

lute neutrophil count  $\geq 1.5 \times 10^9/l$ , platelet count  $\geq 100 \times 10^9/l$ , haemoglobin level  $\geq 80$  g/l, aspartate aminotransferase level  $\leq 2.5 \times$  the upper limit of the normal range (ULN), alanine aminotransferase level  $\leq 2.5 \times$  ULN, total bilirubin level  $\leq 2.0$  mg/dl, serum creatinine level  $\leq 1.3$  mg/dl, and arterial partial oxygen pressure  $\geq 65$  mmHg or arterial blood oxygen saturation  $\geq 93\%$ . Patients were excluded if they had a severe infection, a history of organ transplantation, active concurrent cancer, central nervous system involvement, a bulky mass requiring emergent radiotherapy, or seropositivity for hepatitis B virus surface antigen, hepatitis C virus antibody or human immunodeficiency virus antibody.

### Randomization and masking

Eligible patients were randomly assigned in a 1:1 ratio to the two treatment groups based on dynamic allocation and minimization (Pocock & Simon, 1975) by a central randomization centre (Bell Medical Solutions, Inc., Tokyo, Japan). For randomization, the first stratification factor was clinical subtype, and the second was age ( $<56$  or  $\geq 56$  years). The study had an open-label design.

### Procedures

This was a multicentre, randomized, phase II study to compare the efficacy and safety of mLSG15 plus mogamulizumab with that of mLSG15 alone. Subjects assigned to the mLSG15-plus-mogamulizumab arm received eight intravenous 1.0 mg/kg mogamulizumab infusions during four mLSG15 cycles. Typically, mogamulizumab was

administered the day before VCAP and VECP administration except for the first VCAP administration (Fig 1). When VCAP or VECP administration was delayed for any reason, mogamulizumab administration was delayed accordingly.

The primary endpoint was %CR, and the secondary endpoints included ORR, %CR and response rate according to disease site; progression-free survival (PFS); OS and safety. We estimated that 22 patients per arm would be required to achieve an 80% probability of detecting a higher %CR in the mLSG15-plus-mogamulizumab arm than in the mLSG15 arm, based on the selection design (Simon *et al*, 1985). We assumed that an increased %CR of 15% achieved upon adding mogamulizumab would imply clinical significance. This 15% increase in the %CR corresponded to the difference observed between mLSG15 and CHOP-14, with a previous phase III study showing that the former treatment prolonged OS (Tsukasaki *et al*, 2007). Thus, if the true difference is 15%, there is an 80% chance of selecting the right treatment when one chooses the treatment with the higher CR rate. Objective responses were assessed after the second and fourth chemotherapy cycles in each arm by an independent efficacy assessment committee according to the modified response criteria for ATL (Tsukasaki *et al*, 2009). Adverse events (AEs) were graded according to the National Cancer Institute's Common Terminology Criteria for AEs version 4.0 ([http://evs.nci.nih.gov/ftp1/CTCAE/Archive/CTCAE\\_4.02\\_2009-09-15\\_QuickReference\\_8.5x11.pdf](http://evs.nci.nih.gov/ftp1/CTCAE/Archive/CTCAE_4.02_2009-09-15_QuickReference_8.5x11.pdf)), and were summarized according to the Medical Dictionary for Regulatory Activities System Organ Class and preferred terms. The presence of human anti-mogamulizumab antibodies in plasma was also determined. Blood samples were collected from

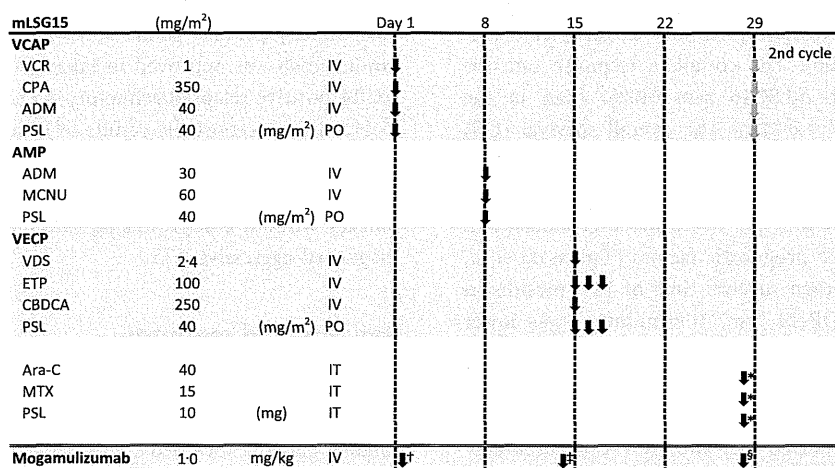


Fig 1. Treatment protocol. The mLSG15 protocol consists of three chemotherapeutic regimens, namely VCAP, AMP and VECP. Subjects assigned to the mLSG15-plus-mogamulizumab arm received up to eight infusions of mogamulizumab during four cycles of mLSG15. Cytarabine, methotrexate and prednisolone were intrathecally injected before initiation of VCAP administration in cycles 2 and 4. VCAP: vincristine, cyclophosphamide, doxorubicin, and prednisolone; AMP: doxorubicin, ranimustine, and prednisolone; VECP: vindesine, etoposide, carboplatin, and prednisolone; IV, intravenous; PO, per os (oral administration); IT, intrathecal; VCR, vincristine; CPA, cyclophosphamide; ADM, doxorubicin; PSL, prednisolone; MCNU, ranimustine; VDS, vindesine; ETP, etoposide; CBDCA, carboplatin; Ara-C, cytarabine; MTX, methotrexate. \*Before cycles 2 and 4 (Days -2 to -1). †After VCAP in Cycle 1 (Days 2 to 5). ‡Preceding VECP in Cycles 1-4 (Days 12 to 14). §Preceding VCAP in Cycles 2-4 (Days -3 to -1).

patients who had received at least one dose of mogamulizumab at time points determined by the protocol for the pharmacokinetic analysis. The maximum drug concentration ( $C_{max}$ ) and trough drug concentration ( $C_{trough}$ ) for each mogamulizumab administration were calculated. We also investigated the distributions of blood T-cell subsets (CD4/CD25/CCR4-positive cells and CD4/CD25/FOXP3-positive cells) during and after treatment in each arm.

### Statistical analysis

Survival estimates were calculated by using the Kaplan–Meier method. PFS was defined as the time from the day of starting the protocol treatment to progression, relapse, or death from any cause. OS was measured from the day of starting the protocol treatment to death from any cause. The numbers of T-cell subsets in the two arms were compared by employing the Wilcoxon signed-rank test for each sampling point at a significance level of 0.05.

### Study oversight

The study was sponsored by Kyowa Hakko Kirin Co., Ltd., Tokyo, Japan. The academic investigators and the sponsor were jointly responsible for the study design. The protocol was approved by the institutional review boards at each participating site and all patients provided written informed consent before enrolment, in accordance with the Declaration of Helsinki.

## Results

### Patients

Between August 2010 and September 2011, 54 patients with newly diagnosed aggressive ATL were enrolled at 18 institutions. Of these 54 patients, 29 in the mLSG15-plus-mogamulizumab arm and 24 in the mLSG15 arm received treatment according to our study protocol. One patient assigned to the mLSG15 arm was withdrawn from the study, owing to the patient's treatment having to be deferred due to abnormal laboratory values that met the protocol criteria, and the patient was unable to wait for the protocol treatment due to deterioration of his/her general condition. The demographics and characteristics of the remaining 53 patients are summarized in Table I. Fifteen patients in the mLSG15-plus-mogamulizumab arm did not complete the planned treatment; of these, seven dropped out because of AEs, including infectious diseases; four dropped out because of progressive disease (PD); and the remaining four dropped out for different reasons, including withdrawal of consent and start of an alternative treatment. Thirteen patients in the mLSG15 arm did not complete the planned treatment; among these, four had AEs, four had PD, and the remaining five dropped out for other reasons (Fig 2).

Table I. Demographics and clinical characteristics.

	mLSG15 + mogamulizumab (n = 29)	mLSG15 (n = 24)*
ATL subtype		
Acute	20 (69%)	17 (71%)
Lymphoma	6 (21%)	7 (29%)
Chronic†	3 (10%)	0 (0%)
Age, years		
Median	61	64
Range	49–81	37–74
<56	11 (38%)	6 (25%)
≥56	18 (62%)	18 (75%)
Sex		
Male	12 (41%)	16 (67%)
Female	17 (59%)	8 (33%)
ECOG PS		
0	16 (55%)	13 (54%)
1	10 (35%)	9 (38%)
2	3 (10%)	2 (8%)

ECOG, Eastern Cooperative Oncology Group; PS, performance status.

\*25 patients were randomized; 24 were treated.

†Chronic type with poor prognostic factors.

### Efficacy

Of the 29 and 24 patients evaluable for efficacy in the mLSG15-plus-mogamulizumab and the mLSG15 arms, 25 patients [ORR, 86%; 95% confidence interval (CI), 68–96%] and 18 patients (ORR, 75%; 95% CI, 53–90%), respectively, had objective responses. The %CR, including unconfirmed CR, was higher in the mLSG15-plus-mogamulizumab arm (52%; 95% CI, 33–71%) than in the mLSG15 arm (33%; 95% CI, 16–55%), with a between-group difference of 18.4% (95% CI, –8.9 to 43.8%; Table II). The %CR according to the disease site in the mLSG15-plus-mogamulizumab and mLSG15 arms were 100% (14/14) and 43% (3/7) for blood, 92% (24/26) and 73% (16/22) for nodal and extranodal lesions and 50% (4/8) and 60% (3/5) for skin lesions, respectively. The response rate according to the disease site in the mLSG15-plus-mogamulizumab and mLSG15 arms were 100% (14/14) and 100% (7/7) for blood, 92% (24/26) and 77% (17/22) for nodal and extranodal lesions and 75% (6/8) and 80% (4/5) for skin lesions, respectively. The median PFS in the mLSG15-plus-mogamulizumab and mLSG15 arms were 8.5 months and 6.3 months, respectively (Fig 3A). The median OS was not reached in either arm (Fig 3B).

