

FACSAria (BD Biosciences) from TDLNs of mice on day 2 during the tumorigenicity assay. Cells were transferred to RNA later (Life Technologies) to stabilize and protect intact cellular RNA. RNA isolation was performed according to the TRIzol Reagent technical manual (Life Technologies). Total RNA (50 ng) was amplified and labeled using the Agilent Low-Input QuickAmp Labeling Kit, one color (Agilent Technologies). Labeled cRNA was hybridized to Agilent Whole Mouse Genome Oligo DNA microarray (4 × 44 K) v2 (Agilent Technologies). All gene transcription products were hybridized to microarray slides and were scanned by an Agilent scanner. Relative hybridization intensities and background hybridization values were calculated using the Agilent Feature Extraction Software (v9.5.1.1; Agilent Technologies). The raw signal intensities of two samples were  $\log_2$ -transformed and normalized by a quantile algorithm with the "preprocessCore" library package on Bioconductor software. We used Z-scores to compare significant changes in gene expression in each of the three groups (DCs from mice treated with LLC, LLC/SeV/GFP, and LLC/SeV/GM cells). Lists of genes with statistically significant expression in GM-DCs in comparison with GFP-DCs were submitted to Ingenuity Pathway Analysis (IPA; Ingenuity Systems) and analyzed for overrepresented general functions and the resulting networks. Microarray data were deposited in Gene Expression Omnibus (GEO; <http://www.ncbi.nlm.nih.gov/geo/>; accession number GSE43169).

#### **In vivo depletion experiments**

To deplete pDCs, mice were injected intraperitoneally with 100  $\mu$ g of anti-PDCA-1 mAb (JF05-1C2.4.1; Miltenyi Biotec) or control Ab (rat IgG; Jackson ImmunoResearch), as previously described (7). Effective depletion of PDCA-1<sup>+</sup> cells was confirmed by flow cytometric analysis (Supplementary Fig. S1). CD4<sup>+</sup> T or CD8<sup>+</sup> T cells were depleted by using GK1.5 or 2.43 mAbs, as previously described (8). Briefly, mice received intraperitoneal injections of anti-mouse GK1.5 mAb, anti-mouse 2.43 mAb (50  $\mu$ g/mouse), or control Ab 6, 4, and 2 days before tumor challenge, and once every 3 days thereafter. Effective depletion of CD4<sup>+</sup> and CD8<sup>+</sup> T cells was confirmed by flow cytometric analysis (data not shown).

## **Results**

### **Production of GM-CSF from LLC and B16F10 cells remarkably impaired the tumorigenicity**

To test the possibility that substantial secretion of GM-CSF from syngeneic mouse cancer cells facilitates the development of antitumor immune responses, we used recombinant non-transmissible Sendai virus vectors expressing GM-CSF (SeV/GM) at various MOI. Abundant GM-CSF production from the infected LLC (LLC/SeV/GM) cells was observed and was MOI dependent (Fig. 1A). The proliferation rate of LLC cells was not affected by transduction with SeV/GM, as previously described (6). We next performed tumorigenicity assays in which each LLC and LLC/SeV/GM cells (MOI = 1, 10, and 100) were subcutaneously injected into the left flank of syngeneic mice. All mice treated with LLC/SeV/GM cells exhibited significant suppression of the tumor outgrowth in an MOI-dependent manner (Fig. 1B). We thus determined MOI = 100 for gene

transduction as an optimized infection dose. Notably, mice treated with LLC/SeV/GM cells showed significantly suppressed tumor growth and prolonged survival of mice, compared with control groups ( $P < 0.001$ ; Fig. 1C). Similar suppression of tumor growth and prolongation of mouse survival were observed when SeV/GM-infected B16F10 melanoma cells were injected to C57BL/6N mice (Fig. 1D).

