis of AD dementia.<sup>1</sup>

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**Table 1** Advantages and disadvantages of early diagnosis

	Advantages	Disadvantages
Patients	Receiving pharmacological and non-pharmacological therapies Access to appropriate agencies and support networks Prevention of behavioral and psychological symptoms of dementia	Psychological damage of anxiety and depression Risk of withdrawal, isolation, stigma and social exclusion Risk of false positive diagnosis
Families and caregivers	Mental preparation for disease progression Access to appropriate agencies and support networks	Stigma and exclusion Care burden from early stages
Social services	Net cost reduction effects including delay of institutionalized care	Shortage of social resources, including human resources

However, detection of AD in the asymptomatic stage is still in the research phase, and many challenges remain to be overcome. First of all, a firm link has not been shown between the appearance of any specific biomarker in the asymptomatic stage and the subsequent emergence of clinical symptoms of AD. As an associated issue, not all individuals who have evidence of AD pathology will necessarily progress to clinical AD dementia.<sup>2</sup> Regarding treatment, individuals might be left untreated with a high risk of developing AD without disease-modifying drugs. In addition, there has been little research on other causative diseases of dementia. Further research is required, because identification and classification of syndromes that will progress to subtypes of dementia are critical for the management of the diseases when modifiable therapies are available. The issue of costs cannot be ignored. In the asymptomatic stage, detection requires examination of biomarkers using costly brain-imaging techniques, such as positron emission tomography, glucose metabolism or  $\beta$ -amyloid accumulation.

#### *Symptomatic prodromal stage of dementia*

MCI is a prodromal stage of dementia characterized by cognitive decline greater than age-related changes, but the condition does not interfere notably with activities of daily living.<sup>3</sup> *The Diagnostic and Statistical Manual of Mental Disorders*, fifth edition (DSM-5), refers to a spectrum of cognitive and functional impairments, from mild neurocognitive disorders (mild NCD) to major neurocognitive disorders (major NCD). In major NCD, deterioration of cognitive function is severe enough to interfere with independence in everyday activities, whereas capacity for independence still remains in minor NCD.<sup>4</sup>

With optimal intervention during the stage of MCI, development to AD could be avoided or delayed, although MCI shows a high risk of progression to

dementia, particularly of the AD type; according to one report, conversion rates to AD were 41% after 1 year, and 64% after 2 years.<sup>5</sup> Thus, detection at the MCI stage could be meaningful for prevention of dementia, or at least to delay the onset of dementia.

#### *Early-stage dementia after the onset of the disease*

Once dementia has developed, the best that can be done is to slow the progression of the disease. In the present article, "early" detection is considered as detection at the stage from MCI to very early-stage dementia, excluding the asymptomatic stage.

### **Advantages and disadvantages of early detection of dementia**

#### *Advantages and disadvantages for patients*

Advantages for patients include optimizing the use of pharmacological and non-pharmacological therapies, as well as appropriate agencies and support networks provided as required after diagnosis. Early diagnosis is associated with a higher probability of prevention of behavioral and psychological symptoms of dementia (BPSD),<sup>6</sup> and detection of treatable causes, such as normal pressure hydrocephalus. Lifestyle-related diseases, which increase the risk of cognitive decline, might be treated from the perspective of control of the progression of dementia. Detection at the stage of MCI provides the possibility of preventing and/or slowing the development of dementia.

However, it should be noted that there is no conclusive evidence that early treatment with antidementia medication is more effective than late treatment,<sup>7</sup> and it is still unclear whether MCI and early-stage dementia should be medicated or not. In addition, further research is required to clarify the side-effects to the central nervous system.

The greatest disadvantages for patients are psychological damage and stigmatized labeling. A diagnosis of dementia is inevitably associated with deterioration of self-esteem and feelings of helplessness, which might be accelerated by potential loss or diminution of roles, and abrogation of control of property, money and possessions.<sup>8,9</sup> A diagnosis of dementia can lead to depression and anxiety, which are risk factors for developing cognitive deterioration. Regarding the influence of stress on AD pathology, the transgenic mouse model of AD showed that a synthesized adrenocortical hormone of a dexamethasone injection induced  $\beta$ -amyloid deposition and tau accumulation.<sup>10</sup> Indeed, many patients report feeling abandoned and unsupported after diagnosis.<sup>7</sup> A patient's mental health after diagnosis should be carefully considered, and appropriate mental support should be arranged, because an early diagnosis can accelerate the development of dementia.

