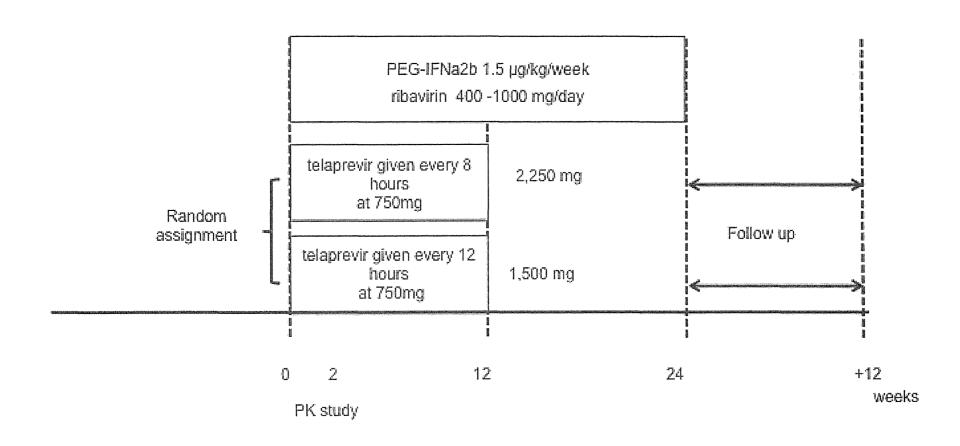
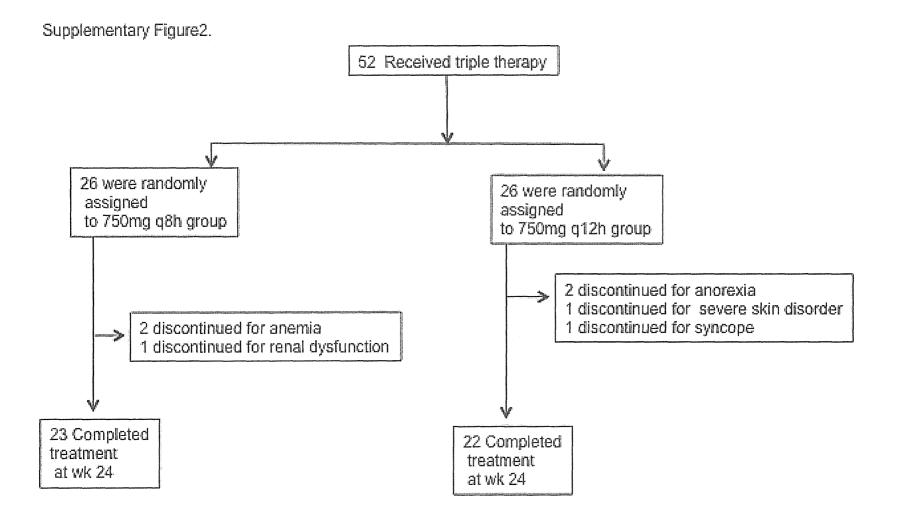
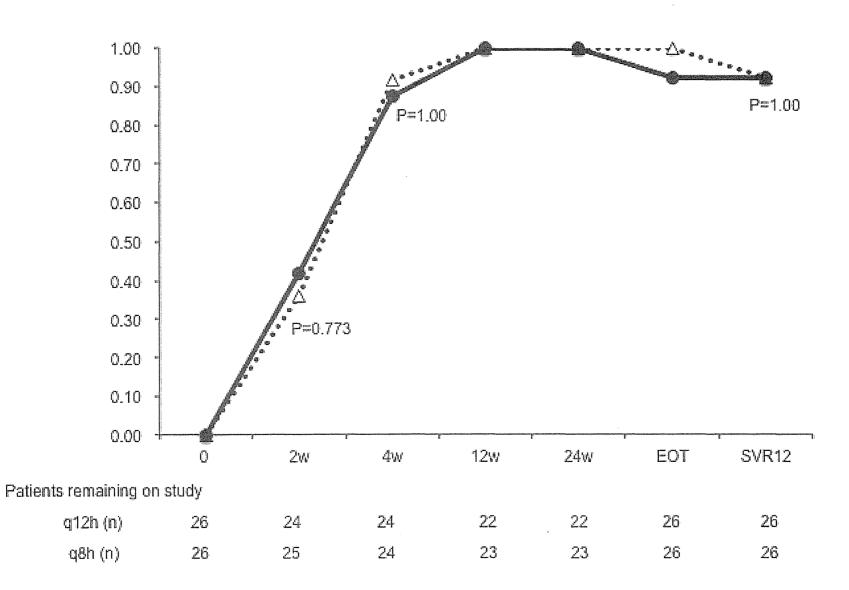
Supplementary Table 4. Rate of treatment completion without reduction or discontinuation.

Drug name	750mg q8h	750mg q12h	P-value
	group (n=26)	group (n=26)	
telaprevir	14 (53.8%)	20(76.9%)	0.09
ribavirin	3 (11.5%)	8(30.8%)	0.17
pegylated IFN	19 (73.1%)	20 (76.9%)	1.0

Supplementary Figure 1.







Impact of Virus Clearance for the Development of Hemorrhagic Stroke in Chronic Hepatitis C

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The aim of this retrospective cohort study was to assess the cumulative incidence and predictive factors for intracerebral hemorrhagic stroke after the termination of interferon (IFN) therapy in Japanese patients with hepatitis C virus (HCV). A total of 4,649 HCV-positive patients treated with IFN were enrolled. The primary goal is the first onset of intracerebral hemorrhagic stroke. The mean observation period was 8.0 years. Evaluation was performed using the Kaplan-Meier method and the Cox proportional hazard model. A P-value of less than 0.05 was considered statistically significant. A total of 28 developed intracerebral hemorrhagic stroke. The cumulative incidence of intracerebral hemorrhagic stroke was 0.3% at 5 years, 0.8% at 10 years, and 1.7% at 15 years. Intracerebral hemorrhagic stroke occurred when patients had age increments of 10 years (hazard ratio: 2.77; 95% confidence interval (CI) 1.48-5.18; P = 0.001), hypertension (hazard ratio: 2.30; 95% CI 1.09-4.83; P = 0.021), liver cirrhosis (hazard ratio: 4.50; 95% Cl 2.07-9.78; P < 0.001), and HCV non-clearance (hazard ratio: 3.22; 95% Cl 1.22-8.53; P = 0.018). On the intracerebral hemorrhagic stroke based on the difference of liver fibrosis and efficacy of IFN therapy, HCV clearance reduced to 24.3% (1/ 4.11) compared to HCV non-clearance in cirrhotic patients (P=0.040). In conclusion, HCV clearance reduced the development of intracerebral hemorrhagic stroke. In particular, HCV clearance reduced intracerebral hemorrhagic stroke to about one-fourth in cirrhotic patients. J. Med. Virol. 86:169-175, 2014.

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KEY WORDS: hepatitis C virus; interferon

therapy; hemorrhagic stroke

INTRODUCTION

There are 170 million people affected with chronic hepatitis C virus (HCV) infection worldwide, which may cause an insidiously progressive form of liver disease that relentlessly but silently progresses to cirrhosis in 20-50% of cases over a period of 10-30 years [Kiyosawa and Furuta, 1991; Alter et al., 1992]. In addition, HCV is a major risk for hepatocellular carcinoma (HCC) [Hasan et al., 1990; Kew et al., 1990; Ikeda et al., 1993; Tsukuma et al., 1993; Arase et al., 2012]. In addition, several authors have reported that HCV clearance decreases the rate of fibrosis progression and the development of HCC in patients with chronic HCV infection [Kasahara et al., 1998; Yoshida et al., 2002; Arase et al., 2013].

On the other hand, hemorrhagic stroke is a medical emergency and can cause permanent neurological damage and death [Truelsen et al., 2003; Iso et al., 2007; Donnan et al., 2008]. It is becoming a great health burden in most countries. However, there is a little information on the incidence and risk factors on the incidence of hemorrhagic stroke in HCV patients treated with interferon (IFN). Furthermore, it is not clear whether the HCV clearance is useful for

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Abbreviations: ALT, alanine aminotransferase; AST, aspartate aminotransferase; BMI, body mass index; CI, confidence interval; CT, computed tomography; GGT, gamma-glutamyltransferase; HbA_{1C} , hemoglobin A_{1C} ; HCV, hepatitis C virus; HDL, high density lipoprotein; IFN, interferon; LDL, low density lipoprotein

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170 Arase et al.

reducing the development of hemorrhagic stroke in HCV patients.

