

A. 研究目的

HDL コレステロール(HDL-C)の基準分析法は、超遠心法(Ultracentrifugation 法、UC 法と略)とされる。国立循環器病研究センターの脂質基準分析室は、米国の Centers for Disease Control and Prevention (CDC) が主催する Cholesterol Reference Method Laboratory Network (CRMLN) に参加している正式のメンバーである。このネットワークを通じて、1997年5月から2014年1月までの17年間にわたり、継続してUC法の標準化を行ってきた。UC法は、これまでに大規模疫学共同研究や臨床試験等の場でHDL-Cの目標値を提供する役割を果たしてきた。UC法は、本研究においても適用される。しかしながら、本法の測定精度の実態についての論文や学会報告は極めて少ない。そこで、われわれは、UC法の測定精度を明らかにすることを目的として、これまでの標準化成績を集計し、論文化した。分担研究報告書では、HDL-Cの基準分析法であるUC法の測定精度を中心に述べる。

B. 研究方法

本研究班の2年目に当たる平成26年度内に行った研究課題とその主な内容は以下の通りである。

【脂質標準化システムの構築】

脂質標準化システムは、CDCが実施しているシステムとネットワークを構成する脂質基準分析室(例:国立循環器病研究センター)が実施しているシステムに大別することができ、いずれも試薬メーカーと臨床検査室を対象に標準化が行われる。

【UC法の操作方法】

UC法は、バックグラウンド1.006の比重下で超遠心後に上清に浮上するカイロミクロンとVLDLをチューブ・スライサーで除去し、下層部分をBottom fraction(BF)と称する。BF中のコレステロ

ールをBFC、BF中のHDLをへパリン・マンガン分離法で分離・測定したコレステロールを超遠心法によるHDL-Cと見なす。本研究班では、この測定法によりHDL-Cの目標値を確定した。

C. 研究結果、及び、D. 考察

【脂質標準化システムの構築と内容】

CDCが実施しているシステム: 試薬メーカーを対象とする脂質標準化システムは、CDCのweb site(<http://www.cdc.gov/labstandards/crmln.html>)に掲載されている。その内容は、総コレステロールではTotal cholesterol certification protocol for manufacturers-revised-(October 2004)、HDLコレステロールではHDL cholesterol certification protocol for manufacturers (November 2002)、LDLコレステロールではLDL cholesterol certification protocol for manufacturers (June 2006)として世界中に公開されている。国立循環器病研究センターを通じて、試薬メーカーを対象とする標準化プログラムにより標準化を実施し、判定基準を満たした試薬メーカーの名称等は、CDCのweb siteにおいて総コレステロールではAnalytical systems certified for total cholesterol、HDLコレステロールではAnalytical systems certified for HDL cholesterol、LDLコレステロールではAnalytical systems certified for LDL cholesterolとして公示されている。次に、臨床検査室を対象とする脂質標準化システムは、総コレステロールのみが対象であり、その内容はCertification protocol for clinical laboratories (May 2004)で公開されている(4)。国立循環器病研究センターを通じて、臨床検査室を対象とする標準化プログラムにより標準化を実施し、判定基準を満たしたわが国の臨床検査室の名称等は、List of international clinical laboratories certified for total cholesterolとしてCDCで公示されている。

国立循環器病研究センターが実施しているシス

テム: 試薬メーカーを対象とする脂質の標準化は、CDC のプログラムと同じ内容が適用されている。次に、臨床検査室を対象とする脂質標準化システムは、総コレステロールでは CDC と同じプログラムが適用されているが、HDL コレステロール、LDL コレステロール、及び、トリグリセライドは、国立循環器病研究センターで独自に開発されたプログラムが運用されており、それらは同センターの web site で公表されている。HDL コレステロールでは臨床検査室を対象とした HDL コレステロールの標準化プログラム(2012年06月)として、LDL コレステロールでは臨床検査室を対象とした LDL コレステロールの標準化プログラム(2012年06月)として、トリグリセライドでは臨床検査室を対象としたトリグリセライド(中性脂肪)の標準化プログラム(2012年06月)として公開されている。中でも、トリグリセライドの標準化は、わが国では最初のプログラムであり、その目標値は CDC に標準化されたガスクロマトグラフ・アイトーフ希釈・質量分析計で確定されている点に特徴がある。

【UC法の17年間の測定精度のまとめ】

UC法に対する最新の評価成績: 本研究班では約200人を対象に採血を実施して、HDL-Cの正確な測定値をUC法で求める。この測定に備えて、国立循環器病研究センターの脂質基準分析室ではUC法の測定体制が常時整っている。2014年7月に実施されたCDCによるUC法に対する最新の評価成績を、表1に示した。

CDCのネットワーク(CRMLN)を構成する脂質基準分析室に適用される判定基準を、表2に示した。

1997年5月から2014年1月までの17年間に於けるUC法の測定精度を正確度(Accuracy)と精密度(Precision)に分けて、表3に示した。それによれば、対象例数が626例の正確度の回帰式($y=Osaka, x=CDC$)で見ると、

Slope=-0.008(95%CI: -0.013, -0.003; $p=0.001$)、Intercept=0.540(95%CI: 0.296, 0.784; $p<0.001$)で、 r -square=0.017であった。正確度の分布図を図1に示した。一方、精密度の回帰式($y=Osaka, x=CDC$)で見ると、Slope=0.002(95%CI: -0.00005, 0.0036; $p=0.056$)、Intercept=0.270(95%CI: 0.179, 0.360; $p<0.001$)で、 r -square=0.006であった。精密度の分布図を図2に示した。

臨床検査室と試薬メーカーに適用されるHDL-Cの判定基準を、表4に示した。この判定基準が、認証試験に適用される。

1997年5月から2014年1月までの17年間に於けるUC法の分析経過を、図3に示した。

E. 結論

CDCにおける17年間の標準化成績を解析することにより、HDL-Cの基準分析法である超遠心法(Ultracentrifugation法)の測定精度を明らかにした。この研究成果を活用して本研究班における検体のHDL-Cの目標値を確定した。

F. 健康危険情報

なし

G. 研究発表

1. 論文発表

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2. 学会発表

なし

H. 知的財産権の出願・登録状況

1. 特許取得

なし

2. 実用新案登録

なし

3. その他

なし

表1 Network survey PS0714 - HDL-C

| Pool ID | CDC目標値 | 大阪測定値 | S.D. | Bias (mg/dL) |
|---------|--------|-------|------|--------------|
| 172 | 50.6 | 50.8 | 0.3 | 0.2 |
| 152 | 32.6 | 33.0 | 0.9 | 0.4 |
| 371 | 50.9 | 50.6 | 0.1 | -0.3 |

単位: mg/dL

表2 Performance criteria applied to CRMLN lipid reference laboratory using ultracentrifugation method

| Lipid | Imprecision criterion | Accuracy criterion |
|-------|-----------------------------------|---------------------|
| HDL-C | Standard deviation ≤ 1 mg/dL | bias ≤ 1 mg/dL |

CRMLN: Cholesterol Reference Method Laboratory Network.

HDL-C: High-density lipoprotein cholesterol.

表3 Regression analysis of the bias between Osaka (y) and CDC (x) and imprecision for HDL-C over time (unit: mg/dL)

| Parameter | HDL-C Method | Number of samples | Slope (95%CI) | Intercept (95%CI) | R ² | Time period |
|-----------|--------------|-------------------|-------------------------------|---------------------------|----------------|--|
| Accuracy | UC | 626 | -0.008 | 0.540 | 0.017 | May 1997 to January 2014 (17 years) |
| | | | (-0.013, -0.003) p=0.001 | (0.296, 0.784) p<0.001 | | |
| Precision | UC | 626 | 0.002 | 0.270 | 0.006 | May 1997 to January 2014 (17 years) |
| | | | (-0.00005, 0.0036) p=0.056 | (0.179, 0.360) p<0.001 | | |

UC: Ultracentrifugation.

HDL-C: High-density lipoprotein cholesterol.

