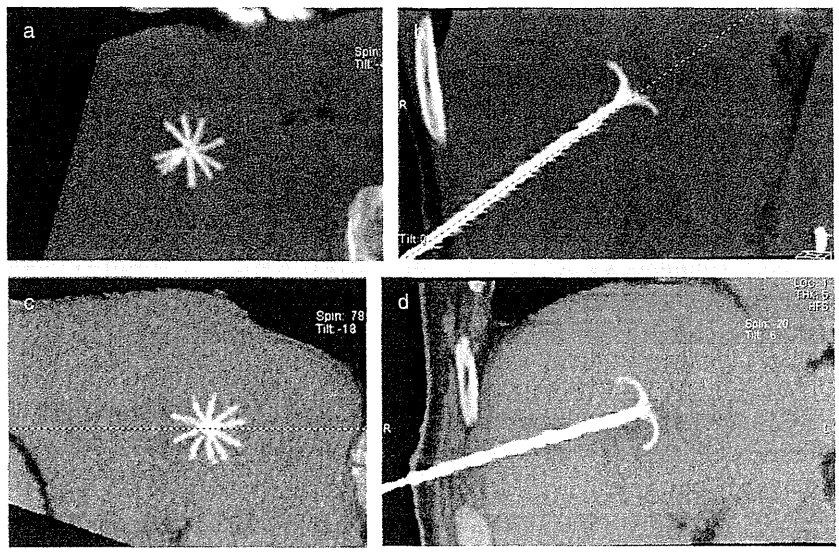

Figure 1 Electrode tines in the final step. (a,b) Tines are uniformly and fully expanded. (c,d) Tines are irregularly and insufficiently expand.

section perpendicular to the needle axis and that along the axis of group 1. Figure 1(c,d) shows those of group 2. All tines are almost uniformly extended as shown in Figure 1(c), while two tines remained attached to each other in Figure 1(a). We checked for needle expansion during RFA in six cases; three cases of group 1 and three cases of group 2. No uniform expansion was detected in any of the cases (0%) of group 1 and 2 cases (67%) of group 2, while irregular expansion was identified in three cases (100%) of group 1 and one case (33%) of group 2. Furthermore, the extent of the expansion at the final step was larger in Figure 1(b) than in (d).

Size and shape of ablated tissue

Table 2 also shows the long and short diameters of the axial cross-section and axial length of the ablated lesions measured on CT images in the two groups. The long diameter was 30 mm (range, 21–37) in group 1 and 37 mm (range, 31–60) in group 2. The short diameter was 26 mm (range, 16–32) in group 1 and 28 mm (range, 25–39) in group 2. The axial length was 35 mm (range, 20–45) in group 1 and 40 mm (range, 30–50) in group 2. All three diameters of group 2 were significantly longer than those of group 1.

In six patients, we reconstructed the post-RFA CT images to show the length of the ablated zone along the shaft and its vertical diameter (Fig. 2). When the tines were uniformly expanded as shown in Figure 1(c), the cross-sectional shape of the ablated zone perpendicular to the axis was nearly circular (Fig. 2c). The zone was more irregular when the tines were non-uniformly separated (see Fig. 1a); the cross-section was also irregular in shape similar to Figure 1(a). In the former case, the ablated zone along the shaft was near-oval in shape with the short axis equivalent to the shaft (Fig. 2d), while the shape was parachute-like or was irregularly shaped sometimes in the latter case (Fig. 2b).

Comparison of the long and short diameters in patients with cirrhosis and without cirrhosis showed that neither the long axis nor the short axis were significantly different; the long diameters in patients with cirrhosis and without cirrhosis were 33 mm (range, 21–53) and 32 mm (range, 25–60), respectively ($P = 0.451$). The short diameter in patients with cirrhosis and without cirrhosis were 27 mm (range, 16–39) and 27 mm (range, 21–36), respectively ($P = 0.983$).

Complications

We did not encounter any episodes of heat injury to adjacent organs, skin burn, symptomatic pleural effusion, intrahepatic abscess, intraperitoneal bleeding or renal failure in either group.

DISCUSSION

RADIOFREQUENCY ABLATION THERAPY is one the curative therapies for HCC measuring less than 30 mm in diameter, whereas surgical resection is the only curative treatment for HCC of more than 30 mm and less than 50 mm in diameter. However, surgical resection cannot be performed in patients with severe liver dysfunction or severe vascular invasion. In Japan,

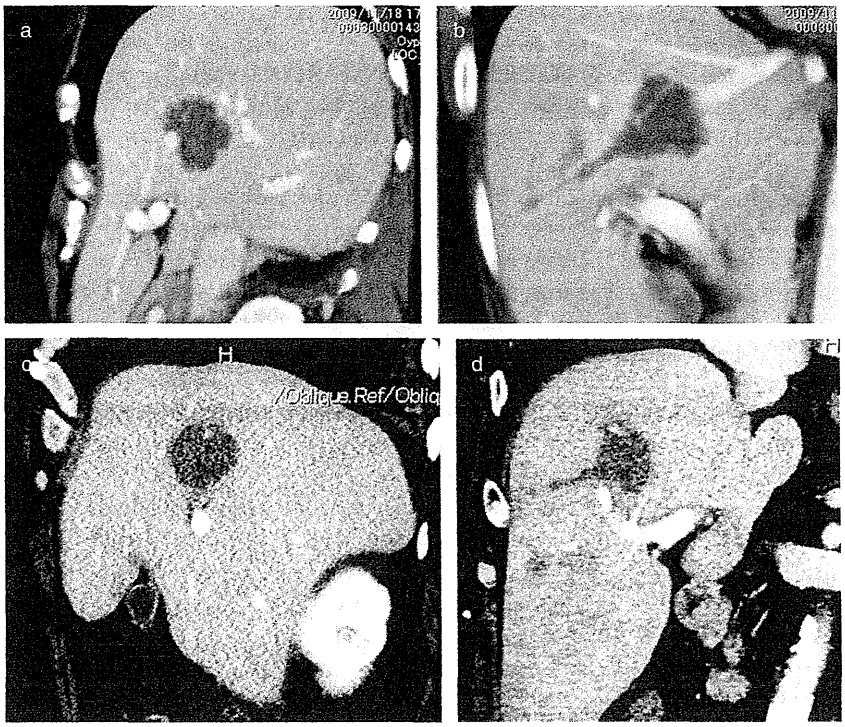

Figure 2 Dynamic computed tomography images of post-radiofrequency ablation lesions produced by the conventional procedure (group 1) and the new procedure (group 2). (a) The shape of the lesion perpendicular to the axis in group 1 is irregular. (b) The shape of the lesion along the axis in group 1 is parachute-like. (c) The shape perpendicular to the axis in group 2 is nearly circular. (d) The shape along the axis in group 2 is ellipsoid.

the Japan Society of Hepatology issued consensus-based HCC treatment guidelines in 2010, which include a HCC treatment algorithm. In this algorithm, resection can be selected with a patient with liver function Child–Pugh class A/B and without vascular invasion or with Vp1 or 2.[19] Thus, a technique that widens the RF-ablated area can improve, at least theoretically, the survival of cirrhotic patients with HCC over 30 mm in diameter.

The shape of the ablated zone depends on the needle type.[3] For example, the path along the shaft is longer than the transverse diameter when using the cool-tip electrode (cool-tip RF system), shorter when using the expandable needle of the RTC system and compatible with each other when using the LeVeen needle (RITA system). The shorter path is less disadvantageous than the shorter perpendicular diameter, because the ablated zone along with the needle trace can be enlarged by repeating the procedure as the needle is extracted while that perpendicular to the tract cannot be enlarged during one insertion. Although it is often difficult to achieve roll-off during a single-step full expansion procedure using the LeVeen needle, our stepwise procedure[13] overcomes this difficulty and produces an oval ablation zone similar to the single-step procedure. The more slender expandable LeVeen Superslim needle is easier and safer to insert into the liver. However, it is easier to deform during insertion and hardly extend as expected; it cannot be fully extended when expanded slowly. This is because the shaft is pushed back as the electrode is inserted toward the liver. To overcome this inconvenience, we designed a new technique, full re-expansion after stepwise extension, which allows a sharper and definite expansion of the slim needle to full length.

We have demonstrated in our previous experimental study,[18] using the pig liver *in vivo*, that the new extension procedure for the expandable needle allows coagulation of a larger and more oval lesion even when using the slim needle. One of the differences between the pig experimental study and the clinical study is that RFA is applied in patients with HCC who have chronically damaged livers. The results showed that the new procedure can also produce a larger ablated zone of which the long axis is perpendicular to the needle shaft compared to that of the conventional procedure in chronically damaged livers; the size of the ablated zone was independent of the liver architecture and liver fibrosis.