With this background in mind, the present retrospective cohort study was initiated to investigate the cumulative incidence and risk factors of cerebral stroke after prolonged follow-up in HCV patients treated with IFN. The strengths of the current study are the large numbers of patients included and the long-term follow-up of patients.

PATIENTS AND METHODS

Patients

The number of patients who were diagnosed with chronic HCV infection and treated for the first time with IFN monotherapy or combination therapy between September 1990 and May 2010 in the Department of Hepatology, Toranomon Hospital, Tokyo, Japan was 7,635. Of these, 4,649 patients satisfied with the following enrolled criteria: (1) features of chronic hepatitis or cirrhosis diagnosed via laparoscopy and/or liver biopsy within 1 year before the initiation of IFN therapy; (2) positivity for serum HCV-RNA before the initiation of IFN therapy; (3) period of ≥ 1 month to ≤ 1 year of IFN therapy; (4) negativity for hepatitis B surface antigens (HBsAg), antibody to hepatitis B core, or antimitochondrial antibodies in serum, as determined by radioimmunoassay, enzyme-linked immunosorbent assay or indirect immunofluorescence assay; (5) age of \geq 30 to \leq 80 years; and (6) no autoimmune systemic disease, such as systemic lupus erythematosus or rheumatic arthritis. Patients with either of the following criteria were excluded from the study: (1) they had illnesses that could seriously reduce their life expectancy; (2) they had a history of coronary and/or cerebrovascular disease; (3) they had a history of carcinogenesis; and (4) they had been given anticoagulant and antiplatelet drugs.

The primary outcome is the first development of hemorrhagic stroke. Hemorrhagic stroke was regarded as intracerebral hemorrhagic stroke in the present study. Thus, patients with subarachnoid hemorrhagic stroke or subdural hematoma were excluded from analyses. The development of hemorrhagic stroke was diagnosed by clinical symptoms and imaging (computed tomography and/or magnetic resonance imaging) based on the World Health Organization definition [Truelsen et al., 2003; Iso et al., 2007; Donnan et al., 2008]. All of the studies were performed retrospectively by collecting and analyzing data from the patient records. The physicians in charge explained the purpose, method, and side effect of IFN therapy to each patient and/or patients' family. In addition, the physicians in charge got permission of serum stores and future uses of stored serum. Informed consent for IFN therapy and future uses of stored serum was obtained from all patients. This study had been approved by Institutional Review Board of our hospital.

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Medical Evaluation

Body weight was measured in light clothing and without shoes to the nearest 0.1 kg. Height was measured to the nearest 0.1 cm. Height and weight were recorded at baseline, and the body mass index (BMI) was calculated as kg/m². All patients were interviewed by physicians or nurse staff in the Toranomon Hospital using a questionnaire that gathered information on demographic characteristics, medical history, and health-related habits including questions on alcohol intake and smoking history.

Hemoglobin A_{1C} (Hb A_{1C}) was estimated as National Glycohemoglobin Standardization Program equivalent value (%) and fasting plasma glucose [American Diabetes Association, 2010]. Patients were defined as having type 2 diabetes mellitus when Hb A_{1C} level was $\geq 6.5\%$ and/or fasting plasma glucose level was ≥ 126 mg/dl. Patients were defined as hypertensive when blood pressure was $\geq 140/90$ mmHg or pharmacological treatment for high blood pressure was given. Smoking index (package per day × year) and total alcohol intake were evaluated by the sum of before, during, and after the IFN therapy.

Laboratory Investigation

Diagnosis of HCV infection was based on detection of serum HCV antibody and positive RNA. Anti-HCV was detected using an enzyme-linked immunosorbent assay (ELISA II) (Abbott Laboratories, North Chicago, IL). HCV-genotype was examined via polymerase chain reaction assay, using a mixture of primers for the six subtypes known to exist in Japan, as reported [Dusheiko et al., 1994]. HCV-RNA was determined by the COBAS TaqMan HCV test (Roche Diagnostics, Basel, Switzerland). The serum samples stored at -80°C before IFN therapy were used. The linear dynamic range of the assay was 1.2-7.8 log IU/ ml, and the undetectable samples were defined as negative. A HCV clearance was defined as clearance of HCV RNA using the COBAS TaqMan HCV test 6 months after the cessation of IFN therapy.

Evaluation of Liver Cirrhosis

Status of liver was mainly determined on the basis of peritoneoscopy and/or liver biopsy. Liver biopsy specimens were obtained using a modified Vim Silverman needle with an internal diameter of 2 mm (Tohoku University style, Kakinuma Factory, Tokyo, Japan), fixed in 10% formalin, and stained with hematoxylin and eosin, Masson's trichrome, silver impregnation, and periodic acid-Schiff after diastase digestion. The size of specimens for examination was more than six portal areas [Desmet et al., 1994].

Follow-Up

The observation starting point was 6 months after the termination of IFN therapy. After that, patients were followed up at least twice a year in our hospital. Biochemical tests were conducted at each examination together with regular check-up. Four hundred fifty patients were lost to follow-up. The final date of follow-up in 452 patients with loss of follow-up was regarded as last consulting day.

Patients with either of the following criteria during follow-up were regarded as censored data in statistical analysis [Fleming et al., 1984]: (1) they were retreated with IFN (N=949); (2) they had new onset of carcinogenesis (N=645); and (3) they had been given anticoagulant and antiplatelet drugs (N=28). The final date of follow-up in these patients with censored data was regarded as the time of the initiation of criteria described above. The mean follow-up period was 6.7 [standard deviation (SD) 4.3] years in 452 patients with loss of follow-up and 7.4 (SD 4.7) years in 1,722 patients who had censored data. Patients with loss of follow-up and censored data were counted in the analysis.

Statistical Analysis

Clinical differences between patients with hemorrhagic stroke and those without events were evaluat-

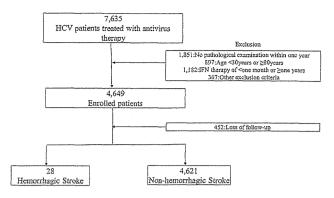


Fig. 1. An algorithm of the study population.

ed using Mann-Whitney test. The cumulative incidence of hemorrhagic stroke were calculated by using the Kaplan-Meier technique, and differences in the curves were tested using the log-rank test [Kaplan and Meier, 1958; Harrington and Fleming, 1983]. Independent risk factors associated with hemorrhagic stroke were studied using the stepwise Cox regression analysis [Cox, 1972]. The following

TABLE I. Clinical Backgrounds at the Initiation of Follow-Up in Enrolled Patients

	Total	Hemorrhagic stroke group	Without events group	P-value
N	4,649	28	4,621	
Age (years)	51.9 ± 11.8	60.4 ± 6.7	51.8 ± 11.9	< 0.001
Gender (M/F)	2,966/1,883	16/12	2,950/1,871	0.781
Height (cm)	163.1 ± 9.2	159.5 ± 9.4	163.2 ± 9.2	0.171
Weight (kg)	61.4 ± 12.8	57.9 ± 8.0	61.4 ± 12.7	0.113
BMI	22.7 ± 3.1	23.4 ± 2.8	22.7 ± 3.1	0.582
BP (systolic, mmHg)	128 ± 18	140 ± 20	127 ± 18	0.007
BP (diastolic, mmHg)	77 ± 13	86 ± 15	77 ± 13	0.001
Total alcohol intake (kg) ^a	95 ± 92	148 ± 105	94 ± 92	0.002
Smoking index ^a	6.5 ± 9.5	11.8 ± 12.4	6.4 ± 9.4	< 0.001
AST (IU/L)	41 ± 43	48 ± 28	41 ± 43	< 0.001
ALT (IU/L)	44 ± 53	53 ± 38	43 ± 52	0.004
GGT (IU/L)	53 ± 60	59 ± 47	52 ± 61	0.078
Albumin (g/dl)	4.0 ± 0.3	3.5 ± 0.4	4.0 ± 0.3	0.110
Triglyceride (mg/dl)	101 ± 52	108 ± 46	100 ± 52	0.097
Cholesterol (mg/dl)	170 ± 31	171 ± 27	170 ± 31	0.893
HDL-C (mg/dl)	48 ± 14	45 ± 12	48 ± 14	0.002
LDL-C (mg/dl)	104 ± 29	108 ± 37	103 ± 29	0.049
Fasting plasma glucose (mg/dl)	99 ± 22	103 ± 23	100 ± 22	0.093
HbA_{1C} (%)	5.7 ± 1.1	5.9 ± 1.2	5.7 ± 1.1	0.024
Platelet ($\times 10^4/\text{mm}^3$)	17.2 ± 5.2	14.1 ± 6.2	17.3 ± 5.4	0.001
Staging (cirrhosis/non-cirrhosis) ^b	485/4,164	12/16	473/4,148	< 0.001
HCV genotype (1b/2a/2b/other) ^b	2,859/1,109/497/184	22/5/1/0	2,837/1,104/496/184	0.104
HCV RNA (log IU/ml) ⁶	6.07 ± 1.05	6.03 ± 1.03	6.08 ± 1.05	0.387
IFN monotherapy/combination therapy ^c	3,000/1,649	24/4	2,976/1,645	< 0.001
Efficacy (HCV; clearance/non-clearance)	2,103/2,546	5/23	2,098/2,523	0.006

