

Fig. 4. Effect of triethoxy derivative 4m and (-)-arctigenin (1) on the growth of CAPAN-1 cells in nude mice. A, body weight of mice. O, control group (n = 5);  $\bullet$ , group treated with triethoxy derivative 4m (n = 6); A, group treated with (-)-arctigenin (1) (n = 5). B, the tumor volume in the mice. C, control group; C0, group treated with triethoxy derivative Am; A0, group treated with (-)-arctigenin (1). C1, wet weight of the tumor in the mice on the last day of the experiment. C1. C2, photographs of the tumor after sacrifice on the last day of control group, of group treated with (-)-arctigenin (1), and of group treated with triethoxy derivative Am1, respectively.

solvent was removed under reduced pressure to give a pale yellow oil, which was used directly in the next step. To a stirred solution of diethyl malonate (0.79 mL, 5.18 mmol) in DMF (10 mL) was added NaH (60%, 207 mg, 5.18 mmol) at 0 °C, and the resulting mixture was stirred at room temperature for 1 h. To the solution was added a solution of the oil obtained above in DMF (2 mL) at 0 °C, and the reaction mixture was stirred at room temperature for 20 h. The reaction was quenched with sat. NaHCO3 (aq) (10 mL), and the aqueous mixture was extracted with Et<sub>2</sub>O (20 mL × 3). The organic extracts were combined, dried over MgSO4, evaporated to give a pale yellow oil which was chromatographed on silica gel (20 g, hexane: acetone = 15:1) to give 8 (776 mg, 72% in 2 steps) as a pale yellow oil: <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.22 (6H, t, J = 7.1 Hz), 3.13 (2H, d, J = 7.6 Hz), 3.50 (3H, s), 3.60 (1H, t, J = 7.6 Hz), 4.16 (4H, q, s)J = 7.1 Hz, 5.11 (2H, s), 5.19 (2H, s), 6.74–6.83 (2H, m), 7.00 (1H, d, J = 1.7 Hz), 7.28 - 7.42 (5H, m); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 14.05, 34,09, 53.93, 56.16, 61.35, 70.92, 95.64, 114.31, 117.98, 122.74, 127.01, 127.63, 128.31, 130.09, 136.95, 146.64, 147.71, 168.56; IR (neat): 1510 (C=C), 1732 (C=O) cm<sup>-1</sup>; MS (EI) m/z 416 (M<sup>+</sup>); HRMS (EI): calcd for C23H28O7: 416.1835 (M1), found: 416.1832.

4.1.3.3. (*R*)-Acetic acid 3-(4-benzyloxy-3-methoxymethoxyphenyl)-2-hydroxymethylpropyl ester ((+)-9). To a stirred solution of **8** (1.66 g, 3.98 mmol) in THF (40 mL) was added LiAlH<sub>4</sub> (378 mg, 9.96 mmol) at 0 °C, and the resulting suspension was refluxed for 12 h. The reaction was quenched with 10% NaOH (aq) (20 mL), and the mixture was extracted with AcOEt (20 mL  $\times$  5). The organic extracts were combined dried over MgSO<sub>4</sub>, and the solvent was evaporated to give diol, which was used directly in the next step. To a stirred solution of the diol obtained above in *i*-Pr<sub>2</sub>O-THF (20 mL, 4:1) were added lipase-PS (397 mg) and vinyl acetate (0.52 mL,

5.67 mmol), and the reaction mixture was stirred at room temperature for 2 h. The catalyst was filtered and the filtrate was evaporated to give residue, which was chromatographed on silica gel (30 g, hexane:acetone = 15:1) to give (+)-9 (1.20 g, 80% in 2 steps) as a pale yellow oil. The enantiomeric excess of (+)-9 was determined to be a 98% ee by the following HPLC analysis; chiralcel O[  $(0.46 \text{ cm} \times 25 \text{ cm})$ , hexane/2-propanol = 1/1, flow rate = 0.5 mL/ min,  $\lambda = 254$  nm, (+)-9;  $t_R = 29.7$  min, (-)-9; 25.5 min <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ: 1.70 (1H, br), 2.09 (3H, s), 2.17 (1H, s), 2.55-2.62 (2H, m), 3.47-3.62 (2H, m), 3.52 (3H, s), 4.03-4.20 (2H, m), 5.13 (2H, s), 5.21 (2H, s), 6.72-6.98 (3H, m), 7.30-7.44 (5H, m); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>) δ: 20.99, 33.75, 42.47, 56.27, 62.08, 63.94, 71.14, 95.72, 114.534, 118.21, 122.92, 127.11, 127.71, 128.40, 132.46, 137.11, 146.82, 147.48, 171.47; IR (neat); 1739 (C=O), 3165 (OH) cm<sup>-1</sup>; MS (EI) m/z 374 (M<sup>+</sup>); HRMS (EI): calcd for  $C_{21}H_{26}O_6$ : 374.1729 (M<sup>1</sup>), found: 374.1723;  $[\alpha]_D^{26}$  +13.5 (c 1.14, CHCl<sub>3</sub>).

4.1.3.4. (R)-Acetic acid 3-(4-benzyloxy-3-methoxymethoxyphenyl)-2-methanesulfonyloxymethylpropyl ester (10). To a stirred solution of (+)-9 (666 mg, 1.78 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (8 mL) were added MsCl (0.15 mL, 1.95 mmol) and NEt<sub>3</sub> (0.32 mL, 2.31 mmol) at 0 °C, and the reaction mixture was stirred at room temperature for 0.5 h. The reaction was quenched with H<sub>2</sub>O (8 mL), and the aqueous mixture was extracted with CH<sub>2</sub>Cl<sub>2</sub> (10 mL × 3). The organic extracts were combined dried over MgSO<sub>4</sub>, and evaporated. The residue was chromatographed on silica gel (30 g, hexane:acetone = 15:1) to give 10 (775 mg, 96%) as a pale yellow oil:  $^{1}$ H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 2.03 (3H, s), 2.27–2.34 (1H, m), 2.61 (2H, d, J = 7.42 Hz), 2.93 (3H, s), 3.47 (3H, s), 3.96–4.19 (4H, m), 5.08 (2H, s), 5.18 (2H, s), 6.69–6.95 (3H, m), 7.24–7.41 (5H, m);  $^{13}$ C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 20.91, 33.41, 37.26, 39.61, 56.29, 62.94, 68.34, 71.13, 95.67, 114.65, 118.05,

122.84, 127.12, 127.76, 128.42, 130.88, 136.98, 146.98, 147.72, 170.56; IR (KBr): 1242 (S=O), 1736 (C=O) cm<sup>-1</sup>; MS (EI) m/z 452 (M<sup>1</sup>); HRMS (EI): calcd for  $C_{22}H_{28}O_8S$ : 452.1505 (M<sup>1</sup>), found: 452.1512;  $[\alpha]_D^{25} + 2.76$  (c 1.40, CHCl<sub>3</sub>).

4.1.3.5. (R)-4-(4-Benzyloxy-3-hydeorxybenzyl)dihydrofuran-2-one (11). To a stirred solution of 10 (993 mg, 2.19 mmol) in DMSO (20 mL) was added KCN (150 mg, 2.19 mmol), and the resulting mixture was heated at 90 °C for 3 h. After cooling, the reaction was quenched with H2O (20 mL), and the aqueous mixture was extracted with Et<sub>2</sub>O/AcOEt (1:1, 20 mL  $\times$  3). The organic extracts were combined, dried over MgSO<sub>4</sub>, and evaporated to give cyanide, which was used directly in the next step. To a stirred solution of cyanide obtained above in THF-H2O (3:1, 8 mL) was added LiOH·H<sub>2</sub>O (91.9 mg, 2.19 mmol), and the reaction mixture was stirred at room temperature for 24 h. The reaction mixture was diluted with H2O (10 mL), and the aqueous mixture was extracted with Et<sub>2</sub>O (20 mL  $\times$  3). The organic extracts were combined, dried over MgSO<sub>4</sub>, and evaporated to give alcohol, which was used directly in the next step. The alcohol obtained above was dissolved in 10% NaOH (aq) (10 mL), and the mixture was refluxed for 5 h. After cooling, 10% HCI (aq) (20 mL) and THF (20 mL) were added to the reaction mixture, and the resulting solution was stirred at room temperature for 50 h. The aqueous reaction mixture was extracted with Et<sub>2</sub>O (30 mL × 3), and the organic extracts were combined, dried over MgSO<sub>4</sub>, and evaporated to give a residue, which was chromatographed on silica gel (20 g, hexane: acetone = 3:1) to give 11 (479 mg, 73% in 4 steps) as a colorless solid: <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 2.17–2.32 (1H, m), 2.52–2.69 (3H, m), 2.74–2.86 (1H, m), 3.91–4.05 (1H, m), 4.30–4.36 (1H, m), 5.09 (2H, s), 5.67 (1H, br), 6.59–6.89 (3H, m), 7.36–7.85 (5H, m);  $^{13}$ C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 34.25, 37.22, 38.41, 71.22, 72.63, 112.26, 114.78, 120.04, 127.69, 128.32, 128.61, 131.72, 136.09, 144.50, 145.89, 176.68; IR (KBr): 1647 (C=O), 3445 (OH) cm<sup>-1</sup>; MS (EI) m/z 298 (M<sup>+</sup>); HRMS (EI): calcd for  $C_{18}H_{18}O_4$ : 298.1205 (M<sup>1</sup>), found: 298.1204;  $[\alpha]_D^{26}$  +5.6 (c 0.13, CHCl<sub>3</sub>); mp: 137-139 °C.

4.1.3.6. (*R*)-4-(4-Benzyloxy-3-methoxybenzyl)dihydrofuran-2-one (12a). To a stirred solution of 11 (330 mg, 1.1 mmol) in acetone (15 mL) were added  $K_2CO_3$  (168 mg, 1.2 mmol) and Mel (0.41 mL, 6.6 mmol), and the reaction mixture was refluxed for 24 h. After cooling, the insoluble materials were filtered, and the filtrate was evaporated to give a residue, which was chromatographed on silica gel (15 g, hexane:acetone = 4:1) to give 12a (304 mg, 88%) as a colorless oil:  $^1H$  NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 2.17 (2H, s), 2.24–2.30 (1H, m), 3.88 (3H, s), 4.03–4.05 (1H, m), 4.30–4.35 (1H, m), 5.13 (2H, s), 6.61–6.64 (2H, m), 6.81–6.83 (1H, m), 7.27–7.45 (5H, m);  $^{13}C$  NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 34.29, 37.30, 38.64, 56.06, 71.11, 72.60, 112.32, 114.23, 120.52, 127.12, 127.72, 128.40, 131.25, 136.96, 146.91, 149.66, 176.65; IR (neat): 1654 (C=C), 1774 (C=O) cm<sup>-1</sup>; MS (EI) m/z 312 (M<sup>1</sup>); HRMS (EI): calcd for  $C_{19}H_{20}O_4$ : 312.1362 (M<sup>1</sup>), found: 312.1380;  $|\alpha|_{10}^{25} + 4.9$  (c 0.95, CHCl<sub>3</sub>).

4.1.3.7. (*R*)-4-(4-Benzyloxy-3-ethoxybenzyl)dihydrofuran-2-one (12b). By the procedure similar to preparation of 12a, 12b was prepared from 11 and Etl (84%) as a pale yellow oil:  $^{1}$ H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.44 (3H, t, J = 4.4 Hz), 2.28 (1H, dd, J = 17.3, 6.9 Hz), 2.60 (1H, dd, J = 17.3, 8.0 Hz), 2.67–2.84 (3H, m), 4.02–4.13 (3H, m), 4.32 (1H, dd, J = 9.1, 6.9 Hz), 5.12 (2H, s), 6.60–6.69 (2H, m), 6.84 (1H, d, J = 8.2 Hz), 7.30–7.77 (5H, m);  $^{13}$ C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 15.03, 34.29, 37.31, 38.61, 64.74, 71.37, 72.62, 114.29, 115.22, 120.73, 127.08, 127.63, 128.34, 131.46, 137.20, 147.33, 149.18, 176.67; IR (neat): 1507 (C=C), 1772 cm $^{-1}$  (C=O); MS (EI) m/z 326 (M $^{+}$ ); HRMS (EI): calcd for  $C_{20}H_{22}O_4$ : 326.1518 (M $^{+}$ ), found: 326.1523;  $[\alpha]_{D}^{26}$  +3.4 (c 1.78, CHCl<sub>3</sub>).

4.1.3.8. (R)-4-(4-Benzyloxy-3-propoxybenzyl)dihydrofuran-2-one (12c). By the procedure similar to preparation of 12a, 12c was prepared from 11 and n-PrBr (87%) as a colorless oil:  $^1$ H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.04 (3H, t, J=7.0 Hz), 1.84 (2H, sextet, J=7.0 Hz), 2.26 (1H, dd, J=17.5, 7.0 Hz), 2.57 (1H, dd, J=17.5, 8.1 Hz), 2.64-2.71 (2H, m), 2.74-2.83 (1H, m), 3.96 (2H, t, J=7.0 Hz), 4.00 (1H, dd, J=9.2, 5.9 Hz), 4.30 (1H, dd, J=9.2, 7.0 Hz), 5.09 (2H, s), 6.60 (1H, d, J=8.1 Hz), 6.67 (1H, s), 6.82 (1H, d, J=8.1 Hz), 7.27-7.42 (5H, m);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$ : 10.46, 22.55, 34.07, 37.15, 38.43, 70.65, 71.32, 72.55, 114.28, 115.38, 120.69, 127.10, 127.63, 128.34, 131.61, 137.31, 147.37, 149.52, 176.84; IR (neat): 1508 (C=C), 1773 (C=O) cm<sup>-1</sup>; MS (EI) m/z 340 (M $^{+}$ ); HRMS (EI): calcd for 340.1675 (M $^{+}$ ), found: 340.1667;  $[\alpha]_D^{26}-1.0$  (c 1.05, CHCl<sub>3</sub>).

