

1 Methods

2 Study population

3 The study subject was residents in seventeen municipalities located in the east of  
4 Iwate prefecture from February 2009 to May 2011 and the adult population (age  $\geq$  20 years)  
5 based on population statistics at October of each year was 285,111 in 2009, 285,556 in  
6 2010, and 272,440 in 2011.

7 The study region included twelve general public hospitals, four of which had a  
8 cardiology department. A huge tsunami following the initial earthquake caused loss of all  
9 medical records in three hospitals (Rikuzen-takata, Otsuchi, Yamada, Figure 1). In the  
10 remaining nine general public hospitals, study teams including cardiologists and trained  
11 research nurses retrospectively checked medical charts and obtained information regarding  
12 the occurrence of AMI and SCD including age at onset, sex, and date of onset. Furthermore,  
13 to capture community cases of SCD, we checked death certificates in government offices  
14 within the target district and obtained information such as time from symptom onset to death,  
15 situation just before death, and diagnosis. Cases registered in the study were aged  $\geq$  20  
16 years and occurring between February 11, 2011 (four weeks before the disaster) and May 5,  
17 2011 (eight weeks after the disaster), and in the corresponding periods in 2009 and 2010 as  
18 a reference. Furthermore, to capture cases transferred from the study area to other  
19 municipalities, we extended the survey to include five teaching hospitals within three  
20 municipalities (Morioka, Kitakami, and Ichinoseki: Figure 1) located inland in Iwate  
21 prefecture.

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23 Disease definitions

24 AMI was defined according to the criteria of the WHO MONICA Project<sup>9</sup>. This criteria

1 is based on information about symptoms, changes on electrocardiograph (ECG), changes in  
2 cardiac enzyme levels, necropsy findings and, for deaths only, previous history of ischemic  
3 heart disease.<sup>9</sup> According to the WHO criteria for sudden death, SCD was defined as  
4 sudden unexpected death either within 1 hour of symptom onset (event witnessed), or within  
5 24 hour of having been observed alive and symptom free (unwitnessed).<sup>10</sup> The validity of an  
6 identification for sudden unexpected death was determined by a committee consisting of  
7 cardiologists, neurologists, and epidemiologists on the basis of death certificates. Cases  
8 were registered if they met type 1 definition of the MONICA diagnostic AMI criteria  
9 corresponding to either definite AMI, possible coronary death or unclassifiable SCD.<sup>9</sup> We  
10 excluded cases not satisfying the MONICA diagnostic criteria, not having sufficient  
11 information for diagnosis, or being in the terminal stage of another disease such as cancer.  
12 Approval was obtained from the ethics review board of each participating hospital and Iwate  
13 Medical University before commencement of the study.

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#### 15 Statistical analysis

16 Numbers and characteristics of cases with AMI occurring during the twelve-week  
17 period from four weeks before to eight weeks after the day of the earthquake were  
18 compared to those during the corresponding periods in the previous two years. The  
19 chi-square test was used for comparison of categorical variables in the disaster year (2011)  
20 and the pre-disaster years (2009 and 2010). Age at baseline was expressed as mean  $\pm$   
21 standard deviation and compared between each year by one way analysis of variance with  
22 the Scheffe's post hoc test.

23 The fact that the northeast Japan earthquake struck during the afternoon may have  
24 resulted in a spuriously smaller number of admissions on March 11, 2011, if an augmented

1 admission rate after the earthquake extended beyond midnight, resulting in those  
 2 admissions being assigned to March 12, 2011. To account for this possibility, AMI case  
 3 numbers in the two-day time periods during two weeks before and after March 11, 2011  
 4 were compared to those during the corresponding periods in 2009 and 2010.

5 For comparison of event incidence before and after the disaster, the relative risk (RR)  
 6 of AMI incidence and its 95% confidential interval (CI) were calculated from a 2-by-2 table  
 7 using the Pearson chi-square test as follows:

8 Years	Number of people with events	Number of people with no events
9 2011	a	b
10 2009 and 2010	c	d

11 The proportion of events before and after the disaster in 2011 [ $a/(a+b)$ ] were compared  
 12 with those in the corresponding periods in 2009 and 2010 [ $c/(c+d)$ ]. Results are summarized  
 13 by the RR:  $RR = [a/(a+b)]/[c/(c+d)]$  with 95% CIs.

14 Furthermore, numbers of AMI cases over these four week periods were analyzed  
 15 according to sex, age group (< 70 years at onset and  $\geq$  70 years), and presence of  
 16 tsunami-induced flooding in more than 10 % of the built-up area.<sup>11</sup>

17 In the study area, there were five seismometry facilities located in Ninohe, Kuji, Miyako,  
 18 Kamaishi, and Ofunato municipalities. After the main shock, the frequency of strong  
 19 earthquakes was observed at these facilities during the study period.<sup>12</sup> The SI scale of the  
 20 Japan Meteorological Agency<sup>13</sup> was used. Spearman correlation coefficients were used to  
 21 examine the association between the scales of weekly maximum SI and weekly incidence of  
 22 AMI during the twelve-week period. In this analysis, SI scales were substituted with  
 23 maximum SI measurements taken in each municipality. P values of < 0.05 were considered  
 24 to be statistically significant.