ALT, alanine aminotransferase; AST, aspartate aminotransferase; BMI, body mass index; BP, blood pressure; GGT, gamma-glutamyl-transferase; HbA_{1C} ; $hemoglobin\ A_{1C}$; h

^aSmoking index is defined as package per day x year; total alcohol intake and smoking index indicate the sum before and after first consultation.

consultation.
bValue before IFN treatment.

Outbreak of IFN monotherapy: recombinant IFN alpha 2a, 238 cases; recombinant IFN alpha 2b, 183 cases; natural IFN alpha, 1,750 cases; natural IFN beta, 750 cases; total dose of IFN = 554 ± 164 MU. Outbreak of peg IFN monotherapy: peg IFN alpha 2a, 93 cases, total dose of peg IFN = 7.54 ± 2.20 mg.

Outbreak of combination therapy: recombinant IFN alpha 2b+ribavirin, 335 cases, total dose of IFN = $508\pm184\,\mathrm{MU}$, total dose of ribavirin = $160\pm68\,\mathrm{g}$; natural IFN beta+ribavirin, 127 cases, total dose of IFN = $502\pm177\,\mathrm{MU}$, total dose of ribavirin = $155\pm67\,\mathrm{g}$; peg IFN alpha 2b+ribavirin, 1,173 cases, total dose of peg IFN = $4.12\pm1.10\,\mathrm{mg}$, total dose of ribavirin = $205\pm58\,\mathrm{g}$.

172 Arase et al.

variables were analyzed for potential covariates for incidence of primary outcome: (1) age, gender, type 2 diabetes mellitus, hypertension, BMI at the initiation time of follow-up, (2) HCV genotype, HCV load, and hepatic fibrosis before IFN therapy, (3) average value of aspartate aminotransferase (AST), alanine aminotransferase (ALT), triglyceride, total cholesterol, high density lipoprotein (HDL) cholesterol, low density lipoprotein (LDL) cholesterol, and platelet during follow-up, (4) sum value of smoking and alcohol before, during, and after the IFN therapy, (5) efficacy of IFN therapy, combination of ribavirin, type of IFN, and total dose of IFN. A P-value of less than 0.05 was considered statistically significant. Data analysis was performed using SPSS 11.5 for Windows (SPSS, Chicago, IL).

RESULTS

Patients Characteristics

Figure 1 shows the algorithm of the study population. For the mean observation period of 8.0 years, 28 of 4,649 patients developed hemorrhagic stroke. Table I shows the baseline characteristics of the

enrolled 4,649 patients at the initiation of follow-up. The patients are divided into two groups of patients with hemorrhagic stroke and without event. There are significant differences in several baseline characteristics between the two groups. The HCV clearance rate was 34.7% (1,042/3,000) in IFN monotherapy and 64.3% (1,061/1,649) in combination therapy of IFN and ribavirin. Thus, the number of patients with HCV clearance was 2,103. The mean follow-up was 8.0 (SD 5.0) years. The 28-day vascular disease-related mortality rate was 33% (10/28) in hemorrhagic stroke.

Predictive Factors for the Development of Intracerebral Hemorrhagic Stroke

The cumulative incidence of intracerebral hemorrhagic stroke was 0.3% at 5 years, 0.8% at 10 years, and 1.7% at 15 years (Fig. 2A). The factors associated with the development of intracerebral hemorrhagic stroke are shown in Table II. Intracerebral hemorrhagic stroke occurred when patients had age increments of 10 years [hazard ratio: 2.77; 95% confidence interval (CI) 1.48–5.18; P=0.001], hypertension

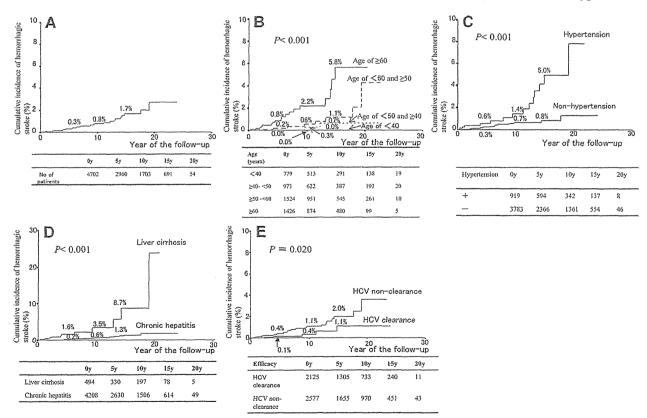


Fig. 2. Panel A: Cumulative development rate of intracerebral hemorrhagic stroke in total HCV patients treated with IFN therapy. Panel B: Cumulative development rate of intracerebral hemorrhagic stroke based on difference of age. Panel C: Cumulative development rate of ischemic stroke based on the difference of blood pressure. Panel D: Cumulative development rate of intracerebral hemorrhagic stroke based on difference of liver fibrosis. Panel E: Cumulative development rate of intracerebral hemorrhagic stroke based on difference of interferon efficacy.

TABLE II. Predictive Factors for the Development of Intracerebral Hemorrhagic Stroke

	Univariate an	alysis	Cox regression	
Variables	HR (95% CI)	P-value	HR (95% CI)	P-value
Age (years, per 10)	3.55 (1.96–6.43)	< 0.001	2.77 (1.48–5.18)	0.001
Gender (M/F)	1.26 (0.65-2.44)	0.334		
BMI (≥22/<22)	0.97 (0.75 - 1.24)	0.767		
Diabetes (+/-)	3.40 (1.26-9.15)	0.015		
Hypertension $(+/-)$	4.07 (1.94-8.54)	< 0.001	2.30 (1.09-4.83)	0.021
Smoking index $(\geq 20/<20)^a$	2.12 (0.95-4.76)	0.068		
Total alcohol intake $(kg, \geq 200/<200)^a$	1.10(0.53-4.37)	0.138		
AST (IU/L, $\geq 34/<34$)	2.79 (1.17-6.66)	0.020		
ALT (IU/L, $\geq 36/<36$)	2.68 (1.14-6.29)	0.023		
GGT (IU/L, $\geq 109 / < 109$)	1.28 (0.610-1.89)	0.655		
Albumin (g/dl, $<3.9/\ge3.9$)	2.96 (1.24–7.09)	0.015		
Triglyceride (mg/dl, ≥100/<100)	1.19 (0.83–1.49)	0.283		
Total cholesterol (mg/dl, <150/≥150)	1.06 (0.48-1.91)	0.936		
$HDL-C (mg/dl, \geq 40/<40)$	0.96 (0.38-2.50)	0.960		
LDL-C (mg/dl, $\geq 120/<120$)	$0.81 \ (0.50-2.51)$	0.572		
Platelet ($\times 10^4/\text{mm}^3$, $<15/\geq 15$)	3.22(1.41-7.35)	0.005		
Histological diagnosis (cirrhosis/non-cirrhosis)	7.40 (3.30–16.77)	< 0.001	4.50 (2.07–9.78)	< 0.001
Combination of ribavirin (+/-)	0.80 (0.25-2.54)	0.701		
Type of IFN (α/β)	1.29 (0.65–2.33)	0.116		
Total dose of IFN (MU, $\geq 500/<500$)	0.87 (0.39–1.99)	0.744		
HCV genotype (1/2)	1.53 (0.62–3.80)	0.360		
HCV RNA ($\log IU/ml$, $\geq 5/<5$)	1.35 (1.02–1.79)	0.035		
Efficacy (HCV: non-clearance/clearance)	2.98 (1.13–6.59)	0.020	$3.22\ (1.22 - 8.53)$	0.018

ALT, alanine aminotransferase; AST, aspartate aminotransferase; BMI, body mass index; CI, confidence interval; GGT, gamma-glutamyltransferase; HCV, hepatitis C virus; IFN, interferon.