4.1.3.9. (R)-4-(3.4-Dimethoxybenzyl)dihydrofuran-2-one To a stirred solution of 12a (302 mg, 0.97 mmol) in MeOH (5 mL) was added 20% Pd(OH)<sub>2</sub> (20 mg), and the resulting suspension was stirred under a hydrogen atmosphere at 1 atm for 15 h. The catalyst was removed by filtration and the filtrate was evaporated to give phenol, which was used directly in the next step. To a stirred solution of the phenol obtained above in acetone (10 mL) were added K2CO3 (201.1 mg, 1.46 mmol) and MeI (0.18 mL, 2.92 mmol), and the resulting mixture was refluxed for 19 h. After cooling, the insoluble materials were filtered, and the filtrate was evaporated to give a residue, which was chromatographed on silica gel (10 g, hexane:acetone = 4:1) to give 13a (130 mg, 55% in 2 steps) as a pale yellow oil: <sup>1</sup>H NMR (300 MHz. CDCl<sub>3</sub>)  $\delta$ : 2.33 (1H, dd, J = 18.0, 9.3 Hz), 2.61 (1H, dd, J = 17.4, 8.1 Hz), 2.70-2.87 (3H, m), 3.87 (3H, s), 3.88 (3H, s), 4.05 (1H, dd, J = 9.3, 6.3 Hz), 4.33 (1H, dd, J = 9.3, 6.6 Hz), 6.66-6.72 (2H, m), 6.82 (1H, d, J = 8.1 Hz);  $[\alpha]_D^{24} + 22.2$  (c 0.87, CHCl<sub>3</sub>) (ref. [19],  $[\alpha]_{\rm D}^{25}$  +23.8).

4.1.3.10. (*R*)-4-(4-Ethoxy-3-methoxybenzyl)dihydrofuran-2-one (13b). By the procedure similar to preparation of 13a, 13b was prepared from 12a and Etl (55% in 2 steps) as a pale yellow oil:  $^1H$  NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.46 (3H, t, J=7.1 Hz), 2.29 (1H, dd, J=17.6, 6.9 Hz), 2.63 (1H, dd, J=17.6, 8.0 Hz), 2.71–2.88 (3H, m), 3.86 (3H, s), 4.03 (1H, dd, J=9.1, 6.9 Hz), 4.06 (2H, q, J=7.1 Hz), 4.34 (1H, dd, J=9.1, 6.9 Hz), 6.65–6.68 (2H, m), 6.81 (1H, d, J=8.2 Hz);  $^{13}C$  NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 14.92, 34.32, 37.36, 38.65, 55.98, 64.38, 72.63, 112.01, 112.84, 120.56, 130.59, 147.06, 149.26, 176.68; IR (neat): 1514 (C=C), 1778 (C=O) cm<sup>-1</sup>; MS (EI) m/z 250 (M $^1$ ); HRMS (EI): calcd for  $C_{14}H_{18}O_4$ : 250.1205 (M $^1$ ), found: 250.1192;  $[\alpha]_D^{24}+4.4$  (c 1.66, CHCl<sub>3</sub>).

4.1.3.11. (R)-4-(3-Ethoxy-4-methoxybenzyl)dihydrofuran-2-one (13c). By the procedure similar to preparation of 13a, 13c was prepared from 12b and Mel (55% in 2 steps) as a pale yellow oil:  $^1\mathrm{H}$  NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.47 (3H, t, J=6.9 Hz), 2.29 (1H, dd J=17.3, 6.6 Hz), 2.61 (1H, dd, J=17.3, 8.0 Hz), 2.67–2.87 (3H, m), 3.86(3H, s), 4.01–4.12 (3H, m), 4.34 (1H, dd, J=9.1, 6.6 Hz), 6.62–6.69 (2H, m), 6.81 (1H, d, J=8.0 Hz);  $^{13}\mathrm{C}$  NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 14.87, 34.24, 37.31, 38.54, 55.95, 64.35, 72.58, 111.61, 113.24, 120.54, 130.52, 148.01, 148.22, 176.63; IR (neat): 1541 (C=C), 1771 (C=O) cm $^{-1}$ ; MS (EI) m/z 250 (M $^{1}$ ); HRMS (EI): calcd for  $C_{14}H_{18}O_{4}$ : 250.1205 (M $^{1}$ ), found: 250.1207; [ $\alpha$ ] $_{27}^{27}$  +4.4 (c 1.94, CHCl<sub>3</sub>).

4.1.3.12. (*R*)-4-(3,4-Diethoxybenzyl)dihydrofuran-2-one (**13d**). By the procedure similar to preparation of **13a**, **13d** was prepared from **12b** and EtI (47% in 2 steps) as a pale yellow oil:  $^1$ H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.41–1.47 (6H, m), 2.28 (1H, dd, J = 17.3, 6.6 Hz), 2.59 (1H, dd, J = 17.3, 8.0 Hz), 2.67–2.86 (3H, m), 4.00–4.11 (5H, m), 4.32 (1H, dd, J = 9.3, 6.6 Hz), 6.64–6.67 (2H, m), 6.81 (1H, d, J = 8.5 Hz);  $^{13}$ C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 14.97, 34.30, 37.36, 38.61, 64.64, 64.69, 72.65,

113,70, 114.12, 120.78, 130,70, 147.53, 148.72, 176.68; IR (neat): 1507 (C=C), 1771 (C=O) cm $^{-1}$ ; MS (EI) m/z 264 (M $^{1}$ ); HRMS (EI): calcd for  $C_{15}H_{20}O_4$ : 264.1362 (M $^{1}$ ), found: 264.1369;  $[\alpha]_D^{26}$  +5.4 (c 1.29, CHCl<sub>3</sub>).

4.1.3.13. (*R*)-4-(4-Methoxy-3-propoxybenzyl)dihydrofuran-2-one (13e). By the procedure similar to preparation of 13a, 13e was prepared from 12c and Mel (80% in 2 steps) as a pale yellow oil:  ${}^{1}$ H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.05 (3H, t, J=7.1 Hz), 1.87 (2H, sextet, J=7.1 Hz), 2.29 (1H, dd, J=17.5, 6.8 Hz), 2.60 (1H, dd, J=17.5, 8.1 Hz), 2.65—2.73 (2H, m), 2.77—2.84 (1H, m), 3.85 (1H, s), 3.96 (2H, t, J=7.1 Hz), 4.03 (1H, dd, J=9.3, 6.1 Hz), 4.33 (1H, dd, J=9.3, 7.0 Hz), 6.67 (1H, s), 6.68 (1H, d, J=7.8 Hz), 6.81 (1H, d, J=7.8 Hz);  ${}^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$ : 10.37, 22.44, 34.15, 37.23, 38.45, 56.00, 70.51, 72.57, 111.89, 113.52, 120.60, 130.67, 148.25, 148.62, 176.86; IR (neat): 1516 (C=C), 1778 (C=O) cm<sup>-1</sup>; MS (EI) m/z 264 (M $^{1}$ ); HRMS(EI): calcd for C<sub>15</sub>H<sub>20</sub>O<sub>4</sub>: 264.1362 (M $^{1}$ ), found: 264.1345;  $[\alpha]_D^{26}+3.2$  (c 1.05, CHCl<sub>3</sub>).

4.1.3.14. (R)-4-(4-Ethoxy-3-propoxybenzyl)dihydrofuran-2-one (13f). By the procedure similar to preparation of 13a, 13f was prepared from 12c and Etl (77% in 2 steps) as a pale yellow oil:  $^1\mathrm{H}$  NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.05 (3H, t, J=7.0 Hz), 1,42 (3H, t, J=6.8 Hz), 1.87 (2H, sextet, J=7.0 Hz), 2.28 (1H, dd, J=17.5, 7.0 Hz), 2.60 (1H, dd, J=17.5, 8.0 Hz), 2.64–2.72 (2H, m), 2.74–2.85 (1H, m), 3.94 (2H, t, J=7.0 Hz), 4.01–4.09 (3H, m), 4.32 (1H, dd, J=9.1, 6.9 Hz), 6.64 (1H, s), 6.65 (1H, d, J=8.0 Hz), 6.81 (1H, d, J=8.0 Hz);  $^{13}\mathrm{C}$  NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$ : 10.35, 14.79, 22.50, 34.10, 37.16, 38.38, 64.67, 70.72, 72.56, 114.05, 114.31, 120.78, 130.88, 147.64, 149.09, 176.85; IR (neat): 1510 (C=C), 1774 (C=O) cm<sup>-1</sup>; MS (EI) m/z 278 (M $^1$ ); HRMS (EI): calcd for  $C_{16}H_{22}O_4$ : 278.1518 (M $^1$ ), found: 278.1512;  $[\alpha]_D^{26}+1.2$  (c=0.0), CHCl<sub>3</sub>).

4.1.3.15. (3R,4R)-3-(4-Benzyloxy-3-methoxybenzyl)-4-(3-ethoxy-4-methoxybenzyl)dihydrofuran-2-one (14a). To a stirred solution of 13b (29.6 mg, 0.12 mmol) in THF (2 mL) were added LiHMDS (1.6 M in THF, 0.12 mL, 0.18 mmol), HMPA (31  $\mu$ L, 0.18 mmol) at -78 °C, and the resulting solution was stirred at the same temperature for 0.5 h. To the reaction mixture was added a solution of 4-benzyloxy-3methoxybenzyl bromide [20] (52.3 mg, 0.19 mmol) in THF (2 mL), and allowed to warm to room temperature over 1 h, and then stirred at the same temperature for 20 h. The reaction was quenched with H2O (4 mL), and the aqueous mixture was extracted with Et2O (10 mL × 3). The organic extracts were combined, dried over MgSO<sub>4</sub>, and evaporated to give residue, which was chromatographed on silica gel (10 g, hexane: acetone = 4:1) to give 14a (25 mg, 44%) as a pale yellow oil: <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.44 (3H, t, J = 6.9 Hz), 2.46– 2.65 (4H, m), 2.91-2.95 (2H, m), 3.79-3.90 (1H, m), 3.84 (6H, s), 4.01 (2H, q, J = 6.9 Hz), 4.08-4.20 (1H, m), 5.12 (2H, s), 6.50-6.80 (6H, m),7.28-7.43 (5H, m); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>) δ: 14.77, 34.48, 38.02, 41,09, 46,42, 55,90, 64,28, 65,18, 71,03, 71,16, 111,57, 112,85, 113,35, 113.92, 114.02, 120.54, 121.28, 127.17, 127.20, 127.76, 128.46, 130.32, 130.82, 137.06, 147.01, 148.09, 148.26, 149.73, 178.65; IR (neat): 1515 (C=C), 1770 (C=O) cm<sup>-1</sup>; MS (EI) m/z 476 (M<sup>1</sup>); HRMS (EI): calcd for C<sub>29</sub>H<sub>32</sub>O<sub>6</sub>: 476.2199 (M<sup>1</sup>), found: 476.2197;  $[\alpha]_D^{25}$  – 16.4 (c 0.77, CHCl<sub>3</sub>).

4.1.3.16. (3R,4R)-3-(4-Benzyloxy-3-ethoxybenzyl)-4-(3-ethoxy-4-methoxybenzyl)dihydrofuran-2-one (**14b**). By the procedure similar to preparation of **14a**, **14b** was prepared from **13b** and 4-benzyloxy-3-ethoxybenzyl bromide [21] (59%) as a pale yellow oil: <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ: 1.34–1.40 (6H, m), 2.36–2.51 (4H, m), 2.81–2.85 (2H, m), 3.71–3.78 (1H, m), 3.75 (3H, s), 3.90–4.05 (5H, m), 5.02 (2H, s), 6.40–6.80 (6H, m), 7.14–7.35 (5H, m); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>) δ: 14.75, 14.82, 34.43, 37.99, 41.05, 46.39, 55.86, 64.22, 64.47, 71.14, 71.26, 111.51, 113.24, 114.59, 114.97, 120.51, 121.39, 127.11, 127.64, 128.35, 130.31, 130.99, 137.27, 147.33, 148.03, 148.23, 149.24, 178.65; IR (neat): 1507 (C=C), 1771 (C=O) cm<sup>-1</sup>;

MS (EI) m/z 490 (M<sup>1</sup>); HRMS (EI): calcd for C<sub>30</sub>H<sub>34</sub>O<sub>6</sub>: 490.2355 (M<sup>1</sup>), found: 490.2383;  $[\alpha]_0^{26}$  –14.8 (c 1.46, CHCl<sub>3</sub>).

4.1.3.17. (3R,4R)-3-(4-Benzyloxy-3-methoxybenzyl)-4-(4-ethoxy-3-methoxybenzyl)dihydrofuran-2-one (14c). By the procedure similar to preparation of 14a, 14c was prepared from 13c and 4-benzyloxy-3-methoxybenzyl bromide [20] (43%) as a pale yellow oil:  $^1$ H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.45 (3H, t, J = 6.9 Hz), 2.47–2.63 (4H, m), 2.91–2.95 (2H, m), 3.84 (3H, s), 3.91 (3H, s), 3.91–3.95 (1H, m), 4.09 (2H, q, J = 6.9 Hz), 4.03–4.14 (1H, m), 5.16 (2H, s), 6.48–6.96 (6H, m), 7.28–7.45 (5H, m);  $^{13}$ C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 14.90, 34.58, 38.19, 41.13, 46.55, 55.98, 64.35, 65.29, 71.06, 110.88, 112.03, 112.82, 113.89, 113.97, 119.21, 120.44, 121.22, 127.69, 128.40, 130.26, 130.73, 134.03, 136.98, 146.91, 149.61, 178.49; IR (neat): 1261 (C=C), 1770 (C=O) cm<sup>-1</sup>; MS (EI) m/z 476 (M $^{-1}$ ); HRMS (EI): calcd for C<sub>29</sub>H<sub>32</sub>O<sub>6</sub>: 476.2199 (M $^{-1}$ ), found: 476.2209; [ $\alpha$ ] $^{26}$  –9.0 (c 1.75, CHCl<sub>3</sub>).

4.1.3.18. (3R,4R)-3-(4-Benzyloxy-3-ethoxybenzyl)-4-(4-ethoxy-3-methoxybenzyl)dihydrofuran-2-one (14d). By the procedure similar to preparation of 14a, 14d was prepared from 13c and 4-benzyloxy-3-ethoxybenzyl bromide [21] (53%) as a pale yellow oil:  $^1$ H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.41–1.48 (6H, m), 2.44–2.67 (4H, m), 2.88–2.93 (2H, m), 3.79 (3H, s), 3.80–3.87 (1H, m), 4.02–4.14 (5H, m), 5.11 (2H, s), 6.45–6.96 (6H, m), 7.27–7.45 (5H, m);  $^{13}$ C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 14.62, 14.69, 30.69, 34.27 37.89, 40.87, 46.28, 55.66, 64.12, 64.35, 71.04, 71.12, 111.92, 112.61, 114.52, 114.84, 120.38, 121.31, 127.02, 127.52, 128.23, 130.29, 130.91, 137.17, 146.91, 147.20, 149.10, 178.55; IR (neat): 1515 (C=C), 1771 (C=O) cm<sup>-1</sup>; MS (EI) m/z 490 (M $^{\perp}$ ); HRMS (EI): calcd for  $C_{30}H_{34}O_{6}$ : 490.2355 (M $^{\perp}$ ), found: 490.2383;  $|\alpha|_{1}^{24}$  –17.9 (c 1.14, CHCl<sub>3</sub>).

