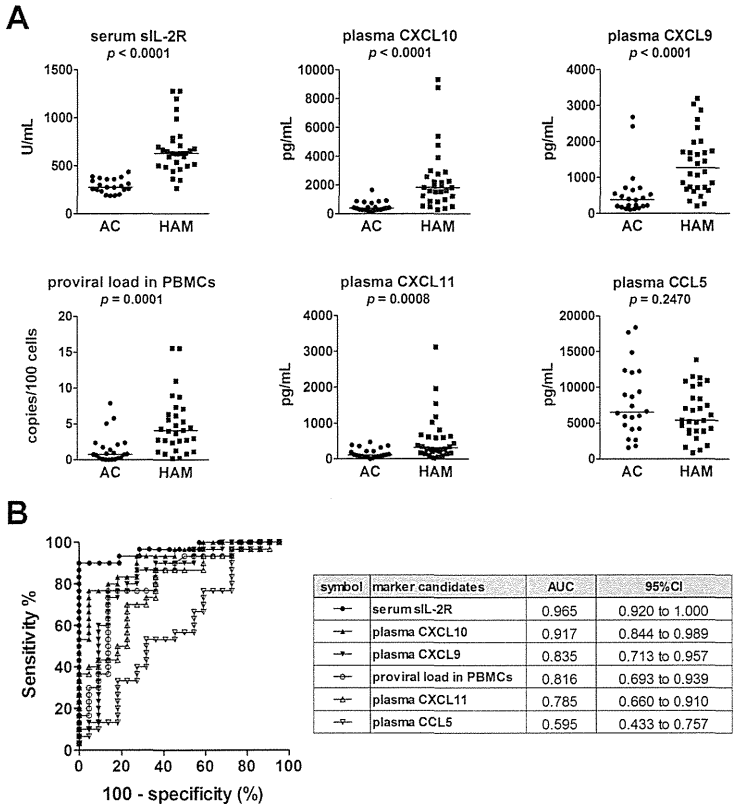

symbol	marker candidates	AUC	95%CI
	serum sIL-2R	0.965	0.920 to 1.000
	plasma CXCL10	0.917	0.844 to 0.989
	plasma CXCL9	0.835	0.713 to 0.957
	proviral load in PBMCs	0.816	0.693 to 0.939
	plasma CXCL11	0.785	0.660 to 0.910
	plasma CCL5	0.595	0.433 to 0.757

Figure 1. Selection of candidate biomarkers in the blood by comparing HAM/TSP patients and asymptomatic carriers. (**A**) Serum levels of soluble IL-2 receptor (sIL-2R), proviral loads in peripheral blood mononuclear cells (PBMCs), and plasma levels of four chemokines (chemokine (C-X-C motif) ligand (CXCL) 9, CXCL10, CXC11, and chemokine (C-C motif) ligand (CCL) 5) were compared between HAM/TSP patients (HAM; n = 30) and asymptomatic carriers (AC; n = 22). Horizontal bars indicate the median values. The Mann-Whitney *U*-test was used for statistical analysis. (**B**) Receiver operating characteristic (ROC) analysis was employed to assess the sensitivities and specificities of the six markers exhibited in part (A) for discriminating HAM/TSP patients from ACs: greater proximity of the ROC curve to the upper left corner indicates higher sensitivity and specificity of the marker. AUC = area under the ROC curve; 95% CI = 95% confidence interval.
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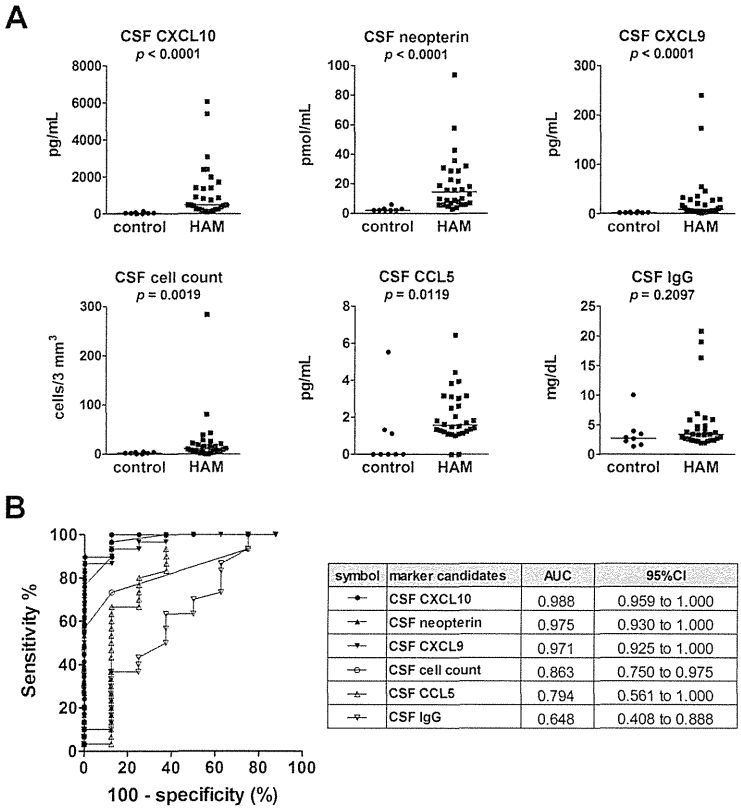
ligand: CCL20) were also measured in the CSF of 30 untreated HAM/TSP patients and in eight HTLV-1-infected control subjects (seven ACs and one patient with smoldering ATL). The results indicated that CSF levels of CXCL10, neopterin, and CXCL9 were remarkably higher in HAM/TSP patients compared to control subjects ($p<0.0001$ overall, Figures 2A and S4) and that CSF levels of cell count and CCL5 were less so but still significantly higher ($p = 0.0019$ and $p = 0.0119$, respectively; Figure 2A). By contrast, there were no differences in the CSF levels of IgG and total protein between HAM/TSP patients and control subjects, and CSF sIL-2R levels were only detectable in a single HAM/TSP patient (data not shown). ROC analysis showed that the CSF levels of CXCL10, neopterin, CXCL9, and CSF cell count could be used to relatively accurately distinguish HAM/TSP patients from control subjects (AUC>0.8) (Figure 2B). Therefore, these four CSF markers were selected as candidates for further investigation. It should be noted that the sensitivity of CSF cell count was very low (36.7%) when compared to the other three: CXCL10 (83.3%), CXCL9 (86.7%), and neopterin (76.7%) (Figure S5).

Identification of biomarkers correlated with rate of HAM/TSP disease progression

In short, we selected nine markers: eight markers chosen based on the analyses described above and CSF anti-HTLV-1 antibody titer, which is a known diagnostic marker for HAM/TSP. To determine which biomarkers were associated with HAM/TSP disease progression, the levels of these nine markers were compared between the deteriorating and stable HAM/TSP patient groups (see Methods for definitions of deteriorating and stable). The results revealed that all five CSF markers were significantly higher in the deteriorating group compared to the stable group (Figure 3A), but that none of the four blood markers, including proviral load, were significantly different between the two groups. The deteriorating group included three patients with particularly rapidly progressive HAM/TSP, defined as those who had been confined to wheelchairs (OMDS: ≥ grade 6) within two years after the onset of symptoms [13,14] (black circles in Figures 3A and S3B). These rapid progressors exhibited high levels of the CSF markers and high proviral loads. ROC analysis revealed that the levels of the CSF markers (CXCL10, CXCL9, neopterin, and cell count), but not anti-HTLV-1 antibody titer, distinguished clearly between patients with deteriorating HAM/TSP and stable HAM/TSP (AUC>0.8, Figure 3B).

Validation of nine candidate biomarkers using the Test Set

To validate the results obtained using the Training Set, the same nine markers were compared between deteriorating and stable patients using the Test Set (a second cohort of 23 HAM/



symbol	marker candidates	AUC	95%CI
	CSF CXCL10	0.988	0.959 to 1.000
	CSF neopterin	0.975	0.930 to 1.000
	CSF CXCL9	0.971	0.925 to 1.000
	CSF cell count	0.863	0.750 to 0.975
	CSF CCL5	0.794	0.561 to 1.000
	CSF IgG	0.648	0.408 to 0.888

Figure 2. Selection of candidate biomarkers in the cerebrospinal fluid (CSF) by comparing HAM/TSP patients and control subjects. (**A**) CSF levels of total protein, cell count, IgG, neopterin, sIL-2R, and nine chemokines (CCL3, CCL4, CCL5, CXCL9, CXCL10, CXC11, CCL17, CCL20, and CCL22) were measured and compared between HAM/TSP patients (HAM; n = 30) and HTLV-1-infected control subjects (control; n = eight: seven ACs and one ATL patient). Data is shown for the top six CSF markers ranked according to the significance of the difference between the HAM/TSP patients and the control subjects. Horizontal bars indicate the median values. The Mann-Whitney *U*-test was used for statistical analysis. (**B**) ROC analysis was employed to assess the sensitivities and specificities of the six markers exhibited in part (A) for discriminating HAM/TSP patients from controls. AUC = area under the ROC curve; 95% CI = 95% confidence interval.
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TSP patients that had not undergone HAM/TSP-targeting treatment). As shown in Figure 4A, the results indicated that the levels of five CSF markers, proviral load in PBMCs, and serum sIL-2R were significantly higher in deteriorating cases than in stable cases. Among them, CSF levels of CXCL10, CXCL9, neopterin, and CSF cell count exhibited particularly high sensitivities and specificities for detecting the deteriorating HAM/TSP cases in the Test set as well as Training set (AUC>0.8, Figures 4B and S1).

Demographic and clinical characteristics of the subjects

The demographics of the HAM/TSP patients versus the control subjects for both the blood tests and CSF analyses were compared and evaluated for statistical significance (Table S1). There were no significant differences in age or gender distribution between the HAM/TSP patients and either control subject group.

Similarly, the demographic and clinical characteristics of stable versus deteriorating HAM/TSP subjects in both the Training and Test sets are shown in Tables 2 and 3, respectively. There were no significant differences in age or gender distribution among either set, but deteriorating patients in both sets were significantly older at disease onset and had been living with the disease for shorter periods of time. Deteriorating patients in the Training set scored higher OMDS values than their stable counterparts ($p<0.01$), but there was no such significant difference in the Test set.

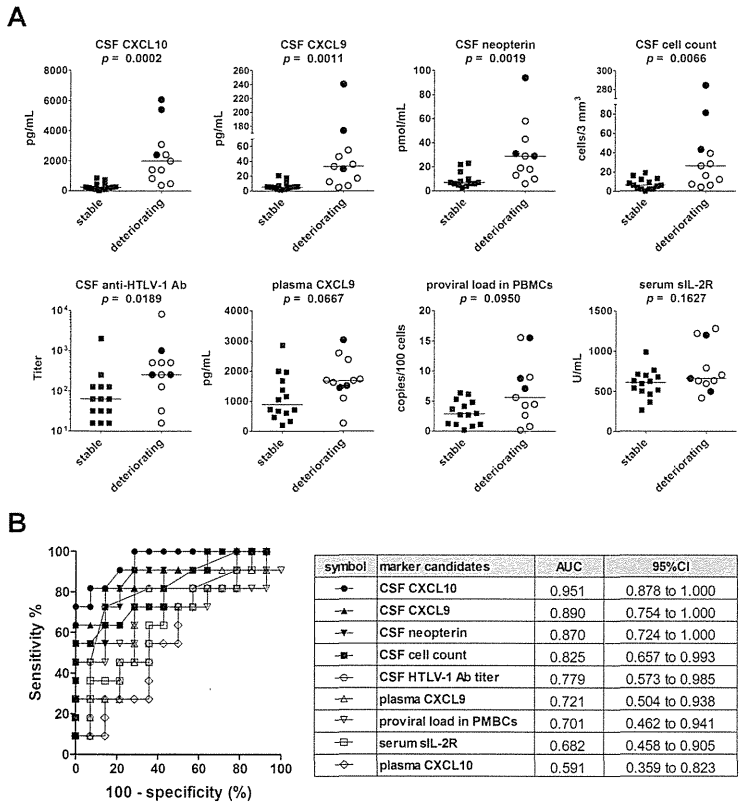
To investigate the potential influence of disease duration as a secondary variable, a new test group was created containing only those patients for whom the disease onset date was 7–13 years prior to the sample collection day. Patients fitting this criterion were selected from the 53 total available from both the Training and Test sets: eight stable patients and ten deteriorating patients; we confirmed that there was no significant difference in disease duration between these two groups. The results remained consistent with our previous findings: CSF CXCL10, CXCL9, and neopterin were all elevated in deteriorating patients with respect to stable patients ($p<0.01$, Figure 5).

Follow-up mini-study on biomarker levels over time

Four stable HAM/TSP patients were left completely untreated and followed for a period of three to five years. Within this time, one patient rose one grade on the OMDS scale, and the other three experienced no change in OMDS grade at all. The levels of CSF CXCL10 and neopterin remained consistently low over time (Figure S6).