### AEs

The treatment-emergent AEs (TEAEs) of ≥grade 3 that occurred in at least two patients are listed in Table III. The most common TEAEs of any grade in the mLSG15-plus-mogamulizumab arm were neutropenia (100%), thrombocytopenia (100%), leucopenia (100%), lymphopenia (97%),

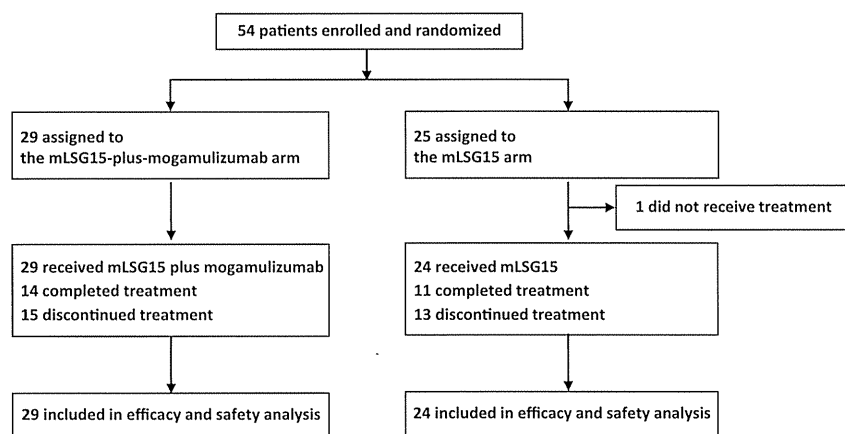


Fig 2. CONSORT diagram. Patients with newly diagnosed CC chemokine receptor 4 - positive aggressive adult T-cell leukaemia-lymphoma were assigned in a 1:1 ratio to receive treatment with mLSG15 plus mogamulizumab or mLSG15 alone. One patient assigned to the mLSG15 arm was withdrawn from the study, owing to the patient's treatment having to be deferred due to abnormal laboratory values that met the protocol criteria, and the patient was unable to wait for the protocol treatment due to deterioration of their general condition.

Table II. Response to treatment.

	mLSG15 + mogamulizumab (n = 29)	mLSG15 (n = 24)
CR	9	5
CRu	6	3
PR	10	10
CR + CRu	15	8
% CR (95% CI)	52% (33–71)	33% (16–55)
Between-group difference (95% CI)	18.4% (–8.9 to 43.8)	
CR + CRu + PR	25	18
ORR (95% CI)	86% (68–96)	75% (53–90)

CR, complete response; CRu, uncertified complete response; PR, partial response; %CR, complete response rate; CI, confidence interval; ORR, overall response rate.

anaemia (97%) and febrile neutropenia (90%). The corresponding percentages in the mLSG15 arm were 96%, 96%, 92%, 96%, 92% and 88%, respectively. The following TEAEs of grade  $\geq 3$  were more frequently observed ( $\geq 10\%$  difference) in the mLSG15-plus-mogamulizumab arm than in the mLSG15 arm: anaemia (97% vs. 79%), thrombocytopenia (90% vs. 71%), lymphopenia (97% vs. 75%), leucopenia (100% vs. 88%) and decreased appetite (28% vs. 13%). Papular rash (21%), hyperglycaemia (14%), pyrexia (14%), interstitial lung disease (10%), erythematous rash (7%), cytomegalovirus infection (7%) cytomegaloviral pneumonia (7%) and oxygen saturation decreased (7%) occurred only in the mLSG15-plus-mogamulizumab arm.

Twenty serious AEs (SAEs) were reported in 12 patients in the mLSG15-plus-mogamulizumab arm. These included pneumonia in two patients, cytomegalovirus infection in two, interstitial lung disease in two, and the following events occurred in one patient each: febrile neutropenia, septic shock, cytomegaloviral pneumonia, pneumonitis, generalized erythema, viral encephalitis, oral disorder, bacteraemia, infection, exfoliative rash, ileus, cholecystitis, haemorrhagic cystitis

and disease progression. The patient with septic shock did not recover and ultimately died. Another patient with haemorrhagic cystitis, which was suspected to be due to a viral infection, showed disease progression and died during the follow-up period due to the haemorrhagic cystitis as an SAE. The remaining 17 SAEs in the mLSG15-plus-mogamulizumab arm all improved or resolved.

Eleven SAEs were reported in nine patients in the mLSG15 arm. These included two patients with bacteraemia, and the following events in one patient each: infection, enterocolitis, pneumonia, soft tissue inflammation, myelodysplastic syndrome, ischaemic colitis, herpes zoster, neurogenic bladder and febrile neutropenia. The outcomes of all SAEs in the mLSG15 arm, with the exception of myelodysplastic syndrome, improved or resolved. There were no deaths during the treatment or follow-up period in the mLSG15 arm.

#### Pharmacokinetics and immunogenicity

Of the 29 patients enrolled in the mLSG15-plus-mogamulizumab arm, 16 (55%) completed the eight doses of mogamulizumab. The  $C_{max}$  (at the end of the eighth infusion) and  $C_{trough}$  (14 days after the eighth infusion) of mogamulizumab were  $22.8 \pm 4.6$  and  $94 \pm 3.8$   $\mu\text{g/ml}$  (mean  $\pm$  SD), respectively. None of the patients developed detectable levels of anti-mogamulizumab antibodies.

#### T-cell subset analysis

The numbers of circulating CD4/CD25/CCR4-positive cells in the blood immediately before VCAP therapy for cycle three in the mLSG15-plus-mogamulizumab arm (mean,  $0.0246 \times 10^9/l$ ; median,  $0.015 \times 10^9/l$ ; range,  $0.004$ – $0.094 \times 10^9/l$ ) were significantly lower than those in the mLSG15 arm (mean,  $0.4693 \times 10^9/l$ ; median,  $0.234 \times 10^9/l$ ; range,  $0.077$ – $3.991 \times 10^9/l$ ) ( $P < 0.001$ ). The corresponding numbers of these cells 28 days after VCAP therapy (Cycle 4) in the mLSG15-plus-mogamulizumab arm ( $0.0173 \times 10^9/l$ ;  $0.0095 \times 10^9/l$ ;  $0.001$ – $0.133 \times 10^9/l$ ) were significantly lower

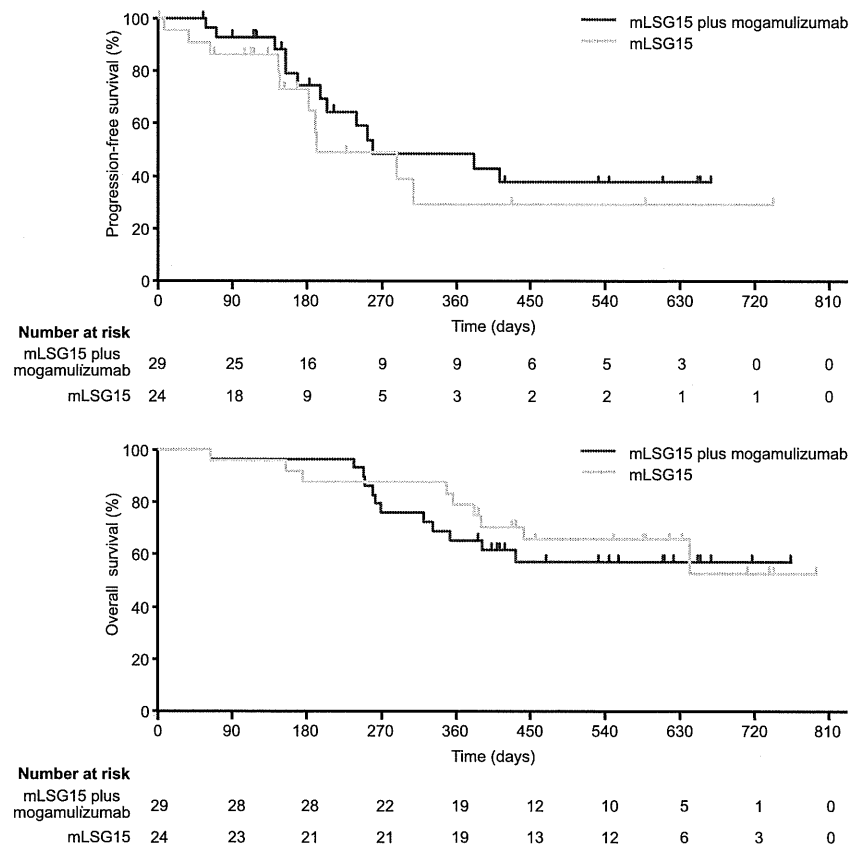


Fig 3. Progression-free survival and overall survival. (A) Kaplan–Meier curve of estimated progression-free survival (median, 8.5 months and 6.3 months in the mLSG15-plus-mogamulizumab and mLSG15 arms, respectively). (B) Kaplan–Meier curve of estimated overall survival (median, not achieved in either arm). The median follow-up periods in the mLSG15-plus-mogamulizumab and mLSG15 arms were 413 days (range, 63–764 days) and 502 days (range, 62–794 days), respectively.

than those in the mLSG15 arm ( $0.1478 \times 10^9/l$ ;  $0.133 \times 10^9/l$ ;  $0.059\text{--}0.368 \times 10^9/l$ ) ( $P < 0.001$ ) (Fig 4A). Similarly, the numbers of CD4/CD25/FOXP3-positive cells in the blood immediately before VCAP therapy (Cycle 3) in the mLSG15-plus-mogamulizumab arm ( $0.0085 \times 10^9/l$ ;  $0.004 \times 10^9/l$ ;  $0\text{--}0.048 \times 10^9/l$ ) were significantly lower than those in the mLSG15 arm ( $0.2432 \times 10^9/l$ ;  $0.074 \times 10^9/l$ ;  $0.018\text{--}2.77 \times 10^9/l$ ) ( $P < 0.001$ ), and the numbers of these cells 28 days after VCAP therapy (Cycle 4) in the mLSG15-plus-mogamulizumab arm ( $0.0054 \times 10^9/l$ ;  $0.003 \times 10^9/l$ ;  $0\text{--}0.037 \times 10^9/l$ ) were significantly lower than those in the mLSG15 arm ( $0.0684 \times 10^9/l$ ;  $0.0435 \times 10^9/l$ ;  $0.016\text{--}0.25 \times 10^9/l$ ) ( $P < 0.001$ , Fig 4B).