### **Increased ability of GM-CSF–sensitized DCs to stimulate T-cell proliferation, accelerate their maturation, and deliver phagocytosed TAAs in TDLNs**

To determine a putative phase when GM-CSF–sensitized DCs from TDLNs of mice treated with LLC/SeV/GM cells (GM-DCs) effectively prime naïve T cells, we performed allogeneic MLR assays. GM-DCs exhibited a significantly marked response on day 2 compared with DCs from mice treated with LLC/SeV/GFP cells (GFP-DCs), and stimulated the proliferation of allogeneic CD3<sup>+</sup>CD4<sup>+</sup> T and CD3<sup>+</sup>CD8<sup>+</sup> T cells (Fig. 2A). Furthermore, GM-DCs harvested on day 2 elicited higher expression levels of costimulatory maturation markers CD80 and CD86 than those from control mice (Fig. 2B), suggesting that day 2 could be the putative phase to mount optimum immunologic responses by GM-DCs. To explore the migratory capacity of GM-DCs that phagocytosed TAAs at the tumor injection site, we inoculated PKH26-labeled LLC, LLC/SeV/GFP, or LLC/SeV/GM cells into the right flank of mice, and evaluated PKH26<sup>+</sup> DC numbers in both TDLNs and contralateral LNs (CLN). The frequencies of PKH26<sup>+</sup> DCs in TDLNs, but not CLNs, harvested from mice treated with LLC/SeV/GM cells were significantly increased, indicating that GM-CSF production potentiated the migration of PKH26-labeled LLC cells (TAA)–phagocytosed DCs from the tumor injection site into TDLNs ( $P < 0.05$ ; Fig. 2C). PKH26<sup>+</sup> GM-DCs derived from TDLNs, but not from CLNs, showed significantly higher CD86 expression than controls ( $P < 0.001$ ; Fig. 2D).

### **cDNA microarray analysis revealed the involvement of type I IFN–related pathways in GM-CSF–induced antitumor immunity**

On the basis of the aforementioned results, we determined day 2 to be an adequate time point for the peak in T-cell priming by TAA–phagocytosed CD86<sup>+</sup> DCs. To address the important factor of DC/T-cell priming, we isolated CD86<sup>+</sup> DCs from mice treated with LLC/SeV/GM cells and control groups, and compared the comprehensive gene expression patterns of isolated CD86<sup>+</sup> DCs in TDLNs. After normalization of microarray data and statistical analysis, 1,318 genes were found to be differentially expressed between GM-DCs and GFP-DCs with statistical significance (upregulated genes; Z-score  $\geq 2$  and ratio  $> 1.5$ , downregulated genes; Z-score  $\leq -2$  and ratio  $< 0.66$ ; data not shown). A list of the genes significantly upregulated in the top 10 canonical pathways in CD86<sup>+</sup> GM-DCs in comparison with CD86<sup>+</sup> GFP-DCs is shown in Table 1. As expected, these genes composed immunologic response–related pathways (Fig. 3A). Among the activated pathways triggered by GM-CSF, we focused on the following representative molecules: IRF7, OAS3 (2'-5'-oligoadenylate synthetase 3), and TLR7, which constitute the type I IFN (IFN- $\alpha$ /IFN- $\beta$ )–associated pathways (Fig. 3B and C;

