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Prevalence of diffuse idiopathic skeletal hyperostosis (DISH) of the whole spine and its association with lumbar spondylosis and knee osteoarthritis: the ROAD study

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Abstract We aimed to assess the prevalence of diffuse idiopathic skeletal hyperostosis (DISH) and its association with lumbar spondylosis (LS) and knee osteoarthritis (KOA) using a population-based cohort study entitled Research on Osteoarthritis/osteoporosis Against Disability (ROAD). In the baseline ROAD study, which was performed between 2005 and 2007, 1,690 participants in mountainous and coastal areas underwent anthropometric measurements and radiographic examinations of the whole spine (cervical, thoracic, and lumbar) and both knees. They also completed an interviewer-administered questionnaire. Presence of DISH was diagnosed according to Resnick criteria, and LS and KOA were defined as Kellgren-Lawrence (KL) grade ≥ 3. Among the 1,690 participants, whole-spine radiographs of 1,647 individuals (97.5 %; 573 men, 1,074 women; mean age, 65.3 years) were evaluated. Prevalence of DISH was 10.8 % (men 22.0 %, women 4.8 %), and was significantly higher in older participants (presence of DISH 72.3 years, absence of DISH 64.4 years) and mainly distributed at the thoracic spine (88.7 %). Logistic regression analysis revealed that presence of DISH was significantly associated with older age [+1 year, odds ratio (OR): 1.06, 95 % confidence interval (CI): 1.03–1.14], male sex (OR: 5.55, 95 % CI: 3.57–8.63), higher body mass index (+1 kg/m^2, OR: 1.08, 95 % CI: 1.02–1.14), presence of LS (KL2 vs KL0: 1, OR: 5.50, 95 % CI: 2.81–10.8) (KL ≥ 3 vs KL0: 1, OR: 4.09, 95 % CI: 2.08–8.03), and presence of KOA (KL ≥ 3 vs KL0: 1, OR: 1.89, 95 % CI: 1.14–3.10) after adjusting for smoking, alcohol consumption, and residential area (mountainous vs coastal). This cross-sectional population-based study clarified the prevalence of DISH in general inhabitants and its significant association with LS and severe KOA.

Keywords Prevalence · Diffuse idiopathic skeletal hyperostosis · Knee osteoarthritis · Lumbar spondylosis · ROAD study

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Introduction

Diffuse idiopathic skeletal hyperostosis (DISH) is characterised by calcification and ossification of soft tissue such as entheses and joint capsules [1]. Resnick and Niwayama specifically defined DISH as the radiographic finding of calcification or ossification along the anterolateral aspects of at least 4 contiguous vertebral levels (across 3 disc spaces), with relative preservation of disc height in the involved vertebral segments and without degenerative disc disease [2]. In 1998, Mata and co-workers [3] developed a

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scoring system such that the presence of DISH could be assessed reproducibly. This system scores individuals who fulfill the Resnick criteria by numerically classifying each vertebral level based on the amount of ossification and whether partial or complete bridging of the disc space is present [3].

Although some reports have indicated a significant association between DISH and ossification of the posterior longitudinal ligament (OPLL) [4–7], DISH is thought to be an asymptomatic condition in many affected individuals; however, several clinical symptoms have been described including pain, limited range of spinal motion, and increased susceptibility to unstable spinal fractures after trivial trauma [8]. In addition, dysphagia and airway obstruction at the cervical levels [8, 9], as well as radiculopathy and spinal injury after spinal fracture [10–12], have been reported as clinical manifestations of DISH.

Although the condition is recognised in many parts of the world [13–20], there are relatively few population-based studies concerning its prevalence. Such data are important in order to characterise the burden of the disease. In addition, regarding its characteristics, several epidemiologic studies have reported that DISH is observed mainly in the elderly, and that prevalence increases with age [18, 19]. Men are affected by DISH much more frequently than women [20]. Although metabolic disturbance is hypothesised to be a factor [21, 22], the aetiology of the condition remains unknown.

Based on the definition of DISH as the radiographic finding of calcification or ossification, it appears that the condition might be associated with osteoarthritis (OA) of the spine. The severity of OA, as observed on radiography, was determined according to Kellgren-Lawrence (KL) grading as follows [23]: KL0, normal; KL1, slight osteophytes; KL2, definite osteophytes; KL3, joint or intervertebral space narrowing with large osteophytes; and KL4, bone sclerosis, joint or intervertebral space narrowing, and large osteophytes. KL2 is commonly used as the diagnostic criterion for lumbar spondylosis (LS) or OA at other sites. Thus, LS—defined as KL2 (defined as the definite presence of osteophytes)—could easily be associated with DISH. However, there are few reports to confirm the association between DISH and severe LS with the criterion of KL3 (defined as the presence of intervertebral space narrowing) or KL4 (defined as the presence of bone sclerosis). In addition, there are few reports to clarify the association between DISH and OA at other sites, such as the knees.

We conducted a survey, known as the Research on Osteoarthritis/osteoporosis Against Disability (ROAD) study, using a population-based cohortto determine the prevalence of DISH using lateral whole-spine radiography in recently examined subjects, which included men and women in Japan. Another aim of our study was to clarify the association of DISH with LS and knee osteoarthritis (KOA) based on KL grade.

Materials and methods

Outline of the ROAD study

We conducted the present study using the cohorts established in 2005 for the ROAD study—a nationwide, prospective study of OA comprising population-based cohorts in several communities in Japan. Details of the cohort profile have been reported elsewhere [24, 25]. Briefly, from 2005 to 2007, we developed a baseline database that included clinical and genetic information of 3,040 residents of Japan (1,061 men, 1,979 women) with a mean age of 70.3 (SD, 11) years [men: 71 (SD, 10.7) years, women: 69.9 (SD, 11.2) years]. Subjects were recruited from resident registration listings in three communities with different characteristics: 1,350 subjects (465 men, 885 women) from an urban region in Itabashi, Tokyo; 864 (319 men, 545 women) from a mountainous region in Hidakagawa, Wakayama; and 826 (277 men, 549 women) from a coastal region in Taiji, Wakayama.

Participants completed an interviewer-administered questionnaire of 400 items that included lifestyle information, such as occupation, smoking habits, alcohol consumption, family history, medical history, physical activity, reproductive variables, and health-related quality of life. The questionnaire was prepared by modifying the questionnaire used in the Osteoporotic Fractures in Men Study (MrOS) [26]; some new items also were added to the modified questionnaire. Participants were asked whether they took prescription medication daily or nearly every day (no = 0, yes = 1). If the participants did not know the reason for the prescribed medication, they were asked to bring their medication to the medical doctor (NY).

Anthropometric measurements, including height (cm), body weight (kg), arm span (cm), bilateral grip strength (kg), and body mass index (BMI, kg/m^2) were recorded for each patient. Medical information was recorded by experienced orthopaedic surgeons on systematic, local, and mental status, including information on back, knee, and hip pain; swelling and range of motion of the joints; and patellar and Achilles tendon reflexes.

Eligible subjects of the present study

In the ROAD study, radiographic examination of the thoracic spine was performed only in subjects in mountainous and coastal regions. These subjects also underwent blood and urinary examinations. In the present study, among 1,690 subjects (596 men, 1,094 women) in mountainous and

coastal regions in the ROAD study, we excluded 43 whose radiograph quality was so poor that it was difficult to observe the sites of thoracic–lumbar junction and lumbosacral junction; thus, we analysed 1,647 participants (573 men, 1,074 women) ranging in age from 23 to 94 years (mean: 65.3 years, men: 66.3 years, women: 64.7 years).

Study participants provided written informed consent, and the study was approved by the ethics committees of the University of Wakayama Medical University (No. 373) and the University of Tokyo (No. 1264 and No. 1326).

Radiographic assessment

Plain radiographs of the cervical, thoracic, and lumbar spine in the anteroposterior and lateral views, and bilateral knees in the anteroposterior view with weight-bearing and foot-map positioning were obtained. DISH was diagnosed according to the following criteria, defined by Resnick and Niwayama [2]: (1) flowing ossification along the lateral aspect of at least 4 contiguous vertebral bodies, (2) relative preservation of intervertebral disc height in the involved segments, and (3) absence of epiphyseal joint bony enclosing and sacroiliac joint erosion. In the assessment of lateral radiographs, since it was difficult to read the C7/Th1 to T3/4 vertebral levels, 'whole spine' in the present study implies radiographs assessed from the C0/1 to C6/7, Th4/5 to Th12/L1, and L1/L2 to L5/S1 levels.