4.1.3.19. (3R.4R)-3-(4-Benzyloxy-3-propoxybenzyl)-4-(3-ethoxy-4methoxybenzyl)dihydrofuran-2-one (14e). By the procedure similar to preparation of 14a, 14e was prepared from 13b and 4-benzyloxy-3propoxybenzyl bromide, prepared from 4-benzyl-3propoxybenzaldehyde [22], (40%) as a pale yellow oil: <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.05 (3H, t, J = 7.1 Hz), 1.45 (3H, t, J = 7.8 Hz), 1.84 (2H, sextet, J = 7.1 Hz), 2.46-2.64 (4H, m), 2.86-2.99 (2H, m), 3.80-3.87 (4H, m), 3.94 (2H, t, J = 7.1 Hz), 4.00 (2H, q, J = 7.8 Hz), 4.06-4.11 (1H, m), 5.10 (2H, s), 6.48-6.82 (6H, m), 7.28-7.44 (5H, m); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ: 10.47, 14.75, 22.56, 34.47, 38.00, 41.10, 46.40, 55,87, 64,25, 70,53, 71,35, 111,55, 113,29, 114,71, 115,25, 120,52, 121,37, 127.18, 127.57, 127.63, 128.33, 130.35, 131.126, 137.34, 147.40, 148.07, 148.26, 149.57, 178.64; IR (neat): 1514 (C=C), 1771 (C=O) cm<sup>-1</sup>; MS (EI) m/z 504 (M<sup>+</sup>); HRMS(EI): calcd for  $C_{31}H_{36}O_6$ : 504.2512 (M<sup>+</sup>), found: 504.2538;  $[\alpha]_D^{24}$  –10.7 (c 0.75, CHCl<sub>3</sub>).

4.1.3.20. (3R,4R)-3-(4-Benzyloxy-3-methoxybenzyl)-4-(3,4-diethoxybenzyl)dihydrofuran-2-one (14f). By the procedure similar to preparation of 14a, 14f was prepared from 13d and 4-benzyloxy-3-methoxybenzyl bromide [20] (48%) as a pale yellow oil:  $^1H$  NMR  $(300 \text{ MHz}, \text{CDCl}_3)$   $\delta$ : 1.41-1.59 (6H, m), 2.43-2.63 (4H, m), 2.91-2.95 (2H, m), 3.82-3.90 (1H, m), 3.85 (3H, s), 3.82-3.89 (1H, m), 3.97-4.12 (5H, m), 5.12 (2H, s), 6.49-6.80 (6H, m), 7.26-7.44 (5H, m);  $^{13}$ C NMR  $(75 \text{ MHz}, \text{CDCl}_3)$   $\delta$ : 14.85, 34.50, 38.07, 41.12, 46.50, 55.96, 64.59, 71.09, 71.21, 112.90, 113.63, 114.06, 114.20, 120.78, 121.33, 127.26, 127.81, 128.51, 130.50, 130.86, 137.12, 147.06, 147.60, 148.77, 149.76, 178.70; IR (neat): 1509 (C=C), 1772 (C=O) cm<sup>-1</sup>; MS (EI) m/z 490  $(M^{\perp})$ ; HRMS (EI): calcd for  $C_{30}H_{34}O_6$ : 490.2355  $(M^{\perp})$ , found: 490.2388;  $|\alpha|_{10}^{25}$  -13.5  $(c 0.98, \text{CHCl}_3)$ .

4.1.3.21. (3R,4R)-3-(4-Benzyloxy-3-ethoxybenzyl)-4-(3,4-diethoxybenzyl)dihydrofuran-2-one (14g). By the procedure similar to preparation of 14a, 14g was prepared from 13d and 4-benzyloxy-3-ethoxybenzyl bromide [21] (56%) as a pale yellow oil: <sup>1</sup>H NMR

(300 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.41–1.44 (9H, m), 2.42–2.60 (4H, m), 3.82–3.86 (1H, m), 4.02–4.13 (7H, m), 5.11 (2H, s), 6.47–6.81 (6H, m), 7.27–7.44 (5H, m); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 14.87, 34.50, 38.09, 41.11, 46.50, 64.60, 71.22, 71.37, 113.63, 114.17, 114.69, 115.08, 120.79, 121.48, 127.21, 127.71, 128.42, 130.53, 131.07, 137.35, 147.41, 147.95, 148.78, 149.32, 178.73; IR (neat): 1514 (C=C), 1770 (C=O) cm<sup>-1</sup>; MS (EI) m/z 504 (M<sup>1</sup>); HRMS (EI): calcd for  $C_{31}H_{36}O_{6}$ : 504.2512 (M<sup>1</sup>), found: 504.6139;  $[\alpha]_{2}^{15}$  –12.0 (c 0.58, CHCl<sub>3</sub>).

4.1.3.22. (3R,4R)-3-(4-Benzyloxy-3-propoxybenzyl)-4-(4-methoxy-3-propoxybenzyl)dihydrofuran-2-one (14h). By the procedure similar to preparation of 14a, 14h was prepared from 13e and 4-benzyloxy-3-propoxybenzyl bromide (49%) as a pale yellow oil:  $^1$ H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.02–1.08 (6H, m), 1.82–1.88 (4H, m), 2.45–2.63 (4H, m), 2.85–2.97 (2H, m), 3.83 (3H, s), 3.83–4.60 (6H, m), 5.10 (2H, s), 6.51–6.96 (6H, m), 7.28–7.45 (5H, m);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$ : 10.48, 14.84, 22.56, 34.46, 38.00, 41.07, 46.45, 64.71, 65.15, 70.55, 70.74, 71.18, 71.35, 71.37, 112.76, 114.42, 115.12, 119.34, 120.77, 121.40, 127.13, 127.15, 127.64, 128.34, 128.36, 130.63, 131.13, 137.36, 147.98, 149.58, 178.73; IR (neat): 1514 (C=C), 1771 (C=O) cm<sup>-1</sup>; MS (EI) m/z 518 (M $^+$ ); HRMS(EI): calcd for  $C_{32}H_{38}O_{6}$ : 518.2668 (M $^+$ ), found: 518.2669;  $\{\alpha\}_{D}^{25}$  –12.2 (c 0.75, CHCl<sub>3</sub>).

4.1.3.23. (3R,4R)-3-(4-Benzyloxy-3-propoxybenzyl)-4-(4-ethoxy-3-propoxybenzyl)dihydrofuran-2-one (14i). By the procedure similar to preparation of 14a, 14i was prepared from 13f and 4-benzyloxy-3-propoxybenzyl bromide (33%) as a pale yellow oil:  $^{1}$ H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.02–1.08 (6H, m), 1.41 (3H, t, J = 7.1 Hz), 1.80–1.91 (4H, m), 2.41–2.63 (4H, m), 2.87–2.94 (2H, m), 3.82–3.96 (5H, m), 4.01 (2H, q, J = 7.1 Hz), 4.05–4.10 (1H, m), 5.10 (2H, s), 6.49–6.96 (6H, m), 7.28–7.45 (5H, m);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$ : 10.50, 22.59, 34.51, 38.05, 41.12, 46.48, 56.00, 65.22, 70.57, 71.40, 111.86, 112.79, 113.63, 114.72, 115.30, 119.36, 120.55, 121.40, 127.16, 127.28, 127.65, 128.36, 130.41, 131.16, 137.37, 147.43, 148.25, 148.58, 149.62, 178.70; IR (neat): 1508 (C=C), 1767 (C=O) cm<sup>-1</sup>: MS (EI) m/z 532 (M $^{1}$ ); HRMS (EI): calcd for C<sub>33</sub>H<sub>40</sub>O<sub>6</sub>: 532.2825 (M $^{1}$ ), found: 518.2817;  $[\alpha]_D^{25}$  –6.3 (c 0.80, CHCl<sub>3</sub>).

4.1.3.24. (3R,4R)-4-(3-Ethoxy-4-methoxybenzyl)-3-(4-hydroxy-3methoxybenzyl)dihydrofuran-2-one (4g). To a stirred solution of 14a (47.5 mg, 0.10 mmol) in MeOH (5 mL) was added 20% Pd(OH)2 (20 mg), and the resulting suspension was stirred under a hydrogen atmosphere at 1 atm for 20 h. The catalyst was removed by filtration and the filtrate was evaporated to give a residue, which was chromatographed on silica gel (10 g, hexane: acetone = 3:1) to give 4g (34.1 mg, 89%) as a pale yellow oil:  ${}^{1}$ H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.45 (3H, t, I = 7.1 Hz), 2.43-2.65 (4H, m), 2.91-2.94 (2H, m), 3.81-2.943.89 (1H, m), 3.83 (3H, s), 3.84 (3H, s), 4.01 (2H, q, J = 7.1 Hz), 4.12 (1H, dd, J = 9.1, 6.9 Hz), 5.53 (1H, s), 6.47-6.65 (4H, m), 6.69 (1H, d, d)J = 8.0 Hz), 6.82 (1H, d, J = 8.0 Hz); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 14.80, 30.91, 34.46, 38.09, 40.95, 46.55, 55.83, 55.94, 64.30, 71.27, 99.88, 111.54, 113.26, 114.10, 120.58, 122.08, 129.47, 130.35, 144.52, 146.67, 148.11, 148.33; IR (neat): 1513 (C=C), 1771 (C=O) cm<sup>-1</sup>; MS (EI) m/z 386 (M<sup>+</sup>); HRMS (EI): calcd for  $C_{22}H_{26}O_6$ : 386.1729 (M<sup>+</sup>), found: 386,1693;  $[\alpha]_D^{25}$  -17.2 (c 1.44, CHCl<sub>3</sub>).

4.1.3.25. (3R,4R)-4-(3-Ethoxy-4-hydroxybenzyl)-3-(3-ethoxy-4-methoxybenzyl)dihydrofuran-2-one (4h). By the procedure similar to preparation of 4g, 4h was prepared from 14b (63%) as a pale yellow oil:  $^{1}$ H NMR (300 MHz, CDCl<sub>3</sub>) δ: 1.42–1.47 (6H, m), 2.46–2.63 (4H, m), 2.92 (2H, d, J=5.8 Hz), 3.81–3.89 (1H, m), 3.84 (3H, s), 3.97–4.12 (5H, m), 5.60 (1H, br), 6.48–6.84 (6H, m);  $^{13}$ C NMR (75 MHz, CDCl<sub>3</sub>) δ: 14.78, 30.88, 34.39, 38.04, 40.90, 46.53, 55.90, 64.26, 64.39, 71.24, 111.51, 112.37, 113.21, 114.01, 120.55, 121.95, 129.34, 130.35, 144.59, 145.93, 148.08, 148.03, 178.74; IR

(neat): 1516 (C=C), 1768 (C=O) cm<sup>-1</sup>; MS (EI) m/z 400 (M<sup>1</sup>); HRMS (EI): calcd for C<sub>23</sub>H<sub>28</sub>O<sub>6</sub>: 400.1886 (M<sup>1</sup>), found: 400.1868;  $[\alpha]_D^{27}$  -16.9 (c 1.13, CHCl<sub>3</sub>).

4.1.3.26. (3R,4R)-4-(4-Ethoxy-3-methoxybenzyl)-3-(4-hydroxy-3-methoxybenzyl)dihydrofuran-2-one (4i). By the procedure similar to preparation of 4g, 4i was prepared from 14c (57%) as a pale yellow oil:  $^1$ H NMR  $(300 \text{ MHz}, \text{CDCl}_3)$   $\delta$ : 1.45 (3H, t, J = 7.1 Hz), 2.44–2.67 (4H, m), 2.93 (2H, d, J = 5.8 Hz), 3.81 (3H, s), 3.82 (3H, s), 3.84–3.99 (1H, m), 4.03–4.15 (1H, m), 4.08 (2H, q, J = 7.1 Hz), 5.30 (1H, br), 6.47–6.66 (4H, m), 6.75 (1H, d, J = 8.0 Hz), 6.82 (1H, d, J = 8.0 Hz);  $^{13}$ C NMR  $(75 \text{ MHz}, \text{CDCl}_3)$   $\delta$ : 14.90, 30.99, 34.53, 38.22, 40.97, 46.63, 55.89, 64.35, 71.31, 111.48, 112.00, 112.71, 114.04, 120.47, 122.01, 129.37, 130.30, 144.39, 146.54, 146.99, 149.18, 178.56; IR (neat): 1749 (C=0), 3648 (0H) cm<sup>-1</sup>; MS (EII) m/z 386  $(\text{M}^{1})$ ; HRMS (EII): calcd for  $C_{22}H_{26}O_{6}$ : 386.1729  $(\text{M}^{1})$ , found: 386.1693;  $[\alpha]_{26}^{26}$  –9.5 (c 0.71, CHCl<sub>3</sub>).

4.1.3.27. (3R,4R)-4-(3-Ethoxy-4-hydroxybenzyl)-3-(4-ethoxy-3-methoxybenzyl)dihydrofuran-2-one (4j). By the procedure similar to preparation of 4g, 4j was prepared from 14d (63%) as a pale yellow oil:  $^1\text{H}$  NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.39–1.46 (6H, m), 2.41–2.66 (4H, m), 2.91 (2H, d, J=6.0 Hz), 3.80 (3H, s), 3.81–3.87 (1H, m), 4.00–4.10 (5H, m), 5.64 (1H, br), 6.47–6.65 (4H, m), 6.74 (1H, d, J=8.2 Hz), 6.82 (1H, d, J=8.2 Hz);  $^{13}\text{C}$  NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 14.75, 30.86, 34.35, 38.06, 40.84, 46.54, 55.78, 64.26, 64.37, 71.24, 111.98, 112.38, 112.69, 114.00, 120.52, 121.95, 129.32, 130.38, 144.58, 145.91, 147.07, 149.25; IR (neat): 1771 (C=O), 3548 (OH) cm<sup>-1</sup>; MS (EI) m/z 400 (M¹); HRMS (EI): calcd for  $C_{23}H_{28}O_{6}$ : 400.1886 (M¹), found: 400.1897;  $[\alpha]_{10}^{26}-12.4$  (c 1.04, CHCl<sub>3</sub>).

