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Masashi Kiguchi has studied various optical measurements: nonlinear spectroscopy, time-resolved spectroscopy, near-field spectroscopy, and near-infrared spectroscopy, and his background is physics and laser spectroscopy. He has studied the problems related to the principle of near-infrared spectroscopy (NIRS) measurement and has been taking the lead in the development of new techniques for observing brain activities to open new research fields and in basic studies for putting them to practical use.

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Mutations of the *GATA2* and *CEBPA* genes in paediatric acute myeloid leukaemia

Hereditary *GATA2* mutations show predisposition to acute myeloid leukaemia (AML) and myelodysplastic syndrome (MDS) (Hahn *et al*, 2011). These mutations have also been reported in chronic myeloid leukaemia (Zhang *et al*, 2008) and monocytopenia and mycobacterial infection (MonoMAC) syndrome (Hsu *et al*, 2011). More recently, *GATA2* mutations have been identified in *de novo* AML, especially in adult patients with biallelic *CEBPA* mutations (Greif *et al*, 2012; Green *et al*, 2013). GATA2 and CEBPA are transcription factors that are crucial for haematopoietic development. These findings prompted us to identify possible *GATA2* and *CEBPA* mutations in patients with various paediatric leukaemias.

Direct Sequencing of GATA2 was performed in 157 de novo AML patients, including 13 patients with acute promyelocytic leukaemia (APL; French-American-British type-M3) and 10 with Down syndrome (DS; Table S1), 22 secondary AML patients, 40 juvenile myelomonocytic leukaemia (JMML) patients, 50 acute lymphoblastic leukaemia (ALL) patients, 70 cell lines (25 B-cell precursor-ALL, 15 T-cell-ALL, 22 AML, and 8 neuroblastomas), and 60 healthy subjects. GATA2 mutation analysis was performed by direct sequencing for all coding exons (exons 2-6) using an ABI PRISM 3130 Genetic Analyser (Applied Biosystems, Branchburg, NJ, USA) (Table S2). For AML patients, CEBPA and NPM1 mutations were also examined. Mutational analyses of FLT3, KIT, WT1 and RAS genes in our AML patients was performed as described previously (Shimada et al, 2006). Informed consent was obtained from the patients or the patients' parents according to guidelines based on the tenets of the revised Helsinki protocol. The institutional review boards of Gunma Children's Medical Centre approved this project.

GATA2 mutations were found in eight out of 157 AML patients (5·1%), including three APL patients (Fig 1A,B), but were absent in 18 patients with acute megakaryocytic leukaemia (FAB-M7; Table S3). Furthermore, there were no GATA2 mutations in patients with other leukaemias, in the cell lines, or in the 60 healthy subjects, suggesting that GATA2 mutations were indeed associated with leukaemogenesis in a subset of patients with de novo AML.

Germline *GATA2* mutations were also examined in five AML patients whose complete remission (CR) samples were available, and a germline mutation was identified in one patient. Furthermore, we performed *GATA2* mutation analyses of the patient's parents and two siblings, and identified

the same *GATA2* mutations in her father (II-4) and brother (III-1) but not in her mother (II-5) or sister (III-2) (Fig 1C). Her father and brother lacked abnormalities in their full blood cell counts, lymphocyte subsets, or episodes of opportunistic infections. The proband experienced severe mycotic pneumonia during induction chemotherapy. Remarkably, she has been in CR for more than 11 years, despite discontinuation of chemotherapy. Three patients, for whom CR samples were not available, had no history of MonoMAC syndrome.

In addition, 16 CEBPA mutations (10·2%) and three NPM1 mutations (1·9%) were found in 157 paediatric AML patients. Thirteen (81·3%) of 16 patients with CEBPA mutations had been in CR for more than 4 years, suggesting that CEBPA mutations may be associated with favourable outcomes. Although most GATA2 mutations were found in patients with biallelic CEBPA mutations in adult AML (Greif et al, 2012; Green et al, 2013), only two of eight GATA2 mutation-positive patients had monoallelic CEBPA mutations in this study (Table I).

