



## Phase II clinical trial of S-1 plus oral leucovorin in previously treated patients with non-small-cell lung cancer<sup>☆</sup>

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### ABSTRACT

**Background:** S-1, a novel oral fluoropyrimidine, has potent antitumor activity against non-small-cell lung cancer (NSCLC). Meanwhile, leucovorin enhances the efficacy of 5-fluorouracil by inhibiting thymidylate synthase. Therefore, this phase II clinical trial evaluated the safety and efficacy of S-1 plus leucovorin combination therapy for previously treated patients with NSCLC.

**Patients and methods:** Patients with stage IIIB or IV NSCLC were prospectively enrolled if they received 1 or 2 prior chemotherapy regimens. S-1 (40–60 mg) and leucovorin (25 mg) were administered together orally twice per day for 7 consecutive days followed by 7 days of rest. This 2-week cycle was repeated for a maximum of 25 cycles until the onset of disease progression or unacceptable adverse events. Endpoints included objective tumor response, progression-free survival, overall survival, and safety.

**Results:** Among 33 patients, 6 (18.2%), 14 (42.4%), and 11 (33.3%) had partial response, stable disease, and progressive disease, respectively. Median progression-free and overall survival times were 3.5 and 11.7 months, respectively. The common grade 3 toxicities included stomatitis (18.2%), anorexia (12.1%), and neutropenia (9.1%). One patient had pneumatosis cystoides intestinalis, and another experienced paralytic ileus. There were no treatment-related deaths.

**Conclusions:** S-1 plus leucovorin combination therapy demonstrated promising efficacy and an acceptable toxicity profile in previously treated patients with NSCLC.

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### 1. Introduction

Lung cancer is one of the leading causes of death worldwide [1]. Approximately 80% of lung cancers result from non-small-cell histology, and most patients present with locally advanced stage III or metastatic stage IV disease at diagnosis. Advanced non-small-cell lung cancer (NSCLC) generally results in poor outcomes, except for a small patient population with specific genetic

alterations conferring susceptibility to specific molecular targeted treatments [2]. The results of phase III trials for previously treated patients with NSCLC indicate that single-agent chemotherapy with docetaxel, pemetrexed, or erlotinib as the standard chemotherapy regimen for recurrent NSCLC results in a response rate of 8.8–9.1%, median survival time of 6.7–8.3 months, and 1-year survival rate of 30–31% [3,4]. S-1 (Taiho Pharmaceutical Co. Ltd., Tokyo, Japan) is a capsule preparation comprising tegafur, an oral 5-fluorouracil (5-FU) pro-drug, 5-chloro-2,4-dihydropyridine (CDHP), and oteracil potassium at a molar ratio of 1.0:0.4:1.0. CDHP is a reversible competitive inhibitor of dihydropyrimidine dehydrogenase, an enzyme for 5-FU degradation. Meanwhile, oteracil potassium is a reversible competitive inhibitor of orotate phosphoribosyl transferase, an enzyme for 5-FU phosphoribosylation in the gastrointestinal mucosa [5]. The antitumor activity of S-1 against

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NSCLC has been proven in several clinical trials. First-line treatment of S-1 combined with platinum showed favorable outcomes in 2 phase III trials for metastatic NSCLC [6,7]. Chemoradiation with S-1 plus cisplatin also showed promising results in locally advanced NSCLC [8,9]. In second- or third-line settings, several phase II trials demonstrate promising antitumor activity of S-1 monotherapy for previously treated patients with advanced NSCLC [10–13]. The addition of leucovorin increases the intracellular concentration of reduced folates, thus stabilizing the 5-fluorodeoxyuridine monophosphate/thymidylate synthase enzyme complex, providing the biochemical rationale for adding leucovorin to 5-FU and tegafur chemotherapy regimens [14,15]. An *in vivo* study of S-1 plus leucovorin treatment using xenograft mouse models of human colorectal cancer cells demonstrated that leucovorin might improve the antitumor activity of S-1 [16]. A phase II clinical trial of S-1 plus oral leucovorin for chemotherapy-naïve patients with metastatic colorectal cancer recently demonstrated promising efficacy [17]. In addition, this treatment might improve the convenience of cancer care because of the combination of oral medicines. Accordingly, the present phase II study evaluated the safety and efficacy of S-1 plus leucovorin combination therapy in previously treated patients with advanced NSCLC.

## 2. Methods

### 2.1. Patients

This was an open-labeled, multicenter, single-arm, phase II study. Patients were enrolled from the following 5 institutions: Kinki University, the National Cancer Center Hospital East, the National Kyushu Cancer Center, Osaka City General Hospital, and the Shizuoka Cancer Center. The eligibility criteria were as follows: (1) histologically and/or cytologically proven stage IIIB or IV NSCLC with at least 1 measurable lesion; (2) 1 or 2 previous cytotoxic chemotherapy regimens; *EGFR* tyrosine kinase inhibitors and adjuvant chemotherapy were not counted as a prior treatment; and (3) Eastern Cooperative Oncology Group performance status 0–1 and adequate organ function. Patients were excluded if they had received systemic chemotherapy or thoracic radiation within the previous 4 weeks, radiation to extrathoracic lesions within the previous 2 weeks, or previous treatment with fluoropyrimidine agents. Patients with serious medical conditions including other malignancies, symptomatic brain metastases, psychiatric disorders, active infectious diseases, and active ischemic heart disease were also excluded. A data and safety monitoring board monitored the trial on an ongoing basis. The protocol, protocol amendments, informed consent, and other documents pertaining to the study were approved by the institutional review board of each participating center. The first and last authors vouch for the accuracy and completeness of the data and analyses reported as well as the fidelity of the report to the study protocol. This trial is registered on the clinical trials site of the University Hospital Medical Information Network Clinical Trials Registry in Japan (registration number: UMIN00004568).

### 2.2. Treatment plan

The dose of S-1 (capsules containing tegafur 20 or 25 mg) was determined according to body surface area as follows: 40, 50, and 60 mg for <1.25, 1.25–1.50, and  $\geq 1.50$  m<sup>2</sup>, respectively.

Leucovorin (25-mg tablets) was administered at a fixed dose of 25 mg. S-1 and leucovorin were administered together orally twice per day for 7 consecutive days followed by 7 days of rest; this 2-week cycle was repeated for a maximum of 25 cycles until the onset of disease progression or unacceptable adverse events.

**Table 1**

Patient characteristics.

Characteristics	N = 33	%
Gender (male:female)	25:8	
Age, median (range)	65 (27–74)	
ECOG-PS 0	13	39.4
1	20	60.6
Histology		
Adenocarcinoma	26	78.8
Squamous cell carcinoma	4	12.1
Large cell carcinoma	2	6.1
Pleomorphic carcinoma	1	3.0
Stage		
IIIB	5	15.2
IV	28	84.8
No. of prior chemotherapy		
1 Regimen	11	33.3
2 Regimens	19	57.6
3 Regimens	3	9.1

The dose of S-1 could be decreased by 2 levels to a minimum dose of 20 mg twice daily in the event of following toxicities: grade 4 neutropenia or non-hematologic toxicity, or grade 3 thrombocytopenia, diarrhea, stomatitis, or skin rash. The dose of leucovorin was not decreased.

### 2.3. Study assessment

Tumor response was evaluated according to the Response Evaluation Criteria in Solid Tumors version 1.1, and computed tomography scans were performed every 4–6 weeks. If a patient responded, response was confirmed through tumor assessments at least 4 weeks after the first documentation of a response. Adverse events were graded according to the National Cancer Institute Common Terminology Criteria for Adverse Events version 3.0. Physical examination, chest radiograph, laboratory chemistry, and hematology were performed at baseline and on day 1 of each cycle.

### 2.4. Statistical analysis

The primary endpoint of the study was the antitumor activity of S-1 plus leucovorin assessed according to the overall response rate (ORR) including complete response (CR) and partial response (PR). The secondary endpoints were overall survival (OS), progression-free survival (PFS), and safety profile. We defined acceptable and unacceptable ORRs as 20% and 5%, respectively. The sample size was determined to be 30 on the basis of the exact binomial probability distribution of Southwest Oncology Group 2-stage design with a statistical power ( $1 - \beta$ ) of 80% and significance level ( $\alpha$ ) of 5%. All analyses were performed using JMP version 9.0 for Windows (SAS Institute Inc., Cary, NC, USA).

## 3. Results

### 3.1. Patient characteristics

From December 2010 through September 2011, a total of 33 patients (median age: 65 years, range: 27–74 years) who met the inclusion criteria were enrolled (Table 1). The majority of the patients had stage IV disease (28 patients, 84.8%), including 5 patients (15.2%) with postoperative relapse. Histopathological diagnoses included adenocarcinoma, squamous-cell carcinoma, large-cell carcinoma, and pleomorphic carcinoma in 26, 4, 2, and 1 patient, respectively. An activating *EGFR* gene mutation was assessed in 26 patients, 5 of whom had a mutant gene. Regarding prior chemotherapy, 1 patient had received platinum-based chemoradiotherapy, and 2 patients had received gefitinib

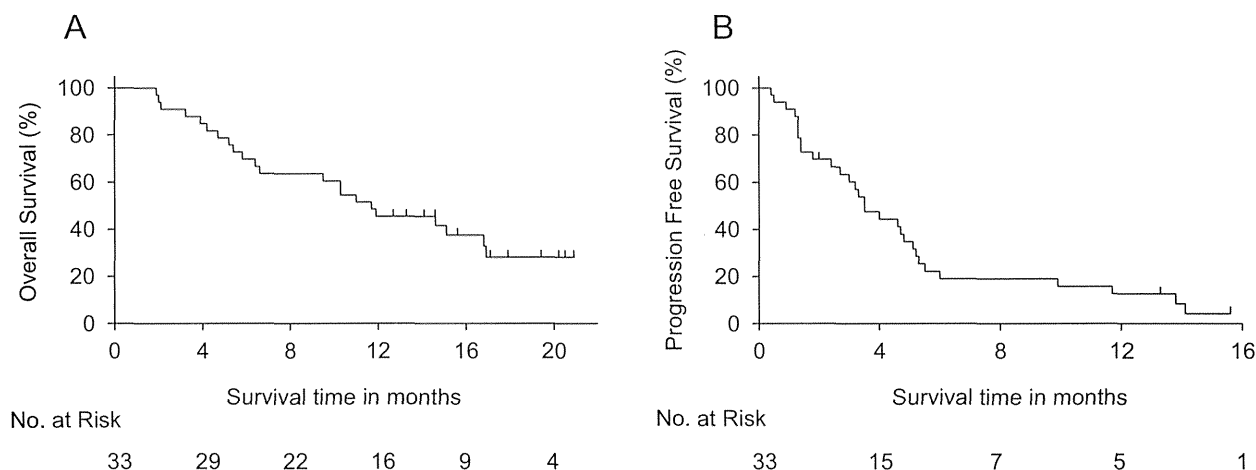


Fig. 1. (A) Kaplan Meier survival curve of overall survival and (B) Kaplan Meier survival curve of progression free survival.

as a first-line treatment. The remaining 30 patients had received platinum-based chemotherapy with or without bevacizumab as a first-line treatment. A total of 23 patients had received second-line or more chemotherapy before study entry.

### 3.2. Treatment delivery

A total of 255 treatment cycles were administered to patients. The median number of treatment courses was 6 (range: 1–25). The median treatment period was 2.5 months (95% confidential interval [CI]: 1.1–4.0 months). Dose reduction and treatment interruption were required in 13 (39.4%) and 6 (18.2%) patients, respectively. The reasons for treatment withdrawal were disease progression in 22 (66.7%), toxicities in 4 (12.1%), protocol completion in 3 (9.1%), and patient preference in 4 (12.1%). The median total doses per 6 weeks for S-1 and leucovorin were 2100 mg (range: 840–2520 mg) and 1050 mg (range: 350–1050 mg), respectively. The median relative dose intensity for the first 6 weeks for S-1 and leucovorin were 82.5% (95% CI: 74.8–90.3%) and 84.5% (95% CI: 76.8–92.2%), respectively.

