

骨髄腫の標準的治療が自己末梢血幹細胞移植(auto-PBSCT)を伴う大量化学療法。サリドマイド・レナリドマイド、プロテアソーム阻害薬に移行しつつあることを受けて、本症候群に対する治療も変遷している<sup>7)</sup>。特に2000年代に入って行われ始めたauto-PBSCTを伴う大量化学療法は長期寛解を目指す新規治療法として、本症候群の第一選択となる可能性がある。しかし治療関連死のリスクがあり、再発率を含めた長期予後は確立しておらず、今後の検討課題である。移植療法は高齢者や多臓器病変(特に腎障害)を有する患者には施行できないため、移植適応にならない場合の治療法としてサリドマイド療法が期待されている。

### 1) 自己末梢血幹細胞移植療法を伴う大量化学療法

本症候群に対してのauto-PBSCTの第1例目は1998年にイスラエルで行われた<sup>8)</sup>。2000年代に入ってから報告が相つぎ、2013年8月までに、約50例の施行例が報告されている<sup>9-11)</sup>。移植後にほとんどの症例では諸症状の劇的な回復が認められている。3-5%に治療関連死がみられることが大きな問題点と思われるが、治療後の症状改善は従来のメルファラン療法より良好である<sup>12)</sup>。末梢神経障害によるADL障害が高度な場合には積極的に移植療法を行うべきと考えられる。ADL障害が軽い場合には症例の状態に応じて移植可能な状態であっても後述するサリドマイド療法など、ほかの治療法で経過をみるという選択も行われるようになっている。

auto-PBSCTの適応としては移植時の年齢と多臓器障害の程度が最も大きい因子である。年齢に関しては‘適応は65歳以下’が暫定的なコンセンサスである。更に‘重篤な臓器障害を有さないこと’が適応の条件とされる。66歳以上である場合には移植の適応にならないが、65歳以下であっても臓器不全、特に腎機能障害や大量の胸腹水のために治療関連死のリスクが高いと考えられる場合には適応とはならない。

### 2) サリドマイド療法

サリドマイドは我が国では1960年に発売され、その催奇形性によって300人以上の短肢症児を誘発する薬害に至り、製造は中止された。しかしその後血管新生抑制作用、抗サイトカイン(TNF- $\alpha$ など)作用などが明らかになり、各種悪性腫瘍での治療効果が検討され、ついで多発性骨髄腫における有効性が明らかにされた。本症候群におけるサリドマイド治療は、これまで2例の症例報告と9症例におけるオープン試験が報告されている<sup>13)</sup>。いずれの報告においても腹水、呼吸不全、末梢神経障害の改善がみられている。サリドマイドは形質細胞増殖抑制とともにVEGF産生を直接抑制すると考えられており、本症候群に対して今後期待の大きい治療法といえる。蓄積毒性として、末梢神経障害があり、本症候群では末梢神経障害は主症状であるため、その発現には十分注意する必要がある。また移植適応例であっても症状が軽度の場合にサリドマイド療法が第一選択になる可能性も考えられる。サリドマイドのアミノ酸置換誘導体であるレナリドマイド(lenalidomide)有効性も報告されている<sup>14)</sup>。

サリドマイド療法に関してはプラセボ対照・多施設共同群間比較試験が医師主導治験として2010年9月から開始され、現在進行中である。

### 3) 抗VEGFモノクローナル抗体

ベバシズマブは抗VEGFモノクローナル抗体で、血管新生阻害作用による抗腫瘍効果を有し、我が国では2007年に‘治癒切除不能な進行・再発の結腸・直腸癌’の治療薬として製造販売承認を受けた。ベバシズマブの本症候群患者への報告例において有効性について結論は得られていない<sup>15)</sup>。ただしこの治療によりVEGFの低下は非常に急速に認められるため、胸腹水や腎機能障害の進行が亜急性にみられた場合に、救済的に併用する価値はある可能性がある。

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Japanese POEMS syndrome with Thalidomide (J-POST) Trial: study protocol for a phase II/III multicentre, randomised, double-blind, placebo-controlled trial.

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# BMJ Open Japanese POEMS syndrome with Thalidomide (J-POST) Trial: study protocol for a phase II/III multicentre, randomised, double-blind, placebo-controlled trial

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## ABSTRACT

**Introduction:** Polyneuropathy, organomegaly, endocrinopathy, M-protein and skin changes (POEMS) syndrome is a fatal systemic disorder associated with plasma cell dyscrasia and the overproduction of the vascular endothelial growth factor (VEGF). Recently, the prognosis of POEMS was substantially improved by introduction of therapeutic intervention for myeloma. However, no randomised clinical trial has been performed because of the rarity and severity of the disease.

**Methods and analysis:** The Japanese POEMS syndrome with Thalidomide (J-POST) Trial is a phase II/III multicentre, double-blinded, randomised, controlled trial that aims to evaluate the efficacy and safety of a 24-week treatment with thalidomide in POEMS syndrome, with an additional 48-week open-label safety study. Adults with POEMS syndrome who have no indication for transplantation are assessed for eligibility at 12 tertiary neurology centres in Japan. Patients who satisfy the eligibility criteria are randomised (1:1) to receive thalidomide (100–300 mg daily) plus dexamethasone (12 mg/m<sup>2</sup> on days 1–4 of a 28-day cycle) or placebo plus dexamethasone. Both treatments were administered for 24 weeks (six cycles; randomised comparative study period). Patients who complete the randomised study period or show subacute deterioration during the randomised period participate in the subsequent 48-week open-label safety study (long-term safety period). The primary end point of the study is the reduction rate of serum VEGF levels at 24 weeks.

**Ethics and dissemination:** The protocol was approved by the Institutional Review Board of each hospital. The trial was notified and registered at the Pharmaceutical and Medical Devices Agency, Japan (No. 22-1716). The J-POST Trial is currently ongoing and is due to finish in August 2015. The findings of this trial will be disseminated through peer-reviewed publications and conference presentations and will also be disseminated to participants.

## Strengths and limitations of this study

- This study is the first randomised control trial for POEMS (polyneuropathy, organomegaly, endocrinopathy, M-protein and skin changes) syndrome and provides a major turning point in its therapeutic approach, as there is no other randomised or non-randomised controlled trial because of the rarity and severity of the disease.
- This trial will include patients with POEMS syndrome who represent close to 10% of the entire Japanese patient population; thus, the results are generalisable.
- This placebo-controlled trial can evaluate the efficacy and safety of thalidomide without biases.
- The natural history of the disease remains partially unclear.
- This trial employs a surrogate instead of a hard end point, which is the reduction rate of serum vascular endothelial growth factor levels over 24 weeks, as the primary end point; the adequacy of the surrogate end point should be validated in this study and future trials.

