

to commence antiviral treatments in HBeAg-positive patients with chronic hepatitis B. Although some patients received antiviral treatments, they would not have influenced the evaluation to any serious extent. Within the first 1 year of follow-up, antiviral treatments were given comparably frequently to patients with and without early HBeAg seroconversion (48% *vs.* 36%,  $p=0.091$ ). In addition, HBeAg seroconversion is achieved by at most 12–27% of patients who had received antiviral treatments during the first year [28].

Although liver biopsy is essential for defining the stage of disease progression, it has some limitations, in that it is invasive and accompanies the risk of complications. By multivariate analysis, exclusive of pathological factors, ALT  $>200$  IU/l remained as an independent factor (Table 4). ALT  $>200$  (IU/l), corresponding to  $5 \times$  the upper limit of normal [ULN], coincided with the cut-off point recognized by the receiver operating characteristic curve (data not shown). In previous studies, also, ALT levels  $>5 \times$  ULN were predictive of early HBeAg seroconversion [19,32–33]. Present results are in line with these observations, and point to the capability of ALT  $>200$  IU/l to replace lobular inflammation of grades  $\geq 2$  in the patients in whom liver biopsy is not feasible.

## CONCLUSIONS

The results of this study indicate that the combination of low HBeAg titers and high grades of lobular inflammation is clinically useful for predicting early HBeAg seroconversion in patients with chronic hepatitis B. When and if liver biopsy is not to be performed, ALT can substitute for lobular inflammation. The combination of low HBeAg titers, with either high grades of lobular inflammation or elevated ALT levels, predicted not only early, but also long-term HBeAg seroconversion.

## Acknowledgments

The authors are grateful to Rumiko Hamada, Mika Fukuda, Yoko Tamada, Rumiko Nakao, and Dr. Osami Inoue for valuable supports, and thank Dr. Koji Yano for his suggestions and encouragement in the preparation of this manuscript.

## REFERENCES:

- EASL Clinical Practice Guidelines: management of chronic hepatitis B. *J Hepatol*, 2009; 50: 227–42
- Lee WM: Hepatitis B virus infection. *N Engl J Med*, 1997; 337: 1733–45
- Yuen MF, Lai CL: Natural history of chronic hepatitis B virus infection. *J Gastroenterol Hepatol*, 2000; 15(Suppl.): E20–24
- Fattovich G, Rugge M, Brollo L et al: Clinical, virologic and histologic outcome following seroconversion from HBeAg to anti-HBe in chronic hepatitis type B. *Hepatology*, 1986; 6: 167–72
- Hoofnagle JH, Dusheiko GM, Seeff LB et al: Seroconversion from hepatitis B e antigen to antibody in chronic type B hepatitis. *Ann Intern Med*, 1981; 94: 744–48
- Realdi G, Alberti A, Rugge M et al: Seroconversion from hepatitis B e antigen to anti-HBe in chronic hepatitis B virus infection. *Gastroenterology*, 1980; 79: 195–99
- Chu CM, Karayiannis P, Fowler MJ et al: Natural history of chronic hepatitis B virus infection in Taiwan: studies of hepatitis B virus DNA in serum. *Hepatology*, 1985; 5: 431–34
- Kao JH, Chen PJ, Lai MY, Chen DS: Hepatitis B virus genotypes and spontaneous hepatitis B e antigen seroconversion in Taiwanese hepatitis B carriers. *J Med Virol*, 2004; 72: 363–69
- Kim HS, Kim HJ, Shin WG et al: Predictive factors for early HBeAg seroconversion in acute exacerbation of patients with HBeAg-positive chronic hepatitis B. *Gastroenterology*, 2009; 136: 505–12
- Liaw YF, Chu CM, Huang MJ et al: Determinants for hepatitis B e antigen clearance in chronic type B hepatitis. *Liver*, 1984; 4: 301–6
- Livingston SE, Simonetti JP, Bulkow LR et al: Clearance of hepatitis B e antigen in patients with chronic hepatitis B and genotypes A, B, C, D, and F. *Gastroenterology*, 2007; 133: 1452–57
- Lok AS, Lai CL, Wu PC et al: Spontaneous hepatitis B e antigen to antibody seroconversion and reversion in Chinese patients with chronic hepatitis B virus infection. *Gastroenterology*, 1987; 92: 1839–43
- Pignatelli M, Waters J, Brown D et al: HLA class I antigens on the hepatocyte membrane during recovery from acute hepatitis B virus infection and during interferon therapy in chronic hepatitis B virus infection. *Hepatology*, 1986; 6: 349–53
- Sanchez-Tapias JM, Costa J, Mas A et al: Analysis of factors predicting early seroconversion to anti-HBe in HBeAg-positive chronic hepatitis B. *J Hepatol*, 1988; 6: 15–22
- Sjogren M, Hoofnagle JH: Immunoglobulin M antibody to hepatitis B core antigen in patients with chronic type B hepatitis. *Gastroenterology*, 1985; 89: 252–58
- Wu JF, Wu TC, Chen CH et al: Serum levels of interleukin-10 and interleukin-12 predict early, spontaneous hepatitis B virus e antigen seroconversion. *Gastroenterology*, 2010; 138: 165–72 e161–63
- Yuen MF, Fung SK, Tanaka Y et al: Longitudinal study of hepatitis activity and viral replication before and after HBeAg seroconversion in chronic hepatitis B patients infected with genotypes B and C. *J Clin Microbiol*, 2004; 42: 5036–40
- Yuen MF, Sablon E, Yuan HJ et al: Significance of hepatitis B genotype in acute exacerbation, HBeAg seroconversion, cirrhosis-related complications, and hepatocellular carcinoma. *Hepatology*, 2003; 37: 562–67
- Yuen MF, Yuan HJ, Hui CK et al: A large population study of spontaneous HBeAg seroconversion and acute exacerbation of chronic hepatitis B infection: implications for antiviral therapy. *Gut*, 2003; 52: 416–19
- Fried MW, Piratvisuth T, Lau GK et al: HBeAg and hepatitis B virus DNA as outcome predictors during therapy with peginterferon alfa-2a for HBeAg-positive chronic hepatitis B. *Hepatology*, 2008; 47: 428–34
- Jang JW, Kim MS, Lee SY et al: Pre- and post-treatment predictors of the early achievement of HBeAg loss in lamivudine-resistant patients receiving adefovir therapy. *J Gastroenterol Hepatol*, 2007; 22: 1092–97
- Park NH, Shin JW, Park JH et al: Monitoring of HBeAg levels may help to predict the outcomes of lamivudine therapy for HBeAg positive chronic hepatitis B. *J Viral Hepat*, 2005; 12: 216–21
- Tangkijvanich P, Komolmit P, Mahachai V et al: Comparison between quantitative hepatitis B surface antigen, hepatitis B e-antigen and hepatitis B virus DNA levels for predicting virological response to pegylated interferon-alpha-2b therapy in hepatitis B e-antigen-positive chronic hepatitis B. *Hepatol Res*, 2010; 40: 269–77
- Kato H, Orito E, Sugauchi F et al: Frequent coinfection with hepatitis B virus strains of distinct genotypes detected by hybridization with type-specific probes immobilized on a solid-phase support. *J Virol Methods*, 2003; 110: 29–35
- Asahina Y, Izumi N, Uchihara M et al: Core promoter/pre-core mutations are associated with lamivudine-induced HBeAg loss in chronic hepatitis B with genotype C. *J Hepatol*, 2003; 39: 1063–69
- Desmet VJ, Gerber M, Hoofnagle JH et al: Classification of chronic hepatitis: diagnosis, grading and staging. *Hepatology*, 1994; 19: 1513–20
- Scheuer PJ: Classification of chronic viral hepatitis: a need for reassessment. *J Hepatol*, 1991; 13: 372–74
- Liaw YF, Lau GK, Kao JH, Gane E: Hepatitis B e antigen seroconversion: a critical event in chronic hepatitis B virus infection. *Dig Dis Sci*, 2010; 55: 2727–34
- Orito E, Ichida T, Sakugawa H et al: Geographic distribution of hepatitis B virus (HBV) genotype in patients with chronic HBV infection in Japan. *Hepatology*, 2001; 34: 590–94
- Yamashita Y, Kurashina S, Miyakawa Y, Mayumi M: South-to-north gradient in distribution of the r determinant of hepatitis B surface antigen in Japan. *J Infect Dis*, 1975; 131: 567–69
- Perrillo RP, Lai CL, Liaw YF et al: Predictors of HBeAg loss after lamivudine treatment for chronic hepatitis B. *Hepatology*, 2002; 36: 186–94
- Liaw YF: Hepatitis flares and hepatitis B e antigen seroconversion: implication in anti-hepatitis B virus therapy. *J Gastroenterol Hepatol*, 2003; 18: 246–52
- Lok AS, Lai CL: Acute exacerbations in Chinese patients with chronic hepatitis B virus (HBV) infection. Incidence, predisposing factors and etiology. *J Hepatol*, 1990; 10: 29–34



OPEN ACCESS

## ORIGINAL ARTICLE

Hepatitis C virus kinetics by administration of pegylated interferon- $\alpha$  in human and chimeric mice carrying human hepatocytes with variants of the *IL28B* geneTsunamasa Watanabe,<sup>1</sup> Fuminaka Sugauchi,<sup>2</sup> Yasuhito Tanaka,<sup>1</sup> Kentaro Matsuura,<sup>3</sup> Hiroshi Yatsushashi,<sup>4</sup> Shuko Murakami,<sup>1</sup> Sayuki Iijima,<sup>1</sup> Etsuko Iio,<sup>3</sup> Masaya Sugiyama,<sup>5</sup> Takashi Shimada,<sup>6</sup> Masakazu Kakuni,<sup>6</sup> Michinori Kohara,<sup>7</sup> Masashi Mizokami<sup>5</sup>

► Additional supplementary files are published online only. To view these files please visit the journal online (<http://dx.doi.org/10.1136/gutjnl-2012-302553>).

<sup>1</sup>Department of Virology and Liver Unit, Nagoya City University Graduate School of Medical Sciences, Nagoya, Japan

<sup>2</sup>Department of Gastroenterology, Nagoya City Koseiin Medical Welfare Center, Nagoya, Japan

<sup>3</sup>Department of Gastroenterology and Metabolism, Nagoya City University Graduate School of Medical Sciences, Nagoya, Japan

<sup>4</sup>Department of Therapeutic Research, National Hospital Organization (NHO) Nagasaki Medical Center, Nagasaki, Japan

<sup>5</sup>The Research Center for Hepatitis and Immunology, National Center for Global Health and Medicine, Ichikawa, Japan

<sup>6</sup>PhoenixBio Co. Ltd., Higashi-Hiroshima, Japan

<sup>7</sup>Tokyo Metropolitan Institute of Medical Science, Tokyo, Japan

**Correspondence to**

Dr Masashi Mizokami, The Research Center for Hepatitis and Immunology, National Center for Global Health and Medicine 1-7-1, Kohnodai, Ichikawa 272-8516, Japan; [mmizokami@hospk.ncgm.go.jp](mailto:mmizokami@hospk.ncgm.go.jp)

Revised 4 October 2012

Accepted 9 October 2012

**ABSTRACT**

**Objective** Recent studies have demonstrated that genetic polymorphisms near the *IL28B* gene are associated with the clinical outcome of pegylated interferon  $\alpha$  (peg-IFN- $\alpha$ ) plus ribavirin therapy for patients with chronic hepatitis C virus (HCV). However, it is unclear whether genetic variations near the *IL28B* gene influence hepatic interferon (IFN)-stimulated gene (ISG) induction or cellular immune responses, lead to the viral reduction during IFN treatment.

**Design** Changes in HCV-RNA levels before therapy, at day 1 and weeks 1, 2, 4, 8 and 12 after administering peg-IFN- $\alpha$  plus ribavirin were measured in 54 patients infected with HCV genotype 1. Furthermore, we prepared four lines of chimeric mice having four different lots of human hepatocytes containing various single nucleotide polymorphisms (SNP) around the *IL28B* gene. HCV infecting chimeric mice were subcutaneously administered with peg-IFN- $\alpha$  for 2 weeks.

**Results** There were significant differences in the reduction of HCV-RNA levels after peg-IFN- $\alpha$  plus ribavirin therapy based on the *IL28B* SNP rs8099917 between TT (favourable) and TG/GG (unfavourable) genotypes in patients; the first-phase viral decline slope per day and second-phase slope per week in TT genotype were significantly higher than in TG/GG genotype. On peg-IFN- $\alpha$  administration to chimeric mice, however, no significant difference in the median reduction of HCV-RNA levels and the induction of antiviral ISG was observed between favourable and unfavourable human hepatocyte genotypes.

**Conclusions** As chimeric mice have the characteristic of immunodeficiency, the response to peg-IFN- $\alpha$  associated with the variation in *IL28B* alleles in chronic HCV patients would be composed of the intact immune system.

**INTRODUCTION**

Hepatitis C is a global health problem that affects a significant portion of the world's population. The WHO estimated that, in 1999, 170 million hepatitis C virus (HCV)-infected patients were present worldwide, with 3–4 million new cases appearing per year.<sup>1</sup>

The standard therapy for hepatitis C still consists of pegylated interferon- $\alpha$  (peg-IFN- $\alpha$ ), administered once weekly, plus daily oral ribavirin for 24–48 weeks

**Significance of this study****What is already known on this subject?**

- Genetic polymorphisms near the *IL28B* gene are associated with a chronic HCV treatment response.
- HCV-infected patients with the *IL28B* homozygous favourable allele had a more rapid decline in HCV kinetics in the first and second phases by peg-IFN- $\alpha$ -based therapy.
- During the acute phase of HCV infection, a strong immune response among patients with the *IL28B* favourable genotype could induce more frequent spontaneous clearance of HCV.

**What are the new findings?**

- In chronically HCV genotype 1b-infected chimeric mice that have the characteristic of immunodeficiency, no significant difference in the reduction in serum HCV-RNA levels and the induction of antiviral hepatic ISG by the administration of peg-IFN- $\alpha$  was observed between favourable and unfavourable human hepatocyte *IL28B* genotypes.
- By comparison of serum HCV kinetics between human and chimeric mice, the viral decline in both the first and second phases by peg-IFN- $\alpha$  treatment was affected by the variation in *IL28B* genotypes only in chronic hepatitis C patients.

**How might it impact on clinical practice in the foreseeable future?**

- The immune response according to *IL28B* genetic variants could contribute to the first and second phases of HCV-RNA decline and might be critical for HCV clearance by peg-IFN- $\alpha$ -based therapy.

in countries where protease inhibitors are not available.<sup>2</sup> This combination therapy is quite successful in patients with HCV genotype 2 or 3 infection, leading to a sustained virological response (SVR) in approximately 80–90% of patients treated; however, in patients infected with HCV genotype 1 or 4, only approximately half of all treated individuals achieved a SVR.<sup>3,4</sup>

## Viral hepatitis

**Table 1** Characteristics of 54 patients infected HCV genotype 1

	<i>IL28B</i> SNP rs8099917		p Value
	TT (n=34)	TG (n=19) + GG (n=1)	
Age (years)	55.6±10.1	54.7±11.3	0.746
Gender (male %)	70	50	0.199
Body mass index (kg/m <sup>2</sup> )	24.6±3.1	24.7±3.3	0.870
Viral load at therapy (log IU/ml)	6.0±0.7	5.8±0.8	0.357
SVR rate (%)	50	11	0.012
Serum ALT level (IU/l)	100.3±80.8	79.3±45.0	0.226
Platelet count (×10 <sup>4</sup> /μl)	17.1±9.0	16.5±5.8	0.771
Fibrosis (F3+4 %)	42	40	0.877

HCV, hepatitis C virus; SNP, single nucleotide polymorphism; SVR, sustained virological response.