### 3.3. Efficacy

The objective tumor response (the primary endpoint) was assessed by independent evaluators in all 33 patients. One woman was considered unevaluable for tumor response because she asked to discontinue the study treatment after 1 course because of grade 1 mucositis and declined radiological assessment. Among the remaining 32 patients, 0, 6, 15, and 11 had complete response, partial response, stable disease, and progressive disease, respectively. The response rate was 18.2% (95% CI: 7.0–35.5%), and the disease control rate was 63.6% (95% CI: 45.1–79.6%, Table S1). Although the patients had heterogeneous background characteristics including pathological diagnosis and the number of previous treatments, most patients experienced tumor shrinkage or stabilization during the study period (Fig. S1). All 33 patients were evaluable for the OS and PFS, and their median follow-up duration was 17.9 (95% CI: 14.1–20.2) months. The cutoff date for analysis was November 6, 2012. At the time of analysis, 11 (33.3%), 3 (9.1%), and 0 (0%) patients were alive, free of progression, and on study treatment, respectively. Median survival time was 11.7 months (95% CI: 6.1–16.9 months) and the 1-year survival rate was 45.5% (95% CI: 29.6–62.3%, Fig. 1A). Median PFS was 3.5 months (95% CI: 2.4–5.1 months, Fig. 1B), and the median time to treatment failure was 2.5 months (95% CI: 1.1–4.0 months). A Comparison

of efficacy with S-1 monotherapy showed a relatively better efficacy profile in our study treatment (Table 2). A comparison of efficacy among histology types was also summarized in Table S2. A total of 2 out of 26 patients with adenocarcinoma (7.7%) and 4 out of 7 patients with non-adenocarcinoma (57.1%) showed partial response ( $p=0.2233$ , Fisher's exact test) including 2 squamous carcinoma, 1 pleomorphic carcinoma, and 1 large cell carcinoma. Median OS was 10.3 in patients with adenocarcinoma and not reached in non-adenocarcinoma ( $p=0.0505$ , log-rank test). A total of 19 patients (57.6%) received additional treatments after the study treatment, including docetaxel, erlotinib with or without investigational drugs in clinical trials, gemcitabine, pemetrexed, and palliative radiation therapy in 5, 5, 4, 2, and 3 patients, respectively.

### 3.4. Safety and adverse events

Safety data from all 33 patients are shown in Table 3. All toxicities with an incidence  $\geq 50\%$  included anemia (93.9%), hypoalbuminemia (87.9%), anorexia (84.8%), stomatitis (72.7%), fatigue (60.6%), pigmentation (57.6%), nausea (54.5%), and leukocytopenia (51.5%). Grade 3 toxicity occurred in 15 patients (45.5%). Grade 3 toxicities with an incidence  $\geq 10\%$  included stomatitis (18.2%) and anorexia (12.1%). One patient each had pneumatosis cystoides intestinalis (grade 3) and paralytic ileus (grade 3); both toxicities improved as a result of interrupting treatment and subsequently resuming treatment with a reduced dose. There were no grade 4 toxicities, febrile neutropenia, or interstitial lung disease. The dose was reduced at least once in 13 patients (39.4%), mainly because of stomatitis and anorexia. Rest periods were prolonged in 15 patients (45.5%), mainly because of persistent stomatitis, anorexia, and fatigue. The median number of treatment courses until the worst grade of stomatitis, anorexia, fatigue, diarrhea, and rash was 2, 1, 3, 2, and 1, respectively. There were no treatment-related deaths. A Comparison of  $\geq$  grade 3 adverse events with S-1 monotherapy showed increased percentage of anorexia, stomatitis, and neutropenia in our study treatment (Table 3).

## 4. Discussion

This multicenter phase II clinical trial demonstrates the efficacy and safety of S-1 plus oral leucovorin combination therapy for previously treated patients with NSCLC. The results show that the treatment has promising antitumor activity, with an objective response rate of 18.2%, which meets the primary endpoint of this

**Table 2**  
Comparison of efficacy with S-1 monotherapy.

Efficacy	Our study	Totani et al. [12]	Shiroyama et al. [11]	Govindan et al. [10]	Wada et al. [13]
N	33	48	44	57	30
Treatment line	2nd or 3rd	2nd	2nd	2nd	≥2nd
Response rate (%)	18.2	12.5	13.6	7.1	26.7
Disease control rate (%)	63.6	39.6	77.3	55.3	70.0
Median PFS (months)	3.5	2.5	4.2	2.9	3.1
Median OS (months)	11.7	8.2	16.4	7.3	11.2
1-year survival rate (%)	45.5	29.6	60.3	31.6	43.3

PFS, progression-free survival; OS, overall survival.

study. The treatment was safe and tolerable for all patients, and there were no grade 4 toxicities or treatment-related deaths.

Leucovorin is a biochemical modulator of 5-FU that stabilizes the inhibitory ternary complex formed between thymidylate synthase and the active metabolite of 5-FU, 5-fluorodeoxyuridylate. A meta-analysis of advanced colorectal cancer cases revealed that leucovorin improves response rates and OS when combined with 5-FU in comparison to 5-FU alone [18]. The 5-FU/leucovorin-based regimens such as 5-FU/leucovorin plus oxaliplatin and/or irinotecan are standard treatments for metastatic colorectal cancer [19]. The role of S-1 in the treatment of other solid tumors including gastric, colorectal, biliary tract, pancreatic, and lung cancers has recently been increasing [20–22]. The antitumor activity of S-1 against NSCLC has been proven in several clinical trials [6–8]. There are several reports of S-1 monotherapy as a second-line or subsequent-line treatment for previously treated NSCLC [10–13], with response rates ranging from 7.1% to 26.7%, median PFS from 2.5 to 4.2 months, median survival time from 8.2 to 16.4 months, and the 1-year survival rate from 29.6% to 60.3% (Table 2). Relatively low incidences of severe toxicities (i.e., grade 3 or 4) were reported, and the treatment was considered to be well tolerated.

The present study is the first report of the efficacy and safety of S-1/leucovorin combination therapy for advanced NSCLC. The results revealed a relatively high response rate and long PFS, indicating that leucovorin potentiates the antitumor activity of S-1. However, regarding safety, the incidence of toxicity was higher

with S-1/leucovorin combination therapy in the present study than with S-1 monotherapy in previous studies; approximately 45% of the present patients experienced grade 3 toxicities such as stomatitis, anorexia, and neutropenia in comparison to <20% of patients receiving S-1 monotherapy. Similarly, in the clinical trial of S-1/leucovorin combination therapy for colorectal cancer, treatment resulted in a relatively high incidence of non-hematologic toxicities. In the original 4-week regimen, in which S-1/leucovorin was administered for 2 weeks followed by 2 weeks of rest, grade 3 toxicities occurred in 55% of patients, including diarrhea, anorexia, stomatitis, and neutropenia in 32%, 21%, 20%, and 14%, respectively. As a result, 59% of the patients in that study required dose reduction, and 54% required a prolonged rest period [17]. A modified less-toxic treatment schedule in which S-1/leucovorin is administered for 1 week followed by 1 week of rest was recently proposed in a multicenter international phase II study conducted in Japan and China [23]. This regimen resulted in decreased occurrence of severe toxicities associated with this combination therapy without reducing relative dose intensity or efficacy. Grade 3 diarrhea, anorexia, stomatitis, and neutropenia occurred in 8.3%, 2.8%, 8.3%, and 9.7% of patients, respectively. Although we used the latter treatment schedule (i.e., 1 week on/1 week off), the incidences of stomatitis (18.2%) and anorexia (12.1%) were slightly higher. This might be due to the differences in patient characteristics between studies: our patients were administered 1 or more chemotherapeutic regimens, while the other study included

**Table 3**  
Treatment-related adverse events.

Adverse events, N (%) <sup>a</sup>	Any grade	Grade 2	Grade 3	Reference <sup>b</sup> ≥Grade 3 in S-1 monotherapy (%)
<b>Non-hematologic</b>				
Anorexia	28 (84.8)	15 (45.5)	4 (12.1)	2.1–7.1
Stomatitis	24 (72.7)	10 (30.3)	6 (18.2)	0.0–3.6
Fatigue	20 (60.6)	11 (33.3)	1 (3.0)	0.0–12.5
Hyperpigmentation	19 (57.6)	4 (12.1)	–	–
Nausea	18 (54.5)	9 (27.3)	–	0.0–5.4
Vomiting	12 (36.4)	5 (15.2)	0 (0.0)	0.0–1.8
Diarrhea	15 (45.5)	5 (15.2)	1 (3.0)	0.0–21.4
Constipation	13 (39.4)	3 (9.1)	0 (0.0)	0.0
Skin rash	13 (39.4)	5 (15.2)	1 (3.0)	1.8–2.1
Alopecia	5 (15.2)	–	–	–
<b>Hematologic</b>				
Anemia	31 (93.9)	14 (42.4)	1 (3.0)	1.8–4.5
Hypoalbuminemia	29 (87.9)	7 (21.2)	0 (0.0)	0.0
Leukocytopenia	17 (51.5)	7 (21.2)	2 (6.1)	0.0–4.5
Hyponatremia	14 (42.4)	0 (0.0)	2 (6.1)	0.0
Hypocarcemia	13 (39.4)	2 (6.1)	0 (0.0)	0.0
Neutropenia	10 (30.3)	6 (18.2)	3 (9.1)	2.1–4.5
Thrombocytopenia	9 (27.3)	0 (0.0)	0 (0.0)	0.0
Hypokalemia	6 (18.2)	0 (0.0)	2 (6.1)	0.0
Alkaline phosphatase increased	6 (18.2)	2 (6.1)	0 (0.0)	0.0
Hyperkalemia	6 (18.2)	0 (0.0)	0 (0.0)	0.0
Total bilirubin increased	6 (18.2)	0 (0.0)	0 (0.0)	0.0

<sup>a</sup> No grade 4 or more toxicity was reported.

<sup>b</sup> The data was a summary of Refs. [10–13].

only chemotherapy-naïve colorectal cancer patients. In addition, the median age was higher (65 vs. 60 years) and the percentage of ECOG-PS grade 0 was lower (39.4% vs. 54.9%) in our patients than that in the previous study. However, in the present study, all of the toxicities were easily manageable by routine supportive care with short treatment interruption, and most of the patients were able to resume treatment with or without dose reduction.

A major limitation of this study is a small study population comprising exclusively Japanese patients. Accordingly, the toxicity profile of S-1 is reported to differ by ethnicity [10,24]. The primary dose-limiting toxicity of S-1 in American and European clinical trials was gastrointestinal toxicity including diarrhea and nausea/vomiting [25,26], whereas that in Japanese clinical trials was hematological toxicity [27]. Because S-1/leucovorin combination therapy resulted in a relatively high incidence of gastrointestinal toxicities, caution should be exercised when administering this treatment to patients of different ethnicities, especially American and European populations.

In conclusion, this phase II study demonstrates that S-1 with oral leucovorin combination therapy has promising antitumor activity and is well tolerated in previously treated patients with NSCLC. Nevertheless, further large-scale Phase III clinical trials comparing the efficacy of S-1/leucovorin combination therapy with current standard treatment are required to confirm the benefits of this treatment.

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## Conflict of interest statement

None declared.

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## Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.lungcan.2014.10.010>.

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# Erlotinib alone or with bevacizumab as first-line therapy in patients with advanced non-squamous non-small-cell lung cancer harbouring *EGFR* mutations (J025567): an open-label, randomised, multicentre, phase 2 study

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## Summary

**Background** With use of *EGFR* tyrosine-kinase inhibitor monotherapy for patients with activating *EGFR* mutation-positive non-small-cell lung cancer (NSCLC), median progression-free survival has been extended to about 12 months. Nevertheless, new strategies are needed to further extend progression-free survival and overall survival with acceptable toxicity and tolerability for this population. We aimed to compare the efficacy and safety of the combination of erlotinib and bevacizumab compared with erlotinib alone in patients with non-squamous NSCLC with activating *EGFR* mutation-positive disease.