Discussion

To date, there have been few well-designed studies that have evaluated the relationship between biomarkers and HAM/TSP disease progression. In a previous retrospective study with 100 untreated HAM/TSP patients, a significant association was



symbol	marker candidates	AUC	95%CI
	CSF CXCL10	0.951	0.878 to 1.000
	CSF CXCL9	0.890	0.754 to 1.000
	CSF neopterin	0.870	0.724 to 1.000
	CSF cell count	0.825	0.657 to 0.993
	CSF HTLV-1 Ab titer	0.779	0.573 to 0.985
	plasma CXCL9	0.721	0.504 to 0.938
	proviral load in PMBCs	0.701	0.462 to 0.941
	serum sIL-2R	0.682	0.458 to 0.905
	plasma CXCL10	0.591	0.359 to 0.823

Figure 3. Identification of biomarkers associated with clinical progression of HAM/TSP. (**A**) Five CSF marker candidates (CXCL10, CXCL9, neopterin, cell count, and anti-HTLV-1 antibody titer) and four blood marker candidates (proviral load in PBMCs, serum sIL-2R, plasma CXCL9, and plasma CXCL10) were compared among a cohort of patients called the Training Set (deteriorating HAM/TSP, n = 11; stable HAM/TSP, n = 14). Data is shown for the top eight CSF markers ranked according to the significance of the difference between the deteriorating and stable subjects. Black circles indicate patients with particularly rapidly progressive HAM/TSP. Horizontal bars indicate the median values. The Mann-Whitney *U*-test was used for statistical analysis. (**B**) ROC analysis was employed to assess the sensitivities and specificities of the nine markers listed above for discriminating deteriorating HAM/TSP patients from stable patients. AUC = area under the ROC curve; 95% CI = 95% confidence interval.
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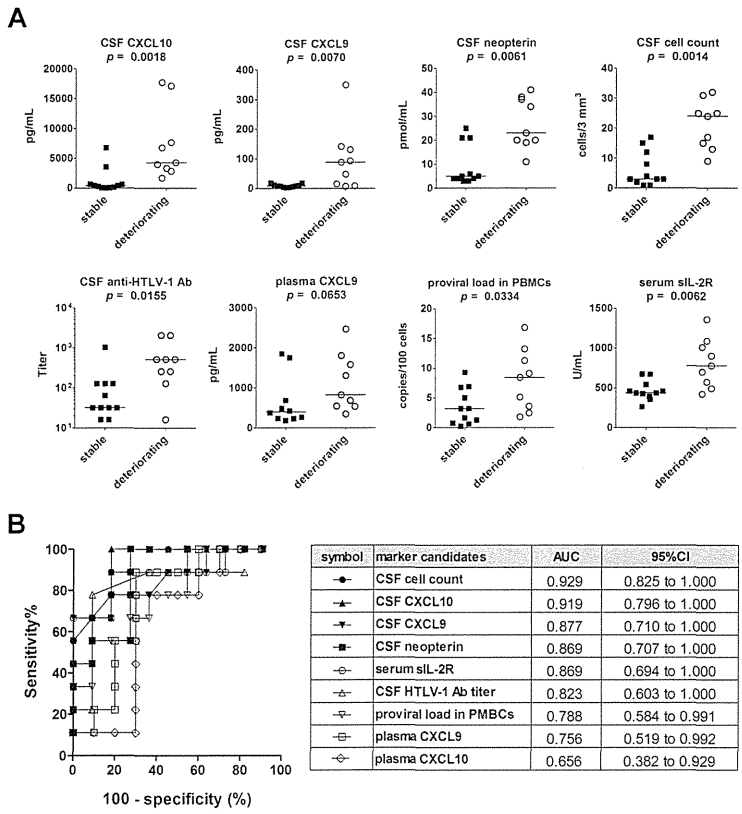
demonstrated to exist between higher HTLV-1 proviral load in PBMCs and poor long-term prognosis; however, the predictive value of high proviral load appeared to be too low to qualify it as a marker for disease progression in clinical practice [32]. Here we conducted a retrospective study to compare for the first time the relationships of PBMC proviral load and several inflammatory biomarker candidates to disease progression in untreated HAM/TSP patients.

In this study, elevated CSF cell count, neopterin concentration, and CSF levels of CXCL9 and CXCL10 were well-correlated with disease progression over the four year period under study, better even than HTLV-1 proviral load in PBMCs (Figures 3 and 4). As CSF pleocytosis, CSF CXCL10, CSF CXCL9, and CSF neopterin are known indicators of inflammation in the central nervous system [33,34], our findings indicate that the rate of HAM/TSP progression is more closely reflected by the amount of inflammatory activity in the spinal cord than by the PBMC proviral load. However, we also found a significant correlation between PBMC proviral load and the levels of the CSF markers identified in this study (Figure S7), indicating that a higher PBMC proviral load does indeed suggest more inflammation in the spinal cord and therefore a poorer long-term prognosis. These findings are consistent with the theory that HAM/TSP is the result of an excess of inflammatory mediators caused by the presence of HTLV-1-infected T-cells [35–37].

The HTLV-1 proviral load in the CSF as well as the ratio of the proviral load in the CSF to that in PBMCs have been reported to be effective for discriminating HAM/TSP patients from ACs or multiple sclerosis patients infected with HTLV-1 [38,39]. Some researchers have suggested that these values might be associated with the rate of disease progression, but there has been only one small cohort study and one case report investigating this point, and so the significance of this experimental evidence is still questionable [40,41]. In addition to statistical validation with multiple, larger cohorts, it would also be beneficial to use precise definitions for progressive versus stable patients, as we have done in this study. Although the volume of CSF available per sample was too limited to measure CSF proviral load in the present study, we plan to incorporate CSF proviral load in a future prospective study and compare its usefulness to that of other biomarker candidates.

From our results, we concluded that of the potential biomarkers under study, CXCL10, CXCL9, and neopterin are the most fit for determining the level of spinal cord inflammation, and thus the most fit for predicting disease progression in HAM/TSP patients. Although the CSF cell count is an easily measurable inflammatory marker, it is not sensitive enough to reliably detect the level of spinal cord inflammation. Numerous patients with CSF cell counts within the normal range exhibited high levels of other inflammatory markers, such as neopterin and CXCL10 (Figure S5). In fact, it has been reported that CSF pleocytosis is present in only approximately 30% of HAM/TSP patients [42]. Furthermore, in



symbol	marker candidates	AUC	95%CI
●	CSF cell count	0.929	0.825 to 1.000
▲	CSF CXCL10	0.919	0.796 to 1.000
▼	CSF CXCL9	0.877	0.710 to 1.000
■	CSF neopterin	0.869	0.707 to 1.000
○	serum sIL-2R	0.869	0.694 to 1.000
△	CSF HTLV-1 Ab titer	0.823	0.603 to 1.000
▽	proviral load in PMBCs	0.788	0.584 to 0.991
□	plasma CXCL9	0.756	0.519 to 0.992
◇	plasma CXCL10	0.656	0.382 to 0.929

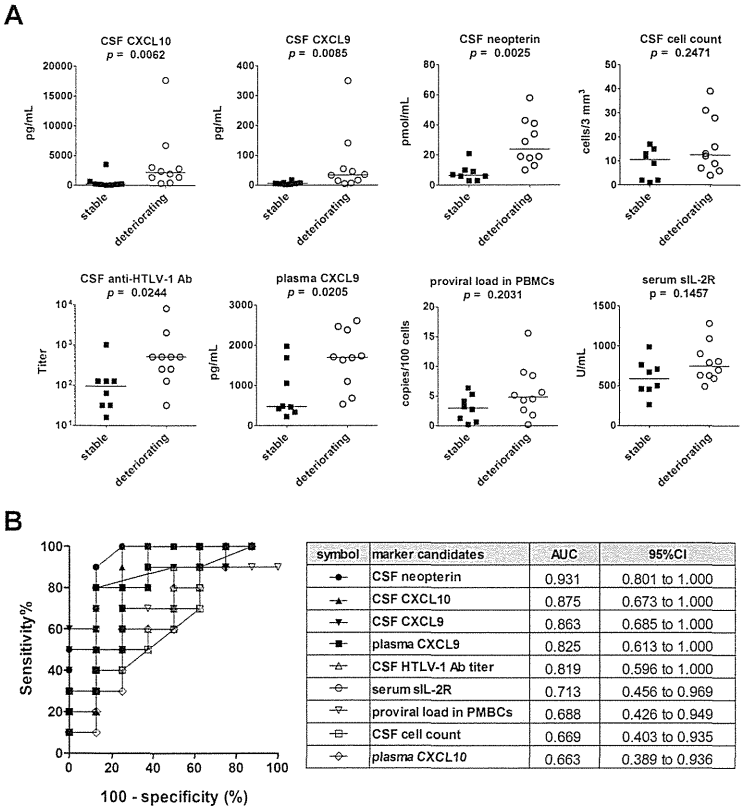
Figure 4. Validation of potential markers using the Test Set. (**A**) Five CSF marker candidates (CXCL10, CXCL9, neopterin, cell count, and anti-HTLV-1 antibody titer) and four blood marker candidates (proviral load in PBMCs, serum sIL-2R, plasma CXCL9, and plasma CXCL10) were compared among a second cohort of patients called the Test Set (deteriorating HAM/TSP, n = 9; stable HAM/TSP, n = 11). Data is shown for the top eight CSF markers ranked according to the significance of the difference between the deteriorating and stable subjects. Horizontal bars indicate the median values. The Mann-Whitney *U*-test was used for statistical analysis. (**B**) ROC analysis was employed to assess the sensitivities and specificities of the nine markers listed above for discriminating deteriorating HAM/TSP patients from stable patients. AUC = area under the ROC curve; 95% CI = 95% confidence interval.
doi:10.1371/journal.pntd.0002479.g004

our study, there was no significant difference in CSF cell count between the control subjects and the stable HAM/TSP patients (Figure S8).

We also explored the possibility of combining multiple biomarkers via multiple logistic regression to form a combination more sensitive and specific than individual markers, but the results indicated that there is not much to be gained from combinations (data not shown).

While there were no significant demographic differences between subject groups, the clinical characteristics of stable versus deteriorating HAM/TSP patients of course differed widely (Tables 2, 3, and S2). We confirmed the already well-reported statistic that deteriorating patients experience HAM/TSP onset relatively late in life [12,14,20] ; our data also reflected the short disease duration expected of deteriorating patients, who by definition progress through the disease more rapidly than their stable counterparts. As patients in all groups were of similar age at sample collection, the significant difference in age of onset should not have any impact on our findings. However, it was necessary to consider the possibility that those patients in a later stage of the disease (i.e. those listed with longer disease durations) might possess elevated or diminished biomarker levels regardless of rate of disease progression. We confirmed that this difference in disease duration was not a confounding factor in our selection of candidate biomarkers by comparing stable and deteriorating HAM/TSP patients with similar disease durations (7–13 years), and we were able to obtain results consistent with our earlier findings (Figure 5). Finally, the OMDS values for the stable and deteriorating patient groups in the Test set were perfectly identical, eliminating the need to consider the possibility that the biomarkers could have been elevated according to disease severity regardless of rate of progression.

The main limitation of our retrospective study is that our samples were collected from patients at the end of the four year period during which the extent of progression was analyzed as opposed to the beginning of the four year period, which would have been optimal for directly measuring their prognostic powers. Of course, the patients with severe HAM/TSP symptoms began undergoing treatment soon after sample collection, rendering any observations on disease course after sample collection un-useable for analysis in this study. While this situation is non-ideal, we hypothesize that biomarker levels in a given patient do not substantially change over a few years' time. We were actually able to monitor the biomarker levels of four untreated HAM/TSP patients over 3–5 years, and the levels remained relatively stable in all four subjects over time (Figure S6), supporting our hypothesis. However, these were all stable HAM/TSP patients (hence the lack of treatment), and so we cannot rule out the possibility that biomarker levels in untreated deteriorating patients may dramatically rise, fall, or fluctuate. The results of the analysis of patients with similar disease durations (Figure 5) also support our hypothesis that disease duration is not an important determinant



symbol	marker candidates	AUC	95%CI
	CSF neopterin	0.931	0.801 to 1.000
	CSF CXCL10	0.875	0.673 to 1.000
	CSF CXCL9	0.863	0.685 to 1.000
	plasma CXCL9	0.825	0.613 to 1.000
	CSF HTLV-1 Ab titer	0.819	0.596 to 1.000
	serum sIL-2R	0.713	0.456 to 0.969
	proviral load in PMBCs	0.688	0.426 to 0.949
	CSF cell count	0.669	0.403 to 0.935
	plasma CXCL10	0.663	0.389 to 0.936

Figure 5. Comparison of potential markers in stable and deteriorating HAM/TSP patients with similar disease durations. (**A**) Five CSF marker candidates (CXCL10, CXCL9, neopterin, cell count, and anti-HTLV-1 antibody titer) and four blood marker candidates (proviral load in PBMCs, serum sIL-2R, plasma CXCL9, and plasma CXCL10) were compared among all patients from both the Training and Test Sets pooled together with similar disease durations (range: 7–13 years; no significant difference in duration between stable (n = 8) and deteriorating (n = 10) groups). Data is shown for the top eight CSF markers ranked according to the significance of the difference between the deteriorating and stable subjects. Horizontal bars indicate the median values. The Mann-Whitney *U*-test was used for statistical analysis. (**B**) ROC analysis was employed to assess the sensitivities and specificities of the nine markers listed above for discriminating deteriorating HAM/TSP patients from stable patients while controlling for disease duration. AUC = area under the ROC curve; 95% CI = 95% confidence interval.
doi:10.1371/journal.pntd.0002479.g005

of biomarker levels, but it is of course not conclusive. We expect that a prospective study in the future will reveal the answer to this question.