**Trial registration number:** UMIN000004179 and JMA-IA00046.

## INTRODUCTION

Polyneuropathy, organomegaly, endocrinopathy, M-protein and skin changes (POEMS) syndrome is a rare paraneoplastic disorder characterised by POEMS.<sup>1</sup> A Japanese national survey conducted in 2003 showed that its prevalence is 0.3/100 000 population.<sup>2</sup> Although the pathophysiology of POEMS

remains unclear, plasma cell dyscrasia and the related overproduction of the vascular endothelial growth factor (VEGF) are assumed to play a central role in the disorder.<sup>3–4</sup> Moreover, VEGF levels are characteristically elevated in POEMS.<sup>3–5–6</sup> VEGF levels were used recently as surrogate markers to evaluate disease activity,<sup>7–10</sup> because it sometimes takes several years to evaluate therapeutic effects in POEMS syndrome on the basis of hard end points, such as relapse-free survival or overall survival.<sup>10–11</sup>

The prognosis of POEMS syndrome was poor in the 1980s.<sup>12–13</sup> A large retrospective cohort study conducted in Japan reported that 38 of 58 patients who were treated mainly with corticosteroids died after a mean survival period of 33 months.<sup>12</sup> Since around 2000, the prognosis of POEMS has been considerably improved by the successful application of treatments for multiple myeloma, such as high-dose chemotherapy with autologous stem cell transplantation (HDCT with ASCT) or immunomodulatory drugs.<sup>7–9–11–14</sup> Currently, the therapeutic algorithm is the use of HDCT with ASCT as the first-line therapy, whereas patients who are not suitable for transplantation are treated with thalidomide or lenalidomide with dexamethasone. However, there is no established evidence of the efficacy of the new therapeutic interventions for POEMS, because the literature on these treatments includes only retrospective case reports or case series,<sup>15</sup> or open single-arm study,<sup>16</sup> because of the rarity and severity of the disease.

In addition, thalidomide, which is one of the standard treatment options for multiple myeloma, can suppress VEGF production and tumour proliferation.<sup>17</sup> Previous case reports or case series reported that thalidomide improved or stabilised the clinical symptoms in patients with POEMS syndrome and decreased serum VEGF levels,<sup>8–18–19</sup> and that it could be safely administered to patients who were not eligible for HDCT with ASCT because of older age or poor condition. However, randomised clinical trials are essential to investigate the efficacy and safety of new therapeutic interventions and to establish evidence and logical therapeutic strategies. Therefore, we designed the Japanese POEMS Syndrome with Thalidomide (J-POST) Trial, which is a phase II/III multicentre, double-blinded, randomised, controlled trial that aims to compare the efficacy and safety of a 24-week treatment with thalidomide with that of a placebo in POEMS syndrome, followed by a 48-week open-label safety study.

### Objectives

We examined the hypothesis that POEMS syndrome is a paraneoplastic disorder associated with plasma cell dyscrasia, and that a therapeutic approach for multiple myeloma using thalidomide and dexamethasone can also be effective for treating POEMS. In addition, we investigated the feasibility of a randomised control study of POEMS syndrome and validated the assessments of the therapeutic effects.

## METHODS

### Trial design

The J-POST Trial is a 24-week multicentre, double-blinded, placebo-controlled randomised clinical trial of treatment of POEMS syndrome using thalidomide and dexamethasone (randomised comparative study period), followed by a 48-week open-label safety study (long-term safety period). Screening is undertaken within 28 days of randomisation to assess eligibility and collect baseline data. Patients who satisfy the eligibility criteria are randomly assigned (1:1) to receive thalidomide (100–300 mg daily) and dexamethasone (12 mg/m<sup>2</sup> on days 1–4 of a 28-day cycle) or placebo and dexamethasone. Patients who complete the randomised comparative study period or show subacute deterioration within the first 24 weeks participate in the subsequent 48-week open-label safety study. After this, a 4-week post-treatment observation period is scheduled. The primary end point of the randomised comparative study period is centrally assessed in the full analysis set of the reduction rate in VEGF levels at 24 weeks, and that of the long-term safety period is adverse events (AEs) associated with thalidomide. A schematic depiction of the trial design is summarised in figure 1.

### Eligibility criteria

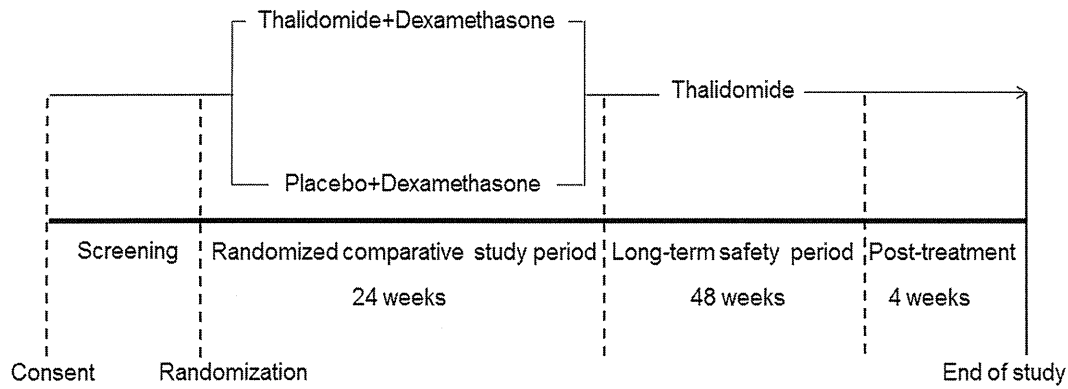
Eligible patients are those who meet all of the following inclusion criteria and who do not have any listed exclusion criteria.

#### Inclusion criteria

1. POEMS syndrome diagnosed according to published diagnostic criteria as ‘Probable’ or ‘Definite’ (box 1<sup>20</sup>).
2. Age  $\geq 20$  years.
3. Eastern Cooperative Oncology Group Performance Status of 0–3.
4. Overall score on the neuropathy limitation scale of 0–9.
5. Any of the following laboratory abnormalities: serum alanine aminotransferase or aspartate aminotransferase levels  $>4$  times the normal upper limit; creatinine levels  $>1.5$  times the normal upper limit.
6. Hospitalisation at the initiation of the randomised comparative study period and of the long-term safety period.
7. Regular clinic visits every 4 weeks.
8. No clinically significant ECG abnormality.
9. Signed written informed consent form.
10. Ineligibility for HDCT with ASCT during the study period.
11. Informed consent to thalidomide education and risk management system.