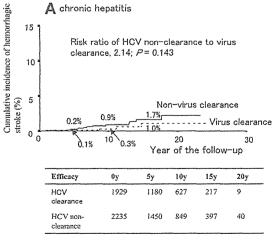
aSmoking index is defined as package per day × year; total alcohol intake and smoking index indicate the sum before and after first

consultation.

(hazard ratio: 2.30; 95% CI 1.09-4.83; P = 0.021), liver cirrhosis (hazard ratio: 4.50; 95% 2.07-9.78; P < 0.001), and HCV non-clearance (hazard ratio: 3.22; 95% CI 1.22–8.53; P = 0.018). Figure 2B–E shows the cumulative incidence of hemorrhagic stroke based on difference of age, blood pressure, liver fibrosis, and efficacy of IFN therapy.

Hemorrhagic Stroke Based on the Difference of Liver Fibrosis and Efficacy

Figure 3A,B shows the cumulative incidence of intracerebral hemorrhagic stroke based on the difference of liver fibrosis and efficacy of IFN therapy. As shown in Figure 3B, HCV clearance reduced



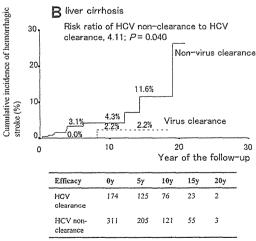


Fig. 3. Panel A: Cumulative development rate of intracerebral hemorrhagic stroke based on difference of efficacy after interferon treatment in HCV patients with chronic hepatitis. Panel B: Cumulative development rate of intracerebral hemorrhagic stroke based on the difference of efficacy after interferon treatment in HCV patients with liver cirrhosis.

TABLE III, Comparison in Clinical Backgrounds Between HCV Clearance and HCV Non-Clearance in Patients With Liver Cirrhosis

	HCV clearance group	HCV non-clearance group	P-value
N	174	311	***************************************
Age (years)	56.7 ± 9.6	57.0 ± 9.9	0.721
Gender (M/F)	108/66	184/127	0.562
BMI	23.8 ± 3.7	23.6 ± 3.5	0.479
BP (systolic, mmHg)	132 ± 18	131 ± 17	0.791
BP (diastolic, mmHg)	80 ± 11	79 ± 12	0.775
Total alcohol intake (kg) ^a	112 ± 97	128 ± 101	0.057
Smoking index ^a	6.2 ± 10.7	5.9 ± 10.2	0.129
AST (IŪ/L)	33 ± 20	73 ± 47	< 0.001
ALT (IU/L)	34 ± 28	79 ± 61	< 0.001
GGT (IU/L)	24 ± 26	61 ± 65	< 0.001
Albumin (g/dl)	3.7 ± 0.4	3.5 ± 0.4	0.149
Triglyceride (mg/dl)	110 ± 47	104 ± 45	0.243
Cholesterol (mg/dl)	157 ± 29	161 ± 31	0.373
HDL-C (mg/dl)	42 ± 12	45 ± 12	0.257
LDL-C (mg/dl)	96 ± 26	95 ± 30	0.748
Fasting plasma glucose (mg/dl)	104 ± 22	109 ± 26	0.085
HbA_{1C} (%)	5.7 ± 1.2	6.0 ± 1.3	0.024
Platelet (×10 ⁴ /mm ³)	14.1 ± 6.2	17.3 ± 5.4	0.097
HCV genotype (1b/2a/2b/other) ^b	75/72/24/3	209/54/15/33	< 0.001
HCV RNA (log IU/ml) ^b	5.32 ± 1.12	6.38 ± 1.00	< 0.001
IFN monotherapy/combination therapy ^c	110/64	232/79	0.012

Data are number of patients or mean \pm standard deviation, ALT, alanine aminotransferase; AST, aspartate aminotransferase; BMI, body mass index; BP, blood pressure; GGT, gamma-glutamyltransferase; HbA_{1C}, hemoglobin A_{1C}; HCV, hepatitis C virus; HDL, high density lipoprotein; IFN, interferon. a Smoking index is defined as package per day \times year; total alcohol intake and smoking index indicate the sum before and after first

Value before IFN treatment.

Value before IFN measurem. "Outbreak of IFN monotherapy: natural IFN alpha, 252 cases; natural IFN beta, 90 cases; total dose of IFN = 518 ± 156 MU. Outbreak of combination therapy: natural IFN beta + ribavirin, 41 cases, total dose of IFN = 490 ± 171 MU, total dose of ribavirin = 151 ± 64 g; peg IFN alpha 2b + ribavirin, 102 cases, total dose of peg IFN $= 3.96 \pm 1.03$ mg, total dose of ribavirin $= 188 \pm 51$ g.

hemorrhagic stroke to one-fourth in cirrhotic patients. Table III shows the clinical backgrounds between HCV clearance and HCV non-clearance in patients with liver cirrhosis. There are significant differences in AST, ALT, GGT, HCV genotype, HCV RNA, and HbA1C between HCV clearance group and HCV non-clearance group. However, there are no significant differences in age and hypertension between HCV clearance group and HCV non-clearance group.

DISCUSSION

The incidence of hemorrhagic stroke after the termination of IFN therapy in HCV patients has been described in the present study. The strengths of the present study are a prolonged follow-up in the large numbers of patients included.

The present study shows several findings with regard to the cumulative incidence and predictive factors for hemorrhagic stroke after IFN therapy for HCV patients. First, intracranial hemorrhagic stroke occurred significantly when patients had advanced age of \geq 60 years, hypertension, liver cirrhosis, and HCV non-clearance. Several authors have reported that the most common risk factor for hemorrhagic stroke is aging, high levels of blood pressure [Turin et al., 2010; O'Donnell et al., 2010; Naidech, 2011; Cervera et al., 2012]. In addition, antiplatelet and

anticoagulant medications also increase the risk of hemorrhagic stroke [Cervera et al., 2012]. Our results evaluated hemorrhagic stroke in HCV patients agreed with these reports concerning aging and hypertension.

Second, HCV clearance reduced hemorrhagic stroke to about one-fourth in cirrhotic patients. In general, patients with advanced liver fibrosis have often the hemorrhagic tendency due to prothrombin deficit and platelets diminution. Thus, our result suggests that the HCV clearance prevent the aggravation of prothrombin deficit and platelets diminution. Our previous reports have indicated that HCV clearance reduces type 2 diabetes mellitus [Arase et al., 2009], bone fracture [Arase et al., 2010], and chronic kidney disease [Arase et al., 2011]. In the present study, HCV clearance reduced the incidence of intracerebral hemorrhagic stroke. In particular, HCV clearance reduced intracerebral hemorrhagic stroke to about one-fourth in cirrhotic patients.

A hemorrhagic stroke is the rapid loss of brain function due to hemorrhage. As a result, a hemorrhagic stroke is a medical emergency and can cause permanent neurological damage and death. Recently, the life span has been long in Japan. Thus, in near the future, a large number of patients with HCV will be >60 years of age. A hemorrhagic stroke might be increasing in HCV positive patients in aging society. Our results show that physicians in charge of HCV

patients with hypertension, liver cirrhosis, and HCV non-clearance should be noted the development of hemorrhagic stroke.

The present study was limited by a retrospective cohort trial. Another limitation of the study was that patients were treated with different types of antivirus therapy for different duration. In addition, these patients were treated with different types of drugs for diabetes, hypertension, and dyslipidemia during follow-up. Finally, our cohort contains Japanese subjects only. On the other hand, the strengths of the present study are a long-term follow-up in the large numbers of patients included.

In conclusion, HCV clearance reduced hemorrhagic stroke to about one-fourth in cirrhotic patients.