The results of this study indicate that CXCL9 and/or CXCL10 may play a key role in the pathogenesis of HAM/TSP by recruiting more inflammatory cells to the spinal cord lesions. In this study, we measured the levels of the chemokines in the CSF that might play a part in inducing the migration of T-helper (Th) cells. $CD4^+$ Th cells differentiate from naïve T-cells to members of the Th subset (e.g., Th1, Th2, Th17, or Treg cells), and each one expresses its own characteristic chemokine receptors [43]. Usually, Th1 cell express CCR5/CXCR3 receptors, Th2 and Treg cells express CCR4, and Th17 express CCR6. Interestingly, CCR4 ligands (CCL17 and, CCL22) and the CCR6 ligand (CCL20) were not detected in the CSF of HAM/TSP patients. Moreover, of the CCR5 ligands, only CCL5 was elevated, but only slightly, and there was no association with rate of disease progression. Of the CXCR3 ligands, only CXCL9 and CXCL10 were correlated with the rate of disease progression. These results show that the pathology of HAM/TSP is unique among immune disorders in that, unlike other inflammatory disorders such as multiple sclerosis or rheumatoid arthritis that exhibit Th17 as well as Th1 involvement, the chemokine involvement in HAM/TSP is Th1-dominant. In a previous study, cytokines produced by HTLV-1-infected T-cells in HAM/TSP patients were analyzed, and the results showed that IFN-γ was elevated and IL-17 reduced [43,44]. Taken together, the results of these studies indicate that the characteristics of HTLV-1-infected T-cells themselves may be responsible for the Th1-dominant chemokine production observed in HAM/TSP. Also, these results suggest that the CXCR3-ligand (CXCL9 and CXCL10) interactions play an important role in the pathophysiology of HAM/TSP. Recently it was established that these CXCR3-ligand interactions are extremely important for the pathogenesis of several neurological disorders [33]. Therefore, future research on the significance of these interactions in the pathogenic process of HAM/TSP will be important for clarifying the suitability of CXCL9 and CXCL10 as biomarkers or therapeutic targets.

In conclusion, in this retrospective study, we have demonstrated that CSF levels of CXCL10, CXCL9, and neopterin are promising candidate prognostic biomarkers for HAM/TSP. These biomarkers may provide a means for the early identification of patients at increased risk of debilitating disease progression, those that may need anti-inflammatory therapies to limit or prevent this, and for evaluating the efficacy of such therapies. This initial identification of prognostic biomarkers for HAM/TSP should be followed by a future multicenter prospective clinical study.

Supporting Information

Figure S1 Diagram illustrating the biomarker selection process. A total of 26 biomarker candidates including 9 in the blood and 17 in the CSF underwent the following selection processes: 1) pre-screening of the cytokines for presence in HAM/TSP patients, 2) selection for markers elevated in HAM/TSP patients with respect to controls (AUC>0.8), 3) selection for markers elevated in deteriorating HAM/TSP patients with respect to stable patients (AUC>0.8) in a cohort termed the Training Set, 4) validation of the selected markers by evaluating again (AUC>0.8) in a second cohort termed the Test Set. The darkening of an arrow's color represents that marker's failure to meet the selection criteria, and the termination of an arrow indicates that no further testing was conducted for that marker. CYT = cytokine, HTLV-1 PVL = HTLV-1 proviral load, Ab Titer = anti-HTLV-1 antibody titer, AUC = area under the ROC curve.
(TIF)

Figure S2 Questionnaire on the development of motor disability over time as measured using Osame's Motor Disability Score (OMDS). The first and second columns indicate the OMDS numerical value and description, respectively. Doctors interviewed the patients and filled in the table according to the following instructions: in the bottom row, write the ages at which symptoms listed to the left first appeared, and above the age check the box in the row corresponding to the symptom.
(TIF)

Figure S3 Rate of disease progression in HAM/TSP patients without any history of HAM/TSP-targeting treatment. Each line illustrates the change in OMDS over time for an individual patient after disease onset for (**A**) all patients in the Training Set (n = 30) and (**B, left**) only deteriorating patients (n = 11) including three particularly rapidly progressive patients (shown as solid black circles) and (**B, right**) only stable patients (n = 14).
(TIF)

Figure S4 Comparison of CSF levels of nine chemokines in control subjects and HAM/TSP patients. The CSF levels of nine chemokines (CCR5 ligands: CCL3, CCL4, and CCL5; CXCR3 ligands: CXCL9, CXCL10, and CXCL11; CCR4 ligands: CCL17 and CCL22; CCR6 ligand: CCL20) were compared between control subjects (control; n = 8) and HAM/TSP patients (HAM; n = 30). Horizontal bars indicate median values. The Mann-Whitney *U*-test was used for statistical analysis.
(TIF)

Figure S5 Low sensitivity of CSF cell count for detection of HAM/TSP. (**A**) Sensitivities of four potential CSF markers for detection of HAM/TSP. For CSF CXCL10, CXCL9, and neopterin, dotted lines indicate reference values, defined as mean for control subjects +3 standard deviations. For CSF cell count, the dotted line represents the pre-established reference value of 15/3 mm^3. The sensitivity of CSF cell count was much lower than those of the other CSF markers. (**B**) Direct comparison of the sensitivities of CSF cell count and the other three CSF markers. The horizontal dotted lines all represent the reference value for CSF cell count (≤15/3 mm^3), and each vertical dotted line indicates the reference value for each of the other CSF markers. With these lines drawn, one can see in the shaded area the numerous patients with CSF cell counts within the normal range but abnormally high levels of each of the other inflammatory markers, thus directly illustrating the comparatively low sensitivity of CSF cell count.
(TIF)

Figure S6 Changes in levels of CSF markers and OMDS over time in four untreated HAM/TSP patients. The three graphs illustrate the changes over time in CSF CXCL10 (top), neopterin (middle), and OMDS (bottom) for four untreated stable HAM/TSP patients. The patients were observed for 60 months (No. 1), 56 months (No. 2), 49 months (No. 3), and 39 months (No. 4).
(TIF)

Figure S7 Significant positive correlation between the proviral load in PBMCs and four CSF markers. HTLV-1 proviral load in PBMCs was compared with the levels of each of four CSF markers (CXCL10, CXCL9, neopterin, and cell count) in HAM/TSP patients (n = 53). Data analysis was performed using the Spearman's rank correlation test.
(TIF)

Figure S8 Significant higher CSF levels of CXCL10, CXCL9, and neopterin even in stable HAM/TSP compared to controls. The levels of four CSF markers (CXCL10, CXCL9, neopterin, and cell count) were compared among three groups (HTLV-1-infected controls, n = 8; stable HAM/TSP patients, n = 25; and deteriorating HAM/TSP patients, n = 20) assembling patients from both Training and Test Sets combined. The horizontal bar indicates the median value for each group. Statistical analysis was performed using the Kruskal–Wallis test followed by Dunn's post-hoc tests. ns: not significant, * $P<0.05$, *** $P<0.001$.
(TIF)

Table S1 Demographics of HAM/TSP patients and control subjects. There were no significant differences in the demographics of HAM/TSP patients versus control subjects.
(DOCX)

Table S2 Demographics and clinical characteristics of HAM/TSP patients (Training set + Test Set). Among the HAM/TSP patients from the Training and Test Sets pooled together, deteriorating patients experienced disease onset significantly later in life and had lived with the disease for shorter periods.
(DOCX)

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Author Contributions

Conceived and designed the experiments: YY TS SJ SI. Performed the experiments: TS HA NA JY. Analyzed the data: TS AU NA NY HA JY EI TU YH KN TN. Contributed reagents/materials/analysis tools: YY AU YH. Wrote the paper: YY TS ACR.

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Commentary and point of view

Utility of HTLV proviral load quantification in diagnosis of HTLV-1-associated myelopathy requires international standardization

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The geographic distribution of Human T-cell Lymphotropic Virus Type 1 (HTLV-1) infection makes one thing clear: except Japan, most of the estimated 20 million infected individuals are clustered within communities with limited health care access [1].

Given that the majority of infected persons remain disease-free, one of the challenges of the clinical management of HTLV-1-infected patients with myelopathic symptoms is to establish the definite diagnosis of HTLV-Associated Myelopathy/Tropical Spastic Paraparesis (HAM/TSP). Asymptomatic carriers may complain of a range of symptoms that cannot be excluded from an association with HTLV-1, such as dry eyes, urinary incontinence and constipation. Current HAM/TSP diagnostic procedures are based on criteria established by the World Health Organization (WHO), which consists of a list of neurological signs and symptoms in HTLV-1 seropositive subjects. In addition, imaging of the central nervous system is essential to exclude other neurological diseases with similar clinical features, whilst isolation of HTLV-1 proviral in the cerebrospinal fluid (CSF) [2] is a positive finding, especially when the viral load in CSF lymphocytes is greater than in PBMCs [3]. However, these complementary tests are not readily available in many communities. In our experience, patients rarely present with all the essential features of HAM/TSP to meet the diagnostic requirements of the complete syndrome. To complement WHO criteria, a new classification strategy, the Belem Criteria, based on three diagnostic ascertainment levels was proposed [4]. HTLV-1-infected patients with neurological defects are categorized as: (i) *Definite HAM/TSP:* patients who meet the established WHO criteria with a complete clinical presentation; (ii) *Probable HAM/TSP:* patients with a myelopathic mono-symptomatic presentation, in which other diseases resembling HAM/TSP have been excluded; (iii) *Possible HAM/TSP:* patients who present with a complete or incomplete clinical picture; however, other disorders resembling HAM/TSP cannot be excluded.

In recent years, several studies have demonstrated a clear association between HAM/TSP and HTLV-1 proviral load [5–11]. Moreover, compelling evidence indicates that patients with other

Abbreviations: HTLV, human T-cell lymphotropic virus; HAM/TSP, HTLV-1-associated myelopathy/tropical spastic paraparesis; PBMC, peripheral blood mononuclear cells; PVL, proviral load.

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Table 1
Methodological characteristics of HTLV-1 proviral load measurements using PCR method from asymptomatic carriers and HAM/TSP patients in endemic countries for HTLV-1-infection.

Author, year	Country	PCR method	Region amplified	% infected cells asymptomatic	Number of asymptomatic carriers	% infected cells HAM/TSP	Number of HAM/TSP patients
Nagai et al., 1998 [8]	Japan	TaqMan	pX	0.3[a]/3.2[a]	200	5.4	202
Manns et al., 1999 [7]	Jamaica	TaqMan	pX	1.1	50	4.9	27
Olindo et al., 2005 [7]	Martinique	TaqMan	pol	0.8	34	8.1	100
Montanheiro et al., 2005 [10]	Brazil	TaqMan	pol	2.7	45	6.8	44
Best et al., 2006 [5]	Peru	SYBR green	pX	5.6	33	18	35
Silva et al., 2007 [11]	Brazil	TaqMan	pX	1.0	93	6.3	197
Grassi et al., 2011 [14]	Brazil	TaqMan	pol	0.7	189	11.6	47
Furtado et al., 2012 [15]	Brazil	SYBR[a] Green	pol	0.5	75	3.4	78
Demontis et al., 2012 [3]	United Kingdom	SYBR Green	Tax	1.8	211	14.7	85

#-Number of evaluated patients, % infected cells: data represents median.
[a] DNA sample from whole blood. All other DNA samples were from peripheral blood mononuclear cells.

HTLV-1-associated inflammatory conditions, such as infective dermatitis [12] or keratoconjunctivitis sicca [13], display significantly higher levels of proviral load compared to asymptomatic carriers.

In a published study conducted in Bahia, Brazil, we found that a proviral load cut-off value of 50,000 copies/10^6 PBMCs (5%), differentiated asymptomatic carriers from HAM/TSP patients with 87% sensitivity and 81% specificity [14]. Using the Belem criteria, only 22% of probable and 17% of definite HAM/TSP patients' HTLV-1 proviral loads fell below this cut-off value. If this threshold had been included as an additional criterion to diagnose HAM/TSP, 73% of patients from the probable group would be reclassified as definite.

Furtado et al. [15] also attempted to establish a proviral load cut-off value to distinguish asymptomatic carriers from HAM/TSP patients in Minas Gerais (Brazil). Using whole blood, a cut-off of 114 HTLV-1 copies/10^4 white blood cells (1.14%), offered 78.2% sensitivity and 28% specificity in patients with HAM/TSP. This value is considerably lower than what was observed in Bahia. As members of the HAM/TSP Clinical Trial Study Group (HAM/TSP-CTSG) we performed a literature review of proviral loads reported in asymptomatic carriers and HAM/TSP patients (Table 1). The percentage of infected cells was approximately 6× higher in HAM/TSP patients (median 6.8%, 5.4–18%) than in asymptomatic carriers (median 1.1%, 0.3–5.6%). Despite overlapping ranges, the trends are consistent across studies. However, study groups used diverse methods to measure proviral load, including different regions of the targeted HTLV-1 genome, the DNA sample source, e.g. whole blood cells or isolated PBMCs and cells quantified from patient samples. In personal consultation with HAM/TSP CTSG members we established that 7.04% and 10% of patients with definite HAM/TSP, living in Bahia, Brazil and Japan respectively, have a proviral load of <1% in PBMCs. These patients have only mild disease. In contrast, all definite HAM/TSP patients from UK or USA had a proviral load of >1% [3]. Based on the reviewed data and our own observations across four centres (Bahia/Japan/UK/USA) a single cut-off for asymptomatic versus HAM/TSP or for definite versus probable HAM/TSP cannot be recommended.