4.1.3.28. (3R,4R)-4-(3-Ethoxy-4-methoxybenzyl)-3-(4-hydroxy-3-propoxybenzyl)dihydrofuran-2-one (4k). By the procedure similar to preparation of 4g, 4k was prepared from 14e (56%) as a pale yellow oil:  ${}^{1}$ H NMR  $(400 \text{ MHz}, \text{CDCl}_{3})$   $\delta$ : 1.04 (3H, t, J = 7.4 Hz), 1.45 (3H, t, J = 7.1 Hz), 1.82 (2H, sextet, J = 7.4 Hz), 2.48–2.63 (4H, m), 2.91 (2H, d, J = 5.9 Hz), 3.81–3.88 (4H, m), 3.93 (2H, t, J = 7.4 Hz), 4.02 (2H, q, J = 7.1 Hz), 4.06–4.12 (1H, m), 5.57 (1H, s), 6.48 (1H, s), 6.54 (1H, d, J = 10.2 Hz), 6.60 (1H, d, J = 10.2 Hz), 6.66 (1H, s), 6.75 (1H, d, J = 8.2 Hz), 6.82 (1H, d, J = 8.2 Hz);  $1^{3}$ C NMR  $(100 \text{ MHz}, \text{CDCl}_{3})$   $\delta$ : 10.43, 14.79, 22.49, 34.41, 38.05, 40.95, 46.54, 55.92, 64.29, 70.32, 71.24, 111.55, 112.43, 113.26, 114.01, 120.57, 121.93, 129.37, 130.37, 144.64, 146.05, 148.12, 148.33, 178.75; IR (neat): 1516 (C=C), 1769 (C=O), 3589 (OH) cm<sup>-1</sup>; MS (EI) m/z 414  $(M^{1})$ ; HRMS (EI): calcd for  $C_{24}H_{30}O_{6}$ : 414.2042  $(M^{1})$ , found: 414.2046;  $[\alpha]_{D}^{26}$  -10.6 (c 1.10, CHCl<sub>3</sub>).

4.1.3.29. (3R,4R)-4-(3,4-Diethoxybenzyl)-3-(4-hydroxy-3-methoxybenzyl)dihydrofuran-2-one (4I). By the procedure similar to preparation of 4g, 4I was prepared from 14f (81%) as a pale yellow oil:  $^1\mathrm{H}$  NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.25–1.45 (6H, m), 2.44–2.66 (4H, m), 2.92 (2H, d, J = 6.0 Hz), 3.83 (3H, s), 3.85–3.89 (1H, m), 3.98–4.13 (5H, m), 5.55 (1H, br), 6.49–6.67 (4H, m), 6.76 (1H, d, J = 7.8 Hz), 6.82 (1H, d, J = 7.8 Hz);  $^{13}\mathrm{C}$  NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 14.84, 30.91, 34.40, 38.04, 40.94, 46.56, 55.84, 64.58, 71.25, 111.56, 113.60, 114.11, 120.77, 122.10, 129.47, 130.51, 144.51, 146.65, 147.57, 148.78, 178.75; IR (neat): 1766 (C=O), 2978 (OH) cm<sup>-1</sup>; MS (EI) m/z 400 (M $^{-1}$ ); HRMS (EI): calcd for  $C_{23}H_{28}O_6$ : 400.1886 (M $^{-1}$ ), found: 400.1858;  $[\alpha]_D^{27}$  –16.0 (c 1.33, CHCl<sub>3</sub>).

4.1.3.30. (3R,4R)-4-(3,4-Diethoxybenzyl)-3-(4-hydroxy-3-ethoxybenzyl)dihydrofuran-2-one (4m). By the procedure similar to preparation of 4g, 4m was prepared from 14g (66%) as a pale yellow oil: <sup>1</sup>H NMR  $(300 \text{ MHz}, \text{CDCl}_3)$   $\delta$ : 1.40–1.46 (9H, m), 2.42–2.67 (4H, m), 2.91 (2H, d, J = 5.7 Hz), 3.85 (1H, dd, J = 9.1, 7.4 Hz), 3.97–4.12

(7H, m), 5.59 (1H, br), 6.49–6.66 (4H, m), 6.75 (1H, d, J = 8.0 Hz), 6.82 (1H, d, J = 8.0 Hz);  $^{13}$ C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 14.81, 14.85, 34.39, 38.06, 40.92, 46.58, 64.42, 64.59, 71.27, 112.43, 113.62, 114.05, 114.10, 120.80, 122.00, 129.37, 130.53, 144.61, 145.94, 147.58, 148.80, 178.79; IR (neat): 1516 (C=C), 1761 (C=O) cm<sup>-1</sup>; MS (EI) m/z 414 (M<sup>1</sup>); HRMS (EI): calcd for  $C_{24}H_{30}O_6$ : 414.2042 (M<sup>1</sup>), found: 414.2024;  $[\alpha]_D^{25}$  –14.0 (c 0.70, CHCl<sub>3</sub>).

4.1.3.32. (3R,4R)-4-(4-Ethoxy-3-propoxybenzyl)-3-(4-hydroxy-3-propoxybenzyl)dihydrofuran-2-one (40). By the procedure similar to preparation of 4g, 4o was prepared from 14i (63%) as a pale yellow oil:  $^1H$  NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$ : 1.02–1.07 (6H, m), 1.42 (3H, t, J = 7.1 Hz), 1.80–1.86 (4H, m), 2.41–2.63 (4H, m), 2.92 (2H, d, J = 5.9 Hz), 3.83–3.96 (5H, m), 4.01 (2H, q, J = 7.1 Hz), 4.07–4.11 (1H, m), 5.57 (1H, s), 6.51–6.84 (6H, m);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$ : 10.50, 22.59, 34.51, 38.05, 41.12, 46.48, 56.00, 65.22, 70.57, 71.40, 111.86, 112.79, 113.63, 114.72, 115.30, 119.36, 120.55, 121.40, 127.16, 127.28, 127.65, 128.36, 130.41, 131.16, 137.37, 147.43, 148.25, 148.58, 149.62, 178.7010.45, 14.87, 22.50, 22.60, 34.37, 38.04, 40.92, 46.58, 64.76, 70.33, 70.76, 71.26, 100.36, 112.48, 114.02, 114.06, 114.38, 129.37, 130.67, 144.63, 146.04, 147.70, 149.14; IR (neat): 1508 (C=C), 1770 (C=O) cm<sup>-1</sup>; MS (EI) m/z 442 (M $^{-1}$ ); HRMS (EI): calcd for C<sub>26</sub>H<sub>34</sub>O<sub>6</sub>: 442.2355 (M $^{-1}$ ), found: 442.2350;  $[\alpha]_D^{25}$  –12.9 (c 0.50, CHCl<sub>3</sub>).

### 4.1.4. Effective synthesis of (3R,4R)-4-(3,4-diethoxybenzyl)-3-(4-hydroxy-3-ethoxybenzyl)dihydrofuran-2-one (4m)

4.1,4.1, 2-(3,4-Diehtoxybenzyl)malonic acid diethyl ester (17). To a stirred solution of (3,4-diehtoxyphenyl)methanol (16) [16,23] (733 mg, 3.74 mmol) in  $CH_2Cl_2$  (20 mL) were added  $NEt_3$ (0.67 mL, 4.86 mmol) and MsCl (0.32 mL, 4.11 mmol) at 0 °C, and the reaction mixture was stirred at room temperature for 0.5 h. The reaction was quenched with sat. NaHCO3 (aq) (10 mL), and the organic layer were separated. The aqueous layer was extracted with CH2Cl2 (20 mL × 3), and the organic layer and extracts were combined, dried over MgSO<sub>4</sub>. The solvent was removed under reduced pressure to give a pale yellow oil, which was used directly in the next step. To a stirred solution of diethyl malonate (1.14 ml, 7.48 mmol) in DMF (20 mL) was added NaH (60%, 299 mg, 7.48 mmol) at 0 °C, and the resulting mixture was stirred at room temperature for 1 h. To the solution was added a solution of the oil obtained above in DMF (2 mL) at 0 °C, and the reaction mixture was stirred at room temperature for 25 h. The reaction was quenched with sat, NaHCO<sub>3</sub> (aq) (10 mL), and the aqueous mixture was extracted with Et<sub>2</sub>O (20 mL × 3). The organic extracts were combined, dried over MgSO4, evaporated to give a pale yellow oil chromatographed on silica gel was hexane:acetone = 15:1) to give 17 (1.10 g, 87% in 2 steps) as a pale yellow oil: <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ: 1.15–1.30 (6H, m), 1.39– 1.46 (6H, m), 3.13 (2H, d, J = 8.0 Hz), 3.59 (1H, t, J = 8.0 Hz), 4.01–4.24 (8H, m), 6.68–6.78 (3H, m);  $^{13}$ C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 13,77, 13.83, 14.57, 14.60, 34.06, 41.37, 53.82, 61.11, 64.19, 113.33, 114.09, 120.83, 130.28, 147.28, 148.33, 166.34, 168.64; IR (neat): 1516 (C=C), 1731 (C=O) cm<sup>-1</sup>; MS (EI) m/z 338 (M $^{+}$ ); HRMS (EI): calcd for  $C_{23}H_{28}O_6$ : 338,1729 (M $^{+}$ ), found: 338,1766.

4.1.4.2. (R)-Acetic acid 3-(3,4-diethoxyphenyl-2-hydroxymethylpropyl ester (18). To a stirred solution of 17 (1.43 g, 4.23 mmol) in THF (40 mL) was added LiAlH<sub>4</sub> (401 mg, 10.6 mmol) at 0 °C, and the resulting suspension was refluxed for 12 h. The reaction was quenched with 10% NaOH (aq) (20 mL), and the mixture was extracted with AcOEt (20 mL  $\times$  5). The organic extracts were combined dried over MgSO<sub>4</sub>, and the solvent was evaporated to give diol, which was used directly in the next step. To a stirred solution of the diol obtained above in i-Pr2O-THF (15 mL, 4:1) were added Lipase-PS (323 mg) and vinyl acetate (0.45 ml, 4.85 mmol), and the reaction mixture was stirred at room temperature for 2 h. The catalyst was filtered and the filtrate was evaporated to give residue, silica was chromatographed on gel hexane:acetone = 4:1) to give 18 (669 mg, 53% in 2 steps) as a pale yellow oil. The enantiomeric excess of 18 was determined to be a 98% ee by the Moscher's method [24], <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ: 1.39— 1.44(6H, m), 2.06(3H, s), 2.23(1H, br), 2.49-2.64(2H, m), 3.45-3.59 (2H, m), 4.01–4.08 (6H, m), 4.15 (1H, dd, J = 11.3, 4.7 Hz), 6.66–6.70 (2H, m), 6.78 (1H, d, J = 8.0 Hz); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$ : 14.88, 20.91, 33.86, 42.53, 62.07, 64.03, 64.55, 64.63, 113.69, 114.52, 121.22, 131.91, 147.23, 148.70, 171.68; IR (neat); 1513 (C=C), 1721 (C=O) cm<sup>-1</sup>; MS (EI) m/z 296 (M<sup>1</sup>); HRMS (EI): calcd for  $C_{16}H_{24}O_5$ : 296.1624 (M<sup>+</sup>), found: 296.1594;  $[\alpha]_D^{28}$  +18.8 (c 1.47, CHCl<sub>3</sub>); 98% ee.

4.1.4.3. (R)-Acetic acid 3-(3,4-Diethoxyphenyl-2-methanesulfonyloxymethylpropyl ester (19). To a stirred solution of 18 (1,45 g. 4.96 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (25 mL) were added MsCl (0.42 ml, 5.45 mmol) and NEt<sub>3</sub> (0.89 ml, 6.45 mmol) at 0 °C, and the reaction mixture was stirred at room temperature for 0.5 h. The reaction was quenched with H2O (20 mL), and the aqueous mixture was extracted with  $CH_2Cl_2$  (20 mL  $\times$  3). The organic extracts were combined dried over MgSO<sub>4</sub>, and evaporated. The residue was chromatographed on silica gel (40 g, hexane:acetone = 4:1) to give 19 (1.48 g, 79%) as a pale yellow oil; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ: 1.41–1.46 (6H, m), 2.08 (3H, s), 2.32-2.36 (1H, m), 2.65 (2H, d, J = 7.4 Hz), 2.99 (3H, s), 4.00-4.23(8H, m), 6.65–6.70 (2H, m), 6.80 (1H, d, J = 8.0 Hz); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>) δ: 14.85, 20.81, 30.90, 33.49, 37.21, 39.71, 63.02, 64,60, 68,48, 113.70, 114.40, 121.22, 130.36, 147.53, 148.82, 170.78; IR (neat): 1512 (C=C), 1735 (C=O) cm<sup>-1</sup>; MS (EI) m/z 374 (M<sup>+</sup>); HRMS (EI): calcd for  $C_{17}H_{26}O_7S$ : 374,1399 (M<sup>+</sup>), found: 374,1362;  $[\alpha]_D^{25} + 2.1$ (c 0.68, CHCl<sub>3</sub>).

4.1.4.4. (R)-4-(3,4-Diethoxybenzyl)dihydrofuran-2-one (13d) from 19. To a stirred solution of 19 (1.12 g, 3.00 mmol) in DMSO (25 mL) was added KCN (205 mg, 3.00 mmol), and the resulting mixture was heated at 90 °C for 3 h. After cooling, the reaction was quenched with H<sub>2</sub>O (25 mL), and the aqueous mixture was extracted with Et<sub>2</sub>O/AcOEt (1:1, 20 mL × 3). The organic extracts were combined, dried over MgSO4, and evaporated to give cyanide, which was used directly in the next step. To a stirred solution of cyanide obtained above in THF-H2O (3:1, 12 mL) was added LiOH·H<sub>2</sub>O (126 mg, 3.00 mmol), and the reaction mixture was stirred at room temperature for 24 h. The reaction mixture was diluted with H<sub>2</sub>O (10 mL), and the aqueous mixture was extracted with Et<sub>2</sub>O (20 mL  $\times$  3). The organic extracts were combined, dried over MgSO<sub>4</sub>, and evaporated to give alcohol, which was used directly in the next step. The alcohol obtained above was dissolved in 10% NaOH (aq) (15 mL), and the mixture was refluxed for 5 h. After cooling, 10% HCI (aq) (30 mL) and THF (30 mL) were added to

the reaction mixture, and the resulting solution was stirred at room temperature for 50 h. The aqueous reaction mixture was extracted with  $Et_2O$  (30 mL  $\times$  3), and the organic extracts were combined, dried over MgSO<sub>4</sub>, and evaporated to give a residue, which was chromatographed on silica gel (30 g, hexane:acetone = 3:1) to give 13d (475 mg, 60% in 3 steps) as a pale yellow oil.

