

Figure 1. Gene expression profiles of the samples. (A) Box plot showing overall RPKM expression values for the ONC and control samples. (B) Volcano plot showing differentially expressed genes after axonal injury. For each plot, the X-axis represents  $\log_2 FC$  and the Y-axis represents  $-\log_{10} (P-values)$ . DEGs are shown as red dots. (C) Hierarchical clustering of DEGs after ONC. Red indicates increased expression and green indicates decreased expression. DEGs were defined as having absolute FC > 1.5 and a FDR < 0.1. doi:10.1371/journal.pone.0093258.g001

Table 1. Top 10 upregulated and downregulated genes after ONC.

Symbol	Description	Gene accession	Fold change	<i>P</i> -value	FDR
Upregulated			P. P. L.		
Sprr1a	Small proline-rich protein 1A	NM_009264	23.81	5.46E-05	0.026
Mmp12	Matrix metallopeptidase 12	NM_008605	17.82	2.80E-04	0.045
Ecel1	Endothelin converting enzyme-like 1	NM_021306	15.96	1.08E-03	0.054
Chac1	ChaC, cation transport regulator-like 1 (E. coli)	NM_026929	6.61	1.44E-05	0.022
Sox11	SRY-box containing gene 11	NM_009234	5.92	2.98E-04	0.045
Atf3	Activating transcription factor 3	NM_007498	5.34	7.10E-05	0.028
Lgals3	Lectin, galactose binding, soluble 3	NM_001145953	4.23	3.66E-03	0.071
Phgdh	3-phosphoglycerate dehydrogenase	NM_016966	4.09	3.36E-04	0.045
Cdkn1a	Cyclin-dependent kinase inhibitor 1A (P21)	NM_007669	4.03	6.92E-04	0.051
Tnfrsf12a	Tumor necrosis factor receptor superfamily, member 12a	NM_013749	3.98	4.84E-04	0.048
Downregulated					
Gm6747	Predicted gene 6747	XM_003945591	-3.53	2.17E-03	0.061
lrx2	Iroquois related homeobox 2 (Drosophila)	NM_010574	-2.77	3.69E-04	0.045
Gm7244	Predicted gene 7244	NG_019018	-2.57	3.35E-03	0.069
Rasgrp2	RAS, guanyl releasing protein 2	NM_011242	-2.57	2.13E-04	0.043
Тррр3	Tubulin polymerization-promoting protein family member 3 NM_0264		-2.55	2.27E-05	0.022
Kcnd2	Potassium voltage-gated channel, Shal-related family, memberNM_019697 2		-2.47	6.78E-03	0.081
Opn3	Opsin 3	NM_010098	-2.44	3.63E-03	0.071
Ctxn3	Cortexin 3	NM_001134697	-2.42	3.62E-04	0.045
Pou4f2	POU domain, class 4, transcription factor 2	NM_138944	-2.40	7.99E-03	0.085
Pvalb	Parvalbumin NM 013645		-2.37	5.66E-04	0.048

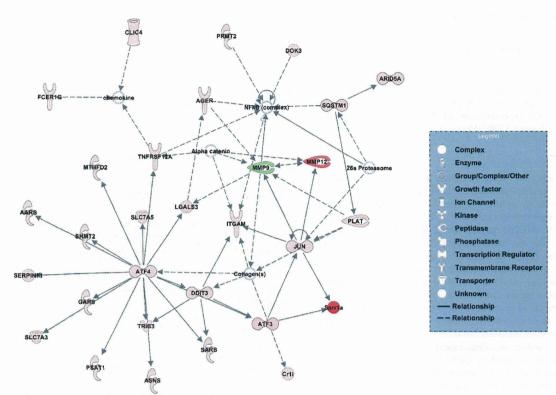
Differences were considered significant when FDR was < 0.1 and |FC| was > 1.5. doi:10.1371/journal.pone.0093258.t001

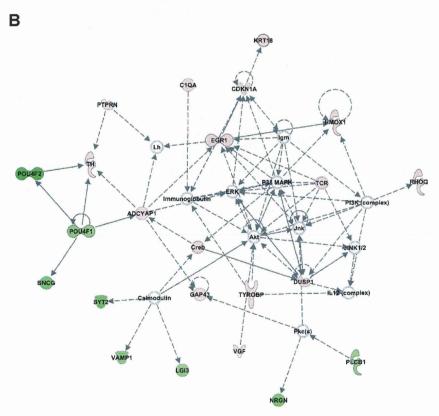
Table 2. Expression changes in genes associated with RGCs, axon regeneration and ER stress after ONC.