Regarding stigma, it is undeniable that a diagnosis of dementia is stigmatizing, and can result in social exclusion and restriction of an individual's rights.<sup>11-14</sup> Withdrawal is also problematic. It is possible for individuals with MCI and very early-stage dementia to continue their social activities with the understanding of people around them. However, because of the prejudices of others, individuals with MCI or dementia tend to withdraw from social activities. In cases where the patients still work, early diagnosis might damage household income, especially with early-onset dementia. Even if the patients are capable enough to work, continuation can become difficult because of prejudices, and they might stop working.

Doctors should note that early diagnosis is not always welcomed because of the fear of negative consequences of stigmatization and isolation, despite the benefits of support and assistance. In association with stigma, risks of misdiagnosis cannot be overlooked. False positives can lead to an unjustified acquisition of a stigmatizing label and patient distress. Misdiagnosis is also associated with missing the opportunity to address treatable conditions, such as depression.

A diagnosis can change the relationships among family members, who might become overly preoccupied and burdened by the dementia. Family members might set restrictions on activities, deprive the patient's roles at home and become hypervigilant with the patient.<sup>8</sup>

Disclosure of a diagnosis is a controversial issue. It has been reported that one in five general practitioners (GPs) regard disclosure as more harmful than helpful.<sup>15,16</sup> One study reported that 51% of people with dementia reacted poorly to the diagnosis, whereas 46% reacted positively.<sup>17</sup> The negative impacts of disclosure identified for individuals with dementia mainly regard psychological damage as aforementioned, whereas the positive impacts include putting an end to uncertainty,

confirmation of suspicions and increased understanding of problems.<sup>8</sup>

### *Advantages and disadvantages for family members and caregivers*

Family members and caregivers will play vital roles in at-home care. Advantages for family members and caregivers include the provision of time to make advanced preparations. Informing the family members of the prognosis and the disease course can allow them to set up social support and make legal arrangements for the disease progression. Knowledge and anticipation of the disease can be helpful in preventing a decline of quality of life, and social support might also be helpful in alleviating distress that caregivers may experience.<sup>18</sup>

Regarding disadvantages, family members and caregivers will also be confronted with stigmatized labeling and exclusion. Because of prejudiced views of dementia, family members might be labeled as a "caregiver of a demented family member". If the diagnosis had a negative impact on the patient and resulted in BPSD, including depression and apathy, the psychological burden of caring might increase.

Providing adequate support to caregivers should be a part of the total care at all stages. Receiving a diagnosis of dementia can be a devastating event, and the family members of the diagnosed patient will also require careful support and assistance. In addition to mental care and support, family members and caregivers will require financial and legal advice, and other kinds of practical assistance.

### *Advantages and disadvantages for social services*

Regarding advantages, some reports suggest cost reductions associated with early detection of dementia. An early diagnosis can result in less intensive treatment, especially that associated with BPSD, and can delay nursing home admission when patients are appropriately treated.<sup>19,20</sup>

A shortage of social resources, particularly human resources, is a serious concern; an increased number of patients will strain workloads across all disciplines, and many patients could be diagnosed and not receive appropriate services. Indeed, patients diagnosed in the absence of sufficient local resources report feeling abandoned and unsupported after the diagnosis.<sup>7</sup> To meet these demands, health practitioner education and training are an urgent issue.

### **Screening of cognitive decline for early detection of dementia**

The first step for detection of dementia is screening for symptoms of dementia. In this section, screening measures are considered.

### Screening measures

Screening is important in deciding whether or not to proceed with more specific consultation. Requirements of screening include high sensitivity and specificity, brevity, and ease of administration. As an ethical matter, psychological burden should be taken into consideration. There are two general methods to screen for dementia: patient performance-based testing and informant interviews.