### 4.2. In vitro preferential cytotoxicity

### 4.2.1. Cells and culture

Human pancreatic cancer cell lines, PANC-1 and CAPAN-1, were maintained in Dulbecco's modified Eagle's medium (DMEM, Nissui Pharmaceutical Co., Ltd., Tokyo, Japan) supplemented with 10% fetal bovine serum (FBS, Gibco BRL Products, Gaithersburg, MD. USA), 0.1% sodium bicarbonate (Nacalai Tesque Inc.), and 1% antibiotic-antimycotic solution (Sigma-Aldrich Inc., St. Louis, MO, USA). Nutrient deprived medium (NDM) contained 265 mg/L CaCl<sub>2</sub>·2H<sub>2</sub>O, 0.1 mg/L Fe(NO<sub>3</sub>)·9H<sub>2</sub>O, 400 mg/L KCl, 200 mg/L MgSO<sub>4</sub>·7H<sub>2</sub>O<sub>5</sub> 6400 mg/L NaCl, 700 mg/L NaHCO<sub>3</sub>, 125 mg/L NaH<sub>2</sub>PO<sub>4</sub>, 15 mg/L phenol red, 1 M HEPES buffer (pH 7.4, Wako Pure Chemical Industries, Ltd., Osaka, Japan), and 10 mL MEM vitamin solution (Life Technologies, Inc., Rockville, MD, USA), The final pH was adjusted to 7.4 with 10% NaHCO3. For amino acid supplementation, stock solutions (200 mmol/L 1-glutamine solution, MEM amino acids solution, and MEM nonessential amino acids solution; Life Technologies) were added at a concentration of 1%.

### 4.2.2. Preferential cytotoxicity

Preferential cytotoxicity was determined as previously described [9]. In brief, PANC-1 or CAPAN-1 cells ( $2\times10^4$  cells/well) were seeded in 96-well plates (Corning Inc., Corning, NY, USA) and incubated in fresh DMEM at 37 °C under 5% CO<sub>2</sub> and 95% air for 24 h. The cells were washed with Dulbecco's phosphate-buffered saline (PBS, Nissui Pharmaceutical Co., Ltd., Tokyo, Japan) before the medium was replaced with either DMEM or NDM (for CAPAN-1, amino acids-supplimented NDM) containing serial dilutions of the test samples. After 24 h of incubation, the cells were washed with PBS, and  $100\,\mu\text{L}$  of DMEM containing 10% WST-8 cell counting kit solution (Dojindo, Kumamoto, Japan) was added to the wells. After 3 h of incubation, the absorbance was measured at 450 nm. Cell viability was calculated from the mean values for three wells using the following equation:

Cell viability (%) =  $[(Abs(test samples) - Abs(blank))/(Abs((control) - Abs(blank))] \times 100$ 

The preferential cytotoxicity was expressed as the concentration at which 50% of cells died preferentially in NDM (PC<sub>50</sub>).

### 4.3. In vivo antitumor activity of triethoxy derivative $\mathbf{4m}$ in nude mice

Five-week-old female BALB/cAlcl-nu/nu mice were obtained from CLEA Japan, Inc. (Tokyo, Japan), and  $5 \times 10^6$  CAPAN-1 cells in  $0.3\ mL$  DMEM were s.c. injected into the right side of the back of the animals. Two weeks later, 12 mice bearing tumors around 5 mm in diameter were randomly divided into treatment groups and a vehicle control group. Because (-)-arctigenin (1) and triethoxy derivative 4m are poorly soluble in water, they were first dissolved in DMSO at 10 mg/mL and kept frozen until use. Just before administration, the stock solution was diluted in saline to a final concentration of 250 µg/mL (the final concentration of DMSO in saline is 2.5%). The mice were administered by i.p.-injections of 0.2 mL of solution of arctigenin, triethoxy derivative 4m, or vehicle on 6 days of the week for 4 weeks. The tumor size and body weight were measured weekly and the tumor volume was calculated using the following formula: Tumor volume =  $4/3 \times 3.14 \times (L/2 \times W)$  $2 \times W/2$ ) where L is the length of the tumor and W is its width.

Results are expressed as means  $\pm$ SD. Statistical comparisons were conducted using Student's t test after ANOVA. The results were considered to be significant when P < 0.05.

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### Appendix A. Supplementary data

Supplementary data related to this article can be found at http://dx.doi:10.1016/j.ejmech.2012.11.031H.

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# Critical Role of H<sub>2</sub>O<sub>2</sub> Generated by NOX4 during Cellular Response under Glucose Deprivation

Satoshi Owada<sup>1,2</sup>, Yuko Shimoda<sup>1,2</sup>, Katsuya Tsuchihara<sup>1,2</sup>, Hiroyasu Esumi<sup>1,2</sup>\*

1 Department of Integrated Biosciences, Graduate School of Frontier Sciences, The University of Tokyo, Kashiwa, Japan, 2 Cancer Physiology Project, Research Center for Innovative Oncology, National Cancer Center Hospital East, Kashiwa, Japan

### Abstract

Glucose is the most efficient energy source, and various cancer cells depend on glycolysis for energy production. For maintenance of survival and proliferation, glucose sensing and adaptation to poor nutritional circumstances must be well organized in cancer cells. While the glucose sensing machinery has been well studied in yeasts, the molecular mechanism of glucose sensing in mammalian cells remains to be elucidated. We have reported glucose deprivation rapidly induces AKT phosphorylation through PI3K activation. We assumed that regulation of AKT is relevant to glucose sensing and further investigated the underlying mechanisms. In this study, AKT phosphorylation under glucose deprivation was inhibited by galactose and fructose, but induced by 2-deoxyglucose (2-DG). Both 2-DG treatment and glucose deprivation were found to induce AKT phosphorylation in HepG2 cells. These findings suggested that glucose transporter may not be involved in the sensing of glucose and induction of AKT phosphorylation, and that downstream metabolic events may have important roles. A variety of metabolic stresses reportedly induce the production of reactive oxygen species (ROS). In the present study, glucose deprivation was found to induce intracellular hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) production in HepG2 cells. Nacetylcysteine (NAC), an antioxidant reagent, reduced both the increase in cellular H2O2 levels and AKT phosphorylation induced by glucose deprivation. These results strongly suggest that the glucose deprivation-induced increase of H<sub>2</sub>O<sub>2</sub> in the cells mediated the AKT phosphorylation. RNA interference of NOX4, but not of NOX5, completely suppressed the glucose deprivation-induced AKT phosphorylation as well as increase of the intracellular levels of ROS, whereas exogenous H2O2 could still induce AKT phosphorylation in the NOX4-knockdown cells. In this study, we demonstrated that the ROS generated by NOX4 are involved in the intracellular adaptive responses by recognizing metabolic flux.

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\* E-mail: hesumi@ncc.go.jp

### Introduction

The supply of nutrients and oxygen is pivotal for cell survival and function, because of the large energy requirements of cells. This need is especially critical during cell proliferation. Proliferation is a process during which the numbers of cells successively double; therefore, the synthesis of nucleic acids, lipids, proteins and sugars is obligatory for successful proliferation. Glucose serves as a carbon source for the synthesis of nucleic acids, non-essential amino acids, lipids, and sugar. The intermediate metabolites in the glycolytic system are indispensable for non-essential amino acid synthesis, and intermediate metabolites and coenzymes in the pentose-5-phosphate pathway are required for the synthesis of nucleic acids and lipids. In addition, glucose is also needed for energy production in all cells.

Because of the pivotal role of glucose in the maintenance of the cellular functions, survival, and proliferation, elaborate mechanisms for detecting glucose availability in the cellular microenvironment exist in cells. The molecular mechanisms involved in the sensing of extracellular glucose concentrations have been extensively studied in yeasts. Yeasts detect the extracellular glucose concentrations using Snf3/Rtg2 (a glucose transporter homolog

that has no capability as a transporter). Extracellular glucose causes this sensor to generate an intracellular signal that induces the expressions of several HXT genes encoding hexose transporters. The glucose signal induces HXT gene expression by influencing the function of the Rgt1 transcriptional repressor. In the absence of glucose, Rgt1 is functional and binds to the promoters of the HXT genes, repressing their functions [1,2,3]. In contrast, the biochemical basis of the glucose sensing mechanism in mammalian cells is largely unknown.

Meanwhile, most of human cancer tissues are known to be hypoxic, the hypoxia being caused mainly by a poor and heterogeneous blood supply [4,5,6,7]. Glucose as well as oxygen is supplied to cancer tissues via the blood stream, and we assumed that the glucose supply might be limited in human cancer tissues. In fact, the glucose concentrations in human colon cancer and gastric cancer tissues were found to be significantly lower than those in surrounding non-cancerous tissues [8]. In the cancer cells that exist in such environments, the monitoring of and adaptation to extracellular glucose concentrations are assumed to be important for the survival/proliferation of the tumor cells. We previously reported that AKT phosphorylation is immediately enhanced by the absence of glucose and plays a critical role in

cellular survival under such condition in various cell lines [9,10]. AKT can also be activated in response to a variety of cellular stresses, such as heat shock, ultraviolet light irradiation, ischemia, hypoxia, hyperglycemia, and oxidative stress. AKT is a serine and threonine kinase that mediates cell survival under these aforementioned conditions [11,12,13,14,15].

In the present study, we attempted to elucidate the molecular and biochemical mechanisms involved in the sensing of mammalian cells of the extracellular glucose concentrations, using  $\Lambda KT$  phosphorylation as an index of the cellular responses to glucose deprivation. We demonstrate the contribution of the  $\rm H_2O_2$  generated by NOX4 in the cellular sensing of and adaptation to poor glucose supply.

### Materials and Methods

### Cell cultures

Human fibroblasts derived from the subserosa of the stomach used for this study were kindly gifted to us by Dr Atsushi Ochiai (Pathology Division, Research Center for Innovative Oncology, National Cancer Center Hospital East). Human pancreatic cancer cells (PANC-1), human hepatocellular carcinoma cells (HepG2) and human fibroblasts derived from subserosa of the stomach were cultured in DMEM (GIBCO) supplemented with 10% fetal bovine serum (Biowest). All the cells were purchased from ATCC. The glucose-deprived condition was created as described previously [16].

### Reagents

 $2^{\prime},~7^{\prime}-$  Dichlorodihydrofluorescin diacetate (DCFDA) was purchased from Invitrogen.  $3^{\prime}-O-\Lambda cetyl-6^{\prime}-O-pentafluorobenzenesulfonyl-2^{\prime},7^{\prime}-difluorofluorescein (Bes-H<math display="inline">_2O_2$ ), galactose and fructose were purchased from Wako Pure Chemical Industries. N-acetyl-L-cysteine (NAC) and 2-deoxy-D-glucose (2-DG) were purchased from Sigma Aldrich. LY294002 and PP2 were purchased from Calbiochem.

### Immunoblot analyses

Cells were homogenized in lysis buffer containing 10% SDS (sodium dodecyl sulfate), 10 mM Tris-HCl (pH 7.5) and 1 mM sodium orthovanadate, as described previously [17], and subjected to SDS-PAGE (SDS polyachylamide gel electrophoresis). The proteins were transferred to a polyvinylidene fluoride microporous membrane (Millipore). The primary antibodies used were: anti-phospho-AKT Ser-473, anti-phospho-SRC Family Tyr-416, and anti-AKT, all obtained from Cell Signaling Technologies, and anti-actin (sc-1615), and c-SRC antibody (SRC2), obtained from Santa Cruz Biotechnology. The anti-OSSA antibody was a kind gift from Dr. Ryuuichi Sakai, National Cancer Center Research Institute. The following secondary antibodies were purchased from Santa Cruz Biotechnology: goat anti-mouse IgG-HRP, goat antirabbit IgG-HRP. The immunoblots were scanned using a CanoScan LiDE60 image scanner (Canon).

### siRNA transfection

OSSA, NOX4, NOX5, and non-targeting siRNA were purchased from Invitrogen. For the siRNA experiments, the cells were transfected separately using a non-targeting siRNA or two separate specific siRNAs using Lipofectamine 2000 (Invitrogen).

### RT-PCR

Total RNAs were prepared from the cells using ISOGEN (Nippon Gene), and reverse transcription was performed using superscript VILO (Invitrogen). PCR for human NOX family

genes was carried out using the following primers: forward 5'-CTCAGCGGAATCAATCAGCTGTG-3' and reverse 5'-AGAGGAACACGACAATCAGCCTTAG-3' for Nox4; forward 5'-ATCAAGCGGCCCCCTTTTTTTCAC-3' and reverse 5'-CTCATTGTCACACTCCTCGACAGC-3' for Nox5.

### Measurement of intracellular ROS levels

The cells were treated under various conditions and then incubated in DMEM or glucose-deprived medium containing 5  $\mu$ M of DCFDA or 5  $\mu$ M BES-H<sub>2</sub>O<sub>2</sub>-Ac at 37°C for 30 min. Then, the cells were detached from the plate with trypsin/EDTA, washed with PBS, resuspended in 500  $\mu$ L of PBS, and placed on ice, protected from light. The intensity of the fluorescence of each cell was immediately measured using a FACS CANTO (Becton Dickinson) equipped with an argon ion laser (488 nm excitation). Each experiment was conducted in triplicate, and 10,000 cells per sample were measured. The histogram was analyzed using the software program BD FACS DIVA (Becton Dickinson).

### Results

### AKT activation by glucose deprivation

Within 30 minutes, and still after 3 hours, of transferring the HepG2 cells from ordinary DMEM to glucose-deprived medium, AKT was strongly phosphorylated at Ser 473; furthermore, AKT phosphorylation was significantly inhibited by treatment with LY294002 [18], an inhibitor of PI3K (Fig. 1A). Similarly, PI3K-dependent AKT activation was also observed in the pancreatic PANC-1 cells (Fig. S1) in a previous study [10]. Furthermore, increase of AKT phosphorylation induced by glucose deprivation was also observed in human fibroblasts derived from the subserosa of the stomach (Fig. S2).

