細胞では細胞表面に NTCP タンパク質が発現していることをフローサイトメトリーで確認した。

2) HepG2-hNTCP-C4 細胞における HBV 感染

各細胞クローンを HBV に感染させたところ、12 日後に HepG2-hNTCP-C4 細胞でのみ HBs 抗原が検 出された。この細胞では HBV 感染後 12 日目に、 同様に HBe 抗原、HBV DNA、cccDNA、HBc タンパ ク質が検出された。またこれらのシグナルは感染 時に Myrcludex-B を処理することにより有意に 減少した。

一方 siRNA により NTCP をノックダウンすることにより、HepG2-hNTCP-C4 細胞における HBV 感染は有意に低下した。

3) 既知抗 HBV 剤に対する感受性

これまで NTCP トランスポーターを阻害することが知られている化合物 (ウルソデオキシコール酸、コール酸、プロゲステロン、ブロモスルフォフタレイン) を処理したところ、これらはいずれも HBV 感染を阻害した。またすでに HBV 侵入を阻害することが報告されているシクロスポリン誘導体を処理することによっても HBV 感染に低下が認められた。

4) 低分子化合物のスクリーニング

HepG2-hNTCP-C4 細胞への HBV 感染を阻害する低分子化合物のスクリーニングをおこなった。その結果、22(S)-hydroxycholesterol (OHC)を処理した際に HBV 感染が低下することが観察された。この効果は HepG2-hNTCP-C4 細胞だけではなく、従来 HBV 感染に汎用されていた HepaRG 細胞においても認められたこと、また 25-OHC, 20a-OHC, 7b-OHC などの酸化ステロールにも同様の作用が見られることが明らかとなった。

D. 考察

以上のことより HepG2-hNTCP-C4 細胞は HBV 感染を許容することが示唆された。またこの細胞における HBV 感染は NTCP を介していることが示された。また NTCP トランスポーター阻害剤およびシクロスポリン阻害剤によって HBV 感染が阻害されたことより、この細胞は抗 HBV 効果の評価に有用であると考えられた。今回酸化ステロールがHBV 感染阻害効果を有するものとして同定された。

E. 結論

以上のように本研究では、HBV 感染許容性細胞株を樹立した。この細胞は従来の PHH、PTH、HepaRG細胞などよりもロット間での再現性に優れており、また操作が簡便かつ遺伝子導入効率が高いことより、HBV 感染機構の解析および抗 HBV 剤探索に有用であると考えられた。また今回得られた酸化ステロールの抗 HBV 効果の作用機序を解析することによって、HBV 感染機構の一端が明らかになると期待される。

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G. 知的所得権の所得状況

- 1. 特許取得なし
- 2. 実用新案登録なし
- 3. その他 なし

III. 研究成果の刊行一覧

研究成果の刊行に関する一覧表

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* IV. 研究成果の別冊あり

IV. 研究成果の刊行物・別冊



Role of *HLA-DP* Polymorphisms on Chronicity and Disease Activity of Hepatitis B Infection in Southern Chinese

Danny Ka-Ho Wong^{1,2,9}, Tsunamasa Watanabe^{3,9}, Yasuhito Tanaka³, Wai-Kay Seto¹, Cheuk-Kwong Lee⁴, James Fung^{1,2}, Che-Kit Lin⁴, Fung-Yu Huang¹, Ching-Lung Lai^{1,2}, Man-Fung Yuen^{1,2}*

1 Department of Medicine, The University of Hong Kong, Queen Mary Hospital, Hong Kong, 2 State Key Laboratory for Liver Research, The University of Hong Kong, Queen Mary Hospital, Hong Kong, 3 Department of Virology and Liver Unit, Nagoya City University Graduate School of Medical Sciences, Nagoya, Japan, 4 Hong Kong Red Cross Blood Transfusion Service, Hospital Authority, Hong Kong

Abstract

Background and Aims: The association between *HLA-DP* single nucleotide polymorphisms (SNPs) and chronic hepatitis B virus (HBV) infection varies between different populations. We aimed to study the association between *HLA-DP* SNPs and HBV infection and disease activity in the Chinese population of Hong Kong.

Methods: We genotyped SNPs rs3077 (near *HLA-DPA1*) and rs9277378 and rs3128917 (both near *HLA-DPB1*) in 500 HBV carriers (hepatitis B surface antigen [HBsAg]-positive), 245 non-HBV infected controls (HBsAg- and antibody to hepatitis B core protein [anti-HBc]-negative), and 259 subjects with natural HBV clearance (HBsAg-negative, anti-HBc-positive). Inactive HBV carriers state was defined by HBV DNA levels <2,000 IU/ml and persistently normal alanine aminotransferase level for least 12 months.

Results: Compared to the non-HBV infected subjects, the HBV carriers had a significantly lower frequency of the rs3077 T allele (p = 0.0040), rs9277378 A allele (p = 0.0068) and a trend for lower frequency of rs3128917 T allele (p = 0.054). These alleles were associated with an increased chance of HBV clearance (rs3077: OR = 1.41, p = 0.0083; rs9277378: OR = 1.61, p = 0.00011; rs3128917: OR = 1.54, p = 0.00017). Significant associations between HLA-DP genotypes and HBV clearance were also found under different genetic models. Haplotype TAT was associated with an increased chance of HBV clearance (OR = 1.64, p = 0.0013). No association was found between these SNPs and HBV disease activity.

Conclusion: HLA-DP SNPs rs3077, rs9277378 and rs3128917 were associated with chronicity of HBV disease in the Chinese. Further studies are required to determine whether these SNPs influence the disease endemicity in different ethnic populations.