#### Exclusion criteria

1. Use of thalidomide, melphalan or bortezomib within 24 weeks of providing informed consent.
2. Unstable patients.



**Figure 1** Schematic depiction of the trial design. Eligible participants are randomly assigned to a 24-week treatment of thalidomide (100–300 mg daily) plus dexamethasone (12 mg/m<sup>2</sup> on days 1–4 of a 28-day cycle) or placebo plus dexamethasone (randomised comparative study period). Patients who complete the randomised comparative study period or show subacute deterioration within the first 24 weeks participate in the subsequent 48-week open-label safety study (long-term safety period).

3. Oral or intravenous use of steroids within 4 weeks of providing informed consent.
4. Females who are pregnant or desire childbearing. Males who desire fertility.
5. Other serious and unstable medical conditions, such as cardiac failure, renal failure, liver failure, bleeding ulcers, ileus and uncontrolled diabetes.
6. Malignancy other than POEMS syndrome.
7. Known allergy to thalidomide or dexamethasone.
8. Serious mental disorder.
9. Use of any other experimental drug or therapy within 12 weeks of providing informed consent.
10. Use of prohibited drugs (other than  $\beta$ -blockers) or therapy within 4 weeks of the baseline.
11. Receiving a judgement of inappropriateness for the study.

#### Recruitment

This trial was declared and registered at the Pharmaceuticals and Medical Devices Agency in September 2010. Recruitment into the trial started in November 2010 and ended in February 2014, or until a total of 24 participants had been recruited. The treatment follow-up of the participants is currently ongoing and the last visit of the last patient is due to take place in August 2015. This study is being conducted at 12 tertiary neurology centres in Japan.

#### Sample size calculation

Twenty-four patients will be randomised and included in the study. This sample size was based on results from our previous studies<sup>8, 13</sup> and the database of patients with POEMS syndrome; therefore, the estimated values of the reduction rate of serum VEGF level over 24 weeks were 0.55 (SD=0.21) after thalidomide–dexamethasone treatment and 0.35 (SD=0.20) after melphalan–prednisone treatment. Assuming a group difference of 0.35 (SD=0.25), 10 patients per arm will provide >80% power to detect a difference in the reduction rate of serum VEGF levels between thalidomide and placebo treatment for at least 24 weeks using a two-sided, two-sample t test at a 5% level of significance. Thus, to allow for a 20% dropout rate, 12 participants are required per group, for a total of 24 participants in the study.

#### Allocation

A registration form for an eligible patient will be sent by the investigators to the registration centre at EPS Associates Co, Ltd (by Fax). Registration and allocation will be implemented at the registration centre. Eligible patients who provide written informed consent will be

**Box 1** Diagnostic criteria of POEMS (polyneuropathy, organomegaly, endocrinopathy, M-protein and skin changes) syndrome (modified from Misawa and Kuwabara<sup>20</sup>)

#### Major criteria

- (a) Polyneuropathy
- (b) Monoclonal plasma cell proliferative disorder
- (c) Elevation of serum vascular endothelial growth factor levels

#### Minor criteria

- (d) Sclerotic bone lesions
- (e) Castleman disease
- (f) Organomegaly (hepatosplenomegaly or lymphadenopathy)
- (g) Oedema (oedema, pleural effusion or ascites)
- (h) Endocrinopathy (adrenal, thyroid, pituitary, gonadal, parathyroid or pancreatic)\*
- (i) Skin changes (hyperpigmentation, hypertrichosis, plethora, cyanosis, haemangiomas or white nails)
- (j) Papilloedema
- (k) Thrombocytosis and/or polycythaemia

Definite POEMS syndrome: three major criteria and at least one minor criterion.

Probable POEMS syndrome: two major criteria, with at least one minor criterion.

\*Because of the high prevalence of diabetes mellitus and thyroid abnormalities, this diagnosis alone is not sufficient to meet this minor criterion.

randomised to either thalidomide or placebo at a ratio of 1:1 by a computer program located at the registration centre, using a minimisation method<sup>21 22</sup> with biased coin assignment balancing on serum VEGF levels ( $\leq 3000$  or  $>3000$  pg/mL) and the evidence of pleural effusion (yes or no) at the screening test. The trial medication (with a unique number) will be distributed by the coordinating investigator to each hospital at the start of the trial. Investigators will prescribe the trial medication according to the number allocated at the registration centre.

### Blinding

Participants and study personnel will be blinded to thalidomide or placebo treatment until the code is opened. Placebo capsules are indistinguishable in appearance from the thalidomide capsules. Serum VEGF levels will be measured at the central laboratory (SRL Medisearch Inc, Tokyo, Japan) and will also be masked to participants and study personnel from the baseline measurement to the opening of the code.

In case of emergencies for which it becomes necessary to unmask the blinding to make an adequate treatment decision, the blinding can be lifted by the investigator if deemed necessary. Patients in whom the blinding has been lifted will be removed from the trial immediately.

### Interventions

#### Randomised comparative study period

Each treatment cycle will consist of 4 weeks (days 1–28), and thalidomide, or placebo, and dexamethasone will be administered for 24 weeks (six cycles). Thalidomide or placebo will be given on days 1–28, and dexamethasone will be administered at a dose of  $12 \text{ mg/m}^2$  on days 1–4. The trial medication will be initiated on the randomisation day at a dosage of one capsule containing 100 mg of thalidomide or placebo, to be administered at bedtime every 2 days. The dose will increase to one capsule daily on day 8 and two capsules daily on day 15, and participants will continue to take two capsules daily after the titration period, if there is no haematological or skin toxicity that exceeds the Common Terminology Criteria for Adverse Events (CTCAE) of grade 3. The administration of thalidomide or placebo can be decreased and then discontinued as required during the study period, in cases that exhibit development of haematological or skin toxicity that exceeds the CTCAE of grade 3, or other AEs, for which investigators assume that dose reduction is appropriate.

Patients who experience subacute worsening of POEMS syndrome with subacute capillary leak-like symptoms (ie, 5 kg/month of weight gain or pleural effusion increase) or evident deterioration of neuropathy (ie, increase in the total score on the overall neuropathy limitation scale of  $>2$ ) will promptly be shifted from the randomised comparative period to the long-term safety period.

#### Long-term safety period

Each treatment cycle will consist of 4 weeks (days 1–28) and only thalidomide will be administered for 48 weeks (12 cycles). The trial medication will be initiated on the first day of the long-term safety period at a dosage of one capsule (100 mg) of thalidomide, to be administered at bedtime every 2 days. The dose will increase to one capsule daily on day 8 and two capsules daily on day 15, and participants will continue to take two capsules daily after the titration period if there is no haematological or skin toxicity that exceeds the CTCAE of grade 3. The administration of thalidomide or placebo can be decreased and then discontinued as required during the study period, if there is haematological or skin toxicity that exceeds grade 3 in the CTCAE, or other AEs, for which investigators assume that dose reduction is appropriate.