We compared the clinical and molecular features between patients with and without GATA2 mutations. However, there were no significant differences in terms of age, initial white blood cell count, gender, and cytogenetics (Table S3). Of the eight patients with GATA2 mutations, one had a WT1 mutation, one had a KIT mutation, and two patients had RAS mutations (Table I). FLT3-internal tandem duplication, MLL-partial tandem duplication, and NPM1 mutations were not found in any patients with GATA2 mutations (Table S3). All of the GATA2 mutations were found in the intermediate risk subgroup or APL patients with t(15;17), whereas none were found in those with core-binding factor AML [i.e. t(8;21) and inv(16)]. GATA2 mutations were found in two patients with 11q23 translocations, including t(11;19) and t(7;11), and three patients with complex chromosomal abnormalities, whereas most GATA2 mutations were found in cytogenetically normal AML patients in previous reports (Table I) (Greif et al, 2012; Luesink et al, 2012).

GATA2 mutations were previously reported in patients with M1, M2, and M4 subtypes of AML (Greif et al, 2012; Luesink et al, 2012), which is in accordance with our results. GATA2 mutations have not been previously reported in APL, but our study found these mutations in three APL patients. Of note, promyelocytic leukaemia protein has been shown to interact with GATA2 and potentiate its transactivation capacity (Tsuzuki et al, 2000).

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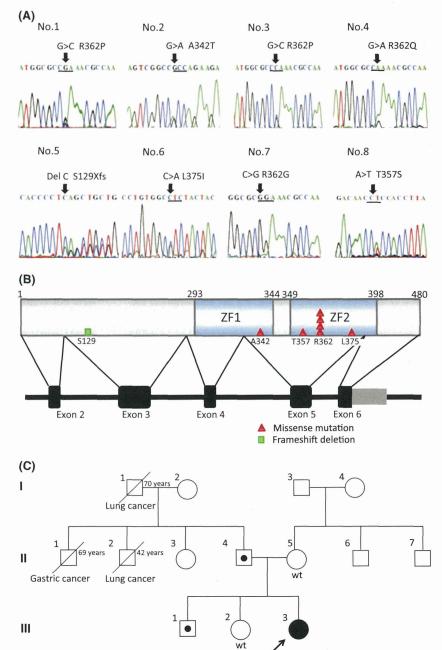


Fig 1. Identification of GATA2 mutations by direct sequencing. (A) Eight GATA2 mutations were identified in 157 Japanese paediatric de novo acute myeloid leukaemia (AML) patients (5.1%). Major missense mutations were R362 (R362P, R362Q, and R362G). Small vertical arrows indicate the mutated nucleotides. (B) Of the eight mutations, six mutations were identified in the ZF2 domain, one mutation was identified in the ZF1, and a mutation was identified on the outside of the ZF domain. (C) The family pedigree is shown. Squares indicate males and circles indicate females. The proband (III-3) is indicated by an arrow. The proband, her father (II-4), and her brother (III-1) harboured GATA2 mutations (shown by squares containing dots). Her uncles and grandfather died of lung cancer (I-1 and II-2) and gastric cancer (II-1). wt, wild-type.

The outcomes of our patients with *GATA2* mutations was not poor (3-year overall survival and event free survival: 87·5%), which is in agreement with previous reports on *de novo* AML (Greif *et al*, 2012; Luesink *et al*, 2012): two of eight patients received autologous-stem cell transplantation (Auto-SCT), and one died of gastrointestinal haemorrhage after Auto-SCT. The remaining six patients who did not receive Auto-SCT were still alive (Table I).

In this study, one patient with a germline *GATA2* mutation developed AML. Her paternal grandfather (I-1) and second uncle (II-2) died of lung cancer at the age of 70 and 42 years, respectively, while her first uncle (II-1) died of gastric cancer at 69 years of age (Fig 1C).

Increased GATA2 protein expression has been associated with biochemical recurrence and distant metastatic progression in prostate cancer (Böhm *et al*, 2009), as loss of GATA2 reduced the viability of Non-small cell lung cancer cells with RAS-pathway mutations, whereas wild-type cells were unaffected (Kumar *et al*, 2012). These facts indicate that GATA2 upregulation is strongly associated with maintenance of cancer cells. The association between *GATA2* mutations and solid tumours remains to be elucidated.