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Competing Interests: Man-Fung Yuen and James Fung are PLOS ONE Editorial Board members. This does not alter the authors' adherence to all the PLOS ONE policies on sharing data and materials.

- * E-mail: mfyuen@hku.hk
- These authors contributed equally to this work.

Introduction

Approximately 400 million people worldwide are chronic carriers of hepatitis B virus (HBV) [1]. The disease spectrum of chronic hepatitis B varies among patients, ranging from inactive non-replicative to active replicative state, which may lead to fulminant hepatic failure, liver cirrhosis, or hepatocellular carcinoma (HCC). While persistence or resolution of HBV infection may be affected by a variety of factors, including viral, environmental and host factors, family or twin studies have suggested that host genetic constitution is also an important factor which influences chronicity of HBV infection [2,3]. Many host genetic variations, including genes coding for cytokines such as interferon-gamma and tumor necrosis factors [4], estrogen

receptor alpha [5], vitamin D receptor [6], mannose-binding protein [7], cytotoxic T-lymphocyte antigen 4 (*CTLA-4*) [8] and human leukocyte antigen (HLA) [9,10,11,12], have been suggested to influence chronicity or clearance of HBV infection. In particular, single nucleotide polymorphisms (SNPs) near the *CTLA-4*, genes coding for an inhibitory receptor expressed by T-lymphocytes, and near the *HLA-DR13* locus, coding for component of the major histocompatibility complex class II cell surface receptors, have been studied in several case-control studies for their association with HBV infection in different populations [8,9,10,11,12]. However, these candidate gene studies were not conducted on a large scale genome-wide approach.

Several genome-wide association studies (GWAS) have been performed with large cohorts to study the association of genetic variations with HBV infection. These GWAS studies did not find a strong association between HBV infection and those previously identified candidate HBV-associated SNPs. These GWAS studies demonstrated that certain SNPs near the HLA-DP loci, are associated with persistent HBV infection [13,14,15]. In a pioneering GWAS study with 786 Japanese chronic HBV carriers and 2,201 controls, Kamatani and colleagues have identified an association between chronic hepatitis B and 11 SNPs in the HLA-DP region, two of which, namely rs3077 and rs9277535, were further validated in three additional Japanese and Thai cohorts [13]. The association between these *HLA-DP* SNPs with chronicity and/or clearance of HBV infection was further confirmed by two other GWAS studies, one with 2,667 Japanese chronic HBV carriers and 6,496 controls by the same group [14] and one with 181 Japanese chronic HBV carries, 184 healthy controls, and 185 individuals with natural clearance of HBV [15]. The association of some of these HLA-DP SNPs with HBV infection has been verified in many studies, but the association differs between studies in different population cohorts, and more SNPs are yet to be identified [16,17,18,19,20,21].

HLA-DP molecules, belonging to HLA class II, are involved in antigen presentation to CD4+ T helper cells. As HLA-DP plays an important role in host-immune response and particularly antigen presentation, it would be interesting to investigate the possible association of the *HLA-DP* loci variations with hepatitis B disease activity, which is immune-mediated. Since the findings are not consistent in different study cohorts [18,20], the association of these *HLA-DP* SNPs with HBV disease activity remains unclear.

In the present study, we primarily aimed to investigate the association of 3 *HLA-DP* SNPs, namely rs3077, rs9277378 and rs3128917, with chronicity of HBV infection in the Chinese population in Hong Kong. In addition, we studied the association of these SNPs with hepatitis B disease activity. This will extend our understanding of the association between *HLA-DP* variations and HBV infection and may provide some evidences to explain the widely different prevalence of chronic HBV in different ethnic groups in the world.

Patients and Methods

Patients

The present study recruited 500 chronic HBV carriers (hepatitis B surface antigen [HBsAg]-positive for more than 6 months) who had been followed up in our liver clinics in the Queen Mary Hospital, Hong Kong. Upon their first and/or follow up visits, these HBV carriers had given verbal informed consent for the storage of blood samples for further studies. We have also recruited 706 consecutive HBsAg-negative control subjects who have donated blood at the Hong Kong Red Cross Blood Transfusion Service, and they all had given verbal informed consent during blood donation for the storage of blood samples. Data were analyzed anonymously for the 706 HBsAg-negative blood donors. Approval has been obtained from the Institution Review Board, Queen Mary Hospital, The University of Hong Kong, for retrieving archived samples for this study. Among the 706 HBsAg-negative subjects, 202 had previous history of hepatitis B vaccination and were excluded from the subsequent analysis. All study patients/subjects are Chinese, and all blood samples were collected during the period January 2010 to March 2011. All subjects were tested negative for hepatitis C virus and human immunodeficiency virus by the Procleix Ultrio Assay (Novartis Diagnostics, Emeryville, CA).

Of the 504 non-vaccinated control subjects, 259 had HBV natural clearance (HBV clearance group), denoted by the presence

of detectable anti-HBc by the Elecsys assay (Roche Diagnostics, Basel, Switzerland). The remaining 245 subjects (non-HBV infected group) were negative for both HBsAg and anti-HBc. Longitudinal clinical data, including alanine aminotransferase (ALT) and HBV DNA measurements, were obtained from the 500 HBV carriers. Inactive asymptomatic HBV carriers were defined by HBV DNA levels <2,000 IU/ml and persistently normal ALT (<58 U/L for male and <36 U/L for female) for least 12 months.