#### *Patient performance-based testing*

The most widely used brief test is the Mini-Mental State Examination, which covers different cognitive domains: attention and concentration, executive functions, memory, language, visuoconstructional skills, calculations, and orientation, and a total score represents overall cognitive status.<sup>21</sup> As a similar test, the Montreal Cognitive Assessment was developed for evaluation of MCI,<sup>22</sup> and there are a number of alternatives, such as the six-item Cognitive Impairment Test the General Practitioner Assessment of Cognition and the 7-Minute Screen.<sup>23</sup>

These tests are not without problems. The major problem of such brief tests is variability of their sensitivity according to age and education level.<sup>24,25</sup> Furthermore, the ceiling effect makes these tests insensitive to the very early stages of dementia,<sup>26</sup> especially for highly educated individuals.<sup>27,28</sup> In addition, these tests falsely identify those with low education, poor cognitive functioning, aphasia or depression as demented. The fact that these tests are time-consuming is also problematic; the time to administer the Montreal Cognitive Assessment is approximately 10 min. Another serious problem is the psychological burden on patients, as cognitive tests for dementia themselves are stressful for patients.<sup>29</sup>

#### *Informant interview*

It should be noted that self-rating scales are not reliable for dementia detection, because subjective cognitive impairment and memory complaints are common in elderly individuals, and such complaints are correlated with depressive symptoms or personality traits, rather than cognitive decline.<sup>30</sup> In addition, those who are already demented tend to overestimate their function and their self-awareness of cognitive impairments diminishes as the disease progresses, especially memory.<sup>31,32</sup> Such deficits in self-awareness of a disease, anosognosia, is one of the typical symptoms in AD, and DSM-5 explicitly gives a warning about excessive focus on subjective symptoms because of the danger of failing to diagnose in individuals with poor insight.<sup>4</sup>

Regarding informant-based assessment, the Clinical Dementia Rating (CDR)<sup>33</sup> scale is widely used. CDR

meets the requirement of accuracy, but it is not an easily administered screening tool. It is a semi-structured interview that requires trained practitioners and takes at least 30 min, which is not easily administered under time-constraint situations.

We propose a brief informant-based screening questionnaire for identifying dementia in both clinical and community-based settings: Symptoms of Early Dementia-11 Questionnaire (SED-11Q; Fig. 1).<sup>34</sup> This questionnaire is easily administered, and is both patient and informant friendly. Questions on early signs of dementia were selected based on clinical experiences. SED-11Q inquires about the state of ordinary daily activities often carried out by an elderly individual living independently. Quantifying difficulties in daily living can provide more sensitive information about early functional changes rather than questions on cognitive function in a single domain, as functional integrity is a key differentiating feature of dementia, and decline in multifaceted cognitive domains directly leads to functional impairments. In addition, as deficits caused by dementia are manifested in various aspects, SED-11Q includes questions on social interaction and personality. The statistically optimal cut-off value of 2/3 indicates sensitivity of 0.84 and specificity of 0.90.<sup>34</sup> SED-11Q is also useful to estimate deficits in self-awareness of a disease, anosognosia. Caregivers and patients are required to answer the same questions, and discrepancies between caregiver and patient assessments show the severity of anosognosia.<sup>35</sup>

Another brief scale including questions on cognitive abilities and daily functioning is the eight-item questionnaire, AD8.<sup>36</sup> AD8 consists of questions of change in memory, orientation and functional abilities by placing emphasis on intra-individual, rather than inter-individual comparisons. The statistically optimal cut-off value of 2/3 shows sensitivity of 0.74 and specificity of 0.86.

Detection of dementia should be carried out without unduly alarming the patient. Therefore, informant-based assessments are preferable. DSM-5 recommends a combination of cognitive tests and questionnaires to complement each other. However, even in combination use, it should be noted that these tests and questionnaire are not sensitive or specific enough to avoid false positives.

### *Methods of screening: population screening and case findings*

In the community setting, two pathways for detection can be considered: community-wide population screening, and case findings at primary care and other clinics, including cases where family members notice changes in daily living and take the person suspected with dementia to a doctor.

## Symptoms of Early Dementia-11 Questionnaire (SED-11Q)

Date(MM/DD/YYYY)      /      /

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Patient Name : Patient ID :

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Respondent Name : Relationship

---

Respondent-completed / Interview by Name:

How has the patient's daily life been for the last month?  
 Please answer the following questions by circling the appropriate responses  
 (Exclude any difficulties caused by physical issues, e.g., pain).  
 Please ask for any help if needed.

