subcloned into HA-tagged pcDNA3 (Invitrogen). Tax construct with FLAG-tag added to the N terminus was prepared via PCR amplification of template DNA (56) with the following primers: Tax forward, 5'-CGCGAATTCATGGCCCACTTCCCAGGGTTT-3'; Tax reverse, 5'-CGCCTCGAGTCAGACTTCTGTTTCACGGAAATGTTTTTC-3'. The amplified fragment was digested with EcoRI/XhoI and subcloned into FLAG-tagged pcDNA3. The plasmid HTLV-1 provirus (pUC/HTLV-1) was provided by T. Watanabe (University of Tokyo, Tokyo, Japan) (57). A lentiviral vector, CSIICMV, was used as a null expression vector for lentiviral infection (provided by H. Miyoshi, RIKEN BioResource Center, Tsukuba, Japan) (58). CSIICMV/GFP and CSIICMV/GFP-Tax, which express GFP and GFP fused Tax, were constructed by inserting digested GFP and GFP-Tax from pEGFP (Clontech) and pEGFP-Tax, respectively, into CSIICMV.

Flow cytometric analysis. PBMCs and CSF cells were immunostained with various combinations of the following fluorescence-conjugated antibodies that tag cell surface markers: CD3 (UCHT1), CD4 (OKT4), CD25 (BC96), CCR4 (1G1), CXCR3 (1C6). In some experiments, cells were fixed with a staining buffer set (eBioscience), then intracellularly stained with antibodies against T-bet (4B10), FOXP3 (PCH101), and GATA3 (TWAJ). Cells were stained with a saturating concentration of antibody in the dark (4°C, 30 minutes) and washed twice before analysis using FACSCalibur or LSR II (BD Biosciences). Data were processed using FlowJo software (TreeStar). For cell sorting, JSAN (Bay Bioscience) was used, and the purity exceeded 95%.

Cell isolation. CD4+CD25+CCR4+ cells, CD4+CD25-CCR4- cells, CD4+GATA3+ cells, and CD4+FOXP3+ cells were separated by FACS sorting. CD4+T cells were isolated from PBMCs using negative selection with magnetic beads (MACS CD4+T cell isolation kit; Miltenyi Biotec). CD4+CCR4- or CD4+CCR4+ cells were then isolated from these CD4+T cells using positive selection with anti-CCR4 Ab (1G1) and rat anti-mouse IgG1 microbeads (Miltenyi Biotec).

Cell culture conditions. HEK293 cells were cultured in MEM (Wako Pure Chemical Industries) supplemented with 10% heat-inactivated FBS (Gibco, Invitrogen) and 1% penicillin/streptomycin (P/S) (Wako Pure Chemical Industries). HEK293T cells were cultured in DMEMhigh glucose (Sigma-Aldrich) supplemented with 10% FBS and 1% P/S. Jurkat, MT-2, and JPX-9 cells were cultured in RPMI 1640 medium (Wako Pure Chemical Industries) supplemented with 10% FBS and 1% P/S. JPX-9 is a subline of Jurkat carrying Tax under the control of the metallothionein promoter (provided by M. Nakamura, Tokyo Medical and Dental University, Tokyo, Japan) (59), by which Tax expression is inducible by the addition of 20 μM CdCl<sub>2</sub> (Nacalai Tesque Inc.). PBMCs, CD4\*CCR4\* cells, CD4\*CD25\*CCR4\* cells, and CD4\*CD25\*CCR4\* cells isolated from HDs or HAM/TSP patients were cultured in RPMI 1640 medium supplemented with 5% human AB serum (Gibco, Invitrogen) and 1% P/S.

Gene expression profiling and analyses. For transcriptional profiling, CD4+CD25+CCR4+T cells from a HAM/TSP patient, an ATLL patient, and an HD were separated using FACS sorting. Total RNA was prepared using ISOGEN (Nippon gene) following the manufacturer's recommendations. RNA was amplified and labeled with cyanine 3 (Cy3) using an Agilent Quick Amp Labeling Kit, 1-color (Agilent Technologies), following the manufacturer's instructions. For each hybridization, Cy3-labeled cRNA were fragmented and hybridized to an Agilent Human GE 4x44K Microarray (design ID 014850). After washing,

microarrays were scanned using an Agilent DNA microarray scanner. Intensity values of each scanned feature were quantified using Agilent feature extraction software (version 9.5.3.1), which performs background subtractions. All data were analyzed using GeneSpring GX software (Agilent Technologies). There were a total of 41,000 probes on Agilent Human GE 4x44K Microarray (design ID 014850), not including control probes. Microarray data were deposited in GEO (accession no. GSE57259).

Real-time PCR and real-time RT-PCR. The HTLV-1 provinal DNA load was measured using ABI Prism 7500 SDS (Applied Biosystems) as described previously (19). For real-time RT-PCR analysis, total RNA isolation and cDNA synthesis were performed as described previously (19). Real-time PCR reactions were carried out using Tag-Man Universal Master Mix (Applied Biosystems) and Universal Probe Library assays designed using ProbeFinder software (Roche Applied Science). ABI Prism 7500 SDS was programmed to have an initial step of 2 minutes at 50°C and 10 minutes at 95°C, followed by 45 cycles of 15 seconds at 95°C and 1 minute at 60°C. The primers used were as follows: TBX21, 5'-TGTGGTCCAAGTTTAATCAGCA-3' (forward) and 5'-TGACAGGAATGGGAACATCC-3' (reverse) (probe no. 9; Roche Applied Science); Tax, 5'-ATACAACCCCCAACATTCCA-3' (forward) and 5'-TTTCGGAAGGGGGAGTATTT-3' (reverse) (probe no. 69; Roche Applied Science). The primers and probes for detecting Tax, IFNG, and GAPDH mRNA were described previously (19). Relative quantification of mRNA was performed using the comparative Ct method using GAPDH as an endogenous control. For each sample, target gene expression was normalized to the expression of GAPDH, calculated as 2-(Ct[target] - Ct[GAPDH]).

Virus preparation and cell infection. 293T cells (1 × 106) plated in 100-mm dishes were cotransfected with the appropriate lentiviral-GFP or lentiviral-GFP-Tax expression vector (17 µg), vesicular stomatitis virus G expression vector VSV-G (pMD.G) (5 μg), rev expression vector pRSVRev (5  $\mu$ g), and gag-pol expression vector pMDLg/pRRE (12  $\mu$ g) (60) using Lipofectamine 2000 (Invitrogen) according to the manufacturer's protocol. After 4 hours, cells were washed 3 times with PBS, 5 ml of new medium was added, and cells were incubated for 48 hours. Culture supernatants were harvested and filtered through 0.45-µm pore size filters. Lentivirus was concentrated approximately 40-fold by low centrifugation at 6,000 g for 16 hours and resuspended in 2 ml RPMI 1640 medium. Freshly isolated CD4+CD25+CCR4+ T cells were activated using Treg Suppression Inspector (Anti-Biotin MACSiBead Particles preloaded with biotinylated CD2, CD3, and CD28 antibodies) according to the manufacturer's protocol (Miltenyi Biotec). After being cultured for 36 hours, cells were transduced with equal amounts of the GFP or GFP-Tax lentivirus (MOI 15), followed by centrifugation for 1 hour at 780 g, 32°C. After being cultured for 4 hours at 32°C, cells were washed with culture medium and cultured in round-bottomed 96-well plates at 37°C.

Treg suppression assay. A study was conducted to compare the capacities of GFP versus GFP-Tax lentivirus-infected CD4\*CD25\* CCR4\* T cells to suppress cell proliferation. T cell samples were taken from HDs, and  $5\times10^4$  CD4\*CD25\* T cells were stimulated with the Treg Suppression Inspector (see above) according to the manufacturer's instructions. These cells were then cocultured with  $5\times10^4$  GFP lentivirus-infected CD4\*CD25\*CCR4\* T cells or GFP-Tax lentivirus-infected CD4\*CD25\*CCR4\* T cells. After culturing for 4 days, cell proliferation was measured using a  $^3$ H-thymidine incorporation assay as described previously (19).

RNA interference assay. siRNA was synthesized chemically at Hokkaido System Science. The sequences of siRNA oligonucleotides were as follows: Tax, 5'-GGCCUUAUUUGGACAUUUATT-3' and 5'-UAAAUGUCCAAAUAAGGCCTT-3' (31); Luc, 5'-CGUACGCGGAAUACUUCGATT-3' and 5'-UCGAAGUAUUCCGCGUACGTT3'. Next, 100 pmol annealed RNA duplex was transfected using Human T cell Amaxa Nucleofector Kit according to the manufacturer's recommendations (Lonza). 100 pmol Luc siRNA was used as a negative control. Cells were incubated for 48 hours and then harvested and subjected to real-time RT-PCR analysis.

Measurement of IFN- $\gamma$ . IFN- $\gamma$  concentration in the culture supernatant was measured with a cytometric bead array kit (BD Biosciences) using a FACSCalibur flow cytometer (BD Biosciences) according to the manufacturer's instructions.

 $\it IP.$  Approximately 1 mg of MT-2 nuclear extracts were incubated with 5 μg anti-Tax, anti-Sp1, or normal IgG coupled with protein Gagarose (Roche Applied Science) in IP buffer (10 mM HEPES [pH 7.9], 100 mM KCl, 1 mM EDTA, 1 mM dithiothreitol, 0.1% NP-40, 1 mM Na $_3$ VO $_4$ , 5 mM NaF, 2 μg/ml aprotinin, 2 μg/ml leupeptin, and 2 μg/ml pepstatin) for 2 hours. The precipitated proteins were washed with the IP buffer, separated by 10% SDS-PAGE, and immunoblotted with anti-Tax or anti-Sp1 antibodies.