Patients who experience subacute worsening of POEMS syndrome with subacute capillary leak-like symptoms (ie, 5 kg/month of weight gain or pleural effusion increase) or evident deterioration of neuropathy (ie, increase in the total score on the overall neuropathy limitation scale  $>2$ ) will be treated with three capsules of thalidomide. If patients show further deterioration,  $12 \text{ mg/m}^2$  of dexamethasone will be given to patients on days 1–4 of each cycle, in combination with thalidomide.

#### Treatment compliance

To evaluate treatment compliance, the number of capsules (thalidomide or placebo) remaining in each supply prescribed for patients will be counted.

#### Concomitant medication

The drugs or therapies, that is, anticancer agents other than thalidomide, radiotherapy or oral or intravenous steroids, are not permitted throughout the study.

### Outcomes

#### Randomised comparative study period

The primary outcome measure is the reduction rate of serum VEGF level over 24 weeks after treatment by mutual agreement between the Pharmaceutical and Medical Devices Agency (PMDA) and the J-POST Trial, because VEGF levels are considered as a surrogate marker used to evaluate disease activity in POEMS syndrome.<sup>7–10</sup> The definition of the reduction rate is as follows: serum VEGF level reduction rate =  $(\text{VEGF level at the baseline} - \text{VEGF level at 24 weeks}) / \text{VEGF level at the baseline}$ . The secondary end points include changes in serum VEGF levels, the achievement of a normal range of serum VEGF level ( $<1000$  pg/mL), motor functions (sum scores of manual muscle testing (MMT), grip and overall neuropathy limitation scale), parameters of nerve conduction studies (motor conduction velocity (MCV), compound muscle action potential (CMAP) amplitude and F-wave latency), M-protein levels (serum and urine), pleural effusion, vital capacity, body weight and quality of life (QOL, SF-36)<sup>23 24</sup> as well as AEs.

### Long-term safety period

The primary outcome measure will be AEs, because the major aim of the long-term safety period is to investigate the safety of thalidomide administration for 12–18 months. The secondary end points include changes in serum VEGF levels, the achievement of a normal range of serum VEGF levels (1000 pg/mL), motor functions (MMT sum score, grip and overall neuropathy limitation scale), parameters of nerve conduction studies (MCV, CMAP amplitude and F-wave latency), M-protein levels (serum and urine), pleural effusion, vital capacity, body weight and QOL (SF-36).

### Data collection

#### Trial visits and examinations

The trial is divided into four periods: (1) screening; (2) randomised comparative study period (24 weeks, six cycles); (3) long-term safety study period (48 weeks, 12 cycles); and (4) post-treatment observation period. Each treatment cycle consists of 4 weeks (days 1–28), and patients will make visits on day 1 of each cycle during the study period. For all female participants of reproductive age, pregnancy tests will be conducted every 28 days. The schedule for the study visits and data collection is summarised in table 1.

### Data management, monitoring and auditing

The investigators (or their delegates) will maintain individual records for each patient as source data, which include a log of informed consent, medical records, laboratory data and other records or notes, as appropriate. All entries in the case report form (CRF) must be

backed up by the relevant source data. All source data will be kept according to good clinical practice (GCP). CRFs must be completed in a timely manner.

All data are collected by the independent data management centre that was established for the present study. There will be no direct communication between POEMS investigators and the Coordinating Data Centre. The clinical data entry (double data entry), coding, data management and reporting will be performed using the data management system CLiSS (Medical Edge Inc, Tokyo, Japan). Data management will be carried out according to the standards of procedure of the trial.

A monitor will confirm that the investigational team is adhering to the protocol and GCP, that data are being accurately recorded in CRFs, that AEs have been properly documented on CRFs, that severe AEs (SAEs) have been forwarded to the coordinating investigator and the provider of the investigational product, and that the SAEs that met criteria for reporting have been forwarded to the Institutional Review Board (IRB). An interim analysis will not be performed.

The study may be audited or inspected by the provider of the investigational product or PMDA. In case of an audit, the investigators must make all study documentation available to the auditor. If an audit or inspection occurs, the investigators at the study site must discuss the findings and any relevant issues.

### Harms

Investigators must record all AEs in the patients' CRFs. The National Cancer Institute's CTCAE (V.4.0) will be used to grade each AE. All AEs are to be followed up

Table 1 Schedule of data collection

	Screening	Randomised comparative study period					Long-term safety period					Follow-up 4 weeks after EOT	
		C1		C2		C3–6	C1		C2		C3–6		EOT
		D1	D8	D1	D1		D1	D8	D1	D1			
Informed consent	X												
Clinical assessment*	X	X	X	X	X	X	X	X	X	X	X	X	
Vital signs†	X	X	X	X	X	X	X	X	X	X	X	X	
Blood/urine tests‡	X	X	X	X	X	X	X	X	X	X	X	X	
Endocrine tests (fasting)		X					X						
VEGF measurements	X	X		X	X	X	X		X	X	X	X	
Chest X-ray	X	X		X	X	X	X		X	X	X		
ECG	X	X	X		X	X	X	X		X	X		
CT	X	X			X	X	X			X	X		
Nerve conduction studies		X			X	X	X			X	X		
Respiratory function tests		X				X	X				X		
SF-36		X				X	X				X		
Adverse events		X	X	X	X	X	X	X	X	X	X	X	
Pregnancy tests§	X	X	X	X	X	X	X	X	X	X	X	X	

\*Clinical assessment: complete history/examination (screening), focused history/examination (during study period).

†Vital signs: heart rate, blood pressure, weight.

‡Blood/urine tests include free-light chain and immunofixation of M-protein on D1 of C1 and 3 of randomised, comparative study period and on D1 of C1 and 3 of long-term safety period.

§Pregnancy tests will be examined in all female participants of reproductive age every 28 days.

C, cycle; D, day; EOT, end of treatment; SF-36, MOS Short-Form 36-Item Health Survey; VEGF, vascular endothelial growth factor.



continually during their course until resolution, or for 4 weeks after the end of the trial. All SAEs must be reported to all investigators and discussed through a web-based AE reporting system; SAEs that were not reported previously will be reported to PMDA.

### Statistical methods

The analyses of the primary and secondary outcomes will be performed in the full analysis set. For the baseline variables, summary statistics will be constructed using frequencies and proportions for categorical data, and means and SDs for continuous variables. Patient characteristics will be compared using Fisher's exact test for categorical outcomes, and t tests or the Wilcoxon rank sum test for continuous variables, as appropriate.