Genotyping Assays

Three SNPs within chromosome 6, namely rs3077 (in the 3' untranslated region of the HLA-DPA1 gene), rs9277378 and rs3128917 (inside and near the HLA-DPB1 gene, respectively), were studied (Figure 1). rs3077 was selected for this study because rs3077 and rs9277535 (at the 3' untranslated region of HLA-DPB1) have been identified to be strongly associated with persistent HBV infection [13]. We chose to study rs9277378 instead of rs9277535 because, our previous large scale genotypic analysis revealed that, rs9277378 was more readily detected in DNA extracted from sera than rs9277535 (data not shown). Moreover, linkage disequilibrium (LD) analysis by the Haploview software (version 4.2) revealed that rs9277378 has a strong LD with rs9277535 (D' = 1.00, R^2 = 0.954) in the HapMap Han Chinese in Beijing (CHB) and Japanese in Tokyo (JPT) Populations. [22] We also confirmed, in a small subset of 50 randomly selected samples from the current study, that rs9277535 and rs9277378 genotypes were concordant in 48 (96%) samples with a strong LD (D' = 1.00, R^2 = 0.903). The SNP rs3128917 was also chosen for the present study, as this SNP has the highest odds ratio (OR) among 11 SNPs which influence chronicity of HBV infection [16].

The 3 selected SNPs, rs3077, rs9277378 and rs3128917, were genotyped using the TaqMan SNP genotyping assay (Life Technologies, Carlsbad, CA). Briefly, free circulating DNA was extracted from 200 µl of serum samples, using the Purelink Genomic DNA Mini Kit (Life Technologies). The SNP genotyping reaction was performed in a TaqMan real-time PCR format, using SNP-specific primers and FAM- and VIC-labeled allelespecific probes provided in the TaqMan SNP genotyping kit (Life Technologies) and the real-time PCR enzymes and reagents provided in QuantiFast Probe PCR Kit (QIAGEN, Hilden, Germany). The real-time PCR reaction was performed in a RotorGene-Q real-time PCR System (QIAGEN).

Statistical Analyses

Statistical analyses were performed using PLINK v.1.07 (http://pngu.mgh.harvard.edu/purcell/plink/) [23] and SPSS 18.0 (SPSS Inc., Chicago, IL). Logistic regression was performed to compare between case and control groups, and all ORs and p values were adjusted for age and sex. The Student t test was used to test normally distributed variables. Categorical variables were tested by the Chi-square test. Statistical significance was defined by p<0.05.

Results

Demographic Characteristics and Hardy-Weinberg Equilibrium

The mean age of the HBV carriers was 46.8 ± 12.1 years, which was significantly higher than that of the non-HBV infected subjects $(36.4\pm9.9 \text{ years}; \text{ p}<0.0001)$ and that of the HBV clearance subjects $(40.3\pm10.9 \text{ years}; \text{ p}<0.0001)$. The proportion of male (304/500; 61%) in the HBV carriers was significantly higher than that in the non-HBV infected group (127/245; 52%;

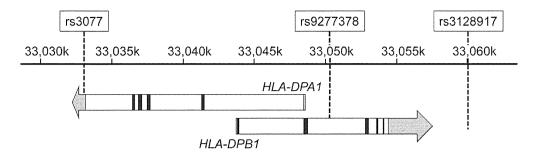


Figure 1. Relative locations of the three studied SNPs and *HLA-DPA1* **and** *HLA-DPB1* **genes on chromosome 6.** The names of the three SNPs are shown on top, and the chromosomal positions on chromosome 6 are marked in the ruler in the middle. The *HLA-DPA1* and *HLA-DPB1* genes are shown as arrows in the bottom, with the exons shown as black boxes, introns as white boxes, and un-translated regions as gray boxes/arrows. doi:10.1371/journal.pone.0066920.q001

p=0.020), but did not differ from that in the HBV clearance subjects (152/259; 59%; p=0.573). Three SNPs (rs3077, rs9277378, and rs3128917) were genotyped in these 500 HBV carriers, 245 non-HBV infected controls and 259 HBV clearance subjects. All 3 polymorphisms in the HBV carriers, non-HBV infected controls and HBV clearance subjects were in Hardy-Weinberg equilibrium, and there was no significant difference between the observed and expected genotypic frequencies in all 3 SNPs in all 3 groups (all p>0.05; Table S1).

Association between HLA-DP Polymorphisms and Chronicity of HBV Infection

The allelic frequencies of the three studied SNPs are listed in Table 1. The minor alleles for rs3077, rs9277378 and rs3128917 determined from the present study cohort were T, A and T, respectively. There was a significantly higher proportion of the rs3077 and rs9277378 minor alleles (T and A, respectively) in the non-HBV infected controls than in the HBV carriers (p = 0.0040 and 0.0068, respectively). There was a trend of a higher proportion of the rs3128917 T allele in the non-HBV infected controls than in the HBV carriers (p = 0.054). The HBV clearance subjects had a significantly higher proportion of rs3077 T allele, rs9277378 A allele, and rs3128917 T allele than in the HBV carriers (p = 0.0083, 0.00011, and 0.00017 for rs3077, rs9277378 and rs3128917, respectively).