**Methods** In this open-label, randomised, multicentre, phase 2 study, patients from 30 centres across Japan with stage IIIB/IV or recurrent non-squamous NSCLC with activating *EGFR* mutations, Eastern Cooperative Oncology Group performance status 0 or 1, and no previous chemotherapy for advanced disease received erlotinib 150 mg/day plus bevacizumab 15 mg/kg every 3 weeks or erlotinib 150 mg/day monotherapy as a first-line therapy until disease progression or unacceptable toxicity. The primary endpoint was progression-free survival, as determined by an independent review committee. Randomisation was done with a dynamic allocation method, and the analysis used a modified intention-to-treat approach, including all patients who received at least one dose of study treatment and had tumour assessment at least once after randomisation. This study is registered with the Japan Pharmaceutical Information Center, number JapicCTI-111390.

**Findings** Between Feb 21, 2011, and March 5, 2012, 154 patients were enrolled. 77 were randomly assigned to receive erlotinib and bevacizumab and 77 to erlotinib alone, of whom 75 patients in the erlotinib plus bevacizumab group and 77 in the erlotinib alone group were included in the efficacy analyses. Median progression-free survival was 16·0 months (95% CI 13·9–18·1) with erlotinib plus bevacizumab and 9·7 months (5·7–11·1) with erlotinib alone (hazard ratio 0·54, 95% CI 0·36–0·79; log-rank test  $p=0\cdot0015$ ). The most common grade 3 or worse adverse events were rash (19 [25%] patients in the erlotinib plus bevacizumab group vs 15 [19%] patients in the erlotinib alone group), hypertension (45 [60%] vs eight [10%]), and proteinuria (six [8%] vs none). Serious adverse events occurred at a similar frequency in both groups (18 [24%] patients in the erlotinib plus bevacizumab group and 19 [25%] patients in the erlotinib alone group).

**Interpretation** Erlotinib plus bevacizumab combination could be a new first-line regimen in *EGFR* mutation-positive NSCLC. Further investigation of the regimen is warranted.

**Funding** Chugai Pharmaceutical Co Ltd.

## Introduction

Lung cancer is a leading cause of death worldwide; it is the primary cause of cancer deaths in men and the secondary cause in women.<sup>1</sup> Most patients with lung cancer have non-small-cell lung cancer (NSCLC) and a clinically significant proportion of patients have activating mutations of *EGFR*.<sup>2</sup> In this subgroup of patients, *EGFR* tyrosine-kinase inhibitors have consistently led to better outcomes than has standard chemotherapy.<sup>3–6</sup> Erlotinib and gefitinib have been shown to prolong progression-free survival compared with chemotherapy in several phase 3 trials.<sup>7–10</sup> Unfortunately, most patients with NSCLC with activating *EGFR* mutations who are given *EGFR* tyrosine-kinase

inhibitors eventually develop resistance and relapse within about 1 year of initiation of treatment.<sup>5,7–11</sup> To improve outcomes, the foundation treatment of *EGFR* tyrosine-kinase inhibitors should be built on through investigation of biologically synergistic combinations.

The anti-angiogenic monoclonal antibody bevacizumab targets the VEGF signalling pathway and has been shown to provide additional efficacy when used in combination with first-line platinum-based chemotherapy in several trials in non-squamous NSCLC.<sup>12–14</sup> The combination of erlotinib and bevacizumab has the potential to prolong progression-free survival in unselected populations of patients with NSCLC.<sup>15,16</sup> In a subgroup analysis of *EGFR*

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mutation-positive participants in the phase 3 BeTa study of second-line treatment of NSCLC (12 patients treated with erlotinib and bevacizumab and 18 with erlotinib alone), median progression-free survival with erlotinib plus bevacizumab in patients with *EGFR* mutation-positive disease was substantially higher than with erlotinib alone (17.1 months vs 9.7 months).<sup>16,17</sup> However, this analysis was post-hoc and *EGFR* mutation status was not a prespecified stratification factor in this trial. Because of this limitation, we undertook this phase 2 trial to examine the combination of erlotinib and bevacizumab in patients with *EGFR* mutation-positive NSCLC.

## Methods

### Study design and patients

JO25567 was a randomised, open-label, multicentre, phase 2 study in patients with stage IIIB/IV (according to the 7th edition of the General Rule for Clinical and Pathological Record of Lung Cancer<sup>18</sup>) or recurrent NSCLC with activating *EGFR* mutations. Patients were enrolled from 30 centres across Japan.

Eligible patients had histologically or cytologically (excluding sputum cytology) confirmed stage IIIB/IV or postoperative recurrent non-squamous NSCLC with activating *EGFR* mutation (either exon 19 deletion or Leu858Arg mutation). Tumour samples were screened for *EGFR* mutation by PCR-based hypersensitive *EGFR* mutation testing in local laboratories, according to standard testing practices. Other criteria included age 20 years or older when giving informed consent; Eastern Cooperative Oncology Group performance status 0 or 1; adequate haematological, hepatic, and renal function; and life expectancy 3 months or more at the time of registration. No previous chemotherapy for advanced disease was allowed, but postoperative adjuvant or neoadjuvant therapy of 6 months or more previously was allowed. Previous radiotherapy was also allowed, but only for non-lung lesions. Patients had to have one or more measurable lesion based on Response Evaluation Criteria in Solid Tumors (RECIST 1.1).

Major exclusion criteria included confirmation of Thr790Met mutation, presence of brain metastases, history or presence of haemoptysis or bloody sputum, any coagulation disorder, tumour invading or abutting major blood vessels, coexistence or history of interstitial lung disease, and previous receipt of *EGFR* inhibitors or VEGF receptor inhibitors.

This study was done in accordance with the Declaration of Helsinki and Good Clinical Practice guidelines. The study protocol was reviewed and approved by the institutional review boards of the participating institutions (appendix p 10), and written informed consent was obtained from all patients.

### Randomisation and masking

Patients were randomly assigned (1:1) to receive either erlotinib plus bevacizumab or erlotinib alone with a

dynamic allocation method. Central randomisation was done by a clinical research organisation (EPS Corporation, Tokyo, Japan). Patients were stratified according to sex (men vs women), disease stage (stage IIIB vs stage IV vs postoperative relapse), smoking history (never smokers or former light smokers vs others), and type of *EGFR* mutation (exon 19 deletion vs Leu858Arg mutation). All patients and investigators were unmasked to treatment allocation.

### Procedures

Patients assigned to the erlotinib plus bevacizumab group received bevacizumab 15 mg/kg by intravenous infusion on day 1 of a 21-day cycle and erlotinib orally once daily at 150 mg/day, starting from day 1 of cycle 1. Patients in the erlotinib alone group received erlotinib orally once a day at 150 mg/day. Patients remained on treatment until disease progression or unacceptable toxicity. Changes to dose of erlotinib or bevacizumab because of adverse events were allowed, as per the protocol. The dose of bevacizumab was not to be reduced except when dose adjustment was needed because of change in bodyweight. Dose reduction of erlotinib was allowed for up to two doses (100 mg/day and 50 mg/day) in a stepwise decrease. After two steps of dose reduction, erlotinib was discontinued. Patients who required suspension of erlotinib for more than 3 weeks consecutively, or of bevacizumab for more than 6 weeks from the date of previous administration, were discontinued from study treatment. In the erlotinib plus bevacizumab group, if either drug was discontinued, the other could be

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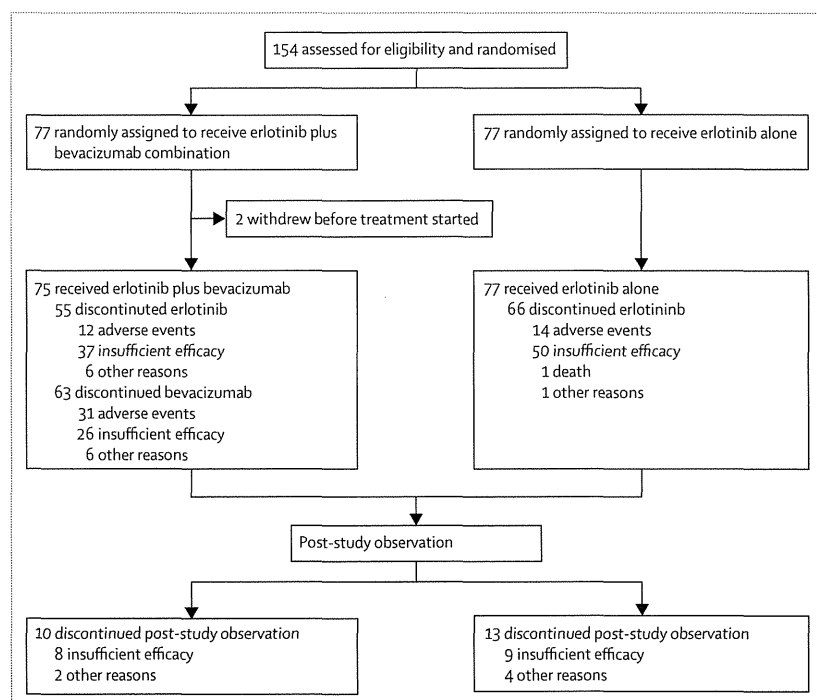


Figure 1: Trial profile

continued. Tumour lesions were assessed radiologically at baseline, week 4, week 7, every 6 weeks from week 7 to 18 months, and every 12 weeks thereafter until disease progression according to RECIST 1.1.

	Erlotinib plus bevacizumab group (n=75)	Erlotinib alone group (n=77)
<b>Age (years)</b>		
Median	67.0 (59-73)	67.0 (60-73)
<75	63 (84%)	62 (81%)
≥75	12 (16%)	15 (19%)
<b>Sex</b>		
Male	30 (40%)	26 (34%)
Female	45 (60%)	51 (66%)
<b>Smoking status</b>		
Never smoker	42 (56%)	45 (58%)
Former light smoker	9 (12%)	6 (8%)
Other	24 (32%)	26 (34%)
<b>ECOG performance status</b>		
0	43 (57%)	41 (53%)
1	32 (43%)	36 (47%)
<b>Histopathological classification</b>		
Adenocarcinoma	74 (99%)	76 (99%)
Large-cell carcinoma	0	1 (1%)
Adenosquamous carcinoma	1 (1%)	0
<b>Clinical stage at screening</b>		
IIIB	1 (1%)	0
IV	60 (80%)	62 (81%)
Postoperative recurrence	14 (19%)	15 (19%)
<b>EGFR mutation type</b>		
Exon 19 deletion	40 (53%)	40 (52%)
Exon 21 Leu858Arg mutation	35 (47%)	37 (48%)

Data are n (%) or median (IQR). ECOG=Eastern Cooperative Oncology Group.

Table 1: Baseline demographics and clinical characteristics

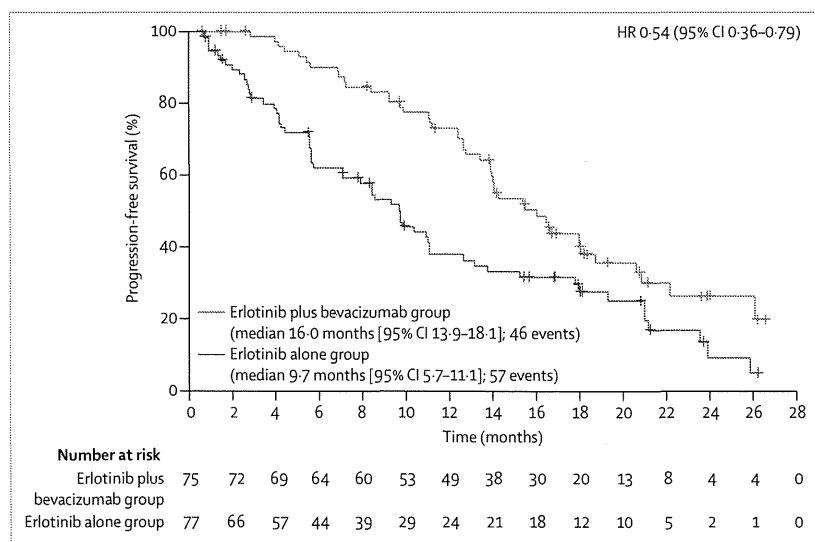


Figure 2: Progression-free survival, as determined by independent review committee, in the modified intention-to-treat population

HR=hazard ratio.