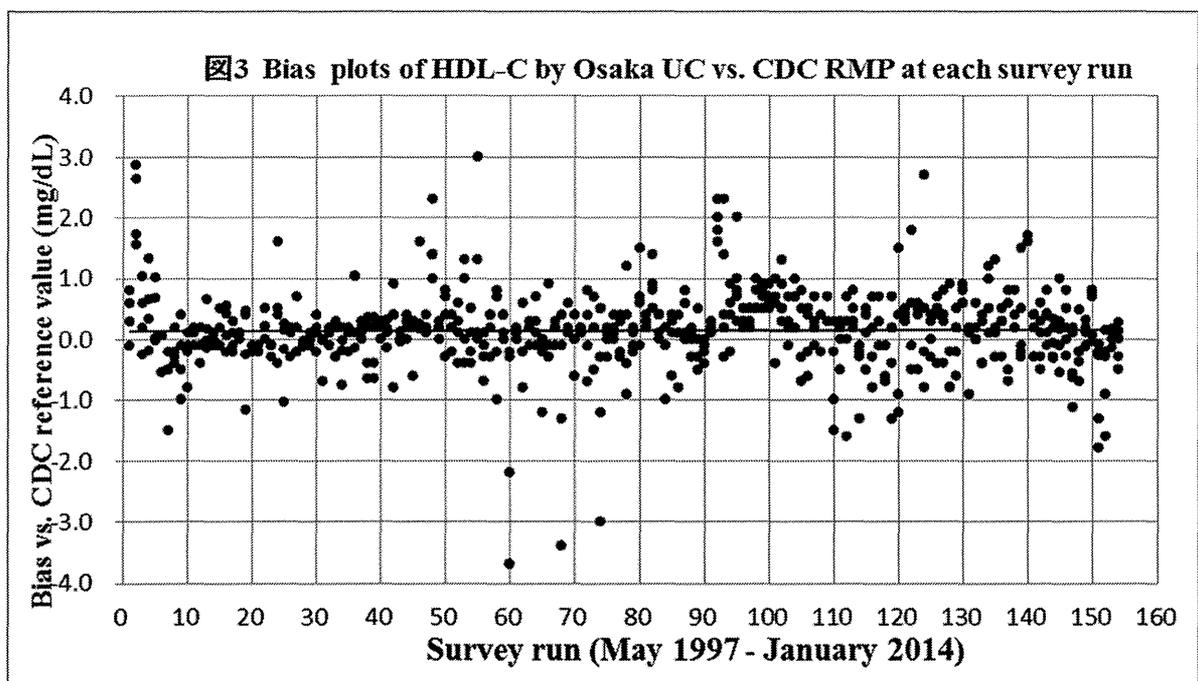
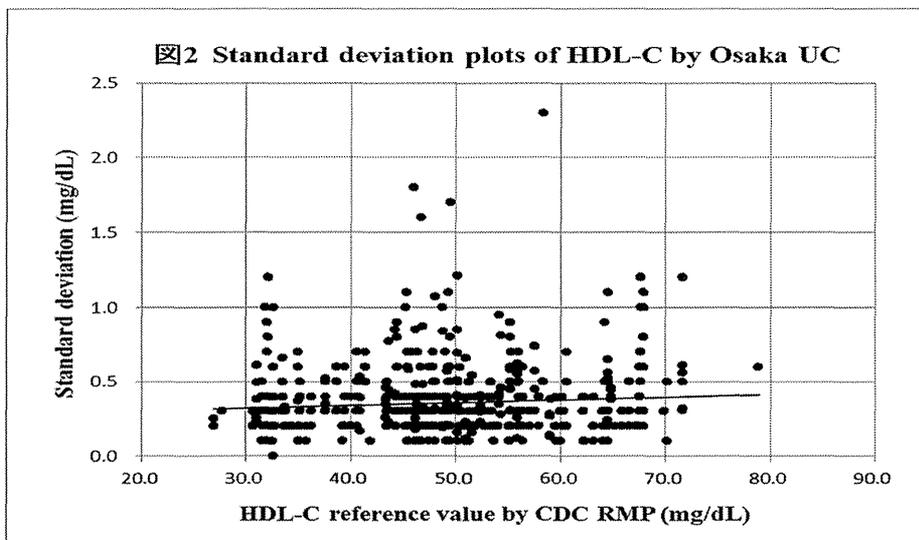
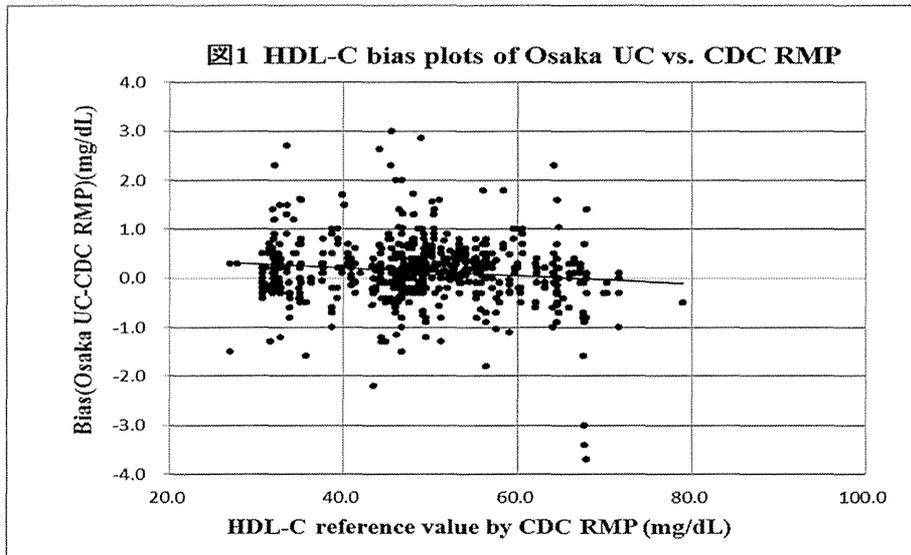
CV: Coefficient of variation. CI: Confidence interval.

表4 Performance criteria applied to clinical laboratory and manufacturer for HDL-C

| Parameter | Criterion |
|-------------------------|--|
| R ² | > 0.975 |
| Bias at 40 mg/dL | ≤ 5 % |
| Bias at 60 mg/dL | ≤ 5 % |
| Average % Bias | ≤ 5 % |
| Average absolute % Bias | ≤ 5 % |
| Among-run CV | ≤ 4 % |
| t-test of bias | Not significant at $\alpha=5\%$ |
| Within-method outliers | 1 allowed |
| Between-method outliers | None allowed, but may eliminate one sample |

HDL-C: High-density lipoprotein cholesterol. CV: Coefficient of variation.

CV: Coefficient of variation.



IV. 研究成果の刊行に関する一覧表

研究成果の刊行に関する一覧表

【寺本 民生】

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V. 研究成果の刊行物・別刷



Effects of Evolocumab (AMG 145), a Monoclonal Antibody to PCSK9, in Hypercholesterolemic, Statin-Treated Japanese Patients at High Cardiovascular Risk

– Primary Results From the Phase 2 YUKAWA Study –

Atsushi Hirayama; Narimon Honarpour; Masayuki Yoshida; Shizuya Yamashita; Fannie Huang; Scott M. Wasserman; Tamio Teramoto

Background: YUKAWA is a 12-week, randomized, double-blind, placebo-controlled, phase 2 study evaluating the efficacy and safety of evolocumab (AMG 145) in statin-treated Japanese patients at high cardiovascular risk.

Methods and Results: 310 eligible patients receiving stable statin (\pm ezetimibe) therapy were randomized to 1 of 6 treatments: placebo every 2 weeks (Q2W) or monthly (QM), evolocumab 70 mg or 140 mg Q2W, or evolocumab 280 mg or 420 mg QM. The primary endpoint was the percentage change from baseline in low-density lipoprotein cholesterol (LDL-C) measured by preparative ultracentrifugation (UC). Secondary endpoints included percentage changes in other lipid parameters and the proportion of patients with LDL-C <1.8 mmol/L. Mean (SD) age was 62 (10) years; 37% were female; and the mean (SD) baseline LDL-C was 3.7 (0.5) mmol/L (by UC). Mean (SE) changes vs. placebo in LDL-C were greatest in the high-dose groups: -68.6 (3.0) % and -63.9 (3.2) % with 140 mg Q2W and 420 mg QM dosing, respectively. Up to 96% of evolocumab-treated patients achieved LDL-C <1.8 mmol/L. Adverse events (AEs) were more frequent in evolocumab (51%) vs. placebo (38%) patients; 4 patients taking evolocumab discontinued treatment because of an AE. There were no significant differences in AE rates based on dose or dose frequency.