## Discussion

This study showed that the %CR in patients who received mLSG15 plus mogamulizumab was higher than that obtained in those treated with mLSG15 alone (52% vs. 33%; difference, 18.4%). The increase in the %CR with the addition of mogamulizumab observed in this study surpassed the predicted, targeted, clinically significant 15% increase in patients with ATL. Importantly, the %CR in patients with lesions in the blood compartment was higher in the combination arm, leading to the increase in overall %CR. This finding was consistent with that observed in previous studies, in which ATL lesions in the blood were found to be more sensitive to

mogamulizumab monotherapy than ATL lesions at other disease sites (Yamamoto *et al*, 2010; Ishida *et al*, 2012b).

Infections were more frequent in the combination arm. In particular, cytomegalovirus infection was observed in 14% of patients in the combination arm, whereas it was not observed in the chemotherapy alone arm. Furthermore, cytomegalovirus-related SAEs occurred in three patients in the combination arm. Cytomegalovirus reactivation is observed in approximately 60% of patients with ATL during systemic chemotherapy (Ogata *et al*, 2011). Our study suggests that the addition of mogamulizumab to systemic chemotherapy might further increase the incidence of cytomegalovirus infection; therefore, careful monitoring for cytomegalovirus infection and appropriate use of antiviral therapy are recommended when systemic chemotherapy in combination with mogamulizumab is administered to patients with ATL.

In our previous study of mogamulizumab monotherapy for patients with relapsed ATL, skin rashes, including Stevens–Johnson syndrome, were the most frequently observed AEs (63%) (Ishida *et al*, 2012b, 2013). In the present study, as expected, AEs involving skin and subcutaneous tissue disorders were more frequent in the combination arm than in the chemotherapy alone arm. Even though no severe skin-related AEs, such as Stevens–Johnson syndrome or toxic epidermal necrolysis, occurred in the present study, special attention should be paid to these skin-related AEs

Table III. Treatment-emergent adverse events in the mLSG15-plus-mogamulizumab ( $n = 29$ ) and mLSG15 ( $n = 24$ ) arms.

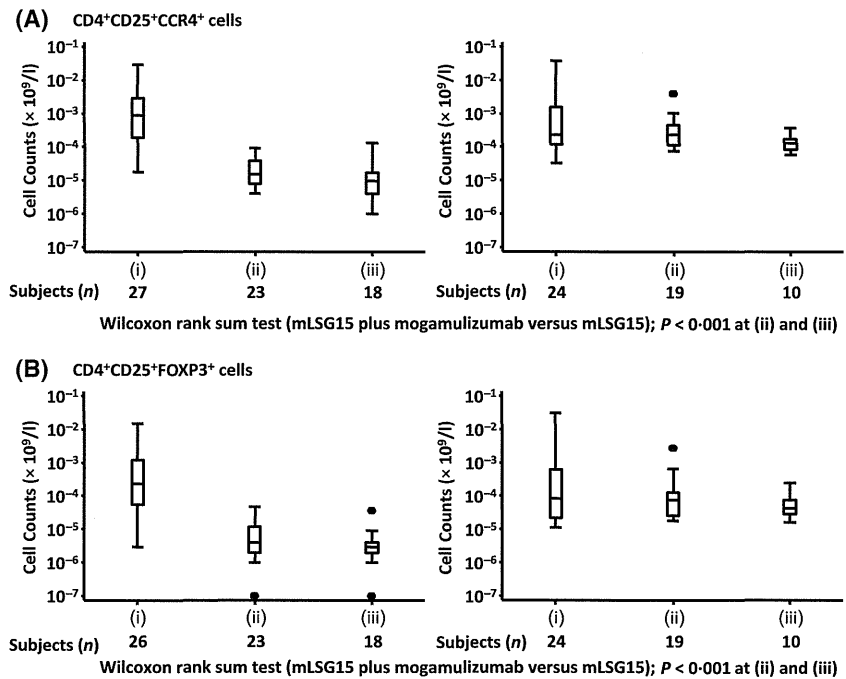
	All grades		$\geq$ Grade3	
	mLSG15 + mogamulizumab $n = 29$	mLSG15 $n = 24$	mLSG15 + mogamulizumab $n = 29$	mLSG15 $n = 24$
<b>Blood and lymphatic system disorders</b>	29 (100%)	22 (92%)	29 (100%)	22 (92%)
Anaemia	28 (97%)	22 (92%)	28 (97%)	19 (79%)
Febrile neutropenia	26 (90%)	21 (88%)	26 (90%)	21 (88%)
<b>Gastrointestinal disorders</b>	29 (100%)	23 (96%)	7 (24%)	7 (29%)
Stomatitis	16 (55%)	13 (54%)	4 (14%)	4 (17%)
<b>General disorders and administration site conditions</b>	29 (100%)	21 (88%)	6 (21%)	0 (0%)
Pyrexia	24 (83%)	15 (63%)	4 (14%)	0 (0%)
<b>Infections and infestations</b>	19 (66%)	16 (67%)	10 (34%)	7 (29%)
Bacteraemia	4 (14%)	3 (13%)	3 (10%)	3 (13%)
Pneumonia	4 (14%)	2 (8%)	3 (10%)	1 (4%)
Cytomegalovirus infection	4 (14%)	0 (0%)	2 (7%)	0 (0%)
Cytomegaloviral pneumonia	2 (7%)	0 (0%)	2 (7%)	0 (0%)
<b>Investigations</b>	29 (100%)	24 (100%)	29 (100%)	24 (100%)
Neutropenia	29 (100%)	23 (96%)	29 (100%)	22 (92%)
Thrombocytopenia	29 (100%)	23 (96%)	26 (90%)	17 (71%)
Lymphopenia	28 (97%)	23 (96%)	28 (97%)	18 (75%)
Leucopenia	29 (100%)	22 (92%)	29 (100%)	21 (88%)
Albuminaemia	12 (41%)	11 (46%)	2 (7%)	1 (4%)
Alanine transaminase increased	12 (41%)	10 (42%)	2 (7%)	2 (8%)
Aspartate transaminase increased	9 (31%)	8 (33%)	2 (7%)	1 (4%)
Potassium decreased	9 (31%)	6 (25%)	3 (10%)	1 (4%)
Sodium decreased	8 (28%)	7 (29%)	4 (14%)	2 (8%)
Phosphorus decreased	8 (28%)	3 (13%)	3 (10%)	1 (4%)
Blood pressure increased	7 (24%)	2 (8%)	5 (17%)	2 (8%)
Oxygen saturation decreased	4 (14%)	1 (4%)	2 (7%)	0 (0%)
<b>Metabolism and nutrition disorders</b>	27 (93%)	19 (79%)	14 (48%)	6 (25%)
Decreased appetite	23 (79%)	15 (63%)	8 (28%)	3 (13%)
Hyperglycaemia	13 (45%)	7 (29%)	4 (14%)	0 (0%)
Hyponatraemia	4 (14%)	3 (13%)	2 (7%)	2 (8%)
Hypophosphataemia	4 (14%)	3 (13%)	4 (14%)	2 (8%)
Hypokalaemia	5 (17%)	1 (4%)	2 (7%)	1 (4%)
<b>Respiratory, thoracic and mediastinal disorders</b>	21 (72%)	9 (38%)	4 (14%)	1 (4%)
Interstitial lung disease	3 (10%)	0 (0%)	3 (10%)	0 (0%)
<b>Skin and subcutaneous tissue disorders</b>	29 (100%)	20 (83%)	15 (52%)	1 (4%)
Papular rash	12 (41%)	0 (0%)	6 (21%)	0 (0%)
Erythematous rash	8 (28%)	0 (0%)	2 (7%)	0 (0%)

when mogamulizumab is administered to patients with ATL.

Adult T-cell leukaemia-lymphoma cells constitutively express CD25 (Waldmann *et al*, 1984), and the present study had an eligibility criterion of CCR4 positivity. Hence, most of the CD4/CD25/CCR4-positive cells were considered ATL cells. Compared to the chemotherapy alone arm, the combination arm showed a significant

reduction in the number of CD4/CD25/CCR4-positive cells. This finding is consistent with the proposed antitumour mechanism of mogamulizumab, in that mogamulizumab kills CCR4-expressing ATL cells by increasing antibody-dependent cellular cytotoxicity (Shinkawa *et al*, 2003; Ishii *et al*, 2010; Yamamoto *et al*, 2010). In humans, CCR4 is expressed on CD45RO-positive, CD45RA-negative, FOXP3-positive activated regulatory T (Treg) cells (Miyara *et al*,

**Fig 4.** T-cell subset analysis. Blood samples were taken (i) immediately before the initiation of treatment, (ii) immediately before VCAP therapy for cycle three, and (iii) 28 days after VECP therapy for cycle four. The numbers of CD4/CD25/CC chemokine receptor 4 (CCR4)-positive cells (A) and CD4/CD25/FOXP3-positive cells (B) are shown as box and whisker plots indicating the minimum, lower, median, upper quartile, and maximum values. The number of samples used for analysis at each point is indicated below the graph. The differences of each point [(ii) & (iii)] between the mLSG15-plus-mogamulizumab and mLSG15 arms are indicated as p-values (Wilcoxon signed-rank test) below the graphs. CCR4 was detected by using a monoclonal antibody (clone 1G1), with its binding to CCR4 being unaffected by the presence of mogamulizumab. VCAP: vincristine, cyclophosphamide, doxorubicin, and prednisolone; VECP: vindesine, etoposide, carboplatin, and prednisolone.



