

children, children-in-law and grand-children, compared to those who 'live and eat with others', most of whom live with their spouse.

DISCUSSION

The main aim of the present study was to examine the association between social engagement and depressive symptoms in community-dwelling Japanese older adults, with a particular focus on eating alone and on the changes in the association along geriatric trajectories of aging and mental frailty.

The study was carried out on a population sample of Japanese older adults, of whom 14.7% showed depressive symptoms ($GDS \geq 6$). This is on the lower end compared to previous studies that used the same GDS cut-off point, in which the prevalence ranged between 14% and 40%⁴.

The results highlighted a significant association between depressive symptoms and social engagement variables such as social ties, eating alone, social participation, social stressors and reciprocity of social support. Of particular interest was eating alone, which to our knowledge has not been assessed before in combination with different components of social engagement and in relation to the living arrangement. 'Living with others yet eating alone' was a significant predictor of depression for both age groups, with odds ratio reaching as high as 5 times for the young-old. This suggests that eating alone acts as a stronger risk factor than living alone, and that the living arrangement in which older adults eat alone can act as a critical determinant of depressive risks. Meals are an important location of socialization whereby older adults enjoy intimate interactions, and when shared with others, they can provide valuable opportunities for companionship and social support¹⁸. A lack of communication during meals may result in feelings of loneliness and depressed moods¹⁹.

Table 5 suggests that those who eat alone despite living with their families tend to be the most socially withdrawn, with least awareness of their health conditions and the poorest physical, oral and cognitive functions as well as nutritional status. The fact that they do not share a single meal with their families despite living together suggests that they have distant relationships with them. Compared to those who eat with others, a greater proportion of those who eat alone live with their children, children-in-law or grandchildren, and less with their spouse. This suggests that they may be eating alone because they lead different life styles, suffer from emotional distance, concerns that they will add burdens on their families if they eat together, or from uncomfortable relationships with family members such as children-in-law. This is supported by the fact that they have the weakest social ties with family. This may result in lower interest in their health shown by their families, as well as in lower self-interest. The fact that they show the lowest health literacy also supports this hypothesis. They also exhibit the lowest mobility and social ties with friends, suggesting that they are the most socially isolated not only at home but also outside. The fact that their gait speeds and IADL are the lowest imply that their poor physical functions play a role in limiting their social activities. GOHAI scores, number of remaining teeth and occlusal force are lowest in this group, indicating the possibility that they eat alone because they eat too slowly, require different menus or because they have concerns about their oral appearance. The poor oral functions and nutritional/dietary status (low food variety and MNA-SF scores) may also be another manifestation of the lack of interest in their health shown by their families as well as by themselves.

In any case, the sentiments or perceptions that lead them to eat alone despite living with their families are likely to be negative in nature, and may be internally conceived by the older adults themselves, or externally imposed by families living together or the wider society. The functional declines which may cause as well as result from eating alone may also contribute to the depressive outcomes.

Stratification by age groups and multinomial regression analysis by different severities of depression revealed that fewer variables of social engagement were associated with depressive outcomes as the population ages or becomes more mentally frail. This suggests that social engagement is a more powerful predictor of mental health at earlier points along geriatric trajectories, and thus that effective social preventive measures require early interventions. Lower down the geriatric trajectories, social factors fall in their relative importance and the role of health and functional factors increase. This is suggested by the fact that the number of medications becomes a significant predictor for old-old, and the history of cerebrovascular diseases and MNA-SF scores become significant for severe depression.

Outside the domain of social engagement, the independent risk factors for depressive symptoms in both age groups included GOHAI and health literacy, supporting the findings of previous studies³⁶⁻³⁸. Uniquely for young-old, mobility, MNA-SF and income were associated. For old-old only, the number of medications remained a predictor of depressive symptoms.

This study elucidates that reducing the risk of depression requires much more than medical care and that preventive measures need to be introduced early on in the geriatric trajectories, before frailty sets in. The present study shows that social factors such as eating alone pose substantial risk for mental health. Comprehensive assessment that covers a wide range of health-related domains including physical health, oral functions, nutritional and dietary status as well as social relations will be necessary to identify those at risk effectively.

The limitations of our study are mainly four-fold. First, the cross-sectional nature of the study prevents it from making any conclusive comments about the causality between independent variables and the outcome. Second, data on household income were not available, and instead individual income was used. Given that the older adults in the present study grew up in a period when it was rare for women to work after marriage, household income would have been a better indicator of the economic environment for women. Third, depressive symptoms were measured using self-administered GDS questionnaire rather than diagnosis by physicians. Fourth, the participants inevitably comprised those who had greater degrees of interest in health and lower barriers to participation in the study. This may have skewed the nature of participants, to those who were more socially active and interested in health, missing out those who were most socially disengaged.

