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Abbreviations

216		
217		
218	ATCC	American Type Culture Collection
219	BCOP	Bovine corneal opacity and permeability
220	CVS	Cell-Crystal Violet Staining
221	EU	European Union
222	EURL ECVAM	
223		European Union Reference Laboratory for Alternatives to Animal Testing
224	FDA	Food and Drug Administration
225	IC ₅₀	50% of Inhibitory concentration
226	GHS	Globally Harmonized Systems of Classification and Labeling
227	GLP	Good Laboratory Practice
228	ICATM	International Cooperation on Alternative Test Methods
229	ICCVAM	Interagency Coordinating Committee on the Validation of Alternative Methods
230	ICE	Isolated Chicken Eye
231	JaCVAM	Japanese Centre for the Validation of Alternative Methods
232	MEM	Eagle's Minimal Essential Medium
233	MAS	Maximal average Draize Total Score
234	MW	molecular weight
235	NI	Non-irritant
236	NICEATM	
237		National Toxicology Program Interagency Center for the Evaluation of Alternative
238		Toxicological Methods
239	OECD	Organization for Economic Co - operation and Development
240	SIRC	Statens Seruminstitut Rabbit Cornea
241	SOP	Standard Operating Procedure
242	TEA	Triethanolamine
243	TG	Test guideline
244	UN	United Nations
245	VMT	Validation Management Team
246		
247		
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250		

251 **1. Abstract**

252 The SIRC-CVS ocular irritation test method was developed as a simplified¹ alternative to the Draize
253 rabbit eye test for use in screening chemical substances used as ingredients in cosmetics and
254 quasi-drugs for ocular irritation. The SIRC-CVS:TEA test method is a modified version of the
255 SIRC-CVS test method that was validated in the 1990s under the Ministry of Health and Welfare
256 Project² on alternatives to the Draize test, and this study was implemented at three participating
257 laboratories in accordance with the spirit of GLP to validate intra- and inter-laboratory
258 reproducibility as well as usefulness for distinguishing non-irritants from irritants in a bottom up
259 approach.

260 The SIRC-CVS:TEA test method assesses cytotoxicity by measuring viable SIRC cells stained by
261 crystal violet following a 72-hour exposure to test substances. The result is then used to calculate an
262 IC₅₀ value for the test substance, and if this value is smaller than the IC₅₀ value of triethanloamine
263 (TEA) as a relative control, the test substance is judged to be an irritant. The test substances were
264 selected to provide a balanced representation of GHS categories and were coded prior to distribution
265 to the participating laboratories.

266 Transferability of the test method was assessed using four test substances in Phase I. Intra-laboratory
267 reproducibility was assessed using twenty test substances in Phase II. Inter-laboratory
268 reproducibility was assessed using thirty test substances from Phases II and III at each of three
269 participating laboratories, and predictive capacity was assessed using 115 test substances from
270 Phases II and III.

271 The results demonstrated that the test method:

- 272 1. Was easily transferable to technically proficient laboratory technicians,
- 273 2. Demonstrated excellent intra-laboratory reproducibility (100%, 20/20) and inter-laboratory
274 reproducibility (90%, 3/30),
- 275 3. Demonstrated an accuracy of 71.4% (30/42), sensitivity of 95.2% (20/21), and specificity of
276 47.6% (10/21) with a low false-negative rate of 4.8% (1/21) for test substances with a molecular
277 weight of 180 or greater.

278 On the other hand, a significantly higher false-positive rate was observed for alcohols, esters,
279 ketones, and other similar test substances.

280 From the above described results, we concluded that the SIRC-CVS:TEA test method demonstrated
281 excellent intra- and inter-laboratory reliability and that, with a carefully defined applicability domain,
282 it is a useful alternative to the Draize test for distinguishing cosmetic ingredients that are ocular
283 non-irritants from those that are irritants.

284

285 **2 Introduction**

286 Assessing the ocular toxicity of the chemical substances used as cosmetic ingredients is an essential
287 part of product development. The Draize eye irritation test has been commonly used to assess in
288 vivo ocular damage to rabbit eyes caused by exposure to chemical substances (Draize et al., 1959).