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Correlation Between Hepatitis B Virus Surface Antigen Level and Alpha-Fetoprotein in Patients Free of Hepatocellular Carcinoma or Severe Hepatitis

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Alfa-fetoprotein (AFP) is used as a marker of early hepatocarcinogenesis. However, the impact of hepatitis B virus surface antigen (HBsAg) on this relationship in patients with HBV infection is not clear. The present study evaluated the relation between HBsAg and AFP levels at the initial visit in 1,610 untreated HBV patients, free of hepatocellular carcinoma (HCC) or severe hepatitis. The cumulative rate of HCC was significantly lower in patients with a low AFP level (≤10 µg/L; below the upper limit of normal) than in those with a high AFP level (≥11 µg/L) at the initial visit. In patients with HBsAg levels more than 500 IU/ml, HBsAg levels correlated significantly and negatively with AFP levels, and significantly with platelet count. Multivariate analysis of data of patients with HBsAg more than 500 IU/ml identified HBsAg (<7,000 IU/ml), albumin (<3.9 g/dl), platelet count ($<20.0 \times 10^4$ /mm³), gamma-glutamyl transpeptidase (≥50 IU/L), aspartate aminotransferase (≥34 IU/L), HBeAg (positive), and HBV core-related antigen (≥3.0 log U/ml) as determinants of a high AFP. Especially, in patients with HBsAg more than 500 IU/ml and low transaminase levels (below the upper limit of normal), HBsAg was identified as significant determinant of a high AFP. On the other hand, in patients with HBsAg less than 500 IU/ml, multivariate analysis identified albumin, gamma-glutamyl transpeptidase, and HBV core-related antigen as determinants of a high AFP. The results indicated that HBsAg level seems to affect, at least in part, the AFP levels, and that it can be used as a surrogate marker of early hepatocarcinogenesis. J. Med. Virol. 86:131-138, 2014. © 2013 Wiley Periodicals, Inc.

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KEY WORDS: HBV; AFP; HBsAg; HBcrAg; genotype; hepatocellular carcinoma

INTRODUCTION

Hepatitis B virus (HBV) is a small, enveloped DNA virus known to cause chronic hepatitis and often leads to liver cirrhosis and hepatocellular carcinoma (HCC) [Viola et al., 1981; Kobayashi et al., 2002; Yao, 2003]. Evidence suggests that the use of elevated alpha-fetoprotein (AFP) for the prediction of early hepatocarcinogenesis in non-HCC patients could be clinically useful. AFP is a fetal glycoprotein produced by the yolk sac and fetal liver [Bergstrand and Czar, 1956] and has been widely used as a serum marker for the diagnosis of HCC [Sato et al., 1993; Johnson, 2001]. Furthermore, high serum AFP levels are also associated with various chronic liver diseases and hepatic regeneration [Kew et al., 1973; Silver et al., 1974; Elftherious et al., 1977; Alpert and Feller, 1978]. Many patients with chronic hepatitis B who are free of HCC have high AFP levels [Chen and Sung, 1979; Di Bisceglie and Hoofnagle, 1989], and some patients with cirrhosis and concomitant high

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inflammatory activity have very high AFP levels [Yao, 2003; Cheema et al., 2004]. On the other hand, some patients with small HCC lesions have only moderately elevated levels of AFP [Shinagawa et al., 1984; Ebara et al., 1986; Bruix and Sherman, 2005]. At present, however, there are no cutoff levels for serum AFP used to predict HCC in patients with HBV infection.

There is growing interest in the use of hepatitis B surface antigen (HBsAg) level as a prognostic marker in chronic hepatitis B patients [Chan et al., 2010]. The HBsAg levels are useful for identifying the stage of disease [Jaroszewicz et al., 2010; Nguyen et al., 2010], to distinguish true inactive carriers from patients with HBe antigen-negative disease [Brunetto et al., 2010; Martinot-Peignoux et al., 2010; Chan et al., 2011; Liaw, 2011], and to predict the response to interferon therapy [Brunetto et al., 2009; Moucari et al., 2009]. Recent studies has also demonstrated that the HBsAg levels are associated with the risk of progression to HCC, especially in patients with low HBV DNA levels [Chan, 2012; Tseng et al., 2012], and that there is a potential correlation between the HBsAg levels and the stage of liver fibrosis [Seto et al., 2012; Martinot-Peignoux et al., 2013]. However, the impact of viral factors, such as the HBsAg level, on serum AFP level as a predictor of early HCC is not clear at present.

The present study included 1,610 untreated patients with HBV infection, free of HCC or severe hepatitis. The present study was designed to provide answers to the following questions: (1) what is the relation between a high serum AFP level at the initial outpatient visit and subsequent development of hepatocarcinogenesis in antiviral-therapy-naive patients with hepatitis B viral infection? (2) What is the impact of viral factors, such as the HBsAg level, on serum AFP level in such patients, and (3) What is a good surrogate marker for a high serum AFP at the initial visit.

PATIENTS AND METHODS

Patients

Among 6,466 consecutive patients who were diagnosed with HBV infection between March 1972 and December 2012 at Toranomon Hospital, 1,610 were selected in the present study based on the following criteria: (1) They were positive for HBsAg (radioimmunoassay, Dainabot, Tokyo, Japan) and negative for anti-HCV (third-generation enzyme immunoassay, Chiron, CA). (2) They were free of HCC at the initial visit. (3) HBV hepatitis was assessed as less than severe at the initial visit, in order to minimize the potential effects of high inflammatory activity. Severe hepatitis was defined as serum transaminase level of \geq 300 IU/L, and/or total bilirubin level of \geq 3.0 mg/dl. (4) They had not received antiviral therapy in the past (e.g., interferon and/or nucleot(s)ide analogs) at the initial visit. (5) They underwent examination of the AFP level (upper limit of normal, 10 µg/L) at the initial visit. Furthermore, the HBsAg level, HBV core-related antigen (HBcrAg) level, and HBV DNA were also assayed using stored frozen sera obtained at the initial visit. (6) They were free of coinfection with human immunodeficiency virus. (7) They were free of other types of chronic liver disease, including hemochromatosis, Wilson disease, primary biliary cirrhosis, alcoholic liver disease, autoimmune liver disease, inherited liver disease including alpha-1 antitrypsin deficiency, and hepatic venous outflow block. (8) They consented to the study.

Table I summarizes the profile and laboratory data at the initial visit of the 1,610 patients included in the present study. They included 1,047 males and 563 females, with a median age of 40 years (range: 18–83 years). The median AFP level was $4\,\mu g/L$ (range, 1–1,770 $\mu g/L$) and the median follow-up time (from the initial visit until the last visit) was 6.0 years (range, 0.0–34.6 years). The study protocol was approved by the Human Ethics Review Committee of Toranomon Hospital.

Laboratory Tests

HBsAg, HBcrAg, and HBV DNA levels were assayed using stored frozen sera obtained at the initial visit. Blood samples were frozen at -80°C within 4 hr of collection and were not thawed until used for testing. Serum HBsAg level was measured using Architect HBsAg QT assay kit (Abbott Laboratories, Tokyo, Japan), which has a lower limit of detection of

TABLE I. Profiles and Laboratory Data at the Initial Visit of 1,610 Patients Infected With HBV

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Demographic data	
Number of patients	1,610
Sex (male/female)	1,047/563
Age (years)*	40 (18–83)
Family history of liver disease ^a	836 (51.9%)
Lifetime cumulative alcohol	112 (7.0%)
intake (≥500 kg)	
Laboratory data*	
Total bilirubin (mg/dl)	$0.6 \ (0.1 - 2.9)$
Aspartate aminotransferase (IU/L)	37 (5–220)
Alanine aminotransferase (IU/L)	48 (5–297)
Albumin (g/dl)	4.2(1.0-5.6)
Gamma-glutamyl transpeptidase	37 (2–2,370)
(IU/L)	
Hemoglobin (g/dl)	14.5 (6.9–18.2)
Platelet count (×104/mm ³)	19.1 (2.7–44.7)
Alpha-fetoprotein (μg/L)	4 (1-1,770)
Virological data	//
HBeAg (No. of positive)	690 (42.9%)
HBsAg (IU/ml)*	2,845
TTD 4 (1 TT/ 1)*	(0.09 to > 125,000)
HBcrAg (log U/ml)*	4.9
11D11 D114 (1 ' / D*	(<3.0 to >6.8)
HBV DNA (log copies/ml)*	5.7
TIDIT (A /D/C/-+l/NID)	(<2.1 to >9.1)
HBV genotype (A/B/C/others/ND)	65/218/1,119/6/202

Data are number and percentages of patients, except those denoted by $\dot{}$, which represent the median (range) values.

by *, which represent the median (range) values.

aFamily history of positivity for hepatitis B surface antigen including third-degree relatives.