Host factors were shown to be associated with the outcome of the therapy, including age, sex, race, liver fibrosis and obesity.<sup>5</sup> Genome-wide association studies have demonstrated that genetic variations in the region near the interleukin-28B (*IL28B*) gene, which encodes interferon (IFN)-λ3, are associated with a chronic HCV treatment response.<sup>6–10</sup> Furthermore, it was demonstrated that genetic variations in the *IL28B* gene region are also associated with spontaneous HCV clearance.<sup>11–12</sup>

Interestingly, a recent report showed the effect of genetic polymorphisms near the *IL28B* gene on the dynamics of HCV during peg-IFN-α plus ribavirin therapy in Caucasian, African American and Hispanic individuals;<sup>13</sup> HCV-infected patients with the *IL28B* homozygous favourable allele had a more rapid decline of HCV in the first phase, which is associated with the inhibition of viral replication as well as the second phase associated with immuno-destruction of viral-infected hepatocytes.<sup>14</sup> However, it is unknown how a direct effect by the *IL28B* genetic variation, such as the induction of IFN-stimulated genes (ISG) or cellular immune responses, would influence the viral kinetics during IFN treatment. Over recent periods, engineered severe combined immunodeficient (SCID) mice transgenic for urokinase-type plasminogen activator (uPA) received human hepatocyte transplants (hereafter referred to as chimeric mice)<sup>15–17</sup> and are suitable for experiments with hepatitis viruses in vivo.<sup>18 19</sup> We have also reported that these chimeric mice carrying human hepatocytes are a robust animal model to evaluate the efficacy of IFN and other anti-HCV agents.<sup>20 21</sup>

The purpose of this study was to reveal the association between genetic variations in the *IL28B* gene region and viral decline during peg-IFN-α treatment in patients with HCV, and to clarify the association between different *IL28B* alleles of human hepatocytes in chimeric mice and the response to peg-IFN-α without immune response. These studies will elucidate whether the immune response by the *IL28B* genetic variation affects the viral kinetics during peg-IFN-α treatment.

**MATERIALS AND METHODS****Patients**

Fifty-four Japanese patients with chronic HCV genotype 1 infection at Nagasaki Medical Center and Nagoya City

University were enrolled in this study (table 1). Patients received peg-IFN-α2a (180 μg) or 2b (1.5 μg/kg) subcutaneously every week and were administered a weight-adjusted dose of ribavirin (600 mg for <60 kg, 800 mg for 60–80 kg, and 1000 mg for >80 kg daily), which is the recommended dosage in Japan. Patients with other hepatitis virus infection or HIV coinfection were not included in the study. The study protocol conformed to the ethics guidelines of the 1975 Declaration of Helsinki as reflected by earlier approval by the institutions' human research committees.

**Laboratory tests**

Blood samples were obtained before therapy, as well as on day 1 and at weeks 1, 2, 4, 8 and 12 after the start of therapy and were analysed for the HCV-RNA level by the commercial Abbott Real-Time HCV test with a lower limit of detection of 12 IU/ml (Abbott Molecular Inc., Des Plaines, Illinois, USA). Genetic polymorphism in the *IL28B* gene (rs8099917), a single nucleotide polymorphism (SNP) recently identified to be associated with treatment response,<sup>6–8</sup> was tested by the TaqMan SNP genotyping assay (Applied Biosystems, Foster City, California, USA).

**HCV infection of chimeric mice with the liver repopulated for human hepatocytes**

SCID mice carrying the uPA transgene controlled by an albumin promoter were injected with 5.0–7.5×10<sup>5</sup> viable hepatocytes through a small left-flank incision into the inferior splenic pole, thereafter chimeric mice were generated. The chimeric mice were purchased from PhoenixBio Co, Ltd (Hiroshima, Japan).<sup>17</sup> Human hepatocytes with the *IL28B* homozygous favourable allele, heterozygous allele or homozygous unfavourable allele were imported from BD Biosciences (San Jose, California, USA) (table 2). Murine serum levels of human albumin and the body weight were not significantly different among four chimeric mice groups, providing a reliable comparison for anti-HCV agents.<sup>22</sup> Three different serum samples were obtained from three chronic HCV patients (genotype 1b).<sup>21 22</sup> Each mouse was intravenously infected with serum sample containing 10<sup>5</sup> copies of HCV genotype 1b. Administration of peg-IFN-α2a (Pegasys; Chugai Pharmaceutical Co., Ltd., Tokyo, Japan) at the dose formulation (30 μg/kg) was consecutively applied to each mouse on days 0, 3, 7 and 10 (table 3).

**HCV-RNA quantification**

HCV-RNA in mice sera (days 0, 1, 3, 7 and 14) was quantified by an in-house real-time detection PCR assay with a lower quantitative limit of detection of 10 copies/assay, as previously reported.<sup>21</sup>

**Quantification of IFN-stimulated gene-expression levels**

For analysis of endogenous ISG levels, total RNA was isolated from the liver using the RNeasy RNA extraction kit (Qiagen, Valencia, California, USA) and complementary DNA synthesis

**Table 2** Four lines of uPA/SCID mice from four different lots of human hepatocytes (donor) containing various SNP around the *IL28B* gene

uPA/SCID mice	Donor	Race	Age	Gender	rs8103142	rs12979860	rs8099917
PXB mice	A	African American	5 Years	Male	CC	TT	TG
	B	Caucasian	10 Years	Female	CC	TT	TG
	C	Hispanic	2 Years	Female	TT	CC	TT
	D	Caucasian	2 Years	Male	TT	CC	TT

PXB mice; urokinase-type plasminogen activator/severe combined immunodeficiency (uPA/SCID) mice repopulated with approximately 80% human hepatocytes. SCID, severe combined immunodeficient; SNP, single nucleotide polymorphism.

**Table 3** Dosage and time schedule of pegIFN- $\alpha$ 2a\* treatment for HCV genotype 1b infected chimeric mice

Donor hepatocytes†	No of chimeric mice	Inoculum	Test compound	Dose			Frequency
				Level ( $\mu$ g/kg)	Concentration ( $\mu$ g/ml)	Volume (ml/kg)	
A	3	Serum A	Peg-IFN- $\alpha$ 2a	30	3	10	Day 0, 3, 7, 10
B	4	Serum A	Peg-IFN- $\alpha$ 2a	30	3	10	Day 0, 3, 7, 10
C	3	Serum A	Peg-IFN- $\alpha$ 2a	30	3	10	Day 0, 3, 7, 10
D	3	Serum A	Peg-IFN- $\alpha$ 2a	30	3	10	Day 0, 3, 7, 10
A	2	Serum B	Peg-IFN- $\alpha$ 2a	30	3	10	Day 0, 3, 7, 10
C	2	Serum B	Peg-IFN- $\alpha$ 2a	30	3	10	Day 0, 3, 7, 10
A	2	Serum C	Peg-IFN- $\alpha$ 2a	30	3	10	Day 0, 3, 7, 10
C	2	Serum C	Peg-IFN- $\alpha$ 2a	30	3	10	Day 0, 3, 7, 10

\*Pegasys; Chugai Pharmaceutical Co., Ltd., Tokyo, Japan.

†The *IL28B* genetic variation of the donor hepatocytes was indicated in table 2.  
HCV, hepatitis C virus; peg-IFN- $\alpha$ , pegylated interferon  $\alpha$ .

was performed using 2.0  $\mu$ g of total RNA (High Capacity RNA-to-cDNA kit; Applied Biosystems). Fluorescence real-time PCR analysis was performed using an ABI 7500 instrument (Applied Biosystems) and TaqMan Fast Advanced gene expression assay (Applied Biosystems). TaqMan Gene Expression Assay primer and probe sets (Applied Biosystems) are shown in the supplementary information (available online only). Relative amounts of messenger RNA, determined using a FAM-Labeled TaqMan probe, were normalised to the endogenous RNA levels of the housekeeping reference gene, glyceraldehyde-3-phosphate dehydrogenase. The delta Ct method ( $2^{-(\text{delta } C_t)}$ ) was used for quantitation of relative mRNA levels and fold induction.<sup>23 24</sup>

### Statistical analyses

Statistical differences were evaluated by Fisher's exact test or the  $\chi^2$  test with the Yates correction. Mice serum HCV-RNA and intrahepatic ISG expression levels were compared using the Mann-Whitney U test. Differences were considered significant if p values were less than 0.05.

## RESULTS

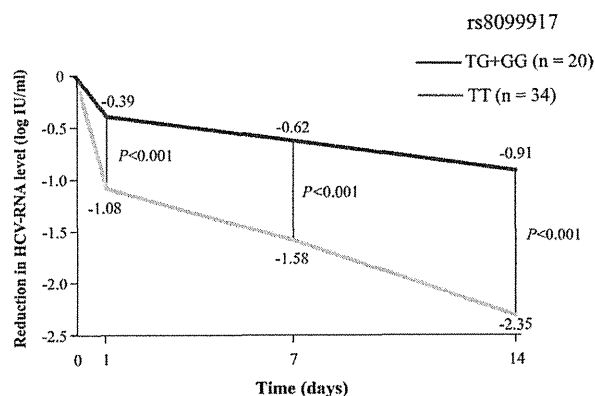
### Characteristics of the study patients

Genotypes (rs8099917) TT, TG and GG were detected in 34, 19 and one patient infected with HCV genotype 1, respectively. SVR rates were significantly higher in HCV patients with genotype TT than in those with genotype TG/GG (50% vs 11%,  $p=0.012$ ). The initial HCV serum load was comparable between

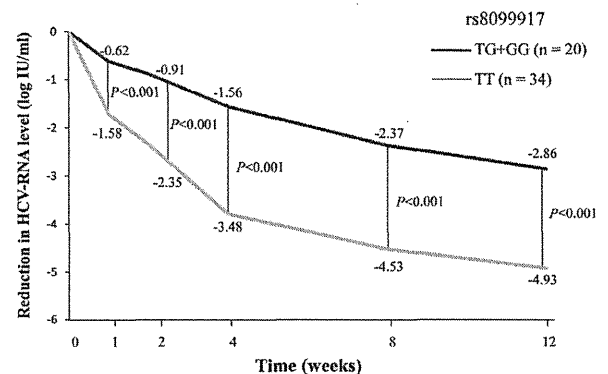
genotypes TT and TG/GG ( $6.0\pm 0.7$  vs  $5.8\pm 0.8$  log IU/ml). There were no significant differences in sex (male%, 70% vs 50%), age ( $55.6\pm 10.1$  vs  $54.7\pm 11.3$  years), serum alanine aminotransferase level ( $100.3\pm 80.8$  vs  $79.3\pm 45.0$  IU/L), platelet count ( $17.1\pm 9.0$  vs  $16.5\pm 5.8\times 10^4/\mu$ l) and fibrosis stages (F3/4%, 42% vs 40%) between HCV patients with the favourable (rs8099917 TT) and unfavourable (rs8099917 TG/GG) *IL28B* genotypes (table 1).

### Changes in serum HCV-RNA levels in patients treated by peg-IFN- $\alpha$ plus ribavirin

Figure 1 shows the initial change in the serum HCV-RNA level for 14 days after peg-IFN- $\alpha$  plus ribavirin therapy in patients infected with HCV genotype 1 based on the genetic polymorphism near the *IL28B* gene. The immediate antiviral response (viral drop 24 h after the first IFN injection) was significantly higher in HCV patients with genotype TT than genotype TG/GG ( $-1.08$  vs  $-0.39$  log IU/ml,  $p<0.001$ ). Figure 2 also shows the subsequent change in the serum HCV-RNA reduction after peg-IFN- $\alpha$  plus ribavirin therapy in patients infected with HCV genotype 1. Similarly, during peg-IFN- $\alpha$  plus ribavirin therapy, a statistically significant difference in the median reduction in serum HCV-RNA levels was noted according to the genotype (TT vs TG/GG). The median reduction in the serum HCV-RNA levels (log IU/ml) at 1, 2, 4, 8 and 12 weeks between genotypes TT and TG/GG was as follows:  $-1.58$  vs  $-0.62$ ,  $p<0.001$ ;  $-2.35$  vs  $-0.91$ ,  $p<0.001$ ;



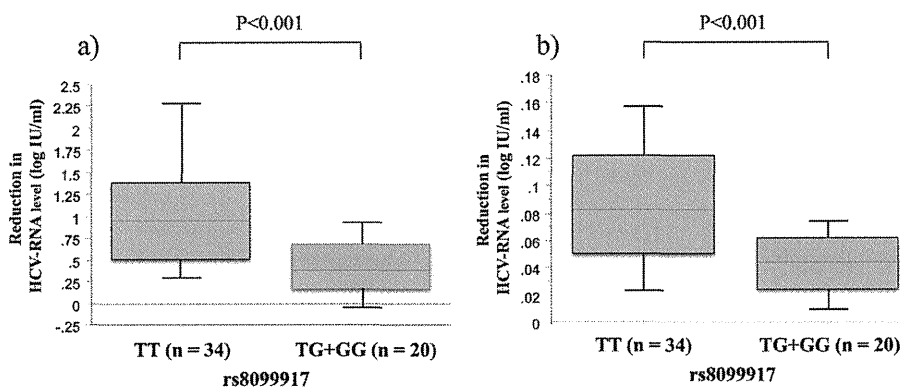
**Figure 1** Rapid reduction of median hepatitis C virus (HCV)-RNA levels (log IU/ml) at 1, 7 and 14 days between *IL28B* single nucleotide polymorphisms rs8099917 genotype TT (n=34) and TG/GG (n=20) in HCV genotype 1-infected patients treated with peg-IFN- $\alpha$  plus ribavirin.



**Figure 2** Weekly reduction of median hepatitis C virus (HCV)-RNA levels (log IU/ml) at 1, 2, 4, 8 and 12 weeks between *IL28B* single nucleotide polymorphisms rs8099917 genotype TT (n=34) and TG/GG (n=20) in HCV genotype 1-infected patients treated with peg-IFN- $\alpha$  plus ribavirin.

**Viral hepatitis**

**Figure 3** (A) The first-phase viral decline slope per day (Ph1/day) and (B) second-phase viral decline slope per week (Ph2/week) in hepatitis C virus (HCV) genotype 1-infected patients treated with pegylated interferon  $\alpha$  plus ribavirin. The lines across the boxes indicate the median values. The hash marks above and below the boxes indicate the 90th and 10th percentiles for each group, respectively.