The radiographic severity of OA was determined according to the above-mentioned KL grade [20]. Radiographs of each site (i.e., vertebrae and knees) were examined by a single experienced orthopaedic surgeon (SM) who was blinded to the participants' clinical status. In the present study, the maximum grade, diagnosed in at least 1 intervertebral level of the lumbar spine or at least 1 knee joint, was regarded as the subject's KL grade.

Statistical analysis

All statistical analyses were performed using STATA statistical software (STATA Corp., College Station, TX, USA). Differences in proportions were compared using the Chi-square test. Differences in continuous variables were tested for significance using analysis of variance for comparisons among multiple groups or Scheffe's least significant difference test for pairs of groups.

To test the association between the presence of DISH and LS and/or KOA, we used logistic regression analysis. In the analysis, we used presence of DISH as the objective variable (absence = 0, presence = 1), and severity of prevalent LS (KL0, 1 = 0 vs. KL2 = 1; KL0, 1 = 0 vs. KL3 or 4 = 2) and KOA (KL0, 1 = 0 vs. KL2 = 1; KL0, 1 = 0 vs. KL3 or 4 = 2) as explanatory variables, in addition to basic characteristics such as age (+1 year), sex

(men = 1, women = 0), BMI (+1 kg/m^2), and regional differences (mountainous area = 0, coastal area = 1). Other potential associated factors were selected with significant or marginal ($p < 0$. 1) association with DISH status in a simple linear analysis. The selected explanatory variables for logistic regression analysis are described in the Results section.

Results

Prevalence of DISH was 10.8 % (men: 22.0 %, women: 4.8 %), and was significantly higher in men than in women. Figure 1 shows the prevalence of DISH according to age and sex. Prevalence increased with age in both men and women. Prevalence in subjects classified by age-strata—<50, 50–59, 60–69, 70–79, and ≥80 years—was 1.8, 11.7, 15.4, 32.6, and 39.6 % in men, and 0.7, 1.5, 3.5, 7.6, and 11.8 % in women, respectively.

Table 1 shows the baseline characteristics of the 1,647 participants with and without DISH. In total, subjects with DISH tended to be older, taller, heavier, and have higher BMI than those without DISH ($p < 0.0001$). In the comparison classified by sex, age was significantly higher in those with DISH in both men and women ($p < 0.0001$). In women, mean weight and BMI were significantly higher in those with DISH than in those without DISH (weight: $p < 0.05$, BMI: $p < 0.0001$).

Prevalence of DISH was lower in individuals residing in a coastal area. Individuals with DISH had a higher frequency of smoking and alcohol consumption ($p < 0.05$). The difference in the residing area was significantly observed in men. However, in the comparison classified by sex, differences in smoking and drinking were diluted (Table 1).
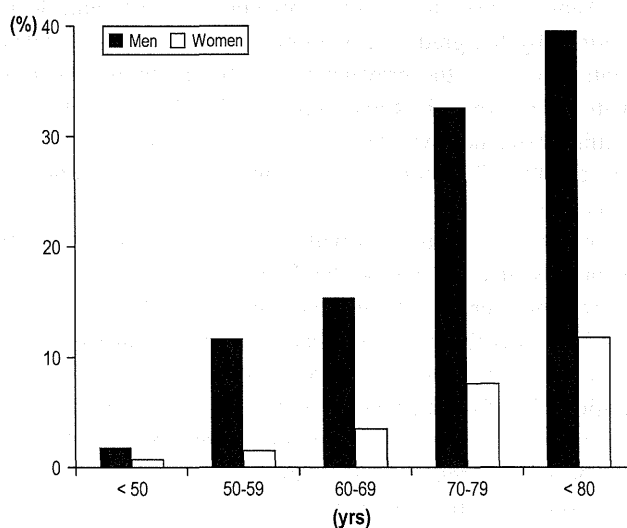

Fig. 1 Prevalence of diffuse idiopathic skeletal hyperostosis (DISH) according to sex and age

Table 1 Mean values (standard deviations) of the anthropometric measurements and the prevalence of lifestyle factors for the participants classified by presence or absence of DISH

	Total (n = 1647)			Men (n = 573)			Women (n = 1074)		
	DISH (−) n = 1470	DISH (+) n = 177	p	DISH (−) n = 447	DISH (+) n = 126	p	DISH (−) n = 1023	DISH (+) n = 51	p
Age (years)	64.4 (12.1)	72.3 (8.4)	<0.0001***	64.6 (12.1)	72.4 (8.2)	<0.0001***	64.3 (12.2)	71.9 (8.8)	<0.0001***
Height (cm)	154.7 (9.2)	158.6 (8.8)	<0.0001***	163.7 (7.3)	162.5 (6.7)	0.0918	150.8 (7.0)	148.9 (5.5)	0.0589
Weight (kg)	55.9 (10.6)	60.1 (10.5)	<0.0001***	62.3 (11.0)	62.1 (10.0)	0.8806	51.9 (8.8)	55.0 (10.3)	0.0126*
BMI (kg/m²)	22.9 (3.4)	23.8 (3.3)	0.0005***	23.2 (3.2)	23.5 (2.9)	0.3378	22.8 (3.4)	24.7 (3.9)	0.0001***
Residing in the coastal area (%)	50.48	40.11	0.009**	50.3	35.7	0.004**	50.5	51.0	0.951
Current smoking habit (regularly, ≥1 month) (%)	11.9	21.3	<0.001***	29.9	29.0	0.858	3.8	2.0	0.506
Current alcohol consumption (regularly, ≥1 month) (%)	38.7	48.0	0.017*	68.5	61.1	0.122	25.7	15.7	0.108
Presence of LS (KL grade ≥2) (%)	59.1	93.8	<0.001***	72.0	94.4	<0.001***	53.4	92.2	<0.001***
Presence of LS (KL grade ≥3) (%)	35.6	48.0	0.001**	35.4	45.2	0.043*	35.7	54.9	0.005**
Presence of KOA (KL grade ≥2) (%)	48.2	65.5	<0.001***	35.5	58.7	<0.001***	53.8	83.3	<0.001***
Presence of KOA (KL grade ≥3) (%)	18.4	34.5	<0.001***	11.0	27.0	<0.001***	21.7	54.2	<0.001***

DISH diffuse idiopathic skeletal hyperostosis, *BMI* body mass index, *LS* lumbar spondylosis, *KOA* knee osteoarthritis, *KL grade* Kellgren-Lawrence grade

DISH (−) absence of DISH, *DISH (+)* presence of DISH

* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$

Table 1 also shows the prevalence of LS and KOA defined by KL grade ≥2 and grade ≥3, according to DISH status. In total, the prevalence of LS was higher in those with DISH than in those without DISH ($p = 0.001$). A similar tendency was observed in the prevalence of KOA ($p < 0.001$). This tendency also was noted in the comparison classified by sex.

We classified subjects with DISH into 4 types: (1) cervical, ossification along the lateral aspect of at least 4 contiguous vertebral bodies only in the cervical region (C0/1–C6/7); (2) thoracic, ossification along the lateral aspect of at least 4 contiguous vertebral bodies only in the thoracic region (Th4/5–Th12/L1); (3) lumbar, ossification along the lateral aspect of at least 4 contiguous vertebral bodies only in the lumbar region (L1/2–L5/S1); and (4) diffuse, ossification along the lateral aspect of at least 4 contiguous vertebral bodies in more than 2 regions or through more than 2 regions. Table 2 shows the prevalence of DISH classified by location in the spine. A total of 89 % was

shown to be thoracic, whereas the remaining was diffuse; there were no subjects with cervical-type or lumbar-type DISH.

Figure 2 shows the distribution of DISH classified by vertebral level (Th4/5–LS/S1). Among diffuse-type DISH, although 2 subjects had ossification in the cervical region, the cervical site is excluded from the figure. Figure 2 shows that ossification was observed mainly in the middle-lower thoracic sites (Th7/8–Th9/10).

Logistic regression analysis was performed with DISH as the objective variable, LS and KOA as explanatory variables, and patient characteristics including age, sex, BMI, regional differences, smoking, and alcohol consumption as potential risk factors. Presence of DISH was significantly associated with presence of LS (KL2 vs KL0: 1, KL ≥3 vs KL0: 1) and KOA (KL ≥3 vs KL0: 1). Among other potential associated factors, older age, male sex, and higher BMI remained as significantly associated with the presence of DISH (Table 3).