HBsAg and AFP

0.05 IU/ml and upper limit of detection of 250 IU/ml. To expand the upper range from 250 to 125,000 IU/ml, serum samples with the HBsAg levels above the upper range were diluted in a stepwise fashion to 1:20 and 1:500 with Architect diluents using the information supplied by the manufacturer. HBeAg was determined by enzyme-linked immunosorbent assay kit (HBeAg EIA; Institute of Immunology, Tokyo, Japan). Serum HBcrAg level was measured using a Cleia HBcrAg assay kit (Fujirebio, Tokyo, Japan) using a fully automated analyzer system (Lumipulse System; Fujirebio). The cut-off value of HBcrAg was 3.0 log U/ml. HBV DNA was quantified using the Cobas TaqMan HBV v.2.0 (Roche Diagnostics, Tokyo, Japan), which has a dynamic range of 2.1–9.0 log copies/ml.

A commercial kit (HBV Genotype EIA; Institute of Immunology) was used to determine serologically the HBV genotypes using the combination of epitopes expressed on the pre-S2 region product, which is specific to each of the major genotypes.

Follow-Up and Diagnosis of Future Hepatocellular Carcinoma

After the initial visit, patients were followed-up once or three times a month. Imaging studies (ultrasonography, computed tomography, or magnetic resonance imaging) were conducted once or more per year.

Statistical Analysis

Non-parametric tests (Mann-Whitney U-test, chisquared test and Fisher's exact probability test) were used to compare differences between two groups. Correlation analysis was evaluated by the Spearman rank correlation test. The cumulative rate of hepatocarcinogenesis was calculated using the Kaplan-Meier technique; differences between cumulative carcinogenesis curves between groups were tested using the log-rank test. Statistical analyses of the rate of hepatocarcinogenesis according to groups were calculated using the period from the initial visit. Univariate and multivariate logistic regression analyses were used to determine the independent surrogate markers of elevated AFP at the initial visit. The odds ratios (OR) and 95% confidence intervals (95% CI) were also calculated. A two-tailed P-value less than 0.05 was considered significant. Variables that achieved statistical significance (P < 0.05) on univariate analysis were entered into multiple logistic regression analysis to identify significant independent factors for elevated AFP. Potential surrogate markers of elevated AFP at the initial visit included the following pretreatment variables: age, sex, family history of liver disease, lifetime cumulative alcohol intake, total bilirubin, aspartate aminotransferase (AST), alanine aminotransferase (ALT), albumin, gamma-glutamyl transpeptidase (GGT), hemoglobin, platelet count, HBV genotype, HBeAg, HBsAg levels,

HBcrAg levels, and HBV DNA levels. Statistical analyses were performed using the Statistical Package for Social Sciences software (SPSS, Inc., Chicago, IL).

RESULTS

Cumulative Rate of Hepatocarcinogenesis According to the AFP Level at the Initial Visit

A total of 1,061 patients naïve to antiviral therapy from the initial visit until the last visit were evaluated for the rate of development of HCC based on the AFP levels at the initial visit. During the follow-up period, HCC was diagnosed in 31 of 905 patients (3.4%) with a low AFP level (≤10 µg/L; below the upper limit of normal) and 37 of 156 patients (23.7%) with a high AFP level (≥11 µg/L) at the initial visit. The cumulative hepatocarcinogenesis rates for patients with low and high AFP levels at the initial visit were 4.7% and 30.2% at the end of 10-year follow-up; 9.1% and 36.5% at the end of 20-year follow-up; and 13.2% and 42.9% at the end of 30-year follow-up, respectively. These results indicate that the rate of hepatocarcinogenesis is significantly higher in patients with HBV infection and high AFP levels than their counterparts with low AFP levels (P < 0.001; Log-rank test) (Fig. 1).

HBsAg and AFP Levels at the Initial Visit

Blood samples from all patients were analyzed to determine the relationship between the HBsAg and the AFP levels at the initial visit. The proportions of patients with high AFP levels among those with the HBsAg levels below 500 IU/ml, from 500 to 1,999 IU/ml, from 2,000 to 6,999 IU/ml, from 7,000 to 24,999 IU/ml, and above 25,000 IU/ml were 12.6% (42 of 333 patients), 26.7% (89 of 333), 22.6% (94 of 416), 10.4% (29 of 278), and 6.4% (16 of 250), respectively (Fig. 2A). The relationship between the HBsAg and

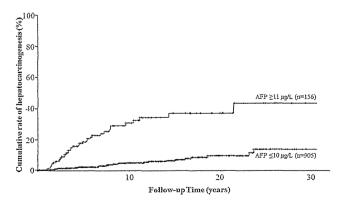
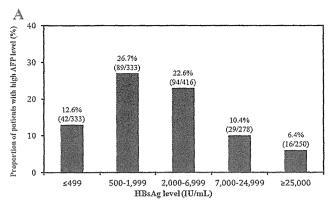


Fig. 1. Cumulative rate of hepatocarcinogenesis according to the AFP level at the initial visit in patients naïve to antiviral therapy from the initial visit until the last visit. The rate of hepatocarcinogenesis was significantly higher in patients with high AFP levels ($\geq 11 \, \mu g/L$) than in those with low levels ($\leq 10 \, \mu g/L$) at the initial visit (P < 0.001; Log-rank test).



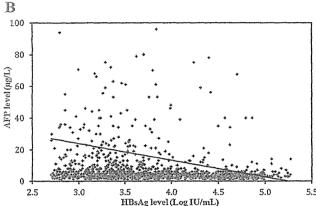


Fig. 2. A: Proportions of patients with the high AFP levels $(\geq 11\,\mu\text{g/L})$ at the initial visit, stratified according to the HBsAg levels. Patients with the HBsAg levels above 500 IU/ml included a significantly lower proportions of patients with the high AFP levels and the HBsAg levels above 7,000 IU/ml (8.5%) than those with the HBsAg levels below 7,000 IU/ml (24.4%) (P < 0.001). B: Analysis of data of patients with the HBsAg levels above 500 IU/ml at the initial visit, showed a significant negative correlation between logarithmically transformed HBsAg and AFP levels (r = -0.225, P < 0.001).

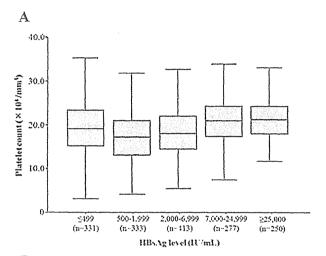
the AFP levels at the initial visit suggested the presence of two distinct populations within the study group. Especially, in 1,277 patients with the HBsAg levels above 500 IU/ml, a significantly smaller proportion of patients with high AFP levels were noted among those with HBsAg of more than 7,000 IU/ml (8.5%) than those with the HBsAg levels less than 7,000 IU/ml (24.4%) (P < 0.001). Furthermore, the HBsAg levels correlated negatively but significantly with the AFP levels (r = -0.225, P < 0.001) (Fig. 2B).

The HBsAg Levels and the Platelet Count at the Initial Visit

Blood samples from all patients were analyzed to determine the relationship between the HBsAg levels and the platelet count at the initial visit. The median platelet counts among patients with the HBsAg levels below 500 IU/ml, from 500 to 1,999 IU/ml, from 2,000 to 6,999 IU/ml, from 7,000 to 24,999 IU/ml, and above

J. Med. Virol. DOI 10.1002/jmv

 $25,000\,\mathrm{IU/ml}$ were $19.1\times10^4/\mathrm{mm}^3,~17.2\times10^4/\mathrm{mm}^3,~18.0\times10^4/\mathrm{mm}^3,~20.9\times10^4/\mathrm{mm}^3,~and~21.2\times10^4/\mathrm{mm}^3,~respectively~(Fig. 3A). The relationship between the HBsAg levels and the platelet count at the initial visit also suggested the presence of two distinct populations within the study group. Especially, in 1,277 patients with the HBsAg levels of more than 500 IU/ml, significantly higher platelet counts were noted among those with the HBsAg levels of more than 7,000 IU/ml (the median platelet count; <math display="inline">21.0\times10^4/\mathrm{mm}^3$) than those with the HBsAg levels less than 7,000 IU/ml (the median platelet count; $17.6\times10^4/\mathrm{mm}^3$) (P<0.001). Furthermore, the HBsAg



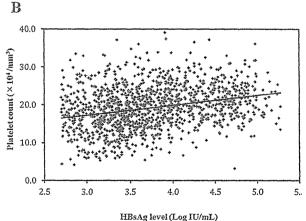


Fig. 3. A: The platelet count at the initial visit, stratified according to the HBsAg levels. Bars within the boxes indicate the median platelet count. The boxes denote the 25th to 75th percentiles, the lower and upper bars the 10th and 90th percentiles, respectively. Among patients with the HBsAg levels above 500 IU/ml at the initial visit, those with the HBsAg levels above 7,000 IU/ml had significantly higher platelet count (the median platelet count; $21.0\times10^4/\mathrm{mm}^3$) compared to those with the HBsAg levels below 7,000 IU/ml (the median platelet count; $17.6\times10^4/\mathrm{mm}^3$) (P<0.001). B: Among patients with the HBsAg levels above 500 IU/ml at the initial visit, logarithmically transformed the HBsAg levels correlated significantly with the platelet count (r=0.293, P<0.001).

levels correlated significantly and positively with the platelet count (r = 0.293, P < 0.001) (Fig. 3B).