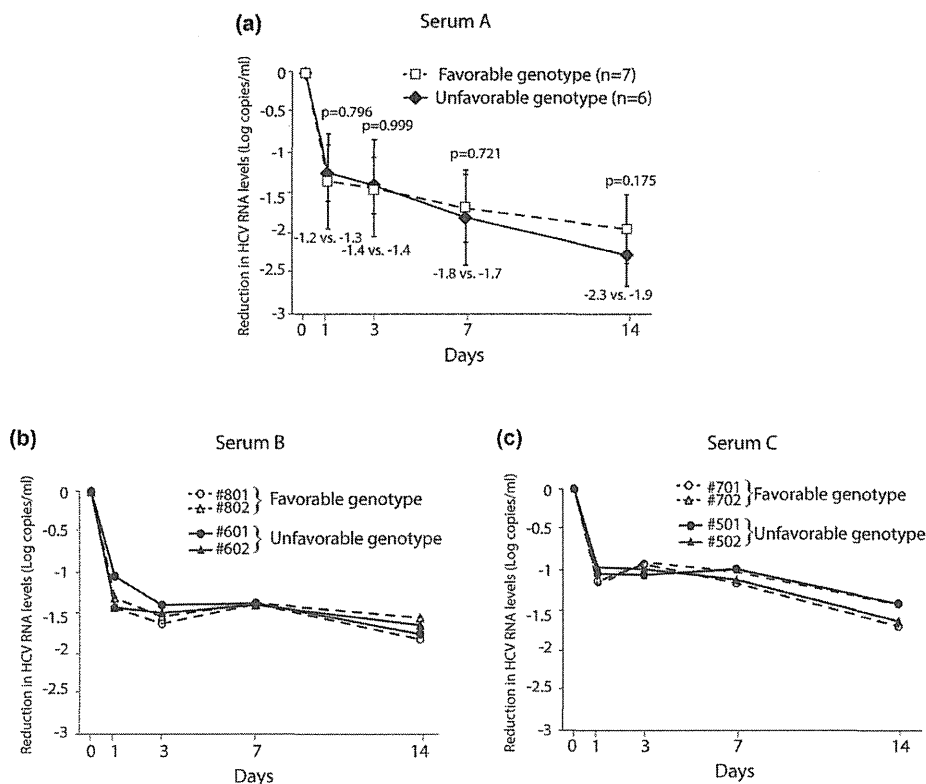


-3.48 vs -1.56,  $p < 0.001$ ; -4.53 vs -2.37,  $p < 0.01$ ; -4.93 vs -2.86,  $p < 0.001$ . Furthermore, the initial first-phase viral decline slope per day (Ph1/day) and subsequent second-phase viral decline slope per week (Ph2/week) in TT genotype were significantly higher than in genotype TG/GG (Ph1/day  $0.94 \pm 0.83$  vs  $0.38 \pm 0.40$  log IU/ml,  $p < 0.001$ ; Ph2/week  $0.08 \pm 0.06$  vs  $0.04 \pm 0.03$  log IU/ml,  $p < 0.001$ ) (figure 3).

**Changes in serum HCV-RNA levels in chimeric mice treated by peg-IFN- $\alpha$**

In order to clarify the association between *IL28B* alleles of human hepatocytes and the response to peg-IFN- $\alpha$ , we prepared four lines of uPA/SCID mice and four different lots of human hepatocytes containing various rs8099917, rs8103142

and rs12979860 SNPs around the *IL28B* gene (table 2). The chimeric mice were inoculated with serum samples from each HCV-1b patient, and then HCV-RNA levels had increased and reached more than  $10^6$  copies/ml in all chimeric mice sera at 2 weeks after inoculation. After confirming the peak of HCV-RNA in all chimeric mice, they were subcutaneously administered with four times injections of the bolus dose of peg-IFN- $\alpha$ 2a for 2 weeks (table 3). Figure 4 shows the change in the serum HCV-RNA levels for 14 days during IFN injection into chimeric mice transplanted with *IL28B* favourable or unfavourable human hepatocyte genotypes. On peg-IFN- $\alpha$  administration, no significant difference in the median reduction in HCV-RNA levels in the serum A-infected<sup>22</sup> chimeric mice sera was observed between favourable ( $n=7$ ) and unfavourable



**Figure 4** Median reduction of hepatitis C virus (HCV)-RNA levels (log copies/ml) after administering pegylated interferon  $\alpha$  to chimeric mice having human hepatocytes containing various single nucleotide polymorphisms around the *IL28B* gene as favourable (rs8099917 TT) and unfavourable (rs8099917 TG) genotypes. Data are represented as mean  $\pm$  SD. Chimeric mice infected with a) serum A ( $n=7$ ; favourable genotype,  $n=6$ ; unfavourable genotype), (b) serum B ( $n=2$ , each genotype), and (c) serum C ( $n=2$ , each genotype). All serum samples were obtained from HCV-1b patients.

(n=6) *IL28B* genotypes on days 1, 3, 7 and 14 ( $-1.2$  vs  $-1.3$ ,  $-1.4$  vs  $-1.4$ ,  $-1.8$  vs  $-1.7$ , and  $-2.3$  vs  $-1.9$  log copies/ml) (figure 4A). Moreover, we prepared two additional serum samples from the other HCV-1b patients (serum B and C)<sup>21</sup> to confirm the influence of *IL28B* genotype in early viral kinetics during IFN treatment. After establishing persistent infection with new HCV-1b strains in all chimeric mice, they were also administered four times injections of the bolus dose of peg-IFN- $\alpha$ 2a for 2 weeks (figure 4B,C). In a similar fashion, no significant difference in HCV-RNA reduction in chimeric mice sera was observed between favourable and unfavourable *IL28B* genotypes.

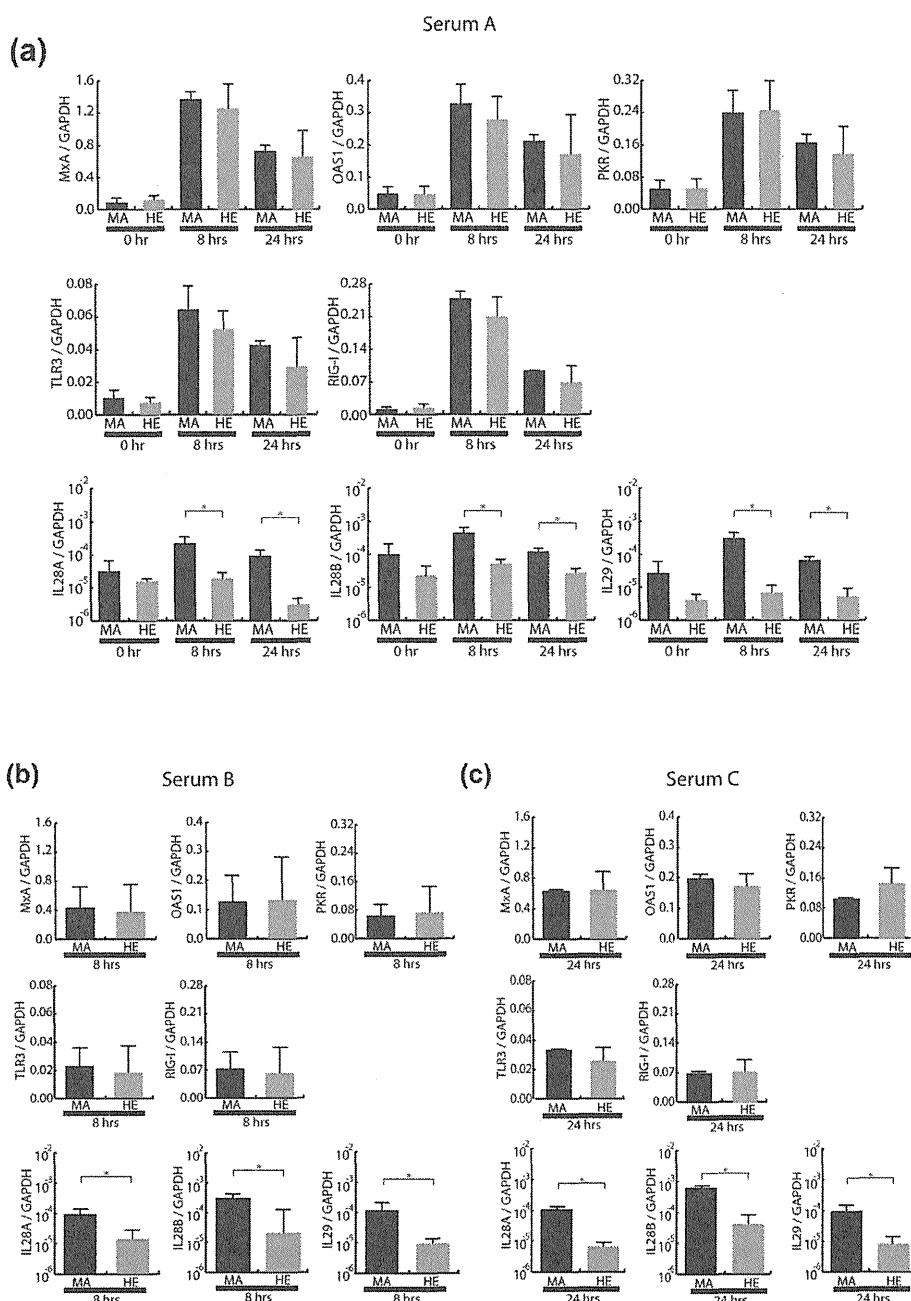
#### Expression levels of ISG in chimeric mice livers

Because chimeric mice have the characteristic of severe combined immunodeficiency, the viral kinetics in chimeric mice

sera during IFN treatment could be contributed by the innate immune response of HCV-infected human hepatocytes. Therefore, ISG expression levels in mice livers transplanted with human hepatocytes were compared between favourable and unfavourable *IL28B* genotypes (figure 5).

As shown in figure 5A, ISG expression levels in mice livers were measured at 8 h and 24 h after IFN treatment. The levels of representative antiviral ISG (eg, myxovirus resistance protein A, oligoadenylate synthetase 1, RNA-dependent protein kinase) and other ISG for promoting antiviral signalling (eg, Toll-like receptor 3, retinoic acid-inducible gene 1) were significantly induced at least 8 h after treatment, and prolonged at 24 h. No significant difference in ISG expression levels in HCV-infected livers was observed between favourable and unfavourable *IL28B* genotypes. The other inoculum for persistent infection of HCV-1b also demonstrated no significant difference in ISG

**Figure 5** Intrahepatic interferon (IFN)-stimulated gene (ISG) expression levels in the pegylated interferon  $\alpha$  (peg-IFN- $\alpha$ )-treated chimeric mice having human hepatocytes containing homozygous favourable allele (rs8099917 TT; MA) and heterozygous unfavourable allele (rs8099917 TG; HE) were measured and expressed relative to glyceraldehyde-3-phosphate dehydrogenase (GAPDH) messenger RNA. Data are represented as mean  $\pm$  SD. (A) Time kinetics of ISG after administration of the peg-IFN- $\alpha$  in serum A-infected chimeric mice (n=3, each genotype). Comparison of ISG expression levels at (B) 8 h in serum B-infected mice and (C) 24 h in serum C-infected mice after administering peg-IFN- $\alpha$  (n=3, each genotype). Predesigned real-time PCR assay of *IL28B* transcript purchased from Applied Biosystems can be cross-reactive to *IL28A* transcript. \* $p < 0.05$ . MxA, myxovirus resistance protein A; OAS1, oligoadenylate synthetase 1; PKR, RNA-dependent protein kinase; RIG-1, retinoic acid-inducible gene 1; TLR3, Toll-like receptor 3.



## Viral hepatitis

expression levels between favourable and unfavourable *IL28B* genotypes (figure 5B,C). Interestingly, IFN- $\lambda$  expression levels by treatment of peg-IFN- $\alpha$  were significantly induced in HCV-infected human hepatocytes harbouring the favourable *IL28B* genotype (figure 5 A–C).

## DISCUSSION

Several recent studies have demonstrated a marked association between the chronic hepatitis C treatment response<sup>6–9</sup> and SNP (rs8099917, rs8103142 and rs12979860) near or within the region of the *IL28B* gene, which affected the viral dynamics during peg-IFN- $\alpha$  plus ribavirin therapy in Caucasian, African American and Hispanic individuals.<sup>13</sup>

It has been reported that when patients with chronic hepatitis C are treated by IFN- $\alpha$  or peg-IFN- $\alpha$  plus ribavirin, HCV-RNA generally declines after a 7–10 h delay.<sup>25</sup> The typical decline is biphasic and consists of a rapid first phase lasting for approximately 1–2 days during which HCV-RNA may fall 1–2 logs in patients infected with genotype 1, and subsequently a slower second phase of HCV-RNA decline.<sup>26</sup> The viral kinetics had a predictive value in evaluating antiviral efficacy.<sup>14</sup> In this study, biphasic decline of the HCV-RNA level during peg-IFN- $\alpha$  treatment was observed in both patients and chimeric mice infected with HCV genotype 1; however, in the first and second phases of viral kinetics, a difference between *IL28B* genotypes was observed only in HCV-infected patients; a more rapid decline in serum HCV-RNA levels after administering peg-IFN- $\alpha$  plus ribavirin was confirmed in patients with the TT genotype of rs8099917 compared to those with the TG/GG genotype.

On the other hand, in-vivo data using the chimeric mouse model showed no significant difference in the reduction of HCV-RNA titers in mouse serum among four different lots of human hepatocytes containing *IL28B* favourable (rs8099917 TT) or unfavourable (rs8099917 TG) genotypes, which was confirmed by the inoculation of two additional HCV strains. These results indicated that variants of the *IL28B* gene in donor hepatocytes had no influence on the response to peg-IFN- $\alpha$  under immunosuppressive conditions, suggesting that the immune response according to *IL28B* genetic variants could contribute to the first and second phases of HCV-RNA decline and might be critical for HCV clearance by peg-IFN- $\alpha$ -based therapy.

Two recent studies indeed revealed an association between the *IL28B* genotype and the expression level of hepatic ISG in human studies.<sup>27–28</sup> Quiescent hepatic ISG before treatment among patients with the *IL28B* favourable genotype have been associated with sensitivity to exogenous IFN treatment and viral eradication; however, it is difficult to establish whether the hepatic ISG expression level contributes to viral clearance independently or appears as a direct consequence of the *IL28B* genotype. Another recent study addressed this question and the results suggested that there is no absolute correlation with the *IL28B* genotype and hepatic expression of ISG.<sup>29</sup> Our results on the hepatic ISG expression level in immunodeficient chimeric mice also suggested that no significant difference in ISG expression levels was observed between favourable and unfavourable *IL28B* genotypes. However, these results were not consistent with a previous report using chimeric mice that the favourable *IL28B* genotype was associated with an early reduction in HCV-RNA by ISG induction.<sup>30</sup> The reasons for the discrepancy might depend on the dose and type of IFN treatment, as well as the time point when ISG expression was examined in the liver. In addition, although IFN- $\lambda$  transcript levels measured in peripheral blood mononuclear cells or liver revealed inconsistent

results in the context of an association with the *IL28B* genotype,<sup>7–8</sup> our preliminary assay on the *IL28A*, *IL28B* and *IL29* transcripts in the liver first indicated that the induction of IFN- $\lambda$  on peg-IFN- $\alpha$  administration could be associated with the *IL28B* genotype. Therefore, the induction of IFN- $\lambda$  followed by immune response might contribute to different viral kinetics and treatment outcomes in HCV-infected patients, because no difference was found in chimeric mice without immune response.

It has also been reported that the mechanism of the association of genetic variations in the *IL28B* gene and spontaneous clearance of HCV may be related to the host innate immune response.<sup>11</sup> Interestingly, participants with seroconversion illness with jaundice were more frequently rs8099917 homozygous favourable allele (TT) than other genotypes (32% vs 5%,  $p=0.047$ ). This suggests that a stronger immune response during the acute phase of HCV infection among patients with the *IL28B* favourable genotype would induce more frequent spontaneous clearance of HCV.