Table 2 Number (proportion, %) of DISH (+) patients classified by spinal ossification site

Type of DISH	Total	Men	Women
Cervical type	0 (0.0 %)	0 (0.0 %)	0 (0.0 %)
Thoracic type	157 (88.7 %)	111 (88.1 %)	46 (90.2 %)
Lumbar type	0 (0.0 %)	0 (0.0 %)	0 (0.0 %)
Diffuse type	20 (11.3 %)	15 (11.9 %)	5 (9.8 %)
Total	177 (100.0 %)	126 (100.0 %)	51 (100.0 %)

Cervical type: Ossification along the lateral aspect of at least four contiguous vertebral bodies existing only in the cervical region (C0/1–C6/7)

Thoracic type: Ossification along the lateral aspect of at least four contiguous vertebral bodies existing only in the thoracic region (Th4/5–Th12/L1)

Lumbar type: Ossification along the lateral aspect of at least four contiguous vertebral bodies existing only in the lumbar region (L1/2–L5/S1)

Diffuse type: Ossification along the lateral aspect of at least four contiguous vertebral bodies existing in more than 2 regions or through more than 2 regions

Finally, to clarify the association of DISH with LS and KOA, we performed logistic regression analysis using DISH as an objective variable, LS and KOA as explanatory variables, and patient characteristics including age, sex, BMI, regional differences, smoking, and alcohol consumption as potential risk factors. Presence of DISH was significantly associated with presence of LS (KL2 vs KL0: 1, KL ≥ 3 vs KL0: 1) and KOA (KL ≥ 3 vs KL0: 1) independently (Table 4).

Discussion

In the present study, using lateral whole-spine radiographs of recently examined population-based samples, we estimated that the prevalence of DISH was one-tenth of the population, which consisted of participants from the ROAD study. The subjects with DISH tended to be older and had bigger body build than those without DISH. In addition, DISH was observed more frequently in men than
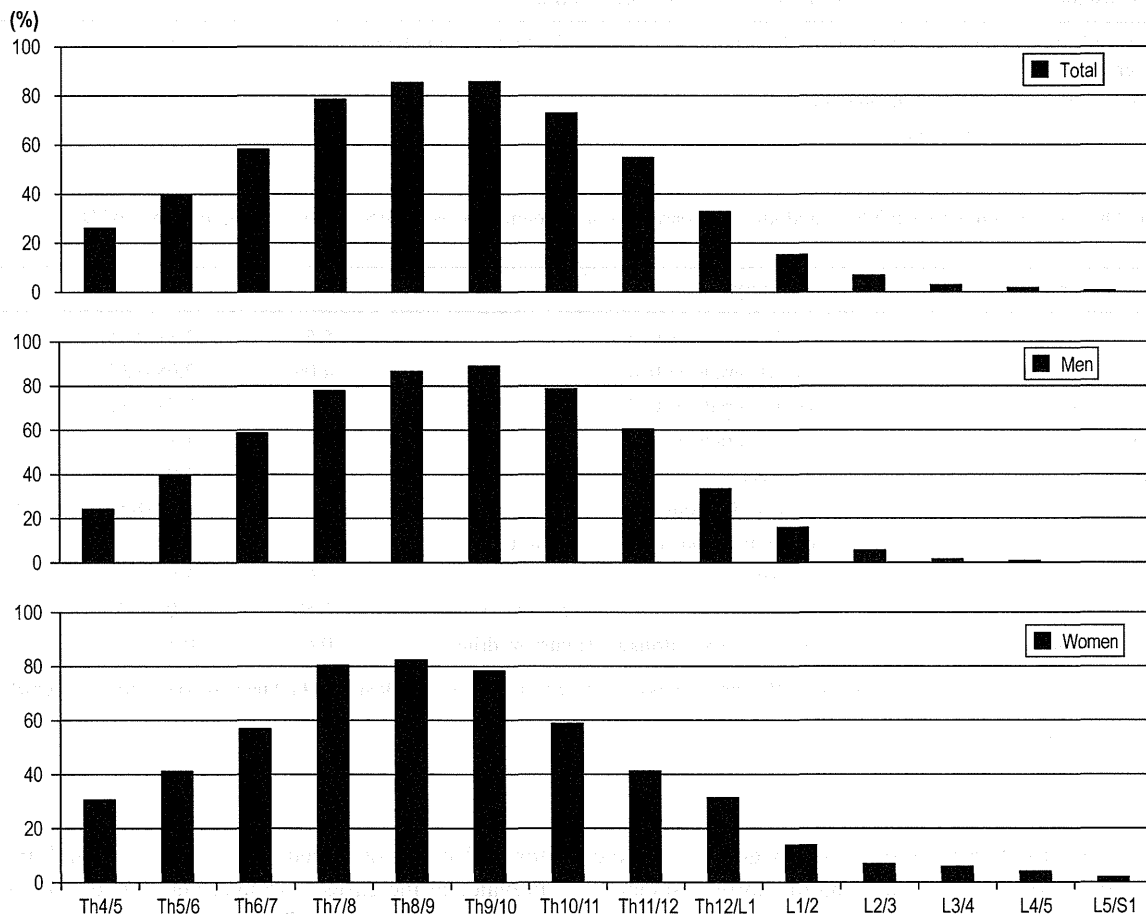

Fig. 2 Prevalence of diffuse idiopathic skeletal hyperostosis (DISH) in each vertebral level, classified by sex

Table 3 Odds ratios of lumbar spondylosis or knee osteoarthritis, and potentially associated factors for the presence of DISH vs. absence of DISH

Explanatory variables	Category	OR	95 % CI	p
Lumbar spondylosis				
Presence of LS	0: KL grade = 0, 1, 1: KL grade = 2	5.80	2.97–11.3	<0.001***
	0: KL grade = 0, 1, 2: KL grade ≥3	4.54	2.34–8.84	<0.001***
Age (years)	+1 year	1.07	1.05–1.09	<0.001***
Gender	1: men, 0: women	4.61	3.05–6.99	<0.001***
Region	0: mountainous area, 1: coastal area	0.88	0.61–1.26	0.475
BMI (kg/m²)	+1 kg/m²	1.11	1.05–1.17	<0.001***
Smoking	0: ex or never smoker, 1: current smoker	1.65	1.04–2.63	0.034*
Alcohol consumption	0: ex or never drinker, 1: current drinker	0.82	0.56–1.22	0.329
Knee osteoarthritis				
Presence of KOA	0: KL grade = 0, 1, 1: KL grade = 2	1.34	0.85–2.10	0.211
	0: KL grade = 0, 1, 2: KL grade ≥3	2.15	1.32–3.52	0.002**
Age (years)	+1 year	1.07	1.04–1.09	<0.001***
Gender	1: men, 0: women	6.90	4.48–10.6	<0.001***
Region	0: mountainous area, 1: coastal area	0.95	0.65–1.37	0.771
BMI (kg/m²)	+1 kg/m²	1.09	1.03–1.15	0.002**
Smoking	0: ex or never smoker, 1: current smoker	1.52	0.95–2.42	0.079
Alcohol consumption	0: ex or never drinker, 1: current drinker	0.85	0.58–1.26	0.431

DISH diffuse idiopathic skeletal hyperostosis, *BMI* body mass index, *LS* lumbar spondylosis, *KOA* knee osteoarthritis, *KL grade* Kellgren-Lawrence grade

OR odds ratios, *95 % CI* 95 % confidence interval

* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$

Table 4 Odds ratios of lumbar spondylosis and knee osteoarthritis, and potentially associated factors for the presence of DISH vs. absence of DISH

Explanatory variables	Category	OR	95 % CI	p
Presence of LS (KL grade = 2)	vs. KL grade = 0, 1	5.50	2.81–10.8	<0.001***
Presence of LS (KL grade ≥3)	vs. KL grade = 0, 1	4.09	2.08–8.03	<0.001***
Presence of KOA (KL grade = 2)	vs. KL grade = 0, 1	1.22	0.77–1.92	0.404
Presence of KOA (KL grade≥ 3)	vs. KL grade = 0, 1	1.89	1.14–3.10	0.013**
Age (years)	+1 year	1.06	1.03–1.14	<0.001***
Gender	1: men, 0: women	5.55	3.57–8.63	<0.001***
Region	0: mountainous area, 1: coastal area	0.88	0.60–1.29	0.522
BMI (kg/m²)	+1 kg/m²	1.08	1.02–1.14	0.008**
Smoking	0: ex or never smoker, 1: current smoker	1.59	1.00–2.55	0.052
Alcohol consumption	0: ex or never drinker, 1: current drinker	0.81	0.54–1.21	0.298

DISH diffuse idiopathic skeletal hyperostosis, *BMI* body mass index, *LS* lumbar spondylosis, *KOA* knee osteoarthritis, *KL grade* Kellgren-Lawrence grade

OR odds ratios, *95 % CI* 95 % confidence interval

* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$

in women, and the most common site was the thoracic vertebrae. Presence of DISH was significantly associated with the presence of KOA and LS, after adjusting for potential associated factors.