Our results indicate that *GATA2* mutations are associated with a favourable outcome in paediatric AML. Therefore, less aggressive treatment strategies without SCT may be

Fable I. Clinical and molecular characteristics of patients with GATA2 mutations

į		Age	\$	WBC	ī	į	I		Prognosis GATA2	GATA2	;	Additional
7	Sex	(years)	FAB	(× 107/I)	Pt Sex (years) FAB (× 107/1) Chromosome	Risk Tx	Tx	Relapse	Relapse (months) mutation Germline mutations	mutation	Germline	mutations
_	×	3	M4	23.8	46, XY, t(11;19) (q23; p13·1)	IR	Auto	Yes	91	R362P	N/A	J
2	H	7	M0	3.7	45,XX,add(3)(p13),del(6)(q?), der(8) t(3;8)(p21;q24), -13	IR	Chemo	No	+141	A342T	Yes	NRAS
3	ഥ	8	MI	1.8	46, XX	IR	Chemo	No	+56	R362P	No	KRAS
4	\mathbb{Z}	14	M1	440.0	46XY [2/8], 46, XY, del(6) (q15 q21), -7, -9, -10, +3mar[1/8],	IR	Auto	No	+51	R362Q	No	WT1, CEBPA-SM
					46, XY, ?de(3) (p25)[1/8], 47, XY, -5, -8, -10, add(12)(q24·1),							
					-16, -18, +6mar [1/8], 46, XY, -2, -6, -8, +3mer [1/8], 46, XY,							
					-8, +mar [1/8], 46, Y, ?add(X)(p11·2) [1/8]							
5	\mathbb{Z}	11	M3	16.1	46,XX,inv(9)(p11q13),t(15;17)(q22;q11-21)	M3	Chemo	No	+50	S129X	N/A	1
9	\mathbb{Z}	3	M3	11.6	46,XY,t(15;17)(q22;q11?21)	M3	Chemo	No	+45	L375I	No	CEBPA-SM
7	\mathbb{Z}	10	M3	13.6	47,XY, +8, t(15;17)(q22;q11-21)	M3	Chemo	No	+41	R362G	N/A	KIT
8	Щ	2	M4	12.7	48, XX, +6, +10, t(11?; 7) (q23;q25)	IR	Chemo	No	+38	T357S	No	1

Chemotherapy; N/A, not available; +, alive; SM, single mutation.

appropriate for paediatric AML patients with *GATA2* mutations, although most patients with *GATA2* mutations were classified into an intermediate risk group. Furthermore, the association between germline *GATA2* mutations and solid tumours remains to be elucidated.

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Author contributions

Y.H. designed the study; M.F., S.A., M.K., A.K., M.S., A.T., K.H. and I.T. collected patient samples and clinical data; N.S., K.O., M.-J.P., Y.M. and S.M. performed the laboratory research; N.S., M.-J.P. and Y.H. analysed and interpreted the data; N.S. performed the statistical analysis; N.S. and Y.H. wrote the manuscript; H.A. and Y.H. supervised the work; and all authors critically reviewed the manuscript and gave their final approval.

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Conflicts of interest

The authors declare no competing financial interests.

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Supporting Information

Additional Supporting Information may be found in the online version of this article:

Table S1. Clinical and cytogenetically characteristics of 157 AML patients.

Table S2. PCR primers used for mutation screening. **Table S3.** Clinical and molecular characteristics of *GATA2* mutation positive patients.

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SETBP1 mutations in juvenile myelomonocytic leukaemia and myelodysplastic syndrome but not in paediatric acute myeloid leukaemia

Juvenile myelomonocytic leukaemia (JMML) is a rare myeloproliferative disorder that is characterized by excessive myelomonocytic proliferation (Loh, 2011). Gene mutations in the components of the RAS signalling pathways are a hallmark of JMML and are considered to be central to the pathogenesis of JMML. Mutations in NRAS, KRAS, PTPN11, NF1, and CBL genes are found in approximately 75–85% of patients with JMML and are implicated in the aberrant RAS signalling (Loh, 2011). These mutations are also associated with congenital abnormalities, such as cardio–facio–cutaneous syndrome (KRAS), Noonan syndrome (PTPN11), neurofibromatosis (NF1), and Noonan-like syndrome (CBL). However, no other mutations have been identified in the remaining approximately 20% of patients with JMML.