We therefore propose an international pilot study in an attempt to achieve consistent results in order to evaluate HTLV-1 proviral load in PBMCs of asymptomatic carriers as well as patients with probable, possible and definite HAM/TSP living in HTLV-1 endemic and non-endemic areas. By testing these patients at several chosen established laboratories blinded to samples' origins, we hope to take initial steps towards the standardization of proviral load quantification technique, with the ultimate goal of determining a relevant proviral load cutoff value to distinguish asymptomatic carriers from HAM/TSP patients. At the same time, we recognize that, due to variation from assay to assay, there are limitations with respect to viral load quantification [16,17]. In the future, the HTLV research community might propose the incorporation of a WHO international standard to aid in clinical applications by soliciting assistance from such groups as Standardization of Genome Amplification Techniques or National Institute for Biological Standards and Control.

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Competing interests

None declared.

Ethical approval

Not required.

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Potential Contribution of a Novel Tax Epitope–Specific CD4$^+$ T Cells to Graft-versus-Tax Effect in Adult T Cell Leukemia Patients after Allogeneic Hematopoietic Stem Cell Transplantation

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Allogeneic hematopoietic stem cell transplantation (allo-HSCT) is an effective treatment for adult T cell leukemia/lymphoma (ATL) caused by human T cell leukemia virus type 1 (HTLV-1). We previously reported that Tax-specific CD8$^+$ cytotoxic T lymphocyte (CTL) contributed to graft-versus-ATL effects in ATL patients after allo-HSCT. However, the role of HTLV-1–specific CD4$^+$ T cells in the effects remains unclear. In this study, we showed that Tax-specific CD4$^+$ as well as CD8$^+$ T cell responses were induced in some ATL patients following allo-HSCT. To further analyze HTLV-1–specific CD4$^+$ T cell responses, we identified a novel HLA-DRB1*0101–restricted epitope, Tax155–167, recognized by HTLV-1–specific CD4$^+$ Th1-like cells, a major population of HTLV-1–specific CD4$^+$ T cell line, which was established from an ATL patient at 180 d after allo-HSCT from an unrelated seronegative donor by in vitro stimulation with HTLV-1–infected cells from the same patient. Costimulation of PBMCs with both the identified epitope (Tax155–167) and known CTL epitope peptides markedly enhanced the expansion of Tax-specific CD8$^+$ T cells in PBMCs compared with stimulation with CTL epitope peptide alone in all three HLA-DRB1*0101$^+$ patients post–allo-HSCT tested. In addition, direct detection using newly generated HLA-DRB1*0101/Tax155–167 tetramers revealed that Tax155–167-specific CD4$^+$ T cells were present in all HTLV-1–infected individuals tested, regardless of HSCT. These results suggest that Tax155–167 may be the dominant epitope recognized by HTLV-1–specific CD4$^+$ T cells in HLA-DRB1*0101$^+$–infected individuals and that Tax-specific CD4$^+$ T cells may augment the graft-versus-Tax effects via efficient induction of Tax-specific CD8$^+$ T cell responses. *The Journal of Immunology*, 2013, 190: 4382–4392.

Human T cell leukemia virus type 1 (HTLV-1) is the causative agent of a highly aggressive CD4$^+$ T cell malignancy, adult T cell leukemia/lymphoma (ATL) (1, 2). This virus has infected 10–20 million people worldwide, especially in southern Japan, the Caribbean basin, South America, Melanesia, and equatorial Africa (3). Approximately 5% of HTLV-1–seropositive individuals develop ATL, and another 2–3% develop a slow progressive neurologic disorder known as HTLV-1–associated myelopathy/tropical spastic paraparesis (HAM/TSP) or various chronic inflammatory diseases (4). The majority of HTLV-1–infected individuals remain asymptomatic throughout their lives.

ATL is characterized by extremely poor prognosis, mainly because of intrinsic drug resistance to cytotoxic agents. It has been reported that allogeneic hematopoietic stem cell transplantation (allo-HSCT), but not autologous HSCT, improved the outcome of ATL (5, 6). In previous clinical studies carried out by the ATL allo-HSCT Study Group, the overall survival rate within 3 y after allo-HSCT with reduced intensity conditioning (RIC) was 36% (7). HTLV-1 proviral load became and remained undetectable in some ATL patients with complete remission after allo-HSCT, suggesting that it is an effective treatment for ATL (7–9). In these studies, we reported that donor-derived HTLV-1 Tax-specific CD8$^+$ CTLs were induced in some ATL patients who achieved complete remission after allo-HSCT (10). These CTLs were able to lyse recipient-derived HTLV-1–infected T cells in vitro, suggesting potential contributions to graft-versus-leukemia effects. CD8$^+$ T cells, especially CTLs, generally play an important role in controlling viral replication in various infections, such as those

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Abbreviations used in this article: AC, asymptomatic carrier; allo-HSCT, allogeneic stem cell transplantation; ATL, adult T cell leukemia/lymphoma; HAM/TSP, HTLV-1–associated myelopathy/tropical spastic paraparesis; HTLV-1, human T cell leukemia virus type 1; ILT, IL-2–dependent T cell line; LCL, lymphoblastoid B cell line; rhIL-2, recombinant human IL-2; RIC, reduced intensity conditioning; Treg, regulatory T.

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involving HIV, hepatitis B virus, and hepatitis C virus. In HTLV-1 infection, HTLV-1–specific $CD8^+$ T cells predominantly recognize the Tax Ag and are believed to contribute to controlling infected cells (11, 12). A high frequency of functional Tax-specific $CD8^+$ T cells can be detected in HAM/TSP patients and some asymptomatic carriers (ACs), whereas most ATL patients and a small population of ACs show severely reduced Tax-specific $CD8^+$ T cell responses (13, 14). The mechanism underlying the suppression of HTLV-1–specific $CD8^+$ T cell responses in these patients has not yet been fully elucidated.

For induction and maintenance of virus-specific CTLs, virus-specific $CD4^+$ Th cell responses are required in many virus infections (15–19). However, there are only a few reports of HTLV-1–specific Th cell responses (20–23), presumably because of their susceptibility to HTLV-1 infection in vivo and in vitro (24). Preferential HTLV-1 infection in HTLV-1–specific $CD4^+$ T cells could be one of the reasons for immune suppression in ATL patients. In addition, it has been reported that a higher frequency of $CD4^+FOXP3^+$ regulatory T (Treg) cells is observed in infected individuals compared with uninfected healthy donors. The frequency of Tax^- Treg cells, which are a major population of Treg cells in infected individuals, is negatively correlated with HTLV-1–specific CTL responses (25). HTLV-1 basic leucine zipper factor might also be involved in immune suppression, because HTLV-1 basic leucine zipper was constitutively expressed in infected cells (26) and inhibited the activity of IFN-γ promoters by suppressing NFAT and AP-1 signaling pathways, resulting in the impaired secretion of Th1 cytokines from $CD4^+$ Th cells in a transgenic mouse model (27) These reports suggest that both the dysfunction of HTLV-1–specific $CD4^+$ Th cells and the increased number of uninfected Treg cells might be implicated in the immunosuppression observed in ATL patients. Conversely, in HAM/TSP patients, $CD4^+$ T cells are predominantly found in early active inflammatory spinal cord lesions (28, 29) with spontaneous production of proinflammatory, neurotoxic cytokines, such as IFN-γ and TNF-α (30), suggesting their contributions to the pathogenesis of HAM/TSP. However, the precise roles of HTLV-1–specific $CD4^+$ T cells in HTLV-1 infection remain unclear.

In some ATL patients who achieved complete remission after allo-HSCT, it has been suggested that donor-derived HTLV-1 Tax-specific CTLs may contribute to elimination of ATL cells (graft-versus-Tax effects) (10). We believe that $CD4^+$ T cells also play a critical role in the graft-versus-ATL effects because $CD4^+$ T cells are required for induction and maintenance of optimal CTL responses (15–19). It therefore is important to clarify the role of HTLV-1–specific $CD4^+$ T cells in the effects for understanding HTLV-1–specific T cell immunity in ATL patients after allo-HSCT and for developing new vaccine strategies to prevent recurrence of ATL.

Several studies have reported some HTLV-1–specific $CD4^+$ T cell epitopes restricted by different HLA haplotypes (20–23). The helper functions of these epitopes in HTLV-1–specific CTL responses in HTLV-1–infected individuals have not been well understood. However, Jacobson et al. (20) showed that $CD4^+$ T cells specific for Env gp46 196–209, an epitope restricted by HLA-DQ5 or -DRw16, exhibited a cytotoxic function by directly recognizing HTLV-1–infected cells. This observation raises the possibility that some HTLV-1–specific $CD4^+$ T cells may contribute to the graft-versus-ATL effects through their cytotoxic function in ATL patients after allo-HSCT.

In the current study, we demonstrated that both $CD4^+$ and $CD8^+$ Tax-specific T cell responses were induced in patients after allo-HSCT with RIC for ATL. To further analyze HTLV-1–specific $CD4^+$ T cell responses in ATL patients after allo-HSCT, we determined a novel HLA-DRB1*0101–resricted epitope, Tax155–167, recognized by HTLV-1–specific $CD4^+$ Th1-like cells, a major population of HTLV-1–specific $CD4^+$ T (T4) cell line, which was established from a patient in complete remission following allo-HSCT with RIC. Costimulation with oligopeptides corresponding to the Th1 epitope, Tax155–167, together with a known CTL epitope led to robust expansion of Tax-specific $CD8^+$ T cells in PBMCs from three HLA-DRB1*0101$^+$ patients after allo-HSCT tested. Furthermore, Tax155–167-specific $CD4^+$ T cells were found to be maintained in all HTLV-1–infected HLA-DRB1*0101$^+$ individuals tested, regardless of HSCT, by direct detection with newly generated HLA-DRB1*0101/Tax155–167 tetramers. Our results suggest that Tax155–167 may be a dominant epitope recognized by HTLV-1–specific $CD4^+$ T cells in HTLV-1–infected individuals carrying HLA-DRB1*0101 and that Tax-specific $CD4^+$ T cells may strengthen the graft-versus-ATL effects through efficient induction of Tax-specific CTL responses.

Materials and Methods

Subjects

A total of 18 ATL patients who underwent allo-HSCT with RIC regimen, and one HTLV-1–seronegative (#365) and two seropositive donors (one AC #310 and one HAM/TSP patient #294) carrying HLA-DRB1*0101 donated peripheral blood samples after providing written informed consent. Approximately one-half of these patients received allogeneic peripheral blood stem cell transplantation from HLA-A-, B-, and -DR–identical sibling donors. The other half received allogeneic bone marrow cells from HLA-A–, B–, and DR–identical seronegative unrelated donors (Table I). These patients were the participants of clinical studies organized by the ATL allo-HSCT Study Group, supported by the Ministry of Health, Welfare, and Labor of Japan. This study was also reviewed and approved by the Institutional Ethical Committee Review Board of the Tokyo Medical and Dental University.

Generation of cell lines derived from patients and donors

PBMCs were isolated using Ficoll-Paque PLUS (GE Healthcare, Buckinghamshire, U.K.) density gradient centrifugation and stored in liquid nitrogen in Bambanker stock solution (NIPPON Genetics, Tokyo, Japan) until required. These were used in part to obtain HTLV-1–infected IL-2–dependent T cell lines (ILT) and EBV-transformed lymphoblastoid B cell lines (LCL). ILT-#350 was spontaneously immortalized during long-term culture of PBMCs from patient #350 before allo-HSCT and maintained in RPMI 1640 medium (Life Technologies, Grand Island, NY) containing 20% FCS (Sigma Aldrich, St. Louis, MO) and 30 U/ml recombinant human IL-2 (rhIL-2; Shionogi, Osaka, Japan). LCL-#307, -#341, and -#350 were established by maintaining PBMCs from ATL patients #307, #341, and #350, respectively, after allo-HSCT. These PBMCs were maintained in RPMI 1640 medium containing 20% FCS, following infection with the EBV-containing culture supernatant of the B95-8 cell line, LCL-Kan, derived from a healthy individual was also used.

Synthetic peptides

A total of 18 overlapping peptides, 12- to 25-mer in length, spanning the central region of Tax (residues 103–246) were purchased and used for epitope mapping (Scrum Tokyo, Japan) (Table II). HLA-A*2402–restricted CTL epitopes (Tax301–309, SFHSLHLLF) (10) were used for in vitro stimulation of Tax-specific CTLs (Hokudo, Sapporo, Japan).