Symbol	Description	Gene accession	Fold change	<i>P</i> -value	FDR
RGC					
Nefh	Neurofilament, heavy polypeptide	NM_010904	-2.24	3.11E-04	0.045
Pou4f1	POU domain, class 4, transcription factor 1	NM_011143	-1.54	5.41E-03	0.077
Pou4f2	POU domain, class 4, transcription factor 2	NM_138944	-2.40	7.99E-03	0.085
Pou4f3	POU domain, class 4, transcription factor 3	NM_138945	1.04	NS	NS
Rbpms	RNA binding protein gene with multiple splicing	NM_019733	-1.62	1.43E-03	0.056
Sncg	Synuclein, gamma	NM_011430	-1.77	1.27E-04	0.032
Thy1	Thymus cell antigen 1, theta	NM_009382	-1.07	NS	NS
Axon regeneration					
Gap43	Growth associated protein 43	NM_008083	1.53	4.44E-03	0.073
Sprr1a	Small proline-rich protein 1A	NM_009264	23.81	5.46E-05	0.026
ER stress					
Atf3	Activating transcription factor 3	NM_007498	5.34	7.10E-05	0.028
Atf4	Activating transcription factor 4	NM_009716	1.61	5.65E-04	0.048
Atf5	Activating transcription factor 5	NM_030693	2.24	2.27E-03	0.062
Chac1	ChaC, cation transport regulator-like 1 (E. coli)	NM_026929	6.61	1.44E-05	0.022
Ddit3	DNA-damage inducible transcript 3	NM_007837	2.15	1.51E-03	0.056
Egr1	Early growth response 1	NM_007913	2.25	7.25E-04	0.051
Trib3	Tribbles homolog 3 (Drosophila)	NM_175093	2.89	2.98E-03	0.067

Differences were considered significant when FDR was < 0.1 and |FC| was > 1.5. NS = not significant. doi:10.1371/journal.pone.0093258:t002







**Figure 2. Network analysis of the effect of ONC on gene expression.** These post-ONC significance networks were generated by IPA. The 2 most significant networks are shown. (A) Network 1 was associated with the "Cell Death and Survival", "Cancer" and "Cell Morphology" pathways. (B) Network 2 was associated with the "Neurological Disease", "Nervous System Development and Function" and "Tissue Morphology" pathways. Red indicates upregulated genes, green indicates downregulated genes, and white indicates genes that were not annotated in this RNA-seq result but that formed part of the network. doi:10.1371/journal.pone.0093258.g002

transcript 3 (DDIT3) were also determined to be upstream regulators activated after ONC. Data for ATF4, TP53, NFE2L2, DDIT3 and the target genes from the dataset were merged to create a graphical representation of the network of molecular relationships following ONC (Figure 3).

### Discussion

In this study, we used RNA-seq to examine the global transcriptome profile early after axonal injury, before the onset of significant RGC death. We identified 177 DEGs including previously uninvestigated molecules in ONC. A pathway analysis of these DEGs revealed that the most significant biofunction in axonal injury was the "Cell Death and Survival" pathway. We found that the ATF4-regulated pathway and other sets of ER stress-related genes were significantly upregulated, and that NFE2L2 was also involved in axonal injury, as an upstream regulator. These results point to the critical role that ER stress plays in axonal damage-induced RGC death after ONC. Furthermore, the molecular mechanism of the response to axonal injury also involved antioxidative defense.