The ablation times in this clinical study were similar to those of the experimental studies; the duration of the first, second and third steps were similar in groups 1 and

2, while those of the fourth step and total session were longer in group 2 than group 1. The energy required for one procedure was larger in group 2 than in group 1. The roll-off phenomenon represents marked increase in tissue impedance due to coagulation necrosis. In other words, once the roll-off occurs, the tissue in contact with tines is isolated. Thus, the additional electric current and energy cannot be introduced when the positions of tines are kept in the same position just after the roll-off. After the humors soaks into necrotic tissue from outside normal liver tissue, the additional electric current and electric power can be input. But because the penetrating humor is of small amount, the input electric power shortly enables humors to evaporate and roll-off may occur again soon. Therefore, the second ablation using conventional procedure cannot prominently enlarge the ablated area over 30 mm of diameter which the RTC system exhibits. The shape of ablated area also cannot be clearly changed. A few papers reported the results of double roll-off ablation procedure without change in probe positions,[20–22] showing that this double roll-off procedure cannot ablate the zone bigger than the diameter of the fully expanded needle. The ablation zone was approximately 3 cm with a 14-G LeVeen needle 35 mm in diameter.[20] Even with a 12-tine LeVeen needle 40 mm in diameter, the diameter perpendicular to the axis was 34.4 ± 2.1 mm and the axial diameter was 31.0 ± 6.2 mm.[21] The difference of energy between group 1 and group 2 is due to that of ablated volume because the required energy for ablation per volume is almost identical.[18]

We suggested in our previous study[18] that the smaller ablation zone produced by the conventional stepwise method was due to the facts that the hooks of the Super-Slim needles hardly extended to full extension during the slow insertion because the shaft was pushed back as the electrode was inserted toward the liver and that the tanned tumor or parenchymal tissues were removed from the surface of the multiple tines when they were once enclosed within the shaft in the new method, resulting in a better outcome of RF ablation. Our study identified another reason for the difference in the size of the ablated zone; the tines were extended separately in more cases of group 2, while some tines remained attached to each other in more cases of group 1 than of group 2. It is possible that this is because the tines gathered in one direction in the first step as the tip of the needle shaft was diagonally cut and the direction of the extension of each tine could not be reset in the conventional procedure. When all tines were separately extended, the cross-section was nearly circular and its size was larger due to the better RFA, compared with the irregular shape and smaller size when two or three tines remained attached to each other. In addition, the median of the long axis with the new method is much larger not only than that with the conventional method using a slim needle but also that of the conventional method with an old needle of 15-G diameter.[3,13] It means this method using a slim needle is most appropriate when we want the largest ablated zone among various methods: the conventional method using a slim needle, that using a 15-G needle and the ablation using cool-tip needle.

In conclusion, the new extension procedure using the slim expandable needle allows coagulation of the largest area among various procedures using various types of needles. Additionally, the two kinds of stepwise procedures allow the selection of a more suitable procedure based on the tumor size and shape in each RFA.

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ORIGINAL ARTICLE—LIVER, PANCREAS, AND BILIARY TRACT

Clinical effectiveness of bipolar radiofrequency ablation for small liver cancers

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Abstract
Background Radiofrequency ablation (RFA) is minimally invasive and can achieve a high rate of cure of liver cancer. This study was conducted to evaluate the efficacy and safety of a bipolar RFA device (CelonPOWER System) in the treatment of Japanese liver cancer patients.
Methods The study was a multicenter, single-group, open-label trial. The indications for RFA were based on the Japanese guidelines for the management of liver cancer. The subjects had a Child-Pugh classification of A or B, and the target tumors were defined as nodular, numbering up to 3 lesions, each of which was 3 cm or less in diameter, or solitary lesions up to 4 cm in diameter. To test for the non-inferiority of the CelonPOWER System, this system was compared with the Cool-tip RF System, which has already been approved in Japan, in terms of the complete necrosis rate (CNR).
Results The CNR obtained with the CelonPOWER System was 97.8 % (88/90 patients). The CNR obtained with the Cool-tip RF System was 86.2 % (50/58 patients), confirming the non-inferiority of the CelonPOWER System ($p < 0.001$, Fisher's exact test based on binomial distribution). Throughout the treatment and follow-up periods, there were no adverse events regarding safety that were uniquely related to the CelonPOWER System and there were no cases of device failure.
Conclusions The CelonPOWER System was confirmed to be an effective and safe RFA device. It could become extensively used as a safe next-generation RFA device, reducing the physical burden on patients.

Keywords Small hepatocellular carcinoma · Radiofrequency ablation (RFA) · Bipolar RFA · Conformite Europeenne (CE) mark · Non-inferiority to monopolar RFA

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Introduction

According to a report of the Japanese Ministry of Health, Labor and Welfare in 2010, the number of deaths due to malignancies, including hepatocellular carcinoma (HCC), which is the most common type of primary liver cancer, has tended to increase annually [1]. In the 2007 report of the Japanese Ministry of Health, Labor and Welfare, the mortality of liver cancer was the 3rd highest among malignant diseases, following gastric cancer and lung cancer [2]. HCC appears in cirrhotic liver, and cirrhotic liver often results from alcohol abuse or chronic hepatitis B virus (HBV) or HCV infection. The presence of liver cirrhosis limits HCC treatment options, because surgery and systemic chemotherapy impair residual liver function and can induce fatal liver failure. In addition,

even if the primary tumor is completely resected, there is a very high recurrence rate in the residual liver [3, 4].

Radiofrequency ablation (RFA) is a minimally invasive method that can yield radical localized therapeutic results, and it has become a standard treatment for small liver cancers 3 cm or less in diameter [5].

Three different RFA systems have been introduced in Japan, all consisting of monopolar devices. One of the main problems with monopolar RFA devices is that the electrical current flows between the electrodes and the grounding pad that is used in these devices. The current flows in a wide area of the body, which may cause systemic symptoms, such as heat retention and perspiration. In addition, because the applicator is distant from the grounding pad, its low energy efficiency requires a long ablation time. Moreover, energy concentration can occur owing to an unanticipated current pathway between the applicator and grounding pad, posing a risk of burns at the grounding pad patch site and at non-treatment sites [3, 6–9].

A bipolar system, in contrast to the monopolar systems, features as its principal characteristic an electrical current flowing between two electrodes on a single probe. With a bipolar system, the current pathway is limited to only within the treatment area, thus eliminating the need for a grounding pad. A bipolar RFA system also overcomes such disadvantages of a monopolar system as the occurrence of heat retention and other side effects, low energy efficacy, and thermal injuries at electrode pad sites caused by an electrical current flowing in the body. The simultaneous use of multiple applicators with a bipolar system makes it possible to achieve a sufficiently large thermocoagulation volume with a single ablation procedure. That is, one ablation is usually sufficient for a wide area and this enables a short ablation time. In addition, ablation can be achieved even if the electrodes are not inserted directly into the tumor. The use of the bipolar system with multiple applicators with a wide ablation area maximizes the effectiveness of the bipolar system.

The purpose of this study was to evaluate the safety and efficacy of a bipolar RFA device, the CelonPOWER System, in order to obtain the clinical data necessary for an application for its regulatory approval in Japan. The study and protocol were designed in compliance with Japanese good clinical practice (GCP) based on the advice from the Pharmaceuticals and Medical Devices Agency (PMDA) of the Japanese regulatory authority. In designing this study, we were requested by the PMDA to compare this device with an existing RFA device (that had been already approved in Japan) and we selected the data from the 2002 to 2003 clinical study of the Cool-tip RF System as valid control data. The study of the Cool-tip RF System was also conducted to obtain marketing approval in Japan [10]. This study was sponsored by Olympus Medical Systems Corp.

Patients, materials, and methods

Device

Celon AG Medical Instruments (Teltow, Germany) developed a bipolar RFA device (CelonPOWER System) in order to overcome the disadvantages of monopolar RFA devices. Unlike a monopolar RFA system, the prime characteristic of this new device is its bipolar feature, i.e., two electrodes are located on the same needle (Fig. 1a, b), allowing electricity flow only between the electrodes at the treatment target site, eliminating both the need for a grounding pad and the danger of burns (Fig. 2a, b).

The bipolar characteristics of the CelonPOWER system ensure the return of power to the device, and the simultaneous use of multiple applicators yields an extensive ablated area in a single treatment, which can reduce treatment time and the burden on the patient. This eliminates the need for repeated reinsertion of single monopolar needles to perform overlapping ablation. Another advantage of the bipolar device is that electric current is immediately retrieved, preventing it from flowing to unintended sites. The CelonPOWER System was awarded the Conformite Europeenne (CE) mark in 2003, and since then its use has spread mainly in Europe [11–17].

The CelonPOWER System consists of a high-frequency power generator, a water pump, and computerized applicators for regulation of the current frequency. The basic

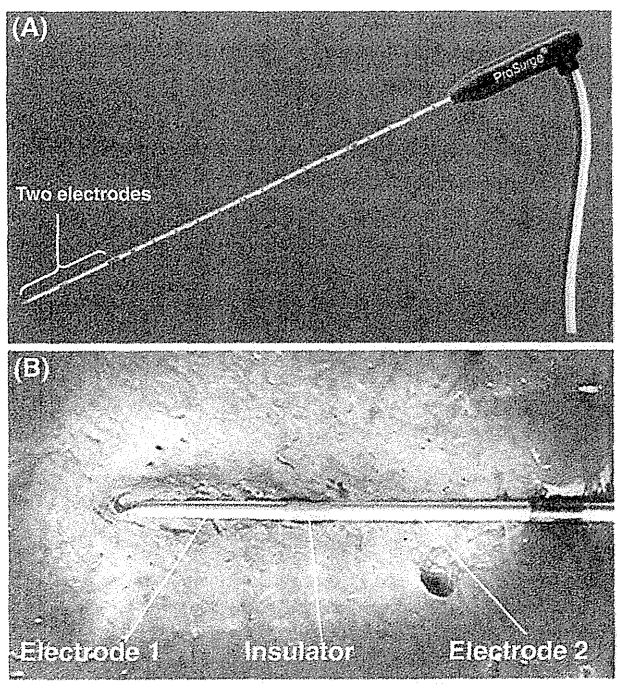

Fig. 1 In the CelonPOWER System, each applicator is needle-shaped and has two electrodes near its tip