In this regard, massively parallel sequencing technology has recently identified recurrent somatic mutations in *SETBP1* in atypical chronic myeloid leukaemia (aCML) (Piazza *et al*, 2012). Of the 70 patients with aCML that were examined, 17 (24%) were found to carry *SETBP1* mutations. These mutations clustered between codons 858 and 871, all located in the SKI-homologous region of *SETBP1*. Identical nucleotide alterations have been reported in Schinzel–Giedion syndrome (Hoischen *et al*, 2010), a rare congenital disorder that is characterized by severe mental retardation, distinctive facial features, and higher than normal prevalence of tumours, notably neuroepithelial neoplasia (Schinzel & Giedion, 1978). This report prompted us to search for possible *SETBP1* mutations in JMML or other paediatric haematological malignancies.

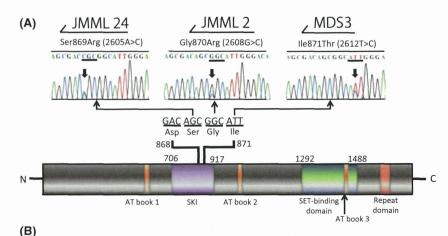
To assess the clinical significance of SETBP1 mutations in paediatric leukaemias, we analysed a total of 414 patients with paediatric leukaemia/myelodysplastic syndrome (MDS) that comprised 42 patients with primary JMML, 24 with MDS, 22 with therapy-related leukaemia, 68 with infant acute lymphoblastic leukaemia (ALL), and 258 with de novo acute myeloid leukaemia (AML), including 10 patients with acute promyelocytic leukaemia (APL) and 22 with acute megakaryoblastic leukaemia (AMKL). The median age at diagnosis of JMML was 1 year and 10 months (range, 2 months to 8 years and 4 months), with 27 males and 15 females. MDS included 9 patients with refractory anaemia (RA), 14 with RA with an excess of blasts, and 1 with secondary MDS. The genomic region of the SETBP1 gene, containing codons 858-871 with the mutation hotspots D868 and G870 in the SKI-homologous region, was amplified using polymerase chain reaction (PCR) with the following primer sequences: forward, 5'-ACCAAAACCCAAAAGGAAT-3'; reverse, 5'-CGGTTTTGCAGGCTTTTC-3'. Purified PCR products were sequenced using an ABI PRISM 3130 Genetic Analyser (Applied Biosystems, Branchburg, NJ). Mutations in *RAS*, *PTPN11*, and *CBL* have been previously reported in JMML (Shiba *et al*, 2010). The present study adhered to the principles of the Helsinki Declaration and was conducted under the regulations outlined by the Ethics Board of Gunma Children's Medical Centre.

SETBP1 mutations were found in 2 of the 42 patients with JMML (4.8%; Gly870Arg in JMML 2, Ser869Arg in JMML 24) and one of the 24 patients with MDS (4.2%; Ile871Thr in MDS 3) but not in the 22 patients with secondary AML, 68 with infant ALL, or 258 with de novo paediatric AML, including 10 patients with APL and 22 with AMKL (Fig 1A). The origin of the mutations was not determined due to the lack of appropriate normal tissue samples. In all 3 patients with SETBP1 mutations, a chromatogram exclusively showed a mutated sequence, indicating that the mutations were heterozygous (Fig 1A). Although one of the 2 JMML patients with an SETBP1 mutation survived after unrelated cord blood transplantation, the other died following relapse 4 months after undergoing related peripheral blood stem cell transplantation (Table I). In contrast, the MDS patient who had an SETBP1 mutation was initially diagnosed with neuroblastoma at the age of 6 years. He was subsequently treated with chemotherapy and autologous bone marrow transplantation and achieved complete remission (CR). However, 3 years after the initial diagnosis, blast cells appeared in his peripheral blood and he was diagnosed with secondary MDS. Chromosomal analysis of the bone marrow cells revealed 45, XY, -15, der(7)t(7;15)(p13;q15), add(18)(q21) and add(20)(p13). He received chemotherapy with etoposide and cytarabine; however, he did not achieve CR. He died of haemorrhagic shock 18 months after being diagnosed with secondary MDS.