Patient-reported outcomes were assessed with the Functional Assessment of Cancer Therapy for patients with Lung cancer (FACT-L) scale until disease progression. An independent review committee of clinicians and radiologists masked to treatment assignment reviewed all tumour images and determined tumour response and progression status. Laboratory studies including blood and urine tests were done at days 1, 8, and 15 in cycles 1 and 2, and day 1 in cycle 3 and thereafter. Adverse events were monitored throughout the study period and were graded according to the National Cancer Institute Common Terminology Criteria for Adverse Events (CTC-AE) version 4.03.

Outcomes

The primary endpoint was progression-free survival, as determined by an independent review committee. Secondary endpoints were overall survival, tumour response (the proportion of patients with an objective response and disease control, and duration of response) according to RECIST 1.1, quality of life, symptom improvement measured by the FACT-L scale, and safety profile.

Statistical analysis

A median progression-free survival of 13 months was estimated for the erlotinib alone group, and 89 events were deemed necessary to detect a hazard ratio (HR) of 0.7 in favour of erlotinib plus bevacizumab, with a one-sided significance level of 0.2 and a power of 0.8. The target sample size was set at 150 patients (75 patients in both groups), allowing for dropouts. Median progression-free survival was estimated by the Kaplan-Meier method and compared between groups with an unstratified log-rank test. Greenwood's formula was used to calculate 95% CIs. HRs were calculated by unstratified Cox proportional hazard methodology.

In the safety analysis, adverse events were converted to Medical Dictionary for Regulatory Activities (version 14.0) preferred terms, and tabulated by grade. Changes in laboratory test data with time were summarised in tables and graphs.

All patients who received at least one dose of the study treatment were included in the safety analysis population. The modified intention-to-treat population for the efficacy analysis included all patients who received at least one dose of study treatment and had tumour assessment at least once after randomisation. Statistical analyses were done with SAS version 9.2.

The study is registered with the Japan Pharmaceutical Information Center, number JapicCTI-111390.

Role of the funding source

The study was designed and funded by Chugai Pharmaceutical Co Ltd and monitored by a clinical research organisation (Niphix Corp, Tokyo, Japan) who obtained all data and did all initial data analyses; further analysis and interpretation was done by the funder, with



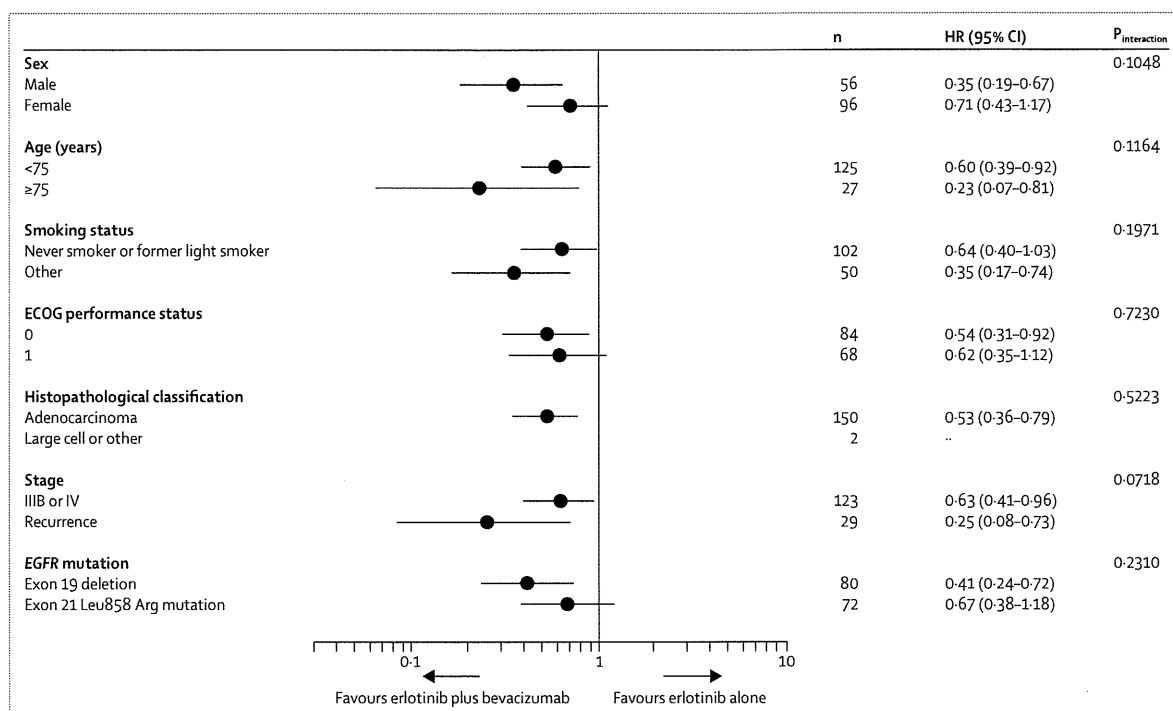


Figure 3: Forest plot of hazard ratios for progression-free survival by baseline characteristics  
HR=hazard ratio.

	Erlotinib plus bevacizumab group (n=75)	Erlotinib alone group (n=77)
Complete response	3 (4%)	1 (1%)
Partial response	49 (65%)	48 (62%)
Stable disease	22 (29%)	19 (25%)
Progressive disease	0	6 (8%)
Non-evaluable	1 (1%)	3 (4%)

RECIST=Response Evaluation Criteria in Solid Tumors.

Table 2: Best RECIST response, as determined by independent review committee

input from the authors and investigators. The initial draft of the report was reviewed and commented on by all authors and by employees of Chugai Pharmaceutical Co Ltd. NobuY had full access to all data, and had final responsibility for the decision to submit the results for publication.

## Results

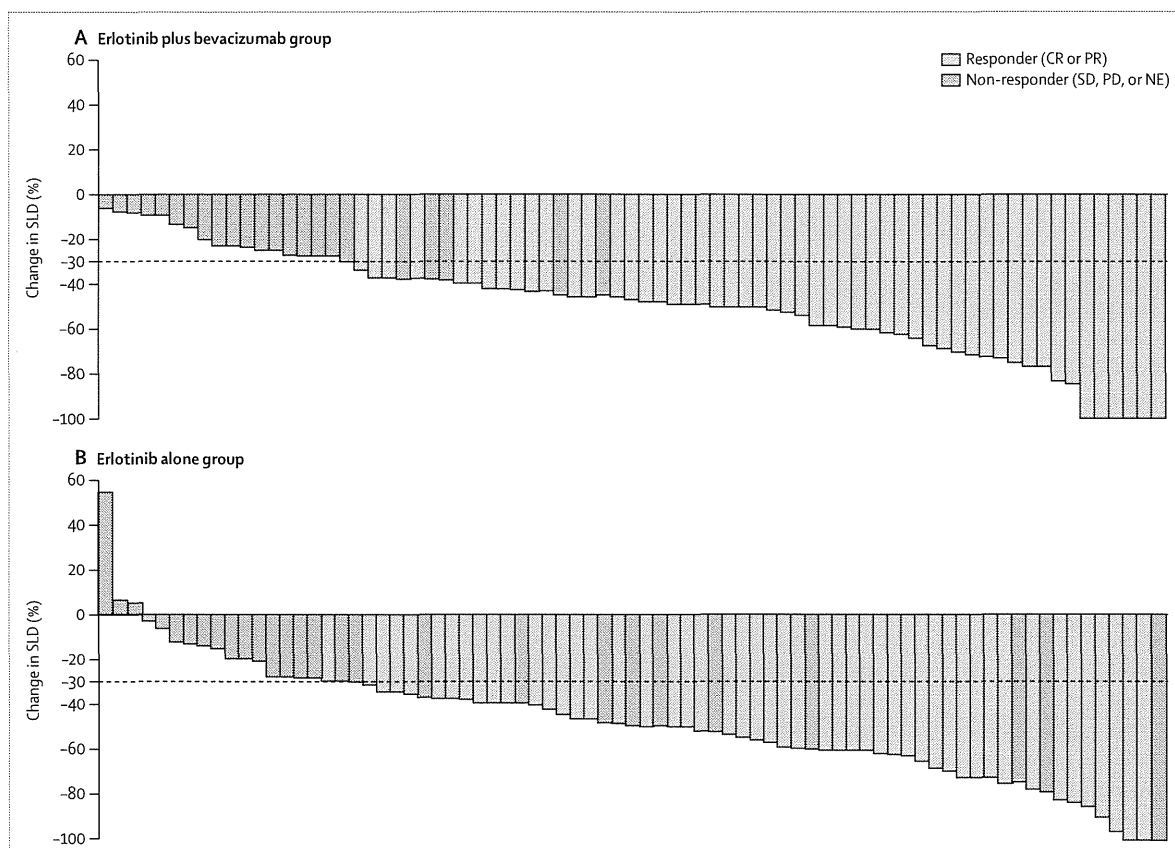
Between Feb 21, 2011, and March 5, 2012, 154 patients were enrolled, of whom 77 were randomly assigned to receive erlotinib plus bevacizumab and 77 to erlotinib alone. Two patients withdrew before treatment started and were excluded (one had multiple thrombosis and the other had increased pleural effusion). Thus, data from 152 patients (75 patients in the erlotinib plus bevacizumab group and 77 in the erlotinib alone group) were included in the analysis population (figure 1). The cutoff date for

the primary analysis was June 30, 2013, when 103 progression events had occurred; median follow-up was 20.4 months (IQR 17.4–24.1).

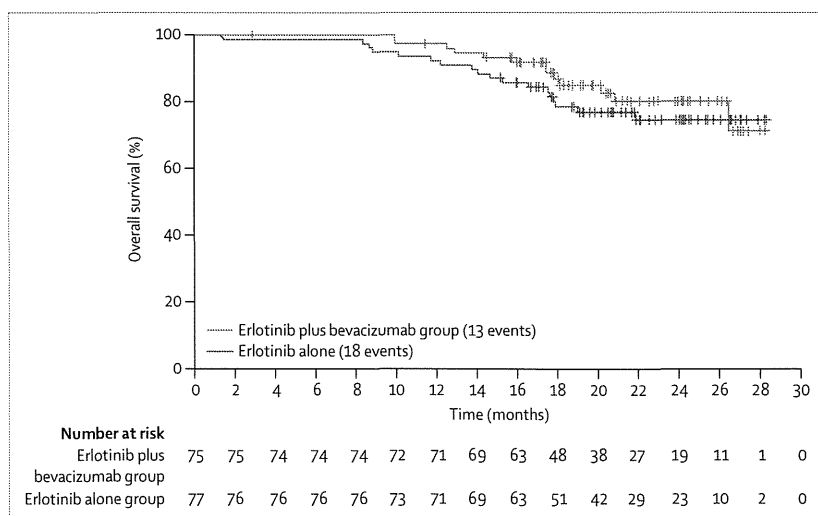
The baseline characteristics of patients were well balanced between the groups (table 1). Median age was 67 years (IQR 60–73), and 27 (18%) patients were aged 75 years or older. *EGFR* mutation subtypes were balanced between the two groups.

Progression-free survival was significantly prolonged with erlotinib plus bevacizumab compared with erlotinib alone (log-rank test  $p=0.0015$ ; figure 2). When subgroup analyses were done by baseline clinical characteristics, most patient subgroups seemed to have greater benefit from erlotinib plus bevacizumab compared with erlotinib alone. No significant difference was noted between any of the subgroups ( $p_{\text{interaction}} > 0.05$  for all subgroups; figure 3).

Analysis of progression-free survival by mutation subtype showed that in patients whose tumours had an exon 19 deletion (40 [53%] of 75 patients in the erlotinib plus bevacizumab group and 40 [52%] of 77 patients in the erlotinib alone group), median progression-free survival was significantly longer with erlotinib plus bevacizumab than with erlotinib alone (18.0 months [95% CI 14.1–20.6] vs 10.3 months [95% CI 8.0–13.1]; HR 0.41 [95% CI 0.24–0.72];  $p=0.0011$ ; appendix p 1). In patients whose tumours harboured the Leu858Arg mutation (35 [47%] patients in the erlotinib plus bevacizumab group; 37 [48%] patients in the erlotinib alone group), median progression-free survival was numerically longer with erlotinib plus bevacizumab than with erlotinib alone, but



**Figure 4: Waterfall plot of best percentage change from baseline in the sum of longest tumour diameters**  
 Responders were confirmed by Response Evaluation Criteria in Solid Tumors. CR=complete response. PR=partial response. SD=stable disease. PD=progressive disease. NE=non-evaluable. SLD=sum of longest diameters.