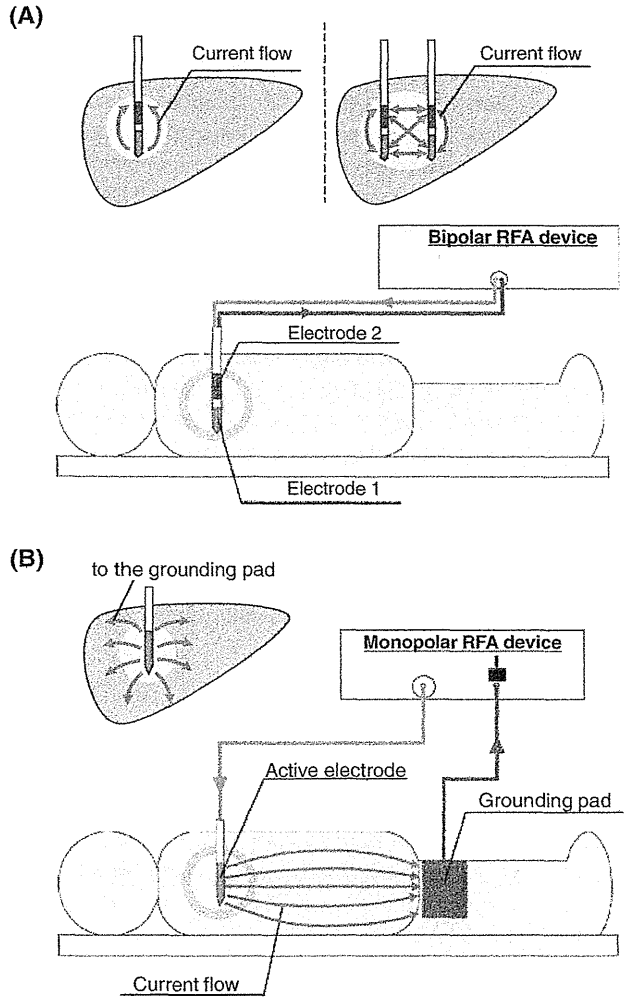

Fig. 2 Differences in the electrical flow routes of **a** the monopolar and **b** the bipolar (CelonPOWER System) radiofrequency ablation (*RFA*) systems. With the bipolar system (CelonPOWER System), the electrical current flows between the two electrodes, and for this reason the current pathway is limited to the treatment area, allowing lower power to be concentrated in a specific area and yet yielding effects equivalent to those obtained by higher energy monopolar devices, the power of which is dispersed throughout the body to the dispersion grounding pads placed under the patient

frequency of the power generator is 470 kHz, with a maximum output of 250 W. All the needles for RFA are 1.8 mm in width (15 G) but there are 3 different lengths: 20, 30, and 40 mm. The Cool-tip RF System needles are 1.5 mm in width (17 G).

Bipolar applicators

Each applicator is needle-shaped and has two electrodes near its tip. The electrical current flows between the two electrodes on the single probe, limiting the current pathway to within the treatment area. A grounding pad is unnecessary (Fig. 2a). The applicators are cooled by the internal circulation of chilled water.

Multipolar application

When simultaneously using multiple applicators (up to 3 can be employed simultaneously), it is possible to treat relatively large cancers that could not be sufficiently ablated by means of one insertion of a single applicator. The high-frequency electrical current flows sequentially between the electrodes of the applicators (6 electrode pair combinations when there are 2 applicators, 15 electrode combinations when there are 3 applicators) (Fig. 3a).

Resistance controlled automatic power (RCAP)

RCAP is a function that monitors the change of electric resistance between the electrodes, and automatically

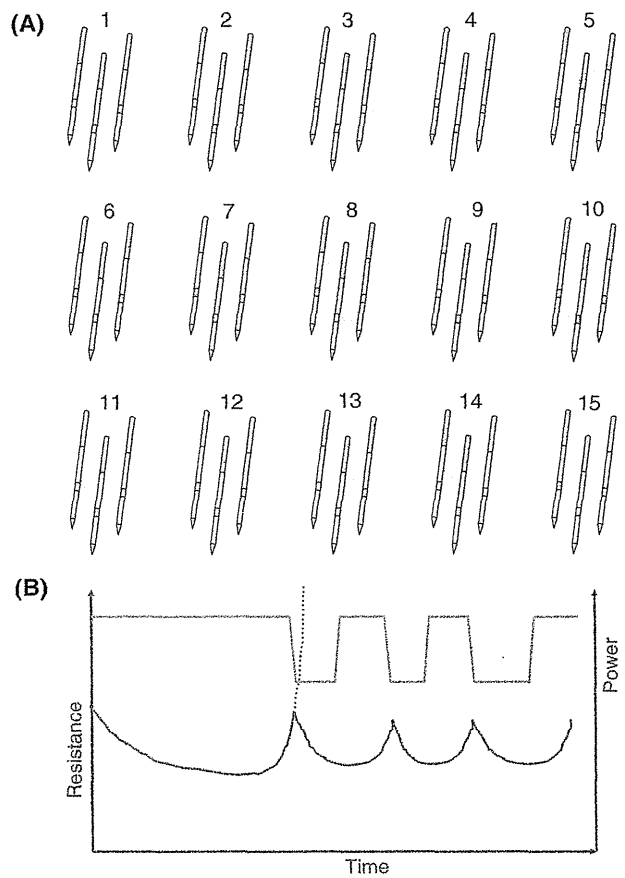

Fig. 3 When 3 applicators are employed, the high-frequency electrical current flows sequentially between 15 combinations of electrode pairs (**a**), and an image is generated of the automated control of the output by the resistance controlled automatic power (RCAP) function (**b**). RCAP is a function by which the degree of change in the electrical resistance among the electrodes (increase/decrease in slope) is monitored, and the high-frequency power output is automatically controlled

controls the high-frequency power (Fig. 3b). This function makes it possible to prevent unexpected rapid increases in electrical resistance resulting from tissue necrotization.

Patients

This clinical study was carried out based on the HCC treatment algorithm in the Scientific Data-based Clinical Practice Guidelines for Liver Cancer-2005 Version [18]. We enrolled adult male and female patients aged 20 years or older with primary or metastatic small liver cancers who had provided written informed consent. Target tumors were defined as nodular, numbering up to 3 lesions, each of which was 3 cm or less in diameter, or solitary lesions up to 4 cm in diameter. Exclusion criteria included a Child-Pugh grade of C, or platelet count below 50000/μl. Informed consent was obtained from 104 patients, of whom 96 were initially enrolled, but 5 withdrew consent before the trial started. The trial was therefore carried out in a total of 91 patients (112 treated lesions) with intention-to-treat (ITT) analysis, and 90 patients were eligible for the analysis of efficacy.

Patient details

Table 1 summarizes the data on the background characteristics of the 91 patients and 112 treated lesions treated in the study (73 patients had 1 lesion, 15 had 2, and 3 patients had 3 lesions; Table 1). The cohort consisted of 61 men and 30 women, and the mean age (±SD) was 69 ± 10 years; 84 patients had primary liver cancer, while 7 had metastatic liver cancer.

Study design

This prospective multicenter, collaborative, single-group, open-label study was conducted at 5 institutions between December 2008 and December 2009. The study protocol was approved by each center's institutional review board. The trial treatment period lasted from the acquisition of written informed consent through completion of the final treatment (maximum 3 treatments), in addition to a follow-up period from the day after the final examinations of the treatment period until the completion of examinations performed 24 weeks later. The non-inferiority of the CelonPOWER System was evaluated relative to the results obtained with a Cool-tip RF System in 2002–2003 [10].

Study methodology

Figure 4 shows the study procedures. During the treatment period, the following procedures were performed, in the order listed: registration of eligible patients, RFA treatment and examinations including computed tomography (CT) imaging, laboratory tests, and blood pressure measurement. The efficacy was evaluated from the extent of the necrotic area (tumor necrosis; TN) induced by ablation as measured on conventional and dynamic CT imaging. Additional ablation, up to a maximum of 3 sessions, was performed as necessary. The laboratory tests consisted of RBC count, WBC count, hemoglobin level, hematocrit, platelet count, prothrombin time (PT) activity, total bilirubin, albumin, aspartate aminotransferase (AST), alanine aminotransferase (ALT), alkaline phosphatase (ALP), lactate dehydrogenase (LDH), blood urea nitrogen (BUN), and creatinine.

In the follow-up phase, at 10 ± 2 weeks (70 ± 14 days) and 24 ± 2 weeks (168 ± 14 days) following the day of the final RFA session, we performed CT imaging, laboratory tests, blood pressure measurement, measurement of alpha-fetoprotein (AFP), and measurement of protein induced by vitamin K absence or antagonist II (PIVKA-II). The CT images and tumor marker data were employed to assess the continuity of the therapeutic effect (TE) of the RFA treatment.

RFA procedure

The procedure with the CelonPOWER System device was similar to the procedure with the existing monopolar RFA devices. In all cases, the procedure was performed percutaneously under ultrasound guidance and local anesthesia.

Assessment of efficacy

TN was assessed using 5 grades, in accordance with the Criteria for Direct Effects of Liver Cancer Treatment (1994) [19]. Class V tumor necrosis (100 % TN) of liver cancer following the final RFA session was defined as "complete necrosis," and the percentage of patients achieving Class V TN was defined as the "complete necrosis rate" (CNR), the primary endpoint. The TN classification was used for short-term (during treatment) evaluation, and this was the only evaluation reported for the Cool-tip RF System in the marketing authorization holder's application for Japanese government approval. However, now the government demands not only short-term evaluation, but also long-term evaluation, for which such parameters as TE, overall response, and complete response (CR) are used.

