

## Three-dimensional dose prediction based on two-dimensional verification measurements for IMRT

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Dose verifications for intensity-modulated radiation therapy (IMRT) are generally performed once before treatment. A 39-fraction treatment course for prostate cancer delivers a dose prescription of 78 Gy in eight weeks. Any changes in multileaf collimator leaf position over the treatment course may affect the dosimetry. To evaluate the magnitude of deviations from the predicted dose over an entire treatment course with MLC leaf calibrations performed every two weeks, we tracked weekly changes in relative dose error distributions measured with two-dimensional (2D) beam-by-beam analysis. We compared the dosimetric results from 20 consecutive patient-specific IMRT quality assurance (QA) tests using beam-by-beam analysis and a 2D diode detector array to the dose plans calculated by the treatment planning system (TPS). We added back the resulting relative dose error measured weekly into the original dose grid for each beam. To validate the prediction method, the predicted doses and dose distributions were compared to the measurements using an ionization chamber and film. The predicted doses were in good agreement, within 2% of the measured doses, and the predicted dose distributions also presented good agreement with the measured distributions. Dose verification results measured once as a pretreatment QA test were not completely stable, as results of weekly beam-by-beam analysis showed some variation. Because dosimetric errors throughout the treatment course were averaged, the overall dosimetric impact to patients was small.

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### I. INTRODUCTION

Intensity-modulated radiation therapy (IMRT) is an important method of maximizing target dose while minimizing the dose to the surrounding normal tissues. Treatment fields are highly complex, however, necessitating quality assurance (QA) to verify both the accuracy of the treatment planning system (TPS) and the performance of the beam delivery system. Pretreatment QA measures per-beam and/or composite dose distributions, as well as absolute dose measurements. The accuracy of beam delivery by the segmental multileaf collimator (MLC) method is particularly dependent on leaf stop position and in the dynamic MLC method on leaf motion speed precision. Deviation of leaves from the expected stop positions raises the potential for substantial dose error.<sup>(1)</sup> Hence, MLC leaf position QA should be performed at appropriate intervals, as recommended by the AAPM Task Group 142.<sup>(2)</sup> Our department performs a weekly Garden Fence test, and also the Picket Fence test (also called the “nongap

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test”),<sup>(3,4)</sup> a similar test with the leaves closed, to verify MLC leaf stop position and to measure relative dose perturbation at MLC leaf abutments using step-and-shoot leaf motion. Results have shown that MLC leaf position gradually changes as much as 1 mm from baseline over the course of one month, and that relative dose intensity at the MLC leaf abutments consequently increases or decreases compared to that specified by the TPS.<sup>(3)</sup> The MLC used in this study is controlled by a potentiometer and an encoder to recognize leaf positions. The potentiometer has an absolute current value and the encoder has a relative value. The treatment machine is also equipped with a feature that stops the MLC leaves moving toward the isocenter once the field size changes according to the manufacturer’s hardware control system. If the treatment machine is turned off at the end of the workday and turned on again the following morning, the encoder is initialized. Following initialization, while the absolute current value for the potentiometer of each MLC leaf does not change, the MLC leaf position is moved toward the closing field via integration of the on/off switching procedure of the system. These findings suggest that more frequent MLC leaf calibration may be necessary to deliver an accurate dose to a patient for a whole treatment course.

Per-beam QA using a two-dimensional (2D) diode detector array is usually performed at a gantry angle of 0° in the coronal plane (coronal plane QA). However, Nelms et al.<sup>(5)</sup> reported that planar IMRT QA passing rates are not predictive of clinically relevant patient dose errors, and Kruse<sup>(6)</sup> found that gamma analysis of single field measurements is insensitive to important dosimetric inaccuracies in the overall plan. At present, dose errors taken from the 2D detectors are fed back into the TPS in three dimensions (3D), and then the predicted dose errors are added to the original calculated dose.<sup>(7,8,9)</sup> As a rule, a single IMRT dose verification before treatment is an excellent indication of delivery over the whole treatment course, and multiple verifications are not considered necessary.<sup>(10)</sup> However, additional dose errors might result from MLC leaf positional deviations over a long treatment period. This leads to the possibility that dose errors in 3D might change after factoring in the clinically relevant dose-volume indices.

We investigated the reliability of dose delivery in 3D using the 2D dose errors calculated from per-beam QA, and predicted the clinically relevant dose volume indices based on these dose errors throughout the radiation treatment course. We focused on prostate cancer IMRT with 78 Gy/39 fractions. We performed weekly MLC QA using an electronic portal imaging device (EPID) and per-beam QA using 2D diode detector arrays, and performed MLC leaf calibration every two weeks.

## II. MATERIALS AND METHODS

### A. Treatment planning

Treatment with five fields was done using a 10 MV linear accelerator (ONCOR Impression Plus; Siemens Medical Systems, Concord, CA). A leaf width of 10 mm was used for step-and-shoot delivery. A total of 20 prostate cancer patients treated by IMRT were included in the study. After a physician delineated the contouring of the CTV with the prostate as a target and the bladder and rectum as critical organs, a medical physicist created the plan with the TPS (XiO, ELEKTA, Stockholm, Sweden). Dose calculation was performed with a grid size of 2 mm. A mean dose of 78 Gy to the prostate planning target volume (PTV) over 39 fractions was prescribed in all patients. The mean equivalent side of each segment for all patients was  $4.49 \pm 0.37$  cm (mean  $\pm$  1 SD).

### B. Data export

For dose distribution analysis using a 2D detector diode array (MapCHECK; Sun Nuclear Corporation, Melbourne FL), one fraction of the treatment dose for each patient was calculated in a  $30 \times 30 \times 30$  cm<sup>3</sup> thick Solid Water phantom at a gantry angle of 0° instead of the actual treatment gantry angle, assuming that there was no drift of the MLC leaf stop positions with

different angles. Source-to-detector distance was 100 cm and depth was 10 cm. After the dose calculations were performed with a 1 mm grid, the dose distribution in the coronal plane for each beam at a depth of 10 cm was exported in the text format used by the TPS. The Digital Imaging and Communications in Medicine-Radiation Therapy (DICOM RT) plan, DICOM RT structure set, and DICOM RT dose for each beam were exported for the evaluation of dose-volume indices in the treatment plan for each patient.

### C. Creation of error map

We developed an in-house software application using Delphi2007 (Borland Software Corporation, Austin TX) to create a 2D error map of calculated versus measured dose distribution, and to adapt the 2D error map to the 3D DICOM RT dose grid. The 2D diode detector array was placed on the treatment couch with a 10 cm water-equivalent total phantom thickness and a source–detector distance of 100 cm. The reproducibility of absolute dose for the 2D diode detector array before and after beam-by-beam measurement for each patient was checked with a  $10 \times 10 \text{ cm}^2$  field at a depth of 10 cm water equivalent. Variations did not exceed 0.3% during the study. After the measurements for each patient with the 2D diode detector array at a gantry angle of  $0^\circ$ , the measured dose distributions were exported by MapCHECK in the text file format. Those files were imported into our in-house software and were compared with those calculated by the TPS. The spatial resolution of measurements using the 2D diode detector array was 5 mm; therefore, the calculated dose at the same position for the 2D diode detector array was selected. The relative local dose error map for dose differences between measurements and calculations was created using the following equation:

$$\text{Dose error} = \frac{\text{Measured dose (cGy)} - \text{Calculated dose (cGy)}}{\text{Calculated dose (cGy)}} \quad (1)$$

According to the equation, the error map for each beam was exported with a grid size of 5 mm.

We used the gamma analysis method to evaluate dose distribution.<sup>(11,12)</sup> Analysis was limited to doses greater than 10% of the maximum dose on the TPS. The absolute dose and distance-to-agreement tolerances were 3% and 3 mm, respectively. The degree of agreement between the 2D diode detector array and the TPS calculation was characterized using the passing rate of diode detectors failing to have  $\gamma < 1$ . The passing rates for all beams were over 95%, within the tolerance level used in our department to start radiation treatment.