GST–Tax fusion protein–based immunoassay

HTLV-1 Tax-specific T cell responses were evaluated using GST–fusion proteins of the N-terminal (residues 1–127), central (residues 113–237), and C-terminal (residues 224–353) regions of HTLV-1 Tax (GST–Tax-A, -B, and -C, respectively) as described previously (13, 31). PBMCs (1×10^6 cells/ml) were incubated with or without a mixture of GST–Tax-A, -B, and -C proteins (GST–TaxABC) in 200 μl RPMI 1640 medium supplemented with 10% FCS. After 4 d, the supernatant was collected, and the concentration of IFN-γ in the supernatant was determined using an OptiEIA Human IFN-γ ELISA Kit (BD Biosciences, San Jose, CA). The minimum detectable dose for this assay was determined to be 23.5 pg/ml IFN-γ. $CD8^+$ cells were depleted from PBMCs by negative selection using Dynabeads M-450 CD8 (Invitrogen, Carlsbad, CA), according to the

manufacturer's instructions. For cytokine profiling of a HTLV-1–specific $CD4^+$ T cell line, cells were stimulated with formaldehyde-fixed ILT-#350 for 48 h. Culture supernatant was collected, and various cytokines were measured using a Human Th1/Th2/Th17 Cytokine Kit for a Cytokine Beads Array (BD Biosciences).

Induction of HTLV-1–specific $CD4^+$ T cell line (T4 cells)

PBMCs (1×10^6 cells/ml) from patient #350, in complete remission at 180 d after allo-HSCT, were cultured for 2 wk with 100 nM Tax301–309 peptide in 96-well round-bottom tissue culture plate (BD Biosciences) in a final volume of 200 μl RPMI 1640 medium with 20% FCS and 10 U/ml rhIL-2. $CD4^+$ cells were then isolated by negative selection using a Human CD4 T lymphocyte Enrichment Set-DM (BD Biosciences) and maintained in RPMI 1640 medium with 20% FCS and 100 U/ml rhIL-2. Cells (1×10^6 cells/ml) were stimulated with formaldehyde-fixed ILT-#350 (2.5×10^5 cells/ml) every 2–3 wk. After multiple rounds of stimulation, the resulting $CD4^+$ T cell line was assessed for HTLV-1 specificity by comparing IFN-γ production against ILT-#350 to that against an HTLV-1–negative cell line, LCL-#350.

RT-PCR

Total RNA from cells was isolated using Isogen (Nippon Gene, Tokyo, Japan) and Turbo DNA-free (Life Technologies). First-strand cDNA was prepared from 0.5 μg RNA using ReverTra Ace and $Oligo(dT)_{20}$ primers provided in a ReverTra Ace-α-kit (Toyobo, Osaka, Japan). PCRs were performed in 50 μl reaction mixture containing ReverTra Dash (Toyobo), 0.5 μM of each HTLV-1 pX-specific primer (pX1, 5′-CCA CTT CCC AGG GTT TAG ACA GAT CTT C-3′ and pX4, 5′-TTC CTT ATC CCT CGA CTC CCC TCC TTC CCC-3′), and 2 μl cDNA. GAPDH-specific primers (GAPDH5′, 5′-ACC ACA GTC CAT GCC ATC AC-3′; GAPDH3′, 5′-TCC ACC ACC CTG TTG CTG TA-3′) were used as an internal control. The thermal cycling conditions comprised an initial activation step at 94°C for 1 min, followed by 30 cycles of denaturation (98°C, 10 s), annealing (60°C, 2 s), and extension (74°C, 30 s). The PCR amplicons were visualized by ethidium bromide staining following 2% (w/v) agarose gel electrophoresis.

Flow cytometry

For cell surface staining, the following fluorochrome-conjugated mouse anti-human mAbs were used: CD3-FITC (UCHT1; BioLegend, San Diego, CA), CD4-FITC (RPA-T4; BioLegend), CD8-FITC (RPA-T8; BioLegend), and CD8-PE-Cy5 (HIT8a; BD Biosciences, San Jose, CA). For tetramer staining, PE-conjugated HLA-A*0201/Tax11–19, HLA-A*1101/Tax88–96, HLA-A*1101/Tax272–280, and HLA-A*2402/Tax301–309 tetramers were purchased from Medical & Biological Laboratories (Nagoya, Japan). PE-conjugated HLA-DRB1*0101/Tax155–167 tetramer were newly generated through the custom service of Medical & Biological Laboratories. Whole-blood or cultured cells were stained with PE-conjugated Tax/HLA tetramer in conjunction with CD3-FITC and CD8-PE-Cy5 or CD4-PE-Cy5. For whole-blood samples, RBCs were lysed and fixed in BD FACS lysing solution (BD Biosciences) before washing. Samples were analyzed on a FACSCalibur (BD Biosciences), and data analyses were performed using FlowJo software (Tree Star, Ashland, OR).

Epitope mapping

T4 cells (3×10^5 cells/ml) were stimulated with LCL-#350, pulsed with various concentrations of synthetic peptides for 1 h at 37°C, at a responder/stimulator (R/S) ratio of 3. The culture supernatant was collected at 6 h poststimulation, and peptide-specific IFN-γ production from T4 cells was determined by ELISA.

HLA class II restriction assay

T4 cells (5×10^5 cells/ml) were cocultured for 6 h with ILT-#350 (1×10^5 cells/ml) in the presence or absence of anti-human HLA-DR (10 μg/ml; L243; BioLegend), anti-human HLA-DQ (10 μg/ml; SPVL3; Beckman Coulter, Fullerton, CA), or anti–HLA-ABC (10 μg/ml; W6/32; BioLegend). The IFN-γ in the supernatant was measured by ELISA.

To identify a HLA class II molecule responsible for Ag presentation to T4 cells, Tax155–167 peptide-specific IFN-γ responses were evaluated using various HLA-typed LCLs (LCL-#350, LCL-#341, LCL-#307, and LCL-Kan). These LCLs (1×10^5 cells/ml) were pulsed with 100 ng/ml Tax155–167 peptide for 1 h, fixed with 2% formaldehyde, and then cultured with T4 cells (3×10^5 cells/ml) for 6 h. The culture supernatant was collected, and IFN-γ in the supernatant was measured by ELISA.

Tetramer-based proliferation assay

PBMCs (1.0×10^6 cells/ml) were cultured for 13 or 14 d with or without 100 nM antigenic peptides in the presence of 10 U/ml rhIL-2. Cells were stained with HLA/Tax tetramer-PE, CD3-FITC, and CD8-PE-Cy5 or CD4-PE-Cy5 and then analyzed by flow cytometry.

Statistic analysis

Statistical significance was evaluated with the unpaired t test using Graphpad Prism 5 (Graphpad Software, La Jolla, CA). In all cases, two-tailed p values <0.05 were considered significant.

Results

Tax-specific T cell responses in ATL patients who received allo-HSCT with RIC

We previously reported that Tax-specific $CD8^+$ T cells were induced in some ATL patients after allo-HSCT with RIC from HLA-identical sibling donors (10). In this study, we examined the Tax-specific T cell response in a larger number of ATL patients who received allo-HSCT with RIC. Table I provides a summary of the

Table I. Clinical information and summary for Tax-specific $CD8^+$ T cells in 18 ATL patients at 180 d post–allo-HSCT with RIC

ID (Age, Sex)	ATL Subtype	Type of Donor	Donor-HLA	Donor HTLV-1 Sero Status	Chimerism (%)[a]	Tetramer (%)[b]	Proviral Load[c]
239 (55, M)	Lymphoma	r-PB	A 26/33, DR 4/13	(−)	<5	NT	0.1
241 (61, F)	Acute	r-PB	A 2/26, DR 10/18	(−)	<5	0.00	0.1
247 (52, F)	Lymphoma	r-PB	A 24/−, DR 9/15	(−)	<5	0.07	0.1
270 (57, M)	Lymphoma	r-PB	A 24/33, DR 13/15	(−)	<5	0.00	0.0
300 (53, F)	Lymphoma	r-PB	A 24/26, DR 4/15	(+)	<5	1.34	4.8
301 (57, F)	Acute	ur-BM	A 24/33, DR 13/15	(−)	<5	0.72	0.0
307 (68, F)	Acute	r-PB	A 2/11, DR 14/15	(+)	<5	0.10	5.4
317 (60, M)	Acute	ur-BM	A 2/24, DR 14/15	(−)	<5	0.92	0.0
328 (62, M)	Acute	ur-BM	A 11/24, DR 8/9	(−)	<5	0.75	NT
340 (50, M)	Acute	r-PB	A 2/24, DR 4/8	(−)	<5	1.40	0.7
341 (61, F)	Acute	ur-BM	A 24/33, DR 1/15	(−)	<5	0.45	0.1
344 (58, M)	Lymphoma	ur-BM	A 2/24, DR 4/−	(−)	<5	0.44	0.0
349 (53, M)	Acute	r-PB	A 24/−, DR 8/15	(+)	<5	0.00	0.0
350 (60, F)	Acute	ur-BM	A 24/26, DR 1/14	(−)	<5	0.59	0.6
351 (57, F)	Acute	ur-BM	A 24/26, DR 9/12	(−)	<5	0.45	0.0
358 (63, F)	Lymphoma	r-PB	A 2/11, DR 4/14	(−)	<5	0.42	0.0
352 (61, M)	Acute	ur-BM	A 11/26, DR 8/15	(−)	<5	0.14	0.0
364 (52, M)	Acute	r-PB	A 24/26, DR 1/−	(−)	<5	0.11	0.0

[a]Indicates percentage of recipient-derived T cell chimerism.
[b]Indicates percentage of tetramer$^+$ cells among $CD8^+$ T cells in PBMCs.
[c]Indicates copy number per 1000 PBMCs.
F, Female; M, male; NT, not tested; r-PB, related donor-derived peripheral blood stem cell; ur-BM, unrelated donor-derived bone marrow cell.

results of Tax-specific $CD8^+$ T cell detection by flow cytometry, using the Tax/HLA tetramers, in the peripheral blood of 18 ATL patients at 180 d after allo-HSCT, together with clinical information. During this period, all patients achieved a complete chimera state consisting of >95% of donor-derived hematopoietic cells. By using four available tetramers (HLA-A*0201/Tax11–19, HLA-A*2402/Tax301–309, HLA-A*1101/Tax88–96, and HLA-A*1101/Tax272–280), Tax-specific $CD8^+$ T cells were found in 14 patients. Because the donors were uninfected individuals in the majority of cases (Table I), induction of the Tax-specific donor-derived $CD8^+$ T cells in recipients indicated the presence of newly occurring immune responses against HTLV-1 in the recipients. This evidence strengthens our previous observation (10, 32).

We also used a GST–Tax fusion protein-based assay to evaluate Tax-specific T cell responses. The tetramer-based assay was limited to four kinds of epitopes and restricted by three HLA alleles but did not detect T cells directed to other epitopes or HLAs. The GST–Tax fusion protein-based assay can detect both $CD4^+$ and $CD8^+$ T cell responses, irrespective of HLA types. However, this sensitivity is not as good as single-cell analysis by flow cytometry (31). As shown in Fig. 1A, there was a wide variation in the IFN-γ responses to the Tax protein in the PBMCs among the 16 patients tested. In five patients (#247, #270, #328, #340, and #349), IFN-γ production of PBMCs against GST–TaxABC proteins was very low or not specific for the Tax protein. PBMCs from the other 11 patients (#239, #241, #301, #317, #341, #344, #350, #351, #352, #358, and #364) produced higher amounts of IFN-γ in response to GST–TaxABC proteins compared with GST. However, the levels of IFN-γ production varied among the patients.

We also evaluated the extent to which Tax-specific $CD4^+$ T cells were responsible for IFN-γ in the GST–Tax-based immunoassay system. We used PBMCs from patients #350 and #341, who showed high Tax-specific T cell responses. $CD8^+$ cell–depleted PBMCs from patient #350 and #341 showed a reduced but still significant level of Tax-specific IFN-γ–producing response compared with whole PBMCs (Fig. 1B). These results indicate that not only $CD8^+$ but also $CD4^+$ T cells against Tax are present in the peripheral blood from patient #350 and #341 after allo-HSCT with RIC.