The secondary endpoints of our study were the number of RFA sessions, the TE, and the overall assessment of the TE. The assessment of the immediate TE and the overall assessment of TE were performed in accordance with the General Rules for the Clinical and Pathological Study of Primary Liver Cancer (2008) [20]. The TE was classified as either CR (total necrosis and normalization of all tumor

Table 1 Patient background factors and lesion characteristics

Patients ($n = 91$)		Lesions ($n = 112$)	
Background factors	*N* (%)	Characteristics	*N*
Sex		Maximum dimension (cm)	
M	61 (67.0)	<1.0	22
F	30 (33.0)	1.1–2.0	69
Age (years)		2.1–3.0	17
31–40	1 (1.1)	3.1–4.0	4
41–50	4 (4.4)	Mean ± SD	
51–60	9 (9.9)	1.6 ± 0.7	
61–70	32 (35.2)	Subsegment	
71–80	34 (37.4)	S1	0
81–90	11 (12.1)	S2	6
Cancer		S3	9
Primary	84 (92.3)	S4	8
Metastatic	7 (7.7)	S5	18
Underlying disease		S6	20
Cirrhosis	63 (69.2)	S7	18
Chronic hepatitis	22 (24.2)	S8	33
None	6 (6.6)		
Child-Pugh classification			
Grade A	83 (91.2)		
Grade B	8 (8.8)		
Number of treated lesions			
1	73 (80.2)		
2	15 (16.5)		
3	3 (3.3)		
Previous treatment of primary disease			
Yes	40 (44.0)		
No	51 (56.0)		

markers), or others. In addition, ITT analysis was performed in regard to the cumulative local recurrence rate and the overall assessment of the TE.

Assessment of safety

The following safety endpoints were assessed in all 91 patients in whom the study was conducted: overall safety assessment, adverse events, device-related adverse events, device failure, laboratory test values, and blood pressure.

Statistical analysis

Statistical analysis was performed using a one-sided significance level of 2.5 % for the primary endpoint. In principle, a two-sided significance level of 5 % was used for the other endpoints to avoid data dispersion. The CNR (the primary endpoint) was calculated as the percentage of the total number of patients who achieved Class V TN, and its exact one-sided 97.5 % confidence interval was calculated. For the secondary endpoints, the variables and their ratios were compiled, and the basic statistics for the mean and standard deviation were calculated.

Results

Patients

Written informed consent was obtained from 104 patients, including the 96 patients in the study. The study was conducted in 91 of these patients, and treatment was completed in 90 patients. Eighty-eight of the 90 patients (excluding 2 TN4 patients) were followed up. Five patients discontinued the study during the follow-up period, leaving 83 patients who completed the follow-up period. Three patients were excluded because of unacceptable enrollment dates, so the final number of patients eligible for the efficacy analysis was 80.

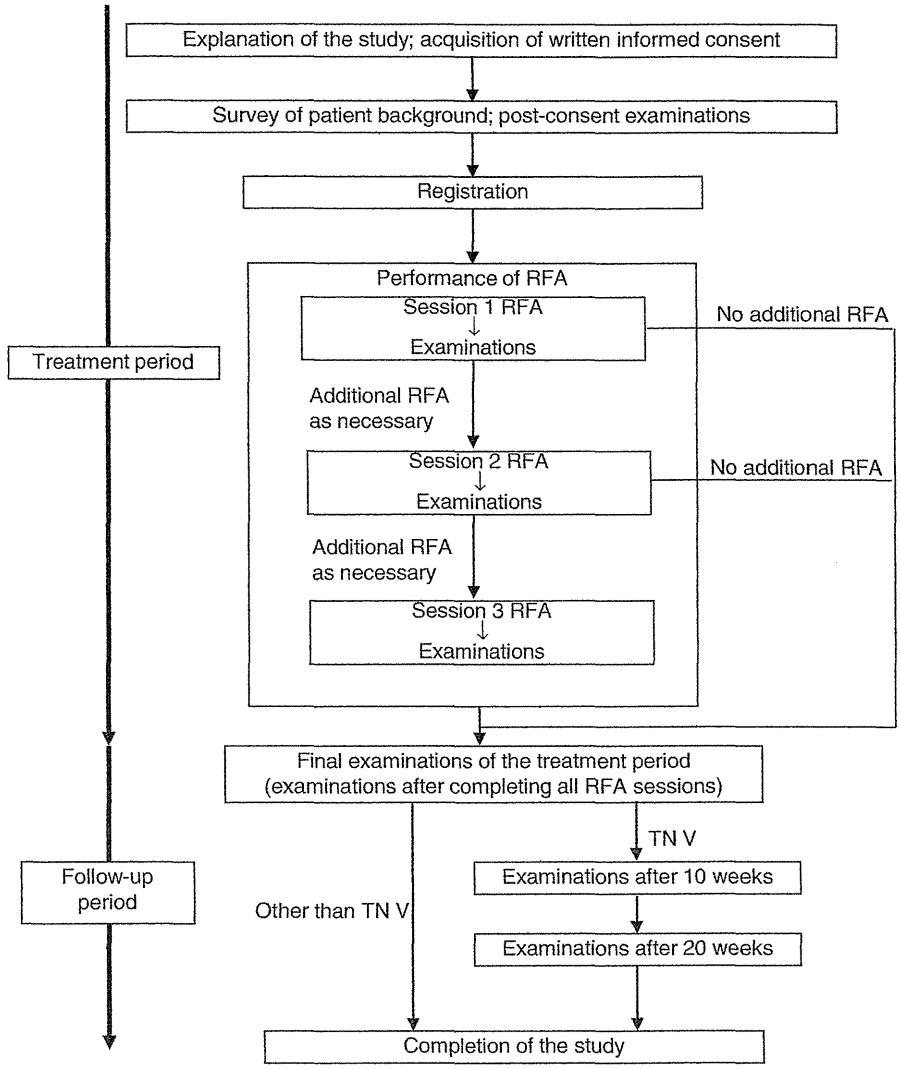

Fig. 4 Clinical study procedure. *TN* Tumor necrosis

Efficacy

Of the 90 patients who completed this clinical treatment study, 88 showed Class V TN (97.8 %). The 2 patients (2.2 %) who did not show 100 % TN both had primary liver cancers and were categorized as Class IV TN. The CNR was 100 % in patients with metastatic liver cancer (7/7 patients) and 97.6 % in patients with primary liver cancer (81/83 patients). The Japanese package insert for the Cool-tip RF System [21] states that the CNR obtained by that system was 86.2 % (50/58 patients). Assuming a 5 % non-inferiority margin, the lower limit of the confidence interval (one-sided 97.5 %) was 92.2 %, and the p value was <0.001 for the exact test based on binomial distribution.

The initial success rate (Class V TN after 1 session) was 77.8 % (70 of 90 patients), while Class V TN was seen in 16 (17.8 %) patients following a second session. The remaining 4 (4.4 %) patients underwent a third RFA session, and 2 were rated as Class V TN following that session.

We used 1 applicator in 20 patients, 2 simultaneously in 54 patients, and 3 simultaneously in 16 patients. We used 30-mm electrodes in all the patients, except in 3 of the 16 patients in whom 3 electrodes were used simultaneously; in these 3 patients we used 3 40-mm electrodes. A representative case in which 3 applicators were used is shown in Fig. 5.

Of the 88 patients who proceeded to the follow-up phase, excluding the single out-of-hospital fatality, examination at 24 weeks showed that CR was obtained in 94.3 % (82/87). The cumulative local recurrence rate at the end of 24 weeks in the follow-up period was 5.7 % (5/87 patients; ITT analysis) (Table 2).

Figure 6a, b shows a comparison of the treatment results of the Cool-tip RF System clinical trial [21] and the number of patients analyzed for the CNR and the efficacy

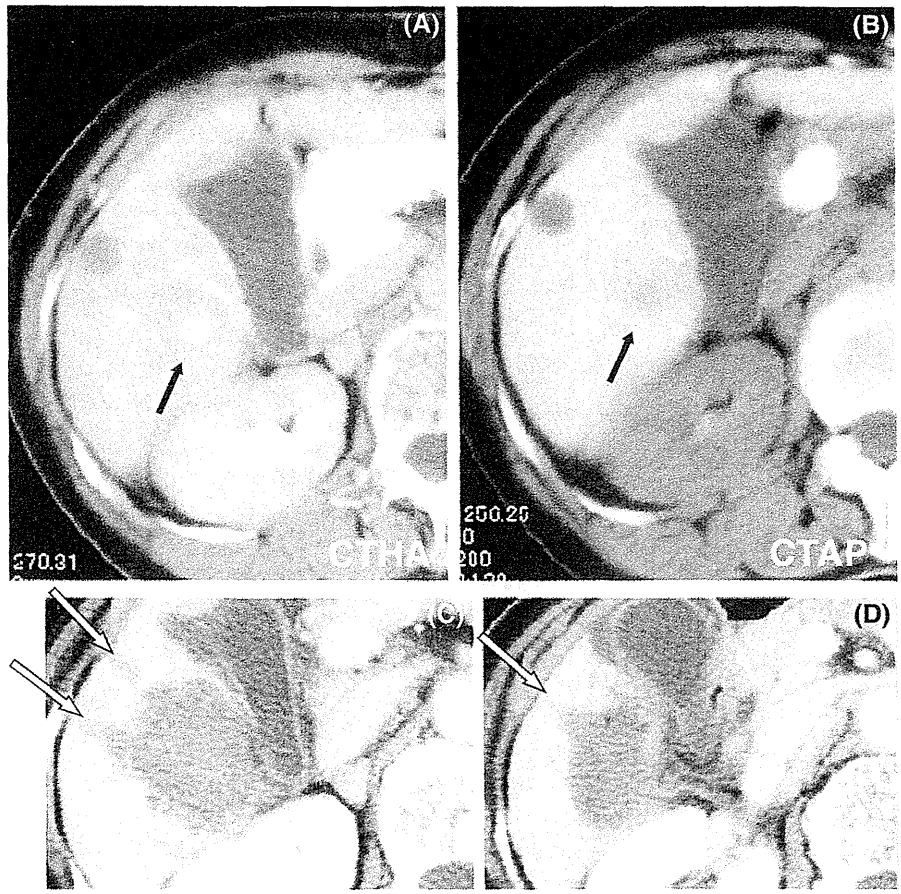

Fig. 5 Images in a female patient who had hepatocellular carcinoma (HCC) in segment VI. Before treatment, scans obtained on computed tomography during hepatic arteriography (CTHA) (**a**) and computed tomography during arterial portography (CTAP) (**b**) showed a nodular HCC (*arrow*) measuring 2.5 cm. Three applicators were placed in parallel in the HCC in liver segment VI, and then the tumor was ablated in one procedure (total ablation time 13 min 42 s, total applied energy 35.3 kJ). After the procedure, computed tomography (CT) images showed a necrotic area of 46 mm in diameter including the nodular HCC (**c** [*arrows* show applicator for insertion paths], **d** [*arrow* shows applicator for insertion paths])