ChIP assay. ChIP assay was performed using a ChIP assay kit (Upstate Biotechnology) with some modifications. Briefly,  $5 \times 10^6$ MT-2 cells were fixed with 1% formaldehyde at 37°C for 25 minutes and washed twice with PBS. Cells were subsequently harvested and sonicated in lysis buffer. Precleared chromatin samples were immunoprecipitated with 5 μg anti-Tax antibody, anti-Sp1 antibody, or normal IgG for 16 hours at 4°C. Immune complexes were collected with salmon sperm DNA/protein G-sepharose for 90 minutes with rotation, washed, and then incubated at 65°C for 6 hours for reverse cross-linking. Chromatin DNA was extracted and analyzed using PCR with primers for the TBX21 promoter region (-179 to -59; forward, 5'-GCCAAGAGCGTAGAATTTGC-3'; reverse, 5'-CGCTTT-GCTGTGGCTTTATG-3') (25, 61). Amplification was performed using ExTaq (Takara Bio) with 1 cycle at 95°C for 5 minutes followed by 30 cycles of 95°C for 30 seconds, 54°C for 30 seconds, and 72°C for 30 seconds. Amplified products were analyzed using 8% polyacrylamide gel electrophoresis.

Luciferase assay. For transient transfection, HEK293 cells were seeded at  $5 \times 10^4$  cells/well into 24-well plates. After 12 hours, medium was changed to MEM supplemented with 10% FBS and 1% P/S, and each plasmid was transfected with CellPhect Transfection Kit according to the manufacturer's recommendations (GE Healthcare). 50 ng pRSV- $\beta$ gal plasmid was included in each transfection experiment to control for the efficiency of transfection. The total amount of transfected DNA was kept constant with pcDNA3 in all samples. After 48 hours, cells were lysed with Passive Lysis Buffer (Promega), and luciferase activity was measured using the Promega luciferase assay system and MicroLumat Plus LB96V (Berthold Technologies). Values were normalized to  $\beta$ -galactosidase activity as an internal control.

Tissue staining. Formalin-fixed thoracic spinal cord tissue sections were departifinized in xylene and rehydrated in a series of graded alcohols and distilled water. The antigenicity of the tissue sections was recovered using a standard microwave heating technique. For immunofluorescence, the slides were incubated in PBS with 10% goat

serum for 1 hour at room temperature, then in anti-CCR4 antibody, anti-T-bet antibody, anti-IFN-γ antibody, and anti-CXCR3 antibody overnight at 4°C, labeled with Alexa Fluor 488- or Alexa Fluor 594-conjugated secondary antibody, and examined under a fluorescence microscope (Nikon eclipse E600 with fluorescence filter Nikon F-FL; Nikon Instech) with rabbit or goat IgG as the negative control. Tissue sections were also stained with H&E.

Immunofluorescence staining and immunofluorescence-FISH. Jurkat cells, MT-2 cells, and cells from the CSF of 3 HAM/TSP patients were attached to slides using a cytospin centrifuge (Thermo Fisher Scientific) and fixed in 4% paraformaldehyde (Wako Pure Chemical Industries) for 30 minutes. The slides were washed with PBS and then pretreated as follows: slides were immersed in room temperature 0.2M HCl for 20 minutes, followed by 0.2% Triton-X/PBS for 10 minutes, and finally 0.005% pepsin/0.1M HCl heated to 37°C for 5 minutes. After pretreatment, the slides were stained using the immunofluorescence Can Get Signal kit (TOYOBO) according the manufacturer's instructions with anti-CCR4 as the primary antibody and Alexa Fluor 488-conjugated anti-goat IgG as the secondary antibody. After again being fixed with 4% paraformaldehyde, cells were incubated with a nick-translated (Spectrum Red) pUC/ HTLV-1 DNA probe, first for 5 minutes at 70°C and then overnight at 37°C. Images were obtained under an automated research microscope (Leica DMRA2) and analyzed with CW4000 FISH software (Leica Microsystems).

Proliferation assay. PBMCs from HAM/TSP patients were plated into 96-well round-bottomed plates ( $1 \times 10^5$  cells/well) and cultured without any mitogenic stimuli. Cell proliferation was measured using a  $^3$ H-thymidine incorporation assay as described previously (19).

Statistics. Paired 2-tailed Student's t test and Wilcoxon test were used for within-group comparisons. Unpaired 2-tailed Student's t test or Mann-Whitney U test was used for between-group comparisons. 1-way ANOVA was used for multiple comparisons, followed by Dunnett or Tukey test. Friedman test was used for paired multiple comparisons, followed by Dunn test. Statistical analyses were performed using Graphpad Prism 5 (GraphPad Software Inc.). A P value less than 0.05 was considered significant.

Study approval. Written informed consent was obtained from all patients before the study, which was reviewed and approved by the Institutional Ethics Committee at St. Marianna University and conducted in compliance with the tenets of the Declaration of Helsinki.

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# Mogamulizumab, an Anti-CCR4 Antibody, Targets Human T-Lymphotropic Virus Type 1– infected CD8<sup>+</sup> and CD4<sup>+</sup> T Cells to Treat Associated Myelopathy

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*Background.* Human T-lymphotropic virus type 1 (HTLV-1) can cause chronic spinal cord inflammation, known as HTLV-1-associated myelopathy/tropical spastic paraparesis (HAM/TSP). Since CD4<sup>+</sup>CCR4<sup>+</sup> T cells are the main HTLV-1 reservoir, we evaluated the defucosylated humanized anti-CCR4 antibody mogamulizumab as a treatment for HAM/TSP.

*Methods.* We assessed the effects of mogamulizumab on peripheral blood mononuclear cells from 11 patients with HAM/TSP. We also studied how CD8<sup>+</sup> T cells, namely CD8<sup>+</sup> CCR4<sup>+</sup> T cells and cytotoxic T lymphocytes, are involved in HTLV-1 infection and HAM/TSP pathogenesis and how they would be affected by mogamulizumab.

Results. Mogamulizumab effectively reduced the HTLV-1 proviral load (56.4% mean reduction at a minimum effective concentration of 0.01 μg/mL), spontaneous proliferation, and production of proinflammatory cytokines, including interferon  $\gamma$  (IFN- $\gamma$ ). Like CD4<sup>+</sup>CCR4<sup>+</sup> T cells, CD8<sup>+</sup>CCR4<sup>+</sup> T cells from patients with HAM/TSP exhibited high proviral loads and spontaneous IFN- $\gamma$  production, unlike their CCR4<sup>-</sup> counterparts. CD8<sup>+</sup>CCR4<sup>+</sup> T cells from patients with HAM/TSP contained more IFN- $\gamma$ -expressing cells and fewer interleukin 4–expressing cells than those from healthy donors. Notably, Tax-specific cytotoxic T lymphocytes that may help control the HTLV-1 infection were overwhelmingly CCR4<sup>-</sup>.

**Conclusions.** We determined that CD8<sup>+</sup>CCR4<sup>+</sup> T cells and CD4<sup>+</sup>CCR4<sup>+</sup> T cells are prime therapeutic targets for treating HAM/TSP and propose mogamulizumab as a new treatment.

Keywords. HTLV-1; HAM/TSP; CCR4; mogamulizumab; CD8.

Human T-lymphotropic virus type 1 (HTLV-1) infects 10–20 million people worldwide, causing HTLV-1-associated myelopathy/tropical spastic paraparesis

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(HAM/TSP) and adult T-cell leukemia/lymphoma (ATL) in a small fraction of infected individuals [1–3]. HAM/TSP is an inflammatory disease of the central nervous system (CNS) that is thought to develop via so-called bystander damage, meaning that the host immune responses to HTLV-1-infected cells in the CNS damage the spinal cord [4]. Currently established treatments for HAM/TSP, such as corticosteroids [5] and interferon alfa [6], do not effectively reduce the HTLV-1 proviral load, which is well correlated with disease severity [7]. Reverse transcriptase inhibitors, which are used against human immunodeficiency virus type 1, were not effective against HTLV-1 in

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clinical trials [8,9]. These and other existing antiviral drugs usually block the viral replication process [10], but HTLV-1 may escape these drugs by replicating using host cell division [11, 12]. The ideal treatment strategy for HAM/TSP would be selectively targeting and eliminating the HTLV-1-infected cells, but no such treatments currently exist.

Mogamulizumab, a defucosylated humanized anti-CCR4 immunoglobulin G1 (IgG1) monoclonal antibody, was recently approved in Japan as a novel therapy for ATL [13]. Importantly, ATL cells usually express chemokine receptor CCR4 [14]. Mogamulizumab strongly binds to Fc $\gamma$  receptor IIIa (Fc $\gamma$ RIIIa) on natural killer (NK) cells and elicits powerful antibody-dependent cellular cytotoxicity (ADCC) against the CCR4<sup>+</sup> ATL cells [15, 16].

Recently, we found that CD4<sup>+</sup>CD25<sup>+</sup>CCR4<sup>+</sup> T cells are the main HTLV-1 reservoir in HAM/TSP [17]. These cells abnormally produce interferon  $\gamma$  (IFN- $\gamma$ ) and are thought to play an important role in producing the chronic inflammation in HAM/TSP [17]. Thus, we began investigating the possibility of treating HAM/TSP and ATL by targeting CCR4<sup>+</sup> cells. We have already established that the defucosylated human/mouse chimeric anti-CCR4 antibody KM2760 effectively reduces the HTLV-1 proviral load in cultured peripheral blood mononuclear cells (PBMCs) from patients with HAM/TSP [18]. Here, we evaluate for the first time the effects of the humanized antibody mogamulizumab on cells from patients with HAM/TSP.