### D. Adaptation of error map to error-free dose grid

Because measurements with the 2D diode detector array were performed at a  $0^\circ$  gantry angle, the error-free dose grid for each beam (i.e., the DICOM RT dose grid of the original plan) was converted into 3D using the isocenter location  $[T_x, T_y, T_z]$  in CT coordinates with units expressed in mm and rotated in the z-axis (head–foot direction) using the planned gantry angle  $\theta$ , referenced in the DICOM RT plan file before the error map was applied. The following equation was used:

$$\begin{pmatrix} D_x \\ D_y \\ D_z \end{pmatrix} = \begin{pmatrix} \cos\theta & -\sin\theta & 0 \\ \sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} S_x \\ S_y \\ S_z \end{pmatrix} + \begin{pmatrix} T_x \\ T_y \\ T_z \end{pmatrix} \quad (2)$$

where  $(D_x, D_y, D_z)$  is the destination location of each dose grid, and  $(S_x, S_y, S_z)$  is the source location of each dose grid. To determine the projected location in the isocenter plane where the 2D diode detector array measurement was performed at gantry angle  $0^\circ$  for each destination

location, the rate of magnification  $R_{\text{mag}}$  was calculated using the distance between  $D_y$  and  $T_y$ . The following equation was used:

$$R_{\text{mag}} = 1 - (D_y - T_y)/1000 \quad (3)$$

The projected locations on the x- and z-axes of the CT coordinates were then calculated using the following equations:

$$\text{Map}_x = R_{\text{mag}} \times (D_x - T_x) \quad (4)$$

$$\text{Map}_z = R_{\text{mag}} \times (D_z - T_z) \quad (5)$$

where  $\text{Map}_x$  and  $\text{Map}_z$  were the locations on the lateral and craniocaudal detector planes of the 2D diode detector array, respectively. The relative dose error at the point of  $\text{Map}_x$  and  $\text{Map}_z$ ,  $\text{Error}_{\text{Map}_x, \text{Map}_z}$ , was calculated from the 2D linear interpolation of the relative dose error at each detector point in the 2D diode detector array's coordinates. The  $\text{Error}_{\text{Map}_x, \text{Map}_z}$  was applied to the error-free dose at each DICOM RT dose grid coordinate (x, y, z), resulting in  $\text{Dose}_{\text{errorfree}, x, y, z}$  in three dimensions for each beam, producing  $\text{Dose}_{\text{with error map}, x, y, z}$  as illustrated by the following equation:

$$\text{Dose}_{\text{with error map}, x, y, z} = \text{Dose}_{\text{errorfree}, x, y, z} \times (1 + \text{Error}_{\text{Map}_x, \text{Map}_z}) \quad (6)$$

The sums of the error-involved dose grid for each beam were then used to evaluate dose-volume indices. The final dose compositions and calculation of dose-volume indices were performed by the in-house software; the dose calculation was not performed by the in-house software solely to use the dose grid for each beam.

### E. Dose validation

The dose validation for the proposed method was performed with one of the 20 patients. Measurements of absorbed dose and verification for isodose distributions in the axial plane using film were performed. The verification phantom named I'mRT Phantom (IBA Dosimetry, Schwarzenbruck, Germany) was used in both verifications. An ionization chamber (PTW PinPoint 31016 chamber; PTW, Freiburg, Germany) was used to measure the absorbed dose. Three sheets of radiochromic film (GAFCHROMIC EBT2; International Specialty Products, Wayne NJ) were inserted in the plane of -1, 0 (isocenter), and +1 cm in the craniocaudal direction to verify the isodose distributions. The treatment beams were overlaid onto the phantom, then five measurement points, including the isocenter, were chosen in the region of high-dose and low-dose gradients. The locations of the measurements of absorbed dose and isodose distributions are shown in Fig. 1. This plan is referred to as the "original plan." In order to create a plan with dose error, the MLC leaf positions of each beam were manually changed. This plan is referred to as the "modified plan." Both the original plan and the modified plan used the same monitor units for each beam. The per-beam QA for the modified plan using the 2D diode detector array was done at the gantry angle of 0°, a source-detector distance of 100 cm, and depth of 10 cm. To create an error map of each beam, the coronal dose plane in the original plan was used as a reference. The error map of each beam was incorporated into the original dose grid based on our proposed method to create a 3D dose grid with MLC leaf error, thus generating predicted dose grid data with dose error. That process is shown in Fig. 2.

The predicted dose at five points was compared with the measured dose and the modified plan dose of the TPS. The predicted isodose distributions of three axial planes were compared with the isodose distributions from the measured films. To verify isodose distributions, three kinds of dose evaluation were used, namely, relative dose difference, distance to agreement, and percent pass rate of gamma function (3%/3 mm criteria).<sup>(11,12)</sup>

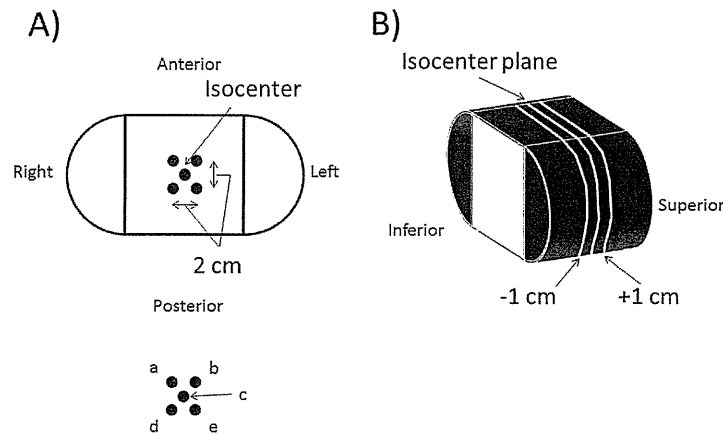


FIG. 1. Measurement locations of absorbed dose and dose distribution are shown. The five black circles show the measurement points (a-e). Four points (a, b, d, e) are 1 cm from the isocenter (c) in the left-to-right direction and anterior-to-posterior direction. Three sheets of film (b) were inserted in three dose planes, namely the isocenter plane and +1 cm and -1 cm to the isocenter plane, in the superior to inferior direction.

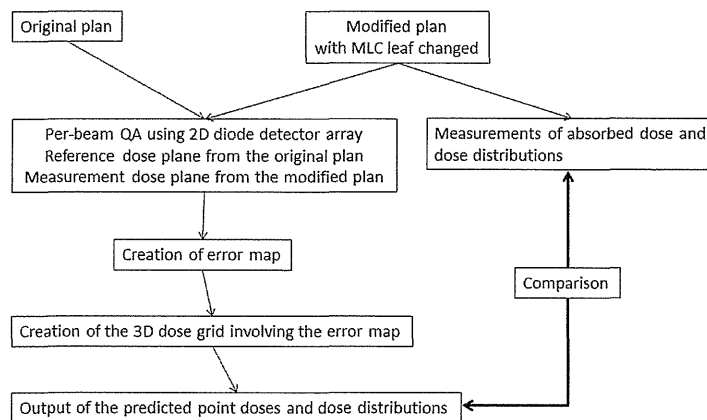


FIG. 2. The dose validation process of our proposed method modification.

### F. QA schedule for dose evaluation

The reproducibility of measurements was evaluated in three of the 20 patients. Measurement of beam-by-beam analysis using the 2D diode detector array was performed ten times on the same day. The same three cases were subjected to weekly dose evaluations throughout the treatment course of 39 fractions over eight weeks. Beam-by-beam analysis using the 2D diode detector array was performed weekly, as well as before and after MLC leaf calibration, which was performed three times every two weeks over eight weeks. All error-involved dose grids and the error-free dose grid were divided by the number of total fractions (39). Therefore each dose grid was based on the prescription dose of 2 Gy.

The QA schedule is summarized in Table 1. We show two hypothetical cases, Case A and Case B. In general the pretreatment QA is performed once before starting the radiation treatment. If the MLC leaf stop position is stable through the whole treatment course, it might be enough to evaluate the dose distributions one time before treatment. However, if the MLC leaf stop position gradually changes during the whole treatment course, as ours did, it might not

TABLE 1. QA test schedule for the entire treatment course of 39 fractions.

			<i>Case A</i>	<i>Case B</i>
			<i>Number of Fractions</i>	
		Error-free dose grid	39	39
1st week	Per-beam QA <sup>a</sup>	Error-involved dose grid 1	39	5
2nd week	Per-beam QA	Error-involved dose grid 1	39	5
3rd week	Per-beam QA (Pre-calib.) <sup>b</sup>	Error-involved dose grid 3-Pre.		
	Per-beam QA (Post-calib.) <sup>c</sup>	Error-involved dose grid 3-Post.	39	5
4th week	Per-beam QA	Error-involved dose grid 4	39	5
5th week	Per-beam QA (Pre-calib.)	Error-involved dose grid 5-Pre.		
	Per-beam QA (Post-calib.)	Error-involved dose grid 5-Post.	39	5
6th week	Per-beam QA	Error-involved dose grid 6	39	5
7th week	Per-beam QA (Pre-calib.)	Error-involved dose grid 7-Pre.		
	Per-beam QA (Post-calib.)	Error-involved dose grid 7-Post.	39	5
8th week	Per-beam QA	Error-involved dose grid 8	39	4

<sup>a</sup> Beam-by-beam analysis.

<sup>b</sup> Pre-MLC leaf calibration.