Conclusions: In Japanese patients at high cardiovascular risk with hypercholesterolemia on stable statin therapy, evolocumab significantly reduced LDL-C and was well tolerated during this 12-week study. (*Circ J* 2014; **78**: 1073–1082)

Key Words: Dyslipidemia; Hypercholesterolemia; Low-density lipoprotein cholesterol; PCSK9 antibody

Cardiovascular disease (CVD) remains the leading cause of death globally, with over 17 million deaths per year.¹ In Japan, CVD-associated deaths from heart disease and stroke are the second and third highest causes of death, respectively.² The incidence of coronary artery disease (CAD), a leading contributor to CVD incidence, increases in Japanese patients as low-density lipoprotein cholesterol (LDL-C) levels rise.^{3,4} Although treatment with statins lowers the risk of CVD events,^{5–10} high-risk patients may still fail to reach LDL-C goals,¹ leaving them vulnerable to subsequent

cardiovascular events. Nearly half of the high-risk Japanese patients have not reached their Japan Atherosclerosis Society (JAS)-guideline LDL-C goal.^{11,12}

Proprotein convertase subtilisin/kexin type 9 (PCSK9) is a secreted protein that binds to the LDL receptor (LDLR), preventing it from recycling to the cell surface.¹³ This results in less available LDLR and higher circulating LDL-C levels.¹³ Inhibition of PCSK9 with anti-PCSK9 antibodies increases hepatic LDLR recycling, which enhances LDL-C clearance from the serum.^{14,15} Evolocumab is a fully human monoclonal antibody

Received February 2, 2014; revised manuscript received March 11, 2014; accepted March 13, 2014; released online March 21, 2014
Time for primary review: 18 days

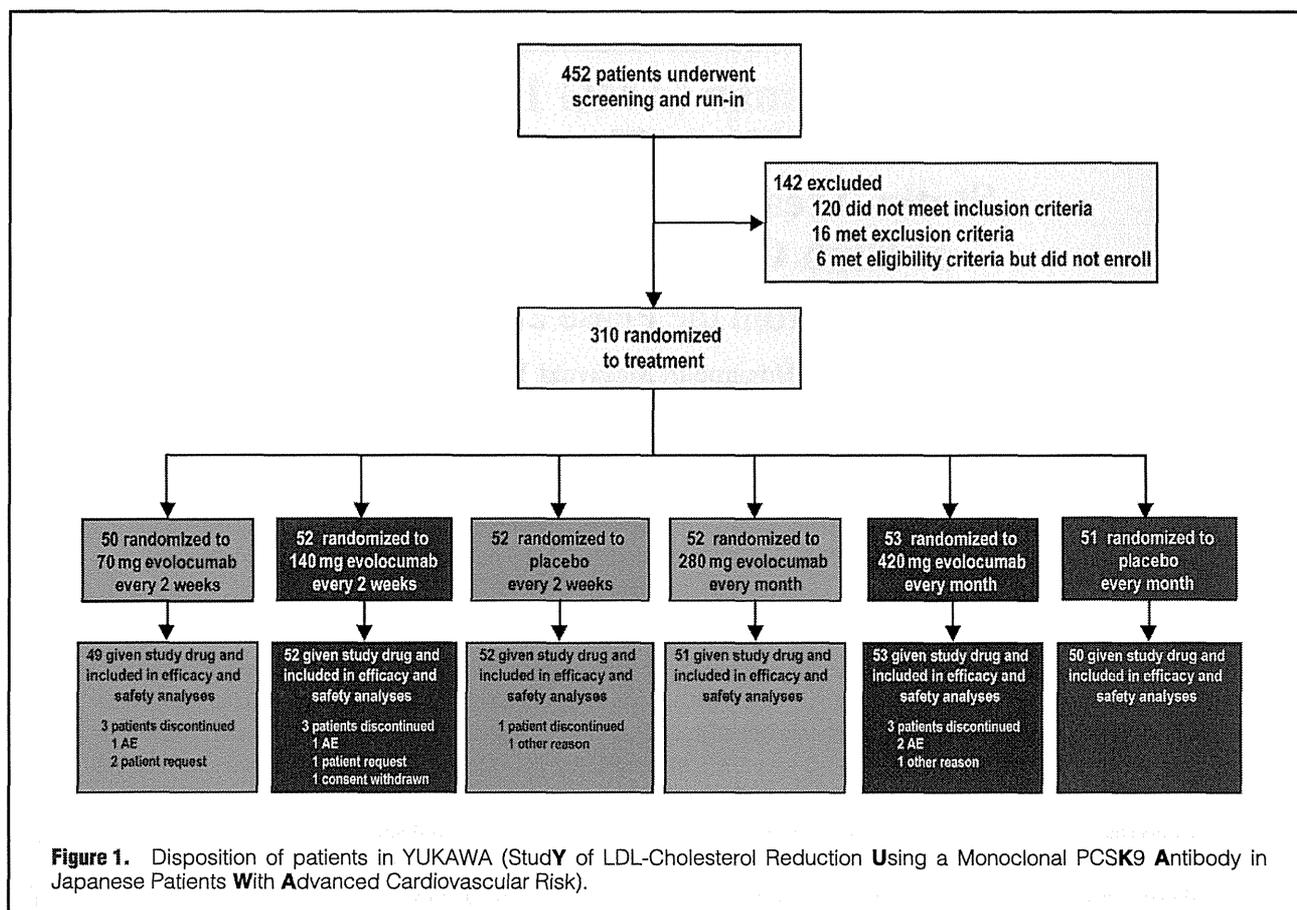
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This paper was presented at the 78th Annual Scientific Meeting of the Japanese Circulation Society, Late Breaking Clinical Trials 1-3 (March 21, 2014, Tokyo, Japan).

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ISSN-1346-9843 doi:10.1253/circj.CJ-14-0130

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against PCSK9¹⁴ that inhibits the binding of PCSK9 to LDLRs. In global phase 2 studies, evolocumab monotherapy reduced LDL-C measured by preparative ultracentrifugation (UC) by up to 53% vs. placebo,¹⁶ and combination therapy with statins resulted in reductions of up to 66% vs. placebo.¹⁷ Studies in patients with familial hypercholesterolemia^{18,19} and statin intolerance²⁰ have shown similar efficacy. YUKAWA (Study of LDL-Cholesterol Reduction Using a Monoclonal PCSK9 Antibody in Japanese Patients With Advanced Cardiovascular Risk) is the first study to examine the efficacy and tolerability of evolocumab in hypercholesterolemic Japanese patients at high cardiovascular risk and on baseline statin therapy.

Methods

Patient Population and Study Design

YUKAWA is a 12-week, phase 2, randomized, multicenter, double-blind, placebo-controlled, dose-ranging study evaluating the efficacy and safety of every 2 weeks (Q2W) or monthly (QM) evolocumab when used in combination with a statin in Japanese patients (NCT01652703). The study was carried out in 42 study centers in Japan. Briefly, patients were eligible if they were 20–80 years of age (inclusive) and classified as high risk for cardiovascular events. Patients were considered high risk if they had any of the following: history of CAD or cerebral infarction; a diagnosis of heterozygous familial hypercholesterolemia, arteriosclerosis obliterans/peripheral artery disease, or type 2 diabetes mellitus ≥ 3 months prior to randomization; a fasting plasma glucose > 6.1 mmol/L ≥ 3 months prior to randomization; or the presence of ≥ 3 additional risk factors

relating to age, smoking history, family history of CAD, and past diagnosis of hypertension or reduced high-density lipoprotein (HDL).^{12,21} Inclusion/exclusion criteria are summarized in **Supplementary File 1**. Patients were required to be on stable statin therapy for ≥ 4 weeks prior to LDL-C screening. Baseline lipid requirements at screening were fasting LDL-C ≥ 3.0 mmol/L and fasting triglycerides ≤ 4.5 mmol/L.

Randomization and Study Blinding

Prior to randomization, all patients received a placebo injection to assess tolerance and acceptability of subcutaneous (SC) administration. Eligible patients who tolerated placebo injections were assigned equally to 1 of 6 treatment arms: SC placebo, evolocumab 70 mg, or evolocumab 140 mg Q2W; or SC placebo, evolocumab 280 mg, or evolocumab 420 mg QM (**Figure 1**). Baseline stratification factors included screening LDL-C (< 3.4 mmol/L vs. ≥ 3.4 mmol/L) and a diagnosis of heterozygous familial hypercholesterolemia (yes vs. no). Treatment assignment and on-treatment laboratory lipid-panel values were blinded; dosing frequency was not blinded.