There is a population of CD8<sup>+</sup> T cells that express CCR4, but these cells have so far received much less attention than CD4<sup>+</sup> CCR4<sup>+</sup> T cells from HTLV-1 researchers. Although it has been shown that HTLV-1 infects CD8<sup>+</sup> T cells [19], it is as of yet unknown which among CD8<sup>+</sup> T cells are predominantly infected, as well as whether and how the infection influences the functions of those cells. CD8<sup>+</sup>CCR4<sup>+</sup> T cells are reported to suppress inflammation and play a beneficial role in controlling chronic inflammatory diseases [20, 21]. It is important to determine whether CD8<sup>+</sup>CCR4<sup>+</sup> T cells are protective or harmful during HAM/TSP pathogenesis, as well as how these cells would be affected by mogamulizumab.

We hypothesized that CCR4 $^+$  cells among CD8 $^+$  and CD4 $^+$  T cells are highly infected and liable to develop proinflammatory traits. It has been reported that HTLV-1 preferentially transmits to CCR4 $^+$  T cells through CCL22 (a CCR4 ligand) production induced by the HTLV-1 protein product Tax [22]. Tax has also been reported to induce IFN- $\gamma$  production via transcriptional alterations within the infected cells themselves [18].

In the present study, we determined that mogamulizumab is effective at reducing the proviral load and proinflammatory character in PBMCs from patients with HAM/TSP. Next, we revealed that CD8+CCR4+ T cells are indeed highly infected by HTLV-1 and become proinflammatory. Finally, we determined that the majority of Tax-specific cytotoxic T lymphocytes (CTLs) were CCR4-, indicating that they would not be inadvertently targeted by mogamulizumab. Our results indicate that

CD8<sup>+</sup>CCR4<sup>+</sup> T cells should be considered a key therapeutic target when developing treatments for HAM/TSP and that mogamulizumab represents a viable candidate for such a treatment.

#### **METHODS**

#### **Subjects**

This study was approved by the Institutional Ethics Committee at St. Marianna University and conducted in compliance with the Declaration of Helsinki. All participants gave written informed consent. Blood samples were obtained from 11 patients with HAM/TSP (8 females and 3 males; median age, 57 years [range, 47-72 years]; proviral load, 4.7 copies/100 cells [range, 1.26-9.71 copies/100 cells]), 8 HTLV-1-positive asymptomatic carriers (6 females and 2 males; median age, 57 years [range, 28-76 years]; proviral load, 4.7 copies/100 cells [range, 2.43-13.19 copies/100 cells]), and 8 HTLV-1-negative healthy volunteers (6 females and 2 males; median age, 59 years [range, 51-72 years]). HTLV-1 seropositivity was determined by a particle agglutination assay (Fujirebio, Tokyo, Japan) and confirmed by Western blot (SRL Inc., Tokyo, Japan). HAM/TSP was diagnosed according to the World Health Organization guidelines [23]. PBMCs were separated by Ficoll-Hypaque density gradient centrifugation (Pancoll; PAN-Biotech, Aidenbach, Germany) and viably cryopreserved in liquid nitrogen with freezing medium (Cell Banker 1; Mitsubishi Chemical Medience Corporation, Tokyo, Japan).

#### **Cell Culture**

PBMCs were seeded at  $1\times10^5$  cells/200 µL/well in 96-well round-bottomed plates in the presence or absence of mogamulizumab or KM2760 (gifts from Kyowa Hakko Kirin Co., Ltd., Tokyo, Japan) or human control IgG (Jackson ImmunoResearch Laboratories, West Grove, PA) or prednisolone (LKT Laboratories, Inc., St. Paul, MN) and incubated at 37°C in 5% CO $_2$ . Roswell Park Memorial Institute 1640 medium was supplemented with 10% heat-inactivated fetal bovine serum and 1% penicillin and streptomycin antibiotic solution (Wako Pure Chemical Industries Ltd., Osaka, Japan). The supernatants were collected and stored at  $-80^{\circ}$ C. The cells were harvested for DNA extraction or fluorescence-activated cell-sorter (FACS) analysis. The HTLV-1 proviral load was measured using ABI Prism 7500 SDS (Applied Biosystems, Carlsbad, CA), as described previously [24].

#### **Cell Proliferation Assay**

PBMCs from patients with HAM/TSP were cultured for 7 days as described above. PBMCs from healthy donors were stimulated with 4  $\mu$ g/mL of phytohemagglutinin-P (PHA; Sigma-Aldrich, St. Louis, MO) and cultured in the presence or absence of mogamulizumab or prednisolone for 3 days. During the last 16 hours, 1  $\mu$ Ci of <sup>3</sup>H-thymidine was added to each well, and then cells were harvested and counted with a  $\beta$ -plate counter (Wallac-Perkin Elmer, Waltham, MA). The assay was performed in triplicate, and average values were used for analysis.

#### Measurement of Cytokines

The concentrations of 6 cytokines (IFN- $\gamma$ , interleukin 2 [IL-2], interleukin 4 [IL-4], interleukin 6 [IL-6], interleukin 10 [IL-10], and tumor necrosis factor  $\alpha$  [TNF- $\alpha$ ]) in culture supernatants were measured with a cytometric bead array kit (BD Biosciences, San Diego, CA), using a FACSCalibur flow cytometer (BD Biosciences).

#### Flow Cytometric Analysis

Cells were immunostained with various combinations of the following fluorescence-conjugated antibodies to surface antigens: anti-CD3 (UCHT1), anti-CD4 (RPA-T4), anti-CD8 (RPA-T8), anti-CD14 (61D3), and anti-CD19 (HIB19), from eBiosciences (San Diego, CA); and anti-CD56 (B159) anti-CCR4 (1G1), from BD Biosciences. The epitope of the anti-CCR4 antibody (1G1) is different from that of mogamulizumab and KM2760, and thus these treatments do not affect the binding of 1G1 to CCR4 [16]. In some experiments, allophycocyanin-conjugated HLA-A\*2402/HTLV-1 Tax301-309 tetramer (Medical & Biological Laboratories, Nagoya, Japan) was used. To identify HTLV-1-infected cells, cells were fixed and permeabilized using a staining buffer set (eBiosciences) and then intracellularly stained with anti-Tax antibody (Lt-4) [25]. To analyze intracellular effector molecules, cells were fixed and stained with the antibodies to perforin (δG9) and granzyme B (GB11; BD Biosciences). For intracellular cytokine staining, PBMCs were stimulated for 6 hours with phorbol 12-myristate 13-acetate (50 ng/mL) and ionomycin (1 μg/mL, Sigma-Aldrich Japan, Tokyo, Japan) in the presence of monensin (GolgiStop, BD Biosciences). After being harvested, the cells were fixed and stained with the antibodies to IFN-γ (B27) and IL-4 (MP4-25D2; BD Biosciences). The stained cells were analyzed using FACSCalibur, and the data were processed using FlowJo software (TreeStar, San Diego, CA). For cell sorting, CD8<sup>+</sup> T cells were negatively selected from PBMCs, using MACS beads (Miltenyi Biotec, Bergisch Gladbach, Germany). The purified CD8<sup>+</sup> T cells were stained with anti-CD3, anti-CD8, and anti-CCR4 antibodies, and then CD3+CD8+, CD3+CD8+CCR4+, and CD3+CD8+ CCR4<sup>-</sup> T cells were separated using a cell sorter (JSAN, Bay Bioscience Co., Ltd., Hyogo, Japan). The purity exceeded 95%.

#### Statistical Analysis

Values are expressed as means  $\pm$  standard deviations. The paired t test or the Wilcoxon signed-rank test was used for within-group comparisons. The Mann–Whitney U test was used for comparisons between groups. Repeated-measures analysis of variance (ANOVA) followed by the Dunnett test or the Friedman test followed by the Dunn test were used for paired multiple comparisons. Statistical analyses were performed using GraphPad Prism 5 and Prism statistics (GraphPad Software, Inc., La Jolla, CA), and P values of <.05 were considered statistically significant.

## **RESULTS**

# Mogamulizumab and KM2760 Reduce the HTLV-1 Proviral Load and Inhibit Spontaneous Proliferation of PBMCs From Patients With HAM/TSP

The effects of mogamulizumab and KM2760 were assessed by measuring proviral loads in treated PBMCs from patients with HAM/TSP. <sup>3</sup>H-thymidine incorporation was used to assess the inhibitory effects of the antibodies on spontaneous cell proliferation, a distinctive phenomenon associated with PBMCs from HTLV-1infected individuals by which the cells proliferate without mitogens or stimuli in vitro [26]. Mogamulizumab and KM2760 both reduced proviral load in a dose-dependent manner at concentrations of  $\geq 0.01 \,\mu\text{g/mL}$  (mean reduction [±SD],  $56.4\% \pm 21.1\%$ and 61.1%  $\pm$  17.0%, respectively; P < .01 and P < .001, respectively; Figure 1A). Notably, there was a mean reduction (±SD) of  $66.4\% \pm 20.2\%$  in the proviral load with 10 µg/mL mogamulizumab (P < .001), which is the blood concentration of the antibody in patients with ATL treated with 1 mg/kg mogamulizumab [13]. Mogamulizumab and KM2760 similarly inhibited spontaneous proliferation in a dose-dependent manner at concentrations of  $>0.01 \,\mu\text{g/mL}$  (mean inhibition [ $\pm$ SD], 25.6%  $\pm$  31.9% and 22.1%  $\pm$  35.9%, respectively; P < .01 and P < .05, respectively; Figure 1B). Because mogamulizumab and KM2760 showed such similar results, only mogamulizumab was used in the next experiments as representative of the 2. Mogamulizumab was also tested against human IgG to control for nonspecific antibody effects and more effectively reduced the proviral load and spontaneous proliferation (Supplementary Figure 1A and 1B). Mogamulizumab reduced the HTLV-1 proviral load in cells from asymptomatic carriers, as well, in a dose-dependent manner (Figure 1C). Finally, mogamulizumab inhibited PHA-stimulated proliferation of PBMCs from healthy donors at concentrations of  $\geq 0.1 \,\mu\text{g/mL}$  (P < .01), but the effects of prednisolone were much more pronounced than those of mogamulizumab (prednisolone vs mogamulizumab, P < .001; Figure 1D).