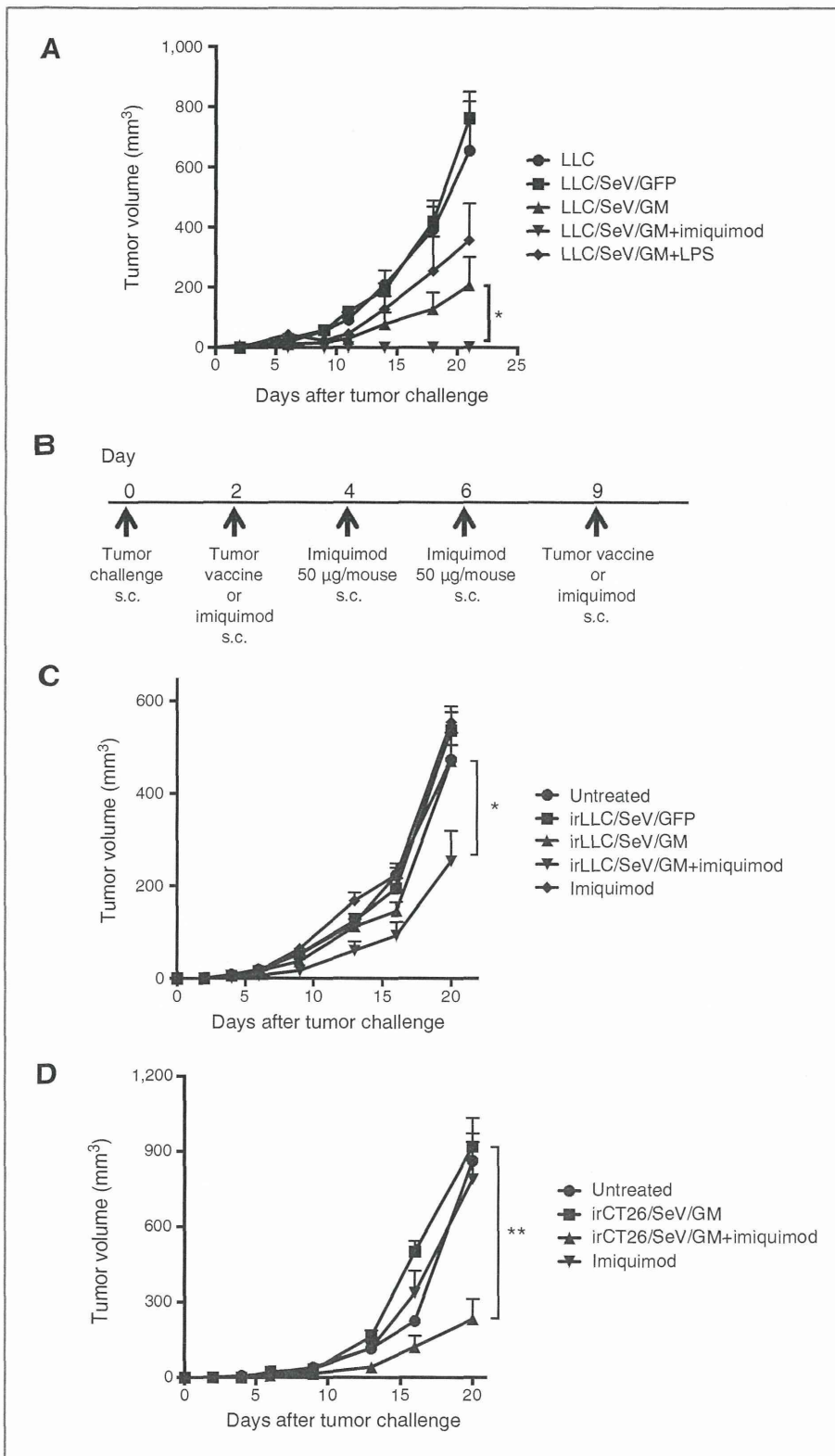
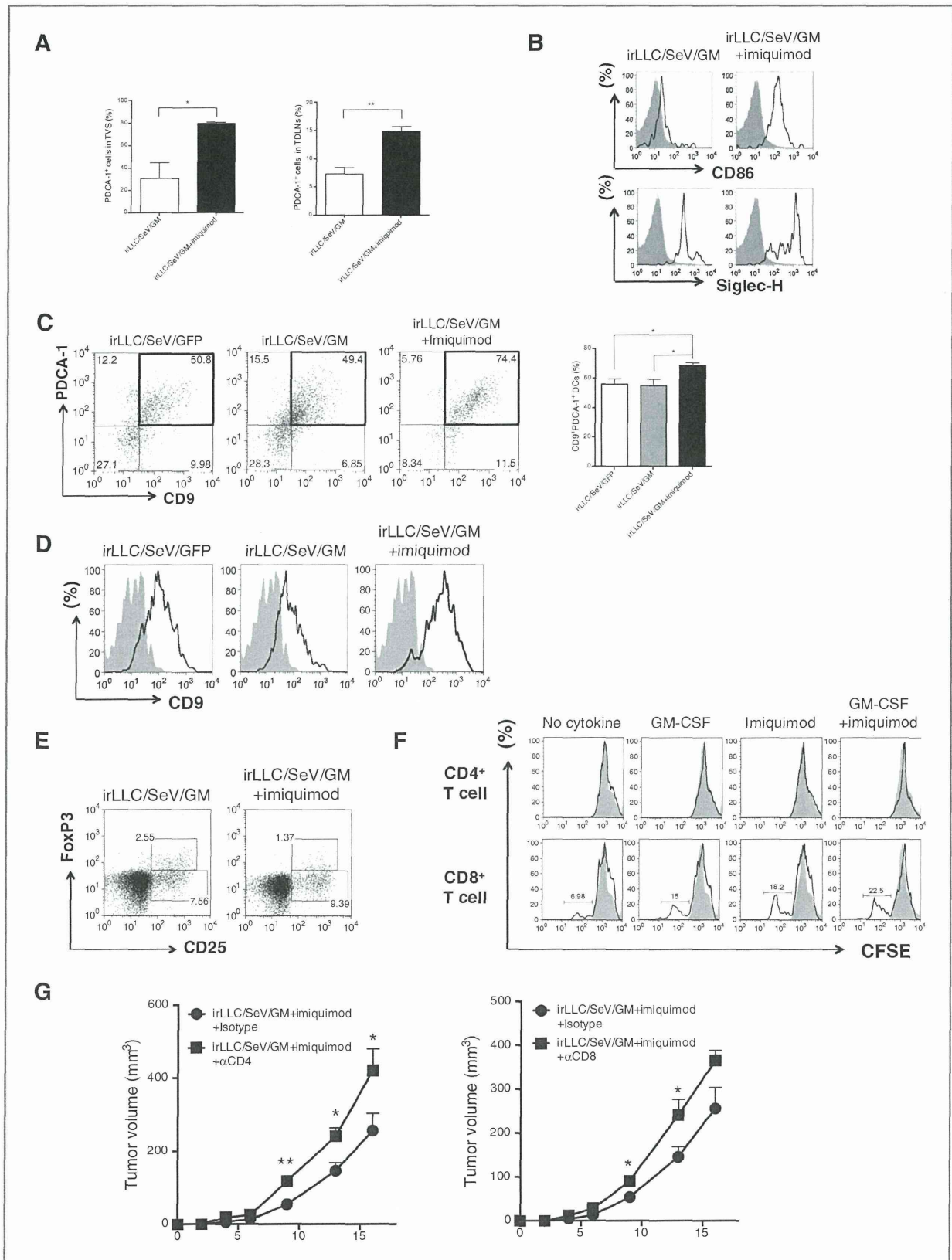