**Figure 5: Overall survival, as determined by independent review committee, in the modified intention-to-treat population**

the difference was not significant (13.9 months [95% CI 11.2–20.9] vs 7.1 months [95% CI 4.3–15.2], respectively; HR 0.67 [95% CI 0.38–1.18]; p=0.1653; appendix p 2).

52 (69% [95% CI 58–80]) patients in the erlotinib plus bevacizumab group had an objective response, as did 49 (64% [52–74]) patients in the erlotinib alone group (p=0.4951), although median duration of response was not significantly longer with erlotinib plus bevacizumab than with erlotinib alone (13.3 months [95% CI 11.6–16.5] vs 9.3 months [6.9–13.8]; p=0.1118). A greater proportion of patients achieved disease control with erlotinib plus bevacizumab (74 [99%] vs 68 [88%]; p=0.0177). Best responses to treatment are shown in table 2.

Figure 4 shows change in tumour size from baseline in the two groups. All patients in the erlotinib plus bevacizumab achieved tumour reduction, but three patients in the erlotinib alone group did not. Of patients who had a 30% or greater reduction in tumour size during treatment, six (8%) patients in the erlotinib plus bevacizumab group and 12 (16%) patients in the erlotinib alone group did not meet the criteria for complete or partial response according to RECIST.

Overall survival data are immature at present and so we cannot present any statistical analyses. At data cutoff, only 13 events (17%) had occurred in the erlotinib plus bevacizumab group and 18 events (23%) in the erlotinib alone group (figure 5).

	Erlotinib plus bevacizumab group (n=75)					Erlotinib alone group (n=77)				
	All	Grade 1-2	Grade 3	Grade 4	Grade 5	All	Grade 1-2	Grade 3	Grade 4	Grade 5
Rash	74 (99%)	55 (73%)	19 (25%)	0	0	76 (99%)	61 (79%)	15 (19%)	0	0
Diarrhoea	61 (81%)	60 (80%)	1 (1%)	0	0	60 (78%)	59 (77%)	1 (1%)	0	0
Paronychia	57 (76%)	55 (73%)	2 (3%)	0	0	50 (65%)	47 (61%)	3 (4%)	0	0
Dry skin	56 (75%)	54 (72%)	2 (3%)	0	0	45 (58%)	45 (58%)	0	0	0
Stomatitis	47 (63%)	46 (61%)	1 (1%)	0	0	46 (60%)	44 (57%)	2 (3%)	0	0
Haemorrhagic event	54 (72%)	52 (69%)	2 (3%)	0	0	22 (29%)	22 (29%)	0	0	0
Liver function disorder or abnormal hepatic function	33 (44%)	27 (36%)	5 (7%)	1 (1%)	0	39 (51%)	25 (32%)	7 (9%)	7 (9%)	0
Hypertension	57 (76%)	12 (16%)	45 (60%)	0	0	10 (13%)	2 (3%)	8 (10%)	0	0
Pruritus	34 (45%)	33 (44%)	1 (1%)	0	0	32 (42%)	32 (42%)	0	0	0
Weight decreased	33 (44%)	33 (44%)	0	0	0	19 (25%)	19 (25%)	0	0	0
Decreased appetite	26 (35%)	25 (33%)	1 (1%)	0	0	26 (34%)	25 (32%)	1 (1%)	0	0
Proteinuria	39 (52%)	33 (44%)	6 (8%)	0	0	3 (4%)	3 (4%)	0	0	0
Dysgeusia	20 (27%)	20 (27%)	0	0	0	17 (22%)	17 (22%)	0	0	0
Nasopharyngitis	20 (27%)	20 (27%)	0	0	0	15 (19%)	15 (19%)	0	0	0
Constipation	17 (23%)	17 (23%)	0	0	0	15 (19%)	14 (18%)	1 (1%)	0	0
Alopecia	13 (17%)	13 (17%)	0	0	0	14 (18%)	14 (18%)	0	0	0
Nausea	12 (16%)	12 (16%)	0	0	0	15 (19%)	15 (19%)	0	0	0
Vomiting	14 (19%)	14 (19%)	0	0	0	7 (9%)	7 (9%)	0	0	0
Malaise	10 (13%)	10 (13%)	0	0	0	10 (13%)	10 (13%)	0	0	0
Insomnia	8 (11%)	8 (11%)	0	0	0	8 (10%)	8 (10%)	0	0	0
Pyrexia	7 (9%)	7 (9%)	0	0	0	9 (12%)	9 (12%)	0	0	0
Upper respiratory tract infection	9 (12%)	9 (12%)	0	0	0	7 (9%)	7 (9%)	0	0	0
Conjunctivitis	8 (11%)	8 (11%)	0	0	0	7 (9%)	7 (9%)	0	0	0
Peripheral oedema	8 (11%)	8 (11%)	0	0	0	6 (8%)	6 (8%)	0	0	0
Fatigue	10 (13%)	9 (12%)	1 (1%)	0	0	3 (4%)	3 (4%)	0	0	0
Nail disorder	9 (12%)	9 (12%)	0	0	0	4 (5%)	4 (5%)	0	0	0
Dry eye	8 (11%)	8 (11%)	0	0	0	3 (4%)	3 (4%)	0	0	0
Dysphonia	8 (11%)	8 (11%)	0	0	0	1 (1%)	1 (1%)	0	0	0

Data are n (%).

**Table 3: Adverse events reported by 10% or more patients for grades 1 and 2 and all adverse events for grades 3–5 (safety population)**

68 (91%) patients in the erlotinib plus bevacizumab group and 41 (53%) patients in the erlotinib group had grade 3 or 4 adverse events. The most common adverse events of any grade in the erlotinib plus bevacizumab group were rash, diarrhoea, hypertension, and paronychia, and in the erlotinib alone group were rash, diarrhoea, and paronychia (table 3). The most common grade 3 or worse adverse events in the erlotinib plus bevacizumab group were hypertension, rash, proteinuria, and liver function disorder or abnormal hepatic function, and in the erlotinib group were rash, liver function disorder or abnormal hepatic function, and hypertension (table 3). Substantially higher (>40%) incidences of hypertension, haemorrhagic events, and proteinuria were noted in the erlotinib plus bevacizumab group compared with the erlotinib alone group (table 3). Serious adverse events were reported by 18 (24%) patients in the erlotinib plus bevacizumab group and 19 (25%) patients in the erlotinib group.

12 (16%) patients in the erlotinib plus bevacizumab group and 14 (18%) patients in the erlotinib group discontinued erlotinib because of adverse events. 31 (41%)

patients discontinued bevacizumab because of adverse events (figure 1). Ten patients discontinued both erlotinib and bevacizumab because of adverse events in the erlotinib plus bevacizumab group. Of these patients, seven discontinued erlotinib and bevacizumab simultaneously because of adverse events (liver function disorder or abnormal hepatic function in two patients, and infection, pancreatic cancer, rash, interstitial lung disease, and cerebral infarction in one patient each). In the remaining three patients, bevacizumab was initially discontinued, and patients continued on erlotinib monotherapy, although this was also subsequently discontinued. The dose of erlotinib was reduced to 100 mg for 34 (45%) of 75 patients in the erlotinib plus bevacizumab group and 33 (43%) of 77 patients in the erlotinib alone group; and to 50 mg for 17 (23%) of patients in the erlotinib plus bevacizumab group and eight (10%) patients in the erlotinib alone group.

The major adverse events leading to discontinuation of erlotinib in both groups were liver function disorder or abnormal hepatic function (two [3%] patients in the erlotinib plus bevacizumab group, eight [10%] in the

**Panel: Research in context****Systematic review**

We searched PubMed for articles published in English until Feb 1, 2014 (with no restrictions for the starting date), using the search terms “bevacizumab”, “erlotinib”, “NSCLC”, and “EGFR”. We identified two studies that had assessed the efficacy of erlotinib plus bevacizumab in the first-line setting.<sup>19,20</sup> However, no previous study had assessed the efficacy of the combination of erlotinib and bevacizumab as first-line therapy for patients with activating *EGFR* mutation-positive NSCLC.

**Interpretation**

To our knowledge, this study is the first to show that the combination of erlotinib and bevacizumab can significantly prolong progression-free survival compared with erlotinib alone in patients with non-squamous *EGFR* mutation-positive NSCLC. Some degree of increased toxicity, particularly hypertension, proteinuria, and haemorrhagic events, was noted with the addition of bevacizumab. Our findings suggest that the combination of erlotinib and bevacizumab could be a new first-line regimen in *EGFR* mutation-positive NSCLC. Two clinical trials, BELIEF (NCT01562028) and ACCRU RC1126 (NCT01532089) are ongoing and the results are awaited to confirm the efficacy and safety shown in our study.

erlotinib alone group), interstitial lung disease (two [3%], three [4%]), and rash (two [3%], none). Major adverse events leading to discontinuation of bevacizumab were proteinuria (11 [15%] patients), haemorrhagic events (nine [12%]), and hypertension (two [3%]). Most haemorrhagic events were low-grade epistaxis or haemorrhoidal bleeding. All of the 11 patients who discontinued bevacizumab because of proteinuria had grade 3 or lower events, and five of these patients recovered during the study period. All of the nine patients who discontinued because of haemorrhagic events had grade 3 or lower events; eight patients improved or recovered during the study period.

The median duration of erlotinib treatment was 431 days (range 21–837) in the erlotinib plus bevacizumab group and 254 days (18–829) in the erlotinib group, whereas median duration of bevacizumab was 325 days (1–815). The median duration of bevacizumab in patients who discontinued treatment because of proteinuria was 329 days (113–639) and because of haemorrhagic events was 128 days (23–357).

The relative dose intensity of erlotinib (calculated as [totally administered dose/total treatment duration]/150×100) was similar in both groups (95·3% [range 34·7–100·0] in the erlotinib plus bevacizumab group and 98·7% [33·3–100·0] in the erlotinib alone group), whereas that of bevacizumab (calculated as totally administered dose/planned dose×100) was 93·9% (72·4–99·7).

Haemoptysis was reported in six (8%) patients in the erlotinib plus bevacizumab group (five [7%] patients had grade 1 events and one [1%] had a grade 2 event); one patient (1%) had a grade 1 event in the erlotinib alone group. Interstitial lung disease was reported for five (3%) of all patients. One patient in the erlotinib alone group had grade 3 interstitial lung disease, but all other cases were grade 1 or 2, and all patients recovered. During the study period, one patient in the erlotinib group died by

drowning, and a potential association with the study drug was confirmed.

No significant difference was noted between the two groups in terms of quality of life, including total FACT-L score, trial outcome index score, and all other subscores, since the standard deviations at each time point overlapped (appendix pp 3–9).

**Discussion**

In this study, the addition of bevacizumab to erlotinib significantly prolonged progression-free survival in patients with NSCLC with activating *EGFR* mutation-positive disease compared with erlotinib alone. To our knowledge, this is the first randomised study to show a clinically significant treatment effect of combining an *EGFR* tyrosine-kinase inhibitor with another biological drug in patients with activating *EGFR* mutation-positive NSCLC (panel). We noted clear separation of the Kaplan-Meier survival curves from the start of treatment, despite the use of erlotinib in both groups.

Multivariate analysis according to baseline patient characteristics showed a consistent treatment benefit, with longer progression-free survival noted with erlotinib plus bevacizumab across most subgroups of patients. Previous studies have reported that erlotinib tends to be more effective in tumours with *EGFR* exon 19 deletions versus those with Leu858Arg mutations,<sup>7,8,21</sup> which is consistent with our results.