Prednisolone suppressed spontaneous proliferation (mean inhibition [ $\pm$ SD], 37.4%  $\pm$  35.2%; P < .001; Figure 1B) but did not decrease proviral load (Figure 1A). The combination of mogamulizumab and 0.1  $\mu$ g/mL of prednisolone, which corresponds to the serum concentration achieved when 5 mg of prednisolone is administered orally [27], reduced proviral load as much as but no more than did mogamulizumab alone (Supplementary Figure 2A). On the other hand, <sup>3</sup>H-thymidine incorporation was substantially more inhibited by the combination treatment than with mogamulizumab alone (mean inhibition [ $\pm$ SD], 81.3%  $\pm$  18.3% vs 71.3%  $\pm$  19.3%; P = .01; Supplementary Figure 2B).

# Mogamulizumab and KM2760 Inhibit Proinflammatory Cytokine Production in PBMCs From HAM/TSP Patients

Here we examined the effects of mogamulizumab and KM2760 on cytokine production in PBMCs from patients with HAM/

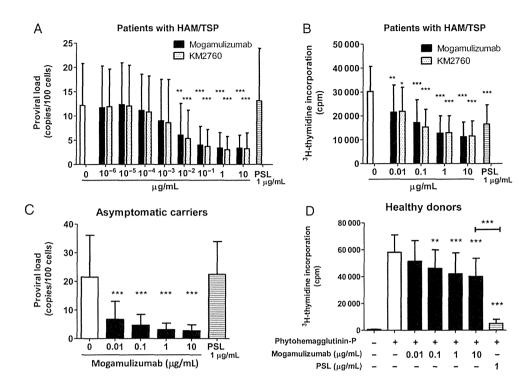


Figure 1. Mogamulizumab and KM2760 reduce the human T-lymphotropic virus type 1 (HTLV-1) proviral load and inhibit spontaneous proliferation of peripheral blood mononuclear cells (PBMCs) from patients with HTLV-1—associated myelopathy/tropical spastic paraparesis (HAM/TSP). A and B, PBMCs from 11 patients with HAM/TSP were cultured for 7 days without stimuli and without treatment or in the presence of mogamulizumab, KM2760, or prednisolone (PSL). Cells were harvested, and the proviral load was measured using real-time polymerase chain reaction (A).  $^3$ H-thymidine was added during the last 16 hours of culturing. Cells were then harvested and analyzed for  $^3$ H-thymidine incorporation (B). Because mogamulizumab and KM2760 were equally effective, only mogamulizumab was used thereafter as representative of the 2. C, PBMCs from 8 asymptomatic carriers were cultured for 7 days without treatment or in the presence of mogamulizumab or PSL, and the proviral load was measured as described above. D, PBMCs from 8 healthy donors were stimulated with 4  $\mu$ g/mL of phytohemagglutinin-P and cultured for 3 days without treatment or in the presence of mogamulizumab or PSL.  $^3$ H-thymidine incorporation was analyzed as described above. Data are presented as the mean  $\pm$  SD. Statistical analyses were performed using repeated-measures analysis of variance, followed by the Dunnett test, for comparison with PBMCs alone (A–C) or with PBMCs stimulated with PHA (D). The paired t test was used to compare 10  $\mu$ g/mL of mogamulizumab and PSL (D). \*P<.05, \*\*P<.01, and \*\*\*P<.001. Abbreviation: SD, standard deviation.

TSP. In line with previous reports [28], PBMCs produced various cytokines, most notably IFN- $\gamma$ , in 7-day cultures without stimuli (Figure 2*A*). Mogamulizumab and KM2760 both reduced the production of the proinflammatory cytokines IFN- $\gamma$ , IL-6, IL-2, and TNF- $\alpha$ , as well as the immunosuppressive cytokine IL-10 (Figure 2*B*–*F*). Mogamulizumab reduced IFN- $\gamma$  production more than did human IgG (Supplementary Figure 1*C*). Prednisolone at a concentration of 1 µg/mL effectively reduced IFN- $\gamma$  and TNF- $\alpha$  but not IL-2, IL-6, or IL-10 production.

# Mogamulizumab Eliminates CCR4 $^+$ Cells Among Both CD4 $^+$ and CD8 $^+$ T cells

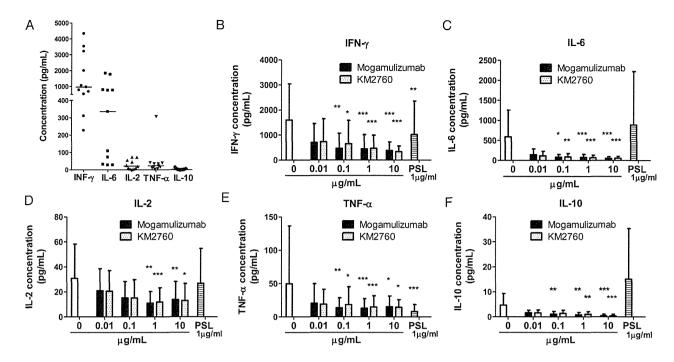
Mogamulizumab effectively eliminated the CD4<sup>+</sup>CCR4<sup>+</sup> T cells in cultured PBMCs from patients with HAM/TSP (Figure 3*A* and 3*B*). FACS analysis also revealed a population of CD4<sup>-</sup> CCR4<sup>+</sup> cells similarly affected by the antibody, and these cells were found to be CD8<sup>+</sup> T cells (Figure 3*C*). Detailed investigation confirmed that CCR4<sup>+</sup> T cells among the CD8<sup>+</sup> subset were eliminated by mogamulizumab just as they were from the CD4<sup>+</sup> subset (Figure 3*D*–*E*).

# The ADCC Activity of Mogamulizumab Is Fast Acting and Specific

FACS analysis showed that mogamulizumab reduced the frequency of CCR4 $^+$  T cells among both CD4 $^+$  and CD8 $^+$  subsets within 6 hours (P = .0003 and P = .004, respectively), with a similar reduction in Tax $^+$  T cells observed within 24 hours (P = .01 and P = .03, respectively; Figure 4A - C and Supplementary Figure 3). By contrast, mogamulizumab did not reduce the frequency of B cells, NK cells, or monocytes after 24 hours (Figure 4D).

# CD8<sup>+</sup>CCR4<sup>+</sup> T Cells From Patients With HAM/TSP Are Numerous and Highly Infected by HTLV-1

CD8<sup>+</sup>CCR4<sup>+</sup> T cells were then further analyzed to assess their role in HAM/TSP and predict the potential benefits and risks of eradicating them with mogamulizumab. Samples from patients with HAM/TSP, compared with those from age-matched healthy donors, contained a higher proportion of CCR4<sup>+</sup> cells among both the CD4<sup>+</sup> T-cell subset (P = .003) and the CD8<sup>+</sup> T-cell subset (P = .003). In addition, the proviral



**Figure 2.** Mogamulizumab and KM2760 inhibit cytokine production in peripheral blood mononuclear cells (PBMCs) from patients with human T-lymphotropic virus type 1—associated myelopathy/tropical spastic paraparesis (HAM/TSP). PBMCs from 11 patients with HAM/TSP were cultured for 7 days without stimuli and without treatment or in the presence of mogamulizumab, KM2760, or prednisolone (PSL). The concentrations of cytokines (interferon  $\gamma$  [IFN- $\gamma$ ], interleukin 6 [IL-6], interleukin 2 [IL-2], tumor necrosis factor  $\alpha$  [TNF- $\alpha$ ], and interleukin 10 [IL-10]) in the supernatants were then measured. *A*, Direct comparison of the concentrations of these cytokines in the supernatants of untreated PBMC cultures. Horizontal bars represent the median values. *B*–*F*, The effects of the treatments on the concentrations of these cytokines. Data are presented as the mean  $\pm$  SD. Statistical analyses were performed using the Friedman test followed by the Dunn test for comparison with PBMCs alone. \*P<.05, \*\*P<.01, and \*\*\*P<.001. Abbreviation: SD, standard deviation.

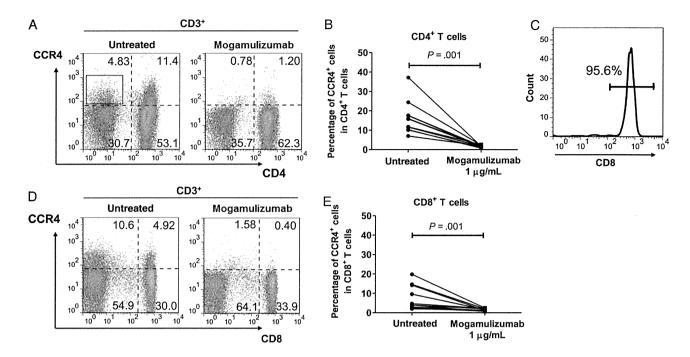
load was significantly higher in CD8<sup>+</sup>CCR4<sup>+</sup> T cells than in CD8<sup>+</sup>CCR4<sup>-</sup> T cells (mean load [ $\pm$ SD], 13.6  $\pm$  7.9 copies/100 cells and 0.72  $\pm$  0.65 copies/100 cells, respectively; P = .0002; Figure 5B).