This study relied on an animal model of ocular disease in which axonal injury was induced by ONC [10]. Many animal models have been used in recent investigations of novel treatment strategies for glaucoma, such as neuroprotection. Various methods of inducing RGC loss in animals have previously been described, including ONC [10,14,37], optic nerve axotomy [32,38,39], intravitreal administration of N-methyl-d-aspartate [40-42] or Kainic acid [43-45] induction of glutamate excitotoxicity, and tumor necrosis factor-α-induced neuroinflammation [46,47]. In contrast to other models, ONC and optic nerve axotomy induce axonal damage by direct optic nerve injury, which is the main pathogenic component leading to RGC death in glaucoma [14]. In models using glutamate toxicity, RGC death occurs immediately with TUNEL signals detectible within 6 hours after injury [48,49]. On the other hand, in models using neuroinflammation, RGC death takes a few weeks and only a small number of cells are susceptible. Since the number of surviving RGCs did not significantly decrease until 3 days after ONC in mice [10], we were able to examine retinas on the second day after ONC and investigate the transcriptome profile of axonal injury-induced changes before the onset of RGC death. ONC was thus the most appropriate model of glaucoma for our study.

To our knowledge, this is the first report to use RNA-seq analysis to investigate the retinal transcriptome profile early after axonal injury. Although several researchers have conducted microarray analyses of axonal injury [16,50], the molecular mechanisms remain unclear. In contrast to RNA-seq, expression microarrays have a number of limitations (e.g., reliance on existing knowledge about the genome sequence, background noise and lower dynamic range). We therefore performed RNA-seq to generate a global view of the transcriptome after axonal injury.

Microarray analysis of rodent RGCs isolated with fluorescence-assisted cell sorting (FACS) has already been reported, and clarified the mechanism of axon regeneration after optic nerve axotomy [16]. Our RNA-seq analysis, by contrast, included cells from the entire retina. Since retinal glial cells are also affected by axonal damage after ONC [51], we therefore hypothesized that RNA-seq analysis of the entire retina would yield information that had not been revealed by previous microarray analyses of FACS-purified RGCs.

In axonal injury, RGCs decrease due to retrograde axonal degeneration [10]. Several RGC marker genes are known to be downregulated in response to axonal injury [30,52]. In the current study, Pou4f1 (also known as Brn3a) and Pou4f2 (also known as Brn3b) were downregulated 2 days after ONC (Table 2). Brn3 is a transcriptional factor expressed in the retina [53]. Furthermore, Brn3a is known to be a useful RGC marker, which can be used to identify and quantify RGCs both in controls and injured retinas [30]. In our study, Thy1, another well-known RGC marker [54], did not decrease significantly (Table 2). The loss of Brn3a-positive RGCs was detected earlier than the loss of Fluorogold-labeled RGCs [30]. Bm3a may therefore be a useful marker for evaluating RGC dysfunction in the early stages after ONC.

Axotomized RGCs are known to show many similar changes in gene expression during axon regeneration [55]. We found that *Spr1a* and *Gap43*, genes that are related to axon regeneration, were significantly upregulated in the retina after axonal injury (Table 2). These results support previous findings obtained from a microarray analysis of FACS-purified RGCs taken from retinas subjected to axonal injury [16].

ER stress is thought to play an important role in the pathogenesis of several neurological disorders [56]. ER stress activates three unfolded protein pathways (UPRs) including RNA-dependent protein kinase (PKR)-like ER kinase (PERK), inositol-

Table 3. Top 5 molecular and cellular functions significantly modulated after ONC.

Category	<i>P</i> -value	Number of Molecules		
Cell Death and Survival	7.45E-07-1.83E-02	45		
Cellular Function and Maintenance	2.81E-06-1.83E-02	41		
Cell-To-Cell Signaling and Interaction	4E-06-1.83E-02	40		
Molecular Transport	5.13E-05-1.17E-02	42		
Small Molecule Biochemistry	5.13E-05-1.83E-02	36		

Significances were calculated with Fisher's exact test. Differences were considered significant at the P < 0.05 level. doi:10.1371/journal.pone.0093258.t003

requiring kinase 1 (IRE1) and ATF6. Prolonged ER stress can also induce apoptosis [35]. In the current study, the ER stress-related genes Atf3, Atf4, Atf5, Chac1, Ddit3 (also known as C/EBP homologous protein (CHOP)), Egr1 and Trib3 were significantly upregulated 2 days after ONC (Table 2). Furthermore, IPA predicted that ATF4 was the most significant upstream regulator (Table 5). Under ER stress conditions, ATF4 is induced by eukaryotic inactivation factor  $2\alpha$ , downstream of the PERK pathway [57]. This suggests that ATF4 is the key upstream transcription factor induced by ER stress in the early stages of axonal injury.