2009; Ishida & Ueda, 2011; Sugiyama *et al*, 2013). In addition, ATL cells from a subset of patients express FOXP3 and function as Treg cells (Yano *et al*, 2007). Thus, the CD4/CD25/FOXP3-positive cells included not only endogenous activated Treg cells, but also ATL cells, in some patients. Our study indicated that compared to the chemotherapy alone arm, the combination arm showed a significant reduction in the number of CD4/CD25/FOXP3-positive cells, which is consistent with the findings from our previous study of mogamulizumab monotherapy. In general, decreasing the number of Treg cells is considered a promising strategy for boosting antitumour immunity in patients with cancer, because the numbers of these cells increase in the tumour microenvironment, and they may play an important role in the ability of the tumour to escape host immunity in several different types of cancer (Ishida & Ueda, 2011; Jacobs *et al*, 2012). On the other hand, because alterations in Treg cell frequencies and/or function may contribute to various autoimmune diseases (Michels-van Amelsfort *et al*, 2011), immune-related AEs, such as skin disorders, which were also observed in our study, should be carefully monitored.

The present study was conducted according to the premise that mLSG15 is the most recommended chemotherapeutic regimen for patients with newly diagnosed aggressive ATL. We found higher rates of treatment-related toxicities with mLSG15 compared to what has been reported for CHOP-14 (Tsukasaki *et al*, 2007). In the context of this scenario, this study suggests that a younger patient population, particularly those aged <56 years, will benefit from VCAP-AMP-VECP, while an older population consisting of those aged 56–69 years will not; there are no data regarding mLSG15 ther-

apy for patients with ATL aged >69 years (Tsukasaki *et al*, 2007). In the present study, the median ages in the mLSG15-plus-mogamulizumab and mLSG15 arms were 61 years and 64 years, respectively; patients potentially benefiting from mLSG15 (<56 years) accounted for only 38% of the patients in the mLSG15-plus-mogamulizumab arm and 25% of those in the mLSG15 arm. Adult T-cell leukaemia-lymphoma generally occurs in older individuals, with a median age at diagnosis of approximately 66 years (Iwanaga *et al*, 2012); therefore, further investigations are needed to determine whether mLSG15 is indeed the most suitable systemic chemotherapeutic regimen when combined with mogamulizumab.

CCR4 is expressed on the surface of tumour cells of patients from a subgroup of PTCL other than ATL, which also has an unfavourable prognosis (Ishida *et al*, 2004; Nakagawa *et al*, 2009). We have already completed a multicentre phase II study of mogamulizumab monotherapy for patients with relapsed CCR4-positive PTCL in Japan (Clinicaltrials.gov: NCT01192984) (Ogura *et al*, 2014). Furthermore, other clinical trials of mogamulizumab for PTCL (Clinicaltrials.gov: NCT01611142) or cutaneous T-cell lymphoma (Clinicaltrials.gov: NCT01728805) are currently underway worldwide. Further studies are expected to allow the determination of the efficacy of combining mogamulizumab with chemotherapy or other novel molecular target therapies for PTCL subtypes other than ATL.

Although this study offers a novel treatment option for newly diagnosed aggressive ATL, some limitations should be discussed. First, this study was designed to set the %CR as a primary endpoint; as a result, this study does not have enough power or a long enough follow-up period to detect

PFS and OS differences between the two arms. Thus, although a tendency towards prolongation of PFS in the combination arm was observed in the present study, this was not confirmed. Second, the treatment after the study protocol, including allo-HCT and mogamulizumab, varied among the patients. Because the use of mogamulizumab for relapsed/refractory ATL was approved in Japan during the study period, the patients, including those in the chemotherapy alone arm, may have a chance to receive this drug. Both of these factors may affect the OS (Chihara *et al*, 2013).

In conclusion, although mLSG15 plus mogamulizumab was found to be associated with a potentially less favourable safety profile, particularly for infectious and skin-related events, the majority of the AEs were manageable. The %CR was higher with combination therapy. Accordingly, this combination treatment appears to be a better option for managing patients with newly diagnosed aggressive ATL. Further clinical studies are necessary to evaluate the survival parameters in patients treated with chemotherapy plus mogamulizumab and to determine a more suitable combination regimen.

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## Author contributions

T.I., K.U., K.Y., N.U., A.U., K.T., S.A. and R.U. contributed to the conception and design of the study; T.I., T.J., S.T., H.S., K.U., K.Y., N.U., Y.S., K.N., A.U., K.T., H.F., K. Ishitsuka, S.Y., N.T., Y.M., K. Imada and T.M. contributed to the acquisition of data; T.I., K.T., S.A., M.T. and R.U. analysed and interpreted the data; all authors drafted and reviewed the manuscript and approved the final version for submission.

## Conflicts of interest

T.I. has received honoraria and travel grants from Kyowa Hakko Kirin, and research funding from Kyowa Hakko Kirin, Chugai, Bayer and Celgene, and has served on the speakers bureau for Kyowa Hakko Kirin. T.J. has received honoraria and travel grants from Kyowa Hakko Kirin. S.T. has received travel grants and research funding from Kyowa Hakko Kirin. H.S. has served on the speakers bureau for Kyowa Hakko Kirin. K.Y. has a consultancy/advisory role with Kyowa Hakko Kirin and Novartis, has received

honoraria from Kyowa Hakko Kirin, Novartis, Takeda and Janssen, and has received research funding from Kyowa Hakko Kirin, Novartis, Pfizer and ARIAD. Y.S. has received honoraria and research funding from Kyowa Hakko Kirin. K.N. has received honoraria from Kyowa Hakko Kirin. A.U. has received honoraria and research funding from Kyowa Hakko Kirin. K.T. has received honoraria from Kyowa Hakko Kirin and research funding from Kyowa Hakko Kirin, Celgene, Eisai, Solasia Pharma and Mundipharma. S.Y. has received honoraria and research funding from Kyowa Hakko Kirin. Y.M. has received honoraria, travel grants and research funding from Kyowa Hakko Kirin. K. Imada has received research funding from Kyowa Hakko Kirin. S.A. is employed by Kyowa Hakko Kirin, and is a stock owner. M.T. has a consultancy/advisory role with Kyowa Hakko Kirin, and has received honoraria from Kyowa Hakko Kirin. R.U. has a consultancy/advisory role with Mundipharma, and has received honoraria, travel grants and research funding from Kyowa Hakko Kirin and Chugai, and has served on the speakers bureau for Kyowa Hakko Kirin. The remaining authors declare no competing financial interests.

## Appendix 1

List of the review committees and medical experts who participated in this trial:

- 1 Junji Suzumiya, Shimane University Hospital
- 2 Takashi Terauchi, Research Centre for Cancer Prevention and Screening National Cancer Centre
- 3 Ukihide Tateishi, Yokohama City University Graduate School of Medicine
- 4 Junichi Tsukada, University of Occupational and Environmental Health
- 5 Koichi Nakata, University of Occupational and Environmental Health
- 6 Shigeo Nakamura, Nagoya University Graduate School of Medicine
- 7 Hiroshi Inagaki, Nagoya City University Graduate School of Medical Sciences
- 8 Koichi Ohshima, Kurume University School of Medicine
- 9 Michinori Ogura, Nagoya Daini Red Cross Hospital
- 10 Tetsuo Nagatani, Hachioji Medical Centre of Tokyo Medical University
- 11 Akimichi Morita, Nagoya City University Graduate School of Medical Sciences
- 12 Kazunari Yamaguchi, Institute of Molecular Embryology and Genetics, Kumamoto University
- 13 Yasuaki Yamada, Nagasaki University Graduate School of Biomedical Sciences
- 14 Shuichi Hanada, National Hospital Organization Kagoshima Medical Centre.

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## Case Report

# Fatal reactivation of hepatitis B virus infection in a patient with adult T-cell leukemia–lymphoma receiving the anti-CC chemokine receptor 4 antibody mogamulizumab

Hideki Ifuku,<sup>1</sup> Shigeru Kusumoto,<sup>2</sup> Yasuhito Tanaka,<sup>3</sup> Haruhito Totani,<sup>2</sup> Takashi Ishida,<sup>2</sup> Masaya Okada,<sup>4</sup> Shuko Murakami,<sup>3</sup> Masashi Mizokami,<sup>5</sup> Ryuzo Ueda<sup>6</sup> and Shinsuke Iida<sup>2</sup>

<sup>1</sup>Department of Internal Medicine, Amagasaki Central Hospital, and <sup>4</sup>Division of Hematology, Department of Internal Medicine, Hyogo College of Medicine, Hyogo, <sup>2</sup>Department of Hematology and Oncology, <sup>3</sup>Department of Virology and Liver Unit, Nagoya City University Graduate School of Medical Sciences, Nagoya, <sup>5</sup>The Research Center for Hepatitis and Immunology, National Center for Global Health and Medicine, Ichikawa, and <sup>6</sup>Department of Tumor Immunology, Aichi Medical University School of Medicine, Aichi, Japan

We report an adult T-cell leukemia–lymphoma (ATL) patient suffering from fatal reactivation of hepatitis B virus (HBV) infection after treatment with the anti-CC chemokine receptor 4 (CCR4) monoclonal antibody, mogamulizumab. HBV reactivation occurred without liver damage in this hepatitis B surface antigen (HBsAg) negative patient, who was seropositive for antibodies against the viral core and surface antigens at baseline, after two cycles of CHOP regimen (cyclophosphamide, doxorubicin, vincristine and prednisolone) followed by six cycles of THP-COP regimen (cyclophosphamide, pirarubicin, vincristine and prednisolone). Unexpectedly, mogamulizumab monotherapy for

relapsed CCR4 positive ATL induced sudden and fatal liver failure due to HBV reactivation, despite antiviral prophylaxis with entecavir. This clinical course may not only offer important suggestions to prevent critical HBV reactivation in HBsAg positive cancer patients who receive immune-enhancing drugs such as anti-CCR4 antibody, but also provide a clue to understanding the pathogenesis of HBV reactivation following systemic chemotherapy.