Table 2 Maintenance of the therapeutic effect (TE) (overall assessment of the TE; intention-to-treat (ITT) analysis)

	This clinical study		Patients who underwent local therapy [24]	
	10 weeks	24 weeks[a]	3 months	6 months
Complete response (CR) (no. of patients)	85/88 (96.6 %)	82/87 (94.3 %)	4468/5394 (82.8 %)	4318/5378 (80.3 %)
Other (no. of patients)	3/88 (3.4 %)[b]	5/87 (5.7 %)[c]	926/5394 (17.2 %)	1060/5378 (19.7 %)

[a] One patient who died was omitted from the 24-week assessment

[b] Includes 3 patients who developed local recurrence within 10 weeks

[c] Includes 5 patients who developed local recurrence within 24 weeks

of each RFA session in the present clinical study. As shown in Fig. 6a, the complete necrosis (Class V TN) rate with the CelonPOWER System was 97.8 % (88/90 patients), which was higher than the rate of 86.2 % (50/58 patients) with the Cool-tip RF System. These results thus confirm the non-inferiority of the CelonPOWER System ($p < 0.001$; Fisher's exact test based on binomial distribution). As shown in Fig. 6b, the percentage of patients in whom treatment was completed in a single session was 77.8 % (70/90 patients) in the present study with the CelonPOWER System, compared with 51.7 % (31/60 patients) in the Cool-tip RF System study [10].

Safety

The overall safety assessment was performed for the entire clinical study period, i.e., inclusive of the treatment period and the follow-up period. Of the 91 patients included in the safety analysis, no procedure was rated as unsafe, although 2 procedures (2.2 %) were rated as somewhat unsafe, one with an abdominal wall burn and one with biliary peritonitis owing to bile leakage; 78 procedures (85.7 %) were rated as safe overall and 11 procedures (12.1 %) were rated as safe. There was no device failure. In the patient with biliary peritonitis, three 30-mm electrodes had been

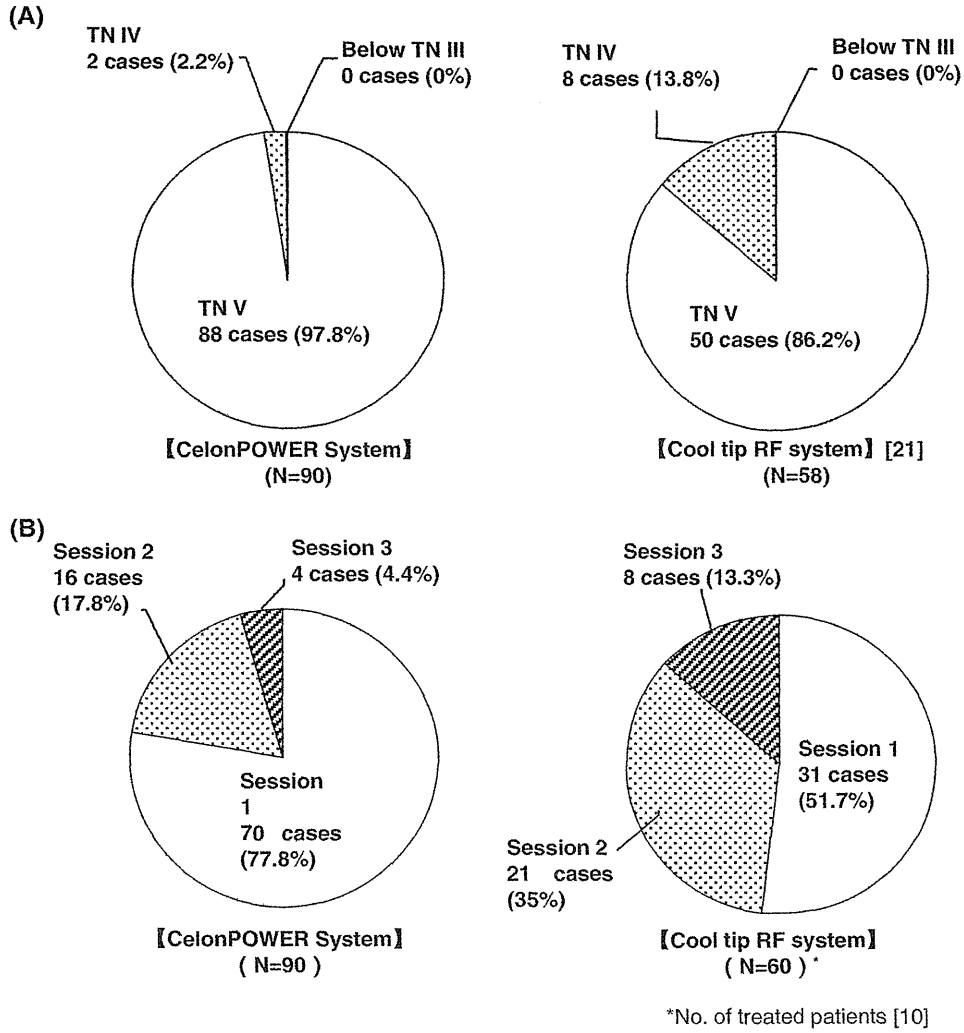

Fig. 6 Comparison of the present results obtained with the CelonPOWER System and the clinical study results reported for the Cool-tip RF System. The percentage of Class V tumor necrosis (*TN*) (TN 100 %) cases (**a**) and the number of patients in whom each RFA session was completed (**b**)

simultaneously inserted into an S8 tumor, and treatment was finished in a single ablation.

During the course of the entire clinical study period, serious adverse events (i.e., events for which a causal relationship with the CelonPOWER System could not be ruled out) were seen in 3 patients, consisting of abdominal wall burn, pleural effusion, and biliary peritonitis. Each of those events was judged to be serious because they required prolongation of hospitalization, and each required treatment. In addition, it was judged that each of these serious adverse events was a known adverse event that had been observed with similar, already-approved RFA devices [21–23]. Also, the single fatality, which occurred at home, had occurred in a patient who had been hospitalized for treatment on the suspicion of peritonitis based on the examinations performed after 10 weeks in the follow-up period. The patient's condition had improved and the patient had been discharged, and it was later confirmed that death had occurred at home. Autopsy revealed the cause of death to have been due to the progression of cirrhosis, and it was thus thought that the death was not related to the treatment with the CelonPOWER System. Table 3 shows the most common adverse effects (those observed in 5 % of patients or more) and all of these (pleural effusion, nausea, vomiting, postprocedural pain, and fever) have been known to occur with previously approved local therapeutic devices. Moreover, all the adverse events were easily controllable.

Discussion

We set out to prospectively determine whether a bipolar RFA device (CelonPOWER System) was safe and effective in the treatment of liver cancer and whether it could be demonstrated to be non-inferior to a monopolar RFA system currently approved and employed clinically in Japan (Cool-tip RF System).

Treatment was completed in a fewer number of sessions when using the CelonPOWER System than with the Cool-

Table 3 Frequently observed adverse effects (5 % or more) (adverse reactions at an incidence of >5 % in the overall study period)

Adverse event	No. of patients	%	No. of patients treated (%)	Treatments
Aspartate aminotransferase (AST) increase	72	79.1	0 (0)	–
Alanine aminotransferase (ALT) increase	69	75.8	0 (0)	–
Lactate dehydrogenase (LDH) increase	22	24.2	0 (0)	–
Total bilirubin increase	20	22.0	0 (0)	–
Pleural effusion	12	13.2	2 (2.20)	Human serum albumin, cefmetazole sodium, tazobactam piperacillin hydrate
Vomiting	12	13.2	7 (7.69)	Metoclopramide
Nausea	10	11.0	9 (9.89)	Metoclopramide, domperidone, diazepam
Postoperative pain	9	9.9	3 (3.30)	Pentazocine, loxoprofen sodium hydrate, acetaminophen, diclofenac sodium
White blood cell count increase	8	8.8	1 (1.10)	Sulbactam sodium–cefoperazone sodium
Platelet count decrease	6	6.6	0 (0)	–
Alkaline phosphatase (ALP) increase	5	5.5	0 (0)	–
Fever	5	5.5	5 (5.49)	Loxoprofen sodium hydrate, acetaminophen, cefmetazole sodium

tip RF System, suggesting that this new system yields efficacy that is at least equivalent to that achieved with the Cool-tip RF System, while causing less of a treatment burden on the patient.

We assessed the TE level, and its maintenance in ITT cases after 10 weeks and 24 weeks (6 months) in the follow-up period of this clinical study and found that the overall TE assessment was not inferior to that of the National Follow-up Survey Report on Primary Hepatic Carcinoma (2004–2005) [24] issued by the Liver Cancer Study Group of Japan (Table 2). Considering that the method for overall TE assessment in that report was the same as that employed in the present study, it is reasonable to conclude that the TE maintenance with the CelonPOWER System is not inferior to that of other local therapy.

Nishikawa et al. reported on local recurrence when using monopolar systems clinically. They found that, in 269 patients with solitary hypervascular HCCs who had undergone RFA, the 1- and 2-year cumulative local recurrence rates were 12.8 and 23.6 %, respectively [25]. We believe that our present results for the cumulative local recurrence rate (5.7 % for 6 months) with the CelonPOWER System are comparable to those reported results.