Taking into account both the above results in acute HCV infection and our results conducted on chimeric mice that have the characteristic of immunodeficiency, it is suggested that the response to peg-IFN- $\alpha$  associated with the variation in *IL28B* alleles in chronic hepatitis C patients would be composed of the intact immune system.

**Acknowledgements** The authors would like to thank Kyoko Ito of Nagoya City University Graduate School of Medical Sciences, Nagoya, Japan for doing the quantification of gene-expression assays.

**Contributors** YT and MM conceived the study. TW and FS and YT conducted the study equally. TW and FS coordinated the analysis and manuscript preparation. All the authors had input into the study design, patient recruitment and management or mouse management and critical revision of the manuscript for intellectual content. TW, FS and YT contributed equally.

**Funding** This study was supported by a grant-in-aid from the Ministry of Health, Labour, and Welfare of Japan (H22-kannen-005) and the Ministry of Education, Culture, Sports, Science and Technology, Japan, and grant-in-aid for research in Nagoya City University.

**Competing interests** None.

**Patient consent** Obtained.

**Ethics approval** This study was conducted with the approval of each ethics committee at the Nagoya City University and Nagasaki Medical Center (see supplementary information, available online only).

**Provenance and peer review** Not commissioned; externally peer reviewed.

## REFERENCES

1. Ray Kim W. Global epidemiology and burden of hepatitis C. *Microbes Infect* 2002;**4**:1219–25.
2. Foster GR. Past, present, and future hepatitis C treatments. *Semin Liver Dis* 2004;**24**(Suppl. 2):97–104.
3. Fried MW, Shiffman ML, Reddy KR, et al. Peginterferon alfa-2a plus ribavirin for chronic hepatitis C virus infection. *N Engl J Med* 2002;**347**:975–82.
4. Manns MP, McHutchison JG, Gordon SC, et al. Peginterferon alfa-2b plus ribavirin compared with interferon alfa-2b plus ribavirin for initial treatment of chronic hepatitis C: a randomised trial. *Lancet* 2001;**358**:958–65.
5. Mihm U, Herrmann E, Sarrazin C, et al. Review article: predicting response in hepatitis C virus therapy. *Aliment Pharmacol Ther* 2006;**23**:1043–54.
6. Ge D, Fellay J, Thompson AJ, et al. Genetic variation in *IL28B* predicts hepatitis C treatment-induced viral clearance. *Nature* 2009;**461**:399–401.
7. Suppiah V, Moldovan M, Ahlenstiel G, et al. *IL28B* is associated with response to chronic hepatitis C interferon-alpha and ribavirin therapy. *Nat Genet* 2009;**41**:1100–4.
8. Tanaka Y, Nishida N, Sugiyama M, et al. Genome-wide association of *IL28B* with response to pegylated interferon-alpha and ribavirin therapy for chronic hepatitis C. *Nat Genet* 2009;**41**:1105–9.
9. Rauch A, Kutalik Z, Descombes P, et al. Genetic variation in *IL28B* is associated with chronic hepatitis C and treatment failure: a genome-wide association study. *Gastroenterology* 2010;**138**:1338–45.

10. **Tanaka Y**, Nishida N, Sugiyama M, *et al.* lambda-Interferons and the single nucleotide polymorphisms: a milestone to tailor-made therapy for chronic hepatitis C. *Hepato Res* 2010;**40**:449–60.
11. **Thomas DL**, Thio CL, Martin MP, *et al.* Genetic variation in IL28B and spontaneous clearance of hepatitis C virus. *Nature* 2009;**461**:798–801.
12. **Grebely J**, Petoumenos K, Hellard M, *et al.* Potential role for interleukin-28B genotype in treatment decision-making in recent hepatitis C virus infection. *Hepatology* 2010;**52**:1216–24.
13. **Thompson AJ**, Muir AJ, Sulkowski MS, *et al.* Interleukin-28B polymorphism improves viral kinetics and is the strongest pretreatment predictor of sustained virologic response in genotype 1 hepatitis C virus. *Gastroenterology* 2010;**139**:120–29.
14. **Layden-Almer JE**, Layden TJ. Viral kinetics in hepatitis C virus: special patient populations. *Semin Liver Dis* 2003;**23**(Suppl. 1):29–33.
15. **Heckel JL**, Sandgren EP, Degen JL, *et al.* Neonatal bleeding in transgenic mice expressing urokinase-type plasminogen activator. *Cell* 1990;**62**:447–56.
16. **Rhim JA**, Sandgren EP, Degen JL, *et al.* Replacement of diseased mouse liver by hepatic cell transplantation. *Science* 1994;**263**:1149–52.
17. **Tateno C**, Yoshizane Y, Saito N, *et al.* Near completely humanized liver in mice shows human-type metabolic responses to drugs. *Am J Pathol* 2004;**165**:901–12.
18. **Mercer DF**, Schiller DE, Elliott JF, *et al.* Hepatitis C virus replication in mice with chimeric human livers. *Nat Med* 2001;**7**:927–33.
19. **Tsuge M**, Hiraga N, Takaishi H, *et al.* Infection of human hepatocyte chimeric mouse with genetically engineered hepatitis B virus. *Hepatology* 2005;**42**:1046–54.
20. **Kurbanov F**, Tanaka Y, Chub E, *et al.* Molecular epidemiology and interferon susceptibility of the natural recombinant hepatitis C virus strain RF1\_2k/1b. *J Infect Dis* 2008;**198**:1448–56.
21. **Kurbanov F**, Tanaka Y, Matsuura K, *et al.* Positive selection of core 70Q variant genotype 1b hepatitis C virus strains induced by pegylated interferon and ribavirin. *J Infect Dis* 2010;**201**:1663–71.
22. **Inoue K**, Umehara T, Ruegg UT, *et al.* Evaluation of a cyclophilin inhibitor in hepatitis C virus-infected chimeric mice in vivo. *Hepatology* 2007;**45**:921–8.
23. **Livak KJ**, Schmittgen TD. Analysis of relative gene expression data using real-time quantitative PCR and the 2(-Delta Delta C(T)) method. *Methods* 2001;**25**:402–8.
24. **Silver N**, Best S, Jiang J, *et al.* Selection of housekeeping genes for gene expression studies in human reticulocytes using real-time PCR. *BMC Mol Biol* 2006;**7**:33.
25. **Dahari H**, Layden-Almer JE, Perelson AS, *et al.* Hepatitis C viral kinetics in special populations. *Curr Hepat Rep* 2008;**7**:97–105.
26. **Neumann AU**, Lam NP, Dahari H, *et al.* Hepatitis C viral dynamics in vivo and the antiviral efficacy of interferon-alpha therapy. *Science* 1998;**282**:103–7.
27. **Honda M**, Sakai A, Yamashita T, *et al.* Hepatic ISG expression is associated with genetic variation in interleukin 28B and the outcome of IFN therapy for chronic hepatitis C. *Gastroenterology* 2010;**139**:499–509.
28. **Urban TJ**, Thompson AJ, Bradrick SS, *et al.* IL28B genotype is associated with differential expression of intrahepatic interferon-stimulated genes in patients with chronic hepatitis C. *Hepatology* 2010;**52**:1888–96.
29. **Dill MT**, Duong FH, Vogt JE, *et al.* Interferon-induced gene expression is a stronger predictor of treatment response than IL28B genotype in patients with hepatitis C. *Gastroenterology* 2011;**140**:1021–31.
30. **Hiraga N**, Abe H, Imamura M, *et al.* Impact of viral amino acid substitutions and host interleukin-28b polymorphism on replication and susceptibility to interferon of hepatitis C virus. *Hepatology* 2011;**54**:764–71.



## Inhibition of hepatocellular carcinoma by PegIFN $\alpha$ -2a in patients with chronic hepatitis C: a nationwide multicenter cooperative study

Namiki Izumi · Yasuhiro Asahina · Masayuki Kurosaki · Gotaro Yamada · Tsutomu Kawai · Eiji Kajiwara · Yukishige Okamura · Takayuki Takeuchi · Osamu Yokosuka · Kazuya Kariyama · Joji Toyoda · Mie Inao · Eiji Tanaka · Hisataka Moriwaki · Hiroshi Adachi · Shinji Katsushima · Masatoshi Kudo · Kouichi Takaguchi · Yoichi Hiasa · Kazuaki Chayama · Hiroshi Yatsunami · Makoto Oketani · Hiromitsu Kumada

Received: 23 April 2012 / Accepted: 25 June 2012  
© The Author(s) 2012. This article is published with open access at Springerlink.com

### Abstract

**Background** We investigated whether the administration of maintenance doses of interferon prevented hepatocellular carcinoma (HCC) in patients with chronic hepatitis C. **Methods** Study 1: A multicenter, retrospective, cooperative study was carried out to determine whether long-term administration of low-dose peginterferon alpha-2a

(PegIFN $\alpha$ -2a) prevented HCC development in patients with chronic hepatitis C. In total, 594 chronic hepatitis C patients without a history of HCC were enrolled and treated with 90  $\mu$ g PegIFN $\alpha$ -2a administered weekly or bi-weekly for at least 1 year. Study 2: HCC developed in 16 of 99 additional patients without PegIFN $\alpha$ -2a treatment during 3.8 years of observation. A propensity-matched control study was then carried out to compare the incidence of

N. Izumi (✉) · Y. Asahina · M. Kurosaki  
Department of Gastroenterology and Hepatology,  
Musashino Red-Cross Hospital, Musashino, Japan  
e-mail: nizumi@musashino.jrc.or.jp

G. Yamada  
Department of Internal Medicine, Kawasaki Hospital  
of Kawasaki Medical University, Okayama, Japan

T. Kawai  
Department of Gastroenterology, Kanbara General Hospital,  
Fuji, Japan

E. Kajiwara  
Department of Gastroenterology, Shinnittetsu Yahata Memorial  
Hospital, Kitakyushu, Japan

Y. Okamura  
Department of Gastroenterology, Sano Kousei Hospital,  
Kitakyushu, Japan

T. Takeuchi  
Department of Gastroenterology, Notogawa Hospital,  
Higashiomi, Japan

O. Yokosuka  
Department of Gastroenterology and Hepatology, Chiba  
University, Chiba, Japan

K. Kariyama  
Department of Hepatology, Okayama Citizens' Hospital,  
Okayama, Japan

J. Toyoda  
Department of Gastroenterology and Hepatology,  
Sapporo Kousei Hospital, Sapporo, Japan

M. Inao  
Department of Gastroenterology and Hepatology,  
Saitama Medical University, Moroyama, Japan

E. Tanaka  
Second Department of Internal Medicine,  
Shinshu University, Matsumoto, Japan

H. Moriwaki  
Department of Gastroenterology and Hepatology,  
Gifu University, Gifu, Japan

H. Adachi  
Department of Hepatology, Tonami General Hospital,  
Tonami, Japan

S. Katsushima  
Department of Gastroenterology, Kyoto Medical Center,  
Kyoto, Japan

M. Kudo  
Department of Gastroenterology and Hepatology,  
Kinki University, Higashiosaka, Japan

K. Takaguchi  
Department of Gastroenterology, Kagawa Central Hospital,  
Takamatsu, Japan

HCC between the 59 patients who received low-dose PegIFN $\alpha$ -2a (PegIFN $\alpha$ -2a group) and 59 patients who did not receive PegIFN $\alpha$ -2a treatment (control group), matched for sex, age, platelet count, and total bilirubin levels.

**Results** Study 1: HCC developed in 49 patients. The risk of HCC was lower in patients with undetectable hepatitis C virus RNA,  $\leq 40$  IU/L alanine aminotransferase (ALT), or  $\leq 10$  ng/L alpha-fetoprotein (AFP) 24 weeks after the start of therapy. Study 2: The incidence of HCC was significantly lower in the PegIFN $\alpha$ -2a group than in the control group.

**Conclusions** Low-dose and long-term maintenance administration of PegIFN $\alpha$ -2a decreased the incidence of HCC in patients with normalized ALT and AFP levels at 24 weeks compared with patients without normal ALT and AFP levels.

**Keywords** Chronic hepatitis C · Hepatocellular carcinoma · Peginterferon

## Introduction

Hepatocellular carcinoma (HCC), the sixth most common cancer worldwide, often develops because of long-term hepatitis B or C virus infection [1, 2]. In particular, chronic hepatitis C and hepatic cirrhosis increase the risk of HCC; the annual incidence of tumor development in such patients may be as high as 2–4 % [3–5]. The incidence of HCC decreases in patients who achieve a sustained virological response (SVR) to interferon (IFN) treatment, although the incidence remains high in non-SVR patients [6–9]. A detailed analysis of HCC development revealed that chronic hepatitis C patients aged 65 years or more, especially those with advanced fibrosis of the liver, were at an increased risk of developing HCC [10]. For patients

65 years or older with advanced liver fibrosis, the dose of ribavirin is often reduced or the agent is discontinued, resulting in lower SVR rates in those with discontinuation of ribavirin. Establishing an effective treatment strategy for preventing the development of HCC is important for these high-risk patients.

Factors related to the development of HCC have been analyzed in patients who did not achieve an SVR even after IFN treatment; advanced fibrosis of the liver and high levels of serum alanine aminotransferase (ALT), and alpha-fetoprotein (AFP) are risk factors for HCC development [11, 12]. A randomized controlled trial was conducted in Western countries to determine whether combined peginterferon and ribavirin treatment with weekly administration of 90  $\mu$ g peginterferon alpha-2a (PegIFN $\alpha$ -2a) could prevent HCC in non-responders. A 3.5-year follow up showed that administration of a maintenance dose of PegIFN $\alpha$ -2a did not reduce tumor incidence in these patients [13]. However, after 8.5 years of observation, the incidence of HCC was decreased among those in the PegIFN $\alpha$ -2a group with cirrhosis [14]. Meanwhile, Bruix et al. [15] reported that maintenance therapy with PegIFN $\alpha$ -2b did not prevent HCC in chronic hepatitis C patients with cirrhosis. In Japan, long-term low-dose administration of natural IFN has been reported to decrease the incidence of HCC [16]. In light of these conflicting results, investigations should be carried out in a large number of patients with chronic hepatitis C to resolve the question of whether IFN treatment prevents the development of HCC.

We carried out a multicenter retrospective cooperative study of patients with chronic hepatitis C to determine whether those treated with 90  $\mu$ g PegIFN $\alpha$ -2a without ribavirin had a reduced incidence of HCC compared with those not treated with IFN.