289 At present, however, animal welfare and other considerations point to the desirability of in vitro test
290 methods that can be used in place of the Draize test. In fact, a variety of in vitro eye irritation test
291 methods have heretofore been developed and validated. In September 2009, the bovine corneal
292 opacity and permeability test and the isolated chicken eye test were adopted as the Test Guidelines
293 437 and 438, respectively, by the Organization for Economic Cooperation and Development as a
294 means of assessing chemical substances for severe eye irritation potential. Both of the test guidelines
295 were later revised and adopted by the OECD in July 2013 as a test method to assess non-irritants as
296 well as severe eye irritants. Guidance describing the BCOP's contribution in assessing the safety of
297 cosmetics and quasi-drugs was published by the Japanese Ministry of Health, Labour and Welfare in
298 February 2014. Although OECD guidelines have been adopted for test methods that use isolated
299 organs, there are as of yet no guidelines adopted for in vitro eye irritation test methods that assess
300 non-irritants using corneal cells rather than organs.

301

302 The Statens Seruminstitut Rabbit Cornea Cell-Crystal Violet Staining (SIRC-CVS) cytotoxicity test
303 uses an established SIRC cell line derived from the corneas of rabbit eyes. It assesses cytotoxicity by
304 measuring viable cells stained by crystal violet following a 72-hour exposure to test substances. This

305 in vitro method has previously been considered for use as an alternative to the Draize test. Itagaki et
306 al. (1991) assessed the eye irritation potential of twelve surfactants using the SIRC-CVS test and
307 reported in vitro results that correlated well with in vivo results, thereby suggesting that the
308 SIRC-CVS test is useful for assessing the eye irritation potential of cosmetic ingredients. Based on
309 this potential, a three-phase validation study of the SIRC-CVS test was planned and performed with
310 the support of the Ministry of Health and Welfare (Ohno et al., 1999). Entitled *Studies on the test*
311 *methods to evaluate the safety of new ingredients of cosmetics*, the study was carried out by six
312 independent laboratories from 1991 to 1999. In this study, assessment of nine surfactants and saline
313 indicated good intra- and inter-laboratory reproducibility as well as good correlation between in
314 vitro and in vivo tests results (Itagaki et al., 1995). Also, a strong correlation ($r = -0.805$, $n = 29$)
315 between in vitro (cell viability measured as IC_{50}) and in vivo (the maximal average Draize total
316 score; MAS) was found for twenty-nine cosmetic ingredients, (Tani et al., 1999). The SIRC-CVS
317 test was later modified for use in distinguishing substances used as cosmetic ingredients which are
318 ocular non-irritants from those which are irritants, and polyoxyethylene sorbitan monolaurate
319 (20E.O.) was set as a reference substance for non-irritancy at a concentration of 10% (Ohno, 2004).
320 Data from the Japanese validation as reported by Tani et al. (1999) and the study reported by Hagino
321 et al. (2010) were re-analyzed using the cut-off value of triethanolamine (TEA) as a reference for
322 evaluating neat substances. A JaCVAM peer review of the SIRC-CVS test based on this data, which
323 was obtained between 2009 and 2011, concluded that this test could be used to identify non-irritants
324 but that a validation using the modified test protocol, known as SIRC-CVS:TEA, was still
325 necessary.

326 The purpose of this study is to validate intra- and inter-laboratory reproducibility as well as the
327 predictive capacity of the SIRC-CVS:TEA cytotoxicity test method. As a specific goal, this
328 validation study aims to clarify whether or not the SIRC-CVS:TEA cytotoxicity test method is a
329 useful alternative to the Draize test method in a bottom-up approach for distinguishing substances
330 used as cosmetic ingredients which are ocular non-irritants from those which are irritants under
331 GHS classification. To this end, we planned to validate the proposed SIRC-CVS:TEA cytotoxicity

332 test protocol using a sufficient number of coded substances for three laboratories to assess the eye
333 irritation potential of the test substances. The validation began in 2011 and comprises three phases:
334 Phase I for validating technical transfer and training using four non-coded test substances
335 Phase II for validating intra- and inter-laboratory reproducibility using 20 coded test substances
336 Phase III for validating inter-laboratory reproducibility and predictive capacity using 100 coded
337 test substances

338

339 **3. Methods**

340 **3.1 Study Plan**

341 **3.1.1 Project plan**

342 This validation study was implemented in September 2009 to assess the transferability, intra- and
343 inter-laboratory reproducibility, and predictive capacity of a proposed SIRC-CVS:TEA test protocol.
344 The specific goal of this study was to demonstrate that the proposed SIRC-CVS:TEA test method is
345 a useful in vitro alternative to the in vivo Draize test method for identifying non-irritants under the
346 Globally Harmonized System of Classification and Labeling of Chemicals (GHS). This validation
347 study comprises three phases to confirm the reproducibility and the predictive capacity of the
348 SIRC-CVS:TEA cytotoxicity test method. These study plans were organized and approved by the
349 members of the Validation Management Team (VMT) and the participating laboratories.