Figure 4. Combined imiquimod and irLLC/SeV/GM cells exert significant therapeutic antitumor effects compared with irLLC/SeV/GM cells alone. A, a total of  $2.0 \times 10^5$  LLC and LLC/SeV/GM cells with or without LPS or imiquimod were subcutaneously inoculated into the right flanks of C57/BL6N mice. Bar graphs, mean + SEM of tumor volumes. Combined data from two independent experiments with similar results are shown (\*,  $P < 0.05$ ). B, schematic diagram of the experimental protocol of therapeutic GM-CSF-based tumor vaccination. Briefly,  $2.0 \times 10^5$  LLC cells or  $3.0 \times 10^5$  CT26 cells were inoculated subcutaneously to C57/BL6N or BALB/cN mice. LLC-bearing mice were divided into the following groups: untreated, imiquimod alone, irLLC/SeV/GFP, irLLC/SeV/GM, or irLLC/SeV/GM cells plus imiquimod. CT26-bearing mice were divided into the following groups: untreated, imiquimod alone, irCT26/SeV/GM or irCT26/SeV/GM cells plus imiquimod. On days 2 and 9, mice were inoculated subcutaneously with the indicated vaccine cells. For imiquimod administration, mice were subcutaneously inoculated with imiquimod on days 2, 4, 6, and 9. Represented are tumor growth curves observed in either LLC- (C) or CT26-bearing (D) mice (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ).



refs. 5, 9). Microarray results for the expression levels of *Irf7* and *Oas3* were validated by performing qRT-PCR (Supplementary Fig. S2). As pDCs provoke initial defensive antiviral responses by type I IFN production and are the main producers of type I IFNs (10), we speculated that pDCs could be positively involved in the induction of effective GM-CSF–sensitized DC/T-cell priming (11). Indeed, the numbers of pDCs, CD11b<sup>+</sup> cDCs, and CD8<sup>+</sup> cDCs subsets from total GM-DCs from TDLNs harvested on days 2 and 4 were greater than the equivalent subsets from total GFP-DCs (Fig. 3D). Furthermore, the results of *in vivo* experiments using IFN $\alpha$  receptor knockout (IFNAR<sup>-/-</sup>) mice demonstrated that IFNAR<sup>-/-</sup> mice inoculated with LLC/SeV/GM cells significantly abrogated the impairment of tumorigenicity seen in the corresponding wild-type (WT) mice (Fig. 3E). Importantly, similar results were also obtained when pDC-depleted mice were used (Fig. 3F). These results collectively demonstrate the positive role of type I IFN–producing pDCs in the induction of GM-CSF–mediated antitumor immunity.