<sup>c</sup> Post-MLC leaf calibration.

be enough to evaluate the dose distribution one time before treatment. Therefore, for Case A it was assumed that the dose errors measured at the beginning of a certain week between the first and eighth week were unchanged over the 39 fractions. The errors were used as a substitute for the single pretreatment QA. That is, each patient could start the treatment between the first and eighth weeks. For Case B, it was assumed that the dose errors measured every week were factored into the cumulative dose over the 39 fractions. Eventually, the final dose included the potential dosimetric change throughout the treatment course, such as the dose perturbation, according to the change in MLC leaf position. Cases A and B were used to judge whether the pretreatment QA was applicable for the patient-specific QA. In terms of the dose evaluations for Case A, each error-involved dose grid of 1, 2, 3-Post (calibration), 4, 5-Post, 6, 7-Post, and 8 was multiplied by 39 fractions and applied over the whole treatment course. For Case B, each error-involved dose grid of 1, 2, 3-Post, 4, 5-Post, 6, and 7-Post was multiplied by 5 fractions, and dose grid 8 was multiplied by 4 fractions. Summing each dose grid then provided a more realistic error-involved dose grid, which took into account the potential dose changes due to MLC leaf positional changes over less than one week.

For dose evaluation with dose-volume indices of the target,  $D_{98\%}$  to the CTV and PTV as a minimum dose,  $D_{2\%}$  to the CTV and PTV as a maximum dose, mean dose to the CTV and PTV, and  $D_{95\%}$  to the PTV were calculated and expressed in Gy.  $D_{98\%}$  and  $D_{2\%}$  were chosen according to the dose specification protocol of ICRU Report 83.<sup>(13)</sup> For the organs at risk, the percentage of rectal tissue receiving 65 Gy ( $V_{65\text{Gy}}$ ) and the percentage of bladder tissue receiving 40 Gy ( $V_{40\text{Gy}}$ ) were calculated. Rectal dose constraints of  $V_{65\text{Gy}} < 17\%$  and  $V_{40\text{Gy}} < 35\%$ , and bladder dose constraints of  $V_{65\text{Gy}} < 25\%$  and  $V_{40\text{Gy}} < 50\%$ , based on a previous report,<sup>(14)</sup> were determined by a physician and used as the planning goal. For both Cases A and B, dose-volume indices were compared between the error-free dose grid as reference and error-involved dose grid. Student's *t*-test was used for comparison. Statistical significance was set at the 5% level.

### III. RESULTS

#### A. Dose validation for proposed method

For absolute dose validation for the modified method, the comparisons at the five measurement points between the predicted dose and measurement dose are shown in Table 2. The original planned dose and the modified planned dose were calculated by the TPS. The predicted dose errors against the modified planned dose were 1.16%, -1.09%, -1.52%, -1.09%, and -0.54% at

TABLE 2. Comparisons of the predicted dose and the measurements dose.

<i>Point<sup>a</sup></i>	<i>Original Planned Dose (Gy)</i>	<i>Modified Planned Dose (Gy)</i>	<i>Predicted Dose (Gy)</i>	<i>Measured Dose (Gy)</i>	<i>Predicted Dose Error Against Modified Planned Dose (%)</i>	<i>Predicted Dose Error Against Measured Dose (%)</i>	<i>Measured Dose Error Against Modified Planned Dose (%)</i>
a	2.01	1.72	1.74	1.73	1.16	0.40	0.76
b	2.02	1.84	1.82	1.86	-1.09	-2.20	1.14
c	1.99	1.97	1.95	1.99	-1.52	-2.66	1.17
d	1.97	1.83	1.81	1.86	-1.09	-2.79	1.75
e	1.99	1.85	1.84	1.90	-0.54	-2.95	2.49

<sup>a</sup> Points a to e are the measurement points in Fig. 1(a).

the measurement points of a, b, c, d, and e, respectively. The predicted dose errors against the measured dose were 0.40%, -2.20%, -2.66%, -2.79%, and -2.95% at the measurement points of a, b, c, d, and e, respectively. The differences between the predicted dose and the measured dose were larger than 2% for the points b to e. However, since the measured dose errors against the modified planned dose were 0.76%, 1.14%, 1.17%, 1.75%, and 2.49% at the measurement points of a, b, c, d, and e, respectively, the predicted dose error against the measured dose could be as small as 1.5%, as the values of the differences were close to the results for the predicted dose error against the modified planned dose.

For dose distribution validation of the proposed method, the isocenter plane as a typical dose distribution is shown in Fig. 3. The predicted dose distribution in Fig. 3(e) was derived

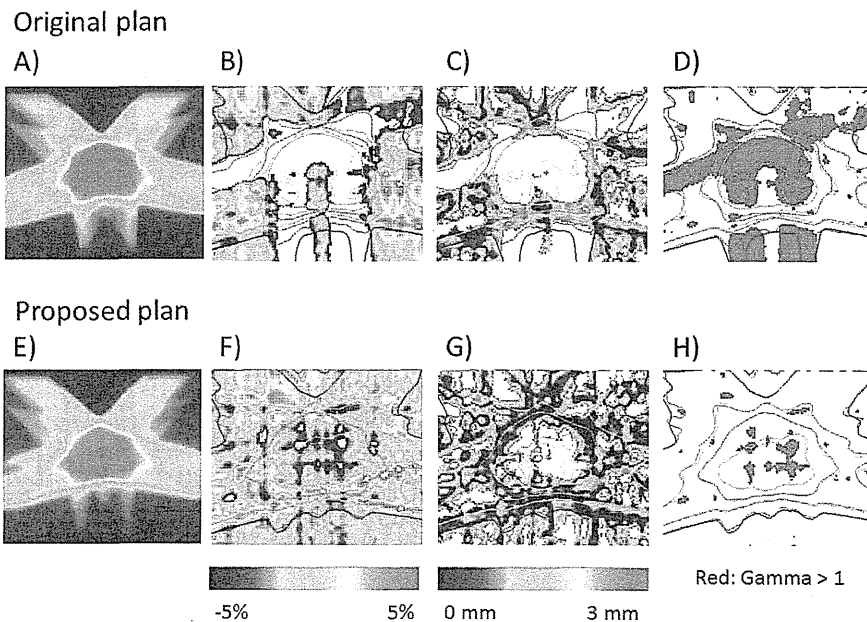


FIG. 3. Comparisons of dose distribution between the original plan, the plan generated by our modified method, and film measurement (all data are at the isocenter plane): (a) represents the original dose distribution; (e) represents the proposed modification plan dose distribution; (b), (c), and (d) are comparisons between (a) and film measurement; (f), (g), and (h) are the comparisons between (e) and film measurement; (b) and (f) are the original and modified dose differences, respectively, with an error range of 5%; (c) and (g) represent the original and modified distance to agreement, respectively, with an error range of 3 mm; (d) and (h) are the original and modified gamma distributions, respectively. Gamma values > 1 are in red.

from the MLC leaf modification that made the leaves close with the same monitor units of the original plan. Therefore, the dose distribution in Fig. 3(e) had a lower dose compared to the original dose distribution in Fig. 3(a). Unfortunately, stripe artifacts on the nonirradiated film, which might lead to an unexpected dose error, were seen in both lateral and vertical directions on the whole measurement area. For the dose difference, the modified plan dose distribution showed better agreement with the film measurement in Fig. 3(f), especially in the region with large dose errors shown in white in Fig. 3(b), which means a relative dose error over 5%. For the distance to agreement, the predicted isodose distributions were well-matched to the measured isodose distributions shown in Fig. 3(g). For the gamma analysis with the tolerance criteria (3%/3 mm), the percent pass rate of the modified plan dose distribution and the film measurement in Fig. 3(h), and that of the original dose distribution and the film measurement in Fig. 3(d), were 86.9% and 67.0%, respectively. The regions of gamma values over 1 in red were mainly due to the inherent film artifacts.

### B. Accuracy measurement

Sequential measurements using the 2D diode detector array were performed ten times for three patients to evaluate measurement reproducibility. Table 3 summarizes the relative dose differences between the mean of ten error-involved dose grid measurements and the error-free dose grid for Cases 1, 2, and 3. For all three cases, the values of  $D_{98\%}$ ,  $D_{95\%}$ ,  $D_{2\%}$ , and mean dose to the PTV, and  $D_{98\%}$ ,  $D_{2\%}$ , and mean dose to the CTV calculated using the error-involved dose grid, were statistically different from those calculated with the error-free dose grid ( $p < 0.01$ , except for Case 2, CTV  $D_{98\%}$  and CTV mean dose  $p < 0.03$ ), although the differences among the three cases have not shown a consistent tendency to skew up or down. The values of  $V_{65\text{Gy}}$  and  $V_{40\text{Gy}}$  to both the rectum and the bladder, except the  $V_{65\text{Gy}}$  to the bladder in Case 2, using the error-involved dose grid were also statistically different from those calculated with the error-free dose grid ( $p < 0.01$ ; Case 2,  $p < 0.03$ ), although the difference among the cases, again, did not show a consistent tendency to skew up or down.