350

351 **3.1.2 Organization**

352 The validation study was organized as shown in Fig. 1 to assure scientific pertinence and smooth
353 implementation.

354 The SIRC-CVS:TEA validation management team (VMT) comprises a chairperson, members of the
355 chemical management group, the data analysis group, the record management group,
356 and a representative of test development lead laboratory. Support to participating laboratories was
357 provided by the lead laboratory. A representative of ICCVAM acted as a liaison to the VMT and the
358 representatives of the participating laboratories were observers. The VMT prepared, reviewed, and

359 finalized all draft study plans and protocols. In addition, the VMT managed the validation study by
360 following its progress, assuring the quality of its records, contacting and coordinating with
361 participants, and handling other administrative duties as necessary. Table 1 shows the organization
362 of the VMT.

363

364 **3.1.2.1 Chairperson**

365 A chairperson was elected by vote of the VMT members and was responsible for preparing draft study
366 plans, the study protocol, and the test substance list as well as for convening ad hoc VMT meetings for
367 review and finalization of the study plan, the study protocol, and the test substance list. The
368 chairperson was also responsible for other administrative duties related to the validation study.

369

370 **3.1.2.2 Chemical management group**

371 The chemical management group comprised two members selected from the VMT and was
372 responsible for preparing list of test substances as well as conferring with the chairperson to finalize the
373 test substances used in the validation study. It also prepared and distributed lists of non-coded or coded
374 test substances to chemical distributors.

375

376 **3.1.2.3. Data analysis group**

377 The data analysis group comprised one member selected from the VMT and was responsible for
378 providing objective analysis of data obtained in this validation study from a third-party standpoint as
379 well as for statistical processing of data.

380

381 **3.1.2.4. Record management group**

382 The record management group comprised one member selected from the VMT and was responsible for
383 preparing the protocol, test substance preparation sheets, blank data sheets, and other necessary
384 materials as well as for distributing these materials to the participating research laboratories. It also
385 collected the completed forms and data sheets after testing, reviewed the records for errors and

386 omissions, and requested correction as necessary.

387

388 **3.1.2.5. Research laboratories**

389 The following three laboratories participated in the assessment of test substances using the

390 SIRC-CVS: TEA test method.

391 1. Bozo Research Center Inc. of Japan

392 2. Nihon Kolmar Co., Ltd of Japan

393 3. Biototech Co., Ltd of Korea

394 Representatives of the participating laboratories were observers to the VMT and were responsible

395 for carrying out testing according to the study protocol as well as for filling out and submitting all

396 necessary records and forms upon completion of testing.

397

398 **3.1.3. Study design**

399 The SIRC-CVS:TEA test method measures viable cells stained by crystal violet. Crystal violet

400 staining is with a variety of cultured cells and produces relatively stable results. Validation of the

401 SIRC-CVS:TEA test method was carried out in three phases, as detailed in Appendix 8.1.

402

403 **3.1.3.1 Training of participating personnel**

404 A technical transfer workshop focusing on the principles of and protocol for the SIRC-CVS test

405 method was held on Thursday, Nov. 11, 2011, with personnel from all three laboratories in

406 attendance. Instructors from the lead laboratory explained the test method by demonstrating the

407 protocol as shown in a video presentation, which was provided to all three laboratories after the

408 workshop in a DVD.

409

410 **3.1.3.2 Phase I study**

411 The Phase I study was designed to assess transferability using four non-coded test substances.

412 (Study Plan version 1.1). Each test substance was judged either positive or negative based on

413 obtaining consistent results from each of three runs.

414

415 **3.1.3.3 Phase II study**

416 Phase II was designed to assess intra- and inter-laboratory reproducibility using twenty coded
417 substances (Study Plan IIA; version 1.51, Study Plan IIB; version 1.53), but was split into two parts:
418 Phases IIA and IIB.