# CD8<sup>+</sup>CCR4<sup>+</sup> T Cells From Patients With HAM/TSP Possess Proinflammatory Properties

Here we investigated the functional differences between CD8<sup>+</sup>CCR4<sup>+</sup> T cells from patients with HAM/TSP and those from healthy donors. CD8+CCR4+ cells from both groups expressed minimal perforin and granzyme B (Figure 5C and 5D). In the CD8<sup>+</sup>CCR4<sup>-</sup> T-cell subset, the frequency of perforin-expressing cells was unremarkable, but the frequency of granzyme B-expressing cells was higher in patients with HAM/TSP than in healthy donors (P = .04; Figure 5C and 5D). Next, cytokine expression was evaluated in PBMCs stimulated with phorbol 12-myristate 13-acetate and ionomycin in the presence of monensin. Interestingly, samples from patients with HAM/TSP included more IFN- $\gamma$ -producing cells (P = .02; Figure 5*E*) but fewer IL-4–producing cells (P = .01; Figure 5*F*) in the CD8<sup>+</sup>CCR4<sup>+</sup> T-cell subset than did samples from healthy donors. On the other hand, there were no such significant differences among CD8+CCR4- T cells (Figure 5E and 5F). Finally, the concentrations of cytokines in the supernatants of unstimulated cultures of isolated total CD8<sup>+</sup>, CD8<sup>+</sup>CCR4<sup>-</sup>, and CD8<sup>+</sup>CCR4<sup>+</sup> T cells were measured to assess spontaneous cytokine production in these cell populations. Spontaneous IFN- $\gamma$  production, like spontaneous proliferation, is a hallmark of PBMCs from patients with HAM/TSP [29, 30]. Unsurprisingly, IFN- $\gamma$  was detected in no cell population from healthy donors. Among samples from patients with HAM/TSP, CD8<sup>+</sup> CCR4<sup>+</sup> T cells produced remarkably more IFN- $\gamma$  than did CD8<sup>+</sup>CCR4<sup>-</sup> cells (mean level [±SD], 364.0 ± 445.3 pg/mL vs 1.9 ± 4.5 pg/mL; P = .001; Figure 5G). IL-4 was not detected in any of the samples (data not shown).

# The Majority of HTLV-1 Tax-Specific Cytotoxic T Lymphocytes Are CCR4<sup>-</sup>

We analyzed CCR4 expression in Tax-specific CTLs to determine whether CTLs against HTLV-1 also become targets of mogamulizumab. Among the 11 patients studied, 7 had HLA-A\*2402 and were analyzed using the HLA-A\*2402/HTLV-1 Tax301-309 tetramer. The majority of Tax-specific CTLs did not express CCR4, and the percentage of CCR4<sup>+</sup> cells was lower in CTLs than in total CD8<sup>+</sup> T cells (mean frequency [ $\pm$ SD], 2.3%  $\pm$  1.0% and 8.5  $\pm$  4.7%, respectively; P = .02; Figure 6).



**Figure 3.** Mogamulizumab eliminates CCR4<sup>+</sup> cells in both CD4<sup>+</sup> and CD8<sup>+</sup> T cells. *A*, Representative dot plots of fluorescence-activated cell-sorter analysis of CCR4 and CD4 expression in CD3<sup>+</sup> T cells among peripheral blood mononuclear cells from patients with human T-lymphotropic virus type 1– associated myelopathy/tropical spastic paraparesis after 5-day culture in the presence or absence of 1 μg/mL of mogamulizumab. *B*, Percentages of CCR4<sup>+</sup> cells in CD3<sup>+</sup>CD4<sup>+</sup> T cells were compared between the untreated and mogamulizumab groups (n = 11). Statistical analysis was performed using the Wilcoxon signed-rank test. *C*, The population enclosed in the box in panel *A* (the CD3<sup>+</sup>CD4<sup>+</sup>CCR4<sup>+</sup> subset) was gated and analyzed for the expression of CD8. The percentage of CD8<sup>+</sup> cells is shown. *D* and *E*, CCR4 and CD8 expression in CD3<sup>+</sup> T cells was analyzed as described above.

### **DISCUSSION**

In this study, we established mogamulizumab as a novel candidate treatment for HAM/TSP that targets infected cells by marking CCR4<sup>+</sup> T cells for elimination. Mogamulizumab reduced the number of infected cells, as measured via the proviral load, and thus inhibited the excessive immune responses such as spontaneous proliferation and proinflammatory cytokine production that are attributed to those infected cells (Figures 1 and 2). Effects of mogamulizumab-induced ADCC activity were detectable by FACS after as little as 6 hours of culturing (Figure 4*A*–*C*).

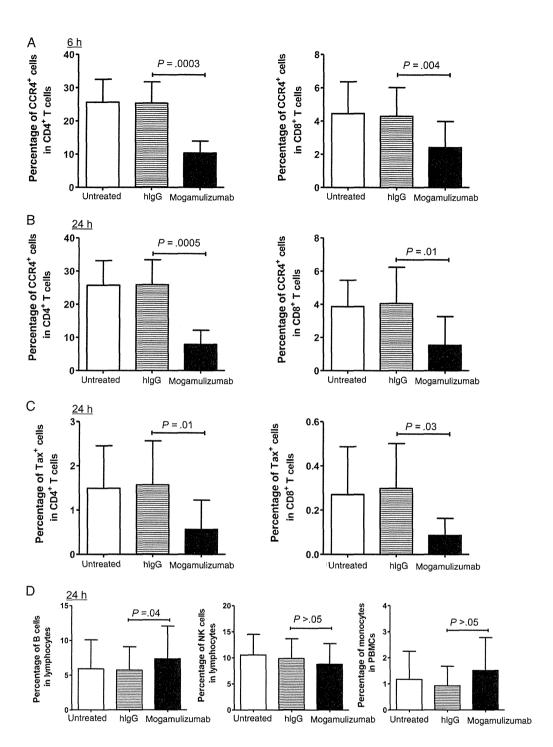
The remaining proviral load after mogamulizumab therapy was higher than expected (mean load [ $\pm$ SD], 3.25  $\pm$  2.58 copies/100 cells; Figure 1*A*). CD4<sup>+</sup>CCR4<sup>-</sup> T cells [18] and CD8<sup>+</sup>CCR4<sup>-</sup> T cells (Figure 5*B*) from patients with HAM/TSP were predominantly uninfected, and the antibody therapy should have destroyed the vast majority of the infected CCR4<sup>+</sup> T cells (Figure 3), yielding an expected proviral load of <1.0 copy/100 cells. It is possible that some CCR4<sup>-</sup> T cells became infected while the samples were being cultured, which is a potential limitation of such in vitro experiments.

The inhibitory effects of mogamulizumab on PHA-stimulated proliferation in PBMCs from healthy donors were statistically

significant but still minimal, compared with those of prednisolone (Figure 1*D*), indicating that mogamulizumab, in contrast to immunosuppressive agents, acts via specific reduction of infected cells rather than via nonspecific immune suppression. Interestingly, prednisolone was considerably less effective at suppressing the proliferation of T cells from patients with HAM/TSP (Figure 1*B*) than from healthy donors. Although we cannot be sure of the reasons behind this discrimination, it appears that spontaneous proliferation is less vulnerable to suppression by steroids since it is not a simple T-cell response to antigens [31, 32]. Nevertheless, compared with mogamulizumab alone, the combination with prednisolone enhanced the suppressive effect of mogamulizumab on spontaneous proliferation without hampering proviral load reduction (Supplementary Figure 2).

Mogamulizumab also reduced the proviral load in PBMCs from asymptomatic carriers (Figure 1*C*), which suggests that it can be administered as a preventive treatment to asymptomatic carriers with high proviral loads who are at risk for developing HAM/TSP or ATL. It is well established that high proviral load is associated with the onset and progression of HAM/TSP [7, 33], as well as with the development of ATL [34].

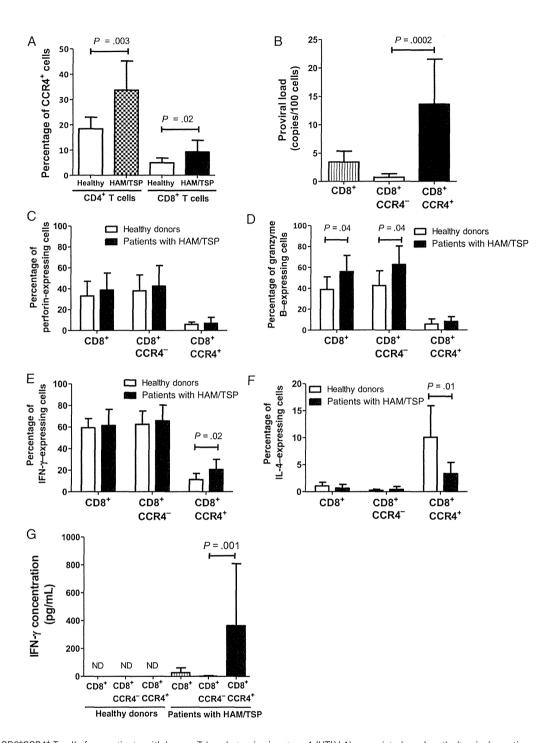
It was well known that although the main reservoir for HTLV-1 is CD4<sup>+</sup> T cells, the virus also infects CD8<sup>+</sup> T cells in patients



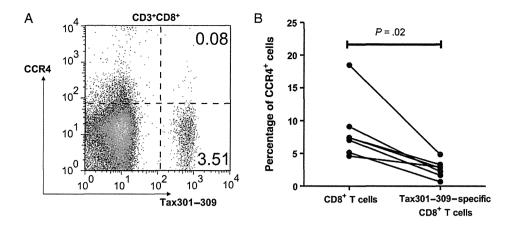
**Figure 4.** The antibody-dependent cellular cytotoxicity activity of mogamulizumab is fast acting and specific. Peripheral blood mononuclear cells (PBMCs) from 6 patients with human T-lymphotropic virus type—associated myelopathy/tropical spastic paraparesis were cultured in the presence of 1 μg/mL of mogamulizumab or human immunoglobulin G (hlgG) or without treatment. CD4<sup>+</sup> and CD8<sup>+</sup> T cells were analyzed using fluorescence-activated cell-sorter analysis, and the frequencies of CCR4<sup>+</sup> cells after 6 hours (A) and 24 hours (B), as well as that of Tax<sup>+</sup> cells (C) after 24 hours, are shown here. The frequencies of CD19<sup>+</sup> B cells and CD3<sup>-</sup>CD56<sup>+</sup> natural killer (NK) cells among lymphocytes, as well as CD14<sup>+</sup> monocytes among PBMCs after 24 hours are also shown (D). Data are presented as the mean ± SD. The paired t test was used to compare the effects of mogamulizumab and hlgG. Abbreviation: SD, standard deviation.

with HAM/TSP [19]. Here we revealed for the first time that the overwhelming majority of infected CD8<sup>+</sup> T cells also expressed CCR4 (Figure 5B). Our findings in this study suggest that it is

important to eliminate both CD4<sup>+</sup>CCR4<sup>+</sup> and CD8<sup>+</sup>CCR4<sup>+</sup> T-cell subsets because both have elevated proviral loads and a tendency to develop proinflammatory traits (Figure 5).