To examine how glucose deprivation is recognized in these cells, concentration-dependent AKT activation in response to glucose deprivation was examined. When the HepG2 cells were exposed to media containing less than 1.38 mM of glucose, corresponding to one-quarter of the blood glucose level, AKT activation was clearly observed (Fig. 1B). Similarly, an increase in AKT phosphorylation was also observed in PANC-1 cells cultured in the presence of glucose at concentrations of less than 0.69 mM (Fig. S3). To elucidate the glucose sensing mechanism of the cells, the effect of glucose analogues on the AKT activation in response to glucose deprivation was examined. AKT activation was completely inhibited by the addition of either galactose or fructose at a final concentration of 5.5 mM (Fig. 1C). Similar results were observed in the PANC-1 cells (Fig. S4). These observations indicate that AKT is activated by a decrease of some metabolites of glycolysis or metabolic stress, rather than by the decrease of glucose itself. In yeast, the extracellular glucose concentration is sensed by a glucose transporter [1,2,3]. To examine whether a similar mechanism may also prevail in mammalian cells, the influence of 2-DG [19,20] on the AKT phosphorylation induced by glucose deprivation was examined. As shown in Fig. 1D, AKT phosphorylation in the HepG2 cells in response to glucose deprivation was not inhibited by 2-DG. Rather, AKT phosphorylation was clearly induced by the addition of 5.5 mM 2-DG, even in the presence of glucose. This observation indicates that glucose is not sensed by binding to a receptor or transporter, nor is it sensed by hexokinase, because 2-DG can be phosphorylated as efficiently by mammalian hexokinase as glucose. It is possible that the inhibition of binding of some sensors to glucose, if such an interaction occurs, might evoke the same cellular responses as glucose deprivation.

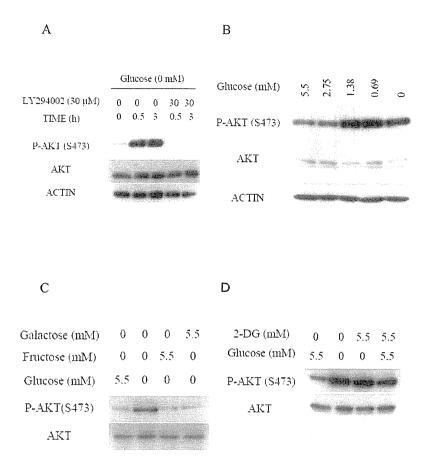


Figure 1. AKT phosphorylation was induced under glucose deprivation. (A) Immunoblotting analyses after incubation of HepG2 cells in the absence or presence of 5.5 mM of glucose and absence or presence of 30  $\mu$ M of LY294002 for the indicated times. (B) HepG2 cells treated or not treated with various concentrations of glucose for 0.5 h were subjected to immunoblotting. (C) Immunoblotting analyses of HepG2 cells treated or not treated with 5.5 mM of glucose, 5.5 mM of glactose, or 5.5 mM of fructose for 0.5 h. (D) Immunoblotting analyses of HepG2 cells treated or not treated with 5.5 mM of glucose, 5.5 mM of 2-DG, or 5.5 mM of glucose plus 5.5 mM of 2-DG for 0.5 h. doi:10.1371/journal.pone.0056628.g001

### Role of hydrogen peroxide in the activation of AKT in response to glucose deprivation

Since AKT phosphorylation in response to glucose deprivation was attenuated by galactose, we assumed that changes in the metabolism might be the cause of the increase in AKT activation. Reactive oxygen species (ROS) are reportedly produced in cells under metabolic stresses [21,22]. We evaluated the intracellular levels of ROS using dichlorofluorescein diacetate (DCFDA), which measures hydroxyl and peroxyl radicals and other ROS. A significant increase in the intracellular ROS production was observed in the HepG2 cells cultured in glucose-deprived medium treated with DCFDA for 30 minutes (Fig. 2A). 3'-O-acetyl-6'-Opentafluorobenzenesulfonyl-2',7'-difluorofluorescein (BES-H<sub>2</sub>O<sub>2</sub>) specifically detects an increase in the amounts of hydrogen peroxide (H2O2) [23] in cells treated under the same conditions (Fig. 2B). An increase in the production of ROS induced by glucose deprivation was also observed in the PANC-1 cells and human fibroblasts derived from the subserosa of the stomach (Fig. S5,S6). Addition of galactose or fructose completely prevented the H<sub>2</sub>O<sub>2</sub> increase (Fig. S7). These results clearly showed that H<sub>2</sub>O<sub>2</sub> production is induced by glucose deprivation. To elucidate the causal relationship between H2O2 production and AKT phosphorylation, the effect of addition of exogenous H2O2 on AKT phosphorylation was examined. Exogenous  $\rm H_2O_2$  addition to the culture medium induced PI3K-dependent  $\Lambda \rm KT$  phosphorylation in a manner similar to glucose deprivation (Fig. 2C). To confirm the causal relation further, the influence of N-acetylcysteine (NAC), an antioxidant reagent, on the  $\Lambda \rm KT$  phosphorylation induced in the absence of glucose was examined. The addition of NAC to the culture medium at a final concentration of 12.5 mM markedly reduced the ROS levels even under glucose-deprived conditions (Fig. 2 $\Lambda$  and 2B). Furthermore, the NAC treatment also suppressed the  $\Lambda \rm KT$  phosphorylation induced by glucose deprivation (Fig. 2D).

# SRC and OSSA are indispensable for AKT phosphorylation induced by glucose deprivation

SRC is involved in an alternate PI3K-activating pathway, and OSSA, a scaffold protein also known as FAM120A, reportedly activates the SRC-PI3K pathway in the presence of oxidative stress [24]. Thus, the involvements of SRC and OSSA in the glucose deprivation-induced phosphorylation of  $\Lambda$ KT were examined. PP2, a specific SRC family inhibitor [25], clearly inhibited the  $\Lambda$ KT phosphorylation induced by glucose deprivation (Fig. 3A). PP2 also inhibited  $\Lambda$ KT phosphorylation induced by exogenous  $H_2O_2$  (Fig. 3B). Consistent with these findings, PP2

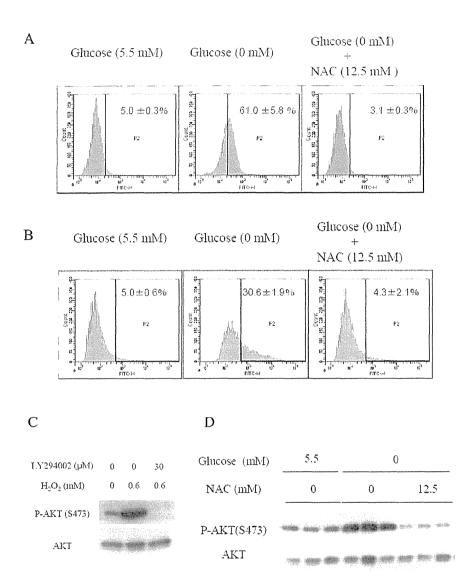


Figure 2. ROS mediates AKT phosphorylation under glucose deprivation. (A)(B)(D) HepG2 cells were cultured in either glucose-containing medium or glucose-deprived medium in the absence or presence of 12.5 mM of NAC for 0.5 h. ROS production was measured using flow cytometry. Cells were stained with (A) 5 μM of DCFDA or (B) 5 μM of BES-H<sub>2</sub>O<sub>2</sub>. Cells were gated within a range contained in the upper 5% of the total cell count under the glucose replete condition. (D) The AKT phosphorylation level was evaluated by immunoblotting. (C) Addition of H<sub>2</sub>O<sub>2</sub> to media containing 5.5 mM of glucose in the absence or presence of 30 μM of LY294002 for 0.5 h, followed by immunoblotting. doi:10.1371/journal.pone.0056628.g002

also suppressed the phosphorylation of SRC induced by glucose deprivation and exogenous  $\rm H_2O_2$  (Fig. S8). PP2 treatment did not alter the increased ROS levels in HepG2 cells cultured under glucose-deprived conditions (Fig. 3C). Similarly, LY294002 treatment inhibited  $\Lambda \rm KT$  phosphorylation, but did not alter the ROS production (Fig. 1A, 3C). Suppression of OSSA expression by RNA interference inhibited the  $\Lambda \rm KT$  phosphorylation induced by glucose deprivation and exogenous  $\rm H_2O_2$  (Fig. 3D, 3E and 3F). Thus, SRC and OSSA were concluded as being mediators of the  $\rm H_2O_2$  signals induced by glucose deprivation that activate the PI3K- $\Lambda \rm KT$  axis.

### NOX4 knockdown inhibits hydrogen peroxide generation under glucose-deprived conditions

NOX4, one of the members of the NADPH oxidase family, is known to be closely involved in the production of ROS in response to growth factor stimuli [26]. Thus, its involvement also in glucose deprivation-induced AKT phosphorylation was examined. RNA interference selectively reduced the expression of NOX4 in HepG2 cells (Fig. 4A). Increase of intracellular ROS levels by glucose deprivation was suppressed by NOX4 knockdown (Fig. 4B). Consistent with this finding, AKT phosphorylation was also not induced in the NOX4 knockdown cells, while exogenous  $\rm H_2O_2$  clearly induced AKT phosphorylation in the cells (Fig. 4C). Similar results were obtained in the PANC-1 cells (Fig. S9A, B). PANC-1 cells express NOX5 as well as NOX4, however,

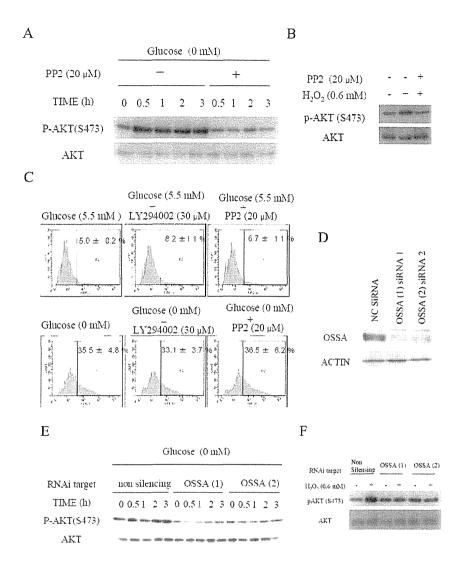


Figure 3. SRC and OSSA are indispensable for the AKT phosphorylation induced by glucose deprivation. (A) Immunoblotting analyses of HepG2 cells in the absence or presence of 5.5 mM of glucose in the and absence or presence of 20 μM of PP2 for the indicated times. (B) Addition of  $H_2O_2$  to the culture medium containing 5.5 mM glucosein the absence or presence of 20 μM of PP2 for 0.5 h, followed by immunoblotting. (C) HepG2 cells were cultured in medium containing or not containing (glucose-deprived) 5.5 mM of glucose in the absence or presence of 30 μM of LY204002 or 20 μM of PP2 for 0.5 h. The cells were stained with 5 μM of BES- $H_2O_2$ . ROS production was measured using flow cytometry. (D) siRNA-treated HepG2 cells were subjected to immunoblotting analyses using OSSA antibody. (E) Immunoblotting analyses of HepG2 cells transfected with a non-targeting siRNA or two separate OSSA siRNAs in the absence or presence of 5.5 mM of glucose for the indicated times. (F) Addition of  $H_2O_2$  to the medium of OSSA-knockdown cells containing 5.5 mM glucose for 0.5 h, followed by immunoblotting. doi:10.1371/journal.pone.0056628.g003

knockdown of NOX5 did not alter the  $\Lambda$ KT phosphorylation level (Fig. S10 $\Lambda$ , B).

### Discussion

In this study, we tried to elucidate the mechanism of sensing of the extracellular glucose concentration by cells, using AKT phosphorylation as a marker. As reported previously, AKT phosphorylation is induced by glucose deprivation [9,10]. In addition, increase in AKT phosphorylation has also been confirmed in HepG2 cells cultured in media containing one-quarter of the normal physiological glucose concentration. This fact suggests that cells have sophisticated mechanisms for monitoring extracellular glucose levels. In another study, increase

in AKT phosphorylation was confirmed in PANC-1 cells cultured in the presence of glucose levels that are one-eighth of the normal physiological condition. The difference in the minimal trigger concentration of glucose between the HepG2 cells and PANC-1 cells could be related to differences in the origins of the cells or differences in the microenvironments of the tumors the cells were derived from.

In the present study, increase in ROS production was observed by 30 minutes after glucose deprivation, both in cancer cells and human fibroblasts. Thus, it became evident that the mechanism of ROS production under glucose deprivation is preserved in not only cancer cells, but also human fibroblasts. ROS was strongly suspected to mediate the  $\Lambda$ KT phosphorylation, because  $\Lambda$ KT

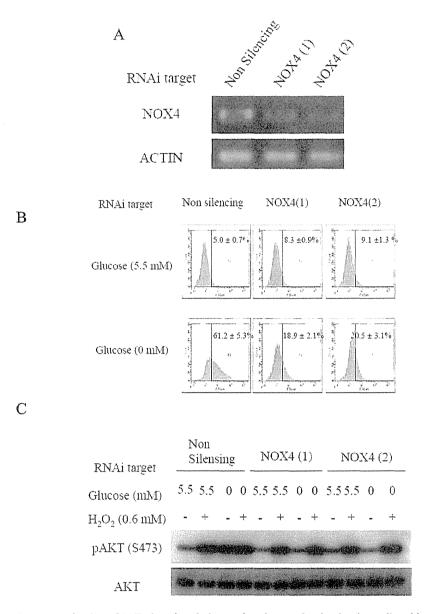


Figure 4. Induction of AKT phosphorylation under glucose deprivation is mediated by ROS generated by NOX4. (A) siRNA-treated HepG2 cells were subjected to reverse-transcriptase PCR (RT-PCR) to confirm NOX4 knockdown. (B) NOX4 knockdown HepG2 Cells were stained with 5  $\mu$ M of BES-H<sub>2</sub>O<sub>2</sub> in the absence or presence of 5.5 mM of glucose for 0.5 h. ROS production was measured using flow cytometry. (C) Immunoblotting analyses of HepG2 cells transfected with a non-targeting siRNA or two separate NOX4 siRNAs in the absence or presence of 5.5 mM of glucose or treatment with exogenous H<sub>2</sub>O<sub>2</sub> for 0.5 h. doi:10.1371/journal.pone.0056628.g004

phosphorylation was inhibited by treatment with NAC. As  $\rm H_2O_2$  has a low selectivity for downstream molecules, it may be involved in the regulation of numerous signaling pathways [27,28,29]. Among them, the regulation of AKT phosphorylation, as reported here, is particularly intriguing. AKT mediates cell proliferation and survival [30,31]. In our previous work, Akt activation was found to play a critical role in cell survival under glucose deprivation [10]. Furthermore, OSSA knockdown and the inhibition of SRC by PP2 suggests that these two elements are fundamental to AKT phosphorylation induced by glucose deprivation. It has been reported that SRC family kinases as their redox sensitive cysteines are the targets of specific oxidation

by various oxidants, including H<sub>2</sub>O<sub>2</sub> [32]. In this study, we clarified that PP2, a specific SRC inhibitor, inhibited AKT phosphorylation induced by glucose deprivation and exogeneous hydrogen peroxide. Thus, SRC is a strong candidate as a hydrogen peroxide sensor. Since PP2 inhibits SRC and other members of the SRC family, we should be careful before denying the relevance of other SRC family kinases [25]. Further investigations, such as by knockdown of individual SRC family kinases will be needed to identify the relevant Src-family kinase.