Study Endpoints

The primary efficacy endpoint was percentage change from baseline in LDL-C at week 12. Secondary endpoints assessed at week 12 were absolute change in LDL-C, percentage changes from baseline in other lipid parameters, and the proportion of patients who reached LDL-C < 1.8 mmol/L. For endpoint assessments, LDL-C was measured by UC. Safety endpoints included the incidence of adverse events (AEs), laboratory values and vital signs, electrocardiography (ECG) parameters,

Table 1. Demographics and Baseline Characteristics of the Study Population^a

| | Placebo | | | Evolocumab | | | | All patients Total (n=307) | |
|---|----------------------|----------------------|----------------------|----------------------|-------------------------|------------------------|------------------------|----------------------------------|----------------------|
| | Q2W (n=52) | QM (n=50) | Total (n=102) | 70 mg Q2W (n=49) | 140 mg Q2W (n=52) | 280 mg QM (n=51) | 420 mg QM (n=53) | | Total (n=205) |
| Demographics | | | | | | | | | |
| Age, years, mean (SD) | 60.2 (10.1) | 60.9 (9.8) | 60.5 (9.9) | 64.1 (9.7) | 60.8 (9.2) | 61.6 (9.6) | 61.3 (9.9) | 61.9 (9.6) | 61.5 (9.7) |
| Female, n (%) | 16 (30.8) | 14 (28.0) | 30 (29.4) | 24 (49.0) | 20 (38.5) | 23 (45.1) | 17 (32.1) | 84 (41.0) | 114 (37.1) |
| Cardiac risk factors, n (%) | | | | | | | | | |
| CAD | 15 (28.8) | 15 (30.0) | 30 (29.4) | 12 (24.5) | 13 (25.0) | 9 (17.6) | 13 (24.5) | 47 (22.9) | 77 (25.1) |
| PAD or CVD | 7 (13.5) | 7 (14.0) | 14 (13.7) | 8 (16.3) | 4 (7.7) | 7 (13.7) | 9 (17.0) | 28 (13.7) | 42 (13.7) |
| T2DM | 16 (30.8) | 18 (36.0) | 34 (33.3) | 19 (38.8) | 21 (40.4) | 25 (49.0) | 18 (34.0) | 83 (40.5) | 117 (38.1) |
| Hypertension | 40 (76.9) | 36 (72.0) | 76 (74.5) | 40 (81.6) | 34 (65.4) | 35 (68.6) | 41 (77.4) | 150 (73.2) | 226 (73.6) |
| Elevated WC ^b | 33 (63.5) | 34 (68.0) | 67 (65.7) | 34 (69.4) | 33 (63.5) | 34 (66.7) | 34 (64.2) | 135 (65.9) | 202 (65.8) |
| Current smoker | 11 (21.2) | 16 (32.0) | 27 (26.5) | 11 (22.4) | 12 (23.1) | 15 (29.4) | 14 (26.4) | 52 (25.4) | 79 (25.7) |
| Metabolic syndrome ^c | 17 (32.7) | 12 (24.0) | 29 (28.4) | 13 (26.5) | 14 (26.9) | 11 (21.6) | 16 (30.2) | 54 (26.3) | 83 (27.0) |
| ≥2 cardiovascular risk factors | 24 (46.2) | 26 (52.0) | 50 (49.0) | 32 (65.3) | 25 (48.1) | 30 (58.8) | 33 (62.3) | 120 (58.5) | 170 (55.4) |
| High-intensity statin use (global definition) ^d | 2 (3.8) | 3 (6.0) | 5 (4.9) | 6 (12.2) | 2 (3.8) | 3 (5.9) | 3 (5.7) | 14 (6.8) | 19 (6.2) |
| High-intensity statin use (Japan-specific definition) ^e | 14 (26.9) | 14 (28.0) | 28 (27.5) | 14 (28.6) | 11 (21.2) | 10 (19.6) | 10 (18.9) | 45 (22.0) | 73 (23.8) |
| Baseline lipids (mean [SD]) | | | | | | | | | |
| UC LDL-C, mmol/L | 3.7 (0.5) | 3.7 (0.6) | 3.7 (0.5) | 3.7 (0.5) | 3.6 (0.6) | 3.6 (0.5) | 3.6 (0.5) | 3.7 (0.5) | 3.7 (0.5) |
| Calculated LDL-C, mmol/L | 3.7 (0.5) | 3.6 (0.6) | 3.7 (0.5) | 3.7 (0.6) | 3.6 (0.6) | 3.6 (0.5) | 3.6 (0.5) | 3.6 (0.6) | 3.6 (0.6) |
| Lp(a), nmol/L ^f | 32.0 (17.5, 65.5) | 35.0 (13.0, 66.0) | 33.5 (16.0, 66.0) | 29.0 (14.0, 56.0) | 32.0 (11.0, 67.0) | 27.0 (12.0, 53.0) | 48.0 (20.0, 82.0) | 33.5 (12.0, 66.0) | 33.5 (13.0, 66.0) |
| TC, mmol/L | 5.8 (0.6) | 5.8 (0.6) | 5.8 (0.6) | 5.8 (0.7) | 5.7 (0.7) | 5.7 (0.7) | 5.7 (0.6) | 5.7 (0.7) | 5.8 (0.6) |
| HDL-C, mmol/L | 1.4 (0.3) | 1.4 (0.3) | 1.4 (0.3) | 1.4 (0.4) | 1.4 (0.3) | 1.4 (0.4) | 1.4 (0.4) | 1.4 (0.3) | 1.4 (0.3) |
| TG, mmol/L | 1.6 (0.6) | 1.6 (0.6) | 1.6 (0.6) | 1.6 (0.7) | 1.5 (0.5) | 1.4 (0.5) | 1.6 (0.7) | 1.5 (0.6) | 1.5 (0.6) |
| VLDL-C, mmol/L ^f | 0.7 (0.5, 0.9) | 0.7 (0.5, 0.9) | 0.7 (0.5, 0.9) | 0.7 (0.4, 0.9) | 0.6 (0.5, 0.8) | 0.6 (0.5, 0.7) | 0.7 (0.5, 0.9) | 0.6 (0.5, 0.8) | 0.6 (0.5, 0.9) |
| Non-HDL-C, mmol/L | 4.4 (0.6) | 4.4 (0.7) | 4.4 (0.6) | 4.4 (0.7) | 4.3 (0.7) | 4.3 (0.6) | 4.3 (0.6) | 4.3 (0.7) | 4.3 (0.6) |
| ApoB, g/L | 1.2 (0.2) | 1.1 (0.2) | 1.1 (0.2) | 1.1 (0.2) | 1.1 (0.2) | 1.1 (0.2) | 1.1 (0.2) | 1.1 (0.2) | 1.1 (0.2) |
| ApoA1, g/L | 1.6 (0.2) | 1.6 (0.2) | 1.6 (0.2) | 1.6 (0.2) | 1.6 (0.2) | 1.6 (0.3) | 1.6 (0.2) | 1.6 (0.2) | 1.6 (0.3) |
| TC:HDL-C | 4.4 (0.9) | 4.3 (1.1) | 4.3 (1.0) | 4.4 (1.2) | 4.3 (1.0) | 4.2 (1.0) | 4.2 (1.0) | 4.3 (1.0) | 4.3 (1.0) |
| ApoB:ApoA1 | 0.8 (0.2) | 0.7 (0.2) | 0.7 (0.2) | 0.7 (0.2) | 0.7 (0.2) | 0.7 (0.2) | 0.7 (0.2) | 0.7 (0.2) | 0.7 (0.2) |
| PCSK9, ng/ml | 389.4 (121.2) | 411.3 (101.1) | 400.1 (111.8) | 402.6 (129.1) | 392.6 (125.8) | 411.5 (137.9) | 416.6 (143.9) | 405.9 (133.8) | 404.0 (126.8) |

All percentages based on n. ^aStudy population includes all randomized patients who received ≥1 dose of investigational product. ^bElevated waist circumference (WC) defined as ≥85 cm for men, ≥90 cm for women. ^cJAS 2012 criteria. ^dDaily simvastatin 80 mg, atorvastatin ≥40 mg, rosuvastatin ≥20 mg, or any statin plus ezetimibe. ^eDaily atorvastatin ≥10 mg, pitavastatin ≥2 mg, rosuvastatin ≥5 mg, simvastatin ≥20 mg, lovastatin ≥40 mg, fluvastatin ≥80 mg, pravastatin ≥40 mg, or any statin plus ezetimibe. ^fMedian (Q1, Q3).