There have been several epidemiologic studies on DISH in many parts of the world [12–19]. The results indicate that DISH is observed mainly in men and the elderly; prevalence increases with age, and it is distributed mostly in the thoracic spine. These results are supported by the results of the present study. However, there are considerable differences in the prevalence. Weinfeld et al. [20] reported that genetic or hereditary differences are

important predisposing factors for DISH. Their previous study involved patients from ethnic populations, including 667 white, 144 black, 72 Native American, 11 Hispanic, and 30 Asian patients. They showed that the Asian, black, and Native American populations had a remarkably lower prevalence of DISH; however, their study population was small. In a recent study, Kim et al. [18] reported that race influences the prevalence of DISH. Their prevalence of DISH was 5.4 % in men and 0.8 % in women aged over 80 years in a Korean population, which is remarkably lower than the prevalence in our study, despite the similar race. Our prevalence was similarly high as the white population in Weinfeld's report. Therefore, it is believed that genetic factors influence the prevalence of DISH more than race.

The present study clarified that most cases of DISH were observed in the thoracic vertebrae. There were no cases of DISH located in only the cervical or lumbar region. All cases of DISH in the cervical region were categorised as diffuse-type. Even if subjects were categorised into diffuse-type DISH, thoracic vertebrae were found to be the most affected. In addition, among the thoracic vertebrae, we found the predilection site to be the middle thoracic vertebrae (Th7–Th9). Holton et al. [27] reported that the distribution of the lowest level of DISH in 298 male subjects aged ≥65 years was 38 % in the thoracic region, 49 % in the thoracolumbar region, and 13 % in the lumbar region. It is interesting that DISH has predilection sites, which might be due to anatomic alignment of the vertebrae. For example, the middle thoracic vertebrae are likely to be affected by compressive mechanical stress because the Th8 is located nearly at the top in physiologic kyphosis. DISH originates mainly from the thoracic spine and extends to the cervical and/or lumbar spine by mechanical stress. In the present cross-sectional study, we could not evaluate whether DISH tends to occur in the thoracic vertebrae and then forms in the lumbar spine secondarily; however, we were able to follow-up on the ROAD study and clarify the disease course of thoracic DISH.

Regarding the definition of DISH, it might be easy to imagine that LS, defined by KL2 (defined as radiographically definite osteophytes), is associated with DISH. However, there are few reports to confirm the association between DISH and severe LS with the criterion of KL3 or 4. In the present study, we confirmed the significant association between DISH and LS, not only with the criterion of KL2, but also with KL ≥3. In addition, there are few reports to clarify the association between DISH and OA of other sites. In the present study, we also confirmed the significant association between DISH and KOA. In fact, the OR of the presence of DISH for KOA significantly increased according to the severity of KOA. The effects of LS and KOA coexisted independently. This result suggests

that DISH and OA might be in a similar vein of disease, for example, the so-called 'bone proliferative group'. There have been several reports regarding the association between DISH and OPLL [4–7]. Resnick et al. [4] described 4 patients with coexisting DISH and cervical OPLL, and found OPLL in 50 % of 74 additional patients with DISH after reviewing their cervical spine radiographs. However, there has been no report on the association of DISH and OA; thus the etiology of ossification might not be similar to that of OA. Therefore, with only the results of the present study, we cannot definitely claim that DISH and OA are in a similar disease group, even though DISH tends to have similar associated factors, such as age, overweight (bigger BMI), and mechanical stress, as OA.

Another hypothesis is that there might be hidden associated factors that might affect both DISH and OA. We considered risk factors for metabolic syndrome as potential confounders. Several constitutional and metabolic abnormalities have been reported to be associated with DISH including obesity, large waist circumference, hypertension, diabetes mellitus, hyperinsulinemia, dyslipidemia, and hyperuricemia [21, 28–30]. In addition, both LS and KOA are well known to be associated with obesity [31]. We have already reported on the presence of hypertension and impaired glucose tolerance, and shown that the accumulation of metabolic risk factors is associated with the presence and occurrence of KOA [32, 33]. In addition, we found that current smoking, a known risk factor for cardiovascular disease as well as metabolic risk factors, was significantly associated with DISH. These findings may indicate that DISH is a candidate surrogate index for metabolic risk factors as a predictor of OA, or vice versa. We could not evaluate this hypothesis at present, but we would clarify the association including the causal relationships between DISH, OA, and metabolic risk factors in a further study.

Alternatively, we considered associated factors for inflammation or cartilage metabolic turnover as potential confounders between DISH and OA. These factors might coexist as risk factors for DISH and OA. Thus, there might be a direct or indirect pathway between DISH and OA via hidden associated factors, which should be investigated in a further study.

This study has several limitations. First, although the ROAD study includes a large number of participants, these subjects may not truly represent the general population. To address this, we compared the anthropometric measurements and frequencies of smoking and alcohol consumption between study participants and the general Japanese population; no significant differences were found, with the exception that male ROAD study participants aged 70–74 years were significantly smaller in terms of body structure than the overall Japanese population ($p < 0.05$)

[25]. This difference should be considered when evaluating potential risk factors in men aged 70–74 years; factors such as body build, particularly greater weight, are known to be associated with LS and KOA. Therefore, our results may be an underestimation of the prevalence of these conditions. Second, in the present study, we used only the data of the baseline study. Thus, we were not able to confirm a causal relationship between DISH status and other associated factors, as mentioned above. Nevertheless, we have performed a follow-up study, so we will be able to clarify the causal relationship between DISH status and OA in the near future. Third, this study could not evaluate the cervicothoracic junction (C7–Th4) because we assessed only radiographs. Although most cases of DISH existed in the inferior thoracic spine, as Fig. 2 shows, the lack of findings in the C7/C1–Th3/Th4 levels might have underestimated the prevalence of DISH. To evaluate the cervicothoracic junction, it would be necessary to use computed tomography or magnetic resonance imaging of the whole spine, which appeared impossible to perform on more than 1,600 subjects. Fourth, LS defined by KL2 may have been included in cases of DISH, but there is no method to confirm the overlap of the presence of DISH and LS of KL2 using the radiographic diagnostic criteria. DISH is observed mainly in the thoracic region, and only the diffuse type expands partly into the lumbar region. Therefore, there is a small possibility that LS of KL2 might be contaminated into DISH. Finally, in the present study, we could not evaluate other sites of OA besides the knee and lumbar spine, such as the hands or hip. To evaluate DISH and other sites of OA, we should evaluate the presence or occurrence of OA at other sites in a further study.

In conclusion, in the present population-based study, we found that the prevalence of DISH was 10.8 % in the overall population. Prevalence was significantly higher in older subjects, and mainly distributed at the thoracic spine. Logistic regression analysis revealed that the presence of DISH was significantly associated with older age, male sex, higher BMI, and presence of severe KOA.

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Prevalence and progression of radiographic ossification of the posterior longitudinal ligament and associated factors in the Japanese population: a 3-year follow-up of the ROAD study

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Abstract

Summary The prevalence of radiographic cervical ossification of the posterior longitudinal ligament (OPLL) in 1,562 Japanese from a population-based cohort was 1.9 %. The presence of OPLL showed a significant association with the femoral neck bone mineral density (BMD), presence of diffuse idiopathic skeletal hyperostosis (DISH) and plasma pentosidine

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levels. Only one new case of radiographic OPLL was detected, but OPLL progressed in all affected subjects.

Introduction The purpose of this study was to clarify the prevalence and progression of radiographic OPLL and the associated factors, using the population-based cohort Research on Osteoarthritis/osteoporosis Against Disability (ROAD).

Methods In the ROAD study, 1,690 participants underwent X-ray examination of the entire spine and both knees. Radiographic OPLL, lumbar spondylosis, knee osteoarthritis and DISH were diagnosed by a single, well-experienced orthopaedic surgeon. An interviewer-administered questionnaire and tests for anthropometric measurements were administered, and the BMDs of the lumbar spine and proximal femur were determined. A new OPLL case was considered if heterotopic ossification in the posterior longitudinal ligament was absent at baseline but present during follow-up. Progression was defined as an increase in the maximum length or width of the ossification at follow-up over that at baseline.

Results Radiographic OPLL was detected in 30 (17 men, 13 women) of 1,562 individuals who underwent X-ray examination of the cervical spine (prevalence=1.9 %). Its prevalence was significantly higher in men than in women (p=0.007), but no association with age was observed. In a logistic regression analysis, OPLL showed a significant association with the femoral neck BMD, presence of DISH and plasma pentosidine levels. Only one new case of radiographic OPLL was detected, but OPLL progressed in all affected subjects.