## Patients and methods

**Study 1: analysis of risk factors for HCC in patients treated with long-term low-dose-PegIFN $\alpha$ -2a**

In total, at 21 hepatitis centers throughout Japan, 743 patients with hepatitis C who had received 90  $\mu$ g of PegIFN $\alpha$ -2a therapy weekly or bi-weekly for 1 year or more without having received the full dose (180  $\mu$ g) since December 2003 were examined retrospectively for the development of HCC. The end of enrollment in this study was the end of December 2008 and the end of follow up was the end of December 2010. Patients with a history of HCC before the start of therapy and those with a therapy period of less than 48 weeks were excluded, leaving 594 patients who had undergone long-term administration of PegIFN $\alpha$ -2a for analysis. At the 21 centers involved in this

Y. Hiasa  
Department of Gastroenterology and Hepatology,  
Ehime University, Matsuyama, Japan

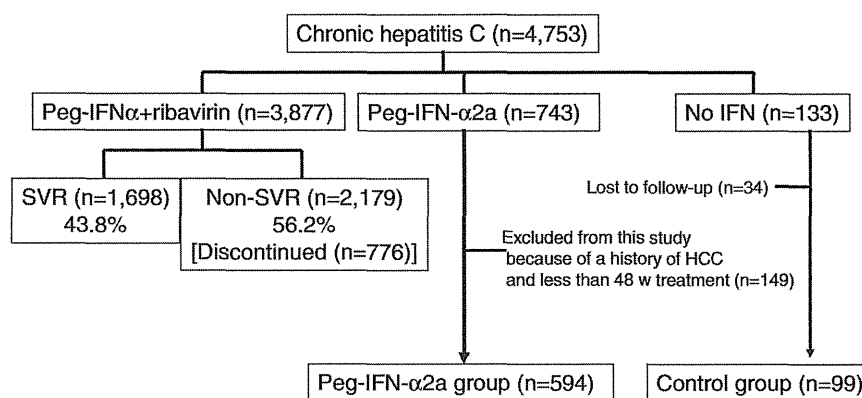
K. Chayama  
Department of Gastroenterology and Hepatology,  
Hiroshima University, Hiroshima, Japan

H. Yatsushashi  
Department of Gastroenterology and Hepatology,  
Nagasaki Medical Center, Nagasaki, Japan

M. Oketani  
Department of Gastroenterology and Hepatology,  
Kagoshima University, Kagoshima, Japan

H. Kumada  
Department of Hepatology, Toranomon Hospital, Tokyo, Japan

**Fig. 1** Flow diagram of the patients' enrollment in the study. Peg-IFN $\alpha$  pegylated interferon  $\alpha$ , SVR sustained viral response, HCC hepatocellular carcinoma, w week



study, 4,753 patients with chronic hepatitis C had been treated; Peg-IFN and ribavirin combination treatment had been administered to 3,877 patients, 743 patients had received Peg-IFN alone, and 133 patients had not agreed to receive IFN (a flow diagram of the enrollment of patients in this study is shown in Fig. 1). In the patients with Peg-IFN and ribavirin combination treatment, the SVR rate was 43.8 %; SVR was not achieved in 2,179 patients, and in 776 of these patients, the combination therapy was discontinued owing to adverse events or the patient's choice. Patients who failed to achieve an SVR were not included in this study, because the incidence of HCC is known to be reduced even in non-responders to IFN [17].

The backgrounds of the 594 patients studied are shown in Table 1. Findings from the liver biopsies of the patients were classified according to international standards [18]. Long-term PegIFN $\alpha$ -2a treatment is approved by the Japanese Medical Insurance system. Written informed consent was obtained from all patients prior to participation in this study. The study design was approved by the regional ethics committees of the 21 centers involved in this study, including the Musashino Red Cross Hospital, in accordance with the Helsinki Declaration. The 743 patients treated with PegIFN $\alpha$ -2a alone were not indicated for Peg-IFN $\alpha$  and ribavirin combination therapy because of anemia or heart disease. The 133 patients who did not agree to receive IFN served as the control group (see Fig. 1). A large proportion of the 594 study patients had advanced fibrosis of the liver and active inflammation. A dose of 90  $\mu$ g PegIFN $\alpha$ -2a was administered to 512 and 82 patients weekly and biweekly, respectively, according to the patients' wishes. There were no significant differences between the weekly and biweekly groups in the patients' background data (data not shown).

The median duration of follow up in the PegIFN $\alpha$ -2a group was 1,273 days (range 228–2,768 days) and HCC was observed in 49 of the 594 patients (Table 1). Pre-treatment and on-treatment factors associated with the development of HCC were analyzed by Student's *t*-test, the

**Table 1** Background data of patients treated with PegIFN $\alpha$ -2a (*n* = 594)

	<i>n</i> = 594
Age (years)	61.7 $\pm$ 11.7
Sex (male/female)	258/336
BMI	23.2 $\pm$ 3.3
Genotype (1/2)	443/151
Diagnosis (ASC/CH/LC)	4/460/130
History of excess alcohol consumption ( $\geq$ 60 g/day; yes/no)	118/376
Fibrosis (F0, 1, 2/F3, 4)	443/151
Inflammatory activity (A0, 1/A2, 3)	469/125
Diabetes mellitus (no/yes)	499/95
LDL cholesterol (mg/dL)	94.2 $\pm$ 31.1
Fasting blood sugar (mg/dL)	106.3 $\pm$ 28.5
White blood cell count (/mm <sup>3</sup> )	4,360 $\pm$ 1,470
Red blood cell count ( $\times 10^6/\mu$ L)	423.8 $\pm$ 56.4
Hemoglobin (g/dL)	13.3 $\pm$ 1.8
Platelet count ( $\times 10^3/\mu$ L)	137 $\pm$ 56
Albumin (g/dL)	4.0 $\pm$ 0.5
Total bilirubin (mg/dL)	0.8 $\pm$ 0.6
AST (IU/L)	65.8 $\pm$ 47.8
ALT (IU/L)	72.1 $\pm$ 68.0
Gamma-GTP (IU/L)	55.2 $\pm$ 51.3
Esophageal varices (no/yes)	344/31
Alpha fetoprotein (ng/L)	6.9 (4.2–13.8)
Once weekly or biweekly PegIFN $\alpha$ -2a	512:82
Baseline HCV RNA (KIU/mL)	1,024 (73–2,130)
Development of HCC (no/yes)	545/49

PegIFN pegylated interferon, BMI body mass index, ASC asymptomatic carrier, CH chronic hepatitis, LC liver cirrhosis, LDL low-density lipoprotein, AST aspartate aminotransferase, ALT alanine aminotransferase, GTP guanosine triphosphate, HCV hepatitis C virus, HCC hepatocellular carcinoma

Values are means  $\pm$  SD, with ranges in parentheses

Mann–Whitney *U*-test, and the  $\chi^2$  test (Table 2). Independent factors for the development of HCC were assessed by multivariate analysis using logistic regression. The

incidence of HCC was analyzed according to the ALT, AFP, and hepatitis C virus (HCV) RNA levels 24 weeks after the start of PegIFN $\alpha$ -2a administration by using the Kaplan–Meier method. The risk of HCC was analyzed, using the Kaplan–Meier method, only in the non-responders with detectable HCV RNA during PegIFN $\alpha$ -2a administration by dividing them according to the ALT and AFP levels 24 weeks after the start of therapy. The incidence of HCC was compared between the patients with ALT levels of <41 IU/L and those with levels of  $\geq$ 41 IU/L, and between patients with serum AFP levels of <10 ng/L and those with levels of  $\geq$ 10 ng/mL at 24 weeks after starting treatment, because at most of the centers participating in the this study, the upper normal range of serum ALT is set at 40 IU/L, and the most significant difference in the incidence of HCC was observed between the PegIFN $\alpha$ -2a and control group with the cut-off serum ALT set at 41 IU/L and cutoff serum AFP set at 10 ng/mL, 24 weeks after starting treatment. The HCV RNA level was measured using the Amplicor Monitor method with a lower detection limit of 50 IU/L (Roche Diagnostics, Tokyo, Japan). A history of excess alcohol consumption was determined as >60 g alcohol per day in order to exclude alcoholic liver disease.

An asymptomatic carrier was defined as a patient with a serum ALT level within the normal range and minimal inflammation or fibrosis in the biopsied tissues of the liver. Chronic hepatitis was defined as mild-to-severe fibrosis of the liver according to liver biopsy [18]. The diagnosis of liver cirrhosis was based on the results of histological examination of the biopsied liver tissues.

**Study 2: incidence of HCC in the PegIFN $\alpha$ -2a therapy and non-administration (control) groups in comparison with propensity-matched controls**

Ninety-nine of the 133 chronic hepatitis C patients who had not received IFN were examined as controls; patients in this group received liver-protective agents such as glycyrrhizin or were untreated, and the group was observed for more than 1 year. None of the individuals in the control groups had received IFN alone or PegIFN $\alpha$  and ribavirin combination treatment. They were treated for a median of 1,395 days (range 75–6,556 days). Fifty-nine of these patients underwent liver biopsy before the treatment and were considered the control group for the propensity-matched study. For the propensity-matched study, 59 patients were selected from the PegIFN $\alpha$ -2a group according to their age, sex, platelet count, and total bilirubin levels, which had been identified as independent pretreatment risk factors for the development of HCC in Study 1. The rates of HCC were analyzed using the Kaplan–Meier method, and the risk of HCC was analyzed particularly in patients with advanced fibrosis of the liver (F3 and F4).

**Table 2** Comparison of HCC and non-HCC patients with long-term PegIFN $\alpha$ -2a administration ( $n = 594$ )

	Patients with or without development of HCC		<i>p</i> value
	With HCC ( $n = 49$ )	Without HCC ( $n = 545$ )	
Pretreatment parameters			
Age (years)	63.8 $\pm$ 1.7	61.3 $\pm$ 0.5	<0.05
Sex (male/female)	32/17	226/319	<0.01
BMI	24.0 $\pm$ 0.5	23.1 $\pm$ 0.2	n.s.
Genotype (1/2)	47/6	397/148	n.s.
History of excess alcohol consumption ( $\geq$ 60 g/day; yes/no)	11/38	107/338	n.s.
Fibrosis (F0, 1, 2/F3, 4)	25/24	418/127	<0.001
Inflammatory activity (A0, 1/A2, 3)	7/42	462/83	<0.001
Diabetes mellitus (no/yes)	38/11	461/84	n.s.
LDL cholesterol (mg/dL)	88.2 $\pm$ 9.0	94.7 $\pm$ 2.6	n.s.
White blood cell count (/mm <sup>3</sup> )	4,355 $\pm$ 210	4,360 $\pm$ 64	n.s.
Red blood cell count ( $\times 10^6/\mu$ L)	420.8 $\pm$ 8.1	424.1 $\pm$ 2.6	n.s.
Hemoglobin (g/dL)	13.6 $\pm$ 0.3	13.3 $\pm$ 0.1	n.s.
Platelet count ( $\times 10^3/\mu$ L)	106 $\pm$ 8	140 $\pm$ 2	<0.001
Albumin (g/dL)	3.8 $\pm$ 0.1	4.0 $\pm$ 0.1	<0.001
Total bilirubin (mg/dL)	1.2 $\pm$ 0.1	0.8 $\pm$ 0.1	<0.001
AST (IU/L)	78.1 $\pm$ 6.8	64.6 $\pm$ 2.1	n.s.
ALT (IU/L)	72.8 $\pm$ 9.7	72.0 $\pm$ 2.9	n.s.
Gamma-GTP (IU/L)	68.7 $\pm$ 7.5	53.9 $\pm$ 2.3	n.s.
Alpha fetoprotein (ng/L)	17.1 (4.4–36.8)	16.7 (4.1–23.1)	n.s.
Esophageal varices	29.0 % (9/31)	6.4 % (22/344)	<0.01
On-treatment parameters			
ALT (IU/L)	59.4 $\pm$ 5.7	44.6 $\pm$ 1.8	<0.05
Alpha fetoprotein (ng/L)	9.8 (4.6–17.4)	5.5 (3.7–11.1)	<0.01
HCV RNA level (KIU/mL)	236 (<0.5–2,210)	21 (<0.5–1,780)	<0.05

n.s. not significant

#### Statistical analysis

Categorical data were compared using the  $\chi^2$  test or Fisher's exact test. The distributions of continuous variables were analyzed using Student's *t*-test and the Mann–Whitney *U*-test for two groups. Multivariate analysis was

conducted using logistic regression. The cumulative incidence curve was determined using the Kaplan–Meier method and differences between groups were assessed by the log-rank test. For all methods, the level of significance was set at  $p < 0.05$ . Multivariate analysis of the risk of HCC was carried out using the Cox proportional hazard model. Statistical analyses were performed using the Statistical Package for the Social Sciences software version 11.0 (SPSS, Chicago, IL, USA). In Study 1, age, sex, platelet count, and total bilirubin levels were identified as independent factors for the development of HCC; therefore, these factors were selected for the propensity-matched control study (Study 2) in which 59 patients from the PegIFN $\alpha$ -2a group were included.

## Results

### Study 1

We analyzed the factors involved in the development of HCC in patients who received 90  $\mu$ g PegIFN $\alpha$ -2a weekly or biweekly for more than a year. The incidence of HCC did not differ significantly between the groups treated with PegIFN $\alpha$ -2a weekly and biweekly (34 of 512 vs. 15 of 82, respectively). As shown in Table 2, univariate analysis revealed statistically significant differences in the pretreatment parameters including age, sex, fibrosis of the liver, platelet count, albumin level, and total bilirubin, between patients who developed HCC and those who did not. Endoscopy was carried out in 375 patients, and esophageal varices were noted in 31 of them. The incidence of HCC was higher in patients with esophageal varices than in those without varices [29.0 % (9 of 31) vs. 6.4 % (22 of 344)]. Assessment of on-treatment factors by univariate analysis revealed statistically significant differences in serum ALT, AFP, and HCV RNA levels 24 weeks after the start of PegIFN $\alpha$ -2a maintenance treatment (Table 2).

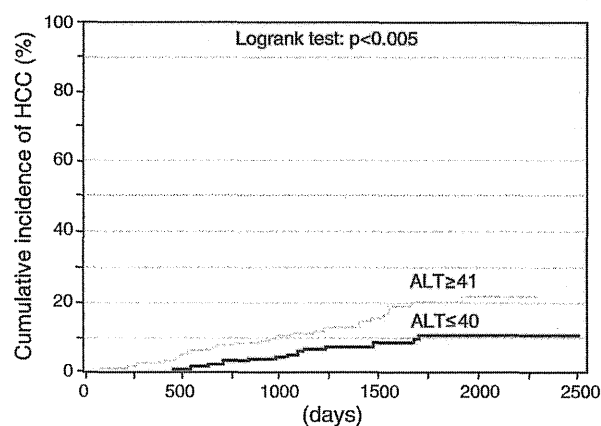
Multivariate analysis including pretreatment parameters revealed that age, sex, fibrosis of the liver, platelet count, and total bilirubin were independent risk factors for HCC development (Table 3). Multivariate analysis including on-treatment parameters identified ALT levels of  $\geq 41$  IU/L and AFP levels of  $\geq 10$  ng/L 24 weeks after the start of the PegIFN $\alpha$ -2a therapy as independent risk factors for HCC development (Table 3).

The incidence of HCC was significantly lower in patients with ALT levels of  $\leq 40$  IU/L than in those with ALT levels of  $\geq 41$  IU/L 24 weeks after the start of observation (Fig. 2). The incidence of HCC was also significantly lower in patients with AFP concentrations of  $< 10$  ng/mL at 24 weeks after the start of observation than in those with AFP concentrations of

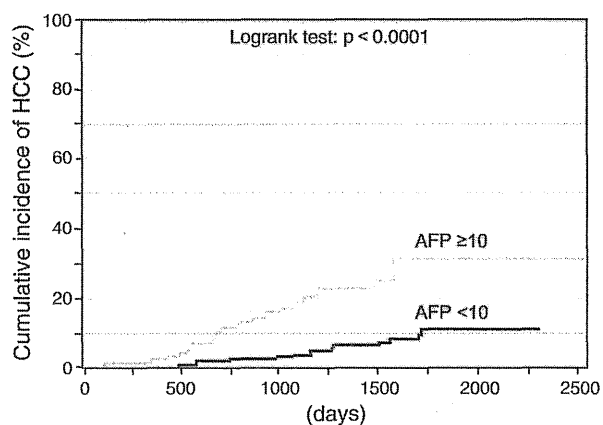
$\geq 10$  ng/mL (Fig. 3). The dose of PegIFN $\alpha$ -2a was reduced to 45  $\mu$ g in 16 patients because of neutropenia and thrombocytopenia. In addition, PegIFN $\alpha$ -2a was discontinued in 18 patients because of adverse events, including depression (7 patients), interstitial pneumonitis (3 patients), thrombocytopenia (3 patients), neutropenia (1 patient), itching (1 patient), and ascites (3 patients). No statistically significant differences were found between the patients with reduced dosage or treatment interruption and those without treatment modifications with respect to overall survival, HCC incidence, ascites formation, variceal bleeding, hepatic encephalopathy, and 2-point increases in the Child-Pugh score. No patients underwent liver transplantation.