IPA also predicted that CHOP was a significant upstream regulator (Table 5). CHOP is transactivated by ATF4, leading to ER stress-induced apoptosis [58]. Deletion of CHOP has been found to promote RGC survival [59]. According to IPA, ATF4 was an upstream regulator of CHOP (Table 5). This suggests that the ATF4-CHOP pathway plays an important role in axonal damage-induced RGC death. We also found that Jun was significantly upregulated (Table 4). JUN is known to be activated by the IRE1-JNK pathway under ER stress conditions [60], and can induce apoptosis [26]. Furthermore, we found that ER stress related-genes such as Trib3 and Chac1 were significantly upregulated after ONC (Table 2). TRIB3 has been reported to be involved in ER stress-induced apoptosis in 293 and Hela cells [35]. CHAC1 is involved in glutathione depletion and ROS generation [61] and is a proapoptotic component of the UPR, downstream of the ATF4-ATF3-CHOP cascade in primary human aortic endothelial cell lines [62]. To our knowledge, the role of TRIB3 and CHAC1 has not yet been investigated in the retina. The multiple ER stress-related pathways discussed above were activated concurrently in the retina after ONC. Therefore, a network-based approach [63], considering multiple pathways and molecules leading to cell death, is likely the best approach to treatment aimed at RGC protection after axonal injury, resembling the approach to photoreceptor protection that targets two cell death pathways [64].

Additionally, oxidative stress has been implicated in many neurodegenerative diseases [65,66]. In the current study, we found that the antioxidative response-related genes Hmox1 and Srxn1 were significantly upregulated 2 days after ONC (Table S3). IPA indicated that NFE2L2 was one of the upstream regulators activated after ONC, and that the increased expression of Hmox1 and Srxn1 was a downstream effect of NFE2L2 activation (Table 5 and Figure 3). NFE2L2, also known as Nrf2 (NF-E2 related factor 2), is a potent transcriptional activator and plays a central role in inducing the expression of many cytoprotective genes such as Hmox1 and Srxn1 [67,68]. Its translocation into the nucleus has been observed at an early stage after ONC [9]. This study also revealed that Cdkn1a (also known as p21) was significantly upregulated, and indicated that it interacts with Nrf2 (Figure 3). Cytoplasmic p21 has been reported to enhance axonal regeneration and functional recovery after spinal injury in rats [69]. Furthermore, it has been reported that transcriptional activation of cytoprotective genes by Nrf2 is potentiated in the presence of p21 through facilitated stabilization of Nrf2 [70]. In summary, the results of our study indicate that the Nrf2-related pathway is activated in response to axonal injury, which may be involved in a part of the defense mechanism suppressing RGC death and promoting axonal regeneration in the early stages of axonal injury. Enhancement of the antioxidant response, along with the inhibition of ER stress-related pathways (e.g., ATF-CHOP), may have a synergistic protective effect against RGC death after axonal injury.

The immune response has been reported to be involved in central nervous system (CNS) injury [55]. Our study found that C1qa, C1qb and C1qc, components of C1q belonging to the classical complement pathway, were significantly upregulated (Table S3). Furthermore, C1qa was included in the "Cell Death and Survival" pathway according to IPA (Table S4). C1q has been reported to be implicated in the pathogenesis of neurodegenerative diseases such as Alzheimer's disease [71]. A previous study used a microarray analysis to demonstrate that the complement pathway is upregulated in the retina 2 days after ONC [72], an observation

Table 4. RT-PCR validation of the expression of selected genes related to the "Cell Death and Survival" pathway.