Conclusion This population-based study clarified the prevalence of radiographic OPLL in the Japanese population as well as its progression. OPLL showed significant association with plasma pentosidine levels, BMD and DISH.

Keywords Bone mineral density · Diffuse idiopathic skeletal hyperostosis · Ossification of posterior longitudinal ligament of cervical spine · Plasma pentosidine · Prevalence · Progression

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Introduction

Ossification of the posterior longitudinal ligament of the spine (OPLL) is the pathological ectopic ossification of this ligament at the cervical and thoracic spine. It causes myeloradiculopathy as a result of chronic pressure on the spinal cord and nerve roots [1, 2]. Epidemiologic studies have shown a relatively high prevalence of OPLL among the Japanese, a slightly lower prevalence among East Asians and a substantially lower prevalence among whites [3, 4].

In terms of its characteristics, several epidemiological studies have reported that adult-onset obesity and diabetes mellitus (DM) are independent risk factors of OPLL [5, 6]. Further, OPLL often coincides with diffuse idiopathic skeletal hyperostosis (DISH), a systemic disorder of hyperossification. McAfee et al. [7] found that seven (50 %) of 14 patients with OPLL had DISH, and in a Japanese study, DISH was present in 27 (25 %) of 109 patients with OPLL [8].

Besides the coexistence of other disorders such as DM and DISH, little detailed information is available on the profile of OPLL in the general population. These data are important in order to characterise the disease burden. In addition, limited information is available regarding factors associated with OPLL, including biochemical markers of bone turnover, bone mineral density (BMD) values, lifestyle factors, or other coexisting disorders, such as dyslipidaemia, impairment of glucose tolerance, lumbar spondylosis (LS) and knee osteoarthritis (KOA).

Thus, the aims of the present study were to clarify the prevalence of OPLL in the Japanese population and to examine the association of OPLL with biological and environmental factors as well as coexisting disorders. For this, we used a questionnaire survey and the large, population-based cohort Research on Osteoarthritis/osteoporosis Against Disability (ROAD), which included lifestyle factors and nutrition, blood and urinary examinations, BMD measurements and X-ray examinations [9, 10].

Methods

Outline of the ROAD study

We conducted the present study using the cohorts established in 2005 for the ROAD study. The ROAD study is a nationwide, prospective study of OA comprising population-based cohorts from several communities in Japan. The details of the cohort profile have been reported elsewhere [9, 10]. Briefly, in 2005–2007, we created a baseline database that included clinical and genetic information for 3,040 residents of Japan (1,061 men, 1,979 women); the mean age (deviation [SD]) of the participants was 70.3 [11.0] years (71.0 [10.7] years for men and 69.9 [11.2] years for women). The subjects were recruited from resident registration listings in three communities with different characteristics: 1,350 subjects (465 men, 885 women) were

from an urban region in Itabashi, Tokyo; 864 subjects (319 men, 545 women) were from a mountainous region in Hidakagawa, Wakayama and 826 subjects (277 men, 549 women) were from a coastal region in Taiji, Wakayama.

The participants completed an interviewer-administered questionnaire of 400 items that included lifestyle information such as occupation, smoking habits and alcohol consumption; family history; medical history; physical activity; reproductive variables and health-related quality of life. A questionnaire was prepared by modifying the one used in the Osteoporotic Fractures in Men Study [11], and some new items were added to the modified questionnaire. The participants were asked whether they took prescription medication daily or nearly every day (0 = no, 1 = yes). If participants did not know the reason for the prescribed medication, they were asked to bring their medications to the medical doctor (NY).

Anthropometric measurements included height (in centimetres), body weight (in kilograms), arm span (in centimetres), bilateral grip strength (in kilograms) and body mass index (BMI; in kilograms per square metre). Experienced orthopaedic surgeons collected medical information on systematic, local and mental status, including information on back, knee and hip pain; swelling and range of motion of the joints and patellar and Achilles tendon reflexes.

In 2008–2010, we attempted to locate and follow up all 3,040 subjects. They were invited for the second survey of the ROAD study, which included a 3-year follow-up of the same examinations as the baseline.

Subjects eligible for the present study

In the present study, we enrolled all 1,690 subjects (men, 596; women, 1,094) from mountainous and coastal areas who had enrolled in the ROAD study. In the ROAD study, X-ray examination of the cervical and thoracic spine had been performed only for these subjects and not for those from the urban region. Further, for all these 1,690 participants, the BMDs for the lumbar spine and the proximal femur had been measured using dual energy X-ray absorptiometry (Hologic Discovery; Hologic, Waltham, MA, USA) during the baseline examination. Additionally, blood and urinary examinations had also been performed for these subjects.

The study participants provided written informed consent, and the study was conducted with the approval of the ethics committees of the University of Tokyo (no. 1264 and no. 1326) and the University of Wakayama Medical University (no. 373).

Radiographic assessment

Plain radiographs were obtained for the cervical, thoracic and lumbar spine in the anteroposterior and lateral views and both knees in the anteroposterior view with weight-bearing and footmap positioning.

Cervical OPLL was diagnosed using plain radiographs of the cervical spine in the lateral view. OPLL was indicated by the presence of heterotopic ossification in the posterior longitudinal ligament on a lateral cervical radiograph. Radiographic OPLL was diagnosed by a single, experienced orthopaedic surgeon (KN) who was blinded to participants' clinical status. OPLL was classified into the following types: continuous, segmental and mixed. In the original OPLL classification by Tsuyama [3], it was categorised into four modes, namely continuous, segmental, mixed and localised. However, here, because of the small number of subjects in the localised category, these subjects were included in the continuous category. If OPLL was observed, the maximum length (continuous and localised type, upper limit to lower limit; segmental and mixed types, upper limit to lower limit of the longest serial region) and width of ossification were measured using the imaging software OsiriX (http://www.osirix-viewer.com/).

In addition, using radiographs of spine and knees, we determined the grade of OA. The severity of radiographic OA was determined according to the Kellgren–Lawrence (KL) grading [12] as follows: KL0, normal; KL1, slight osteophytes; KL2, definite osteophytes; KL3, joint or intervertebral space narrowing with large osteophytes and KL4, bone sclerosis, joint or intervertebral space narrowing and large osteophytes. Radiographs for each site, i.e. the vertebrae and knees, were examined by a single, experienced orthopaedic surgeon (SM) who was blinded to participants' clinical status. In the present study, the subject's KL grade was considered the maximum grade diagnosed for at least one intervertebral level of the lumbar spine or at least one knee joint.

We also investigated the presence of DISH using whole-spine X-ray films. The criterion for the definite diagnosis of DISH was the presence of four or more vertebral bodies with contiguous ligamentous ossification and calcification, which is known as Resnick and Niwayama's criterion [13]. DISH was diagnosed by a single, experienced orthopaedic surgeon (RK) who was blinded to participants' clinical status.

Blood and urine examinations

Samples were collected from the end of October to the middle of January from both mountainous and coastal areas. All blood and urine samples were extracted between 0900 and 1500 hours. The blood samples were centrifuged, and the sera and urine samples were immediately placed on dry ice and transferred to a deep freezer within 24 h. The samples were stored at −80 °C until assayed.

The blood samples were used to measure haemoglobin A1c (HbA1c, Japan Diabetes Society), serum levels of total cholesterol, uric acid and creatinine levels. The analyses were performed at the same laboratory within 24 h of collection (Osaka Kessei Research Laboratories, Inc., Osaka, Japan).

Serum levels of intact parathyroid hormone (iPTH) were measured using an electrochemiluminescence immunoassay (Roche Diagnostics GmbH, Mannheim, Germany). As a marker of bone formation, serum levels of N-terminal propeptide of type I procollagen (PINP) were measured using a radioimmunoassay (Orion Diagnostics, Espoo, Finland). The urinary levels of β-isomerised C-terminal cross-linking telopeptide of type I collagen (β-CTX), a bone resorption marker, were determined using an enzyme-linked immunosorbent assay (Fujirebio, Inc., Tokyo, Japan). Urinary β-CTX values were standardised to urinary creatinine concentrations. Plasma pentosidine levels were detected using a competitive ELISA kit (FSK pentosidine ELISA kit; Fushimi Pharmaceutical, Kagawa, Japan) as previously described [14].