Apo, apolipoprotein; CAD, coronary artery disease; CVD, cerebrovascular disease; HDL-C, high-density lipoprotein cholesterol; JAS, Japanese Atherosclerosis Society; LDL-C, low-density lipoprotein cholesterol; Lp(a), lipoprotein A; PAD, peripheral arterial disease; PCSK9, proprotein convertase subtilisin/kexin type 9; Q1, first quartile; Q2W, every 2 weeks; Q3, second quartile; QM, monthly; SD, standard deviation; T2DM, type 2 diabetes mellitus; TC, total cholesterol; TG, triglyceride; UC, ultracentrifugation; VLDL-C, very low-density lipoprotein cholesterol.

and incidence of anti-evolocumab antibodies.

Statistical Analysis

Analyses were conducted on data for randomized patients who received ≥1 dose of evolocumab or placebo. The primary endpoint was analyzed using an analysis of covariance model, including treatment group and the stratification factor of screening LDL-C. A last observation carried forward approach was used to impute missing values. Secondary endpoints were evaluated similarly to the primary endpoint; LDL-C response was assessed using a logistic regression, which included terms for treatment group and screening LDL-C. Secondary endpoint analyses were not adjusted for multiple comparisons. Analysis of the percentage change from baseline to the average of weeks 10 and 12 for lipid parameters of interest was

performed using a repeated measures model and observed data, which included treatment group, the stratification factor of screening LDL-C, scheduled visit, and the interaction of treatment with scheduled visit.

AEs and serious AEs were recorded throughout the study and were coded using the current version of the Medical Dictionary for Regulatory Activities (MedDRA v16.0). Laboratory parameters were summarized using descriptive statistics for each treatment group at each scheduled visit. Rates of anti-evolocumab antibody formation were tabulated by treatment group.

Results

Patient disposition is summarized in Figure 1. Of the 452

| | Evolocumab Q2W | | Placebo Q2W (n=52) | Evolocumab QM | | Placebo QM (n=50) |
|---|-------------------------------|-------------------------------|--------------------|-------------------------------|-------------------------------|-------------------|
| | 70 mg (n=49) | 140 mg (n=52) | | 280 mg (n=51) | 420 mg (n=53) | |
| LDL-C | | | | | | |
| Mean (SE) percentage change vs. placebo in UC LDL-C; P value ^{a,b} | -52.9 (3.0); <0.001 | -68.6 (3.0); <0.001 | N/A | -58.2 (3.2); <0.001 | -63.9 (3.2); <0.001 | NA |
| Change in UC LDL-C vs. placebo (mmol/L; SE); P value ^b | -2.0 (0.1); <0.001 | -2.5 (0.1); <0.001 | NA | -2.1 (0.1); <0.001 | -2.3 (0.1); <0.001 | NA |
| Achieved LDL-C (mmol/L; mean [SD]) ^c | 1.5 (0.8) | 0.9 (0.5) | 3.6 (0.5) | 1.5 (0.5) | 1.2 (0.7) | 3.6 (0.8) |
| LDL-C <2.6 mmol/L at week 12 (n [%]) ^d | 44 (94) | 49 (98) | 2 (4) | 48 (94) | 49 (96) | 1 (2) |
| LDL-C <1.8 mmol/L at week 12 (n [%]; P value) ^d | 31 (66); <0.001 | 48 (96); <0.001 | 0 (-) | 41 (80); <0.001 | 42 (82); <0.001 | 0 (-) |
| Other lipid parameters | | | | | | |
| Lp(a), mean (SE) % change vs. placebo; P value ^{a,b} | -41.5 (4.9); <0.001 | -50.6 (4.9); <0.001 | NA | -39.6 (4.9); <0.001 | -32.3 (4.9); <0.001 | NA |
| Achieved Lp(a), mean (SD), nmol/L | 30.8 (42.5) | 30.9 (42.3) | 53.4 (58.5) | 29.4 (41.9) | 52.1 (68.1) | 67.7 (87.0) |
| TC, mean (SE) % change vs. placebo; P value ^{a,b} | -36.2 (2.2); <0.001 | -45.3 (2.1); <0.001 | NA | -36.3 (2.3); <0.001 | -40.2 (2.3); <0.001 | NA |
| Achieved TC mean (SD), mmol/L | 3.7 (0.9) | 3.1 (0.6) | 5.8 (0.7) | 3.7 (0.7) | 3.5 (0.8) | 5.8 (0.8) |
| HDL-C, mean (SE) % change vs. placebo; P value ^{a,b} | 4.4 (3.2); 0.17 | 9.1 (3.1); 0.004 | NA | 16.3 (3.1); <0.001 | 13.2 (3.1); <0.001 | NA |
| Achieved HDL-C, mean (SD), mmol/L | 1.6 (0.4) | 1.6 (0.4) | 1.5 (0.4) | 1.6 (0.4) | 1.6 (0.4) | 1.4 (0.3) |
| TG, mean (SE) percentage change vs. placebo; P value ^{a,b} | -14.3 (6.3); 0.025 | -16.6 (6.2); 0.009 | NA | -17.1 (6.5); 0.009 | -20.2 (6.4); 0.002 | NA |
| Achieved TG, mean (SD), mmol/L | 1.4 (0.6) | 1.3 (0.6) | 1.6 (0.9) | 1.3 (0.5) | 1.4 (0.7) | 1.7 (0.8) |
| VLDL-C, median (Q1, Q3) % change vs. placebo; P value ^{a,b} | -22.2 (0.002); (-42.4, -1.9); | -21.2 (0.002); (-40.6, -1.7); | NA | -25.1 (0.015); (-47.8, -2.4); | -24.1 (0.004); (-46.4, -1.8); | NA |
| Achieved VLDL-C, median (Q1, Q3), mmol/L | 0.5 (0.3, 0.6) | 0.4 (0.3, 0.5) | 0.6 (0.4, 1.0) | 0.4 (0.3, 0.6) | 0.5 (0.3, 0.6) | 0.7 (0.4, 0.9) |
| Non-HDL-C, mean (SE) % change vs. placebo; P value ^{a,b} | -49.5 (2.7); <0.001 | -62.6 (2.7); <0.001 | NA | -53.5 (3.0); <0.001 | -58.1 (3.0); <0.001 | NA |
| Achieved Non-HDL-C, mean (SD), mmol/L | 2.2 (0.9) | 1.5 (0.5) | 4.3 (0.7) | 2.0 (0.6) | 1.9 (0.8) | 4.4 (0.9) |
| ApoB, mean (SE) % change vs. placebo; P value ^{a,b} | -46.8 (2.6); <0.001 | -60.7 (2.5); <0.001 | NA | -47.4 (2.8); <0.001 | -53.4 (2.8); <0.001 | NA |
| Achieved ApoB, mean (SD), g/L | 0.6 (0.2) | 0.4 (0.1) | 1.1 (0.2) | 0.6 (0.2) | 0.5 (0.2) | 1.1 (0.2) |
| ApoA1, mean (SE) % change vs. placebo; P value ^{a,b} | 4.0 (2.4); 0.100 | 6.3 (2.4); 0.009 | NA | 9.3 (2.2); <0.001 | 9.6 (2.2); <0.001 | NA |
| Achieved ApoA1, mean (SD), g/L | 1.7 (0.3) | 1.7 (0.3) | 1.6 (0.3) | 1.7 (0.3) | 1.7 (0.3) | 1.5 (0.2) |
| TC:HDL-C, mean (SE) % change vs. placebo; P value ^{a,b} | -37.2 (2.7); <0.001 | -47.0 (2.6); <0.001 | NA | -45.3 (2.9); <0.001 | -46.7 (2.9); <0.001 | NA |
| Achieved TCmean (SD), mmol/L | 2.5 (0.8) | 2.0 (0.4) | 4.2 (1.1) | 2.3 (0.5) | 2.3 (0.9) | 4.3 (1.2) |
| ApoB:ApoA1, mean (SE) % change vs. placebo; P value ^{a,b} | -47.5 (3.0); <0.001 | -61.4 (2.9); <0.001 | NA | -52.2 (3.1); <0.001 | -57.8 (3.0); <0.001 | NA |
| Achieved ApoB:ApoA1, mean (SD), g/L | 0.4 (0.2) | 0.2 (0.1) | 0.7 (0.2) | 0.4 (0.1) | 0.3 (0.2) | 0.7 (0.2) |

^aFor least-squares mean percentage change from baseline in lipid parameters for each treatment group, see Supplementary File 1. ^bLeast-squares mean difference within each dose frequency vs. matching placebo. ^cCalculated LDL-C. ^dPercentage calculated from n at week 12. NA, not applicable; SE, standard error. Other abbreviations as in Table 1.

patients screened for YUKAWA, 310 (69%) were randomized to treatment (2:1 evolocumab:placebo) (Figure 1). Baseline characteristics of the study population are reported in Table 1. Briefly, 37% were female; mean (standard deviation; SD) age was 62 (10) years; 55% were identified as having 2 or more cardiovascular risk factors, 38% had type 2 diabetes mellitus, and 25% had CAD. The mean (SD) baseline LDL-C values were 3.7 (0.5) mmol/L for placebo patients (total), 3.6 (0.6) mmol/L for evolocumab 140 mg Q2W, and 3.6 (0.5) mmol/L for evolocumab 420 mg QM. Baseline statin use was consistent with contemporary Japanese practice (Table S1).