Mutations in NRAS, KRAS, PTPN11 and CBL genes were found in 21%, 4·8%, 38% and 12% of patients with JMML respectively, in our study (Fig 1B) (Shiba et al, 2010). Although almost all of the NRAS, KRAS, PTPN11 and CBL mutations occurred in a mutually exclusive manner, SETBP1 mutations were found in patients with PTPN11 or NRAS mutations (Table I and Fig 1B). This finding suggests that both gene mutations associated with the RAS pathway and SETBP1 mutations can cooperate in the pathogenesis of JMML.

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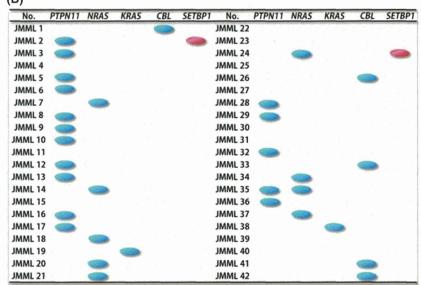


Fig 1. (A) Location and type of *SETBP1* mutations in patients with juvenile myelomonocytic leukaemia (JMML) and myelodysplastic syndrome (MDS). (B) Mutation profile of 42 JMML patients for a panel of 5 genes. Mutations in *PTPN11*, *NRAS*, *KRAS*, *CBL*, and *SETBP1* genes were found in 21%, 4·8%, 38%, 12%, and 4·8% of 42 patients with JMML, respectively.

Table I. Clinical characteristics of the patients with SETBP1 mutations.

Patient	Sex	Age	WBC $(\times 10^9/l)$	Karyotype	Nucleotide change	Amino acid change	SCT	Relapse	Survival (months)	Other mutations
JMML-2	F	24 months	39.9	46XX	2608A > C	Gly870Arg	U-CBT	_	182+	PTPN11
JMML-24	M	26 months	24.5	46XY, −7	2605G > C	Ser869Arg	allo-PBSCT	+	6*	NRAS
MDS-3	M	12 years	6.3	45XY, -15, der(7)t(7;15)(p13;q15),	2612T > C	Ile871Thr	_	-	18†	
				add(18)(q21), add(20)(p13)						

F, female; M, male; Gly, glycine; Arg, arginine; Ser, serine; Ile, isoleucine; Thr, threonine; SCT, stem cell transplantation; U-CBT, unrelated cord blood transplantation; allo-PBSCT, allogeneic peripheral blood stem cell transplantation; +, alive.

High levels of *SETBP1* expression have been described in elderly patients with AML (Cristobal *et al*, 2010), and *SET-BP1* has been identified in a specific paediatric T-cell ALL as a chromosomal translocation partner of *NUP98* (Panagopoulos *et al*, 2001). SETBP1 has been reported to promote the

self-renewal of murine myeloid progenitors via activation of HOXA9 and HOXA10 (Oakley *et al*, 2012). The patients with an *SETBP1* mutation had a worse prognosis and presented higher white blood cell counts at diagnosis and also exhibited higher amounts of SETBP1 and SET protein, lower

^{*}Died of relapse 6 month after initial diagnosis.

[†]Died of haemorrhage shock 18 months after diagnosed with secondary MDS.

protein phosphatase 2A activity, and higher proliferation rates than those expressing the wild-type protein (Piazza et al, 2012). Although our cohort is too small to arrive at conclusions regarding the prognosis of patients with SETBP1 mutations, mutated SETBP1 indeed plays an essential role in the pathogenic mechanism in haematological malignancies.

In summary, *SETBP1* mutations were found in 4·8% of patients with JMML in this study, similar to the frequency reported previously for patients with chronic myelomonocytic leukaemia [3·7% (3/82) and 6·2% (12/195)] (Piazza *et al*, 2012; Damm *et al*, 2013) and JMML [7·6% (7/92)] (Sakaguchi *et al*, 2013). Our analysis of 414 patients with JMML or other haematological malignancies suggests that mutations of *SETBP1* may have some role in the pathogenesis of JMML and MDS but not in AML or infant ALL, although further evaluations are required.

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Authors contributions

Y.H. designed the study. K.K., M.Sotomatsu, M.Sako, and E.I. provided critical reagents and samples. N.S., K.O., and M.P. performed the experiments. E.I. and H.A. supervised the work. N.S., K.O., and M.P. analysed the results. N.S. and Y.H. wrote the paper and all the authors critically reviewed and revised it.

Conflict of interest

The authors declare no conflict of interest.

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