**Figure 5.** CD8<sup>+</sup>CCR4<sup>+</sup> T cells from patients with human T-lymphotropic virus type 1 (HTLV-1)—associated myelopathy/tropical spastic paraparesis (HAM/TSP) are numerous, highly HTLV-1 infected, and proinflammatory. *A*, Proportions of CCR4<sup>+</sup> cells among CD4<sup>+</sup> and CD8<sup>+</sup> T cells in 8 healthy donors and 11 patients with HAM/TSP were analyzed by fluorescence-activated cell-sorter (FACS) analysis. *B*, The HTLV-1 proviral load in total CD3<sup>+</sup>CD8<sup>+</sup>CR9<sup>+</sup> T-cell subsets. CD8<sup>+</sup> T cells from 11 patients with HAM/TSP were isolated using negative separation with magnetic beads, and then CD3<sup>+</sup>CD8<sup>+</sup>, CD3<sup>+</sup>CD8<sup>+</sup>CCR4<sup>+</sup> and CD3<sup>+</sup>CD8<sup>+</sup>CCR4<sup>+</sup> T cells were separated with FACS analysis. Proviral loads in each subset were measured using real-time polymerase chain reaction. *C*–*F*, Peripheral blood mononuclear cells (PBMCs) from 8 healthy donors and 11 patients with HAM/TSP were stained for CD8 and CCR4, as well as intracellular perforin or granzyme B, and analyzed using FACS analysis. PBMCs from the same individuals were stimulated with phorbol 12-myristate 13-acetate (50 ng/mL) and ionomycin (1 μg/mL) in the presence of monensin for 6 hours. The cells were then analyzed for CD8, CCR4, and intracellular interferon γ (IFN-γ) or interleukin 4 (IL-4) expressions. The percentages of perforin-expressing (*C*), granzyme B—expressing (*D*), IFN-γ—expressing (*E*), and IL-4—expressing (*F*) cells in total CD8<sup>+</sup>, CD8<sup>+</sup>CCR4<sup>-</sup>, and CD8<sup>+</sup>CCR4<sup>+</sup> T-cell subsets from healthy donors versus patients with HAM/TSP are shown. *P* values are indicated only when <.05. *G*, CD3<sup>+</sup>CD8<sup>+</sup>, CD3<sup>+</sup>CD8<sup>+</sup>CCR4<sup>-</sup>, and CD3<sup>+</sup>CD8<sup>+</sup>CCR4<sup>+</sup> T cells were isolated from 6 healthy donors and 11 patients with HAM/TSP as described above. These cells (3 × 10<sup>4</sup> cells/well) were cultured for 3 days without stimuli, and the concentration of IFN-γ in the supernatants was measured. Statistical analysis was performed using the Mann—Whitney *U*-test (*A* and *C*-*F*) or the Wilcoxon signed-rank test (*B* and *G*). Data are presented as the mean ± SD. Abb



**Figure 6.** CD8+CCR4+T cells from patients with human T-lymphotropic virus type 1 (HTLV-1)—associated myelopathy/tropical spastic paraparesis (HAM/TSP) do not include many Tax-specific cytotoxic T lymphocytes (CTLs). *A*, A representative dot plot of fluorescence-activated cell-sorter (FACS) analysis of the expression of CCR4 in HTLV-1 Tax-specific CTLs. Peripheral blood mononuclear cells from a patient with HAM/TSP and HLA-A\*2402 were stained with antibodies for CD3, CD8, CCR4, and HLA-A\*2402-restricted Tax301-309—specific tetramer. The CD3+CD8+ subset was gated. The values in the upper and lower right quadrants indicate the percentages of CCR4+ and CCR4-Tax-specific CTLs among CD3+CD8+T cells, respectively. *B*, Proportions of CCR4+ cells among total CD8+T cells and Tax301-309—specific CD8+T cells are compared (n = 7). Statistical analysis was performed using the Wilcoxon signed-rank test.

CD8<sup>+</sup>CCR4<sup>+</sup> T cells normally produce IL-4 more often than IFN- $\gamma$  and hardly produce any cytotoxic granules [35, 36]; these cells are thought to be protective against type 1-skewed inflammation [21, 37]. In patients with HAM/TSP, these CD8<sup>+</sup>CCR4<sup>+</sup> but not CD8<sup>+</sup>CCR4<sup>-</sup> T cells are altered to produce IFN- $\gamma$  rather than IL-4 (Figure 5*E* and 5*F*). CD8<sup>+</sup>CCR4<sup>+</sup> T cells cultured alone exhibited spontaneous IFN- $\gamma$  production (Figure 5*G*), a hallmark of PBMCs from patients with HAM/TSP [29, 30]. These results suggest that abnormal cells contributing to the pathogenesis of HAM/TSP exist not only among CD4<sup>+</sup>CCR4<sup>+</sup> T cells but also among CD8<sup>+</sup>CCR4<sup>+</sup> T cells. It is thought that the functional abnormalities of these cells may arise through transformations occurring within the infected cells themselves, whereby HTLV-1 Tax induces transcriptional alterations via T box transcription factor [18].

In the present study, HTLV-1 infection did not influence cytotoxic granule production in CD8<sup>+</sup>CCR4<sup>+</sup> T cells (Figure 5C and 5D). The slightly increased fraction of granzyme B<sup>+</sup> cells in CD8<sup>+</sup>CCR4<sup>-</sup> T cells from patients with HAM/TSP is presumably attributable to the immune activation resulting from the chronic viral infection [38–40].

Although eliminating the abnormal immune responses of the infected cells should alleviate inflammation and related symptoms of the infection, it is also true that immune responses against HTLV-1 are important for controlling said infection [41]. We evaluated CCR4 expression in HTLV-1 Tax-specific CTLs for fear that use of mogamulizumab might inadvertently destroy CTLs that would have helped to control the infection [42, 43]. Since Tax-specific CTLs have been reported to be preferentially infected by HTLV-1 [44], there was some concern that our finding that infected CD8<sup>+</sup> T cells are predominantly CCR4<sup>+</sup> meant that these CTLs would also be targeted by

mogamulizumab. However, we found that the majority of Tax-specific CTLs do not express CCR4 (Figure 6), meaning that they should essentially be spared during mogamulizumab treatment.

Also concerning is that mogamulizumab is expected to target CD4<sup>+</sup>CCR4<sup>+</sup> regulatory T (Treg) cells [45], which could elicit autoimmune problems and even exacerbate the chronic inflammation plaguing patients with HAM/TSP. However, there are also CCR4<sup>-</sup> Treg cells [45], which would be spared, and there have been no reports of increased incidence of autoimmune disease in patients with ATL treated with mogamulizumab. Furthermore, reducing the number of Treg cells may benefit patients with HAM/TSP by preventing abundant Treg cells from dampening immune control of the HTLV-1 infection [28, 46].

We expect that eliminating HTLV-1-infected cells in the peripheral blood with mogamulizumab would reduce the number of proinflammatory cells and mitigate the inflammation in the CNS. Although HAM/TSP is a disease of the CNS, recent reports suggest that it is indeed effective to target HTLV-1-infected cells in the peripheral blood because continued migration of infected cells from the peripheral blood maintains and even exacerbates the inflammation in the CNS [30].

Based on the results of this study, we have begun conducting a clinical trial to test the efficacy of mogamulizumab on patients with HAM/TSP (UMIN000012655). Our data suggest that as little as one thousandth of the dose administered to patients with ATL (1 mg/kg body weight [13]) may be effective for patients with HAM/TSP. In contrast to patients with an aggressive cancer such as ATL, those with a chronic inflammatory disorder like HAM/TSP would benefit from a more conservative approach that is safer but still effective.

In conclusion, we have demonstrated that mogamulizumab shows promise as a novel treatment for HAM/TSP. Our results indicate that CD8<sup>+</sup>CCR4<sup>+</sup> T cells and CD4<sup>+</sup>CCR4<sup>+</sup> T cells are key therapeutic targets and, thus, that the CCR4-targeting therapy mogamulizumab can be expected to effectively ameliorate chronic inflammation in patients with HAM/TSP. The lack of success with classic antiviral therapies [8, 9] suggests that blocking viral replication is ineffective against HTLV-1, which mainly spreads by cell division [11, 12]. Targeting the infected cells themselves on the basis of their characteristic markers may be the key to combating this tricky virus. If successful, mogamulizumab would become the first treatment for a chronic viral infection that effectively targets infected cells.

#### Supplementary Data

Supplementary materials are available at *The Journal of Infectious Diseases* online (http://jid.oxfordjournals.org). Supplementary materials consist of data provided by the author that are published to benefit the reader. The posted materials are not copyedited. The contents of all supplementary data are the sole responsibility of the authors. Questions or messages regarding errors should be addressed to the author.