CONCLUSION

For community-dwelling Japanese older adults, depressive symptoms were significantly associated with social engagement, with greater associations in younger and less mentally frail populations. Eating alone was identified as a key risk factor for depressive symptoms, and those who live with their families yet eat their meals alone were at highest risk. Mental health management for older adults therefore requires comprehensive assessment of their social relations, taking into account their companionship during mealtimes. Social preventive measures need to involve early interventions in order to augment its effectiveness against mental frailty.

Given that depression can lay the ground for further frailty and various detrimental health outcomes, further study with longitudinal design, with more detailed data collection on social predictors of depression, may play a pivotal role in identifying possible intervention opportunities to prevent not only mental but also physical frailties.

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GRAPHICS

Table 1:

Geriatric characteristics of normal (non-depressed) and depressed study subjects* (n=1,856)

variables	Young-old (65-74 years old)			Old-old (≥ 75 years old)		
	Normal (n=1,033)	Depressive symptoms (n=168)	p-value	Normal (n=551)	Depressive symptoms (n=104)	p-value
	mean \pm SD or n (%)			mean \pm SD or n (%)		
Socio-demographic variables						
Sex (male)	519 (50.2)	71 (42.3)	0.055	282 (51.2)	56 (53.8)	0.618
Age	69.6 \pm 2.7	69.6 \pm 2.6	0.969	79.0 \pm 3.7	79.4 \pm 4.0	0.294
Education (years)	13.0 \pm 2.5	12.6 \pm 2.6	0.089	12.4 \pm 3.1	11.7 \pm 3.3	0.056
Health literacy	4.03 \pm 0.61	3.71 \pm 0.67	<.001	4.07 \pm 0.60	3.64 \pm 0.70	<.001
Low income	598 (57.9)	126 (75.0)	<.001	293 (53.2)	62 (59.6)	0.227
Social engagement						
Living alone	77 (7.5)	20 (11.9)	0.050	84 (15.2)	15 (14.4)	0.830
Eating alone	91 (8.8)	42 (25.0)	<.001	104 (18.9)	34 (32.7)	0.002
Living & Eating with others	929 (89.9)	124 (73.8)	<.001	428 (77.7)	68 (65.4)	0.007
Living & Eating alone	64 (6.2)	18 (10.7)	0.031	65 (11.8)	13 (12.5)	0.839
Living alone yet Eating with others	13 (1.3)	2 (1.2)	1.000	19 (3.4)	2 (1.9)	0.555
Living with others yet Eating alone	27 (2.6)	24 (14.3)	<.001	39 (7.1)	21 (20.2)	<.001
Low reciprocal social support	45 (4.4)	29 (17.3)	<.001	34 (6.2)	18 (17.3)	<.001
Fewer frequency of going out	127 (12.3)	65 (38.7)	<.001	107 (19.4)	47 (45.2)	<.001
Major change in life	225 (21.8)	62 (36.9)	<.001	85 (15.4)	28 (26.9)	0.004
Social ties with family	8.33 \pm 3.1	6.58 \pm 3.1	<.001	8.21 \pm 3.2	6.91 \pm 3.0	<.001
Social ties with friends	8.43 \pm 3.5	6.23 \pm 3.4	<.001	8.43 \pm 3.6	6.30 \pm 3.4	<.001
Medical histories						
Hypertension	388 (37.6)	78 (46.4)	0.029	270 (49.0)	69 (66.3)	0.001
Cerebrovascular diseases	36 (3.5)	16 (9.5)	<.001	47 (8.5)	13 (12.5)	0.198
Diabetes	116 (11.2)	17 (10.1)	0.671	68 (12.3)	14 (13.5)	0.752
Osteoporosis	77 (7.5)	21 (12.5)	0.027	79 (14.3)	23 (22.1)	0.045
Heart diseases	151 (14.6)	28 (16.7)	0.489	111 (20.1)	32 (30.8)	0.016
Malignant neoplasm	152 (14.7)	16 (9.5)	0.072	92 (16.7)	23 (22.1)	0.183
Number of medications	2.21 \pm 2.5	2.85 \pm 2.9	0.008	3.80 \pm 3.3	5.72 \pm 3.9	<.001
Physical health & functions						
IADL	4.90 \pm 0.36	4.77 \pm 0.63	0.013	4.85 \pm 0.50	4.61 \pm 0.89	0.007
Mobility	25.8 \pm 9.8	21.1 \pm 10	<.001	24.1 \pm 9.9	20.9 \pm 11	0.003

Cognitive function: MMSE	28.5 ± 1.7	28.0 ± 1.9	0.002	28.0 ± 1.9	27.3 ± 2.3	0.006
Oral health & functions: GOHAI	55.8 ± 5.4	51.3 ± 7.1	<.001	54.5 ± 6.3	49.5 ± 8.9	<.001
Nutritional & dietary status						
BMI (kg/m ²)	23.0 ± 2.9	22.6 ± 3.0	0.071	22.7 ± 3.1	22.6 ± 3.0	0.625
Food variety	3.63 ± 2.0	3.04 ± 1.9	<.001	4.23 ± 2.1	3.72 ± 2.1	0.021
MNA-SF	12.7 ± 1.3	12.1 ± 1.8	<.001	12.4 ± 1.5	11.8 ± 1.8	0.004