TABLE 3. Comparison of error-involved and error-free dose grids for three cases.

Volume Indices	Case 1 (%)	Case 2 (%)	Case 3 (%)	p-value
PTV $D_{98\%}$	-1.46±0.09	-1.66±0.05	4.23±0.34	< 0.01
PTV $D_{95\%}$	-1.97±0.08	-1.58±0.06	-0.24±0.13	< 0.01
PTV $D_{2\%}$	1.47±0.29	0.95±0.06	2.17±0.18	< 0.01
PTV mean dose	-0.85±0.05	0.24±0.08	0.21±0.10	< 0.01
CTV $D_{98\%}$	-0.87±0.06	-0.30±0.27 <sup>a</sup>	-5.09±0.25	< 0.01 < 0.03 <sup>a</sup>
CTV $D_{2\%}$	-0.58±0.05	-0.19±0.06	2.25±0.21	< 0.01
CTV mean dose	-0.81±0.05	-0.10±0.10 <sup>a</sup>	-0.60±0.12	< 0.01 < 0.03 <sup>a</sup>
Rectum $V_{65\text{Gy}}$	-16.99±0.02	-5.24±0.01	42.32±0.37	< 0.01
Rectum $V_{40\text{Gy}}$	-4.40±0.06	-1.47±0.07	24.23±0.07	< 0.01
Bladder $V_{65\text{Gy}}$	14.71±0.03	0.37±0.17 <sup>a</sup>	8.69±0.04	< 0.01
Bladder $V_{40\text{Gy}}$	10.10±0.01	-4.63±0.14	7.90±0.06	< 0.01

<sup>a</sup> Not significant.

### C. Changes in dose-volume indices over time

For Cases 1, 2, and 3, beam-by-beam analysis using the 2D diode detector array was performed every week over eight weeks, assuming that the pretreatment QA generally would suffice for all eight QA periods. In terms of potential clinical dose errors, the relative error for each week



was adopted and added into the remainder of the 39 fractions as the actual dose-involved error. The mean  $\pm$  SD dose differences for the three cases against the error-free dose grid for CTV and PTV, and also for the rectum and bladder, are shown in Figs. 4 and 5, respectively. The mean deviations and range (minimum and maximum values) between the first week and eighth week compared with the error-free dose grid and the actual dose-involved error are shown in Table 4. The values of the actual dose-involved error were close to those of the mean deviations between the first and eighth week.  $D_{95\%}$  and the mean dose for the PTV, and  $D_{98\%}$  and the mean dose for the CTV with the error-involved dose grid, were consistently negative in value compared with the error-free dose grid.  $V_{40\text{Gy}}$  for the rectum, and  $V_{65\text{Gy}}$  and  $V_{40\text{Gy}}$  for the bladder were consistently positive.  $V_{65\text{Gy}}$  for the rectum ranged from -10.75% to 13.66% with the error-involved dose grid. These ranges were relatively large, compared to those for other organs, because the irradiated volume was much smaller.

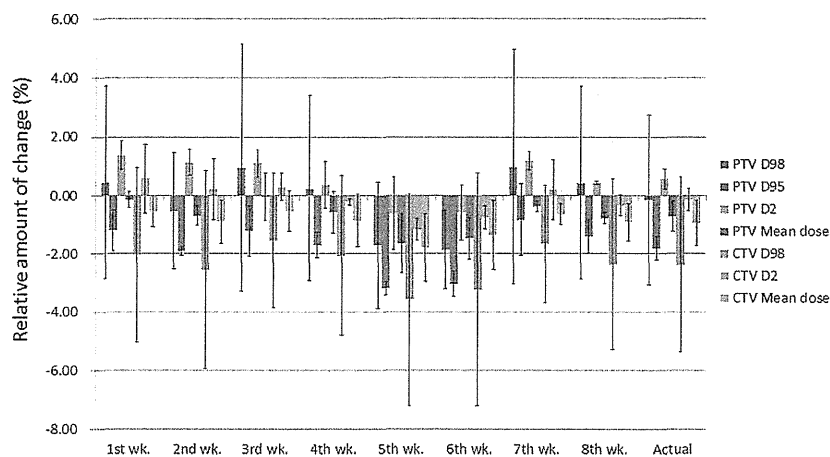


FIG. 4. Relative mean (bars: 1 SD) dose difference for three cases plotted against the error-free dose grid calculation for the CTV and PTV. The horizontal axis shows the time of measurement. The last column (Actual) shows the inclusion of the error measured in each week.

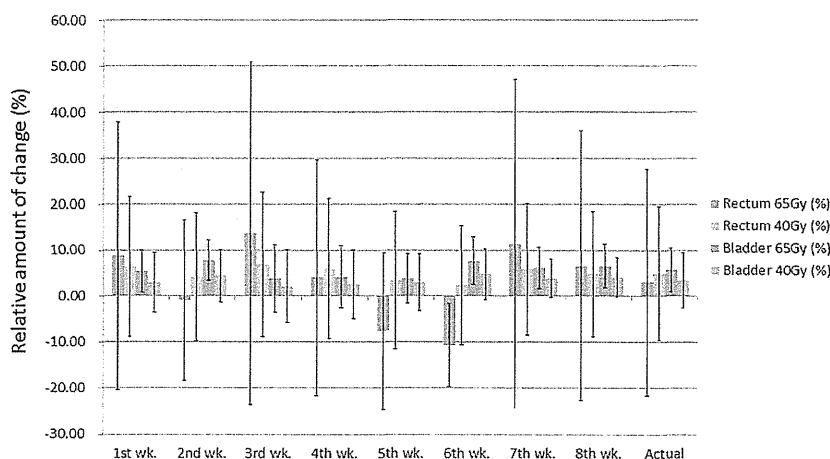


FIG. 5. Relative mean (bars: 1 SD) dose difference for three cases plotted against the error-free dose grid for rectum and bladder. The horizontal axis shows the time of measurement. The last column (Actual) shows the inclusion of the error measured in each week.

TABLE 4. Summary of the mean deviations and range, compared with the error-free dose grid calculations.

Volume Indices	Mean (range) <sup>a</sup> (%)	Actual <sup>b</sup> (%)
PTV D <sub>98%</sub>	-0.14 (-1.86–0.96)	-0.15
PTV D <sub>95%</sub>	-1.80 (-3.18– -0.82)	-1.81
PTV D <sub>2%</sub>	0.55 (-0.62–1.38)	0.55
PTV mean dose	-0.71 (-1.64– -0.04)	-0.71
CTV D <sub>98%</sub>	-2.38 (-3.57– -1.55)	-2.38
CTV D <sub>2%</sub>	-0.14 (-1.16–0.57)	-0.14
CTV mean dose	-0.94 (-1.79– -0.53)	-0.94
Rectum V <sub>65Gy</sub>	3.14 (-10.75–13.66)	3.05
Rectum V <sub>40Gy</sub>	4.95 (2.30–6.79)	4.96
Bladder V <sub>65Gy</sub>	5.62 (3.73–7.78)	5.60
Bladder V <sub>40Gy</sub>	3.41 (2.04–4.72)	3.40

<sup>a</sup> Mean deviations and range (minimum and maximum) are the results between the first and eighth week compared with the error-free dose grid calculations.

<sup>b</sup> Actual (%) denotes the results by the inclusion of the error measured each week compared with the error-free dose grid calculations.

#### D. Dose changes due to MLC leaf calibration

MLC leaf calibration was performed once every two weeks, three times in total over eight weeks. The relative amount of change in volume indices was evaluated before and after each calibration. Figure 6 shows the mean relative amount of change (and standard deviation) for Cases 1, 2, and 3 at the time of each calibration. The mean relative amount of change was the relative difference before and after MLC leaf calibration. Most parameters, except V<sub>65Gy</sub> of the rectum, were less than 3%. V<sub>65Gy</sub> of the rectum was over 4%, and as much as 22% higher at the time of the third MLC leaf calibration. Again, the greater degree of variation in V<sub>65Gy</sub> for the rectum compared to those for the other parameters can be explained by the relatively smaller volume.

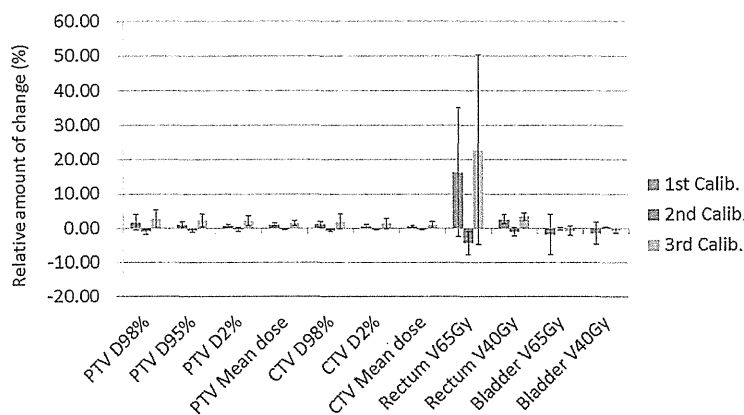


Fig. 6. Dose effects for the volume indices for each organ by MLC leaf calibration. Bars represent 1 SD.