Symbol	Description	Gene Accession	RNA-seq		RT-PCR	
			FC	<i>P</i> -value	FC	<i>P</i> -value
Sprr1a	Small proline-rich protein 1A	NM_009264	23.81	5.46E-05	232.12	2.79E-03
Mmp12	Matrix metallopeptidase 12	NM_008605	17.82	2.80E-04	84.75	2.27E-04
Sox11	SRY-box containing gene 11	NM_009234	5.92	2.98E-04	10.14	3.74E-04
Atf3	Activating transcription factor 3	NM_007498	5.34	7.10E-05	5.51	1.13E-02
Tnfrsf12a	Tumor necrosis factor receptor superfamily member 12a	NM_001161746	3.98	4.84E-04	7.57	1.27E-03
Hmox1	Heme oxygenase (decycling) 1	NM_010442	3.67	4.82E-05	4.50	1.53E-02
Plat	Plasminogen activator, tissue	NM_008872	2.26	5.63E-05	2.22	8.82E-03
Egr1	Early growth response 1	NM_007913	2.25	7.25E-04	4.21	2.15E-02
Atf5	Activating transcription factor 5	NM_030693	2.24	2.27E-03	2.82	5.50E-05
Ddit3	DNA-damage inducible transcript 3	NM_007837	2.15	1.51E-03	2.37	8.60E-06
Jun	Jun oncogene	NM_010591	2.00	1.14E-04	2.22	1.78E-03
Pou4f2	POU domain, class 4, transcription factor 2	NM_138944	-2.40	7.99E-03	-2.55	2.34E-06
Nefh	Neurofilament, heavy polypeptide	NM_010904	-2.24	3.11E-04	-2.36	3.58E-07
Pou4f1	POU domain, class 4, transcription factor 1	NM_011143	-1.54	5.41E-03	-2.25	1.55E-03

Differences between the NC and sham groups were analyzed with the t-test (RT-PCR: n=6 for each group). Differences were considered significant at the P < 0.05 level. doi:10.1371/journal.pone.0093258.t004

Table 5. Predicted upstream regulators belonging to transcription factors after ONC.

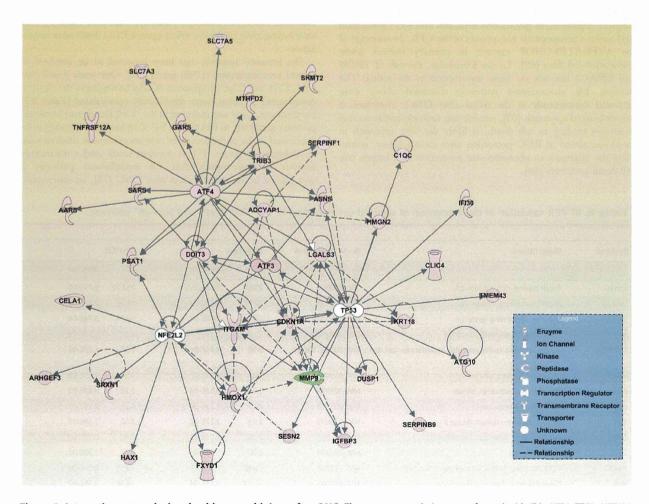
Name	Predicted activation	Activation Z-score	P-value of overlap	Target molecules in dataset
ATF4	Activated	3.12	8.90E-18	AARS, ASNS, ATF3, CDKN1A, DDIT3, GARS, LGALS3, MTHFD2, PSAT1, SARS, SERPINF1, SHMT2, SLC7A3, SLC7A5, TNFRSF12A, TRIB3
TP53	Activated	2.44	2.83E-05	ATF3, ATG10, C1QC, CDKN1A, CLIC4, DUSP1, HMGN2, HMOX1, IFI30, IGFBP3, KRT18, LGALS3, MMP9, SERPINB9, SESN2, TMEM45 TRIB3
NFE2L2	Activated	2.13	4.79E-03	ADCYAP1, ARHGEF3, CELA1, FXYD1, HAX1, HMOX1, PSAT1, SRXN1
DDIT3	Activated	2.00	3.20E-06	ATF3, ITGAM, SARS, TRIB3

Data were analyzed with Fisher's exact test. Differences were considered significant with a P < 0.05 and  $|Z-score| \ge 2$ . doi:10.1371/journal.pone.0093258.t005

that was repeated in our study. The immune system might also play an important role in the pathogenesis of axonal injury.