No new safety signals were identified and the incidence of adverse events (any grade) and serious adverse events was similar between the two groups. There were more grade 3 or worse adverse events in the erlotinib plus bevacizumab group. Discontinuation of bevacizumab because of adverse events was more common than that reported in previous studies.<sup>13,14</sup> One possible reason for this discrepancy could be the longer duration of treatment than in previous studies: the median treatment duration of bevacizumab was 325 days (16 cycles), which is substantially longer than that in previous studies. Furthermore, proteinuria was one of the major adverse events that led to discontinuation of bevacizumab, and the time to onset of bevacizumab discontinuation because of proteinuria tended to be in the later treatment phase (median 329 days [range 113–639]). Nevertheless, despite the high incidence of bevacizumab discontinuation because of adverse events, most of these events (mainly proteinuria and haemorrhagic events) were deemed non-serious and reversible.

The incidence of grade 3 or greater hypertension and proteinuria were higher than those in previous studies, again possibly related to the prolonged duration of treatment. Another potential factor that could explain the difference in the incidence of hypertension is in the definition of grading used; we used CTC-AE version 4.03, whereas previous studies<sup>14,16</sup> used CTC-AE version 3. Akhtar and colleagues<sup>22</sup> showed that the change in CTC-AE version from 3 to 4 could lead to a significant

shift in the severity of adverse events in clinical trials. Furthermore, despite the somewhat higher incidence of hypertension observed in this study, only two (3%) of 75 patients discontinued bevacizumab administration because of hypertension.

Although we noted no significant difference in the proportion of patients achieving an objective response between the erlotinib plus bevacizumab group and erlotinib alone groups, all patients in the erlotinib plus bevacizumab group had a reduction in tumour size. Of those patients who had a greater than 30% reduction in the sum of longest diameter of their target lesions from baseline, more patients in the erlotinib alone group failed to meet the criteria for complete or partial response. These findings suggest that the addition of bevacizumab to erlotinib might help to maintain the tumour-suppressing effect after reduction in tumour size, which might explain the difference in progression-free survival between the two groups.

One possible mechanism to explain this effect could be improved drug delivery. Bevacizumab changes tumour vessel physiology, resulting in increased intratumoral uptake of drugs.<sup>23,24</sup> The results of a preclinical study suggested that patients on lower doses of EGFR tyrosine-kinase inhibitors tend to develop treatment resistance earlier than those who receive higher doses.<sup>25,26</sup> Therefore, achieving a higher intratumoral concentration of erlotinib could delay the appearance of resistant cells. Another possible mechanism that could explain these findings is the effective blocking of angiogenesis signalling via the VEGF receptor and EGFR signalling pathways, which is thought to promote tumour growth.<sup>27,28</sup> In addition to synergistic inhibition of tumour growth signalling, VEGF signal inhibition is still effective for tumours harbouring EGFR tyrosine-kinase inhibitor resistance mutations. In preclinical studies, blocking the VEGF receptor signalling pathway overcame resistance for EGFR signalling blockage by Thr790Met EGFR mutation *in vivo*.<sup>29,30</sup>

Another treatment strategy that has been recently investigated is the combination of an EGFR tyrosine-kinase inhibitor with chemotherapy. Wu and colleagues<sup>31</sup> reported that platinum doublet chemotherapy with intercalated erlotinib increased progression-free survival compared with platinum doublet chemotherapy alone. In a subset analysis of the *EGFR* mutation-positive population in this study, progression-free survival was 16.8 months. In our study, median progression-free survival with erlotinib and bevacizumab was 16.0 months. The first-line use of erlotinib and bevacizumab could allow chemotherapy to be reserved for subsequent lines of treatment, which might further improve survival outcomes in these patients.

Our study has several limitations. First, the analysis of *EGFR* mutations was not done at a central laboratory and various methods were used, including the peptide nucleic acid, locked nucleic acid PCR clamp method, the PCR invader method, and the cyclecleave method. However, on the basis of previous evidence, these methods are generally

judged to provide consistent results.<sup>32</sup> Second, because some patients are still receiving the first-line treatment and overall survival data are still immature, assessment of subsequent treatment effects after progression is not possible. Data relating to post-study treatment will be reported in due course with updated overall survival results. Third, we did not use the EQ-5D questionnaire developed by the EuroQol group for quality-of-life assessment. Therefore, we could not formally estimate quality-adjusted life-years for a cost-effectiveness analysis. The health economics related to the combined use of erlotinib and bevacizumab remains unclear and should be discussed in future studies. Additionally, follow-up for overall survival is still ongoing and these results are needed before the clinical value of this combination can be determined.

In summary, our study provides, to the best of our knowledge, the first evidence that the addition of bevacizumab to erlotinib confers a significant improvement in progression-free survival when used as first-line treatment for patients with non-squamous NSCLC with activating *EGFR* mutation-positive disease. Some degree of increased toxicity, particularly hypertension, proteinuria, and haemorrhagic events, seems to be associated with the addition of bevacizumab. Our findings suggest that the combination of erlotinib and bevacizumab could be a new first-line regimen in *EGFR* mutation-positive NSCLC, and that further investigation of the regimen is warranted. Two clinical trials, BELIEF (NCT01562028) and ACCRU RC1126 (NCT01532089), are ongoing and the results are awaited to confirm the efficacy and safety shown in our study.

#### Contributors

NobuY was the principal investigator. TS, TK, MN, KG, NoboY, IO, TY, KT, RH, MF, and NobuY contributed to the study design and data analysis and data interpretation. TS, TK, MN, KG, SA, YH, NoboY, TH, MM, KN, SN, IO, and NobuY contributed to patient recruitment and data collection. NobuY, TS, KT, and RH prepared the initial draft of the report input from other authors. All authors approved the final version of the report.

#### Declaration of interests

TS received research grants and honoraria from Chugai Pharmaceutical. TK received research grants and honoraria from Chugai Pharmaceutical; honoraria from Eli Lilly, Ono Pharmaceutical, Novartis Pharma, Taiho Pharmaceutical, and AstraZeneca; and research grants from Nippon Boehringer Ingelheim, Kyowa Hakko Kirin, Pfizer, and Shionogi. MN received research grants and honoraria from Chugai Pharmaceutical, Pfizer, Novartis Pharma, Taiho Pharmaceutical, Nippon Boehringer Ingelheim, and AstraZeneca; research grants from MSD and Bristol-Myers Squibb. KG received research grants and honoraria from Chugai Pharmaceutical, Taiho Pharmaceutical and Nippon Boehringer Ingelheim; honoraria from AstraZeneca, Sanofi, Novartis Pharma, Pfizer, Yakult Honsha, Ono Pharmaceutical and Eli Lilly. SA received honoraria from Chugai Pharmaceutical, Eli Lilly, Taiho Pharmaceutical, Sawai Pharmaceutical, and Novartis Pharma. YH received research grants and honoraria from Chugai Pharmaceutical, Ono Pharmaceutical, and Taiho Pharmaceutical; honoraria from AstraZeneca, Eli Lilly, Novartis Pharma, and Takeda Pharmaceutical; research grants from Yakult Honsha, MSD, Kyowa Hakko Kirin, and Daiichi Sankyo. NoboY received research grants from Chugai Pharmaceutical, Pfizer, Takeda Bio, Astellas Pharma, Taiho Pharmaceutical, and Bristol-Myers Squibb. TH received research grants from Chugai Pharmaceutical, AstraZeneca, Nippon Boehringer Ingelheim, Pfizer, Eli Lilly, Takeda Bio, Novartis Pharma, Ono Pharmaceutical, Daiichi Sankyo, Merck Serono, Kyowa Hakko Kirin, Dainippon Sumitomo Pharma, Bristol-Myers Squibb, and Esai.

MM received honoraria from Chugai Pharmaceutical and AstraZeneca; research grants and honoraria from Nippon Boehringer Ingelheim. KN received honoraria from Chugai Pharmaceutical, AstraZeneca, Nippon Boehringer Ingelheim, and Eli Lilly. SN declares no competing interests. IO received honoraria from Chugai Pharmaceutical, Eli Lilly, Pfizer, and Taiho Pharmaceutical. TY received honoraria from Chugai Pharmaceutical, Taiho Pharmaceutical, Takeda Pharmaceutical, and Bristol-Myers Squibb. KT and RH are employees of Chugai Pharmaceutical. MF received honoraria from Chugai Pharmaceutical. NobuY received honoraria from Chugai Pharmaceutical, Nippon Boehringer Ingelheim, and AstraZeneca.

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# Phase II study of zoledronic acid combined with docetaxel for non-small-cell lung cancer: West Japan Oncology Group

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## Key words

Chemotherapy, docetaxel, non-small-cell lung cancer, phase II, zoledronic acid

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The aim of this open-label, multicenter, randomized phase II trial was to evaluate the efficacy and safety of zoledronic acid in combination with docetaxel in previously treated patients with non-small-cell lung cancer (NSCLC) and bone metastases. In this study, patients randomly received docetaxel (60 mg/m<sup>2</sup>) with (group DZ) or without (group D) zoledronic acid every 21 days. There were 50 patients in each group, and the primary endpoint was progression-free survival. In an efficacy analysis of 94 patients (DZ, 48; D, 46), the median progression-free survival was 2.7 months (95% confidence interval [CI], 1.5–3.5 months) for the DZ group and 2.6 months (95% CI, 1.5–3.4 months) for the D group (stratified log-rank test,  $P = 0.89$ ). The median overall survival was 10.4 months (95% CI, 7.0–15.8 months) for the DZ group and 9.7 months (95% CI, 6.1–12.5 months) for the D group (stratified log-rank test,  $P = 0.62$ ). There were no clinically relevant differences in the frequencies of grade 3 or 4 adverse events between the two groups. No treatment-related deaths occurred in the DZ group. Zoledronic acid combined with docetaxel was well tolerated but did not meet the primary endpoint of demonstrating a longer progression-free survival in advanced NSCLC patients with bone metastases compared with docetaxel alone. This trial was registered with the University Hospital Medical Information Network (UMIN000001098).

Lung cancer is the leading cause of cancer death worldwide and non-small-cell lung cancer (NSCLC) accounts for more than 80% of all cases of lung cancer.<sup>(1)</sup> For individuals with advanced NSCLC, first-line treatment with platinum-based chemotherapy offers only a moderate improvement in survival and quality of life over best supportive care (BSC) alone.<sup>(2,3)</sup> Second-line treatment with docetaxel, despite a low tumor response rate, is a standard treatment option on the basis of phase III studies comparing docetaxel with ifosfamide, vinorelbine or BSC alone.<sup>(4,5)</sup> Thus, there is a need for new treatment options to prolong the survival of patients with advanced NSCLC. Approximately 30–40% of patients with NSCLC develop bone metastases, which often cause skeletal-related events (SRE) such as pathologic fracture, spinal cord compression, or the need for palliative radiation or surgery to the bone.<sup>(6)</sup> SRE are associated with decreased quality of life,

increased health-care costs and poor survival; therefore, it is clinically imperative to prevent SRE during the treatment of advanced NSCLC.<sup>(7–10)</sup>

Zoledronic acid, a nitrogen-containing bisphosphonate, significantly delays the appearance of SRE and reduces the incidence of SRE compared with a placebo in patients with cancer and bone metastases, including those with NSCLC.<sup>(11,12)</sup> Furthermore, several preclinical and clinical studies provide evidence supporting the use of zoledronic acid for the treatment of patients with advanced NSCLC.<sup>(13–16)</sup> The inclusion of zoledronic acid in chemotherapy regimens has an additive and/or synergistic anti-tumor effect on NSCLC cell lines and may prolong survival and delay disease progression in patients with advanced NSCLC.<sup>(17–19)</sup> However, whether the inclusion of zoledronic acid in such regimens has clinically meaningful survival benefits in patients with NSCLC and bone metastases is uncertain. Therefore, we

conducted this study to evaluate the efficacy and safety of zoledronic acid in combination with docetaxel in previously treated patients with NSCLC and bone metastases.

## Patients and Methods

**Study design.** We conducted an open-label, multicenter, randomized phase II study in Japan. The study protocol was approved by the West Japan Oncology Group (WJOG) Protocol Review Committee and the institutional review board of each participating institution. This trial was registered with the University Hospital Medical Information Network (UMIN000001098).