The introduction of a new device inevitably raises the question of its safety. In our series, there were 3 adverse events–one event of abdominal wall burn and one of pleural effusion during the treatment period, and one event of biliary peritonitis during the follow-up period. These adverse events were previously known to be possible adverse events that had been observed with the Cool-tip, RITA, and Boston monopolar RFA systems that have already been approved for clinical use in Japan [21–23]. Therefore, similar caution concerning internal adverse events is necessary when using the CelonPOWER System, although the problem of external burns does not exist with this system.

The high-incidence (≥5 %) device-related adverse event rate during the course of our clinical study was similar to the rates with the Cool-tip, RITA, and Boston monopolar RFA systems [21–23].

Therefore, these events are not unique to the CelonPOWER System, and the safety of the CelonPOWER System is not inferior to that of the existing approved RFA devices.

This study has several limitations. First of all, although it was a prospective study, it was not a randomized controlled clinical study. However, all consecutive patients who satisfied the enrollment criteria were offered the opportunity to participate and the study was performed in all those who provided informed consent and decided to receive the treatment. After providing informed consent, 5 patients decided not to participate and 1 ceased treatment after 1 session, due to the difficulty posed by the proximity of the lesion to the heart and lungs.

Although we were able to compare our own results immediately after treatment with those of the Cool-tip RF

System and other systems, we were not able to compare the results 6 months after treatment because of the lack of such data for the Cool-tip RF System, because of the different GCP guidelines in force at the time of the Cool-tip RF System study. However, the 6-month follow-up data of our study were very satisfactory. Furthermore, because there were no such data available in the reports on the Cool-tip RF System, we could not compare the levels of experience of the operators in the two studies.

In conclusion, the present clinical study confirmed that the CelonPOWER System is a very safe and highly effective RFA system for liver cancer in Japanese patients. In addition, because this system is a bipolar device, it operates with high energy efficiency, and because multiple multipolar applicators can be employed simultaneously, coagulation necrosis of an extensive tumor tissue volume can be achieved in a short treatment time. Moreover, throughout the course of this clinical study, most of the patients did not experience hot flushes or perspiration. It is therefore anticipated that the CelonPOWER System will become used as a next-generation RFA system that is not only safer than existing systems, but is highly effective and places less physical burden on the patient.

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Original Article

Fibrosis score consisting of four serum markers successfully predicts pathological fibrotic stages of chronic hepatitis B

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Aim: In order to evaluate and judge a fibrotic stage of patients with chronic hepatitis B, multivariate regression analysis was performed using multiple fibrosis markers.

Method: A total of 227 patients from seven hepatology units and institutes were diagnosed by needle biopsy as having chronic liver disease caused by hepatitis B virus. Twenty-three variables and their natural logarithmic transformation were employed in the multivariate analysis. Multiple regression function was generated from data of 158 patients in one hospital, and validation was performed using the other data of 69 patients from six other hospitals.

Results: After stepwise variable selection, multivariate regression analysis finally obtained the following function: $z = 1.40 \times ln$ (type IV collagen 7S) (ng/mL) $- 0.017 \times$ (platelet count) ($\times 1000^3/mm^3$) $+ 1.24 \times ln$ (tissue inhibitor of matrix metalloproteinase-2) (ng/mL) $+ 1.19 \times ln$ (α-2-macroglobulin) (mg/dL) $- 9.15$. Median values of fibrosis scores of F1 ($n = 73$), F2 ($n = 42$), F3 ($n = 31$) and F4 stages ($n = 12$) were calculated as 0.95, 2.07, 2.98 and 3.63, respectively. Multiple regression coefficient and coefficient of determination were 0.646 and 0.418, respectively. Validation with patient data from other institutions demonstrated good reproducibility of fibrosis score for hepatitis B (FSB), showing 1.33 in F1 ($n = 27$), 2.20 in F2 ($n = 20$), 3.11 in F3 ($n = 20$) and 5.30 in F4 ($n = 2$), respectively.

Conclusion: A concise multiple regression function using four laboratory parameters successfully predicted pathological fibrosis stage of patients with hepatitis B virus infection.

Key words: chronic hepatitis, hepatitis B virus, liver cirrhosis, liver fibrosis, multiple regression analysis, stage

INTRODUCTION

WHEN HEPATITIS B virus (HBV)-related chronic liver disease is found by biochemical and virological examination, liver biopsy can establish the definitive diagnosis of chronic hepatitis and its fibrotic staging. Although these pathological procedures are reliable and informative both in diagnosis and treatment, they sometimes require medical invasion and financial costs, including the risk of bleeding from needle puncture, some pain experienced during the procedure and hospital stays of a few days. The pathological examination is, therefore, rarely performed repeatedly in a short period of time, unless disease activity is severe or progression of liver disease is highly suspected. Recently, many authors described the usefulness of ultrasonographic elastography and multiple resonance imaging technology in the estimation of staging of chronic hepatitis and cirrhosis.[1–5] These ways of estimation using the imaging apparatuses seem truly useful for current patients, but they cannot evaluate and compare with past fibrotic states of patients retrospectively. Moreover,

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the same apparatus for elastometry will not be available for repeated measurement for a follow-up examination, for example, several years later.

In spite of the accuracy of biopsy and convenience of elastography in chronic liver disease, clinical diagnosis based on biochemistry and hematology is still indispensable for the daily practice of many patients with HBV-related liver disease. Recently, several studies were published about estimation of hepatitis stages, using one or more serum biomarkers. Discriminant functions or multivariate analyses demonstrated that approximately 60–90% of patients with chronic hepatitis B were correctly classified as having mild hepatitis and severe hepatitis with advanced fibrosis.[2,6–13] Up to the present time, however, the usefulness of the discriminant functions are less valuable for a few reasons. First, these functions were made for the purpose of discrimination of severe hepatic fibrosis from mild fibrosis, and four histological classifications (F1–F4) were neglected in almost of the studies. Second, some studies analyzed both hepatitis B and hepatitis C virus infection, although the significance and actual values of each liver function test in the evaluation of the severity of liver disease were not similar among each viral hepatitis and alcoholic liver disease. Third, biochemical markers for liver fibrosis (e.g. hyaluronic acid, type IV collagen, procollagen III peptide)[14–16] were not always included in those previous studies.

We tried to generate a function estimating fibrotic stages of HBV-related chronic hepatitis, which were objectively diagnosed by liver biopsy. The purpose of this study is, therefore, to make a reliable multiple regression function and to obtain practical coefficients for significant variables also using fibrosis markers.

METHODS

Patients

A TOTAL OF 273 Japanese patients with chronic hepatitis B were recruited for the study from seven hospitals in Japan: Toranomon Hospital, Hiroshima University Hospital (K. Chayama, M.D.), Ehime University Hospital (M. Onji, M.D.), Musashino Red Cross Hospital (N. Izumi, MD), Shishu University Hospital (E. Tanaka, M.D.), Showa University Hospital (M. Imawari, M.D.) and Osaka University Hospital (T. Takehara, M.D.). Inclusion criteria for this study were: (i) positive hepatitis B surface antigen for more than 6 months; (ii) persistent or intermittent elevation in aspartate aminotransferase (AST)/alanine aminotransferase (ALT) levels; and (iii) liver biopsy showing chronic hepatitis (F1–F4). We excluded those patients with overt alcoholic liver disease or fatty liver, association of other types of liver disease (e.g. hepatitis C, primary biliary cirrhosis, autoimmune hepatitis), or those associated with hepatocellular carcinoma or other malignancy. Among the patients, 244 patients fulfilled the conditions for the study: complete demographic data, basic laboratory data of hematology and biochemistry, required liver biopsy specimens, and sufficient amount of frozen sera. Also, we excluded additional 17 patients with eventual histological diagnosis as F0 stage.

Finally, a total of 227 patients who were diagnosed as having chronic hepatitis or cirrhosis (F1–F4) were analyzed for the following hematological, biochemical and histopathological examination. There were 172 males and 55 females aged 16–70 years (median, 39 years).

All the patients presented written informed consent in individual hospitals and medical centers, and the study was approved in each ethical committee.

Hematological and biochemical examination

Hematological and standard biochemical evaluation had been performed in each medical institution: white blood cells, red blood cells, hemoglobin, platelets, total bilirubin, AST, ALT, AST/ALT ratio (AAR), γ-glutamyl transpeptidase (γ-GTP), total protein, albumin and γ-globulin.

Special biochemical examinations including "fibrosis markers" were carried out using stored frozen sera at −20°C or lower: α-2-macroglobulin, haptoglobin concentration, haptoglobin typing, apolipoprotein A1, hyaluronic acid, tissue inhibitor of matrix metalloproteinase (TIMP)-1, TIMP-2, procollagen III peptide and type IV collagen 7S.

Histological diagnosis of chronic hepatitis and cirrhosis

All the 227 cases fulfilled required standards of histological evaluation: sufficient length of specimen, hematoxylin–eosin staining, and at least one specimen with fiber staining. Four independent pathologists (Y. T., J. F., F. K. and T. F.), who were not informed of patients' background and laboratory features except for age and sex, evaluated the 227 specimens regarding the stages of fibrosis and activity. Pathological classification of chronic hepatitis staging was based on Desmet *et al.*[17]

Before judgment of histological staging of individual specimens, the pathologists discussed the objective and reproducible judgment of pathological diagnosis of

hepatitis. They made a panel about obvious criteria using typical microscopic pictures for each stage, and it was always referred to during the procedure of pathological judgment. When inconsistent results were found in the diagnosis of hepatitis stage among the pathologists, the final judgment accepted majority rule among them.