**Key words:** antiviral prophylaxis, CC chemokine receptor type 4, hepatitis B virus, mogamulizumab, reactivation

## INTRODUCTION

REACTIVATION OF HEPATITIS B virus (HBV) infection is a potentially critical complication in cancer patients following systemic chemotherapy.<sup>1–4</sup> It can occur not only in patients seropositive for hepatitis B surface antigen (HBsAg),<sup>5,6</sup> but also in those with resolved HBV infection who are seronegative for HBsAg but seropositive

for antibodies against hepatitis B core antigen (anti-HBc) and/or antibodies against HBsAg (anti-HBs).<sup>3,4,7–9</sup> Antiviral prophylaxis is recommended by some guidelines to prevent HBV reactivation-related hepatitis and death for HBsAg-positive patients.<sup>10–13</sup>

Adult T-cell leukemia–lymphoma (ATL) is an aggressive peripheral T-cell neoplasm and human T-cell leukemia virus type 1 (HTLV-1) plays a role in its pathogenesis. Recently, the anti-CC chemokine receptor 4 (CCR4) monoclonal antibody, mogamulizumab, was developed and introduced into the management of ATL in Japan.<sup>14–18</sup>

We report here fatal HBV reactivation in an ATL patient after mogamulizumab monotherapy, despite antiviral prophylaxis.

## CASE REPORT

A 72-YEAR-OLD JAPANESE man was admitted with the major complaint of bilateral cervical lymphadenopathy. The laboratory findings showed no abnormal lymphocytes in the peripheral blood, but elevated levels of

Correspondence: Dr Shigeru Kusumoto, Department of Hematology and Oncology, Nagoya City University Graduate School of Medical Sciences, 1 Kawasumi, Mizuho-chou, Mizuho-ku, Nagoya, Aichi, 467-8601, Japan. Email: skusumot@med.nagoya-cu.ac.jp

Conflict of interest: S. K. received research funding from Kyowa Hakko Kirin, and honoraria from Kyowa Hakko Kirin and Bristol-Myers Squibb. Y. T. received research funding from Bristol-Myers Squibb, and honoraria from Kyowa Hakko Kirin and Bristol-Myers Squibb. T. I. received research funding from Kyowa Hakko Kirin, Bayer Pharma and Celgene, and honoraria from Kyowa Hakko Kirin. M. M. received research funding from Gilead Science. R. U. received research funding from Kyowa Hakko Kirin. S. I. received research funding from Kyowa Hakko Kirin. Received 15 January 2015; revision 11 February 2015; accepted 27 February 2015.

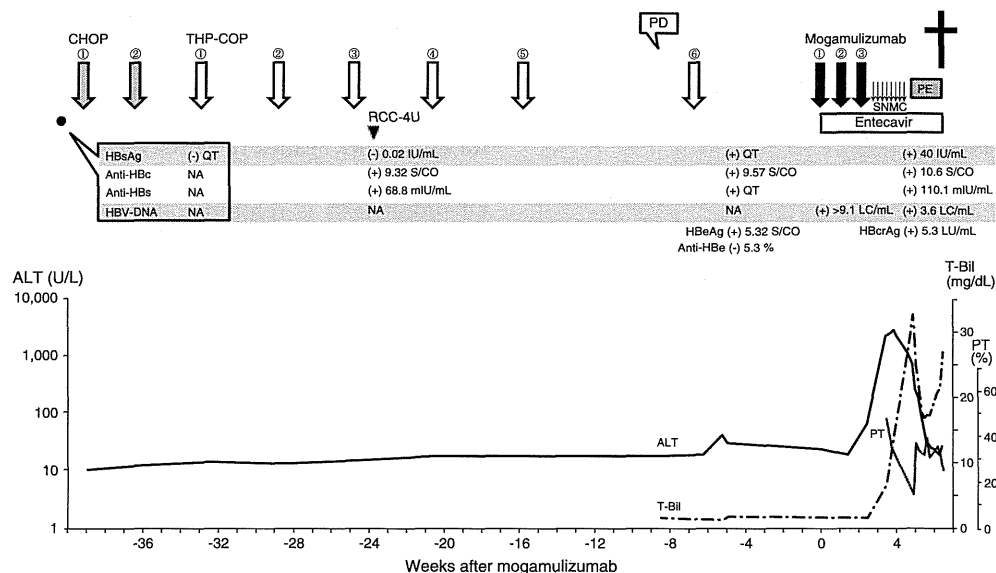
lactate dehydrogenase (492 U/L) and soluble interleukin-2 receptor (89 903 U/L). He was seropositive for anti-HTLV-1 antibody. A computed tomographic scan revealed systemic lymphadenopathy, hepatomegaly, a low-density lesion in the spleen, and the presence of pleural effusion and ascites. A diagnosis of lymphoma-type ATL was made on the basis of histopathological examination of a cervical lymph node biopsy and the monoclonal integration of HTLV-1, detected by Southern blotting.

He received two cycles of CHOP regimen (cyclophosphamide, doxorubicin, vincristine and prednisolone), followed by five cycles of THP-COP regimen (cyclophosphamide, pirarubicin, vincristine and prednisolone), and achieved best objective response of partial response to ATL. However, his right cervical lymph node was enlarged just before the sixth cycle of THP-COP regimen and progressive disease of CCR4 positive ATL was judged by the histopathological examination of a second lymph node biopsy (Fig. 1).

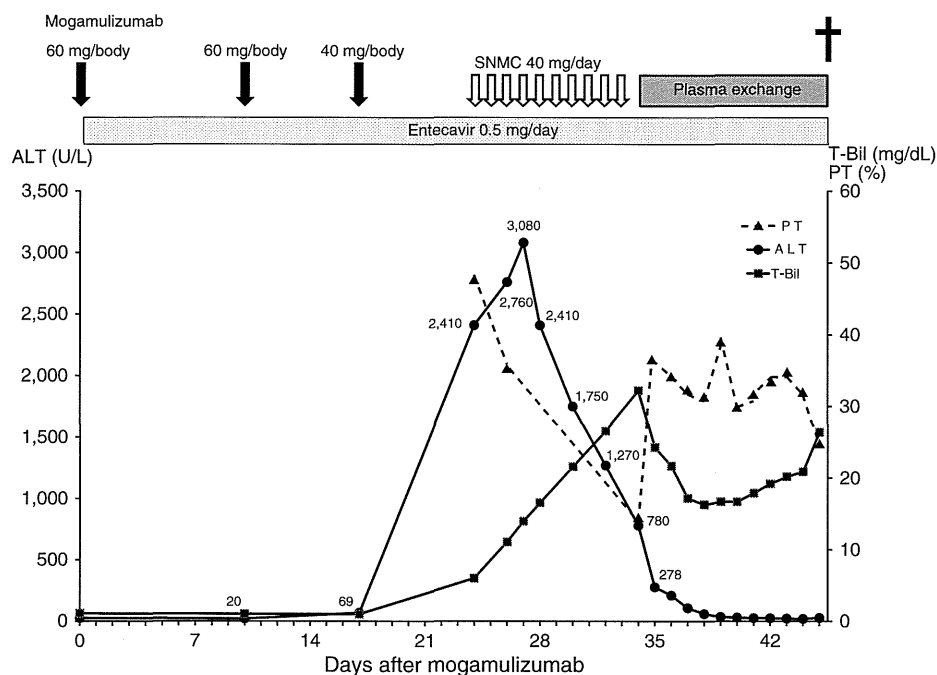
He was seronegative for HBsAg before initiating CHOP chemotherapy but neither anti-HBc nor anti-HBs was measured at baseline. When he received a transfusion of red blood cells after the third cycle of THP-COP regimen, his serological HBV markers, which we examined using a preserved sample, were as follows: HBsAg negative (0.02 IU/mL, chemiluminescent immunoassay [CLIA]),

anti-HBc positive (9.32 s/co, CLIA) and anti-HBs-positive (68.8 mIU/mL, CLIA). However, the reappearance of HBsAg and seropositivity of hepatitis B e-antigen were confirmed after the sixth cycle of THP-COP regimen, when a high HBV DNA level was detected ( $>9.1$  log copies/mL) by real-time polymerase chain reaction assay before initiating salvage chemotherapy for ATL using mogamulizumab (Fig. 1). Liver damage did not develop, although a very high level of HBV DNA was detected at that point.

He began to receive weekly mogamulizumab monotherapy for relapsed CCR4 positive ATL and received anti-HBV treatment with entecavir (0.5 mg/day) at the same time, according to Japanese guidelines for preventing HBV reactivation-related hepatitis. His cervical lymphadenopathy improved, however, sudden and severe liver damage (alanine aminotransferase, 2410 U/L; total bilirubin, 6.01 mg/dL) developed after the third cycle of mogamulizumab (Figs 1,2). A diagnosis of acute liver failure was made on sustained jaundice with hepatic coma and the decline to less than 40% of prothrombin time, according to Japanese criteria,<sup>19</sup> despite the daily administration of entecavir and Stronger Neo-Minophagen C (40 mg/day). He was transferred to another hospital for intensive care, including plasma exchange, and his HBV DNA levels decreased to 3.6 log copies/mL immediately (Fig. 1), which indicated that entecavir was



**Figure 1** Clinical course of a patient with adult T-cell leukemia-lymphoma before and after treatment with the anti-CC chemokine receptor 4 monoclonal antibody mogamulizumab. ALT, alanine aminotransferase; anti-HBc, antibody against hepatitis core antigen; anti-HBe, antibody against hepatitis B e-antigen; anti-HBs, antibody against HBsAg; CHOP, cyclophosphamide, doxorubicin, vincristine and prednisolone; HBcAg, hepatitis B core-related antigen; HBeAg, hepatitis B e-antigen; HBsAg, hepatitis B surface antigen; HBV, hepatitis B virus; LC/mL, log copies/mL; LU/mL, log U/mL; NA, not available; PD, progressive disease; PE, plasma exchange; PT, prothrombin time; QT, qualitative test; RCC, red cells concentrates; sIL-2R, soluble interleukin-2 receptor; SNMC, Stronger Neo-Minophagen C; T-Bil, total bilirubin; THP-COP, pirarubicin, vincristine and prednisolone.