#### E. Comparisons in volume indices for the 20 cases

Table 5 summarizes the differences in volume indices compared with the error-free dose grid for the 20 cases in the first week. Among these 20 cases, the volume indices of D<sub>98%</sub> and D<sub>2%</sub> to the PTV, and the D<sub>98%</sub> and D<sub>2%</sub> to the CTV with error-involved dose grids were significantly

TABLE 5. Comparison of the error-free dose grid and error-involved dose grids for 20 cases.

Volume Indices	Error-free Dose Grid	Error-involved Dose Grid	p-value
	Mean (SD) (Gy)	Mean (SD) (Gy)	
PTV D <sub>98%</sub>	63.52 (6.75)	65.84 (5.11)	< 0.01
PTV D <sub>95%</sub>	70.71 (3.88)	70.82 (2.32)	Not significant
PTV D <sub>2%</sub>	81.58 (1.10)	83.17 (2.06)	< 0.01
PTV mean dose	78.00 (0.19)	78.51 (1.58)	Not significant
CTV D <sub>98%</sub>	76.17 (0.86)	74.02 (2.14)	< 0.01
CTV D <sub>2%</sub>	81.00 (1.00)	82.27 (2.29)	< 0.01
CTV mean dose	78.82 (0.40)	79.09 (1.90)	Not significant
Volume Indices	Mean (SD) (%)	Mean (SD) (%)	p-value
Rectum V <sub>65Gy</sub>	4.42 (1.11)	5.95 (1.81)	< 0.01
Rectum V <sub>40Gy</sub>	19.10 (1.79)	21.27 (3.45)	< 0.01
Bladder V <sub>65Gy</sub>	21.45 (6.80)	23.17 (8.60)	< 0.01
Bladder V <sub>40Gy</sub>	37.16 (12.28)	39.58 (14.07)	< 0.01

different from those indices with the error free-dose grids. V<sub>65Gy</sub> and V<sub>40Gy</sub> for the bladder and the rectum were also significantly different.

#### IV. DISCUSSION

Before the start of IMRT treatment, patient-specific QA is performed, such as absolute dose measurement with an ionization chamber, and dose distribution analysis with film or a diode detector. The QA results confirm whether settings are within the tolerance limits defined in our department. The phantom used in these measurements usually consists of a water-equivalent material, and is only a rough approximation of a human abdomen. If the QA results are within the tolerance limits from a physical point of view (such as the passing rate using 3%/3 mm criteria), it is difficult to determine whether the results affect the dose distribution inside the patient. Nelms et al.<sup>(5)</sup> concluded that there is a lack of correlation between conventional IMRT QA performance metrics (gamma passing rates) and dose errors in anatomic regions of interest.

We adapted the relative dose errors of each beam measured with beam-by-beam analysis using 2D diode detectors to the 3D dose grid data from the treatment planning system in DICOMRT format. A recently developed commercial software application (3DVH; Sun Nuclear Corporation, Melbourne FL) incorporates the beam-by-beam phantom dose back into the patient's images, structures, and treatment planning system dose using a "planned dose perturbation" (PDP) algorithm<sup>(7)</sup> to estimate the delivered patient dose and dose-volume histograms (DVHs) in three dimensions. The use of this software in dose evaluation has been described.<sup>(8,9)</sup> Using our own algorithm, we have developed in-house software to incorporate the 2D relative dose error into the 3D treatment planning dose calculation. To validate the modified method using the in-house software, the absorbed dose and the dose distributions were measured using the IMRT phantom. The predicted doses to five measurement points compared with the measured absorbed doses were less than 2%, and mostly around 1% (Table 2). The predicted dose distributions showed good agreement with the film dose distributions shown in Fig. 3 ((f), (g), (h)). In order to predict the dose distribution with the error map in the patient CT images, the per-beam QA should be performed with a homogeneous phantom to create absorbed dose errors, and not fluence errors. Since the dose in the inhomogeneous patient CT images has already been calculated by the TPS, the relative dose differences for each beam can be incorporated to create a 3D dose distribution using the proposed modified method in this study.

For the three cases (1, 2, and 3) in Table 3, most volume indices, except the  $V_{65\text{Gy}}$  to the bladder, were significantly different between the error-involved dose grids and the error-free dose grids. Yin et al.<sup>(15)</sup> noted that field size affects the detector response of the 2D diode detector array (i.e., when the diode array is calibrated with a  $10 \times 10$  cm field, the dose it measures for smaller fields is lower and for larger fields is higher than an ionization chamber would measure). Olch<sup>(9)</sup> has shown the same evidence of a systematic 1% lower dose with the 3DVH system compared to TPS calculation. The equivalent size for all cases was smaller than 5 cm. When the dose output of a  $5 \times 5$  cm field was compared with that of a  $10 \times 10$  cm field using the 2D diode detector array, a 1.4% lower relative dose response was found. However, there was not the same tendency towards underdosing of around 1% in the error-involved doses, though the dose differences were both positive and negative.

In our previous study, we found that the MLC leaf positions gradually changed by as much as 1 mm over one month.<sup>(3)</sup> We proposed that this phenomenon could result in potential dose errors at the MLC leaf abutment regions, which create the dose distributions in the IMRT technique and, accordingly, performed MLC leaf calibration every two weeks to ensure the stability of distributions. MLC leaf calibrations were performed three times over eight weeks. Figure 6 shows the relative dose change before and after MLC leaf calibration. For the linear accelerator, the MLC leaf calibration is performed based on the light field edge, which should be fitted to graph paper at the nominal predefined positions of 20, 10, 0, and -10 cm in the X direction. Because the reproducibility of the procedure is operator-dependent, the same operator performed the MLC leaf calibration to ensure stability. Except for  $V_{65\text{Gy}}$  of the rectum, the relative changes for the other volume indices were around 3%, indicating that the calibration procedure had good reproducibility.

Figure 7 shows an example of the dosimetric changes for the volume indices for each organ of one of the three cases. Although the dosimetric changes were small, the mean dose for the CTV and PTV, the  $V_{65\text{Gy}}$  for the rectum, and the  $V_{65\text{Gy}}$  for the bladder became gradually smaller in the three measurements before MLC leaf calibration. The decreasing dose to the bladder was of lesser magnitude than the dose to the other organs. Through a weekly MLC QA using the Picket Fence test, we confirm the pixel intensity between MLC leaf abutment regions. In the case that the intensity of an abutment region could change and lead to a reduction in dose, the MLC leaf calibration was eventually performed at its usual two-week interval, resulting in upward dose adjustment after the MLC leaf calibration. However, the procedure of leaf calibration is operator-dependent, so the dose adjustment may vary.

In Table 4 the actual dose differences, including weekly dose verification errors, were close to the average dose difference for all volume indices. In clinical radiation treatment, dose verifications, such as isodose verification with the 2D diode detector array and film, and absolute dose verification are routinely performed only once before treatment. In this study, we assessed the results of weekly dose verification over the whole treatment course of eight weeks. Results showed each fraction was not consistently affected by the same dose errors. This lack of consistency is because the reproducibility of the MLC leaf stop position is not stable, and the MLC leaf positions gradually change over the two weeks between calibrations. The dose errors have been averaged and reduced for total fractions.

The frequency of MLC leaf calibration varies from institution to institution. We recommend that the medical physicist should check and confirm how the MLC leaf position changes over at least one month, and then use that information to set calibration frequency.