Genotype frequencies for the 3 SNPs were compared between the HBV carriers and non-HBV infected controls, as well as between the HBV carriers and HBV clearance group. The genotype distributions of the 3 study groups are listed in Table 2. Compared with the non-HBV infected controls, HBV carriers had a lower prevalence of the minor alleles of rs3077 and rs9277378, as shown by both the dominant-effect (homozygote minor+heterozygote vs. homozygote major) model (p = 0.0089 and 0.0162 for rs3077 and rs9277378, respectively) and the additive-effect (additive dosage of minor allele) model (P=0.0036 and 0.0058 for rs3077 and rs9277378, respectively). There was also a lower frequency of the rs3128917 T allele in the HBV carriers when analyzed using the dominant-effect model (p=0.0395), but the difference was only marginal when the additive-effect model was applied (p=0.0561).

Comparison was also made between the HBV carriers and HBV clearance subjects to test the association of these 3 SNPs with natural clearance of HBV infection. As shown in Table 2, rs3077 T allele, rs9277378 A allele, and rs3128917 T allele were associated with an increased chance of clearance of infection in both the dominant-effect model (rs3077: OR = 1.42, 95% confidence interval [CI] = 1.04-1.95, p = 0.0284; rs9277378: OR = 1.61, 95% CI = 1.18-2.2, p = 0.0029; and rs3218917: OR = 1.79, 95% CI = 1.29-2.48, p = 0.00054) and the additive-effect model (rs3077: OR: 1.42, 95% CI = 1.1-1.83, p = 0.0079; rs9277378: OR = 1.62 95% CI = 1.27-2.07, p = 0.00011; and rs3218917: OR = 1.52, 95% CI = 1.22-1.9, p = 0.00024).

Genotypic analysis showed that rs9277378 AA genotype might be most relevant to the clearance of HBV infection (OR = 3.20, $p=8.71\times10^{-5}$; Table 2). Therefore we performed subgroup analysis to investigate the role of rs3077 and rs3128917 in the patients/subjects with rs9277378 GG genotype, which represent the genotype least likely to clear HBV infection. As shown in Table 2, 398 patients/subjects had rs9277378 GG genotype: 283 (56.6%) HBV carriers and 115 (44.4%) subjects with HBV clearance. Among these 398 patients/subjects, there was no significant difference between the HBV carriers and HBV clearance subjects in the proportion of the protective allele of rs3077 (A allele proportion = 9.2% vs. 11.3%, respectively;

Table 1. Allelic difference and its association with chronicity and clearance of HBV infection.

SNP ID	Minor Allele	HBV carriers (2n = 1000)	Non-HBV infected subjects (2n = 490)	HBV Clearance subjects (2n = 518)	OR (95% CI)*	p*	OR (95% CI) [†]	\mathbf{p}^{\dagger}
rs3077	Τ	207 (20.7%)	141 (28.8%)	143 (27.6%)	0.67 (0.51-0.88)	0.0040	1.41 (1.09–1.82)	0.0083
rs9277378	Α	242 (24.2%)	159 (32.5%)	176 (34.0%)	0.70 (0.54-0.91)	0.0068	1.61 (1.26–2.05)	0.00011
rs3128917	T	335 (33.5%)	202 (41.2%)	231 (44.6%)	0.78 (0.62-1.00)	0.054	1.54 (1.23-1.93)	0.00017

All logistic regression analyses were adjusted for age and sex.

*HBV carriers vs. non-HBV infected subjects.

†Clearance subjects vs. HBV carriers.

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Table 2. Association of HLA-DP genotypes with chronicity and clearance of HBV infection.

SNP ID	Genotype/genetic model	HBV carriers (%) n=500	Non-HBV infected subjects (%) n = 245	HBV Clearance subjects (%) n = 259	OR (95% CI)*	p*	OR (95% CI) [†]	p [†]
rs3077	CC	314 (62.8)	123 (50.2)	136 (52.5)	1.00		1.00	% <u>-</u>
	TC	164 (33.0)	103 (42.0)	103 (39.8)	0.68 (0.48-0.97)	0.0312	1.31 (0.94–1.82)	0.109
	π	21 (4.2)	19 (7.8)	20 (7.7)	0.41 (0.20-0.87)	0.0193	2.35 (1.20–4.58)	0.0125
	Dominant				0.64 (0.45-0.89)	0.0089	1.42 (1.04–1.95)	0.0284
	Additive				0.66 (0.50-0.87)	0.0036	1.42 (1.10–1.83)	0.0079
rs9277378	GG	283 (56.6)	109 (44.5)	115 (44.4)	1.00	-	1.00	-
	AG	192 (38.4)	113 (46.1)	112 (43.2)	0.69 (0.49–0.98)	0.0402	1.40 (1.00–1.94)	0.0475
	AA	25 (5.0)	23 (9.4)	32 (12.4)	0.43 (0.22-0.83)	0.0119	3.20 (1.79–5.71)	8.71×10^{-5}
	Dominant				0.66 (0.47–0.93)	0.0162	1.61 (1.18–2.2)	0.0029
	Additive				0.68 (0.52-0.90)	0.0058	1.62 (1.27-2.07)	0.00011
rs3128917	GG	227 (45.4)	83 (33.9)	80 (30.9)	1.00		1.00	
	TG	211 (42.2)	122 (49.8)	127 (49.0)	0.68 (0.47-0.98)	0.0395	1.64 (1.16–2.32)	0.0056
	π	62 (12.4)	40 (16.3)	52 (20.1)	0.68 (0.41–1.14)	0.141	2.22 (1.40-3.52)	6.84×10 ⁻⁴
	Dominant				0.69 (0.49-0.98)	0.0395	1.79 (1.29-2.48)	0.00054
	Additive				0.79 (0.62–1.00)	0.0561	1.52 (1.22–1.90)	0.00024

All logistic regression analyses were adjusted for age and sex. *HBV carriers vs. non-HBV infected subjects.