Induction of an HTLV-1–specific $CD4^+$ T cell line from patient #350

We next attempted to induce HTLV-1–specific $CD4^+$ T cells from the PBMCs of patient #350 at 180 d after allo-HSCT, using an HTLV-1–infected T cell line (ILT-#350) as APCs. Freshly isolated PBMCs were stimulated for 2 wk with Tax301–309, a dominant CTL epitope presented by HLA-A*2402, to eliminate HTLV-1–infected cells, which potentially existed in PBMCs. The $CD4^+$ cells were then isolated from the cultured cells and stimulated with formaldehyde-fixed ILT-#350 every 2–3 wk. The established cell line was found to be a $CD4^+$ T cell line (designated as T4 cells thereafter) because cells expressed CD3 and CD4 but not CD8

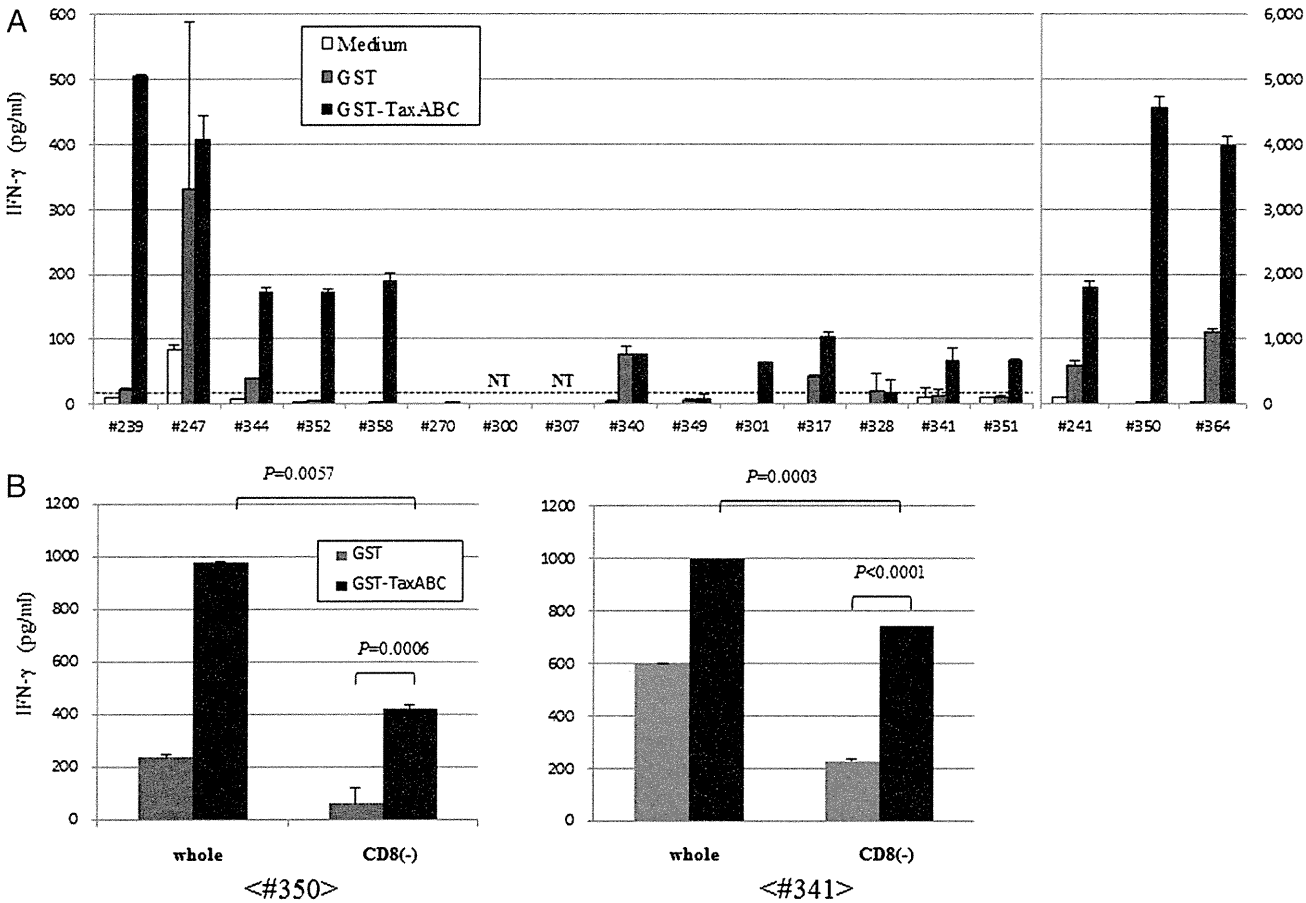


FIGURE 1. Diversity of Tax-specific T cell responses in ATL patients who received allo-HSCT with RIC. (**A** and **B**) PBMCs from 18 ATL patients at 180 d after allo-HSCT (A) or whole and $CD8^+$ cell–depleted PBMCs from two patients at 540 d after allo-HSCT (#350 and #341) (B) were cultured for 4 d in the absence (open square) or presence of GST (gray square), or GST–Tax (black square) proteins. The concentration of IFN-γ in the supernatant was determined by ELISA. The *y*-axis on the right side indicates the results from three patients (#241, #350, and #364). The dotted horizontal line indicates the detection limit (23.5 pg/ml). The error bars represent SD of duplicated wells. The representative result of two independent experiments is shown in (B).

(Fig. 2A). Because HTLV-1 has been shown to preferentially infect $CD4^+$ T cells in vivo and in vitro (24), we examined HTLV-1 expression in T4 cells by RT-PCR (Fig. 2B). As expected, the T4 cells did not express HTLV-1 Tax, indicating that the cells were not infected with HTLV-1. We assessed expression of various cytokines in T4 cells (Fig. 2C). The T4 cells were stimulated with formaldehyde-fixed ILT-#350 or LCL-#350. The cells produced large amounts of IFN-γ and TNF-α and small amounts of IL-2, IL-4, and IL-10 in response to ILT-#350 but not against LCL-#350. IL-6 and IL-17A were not detected in the culture supernatant. These data indicate that T4 cells are mainly HTLV-1–specific $CD4^+$ Th1-like cells but contain minor populations to produce Th2 cytokines.

Determination of the minimum epitope recognized by T4 cells

Freshly isolated PBMCs in the patient #350 produced IFN-γ in response to GST–Tax (Fig. 1A). We expected that the epitope recognized by the T4 cells should be present in the Tax protein. We therefore examined whether the T4 line responded to Tax using LCL-#350 pulsed with GST–Tax proteins as APCs. As shown in Fig. 3A, the T4 cells produced significantly higher amounts of IFN-γ in response to GST–TaxABC and GST–Tax-B (residues 113–237) (31) but not GST–Tax-A (residues 1–127) (31) and -C (residues 224–353 (31), when compared with the GST control protein, indicating that the T4 cells recognized the central region (residues 113–237) of the Tax Ag. We next synthesized eight overlapping 25-mer peptides spanning the central region of Tax (residues 103–246) and analyzed their abilities to stimulate T4 cells (Table II). The cell line produced high amounts of IFN-γ only when stimulated with Tax154–178 (Fig. 3B). We then prepared four overlapping 15-mer peptides, covering residues 154–178 of Tax, to examine the IFN-γ responses of the T4 cells (Table II). Both Tax151–165 and Tax156–170-stimulated cells to induce IFN-γ responses but not at a comparable level to Tax154–178 (Fig. 3C). These results suggest that the epitope recognized by T4 cells might be present in the N-terminal half of Tax154–178. We therefore stimulated the cells with Tax154–168, Tax155–169, or Tax156–170. The cells showed higher IFN-γ responses against Tax154–168 and Tax155–169 than Tax156–170, indicating that the minimum epitope might be within residues 155–168 of Tax (Fig. 3D). To identify the minimum epitope recognized by T4 cells, we next synthesized three overlapping peptides of 12- to 14-mer lengths beginning at residue 155 of Tax (Table II). Tax155–167 induced IFN-γ responses in cells at a similar level to Tax155–169 and Tax155–168, although Tax155–166 did not (Fig. 3E). Moreover, IFN-γ production of cells in response to various concentrations of Tax155–167 was comparable to that against Tax155–169 and Tax155–168 (Fig. 3F). These data clearly show that the minimum epitope recognized by the T4 cells is Tax155–167.

*HLA-DRB1*0101 restriction of Tax-specific T4 cells*

To analyze HLA class II molecules involved in the presentation of the minimum epitope, T4 cells were stimulated with ILT-#350 in the presence or absence of anti–HLA-DR, -DQ, and anti-HLA class I blocking Abs. As shown in Fig. 4A, the addition of an anti–HLA-DR blocking Ab abrogated IFN-γ responses of the T4 cells against ILT#-350, indicating that the epitope was HLA-DR restricted.

We further investigated the HLA-DR alleles responsible for the presentation of the minimum epitope by using four HLA-typed LCLs displaying different HLA-DRs. As shown in Fig. 4B, the T4 cells responded by producing IFN-γ when Tax155–167 was presented by autologous LCL-#350 (DR1/14) and allogeneic LCL-#341 (DR1/15). These results clearly indicate that this epitope is presented by HLA-DRB1*0101 on APCs. We searched for a known HLA-DRB1*0101 motif in the identified epitope Tax155–167 and found that this epitope contained the HLA-DRB1*0101 motif (Fig. 4C) (33).

Enhancement of Tax-specific $CD8^+$ T cell expansion by Tax155–167-specific $CD4^+$ T cell help

As T4 cells were established from PBMCs of an HTLV-1–infected patient #350, it is suggested that Tax155–167-specific $CD4^+$ T cells may be maintained in the $HLA\text{-}DRB1^*0101^+$ patient #350.

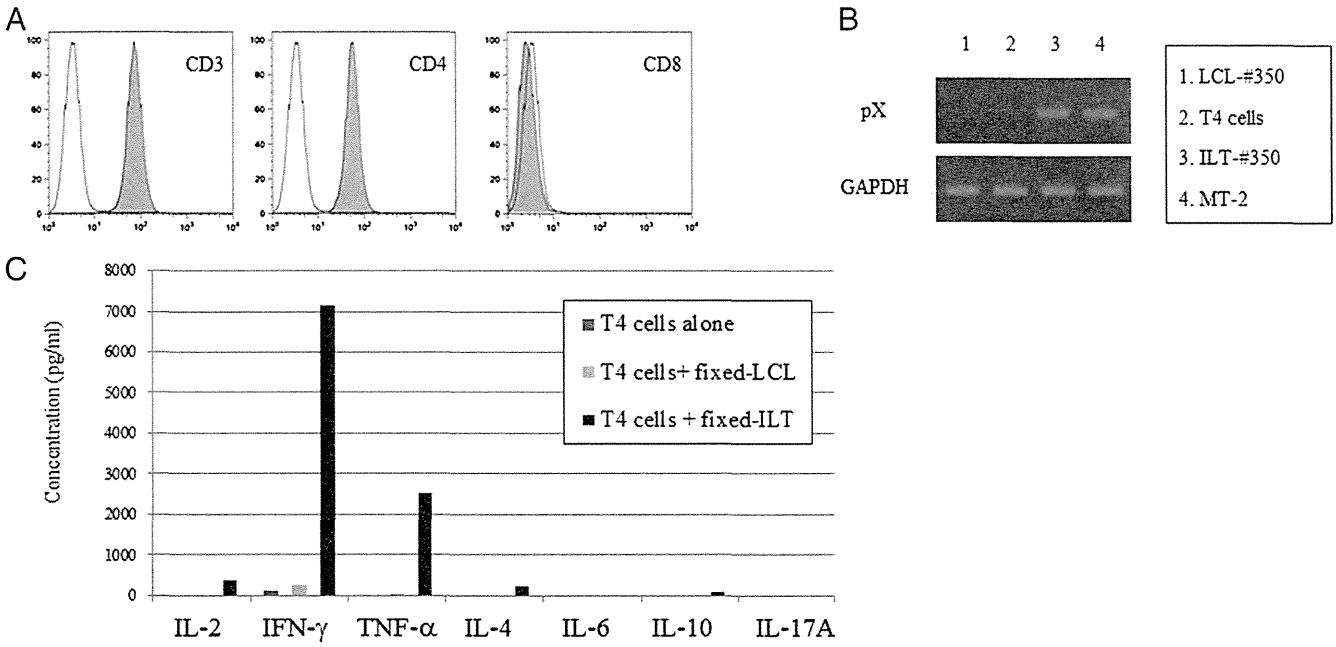


FIGURE 2. Phenotype and function of $CD4^+$ T cell line (T4) generated from patient #350. (**A**) Cell surface phenotype of T4 cells was analyzed by flow cytometry. (**B**) Total RNA was extracted from LCL-#350 (*lane 1*), T4 cells (*lane 2*), ILT-#350 (*lane 3*), and MT-2 (*lane 4*). Tax mRNA expression for each cell type was analyzed by RT-PCR. GAPDH was used as an internal control. (**C**) T4 cells were stimulated for 24 h with or without formaldehyde-fixed ILT-#350 or LCL-#350 cells. The concentration of indicated cytokines in the supernatants was measured using a cytometric bead array system.