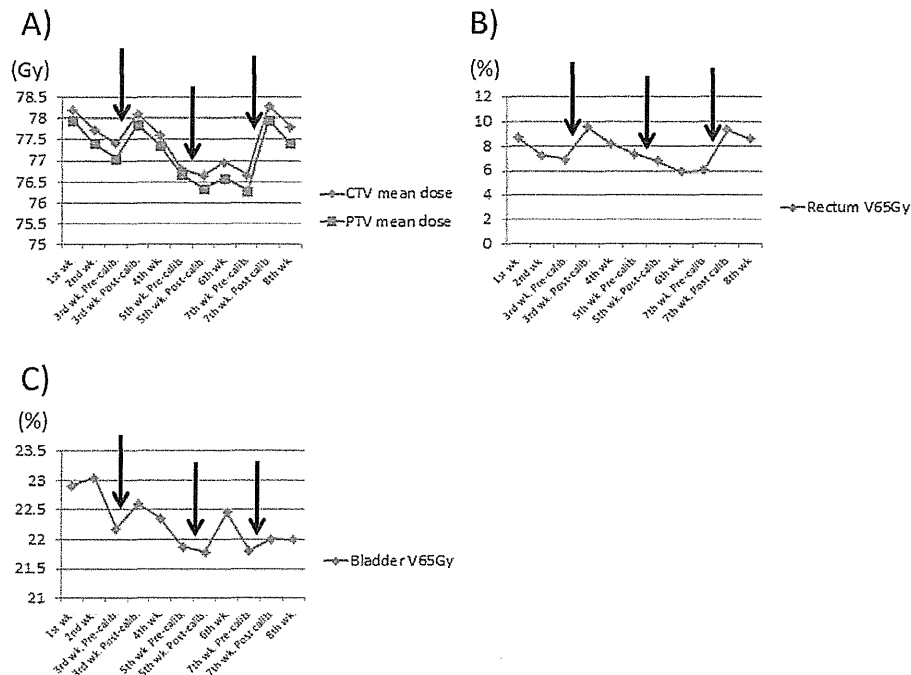


FIG. 7. Dosimetric changes for the volume indices for each organ: (a) the mean dose for the CTV and PTV; (b)  $V_{65Gy}$  for the rectum; (c)  $V_{65Gy}$  for the bladder. The horizontal axis shows the time of measurement. The black arrows indicate the MLC leaf calibration. Two measurements (precalibration and postcalibration for MLC leaves) were performed on the same day. Pre-calib. = Pre-MLC leaf calibration; Post-calib. = Post-MLC leaf calibration.

## V. CONCLUSIONS

Using beam-by-beam analysis, the dose measured with a 2D diode detector array at a  $0^\circ$  gantry angle was compared with the calculated dose, and the relative dose errors were factored into the actual 3D treatment planning dose grids using our in-house software. This proposed method modification was validated by the measurements of absorbed dose and dose distributions. Three prostate IMRT cases out of a total of 20 cases were used to test the measurement reproducibility, the dose error impact in the actual treatment plan for the volume indices of the target and normal tissues, and the dose effect from MLC leaf calibration. The dose error impacts created by the weekly measurements varied, and the total dose error impact was averaged over the whole treatment course of eight weeks. The dose error was insignificant for clinical dose evaluation. Even though the passing rate of the physical dose evaluation with the diode detectors was within tolerance, it is not stringent enough for dose evaluation in three dimensions. This predicted-dose approach is, therefore, useful for both medical physicists and clinicians.

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Clinical Investigation

# Impact of Intraluminal Brachytherapy on Survival Outcome for Radiation Therapy for Unresectable Biliary Tract Cancer: A Propensity-Score Matched-Pair Analysis



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## Summary

Because the benefits of adding intraluminal brachytherapy (ILBT) to radiation therapy for unresectable biliary tract cancer have remained unclear, we performed a propensity-score matched-pair analysis, minimizing the bias related to ILBT selection/assignment. No statistically significant differences in overall or disease-specific survival were observed between the ILBT+ and the ILBT- groups. However, the ILBT+ group showed better local control. The role of ILBT should be addressed by other measures than survival, for example, by patient quality of life.

**Purpose:** To determine whether adding intraluminal brachytherapy (ILBT) to definitive radiation therapy (RT) for unresectable biliary tract cancer has a positive impact on survival outcome.

**Methods and Materials:** The original cohort comprised 209 patients, including 153 who underwent external beam RT (EBRT) alone and 56 who received both ILBT and EBRT. By matching propensity scores, 56 pairs (112 patients) consisting of 1 patient with and 1 patient without ILBT were selected. They were well balanced in terms of sex, age, performance status, clinical stage, jaundice, and addition of chemotherapy. The impact of ILBT on overall survival (OS), disease-specific survival (DSS), and local control (LC) was investigated.

**Results:** The 2-year OS rates were 31% for the ILBT+ group and 40% for the ILBT- group ( $P=.862$ ). The 2-year DSS rates were 42% for the ILBT+ group and 41% for the ILBT- group ( $P=.288$ ). The 2-year LC rates were 65% for the ILBT+ group and 35% for the ILBT- group ( $P=.094$ ). Three of the 4 sensitivity analyses showed a significantly better LC for the ILBT+ group ( $P=.010, .025, .049$ ), and another showed a marginally better LC ( $P=.068$ ), and none of the sensitivity analyses showed any statistically significant differences in OS or DSS.

**Conclusions:** In the treatment for unresectable biliary tract cancer, the addition of ILBT to RT has no impact on OS or DSS but is associated with better LC. Therefore, the role of ILBT should be addressed by other measures than survival benefit, for example, by less toxicity, prolonged biliary tract patency decreasing the need for further palliative interventions, or patient quality of life. © 2014 Elsevier Inc.

## Introduction

Biliary tract cancer is a relatively uncommon disease, with approximately 10,310 new cases diagnosed annually in the United States (1). Unfortunately, the majority of patients present with locally advanced or metastatic disease, which is not amenable to surgical resection, resulting in poor survival outcomes (2). Many researchers have tried to improve the outcomes of definitive radiation therapy (RT) for unresectable biliary tract cancer, and some of them have adopted intraluminal brachytherapy (ILBT) for better local control and with the expectation that it will have a favorable effect on survival. However, almost all of the published studies have included only a few patients, and, to the best of our knowledge, no randomized controlled trial with enough statistical power has been conducted to determine the impact of ILBT on survival outcomes.

In the absence of randomized controlled clinical trial data, pairing patients with known and matching prognostic factors can be an alternative method for exploring differences in patient outcome between treatment groups. Specifically, a propensity-score matched-pair analysis allows for a statistical model-based approach to create similar comparison groups for analysis and interpretation (3). This approach has an advantage over traditional matching techniques in that the bias related to treatment selection/assignment is minimized (4). We report on such a matched-pair analysis comparing treatment by external beam RT (EBRT) only with treatment by ILBT plus EBRT, in which the impact of differences in overall survival (OS), disease-

specific survival (DSS), and local control (LC) rates is examined.

## Methods and Materials

### Data acquisition

This retrospective study used a database that was built by a nationwide survey conducted by the Japanese Radiation Oncology Study Group (JROSG), a nationwide study group of Japanese radiation oncologists. Ethical approval for the study was obtained from the institutional review board. For the JROSG survey, information was obtained with a questionnaire regarding patient characteristics, treatment characteristics, and outcomes of treatments from 31 radiation oncology centers (Appendix 1, available online at [www.redjournal.org](http://www.redjournal.org)) belonging to the JROSG. Data from 555 patients with nonmetastatic biliary tract cancers, defined as tumors of the gallbladder, intrahepatic and extrahepatic bile ducts, and ampulla, who had been treated between 2000 and 2011, were collected in 2012. All patients, 242 of whom had undergone surgery and 313 who had not, had been treated with RT with or without chemotherapy. A description of the patterns of practice for these 555 patients, but without outcome data, was published elsewhere (5).

Because the focus of the current study was on unresectable biliary tract cancer, we used the data pertaining to the 313 patients who had not undergone surgery. Eleven patients who had received brachytherapy alone (that is, without EBRT) and 24 patients who had received a total dose of



<30 Gy were eliminated from this study because such patients had been treated only for palliative purposes, or RT had been terminated unintentionally for some reason and consequently the RT dose had been insufficient for evaluation of its impact on survival or local control outcomes. Twenty-eight patients with clinical stage IV disease or with Eastern Cooperative Oncology Group performance status (PS) 3 or 4 were also excluded, because these patients likely received palliative interventions and were not treated with definitive intent. Finally, we excluded 41 patients with unknown PS, clinical stage, jaundice, or chemotherapy, because having these variables as unknown is a potential confounder in the propensity score analysis. All data referred to hereafter thus apply to the remaining 209 patients.

### Patient and treatment characteristics of the original cohort

Table 1 shows the patient and treatment characteristics of the original cohort: sex, age, PS, clinical stage, presence of jaundice, and addition of chemotherapy. For staging, the tumor staging system devised by the International Union against Cancer was used. The clinical stage of the

**Table 1** Patient and treatment characteristics of the original cohort

Characteristic	All patients (%)	EBRT + ILBT (%)	EBRT alone (%)	P
No. of patients	209	56	153	
Sex				.326
Male	137 (66)	40 (71)	97 (63)	
Female	72 (34)	16 (29)	56 (37)	
Age				.147
Median	71	71	70	
Range	33-89	47-86	33-89	
Performance status				.704
0	78 (37)	23 (41)	55 (36)	
1	81 (39)	19 (34)	62 (41)	
2	50 (24)	14 (25)	36 (24)	
Clinical stage				.007
I	40 (19)	16 (29)	24 (16)	
II	91 (44)	28 (50)	63 (41)	
III	78 (37)	12 (21)	66 (43)	
Jaundice				.002
Yes	149 (71)	49 (88)	100 (65)	
No	60 (29)	7 (13)	53 (35)	
Addition of chemotherapy				<.001
Yes	119 (57)	20 (36)	99 (65)	
No	90 (43)	36 (64)	54 (35)	
Dose of EBRT (Gy)				<.001
Median	50	40	50.4	
Range	20-61.2	20-50	30-61.2	
Dose of ILBT (Gy)				-
Median	-	18	-	
Range	8-30	8-30	-	

Abbreviations: EBRT = external beam radiation therapy; ILBT = intraluminal brachytherapy.