†HBV clearance subjects vs. HBV carriers.
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p = 0.727) and rs3128917 (T allele proportion = 13.8% vs. 17.4%, respectively; p = 0.254).

Haplotype Analysis

LD information of these 3 SNPs for our 3 study groups is shown in Table S2. Haplotype analysis was also performed to assess the effect of the combination of these SNPs on HBV chronicity and clearance of HBV. Of the 8 possible haplotypes out of these 3 SNPs, 5 common haplotypes (with overall haplotype frequencies >0.05) were identified. As shown in Table 3, comparing to the haplotype containing all 3 risk alleles (CGG), the haplotypes TAT and CAT were associated with a higher chance of HBV clearance (for TAT: OR = 1.64, 95% CI = 1.21-2.24, p = 0.0013; and for CAT: OR = 1.98, 95% CI = 1.35-2.9, p = 0.00041). Since both haplotype CAT and TAT were associated with HBV clearance. we also performed haplotype analysis on only the last 2 SNPs (rs9277378 and rs3128917; both at HLA-DPB1 gene). The haplotype AT was significantly associated with an increased chance of HBV clearance (OR = 1.70, 95% CI = 1.32-2.18, $p = 3.66 \times 10^{-5}$, with reference to haplotype GG).

Association between HLA-DP Polymorphisms and HBV Disease Activity

Among the 500 HBV carriers, 192 (38.4%) were asymptomatic inactive carriers (HBV DNA levels <2,000 IU/ml and persistently normal ALT for least 12 months). The active carriers were significantly older than the inactive carriers (mean age: 48.1 ± 12.3 vs. 44.7 ± 11.7 years, respectively; p = 0.002), and there was a higher percentage of male in the active carriers (66%) than in the inactive carriers (53%; p = 0.003). Association analysis showed that there were no significant differences in the allele frequency of rs3077, rs9277378, and rs3128917 between the active and inactive HBV carriers (p = 0.175, 0.240, and 0.656, respectively). Similarly, there were no significant genotypic differences between the active and inactive carriers when with the dominant model (p = 0.341, 0.411 and 0.495 for rs3077, rs9277378 and rs3128917, respectively) and additive model (p = 0.172, 0.229 and 0.663 for rs3077, rs9277378 and rs3128917, respectively) were applied. None of the haplotypes was associated with HBV disease activity (all p>0.05).

Discussion

Recent GWAS studies have suggested that certain variations in the *HLA-DP* regions are associated with protection against chronic hepatitis B as well as viral clearance [13,14,15]. In the present

study, we have studied 3 SNPs to extend our understanding of the association of these variations with HBV infection in Chinese population in Hong Kong and identified that the rs3077 T allele, rs9277378 A allele and rs3128917 T allele were protective for chronicity of HBV infection. While other studies have demonstrated that HLA-DP SNPs rs3077 and rs9277535 are strongly associated with chronic hepatitis B [13,14,15,16,17,18,19,20,21], to our knowledge, the present study is the first study to determine the association between rs9277378 and chronicity of HBV infection. Although it is possible that the authentic effect of rs9277378 polymorphism may be due to its high LD with rs9277535, our findings with rs9277378 suggested that more SNPs (or combination of SNPs) in the HLA-DP regions may be associated with HBV infection.

Data on the association of HLA-DP variations with chronic HBV infection are relatively scarce. In one study with 201 Caucasian chronic HBV carriers and 235 controls, the rs3077 T allele has also been identified to be protective against chronic HBV infection [18]. However, in that study, the rs3077 T protective allele was the major allele in the Caucasian cohort. This is consistent with the data from the HapMap project, which show that the frequencies of the protective alleles for rs3077 (T), rs9277378 (A) and rs3128917 (T) were higher in people with European ancestry than in the African and Asian populations [22]. Taken together, all these findings of HLA-DP genomic variations may shed light on the difference in the geographic distribution of HBV infection: it is possible that the lower prevalence of chronic HBV infection in the European/Caucasian populations is due to the higher prevalence of the protective *HLA-DP* alleles. Similarly, the high prevalence of chronic HBV infection in the Asian/ African populations is likely due to the lower prevalence of the protective HLA-DP alleles. However, it should be noted that other factors, apart from HLA-DP variations, are also associated with chronicity of HBV infection. If the HLA-DP variations were the sole decisive factors for chronicity, the prevalence of chronic hepatitis B would have been much more than 10% in the Chinese. Moreover, a certain proportion of Asian/Chinese who possess the risk *HLA-DP* alleles may not have contacted HBV in their life time. Thus, many other factors, such as viral, environmental and other host genetic factors, are likely to be associated with chronicity of HBV infection. Nevertheless, the findings from the present as well as other genetic association studies, suggest that HLA-DP variations are probably one of the genetic factors which plays an important role in the development of chronicity of HBV infection.

Clearance of HBV infection is associated with a high level of CD4+ T cells response [24,25]. HLA-DP molecules, belonging to

Table 3. Haplotype association with chronicity and clearance of HBV infection, with the most common haplotype, CGG, as the reference.