**Figure 2** Detailed clinical course of fatal HBV reactivation after mogamulizumab. ALT, alanine aminotransferase; HBV, hepatitis B virus; SNMC, Stronger Neo-Minophagen C; PT, prothrombin time; T-Bil, total bilirubin.

effective in suppressing the replication of HBV DNA. There was no evidence of the emergence of resistance to this antiviral drug at that time. However, acute liver failure progressed rapidly and he died approximately 7 weeks after the first administration of mogamulizumab.

Retrospective analysis using a sample stored 2 weeks after hepatitis onset (at 5 weeks after the first administration of mogamulizumab) showed that he was seropositive for HBsAg (40 IU/mL, CLIA), seropositive for anti-HBc (10.6 s/co, CLIA), seropositive for anti-HBs (110.1 mIU/mL, CLIA) and seropositive for HBe-related antigen (5.3 log U/mL, CLIA). Furthermore, analysis showed that the virus was HBV genotype C, without any mutations in the precore region or basal core promoter, but a HBsAg escape mutation (G145K) was found. This retrospective analysis was approved by the institutional review board of Amagasaki Central Hospital and Nagoya City University.

**DISCUSSION**

**T**HIS CASE IS the first report of HBV-related death in an ATL patient who received mogamulizumab, despite antiviral prophylaxis with entecavir before hepatitis onset, according to some guidelines.<sup>10-13</sup> Mogamulizumab is a humanized anti-CCR4 monoclonal antibody, which

targets CCR4 molecules expressed not only on tumor cells of ATL, but also normal T-helper type 2 and regulatory T cells.<sup>14,15,20</sup> Mogamulizumab has been demonstrated to be effective for CCR4 positive relapsed/refractory ATL or peripheral T-cell lymphoma,<sup>16,18,21</sup> however, Stevens-Johnson syndrome, a seriously adverse skin event, has been reported to occur, partly because of an enhanced cellular immune response following a remarkable reduction in the number of regulatory T cells.<sup>22</sup>

In this case, the initial systemic chemotherapy (CHOP or THP-COP regimen), possibly in addition to the highly immunocompromised situation caused by ATL itself,<sup>20,23</sup> might have led to the reappearance of HBsAg and an abrupt increase of serum HBV DNA, and HBV reactivation might have occurred before the administration of mogamulizumab. Although HBV reactivation has been reported to be a rare complication following anti-CD20 monoclonal antibody, rituximab-free chemotherapy,<sup>8,9</sup> this clinical course suggested that regular HBV DNA monitoring-guided pre-emptive antiviral therapy is necessary to prevent HBV reactivation in such patients.<sup>3,10</sup> Interestingly, liver damage associated with HBV reactivation did not manifest, even when a very high HBV DNA level was detected. Acute liver failure with hepatic coma developed suddenly after the administration of mogamulizumab and the patient died of hepatitis B in spite of intensive care.

As to why the sudden acute liver failure occurred after mogamulizumab, this anti-CCR4 antibody should rapidly deplete not only CCR4 positive ATL cells, a certain subset of which functions as regulatory T cells, but also CCR4 positive endogenous non-ATL regulatory T cells.<sup>16,18,23</sup> We surmise that this depletion resulted in a rapid provocation of the patient's immune system, especially the cellular immune response to HBV-infected hepatocytes, leading to acute liver failure and HBV-related death. HBV reactivation has been reported to occur most frequently in cancer patients after completion of systemic chemotherapy and recovery of the immune response.<sup>3</sup> Antiviral prophylaxis is recommended by some guidelines to prevent HBV reactivation-related hepatitis and death in HBsAg positive patients before initiating systemic chemotherapy.<sup>10-13</sup> Recently, a randomized controlled trial demonstrated that the prophylactic use of entecavir significantly decreased the risk of HBV reactivation in HBsAg positive patients with low viral loads (serum HBV DNA levels <3 log copies/mL), who received rituximab-containing chemotherapy, compared with the prophylactic use of lamivudine.<sup>24</sup> However, there is limited evidence of prevention of HBV reactivation in HBsAg positive patients, especially those with high viral loads who may have a greater risk of HBV reactivation. This case is also the first report of HBV reactivation-related death during antiviral treatment with a new generation anti-HBV nucleoside analog, entecavir, that has both greater potential to suppress HBV replication and a high barrier to viral resistance mutations.<sup>25,26</sup> Therefore, if a potentially immune-enhancing drug is used for HBsAg positive cancer patients with high HBV DNA levels, it may be necessary to reduce the HBV DNA level as much as possible by antiviral treatment in advance, before initiating systemic chemotherapy.

Why did liver damage not occur, even when a very high HBV DNA level was detected after initial chemotherapy? Again, ATL patients usually have severe cellular immunodeficiency and a diagnosis of ATL is often made with the onset of opportunistic infections, such as pneumocystis pneumonia and cytomegalovirus infection.<sup>27,28</sup> On the other hand, the immune response, especially the HBV-specific T-cell response, is thought to be largely responsible for the onset of hepatitis and viral clearance during HBV infection.<sup>29,30</sup> Therefore, we speculate that his impaired cellular immune response could have allowed replication of the virus but could not attack the HBV-infected hepatocytes and induce hepatitis B just after the THP-COP regimen, suggesting that his immune response against HBV was weak before mogamulizumab treatment. One of the reasons may be limited virus replication due to the absence of precore and/or basal core promoter mutations

that are associated with enhanced virus replication in patients with fulminant hepatitis B.<sup>31,32</sup>

What is the clinical significance of the HBsAg escape mutation in HBV reactivation? Retrospective analysis using his preserved sample after HBV reactivation also showed that he had a high titer of anti-HBs (110.1 mIU/mL, Fig. 1) and a common escape mutation (G145K) in the viral S region. Most HBV reactivation occurs after the decrease and disappearance of anti-HBs in patients initially seropositive for anti-HBs.<sup>33</sup> Escape mutations in HBsAg have been reported to be associated with sustained titers of anti-HBs during HBV reactivation,<sup>34</sup> which indicates that regular monitoring of anti-HBs titers may be insufficient to predict adequately HBV reactivation in such patients.

In summary, we first reported the sudden onset of acute liver failure, and death due to HBV reactivation, in an ATL patient who received anti-CCR4 antibody mogamulizumab monotherapy, despite antiviral prophylaxis with entecavir. This clinical course may not only offer important suggestions to prevent critical HBV reactivation in HBsAg positive cancer patients who receive immune-enhancing drugs such as anti-CCR4 antibody, but also provide a clue to understanding the pathogenesis of HBV reactivation following systemic chemotherapy.

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# Reactivation of hepatitis B virus (HBV) infection in adult T-cell leukemia–lymphoma patients with resolved HBV infection following systemic chemotherapy

Haruhito Totani · Shigeru Kusumoto · Takashi Ishida · Arisa Masuda · Takashi Yoshida · Asahi Ito · Masaki Ri · Hirokazu Komatsu · Shuko Murakami · Masashi Mizokami · Ryuzo Ueda · Akio Niimi · Hiroshi Inagaki · Yasuhito Tanaka · Shinsuke Iida

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**Abstract** Reactivation of hepatitis B virus (HBV) infection may occur in adult T-cell leukemia–lymphoma (ATL) patients with resolved HBV infection who receive monotherapy with the anti-CC chemokine receptor 4 monoclonal antibody, mogamulizumab. However, there is little evidence regarding the incidence and characteristics of HBV reactivation in ATL patients receiving systemic chemotherapy, including the use of this antibody. We conducted a retrospective study for 24 ATL patients with resolved HBV infection underwent regular HBV DNA monitoring

to assess HBV reactivation in Nagoya City University Hospital between January 2005 and June 2013. With median HBV DNA follow-up of 238 days (range 57–1420), HBV reactivation (defined as the detection of HBV DNA) was observed in three (12.5 %) of 24 patients with resolved HBV infection. No hepatitis due to HBV reactivation occurred in those patients who were diagnosed with HBV DNA levels below 2.1 log copies/mL and who received antiviral drugs. Mogamulizumab was administered prior to HBV reactivation in two of three HBV-reactivated patients. In the mogamulizumab era, further well-designed prospective studies are warranted to estimate the incidence of HBV reactivation and to establish regular HBV DNA monitoring-guided preemptive antiviral therapy for such patients.