#### Notes

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J. Y. performed most of the experiments, performed data analysis, created the figures, and wrote the manuscript. A. C. R. performed data interpretation and wrote the manuscript. T. S., N. A., N. Y., H. A., Y. K., and K. T. performed data analysis and interpretation. Y. T., Y. S., K. N., T. N., Y. H., A. U., and K. K. reviewed and edited the manuscript. Y. Y. developed the project, performed data analysis, and wrote the manuscript. All authors approved the final manuscript.

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**Potential conflicts of interest.** Y. Y. has 1 established patent and another pending for the use of anti-CCR4 antibodies as a treatment for HAM/TSP. All other authors report no potential conflicts.

All authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed.

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RESEARCH ARTICLE

# A plasma diagnostic model of human T-cell leukemia virus-1 associated myelopathy

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#### **Abstract**

Objective: Human T-cell leukemia virus-1 (HTLV-1) associated myelopathy/ tropic spastic paraparesis (HAM/TSP) is induced by chronic inflammation in spinal cord due to HTLV-1 infection. Cerebrospinal fluid (CSF) neopterin or proviral load are clinically measured as disease grading biomarkers, however, they are not exactly specific to HAM/TSP. Therefore, we aimed to identify HAM/TSP-specific biomarker molecules and establish a novel less-invasive plasma diagnostic model for HAM/TSP. Methods: Proteome-wide quantitative profiling of CSFs from six asymptomatic HTLV-1 carriers (AC) and 51 HAM/ TSP patients was performed. Fourteen severity grade biomarker proteins were further examined plasma enzyme-linked immunosorbent assay (ELISA) assays (n = 71). Finally, we constructed three-factor logistic regression model and evaluated the diagnostic power using 105 plasma samples. Results: Quantitative analysis for 1871 nonredundant CSF proteins identified from 57 individuals defined 14 CSF proteins showing significant correlation with Osame's motor disability score (OMDS). Subsequent ELISA experiments using 71 plasma specimens confirmed secreted protein acidic and rich in cysteine (SPARC) and vascular cell adhesion molecule-1 (VCAM-1) demonstrated the same correlations in plasma (R = -0.373 and R = 0.431, respectively). In this training set, we constructed a HAM/TSP diagnostic model using SPARC, VCAM1, and viral load. Sensitivity and specificity to diagnose HAM/TSP patients from AC (AC vs. OMDS 1-11) were 85.3% and 81.1%, respectively. Importantly, this model could be also useful for determination of therapeutic intervention point (OMDS 1-3 + AC vs. OMDS 4-11), exhibiting 80.0% sensitivity and 82.9% specificity. Interpretation: We propose a novel less-invasive diagnostic model for early detection and clinical stratification of HAM/TSP.

### Introduction

The RNA retrovirus human T-cell leukemia virus-1 (HTLV-1) is endemic in Japan, Caribbean basin, Iran, Africa, South America, and the Melanesian islands. Number of infected individuals is currently estimated at around 30 million worldwide, in which 5% of virus carriers develop HTLV-1 associated myelopathy/tropic spastic paraparesis (HAM/TSP) or adult T-cell leukemia (ATL) after asymptomatic phase of typically over 30 years. Inflammation of spinal cord is a principal symptom of HAM/TSP patients, causing progressive scelotyrbe,

gait impairment, or urination disorder.<sup>3</sup> However, no curative therapy for HAM/TSP has been developed except for anti-inflammatory treatments by INF-α or steroids,<sup>4</sup> whereas excessive or long-term use of these drugs can increase the risk of adverse events.<sup>5,6</sup> Hence, the treatment regimens should be carefully managed based on a thorough assessment of disease stage and activity. As for the severity grading of HAM/TSP, Osame's motor disability score (OMDS) is widely used to define disease stages and estimate the rate of disease progression.<sup>7</sup> Although this scale is helpful to evaluate consequential impairment of motor functions, development of molecular-based

diagnostics has been a major challenge for early detection and adequate therapeutic intervention of HAM/TSP.

To identify HAM/TSP-specific biomarkers, a variety of genomic or proteomic analyses were performed for infected T cells and plasma samples, 8-10 however, comprehensive investigation for cerebrospinal fluid (CSF) has not been launched in spite of the most fundamental site of HAM/TSP lesion. Therefore, we intended to acquire the first proteome-wide view of CSFs reflecting HAM/TSP-associated alteration of spinal cord microenvironment. Following the statistical identification of severity grade biomarkers from CSFs, we attempted to construct a HAM/TSP diagnostic model using less-invasive plasma specimens.

# **Subjects and Methods**

### **Participants**

CSF specimens (from 51 HAM/TSP patients and six asymptomatic carriers [ACs]) and plasma specimens (from 50 HAM/TSP patients and 55 ACs) were collected in St. Marianna University School of Medicine and kept frozen at  $-80^{\circ}$ C until just before use. The research procedure was explained and written informed consent was obtained from all the patients. This study was approved by the Ethical Committee of the University of Tokyo (approval code 14-1) and the Ethical Committee of St. Marianna University School of Medicine.

### LC/MS/MS analysis

The 20  $\mu$ L each of CSFs was lyophilized and dissolved in 8 mol/L Urea (GE Healthcare, Buckinghamshire, UK) in 50 mmol/L ammonium bicarbonate (Sigma-Aldrich, St. Louis, MO). After reduction with 5 mmol/L tris(2-carboxyethyl)phosphine (Sigma-Aldrich) at 37°C for 30 min, proteins were alkylated with 25 mmol/L Iodoacetamide (Sigma-Aldrich) at ambient temperature for 45 min. Following fourfold dilution with 50 mmol/L ammonium bicarbonate, proteins were digested with immobilized trypsin (Thermo Scientific, Bremen, Germany) at 37°C for 6 h. Digested samples were then desalted by Oasis HLB µElution plate (Waters, Milford, MA) and analyzed by liquid chromatography - tandem mass spectrometry (LC/MS/MS). The peptides were separated on Ultimate 3000 RSLC nano-HPLC system (Thermo Scientific) equipped with  $0.075 \times 150 \text{ mm } C_{18} \text{ tip-column}$  (Nikkyo Technos, Tokyo, Japan) using two-step linear gradient comprising 2-35% acetonitrile for 95 min and 35-95% acetonitrile for 15 min in 0.1% formic acid at the flow rate of 250 nL/min. The eluates were analyzed with LTQ Orbitrap Velos mass spectrometer (Thermo Scientific). Spectra were collected using full MS scan mode over the mass-to-charge (m/z) range 400–1600. MS/MS was performed on the top 20 ions in each MS scan using the data-dependent acquisition mode with dynamic exclusion enabled.

# 2D-LC/MS/MS analysis

CSF tryptic digests were resolved in 10 mmol/L ammonium formate (Sigma-Aldrich) in 25% acetonitrile and fractionated with  $0.2 \times 250$  mm strong cation exchange monolith column (GL Science, Tokyo, Japan). The samples were eluted with the gradient from 10 mmol/L to 1 mol/L of ammonium formate in curve = 3 mode within 70 min using Prominence HPLC system (Shimadzu Corporation, Kyoto, Japan). The eluate was separated into 11 fractions and analyzed by LC/MS/MS.

#### Protein/peptide identification

MS/MS spectra were searched against SwissProt database version 2012\_06 (20,232 human protein sequences) using SEQUEST algorithm on ProteomeDiscoverer 1.3 software (Thermo Scientific). Proteins satisfying the false discovery rate (FDR) <1% by Peptide Validator FDR estimation algorithm on ProteomeDiscoverer was accepted. Gene ontology (GO) term analysis was performed using DAVID Bioinformatics Resources 6.7 (http://david.abcc.ncifcrf.gov/).

# Label-free quantification analysis

The LC/MS/MS data from 57 CSF samples were imported on the Expressionist server (Genedata AG, Basel, Swiss) and processed along the workflow shown in Figure S1. The four-step Chromatogram Chemical Noise Subtraction was performed, composed of (1) RT structure removal = true, minimum RT length = 2 scans, (2) m/z structure removal = true, minimum m/z length = 6 scans, (3) RT window = 501 scans, quantile subtraction = 90%, and (4) RT structural removal = true, minimum RT length = 2 scans. Data points with intensity <500 were clipped to zero. Again, Chromatogram Chemical Noise Subtraction was performed using chromatogram smoothing = true, RT windows = 5 scans, and estimator = Moving average. After applying Chromatogram Grid with a distance of scan counts = 10, RT variety among 57 samples was normalized by Chromatogram RT Alignment: m/z windows = 11 points, RT windows = 11 scans, gap penalty = 1, RT search interval = 2 min, alignment scheme = pairwise alignment based tree. Peaks were detected by Chromatogram Summed Peak Detection: summation window = 20 scans, overlap = 10, minimum peak size = 6 scans, maximum merge distance = 1 point, peak RT splitting = true, intensity profiling = maximum, gap/peak ratio = 5%, refinement threshold = 80, consistency threshold = 1. The detected peaks were grouped in isotopic clusters using Chromatogram Isotopic Peak Clustering: minimum charge = 1, maximum charge = 10, maximum missing peaks = 0, first allowed gap position = 10, RT window = 0.02 min, *m/z* tolerance = 5 ppm, isotope shape tolerance = 10, minimum cluster size ration = 0.5.

### **Cytometric bead array**

Concentration of C-X-C motif chemokine 10 (CXCL10) in CSF was determined by cytometric bead array (CBA) (BD Biosciences, San Jose, CA) according to the manufacturer's instructions.