#### Combination of TLR7 ligand and GM-CSF–secreting LLC cells enhanced the induction of antitumor immunity in both tumorigenicity and therapeutic vaccination models

TLR7-dependent type I IFN pathways are activated by binding with their corresponding ligand, imiquimod (12). To examine the impact of the TLR7-mediated activation of type I IFN–related pathways primarily in pDCs on GM-CSF–induced antitumor immunity, we performed a gain-of-function assay by evaluating the tumorigenicity of LLC/SeV/GM cells with or without imiquimod or TLR4 ligand, LPS, as an irrelevant control. Mice treated with LLC/SeV/GM cells combined with imiquimod showed significantly suppressed tumor development accompanied with complete tumor regression ( $P < 0.05$ ). Conversely, treatment with LLC/SeV/GM cells combined with LPS attenuated the GM-CSF–induced antitumor effects (Fig. 4A), and these mice exhibited no significant changes in body weight (Supplementary Fig. S3). We next attempted to translate these findings into a tumor vaccination therapy by adding imiquimod to the subcutaneous administration of irradiated LLC/SeV/GM (irLLC/SeV/GM) cells to investigate the synergistic effect. Notably, mice treated with combined imiquimod and irLLC/SeV/GM cells elicited a significantly marked suppression of tumor growth of preestablished LLC cells, whereas control mice treated with irLLC/SeV/GM cells or imiquimod alone manifested negligible antitumor effects ( $P < 0.05$ ; Fig. 4B and C). Similarly, mice vaccinated

with irradiated GM-CSF gene-transduced (MOI = 100) CT26 colon cancer cells in combination with imiquimod showed significantly suppressed tumor development ( $P < 0.01$ ; Fig. 4D).

#### Admixed use of TLR7 ligand in combination with GVAX therapy induced pDC activation leading to generation of T-cell–mediated antitumor immunity

To elucidate the effect of imiquimod on GM-CSF–induced initial immune responses, we performed phenotypic immunanalyses. Six hours after the first tumor vaccination, mice treated with irLLC/SeV/GM cells plus imiquimod showed a significantly higher frequency and number of cells expressing PDCA-1, a pDC-specific marker, than control mice in both TVSs and TDLNs (Fig. 5A and Supplementary Fig. S4). Furthermore, pDCs (CD11c<sup>+</sup>PDCA-1<sup>+</sup> cells) derived from mice treated with irLLC/SeV/GM cells plus imiquimod expressed increased levels of CD86 and sialic acid binding Ig-like lectin (Siglec)-H, a functional pDC-specific receptor (Fig. 5B; ref. 13), accompanied with significantly higher levels of serum IFN $\alpha$  (Supplementary Fig. S5). Because CD9<sup>+</sup> pDCs stimulated with TLR agonists induced higher amounts of IFN $\alpha$  and provoked protective T-cell–mediated antitumor immunity (14), we compared CD9 expression levels on pDC subsets. Mice treated with irLLC/SeV/GM cells plus imiquimod had significantly increased frequency and mean fluorescence intensity (MFI) of CD9<sup>+</sup>PDCA1<sup>+</sup>CD11c<sup>+</sup> pDCs in TDLNs (Fig. 5C and D). However, the frequency of CD4<sup>+</sup>CD25<sup>+</sup>FoxP3<sup>+</sup> Tregs was decreased in TDLNs from mice treated with irLLC/SeV/GM cells and imiquimod, whereas the frequency of CD4<sup>+</sup>CD25<sup>+</sup>FoxP3<sup>-</sup> T cells was increased in mice treated with combined therapy (Fig. 5E). To investigate the effect of imiquimod and GM-CSF on the T-cell proliferation capacity of pDCs, we performed an allogeneic MLR assay. pDCs stimulated with GM-CSF and imiquimod elicited the most pronounced proliferative activity of CD8<sup>+</sup> T cells, but not CD4<sup>+</sup> T cells, when compared with controls (Fig. 5F). Moreover, the synergistic therapeutic efficacy of irLLC/SeV/GM cells and imiquimod was significantly inhibited when the corresponding mice were depleted of CD4<sup>+</sup> or CD8<sup>+</sup> T cells (Fig. 5G).