# Conclusion

We used RNA-seq technology to investigate the entire retinal transcriptome profile in the early stages of post-axonal injury. A pathway analysis of DEGs indicated that cell death and the survival response were induced at an early stage after ONC. ER



**Figure 3. Interaction networks involved in axonal injury after ONC.** The upstream analysis was performed with IPA. ATF4, TP53, NFE2L2, DDIT3 and the target molecules of these upstream regulators were merged for this representation of the interaction networks after ONC. doi:10.1371/journal.pone.0093258.g003

stress was the main response in axonal injury, inducing many other pathways (i.e., RGC marker down regulation, the antioxidative response, the immune response, and axon regeneration). Our transcriptomic approach to this investigation, which relied on RNA-seq, was a powerful and effective method, and allowed us to obtain a global view of gene expression changes in the retina after axonal injury. We believe that our study has provided new insights into the molecular mechanisms underlying axonal damage, and may help in research aimed at the discovery of new biomarkers and therapeutic targets for a variety of ocular diseases.

# **Materials and Methods**

### Animals

C57BL/6 mice (male, 12-week-old; SLC, Hamamatsu, Japan) were used in this study. The surgical procedures were performed under deep anesthesia with intramuscular administration of a mixture of ketamine (100 mg/kg) and xylazine (9 mg/kg). All animals were maintained and handled in accordance with the guidelines of the ARVO Statement for the Use of Animals in Ophthalmic and Vision Research and the guidelines from the declaration of Helsinki and the Guiding Principles in the Care and Use of Animals. All experimental procedures described in the present study were approved by the Ethics Committee for Animal Experiments at Tohoku University Graduate School of Medicine, and were performed according to the National Institutes of Health guidelines for the care and use of laboratory animals.

# Induction of axonal injury in mice

ONC was used to induce axonal injury as previously described [10]. Briefly, the optic nerve was exposed, crushed 2 mm posterior to the globe with fine forceps for 10 seconds, and released. A fundus examination was used to confirm the appearance of normal blood circulation, and antibiotic ointment was applied. The operation was similar in the sham group, but after exposure, the optic nerve was not crushed.

### RNA extraction

Two days after surgery, the retinas of the mice were extracted and immediately immersed in an RNA stabilization reagent (RNase later sample and assay technology; Qiagen, Valencia, CA). The retinas were then homogenized in Qiazol (Qiagen) with a pestle homogenizer, and total RNA was extracted from the homogenized mixture with a miRNeasy mini kit (Qiagen). The resulting 48 individual samples (24 from the ONC group and 24 from the control group) were then assessed with a spectrophotometer to determine their total RNA concentration (NanoDrop 2000c, Thermo Scientific).

# RNA sequencing

Thirty-six samples of purified RNA (18 from the ONC and 18 from the control group) were used for this analysis. In each group, fixed quantities of RNA were taken from six samples and combined into a single sample, in order to minimize the influence of individual variations in the mice. This process yielded three combined samples from both the ONC and control groups. The quality of these six combined RNA samples was then assessed with an Agilent 2100 Bioanalyzer (Agilent Technologies, Palo Alto, CA). The triplicated ONC and control samples used for the RNA-seq analysis had RNA integrity numbers (RIN) ranging from 7.8 to 8.2 (Table S1). The cDNA library of each sample was prepared with Illumina Tru-Seq RNA Sample Prep Kits (Illumina, San Diego, CA) for 100 bp paired-end reads, according to the manufacturer's instructions. Each of the six cDNA libraries was

indexed for multiplexing. These six indexed libraries were sequenced on one lane of the Illumina Hiseq2000 device.

Data were recorded in the FASTQ format and then imported to CLC Genomics Workbench (version 6.0.1) (CLC Bio, Aarhus, Denmark) for analysis [18,19]. All sequence reads were mapped to the reference genome (NCBI37/mm9) with the RNA-seq mapping algorithm included in CLC Genomics Workbench. The maximum number of mismatches allowed for the mapping was set at 2. To estimate gene expression levels, we calculated RPKM with CLC Genomics Workbench, as defined by Mortazavi et al. [20], and then analyzed differentially expressed genes (DEGs) in the control and ONC samples. All sequence data have been deposited in the Gene Expression Omnibus under the accession number GSE55228.