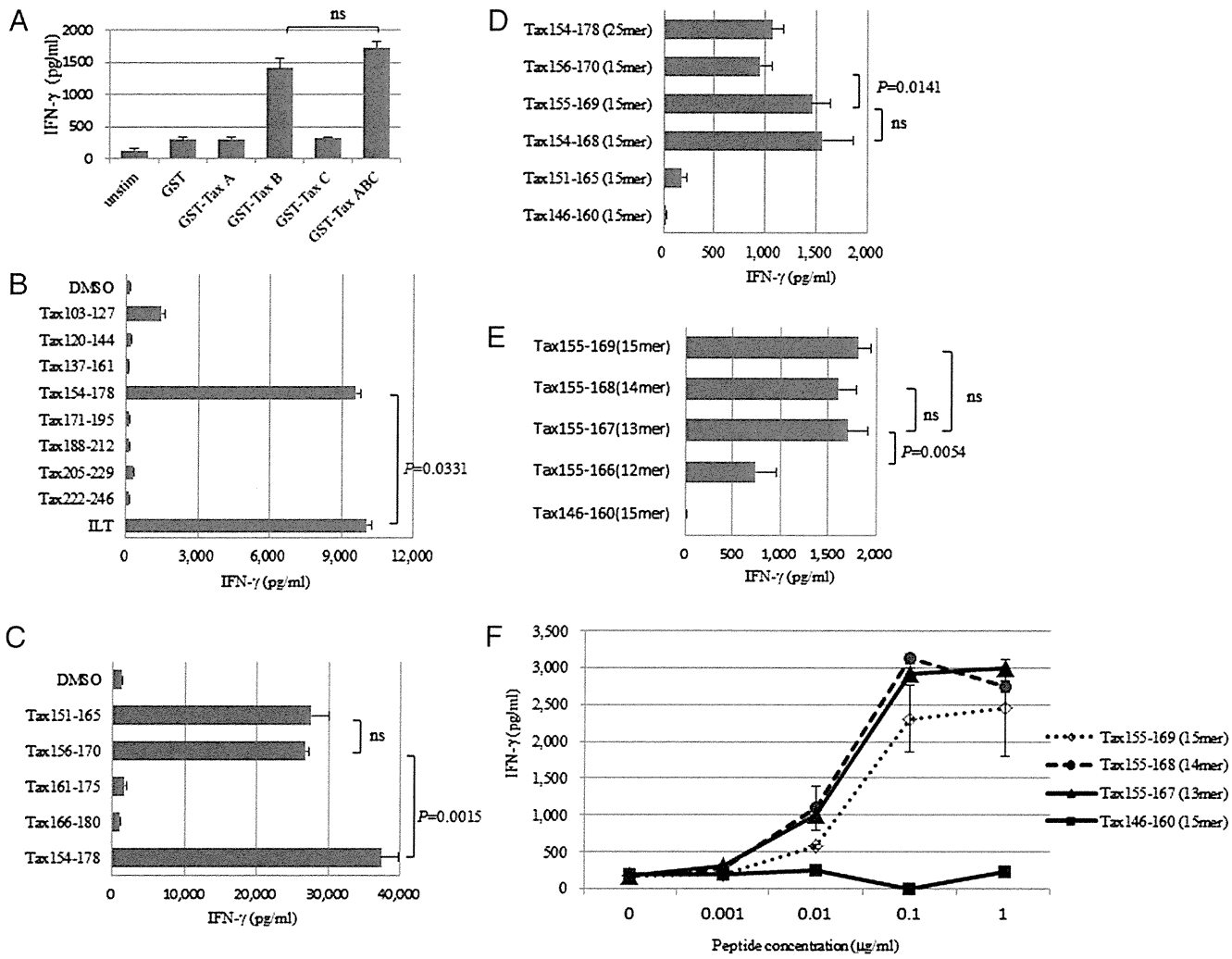


FIGURE 3. Identification of the dominant Tax-derived epitope recognized by established T4 cells. (**A**) Donor-derived LCL-#350 was pulsed with GST, GST–Tax-A, GST–Tax-B, GST–Tax-C, or a mixture of GST–Tax-A, -B, and -C (GST–TaxABC) for 24 h and then cocultured for 24 h with the T4 cells at a responder/stimulator (R/S) ratio of 3. IFN-γ production from T4 cells was analyzed by ELISA. (**B** and **C**) LCL-#350 was pulsed with the indicated overlapping 25-mer-long (B) or 15-mer-long (C) synthetic peptides (10 μg/ml) within the Tax-B region for 1 h. Formaldehyde-fixed ILT-#350 cells were cocultured with T4 cells for 6 h. IFN-γ in the supernatant was measured by ELISA. (**D** and **E**) IFN-γ responses of T4 cells were assessed using the indicated overlapping 12- to 25-mer-long synthetic peptides (100 ng/ml). (**F**) IFN-γ responses of T4 cells against indicated concentrations of 13- to 15-mer-long peptides were assessed as in (B) and (C). (A–F) Results are representative of two or three independent experiments. The error bars represent SD of triplicate wells. Statistical significance was analyzed by the unpaired *t* test.

We therefore evaluated the helper function of Tax155–167-specific $CD4^+$ T cells on the expansion of dominant Tax-specific CTLs in fresh PBMCs of the patient #350. Freshly isolated PBMCs from patient #350 (A24/26, DR1/14) at 540 d after allo-HSCT were stimulated for 13 d with the HLA-A24–restricted CTL epitope peptide (Tax301–309) in the presence or absence of the HLA-DRB1*0101–restricted $CD4^+$ Th epitope peptide (Tax155–167), and Tax-specific $CD8^+$ T cell expansion was evaluated using the HLA-A*2402/Tax301–309 tetramer. As shown in Fig. 5, Tax301–309-specific $CD8^+$ T cells proliferated to 9.26% of $CD8^+$ T cells when stimulated with Tax301–309 alone. Surprisingly, a highly elevated frequency (62.3%) of tetramer-binding $CD8^+$ T cells was detected by in vitro costimulation with Tax301–309 and Tax155–167, suggesting the presence of Tax155–167-specific $CD4^+$ Th cells in patient #350.

We examined whether Tax155–167-specific $CD4^+$ T cells existed and functioned as helper cells in the other two HTLV-1–infected HLA-DRB1*0101^+ patients after allo-HSCT (day 360 for patient #341 and day180 for #364). These patients had detectable levels of HLA-A*2402/Tax301–309 tetramer-binding $CD8^+$ T cells in the peripheral blood (Fig. 5). In patients #341 and #364, the tetramer-binding cells expanded to 7.7 and 0.849% of $CD8^+$ T cells at 13 d of culture when stimulated with the CTL epitope peptide, Tax301–309, alone. Costimulation of PBMCs with both peptides Tax155–167 and Tax301–309 led to a vigorous proliferation of tetramer-binding $CD8^+$ T cells (59.6% for patient #341 and 15.5% for patient #364) as observed in patient #350 (Fig. 5). These results indicate that Tax155–167-specific $CD4^+$ T cells may be present and contribute to enhancing $CD8^+$ T cell responses in HTLV-1–infected HLA-DRB1*0101^+ individuals after allo-HSCT.

*Tax155–167-specific $CD4^+$ T cells were maintained in HTLV-1–infected HLA-DRB1*0101^+ individuals*

We next generated the HLA-DRB1*0101/Tax155–167 tetramer to directly detect Tax155–167-specific $CD4^+$ T cells and examined the presence of Tax155–167-specific $CD4^+$ T cells in the PBMCs freshly isolated from two HLA-DRB1*0101^+ patients after allo-HSCT (day 180 for patient #350 and day 360 for patient #364).

Table II. Synthetic oligopeptides used in this study

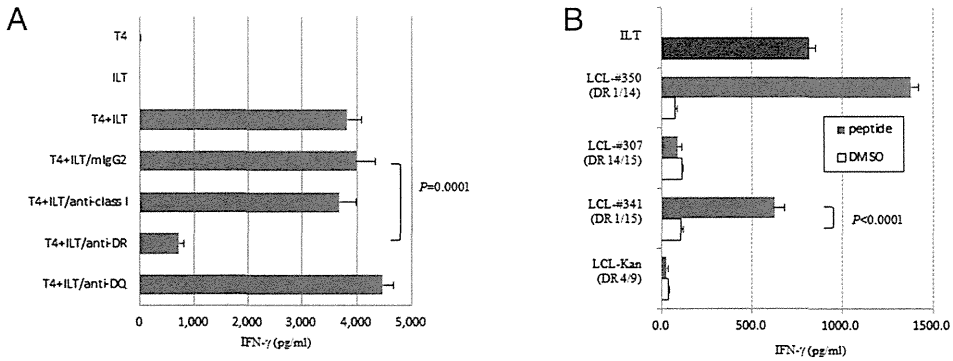
Peptide	Sequence
Tax103–127	P S F L Q A M R K Y S P F R N G Y M E P T L G Q H
Tax120–144	M E P T L G Q H L P T L S F P D P G L R P Q N L Y
Tax137–161	G L R P Q N L Y T L W G G S V V C M Y L Y Q L S P
Tax154–178	M Y L Y Q L S P P I T W P L L P H V I F C H P G Q
Tax171–195	V I F C H P G Q L G A F L T N V P Y K R I E E L L
Tax188–212	Y K R I E E L L Y K I S L T T G A L I I L P E D C
Tax205–229	L I I L P E D C L P T T L F Q P A R A P V T L T A
Tax222–246	R A P V T L T A W Q N G L L P F H S T L T T P G I
Tax146–160	L W G G S V V C M Y L Y Q L S
Tax151–165	V V C M Y L Y Q L S P P I T W
Tax154–168	M Y L Y Q L S P P I T W P L L
Tax155–169	Y L Y Q L S P P I T W P L L P
Tax156–170	L Y Q L S P P I T W P L L P H
Tax161–175	P P I T W P L L P H V I F C H
Tax166–180	P L L P H V I F C H P G Q L G
Tax155–168	Y L Y Q L S P P I T W P L L
Tax155–167	Y L Y Q L S P P I T W P L
Tax155–166	Y L Y Q L S P P I T W P

Tax155–167-specific CD4$^+$ T cells were detected ex vivo in the patient #350 (0.11%) and proliferated to 11.6% among CD4$^+$ T cells at 13 d poststimulation with Tax155–167 peptide. In the patient #364, tetramer-binding CD4$^+$ T cells were undetectable in fresh PBMCs but expanded to 0.37% by in vitro stimulation with Tax155–167 peptide (Fig. 6A). In an HLA-DRB1*0101$^+$–seronegative donor #365, Tax155–167-specific CD4$^+$ T cells were not found in fresh PBMCs and did not become detectable at 13 d after stimulation with Tax155–167 peptide (Fig. 6A). This result indicates that Tax155–167-specific CD4$^+$ T cells are maintained and possesses the abilities to proliferate in response to HTLV-1 Tax in these patients.

We further examined whether Tax155–167-specific CD4$^+$ T cells existed in two HTLV-1–infected individuals carrying HLA-DRB1*0101, an AC #310 and a HAM/TSP patient #294, and detected 0.18 and 0.31% of tetramer-binding cells in peripheral CD4$^+$ T cells, respectively (Fig. 6B). These results suggest that Tax155–167-specific CD4$^+$ T cells are maintained in HTLV-1–infected individuals expressing an HLA-DRB1*0101 allele, regardless of HSCT.

Discussion

In this study, we demonstrated Tax-specific CD4$^+$ T cell responses in some ATL patients post–allo-HSCT and identified a novel HLA-DRB1*0101–restricted CD4 T cell epitope, Tax155–167, which was recognized by HTLV-1–specific CD4$^+$ T cells and consequently led to robust Tax-specific CD8$^+$ T cell expansion. We also found that Tax155–167-specific CD4$^+$ T cells existed in all HTLV-1–infected HLA-DRB1*0101$^+$ individuals tested, regardless of HSCT, by newly generated HLA-DRB1*0101/Tax155–167 tetramers. These results suggest that Tax155–167 might be a dominant epitope recognized by HTLV-1–specific CD4$^+$ T cells



C

	Relative position												
	1	2	3	4	5	6	7	8	9	10	11	12	13
Tax155-167	**Y**	**L**	**Y**	**Q**	**L**	**S**	**P**	**P**	**I**	**T**	**W**	**P**	**L**
Anchor residues	Y,V, L,F, I,A M,W	*	*	L,A, I,V, M,N, Q	*	A,G, S,T, P	*	*	L,A, I,V, N,F, Y				

FIGURE 4. HLA-DRB1*0101 restriction of Tax155–167 recognition by established T4 cells. (**A**) T4 cells were cocultured for 6 h with ILT-#350 in the presence or absence of the following blocking Abs (10 μg/ml): anti-human HLA-DR; anti-human HLA-DQ; anti–HLA-class I; or isotype control. IFN-γ production from T4 cells was measured by ELISA. (**B**) The T4 cells were cocultured for 6 h with autologous (#350) or allogeneic (#307, #341, and Kan) LCLs pulsed with (closed bar) or without (open bar) Tax155–167 for 1 h or with recipient-derived ILT-#350. The HLA-DR alleles of each LCL line are indicated in parentheses. IFN-γ production of T4 cells was assessed by ELISA. (A and B) Representative data of three independent experiments are shown. The error bars represent SD of triplicate wells. Statistical significance was analyzed by the unpaired *t* test. (**C**) The amino acid sequence between residues 155 and 167 of Tax contained a putative HLA-DRB1*0101 anchor motif (33).