Clinical Profiles and Laboratory Data According to the HBsAg Level at the Initial Visit

Table II summarizes the clinical profiles and laboratory data according to the HBsAg level at the initial visit of 1,610 patients infected with HBV. Patients with the HBsAg levels below 500 IU/ml were significantly older and exhibited lower inflammatory activity (lower levels of AST and ALT), and had lower viral levels (they were HBeAg negative and had lower levels of HBcrAg/HBV DNA), compared to those with the HBsAg levels above 500 IU/ml (P < 0.001).

Factors Associated With High AFP Levels at the Initial Visit, Stratified According to the HBsAg Levels

Blood samples from all 1,610 patients were analyzed to determine the factors that affect the AFP level at the initial visit. Among 1,277 patients with the HBsAg levels more than 500 IU/ml at the initial visit, high AFP levels were detected in 228 (17.9%) patients. Univariate analysis identified 12 parameters that correlated significantly with a high AFP level at the initial visit. These included age (\geq 30 years; P<0.001), AST (\geq 34 IU/L; P<0.001), ALT $(\ge 43 \text{ IU/L}; P < 0.001)$, albumin (<3.9 g/dl; P < 0.001), GGT (\geq 50 IU/L; P < 0.001), total bilirubin (\geq 1.0 mg/ dl; P < 0.001), platelet count ($<20.0 \times 10^4$ /mm³; P < 0.001), HBV genotype (C; P < 0.001), HBsAg levels ($<7,000\,\text{IU/ml}$; P<0.001), HBeAg (positive; P < 0.001), HBV DNA ($\geq 5.0 \log \text{copies/ml}$; P < 0.001), and HBcrAg ($>3.0 \log U/ml$; P < 0.001). Multivariate analysis that included the above variables identified seven factors that influenced independently the elevated AFP level at the initial visit. These included HBsAg level ($<7,000 \, \text{IU/ml}$; OR 3.69, P < 0.001), albumin ($<3.9 \,\mathrm{g/dl}$; OR 3.09, P<0.001), platelet count $(<20.0 \times 10^{4}/\text{mm}^{3}; \text{ OR } 2.50, P = 0.001), \text{ GGT } (\geq 50 \text{ IU}/\text{ C})$ L; OR 2.28, P = 0.001), AST ($\geq 34 \text{ IU/L}$; OR 2.77, P = 0.003), HBeAg (positive; OR 2.07, P = 0.005), and **HBcrAg** $(\geq 3.0 \log U/ml;$ OR5.10, P = 0.031) (Table III).

Among 333 patients with the HBsAg levels less than 500 IU/ml, a high AFP at the initial visit was detected in 42 (12.6%) patients. Univariate analysis identified nine parameters that correlated significantly with a high AFP level at the initial visit. These included AST (\geq 34 IU/L; P < 0.001), ALT (\geq 43 IU/L; P = 0.001), albumin (<3.9 g/dl; P < 0.001), GGT (≥ 50 IU/L; P < 0.001), platelet count (<20.0 × 10⁴/ mm^3 ; P = 0.001), HBV genotype (C; P < 0.001), HBeAg (positive; P < 0.001), HBV DNA ($\geq 5.0 \log \text{copies/ml}$; P = 0.001), and HBcrAg ($\geq 3.0 \log \text{U/ml}$; P < 0.001). Multivariate analysis that included the above variables identified three factors that influenced independently the elevated AFP level at the initial visit. These included albumin (<3.9 g/dl; OR P < 0.001), GGT ($\geq 50 \text{ IU/L}$; OR 6.95, P = 0.002), and HBcrAg $(\geq 3.0 \log U/ml;$ OR5.62,P = 0.010(Table III).

Factors Associated With High AFP Levels at the Initial Visit According to the HBsAg Levels in Patients With Low Transaminase Levels

To minimize the effect of inflammatory activity, we examined the data of 618 (among 1,610 patients) who

TABLE II. Profiles and Laboratory Data of Patients Infected With HBV According to the HBsAg Level at the Initial Visit

	HBsAg <500 IU/L	HBsAg ≥500 IU/L	P
Demographic data			
Number of patients	333	1,277	
Sex (male/female)	227/106	820/457	NS
Age (years)*	49 (18–75)	38 (18–83)	< 0.001
Family history of liver disease ^a	130 (39.0%)	706 (55.3%)	< 0.001
Lifetime cumulative alcohol intake (≥500 kg)	32 (9.6%)	80 (6.3%)	0.037
Laboratory data*			
Total bilirubin (mg/dl)	0.7 (0.2-2.9)	0.6 (0.1–2.9)	0.033
Aspartate aminotransferase (IU/L)	29 (12–175)	40 (5–220)	< 0.001
Alanine aminotransferase (IU/L)	32 (7–289)	56 (5–297)	< 0.001
Albumin (g/dl)	4.2 (1.1-5.6)	4.2 (1.0-5.5)	NS
Gamma-glutamyl transpeptidase (IU/L)	36 (2-2,370)	38 (4–1,638)	NS
Hemoglobin (g/dl)	14.4 (8.4–17.4)	14.6 (6.9–18.2)	NS
Platelet count ($\times 10^4/\text{mm}^3$)	19.1 (2.7–39.6)	19.2 (3.1–44.7)	NS
Alpha-fetoprotein (µg/L)	4 (1–968)	4 (1–1,770)	0.005
Virological data		• •	
HBeAg (No. of positive)	37 (11.1%)	653 (51.1%)	< 0.001
HBsAg (IU/ml)*	123 (0.09-498)	4,680 (503 to > 125,000)	< 0.001
HBcrAg (log U/ml)*	<3.0 (<3.0 to >6.8)	5.9 (<3.0 to >6.8)	< 0.001
HBV DNA (log copies/ml)*	3.7 (< 2.1 to > 9.1)	6.6 (<2.1 to >9.1)	< 0.001
HBV genotype (A/B/C/others/ND)	7/104/141/0/81	58/114/978/6/121	< 0.001

Data are number/percentages of patients, except those denoted by *, which represent the median (range) values. "Family history of positivity for hepatitis B surface antigen including third-degree relatives.

TABLE III. Results of Multivariate Logistic Analysis for Factors Associated With the High AFP Levels at the Initial Visit.