1 Results

2 During the four weeks after the disaster (March 11 to April 7, 2011), 96 patients  
3 developed AMI corresponding to the MONICA diagnostic criteria. Table 1 shows clinical  
4 characteristics of patients with AMI during the twelve weeks before and after the disaster  
5 and in the corresponding periods (February 11 to May 5) in the previous two years. The  
6 crude number of AMI cases per 100,000 people has increased after the disaster, and it  
7 approximately doubled during the first to fourth weeks after the disaster compared to the  
8 corresponding periods in the previous two years (Table). Age, sex, the proportion of  
9 diagnostic type of AMI criteria (corresponding to either definite AMI, possible coronary death  
10 or unclassifiable SCD) and residential areas of AMI cases did not differ in any period  
11 between each year before and after the disaster (Table). The RR for the incidence of AMI  
12 during the first four weeks after the disaster was significantly higher compared to the  
13 corresponding periods in the previous two years ( $p < 0.001$ ; Figure 2). This trend was not  
14 observed in the period from the fifth to the eighth weeks after the disaster.

15 Figure 3 shows weekly maximum SI and relative risks for weekly incidence of AMI  
16 during the twelve weeks before and after the disaster compared to the corresponding  
17 periods in the pre-disaster years. The incidence peaked during the first week after the  
18 disaster (RR 2.77, 95% CI 1.73 to 4.43) and then decreased to the levels seen in the  
19 preceding years during the second week. The incidence then increased over the following  
20 two weeks (RR 1.84, 95% CI 1.05 to 3.24 in the third week; RR 2.00, 95% CI 1.13 to 3.55 in  
21 the fourth week, Figure 3). From the fifth week after the disaster, AMI incidence did not differ  
22 significantly from the corresponding periods in the previous two years. These trends in  
23 weekly incidence of AMI were closely related to the weekly maximum seismic intensity of  
24 each earthquake (Figure 3, open columns) as strong intensity aftershocks occurred

1 repeatedly during the four weeks after the main shock and then decreased markedly. As  
2 shown in Figure 4, a positive correlation was found between the degree of SI and weekly  
3 incidence of AMI ( $r = 0.75$ ) as well as in the changes in weekly incidence of AMI (number of  
4 AMI cases in 2011 – average number of AMI cases in the corresponding periods of 2009  
5 and 2010,  $r = 0.71$ ).

6 Figure 5 shows the number of AMI cases over two-day period during the two weeks  
7 before and after March 11, 2011 plus the corresponding periods in 2009 and 2010. The  
8 number of AMI cases peaked over the first two-day period after the disaster. Compared to  
9 the corresponding periods in 2009 and 2010, the increase in events was significant for the  
10 first (RR 3.89, 95% CI 1.45 to 10.7) and following two-day periods (RR 5.76, 95% CI 1.70 to  
11 21.4) after the disaster. There was no significant difference in the incidence for any of the  
12 two-day period before March 11 between the disaster and pre-disaster years.

13 As shown in figure 6, the number of AMI cases trended to increase for the first to fourth  
14 weeks (RR 2.34, 95% CI 1.54 to 3.56) and the fifth to eighth weeks (RR 1.56, 95% CI 0.98 to  
15 2.48) after the disaster, especially in women, and for the first to fourth weeks after the  
16 disaster in the cases aged 70 years and older (RR 2.03, 95% CI 1.47 to 2.81). There was no  
17 difference in incidence after the disaster in municipal areas with and without high levels of  
18 tsunami flooding.

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## 1 Discussion

2           The northeast Japan earthquake was the most severe of any studies conducted to this  
3 day in relation to earthquake related acute cardiac events. This is the first population based  
4 report to clarify the relationship between this unprecedented major earthquake and the  
5 incidence of AMI based on the MONICA diagnostic criteria. The present study showed that;  
6 1) the main shock caused by a massive earthquake was associated with an immediate  
7 increase in AMI events; 2) after decreasing back to the levels seen in preceding years, the  
8 number of AMI events increased again following high magnitude aftershocks; 3) the  
9 incidence of AMI was positively correlated with the seismic scale of each earthquake.