Three-year follow-up and definition of OPLL occurrence and progression

In 2008–2010, the 1,690 subjects were invited to enrol in the second survey of the ROAD study, a 3-year follow-up consisting of examinations identical to those conducted at baseline. Spine and knee radiographs were also obtained at follow-up. All cervical radiographs were read by the same orthopaedic surgeon who read them at the baseline (KN), and he was again blinded to participants' clinical status. He simultaneously compared the X-ray films at the baseline and 3-year follow-up and thereby diagnosed OPLL. A new OPLL case was diagnosed if heterotopic ossification in the posterior longitudinal ligament was absent on the lateral cervical radiograph obtained at baseline but present in that obtained during follow-up. OPLL progression was defined as an increase in the maximum length or width of the heterotopic ossification during follow-up compared to that at baseline.

Statistical analysis

All statistical analyses were performed using STATA statistical software (STATA Corp., College Station, TX, USA). Differences in proportions were compared using the chi-square test. Differences in continuous variables were tested for significance using analysis of variance for multiple groups or Scheffe's least significant difference test for pairs of groups. All p values and 95 % confidence intervals (CI) are two sided.

To test the association between OPLL and potential risk factors, we used logistic regression analysis with the presence or absence of OPLL (0 = absence, 1 = presence) as an objective variable and select potential explanatory variables, in addition to basic characteristics such as age (+1 year), gender (0 = men, 1 = women) and regional differences (0 = mountainous area, 1 = coastal area). The selected associated factors were those that showed a significant ($p <0.05$) association with OPLL status in a simple linear analysis. To test the association between OPLL progression and associated factors, we used multivariate

regression analysis with the change rate (percent per year) of the maximum length or width as an objective variable and the explanatory variables used in the above-mentioned logistic regression analysis. The explanatory variables in the logistic regression analysis and multivariate regression analysis are described in the "Results" section.

Results

Prevalence of radiographic OPLL

The X-ray radiographs of 1,562 of the 1,690 subjects (92.4 %, 520 men, 1,038 women) showed all parts of the lateral cervical spine, from C1 to C7. Among these 1,562 individuals, 30 (17 men, 13 women) were diagnosed with radiographic OPLL; thus, the prevalence of OPLL was estimated at 1.9 % (men, 3.2 %; women, 1.3 %), and it was significantly higher in men than in women ($p = 0.007$).

Figure 1 shows the prevalence of OPLL classified by age and gender. The prevalence of OPLL was not associated with age in either men or women.

In the 30 subjects with radiographic OPLL, the OPLL was categorised into the continuous type in 13 subjects (six men and seven women, 43.3 %), the segmented type in eight (six men and two women, 26.7 %), the mixed type in seven (four men and three women, 23.3 %) and the localised type in two (one man and one woman, 6.7 %). The largest OPLL region was most commonly observed in C4 (ten individuals; 33.3 %; three men and seven women), followed by C5 (nine individuals; 33.0 %; eight men and one woman), C3 (seven individuals; 23.3 %; four men and three women), C6 (three individuals; 10.0 %; two men and one women) and C2 (one individual; 3.3 %; one woman). The largest OPLL region was not found in C1 or C7 in any subject.

The mean length and width (standard deviation, SD) of the largest region of ossification at the baseline were 27.6 (16.0)

and 3.0 (1.5)mm, respectively. The values in men were 26.1 (14.5) and 2.9 (1.4)mm, and those in women were 29.6 (18.1) and 3.2 (1.5)mm, respectively; thus, no significant difference was observed between men and women in this regard.

Factors associated with OPLL

Table 1 shows the baseline characteristics of 1,562 participants with and without OPLL. Overall, subjects with OPLL tended to be taller and heavier than those without OPLL ($p < 0.05$). Further, compared to individuals without OPLL, those with OPLL had higher plasma pentosidine levels and higher BMD values for both the lumbar spine (L2–4) and femoral neck ($p < 0.05$).

Table 1 also shows the prevalence of LS, KOA and DISH on the basis of OPLL status. The prevalence of LS with ≥grade 2 KL and that of DISH was higher in the group with OPLL than in the one without OPLL ($p < 0.05$), although no significant association was observed between the prevalence of KOA and the presence of OPLL.

Logistic regression analysis was performed with the OPLL status as the objective variable (0 = absence, 1 = presence). As explanatory variables, the analysis involved select associated factors that showed a significant ($p < 0.05$) association with OPLL status in the simple linear analysis, namely, height (in centimetres), weight (in kilograms), values of plasma pentosidine (+1 μg/mL), BMD of the femoral neck (+1 SD), presence of LS based on KL grade (0 = KL grade 0 or 1, 1 = KL grade≥2) and DISH (0 = absent, 1 = present), after adjustments were made for age (years) and gender (0 = men, 1 = women). As seen from Table 2, plasma pentosidine levels, BMD of the femoral neck and the presence of DISH were found to be significant associated factors for the presence of OPLL (Table 2). Further, when BMD of the lumbar spine (L2–4) was used instead of that of the femoral neck, this factor was also found to be significantly associated with OPLL (+1 SD; odds ratio (OR), 1.52; 95 % CI, 1.05–2.20; $p = 0.026$), but the
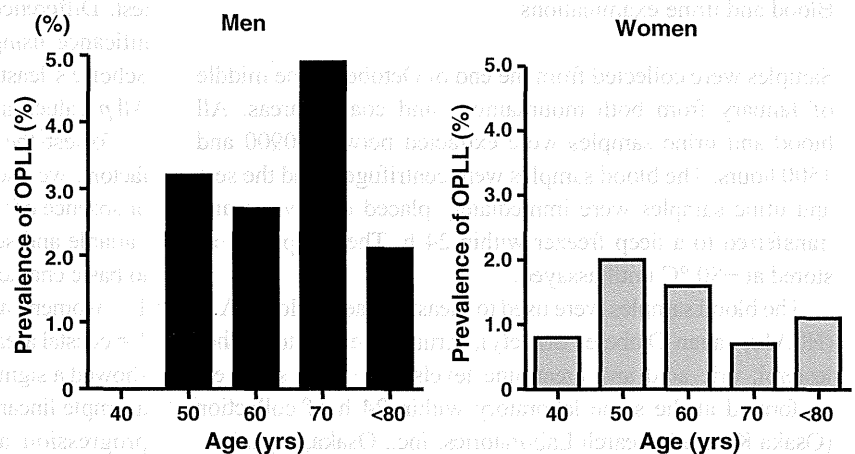
Fig. 1 Prevalence of OPLL classified by age and gender

Table 1 Baseline characteristics of participants classified by the presence or absence of OPLL

	Total (N=1,562)			Men (N=524)			Women (N=1,038)		
	OPLL (−) N=1,532	OPLL (+) N=30	p	OPLL (−) N=507	OPLL (+) N=17	p	OPLL (−) N=1,025	N=1,025 N=13	p
Age distribution (prevalence, %)									
30 years and younger	43	0 (0.0)		12	0 (0.0)		31	0 (0.0)	
40–49 years	141	1 (0.7)		39	0 (0.0)		102	1 (1.0)	
50–59 years	291	7 (2.4)	0.729	92	3 (3.2)	0.604	199	4 (2.0)	0.787
60–69 years	449	9 (2.0)		142	4 (2.7)		307	5 (1.6)	
70–79 years	468	11 (2.3)		175	9 (4.9)		293	2 (0.7)	
80 years and older	140	2 (1.4)		47	1 (2.1)		93	1 (1.1)	
Age (years), mean (SD)	62.9 (12.1)	67.0 (9.3)	0.3495	66.0 (11.7)	70.7 (8.0)	0.0990	64.4 (12.2)	62.2 (9.0)	0.5069
Height (cm), mean (SD)	154.9 (9.1)	159.1 (7.5)	0.0132*	163.3 (7.0)	163.9(5.4)	0.7414	150.8 (6.9)	152.8 (4.6)	0.2945
Weight (kg), mean (SD)	55.0 (10.3)	60.3 (10.1)	0.0053**	61.6 (10.5)	62.7 (8.2)	0.6759	51.7 (8.5)	57.1 (11.7)	0.0219*
BMI (kg/m^2), mean (SD)	22.8 (3.2)	23.8 (3.4)	0.1135	23.0 (3.1)	23.3 (2.1)	0.7434	22.7 (3.3)	24.4 (4.6)	0.0671
Residing in the coastal area (%)	49.4	53.3	0.671	46.4	58.8	0.311	50.9	46.2	0.732
Current smoking habit (regularly, ≥1/month) (%)	12.9	23.3	0.095	31.1	41.2	0.377	3.8	0.0	0.472
Current alcohol consumption (regularly, ≥1/month) (%)	39.1	43.3	0.637	66.1	64.7	0.907	25.8	15.4	0.395
Total cholesterol (mg/dL), mean (SD)	208.8 (34.5)	209.6 (36.2)	0.8954	198.6 (34.1)	204.4 (33.5)	0.4874	213.8 (33.6)	216.4 (39.8)	0.7840
Uric acid (mg/dL), mean (SD)	4.84 (1.30)	5.24 (1.21)	0.0943	5.71 (1.26)	5.71 (1.03)	0.9867	4.42 (1.09)	4.65 (1.21)	0.4528
HbA1c (Japan Diabetes Society) (%), mean (SD)	5.17 (0.70)	5.38 (0.79)	0.1124	5.20 (0.79)	5.44 (0.95)	0.2162	5.16 (0.64)	5.29 (0.56)	0.4595
Serum levels of iPTH (pg/mL), mean (SD)	41.2 (34.4)	41.2 (14.2)	0.9952	42.6 (54.4)	41.1 (13.9)	0.9083	40.5 (17.4)	41.3 (15.1)	0.8748
Serum levels of PINP (μg/L), mean (SD)	57.9 (27.0)	52.6 (29.9)	0.2915	47.5 (22.0)	42.6 (14.9)	0.3619	63.1 (27.8)	65.8 (39.2)	0.7301
Urinary levels of β-CTX (μg/mmol Cr), mean (SD)	187.2 (121.3)	150.4 (79.1)	0.0985	128.4 (78.7)	119.8 (58.3)	0.6529	216.2 (128.0)	190.5 (86.8)	0.4693
Plasma levels of pentosidine (μg/mL), mean (SD)	0.058 (0.037)	0.085 (0.140)	0.0005***	0.061 (0.048)	0.102 (0.184)	0.0042**	0.057 (0.030)	0.062 (0.037)	0.5012
BMD of the lumbar spine L2-4 (g/cm^2), mean (SD)	0.925 (0.205)	1.084 (0.205)	<0.0001***	1.038 (0.203)	1.176 (0.176)	0.0058**	0.868 (0.181)	0.965 (0.181)	0.0575
BMD of the femoral neck (g/cm^2), mean (SD)	0.667 (0.137)	0.747 (0.134)	0.0016**	0.739 (0.132)	0.797 (0.110)	0.0727	0.631 (0.124)	0.681 (0.139)	0.1558
Presence of LS (KL grade≥2) (%)	61.8	83.3	0.016*	76.1	100.0	0.022*	54.7	61.5	0.624
Presence of KOA (KL grade≥2) (%)	49.5	56.7	0.440	41.4	41.2	0.986	53.6	76.9	0.093
Presence of DISH (%)	9.4	33.3	<0.001***	0.7	52.9	0.002**	3.8	7.7	0.469