SD: standard deviation; IADL: Instrumental Activities of Daily Living; MMSE: Mini-Mental State Examination; GOHAI:

General Oral Health Assessment Index; BMI: body mass index; MNA-SF: Mini Nutritional Assessment Short-Form

*Chi-square test or Fisher's Exact Test was used for categorical variables and non-paired t-test was used for continuous variables

Table 2:

Association between depressive symptoms and risk factors by binomial multiple logistic regression

variables	Young-old (65-74 years old) (n=1,201)					
	Model 1			Model 2		
	OR	95%CI	p-value	OR	95%CI	p-value
Social engagement						
Living & Eating with others (ref)		-			-	
Living & Eating alone	1.94	(1.1-3.6)	0.034	1.53	(0.79-2.9)	0.204
Living alone yet Eating with others	1.59	(0.32-7.9)	0.569	1.14	(0.19-6.8)	0.885
Living with others yet Eating alone	6.33	(3.3-12)	<.001	5.02	(2.5-9.9)	<.001
Low reciprocal social support	2.57	(1.5-4.6)	0.001	2.41	(1.3-4.5)	0.006
Fewer frequency of going out	3.79	(2.6-5.6)	<.001	2.57	(1.7-3.9)	<.001
Major change in life	1.78	(1.2-2.6)	0.004	1.72	(1.1-2.6)	0.009
Social ties with family	0.901	(0.84-0.96)	0.002	0.905	(0.84-0.97)	0.005
Social ties with friends	0.911	(0.86-0.96)	0.001	0.940	(0.88-1.0)	0.049
Socio-demographic variables						
Sex (male)				1.29	(0.77-2.2)	0.334
Health literacy				0.691	(0.52-0.93)	0.013
Low income				1.77	(1.0-3.0)	0.038
Medical histories						
Hypertension				1.17	(0.75-1.8)	0.486
Cerebrovascular diseases				1.99	(0.89-4.4)	0.094
Osteoporosis				1.38	(0.74-2.6)	0.308
Number of medications				1.03	(0.96-1.1)	0.402
Physical health & functions						
IADL				0.824	(0.54-1.3)	0.369
Mobility				0.973	(0.96-0.99)	0.007
Cognitive function: MMSE				1.04	(0.92-1.2)	0.521
Oral health & functions: GOHAI				0.944	(0.92-0.97)	<.001
Nutritional & dietary status						
Food variety				0.929	(0.84-1.0)	0.163
MNA-SF				0.870	(0.76-0.99)	0.038
Old-old (≥ 75 years old) (n=655)						
variables	Model 1			Model 2		
	OR	95%CI	p-value	OR	95%CI	p-value
Social engagement						
Living & Eating with others (ref)		-			-	

Living & Eating alone	1.01	(0.51-2.0)	0.968	1.06	(0.48-2.4)	0.889
Living alone yet Eating with others	0.753	(0.17-3.4)	0.712	0.979	(0.19-5.0)	0.980
Living with others yet Eating alone	2.45	(1.3-4.7)	0.006	2.41	(1.2-4.8)	0.014
Low reciprocal social support	1.91	(0.95-3.9)	0.071	1.04	(0.48-2.3)	0.917
Fewer frequency of going out	2.97	(1.9-4.7)	<.001	2.09	(1.2-3.6)	0.008
Major change in life	1.98	(1.2-3.4)	0.012	2.18	(1.2-3.9)	0.009
Social ties with family	0.981	(0.90-1.1)	0.651	0.972	(0.89-1.1)	0.548
Social ties with friends	0.880	(0.82-0.94)	<.001	0.895	(0.83-0.97)	0.006
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Socio-demographic variables						
Sex (male)				1.56	(0.88-2.8)	0.126
Health literacy				0.499	(0.34-0.74)	<.001
Medical histories						
Hypertension				1.46	(0.83-2.6)	0.185
Osteoporosis				1.27	(0.63-2.5)	0.505
Heart diseases				1.21	(0.68-2.1)	0.525
Number of medications				1.10	(1.0-1.2)	0.010
Physical health & functions						
IADL				0.842	(0.59-1.2)	0.340
Mobility				1.00	(0.98-1.0)	0.990
Cognitive function: MMSE				0.919	(0.82-1.0)	0.160
Oral health & functions: GOHAI				0.935	(0.90-0.97)	<.001
Nutritional & dietary status						
Food variety				0.982	(0.87-1.1)	0.770
MNA-SF				0.929	(0.79-1.1)	0.365