# Quantitative RT-PCR

Twelve samples of purified RNA (6 from the ONC and 6 from the control group) were used for quantitative RT-PCR. Total RNA (200 ng per sample) from the samples was first reverse-transcribed into cDNA using SuperScript III (Invitrogen Life Technologies, Carlsbad, CA). Quantitative RT-PCR was then performed with a 7500 Fast Real-Time PCR System (Applied Biosystems, Foster City, CA) as previously described, with minor modifications [73]. For each 20  $\mu$ l reaction the following were used: 10  $\mu$ l TaqMan Fast Universal PCR Master Mix (Applied Biosystems, Foster City, CA), 1  $\mu$ l Taqman probe, 1  $\mu$ l template DNA, and 8  $\mu$ l DEPC water. Each sample was run in duplicate in each assay. For a relative comparison of gene expression, we analyzed the results of the real-time PCR data with the comparative Ct method (2 $^{-\Delta \Delta CT}$ ), normalized to Gapdh, an endogenous control. All Taqman probes used for these reactions are listed in Table S5.

# Pathway analysis

Pathway and global functional analyses were performed with IPA software [36,74,75]. The DEG datasets were uploaded to the IPA application and mapped to IPKB. Each gene identifier was then mapped to its corresponding IPKB. Networks of these genes were generated based on their connectivity. The significance of the association between the datasets and biofunctions were measured using a ratio of number of genes from the dataset that map to the pathway divided by the total number of genes in that pathway. An upstream regulator analysis was performed to compare DEGs in the datasets to those known to be regulated by a given upstream regulator. Based on the concordance between them, an activation score was assigned, showing whether a potential transcriptional regulator was in an "activated" (z score  $\geq$  2), "inhibited" (z score  $\leq$  -2), or uncertain state.

# Statistical analysis

RNA-seq data were analyzed and RPKM was calculated with CLC Genomics Workbench [76]. A threshold RPKM value of 0.3 has been reported to balance the numbers of false positives and false negatives [21,77]. We therefore excluded genes that did not have RPKM > 0.3 in at least one group. This yielded 13160 genes, which we then used in the differential expression analysis. P-values were calculated with the Student's t-test and were adjusted for multiplicity with the Bioconductor package qvalue [78,79]. This software allows for selecting statistically significant genes while controlling the estimated false discovery rate (FDR). FDR < 0.1 with |FC| > 1.5 was considered statistically significant in the RNA-seq analysis. RT-PCR data were analyzed with the Welch's t-test. Statistical analysis of the RNA-seq and RT-PCR data was performed with R software (version 3.0.1) [22].

The significance of the pathway analysis was calculated with Fisher's exact test in the IPA application. If the *P*-values for RT-PCR and IPA were less than 0.05, the result was considered statistically significant.

### **Supporting Information**

**Table S1** RNA integrity numbers and summary of sequence statistics.

(XLSX)

**Table S2** Detailed mapping statistics. (XLSX)

**Table S3** List of DEGs after ONC. (XLSX)

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**Table S4** List of DEGs belonging to cell death and survival after ONG. (XLSX)

 Table S5
 List of Taqman probes used in this study.

 (DOCX)

# **Acknowledgments**

We thank Ms. Junko Sato and Ms. Dilinuer Maimaitishawuti for technical

### **Author Contributions**

Conceived and designed the experiments: MY TN YT MR. Performed the experiments: MY YT ST. Analyzed the data: MY YT. Contributed reagents/materials/analysis tools: MY YT. Wrote the paper: MY.

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# Biochemistry and Molecular Biology

# The Novel Rho Kinase (ROCK) Inhibitor K-115: A New Candidate Drug for Neuroprotective Treatment in Glaucoma

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Submitted: December 27, 2013 Accepted: September 16, 2014

Citation: Yamamoto K, Maruyama K, Himori N, et al. The novel Rho kinase (ROCK) inhibitor K-115: a new candidate drug for neuroprotective treatment in glaucoma. *Invest Ophthalmol Vis Sci.* 2014;557126–7136. DOI: 10.1167/iovs.13-13842

Purpose. To investigate the effect of K-115, a novel Rho kinase (ROCK) inhibitor, on retinal ganglion cell (RGC) survival in an optic nerve crush (NC) model. Additionally, to determine the details of the mechanism of K-115's neuroprotective effect in vivo and in vitro.