Haplotype	HBV carriers (%)	Non-HBV infected subjects (%)	HBV Clearance subjects (%)	OR (95% CI)*	p*	OR (95% CI) [†]	\mathbf{p}^{\dagger}
CGG	597 (59.7%)	256 (52.2%)	256 (49.6%)	1		1	
TAT	147 (14.7%)	106 (21.6%)	108 (20.9%)	0.62 (0.45-0.86)	0.0044	1.64 (1.21–2.24)	0.0013
CAT	80 (8%)	49 (10%)	66 (12.8%)	0.70 (0.45–1.09)	0.116	1.98 (1.35–2.90)	0.00041
CGT	102 (10.2%)	41 (8.4%)	50 (9.7%)	1.15 (0.76–1.74)	0.495	1.07 (0.74–1.56)	0.458
TGG	53 (5.3%)	28 (5.7%)	30 (5.8%)	0.70 (0.42-1.17)	0.177	1.31 (0.80-2.13)	0.213

All logistic regression analyses were adjusted for age and sex.

SNP order of haplotype: rs3077, rs9277378, rs3128917.

*HBV carriers vs. non-HBV infected subjects.

[†]Clearance subjects vs. HBV carriers.

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HLA class II, are involved in antigen presentation to CD4+ T helper cells. The antigen-binding sites of HLA-DP molecules are highly polymorphic, and they play an important role in the physical binding of peptides and subsequent recognition by T-cell [26,27]. While it can be expected that variations in the HLA-DP coding regions will affect antigen presentation and hence viral clearance, the 3 studied SNPs do not lie within the HLA-DP coding region. The SNP rs3077 lies in the 3' untranslated region of HLA-DPA1, rs9277378 lies in the second intron of HLA-DPB1, and rs3128917 is located ~2.5kb downstream of *HLA-DPB1* (Figure 1). As variations in these SNPs will not cause specific changes in the HLA-DP coding sequence, the effect of variations in these 3 SNPs on HLA-DP function and viral clearance is likely to be indirect. There are at least two possible mechanisms. Firstly, it is possible that variation in these SNPs may alter the expression of the HLA-DP genes, through the alternation of non-coding RNA sequence or microRNA binding site, as demonstrated in a recent study that variations in rs3077/rs3128917 and rs9277535 affect the expression of HLA-DPA1 and HLA-DPB1 respectively [28]. Secondly, as these SNPs are in a strong LD with the HLA-DP alleles, it is also likely that variations in these 3 SNPs reflect some yet to be identified variations in HLA-DP coding sequence [13,16]. Thus variations in these 3 SNPs may be a marker for the variations in the HLA-DP coding sequence, which in turn affect antigen presentation of HBV-derived peptides and alter immune response and chronicity of infection. In fact, it has been demonstrated in a chimpanzee HBV infection model that the outcome of HBV infection is determined by the kinetics of viral spread and CD4 Tcell priming [29]. This suggests that the outcome of HBV infection can be influenced by the physical binding of HBV-derived peptides and their subsequent recognition by CD4 T-cell, which is dependent on HLA-DP polymorphism. The correlation between variations in HLA-DPA1 and HLA-DPB1 SNPs and the change in HLA-DP gene expression and molecule structure deserves a more thorough sequence analysis, and the functional roles of these polymorphisms remain to be studied.

Haplotype-based association analysis is more sensitive than individual SNP association analysis and can capture additional phenotype-related variants with a greater statistical power. This study found that both haplotypes TAT and CAT were associated with an increase chance of HBV clearance, with ORs of 1.64 and 1.98, respectively, both of which were greater than that of the individual SNPs (Table 1). However, there are two caveats. First, although the haplotype CAT showed the greatest OR of 1.98, its relatively greater 95% CI range and low overall haplotype frequency (0.097; data not shown) suggested that its effect on HBV clearance requires further investigations. Second, compared to the OR for individual alleles in the SNPs (for example, for rs9277378, OR = 1.61; Table 1), there was only a small increase in OR by the current haplotype analysis. In this current study, we found that the rs9277378 AA genotype might have the strongest association with HBV clearance (Table 2), and subgroup analysis indicated that the role of other protective SNPs in the rs9277378 GG subgroup was not significant. Therefore individual SNP analysis may be sufficient to provide information on the single most relevant and best-associated SNP with HBV clearance. Nevertheless, haplotype analysis may still have its value by increasing the statistical power in the association analysis and taking into account the effect of variants in other SNPs.

Given the greater genetic distance and weak LD between rs3077 (near *HLA-DPA1*) and the two other SNPs (rs9277378 and

rs3128917; both near HLA-DPB1) and the relatively high LD between rs9277378 and rs3128917 (Table S2), it is possible that the two HLA-DPB1 SNPs form one haplotype block while rs3077 belongs to a distinct haplotype block. Our finding that haplotype of the HLA-DPB1 SNPs (rs9277378 and rs3128917) alone was associated with HBV clearance (OR = 1.70), independent on the effect of HLA-DPA1 SNP rs3077, also pointed to this possibility. Although, in our present analysis, the effect of rs3077 alone on HBV clearance appeared to be less than that of the rs9277378rs3128917 haplotype, it is likely that a more complex network or combination of more SNPs in the HLA region is associated with chronicity of HBV infection. Other recent studies have identified some SNPs in the HLA-DQ region which are also associated with susceptibility to HBV infection [14,17]. The interaction between SNPs in the *HLA-DP* and *HLA-DQ* regions, their association with HBV infection in different populations, and their correlation with HLA-DP and HLA-DQ gene expression remain to be a challenging task to decode the genetic factors involved in HBV infection.