10           Several reports have investigated the effect of earthquakes on cardiac events <sup>1-7</sup>, yet  
11 the results of those reports have not necessarily been consistent. Three community based  
12 studies found increase in cardiac mortality on the basis of death certificate reviews; those  
13 related to the 1981 earthquake in Athens, Greece <sup>1</sup>, the 1994 Northridge earthquake in the  
14 Los Angeles area <sup>3,7</sup>, and the 1995 Hanshin-Awaji earthquake in Japan.<sup>4</sup> Hospital based  
15 studies have shown less consistent results, with an increase in AMI admissions after the  
16 Northridge earthquake <sup>14,15</sup>, but no increase was observed after the 1989 Loma Prieta  
17 earthquake in the San Francisco area <sup>15</sup> nor after the 2011 northeast Japan earthquake in  
18 Miyagi prefecture, Japan. <sup>16</sup> After the 2004 Niigata-Chuetsu earthquake in Japan <sup>6</sup>, the  
19 number of hospital consultations for SCD increased clearly but admissions for acute  
20 coronary syndrome did so only slightly. These disparate results may be due to the variety of  
21 end points analyzed in association with earthquakes of different magnitudes along with  
22 differences in case identification methodology. Generally, hospital based registration of AMI  
23 incidences cannot capture out-of-hospital coronary deaths, while the registration of cardiac  
24 mortality based on death certificate review cannot necessarily capture every incidence of

1 AMI events. This suggests that previous studies may have had a limited ability to identify  
2 earthquake related AMI events.

3 In contrast to the present study, a population based study after the Newcastle  
4 earthquake in Australia <sup>5</sup> found no significant increase in AMI according to the MONICA  
5 diagnostic criteria. This discrepancy may have arisen due to the difference in degrees of  
6 magnitude of the two disasters, with the Japanese event measuring magnitude 9.0 and the  
7 Newcastle earthquake measuring magnitude 5.6. <sup>5</sup> Furthermore, the Newcastle study was  
8 conducted among the population aged < 70 years old, while the present study found a  
9 higher incidence of earthquake related AMI events in individuals aged 70 years and older. In  
10 the Northridge earthquake of magnitude 6.7, coronary deaths increased only on the day of  
11 the main shock, and then decreased the next day and during the two weeks after. <sup>3,7</sup> In  
12 contrast, after the Hanshin-Awaji earthquake of magnitude 7.2 <sup>4</sup>, coronary deaths remained  
13 elevated over the subsequent two week period compared to the preceding years. In the  
14 present study, AMI incidence increased and peaked over the first week after the initial  
15 earthquake and increased again during the third and fourth weeks. These disparate results  
16 may be related to the fact that high intensity aftershocks occurred repeatedly after the  
17 Hanshin-Awaji and the northeast Japan earthquake, as suggested by the present finding of  
18 a positive correlation between weekly incidence of AMI and the degree of SI. For the first  
19 time, the present study has identified a direct relationship between the incidence of AMI and  
20 earthquake SI.

21 The relationship found in this analysis between weekly seismic activity and cardiac  
22 events that occurred in the same week will be relatively novel. This suggests a rapid causal  
23 effect in seismic activity, the associated stress and cardiac events. The aftershocks, most of  
24 which caused little environmental damage, could apparently also cause significant

1 psychological distress. In addition, residents have experienced physical distress due to  
2 environmental situations such as housing damages and a lack of heating because of power  
3 outages after the main shock.<sup>8</sup> Mittleman et al. have offered a hypothesis that psychological  
4 or physical stressors lead to activation of the sympathetic nervous system and have  
5 cardiovascular effects through hemodynamic alterations acting on vulnerable atherosclerotic  
6 plaque or hemostatic alterations such as activation of procoagulant factors.<sup>17</sup> However,  
7 there was no significant increase in risk of AMI associated with the tsunami flood even  
8 though it undoubtedly put on additional impact subsequent to environmental damages and  
9 disruption to residents. Therefore, it can be hypothesized that the increased incidence of  
10 AMI seen after the earthquake was caused by earthquake induced direct stress rather than  
11 by indirect stress induced by environmental damages. However, since the present study did  
12 not evaluate different degrees of stress among residents with and without tsunami damages,  
13 we cannot provide an explanation for the influence of the tsunami on AMI risk.

14 The hazard of AMI incidence triggered by the present disaster was relatively higher in  
15 women and elderly people. In the report on the Hanshin-Awaji earthquake, women made up  
16 a higher proportion of hospital admissions for AMI and experienced more post traumatic  
17 stress than men did.<sup>18</sup> In addition, this earthquake induced transient increase in BP, blood  
18 viscosity determinants and fibrin turnover in elderly subjects with hypertension.<sup>19</sup> These  
19 factors may have a bearing on the modest difference in risk for sex or age specific AMI  
20 events after the disaster in the present study.

21 The present findings of a correlation between the risk of AMI and the scale of  
22 aftershocks suggest that, in large scale earthquakes, it is necessary to recognize the event  
23 risk in conjunction with aftershocks as well as the main shock. In this regard, several  
24 approaches can be considered for risk prevention. First, medical supports should be