As with most intracellular signaling cascades, cross-talk and feedback interactions contribute to the overall regulation of PI3K/AKT signaling. S6 kinase-1, a downstream effector of mTORC1,

is known to be involved in a negative feedback loop of AKT activation. S6 kinase phosphorylates and inhibits upstream insulin receptor substrate proteins, which diminishes signaling through the PI3K/AKT pathway [33]. We observed that S6 kinase-1 phosphorylation was suppressed in PANC-1 cells under glucose deprivation (unpublished data), suggesting that the negative feedback machinery could be another mechanism regulating AKT phophorylation in cells under glucose deprivation. Furthermore, it was considered that the NADPH/NADP and ATP/AMP ratios may possibly change under glucose deprivation. Therefore, we measured the NADPH/NADP and ATP/AMP ratios; however, no significant changes were observed in at least the first 30 minutes. We also examined the effect of AMPK activation induced by AICAR on AKT activation and the cellular levels of hydrogen peroxide level, but again no significant changes were observed (unpublished data).

AKT phosphorylation in response to glucose deprivation was also completely inhibited following the addition of galactose or fructose instead of glucose. Galactose and fructose enter the glycolytic pathway after they have been metabolized intracellularly to glucose-6-phosphate and fructose-1 or 6-phosphate, respectively. Therefore, the contribution of decrease in metabolites downstream of fructose-1 or 6-phosphate to the induction of AKT phosphorylation under glucose deprivation was hypothesized.

To examine the contribution of the mitochondria, which are the major loci of ROS production, PANC-1 Rho<sup>0</sup> cells depleted of mitochondrial DNA were produced. When the Rho<sup>0</sup> cells were exposed to glucose-deprived medium, a large amount of intracellular H2O2 was produced. As pyruvic acid alone did not inhibit the ROS production completely, we could not assess the contribution of the mitochondria to the induction of ROS production by glucose deprivation further by this method (Shimoda et al. unpublished data). We then studied the involvement of NOX4 as another major locus of ROS production. AKT phosphorylation induced by glucose deprivation was not observed after NOX4 knockdown; no increase in the intracellular ROS levels was observed either, indicating the involvement of NOX4 in the intracellular accumulation of ROS. The contribution of NOX4, but not NOX5, in the signaling triggered by glucose deprivation was rather unexpected. Interestingly, a previous study reported that NOX4 regulates the survival of PANC-1 cells via ROS/ASK1/AKT signaling [34]. It might also be involved in cell survival under glucose-deprived conditions. With respect to the regulation of their activities, there are fundamental differences among the NOX isoforms. Most NOX family members are reportedly switched on and off by their regulatory subunits. NOX4 also functions as a complex with p22phox on internal membranes to produce ROS [35,36]. NOX4, unlike other members of the NOX family, is known to constitutively induce the production of large amounts of H2O2, however, the possibility of growth factor signaling being mediated by NOX4 has also been suggested [37]. The results of the present study also suggested that the activity of NOX4 might be regulated. In the present study, glucose deprivation increased the cellular levels of H2O2, which was suppressed by frucotose and galactose, indicating that NOX4 might be activated by deprivation of some glycolytic intermediate or some downstream products, such as of the pentose phosphate shunt and/or TCA cycle. The results obtained with the use of 2-DG are consistent with this idea. Whether the ROS accumulation under glucose deprivation is caused by increased production of ROS as a result of enhanced activity of NOX4, or by decreased antioxidant capacity, such as that associated with deficient activities of catalase, glutathione

peroxidase, and glutathione needs to be further investigated. The intracellular amount of ROS is determined by the activity of the enzymes and the amounts of the substrates available. Therefore, metabolomic analysis of the entire set of metabolites is desired.

In the present study, we found that cells sense and respond to metabolic flux rather than glucose itself, and NOX4 and its product, ROS, play important roles in the cellular adaptive responses.

### Supporting Information

Figure S1 Immunoblotting analyses after incubating PANC-1 cells in the absence or presence of 5.5 mM of glucose in the absence or presence of 30  $\mu$ M of LY294002 for the indicated times.

(TIF)

Figure S2 Immunoblotting analyses after incubating human fibroblasts derived from subserossa of stomach in the absence or presence of 5.5 mM of glucose for 0.5 h.

TIF)

Figure S3 PANC-1 cells were treated with or without various concentrations of glucose for 0.5 h.

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Figure S4 Immunoblotting analyses after incubating PANC-1 cells in the absence or presence of 5.5 mM of glucose, 5.5 mM of galactose, or 5.5 mM of fructose for 0.5 h.

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Figure S5 PANC-1 cells were cultured in either glucosecontaining medium or glucose-deprived medium for 0.5 h. Cells were stained with 5 μM BES-H<sub>2</sub>O<sub>2</sub>. ROS production was measured using flow cytometry.

(TIF)

Figure S6 Human fibroblasts derived from subserossa of stomach were cultured in either glucose-containing medium or glucose-deprived medium for 0.5 h. Cells were stained with  $5~\mu M$  BES-H<sub>2</sub>O<sub>2</sub>. ROS production was measured using flow cytometry.

Figure S7 HepG2 cells were cultured in the absence or presence of 5.5 mM of glucose, 5.5 mM of galactose, or 5.5 mM of fructose for 0.5 h. ROS production was measured using flowcytometry. Cells were stained with  $5 \mu M$  of BES-H<sub>2</sub>O<sub>2</sub>.

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Figure S8 Immunoblotting analyses of HepG2 cells in the absence or presence of 5.5 mM of glucose or treatment with exogenous  $\rm H_2O_2$  for 0.5 h.

(TIF

**Figure S9** (A) siRNA-treated PANC-1 cells were subjected to reverse transcriptional PCR (RT-PCR) to confirm NOX4 knockdown. (B) Immunoblotting analyses after incubating PANC-1 cells transfected with a non-targeting siRNA or two separate NOX4 siRNA in the absence or presence of 5.5 mM of glucose for 0.5 h.

Figure S10 (A) siRNA-treated PANC-1 cells were subjected to reverse transcriptional PCR (RT-PCR) to confirm NOX5 knockdown. (B) Immunoblotting analyses after incubating

PANC-1 cells transfected with a non-targeting siRNA or two separate NOX5 siRNA in the absence or presence of 5.5 mM of glucose for 0.5 h. (TIF)

### **Acknowledgments**

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### **Author Contributions**

Conceived and designed the experiments: SO HE KT. Performed the experiments: SO YS. Analyzed the data: SO YS. Wrote the paper: SO HE

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### ORIGINAL ARTICLE

### Metabolomic profiling of lung and prostate tumor tissues by capillary electrophoresis time-of-flight mass spectrometry

Kenjiro Kami · Tamaki Fujimori · Hajime Sato · Mutsuko Sato · Hiroyuki Yamamoto · Yoshiaki Ohashi · Naoyuki Sugiyama · Yasushi Ishihama · Hiroko Onozuka · Atsushi Ochiai · Hiroyasu Esumi · Tomoyoshi Soga · Masaru Tomita

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Abstract Metabolic microenvironment of tumor cells is influenced by oncogenic signaling and tissue-specific metabolic demands, blood supply, and enzyme expression. To elucidate tumor-specific metabolism, we compared the metabolomics of normal and tumor tissues surgically resected pairwise from nine lung and seven prostate cancer patients, using capillary electrophoresis time-of-flight mass spectrometry (CE-TOFMS). Phosphorylation levels of enzymes involved in central carbon metabolism were also quantified. Metabolomic profiles of lung and prostate tissues comprised 114 and 86 metabolites, respectively, and the profiles not only well distinguished tumor from normal

Kenjiro Kami and Tamaki Fujimori contributed equally to this study.

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K. Kami · Y. Ohashi · N. Sugiyama · T. Soga · M. Tomita Institute for Advanced Biosciences, Keio University, Tsuruoka, Yamagata, Japan

K. Kami  $\cdot$  T. Soga  $\cdot$  M. Tomita Systems Biology Program, Graduate School of Media and Governance, Keio University, Fujisawa, Kanagawa, Japan

T. Fujimori  $\cdot$  H. Sato  $\cdot$  M. Sato  $\cdot$  H. Yamamoto  $\cdot$  Y. Ohashi ( $\boxtimes$ )  $\cdot$  T. Soga  $\cdot$  M. Tomita Human Metabolome Technologies, Inc., Tsuruoka, Yamagata, Japan e-mail: ohashi@humanmetabolome.com

Y. Ishihama

Graduate School of Pharmaceutical Sciences, Kyoto University, Kyoto, Japan

H. Onozuka · A. Ochiai · H. Esumi (☒) National Cancer Center Hospital East, Kashiwa, Chiba, Japan e-mail: hesumi@ncc.go.jp tumor types in lung cancer and poorly differentiated tumors from moderately differentiated tumors in prostate cancer. Concentrations of most amino acids, especially branchedchain amino acids, were significantly higher in tumor tissues, independent of organ type, but of essential amino acids were particularly higher in poorly differentiated than moderately differentiated prostate cancers. Organ-dependent differences were prominent at the levels of glycolytic and tricarboxylic acid cycle intermediates and associated energy status. Significantly high lactate concentrations and elevated activating phosphorylation levels of phosphofructokinase and pyruvate kinase in lung tumors confirmed hyperactive glycolysis. We highlighted the potential of CE-TOFMS-based metabolomics combined with phosphorylated enzyme analysis for understanding tissue-specific tumor microenvironments, which may lead to the development of more effective and specific anticancer therapeutics.

tissues, but also squamous cell carcinoma from the other

**Keywords** Metabolomics · CE-MS · Phosphoproteomics · Lung cancer · Prostate cancer · Tumor microenvironment

### 1 Introduction

Hyperactivity of glycolysis independent of oxygen availability is a hallmark of cancer metabolism (Warburg effect) (Warburg 1956). Glycolytic energy metabolism of tumor cells is advantageous for perpetual proliferation and meeting the high demand for non-essential amino acids, fatty acids, and nucleotides, although not for efficient production of ATP. Besides glucose, glutamine is significantly consumed by most tumor cells and metabolized to



alanine, lactate, and ammonium ions, which are secreted out of the cells, in a process called glutaminolysis (Heiden et al. 2009). Corroborating these features of cancer metabolism, our previous metabolome analyses of colon and stomach tumor tissues, using capillary electrophoresis time-of-flight mass spectrometry (CE-TOFMS), have revealed significantly high tumor concentrations of glycolytic intermediates including lactate, tricarboxylic acid (TCA) cycle intermediates, and amino acids (Hirayama et al. 2009). Moreover, inter-organ metabolomic differences were more significant than normal-versus-tumor differences within the same organ, which revealed the complexity in generalizing a tumor-specific, organ-independent metabolic profile. This suggested that cells alter their metabolism along with tumorigenesis while retaining much of the metabolism that is unique to their organs of origin. To test this hypothesis further and gain an insight into cancer metabolism, we analyzed metabolomic profiles of normal and tumor tissues obtained from lung and prostate cancer patients.

Deciphering the difference in the flow of energy metabolism between cancer and normal cells solely from the tissue metabolome data is often difficult. The activities of most glycolytic enzymes are known to be regulated by phosphorylation; therefore, we used nano-liquid chromatography-tandem mass spectrometry (nanoLC–MS/MS) to quantify phosphorylation levels of 13 sites contained in ten selected enzymes involved in glycolysis and the TCA cycle. The results indicate that tumor metabolomic profile is highly dependent on its organ of origin, and exhibits unique patterns dependent on cancer type as well as differentiation status. This demonstrates the potential of CE-TOFMS-based metabolomics complemented by

phosphorylated enzyme analysis for gaining further insight into the complexity and heterogeneity of tumor metabolism.

#### 2 Materials and methods

### 2.1 Sampling and metabolite extraction

All the experiments were conducted according to the study protocol that was approved by the Institution Review Board of the National Cancer Center, Japan. Informed consent was obtained from all the participants.

Tumor and surrounding tissues were surgically resected from nine lung and seven prostate cancer patients, who had been administered with no anticancer drugs or medications that could greatly modify their metabolisms previous to the surgical treatments. Clinical information on the patients is listed in Table 1. The resected tissue samples were immediately frozen in liquid nitrogen and stored at -80 °C until metabolite extraction. Sample tissues were weighed and completely homogenized by multi-beads shocker (Yasuikikai, Osaka, Japan) at 2,000 rpm for 3 min, after adding 0.5 ml ice-cold methanol containing 50 µM methionine sulfone and camphor-10-sulfonic acid as internal standards. The homogenates were mixed with 0.5 ml chloroform and 0.2 ml ice-cold Milli-Q water. After centrifugation at 2,300×g for 5 min, the supernatant was centrifugally filtrated through 5-kDa cut-off filters (Millipore, Bedford, MA, USA) at 9,100×g for 3 h to remove proteins. The filtrate was centrifugally concentrated in a vacuum evaporator, dissolved with Milli-Q water, and analyzed by CE-TOFMS.