All evolocumab treatment groups showed statistically significant ($P < 0.001$) mean changes from baseline in LDL-C vs.

placebo at week 12, with the highest evolocumab doses within each dose frequency (140 mg Q2W and 420 mg QM) providing the greatest efficacy (Table 2). Mean (standard error; SE) percentage changes vs. placebo at week 12 were -68.6 (3.0) % 140 mg Q2W and -63.9 (3.2) % 420 mg QM (both $P < 0.001$; Table 2), reflecting mean (SE) changes from baseline of -71.3 (2.2) % and -63.9 (2.3) %, respectively (Table S2). Subgroup efficacy results were consistent with these findings (Figure 2). Reductions in calculated LDL-C were apparent by week 2 in the evolocumab treatment groups and continued through the end of study (Figure 3). The most robust and sustained reductions were seen in the 140 mg Q2W and 420 mg QM groups.

The least-squares mean percentage change in LDL-C was

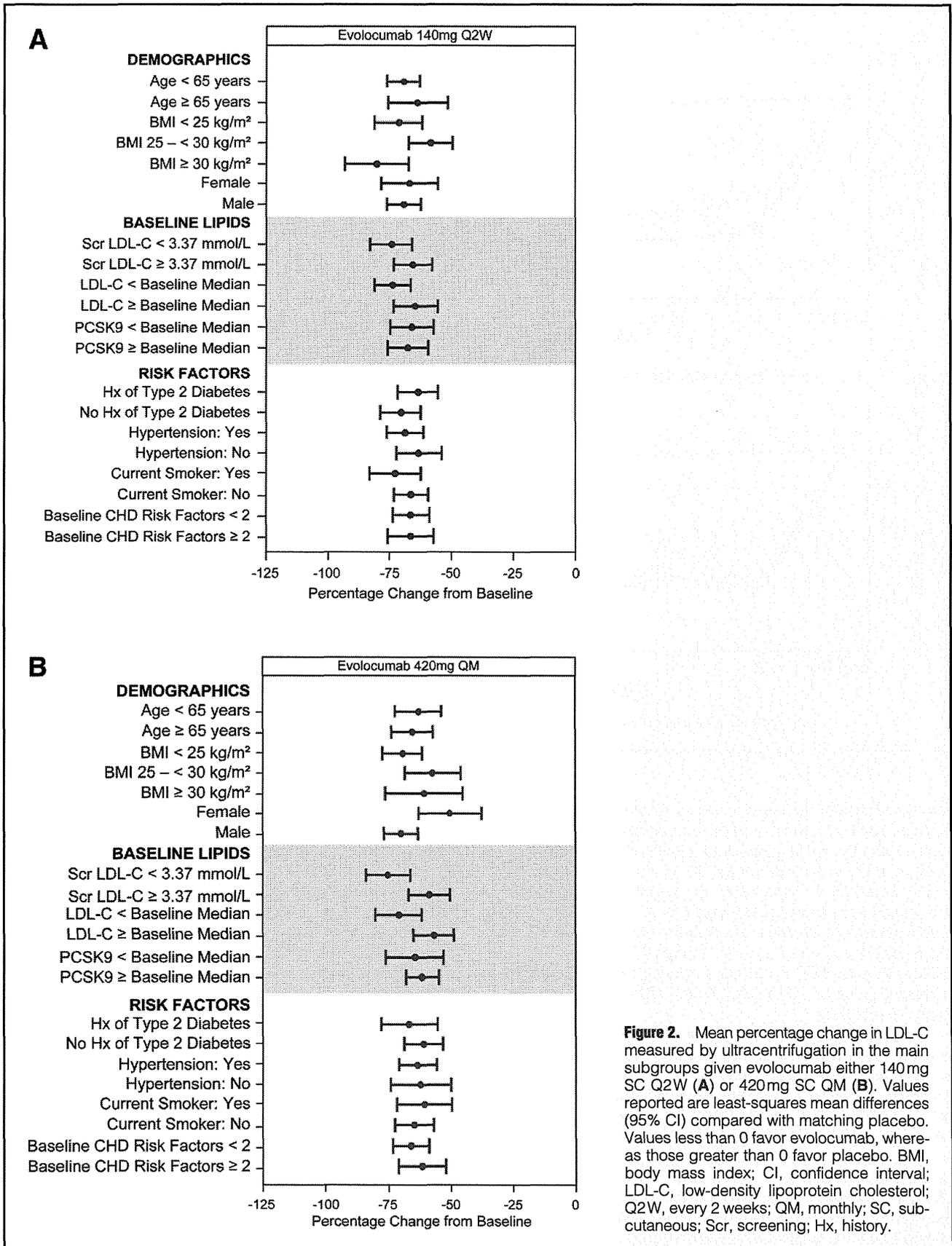


Figure 2. Mean percentage change in LDL-C measured by ultracentrifugation in the main subgroups given evolocumab either 140mg SC Q2W (A) or 420mg SC QM (B). Values reported are least-squares mean differences (95% CI) compared with matching placebo. Values less than 0 favor evolocumab, whereas those greater than 0 favor placebo. BMI, body mass index; CI, confidence interval; LDL-C, low-density lipoprotein cholesterol; Q2W, every 2 weeks; QM, monthly; SC, subcutaneous; Scr, screening; Hx, history.