**Eligibility criteria.** Patients were required to be histologically or cytologically diagnosed with NSCLC and bone metastases (at least one bone metastasis that had not been treated with radiation therapy) and to have had previous treatment with one or two chemotherapy regimens. Other inclusion criteria included an age of  $\geq 20$  years, Eastern Cooperative Oncology Group performance status of 0–2, measurable disease, no history of chemotherapy with docetaxel, no history of prior treatment with zoledronic acid, adequate baseline organ function (leukocyte count  $\geq 3500/\text{mm}^3$ ; absolute neutrophil count  $\geq 2000/\text{mm}^3$ ; hemoglobin  $\geq 9.0$  g/dL; platelet count  $\geq 100\,000/\text{mm}^3$ ; total bilirubin  $\leq 2.0$  mg/dL; aspartate aminotransferase and alanine aminotransferase [ALT] levels  $\leq 100$  IU/L; creatinine clearance,  $\geq 30$  mL/min; and SpO<sub>2</sub> under room air,  $\geq 90\%$ ). Written informed consent was obtained from all patients. Patients were ineligible if they had active concomitant malignancy, third-space fluid collection requiring drainage, radiographic signs of interstitial pneumonia or pulmonary fibrosis, active SRE at the time of registration, hypercalcemia requiring prompt treatment, active periodontal disease or severe comorbidities (active infectious disease, severe heart disease, uncontrolled diabetes mellitus, gastrointestinal bleeding, intestinal paralysis, bowel obstruction or psychiatric disease), or a history of drug allergy. Patients receiving systemic steroid medication and pregnant or breast-feeding women were also excluded.

**Treatment.** Equal numbers of patients randomly received 60 mg/m<sup>2</sup> docetaxel intravenously for 1 h with (DZ group) or

without (D group) intravenous zoledronic acid for 15 min. Random assignment was stratified by institution, gender and performance status (0–1 or 2). The dose of zoledronic acid for each patient was based on his or her creatinine clearance ( $>60$  mL/min, 4 mg; 50–60 mL/min, 3.5 mg; 40–49 mL/min, 3.3 mg; 30–39 mL/min, 3.0 mg). Zoledronic acid was administered to patients in the DZ group immediately after docetaxel administration. Patients were treated every 3 weeks until their disease progressed, toxicity became intolerable or they refused additional treatment. The dose of docetaxel was decreased to 50 mg/m<sup>2</sup> if any of the following was observed: leukocyte count  $<1000/\text{mm}^3$ , platelet count  $<25\,000/\text{mm}^3$ , grade 3 febrile neutropenia or grade 3 nonhematological toxicity (with the exception of hyponatremia, hypocalcaemia and alopecia). In cases of grade 4 nonhematological toxicity or continued toxicity requiring a second dose reduction, the protocol treatment was terminated. Other criteria for protocol treatment termination included use of excluded concomitant therapy and physician recommendation.

Patients received full supportive care as required, including transfusion of blood products. Granulocyte colony-stimulating factor was administered as needed. There was no restriction on subsequent chemotherapy after disease progression in this study.

**Evaluation.** Patient assessment, including physical examination, complete blood count and biochemistry, was performed every 1–2 weeks. Bone markers and levels of urinary N-terminal telopeptide of type I collagen (NTX) and serum C-terminal telopeptide of type I collagen (I-CTP) were evaluated every 4 weeks. SRE included pathologic fracture, spinal cord compression and need for palliative radiation or surgery to the bone, and were assessed every 6 weeks.

Patients who received one or more protocol treatment were evaluated for safety during treatment. Adverse events were recorded and graded using the Common Terminology Criteria for Adverse Events, Version 3.0. The Response Evaluation Criteria in Solid Tumors guideline version 1.0 was used to evaluate tumor response.<sup>(20)</sup> Computed tomography was performed at baseline and every 6 weeks. A complete response (CR) or a partial response (PR) was confirmed at least

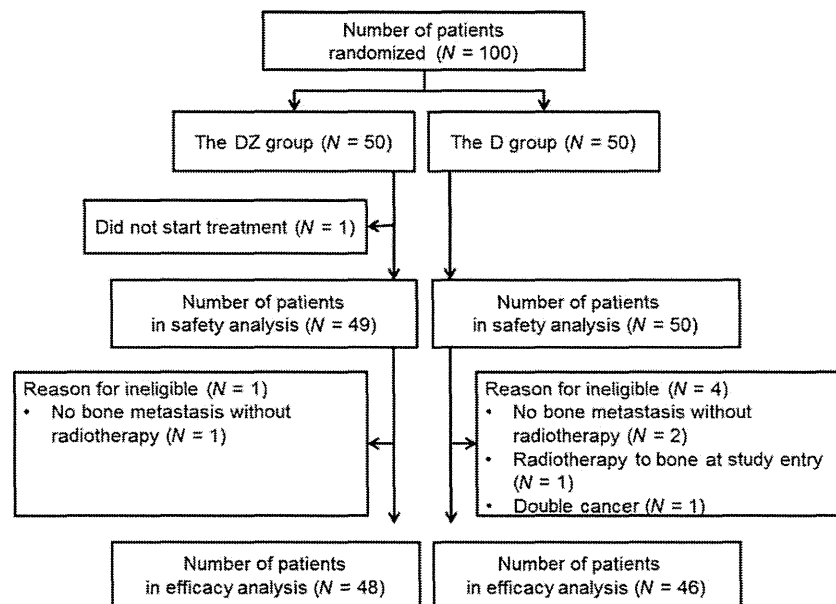


Fig. 1. Patient disposition. D, docetaxel alone; DZ, docetaxel with zoledronic acid.



4 weeks after the first documentation of the response. Stable disease (SD) was defined as either sufficient tumor shrinkage to qualify as a CR or a PR or sufficient increase in tumor mass to qualify as progressive disease (PD) after at least 6 weeks. Progression-free survival (PFS) was defined as the time from patient registration to objective tumor progression or patient death. Patients whose disease had not progressed at the time of termination of protocol treatment were assessed until progression or death was documented. SRE-free survival was defined as the time from patient registration to the appearance of SRE or the death of the patient. Patients who had not experienced SRE at the time of termination of protocol treatment were assessed until SRE or death was documented. Overall survival (OS) was defined as the time from patient registration to death from any cause. All patients were followed up for 1 year after the last patient had enrolled.

**Study endpoints and statistical analyses.** The primary endpoint in this study was PFS. The secondary endpoints included OS, overall response rate (ORR), SRE rate, SRE-free survival and safety. This randomized phase II study was designed to detect a 1-month improvement in PFS, with an assumed PFS of 2 months in the D group and 3 months in the DZ group, with a two-sided alpha error of 20% and a power of approximately 80%. A total of 100 patients were registered over 2 years with a 1-year follow-up period after the last enrollment. Survival curves were estimated using the Kaplan–Meier method and compared by log-rank test. Fisher's exact test was used for categorical data. All analyses were performed using SAS version 9.1.3 (SAS Institute, Cary, NC, USA).

## Results

**Patient characteristics.** From May 2007 to March 2010, 100 patients from 15 Japanese institutions were enrolled in this study: 50 patients were randomly assigned to the DZ group and 50 to the D group (Fig. 1). Patient demographics and baseline disease characteristics were well-balanced between the two treatment groups (Table 1). While one patient in the DZ group did not receive any protocol treatment, 99 patients (49 for DZ and 50 for D) were assessable for safety. In the DZ group 1 patient and in the D group 4 patients were ineligible, and 94 patients (48 for DZ and 46 for D) were included in the efficacy analysis (Fig. 1). The median number of treatment cycles was three for the DZ group (range, 1–19 cycles) and three for the D group (range, 1–17 cycles). The median number of administered doses of zoledronic acid was 3 (range, 1–19), with a median drug exposure of 12.0 mg (range, 3.5–76.0 mg). Reasons for going off protocol included disease progression (37 for DZ and 33 for D), patient refusal (eight for DZ and eight for D), unacceptable toxicity (two for DZ and five for D) and others (two for DZ and four for D).

**Safety.** Adverse events for the 99 patients included in the safety analysis are summarized in Table 2. The occurrence of adverse events was similar in the two groups, with the exception of any grade of hypocalcemia (76% vs 30%) and pyrexia (39% vs 10%), which were more frequent in the DZ group compared with the D group. One patient in the DZ group experienced periodontal disease, but no cases of osteonecrosis of the jaw (ONJ) were observed in either group. The most common adverse events worse than grade 3 were leukopenia (63% and 56% for DZ and D, respectively), neutropenia (78% and 80% for DZ and D, respectively), febrile neutropenia (4%

**Table 1.** Patient demographics and baseline disease characteristics

Characteristic	DZ group (N = 50)		D group (N = 50)	
	Number	%	Number	%
Age, years				
Median	62		63	
Range	34–77		45–79	
Sex				
Female	19	38	18	36
Male	31	62	32	64
ECOG performance status				
0–1	47	94	47	94
2	3	6	3	6
Smoking status				
Smoker	19	38	15	30
Never smoked	31	62	35	70
Histological subtype				
Adenocarcinoma	39	78	38	76
Squamous cell carcinoma	5	10	7	14
Others	6	12	5	10
Number of prior chemotherapies				
1	34	68	39	78
2	15	30	11	22
No data	1	2	0	0
Number of bone metastases				
Single	11	22	12	24
Multiple	39	78	38	76
Prior SRE				
No	41	82	42	84
Yes	8	16	8	16
No data	1	2	0	0
Urinary NTX				
High level ( $\geq 64$ nmol/mmol creatinine)	20	40	22	44
Normal level ( $< 64$ nmol/mmol creatinine)	23	46	22	44
No data	7	14	6	12
Serum I-CTP				
High level ( $\geq 4.5$ ng/mL)	35	70	35	70
Normal level ( $< 4.5$ ng/mL)	8	16	9	18
No data	7	14	6	12

D, docetaxel alone; DZ, docetaxel with zoledronic acid; ECOG, Eastern Cooperative Oncology Group; I-CTP, C-terminal telopeptide of type I collagen; NTX, N-terminal telopeptide of type I collagen; SRE, skeletal-related event.

and 12% for DZ and D, respectively) and elevated ALT level (27% and 30% for DZ and D, respectively). There were no clinically relevant differences in the frequencies of adverse events of grade 3 or higher between the two groups. The protocol treatment was terminated in seven patients because of unacceptable toxicity levels, including grade 3 nail change ( $N = 1$ ) and grade 2 periodontal disease ( $N = 1$ ) in the DZ group, and required a second dose reduction because of grade 4 leukopenia ( $N = 1$ ) or grade 3 febrile neutropenia ( $N = 1$ ), grade 4 infection ( $N = 1$ ), grade 3 allergic reaction ( $N = 1$ ) and grade 1 pneumonitis ( $N = 1$ ) in the D group. No treatment-related deaths were observed in the DZ group, while two treatment-related deaths were observed in the D group (infection,  $N = 1$ ; gastrointestinal perforation,  $N = 1$ ).

**Efficacy.** For the 94 patients included in the efficacy analysis, the ORR was 8% for the DZ group (CR,  $N = 0$ ; PR,  $N = 4$ ;

**Table 2. Summary of adverse events (CTCAE)**

Adverse event	DZ group (N = 49)				D group (N = 50)			
	All		≥Grade 3		All		≥Grade 3	
	Number	%	Number	%	Number	%	Number	%
Leukopenia	45	92	31	63	47	94	28	56
Neutropenia	45	92	38	78	46	92	40	80
Anemia	33	67	3	6	31	62	3	6
Thrombocytopenia	2	4	0	0	5	10	0	0
Elevated ALT level	24	49	13	27	21	42	15	30
Elevated AST level	19	39	4	8	16	32	3	6
Elevated creatinine level	7	14	1	2	13	26	2	4
Hypercalcemia	2	4	0	0	8	16	1	2
Hypocalcemia	37	76	3	6	15	30	0	0
Febrile neutropenia	2	4	2	4	6	12	6	12
Infection	13	27	5	10	5	10	3	6
Sensory neuropathy	12	24	2	4	11	22	1	2
Fatigue	33	67	2	4	33	66	2	4
Anorexia	30	61	2	4	30	60	1	2
Nausea	20	41	1	2	23	46	0	0
Vomiting	8	16	1	2	8	16	0	0
Allergic reaction	3	6	0	0	2	4	1	2
Gastrointestinal perforation	0	0	0	0	1	2	1	2
Pyrexia	19	39	0	0	5	10	0	0
Periodontal disease	1	2	0	0	0	0	0	0

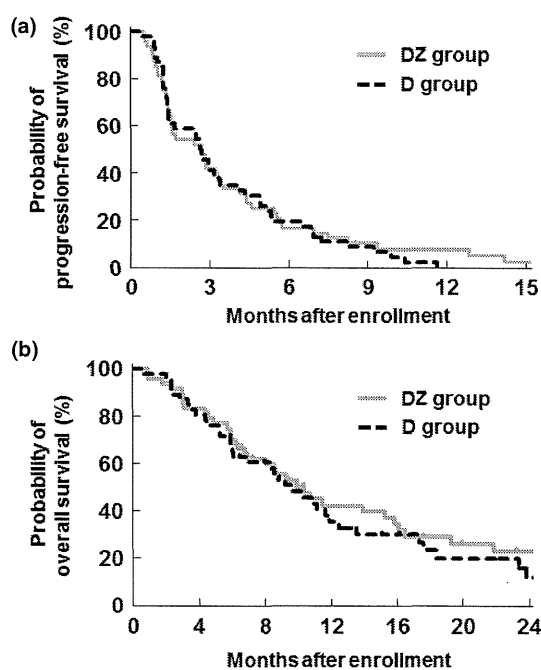
ALT, alanine transaminase; AST, aspartate aminotransferase; CTCAE, Common Terminology Criteria for Adverse Events, version 3.0; D, docetaxel alone; DZ, docetaxel with zoledronic acid.