H. Totani · S. Kusumoto (✉) · T. Ishida · A. Masuda · T. Yoshida · A. Ito · M. Ri · H. Komatsu · S. Iida  
Department of Hematology and Oncology, Nagoya City University Graduate School of Medical Sciences, 1 Kawasumi, Mizuho-chou, Mizuho-ku, Nagoya, Aichi 467-8601, Japan  
e-mail: kusshan@rb3.so-net.ne.jp; skusumot@med.nagoya-cu.ac.jp

S. Murakami · Y. Tanaka  
Department of Virology and Liver Unit, Nagoya City University Graduate School of Medical Sciences, Nagoya, Japan

M. Mizokami  
The Research Center for Hepatitis and Immunology, National Center for Global Health and Medicine, Ichikawa, Japan

R. Ueda  
Department of Tumor Immunology, Aichi Medical University School of Medicine, Aichi, Japan

A. Niimi  
Department of Respiratory Medicine, Allergy and Rheumatology, Nagoya City University Graduate School of Medical Sciences, Nagoya, Japan

H. Inagaki  
Department of Anatomic Pathology and Molecular Diagnostics, Nagoya City University Graduate School of Medical Sciences, Nagoya, Japan

**Keywords** Reactivation · HBV · CCR4 · Mogamulizumab · ATL

## Abbreviations

HBV	Hepatitis B virus
ATL	Adult T-cell leukemia–lymphoma
HBsAg	Hepatitis B surface antigen
Anti-HBc	Antibodies against hepatitis B core antigen
Anti-HBs	Antibodies against hepatitis B surface antigen
CCR4	CC chemokine receptor 4

## Introduction

Reactivation of hepatitis B virus (HBV) infection has been reported as a potentially fatal complication of systemic chemotherapy [1–6]. HBV reactivation may occur not only in hepatitis B surface antigen (HBsAg)-positive patients, but also in patients with resolved HBV infection who are seronegative for HBsAg but seropositive for antibodies

against hepatitis B core antigen (anti-HBc) and/or antibodies against HBsAg (anti-HBs).

Chemotherapy containing the anti-CD20 monoclonal antibody, rituximab plus steroids has been shown to be an important risk factor for HBV reactivation in B-cell lymphoma patients with resolved HBV infection [2, 3]. Recently, the anti-CC chemokine receptor 4 (CCR4) monoclonal antibody, mogamulizumab, was developed and introduced into the management of adult T-cell leukemia-lymphoma (ATL) [7–12]. A dose-finding study showed that mogamulizumab monotherapy could induce HBV reactivation-related hepatitis in an ATL patient with resolved HBV infection [9, 13].

However, there is little evidence regarding the incidence and characteristics of HBV reactivation in ATL patients with resolved HBV infection who were receiving systemic chemotherapy including this antibody. We conducted here a retrospective study in a single institution to evaluate the risk of HBV reactivation in these patients who underwent regular monitoring of HBV DNA levels during and after chemotherapy.

## Patients and methods

Between January 2005 and June 2013, 66 patients were diagnosed with ATL in Nagoya City University Hospital. Baseline serological markers for HBsAg, anti-HBc, and anti-HBs were measured to evaluate their viral status before systemic chemotherapy. Antiviral prophylaxis was provided to the HBsAg-positive patients before the initiation of systemic chemotherapy. HBV DNA levels were assessed in HBsAg-negative patients who were seropositive for anti-HBc and/or anti-HBs. Patients seronegative for HBsAg but with detectable of HBV DNA were considered to have occult HBV infection, and antiviral prophylaxis was provided to those patients. HBsAg-negative patients seropositive for anti-HBc and/or anti-HBs but without detectable of HBV DNA were considered to have resolved HBV infection and their HBV DNA levels were monitored regularly (monthly in principle) for HBV DNA levels during chemotherapy and at least 1 year after chemotherapy; HBV reactivation was defined as the detection of HBV DNA. If HBV reactivation was confirmed, antiviral drugs were given immediately (preemptive antiviral therapy).

All baseline serological markers of HBsAg, anti-HBc and anti-HBs were measured by the laboratory in this hospital, using the following methods and cut-off values: CLEIA with cut-off values for HBsAg, anti-HBc and anti-HBs were 1.0 C.O.I, 1.0 INH % and 10.0 mIU/mL, respectively, from January 2005 to December 2010, CLEIA with cut-off values for HBsAg, anti-HBc and anti-HBs were 0.03 mIU/mL, 1.0 C.O.I, and 10.0 mIU/mL, respectively, from January 2011.

HBV DNA levels were measured by an outside laboratory (SRL, Inc., Tokyo, Japan) or by the laboratory in this hospital, using the following methods and cut-off values: transcription-mediated amplification test with a cut-off value of 3.7 LGE/mL from January 2005 to April 2006, Amplicor HBV monitor test with a cut-off value of 2.6 log copies/mL from April 2006 to May 2008, COBAS AmpliPrep/COBAS TaqMan HBV test (v1.0) with a cut-off value of 1.8 log copies/mL from May 2008 to July 2009, and COBAS AmpliPrep/COBAS TaqMan HBV test (v2.0) with a cut-off value of 2.1 log copies/mL from July 2009.

For the analysis of HBV sequences, nucleic acids were extracted from the preserved serum specimens (200  $\mu$ L) and subjected to PCR to amplify HBV genomes within the short S region [nucleotides (nt) 427–607] and the basal core promoter (BCP)/precore (PC) regions [nt 1628–2047] followed by direct sequencing using the ABI Prism Big Dye ver. 3.1 kit in an ABI 3100 DNA automated sequencer (Applied Biosystems, Foster City, CA). HBV genotypes were determined by molecular evolutionary analysis [14].

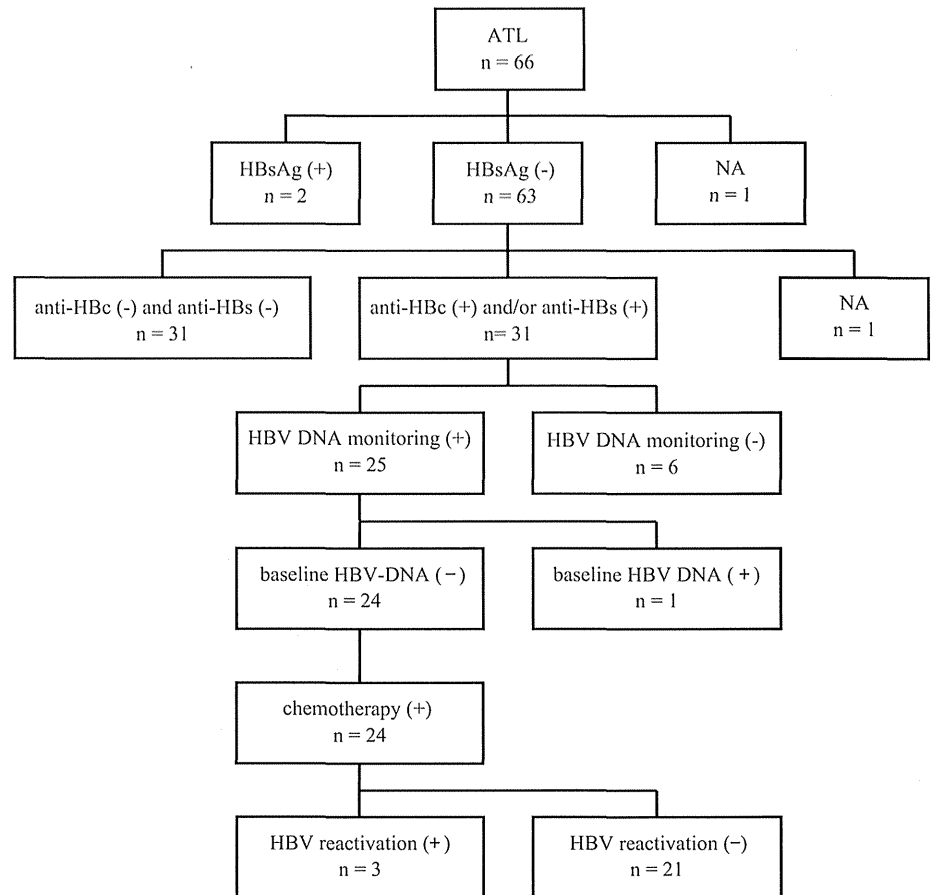
To compare the baseline characteristics and ATL treatment of the patients with and without HBV reactivation, we used the Chi-square test and two-sided Fisher's exact test for categorical data, and the Mann-Whitney *U* test for continuous variables. A two-tailed *p* value of less than 0.05 was considered statistically significant. All statistical analyses were performed using SPSS (version 22.0) statistical software for Windows, using data fixed on August 31, 2013. This study was approved by the Institutional Review Board of Nagoya City University. All patients gave written informed consent.

## Results

The status of HBV infection at baseline was as follows (Fig. 1): HBsAg-positive ( $n = 2$ , 3.0 %), HBsAg-negative ( $n = 63$ , 95.5 %), and no serological HBV assessment ( $n = 1$ , 1.5 %). Of the 63 HBsAg-negative patients, 31 (49.2 %) were anti-HBc positive and/or anti-HBs positive. Of the remaining 32 patients, 31 were anti-HBc negative and anti-HBs negative, and one had no data for anti-HBc and anti-HBs. Because HBV DNA below 1.8 log copies/mL was detected at baseline in one patient who was anti-HBc positive and anti-HBs positive at baseline (and who was therefore judged to have occult HBV infection), antiviral drugs were administered before initiating systemic chemotherapy. Finally, 24 of 31 patients with resolved HBV infection underwent regular HBV DNA monitoring (Fig. 1). For these 24 ATL patients, initial systemic chemotherapy included the following regimens: CHOP ( $n = 7$ , 29.2 %), VCAP-AMP-VECP ( $n = 13$ , 54.2 %) and others ( $n = 4$ , 16.6 %) (Table 1). Systemic chemotherapy was started in 6



**Fig. 1** Baseline serological markers of HBV infection in the 66 ATL patients. Two patients were HBsAg-positive, 63 were HBsAg-negative, the last was not available for serological HBV assessment. Of the 63 HBsAg-negative patients, 31 were anti-HBc-positive and/or anti-HBs-positive. One patient had detectable HBV DNA at baseline, and was judged as having occult HBV infection. Regular HBV DNA monitoring was performed in 24 of 31 patients with resolved HBV infection and 3 patients suffered HBV reactivation. *HBV* hepatitis B virus, *ATL* adult T-cell leukemia-lymphoma, *HBsAg* hepatitis B surface antigen, *anti-HBc* antibodies against hepatitis B core antigen, *anti-HBs* antibodies against hepatitis B surface antigen, *NA* not available



patients before HBV DNA monitoring. For the 24 patients with resolved HBV infection during and after systemic chemotherapy, regular monitoring of HBV DNA was conducted with a median interval of 30 days (range 2–703).