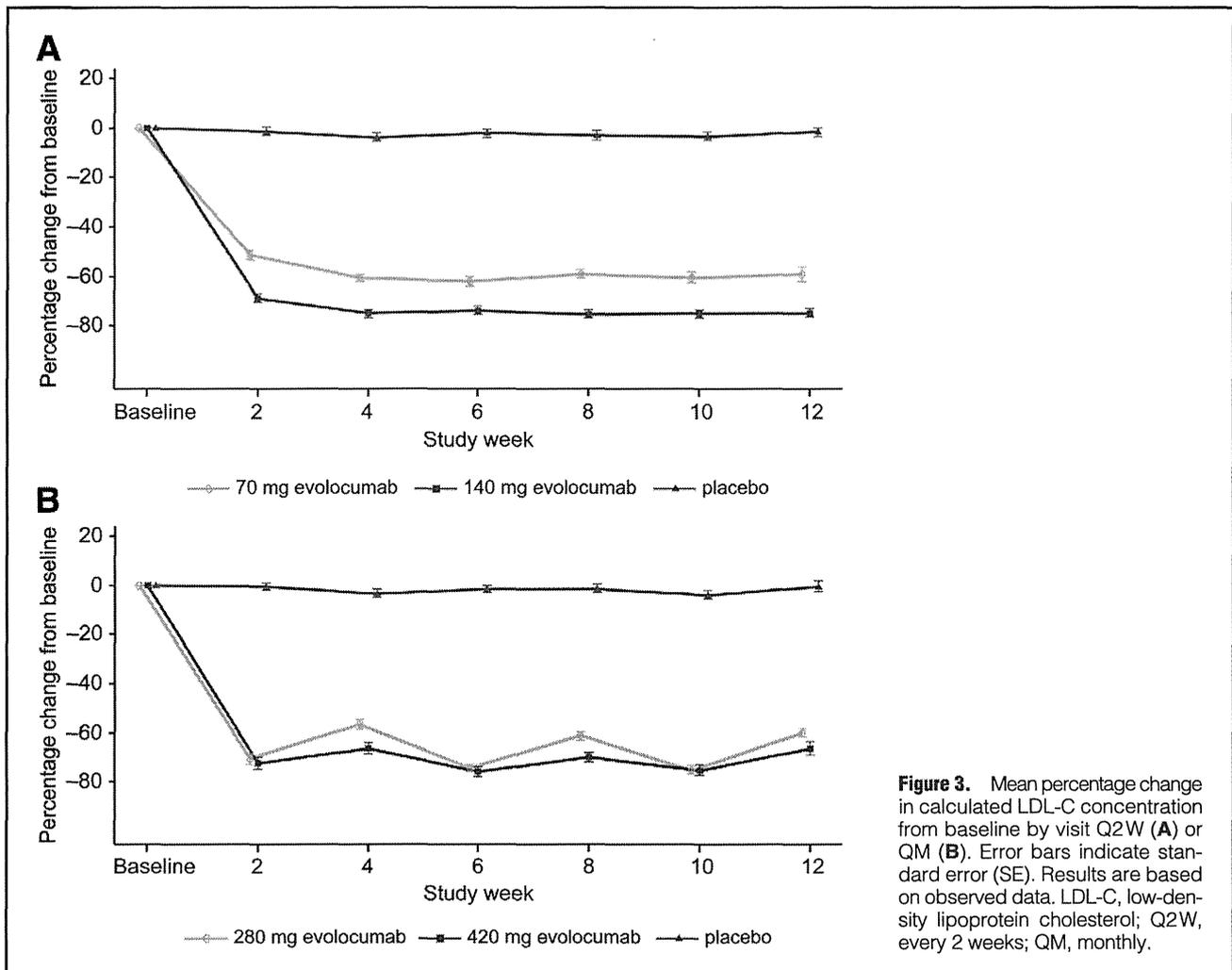


Figure 3. Mean percentage change in calculated LDL-C concentration from baseline by visit Q2W (A) or QM (B). Error bars indicate standard error (SE). Results are based on observed data. LDL-C, low-density lipoprotein cholesterol; Q2W, every 2 weeks; QM, monthly.

also calculated for the mean of weeks 10 and 12, as this measure can be more reflective of the time-averaged reduction in LDL-C than the week 12 assessment alone.²² LDL-C assessments at study visits between day 1 and week 12 used Friedewald's calculation. As a result, the mean LDL-C at weeks 10/12 reflects the average calculated LDL-C. Mean (SE) weeks 10/12 percentage changes vs. placebo were -71.7 (2.6) % 140 mg Q2W and -68.7 (2.6) % 420 mg QM (both $P < 0.0001$), reflecting mean (SE) percentage changes from baseline by treatment group of -74.9 (1.8) % and -70.9 (1.9) %, respectively (Table S3).

Comparable LDL-C reductions were achieved with these doses in patients receiving intensive and non-intensive statin therapy. In patients receiving intensive statin therapy (global definition, see Table 1, footnote), mean (SE) changes in LDL-C of -63.8 % (11.3) and -66.0 % (10.8) were observed at week 12 with 140 mg Q2W and 420 mg QM dose groups, respectively. In those receiving non-intensive statin therapy, mean (SE) changes in LDL-C were -71 % (2.2) and -63.7 % (2.3) at week 12 for the 140 mg Q2W and 420 mg QM dose groups, respectively. Although the sample size for intensive statin use (global definition) was small ($n=14$ on evolocumab), similar results were seen when using the Japan-specific definition of intensive statin use (see Table 1, footnote), which classified more patients as receiving intensive statin therapy ($n=45$ on evolocumab). This suggests that the effect of evolocumab 140 mg Q2W

and 420 mg QM does not change substantially with the intensity of background statin therapy. Appreciable differences in efficacy based on a history of heterozygous familial hypercholesterolemia were also not observed in this study; however, relatively few patients with this diagnosis received evolocumab ($n=11$). Based on a recently completed global phase 2 study evaluating evolocumab in patients with heterozygous familial hypercholesterolemia,¹⁸ efficacy and safety results are expected to be similar to those seen in patients without familial hypercholesterolemia.^{16,17,20}

Therapeutic monoclonal antibodies such as evolocumab demonstrate non-linear pharmacokinetics. Dosing evolocumab at QM intervals compared with Q2W can provide similar time-averaged reductions in PCSK9. In assessing PCSK9 suppression for this study, the evolocumab 140 mg Q2W group demonstrated mean (SE) unbound PCSK9 reductions of 83.2% (2.2) at week 2, 77.8% (2.7) at week 10, and 77.0% (3.0) at week 12 (2 weeks after the last dose of evolocumab 140 mg Q2W). In the evolocumab 420 mg QM group, mean reductions of unbound PCSK9 from baseline were 98.8% (0.3) at week 2, 94.2% (2.5) at week 10, and 50.6% (4.4) by week 12 (4 weeks after the last dose of 420 mg evolocumab QM).

Statistically significant improvements ($P < 0.05$) were also seen in all evolocumab treatment groups for total cholesterol (TC), triglycerides, very low-density lipoprotein cholesterol (VLDL-C), non-HDL cholesterol (non-HDL-C), apolipoprotein

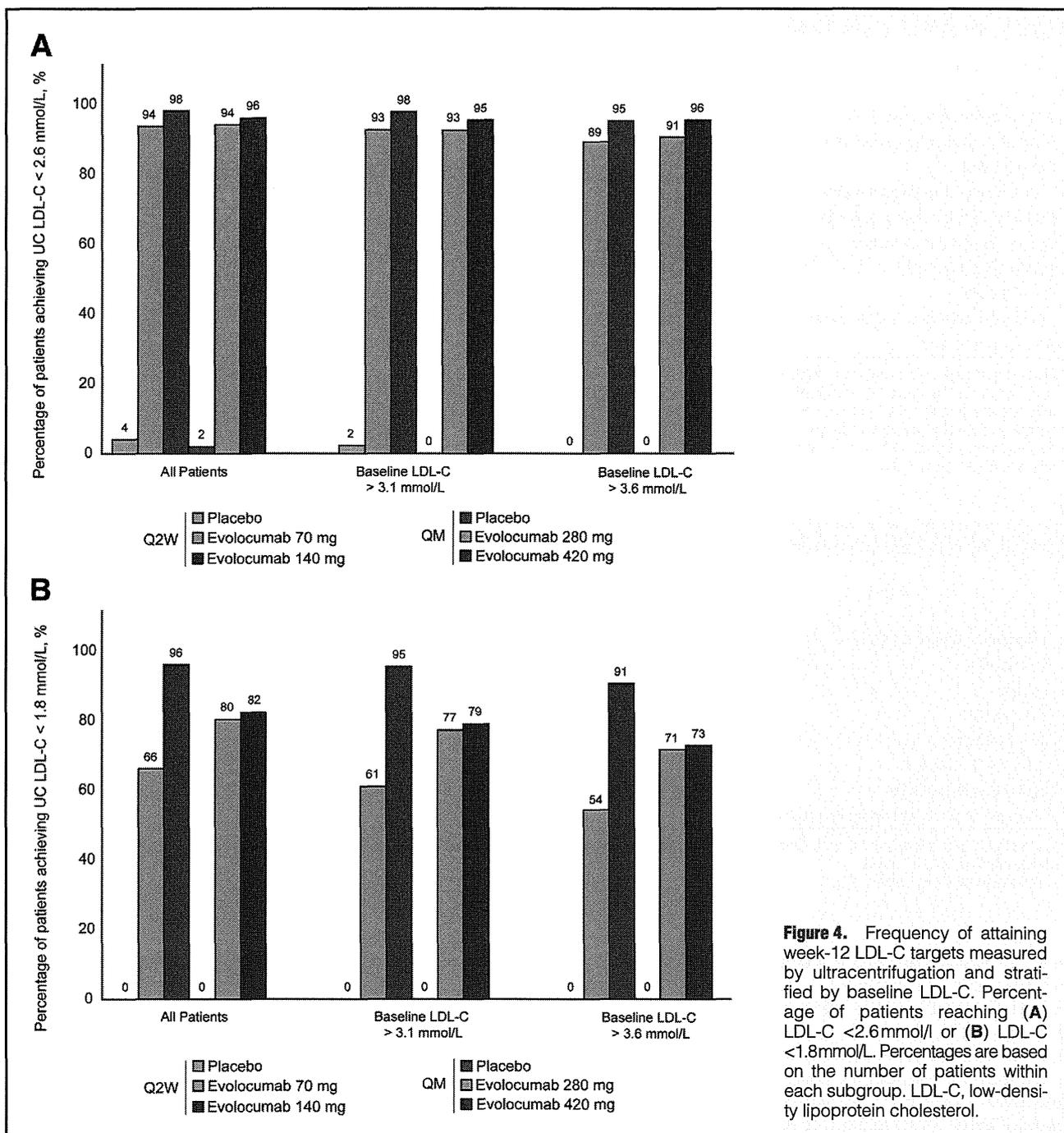


Figure 4. Frequency of attaining week-12 LDL-C targets measured by ultracentrifugation and stratified by baseline LDL-C. Percentage of patients reaching (A) LDL-C <2.6mmol/l or (B) LDL-C <1.8mmol/L. Percentages are based on the number of patients within each subgroup. LDL-C, low-density lipoprotein cholesterol.

tein B (ApoB), lipoprotein a (Lp[a]), the ApoB:ApoA1 ratio, and the TC:HDL-C ratio, and in all but the evolocumab 70 mg Q2W group for HDL-C and ApoA1 at week 12 (Table 2, Table S2). Favorable changes were also seen in other lipids for the mean of weeks 10 and 12 (Table S3).