OR: odds ratio; CI: confidence interval; IADL: Instrumental Activities of Daily Living; MMSE: Mini-Mental State Examination; GOHAI: General Oral Health Assessment Index; MNA-SF: Mini Nutritional Assessment Short-Form

Model 1: social engagement

Model 2: social engagement, socio-demographic variables, medical histories, number of medications, physical health & functions, cognitive function, oral health & functions, nutritional & dietary status

Table 3:

Geriatric characteristics of normal, mildly depressed and severely depressed subjects* (n=1,856)

variables	Normal	Mild	Severe	p-value
	(n=1,584)	depression (n=193)	depression (n=79)	
mean±SD or n (%)				
Socio-demographic variables				
Sex (male)	801 (50.6)	84 (43.5)	43 (54.4)	0.601
Age	72.8 ± 5.4	72.7 ± 5.6	74.8 ± 6.0	0.201
Education (years)	12.8 ± 2.7	12.3 ± 2.9	12.2 ± 3.1	0.007
Health literacy	4.04 ± 0.61	3.75 ± 0.67	3.52 ± 0.70	<.001
Low income	891 (56.3)	137 (71.0)	51 (64.6)	0.001
Social engagement				
Living alone	161 (10.2)	19 (9.8)	16 (20.3)	0.031
Eating alone	195 (12.3)	47 (24.4)	29 (36.7)	<.001
Living& Eating with others (ref)	1357 (85.7)	146 (75.6)	46 (58.2)	<.001
Living & Eating alone	129 (8.1)	19 (9.8)	12 (15.2)	0.031
Living alone yet Eating with others	32 (2.0)	0 (0.0)	4 (5.1)	0.681
Living with others yet Eating alone	66 (4.2)	28 (14.5)	17 (21.5)	<.001
Low reciprocal social support	79 (5.0)	30 (15.5)	17 (21.5)	<.001
Fewer frequency of going out	234 (14.8)	75 (38.9)	37 (46.8)	<.001
Major change in life	310 (19.6)	66 (34.2)	24 (30.4)	<.001
Social ties with family	8.29 ± 3.1	6.82 ± 3.1	6.42 ± 3.0	<.001
Social ties with friends	8.43 ± 3.5	6.42 ± 3.4	5.86 ± 3.4	<.001
Medical histories				
Hypertension	658 (41.5)	107 (55.4)	40 (50.6)	0.001
Cerebrovascular diseases	83 (5.2)	17 (8.8)	12 (15.2)	<.001
Diabetes	184 (11.6)	23 (11.9)	8 (10.1)	0.805
Osteoporosis	156 (9.8)	31 (16.1)	13 (16.5)	0.003
Heart diseases	262 (16.5)	43 (22.3)	17 (21.5)	0.043
Malignant neoplasm	244 (15.4)	27 (14.0)	12 (15.2)	0.739
Number of medications	2.77 ± 2.9	3.84 ± 3.4	4.20 ± 3.9	<.001
Physical health & functions				
IADL	4.88 ± 0.42	4.73 ± 0.70	4.66 ± 0.83	<.001
Mobility	25.2 ± 9.8	21.0 ± 10	20.9 ± 11	<.001
Cognitive function: MMSE	28.3 ± 1.8	27.7 ± 2.0	27.7 ± 2.2	<.001
Oral health & functions: GOHAI	55.4 ± 5.8	51.1 ± 7.4	49.2 ± 8.7	<.001
Nutritional & dietary status				

BMI (kg/m ²)	22.9 ± 3.0	22.7 ± 3.1	22.3 ± 2.9	0.163
Food variety	3.84 ± 2.0	3.34 ± 2.0	3.20 ± 2.1	<.001
MNA-SF	12.6 ± 1.4	12.1 ± 1.7	11.7 ± 1.9	<.001

SD: standard deviation; IADL: Instrumental Activities of Daily Living; MMSE: Mini-Mental State Examination; GOHAI: General Oral Health Assessment Index; BMI: body mass index; MNA-SF: Mini Nutritional Assessment Short-Form

*Cochran-Armitage trend test was used for categorical variables and Jonckheere-Terpstra trend test was used for continuous variables

Table 4:

Association between mild and severe depression and their risk factors by multinomial multiple logistic regression (n=1,856)

variables	Mild depression (n=193)			Severe depression (n=79)		
	OR	95%CI	p-value	OR	95%CI	p-value
Social engagement						
Living alone	0.374	(0.19-0.74)	0.005	0.777	(0.33-1.8)	0.566
Eating alone	2.96	(1.8-5.0)	<.001	3.33	(1.6-6.8)	0.001
Low reciprocal social support	1.73	(1.0-2.9)	0.045	1.66	(0.80-3.4)	0.172
Fewer frequency of going out	2.21	(1.5-3.2)	<.001	2.79	(1.6-4.8)	<.001
Major change in life	1.78	(1.2-2.6)	0.002	1.63	(0.93-2.9)	0.091
Social ties with family	0.940	(0.88-1.0)	0.046	0.935	(0.85-1.0)	0.162
Social ties with friends	0.929	(0.88-0.98)	0.007	0.895	(0.82-0.97)	0.009
Socio-demographic variables						
Sex (male)	1.27	(0.78-2.1)	0.335	2.46	(1.2-5.0)	0.013
Age	0.950	(0.92-0.98)	0.005	0.998	(0.95-1.0)	0.943
Education (years)	1.05	(0.98-1.1)	0.190	1.03	(0.93-1.1)	0.582
Health literacy	0.670	(0.52-0.87)	0.003	0.440	(0.31-0.63)	<.001
Low income	1.72	(1.1-2.8)	0.024	1.65	(0.84-3.3)	0.145
Medical histories						
Hypertension	0.743	(0.51-1.1)	0.118	1.14	(0.64-2.0)	0.655
Cerebrovascular diseases	1.38	(0.74-2.6)	0.312	2.36	(1.1-5.2)	0.033
Osteoporosis	0.712	(0.43-1.2)	0.184	0.839	(0.39-1.8)	0.652
Heart diseases	1.00	(0.65-1.5)	0.994	1.28	(0.67-2.5)	0.461
Number of medications	1.08	(1.0-1.1)	0.017	1.10	(1.0-1.2)	0.027
Physical health & functions						
IADL	0.834	(0.63-1.1)	0.215	0.862	(0.59-1.3)	0.446
Mobility	0.983	(0.97-1.0)	0.044	0.988	(0.96-1.0)	0.327
Cognitive function: MMSE	0.927	(0.85-1.0)	0.103	0.994	(0.87-1.1)	0.930
Oral health & functions: GOHAI	0.943	(0.92-0.97)	<.001	0.928	(0.90-0.96)	<.001
Nutritional & dietary status						
Food variety	0.959	(0.88-1.0)	0.344	0.960	(0.84-1.1)	0.531
MNA-SF	0.936	(0.84-1.0)	0.251	0.839	(0.72-0.98)	0.029

OR: odds ratio; CI: confidence interval; IADL: Instrumental Activities of Daily Living; MMSE: Mini-Mental State Examination; GOHAI: General Oral Health Assessment Index; MNA-SF: Mini Nutritional Assessment Short-Form

Table 5:
Characteristics by living and eating arrangement (n=1,856)

variables	Living & Eating alone (n=160)	Living alone yet Eating with others (n=36)	Living with others yet Eating alone (n=111)	Living & Eating with others (n=1,549)	p-value*
	mean±SD or n (%)				
Social engagement					
Live with spouse	-	-	61 (55.0)	1393 (89.9)	<.001
Live with children	-	-	74 (66.7)	627 (40.5)	<.001
Live with children-in-law	-	-	21 (18.9)	117 (7.6)	<.001
Live with grand-children	-	-	29 (26.1)	171 (11.0)	<.001
Social ties with family	7.24 ± 3.4	8.83 ± 3.5	7.19 ± 3.2	8.19 ± 3.1	<.001*
Social ties with friends	8.08 ± 3.4	8.86 ± 2.9	6.86 ± 4.0	8.19 ± 3.6	0.003*
Socio-demographic variables					
Sex (male)	42 (26.3)	11 (30.6)	63 (56.8)	812 (52.4)	<.001
Age	74.6 ± 6.0	75.4 ± 5.2	75.3 ± 5.7	72.5 ± 5.3	<.001*
Education (years)	11.9 ± 2.7	12.0 ± 2.7	11.8 ± 3.2	12.9 ± 2.7	<.001*
Health literacy	3.87 ± 0.71	4.13 ± 0.71	3.86 ± 0.66	4.01 ± 0.62	0.015
Number of medications	3.50 ± 3.5	4.17 ± 4.3	3.76 ± 3.7	2.79 ± 2.9	0.004
Physical health & functions					
Usual gait speed (m/s)	1.43 ± 0.25	1.44 ± 0.26	1.41 ± 0.27	1.48 ± 0.25	0.026
Max gait speed (m/s)	2.05 ± 0.38	2.03 ± 0.46	2.01 ± 0.36	2.17 ± 0.39	<.001*
IADL	4.94 ± 0.30	4.94 ± 0.23	4.69 ± 0.84	4.86 ± 0.46	0.007
Mobility	23.9 ± 10	27.3 ± 11	21.0 ± 11	24.9 ± 9.9	<.001*
Mental health					
GDS	3.18 ± 3.4	2.86 ± 3.2	4.83 ± 4.1	2.39 ± 2.7	<.001*
Depressive symptoms: GDS≥6	31 (19.4)	4 (11.1)	45 (40.5)	192 (12.4)	<.001
Severe depression: GDS≥10	12 (7.5)	4 (11.1)	17 (15.3)	46 (3.0)	<.001
Cognitive function: MMSE	28.3 ± 1.8	28.0 ± 1.6	27.8 ± 1.9	28.2 ± 1.8	0.029
Oral health & functions					
GOHAI	53.8 ± 7.3	53.3 ± 7.8	53.1 ± 6.6	54.9 ± 6.2	<.001*
Number of remaining teeth	20.5 ± 8.0	19.2 ± 8.4	17.8 ± 9.7	21.0 ± 8.3	0.003*
Occlusal force (N)	496 ± 333	522 ± 365	478 ± 345	585 ± 361	<.001*
Nutritional& dietary status					
BMI (kg/m ²)	22.3 ± 3.3	24.3 ± 3.6	22.8 ± 3.3	22.9 ± 2.9	0.002
Food variety	3.74 ± 2.0	3.89 ± 2.0	3.26 ± 2.1	3.79 ± 2.0	0.037
MNA-SF	12.2 ± 1.6	12.4 ± 1.6	12.1 ± 1.7	12.5 ± 1.4	0.007