Factor	Category	Risk ratio (95%CI)	P
Patients with the HBsAg levels above 500 IU/ml (n = 1.277		
HBsAg (IU/ml)	1: ≥7,000	1	
	2: < 7,000	3.69 (2.12-6.41)	< 0.001
Albumin (g/dl)	$1: \ge 3.9$	1	
	2: < 3.9	3.09 (1.88-5.05)	< 0.001
Platelet count (×10 ⁴ /mm ³)	$1: \ge 20.0$	1	
	2: < 20.0	2.50 (1.47-4.24)	0.001
Gamma-glutamyl transpeptidase (IU/L)	1: < 50	1	
	2: ≥50	2.28 (1.40-3.72)	0.001
Aspartate aminotransferase (IU/L)	1: < 34	1	
	2: ≥34	2.77 (1.42–5.39)	0.003
HBeAg	1: Negative	1	
	2: Positive	2.07 (1.24–3.45)	0.005
HBcrAg (log U/ml)	1: <3.0	1	
	$2: \ge 3.0$	5.10 (1.16–22.4)	0.031
Patients with the HBsAg levels below 500 IU/ml (
Albumin (g/dl)	$1: \ge 3.9$	1	
	2: <3.9	12.8 (4.02–41.7)	< 0.001
Gamma-glutamyl transpeptidase (IU/L)	1: < 50	1	
TTP 4 (1 TT/ 1)	$2: \ge 50$	6.95 (2.06–23.5)	0.002
HBcrAg (log U/ml)	1: <3.0	1	
	$2: \ge 3.0$	5.62 (1.51–21.0)	0.010

Low transaminase levels were defined as transaminase levels below the upper limit of normal.

had low transaminase levels (AST <33 IU/L and ALT <42 IU/L, i.e., below the upper limits of normal) to further determine those factors that determine the high level of AFP at the initial visit. High AFP was detected in 26 (6.1%) patients among 426 with the HBsAg levels above 500 IU/ml and low transaminase levels. Using the data of these patients, univariate analysis identified three parameters that correlated significantly with a high AFP level at the initial visit. These included albumin (<3.9 g/dl; P=0.004), platelet count ($<20.0 \times 10^4/\text{mm}^3$; P = 0.012), and HBsAg levels (<7,000 IU/ml; P=0.004). Multivariate analysis that included the above variables identified albumin ($<3.9\,\mathrm{g/dl};~\mathrm{OR}~3.92,~P=0.001$) and HBsAg levels (<7,000 IU/ml; OR 4.33, P=0.004) as independent determinants of a high AFP level at the initial visit (Table IV).

Among 192 patients with the HBsAg levels below 500 IU/ml and low transaminase levels, high AFP

TABLE IV. Results of Multivariate Analysis for Factors Associated With the High AFP Levels at the Initial Visit

Factor	Category	Risk ratio (95%CI)	P	
Patients with HBsAg >500 IU/ml and low transaminase levels (n = 426)				
Albumin (g/dl)	1: > 3.9	1		
,	2: < 3.9	3.92 (1.71-9.01)	0.001	
HBsAg (IU/ml)	1: > 7,000	1		
	2: < 7,000	4.33 (1.58-11.9)	0.004	
Patients with HBs.	Ag <500 IU/r	nl and low transamin	ase	
levels $(n=192)$	Ü			
Albumin (g/dl)	1: > 3.9	1		
(3)	2: < 3.9	7.19 (1.87–27.8)	0.004	

Low transaminase levels were defined as transaminase levels below the upper limit of normal.

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levels were detected at the initial visit in 12 (6.3%). Univariate analysis identified three parameters that influenced significantly the elevated AFP level at the initial visit. These included albumin (<3.9 g/dl; P=0.010), GGT ($\geq 50 \, \text{IU/L}$; P=0.011), and platelet count (<20.0 × 10⁴/mm³; P=0.020). Multivariate analysis that included these variables identified albumin (<3.9 g/dl; OR 7.19, P=0.004) as the only independent determinant of a high AFP level at the initial visit (Table IV).

DISCUSSION

There is little information on the cutoff value of AFP that can be used to predict the future probability of HCC in patients with HBV infection. The present study followed-up patients naïve to antiviral therapy from the initial visit and showed that the rate of hepatocarcinogenesis was significantly higher in those with high AFP levels at the baseline than those with low levels. To our knowledge, the present study is the first to report the hepatocarcinogenesis rate stratified according to the AFP level in patients infected with HBV but free of HCC at the initial visit, based on a large-scale long-term follow-up cohort. The results indicated that patients with high AFP levels at the initial visit are at high risk of HCC, and emphasize the need to determine the factors that could affect the AFP level as surrogate markers of early hepatocarcinogenesis. Previous studies in patients with HCV infection indicated that suppression of the AFP level by treatment with interferon reduced the HCC risk even in those without complete eradication of HCV [Arase et al., 2007; Asahina et al., 2013]. However, there is little

evidence that suppression of the AFP level by antiviral therapy reduces the HCC risk in patients with HBV infection. Further prospective studies are needed to investigate this issue in detail.

In the present study, the relationship between the HBsAg levels and the AFP levels detected at the initial visit suggested the presence of two distinct groups within the study patients. Interestingly, in patients with the HBsAg levels above 500 IU/ml, a significant negative correlation was observed between the HBsAg and the AFP levels, and a significant positive correlation was observed between the HBsAg and the platelet count. Previous studies indicated that high serum AFP levels correlated with liver fibrosis Stage 3 and 4 [Bayati et al., 1998; Chu et al., 2001; Hu et al., 2002, 2004], and that lower thrombocytopenia was closely associated with advanced liver disease [Ikeda et al., 2009; Akuta et al., 2012]. Considered together, these results emphasize the importance of hyper-α-fetoproteinemia and thrombocytopenia in the prediction of severe liver fibrosis, respectively. Based on the present results and the recent reports suggesting the potential correlation between the HBsAg level and the stage of liver fibrosis [Seto et al., 2012; Martinot-Peignoux et al., 2013], it is possible that HBsAg levels could correlate with the stage of fibrosis in patients with the HBsAg levels above 500 IU/ml. Further studies are needed to determine the value of hyper-α-fetoproteinemia in patients with low and high HBsAgemia.

In addition to the HBsAg level, multivariate analysis also identified HBcrAg as another viral factor that influenced independently the AFP level at the baseline. HBcrAg comprises HBcAg, HBeAg and a 22-kDa precore protein coded with the precore/core gene [Kimura et al., 2002, 2005]. Previous studies reported a significant correlation between serum HBcrAg concentrations and intrahepatic levels of covalently closed circular DNA (cccDNA) [Wong et al., 2007; Suzuki et al., 2009]. Other studies indicated that HBcrAg is a useful predictor of HCC during antiviral therapy [Kumada et al., 2013], and post-treatment recurrence of HCC during antiviral therapy [Hosaka et al., 2010]. The present study, based on patients naïve to antiviral therapy showed that high serum HBcrAg concentrations also correlated with high AFP at the initial visit. This is the first report demonstrating the potential usefulness of HBcrAg as a surrogate marker for early hepatocarcinogenesis.

The impact of the HBsAg level on hepatocarcinogenesis is not clear at this stage. In this study, the effect of the HBsAg levels at the initial visit on HCC was assessed in 1,061 consecutive antiviral therapynaive patients infected with HBV. Analysis of data of 794 patients with the HBsAg levels above 500 IU/ml at the initial visit (after exclusion of patients on antiviral therapy) showed a significantly lower cumulative HCC rate in patients with the HBsAg levels above 7,000 IU/ml than those with levels below 7,000 IU/ml (P < 0.001, Log-rank test, Fig. 4). This

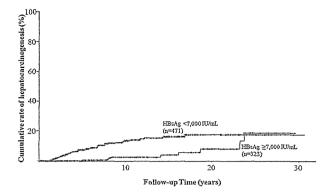


Fig. 4. Cumulative rate of hepatocarcinogenesis stratified according to the HBsAg levels at the initial visit in patients naïve to antiviral therapy from the initial visit until last visit. In a preliminary study based on 794 patients with the HBsAg levels above $500\,\mathrm{IU/ml}$ at the initial visit, the cumulative hepatocarcinogenesis rate for patients with the HBsAg levels more than $7,000\,\mathrm{IU/ml}$ was significantly lower than for those with levels below $7,000\,\mathrm{IU/ml}$ (P<0.001; Log-rank test).

result suggests that HBsAg levels at the baseline do not only influence AFP, but also play a role in hepatocarcinogenesis. Further studies need to be performed to determine the pathomechanisms of HBsAg in hepatocarcinogenesis.

The present study has certain limitations. First, the study did not examine the effects of other genotypes, apart from HBV genotype B or C. Second, the study population was limited to Japanese and did not include other races, and thus generalization of the results to other races cannot be made based on the results. Third, the study did not investigate the effects of antiviral therapy (interferon and/or nucleot(s)ide analogs) on the outcome since such therapy suppressed the AFP levels and thus reduce the risk of HCC in patients with HBV infection.

In conclusion, the present studies demonstrated that the HBsAg level seem to influence the AFP levels and can be used as a surrogate marker for early hepatocarcinogenesis in patients with hepatitis B viral infection.

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Title: Does long-term entecavir treatment really reduce hepatocellular carcinoma incidence in patients with hepatitis B virus infection?

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