1 maintained after a major earthquake or ensure that such supports are restored as quickly as  
2 possible. Lifeline damages and traffic cutoff after disasters would hinder intervention for AMI  
3 cases that require an urgent transportation by an ambulance. Second, a preventive  
4 approach can be instituted at a public health level with provision of defibrillators and rapid  
5 cardiac resuscitation capability to reduce incidences of cardiac death.<sup>20</sup> Third, modification  
6 or avoidance of the disaster-induced physical and emotional distress, which can transiently  
7 increase the risk of plaque rupture and thrombosis, may play a role in prevention of AMI.  
8 With high intensity aftershocks, the number of incidence of AMI increased again during the  
9 third and fourth weeks after the main shock. Recognition of this period of vulnerability should  
10 spur physicians and other health care professionals to review such individuals more closely  
11 for symptoms or changes in cardiovascular risk factors. When high magnitude aftershocks  
12 are predicted, administration of agents which are commonly recognized to have a  
13 preventive effect on coronary events may be worthy of consideration. For example, it has  
14 been suggested that  $\beta$ -blockers reduce the somatic symptoms associated with anxiety.<sup>21</sup>  
15 However, it remains unclear whether earthquake related coronary events are attributable to  
16 psychological distress and whether administration of such agents is valid for prevention of  
17 earthquake related coronary events. Answers to those questions would require further  
18 studies.

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#### 20 Study limitations

21 The present study had several limitations. First, there might have been problems and  
22 insecurities in diagnosing AMI events, especially after a major disaster with a strained health  
23 care system. In fact, it was impossible to review the medical records of three hospitals  
24 located in the survey areas that were flooded by the tsunami. However, the influence on the

1 incidence of AMI would be small because these hospitals did not have a cardiology  
2 department and barely any AMI patients were admitted there. Approximately 6,000 persons,  
3 corresponding to 5 % of the study area population, were found dead due to drowning or  
4 missing after the tsunami following the initial earthquake, and those persons may have  
5 included the cases of AMI. In addition, although we extended the survey to the teaching  
6 hospitals of several remote municipalities located in the Iwate prefecture, it is possible that  
7 the identification of some cases that were transferred out of the study area has been  
8 insufficient. However, these could lead to an underestimation of tsunami related AMI risk.  
9 Secondly, we have previously reported that the incidence of sudden unexpected death was  
10 doubled immediately after the disaster.<sup>22</sup> This observation may be similar to the present  
11 study. However, in the previous study, only 16% of sudden unexpected death fulfilled the  
12 definition of MONICA-AMI.<sup>22</sup> In contrast, more than half of the present study cases were  
13 definite non-fatal AMI or coronary death (Table). These suggest that the subjects of the  
14 present study are different from those of the previous study. Moreover, the observed  
15 increase in the incidence of AMI for the first four weeks after the disaster remained to be  
16 significant even after exclusion of the cases with unclassifiable sudden death (RR 2.03, 95%  
17 CI 1.39 to 2.95). Third, for analysis of a correlation between SI and overall weekly incidence  
18 of AMI, the scale selected for analysis was from the municipality where the maximum SI was  
19 recorded. Therefore, these scales may not have reflected the actual SI in each municipality.  
20 However, this limitation would not affect the relationship between SI and the incidence of  
21 AMI, since the difference in SI scales measured among the municipalities was small  
22 (average difference between two municipalities: 0.3). Finally, although the ascertainment of  
23 the case was done according to the standard criteria (MONICA), event classification was not  
24 done blinded as the nature of the retrospective study. This could be a limitation for

1 classification of suspected AMI events.

2

3 Conclusions

4 This population based study suggests that the increase in AMI events after a major  
5 earthquake varies depending on the seismic scale of the initial shock and each aftershock.

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17 The contributions of authors were conception and design: MN, TO, KS; analysis and  
18 interpretation: FT, MN; drafting of the manuscript: FT; revising the manuscript critically: MN,  
19 SM, TI; and final approval of the manuscript: SM, TI, TO, KS, MN.

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1 Figure legends

2 Figure 1:

3 Map of the study area. Black zone shows the study area. Municipalities (numbers), locations  
4 of general hospitals in where the survey was conducted (white dots), and the earthquake  
5 epicenter (triple circle) are displayed.

6

7 Figure 2:

8 The incidence per four weeks of acute myocardial infarction according to the MONICA  
9 diagnostic criteria before and after the disaster in 2011 compared to the corresponding  
10 periods in 2009 and 2010. \* $P < 0.001$  vs. mean for 2009 to 2010. RR indicates relative risk;  
11 CI, confidence interval.

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13 Figure 3:

14 Relative risks for weekly incidence of acute myocardial infarction in the disaster year vs. the  
15 pre-disaster years and weekly maximum seismic intensity (open columns). CI indicates  
16 confidence interval; AMI, acute myocardial infarction.

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18 Figure 4:

19 The scatter diagram and regression lines show weekly maximum seismic intensity and  
20 numbers (A) or change numbers (difference of numbers between the disaster and  
21 pre-disaster years, B) of weekly incidence of acute myocardial infarction according to the  
22 MONICA diagnostic criteria. The plots represent data for twelve weeks (four weeks before  
23 and eight weeks after the disaster). AMI indicates acute myocardial infarction.

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1 Figure 5:

2 The incidence per two days of acute myocardial infarction according to the MONICA  
3 diagnostic criteria before and after the disaster in 2011 compared to the corresponding  
4 periods in 2009 and 2010. \* $p < 0.001$  vs. mean for 2009 to 2010. AMI indicates acute  
5 myocardial infarction.