**Table 3** Independent risk factors for HCC development in patients treated with 90  $\mu$ g PegIFN $\alpha$ -2a weekly or bi-weekly, evaluated by multivariate analysis (logistic regression analysis)

	Multivariate analysis		
	Odds ratio	95 % Confidence interval (CI)	<i>p</i>
Age (years) (every 5 years)	2.24	1.76–9.33	<0.005
Sex (male/female)	3.16	1.56–10.7	<0.005
Fibrosis (F3, 4/F0, 1, 2)	1.69	1.18–5.2	<0.01
Platelet count ( $< 120 \times 10^3/\mu$ L vs. $\geq 120 \times 10^3/\mu$ L)	3.24	1.44–27.6	<0.01
Total bilirubin (mg/dL)	1.59	1.09–2.58	<0.05
ALT (at 24 weeks) ( $\geq 41$ vs. $< 40$ IU/L)	2.49	1.51–8.28	<0.05
AFP (at 24 weeks) ( $\geq 10$ vs. $< 10$ ng/L)	3.78	1.92–11.8	<0.01



**Fig. 2** Comparison of HCC rates in patients administered with PegIFN $\alpha$ -2a ( $n = 594$ ) with respect to alanine aminotransferase (ALT) levels 24 weeks after the start of therapy. Black line patients with ALT  $\geq 41$  IU/L in the first 24 weeks, gray line patients with ALT  $\leq 40$  IU/L in the first 24 weeks



**Fig. 3** Comparison of HCC rates in patients administered PegIFN $\alpha$ -2a ( $n = 594$ ) with respect to alpha-fetoprotein (AFP) levels in the first 24 weeks after the start of therapy. *Black line* patients with AFP  $\geq 10$  ng/mL at 24 weeks, *gray line* patients with AFP  $< 10$  ng/mL at 24 weeks

## Study 2

We compared the incidence of HCC between 59 patients in the control group and the same number of patients in the PegIFN $\alpha$ -2a group using the matched-pair test. The backgrounds of the patients are shown in Table 4. The PegIFN $\alpha$ -2a group had higher rates of advanced fibrosis (F3 and F4) and active inflammation (A2 and A3). No other differences were found between the two groups, except for the white blood cell count (Table 4).

Development of HCC was observed in 2 patients in the PegIFN $\alpha$ -2a group and 8 in the control group. The incidence of HCC was compared between the two groups, using the Kaplan–Meier method. The incidence of HCC in the PegIFN $\alpha$ -2a group was significantly lower than that in the control group (log-rank test,  $p = 0.0187$ ; Fig. 4). Among the patients with advanced fibrosis of the liver (F3 and F4), those in the PegIFN $\alpha$ -2a group had a lower incidence of HCC than those in the control group. The independent risk factors for the development of HCC were analyzed using the stepwise Cox proportional hazard model. Only PegIFN $\alpha$ -2a administration and age were identified as independent risk factors for the development of HCC (Table 5).

## Discussion

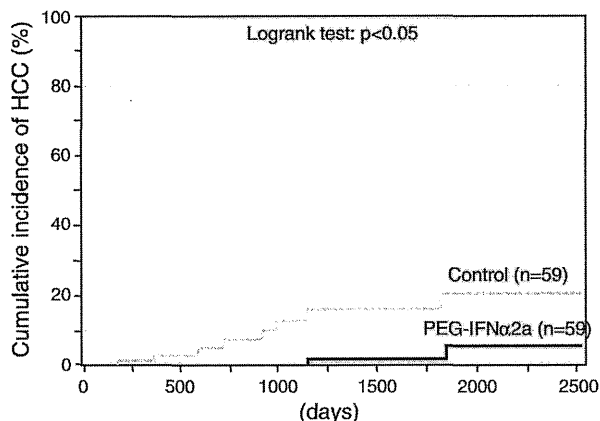
The number of HCC cases resulting from HCV infection continues to increase worldwide [19]. To date, IFN therapy is the most effective preventive measure against HCC in patients with chronic hepatitis C; furthermore, the

**Table 4** Backgrounds of the patients in the propensity-matched control study (PegIFN $\alpha$ -2a group,  $n = 59$ ; control group,  $n = 59$ )

	PegIFN $\alpha$ -2a group ( $n = 59$ )	Control group ( $n = 59$ )	$p$ value
Age (years)	60.5 $\pm$ 13.0	63.3 $\pm$ 10.5	n.s.
Gender (male/female)	24/35	25/34	n.s.
BMI	22.9 $\pm$ 3.6	22.9 $\pm$ 3.4	n.s.
Genotype (1/2)	49/10	46/13	n.s.
History of excess alcohol consumption (60 g/day; yes/no)	10/49	4/55	n.s.
Fibrosis (F0, 1, 2/F3, 4)	37/22	43/16	<0.05
Development of HCC (F0–2/F3, 4)	1/1	1/7	n.s.
Inflammatory activity (A0,1/A2, 3)	19/40	30/29	<0.05
Diabetes mellitus (no/yes)	57/2	56/3	n.s.
LDL cholesterol (mg/dL)	95.3 $\pm$ 23.8	117.0 $\pm$ 4.2	n.s.
White blood cell count (/mm <sup>3</sup> )	4,260 $\pm$ 1,239	5,193 $\pm$ 2,078	<0.05
Red blood cell count ( $\times 10^{-4}$ / $\mu$ L)	430 $\pm$ 57.8	441 $\pm$ 44.9	n.s.
Hemoglobin (g/dL)	13.6 $\pm$ 1.5	13.6 $\pm$ 1.9	n.s.
Platelet count ( $\times 10^{-3}$ / $\mu$ L)	14.5 $\pm$ 5.7	15.8 $\pm$ 5.7	n.s.
Albumin (g/dL)	4.1 $\pm$ 0.5	4.1 $\pm$ 0.4	n.s.
Total bilirubin (mg/dL)	0.7 $\pm$ 0.5	0.9 $\pm$ 0.7	n.s.
AST (IU/L)	58.3 $\pm$ 47.7	49.7 $\pm$ 26.6	n.s.
ALT (IU/L)	63.6 $\pm$ 68.7	58.0 $\pm$ 39.2	n.s.
Gamma-GTP (IU/L)	78.3 $\pm$ 81.3	55.3 $\pm$ 75.1	n.s.
Baseline alpha-fetoprotein (AFP) (ng/L)	7.2 (4.3–14.2)	7.7 (3.9–13.8)	n.s.
Baseline HCV RNA level (KIU/mL)	1,230 (24–3,870)	1,024 (38–3,110)	n.s.

incidence of HCC is reduced in patients who achieve an SVR to IFN [6–9]. Therefore, achieving an SVR is the most effective approach for reducing the risk of developing HCC. In Japan, the incidence of HCC is elevated in older patients with hepatitis C. Corroborating this finding, the results of a Japanese study show a higher risk of HCC in patients aged 65 years and more [10]. Therefore, prevention of HCC in aged patients is an important challenge.

In the present multicenter, cooperative, retrospective study conducted in Japan, the incidence of HCC was reduced in patients who received 90  $\mu$ g PegIFN $\alpha$ -2a weekly or biweekly and had AFP values of  $< 10$  ng/mL and ALT values of  $\leq 40$  IU/L 24 weeks after the start of the treatment. The results of the matched case–control study of the PegIFN $\alpha$ -2a group and the non-IFN control group show that the incidence of HCC was significantly lower in the PegIFN $\alpha$ -2a group than in the control group, especially in patients with advanced fibrosis of the liver (F3 and F4). However, there could have been a selection bias between



**Fig. 4** Comparison of HCC rates between the long-term PegIFN $\alpha$ -2a administration group ( $n = 59$ ) and non-administration group ( $n = 59$ ) in the propensity-matched control study (Kaplan–Meier log-rank test,  $p = 0.019$ )

**Table 5** Risk factors for HCC in the propensity-matched control study (Cox proportional hazard model)

Variables	Risk ratio	95 % CI	$p$ value
PegIFN versus control	0.17	0.03–0.75	<0.05
Age (every 1 year)	1.12	1.02–1.25	<0.05
Fibrosis (F3, 4 vs. F0, 1, 2)	1.70	0.75–4.16	n.s.
Platelet count (every $10 \times 10^3/\mu\text{L}$ )	0.89	0.73–1.09	n.s.
Albumin (every 1.0 g/dL)	0.80	0.10–6.68	n.s.
On-treatment AFP (<10 vs. $\geq 10$ ng/L)	4.07	0.59–40.12	n.s.

the PegIFN $\alpha$ -2a group and the control group (patients who did not agree to receive IFN treatment), because this was a retrospective and non-randomized study. However, concordant with the findings of the HALT-C study [14], the present results show that PegIFN $\alpha$ -2a inhibits the development of HCC in patients with advanced fibrosis of the liver.

Recent studies show that polymorphisms in the host *IL28B* gene are important factors in the response to Peg-IFN $\alpha$  and ribavirin combination therapy [20, 21]. However, the mechanism of *IL28B* involvement in the response to PegIFN $\alpha$  and ribavirin has not been elucidated completely. A recent report has shown that *IL28B* is a significant factor in the development of HCC as well as in the response to IFN therapy [22]. Further studies are warranted to analyze the relationship between *IL28B* and inhibition of the development of HCC by PegIFN $\alpha$  in chronic hepatitis C.

Risk factors for the development of HCC have been discussed previously. Increased intrahepatic fat is involved in the development of HCC in chronic hepatitis C patients [23, 24]. In addition, diabetes-associated fat disorder [25,

26], hepatic iron overload [27], advanced fibrosis, older age, and fatty deposits in the liver are risk factors for HCC development [4]. Therefore, it is important to establish strategies to mitigate these risk factors to prevent the development of HCC and thus improve the outcomes of hepatitis C patients.

IFN therapy after HCC treatment is reported to inhibit the recurrence of tumors [28, 29], and a meta-analysis has revealed a trend toward inhibition of the recurrence of HCC [30, 31]. The prevention of HCC is an important issue that needs to be addressed to improve the survival of chronic hepatitis C patients. The findings of the present study and the HALT-C trial [14] indicate the effectiveness of long-term administration of maintenance IFN for preventing the development of HCC in chronic hepatitis C patients without an SVR. Improvement in ALT levels is also known to be an important predictor for the prevention of HCC [32]. A low AFP value during IFN administration is also recognized as a significant indicator of a lower risk of HCC [33, 34]. Recently, Osaki et al. [35] reported that a decrease of serum AFP during treatment with IFN was associated with a reduced incidence of HCC. Taking these findings and our own together, we conclude that maintenance administration of low-dose PegIFN $\alpha$ -2a weekly or biweekly to non-SVR patients with chronic hepatitis C decreases the incidence of HCC, especially in patients whose serum ALT and AFP levels are within the normal range 24 weeks after the start of treatment. The preventive effects of IFN against the development of HCC without elimination of the virus may be associated with its anticarcinogenic effects [16, 35]; however, the precise mechanism should be investigated.

The limitations of the present study are that it is retrospective and multicentric; therefore, potentially there may have been a selection bias. However, the reduction of the rate of development of HCC by maintenance administration of PegIFN $\alpha$ -2a in the patients in whom serum ALT and AFP levels were within the normal ranges 24 weeks after the start of treatment may be attributable to the anticarcinogenic effects of IFN without elimination of the virus.

### Conclusion

The incidence of HCC was lower in non-SVR patients with chronic hepatitis C who were administered with maintenance low-dose PegIFN $\alpha$ -2a; especially in those whose serum ALT and AFP levels were within the normal ranges 24 weeks after the start of treatment.

**Acknowledgments** This study was supported by a Grant-in-Aid from the Japanese Ministry of Health, Welfare, and Labor.

**Conflict of interest** Namiki Izumi received lecture fees from Chugai Co. and MSD Co. in 2011.

**Open Access** This article is distributed under the terms of the Creative Commons Attribution Noncommercial License which permits any noncommercial use, distribution, and reproduction in any medium, provided the original author(s) and the source are credited.