METHODS. ROCK inhibitors, including K-115 and fasudil (1 mg/kg/d), or vehicle were administered orally to C57BL/6 mice. Retinal ganglion cell death was then induced with NC. Retinal ganglion cell survival was evaluated by counting surviving retrogradely labeled cells and measuring RGC marker expression with quantitative real-time polymerase chain reaction (qRT-PCR). Total oxidized lipid levels were assessed with a thiobarbituric acid-reactive substances (TBARS) assay. Reactive oxygen species (ROS) levels were assessed by co-labeling with CellROX and Fluorogold. Expression of the NADPH oxidase (Nox) family of genes was evaluated with qRT-PCR.

RESULTS. The survival of RGCs after NC was increased  $34 \pm 3\%$  with K-115, a significantly protective effect. Moreover, a similar effect was revealed by the qRT-PCR analysis of Thy-1.2 and Brn3a, RGC markers. Levels of oxidized lipids and ROS also increased with time after NC. NC-induced oxidative stress, including oxidation of lipids and production of ROS, was significantly attenuated by K-115. Furthermore, expression of the Nox gene family, especially Nox1, which is involved in the NC-induced ROS production pathway, was dramatically reduced by K-115.

Conclusions. The results indicated that oral K-115 administration delayed RGC death. Although K-115 may be mediated through *Nox1* downregulation, we found that it did not suppress ROS production directly. Our findings show that K-115 has a potential use in neuroprotective treatment for glaucoma and other neurodegenerative diseases.

Keywords: oxidative stress, retinal ganglion cell, ROCK, glaucoma, neuroprotection, Nox

laucoma is well known as one of the world's major causes of secondary blindness, and in Japan in particular, glaucoma is quickly becoming the most common cause of secondary blindness. Maintenance of low intraocular pressure (IOP) is the classic treatment for glaucoma and is the only therapy that has been shown to be effective in large-scale clinical studies. The primary method of reducing IOP is generally medication, mainly topical eye drops, although filtration surgery is also used. These are the only current treatments for glaucoma. Increased IOP is the most well-known risk factor for the progression of glaucoma, and IOP reduction is usually effective in slowing the progress of the disease. However, the majority of glaucoma patients in Asia are affected by normal tension glaucoma (NTG), and recent epidemiological studies have revealed that IOP reduction alone cannot prevent the progression of visual field loss in these patients.<sup>2,3</sup> In addition to reducing IOP, reduction of damage to retinal ganglion cells (RGCs) caused by IOP-independent risk factors such as mechanical stress on the axons in the lamina cribrosa might be useful for treating NTG.4 Novel treatment strategies have therefore recently been explored, such as protecting RGCs or increasing retinal or choroidal blood flow. In particular, the neuroprotection of RGCs has drawn attention as a new approach to glaucoma therapy because it is thought that the ultimate cause of vision loss in glaucoma is RGC apoptosis.<sup>5</sup>

Several potential mechanisms of RGC death in glaucoma have been hypothesized, including compromised blood flow in the optic nerve, 6.7 nitric oxide-induced injury to the optic nerve, 8-10 and glutamate excitotoxicity. 11-13 In addition to these primary mechanisms, other studies have provided evidence that oxidative stress contributes to the degeneration of RGCs in glaucoma. 14-17 However, the precise nature of the damage caused to RGCs by oxidative stress remains unclear. Moreover, treatments for oxidative stress in glaucoma patients have not been established.

Rho kinase (ROCK) is a serine/threonine (Ser/Thr) protein kinase and a key downstream effector of Rho. <sup>18,19</sup> ROCK controls multiple signaling pathways and many cellular processes such as cytoskeletal rearrangement and cell movement. <sup>20</sup> Thus, it has recently been suggested that the Rho/ROCK pathway is involved in a number of disorders. Indeed, abnormal activation of ROCK has been observed in diabetic nephropathy, <sup>21–26</sup> cardiovascular disease, <sup>19,24,27–31</sup> and central nervous system (CNS) diseases including Alzheimer's disease, <sup>32–34</sup> spinal cord injury, <sup>32,35–38</sup> stroke, <sup>39–46</sup> multiple sclerosis, <sup>32</sup> and glaucoma. <sup>47–56</sup> In particular, a recent study

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