For the primary analysis, which will be aimed at comparing treatment effects, the least squares means (LSMeans) and their 95% CI, which are estimated using analysis of covariance (ANCOVA) of the reduction rate of serum VEGF levels (untransformed) on week 24, will be compared between the thalidomide and placebo groups using an ANCOVA model, taking into account the variation caused by treatment effects and using the baseline serum VEGF levels ( $\leq 3000$  or  $>3000$  pg/mL) and evidence of pleural effusion as covariates. To compare the treatment groups, the difference in LSMean and the 95% CIs will be expressed as a proportion of the reference treatment LSMean. The primary analyses will be performed in the full analysis set without imputing missing observations. As a sensitivity analysis, a mixed-effect model for repeated measures (MMRM) and the last observational carried forward (LOCF), and the multiple imputation methods will be applied to examine the effect of missing data. The secondary analysis will be performed in the same manner as the primary analysis.

All comparisons are planned and all p values will be two sided. p Values of  $<0.05$  will be considered statistically significant. All statistical analyses will be performed using the SAS software V.9.3 (SAS Institute, Cary, North Carolina, USA). This statistical analysis plan was developed by the chief investigator and the statistician at Chiba University before completion of the patient recruitment and data collection.

### Ethics and dissemination

#### Research ethics approval and protocol amendments

Substantial amendments of the study protocol must be approved by IRB. The trial was notified and registered at PMDA (No. 22-1716), and at the UMIN Clinical Trials Registry (UMIN00004179) and JMACCT Clinical Trials Registry (JMA-IIA00046).

#### Informed consent

All participants will receive adequate information about the nature, purpose, possible risks and benefits of the trial, and on alternative therapeutic choices using an informed consent approved by IRB. A participant must

be given ample time and opportunity to ask questions and to consider participation in the trial. A completed informed consent is required for enrolment in the trial. The investigators must maintain the original signed consent form and a copy of the signed consent form.

#### Confidentiality

To assure confidentiality, trial participants will be allocated a unique trial identification number throughout the trial.

#### Dissemination

The findings of this trial will be disseminated through peer-reviewed publications and conference presentations and will also be disseminated to participants.

## DISCUSSION

The J-POST Trial is the first randomised control trial (RCT) to investigate the efficacy and safety of a therapeutic agent for POEMS syndrome. RCTs are essential to establish quality evidence, although it is generally difficult to conduct RCTs for rare and severe diseases, such as POEMS syndrome, from the viewpoints of designing appropriate study schema and recruiting patients. This trial can be a prototype RCT for POEMS syndrome and contribute considerably to the future evolution of treatment for this syndrome.

The application of therapeutic interventions for multiple myeloma to POEMS syndrome has quite improved its prognosis.<sup>15–20</sup> In the near future, the number of new therapeutic choices for multiple myeloma, such as next-generation immunomodulatory drugs, proteasome inhibitors, signal transduction inhibitors and molecular targeted drugs, will be available and may be effective for POEMS syndrome.<sup>20</sup> Prospective clinical trials are vital to establish evidence-based treatment strategies for the management of the increasing therapeutic choices. Moreover, RCTs are optimal to prove the efficacy and safety of each agent, if possible.

There were some limitations to this study. First, the natural history of POEMS syndrome remains to be elucidated. Patients with POEMS syndrome generally show subacute deterioration and cannot walk independently within 1 year of the onset of the disease.<sup>25</sup> Conversely, in some patients, the disease progresses very slowly. At present, we cannot foresee disease courses exactly at the initial diagnosis of a patient. Recruiting patients with various disease courses into the trial can affect the results substantially. To avoid the recruitment of patients with specific disease course into either the thalidomide or placebo group, randomisation will be stratified according to VEGF levels, which can reflect disease activity, and pleural effusion, which can sometimes be life-threatening in POEMS syndrome.

The second limitation was that this trial employed a surrogate marker, instead of a hard end point, that is, the reduction rate of serum VEGF level over 24 weeks

after treatment, as the primary outcome. Markedly elevated serum VEGF levels are specifically found in patients with POEMS syndrome,<sup>3 5 6</sup> and the characteristic features of this syndrome (eg, pleural effusion, oedema or angiomas) are consistent with the physiological effects of VEGF, such as increased vascular permeability and angiogenesis.<sup>26</sup> VEGF levels generally decrease in response to treatment and are considered to reflect disease activity.<sup>7–10</sup> In this study, we will also prospectively investigate changes in clinical observations and laboratory parameters over 18 months, to test the adequacy of serum VEGF levels as a surrogate end point.

Close observational studies and an appropriate rationale are essential for good-quality prospective clinical trials, and enable the conduct of RCTs even in rare and fatal diseases. This study may be a major turning point in the therapeutic approach for POEMS syndrome, as well as a model to establish evidence in rare diseases.

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**Contributors** All authors made a significant contribution to the conception and design of the study protocol. SK designed the original concept. The protocol was written by KK, SM, YS and HH, and it was critically reviewed by SK, IY, IN, MN, S-il, GS, SK, NK, TK, JK and OW. All authors gave approval for the publication.

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**Competing interests** The investigational products are provided by the Fujimoto Pharmaceutical Corporation.

**Ethics approval** The protocol was approved by the Institutional Review Board of each participating hospital.

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# Correlation between serum level of vascular endothelial growth factor and subfoveal choroidal thickness in patients with POEMS syndrome

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## Abstract

**Purpose** The study was conducted to determine whether serum vascular endothelial growth factor (VEGF) levels are significantly correlated with subfoveal choroidal thickness (CT) and foveal thickness (FT) in patients with polyneuropathy, organomegaly, endocrinopathy, monoclonal gammopathy, and skin changes (POEMS) syndrome.

**Patients and methods** In this cross-sectional observational case series, we studied 31 eyes of 16 treatment-naïve patients with POEMS syndrome with no evidence of fundus abnormalities. Subfoveal CT and FT were measured using enhanced depth imaging optical coherence tomography (EDI-OCT), and correlations between serum VEGF levels and subfoveal CT and FT were determined.

**Results** The mean subfoveal CT was  $417.9 \pm 73.5$   $\mu\text{m}$  (right eye,  $416.7 \pm 81.2$   $\mu\text{m}$ ; left eye,  $419.0 \pm 68.1$   $\mu\text{m}$ ), and the mean FT was  $243.8 \pm 35.2$   $\mu\text{m}$  (right eye,  $248.8 \pm 22.0$   $\mu\text{m}$ ; left eye,  $239.1 \pm 44.6$   $\mu\text{m}$ ). There was a significant positive correlation between the serum VEGF level and subfoveal CT (right eye,

$r=0.58$ ,  $p=0.021$ ; left eye,  $r=0.60$ ,  $p=0.012$ ), but the correlation between the level of serum VEGF and FT was not significant (right eye,  $r=0.007$ ,  $p>0.05$ ; left eye,  $r=0.25$ ,  $p>0.05$ ).