#### Discussion

This study demonstrates that SeV/dF-mediated exogenous expression of GM-CSF caused poor growth of cancer cells in

Figure 5. Mice vaccinated with combined irLLC/SeV/GM cells and imiquimod augmented the recruitment of activated pDCs in TDLNs. A, 6 hours after the first tumor vaccination, infiltrating lymphocytes in TVSs or TDLNs were harvested from indicated mouse groups. Bar graphs, mean + SEM of frequency of PDCA-1<sup>+</sup> cells gated on FSC/SSC profiles. B, histograms represent expression levels of Siglec-H or CD86 expression on CD11c<sup>+</sup>PDCA-1<sup>+</sup> cells (pDCs) in TDLNs from indicated mouse groups (tinted light gray, isotype control; bold line, anti-CD86 or anti-Siglec-H Ab. C, on day 12, TDLNs were harvested from mice treated with irLLC/SeV/GFP, irLLC/SeV/GM, or irLLC/SeV/GM cells plus imiquimod ( $n = 3$ ). Representative dot plots depict CD9 and PDCA-1 expression gated on CD11c<sup>+</sup> cells in TDLNs (left). Bar graphs, mean + SEM of frequency of CD9<sup>+</sup>PDCA-1<sup>+</sup> cells on DCs (\*,  $P < 0.05$ ; right). D, histograms depict MFI representing CD9 expression levels on PDCA-1<sup>+</sup>CD11c<sup>+</sup> subpopulations in TDLNs (tinted light gray, isotype control; bold line, anti-CD9 Ab). E, representative dot plots illustrate CD25 and FoxP3 expression gated on CD4<sup>+</sup> T cells in TDLNs from indicated mouse groups. F, CFSE-labeled allogeneic MLR assay. Bone marrow–derived pDCs treated with GM-CSF or imiquimod or in combination with GM-CSF plus imiquimod were mixed with CFSE-labeled T cells. Representative histograms show CFSE expression of allogeneic CD4<sup>+</sup>CD3<sup>+</sup> or CD8<sup>+</sup>CD3<sup>+</sup> T cells stimulated by the indicated pDCs. G, tumor growth curves in CD4<sup>+</sup> T-cell (left)– or CD8<sup>+</sup> T-cell (right)–depleted mice treated with irLLC/SeV/GM cells plus imiquimod (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ).

syngeneic mice, concomitant with an early appearance of mature DCs in TDLNs. We used SeV/dF vectors for the gene transduction of vaccine cells because they have relatively higher capacities in terms of gene transduction, induction of antitumor immunity, and safety (6, 15). Expression microarray analyses of the GM-CSF–sensitized CD86<sup>+</sup> DCs revealed increased expression of the TLR7–IRF7 pathway components, which induce type I IFN production in pDCs (5). Furthermore, the addition of imiquimod was found to be an effective potential approach to improve the antitumor effects of GVAX therapy (Fig. 4).

As LLC cells have been considered as poorly immunogenic in lung cancer (16), it was surprising that tumor challenge with LLC/SeV/GM cells markedly impaired its tumorigenicity with complete tumor disappearance in half of the mice tested (Fig. 1). In addition, prophylactic vaccination with irLLC/SeV/GM cells also significantly inhibited subsequent tumor challenge with LLC cells (Supplementary Fig. S6). However, therapeutic vaccination using irLLC/SeV/GM cells alone failed to exert significant antitumor immunity (Fig. 4C). We, therefore, attempted to potentiate the therapeutic antitumor effects of irLLC/SeV/GM cells through scrutinizing the gene expression signature of GM-CSF–sensitized DCs in TDLNs from mice that strongly rejected the tumor challenge with LLC/SeV/GM cells. We confirmed that GM-CSF facilitated the maturation of DCs into antigen-presenting cells with enhanced ability to prime naïve T cells to proliferate, and to increase expression of CD80, CD86, MHC class I, MHC class II, and CD40 (Fig. 2B and Supplementary Fig. S7), consistent with the previous finding that GM-CSF promotes DCs maturation and differentiation (17). Herein, transcriptome analyses revealed that GM-CSF also modulated signal transduction in pDCs by upregulation of the TLR7–IRF7 pathway related to type I IFN production (Fig. 3), consistent with a previous report that GM-CSF stimulation upregulated TLR7 expression in mouse immune cells (18). Our observation that the pDC subset was markedly increased in GM-DCs from TDLNs was unexpected, as the GM-CSF receptor is mainly expressed on CD34<sup>+</sup> progenitor cells and myeloid cells (19, 20), and GM-CSF administration preferentially expands CD11b<sup>+</sup> cDC (21, 22) and inhibits pDC differentiation (23). However, recent studies showing that pDC precursors differentiated to CD11b<sup>+</sup>MHC II<sup>high</sup> cDCs by GM-CSF stimulation (24), and the identification of GM-CSF as a novel activator of pDCs revealed by systematic analysis of cytokine receptors (25), may explain the increase of GM-CSF–sensitized pDC subsets in TDLNs (Fig. 3D). In the development of active immunotherapeutic strategies, much attention has been focused on CD11b<sup>+</sup> cDC-based vaccines that have failed to induce sufficient clinical efficacy (26), as pDCs are considered to be involved in the maintenance of antitumor tolerance (27) and to be inversely correlated with prognosis in patients with cancer (28, 29). However, pDC subsets can be pivotal players in TAA-specific antitumor immune responses by functioning as antigen-presenting cells (30) that use distinct MHC class II antigen-presentation molecules (31), leading to the effective priming of naïve