He/she talks and asks about the same things repeatedly.	YES	NO	N/A Don't know
He/she has become unable to understand the context of facts.	YES	NO	N/A
He/she has become indifferent about clothing and other personal concerns.	YES	NO	N/A
He/she has begun to forget to turn off the faucet and/or close the door, and/or has become unable to clean up properly.	YES	NO	N/A
When doing two things at the same time, he/she forgets one of them.	YES	NO	N/A
He/she has become unable to take medication under proper management.	YES	NO	N/A
He/she has begun to take a longer time to do work (e.g., household chores), which could be done quickly before.	YES	NO	N/A
He/she has become unable to make a plan.	YES	NO	N/A
He/she cannot understand complex topics.	YES	NO	N/A
He/she has become less interested and willing, and stopped hobbies, etc.	YES	NO	N/A
He/she has become more irritable and suspicious than before.	YES	NO	N/A
TOTAL SED-11Q SCORE			

He/she has delusions, e.g., claims to have had valuables stolen.	YES	NO	N/A
He/she has illusions, e.g., sees something that isn't there.	YES	NO	N/A

If the answer is "yes" to either of these 2 questions, then a more comprehensive medical consultation is recommended.

**Figure 1** The (a) Symptoms of Early Dementia-11 Questionnaire (SED-11Q) and (b) SED-11Q for patients (SED-11Qp), cited from Maki *et al.*<sup>34,35</sup>. (a) The statistically optimal cut-off value of 2/3, which showed sensitivity of 0.84 and specificity of 0.90, can be applied in the clinical setting. In the community setting, a cut-off value of 3/4, which showed sensitivity of 0.76 and specificity of 0.96, is recommended to reduce the danger of false positives. Medical consultation is recommended whenever delusions or illusions are detected. (b) SED-11Qp asks the same questions as SED-11Q. However, the title was changed to avoid using the word "dementia". "Patient Name" and "Patient ID" have been changed to "Name" and "ID". Two additional questions on delusions and illusions were not included in SED-11Qp. The questionnaires can be completed by interview.



## How do you feel?

Date(MM/DD/YYYY) / /

Name :

ID :

Respondent-completed / Interview by Name:

How has your daily life been for the last month?

Please answer the following questions by circling the appropriate responses

(Exclude any difficulties caused by physical issues. e.g., pain).

Please ask for any help if needed.

You talk and ask about the same things repeatedly.	YES	NO	N/A Don't know
You have become unable to understand the context of facts.	YES	NO	N/A
You have become indifferent about clothing and other personal concerns.	YES	NO	N/A
You have begun to forget to turn off the faucet and/or close the door, and/or have become unable to clean up properly.	YES	NO	N/A
When doing two things at the same time, you forget one of them.	YES	NO	N/A
You have become unable to take medication under proper management.	YES	NO	N/A
You have begun to take a longer time to do work (e.g., household chores), which could be done quickly before.	YES	NO	N/A
You have become unable to make a plan.	YES	NO	N/A
You cannot understand complex topics.	YES	NO	N/A
You have become less interested and willing, and stopped hobbies, etc.	YES	NO	N/A
You have become more irritable and suspicious than before.	YES	NO	N/A
TOTAL SED-11Q SCORE			

Figure 1 Continued

For years, there has been a wide debate over whether or not community-wide population screening for dementia helps patients and saves healthcare costs. However, at present, there is insufficient evidence of the benefits to justify community-wide population screening from the perspective of clinical outcomes, emotional effects and

cost-effectiveness.<sup>8,37,38</sup> The causes for concern are a lack of screening measures that are both sensitive and specific enough to detect dementia, and a shortage of local resources. As aforementioned, no tests or questionnaires are sensitive and specific enough to avoid false positives, and people would suffer from incidental findings that

were found to be false. Undergoing unnecessary procedures after screening alone could be a great mental burden, adding to anxiety and fear raised by screening results. Significant resources are required for population screening itself, and thus implementation would pose additional burdens to local communities who are already confronted with a shortage of social resources. Detection of dementia is the initial step in the medical and care process, and thus for effective implementation of population screening, medical and care follow-up systems should be required, including mental care and support.