OPLL ossification of posterior longitudinal ligament, *SD* standard deviation, *BMI* body mass index, *HbA1c* haemoglobin A1c, *iPTH* intact parathyroid hormone, *PINP* N-terminal propeptide of type I procollagen, *β-CTX* β-isomerised C-terminal cross-linking telopeptide of type I collagen, *BMD* bone mineral density, *LS* lumbar spondylosis, *KOA* knee osteoarthritis, *KL grade* Kellgren–Lawrence grade, *DISH* diffuse idiopathic skeletal hyperostosis, *OPLL(−)* absence of OPLL, *OPLL(+)* presence of OPLL

*p <0.05; **p <0.01; ***p <0.001

Table 2 Odds ratios of potential factors associated with the presence of OPLL vs. the absence of OPLL

Explanatory variables	Reference	OR	95 % CI	p
Age (years)	+1 year	1.03	0.98–1.07	0.269
Gender	0 = men, 1 = women	1.30	0.39–4.34	0.666
Height (cm)	+1 cm	1.04	0.96–1.12	0.352
Weight (kg)	+1 kg	1.00	0.96–1.05	0.909
Pentosidine (µg/mL)	+0.01 µg/mL	1.05	1.00–1.09	0.038*
BMD (femoral neck) (g/cm2)	+1 SD	1.55	1.04–2.33	0.033*
Presence of LS (KL grade≥2)	0 = no, 1 = yes	1.94	0.67–5.61	0.219
Presence of DISH	0 = no, 1 = yes	2.78	1.11–6.92	0.029*

Logistic regression analysis was performed using the status of OPLL as the objective variable (0 = absence, 1 = presence), and the abovementioned factors were correspondingly adjusted

OPLL ossification of posterior longitudinal ligament, BMD bone mineral density, LS lumbar spondylosis, KL grade Kellgren–Lawrence grade, DISH diffuse idiopathic skeletal hyperostosis, SD standard deviation, OR odds ratios, 95 % CI 95 % confidence interval

*p <0.05; **p <0.01; ***p <0.001

association of plasma pentosidine levels and DISH weakened (plasma pentosidine +0.01 µg/mL, 1.04, 0.997–1.087, p=0.069; presence of DISH 2.37, 0.94–6.00, p=0.069).

New occurrence or progression of OPLL

During the three study years, 1,380 individuals (88.3 %; 466 men, 914 women) among the 1,562 subjects at baseline returned for follow-up, and their radiographs were available for observation. Among the 30 individuals with radiographic cervical OPLL at baseline, 25 (83.3 %; 14 men and 11 women) participated in the second survey.

The remaining 1,355 individuals who did not have cervical OPLL at baseline and who participated in the initial and second surveys were regarded as members of the population at risk for the occurrence of OPLL. Among them, only one woman was diagnosed with newly developed radiographic OPLL (incidence 2.46/10,000 per year).

At follow-up, the mean length (in millimetres, SD) and width (in millimetres, SD) of the maximum region of ossification among the 25 individuals with OPLL was 28.7 (16.1) and 3.5 (1.5)mm, respectively. Since the mean values of length and width of the maximum region of ossification of these 25 subjects were 27.0 (16.2) and 3.0 (1.5)mm at the baseline, respectively, both the length and width of the maximum region of ossification increased, although a significant difference was not observed.

To clarify the risk factors associated with this increase in the length and width of the ossification, we performed multivariate regression analysis using the rate of change in these parameters as objective variables and the explanatory variables as those used in the logistic regression analysis, namely height (in centimetres), weight (in kilograms), plasma pentosidine levels (+1 µg/mL), BMD of the femoral neck (+1 SD), presence of LS based on the KL grade (0 = KL grade 0 or 1, 1 = KL grade≥2)

and DISH (0 = absence, 1 = presence). Adjustments for age (years) and gender (0 = men, 1 = women) were made. However, none of the abovementioned variables was found to be significantly associated with the rate of changes in the length or width.

Discussion

In the present population-based study, we clarified the prevalence of radiographic OPLL in the general Japanese population, and we found that it is significantly associated with high plasma pentosidine levels, high BMD and the presence of DISH. The 3-year follow-up study also showed that new cases were very rare, and the length and width of the maximum region of ossification among the subjects with OPLL tended to increase.

The prevalence of OPLL in Japan has been reported to be 1.9 to 4.3 % among individuals aged 30 years and older [1, 15–17]. In other Asian countries, such as in Korea [18, 19] and Taiwan [20], a similar prevalence was reported, but it was lower in Western countries [21], suggesting that ethnic and/or genetic factor(s) could be associated with the onset of OPLL. In the present study, the prevalence of OPLL was found to be 1.9 %. This is consistent with the value found in previous reports. However, it is difficult to clearly distinguish localised-type OPLL from osteophytic changes, and we included two individuals with localised-type OPLL in the OPLL group. Thus, we may have overestimated the presence of radiographic OPLL. If we exclude individuals with localised-type OPLL from the OPLL group, the prevalence of the OPLL in the present study is 1.8 %.

With regard to the gender difference in OPLL prevalence, the prevalence was previously reported to be three times higher in men than in women [22]. We found that men are 2.5 times more likely to have OPLL than women (men 3.2 %, women

1.3 %), which is consistent with results reported previously among Japanese subjects. In contrast, symptomatic OPLL was reported to be usually observed in the sixth decade of life [22], although we were unable to find a significant association between age and the presence of OPLL. This might be explained by the fact that previous studies on the characteristics of OPLL were performed on the subjects with symptomatic OPLL, i.e. they had been clinically diagnosed with OPLL, while our subjects had radiographic OPLL that had not been clinically diagnosed. If the OPLL in our subjects progresses in the future, the peak age at which the symptoms could be expressed may be their 60s.

With regard to the comorbidities of OPLL, several reports have indicated that obesity and DM might be associated with OPLL [5, 6]. In the present study, the values of BMI tended to be higher in the group with OPLL than in that without OPLL, although this difference was not significant. A similar pattern was found in the values of HbA1c, and this finding could be explained by previous findings that the extent of ossification was significantly associated with the fasting serum insulin level but not with the fasting glucose level or the HbA1c level [23]. However, in the ROAD study, since all subjects could not be requested to fast, we could not confirm the association between fasting serum insulin levels and OPLL.