**Conclusions** The significant correlation between the serum VEGF level and subfoveal CT in patients with POEMS syndrome suggests that choroidal thickness is influenced by the level of serum VEGF. These results not only aid in an understanding of the pathogenesis of ocular changes in patients with POEMS syndrome, but also offer clues regarding the pathogenesis of other choroidal diseases.

**Keywords** POEMS syndrome · Vascular endothelial growth factor (VEGF) · Subfoveal choroidal thickness · Foveal thickness

## Introduction

The term "POEMS" syndrome refers to a multi-system disorder that is characterized by polyneuropathy, organomegaly, endocrinopathy, monoclonal gammopathy, and skin changes, and which is associated with plasma cell dyscrasia [1, 2]. Although the pathogenesis of the disease has not been definitively determined, it has been suggested that overproduction of vascular endothelial growth factor (VEGF) plays an important role. VEGF strongly promotes neovascularization and enhances vascular permeability [3–5], and these changes are responsible for the characteristic signs of POEMS syndrome, including angiomas, pleural effusion/ascites, edema, and organomegaly [3]. It is thought that VEGF is inappropriately secreted by monoclonal plasma cells [4, 5]; if this can be proven, these cells could be targeted for the treatment of POEMS syndrome [5].

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Data on the incidence and the spectrum of ocular abnormalities associated with POEMS syndrome are limited, and the pathogenesis of the disease has not been determined. The major ocular finding in POEMS syndrome is optic disc edema [5–7]. Examination of the eyes of patients with POEMS syndrome using spectral-domain optical coherence tomography (SD-OCT) has shown serous retinal detachment (SRD) [8] and cystoid macular edema (CME) [6]. Conventional SD-OCT cannot provide clear imaging of the entire choroid, but such images can be obtained using enhanced depth imaging (EDI)-OCT, and these images can be used to measure the thickness of the choroid [9]. As such, EDI-OCT has been used to measure choroidal thickness in normal eyes [10, 11], in eyes with central serous chorioretinopathy (CSC) [12] and Vogt–Koyanagi–Harada (VKH) disease [13], in highly myopic eyes [14], and in eyes with retinitis pigmentosa (RP) [15]. However, EDI-OCT has not been used to study the choroid in eyes with POEMS syndrome.

The purpose of this study, therefore, was to determine the subfoveal choroidal and foveal thickness in the eyes of patients with POEMS syndrome, and also to determine whether there was a significant correlation between subfoveal choroidal thickness and serum VEGF levels.

## Methods

We reviewed the medical records of 31 eyes of 16 treatment-naïve patients with POEMS syndrome at the Chiba University Hospital from November 2011 through September 2013. The diagnosis of POEMS syndrome was made using criteria established by Dispenzieri in 2007 [5].

The design and protocol of the study were approved by the Institutional Review Board of Chiba University Graduate School of Medicine. All procedures conformed to the tenets of the Declaration of Helsinki; patients were informed of the nature of the study, and written consent was obtained.

Patients were excluded if even one eye had any of the following: (1) axial length greater than 26.5 mm, (2) refractive

error (spherical equivalent)  $> -6.0$  diopters (D); (3) intraocular pressure  $> 21$  mmHg; (4) history of intraocular surgery, history of retinal or choroidal vascular disease, or glaucoma.

Serum samples were obtained from all of the patients, and data was collected, including best-corrected visual acuity (BCVA) using Snellen charts, intraocular pressure, refractive errors, axial length, and slit-lamp and fundus findings.

The major outcomes were subfoveal choroidal thickness (CT), foveal thickness (FT), and VEGF serum levels. The changes in the subfoveal CT and FT were determined using SD-OCT (Heidelberg Spectralis OCT; Heidelberg, Germany) images (Fig. 1). Each image was obtained using the eye tracking system, and 100 scans were averaged to increase the signal-to-noise ratio [9] using an EDI-OCT algorithm. The subfoveal CT was measured from the outer border of RPE to the inner border of sclera using software in the Heidelberg Spectralis OCT. The subfoveal CT and FT were measured vertically in a horizontally scanned image through the center of the fovea. Measurements of OCT images were made by two of the authors (MK, TO), who were masked to VEGF serum levels. The average of the two measurements was used. Differences between the readings of the two observers were found to be within 10 % of the mean.

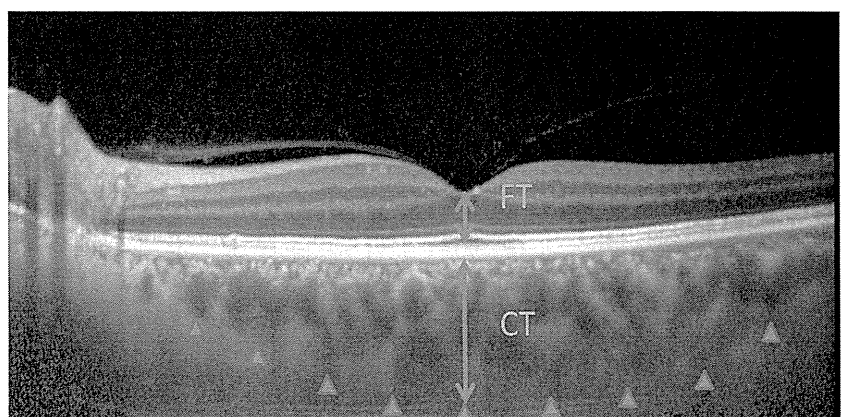
## Measurement of VEGF serum levels

After the blood samples were collected, they were allowed to clot at room temperature for about one hour, and were then centrifuged at  $3,000 \times g$  for 10 minutes. Serum samples were stored in aliquots at  $-80^\circ \text{C}$  until analyses were performed. Enzyme-linked immunosorbent assays (ELISAs) were used to determine serum VEGF levels (Quantikine HS<sup>®</sup>, R&D Systems, Minneapolis, MN, USA). The subfoveal CT and FT were measured within one week after collection of blood samples.

## Statistical analyses

The correlations between the serum VEGF levels and subfoveal CT and FT in POEMS patients were determined

**Fig. 1** Enhanced depth images of a patient with POEMS syndrome (Case 12, left eye) with choroidal thickening. CT choroidal thickness, FT foveal thickness



using Spearman's rank correlation coefficient. Statistical significance was defined as  $p < 0.05$ .

## Results

Sixteen Japanese patients with POEMS syndrome (12 men, 4 women) were studied. The demographics of the patients are shown in Table 1.