SD, *N* = 18; PD, *N* = 25; not evaluable, *N* = 1) and 4% for the D group (CR, *N* = 0; PR, *N* = 2; SD, *N* = 20; PD, *N* = 23; not evaluable, *N* = 1). The difference in ORR between the two groups was not statistically significant (*P* = 0.88). Median PFS was 2.7 (95% CI, 1.5–3.5) months for the DZ group and 2.6 (95% CI, 1.5–3.4) months for the D group (stratified log-rank test, *P* = 0.89; Fig. 2a). Median OS was 10.4 (95% CI, 7.0–15.8) months for the DZ group and 9.7 (95% CI, 6.1–12.5) months for the D group (stratified log-rank test, *P* = 0.62; Fig. 2b). No remarkable difference in PFS (Fig. 3a) or OS (Fig. 3b) was observed according to demographic characteristics (number of bone metastases, prior SRE, baseline urinary NTX and baseline serum I-CTP).

For the 94 patients included in the efficacy analysis, the cumulative incidence rates of an SRE at 3, 6, 9 and 12 months were 17%, 20%, 27% and 30%, respectively, for the DZ group, and 16%, 27%, 39% and 39%, respectively, for the D group (Fig. 4a). Median SRE-free survival was 7.2 (95% CI, 4.9–10.7) months for the DZ group and 6.0 (95% CI, 4.4–8.5) months for the D group (stratified log-rank test, *P* = 0.84). In subset analyses of the SRE rate according to baseline bone marker levels (Fig. 4b), the cumulative incidence rates of SRE at 12 months were 44% for the DZ group (*N* = 19) and 48% for the D group (*N* = 19) in patients with high baseline urinary NTX levels, 24% for the DZ group (*N* = 29) and 30% for the D group (*N* = 27) in patients with normal or unknown baseline urinary NTX levels, 43% for the DZ group (*N* = 34) and 38% for the D group (*N* = 32) in patients with high baseline serum I-CTP levels, and 7% for the DZ group (*N* = 14) and 37% for the D group (*N* = 14) in patients with normal or unknown baseline serum I-CTP levels.

## Discussion

This is the first prospective, randomized, phase II study to evaluate the efficacy and safety of zoledronic acid in combination with docetaxel in previously treated advanced NSCLC



**Fig. 2.** (a) Progression-free survival and (b) overall survival in the DZ and D groups. D, docetaxel alone; DZ, docetaxel with zoledronic acid.

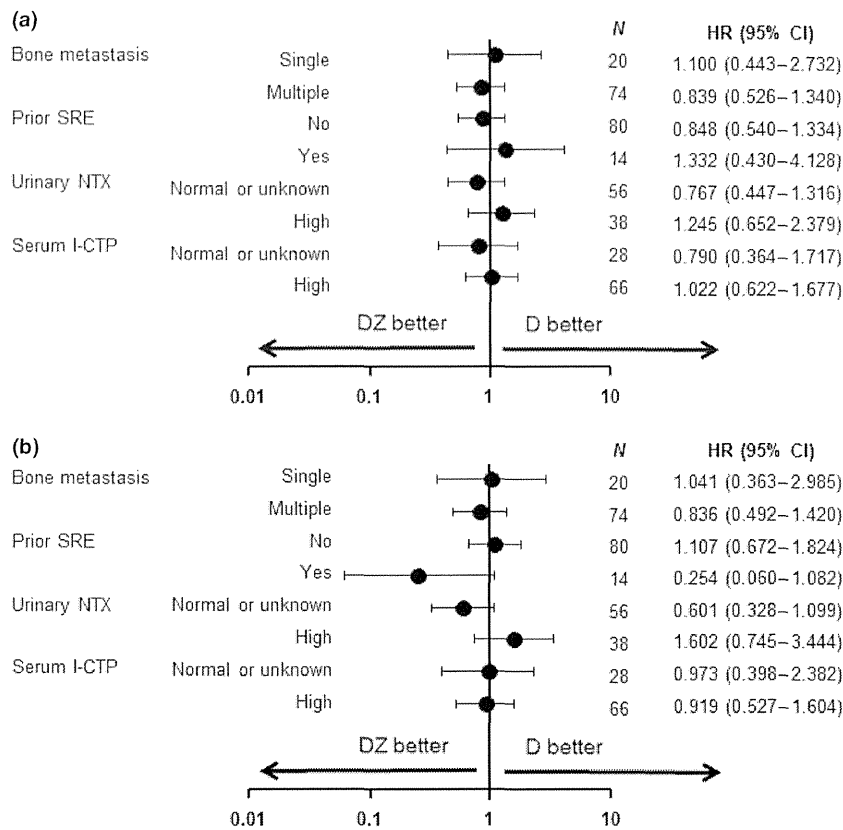


Fig. 3. (a) Subgroup analyses of hazard ratio for progression-free survival and (b) overall survival in the DZ and D groups. D, docetaxel alone; DZ, docetaxel with zoledronic acid; I-CTP, C-terminal telopeptide of type I collagen; NTX, N-terminal telopeptide of type I collagen; SRE, skeletal-related event.

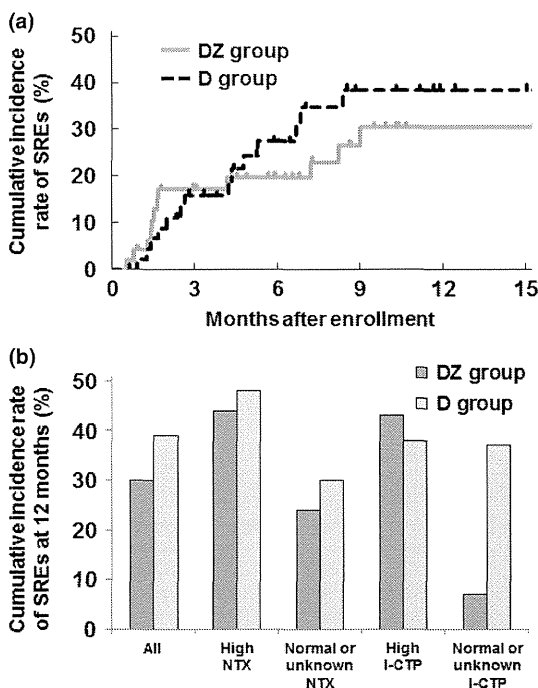


Fig. 4. (a) Cumulative incidence rate of SRE in the DZ and D groups. (b) Subgroup analyses of SRE rate according to baseline bone marker levels in the DZ and D groups. D, docetaxel alone; DZ, docetaxel with zoledronic acid; I-CTP, C-terminal telopeptide of type I collagen; NTX, N-terminal telopeptide of type I collagen; SRE, skeletal-related event.

patients with bone metastases. The similarity in the median PFS and OS of patients in the DZ and D groups suggests that the combination of zoledronic acid and docetaxel might not provide survival benefits to patients with NSCLC and bone metastases compared with docetaxel alone. In a previous randomized phase III study, a subgroup analysis of patients with NSCLC ( $N = 382$ ) revealed that zoledronic acid significantly reduced the risk of a first on-study SRE compared with a placebo. However, there was no significant difference in OS between the two groups (median 187 days for zoledronic acid vs 157 days for placebo;  $P = 0.539$ ).<sup>(11,12,14)</sup> Two randomized studies in which zoledronic acid was combined with standard treatment also showed no survival benefits for patients with NSCLC who had no bone involvement.<sup>(21,22)</sup> These results are consistent with our observation that zoledronic acid failed to prolong the survival of NSCLC patients with bone metastases. In a recent subgroup analysis of a randomized phase III study, denosumab significantly improved OS, whereas zoledronic acid did not. This analysis was conducted on a group of 811 patients with lung cancer and bone metastases (median 8.9 vs 7.7 months for denosumab and zoledronic acid, respectively; hazard ratio for death, 0.80; 95% CI, 0.67–0.95;  $P = 0.01$ ) and 702 patients with NSCLC and bone metastases (median 9.5 vs 8.0 months for denosumab and zoledronic acid, respectively; hazard ratio for death, 0.78; 95% CI, 0.65–0.94;  $P = 0.01$ ).<sup>(23,24)</sup> Denosumab, a human anti-RANKL monoclonal antibody, is a potential anticancer therapy for patients with NSCLC and bone metastases and should be evaluated further in future studies.

For patients with NSCLC and bone metastases, increased SRE risk correlated with a history of SREs, multiple bone metastases, and bone turnover markers.<sup>(25–27)</sup> Significantly high levels of urinary NTX, a sensitive bone resorption marker, were also associated with increased SRE risk and poor survival prognosis.<sup>(27)</sup> In agreement, the cumulative incidence rates of SRE were high in patients with high baseline urinary NTX levels in our study. A retrospective analysis of a phase III study revealed that zoledronic acid significantly reduces the risk of death compared with a placebo in 144 patients with NSCLC and high baseline NTX levels (hazard ratio for death, 0.65; 95% CI, 0.45–0.95;  $P = 0.025$ ).<sup>(15)</sup> In our study, for 38 patients (19 for DZ and 19 for D) with NSCLC and high baseline NTX levels, the median OS was 8.6 months for the DZ group and 11.2 months for the D group (hazard ratio for death, 1.60; 95% CI, 0.75–3.44). Therefore, combination treatment with zoledronic acid and docetaxel did not improve OS in previously treated patients with NSCLC and bone metastases in addition to high baseline NTX levels. However, the number of patients in our study was small; as such, this study was not powered to detect differences in secondary variables, and statistical testing was performed for exploratory purposes.

The most common severe toxicities in the present study were leukopenia, neutropenia, febrile neutropenia and elevated ALT levels, which were similar in the two groups. No treatment-related deaths were observed in the DZ group. Although hypocalcemia and pyrexia were more frequent in the DZ group than in the D group, they were mild and manageable in most cases. A possible reason for the high incidence of hypocalcemia in this study was underuse of calcium supplements and vitamin D. Prophylactic oral administration of daily calcium supplements and vitamin D should be considered during treatment with zoledronic acid. No patient experienced ONJ in this study, although it may be argued that the duration of zoledronic acid treatment was too short for this to occur. No additional adverse events were observed in the present study compared with previous studies.<sup>(11,12,23,24)</sup>

The present study demonstrated the safety and tolerability of the combination of zoledronic acid and docetaxel but did not meet the primary endpoint of PFS in advanced NSCLC patients with bone metastasis. Based on these results, we abandoned assessment of the survival benefits of adding zoledronic acid to docetaxel treatment in a larger phase III study. There are potential limitations to our study. First, we used an open-label study design despite the use of PFS as the primary endpoint. Second, the sample size of the present study was relatively small. Third, we did not collect data regarding post-study treatment with zoledronic acid. New treatment options are still needed to prolong the survival of advanced NSCLC patients with bone metastasis.

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