HBV reactivation was observed in 3 (12.5 %) of 24 patients with resolved HBV infection, with a median HBV DNA follow-up of 238 days (range 57–1420). No hepatitis due to HBV reactivation occurred in those patients who were diagnosed with HBV DNA levels below 2.1 log copies/mL and who received antiviral drugs (entecavir, 0.5 mg/day), resulting in no detectable HBV DNA levels during antiviral treatment.

There was no statistically significant difference in baseline characteristics and ATL treatment between patients with and without reactivation in this retrospective analysis (Table 1). The characteristics of 3 patients with HBV reactivation are shown in Table 2; all were male, and seropositive for anti-HBc and anti-HBs at baseline, and received the VCAP-AMP-VECP regimen as initial treatment. Mogamulizumab was administered prior to HBV reactivation in 2 of 3 HBV-reactivated patients. The anti-HBs titers of 3 patients decreased at reactivation compared to baseline titers in 3 patients. Their HBV genotypes were determined as C. HBV mutations were not found in the precore

region or basal core promoter. One patient died due to ATL progression.

The clinical course of case 1 is shown in Fig. 2. HBV reactivation was confirmed with HBV DNA levels below 2.1 log copies/mL, 3 months after initiating mogamulizumab-containing chemotherapy as initial treatment for ATL. The patient presented with elevation of transaminase levels after detection of HBV DNA, it considered not viral hepatitis, but drug-induced liver damage because of transient and slight increase of HBV DNA levels. Reemergence of HBV was observed repeatedly after withdrawal of antiviral drugs following the development of drug-induced allergic rash or interstitial pneumonia. The patient maintains complete remission of ATL with undetectable of HBV DNA after withdrawal of antiviral drugs over 3 years after mogamulizumab-containing chemotherapy.

## Discussion

This study showed that the incidence of HBV reactivation among ATL patients with resolved HBV infection who received systemic chemotherapy was 12.5 %. Preemptive antiviral therapy, guided by regular HBV DNA monitoring,

**Table 1** Baseline characteristics and treatment of 24 ATL patients with resolved HBV infection who underwent HBV DNA monitoring following systemic chemotherapy

	HBV reactivation (+) <i>n</i> = 3	HBV reactivation (–) <i>n</i> = 21	<i>p</i> value
Median age (range)	59 (58–65)	64 (41–77)	0.822
Sex			0.217
Male	3	9	
Female	0	12	
ATL type of disease			0.090
Acute	1	17	
Lymphoma	2	1	
Chronic	0	2	
Smoldering	0	1	
ECOG performance status			0.530
0 or 1	3	14	
2 or more	0	7	
Baseline HBV status			1.00
Anti-HBc positive and anti-HBs positive	3	18	
Anti-HBc positive and anti-HBs negative	0	3	
Anti-HBc negative and anti-HBs positive	0	0	
Baseline anti-HBs titers (mIU/mL)			0.728
<10	0	3	
≥10, <100	2	8	
≥100	1	10	
Initial chemotherapy regimen <sup>a</sup>			0.396
CHOP	0	7	
VCAP-AMP-VECP	3	10	
Others	0	4	
Mogamulizumab administration <sup>b</sup>			0.576
(+)	2	9	
(–)	1	12	
Allogeneic HSCT <sup>c</sup>			1.00
(+)	1	5	
(–)	2	16	
Year enrolled for HBV DNA monitoring			–
2005–2006	0	0	
2006–2008	0	4	
2008–2009	0	3	
2009–2013	3	14	
Median HBV DNA follow-up time (range) <sup>d</sup>	640 (637–1030)	227 (57–1420)	–

HBV hepatitis B virus, ATL adult T-cell leukemia–lymphoma, ECOG Eastern Cooperative Oncology Group, HBsAg hepatitis B surface antigen, anti-HBc antibodies against hepatitis B core antigen, anti-HBs antibodies against hepatitis B surface antigen, CHOP cyclophosphamide, doxorubicin, vincristine, prednisolone, VCAP-AMP-VECP VCAP (vincristine, cyclophosphamide, doxorubicin, prednisolone)-AMP (doxorubicin, ranimustine, prednisolone)-VECP (vindesine, etoposide, carboplatin, prednisolone), HSCT hematopoietic stem cell transplantation

<sup>a</sup> Initial chemotherapy regimen for adult T-cell leukemia–lymphoma was given during HBV DNA monitoring

<sup>b</sup> In 2 of 3 HBV-reactivated cases, mogamulizumab was given prior to HBV reactivation

<sup>c</sup> One patient received allogeneic hematopoietic stem transplantation after HBV reactivation

<sup>d</sup> HBV DNA follow-up time indicates the time from the date of baseline HBV DNA measurement until the date of the last HBV DNA measurement

was effective in preventing hepatitis due to HBV reactivation in all three patients. Most of HBV reactivation has been reported to occur in B-cell lymphoma, especially in those who received rituximab-containing chemotherapy [2–4, 6]. This is the first report regarding the risk of HBV reactivation focused on ATL patients with resolved HBV infection, which suggesting that the risk of HBV reactivation in ATL patients may be similar to that in B-cell lymphoma patients [15, 16].

ATL is a mature T-cell lymphoma and human T-cell leukemia virus type-1 plays a role in its pathogenesis.

Aggressive ATL has been reported to have a poor prognosis with a median overall survival of approximately 1 year, regardless of intensive chemotherapy [17]. The anti-CCR4 monoclonal antibody, mogamulizumab has been shown recently to be effective and safe for aggressive ATL patients in the setting of monotherapy or combined with conventional chemotherapy [9, 11, 18]. It is expected that mogamulizumab will enable long-term disease control, so more HBV reactivation events may be predicted because CCR4 is a chemokine receptor expressed on T-helper type 2 and regulatory T cells [7, 19], and is thought to have an important

**Table 2** Characteristics of 3 patients with HBV reactivation

	Case 1	Case 2	Case 3
Age	65	59	58
Sex	Male	Male	Male
Type of ATL	Lymphoma	Lymphoma	Acute
ECOG performance status	1	1	0
Baseline HBV status			
HBsAg	(–)	(–)	(–)
Anti-HBc titers	98.1 %	3.6 C.O.I	1.5 C.O.I
Anti-HBs titers	20.0 mIU/mL	24.0 mIU/mL	>1000.0 mIU/mL
HBV DNA levels	Not detectable	Not detectable	Not detectable
Chemotherapy regimens before HBV reactivation	VCAP-AMP-VECP plus mogamulizumab	VCAP-AMP-VECP	VCAP-AMP-VECP Mogamulizumab CHOP DeVIC etc.
Number of regimens	1	1	7
Allogeneic HSCT <sup>a</sup>	No	Yes	No
After HBV reactivation			
Time to reactivation (day) <sup>b</sup>	90	71	541
HBV DNA levels at reactivation (log copies/mL)	<2.1	<2.1	<2.1
Peak HBV DNA levels (log copies/mL)	2.3	<2.1	<2.1
Anti-HBs titers	17.6 mIU/mL	22.0 mIU/mL	566.5 mIU/mL
HBV genotype	C	C	C
HBV mutation of precore region or basal core promoter	Wild	Wild	NA
Antiviral drugs	Entecavir, lamivudine	Entecavir	Entecavir
Hepatitis due to HBV reactivation	No	No	No
HBV DNA follow-up time (day) <sup>c</sup>	1030	640	637
Outcome	Alive (CR1)	Alive (CR1)	Death due to ATL progression

HBV hepatitis B virus, ATL adult T-cell leukemia–lymphoma, ECOG Eastern Cooperative Oncology Group, HBsAg hepatitis B surface antigen, anti-HBc antibodies against hepatitis B core antigen, anti-HBs antibodies against hepatitis B surface antigen, VCAP-AMP-VECP VCAP (vincristine, cyclophosphamide, doxorubicin, prednisolone)-AMP (doxorubicin, ranimustine, prednisolone)-VECP (vindesine, etoposide, carboplatin, prednisolone), CHOP cyclophosphamide, doxorubicin, vincristine, prednisolone, DeVIC dexamethasone, etoposide, ifosfamide, carboplatin, CR1 first complete response

<sup>a</sup> One patient (case 2) received allogeneic hematopoietic stem transplantation after HBV reactivation

<sup>b</sup> Time to reactivation indicates the time from the date of baseline HBV DNA measurement until the date of the confirmation of HBV reactivation

<sup>c</sup> HBV DNA follow-up time indicates the time from the date of baseline HBV DNA measurement until the date of the last HBV DNA measurement

role in maintaining the balance of the human immune system. The mechanism whereby mogamulizumab causes HBV reactivation is not fully understood; a reduction of numbers of CCR4-expressing cells following this antibody treatment might be associated with an imbalance of antiviral immunity, resulting in the development of HBV reactivation [9, 13]. Although HBV reactivation was confirmed in 2 of 11 patients who received mogamulizumab, this study did not prove that HBV reactivation is associated with mogamulizumab therapy, partly because of the small sample size.

This study has the following limitations: a retrospective study in a single institution with a small sample size, and

the diagnosis of HBV reactivation at early stage when only when HBV DNA became detectable (below 2.1 log copies/mL) by PCR. Because antiviral treatments after the onset of hepatitis are often insufficient to control HBV reactivation, preemptive antiviral therapy guided by regular HBV DNA monitoring, whereby the antiviral drug is given immediately when HBV DNA becomes detectable, is recommended by some guidelines to prevent hepatitis due to HBV reactivation [20, 21]. However, the definition of HBV reactivation and cut-off values of HBV DNA levels, along with the timing of initiation of antiviral treatment in patients with resolved HBV infection, have not been fully investigated yet.