Another important finding from the present study is that we were not able to identify any association between HLA-DP genomic variations and HBV disease activity. This is consistent to other studies which also fail to identify any association between other SNPs in the HLA-DP region and HBV disease progression [18,20]. Because only a limited number of SNPs was studied in our and other studies, more in-depth studies may be required to elucidate the association between HLA-DP variations and HBV disease activity. Similarly, the association between SNPs in the HLA regions and HCC development remains to be confirmed in different study cohorts. Two recent studies had identified 3 SNPs, (HLA-DQA2/DQB1), rs3077 (HLA-DPA1), and rs9272105 (HLA-DQA1/DRB1) to be associated with HBV-related HCC development [17,30], while other studies failed to associate rs3077 and other HLA SNPs with HBV-related HCC development [15,21,31]. Detailed studies in different populations are needed to further elucidate the association between HLA genetic variations and HBV disease activity and HCC development.

In conclusion, we showed that *HLA-DP* SNP rs3077, rs9277378, and rs3128917 were individually associated with chronicity of HBV infection. Haplotype analysis revealed that haplotype TAT was strongly associated with HBV clearance. None of these 3 SNPs was associated with HBV disease activity.

Supporting Information

Table S1 Hardy-Weinberg calculations for all 3 polymorphisms in the HBV carriers, non-HBV infected and HBV clearance subject groups.

(DOCX)

Table S2 Linkage disequilibrium data in the HBV carriers, non-HBV infected and clearance subjects. (DOCX)

Author Contributions

Conceived and designed the experiments: DKHW TW YT MFY. Performed the experiments: DKHW TW. Analyzed the data: DKHW TW WKS JF. Contributed reagents/materials/analysis tools: DKHW TW FYH. Wrote the paper: DKHW. Recruitment of study subjects: WKS CKL JF CKL

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Novel point mutations and mutational complexes in the enhancer II, core promoter and precore regions of hepatitis B virus genotype D1 associated with hepatocellular carcinoma in Saudi Arabia

Anis Khan^{1,2}, Mohammed A. Al Balwi ^{1,3,4*}, Yasuhito Tanaka², Ali Hajeer^{3,4}, Faisal M. Sanai⁵, Ibrahim Al Abdulkarim¹, Latifah Al Ayyar¹, Motasim Badri⁴, Dib Saudi⁶, Waleed Tamimi³, Masashi Mizokami^{2,7} and Bandar Al Knawy⁸

In this study, a cohort of 182 patients [55 hepatocellular carcinoma (HCC) and 127 non-HCC] infected with hepatitis B virus (HBV) in Saudi Arabia was investigated to study the relationship between sequence variation in the enhancer II (EnhII), basal core promoter (BCP) and precore regions of HBV genotype D (HBV/D) and the risk of HCC. HBV genotypes were determined by sequencing analysis and/or enzyme-linked immunosorbent assay. Variations in the EnhII, BCP and precore regions were compared between 107 non-HCC and 45 HCC patients infected with HBV/D, followed by age-matched analysis of 40 cases versus equal number of controls. Age and male gender were significantly associated with HCC (p = 0.0001 and p = 0.03, respectively). Serological markers such as aspartate aminotransferase, albumin and anti-HBe were significantly associated with HCC (p = 0.0001 for all), whereas HBeAg positivity was associated with non-HCC (p = 0.0001). The most prevalent HBV genotype was HBV/D (94%), followed by HBV/E (4%), HBV/A (1.6%) and HBV/C (0.5%). For HBV/D1, genomic mutations associated with HCC were T1673/G1679, G1727, C1741, C1761, A1757/T1764/G1766, T1773, T1773/G1775 and C1909. Age- and gender-adjusted stepwise logistic regression analysis indicated that mutations G1727 [odds ratio (OR) = 18.3; 95% confidence interval (CI) = 2.8-118.4; p = 0.002], A1757/T1764/G1766 (OR = 4.7; 95% CI = 1.3-17.2; p = 0.01) and T1773(OR = 14.06; 95% CI = 2.3-84.8; p = 0.004) are independent predictors of HCC development. These results implicate novel individual and combination patterns of mutations in the X/precore region of HBV/D1 as predictors of HCC. Risk stratification based on these mutation complexes would be useful in determining high-risk patients and improving diagnostic and treatment strategies for HBV/D1.

Key words: hepatitis B virus, sequence analysis, genotype D, *X*-gene, point mutations, mutational complexes, hepatocellular carcinoma **Grant sponsor:** King Abdullah International Medical Research Center; **Grant number:** RR/010/01; **Grant sponsors:** Ministry of Health, Labor, and Welfare of Japan, Ministry of Education, Culture, Sports, Science, and Technology of Japan

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Correspondence to: Mohammed A. Al Balwi, Department of Pathology and Laboratory Medicine, King Abdullah International Medical Research Center, King Abdulaziz Medical City, P.O. Box 22490, Riyadh 11426, Kingdom of Saudi Arabia, Tel.: +966-1-252-0088, Fax: +966-1-252-0130, E-mail: balwim@ngha.med.sa

Approximately three billion people in the world are exposed to hepatitis B virus (HBV), of whom 350–400 million are persistently infected with it. The two primary clinical manifestations associated with chronicity of the disease are cirrhosis and hepatocellular carcinoma (HCC), either of which may lead to liver-related death. It is estimated that chronic HBV carriers have a 100-fold increased risk for developing HCC compared to noncarriers²; however, the incidence rates of developing HCC vary widely around the world.