With regard to the association between biochemical markers of bone turnover and OPLL, Matsui et al. showed that the levels of the bone markers serum procollagen type I carboxyl-terminal peptide and intact osteocalcin were higher in patients with OPLL than in normal subjects [24]. This suggested that OPLL was associated with biochemical markers of bone turnover. In the present study, to evaluate the role of bone metabolism in OPLL, we compared the serum levels of iPTH and PINP as bone formation markers and the urinary levels of β-CTX between the groups with and without OPLL. However, we could not find significant differences between the groups.

Instead, the plasma pentosidine levels of the OPLL group were found to be significantly higher than those of the group without OPLL. This tendency remained after potential associated factors were adjusted for. Pentosidine is an advanced glycation end product, products generated by the sequential nonenzymatic glycosylation of protein amino groups [25] that accumulate in various tissues including kidney and coronary arteries, resulting in the development of diabetic vascular complications [26]. The concentrations of pentosidine in cortical and trabecular bone are reported to be adversely associated with bone strength [27–29]. Yamamoto et al. [30] found that serum pentosidine levels were positively associated with the presence of vertebral fractures in postmenopausal women with type 2 diabetes. Renal insufficiency was reported to be a dominant determinant of serum pentosidine levels [31] because of which serum pentosidine levels are increased in patients with chronic renal failure [32, 33]. However, no report has shown the association between pentosidine levels and the

presence of OPLL. On the basis of the abovementioned reports, we performed multivariate logistic regression analysis using the same explanatory factors we had used in the analysis shown in Table 2, along with the estimated glomerular filtration rate. We found that the plasma pentosidine levels were still significantly related to the presence of OPLL (OR, 1.05; 95 % CI, 1.00–1.09; p =0.042). We speculate that the levels of pentosidine might be associated with ectopic ossification, such as vascular calcification in patients with renal dysfunction, or the presence of OPLL, directly or indirectly, although the currently available information is inadequate to prove this hypothesis. One reason for the inadequacy of the information obtained in this study could be that we did not evaluate genetic factors in the present study. Further investigations are needed to clarify whether the observed relationship between pentosidine levels and OPLL remains after analysis of other possible confounders, including genetic factors.

In addition to the biochemical markers, high BMDs have been observed in patients with OPLL [24, 34, 35]. However, Morio et al. reported that the BMD was lower in patients with advanced OPLL [36], suggesting that the disuse atrophy may result during advanced-stage OPLL. Our results also showed that subjects with OPLL had higher BMDs. However, the subjects in the present study all had radiographically determined OPLL but few clinical symptoms, so their condition may not have been in the advanced stage. Therefore, based solely on the results of the present study, we were unable to discuss the association between BMD and advanced-stage OPLL.

Several reports have shown that the coexistence of OPLL and DISH is quite common [4, 7, 8]. The pathogenesis of DISH and OPLL has been speculated to be similar, although the details remain unclear. For example, Havelka et al. analysed intron 6 (−4) polymorphisms in the COL 11 A2 gene in Czech patients with DISH and Japanese patients with OPLL, but they found no agreement between the data of subjects with DISH and OPLL [37]. Additional studies with a broader spectrum of genotyping and a larger cohort of patients may clarify the presence or absence of genetic relations between DISH and OPLL.

Few studies have been reported regarding the incidence of OPLL in the general population because OPLL is relatively rare and based on ethnicity, as noted. Using data collected in a pilot study in the corporation of 360 Japanese hospitals [3], Tsuyama described the incidence of OPLL and found that 2,142 patients were treated in these hospitals and the estimated incidence of OPLL was 19 patients per million persons of the total population [3]. In the present study, only one new case of OPLL was detected, so we could not accurately estimate the incidence of OPLL and compare our results to those of previous reports. In order to confirm the incidence of OPLL, we need to follow this cohort for a longer time.

Several studies have investigated the course of OPLL. Chiba et al. use computer-assisted measurement to examine OPLL

progression, and they found that the rate of OPLL progression was 56.5 % over 2 years, and this rate was most common in younger patients with continuous- and mixed-type OPLL [38]. Murakami et al. followed the case of a 67-year-old man who had had cervical OPLL for more than 26 years, and they found that the rate of OPLL progression was 2.2, 8.8 and 2.0 mm/year from 1–4, 4–8 and 8–10 years after the first visit, respectively [39]. However, to our knowledge, no study has reported the progression of radiographically defined OPLL in the general population. In the present study, we found that both the length and width of the maximum region of ossification increased during the 3 years of the study, although it was not a significant change. A previous report [39] found no evidence of OPLL progression after 10 years. We must carefully examine whether or not radiographically defined OPLL progresses to clinical OPLL.

This study has several limitations. First, although the ROAD study includes a large number of participants, these participants may not truly be representative of the general population. To address this, we compared the anthropometric measurements and the frequencies of smoking and alcohol consumption between the study participants and the general Japanese population. No significant differences were found, with the exception that male ROAD study participants aged 70–74 years were significantly smaller in terms of body structure than men from the overall Japanese population ($p <$ 0.05) [10]. This difference should be considered when evaluating potential risk factors for men aged 70–74 years; factors such as body build, particularly weight, are known to be associated with metabolic risk factors and KOA. Therefore, our results may have underestimated the prevalence of these conditions. Second, the total number of subjects with confirmed OPLL was very small, which might make the results somewhat less credible. In the present study, we used logistic regression analysis to adjust for gender differences. When the total number of the objective variable, namely OPLL cases, is small, using the multivariate model to adjust for gender differences may be more useful than using a gender-specific analysis. This is because the total number of cases in a gender-specific analysis will be even smaller, which reduces the statistical power. Although the significant associations between OPLL and the plasma levels of pentosidine and between OPLL and DISH were observed only in men in the simple comparative analysis, the pentosidine levels and DISH remained significant factors associated with the presence of OPLL even in the logistic regression analysis with adjustments for gender. We interpreted this result to mean that the female sex might dilute the strength of the association between OPLL and DISH, but the tendency in both genders remained significant.

To clarify the effect of sex differences in the interaction among OPLL, pentosidine levels and DISH, the logistic regression analysis was performed in men and women separately (Supplementary Table 1). In this logistic regression analysis, the presence of OPLL was significantly associated with the pentosidine levels and femoral neck BMD in men, but the association of OPLL with the presence of DISH was diluted to a marginal association ($p =$ 0.080). Further, since all male patients with DISH had radiographic LS, we could not evaluate the association between OPLL and LS. In women, the associations among OPLL, pentosidine levels and DISH were not significant. Although these results may indicate that the significant associated factors were observed only in men, they may even be skewed by the small number of female cases. Under these circumstances, it is difficult to distinguish which model should be used, i.e. logistic regression analysis or the multivariate model. It may be necessary to first include an adequate number of OPLL cases before this can be decided. To compensate for these limitations, we decided to include the urban cohort of the ROAD study in the OPLL survey. Thus, more participants will be included in the third ROAD survey planned from 2012 to 2013, and further detailed investigation regarding the risk factors for the presence, occurrence or exacerbation of OPLL may be possible.

In summary, the present study clarified that the prevalence of radiographic cervical OPLL in 1,562 individuals was 1.9 %, which was significantly higher in men than in women ($p =$ 0.007), but no association with age was observed. In logistic regression analysis, OPLL showed a significant association with the femoral neck BMD, presence of DISH and plasma pentosidine levels. Only one new case of radiographic OPLL was detected, but OPLL progressed in all affected subjects.

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A Meta-Analysis of the Association of Fracture Risk and Body Mass Index in Women

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ABSTRACT

Several recent studies suggest that obesity may be a risk factor for fracture. The aim of this study was to investigate the association between body mass index (BMI) and future fracture risk at different skeletal sites. In prospective cohorts from more than 25 countries, baseline data on BMI were available in 398,610 women with an average age of 63 (range, 20–105) years and follow up of 2.2 million person-years during which 30,280 osteoporotic fractures (6457 hip fractures) occurred. Femoral neck BMD was measured in 108,267 of these women. Obesity (BMI $\geq$ 30 kg/m^2) was present in 22%. A majority of osteoporotic fractures (81%) and hip fractures (87%) arose in non-obese women. Compared to a BMI of 25 kg/m^2, the hazard ratio (HR) for osteoporotic fracture at a BMI of 35 kg/m^2 was 0.87 (95% confidence interval [CI], 0.85–0.90). When adjusted for bone mineral density (BMD), however, the same comparison showed that the HR for osteoporotic fracture was increased (HR, 1.16; 95% CI, 1.09–1.23). Low BMI is a risk factor for hip and all osteoporotic

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