The majority (94% to 98%) of patients in the evolocumab treatment groups achieved the most stringent JAS-recommended LDL-C goal of <2.6mmol/L¹² at week 12. Goal achievement was highest in the 140 mg Q2W and 420 mg QM groups (98% and 96%, respectively, vs. 3% placebo; Figure 4A). The majority of evolocumab-treated patients also achieved LDL-C levels <1.8 mmol/L (Figure 4B).

Although more AEs were reported in evolocumab-treated

(51%) vs. placebo-treated (38%) patients (Table 3), most were Common Terminology Criteria for Adverse Events (CTCAE) grade 1 or 2 (mild or moderate),²³ and no imbalances in AEs were observed with respect to dose or dose frequency. Nasopharyngitis was the most frequent AE; 4 (2%) patients in the evolocumab treatment group reported serious AEs (Table 3), none of which was considered related to the study drug. These AEs were carcinoid tumor of the cecum with a pre-randomization history of anal bleeding (drug was withdrawn); fracture of left clavicle, ribs, and ankle (dose was altered or withheld); prostate cancer (dose was unchanged); and worsening of arteriosclerosis (dose was unchanged). In total, 4 evolocumab-treated patients discontinued treatment because of any AE,

| n (%) | Placebo Q2W (n=52) | Evolocumab 70 mg Q2W (n=49) | Evolocumab 140 mg Q2W (n=52) | Placebo QM (n=50) | Evolocumab 280 mg QM (n=51) | Evolocumab 420 mg QM (n=53) |
|---|--------------------|-----------------------------|------------------------------|-------------------|-----------------------------|-----------------------------|
| All treatment-emergency AEs ^a | 18 (34.6) | 24 (49.0) | 28 (53.8) | 21 (42.0) | 21 (41.2) | 31 (58.5) |
| Leading to drug discontinuation | 0 | 1 (2.0) | 1 (1.9) | 0 | 0 | 2 (3.8) |
| Serious AEs ^b | 0 | 0 | 1 (1.9) | 0 | 1 (2.0) | 2 (3.8) |
| Leading to drug discontinuation | 0 | 0 | 0 | 0 | 0 | 1 (1.9) |
| Potential injection-site reactions ^c | 1 (1.9) | 1 (2.0) | 2 (3.8) | 0 | 0 | 1 (1.9) |
| Binding antibodies detected ^d | 1 (1.9) | 0 | 0 | 0 | 0 | 0 |
| AST or ALT >3x ULN | 0 | 1 (2.0) | 0 | 0 | 0 | 0 |
| CK >5x ULN | 0 | 0 | 0 | 1 (2.0) | 1 (2.0) | 0 |
| Positively adjudicated cardiovascular events ^e | 1 (1.9) | 0 | 0 | 1 (2.0) | 0 | 0 |
| All-cause mortality | 0 | 0 | 0 | 0 | 0 | 0 |

All percentages are based on n. ^aThe most common treatment-emergency AE for both the placebo and evolocumab group was nasopharyngitis. ^bSerious AEs: fracture, carcinoid tumor of the cecum, prostate cancer, and arteriosclerosis. ^cPain, bruising, erythema, hemorrhage, or pruritus at injection site. ^dNo neutralizing antibodies to evolocumab detected. ^eThe 2 positively adjudicated cardiovascular events were percutaneous coronary revascularizations. AE, adverse event; ALT, alanine aminotransferase; AST, aspartate aminotransferase; CK, creatine kinase; ULN, upper limit of normal. Other abbreviations as in Table 1.

| n (%) | LDL-C <0.65 mmol/l | | LDL-C <1.04 mmol/l | | LDL-C ≥1.04 mmol/l | |
|--|----------------------------|-------------------|----------------------------|--------------------|--------------------|-------------------|
| | Placebo ^a (n=0) | Evolocumab (n=90) | Placebo ^a (n=0) | Evolocumab (n=157) | Placebo (n=102) | Evolocumab (n=48) |
| Treatment-emergency AEs | NA | 49 (54.4) | NA | 79 (50.3) | 39 (38.2) | 25 (52.1) |
| Serious AEs | NA | 1 (1.1) | NA | 3 (1.9) | 0 (0.0) | 1 (2.1) |
| Myalgia | NA | 2 (2.2) | NA | 3 (1.9) | 1 (1.0) | 1 (2.1) |
| CK >5xULN | NA | 0 (0.0) | NA | 1 (0.6) | 1 (1.0) | 0 (0.0) |
| CK >10xULN | NA | 0 (0.0) | NA | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| AST or ALT >3xULN | NA | 0 (0.0) | NA | 0 (0.0) | 0 (0.0) | 1 (2.1) |
| Total bilirubin >2xULN | NA | 0 (0.0) | NA | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| Positively-adjudicated cardiovascular events | NA | 0 (0.0) | NA | 0 (0.0) | 2 (2.0) | 0 (0) |

All percentages based on n. LDL-C categories are based on patient's lowest, post-baseline LDL-C. ^aNo placebo patients achieved these 2 post-baseline LDL-C levels. Abbreviations as in Tables 1,3.

only 1 of which was serious (carcinoid tumor of the cecum with pre-randomization history of anal bleeding; not considered treatment related). Incidences of positively adjudicated cardiovascular events and elevations in creatine kinase (CK) and aminotransferases were comparable between placebo- and evolocumab-treated patients (Table 3). Binding antibodies to evolocumab were not detected in any evolocumab-treated patients (Table 3). Incidences of AEs, serious AEs, myalgia, and positively adjudicated cardiovascular events, as well as CK and aminotransferase elevations, were comparable between placebo-treated and evolocumab-treated patients irrespective of lowest post-baseline LDL-C (Table 4).

Discussion

Results from YUKAWA suggest that evolocumab dosed Q2W or QM yields significant reductions in LDL-C and other lipids (Table 2). Congruent with global evolocumab phase 2 results,^{16–18,20} the greatest and most sustained LDL-C reductions were seen in the highest dose groups (140mg Q2W and 420mg QM; Table 2, Table S2). As mentioned before, time-averaged reductions in LDL-C can be estimated using the mean of weeks 10 and 12. When comparing the mean reduction at weeks 10 and 12 between the 140mg Q2W and 420mg QM groups, re-

sults were also similar (Table S3). Favorable changes were seen in additional lipid parameters at both week 12 and the mean of weeks 10 and 12, with the 140mg Q2W and 420mg QM doses resulting in the greatest changes. Most (94–98%) of the YUKAWA patients on evolocumab Q2W or QM achieved the JAS-recommended lipid target of <2.6mmol/L.¹² In this study, the mean (SD) baseline LDL-C was 3.7 (0.5) mmol/L, with no patients having an LDL-C <2.6mmol/L. At 12 weeks, up to 98% of patients receiving evolocumab achieved an LDL-C <2.6mmol/L (Figure 4).

Because of the less potent and lower doses of statins used in Japan, fewer patients in YUKAWA were on high-intensity statin therapy compared with the LAPLACE-TIMI-57¹⁷ or RUTHERFORD¹⁸ global phase 2 studies, in which evolocumab was administered with a background of statin therapy (Table 1). Compared with LAPLACE-TIMI 57, the prevalence of diabetes, hypertension, and smoking were higher in YUKAWA.¹⁷ Despite these differences, and consistent with results of the evolocumab phase 1b study performed in Japanese patients,²⁴ changes in LDL-C and other lipid parameters in the YUKAWA patients were comparable to those seen in the other evolocumab phase 2 studies at week 12.^{17,18} Additionally, reductions in LDL-C did not appear to be significantly affected by factors such as age, weight, baseline lipid concentrations, or