CD4<sup>+</sup> T cells (32), and cross-present antigens with an efficiency comparable with CD11b<sup>+</sup> cDCs (33), implicating their potential as promising antigen-presenting cells for cancer immunotherapy. Indeed, imiquimod or CpG, a TLR9 agonist, reverted immunotolerant pDCs to antitumor pDCs (34), resulting in clinical antitumor effects (35, 36). Importantly, results of our *in vivo* experiments using pDC depletion and/or IFNAR<sup>-/-</sup> mice demonstrated the positive impact of the pDC subset and/or type I IFN signaling on the effective generation of GM-CSF–induced antitumor immunity (Fig. 3E and F). Thus, there may be a functional dichotomy in pDC biology between immune tolerance and antitumor phenotype, where their redirection is dependent on the tumor microenvironment.

Imiquimod, a TLR7 ligand, could be regarded as the most effective adjuvant among all approved immunomodulators based on the following: (i) topical imiquimod is currently FDA approved with a good safety profile; (ii) it potently activates antigen-presenting cells to release type I IFNs and Th1-skewing cytokines; and (iii) imiquimod treatment leads to CCL2-dependent recruitment of pDCs and their transformation into killer DCs (37). The underlying mechanism of substantial antitumor efficacy by the combined vaccination may be due to generation of functionally mature pDCs in TVSSs and TDLNs (Fig. 5A and Supplementary Fig. S4). IFN $\alpha$ , mainly produced from pDCs upon exposure to viruses via TLR7 or TLR9 (38), acts directly on memory T cells, which potentiate the antigen presentation and cross-priming capacities of CD11b<sup>+</sup> cDCs (39, 40). We detected CD9<sup>+</sup> pDCs, which produce abundant IFN $\alpha$  (14), in TDLNs from mice injected with irLLC/SeV/GM cells (Fig. 5C and D). Furthermore, GM-CSF–sensitized pDCs expressed higher CD86 and Siglec-H (Fig. 5B), a regulator of pDC differentiation and CD8<sup>+</sup> T-cell responses (13, 41). Moreover, pDCs activated with GM-CSF plus imiquimod further enhanced the proliferation of CD8<sup>+</sup> T cells (Fig. 5F), indicating that GM-CSF–activated pDCs with or without imiquimod could serve as functional antigen-presenting cells to prime the potent generation of TAA-specific adaptive immunity. ELISPOT assay demonstrated that the number of IFN $\gamma$ -producing splenocytes from mice treated with irLLC/SeV/GM cells plus imiquimod was increased compared with control mice (data not shown). Indeed, depletion assays revealed that CD4<sup>+</sup> and CD8<sup>+</sup> T cells significantly contributed to the augmentation of the antitumor efficacy by combination GVAX therapy (Fig. 5G), thus reflecting the imiquimod-driven accelerated TAA-specific Th1 responses.

Although other researchers showed that the addition of imiquimod negates the antitumor efficacy of a GM-CSF–based vaccine (42), these conflicting results may stem from the difference in doses and administration schedule. It is noteworthy that the ability of imiquimod to potentiate the antitumor effect of GVAX therapy in two different types of cancers and in two different host strains might confirm the generality of our findings (Fig. 4C and D).

In conclusion, we, for the first time, elucidated that the beneficial roles of the pDCs and relevant type I IFN pathway

in GM-CSF-induced antitumor immunity and that the combinational use of imiquimod with GVAX therapy produced synergistic antitumor effects, underscoring its potential as a promising approach for the treatment of cancer.

### Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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