The mean age of patients was  $56.3 \pm 11.4$  years, with a range from 36 to 75 years, and the mean intraocular pressure

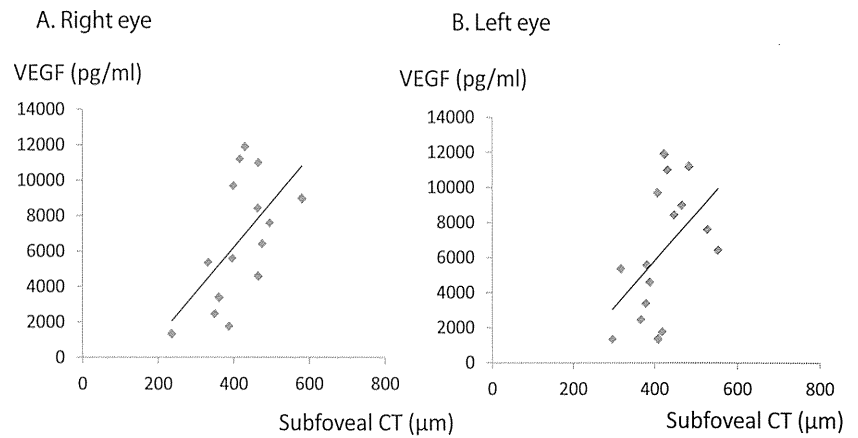
was  $12.3 \pm 2.8$  mmHg, with a range from 9 to 20 mmHg. Eleven patients (68.7 %) had optic disc edema detected by indirect ophthalmoscopy, and the edema was bilateral in seven patients (43.7 %) and unilateral in four patients (25 %). Cystoid macular edema (CME) and serous retinal detachment (SRD) were not detected in any of the eyes by ophthalmoscopy and SD-OCT. The mean refractive error (spherical equivalent) was  $-0.40 \pm 1.55$  diopters (D), with a range from  $-4.75$  to 2.75 D, and the mean axial length was  $23.6 \pm 1.00$  mm, with a range from 21.8 to 25.7 mm. The mean subfoveal CT was  $417.9 \pm 73.5$   $\mu\text{m}$  for both eyes; it was  $416.7 \pm 81.2$   $\mu\text{m}$  for the right eye and  $419.0 \pm 68.1$   $\mu\text{m}$  for the left eye. The mean FT

**Table 1** Patient characteristics, OCT data, and serum VEGF levels

Patient	Age	Sex	Eye	BCVA	AL(mm)	IOP(mmHg)	SE(D)	FT( $\mu\text{m}$ )	SCT( $\mu\text{m}$ )	VEGF(pg/ml)	ODE
1	54	F	OD	1	23.5	11	-0.5	280	361	3,380	+
			OS	1.2	23.2	13	-0.25	275	379		+
2	59	M	OD	1.2	25.1	12	-2	285	465	11,000	+
			OS	1.2	25.6	12	-2.25	278	432		+
3	66	F	OD	1.2	22.2	13	-0.25	206	396	5,590	-
			OS	1.2	22.2	13	-0.75	224	381		+
4	75	M	OD	1.2	23.7	11	2.75	257	399	9,690	-
			OS	1.2	23.5	12	2.75	250	408		-
5	50	M	OD	0.6	23.1	17	1.5	249	236	1,330	-
			OS	0.6	22.6	19	2.5	122	297		-
6	56	M	OD	1	21.8	9	-0.5	233	387	1,760	-
			OS	1.2	21.9	10	0.5	235	419		+
7	66	M	OS	0.6	21.9	10	-1	156	409	1,350	-
8	72	M	OD	1	23.2	11	-1	270	350	2,460	-
			OS	1	23.4	11	-1	285	367		+
9	47	F	OD	1.2	23.7	12	-0.25	218	465	4,590	-
			OS	1.2	23.7	13	0	238	389		-
10	62	M	OD	1.2	24.3	11	-1	252	463	8,430	+
			OS	1.2	23.9	12	-0.75	282	448		+
11	36	M	OD	1.2	23.6	10	-1	253	495	7,600	+
			OS	0.2	23.5	13	-0.5	247	528		-
12	61	M	OD	0.8	24	20	0	249	581	8,970	-
			OS	1.2	23.9	16	-1	271	467		-
13	55	M	OD	1.2	22.9	10	-0.25	240	416	11,200	+
			OS	1.2	22.9	9	-0.25	239	484		+
14	45	M	OD	1.2	25.7	11	-4.75	266	332	5,360	+
			OS	1.2	25.3	13	-3.5	265	318		+
15	61	M	OD	1.2	23.9	16	0.75	225	430	11,900	+
			OS	1.2	23.8	15	0.75	220	425		+
16	36	F	OD	1.2	24.2	9	-0.5	249	475	6,420	+
			OS		24.1	9	-0.75	240	554		+
Mean	56.3				23.6	12.3	-0.4	243.8	417.9	6,314	
SD	11.4				1	2.8	1.55	35.2	73.5	3,648	

BCVA best-corrected visual acuity, AL axial length, IOP intraocular pressure, SE spherical equivalent, FT foveal thickness, SCT subfoveal choroidal thickness, OCT optical coherence tomography, VEGF vascular endothelial growth factor, ODE optic disc edema

**Fig. 2** Correlation between subfoveal choroidal thickness (CT) of both eyes and serum VEGF levels in patients with POEMS syndrome. There was a significant correlation between subfoveal CT and serum VEGF in patients with POEMS syndrome. (a) right eye,  $r=0.58$ ,  $p=0.021$ , (b) left eye,  $r=0.60$ ,  $p=0.012$ , Spearman's rank correlation coefficient



was  $243.8 \pm 35.2 \mu\text{m}$  for both eyes; it was  $248.8 \pm 22.0 \mu\text{m}$  in the right eyes and  $239.1 \pm 44.6 \mu\text{m}$  in the left eyes. The mean serum VEGF level among patients was  $6,314 \pm 3,648 \text{ pg/ml}$ , with a range of 1,330 to 11,900 pg/ml, which is almost 30-fold higher than that of normal subjects (219 pg/ml) [16].

There was a significant positive correlation between the serum VEGF level and subfoveal CT (right eye,  $r=0.58$ ,  $p=0.021$ ; left eye,  $r=0.60$ ,  $p=0.012$ ; Fig. 2). In addition, there was a strong positive correlation between the subfoveal CT of right eyes and left eyes ( $r=0.77$ ,  $P=0.00034$ ). On the other hand, the correlation between the serum VEGF levels and FT was not significant for the right eyes ( $r=0.007$ ,  $p>0.05$ ) or left eyes ( $r=0.25$ ,  $p>0.05$ ; Fig. 3).