Statistical analysis

Non-parametric procedures were employed for the analysis of background characteristics and laboratory data among patients in each stage, including Mann–Whitney *U*-test, Kruskal–Wallis test and χ^2-test.

The normality of the distribution of the data was evaluated by a Kolmogorov–Smirnov one-sample test. Because certain variables partly did not conform to a normal distribution, natural logarithmic transformation of bilirubin, AST, ALT, γ-GTP, α-2-macroglobulin, hyaluronic acid, type IV collagen 7S and TIMP-2 were also analyzed in the following calculation. The natural logarithmic transformation of the results yielded a normal distribution or symmetrical distribution for all the analyzed factors. After the procedures, the following multiple regression analysis became rationally robust against deviations from normal distribution. In order to avoid introducing into the model any variables that were mutually correlated, we checked the interaction between all pairs of the variables by calculating variance inflation factors. Of the highly correlated variables, less significant factors were removed from the viewpoint of multicollinearity.

Multivariate regression analysis was performed using 158 patient data from Toranomon Hospital (training dataset) to generate a training data of predicting function. We used a stepwise method for selection of informative subsets of explanatory variables in the model. Multiple regression coefficient and coefficient of determination were also taken into account in the selection of variables. Next, we validated the obtained predictive function using the remaining 69 patient data from the other six liver institutions (validation dataset).

A *P*-value of less than 0.05 with two-tailed test was considered to be significant. Data analysis was performed using the computer program SPSS ver. 19.[18]

For evaluation of the efficiency and usefulness of obtained function for fibrosis estimation, we compared various fibrosis scores for hepatitis B and C, including AAR,[19] AST-to-platelet ratio index (APRI),[20] FIB-4,[21] FibroTest[22] and discrimination function of cirrhosis from hepatitis in Japanese patients.[23]

RESULTS

Pathological diagnosis

FOUR PATHOLOGISTS INDEPENDENTLY judged the fibrotic stages and inflammatory activity for 227 specimens of chronic hepatitis/cirrhosis caused by HBV. One hundred patients (44.1%) had a fibrosis stage of F1, 62 (27.3%) F2, 51 (22.5%) F3 and 14 (6.2%) F4. In the subgroup of the 158 patients in the training group, judgment as F1 was made in 73 cases, F2 in 42, F3 in 31 and F4 in 12. Of the 69 patients in the validation group, judgment as F1 was made in 27, F2 in 20, F3 in 20 and F4 in two.

According to hepatitis activity classification, A0 was found in five (2.2%), A1 in 100 (44.1%), A2 in 107 (47.1%) and A3 in 15 (6.6%).

Laboratory data of each hepatitis stage in the training group

There were 124 men and 34 women with a median age of 39 years ranged 16–70 years. Laboratory data of 158 patients in the training group are shown in Table 1. Although several individual items were well correlated with the severity of hepatic fibrosis, significant overlap values were noted among F1–F4 stages: platelet count, γ-globulin, α-2-macroglobulin, haptoglobin, hyaluronic acid, TIMP-2 and type IV collagen 7S.

Significant variables serving staging of hepatitis

Univariate analyses using trend analysis with the Cochran–Armitage method showed that the fibrotic stage of chronic hepatitis B (FSB) was significantly correlated with platelet count (Spearman: $r = -0.45$, $P < 0.001$), γ-GTP ($r = 0.19$, $P = 0.017$), γ-globulin ($r = 0.29$, $P < 0.001$), α-2-macroglobulin ($r = 0.32$, $P < 0.001$), hyaluronic acid ($r = 0.36$, $P < 0.001$), TIMP-2 ($r = 0.16$, $P = 0.043$), procollagen III peptide ($r = 0.30$, $P < 0.001$) and type IV collagen 7S ($r = 0.55$, $P < 0.001$).

Regression function generated from training patient group

After stepwise variable selection, multivariate regression analysis finally obtained the following function: $z = 1.40 \times ln$ (type IV collagen 7S) (ng/mL) $- 0.017 \times$ (platelet count) ($\times 1000^3/mm^3$) $+ 1.24 \times ln$ (TIMP-2) (ng/mL) $+ 1.19 \times ln$ (α-2-macroglobulin) (mg/dL) $-$ 9.15. Median values of the fibrosis score of F1 ($n = 73$), F2 ($n = 42$), F3 ($n = 31$) and F4 stages ($n = 12$) were calculated as 0.95, 2.07, 2.98 and 3.63, respectively

Table 1 Demography and laboratory data of 158 patients in training group

	F1 ($n = 73$)	F2 ($n = 42$)	F3 ($n = 31$)	F4 ($n = 12$)
Demographics				
Men : women	58:15	33:9	23:8	10:2
Age (median, range)	36 (16–70)	39.5 (18–66)	39 (25–64)	43 (32–59)
Laboratory data (median, range)				
WBC (×1000/mm^3)	5.4 (2.5–10.6)	5.1 (2.4–8.7)	4.9 (3.0–8.7)	4.1 (3.7–6.6)
Hemoglobin (g/dL)	15.3 (10.3–18.8)	15.4 (12.5–17.9)	15.2 (11.5–17.2)	14.45 (12.1–18.2)
Platelet (×1000/mm^3)	204 (124–341)	173 (82–308)	155 (96–220)	130 (86–230)
Albumin (g/dL)	4.1 (3.2–4.9)	4.0 (3.2–5.1)	4.0 (3.3–4.9)	3.95 (3.4–4.6)
Bilirubin (mg/dL)	0.8 (0.2–1.7)	0.8 (0.3–2.3)	0.9 (0.4–5.4)	0.85 (0.6–2.3)
AST (IU/L)	48 (16–450)	55 (17–588)	54 (17–1446)	76.5 (27–396)
ALT (IU/L)	102 (10–839)	90 (12–886)	85 (19–2148)	89 (18–809)
γ-GTP (IU/L)	37 (7–247)	55 (8–687)	44 (14–564)	69 (33–262)
γ-Globulin (g/dL)	1.29 (0.78–2.11)	1.495 (0.62–3.20)	1.43 (0.90–2.30)	1.735 (0.92–2.47)
γ-Globulin (%)	17.3 (10.8–26.1)	19.3 (8.5–35.6)	19.9 (12.9–28.6)	22.55 (13.9–30.2)
α-2-Macroglobulin (mg/dL)	226 (116–446)	276 (148–495)	261 (202–565)	286.5 (166–425)
Haptoglobin (mg/dL)	77 (<5–318)	59 (<5–238)	61 (<5–151)	48.5 (<5–145)
Apolipoprotein A-I (mg/dL)	134 (89–212)	143 (78–250)	133 (87–189)	125 (73–169)
Hyaluronic acid (μg/L)	16 (<5–130)	32.5 (<5–204)	38 (<5–418)	49 (24–335)
TIMP-1 (ng/mL)	168 (93–271)	172 (116–314)	157 (119–365)	192 (145–365)
TIMP-2 (ng/mL)	80 (41–135)	80.5 (35–121)	92 (38–251)	85.5 (70–123)
Procollagen III peptide (U/mL)	0.75 (0.53–1.90)	0.835 (0.45–1.20)	0.89 (0.58–2.50)	1.05 (0.71–2.20)
Type IV collagen 7S (ng/ml)	4.0 (2.7–7.7)	4.6 (2.6–9.6)	5.6 (2.3–15.0)	7.2 (4.2–14.0)

ALT, alanine aminotransferase; AST, aspartate aminotransferase; γ-GTP, γ-glutamyl transpeptidase; TIMP, tissue inhibitor of matrix metalloproteinase; WBC, white blood cells.

(Fig. 1). The multiple regression coefficient and coefficient of determination were 0.646 ($P < 0.001$) and 0.418 ($P < 0.001$), respectively.

Because the generated regression function was obtained by multivariate analysis with stepwise variable selection, several variables were removed from the function due to multicollinearity among them. Mutual correlation among the fibrosis predictors are shown in Table 2.

A 28-year-old man of F1 fibrotic stage (Fig. 2a) had a serum type IV collagen concentration of 4.4 ng/mL, platelet 221×10^3 count/mm^3, TIMP-2 75 ng/mL and α-2-macroglobulin 226 mg/dL. The regression function provided a fibrosis score of 0.99. Another man aged 46 years had F3 fibrosis on histological examination (Fig. 2b). His type IV collagen was 5.3 ng/mL, platelet 137×10^3 count/mm^3, TIMP-2 92 ng/mL and α-2-macroglobulin 255, and the regression function calculated his fibrosis score as 3.10.

Validation of discriminant function

Validation data of 69 patients (Table 3) were collected from the other six institutions in Japan. When applying

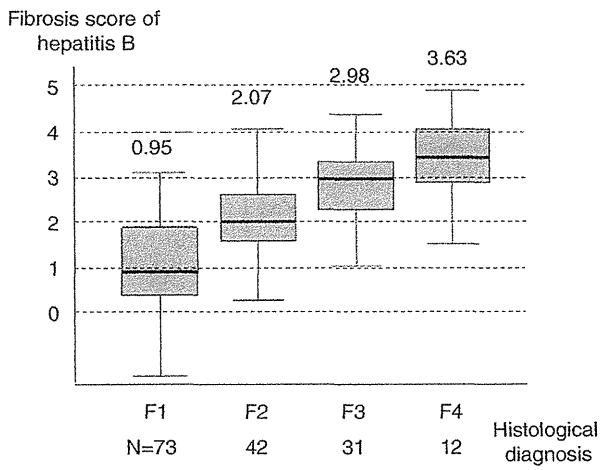

Figure 1 Box and whisker plots of fibrotic score of each histological fibrosis group in the training dataset. The fibrosis score of hepatitis B was generated by the function, $z = 1.40 \times ln$ (type IV collagen 7S) (ng/mL) − $0.017 \times$ (platelet count) (×1000^3/mm^3) + $1.24 \times ln$ (tissue inhibitor of matrix metalloproteinase-2) (ng/mL) + $1.19 \times ln$ (α-2-macroglobulin) (mg/dL) − 9.15.