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7 Figure 6:

8 Relative risks for the incidence of acute myocardial infarction according to the MONICA  
9 diagnostic criteria per four week period before and after the disaster in 2011 compared to  
10 the corresponding periods in 2009 and 2010 in the respective groups of age, sex, and  
11 residential area. CI indicates confidence interval; AMI, acute myocardial infarction. \*Patients  
12 who lived in the areas that were flooded more than 10 % of built-up area by the tsunami.

13

Figure 1

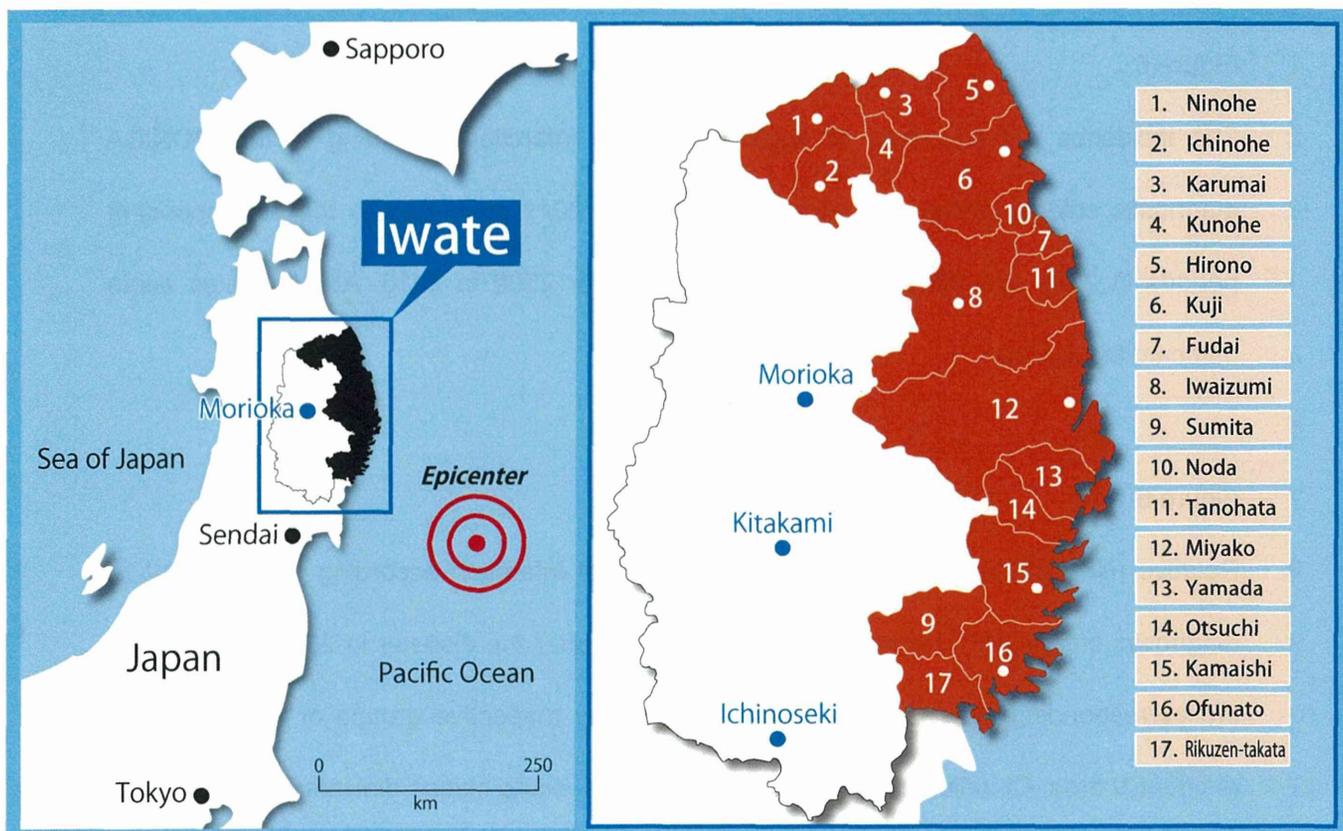


Figure 2

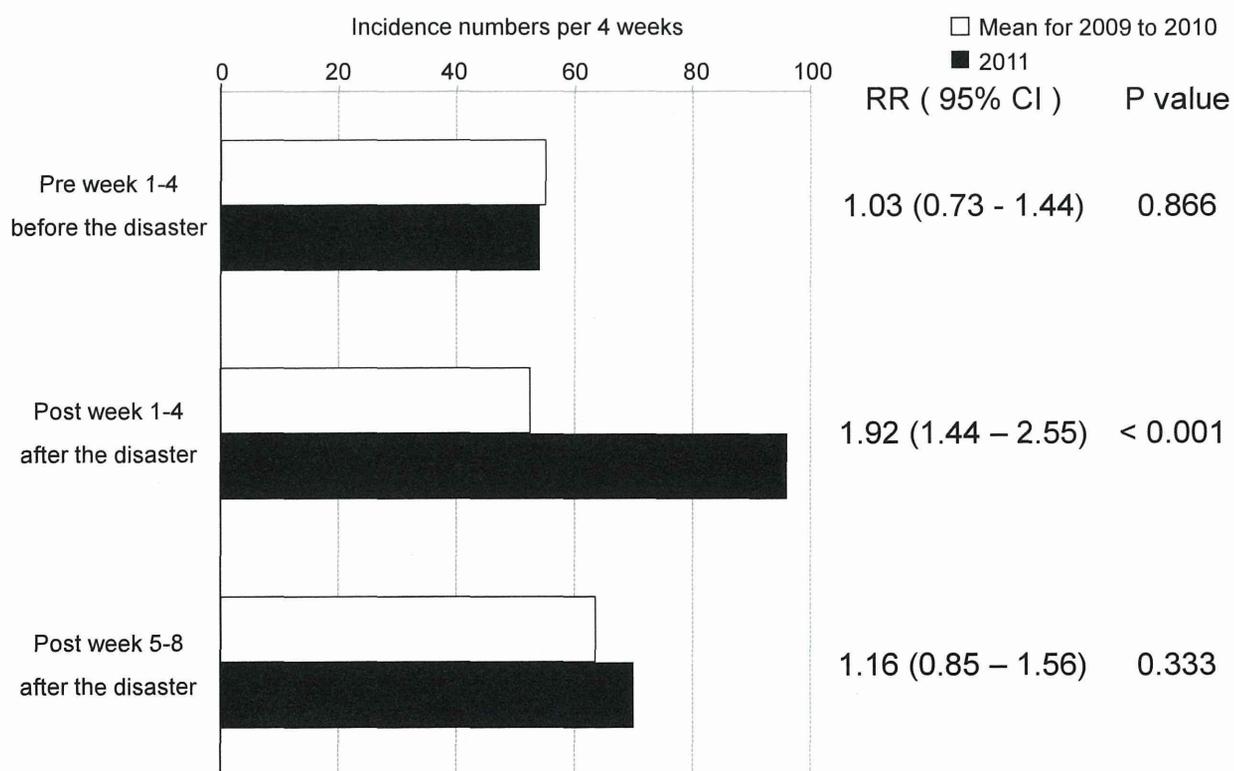


Figure 3

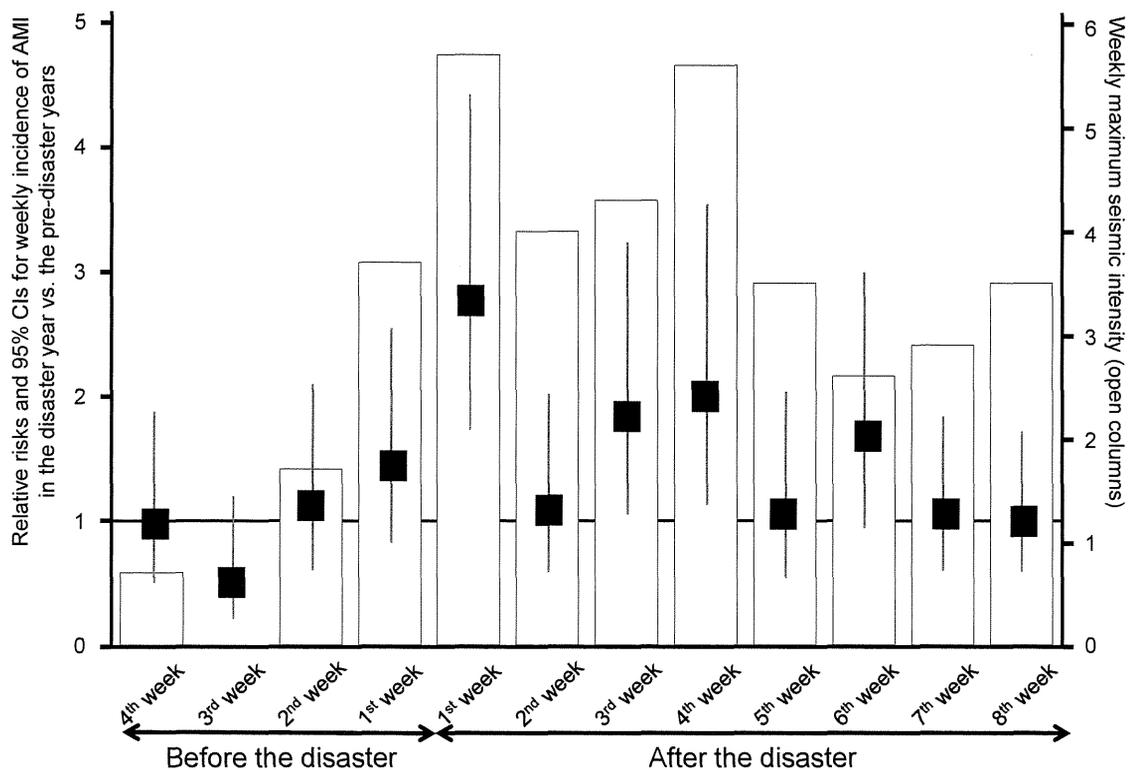


Figure 4

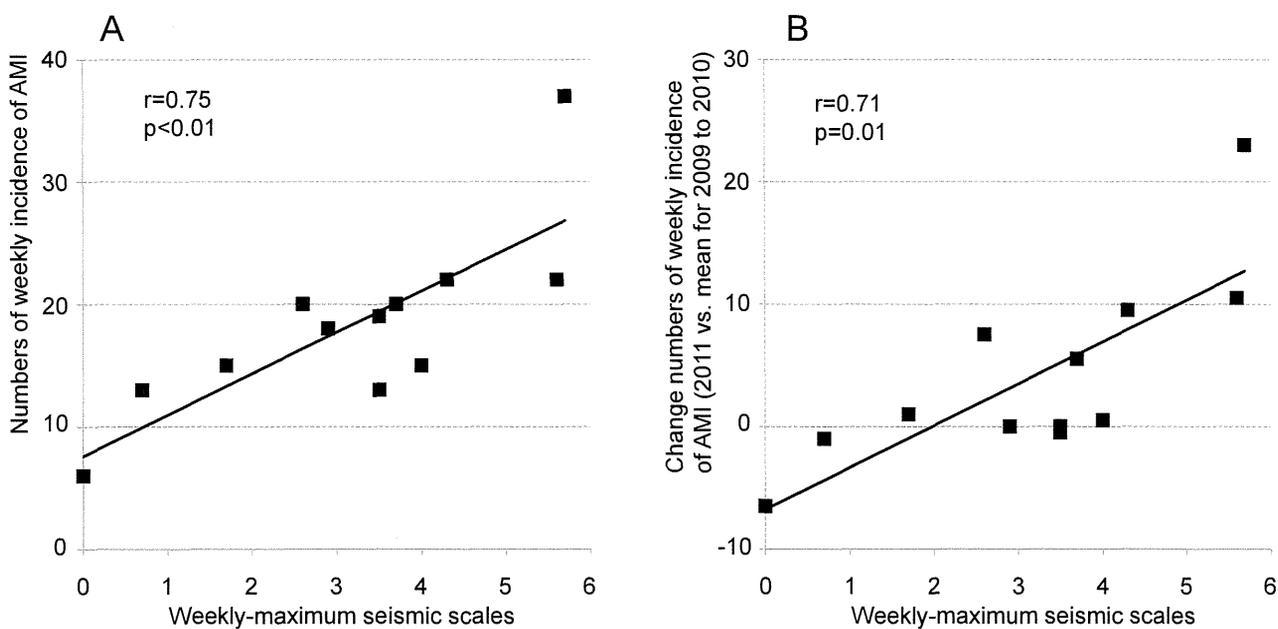


Figure 5

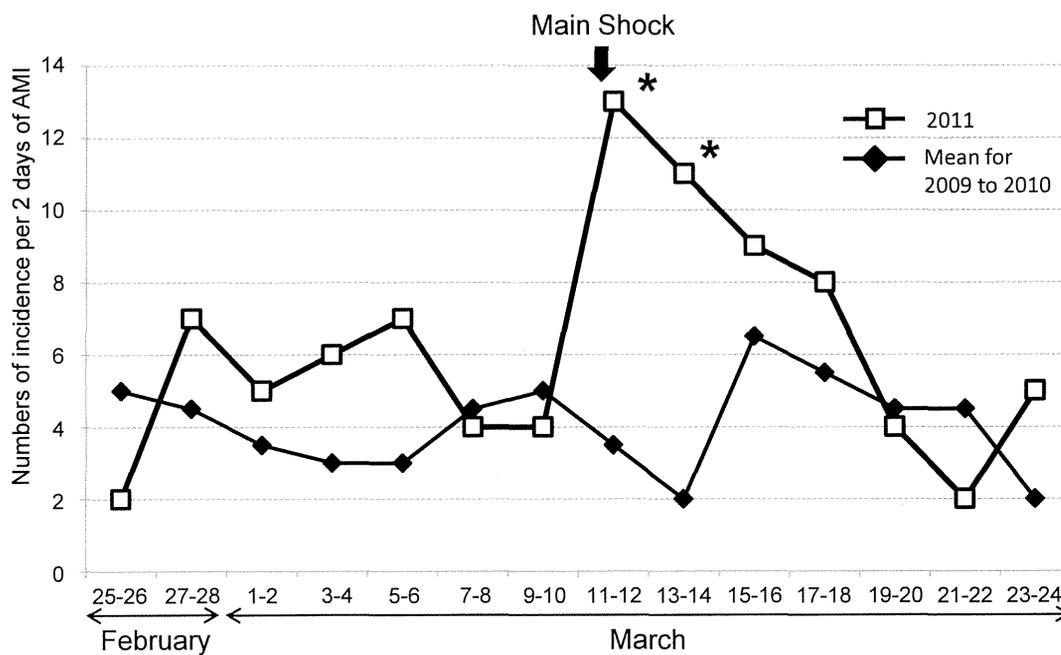


Figure 6

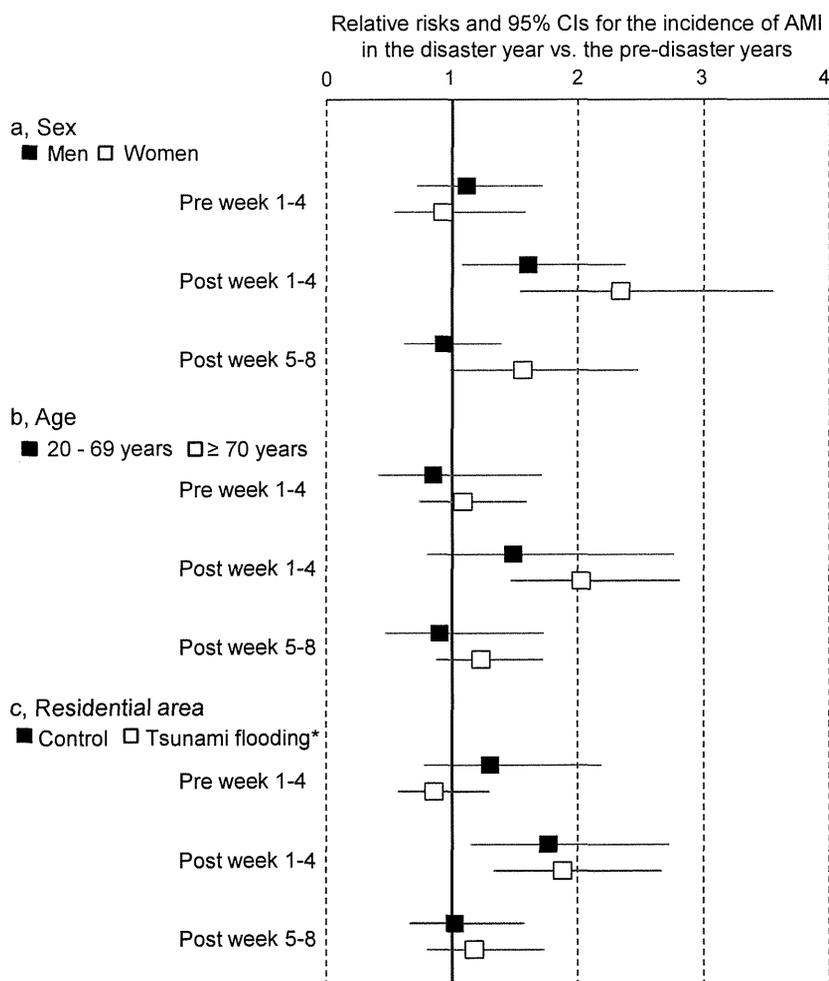


Table: Clinical Characteristics of Patients with AMI on the MONICA Diagnostic Criteria before and after the Disaster in 2011 and in the Corresponding Periods in 2009 to 2010

	The 1st to 4th Week Before				The 1st to 4th Week After				The 5th to 8th Week After			