419 Phase IIA was designed to assess the intra- and inter-laboratory reproducibility of five test
420 substances, after which Phase IIB was designed to validate an additional fifteen test substances.

421 Each test substance was judged either positive or negative based on three runs per set for each of
422 three sets.

423

424 **3.1.3.4 Phase III study**

425 Phase III was designed to assess the inter-laboratory reproducibility and predictive capacity of the
426 SIRC-CVS:TEA test method for one hundred coded test substances. Each laboratory tested one
427 common set of ten test substances and one unique set of 30 test substances, as shown in Table 2
428 (Study Plan version 1.56). Each test substance was judged either positive or negative based on two
429 runs. When the results of the first and second runs were consistent, judgment was based on these
430 first two runs alone, and no third run was performed. When the results of the first and second runs
431 were inconsistent, a third run was performed and the test substance judged according to the results of
432 the final run.

433

434 **3.1.3.5 Test substances**

435 The test substances were selected to ensure that a variety of substances were represented, including
436 various eye-irritant levels per GHS and EPA categories, physical state, chemical classes, and eye
437 lesions produced. Substances for which high-quality in vivo data, especially data including results
438 from individual animals, was available were given preference, such as substances listed in ICCVAM
439 or ECVAM Eye Irritation Validation Studies. All selected test substances are available commercially.

440 A total of more than one hundred test substances were used in this validation study. These
441 substances were selected by the chemical management group and approved by the VMT. All
442 substances tested in Phase III were coded, and their names provided only after completion of the
443 study. Each of the three laboratories tested a total of forty substances, ten of which were tested in
444 common by all three laboratories, as shown in Table 3.

445

446 **3.1.3.6 Study duration**

447 Testing was performed from the of September 2011 until September 2013

448 Phase I, from September 2011 to March 2012 (Study Plan ver. 1.1)

449 Phase II-A, from March 2012 to September 2012 (Study Plan ver. 1.51)

450 Phase II-B, September 2012 to March 2013 (Study Plan ver. 1.53)

451 Phase III, March 2013 to September 2013 (Study Plan ver. 1.56)

452

453 **3.1.4 Success criteria**

454 Success criteria for intra- and inter-laboratory reproducibility was 80%, for accuracy was 80%, and
455 for false negatives was less than 5%, as determined by the VMT prior to testing. Other acceptance
456 criteria are described in section 3.2.8. *Quality Control*. The data file used at the participating
457 laboratories was developed by the data analysis group, and entering data from test results
458 automatically calculates values for IC₅₀ using a dose-response plot as well as several other quality
459 control criteria described in protocol Ver. 2.13E.

460

461 **3.2 Summary of protocol**

462 An overview of the SIRC-CVS test method is shown in Fig. 2. In addition, the current test protocol,
463 Ver. 2.13E, is shown in Appendix 8.2 document. The procedures are described in greater detail
464 below.

465

466 **3.2.1 Cells**

467 SIRC cells (Statens Seruminstitut rabbit corneal cells: ATCC NO. CCL-60) were obtained from

468 American Type Culture Collection. The cells were used within 3 months of the start of cultivation
469 and were managed per the criteria stipulated in Section 3.2.8 *Quality Control*.

470 SIRC cells were cultured in a culture flask at 37°C, 5% CO₂ in Eagle's Minimal Essential Medium
471 (MEM), containing 10% (v/v) fetal bovine serum, and 1% (v/v) antibiotic solution of 100 U/mL
472 penicillin, 100 µg/mL streptomycin, and 250 ng/mL Amphotericin B. Confluent cells were dispersed
473 in culture to single cells using trypsin-EDTA solution, after which they were transferred to a culture
474 flask.