ILBT+ group was significantly better ( $P=.007$ ), but jaundice occurred more frequently in this group ( $P=.002$ ). Chemotherapy was more frequently added for patients in the ILBT- group ( $P<.001$ ). Chemotherapy was administered concurrently with RT for 93 patients (78%) and as an adjuvant to RT for the other 26 patients (22%).

Of the 209 patients, 153 underwent EBRT alone, and 56 received both EBRT and ILBT. The median EBRT dose for all patients was 50 Gy, mostly in fractions of 1.8 to 2.0 Gy. In most cases, computed tomography-based simulation, a 10-MV x-ray beam, and the anterior-posterior 2-field or box 4-field technique were used. The EBRT dose for the ILBT- group was significantly higher than for the ILBT+ group ( $P<.001$ ). ILBT was performed at 12 institutions with the remote afterloading technique using a high-dose-rate  $^{192}\text{Ir}$  stepping source in all cases. All patients were treated by the transpercutaneous route with use of percutaneous transhepatic cholangiography. The median of the ILBT total dose was 18 Gy, with a median fraction dose of 6 Gy. The ILBT dose was prescribed at a point 10 mm from the center of the source for 43 of the 56 patients (77%), 12 mm from the center of the source for 4 patients (7%), and 5 mm from the catheter surface for 5 patients (9%). Other but similar prescription procedures were used for a smaller number of patients (Appendix 2, available online at [www.redjournal.org](http://www.redjournal.org)).

### Statistical methods

The endpoints of this study were OS, DSS, and LC, which were calculated with the Kaplan-Meier method from the first day of any treatment including RT and chemotherapy. LC was defined as absence of radiologic progression, new lesion, or both in the RT field, where progression was defined as at least a 20% increase in the sum of diameters of target lesions on contrast-enhanced computed tomography. For DSS, only deaths resulting from biliary tract cancer were counted as events, and deaths of other causes were censored. Univariate and multivariate analyses were performed by using the log-rank test and the Cox proportional hazards model. The unpaired  $t$  test was used to compare the average values for groups, and Fisher exact test was used to compare ratios. Values of  $P<.05$  were considered significant and  $P<.10$  marginally significant. For all statistical analyses, IBM SPSS Statistics 20 software (IBM, Armonk, NY) was used.

After the first analysis of the whole cohort, we performed a propensity-score matched-pair analysis to minimize the bias related to ILBT selection and assignment. Six factors (sex, age, PS, clinical stage, jaundice, and addition of chemotherapy) were specified as the variables that would relate significantly to the decision to use or not to use ILBT. This analytical approach was agreed upon by the 20 main contributors to this study, who are also the coauthors of this study. In a previous study of ours, multivariate analysis findings identified PS and clinical stage as significant

factors for OS, and jaundice and the addition of chemotherapy were considered significant or marginally significant factors for some subgroups (6). The first analysis in the current study also supported those findings (Table 2). Next, to validate our findings, we generated another propensity-score matched-pair cohort with a 1:2 ratio of ILBT+ for ILBT- patients, on the grounds that there were nearly 3 times as many ILBT- patients (n=153) as ILBT+ patients (n=56) in the original cohort. Finally, we performed a sensitivity analysis, generating 3 other matched pairs with a 1:1 patient ratio, whose sex, age, and PS factors had been eliminated from the original 6 adjustment factors, because those 3 factors had not shown any statistically significant differences between the ILBT+ and ILBT- groups in the original cohort (Table 1).

## Results

### Impact of ILBT on OS, DSS, and LC for the original cohort

The median follow-up time for all 209 patients was 12 months (range, 0-141 months). The 2-year OS rates were 31% for the ILBT+ group and 33% for the ILBT- group (P=.340) (Fig. 1a). The 2-year DSS rates were 42% for the ILBT+ group and 37% for the ILBT- group (P=.079) (Fig. 1b). The 2-year LC rates were 65% for the ILBT+ group and 30% for the ILBT- group (P=.006) (Fig. 1c).

Univariate and multivariate analyses suggested clinical stage as a significant prognostic variable on OS, DSS, and LC and also suggested clinical stage, PS and ILBT as prognostic variables on LC (Table 2).

### Impact of ILBT on OS, DSS and LC for the propensity-score matched-pair cohort

By matching propensity scores we were able to obtain 56 well-balanced pairs (112 patients) from the original cohort. The ILBT+ and ILBT- groups in the new cohort did not show any statistically significant differences in terms of the

6 factors (sex, age, PS, clinical stage, jaundice, and addition of chemotherapy) (Table 3). The 2-year OS rates were 31% for the ILBT+ group and 40% for the ILBT- group (P=.862) (Fig. 2a). The 2-year DSS rates were 42% for the ILBT+ group and 41% for the ILBT- group (P=.288) (Fig. 2b). The 2-year LC rates were 65% for the ILBT+ group and 35% for the ILBT- group (P=.094) (Fig. 2c).

### Sensitivity analysis

Using another dataset of propensity-score matched pairs with a 1:2 ratio of ILBT+ for ILBT- patients, LC was significantly better for the ILBT+ group (hazard ratio [HR]: 0.468, 95% confidence interval (CI): 0.241-0.911; P=.025), but DSS or OS did not show any statistically significant differences between the 2 groups.

The results of the sensitivity analysis also demonstrated that LC was significantly better for the ILBT+ group than for the ILBT- group when the sex factor was eliminated (HR: 0.473, 95% CI: 0.225-0.966; P=.049) or the age factor (HR: 0.381, 95% CI: 0.183-0.793; P=.010), and a marginal significance was shown when the PS factor was eliminated (HR: 0.504, 95% CI: 0.241-1.052; P=.068). Last, no differences in DSS and OS were observed between the 2 groups in any of these 3 sensitivity analyses.

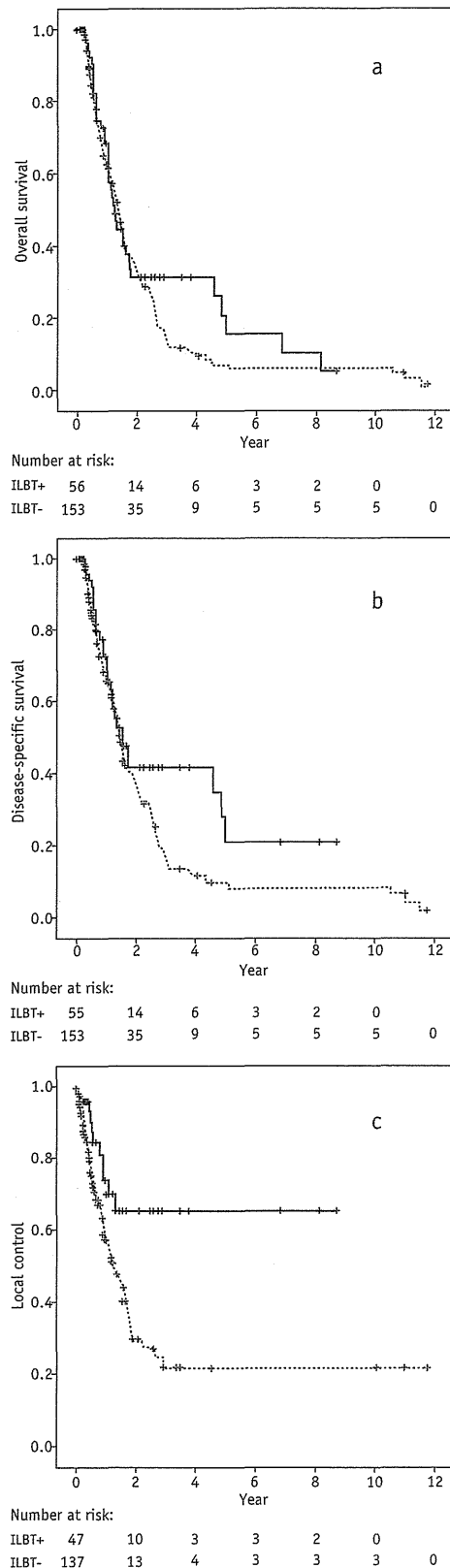
### Characteristics of ILBT patients with long-term LC

Finally, we examined the characteristics of the patients who received ILBT and attained LC >1 year. For the 17 ILBT patients with LC >1 year, the male/female ratio was 11/6 (65%/35%); the median age was 76 years (range, 57-84 years); PS 0, 1, and 2 was seen in 9, 5, and 3 patients (53%/29%/18%); jaundice occurred in 14 patients (82%) but not in 3 patients (18%); clinical stages I, II, and III were seen in 10, 4, and 3 patients (59%/24%/18%), and chemotherapy was given to 7 patients (41%) but not to 10 patients (59%). The rates of PS 0 (53%) and clinical stage I (59%) were higher than those for all the 209 patients in the original cohort (37% and 19%, respectively).