Table 2 Correlation coefficients (Spearman's ρ) among fibrosis predictors used in multivariate analysis

	Platelet	gamma-globulin	*ln* (α-2-macroglobulin)	*ln* (hyaluronate)	*ln* (P-III-P)	*ln* (IV collagen)	*ln* (TIMP-2)
Platelet ($\times 10^3/mm^3$)	1.000	−0.214 ($P = 0.008$)	−0.260 ($P = 0.001$)	−0.384 ($P < 0.001$)	−0.045 ($P = 0.58$)	−0.297 ($P < 0.001$)	0.094 ($P = 0.24$)
γ-Globulin (g/dL)		1.000	0.276 ($P = 0.001$)	0.349 ($P < 0.001$)	0.342 ($P < 0.001$)	0.414 ($P < 0.001$)	0.268 ($P = 0.001$)
ln (α-2-macroglobulin) (mg/dL)			1.000	0.281 ($P < 0.001$)	0.141 ($P = 0.078$)	0.171 ($P = 0.032$)	−0.079 ($P = 0.32$)
ln (hyaluronic acid) (mg/L)				1.000	0.373 ($P < 0.001$)	0.493 ($P < 0.001$)	0.089 ($P = 0.27$)
ln (procollagen III peptide) (U/mL)					1.000	0.600 ($P < 0.001$)	0.145 ($P = 0.071$)
ln (type IV collagen) (mg/L)						1.000	0.358 ($P < 0.001$)
ln (TIMP-2) (mg/L)							1.000

TIMP, tissue inhibitor of matrix metalloproteinase.

the regression function for the validation set, the fibrosis score demonstrated good reproducibility, showing 1.33 in patients with chronic hepatitis of F1 ($n = 27$), 2.20 of F2 ($n = 20$), 3.11 of F3 ($n = 20$) and 5.30 of F4 ($n = 2$), respectively (Fig. 3). Although F4 fibrosis stage consisted of only two patients and the score 5.30 was regarded as of rather higher value, the scores of other stages of fibrosis were concordant with histological fibrosis.

Comparisons of efficacy with various fibrosis scores (Fig. 4)

In order to evaluate the efficacy and usefulness of the obtained FSB, we compared it with previously reported fibrosis scores using training data. AAR, APRI and FibroTest showed only slight correlation with actual histological stage. FIB-4 demonstrated an increasing trend of the score associated with histological fibrosis, but significant overlapping scores were found in F1–F4. Spearman's correlation coefficients of AAR, APRI, FIB-4 and FibroTest were 0.199 ($P = 0.012$), 0.265 ($P = 0.001$), 0.412 ($P < 0.001$) and 0.330 ($P < 0.001$), respectively. Our FSB showed a Spearman's correlation coefficient of 0.625 ($P < 0.001$), and was a much higher value than the others. The dichotomous discrimination function for cirrhosis and hepatitis C in Japanese patients[23] showed good differentiation also in patients with hepatitis B virus.

DISCUSSION

RECOGNITION OF SEVERITY of chronic hepatitis is essential in managing patients with chronic HBV infection: estimation of length of infection, existence of any previous hepatitis activity, presumption of current fibrotic stage, and prediction of future fibrosis progression and hepatocarcinogenesis. Differential diagnosis of cirrhosis from chronic hepatitis is especially important in the evaluation of chronic HBV infection. Identification of liver cirrhosis often leads to an important change in management of the patient: need for fiberscopic examination for esophageal varices, ultrasonographic exploration for the association of liver cancer, and prediction of hepatic decompensation. Guidelines published by the American Association of Study of Liver Disease[24] recommend liver biopsy for HBV carriers with aminotransferase elevation or for any candidates of antiviral therapy, because hepatic fibrosis sometimes shows unexpectedly far advancement to cirrhosis, and because it is very difficult to evaluate and translate the liver function tests or ultrasonographic findings compared to chronic hepatitis type C.

Table 3 Demography and laboratory data of 69 patients in training group

	F1 ($n = 27$)	F2 ($n = 20$)	F3 ($n = 20$)	F4 ($n = 2$)
Demographics				
Men : women	18:9	15:5	13:7	2:0
Age (median, range)	36 (13–64)	45 (14–64)	36.5 (24–59)	32 (25–39)
Laboratory data (median, range)				
WBC (×1000/mm^3)	5.0 (2.8–8.7)	5.8 (2.8–11.6)	5.3 (3.2–8.1)	3.85 (2.7–5.0)
Hemoglobin (g/dL)	14.8 (12.4–17.4)	15.0 (12.4–16.9)	14.4 (11.1–16.4)	14.4 (12.5–16.3)
Platelet (×1000/mm^3)	204 (86–322)	180 (90–275)	147 (90–276)	130 (67–183)
Albumin (g/dL)	4.4 (2.8–5.2)	4.2 (3.5–5.1)	4.3 (3.4–4.9)	4.45 (4.0–4.9)
Bilirubin (mg/dL)	0.9 (0.4–6.4)	0.8 (0.2–1.6)	0.75 (0.4–1.7)	1.15 (1.1–1.2)
AST (IU/L)	52 (17–575)	50.5 (21–272)	65 (22–284)	248.5 (51–446)
ALT (IU/L)	84 (16–1101)	101.5 (19–554)	86.5 (16–1113)	453.5 (74–833)
γ-GTP (IU/L)	42 (14–332)	54 (16–205)	52.5 (13–191)	193 (57–329)
γ-Globulin (g/dL)	1.30 (1.04–1.59)	1.35 (1.18–2.53)	1.62 (1.16–1.97)	1.545 (1.51–1.58)
γ-Globulin (%)	17.9 (14.3–22.1)	19.6 (15.5–30.8)	22.0 (16.5–24.6)	20.15 (19.3–21.0)
α-2-Macroglobulin (mg/dL)	287 (160–687)	270 (89–452)	272.5 (211–463)	389 (313–465)
Haptoglobin (mg/dL)	58 (<5–229)	74 (<5–154)	56.5 (<5–198)	<5 (<5–<5)
Apolipoprotein A-I (mg/dL)	146 (95–216)	137 (87–162)	120 (88–170)	100.5 (74–127)
Hyaluronic acid (μg/L)	27 (<5–113)	36 (10–1050)	59 (14–439)	331 (225–437)
TIMP-1 (ng/mL)	168.5 (83–302)	176 (127–408)	182 (104–303)	390.5 (283–498)
TIMP-2 (ng/mL)	76 (25–143)	86.5 (28–154)	77.5 (32–141)	100.5 (91–110)
Procollagen III peptide (U/mL)	0.71 (0.27–2.20)	0.88 (0.63–2.80)	0.995 (0.60–2.10)	1.75 (1.50–2.00)
Type IV collagen 7S (ng/ml)	3.6 (2.7–17.0)	5.25 (3.3–13.0)	5.7 (3.0–16.0)	15.5 (15.0–16.0)

ALT, alanine aminotransferase; AST, aspartate aminotransferase; γ-GTP, γ-glutamyl transpeptidase; TIMP, tissue inhibitor of matrix metalloproteinase; WBC, white blood cells.

Recently, non-invasive estimation of severity of liver fibrosis has been reported in patients with HBV-related chronic hepatitis.[2,6–13] However, these studies were principally aimed at differentiation of advanced fibrotic stages of F3 or F4 from mild fibrotic stages of F1 or F2. Those discrimination functions were insufficient to recognize the stepwise progression of viral hepatitis from F1–F4. This dichotomy (mild or severe) of chronic hepatitis B seemed less valuable in the study of disease progression, disease control abilities of antiviral drugs and estimation of histological improvement after anti-inflammatory drugs. A histology-oriented, practical and reliable formula is therefore required for the diagnosis and investigation of chronic hepatitis B.

This study aimed to establish non-invasive evaluation and calculation of liver fibrosis for patients with chronic hepatitis B virus infection. Although it was retrospectively performed as a multicenter study of eight institutions, judgment of histological diagnosis was independently performed by four pathologists in another hospital, who were informed only of the patient's age, sex and positive HBV infection. Objective judgment of the histological staging and grading in sufficient biopsy specimens could be obtained.

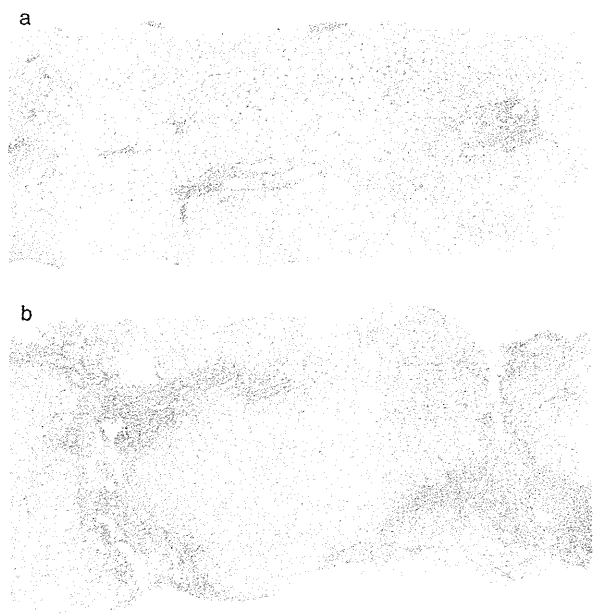

Figure 2 Case presentations of the training set. (a) A 28-year-old man with F1 fibrosis. Final regression function provided his fibrosis score as 0.99. (b) A 45-year-old man with F3 fibrosis. His regression coefficient was calculated as 3.10. Silver stain, ×40.