475

476 **3.2.2 Stability of the test substance in the medium**

477 The solubility of each test substance in the medium was confirmed in advance, using the procedure
478 shown in Fig. 2. The test substance was dissolved or suspended uniformly in the medium at a
479 concentration of 10,000 µg/mL (1% w/v). A vortex mixer, water bath, or sonicator as necessary. If
480 the test substance could not be dissolved or suspended uniformly in the medium alone, it was mixed
481 with DMSO at a concentration of 10,000 µg/mL in the medium. If the test substance could not be
482 dissolved or suspended uniformly with DMSO in the medium, the test substance was mixed with
483 ethanol a concentration of 10,000 µg/mL in the medium. If the test substance could not be dissolved
484 or suspended uniformly with ethanol in the medium, the concentration was lowered, and it was
485 mixed with DMSO at a concentration of 5,000 µg/mL in the medium. If the test substance could not
486 be dissolved or suspended uniformly with DMSO at a concentration of 5,000 µg/mL in the medium,
487 it was mixed with ethanol at a concentration of 5,000 µg/mL in the medium. Any test substance that
488 still could not be suspended uniformly was judged to be unsuitable for the SIRC-CVS:TEA test.
489 Judgment of suspensibility was performed macroscopically.

490

491 **3.2.3 Preparation of the test substances**

492 Each test substance was dissolved or suspended uniformly in the medium using the solvent
493 identified per the procedure given in Section 3.2.3 *Stability of the test substance in the medium*. Test
494 substances that could not be dissolved or suspended uniformly at a concentration of 10,000 µg/mL

495 in the medium alone were mixed with DMSO at a concentration of 10,000 $\mu\text{g}/\text{mL}$ in the medium,
496 with ethanol at a concentration of 10,000 $\mu\text{g}/\text{mL}$ in the medium, with DMSO at a concentration of
497 5,000 $\mu\text{g}/\text{mL}$ in the medium, or with ethanol at a concentration of 5,000 $\mu\text{g}/\text{mL}$ in the medium. Any
498 test substance that still could not be suspended uniformly was judged to be unsuitable for the
499 SIRC-CVS:TEA test. The final maximal concentrations of both the test substances and the
500 appropriate solvent (DMSO or ethanol) were 5,000 $\mu\text{g}/\text{mL}$, respectively, after dilution by the
501 medium containing the SIRC cells. The final maximal concentration of the substances and the
502 appropriate solvent was 2,500 $\mu\text{g}/\text{mL}$ (0.25% w/v) and 5,000 $\mu\text{g}/\text{mL}$, respectively, when the low
503 concentration of the substance was selected. Wells that exhibited precipitation or other problems any
504 time after the test substance and cells were mixed, especially prior to the end of the 72-hr incubation
505 period, were rejected.

506

507 **3.2.4 Application of the test substance**

- 508 1. PBS(-), negative control, dilution series of the test substance, positive control, and relative
509 control were prepared in a 96-well microplates per the layout shown in Fig. 3.
- 510 2. One tenth mL of the 2×10^5 cells/mL suspension was added to the wells as shown in Fig. 4.1 and
511 4.2..
- 512 3. A microplate lid and wrap film were used to prevent contamination from volatile test
513 substances. The six quality control criteria were used to check for contamination from volatile
514 test substances. If contamination of a volatile test substance was found, the test was redone
515 using the dilution series.
- 516 4. After adding the test substance and the cell suspension, each microplate was left to stand still
517 for 20 minutes on a clean bench until the cells adhered to the bottom of the well. After that, the
518 microplates were moved to the CO_2 incubator.

519 The microplates were incubated for 72 hrs at 37degC and 5% CO_2 .

520

521 **3.2.5 Crystal violet staining**

- 522 1. After incubation, the medium containing the test substance was removed by gently tilting the
523 microplates.
- 524 2. 200 μ L of PBS(-) was added, the microplates shaken gently, and the PBS(-) removed by gently
525 tilting the microplates. This step was performed twice.
- 526 3. 100 μ L of crystal violet methanol solution was added to each well, and let stand for 30 minutes
527 to stain the cells.
- 528 4. After the staining, the crystal violet methanol solution was removed by gently tilting the
529 microplates. After a thorough washing with tap water, any residual water was removed by
530 blotting with paper towels and the cells was then allowed to dry naturally or placed in a dryer.
- 531 5. Absorbance as measured using a microplate reader at 588 nm or 570 nm, depending upon
532 available equipment.