### **Enzyme-linked immunosorbent assay**

Concentrations of secreted protein acidic and rich in cysteine (SPARC) (R&D Systems, Minneapolis, MN) and vascular cell adhesion molecule-1 (VCAM1) (Abcam, Cambridge, MA) in 105 plasma samples were measured with commercial enzyme-linked immunosorbent assay (ELISA) kit following the manufacturer's instructions. A multivariate logistic regression was applied to construct a new diagnostic model for HAM/TSP utilizing three factors, SPARC, VCAM1, and viral load, as described previously.<sup>11</sup>

# Result

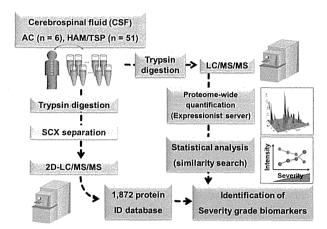
# Quantitative proteome profiling of CSFs from HAM/TSP patients

CSFs from 6 ACs and 51 HAM/TSP patients (Table 1) were processed according to the schematic workflow of this study (Fig. 1). Nonredundant 68,077 peptides from 57 individuals were detected and quantified on the Expressionist proteome server system, meanwhile 14,451

Table 1. Clinical characteristics of the CSF specimens.

Group	Ν	Age (±SD)	Gender (M/F)	
AC	6	55.7 (±15.8)	4/2	
HAM1_3	7	57.9 (±14.2)	3/4	
HAM4_6	35	59.3 (±11.0)	11/24	
HAM7_11	9	61.6 (±8.0)	1/8	
Total	57	59.1 (±11.7)	19/38	

CSF, cerebrospinal fluid; AC, asymptomatic carriers; HAM1\_3, HAM/TSP patients whose Osame's motor disability score from 1 to 3; HAM4\_6, HAM/TSP patients whose Osame's motor disability score from 4 to 6; HAM7\_11, HAM/TSP patients whose Osame's motor disability score from 7 to 11.



**Figure 1.** Schematic overview of severity grade marker screening. Cerebrospinal fluids (CSFs) from six asymptomatic carriers (ACs) and 51 HAM/TSP patients were analyzed by LC/MS/MS. Candidate peptides whose intensities had correlation with severity grades were isolated according to Pearson product-moment correlation coefficient. Candidate peptides were identified using Protein/Peptide identification database established by 2D-LC/MS/MS. HAM/TSP, human T-cell leukemia virus-1 associated myelopathy/tropic spastic paraparesis.

CSF peptides (1871 proteins) were identified by parallel 2D-LC/MS/MS analysis. To evaluate quantitative reliability of our LC/MS-based proteome profiling, observed relative concentrations of CXCL10 (Interferon gamma Inducible protein 10, CXCL10) were compared to clinical data which were measured by CBA (Fig. 2A). The result showed strong correlation ( $R^2 = 0.911$ ) between two measurements, indicating that our LC/MS-based quantification results were highly credible even in the low concentration range (1–20 ng/mL).

Next, to interpret proteome-wide alterations in CSF environment of HAM/TSP patients, 1345 or 1750 proteins identified from AC or HAM/TSP patients group, respectively (Fig. 2B), were classified according to cellular component (CC, Fig. 2C and D) or biological process (BP, Fig. 2E and F) using DAVID Functional Annotation Tool. The CC analysis revealed that proteins expressed in cell projection and plasma membrane were enriched in CSF of HAM/TSP patients, in addition to specific enrichment of viral proteins. This may reflect increased invasive activity of HTLV-1-infected cells into spinal cord, which is often observed in HAM/TSP patients. Further BP analysis indicated that proteins involved in cell adhesion, cell motion, cell migration, cytoskeleton, and cell structure disassembly were highly enriched in CSF of HAM/TSP patients. These features also denoted proteome-wide environmental change in spinal cord, inducing active migration and/or invasion of lymphocytes. Proteins related to cell death and cell growth might associate with spinal inflammation in HAM/TSP patients.

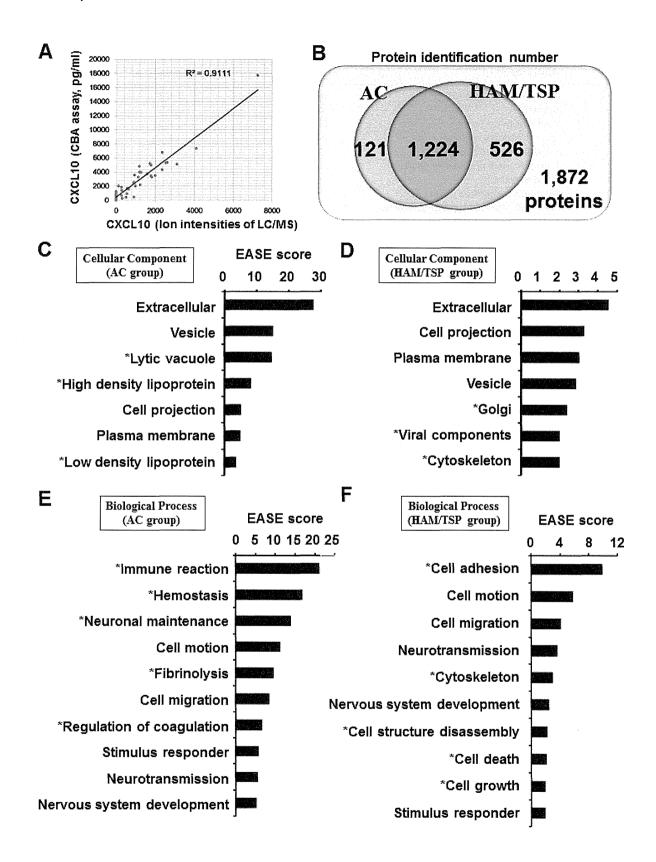


Table 2. List of 16 severity grade markers for HAM/TSP.

UniProt accession	Protein name	Amino acid numbers of identified peptide	Pearson's correlation coefficient ( <i>R</i> )	<i>P</i> -value
Q9NZK5	Adenosine deaminase CECR1	247–258	0.478	5.15E-04
Q12860	Contactin-1	634–647	-0.425	9.94E-04
Q14118	Dystroglycan	222–232	-0.463	2.89E-04
Q8N2S1	Latent-transforming growth factor beta-binding protein 4	310–323	-0.463	2.90E-04
Q9Y5Y7	Lymphatic vessel endothelial hyaluronic acid receptor 1	8–18	-0.499	9.15E-05
Q16653	Myelin-oligodendrocyte glycoprotein	14–25	-0.444	5.40E-04
Q9UJJ9	N-acetylglucosamine-1-phosphotransferase subunit gamma	47–56	-0.442	5.72E-04
P13591	Neural cell adhesion molecule 1	586-597	-0.459	3.31E-04
P36955	Pigment epithelium-derived factor	133–141	-0.454	3.83E-04
Q9UHG2	ProSAAS	14–24	-0.444	5.42E-04
P09486	Secreted protein acidic and rich in cysteine	124–133	-0.523	3.01E-05
P09486	Secreted protein acidic and rich in cysteine	156–164	-0.477	1.75E-04
P09486	Secreted protein acidic and rich in cysteine	252–262	-0.457	3.55E-04
Q92563	Testican-2	139–148	-0.424	1.03E-03
Q06418	Tyrosine-protein kinase receptor TYRO3	279–290	-0.438	1.04E-03
P19320	Vascular cell adhesion protein 1	581–590	0.430	9.35E-04

HAM/TSP, human T-cell leukemia virus-1 associated myelopathy/tropic spastic paraparesis.

# Statistical analysis for screening severity grade marker candidates

To extract biomarker proteins showing stoichiometric increase/decrease in accordance with progression of HAM/TSP, numerical classes (0, 1, 2, and 3) were given to four clinically relevant severity groups (AC, HAM/TSP OMDS 1-3, 4-6, and 7-11, respectively) (see Table S1). Then quantitative correlation between severity classes and 68,077 peptide intensities was ranked with Pearson's correlation analysis. Peptides with the lowest 100 P-values (Table S2) were next subjected to protein identification analysis by 2D-LC/MS/MS, resulting in successful identification of 14 proteins derived from 16 peptides (Table 2). In addition to Pearson's correlation coefficients and Pvalues in Table 2, LC/MS-based quantitative profiles of 16 peptides were illustrated with box plots (Fig. 3). Compared to a traditional severity grade marker neopterin (R = 0.4105, P = 1.12E-03), any of identified proteins showed better potential to be utilized as CSF disease state biomarkers.

# SPARC and VCAM-1 as HAM/TSP severity grade markers in plasma

To further narrow down the biomarker candidates and establish plasma-based less-invasive diagnostics, we examined plasma levels of the 14 proteins by ELISA assays measuring 71 training cases (Table 3). The results revealed that a couple of proteins, SPARC and VCAM1, showed the same correlation in plasma with CSF levels (|R| > 0.4 and P < 0.05) (Fig. 4A and B). Therefore, we attempted construction of the combination biomarker diagnostics using newly identified two proteins and HTLV-1 viral load, all of which are measurable from small volume of blood samples. In order to halt the progression of HAM/TSP and maintain better quality of life for patients, both early diagnosis of HAM/TSP onset and therapeutic intervention at appropriate time point are essential. On the basis of these clinical requirements, we made two logistic regression models which maximized area under the curve (AUC) of ROC curves comparing ACs with HAM/TSP patients (onset predictor; (1)) or

**Figure 2.** Summary of CSF proteome. (A) Evaluation of LC/MS-based quantification analysis. The relative concentrations of CXCL10 cytokine calculated by mass spectrometric analysis were compared to independent measurements by cytometric bead array (CBA). (B) Venn diagram of identified proteins in 57 CSF analyses. The Gene Ontology (GO) analysis using DAVID Bioinformatics Resources displayed enriched cellular components of CSF proteins in ACs (C) or HAM/TSP patients (D). The enriched biological functions of CSF proteins in ACs (E) or HAM/TSP patients (F) were also shown. Expression Analysis Systematic Explorer (EASE) enrichment scores were shown. CSF, cerebrospinal fluid; CXCL10, C-X-C motif chemokine 10; ACs, asymptomatic carriers; HAM/TSP, human T-cell leukemia virus-1 associated myelopathy/tropic spastic paraparesis.