*Kruskal-Wallis test for continuous variables and Chi-squared test for categorical variables.

Those continuous variables that showed significant difference between "Living with others yet Eating alone" and "Living & Eating with others" in the multiple comparison test (Dunnett T3) are highlighted with '*'.



Metabolic Syndrome, Sarcopenia and Role of Sex and Age: Cross-Sectional Analysis of Kashiwa Cohort Study

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Abstract

Recent epidemiological evidence suggests that effects of cardiovascular risk factors may vary depending on sex and age. In this study, we assessed the associations of metabolic syndrome (MetS) with sarcopenia and its components in older adults, and examined whether the associations vary by sex and age. We also tested if any one of the MetS components could explain the associations. We conducted a cross-sectional analysis of the baseline data from the cohort study conducted in Kashiwa city, Chiba, Japan in 2012 which included 1971 functionally-independent, community-dwelling Japanese adults aged 65 years or older (977 men, 994 women). Sarcopenia was defined based on appendicular skeletal muscle mass, grip strength and usual gait speed. MetS was defined based on the National Cholesterol Education Program's Adult Treatment Panel-III criteria. The prevalence of sarcopenia was 14.2% in men and 22.1% in women, while the prevalence of MetS was 43.6% in men and 28.9% in women. After adjustment for potential confounders, MetS was positively associated with sarcopenia in men aged 65 to 74 years (odds ratio 5.5; 95% confidence interval 1.9–15.9) but not in older men or women. Among the sarcopenia components, MetS was associated with lower muscle mass and grip strength, particularly in men aged 65 to 74 years. The associations of MetS with sarcopenia and its components were mainly driven by abdominal obesity regardless of sex or age. In conclusion, MetS is positively associated with sarcopenia in older men. The association is modified by sex and age, but abdominal obesity is the main contributor to the association across sex and age.

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Introduction

Metabolic syndrome (MetS) is a constellation of cardiovascular risk factors which include abdominal obesity, dyslipidemia, hypertension and elevated glucose [1]. Insulin resistance and chronic inflammation are considered central mechanisms responsible for MetS [2] and inextricably correlate with each other to exert detrimental metabolic effects and lead to cardiovascular morbidity and mortality [3–5]. Accumulating epidemiological evidence suggests that both insulin resistance and chronic inflammation cause adverse effects on skeletal muscle. Diabetes, or even insulin resistance without diabetes, is associated with greater declines in skeletal muscle mass and strength [6,7]. A link between inflammation and muscle weakness has been reported in several studies [8,9]. Therefore, we postulate that MetS can accelerate age-related loss of muscle mass and strength, leading to the development of sarcopenia, a syndrome characterized by loss of skeletal muscle mass and function with a risk of physical disability [10]. Indeed, recent studies showed that MetS is

associated with physical capacity impairment and increased risk of developing physical and functional disabilities [11–13].

Several recent studies have suggested that the effects of MetS may vary depending on age and sex. Cardiovascular risk factors, whose adverse effects have been established in younger people, may have different impacts in the elderly or frail population. Obesity did not seem to be a risk factor for increased mortality in elderly hospitalized patients with or without diabetes [14,15]. Elevated blood pressure was associated with lower mortality risk in physically frail elderly adults who could not walk 20 feet [16]. MetS was associated with lower probability of prevalent and incident functional disability in older adults [17]. The association between MetS and cardiovascular events was observed only in patients younger than 75, but not in patients aged 75 or over [18]. With regard to sex-related differences in the effects of MetS, MetS was associated with lower muscle strength in elderly men but not in elderly women [19]. However, data on sex- or age-related differences in the effect of MetS on sarcopenia are still scarce.