**Table 2** Impact of prognostic variables on OS, DSS, and LC for all 209 patients by univariate and multivariate analysis

Variable	OS		DSS		LC	
	Univariate	Multivariate	Univariate	Multivariate	Univariate	Multivariate
Sex	.627	.804	.818	.833	.624	.253
Age	.513	.884	.291	.821	.224	.490
Performance status	.334	.236	.281	.184	<b>.063</b>	<b>.030</b>
Clinical stage	<b>.001</b>	<b>.011</b>	<b>.001</b>	<b>.010</b>	<b>.003</b>	<b>.006</b>
Jaundice	.985	.951	.659	.695	.132	.221
Addition of chemotherapy	.141	.435	.200	.797	.749	.446
Addition of intraluminal brachytherapy	.340	.978	<b>.079</b>	.448	<b>.006</b>	<b>.064</b>

Abbreviations: DSS = disease-specific survival; LC = local control; OS = overall survival. Numerals are P values. Bold if P<.1.



**Fig. 1.** Kaplan-Meier plots for the original cohort (n=209) comparing intraluminal brachytherapy (ILBT)

**Table 3** Patient and treatment characteristics of the propensity-score matched-pair cohort

Characteristic	All patients (%)	EBRT + ILBT (%)	EBRT alone (%)	P
No. of patients	112	56	56	
Sex				1.000
Male	80 (71)	40 (71)	40 (71)	
Female	32 (29)	16 (29)	16 (29)	
Age				.839
Median	72	71	72	
Range	35-89	47-86	35-89	
Performance status				.841
0	44 (39)	23 (41)	21 (38)	
1	41 (37)	19 (34)	22 (39)	
2	27 (24)	14 (25)	13 (23)	
Clinical stage				.749
I	34 (30)	16 (29)	18 (32)	
II	52 (46)	28 (50)	24 (43)	
III	26 (23)	12 (21)	14 (25)	
Jaundice				1.000
Yes	97 (87)	49 (88)	48 (86)	
No	15 (13)	7 (13)	8 (14)	
Addition of chemotherapy				.562
Yes	44 (39)	20 (36)	24 (43)	
No	68 (61)	36 (64)	32 (57)	
Dose of EBRT (Gy)*				<0.001
Median	46	40	50.4	
Range	20-61.2	20-50	40-61.2	
Dose of ILBT (Gy)*				-
Median	-	18	-	
Range	8-30	8-30	-	

Abbreviations: EBRT = external beam radiation therapy; ILBT = intraluminal brachytherapy.

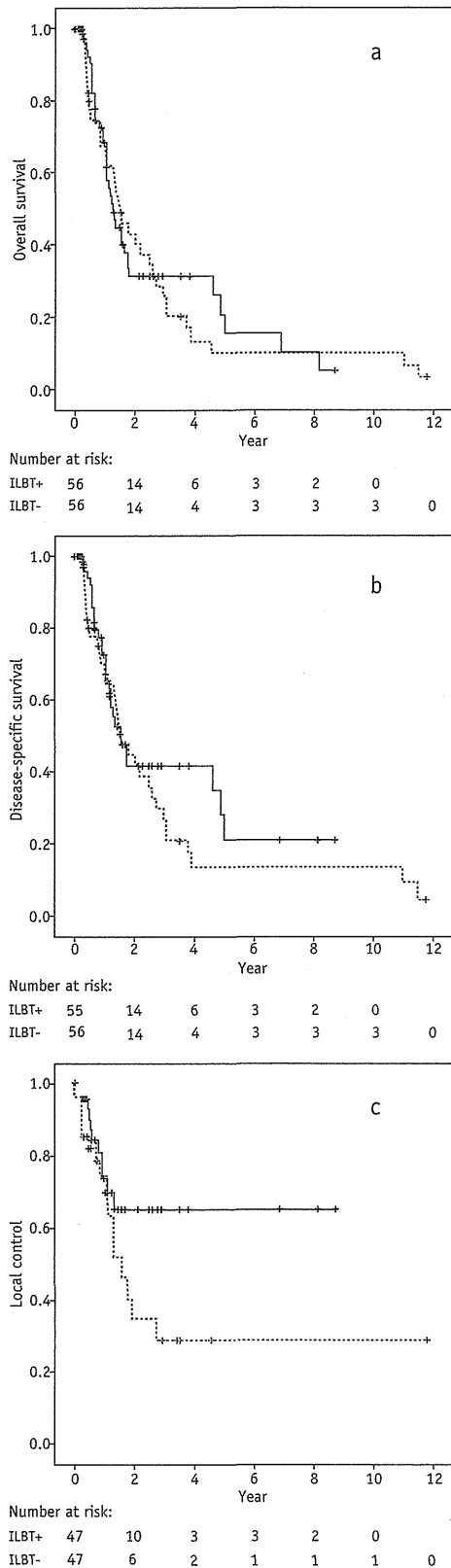
\* Not adjusted by pair matching.

## Discussion

The clinical outcome in patients with biliary tract cancer is poor. Because the majority of patients present with unresectable or metastatic disease, overall 5-year survival rates of 10% or less have been reported (2, 7). Although surgical resection offers the best chance for long-term survival, only a minority of patients qualify for surgery at presentation. For unresectable patients, the goal of treatment is thus prevention of locoregional disease progression to enhance survival and quality of life.

For patients with unresectable disease, many researchers have favored EBRT with or without ILBT to prolong

plus external beam radiation therapy (EBRT) (solid lines) and EBRT alone (dotted lines). (a) Overall survival ( $P=.340$ ). (b) Disease-specific survival ( $P=.079$ ). (c) Local control ( $P=.006$ ).



**Fig. 2.** Kaplan-Meier plots for the propensity-score matched-pair cohort (n=112) comparing intraluminal

survival. Table 4 provides a summary of selected published study reports. Although most of the studies used only small sample sizes, had potential group heterogeneities with regard to extent of disease or patient PS, and used a variety of RT techniques, the observed results were similar in that most of them reported median OS between 10 and 15 months. In the current study, the median survival of all 209 patients was 16 months, and 2-year survival was observed for 33% of the patients, both of which findings are similar to the outcomes in other series. However, given the small sample size and the significant heterogeneity among these studies, it is difficult to draw firm conclusions from them regarding the role of ILBT. Some investigators have reported that improvement in survival correlated with the use of ILBT (8, 9), whereas others have found no evident benefits (10). A few studies have reported long-term survival for unresectable patients with the use of EBRT and ILBT boosts. EBRT, with or without ILBT, has also been reported to provide long-lasting palliation (11-13), including maintenance of stent patency for patients with locally advanced cancer (13-15). However, all of these series are open to serious criticism, namely, that selection bias could have affected the outcome. For example, patients with favorable clinical features were assigned to ILBT more frequently, or, inversely, patients with more severe jaundice were more likely to have undergone ILBT, because in most cases ILBT requires a percutaneous drainage route. This possible selection bias and the limited number of patients have resulted in the impact of ILBT remaining unclear.

We embarked on a nationwide study under the auspices of the JROSG, which is the largest study group of radiation oncologists in Japan, and succeeded in constructing a database for 555 patients with biliary tract cancer who had been treated over a 12-year period between 2000 and 2011. This database is unique in that the data collected reflect the viewpoint of radiation oncologists and that all patients have undergone RT. To the best of our knowledge, this is one of the largest databases for RT patients with biliary tract cancer. There is another very large database, the Surveillance, Epidemiology, and End Results (SEER) database in the United States (16, 17). On the basis of the SEER database, Shinohara et al (18) suggested a survival benefit of ILBT, but the comparison was made between ILBT and no RT, and their study cohort included not only unresectable but also postoperative patients. However, one of the main purposes of building our database was to clarify the role of ILBT in RT strategy for unresectable biliary tract cancer, which was the same goal of the current study.

We used the propensity-score matched-pair analysis to minimize the aforementioned selection bias. All 56 patients

brachytherapy (ILBT) plus external beam radiation therapy (EBRT) (solid lines) and EBRT alone (dotted lines). (a) Overall survival ( $P=.862$ ). (b) Disease-specific survival ( $P=.288$ ). (c) Local control ( $P=.094$ ).