## Discussion

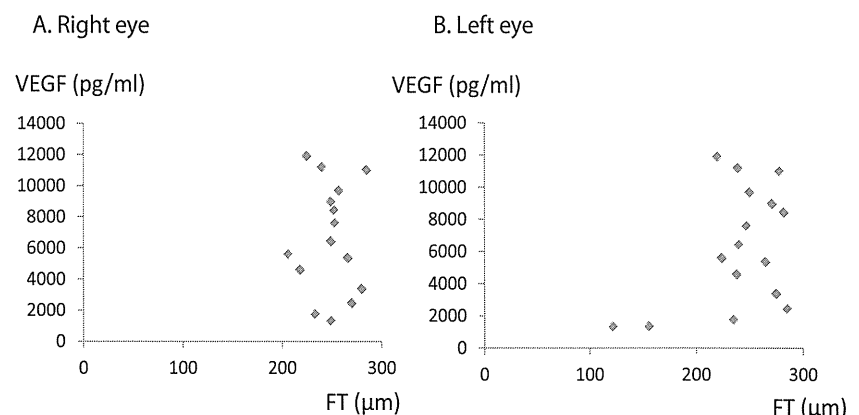
The pathogenesis of POEMS syndrome is complex, and several systemic factors are thought to be involved. The results of several studies have suggested that hyperproduction of VEGF by abnormal plasma cells is the major contributor to the development of POEMS syndrome [1, 2]. We found bilateral optic disc edema in seven patients (43.7 %), which is consistent with reports that bilateral optic disc

edema was the most common (30–70 %) sign associated with POEMS syndrome [5–7].

The difference in serum VEGF concentrations between patients with and without optic disc edema was not significant ( $p=0.35$ ). It has been reported that both elevated intracranial pressure (ICP) [2, 17] and elevated VEGF concentration [6] are causes of optic disc edema in patients with POEMS syndrome. The difference in serum VEGF concentration between patients with and without optic disc edema was not considered significant, as elevated ICP may have affected optic disc edema in our patients.

Subfoveal CT in normal eyes, as determined by EDI-OCT, has been reported from 254 to 354  $\mu\text{m}$  [9–11, 18–20]. Studies have also shown that age [10, 14], intraocular pressure [21, 22], refractive error [14], and axial length [21, 22] can affect CT. In addition, the choroid in highly myopic eyes is very thin and undergoes further thinning with increasing age and degree of myopia [14]. An increase in the IOP is associated with choroidal thinning and elongation of the axial length [21]. The mean age of our patients was  $56.3 \pm 11.4$  years (range from 36 to 75 years), the mean intraocular pressure was  $12.3 \pm 2.82 \text{ mmHg}$  (range, 9 to 20 mmHg), and the mean axial length was  $23.6 \pm 1.0 \text{ mm}$  (range, 21.8 to 25.7 mm). The correlation between age and the subfoveal CT was not significant ( $r=0.20$ ,  $p=0.26$ ). There was also no significant correlation

**Fig. 3** Correlation between foveal thickness (FT) of both eyes and serum VEGF levels in patients with POEMS syndrome. The correlation between the FT and serum VEGF in patients with POEMS syndrome was not significant. (a) right eye,  $r=0.007$ ,  $p>0.05$ , (b) left eye,  $r=0.25$ ,  $p>0.05$ , Spearman's rank correlation coefficient





between intraocular pressure and subfoveal CT ( $r=0.14$ ,  $P=0.44$ ). Likewise, the correlation between axial length and the subfoveal CT was not significant ( $r=0.15$ ,  $p=0.40$ ). Thus, the effects of age, intraocular pressure, and axial length were most likely minimal in our cases, and the mean subfoveal CT ( $417.9\pm 73.5\ \mu\text{m}$ ) was thicker than that reported among studies for normal eyes (254 to 354  $\mu\text{m}$ ) [9–11, 18–20].

The increased choroidal thickness may be due to increased choroidal vascular permeability caused by the higher levels of VEGF. VEGF is a cytokine that targets endothelial cells, inducing neovascularization and enhancing vascular permeability [23, 24]. An increase in microvascular permeability is supported by the presence of edema elsewhere in the body—e.g., lower extremities, abdomen, pleura, and pericardium—in patients with POEMS syndrome [25]. In this study, edema was present elsewhere—e.g., lower extremities, abdomen, pleura, and pericardium—in most patients.

Alterations in the function and structure of the choroid are known to play a role in the pathogenesis of several ocular disorders. Recent studies have shown that eyes with central serous chorioretinopathy (CSC) [12] and Harada disease [13] have greater subfoveal choroidal thickness. The thickened choroid in CSC may be due to increased vascular permeability, and in Harada disease it may be due to inflammation of the choroid.

Cystoid macular edema (CME) and serous retinal detachment (SRD) have been reported in eyes of patients with POEMS syndrome [8, 26]. Imai et al. [26] suggested that CME in these cases was due to elevated serum VEGF and not to the VEGF secreted from retinal tissues. It has been reported that patients with POEMS syndrome can develop SRD or macular edema (ME) during the course of the disease process [6, 8, 26]. In our cases, the foveal thickness of the left eyes in Cases 5 and 7 were very thin, although we could not find a history of retinal and choroidal diseases. Because these patients had a longer duration of POEMS syndrome, the optic disc edema, SRD, and ME might have developed unknowingly during the course of the disease. Thus, we believe that these disease processes may have caused the reduction in retinal thickness, although we did not detect signs of these diseases in either case during the study. In Case 7, the right eye was phthisic due to trauma that occurred in childhood. Thus, data of the right eye in Case 7 are not known.

In contrast, the correlation between the serum levels of VEGF and FT was not significant. It is well known that VEGF is a cytokine that can affect vascular permeability via intravascular compartments [23, 24]. The reason why such high serum VEGF did not cause retinal edema is that the increased levels of serum VEGF in the choroidal vasculature may be due to the larger caliber and greater volume of flow in comparison with the retinal circulation. Thus, high serum VEGF may not cause retinal edema, and the serum levels of VEGF may have a greater effect on choroidal than on retinal tissues.

Our study had several limitations. First, the possible effects of other factors such as systemic or topical medications, diurnal variations, and nutrition on choroidal thickness must be taken into consideration. Second, our results cannot answer the question of a cause–effect relationship between increased serum levels of VEGF and increased choroidal thickness. Further studies are needed to determine whether treatment of POEMS syndrome such as high-dose chemotherapy with autologous peripheral blood stem-cell transplantation (auto-PBSCT), anti-VEGF monoclonal antibody (bevacizumab) therapy, and thalidomide therapy can lead to a decrease in choroidal thickness due to a reduction in serum VEGF level. Positive results would support the idea that the higher levels of serum VEGF were the cause of the thickened choroid in patients with POEMS syndrome. Finally, we are not able to conclude that serum levels of VEGF influence choroidal thickness in normal eyes. Further studies with a large sample size may help to explain the role of serum VEGF in the choroid of normal eyes.

In conclusion, we showed that a significant correlation between serum level of VEGF and subfoveal CT was present in patients with POEMS syndrome. These results not only aid in understanding the pathogenesis of ocular changes in patients with POEMS syndrome, but also offer clues on the pathogenesis of other choroidal diseases.

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