In the present study, we assessed the associations of MetS with sarcopenia and its components in functionally-independent community-dwelling Japanese older adults, and examined whether the associations were modified by sex or age. We hypothesized that MetS is positively associated with sarcopenia and its components, and that the associations are more pronounced in relatively young men. We also examined whether any of the individual MetS components could explain the associations and if the same MetS components contributed to the associations across sex and age.

Methods

Subjects

The Kashiwa study is a prospective cohort study designed to characterize the biological, psychosocial and functional changes associated with aging in a community-based cohort of 2044 older adults (1013 men, 1031 women). Those aged 75 and older accounted for 36.3% of men and 35.0% of women. The sampling and data collection process has been described in detail elsewhere [20]. Briefly, the inclusion criteria were age equal to or older than 65 years and functional independence (i.e., not requiring nursing care provided by long-term care insurance). The subjects were randomly selected from the resident register of Kashiwa city, Chiba, Japan, enrolled in 2012, and followed annually. The current study is a cross-sectional analysis of the Kashiwa study baseline data. Seventy three subjects who did not undergo bioimpedance analysis (BIA), usual gait speed or hand grip strength measurements were excluded, leaving an analytic sample of 1971 older adults (977 men, 994 women). Those excluded from the analysis were older compared to those included in the analysis (mean age 75.9 years vs. 72.9 years, $p=0.001$), but did not significantly differ with respect to other characteristics including sex, height, weight, and prevalence of MetS.

The study was approved by the ethics committee of the Graduate School of Medicine, The University of Tokyo. All subjects provided written informed consent.

Definition of Sarcopenia

We followed the recommendations of the European Working Group on Sarcopenia in Older People (EWGSOP) for the diagnostic definition of sarcopenia [10]. The proposed diagnostic criteria required the presence of low muscle mass plus the presence of either low muscle strength or low physical performance. Muscle mass was measured by BIA using an Inbody 430 machine (Biospace, Seoul, Korea). Appendicular skeletal muscle mass (ASM) was derived as the sum of the muscle mass of the four limbs [10]. ASM was then normalized by height in meters squared to yield skeletal muscle mass index (SMI) (kg/m^2). SMI values lower than two standard deviations below the mean values of young male and female reference groups were classified as low muscle mass (SMI $<7.0 \text{ kg}/\text{m}^2$ in men, $<5.8 \text{ kg}/\text{m}^2$ in women) [21]. Muscle strength was assessed by hand grip strength, which was measured using a digital grip strength dynamometer (Takei Scientific Instruments, Niigata, Japan). Hand grip strength values in the lowest quintile were classified as low muscle strength in this study (cutoff values: 30 kg for men, 20 kg for women). Physical performance was assessed by usual gait speed. Subjects were instructed to walk over an 11-meter straight course at their usual speed. Usual gait speed was derived from 5 meters divided by the time in seconds spent in the middle 5 meters (from the 3-meter line to the 8-meter line) [22]. Usual gait speed values in the lowest quintile were classified as low physical performance in the current study (cutoff values: 1.26 m/s for each sex).

Definition of metabolic syndrome

MetS was defined based on the National Cholesterol Education Program Adult Treatment Panel III (NCEP-ATP III) criteria [1]. The presence of any three of the following five abnormalities constitutes a diagnosis of MetS: (i) abdominal obesity; (ii) elevated triglycerides (TG) with fasting plasma triglycerides $\geq 150 \text{ mg}/\text{dL}$; (iii) low high density lipoprotein cholesterol (HDL-C) with fasting HDL-C $<40 \text{ mg}/\text{dL}$ in men and $<50 \text{ mg}/\text{dL}$ in women; (iv) elevated blood pressure with systolic blood pressure $\geq 130 \text{ mmHg}$ and/or diastolic blood pressure $\geq 85 \text{ mmHg}$; (v) elevated fasting plasma glucose with fasting plasma glucose $\geq 100 \text{ mg}/\text{dL}$. Abdominal obesity was defined by waist circumference using the thresholds recommended by the Japanese Obesity Society ($\geq 85 \text{ cm}$ in men and $\geq 90 \text{ cm}$ in women) [1].

Waist circumference was measured at the umbilical level using a measuring tape with the subject in an upright position. Blood pressure was measured using a standard technique with an HEM-7080IT automated measuring device (Omron Co., Tokyo, Japan). Blood samples were obtained after an overnight fast. Total cholesterol, HDL-C and TG were analyzed by enzymatic methods using a JCA-BM8060 automated analyzer (Japan Electron Optics Laboratory Ltd., Tokyo, Japan). Fasting plasma glucose level was measured using a JCA-BM9030 automated analyzer (Japan Electron Optics Laboratory Ltd.).