## References

- Parkin DM, Bray F, Ferlay J, Pisani P. Global cancer statistics, 2002. *CA Cancer J Clin.* 2005;55:74–108. doi:10.3322/canjclin.55.2.74.
- Llovet JM, Burroughs A, Bruix J. Hepatocellular carcinoma. *Lancet.* 2003;362:1907–17. doi:10.1016/S0140-6736(03)14964-1.
- Kiyosawa K, Sodeyama T, Tanaka E, Gibo Y, Yoshizawa K, Nakano K, et al. Interrelationship of blood transfusion, non-A, non-B hepatitis and hepatocellular carcinoma: analysis by detection of antibody to hepatitis C virus. *Hepatology.* 1990;12:671–5. doi:10.1002/hep.1840120409.
- Namiki I, Nishiguchi S, Hino K, Suzuki F, Kumada H, Itoh T, et al. Management of hepatitis C; Report of the consensus meeting at the 45th annual meeting of the Japan Society of Hepatology (2009). *Hepatol Res.* 2010;40:347–68. doi:10.1111/j.1872-034X.2010.00642.x.
- Tanaka Y, Hanada K, Mizokami M, Yeo AE, Shin JW, Gojibori T, et al. A comparison of the molecular clock of hepatitis C virus in the United States and Japan predicts that hepatocellular carcinoma incidence in the United States will increase over the next two decades. *Proc Natl Acad Sci USA.* 2002;99:11584–9. doi:10.1073/pnas.242608099.
- Ikeda K, Saitoh S, Arase Y, Chayama K, Suzuki Y, Kobayashi M, et al. Effect of interferon therapy on hepatocellular carcinoma in patients with chronic hepatitis type C: a long-term observation study of 1,643 patients using statistical bias correction with proportional hazard analysis. *Hepatology.* 1999;29:1124–30.
- Imai Y, Kawata S, Tamura S, Yabuuchi I, Noda S, Inada M, et al. Relation of interferon therapy and hepatocellular carcinoma in patients with chronic hepatitis C. *Ann Intern Med.* 1998;129:94–9.
- Bruno S, Stroffolini T, Colombo M, Bollani S, Benveguu L, Mazzella G, et al. Sustained virological response to interferon-alpha is associated with improved outcome in HCV-related cirrhosis: a retrospective study. *Hepatology.* 2007;45:579–87. doi:10.1002/hep.21492.
- Veldt BJ, Heathcote EJ, Wedemeyer H, Reichen J, Hofmann WP, Zeuzem S, et al. Sustained virological response and clinical outcomes in patients with chronic hepatitis C and advanced fibrosis. *Ann Intern Med.* 2007;147:677–84.
- Asahina Y, Tsuchiya K, Tamaki N, Hirayama I, Tanaka T, Sato M, et al. Effect of aging on risk for hepatocellular carcinoma in chronic hepatitis C virus infection. *Hepatology.* 2010;52:518–27. doi:10.1002/hep.23691.
- Amarapurkar D, Han KH, Chan HL, Ueno Y, Asia-Pacific working party on prevention of hepatocellular carcinoma. Application of surveillance programs for hepatocellular carcinoma in the Asia-Pacific Region. *J Gastroenterol Hepatol.* 2009;24:955–61. doi:10.1111/j.1440-1746.2009.05805.x.
- Tamura Y, Yamagiwa S, Aoki Y, Kurita S, Suda T, Ohkoshi S, et al. Serum alpha-fetoprotein levels during and after interferon therapy and the development of hepatocellular carcinoma in patients with chronic hepatitis C. *Dig Dis Sci.* 2009;54:2530–7.
- Di Bisceglie AM, Shiffman ML, Everson GT, Lindsay KL, Everhart JE, Wright EC, et al. Prolonged therapy of advanced chronic hepatitis C with low-dose peginterferon. *N Engl J Med.* 2008;359:2429–41. doi:10.1056/NEJMoa0707615.
- Lok AS, Everhart JE, Wright EC, Di Bisceglie AM, Kim HY, Sterling RK, et al. Maintenance peginterferon therapy and other factors associated with hepatocellular carcinoma in patients with advanced hepatitis C. *Gastroenterology.* 2011;140:840–9. doi:10.1053/j.gastro.2010.11.050.
- Bruix J, Poynard T, Colombo M, Schiff E, Burak K, Heathcote EJ, et al. Maintenance therapy with peginterferon alfa-2b does not prevent hepatocellular carcinoma in cirrhotic patients with chronic hepatitis C. *Gastroenterology.* 2011;140:1990–9. doi:10.1053/j.gastro.2010.11.050.
- Arase Y, Ikeda K, Suzuki F, Suzuki Y, Kobayashi M, Akuta N, et al. Prolonged-interferon therapy reduces hepatocarcinogenesis in aged-patients with chronic hepatitis C. *J Med Virol.* 2007;79:1095–102. doi:10.1002/jmv.20866.
- Poynard T, Moussali J, Ratziu V, Regimberu C, Opolan P. Effects of interferon therapy in “non-responder” patients with chronic hepatitis C. *J Hepatol.* 1999;31S:178–83. doi:10.1016/S0168-8278(99)80397-3.
- Desmet VJ, Gerber M, Hoofnagle JH, Manns M, Scheuer P. Classification of chronic hepatitis: diagnosis, grading and staging. *Hepatology.* 1994;19:1513–20. doi:10.1016/0270-9139(94)90250-X. doi:10.1002/hep.1840190629.
- Kanwal F, Hoang T, Kramer JR, Asch SM, Goetz MB, Zeringue A, et al. Increasing prevalence of HCC and cirrhosis in patients with chronic hepatitis C virus infection. *Gastroenterology.* 2011;140:1182–8. doi:10.1053/j.gastro.2010.12.032.
- Ge D, Fellay J, Thompson AJ, Simon JS, Shianna KV, Urban TJ, et al. Genetic variation in IL28B predicts hepatitis C treatment-induced viral clearance. *Nature.* 2009;461:399–401. doi:10.1038/nature08309.
- Tanaka Y, Nishida N, Sugiyama M, Kurosaki M, Matsuura K, Sakamoto N, et al. Genome-wide association of IL28B with response to pegylated interferon-alpha and ribavirin therapy for chronic hepatitis C. *Nature.* 2009;41:1105–9.
- Fabris C, Falletti E, Cussigh A, Bitetto D, Fontanini E, Bignulin S, et al. IL-28B rs 12979860 C/T allele distribution in patients with liver cirrhosis: role in the course of chronic viral hepatitis and the development of HCC. *J Hepatol.* 2011;54:716–22. doi:10.1016/j.jhep.2010.07.019.
- Kurosaki M, Hosokawa T, Matsunaga K, Hirayama I, Tanaka T, Sato M, et al. Hepatic steatosis in chronic hepatitis C is a significant risk factor for developing hepatocellular carcinoma independent of age, sex, obesity, fibrosis stage and response to interferon therapy. *Hepatol Res.* 2010;40:870–7. doi:10.1111/j.1872-034X.2010.00692.x.
- Koike K. Steatosis, liver injury, and hepatocarcinogenesis in hepatitis C viral infection. *J Gastroenterol.* 2009;44(Suppl 19):82–8. doi:10.1007/s00535-008-2276-4.
- Veldt BJ, Chen W, Heathcote EJ, Wedemeyer H, Reichen J, Hofman WP, et al. Increased risk of hepatocellular carcinoma among patients with hepatitis C cirrhosis and diabetes mellitus. *Hepatology.* 2008;47:1856–62. doi:10.1002/hep.22251.
- Lai MS, Hsieh MS, Chiu YH, Chen TH. Type 2 diabetes and hepatocellular carcinoma: a cohort study in high prevalence area of hepatitis virus infection. *Hepatology.* 2006;43:1295–302. doi:10.1002/hep.21208.
- Furutani T, Hino K, Okuda M, Gondo T, Nishina S, Kitase A, et al. Hepatic iron overload induces hepatocellular carcinoma in transgenic mice expressing the hepatitis C virus polyprotein. *Gastroenterology.* 2006;130:2087–98. doi:10.1053/j.gastro.2006.02.060.
- Kubo S, Nishiguchi S, Hirohashi K, Tanaka H, Shuto T, Kinoshita H. Randomized clinical trial of long-term outcome after resection of hepatitis C virus-related hepatocellular carcinoma by



- postoperative interferon therapy. *Br J Surg.* 2002;89:418–22. doi:10.1046/j.0007-1323.2001.02054.x.
29. Kudo M, Sakaguchi Y, Chung H, Hatanaka K, Hagiwara S, Ishikawa E, et al. Long-term interferon maintenance therapy improves survival in patients with HCV-related hepatocellular carcinoma after curative radiofrequency ablation. A matched case-control study. *Oncology.* 2007;72(Suppl 1):132–8. doi:10.1159/000111719.
30. Singal AK, Freeman DH Jr, Anand BS. Meta-analysis: interferon improves outcomes following ablation or resection of hepatocellular carcinoma. *Aliment Pharmacol Ther.* 2010;32:851–8. doi:10.1111/j.1365-2036.2010.04414.x.
31. Miyake Y, Takaki A, Iwasaki Y, Yamamoto K. Meta-analysis: interferon-alpha prevents the recurrence after curative treatment of hepatitis C virus-related hepatocellular carcinoma. *J Viral Hepat.* 2010;17:287–92. doi:10.1111/j.1365-2893.2009.01181.x.
32. Arase Y, Ikeda K, Suzuki F, Suzuki Y, Kobayashi M, Akuta N, et al. Interferon-induced prolonged biochemical response reduces hepatocarcinogenesis in hepatitis C virus infection. *J Med Virol.* 2007;79:1485–90. doi:10.1002/jmv.20925.
33. Nomura H, Kashiwagi Y, Hirano R, Tanimoto H, Tsutsumi N, Higashi M, et al. Efficacy of low dose long-term interferon monotherapy in aged patients with chronic hepatitis C genotype 1 and its relation to alpha-fetoprotein: a pilot study. *Hepatol Res.* 2007;37:490–7. doi:10.1111/j.1872-034X.2007.00073.x.
34. Chen TM, Huang PT, Tsai MH, Lin LF, Liu CC, Ho KS, et al. Predictors of alpha-fetoprotein elevation in patients with chronic hepatitis C, but not hepatocellular carcinoma, and its normalization after pegylated interferon alfa 2a-ribavirin combination therapy. *J Gastroenterol Hepatol.* 2007;22:669–75. doi:10.1111/j.1440-1746.2007.04898.x.
35. Osaki Y, Ueda Y, Marusawa H, Nakajima J, Kimura T, Kita R, et al. Decrease in alpha-fetoprotein levels predicts reduced incidence of hepatocellular carcinoma in patients with hepatitis C virus infection receiving interferon therapy: a single center study. *J Gastroenterol.* 2012;47:444–51.

## Genome-wide Association Study Identifies *TNFSF15* and *POU2AF1* as Susceptibility Loci for Primary Biliary Cirrhosis in the Japanese Population

Minoru Nakamura,<sup>1,2,3,30,\*</sup> Nao Nishida,<sup>4,5,30</sup> Minae Kawashima,<sup>4</sup> Yoshihiro Aiba,<sup>1</sup> Atsushi Tanaka,<sup>6</sup> Michio Yasunami,<sup>7</sup> Hitomi Nakamura,<sup>1</sup> Atsumasai Komori,<sup>1</sup> Makoto Nakamuta,<sup>2</sup> Mikio Zeniya,<sup>8</sup> Etsuko Hashimoto,<sup>9</sup> Hiromasa Ohira,<sup>10</sup> Kazuhide Yamamoto,<sup>11</sup> Morikazu Onji,<sup>12</sup> Shuichi Kaneko,<sup>13</sup> Masao Honda,<sup>13</sup> Satoshi Yamagiwa,<sup>14</sup> Kazuhiko Nakao,<sup>15</sup> Takafumi Ichida,<sup>16</sup> Hajime Takikawa,<sup>6</sup> Masataka Seike,<sup>17</sup> Takeji Umemura,<sup>18</sup> Yoshiyuki Ueno,<sup>19</sup> Shotaro Sakisaka,<sup>20</sup> Kentaro Kikuchi,<sup>21</sup> Hirotoishi Ebinuma,<sup>22</sup> Noriyo Yamashiki,<sup>23</sup> Sumito Tamura,<sup>24</sup> Yasuhiko Sugawara,<sup>24</sup> Akira Mori,<sup>25</sup> Shintaro Yagi,<sup>25</sup> Ken Shirabe,<sup>26</sup> Akinobu Taketomi,<sup>26</sup> Kuniaki Arai,<sup>13</sup> Kyoko Monoe,<sup>10</sup> Tatsuki Ichikawa,<sup>15</sup> Makiko Taniyai,<sup>9</sup> Yasuhiro Miyake,<sup>11</sup> Teru Kumagi,<sup>12</sup> Masanori Abe,<sup>12</sup> Kaname Yoshizawa,<sup>2,18</sup> Satoru Joshita,<sup>18</sup> Shinji Shimoda,<sup>27</sup> Koichi Honda,<sup>17</sup> Hiroki Takahashi,<sup>8</sup> Katsuji Hirano,<sup>16</sup> Yasuaki Takeyama,<sup>20</sup> Kenichi Harada,<sup>28</sup> Kiyoshi Migita,<sup>1</sup> Masahiro Ito,<sup>1</sup> Hiroshi Yatsuhashi,<sup>1</sup> Nobuyoshi Fukushima,<sup>2</sup> Hajime Ota,<sup>2</sup> Tatsuji Komatsu,<sup>2</sup> Takeo Saoshiro,<sup>2</sup> Jinya Ishida,<sup>2</sup> Hirotsugu Kouno,<sup>2</sup> Hirotaaka Kouno,<sup>2</sup> Michiyasu Yagura,<sup>2</sup> Masakazu Kobayashi,<sup>2</sup> Toyokichi Muro,<sup>2</sup> Naohiko Masaki,<sup>2</sup> Keiichi Hirata,<sup>2</sup> Yukio Watanabe,<sup>2</sup> Yoko Nakamura,<sup>2</sup> Masaaki Shimada,<sup>2</sup> Noboru Hirashima,<sup>2</sup> Toshiki Komeda,<sup>2</sup> Kazuhiro Sugi,<sup>2</sup> Michiaki Koga,<sup>2</sup> Keisuke Ario,<sup>2</sup> Eiichi Takesaki,<sup>2</sup> Yoshihiko Maehara,<sup>26</sup> Shinji Uemoto,<sup>25</sup> Norihiro Kokudo,<sup>24</sup> Hirohito Tsubouchi,<sup>29</sup> Masashi Mizokami,<sup>5</sup> Yasuni Nakanuma,<sup>28</sup> Katsushi Tokunaga,<sup>4</sup> and Hiromi Ishibashi<sup>1</sup>

For the identification of susceptibility loci for primary biliary cirrhosis (PBC), a genome-wide association study (GWAS) was performed in 963 Japanese individuals (487 PBC cases and 476 healthy controls) and in a subsequent replication study that included 1,402 other Japanese individuals (787 cases and 615 controls). In addition to the most significant susceptibility region, human leukocyte antigen (HLA), we identified two significant susceptibility loci, *TNFSF15* (rs4979462) and *POU2AF1* (rs4938534) (combined odds ratio [OR] = 1.56,  $p = 2.84 \times 10^{-14}$  for rs4979462, and combined OR = 1.39,  $p = 2.38 \times 10^{-8}$  for rs4938534). Among 21 non-HLA susceptibility loci for PBC identified in GWASs of individuals of European descent, three loci (*IL7R*, *IKZF3*, and *CD80*) showed significant associations (combined  $p = 3.66 \times 10^{-8}$ ,  $3.66 \times 10^{-9}$ , and  $3.04 \times 10^{-9}$ , respectively) and *STAT4* and *NFKB1* loci showed suggestive association with PBC