533

534 **3.2.6 Calculation of IC₅₀**

535 Absorbance of control wells containing no test substance was assumed to be 100%, and the
536 percentage absorbance for each well was calculated. The concentration at which the growth of cells
537 was inhibited to 50% of the control (IC₅₀) was calculated using two concentrations around the
538 predicted concentration of 50% cell viability per the following formula.

$$539 \text{LogIC}_{50} = \{(50-y_1)\text{Log}x_2 - (50-y_2)\text{Log}x_1\} / (y_2 - y_1)$$

540 where x_1 is the lower concentration, x_2 the higher concentration, y_1 cell viability at the lower
541 concentration, y_2 cell viability at the higher concentration, and Log the common logarithm (\log_{10}).

542 If cell viability was $> 50\%$ at the maximal concentration of 5,000 $\mu\text{g/mL}$, the IC₅₀ of the test
543 substance was recorded as $> 5,000 \mu\text{g/mL}$. Also, if the cell viability was $< 50\%$ at the concentration
544 of 39.1 $\mu\text{g/mL}$ (the lowest concentration tested), IC₅₀ of the test substance was recorded as < 39.1
545 $\mu\text{g/mL}$.

546 In spreadsheets, the cell viability value was rounded to the nearest tenths.

547

548 **3.2.7 Evaluation**

549 The eye irritation of test substances was assessed using TEA as a relative control. TEA (100%) is
550 classified as No Category under GHS, per data published by Ohno et al. Test substances with an IC₅₀
551 greater than or equal to that of TEA were judged negative (GHS No Category). Those with an IC₅₀
552 less than that of TEA were judged positive (GHS Category 1 or 2). When the results of the first two
553 runs did not match, a third run was performed and judgment was based on that result. In cases where
554 standard deviation was calculated, three runs were made.

555

556 3.2.8 Quality control

557 Quality control was based on six criteria. If measurements did not satisfy quality control criteria, the
558 test substance was retested. In cases where volatile test substances caused discrepancies, the test
559 substance was retested at a lower concentration.

560

- 561 1. The absolute OD obtained from the negative control was used as an index of normal
562 proliferation for SIRC cells seeded at the concentration of 1×10^4 cells/well and incubated
563 for 72 hrs. The mean OD of the negative control wells must be > 0.4 for the test results to
564 be accepted.
- 565 2. Sodium dodecyl sulfate (SDS) was used as a positive control. The IC₅₀ of SDS must be
566 77–259 $\mu\text{g/mL}$ when tested by the standard protocol for the test results to be accepted.
- 567 3. TEA was used as a relative control. The IC₅₀ range of TEA must be 1,000–2,500 $\mu\text{g/mL}$
568 when tested by the standard protocol for the test results to be accepted.
- 569 4. The difference between two dilution series of the substance should be confirmed. The IC₅₀
570 of the first series and the second series must be within $\pm 20\%$ of the mean IC₅₀ of the two
571 series for the test results to be accepted. If the IC₅₀ was lower than 39.1 $\mu\text{g/mL}$ (the lowest
572 concentration tested), 39.1 $\mu\text{g/mL}$ was adopted as the IC₅₀. If the IC₅₀ was higher than
573 5000 $\mu\text{g/mL}$ (the highest concentration tested), 5,000 $\mu\text{g/mL}$ was adopted as the IC₅₀.
- 574 5. The mean ODs of the left and right wells must be within $\pm 15\%$ of the mean OD of all
575 negative control wells for the test results to be accepted.

576 6. There should be no more than a two-fold difference between the mean values of the IC₅₀
577 positive controls of two separate runs.

578

579 **3.3 Test substances**

580 **3.3.1 Selection of test substances for the Phases I, II, and III studies**

581 **3.3.1.1 Test substances for phase I study**

582 To confirm transferability of the SIRC-CVS:TEA test, sodium dodecyl sulfate was used as a positive
583 control, TEA as a relative control, and four un-coded substances were assessed at the three
584 participating laboratories, each of which performed one run using an identical protocol. The four
585 un-coded substances were ethyl-2-methyl acetoacetate (water solubility), safflower oil (oil
586 solubility), 3-chloropropionitrile (high volatility and cytotoxicity), and sodium dehydroacetate
587 (cytotoxicity) (Table 4). The results from the three participating laboratories were compared with the
588 data from the lead laboratory.

589

590 **3.3.1.2 Test substances for phase II study**

591 For Phase II, the Chemical Management Group and VMT selected 20 substances, as shown in Table
592 5, which had previously been assessed using the Draize eye test and classified under both GHS. The
593 test substances were coded prior to distribution to the three participating laboratories (Appendix
594 8.3).