	2009	2010	2011	P value	2009	2010	2011	P value	2009	2010	2011	P value
Patient Number	43	67	54		59	46	96		68	59	70	
Incidence Rates for 4 weeks per 100,000 people	15.1	23.5	19.8		20.7	16.1	35.2		23.9	20.7	25.7	
Mean Age (±SD)	75.1 ± 12.7	76.9 ± 10.5	74.9 ± 13.7	0.619	76.4 ± 13.0	75.2 ± 14.6	77.2 ± 11.3	0.683	74.3 ± 13.8	75.5 ± 14.9	78.0 ± 12.4	0.276
Age ≥ 70 years	69.8%	74.6%	77.8%	0.667	76.3%	71.7%	80.2%	0.523	72.1%	76.3%	80.0%	0.549
Female Gender	51.2%	38.8%	38.9%	0.372	45.8%	39.1%	52.1%	0.338	35.3%	37.3%	48.6%	0.234
AMI categories				0.795				0.550				0.725
Definite AMI	27.9%	34.3%	33.3%		33.9%	37.0%	36.5%		19.1%	28.8%	25.7%	
Possible coronary death	20.9%	26.9%	24.1%		16.9%	28.3%	24.0%		30.9%	28.8%	25.7%	
Unclassifiable SCD	51.2%	38.8%	42.6%		49.2%	34.8%	39.6%		50.0%	42.4%	48.6%	
Flooded Area*	53.5%	76.1%	57.4%	0.025	62.7%	58.7%	60.4%	0.914	57.4%	50.8%	55.7%	0.750

AMI: acute myocardial infarction, SCD: sudden cardiac death, CI: confidential interval, SD: standard deviation

\*Patients who lived in areas flooded more than 10 % of built-up area by the tsunami