<sup>1</sup>Clinical Research Center, National Hospital Organization (NHO) Nagasaki Medical Center, and Department of Hepatology, Nagasaki University Graduate School of Biomedical Sciences, Omura, Nagasaki 856-8562, Japan; <sup>2</sup>Headquarters of Primary Biliary Cirrhosis Research in the NHO Study Group for Liver Disease in Japan, Clinical Research Center, NHO Nagasaki Medical Center, Omura, Nagasaki 856-8562, Japan; <sup>3</sup>Headquarters of the gp210 Working Group in Intractable Liver Disease Research Project Team of the Ministry of Health and Welfare in Japan, Department of Hepatology, Nagasaki University Graduate School of Biomedical Sciences, Omura, Nagasaki 856-8562, Japan; <sup>4</sup>Department of Human Genetics, Graduate School of Medicine, The University of Tokyo, Tokyo 113-0033, Japan; <sup>5</sup>The Research Center for Hepatitis and Immunology, National Center for Global Health and Medicine, Ichikawa 272-8516, Japan; <sup>6</sup>Department of Medicine, Teikyo University School of Medicine, Tokyo 173-8605, Japan; <sup>7</sup>Department of Clinical Medicine, Institute of Tropical Medicine, Nagasaki University, Nagasaki 852-8523, Japan; <sup>8</sup>Department of Gastroenterology and Hepatology, Tokyo Jikei University School of Medicine, Tokyo 105-8461, Japan; <sup>9</sup>Department of Medicine and Gastroenterology, Tokyo Women's Medical University, Tokyo 162-8666, Japan; <sup>10</sup>Department of Gastroenterology and Rheumatic Diseases, Fukushima Medical University, Fukushima 960-1295, Japan; <sup>11</sup>Department of Gastroenterology and Hepatology, Okayama University Graduate School of Medicine, Dentistry, and Pharmaceutical Sciences, Okayama 700-8558, Japan; <sup>12</sup>Department of Gastroenterology and Metabolism, Ehime University Graduate School of Medicine, Matsuyama 791-0295, Japan; <sup>13</sup>Department of Gastroenterology, Kanazawa University Graduate School of Medicine, Kanazawa 920-0942, Japan; <sup>14</sup>Division of Gastroenterology and Hepatology, Niigata University Graduate School of Medical and Dental Sciences, Niigata 951-8510, Japan; <sup>15</sup>Department of Gastroenterology and Hepatology, Nagasaki University Graduate School of Biomedical Sciences, Nagasaki 852-8501, Japan; <sup>16</sup>Department of Gastroenterology and Hepatology, Juntendo University Shizuoka Hospital, Shizuoka 410-2295, Japan; <sup>17</sup>First Department of Internal Medicine, Faculty of Medicine, Oita University, Oita 879-5593, Japan; <sup>18</sup>Division of Gastroenterology and Hepatology, Department of Medicine, Shinshu University School of Medicine, Matsumoto 390-8621, Japan; <sup>19</sup>Division of Gastroenterology, Tohoku University Graduate School of Medicine, Sendai 980-8575, Japan; <sup>20</sup>Department of Gastroenterology and Medicine, Fukuoka University School of Medicine, Fukuoka 814-0180, Japan; <sup>21</sup>Department of Internal Medicine, Teikyo University Mizonokuchi Hospital, Kawasaki 213-8507, Japan; <sup>22</sup>Division of Gastroenterology and Hepatology, Department of Internal Medicine, Keio Graduate School of Medicine, Tokyo 160-8582, Japan; <sup>23</sup>Organ Transplantation Service, The University of Tokyo, Tokyo 113-8655, Japan; <sup>24</sup>Hepatobiliary and Pancreatic Surgery Division and Artificial Organ and Transplantation Division, Department of Surgery, Graduate School of Medicine, The University of Tokyo, Tokyo 113-8655, Japan; <sup>25</sup>Division of Hepato-pancreato-biliary Surgery and Transplantation, Department of Surgery, Graduate School of Medicine, Kyoto University, Kyoto 606-8507, Japan; <sup>26</sup>Department of Surgery and Science, Kyushu University Graduate School of Medical Sciences, Fukuoka 812-8582, Japan; <sup>27</sup>Department of Medicine and Biosystemic Science, Kyushu University Graduate School of Medical Sciences, Fukuoka 812-8582, Japan; <sup>28</sup>Department of Human Pathology, Kanazawa University Graduate School of Medicine, Kanazawa 920-0942, Japan; <sup>29</sup>Department of Digestive and Lifestyle-Related Disease, Kagoshima University Graduate School of Medical and Dental Science, Kagoshima 890-8520, Japan

<sup>30</sup>These authors contributed equally to this work

\*Correspondence: nakamuram@nmc.hosp.go.jp

<http://dx.doi.org/10.1016/j.ajhg.2012.08.010>. ©2012 by The American Society of Human Genetics. All rights reserved.

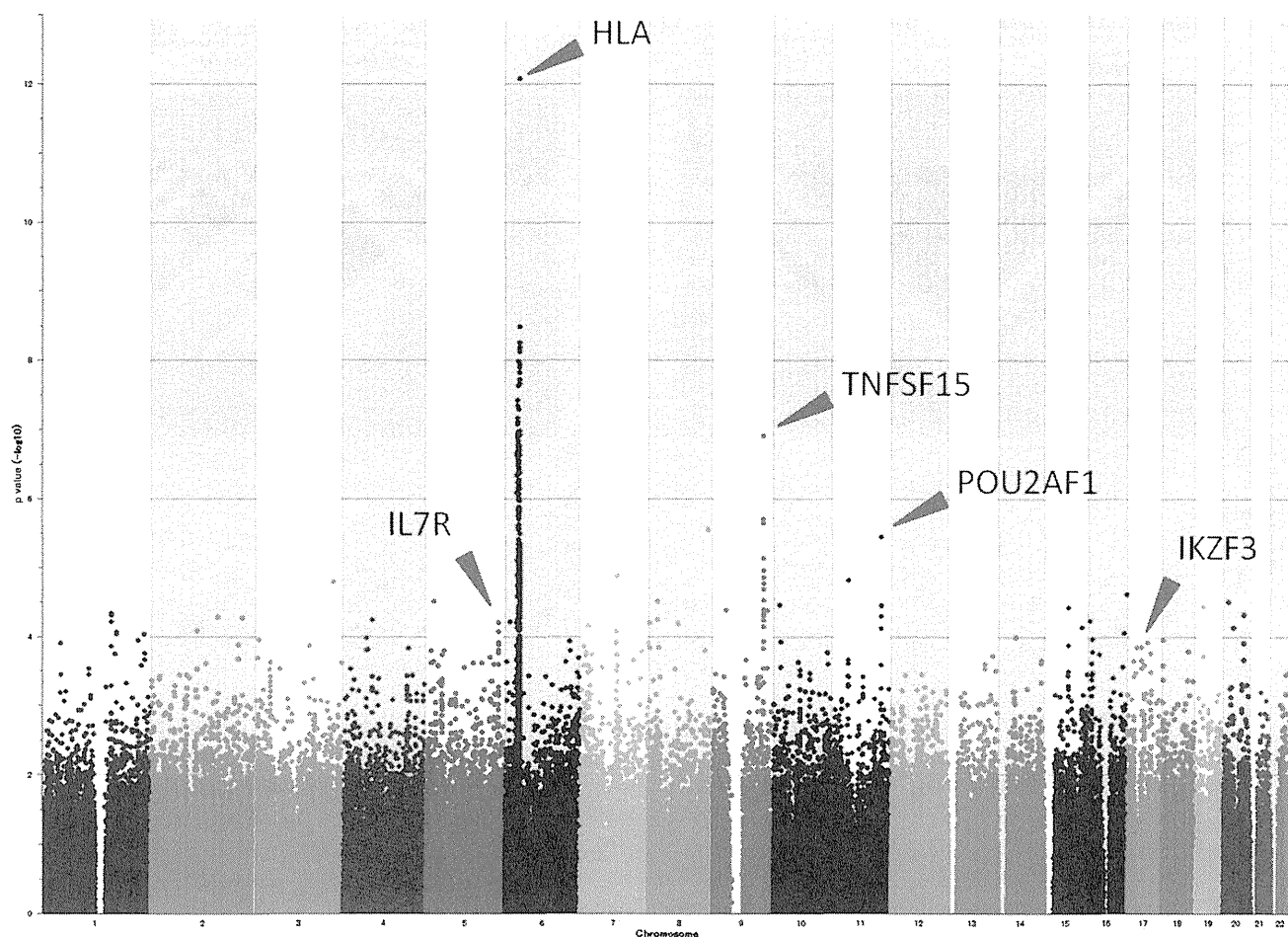
(combined  $p = 1.11 \times 10^{-6}$  and  $1.42 \times 10^{-7}$ , respectively) in the Japanese population. These observations indicated the existence of ethnic differences in genetic susceptibility loci to PBC and the importance of TNF signaling and B cell differentiation for the development of PBC in individuals of European descent and Japanese individuals.

Primary biliary cirrhosis (PBC, MIM 109720) is a chronic and progressive cholestatic liver disease, presumably caused by autoimmune reactions against biliary epithelial cells, leading to liver cirrhosis and hepatic failure.<sup>1</sup> The incidence and prevalence of PBC range from 0.33 to 5.8 and from 2 to 40 per 100,000 inhabitants, respectively, in different geographical areas.<sup>2</sup> This may indicate the contribution of environmental or genetic factors in the development of PBC, whereas the clinical profiles of PBC are thought to be similar between different ethnicities and/or different geographical areas, including European-descent and eastern Asian populations. The high concordance rate in monozygotic twins compared to dizygotic twins<sup>3</sup> and familial clustering of individuals with PBC indicate the involvement of strong genetic factors in the development of PBC; however, the pathogenesis of PBC is still poorly understood. Previous genome-wide association studies (GWASs) and subsequent meta-analyses have identified *HLA* and 21 non-*HLA* susceptibility loci (*IL12A* [MIM 161560], *IL12RB2* [MIM 601642], *STAT4* [MIM 600558], *IRF5* [MIM 607218], *IKZF3* [MIM 606221], *MMEL1* [MIM 120520], *SPIB* [MIM 606802], *DENND1B* [MIM 613292], *CD80* [MIM 112203], *IL7R* [MIM 146661], *CXCR5* [MIM 601613], *TNFRSF1A* [MIM 191190], *CLEC16A* [MIM 611303], *NFKB* [MIM 164012], *RAD51L1* [MIM 602948], *MAP3K7IP1* [MIM 602615], *PLCL2* [MIM 614276], *RPS6KA4* [MIM 603606], *TNFAIP2* [MIM 603300], 7p14, and 16q24) to PBC in individuals of European descent,<sup>4-7</sup> indicating the important role of several autoimmune pathways (i.e., *IL12A* signaling, TNF/TLR-NF- $\kappa$ B signaling, and B cell differentiation) in the development of PBC. However, GWASs for PBC have never been reported for ethnicities other than European descent, limiting our knowledge of the genetic architecture of PBC. Here, we conducted a GWAS for PBC in the Japanese population to identify host genetic factors related to PBC, which would not only expand our knowledge of pathogenic pathways in PBC but also lead to the development of rationale for therapies in the future.

Samples from 2,395 individuals (1,295 cases with PBC and 1,100 healthy volunteers working at the National Hospital Organization (NHO) in Japan as a medical staff who declared having no apparent diseases, including chronic liver diseases and autoimmune diseases [healthy controls]) were collected by members of the Japan PBC-GWAS Consortium, which consists of 31 hospitals participating in the NHO Study Group for Liver Disease in Japan (NHOSLJ) and 24 university hospitals participating in the gp210 Working Group in Intractable Liver Disease Research Project Team of the Ministry of Health and Welfare in Japan. Most of the case and control samples were collected from the mainland and the neighboring islands of Japan (Honshu, Kyushu, and Shikoku). Previous studies have shown that

there is little genetic heterogeneity in resident populations in these areas.<sup>8</sup> In fact, the genetic inflation factor was close to 1.00, and only a small portion of the samples were identified as outliers in the principal component analysis. The cases were diagnosed with PBC if they met at least two of the following internationally accepted criteria:<sup>9</sup> biochemical evidence of cholestasis based mainly on alkaline phosphatase elevation, presence of serum anti-mitochondrial antibodies, histological evidence of non-suppurative destructive cholangitis, and destruction of interlobular bile ducts. The demographic details of PBC cases are summarized in Table S1, available online. Of the 487 PBC cases in the GWAS, 57 were male and 430 were female, ages ranged from 33 to 90 years, the median age was 66 years, 320 cases had early-stage PBC (a stage without any signs indicating portal hypertension or liver cirrhosis), 110 had late-stage PBC without jaundice (a stage with signs of portal hypertension or liver cirrhosis but without persistent jaundice), and 57 were at the late stage with jaundice (persistent presence of jaundice [total bilirubin >2 mg/dl]). Of the 476 healthy controls in the GWAS, 170 were male and 306 were female, ages ranged from 25 to 87 years, and the median age was 40. Of the 808 PBC cases in the replication set, 120 were male and 688 were female, ages ranged from 24 to 85 years, the median age was 61 years, 646 had early-stage PBC, 121 had late-stage PBC without jaundice, and 39 were at the late stage with jaundice. Of the 624 healthy controls in the replication set, 271 were male and 353 were female, ages ranged from 24 to 74 years, and the median age was 33 years. Concomitant autoimmune diseases are also shown in Table S1. As for inflammatory bowel diseases such as Crohn disease (CD, MIM 266600) and ulcerative colitis (UC, MIM 266600), only one out of 1,274 PBC cases had UC, but none had CD. DNA was extracted from whole peripheral blood with the QIAamp DNA Blood Midi Kit (QIAGEN, Tokyo).

For the GWAS, we genotyped 1,015 samples (515 Japanese PBC cases and 500 Japanese healthy controls) using the Affymetrix Axiom Genome-Wide ASI 1 Array, according to the manufacturer's instructions. After excluding three PBC samples with a Dish QC of less than 0.82, we recalled the remaining 1,012 samples (512 cases and 500 controls) using the Genotyping Console v4.1 software. Here, Dish QC represents the recommended sample quality control (QC) metric for the Axiom arrays.<sup>10</sup> Of the 600,000 SNPs embedded in the array, samples with an overall call rate of less than 97% were also excluded. As a result, 508 cases and 484 controls were subjected to further analysis. All samples used for GWAS passed a heterozygosity check, and no duplicated and related samples were identified in identity by descent testing. Moreover, principal component analysis found 29 outliers to be excluded via the Smirnov-Grubbs test



**Figure 1. GWAS Results**

From 963 samples (487 Japanese PBC cases and 476 Japanese healthy controls), p values were calculated with a chi-square test for allele frequencies among 420,928 SNPs.

and finally showed that all PBC cases ( $n = 487$ ) and healthy controls ( $n = 476$ ) formed a single cluster together with the HapMap JPT (Japanese in Tokyo from the CEPH collection), but not with CHB (Han Chinese in Beijing) samples (Figure S1, Table S2). These results indicate that the effect of population stratification was negligible. The average overall call rates of the remaining 487 PBC cases and 476 healthy controls were 99.38% (97.15–99.80) and 99.27% (97.01–99.81), respectively.<sup>11</sup> We then applied the following thresholds for SNP quality control during the data cleaning: SNP call rate  $\geq 95\%$ , minor allele frequency  $\geq 5\%$  in both PBC cases and healthy controls, and Hardy-Weinberg Equilibrium (HWE)  $p$  value  $\geq 0.001$  in healthy controls.<sup>12</sup> Of the SNPs on autosomal chromosomes and in the pseudoautosomal regions on the X chromosome, 420,928 and 317 passed the quality control filters and were used for the association analysis, respectively (Table S3). A quantile-quantile plot of the distribution of test statistics for the comparison of genotype frequencies in PBC cases and healthy controls showed that the inflation factor  $\lambda$  was 1.039 for all the tested SNPs, including those in the HLA region, and was 1.026 when SNPs in the HLA region were excluded (Figures S2A

and S2B). Table S4 shows the 298 SNPs with  $p < 0.0001$  in the GWAS. All cluster plots for the SNPs with a  $p < 0.0001$  from a chi-square test of the allele frequency model were checked by visual inspection, and SNPs with ambiguous genotype calls were excluded. For the GWAS and replication study, a chi-square test was applied to a two-by-two contingency table in an allele frequency model.

Figure 1 shows a genome-wide view of the single-point association data, which are based on allele frequencies. We found that the *HLA-DQB1* locus (MIM 604305) had the strongest association with susceptibility to PBC (rs9275175, odds ratio [OR] = 1.94; 95% confidence interval [CI] = 1.62–2.33,  $p = 8.30 \times 10^{-13}$ ) (Figure 1 and Table S4); this finding was consistent with findings from previous studies.<sup>4–7</sup> In addition to the HLA class II region, loci *TNFSF15* and *POU2AF1* showed evidence indicative of association with PBC (rs4979462, OR = 1.63; 95% CI = 1.36–1.95,  $p = 1.21 \times 10^{-7}$  for *TNFSF15*; rs4938534, OR = 1.53; 95% CI = 1.28–1.83,  $p = 3.51 \times 10^{-6}$  for *POU2AF1*).

In a subsequent replication analysis, 27 SNPs with  $p < 0.0001$  in the initial GWAS were also studied, in addition to SNPs at the *TNFSF15* and *POU2AF1* loci. Tagging SNPs were selected from the regions surrounding *TNFSF15* and