Case findings appear to be a more appropriate method than population screening to avoid false positives.<sup>39</sup> In many cases, the first stage of diagnosis starts with suspicion of dementia by those who know the person well, such as family members, colleagues and neighbors.<sup>8</sup> GPs and primary care physicians might also be keeping watchful eyes for signs of dementia. In a survey carried out in Canada, 26% of primary care physicians claimed that they routinely checked for signs of dementia in their patients.<sup>40</sup> Community nurses and staff at health-care centers may notice changes in community residents, and recommend them and/or their family members to consult with doctors.<sup>8</sup> Screening tools could provide information to verify whether or not such suspicions should be regarded as signs of dementia, and further investigation should be required. It is recommended to use the screening tools when sufficient information has been accumulated to suspect dementia. In the case of population screening, the diagnosis process begins with screening using tests and/or questionnaires, often without any information, whereas in case findings, tests and/or questionnaires are carried out only after sufficient information is gathered to suspect dementia. In either case, ethical issues must be carefully considered, including protection of personal information, obtaining informed consent, relieving the mental burden of undergoing screening, and the problem of overcoming stigmatization and exclusion.

## Issues to be addressed for effective implementation of early diagnosis

### *Accurate diagnosis, avoiding false positives and overdiagnosis*

It is evident that effective therapy, intervention and support depend on an accurate diagnosis, and it should be noted that screening is absolutely different from diagnosis. Considering the mental burden and stigma that result from diagnosis, false positives should be carefully avoided.

One of the fundamental issues is a wide variation in GPs' abilities and confidence in diagnosing and managing dementia.<sup>41</sup> At present, it is a practical resolution that the responsibility of diagnosis of dementia is shared

between generalists and specialists. The government launched a plan to designate Medical Centers for Dementia in 2008, and 171 hospitals had been designated up to May 2012. The functions of these centers are to establish medical-medical and medical-care cooperation in local communities. The GPs play an important gatekeeper role in referring people for diagnosis by a specialist, rather than taking on the burden of diagnosis themselves. Based on the referral, the centers diagnose patients, and once the diagnosis has been confirmed, the GPs are expected to provide both practical and emotional support for patients and their family members, and refer them for additional psychosocial support if required. If there is a need for a consultation with a specialist, the patients can revisit the center on referral by the GP. At present, the centers are overloaded because they are forced to provide daily practical and emotional support for patients as a result of the insufficient capabilities of GPs to deal with dementia. Thus, education of GPs is a pressing issue for treatment after detection of dementia. The Ministry of Health, Labor and Welfare in Japan certifies Dementia Support Doctors who lead and support the primary care doctors and other dementia care professionals, and holds the skill-up programs of dementia medicine for primary care doctors and GPs.

### *Human resource development under a community-based integrated care system*

It is a challenge to ensure compatibility between cost-effectiveness and quality care, and quality care could be provided if there was effective cooperation and coordination between healthcare professionals and organizations.<sup>42,43</sup> In Japan, the long-term care insurance system aims to promote the implementation of a community-based integrated care system with the goal of deinstitutionalization and promotion of at-home care. Under the system, allocation of social resources and capital was delegated to each local government for management and implementation, and basically, services, including medical treatment, care and community life support, are provided within local communities. The policy is based on the expectation that each community will create a "tailored" system to meet the health needs, health status and values of the community.<sup>44,45</sup> Under the Five-Year Plan for Promotion of Measures against Dementia in Japan, the pilot project of the initial-phase intensive support teams has been launched to grope the community-based implementation methods of earlier detection and intervention; the support team consists of healthcare professionals including nurses, health nurses and occupational therapists.

Development of human resources is one of the urgent requirements. In addition to the education and training of medical and healthcare staff, community residents

are expected to act as participants, and not merely as beneficiaries of services.<sup>46</sup> It is vital to implement measures that involve all members of society, so that they can help and support each other towards the improvement of the living environment for the elderly. This can be achieved through cooperation and active involvement among all sectors of society, such as the national government, local governments, corporations, local communities, non-profit organizations, family members and individuals with dementia. Task shifting is one of the key concepts; that is, shifting some work carried out by healthcare professionals to volunteers in order to reduce the workload of professional workers. The policy encourages volunteers to assist in the lives of demented individuals, and good outcomes have been shown in a number of local communities. For implementation of beneficial community-based integrated care after diagnosis, promoting social participation and community involvement of the residents should be a key strategy in addressing the shortage of human resources.

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