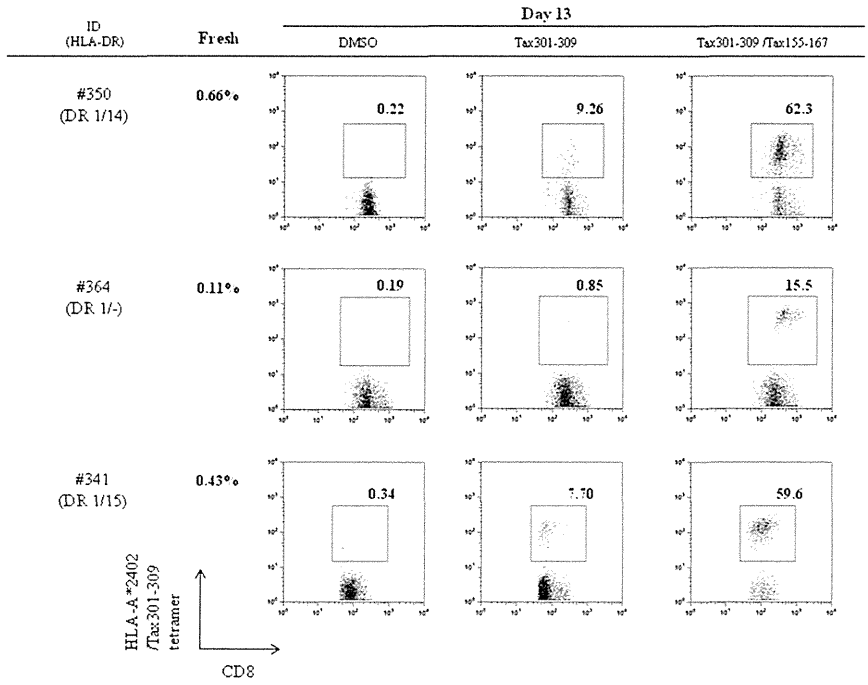


FIGURE 5. Augmentation of Tax-specific $CD8^+$ T cell expansion by costimulation with CTL epitope and Tax155–167 peptides. PBMCs from HLA-DRB1*0101– and HLA-A24–expressing ATL patients (#350, #364, and #341) who underwent allo-HSCT with RIC were cultured for 13 d in the presence of DMSO, 100 nM CTL epitope (Tax301–309), or a mixture of Tax301–309 (100 nM) and Tax155–167 (100 nM) peptides. Data indicate percentages of HLA-A*2402/Tax301–309 $tetramer^+$ cells among $CD3^+CD8^+$ T cells. Fresh indicates frequency of HLA-A*2402/Tax301–309 $tetramer^+CD8^+$ T cells detected in fresh peripheral blood.

in HTLV-1–infected individuals expressing HLA-DRB1*0101 and that Tax-specific $CD4^+$ T cells might efficiently induce HTLV-1–specific CTL expansion to strengthen the graft-versus-ATL effects in ATL patients after allo-HSCT.

In HTLV-1 infection, analysis of virus-specific $CD4^+$ T cell responses appears to be limited because $CD4^+$ T cells are preferentially infected with HTLV-1 (24, 34, 35), and HTLV-1 Ags are produced from infected cells at a few hours postculture (34, 36). In this study, we used blood samples from 18 ATL patients after allo-HSCT with RIC and from HLA identical–related or unrelated donors and found that these recipients had undetectable or very low proviral loads (Table I), as previously shown (7–9). We previously reported that Tax-specific CTLs were induced in some patients with complete remission after allo-HSCT for ATL and might contribute to the graft-versus-leukemia effect (10). In the current study, Tax-specific T cell responses or tetramer-binding $CD8^+$ T cells were detected in 68.8% (11 of 16) or 82.4% (14 of 17) of patients tested, respectively (Fig. 1A, Table I). In addition, helper function of Tax-specific $CD4^+$ T cells to enhance Tax-specific $CD8^+$ T cell expansion was observed in PBMCs from all three HLA-DRB1*0101^+ patients tested (Fig. 5). These data suggest that both $CD8^+$ and $CD4^+$ Tax-specific T cell responses might contribute to elimination of remaining leukemic and/or infected cells in some patients having T cell responses against Tax. However, given the fact that not all ATL patients who achieved complete remission after allo-HSCT had Tax-specific $CD8^+$ T cells, graft-versus-host reaction may mainly contribute to achieve complete remission after allo-HSCT. It is of note that Tax-specific

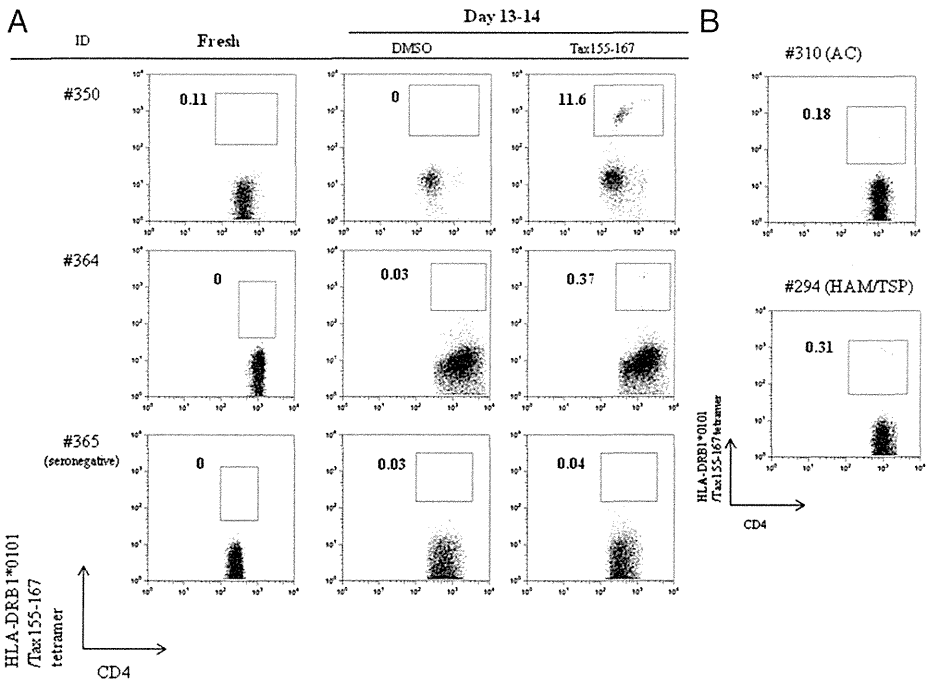


FIGURE 6. Detection of Tax155–167-specific $CD4^+$ T cells in HTLV-1–infected HLA-DRB1*0101^+ individuals. (**A**) In two ATL patients after allo-HSCT (#350 and #364) and an HLA-DRB1*0101^+–seronegative donor (#365), frequency of HLA-DRB1*0101/Tax155–167 tetramer-binding $CD4^+$ T cells was analyzed in fresh PBMCs and PBMCs cultured for 13–14 d in the presence of Tax155–167 (100 nM) peptide. Data indicate percentages of $tetramer^+$ cells in $CD3^+CD4^+$ T cells. (**B**) Frequency of HLA-DRB1*0101/Tax155–167 tetramer-binding $CD4^+$ T cells in fresh PBMCs from an AC #310 and an HAM/TSP patient #294 was analyzed. Data indicate percentages of $tetramer^+$ cells in $CD3^+CD4^+$ T cells.

T cell responses were detected in 57.1% (four of seven) or 87.5% (seven of eight) of the patients after allo-HSCT with RIC from HTLV-1–seronegative sibling or unrelated donors, respectively. A Tax-specific T cell response was not detected in three patients who underwent allo-HSCT from seropositive donors (Fig. 1, Table I).

It has been proposed that CTLs are the main effector cells against many pathogenic viruses, including HTLV-1. To date, many CTL epitopes recognized by HTLV-1–specific CTLs have been identified, some of which are thought to be the candidates of peptide-based T cell immunotherapy (10, 20, 32, 37–40). $CD4^+$ T cells have also been known to be critical for induction and maintenance of Ag-specific $CD8^+$ T cells (15–19). With respect to HTLV-1 infection, there are several reports identifying HLA-DRB1*0101–restricted epitopes recognized by $CD4^+$ T cells against Env or Tax (Env380–394 (21), Env436–450, Env451–465, Env456–470 (23), and Tax191–205 (22)), which were established by stimulating PBMCs from uninfected or infected individuals with synthetic peptides. In this study, for determination of an epitope recognized by HTLV-1–specific $CD4^+$ T cells, we established an HTLV-1–specific $CD4^+$ T cell line from the patient #350 at 180 d after allo-HSCT by several stimulations with an HTLV-1 Ags-expressing T cell line (ILT-#350) from the same patient. In addition, we found that Tax155–167-specific $CD4^+$ T cells were present in peripheral blood from patient #350 at 180 and 540 d after all-HSCT, indicating that the epitope, Tax155–167, identified in this study is naturally presented on HTLV-1–infected cells and predominantly recognized by HTLV-1–specific $CD4^+$ Th cells in the patient #350 at least within 540 d after allo-HSCT. Another HLA-DRB1*0101–restricted Tax epitope, Tax191–205, has been reported previously (22). In this study, the amino acid sequence within this region was revealed to be conserved in the infected T cell line, ILT-#350 established from the patient #350 (data not shown), indicating that Tax191–205 can be presented on APCs and Tax191–205-specific $CD4^+$ T cells may be induced in patient #350. However, Tax155–167-specific but not Tax191–205-specific $CD4^+$ T cells were revealed to predominantly appear in the HTLV-1–specific T4 cell line, established from PBMCs in the patient #350 at 180 d after allo-HSCT. This suggests that in the case of patient #350 at 180 d after allo-HSCT, Tax191–205-specific $CD4^+$ T cells may not be the most frequent population among HTLV-1–specific $CD4^+$ T cells.

It has been known that Ag-specific effector and memory $CD4^+$ T cells are typically present at much lower frequencies than their $CD8^+$ counterparts and that MHC class II tetramer might have a weak TCR–MHC affinity (41). Although this limited affinity of MHC class II tetramer might preclude detection of Ag-specific low-affinity $CD4^+$ T cells, the low-affinity $CD4^+$ T cells, below detection with MHC class II tetramers, were also proved to be critical effectors in Ag-specific responses (42). In the current study, MHC class II tetramer analysis revealed that Tax155–167-specific $CD4^+$ T cells were present in HLA-DRB1*0101^+ HTLV-1–infected individuals: two ATL patients after allo-HSCT (day 180 for #350 and day 360 for #364), an AC #310, and a HAM/TSP patient #294 (Fig. 6). Because of a shortage of blood sample from patient #341, we could not perform the direct detection for Tax155–167-specific $CD4^+$ T cells by the MHC class II tetramers. However, enhanced expansion of Tax301–309-specific $CD8^+$ T cells was observed in patient #341 at 360 d after allo-HSCT when PBMCs were stimulated with Tax301–309 in the presence of Tax155–167 (Fig. 5). So far, Tax155–167-specific $CD4^+$ T cells were detected in fresh and/or Tax155–167-stimulated PBMCs of all HTLV-1–infected HLA-DRB1*0101^+ individuals tested, although their frequencies were various. These results suggest that Tax155–167 may be the dominant epitope recognized by Tax-specific $CD4^+$ T cells in HTLV-1–infected HLA-DRB1*0101^+ individuals. In ATL patients after HSCT, the donor-derived T cells reconstituted in recipients will first encounter HTLV-1 Ags, because HTLV-1 still persists in the patients even though proviral loads become undetectable in the peripheral bloods. Indeed, we found that donor-derived Tax155–167-specific $CD4^+$ T cells were present in three ATL patients after allo-HSCT from seronegative donors. This finding also suggests that Tax155–167-specific naive $CD4^+$ T cells may pre-exist in HLA-DRB1*0101^+ individuals and can be primed with HTLV-1 Ags during the primary infection. In this study, Tax155–167-specific $CD4^+$ T cells were also detected in an AC and a HAM/TSP patient (Fig. 6B), suggesting that Tax155–167-specific $CD4^+$ T cells may be maintained in some HLA-$DR1^+$ individuals during the chronic phase of HTLV-1 infection. However, it has been reported that epitope hierarchies may change because of T cell escape mutants (43, 44) and unresponsiveness or deletion of epitope-specific T cells because of prolonged Ag stimulation during chronic infection (45, 46). Further longitudinal studies with a number of samples will be required to confirm that Tax155–167 is a dominant epitope of HTLV-1–specific $CD4^+$ T cells in HLA-DRB1*0101^+–infected individuals in the course of HTLV-1 infection.

Among three patients (#241, #350, and #364) showing high T cell responses against recombinant Tax protein, two patients (#350 and #364) were found to carry HLA-DRB1*0101 and have efficient $CD4^+$ Th cell responses against Tax155–167. Intriguingly, it has been reported that HLA-DRB1*0101 is associated with susceptibility to HAM/TSP (47, 48). In addition, $CD4^+$ T cells have been shown to be the dominant cells infiltrating in early active inflammatory spinal cord lesions (28, 29) with spontaneous production of proinflammatory cytokines (30). These observations suggest that HLA-DRB1*0101 might be associated with susceptibility to HAM/TSP via an effect on high $CD4^+$ T cell activation. Further studies are needed to clarify whether HLA-DRB1*0101 is associated with high Tax-specific $CD4^+$ T cell responses in HTLV-1–infected individuals.

Early studies using lymphocytic choriomeningitis virus showed that $CD4^+$ T cell help is critical for maintenance of $CD8^+$ T cell function during chronic infections (18). It has also been suggested that $CD4^+$ T cells are required for optimal CTL responses during HTLV-1 infection (49). Aubert et al. (50) showed that both Ag-specific naive and effector $CD4^+$ T cell help rescued exhausted $CD8^+$ T cells in vivo, resulting in a decrease in viral burden. In the current study, we determined a novel HLA-DRB1*0101–restricted Th epitope, Tax155–167, which was capable of augmenting Tax-specific $CD8^+$ T cell expansion by stimulating Tax155–167-specific $CD4^+$ T cells. This epitope would be a useful tool for investigating the roles of HTLV-1–specific $CD4^+$ T cells in antitumor immunity and in pathogenesis of HTLV-1–related inflammatory diseases such as HAM/TSP and developing novel vaccines to prevent progression or recurrence of ATL.

Disclosures

The authors have no financial conflicts of interest.

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