595

596 **3.3.1.3 Test substances for Phase III study**

597 For Phase III, the Chemical Management Group and VMT selected 100 substances, as shown in
598 Table 6, which had previously been assessed using the Draize eye test and classified under GHS.
599 The test substances were coded before being assessed at the three participating laboratories. A set of
600 10 common test substances and a set of 30 unique test substances were allocated to each of the three
601 participating laboratories, as shown in Table 2.

602

603 **3.3.2 Test substances selected for the validation study**

604 The 120 test substances listed in Tables 2 and 3 of Appendix 8.3 were used to analyze the predictive
605 capacity of the SIRC-CVS:TEA assay. One of these, 3,3-dithiodipropionic acid, was duplicated in
606 distribution, so one entry was eliminated from the list. Three other test substances—citric acid, hexyl
607 cinnamic aldehyde, and potassium sorbate—were excluded due to a lack of individual animal data
608 with a clear source. Thus, there were a total of 116 test substances with individual animal data.

609 The physical state, chemical class, and classification per both GHS and EPA for each of the 116 test
610 substances is shown in Table 4 of Appendix 8.3. The VMT considers the structural diversity of the
611 selected test substances to cover the physical chemical properties as well as the full range of ocular
612 irritation potential represented in GHS categories.

613

614 **3.3.3 Purchase, coding, and distribution of test substances**

615 All of the test substances used in Phases I, II, and III were obtained from commercial sources, as
616 shown in Table 4 of Appendix 8.3. Test substances used in the Phases II and III were coded and
617 distributed to the participating laboratories by JaCVAM.

618

619 **3.4 Quality assurance**

620 The participating laboratories conducted all tests in accordance with the principles of Good
621 Laboratory Practice (GLP, OECD 1999), which were documented together with a discussion of any
622 impact on study results. Preparation of test substances was recorded using a format developed for
623 this validation by the lead laboratory. Researchers in participating laboratories recorded information
624 such as the code name of each test substance, solvent name, date of the preparation, solubility or
625 suspensibility, and concentration of the sample solution. These records were sent from the
626 participating laboratories to JaCVAM, where their validity and accuracy were checked. These
627 records are maintained by JaCVAM.

628

629 **3.5 Record collection and analysis**

630 Data collection and analysis was performed in close collaboration with biostatisticians. The data
631 files used by the participating laboratories were developed by the data analysis group. Independent
632 biostatisticians collected and organized data using specialized data collection software that
633 calculates the value of IC_{50} using a dose-response plot and quality control criteria. The data was
634 decoded and analyzed statistically. The data management procedures and the statistical tools were
635 approved by the chairperson and the data analysis group. Any deviations found in the analysis were
636 documented together with a discussion of any impact on study results. The eye irritation potential of
637 the test substances were evaluated by using TEA as a relative control in accordance with protocol
638 Ver. 2.13 of Appendix 8.2. Test results were evaluated against with GHS classification based on an
639 analysis of specific IC_{50} criteria.

640 Predictive capacity of the SIRC-CVS:TEA test method was evaluated using data from Phase II and
641 III. First, an analysis was performed to assess predictive capacity using the TEA IC_{50} to determine
642 GHS classification for either a bottom-up or a top-down approach. Further analysis was then
643 performed to reduce false negatives by limiting the applicability domain using chemical classes and
644 properties of interest. Chemical classes with at least six representative substances were examined:
645 alcohols, carboxylic acids, esters, ethers, halogen compounds, heterocyclic compounds,
646 hydrocarbons, ketones, organic salts, phenols, surfactants, and thiol compounds. Physical chemical
647 properties of interest were molecular weight, physical state, purity, water solubility, distribution
648 coefficient (log D), and vapor pressure. Criteria and rationale for selection of these properties of
649 interest are shown in Table 7.

650

651 **4. Results**

652 **4.1 Phase I study**

653 Phase I was designed to assess transferability and intra-laboratory reproducibility of the
654 SIRC-CVS:TEA eye irritation test method. Prior to this validation study, the lead laboratory
655 carried out technical training using the test protocol ver. 1.7.1E. The four non-coded substances
656 selected for the phase I study were ethyl-2-methyl acetoacetate (water solubility), safflower oil (oil