HBV has been characterized into eight genotypes (A–H) based on a divergence over the entire genome of greater than 8%.³ Two new genotypes, I and J, have recently been reported and await international recognition.^{4,5} These HBV genotypes are known to have a distinct geographical distribution. Most studies on clinical outcome in relation to HBV

¹ King Abdullah International Medical Research Center, King Saud bin Abdulaziz University for Health Sciences, Riyadh, Saudi Arabia

² Department of Virology and Liver Unit, Graduate School of Medical Sciences, Nagoya City University, Nagoya, Japan

³ Department of Pathology and Laboratory Medicine, King Abdulaziz Medical City, Riyadh, Saudi Arabia

⁴ College of Medicine, King Saud bin Abdulaziz University for Health Sciences, Riyadh, Saudi Arabia

⁵ Department of Hepatobiliary Sciences and Liver Transplantation, King Abdulaziz Medical City, Riyadh, Saudi Arabia

⁶ Department of Gastroenterology, Military Hospital, Khamis Mushait, Saudi Arabia

⁷ Research Center for Hepatitis and Immunology, International Medical Center Konodai Hospital, Ichikawa, Japan

⁸ Department of Gastroenterology, King Abdulaziz Medical City, Riyadh, Saudi Arabia

What's new?

The accumulation of mutations in hepatitis B virus (HBV) over the course of long-term infection may increase its carcinogenicity, leading to the development of hepatocellular carcinoma (HCC). The combined effect of multiple mutations, however, has not been explored in detail. Here, novel mutations in the BCP and precore regions of HBV subgenotype D1 were associated with HCC in a study population in Saudi Arabia. The associations held for individual mutations and for combination patterns involving multiple mutations. The mutation complexes may help identify patients at high risk for HCC and could influence treatment strategies for HBV/D1.

genotypes have their origin in East Asia, having been conducted on patients infected with genotypes B and C. Genotype C is generally considered to be more virulent than genotype B.^{6,7} Reports are emerging that subgenotypes within a genotype may also differ in the capacity to induce HCC based on viral sequence variations and recombination.⁸

In addition to the HBV genotype, variations in the X/basal core promoter (BCP)/precore regions have been shown to affect viral functions in vitro. Many functional sequences of HBV genome, such as enhancer II (EnhII), BCP, X-terminal signal, start points of two pregenomic messenger RNA, poly A signal and Epsilon, lie within this region. 9 Nucleotide changes in this region are therefore presumed to have a high carcinogenic capability. 10-12 Most of the previous studies have focused on the evaluation of individual mutations or only the combined effect of BCP double mutation T1762/1764 with respect to the development of HCC rather than evaluating the combined effect of multiple mutations or pattern of combinations. 13,14 As mutations accumulate gradually during long-term HBV infection, it is better to evaluate the combined effect of multiple mutations, which is expected to impart greater stress on the liver leading to HCC.

HBV genotype D (HBV/D) is the most prevalent genotype in South and Central Asia and the Middle East. To date, Six subgenotypes of HBV/D (D1-D6) have been identified and are distributed throughout the world. The predominance of each subgenotype differs geographically and as such its role in the natural history of HBV infection may differ. It has been reported that genotype D is the most prevalent genotype in Saudi Arabia; however, there is no information about the prevalence of subgenotypes of HBV/D and their relation to advanced liver disease. Very few studies related HBV/D1 with severity of disease; however, these studies were hampered by a small sample size and unavailability of balanced clinical groups in comparison.

Our study was conducted on a cohort of HBV-infected patients in Saudi Arabia recruited from different hospitals in the Kingdom of Saudi Arabia (KSA). We examined HBV genotypes in these patients and analyzed the sequence variations in the EnhII/BCP/precore regions of HBV/D1 associated with the clinical course of the disease. Data on other viral factors, including viral load, HBeAg and antibodies against HBeAg (anti-HBe), were also included to analyze

their associations with sequence variations in HBV disease sequelae.

Material and Methods Patients

A total of 182 serum/plasma samples were obtained from chronic carriers of HBV [presence of HBsAg for >6 months and detection of antibody to hepatitis B core antigen (anti-HBc)] enrolled in different hospitals of KSA. The diagnosis of HCC was based on published guidelines for the diagnosis and management of HCC. 21,22 In brief, enhancement of a liver lesion during the arterial phase and contrast washout during the portal phase in patients with background cirrhosis was considered diagnostic of HCC. Computed tomography and magnetic resonance imaging were the imaging modalities used for diagnosis. Trucut biopsy or fine-needle aspiration was obtained only where considerable doubt existed after imaging studies. Chronic carriers of HBV infection, who had been regularly screened with imaging studies (showing no concerning lesion), performed 6 months apart for 12 months, along with normal α-fetoprotein (AFP) levels were selected as controls. The exclusion criteria for all patients were as follows: (i) coinfection with hepatitis C, human immunodeficiency virus or delta virus; (ii) coexistent autoimmune or metabolic liver disease; (iii) hepatotoxic medications in the preceding 3 months; (iv) another hepatobiliary malignancy; (v) alcohol consumption >20 g/day and (vi) organ transplantation.

Serological markers of infection

Serum samples collected at each hospital were tested for alanine aminotransferase and aspartate aminotransferase (AST), albumin levels and serology for HBeAg and anti-HBe using commercial kits (Abbott laboratories, Diagnostics Division, Abbott Park, IL 60064, USA). HBV genotypes were determined by enzyme-linked immunosorbent assay (ELISA) with monoclonal antibodies directed to distinct epitopes on the preS2-region (HBV GENOTYPE EIA; Institute of Immunology, Tokyo, Japan).

Extraction and quantification of HBV DNA

Total DNA was extracted from 200 µl of serum using a QIAmpDNA mini kit (Qiagen). Quantitative HBV DNA levels were measured by Abbott Real-Time HBV assay (Abbott