Table 1 Clinicopathological information of patients and their tumor tissues. W, M, and P in the differentiation status indicate well-, moderately-, and poorly- differentiated tumors, respectively

Organ	ID	Age	Sex	Type	Stage	Differentiation
Lung	Ll	82	Male	Squamous cell carcinoma	2B	М
	L2	82	Male	Squamous cell carcinoma	1B	M
	L3	77	Male	Squamous cell carcinoma	1B	P
	L4	80	Female	Adenocarcinoma	1B	M
	L5	78	Male	Pleomorphic carcinoma	3B	N/A
	L6	81	Male	Adenocarcinoma	1A	W
	L7	56	Male	Squamous cell carcinoma	3B	M-P
	L8	61	Male	Large cell carcinoma	1B	N/A
	L9	57	Male	Adenocarcinoma	1B	P
Prostrate	P1	68	Male	Adenocarcinoma	2	M
	P2	66	Male	Adenocarcinoma	2	P
	P3	67	Male	Adenocarcinoma	2	P
	P4	63	Male	Adenocarcinoma	3	P
	P5	62	Male	Adenocarcinoma	2	M
	P6	65	Male	Adenocarcinoma	2	M
	P7	58	Male	Adenocarcinoma	2	M

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### 2.2 CE-TOFMS analysis and data processing

CE-TOFMS analysis was performed by an Agilent CE system combined with a TOFMS (Agilent Technologies, Palo Alto, CA, USA) as described previously (Ohashi et al. 2008) with slight modifications. Cationic metabolites were separated through a fused silica capillary (50 µm internal diameter × 80 cm total length) preconditioned with a commercial buffer (H3301-1001, Human Metabolome Technologies Inc. (HMT), Tsuruoka, Japan) and filled with 1 M formic acid as electrolyte, and a commercial sheath liquid (H3301-1020, HMT) was delivered at a rate of 10 µl/min. Sample solution was injected at a pressure of 50 mbar for 10 s. The applied voltage was set at 30 kV. Electrospray ionization-mass spectrometry (ESI-MS) was conducted in the positive-ion mode and the capillary and fragmentor voltages were set at 4,000 and 120 V, respectively. Nebulizer pressure was configured at 5 psig and N<sub>2</sub> was delivered as a drying gas at a rate of 7 1/min at 300 °C. Exact mass data were acquired at the rate of 1.5 cycles/s over a 50-1,000 m/z range. Anionic metabolites were analyzed also through the fused silica capillary preconditioned with a commercial buffer (H3302-1022, HMT) and filled with 50 mM ammonium acetate solution (pH 8.5) as electrolyte, and the aforementioned sheath liquid was delivered at a rate of 10 µl/min. Sample solution was injected at a pressure of 50 mbar for 6 s. The nebulizer pressure, drying gas and its flow rate, applied voltage, and scanning condition of the spectrometer were configured in the same manner as the cationic metabolite analysis. ESI-MS was conducted in the negative mode, and the capillary and fragmentor voltages were set at 3,500 and 125 V, respectively. The data obtained by CE-TOFMS analysis were preprocessed using our proprietary automatic integration software, MasterHands. Each metabolite was identified and quantified based on the peak information including m/z, migration time, and peak area. The quantified data were then evaluated for statistical significance by Wilcoxon signed-rank test.

### 2.3 Enrichment of phosphopeptides

Sample tissues were disrupted by multi-beads shocker and suspended in 100 mM Tris–HCl (pH 9.0) containing 8 M urea, protein phosphatase inhibitors and protein phosphatase inhibitors cocktails (Sigma, St. Louis, MO, USA). After centrifugation at  $1,500\times g$  for 10 min, the supernatant was reduced with 1 mM dithiothreitol, alkylated with 5 mM iodoacetamide, and then digested with Lys-C endopeptidase at 37 °C for 3 h, followed by 5-fold dilution with 50 mM ammonium bicarbonate and digestion with trypsin at 37 °C overnight. The digested samples were desalted using StageTips with SDB-XC Empore disk

membranes (3 M, St. Paul, MN, USA) (Rappsilber et al. 2003).

Phosphopeptides were enriched with hydroxy acidmodified metal oxide chromatography (HAMMOC) (Kyono et al. 2008; Sugiyama et al. 2007). Briefly, custommade metal oxide chromatography tips were prepared using C8-StageTips and titania beads as described previously (Rappsilber et al. 2007). Prior to loading samples, the tips were equilibrated with 0.1 % trifluoroacetic acid (TFA), 80 % acetonitrile and 300 mg/ml lactic acid (solution A). The digested samples from normal or tumor tissues were diluted with 100 ul solution A and loaded into the HAMMOC tips. After successive washing with solution A and solution B (0.1 % TFA and 80 % acetonitrile), 0.5 % piperidine was used for elution. The eluted fraction was acidified with TFA, desalted using SDB-XC-Stage-Tips, and concentrated in a vacuum evaporator, followed by the addition of solution A for subsequent nanoLC-MS/ MS analysis. The phosphopeptide enrichment and sample pretreatment was conducted in duplicate.

### 2.4 NanoLC-MS/MS analysis and database search

NanoLC-MS/MS analyses were conducted using LTQ-Orbitrap (Thermo Fisher Scientific, Rockwell, IL, USA), a Dionex Ultimate 3000 pump (Thermo Fisher Scientific) and an HTC-PAL autosampler (CTC Analytics, Zwingen, Switzerland). A self-pulled needle (150 mm length × 100 μm internal diameter, 6-μm opening) packed with ReproSil C18 materials (3 µm, Dr. Maisch, Ammerbuch, Germany) was used as an analytical column with "stone-arch" frit (Ishihama et al. 2002). A polytetrafluoroethylene-coated column holder (Nikkyo Technos, Tokyo, Japan) was mounted on an x-y-z nanospray interface, and a tee connector with a magnet was used to hold the column needle and to set the appropriate spray position. The injection volume was 5 µl and the flow rate was 500 nl/min for the gradient separation of peptides (Ishihama 2005). The mobile phases consisted of (A) 0.5 % acetic acid and (B) 0.5 % acetic acid and 80 % acetonitrile. A three-step linear gradient of 5-10 % B in 5 min, 10-40 % B in 60 min, 40-100 % B in 5 min and 100 % B in 10 min was used. A spray voltage of 2,400 V was applied via the tee connector. The MS scan range was m/z 300-1,500 and the top ten precursor ions were selected for subsequent MS/MS scans. Resolution setting and its maximum injection time were configured at 60,000 and 500 ms, respectively. We also configured the normalized collision energy at 35.0, the isolation width at two, and the minimum signal at 500. Automatic gain controls were set at 500,000 in the MS analysis and at 10,000 in the MS/MS analysis. The capillary temperature was set at 200 °C. A lock mass function was used with a peak derived from polydimethylsiloxane as a lock mass for the LTQ-Orbitrap to obtain constant mass accuracy during gradient analysis (Olsen et al. 2005). Mass Navigator version 1.2 (Mitsui Knowledge Industry, Tokyo, Japan) was used to create peak lists on the basis of the recorded fragmentation spectra. Peptides and proteins were identified by means of automated database searching using Mascot (Matrix Science, London, UK) against Uni-Prot/Swiss-Prot.

### 3 Results and discussion

### 3.1 Overall metabolomic profile and amino acids

We analyzed metabolomic profiles of normal and tumor tissues obtained from nine lung and seven prostate cancer patients by using CE-TOFMS. Based on their m/z values and migration times, 114 and 86 metabolites were measured in the lung and prostate tissues, respectively (Supplementary Table S1), and visualized on a metabolome-wide pathway map (Supplementary Fig. S1) using VANTED software (Junker et al. 2006). The metabolomic data were then normalized and hierarchically clustered on both the metabolite and sample axes for a heat map representation (Supplementary Fig. S2) and further analyzed by principal component analysis (PCA) using MeV software (Saeed et al. 2003). Thirty-nine metabolites including glycolytic and TCA cycle intermediates, amino acids, and purine nucleoside phosphates, were absolutely quantified (Supplementary Table S2). PCA indicated that tumor metabolomic profiles were much more heterogeneous than their normal counterparts and comprised multiple clusters (Fig. 1a). With reference to the patient information (Table 1) and the hierarchically-clustered samples (Supplementary Fig. S2), tumor types appeared to play a greater part than tumor stage or differentiation status in altering the overall metabolomic profile in lung cancer, whereas differentiation status contributed more in prostate cancer. Indeed, the cluster of squamous cell carcinoma (SCC) patients (L1-3 and L7) was well-distinguished from that of adenocarcinoma (L4, L6 and L9) and pleomorphic carcinoma (L5). This may reflect the intrinsic pathological difference that adenocarcinoma cells but not squamous carcinoma cells retain their function of secreting mucus as glandular epithelial cells. In prostate samples, poorly differentiated prostate tumors (P2-4) were distant from the cluster of moderately differentiated (P1 and P5-7) tumors, which overlapped with that of normal samples. This may be due to higher duct-forming capacity and hormone response of well-differentiated prostate tumors, as well as normal prostate cells, than that of poorly differentiated tumors.

Both lung and prostate tumor samples were well-separated primarily along the PC1 axis; thus, factor loadings for the PC1 axis were evaluated. Correlations with the PC1 were particularly high in branched-chain amino acids (BCAAs) such as Val (R = -0.97), Ile (-0.97), and Leu (-0.89) in lung, and Leu (-0.87) in prostate samples (Supplementary Fig. S3). BCAAs are known to be avidly taken up by tumors and highly oxidized in cancer patients (Baracos and Mackenzie 2006), and thus may serve as effective indicators for diagnosing lung tumors. In fact, average lung tumor concentrations of all the 19 amino acids measured were higher than their respective normal levels, as were average prostate tumor levels of all the amino acids except Asp, Ile and Met (Fig. 1b). This is possibly due to hyperactivity of protein degradation and amino acid transporters in tumor cells (Fuchs and Bode 2005; Vander Heiden et al. 2009). Although average tumor levels of most amino acids in lung samples were significantly higher than their respective normal levels, normal and tumor Asp levels were comparable. Asp may be actively consumed as a precursor for nucleic acids and these TCA cycle intermediates, because tumor concentrations of malate, fumarate and succinate were significantly higher than the normal levels. In prostate tissues, levels of some amino acids such as Asn, Lys, Phe, Ser and Tyr and total essential amino acids were particularly higher in poorly differentiated tumors (P2-4; black in Fig. 1b) than moderately differentiated tumors (P1 and P5-7; gray in Fig. 1b), of which levels were comparable to the corresponding normal levels (Fig. 1b), implying enhancement of acquiring the amino acids upon dedifferentiation of prostate cancer cells.

# 3.2 Energy charge and adenosine and guanosine phosphates

Adenylate and guanylate energy charges (([RTP] +  $0.5 \times$ [RDP]/([RTP] + [RDP] + [RMP]), R = A or G) were lower in lung tumor than normal tissues (Fig. 2a); however, tumor levels were significantly higher than normal levels for ATP (3.8-fold), GTP (4.2-fold), and the other adenosine and guanosine phosphates (1.9-7.9-fold), and hence total adenylates (4.5-fold) and guanylates (4.0-fold). Tumor concentrations of these metabolites were relatively higher in all the SCC samples (L1-3 and L7; black in Fig. 2a) than the others (gray in Fig. 2a) such as L5 and L6, whose overall tumor metabolomic profiles resembled their respective normal profiles (Fig. 1). Purine synthesis may thus be hyperactivated in lung tumors, especially SCC, probably with a high basal ATP ↔ ADP turnover and purine salvage for maximizing their growth. Although prostate tissues showed much less normal-versus-tumor



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differences, tumor ADP level was significantly lower than normal level (Fig. 2b). High absolute concentrations of ADP and GDP among other purine nucleoside phosphates are unique to prostate tissues, and ATP and AMP levels were relatively higher in poorly differentiated tumors (P2–4; black in Fig. 2b) than moderately differentiated tumors (P1 and P5–7; gray in Fig. 2b). This might be due to a differential expression of adenylate kinase catalyzing the reaction, 2ADP ↔ ATP + AMP, which is undetectable in adult prostate but shows activity along with its malignant alteration (Hall et al. 1985).

### 3.3 Glycolytic and TCA cycle intermediates and phosphorylated enzymes

Most glycolytic and TCA cycle intermediates were absolutely quantified (Fig. 3a), and phosphorylation levels of associated enzymes were also examined (Fig. 3b). Tumor lactate levels were higher than normal levels in both lung and prostate tissues, indicating their enhanced glycolysis and lactate fermentation, which reaffirmed the Warburg effect in cancer. Lung tumor levels of fructose 6-phosphate and fructose 1,6-bisphosphate were significantly lower and higher, respectively, than their corresponding normal levels. This may be partly explained by significantly high tumor levels of S386 phosphorylation in phosphofructokinase, which enhances its activity (Brand and Soling 1975), and thus the overall glycolytic flux because it is a

bottleneck enzyme. Although tumor levels of S83 phosphorylation in glyceraldehyde 3-phosphate dehydrogenase and S203 in phosphoglycerate kinase-1 were significantly higher than their respective normal levels, their functional impacts are unknown. Tumor level of S37 phosphorylation of pyruvate kinase, which enhances its activity (Le Mellay et al. 2002), was significantly higher than the normal level. This may rationalize the trend that phosphoenolpyruvate and pyruvate were significantly lower and higher, respectively, in tumor than normal tissues. Tumor levels of S293 and S291 phosphorylation in pyruvate dehydrogenase, which inhibit its activity (Korotchkina and Patel 2001; Patel and Korotchkina 2001), were significantly higher than normal levels in all the lung cancer patients, except L6. This inhibition may contribute to the enhanced glycolysis and resulting lactate accumulation in lung tumors. In prostate tissues, however, most glycolytic intermediates were not detected, probably owing to inevitable overdilution of the samples for reducing polyamine concentrations, which otherwise adversely interfere CE-TOFMS analysis. Trivial differences were observed between normal and tumor prostate phosphorylation levels of most glycolytic enzymes except glucose 6-phosphate isomerase (G6PI); nevertheless, the impact of elevated phosphorylation on the activity of G6PI is uncertain. Although intriguing, there was no apparent correlation between significantly high tumor levels of S481 phosphorylation in ATP citrate lyase in SCC samples, L1, L3

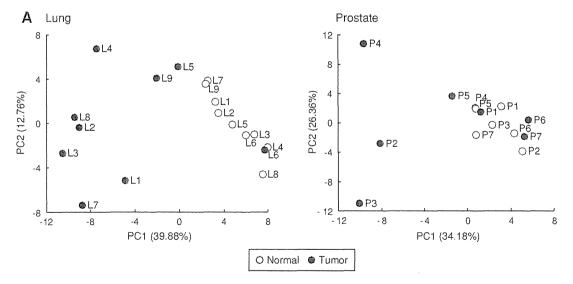


Fig. 1 a Score plots of PCA using the normalized metabolomic data of paired normal and tumor tissues obtained from lung (left) and prostate (right) cancer patients. The sample codes correspond to the patient IDs listed in Table 1. Percentage values indicated on the axes represent the contribution rate of the first (PC1) and the second (PC2) principal components. b Quantified levels of amino acids in normal (left, open dots) and tumor (right, filled dots) tissues obtained from lung and prostate cancer patients. Horizontal bars represent mean  $\pm$  SD of

normal (*left*) and tumor (*right*) samples and each connected pair represents the values for the same patient. *Gray dots* represent the values for patients with non-SCC lung cancer (L4–L6, L8 and L9) and patients with moderately differentiated prostate cancer (P1 and P5–7). *N.D.* indicates that the metabolite level was below the detection limit of the analysis. *Asterisks* indicate the significant differences between normal and tumor tissue levels based on the Wilcoxon signed-rank test (\*p < 0.05; \*\*p < 0.01; and \*\*\*p < 0.001)