Other measurements

Demographic information, medical history of doctor-diagnosed chronic conditions, use of medication, and food intake were obtained using a standardized self-reported questionnaire. Physical activity was assessed using the Global Physical Activity Questionnaire, and metabolic equivalents (METs)-minute per week was computed [23]. Height and weight were measured with the subject wearing light clothing and no shoes using a fixed stadiometer and a digital scale, and used to compute body mass index (BMI).

Statistical Analysis

Differences in subject characteristics between those with and without sarcopenia were examined using Student's *t*-test or Wilcoxon rank-sum test (for continuous variables) and chi-square test (for categorical variables).

First, we employed logistic regression analysis to evaluate the association of MetS with sarcopenia. Our preliminary analysis suggested that the association of metabolic syndrome with sarcopenia was modified by sex ($p<0.01$), and therefore the following analyses were stratified by sex.

The model was initially adjusted for age only (model 1). We added height and weight to remove the confounding effect of body size (model 2). We then further adjusted for life-style risk factors for both sarcopenia and MetS, including physical activity and food intake (model 3). In the fully-adjusted model, the interaction between MetS and age was examined to test the hypothesis that the effect of MetS on sarcopenia varies by age.

To test if any MetS component could explain the MetS-sarcopenia association, we initially fitted a fully-adjusted logistic regression model to examine the association between each component of MetS and sarcopenia, followed by other logistic regression models between MetS and sarcopenia adjusted for MetS components.

Second, to examine the association of MetS with each component of sarcopenia (i.e., muscle mass, grip strength and usual gait speed), we employed multiple linear regression models. If the association between MetS and any one of the sarcopenia components was statistically significant, another multiple linear regression model with MetS components as independent variables

Table 1. Characteristics of all subjects and according to sarcopenia status in men and women.

	All	Sarcopenia	No sarcopenia	p
Men	977	139 (14.2%)	838 (85.8%)	
Age (years)	73.1±5.5	78.4±5.5	72.2±5.0	<0.001
Height (cm)	164.2±5.8	160.0±5.6	164.9±5.5	<0.001
Weight (kg)	62.8±8.6	54.1±7.2	64.3±8.0	<0.001
BMI (kg/m ²)	23.3±2.8	21.1±2.5	23.6±2.6	<0.001
SMI (kg/m ²)	7.28±0.68	6.34±0.48	7.44±0.58	<0.001
Hand grip strength (kg)	34.8±6.0	27.5±4.3	36.0±5.3	<0.001
Usual gait speed (m/s)	1.47±0.26	1.28±0.24	1.51±0.24	<0.001
MetS	43.6%	36.0%	44.9%	0.048
MetS components				
Abdominal obesity	55.5%	36.0%	58.7%	<0.001
High TG	22.7%	21.6%	22.9%	0.73
Low HDL-C	21.4%	20.9%	21.5%	0.87
High BP	90.4%	88.5%	90.7%	0.41
High FPG	51.0%	53.2%	50.6%	0.56
Food intake				
Very large	2.9%	1.4%	3.1%	<0.001
Large	15.3%	5.8%	16.8%	
Normal	65.4%	58.3%	66.6%	
Small	14.4%	30.2%	11.8%	
Very small	2.1%	4.3%	1.7%	
Physical activity (Mets)	3962.9±3981.0	3191.7±3612.2	4090.8±4026.7	0.01
Medical history				
Hypertension	47.2%	51.1%	46.5%	0.32
Diabetes	15.4%	18.0%	14.9%	0.36
Dyslipidemia	29.8%	31.7%	29.5%	0.60
Stroke	7.2%	12.2%	6.4%	0.01
CAD	8.0%	11.5%	7.4%	0.10
Cancer	19.0%	26.6%	17.8%	0.01
Medication use				
Statin	17.6%	18.7%	17.4%	0.71
Women	994	220 (22.1%)	774 (77.9%)	
Age (years)	72.8±5.4	76.2±5.8	71.8±4.9	<0.001
Height (cm)	151.4±5.5	148.2±5.6	152.3±5.1	<0.001
Weight (kg)	51.5±7.7	46.4±5.7	52.9±7.6	<0.001
BMI (kg/m ²)	22.5±3.2	21.1±2.6	22.8±3.2	<0.001
SMI (kg/m ²)	5.84±0.65	5.25±0.41	6.02±0.60	<0.001
Hand grip strength (kg)	22.4±3.9	18.4±3.2	23.6±3.3	<0.001
Usual gait speed (kg)	1.46±0.26	1.26±0.26	1.51±0.23	<0.001
MetS	28.9%	23.6%	30.4%	0.052
MetS components				
Abdominal obesity	24.0%	14.6%	26.7%	<0.001
High TG	17.9%	16.4%	18.4%	0.50
Low HDL-C	36.6%	33.2%	37.6%	0.23
High BP	84.2%	87.3%	83.3%	0.16
High FPG	33.7%	34.1%	33.6%	0.89
Food intake				
Very large	2.0%	1.4%	2.2%	<0.001